

# Critical Dynamics of Superfluids

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Talk based on [2510.20750](#) and [2510.20761](#) with **A. Donos**

# Plan of the talk

1. Introduction
2. Dynamics of critical superfluids
3. Holographic superfluids
4. Conclusion

# Introduction

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# Hydrodynamics

**Hydrodynamics** describes finite temperature, many-body systems, out of thermodynamic equilibrium.

It is an effective theory, valid at long wavelengths

**Main idea:** At sufficiently **large time and length scales**, all microscopic degrees of freedom have equilibrated, leaving **conserved charges** to dominate the effective description.

- This provides a **universal framework**, irrespective of the details of the microscopic theory
- It is a **classical theory**, thermal fluctuations are ignored (but can be included with Keldysh-Schwinger formalism [[Liu and Glorioso, 2018](#)])

# Hydro variables and Equations of Motion

Assuming Poincaré symmetry and a global  $U(1)$  invariance, the corresponding Noether currents are  $T^{\mu\nu}$ ,  $J^\mu$ .

Conservation laws:  $\partial_\mu T^{\mu\nu} = 0$ ,  $\partial_\mu J^\mu = 0 \rightarrow$  eoms of hydro.

What are the effective variables?

- Thermodynamics: temperature  $T$ , chemical potential  $\mu$ , fluid velocity  $u^\mu$ .
- Hydrodynamics: Promote thermodynamic variables to slowly varying functions of spacetime. Concretely, e.g.  $l_m \partial_\mu T \ll 1$ .

Express conserved currents in terms of hydrodynamic variables, in a derivative expansion.

$$T^{\mu\nu} = \underbrace{T_{eq}^{\mu\nu}}_{\mathcal{O}(\partial^0)} + \underbrace{T_1^{\mu\nu}}_{\mathcal{O}(\partial)} + \underbrace{T_2^{\mu\nu}}_{\mathcal{O}(\partial^2)} + \dots, \quad J^\mu = \underbrace{J_{eq}^\mu}_{\mathcal{O}(\partial^0)} + \underbrace{J_1^\mu}_{\mathcal{O}(\partial)} + \underbrace{J_2^\mu}_{\mathcal{O}(\partial^2)} + \dots$$

# Normal fluid hydrodynamics

For **normal fluids**, up to first order in derivatives (background  $g_{\mu\nu}$ ,  $A_\mu$ ):

$$T_{eq}^{\mu\nu} = \epsilon u^\mu u^\nu + p P^{\mu\nu}, \quad J_{eq}^\mu = \rho u^\mu$$

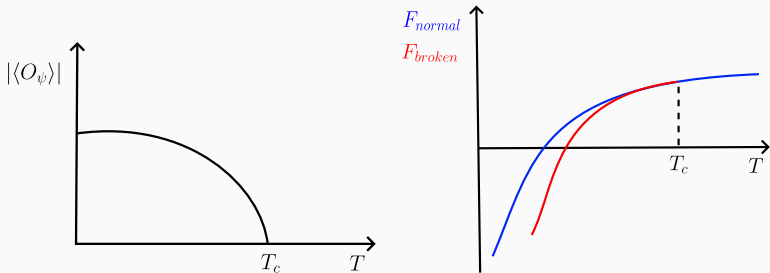
$$T_1^{\mu\nu} = -\eta \sigma^{\mu\nu} - \zeta P^{\mu\nu} \nabla_\rho u^\rho, \quad J_1^\mu = -T \sigma P^{\mu\nu} \left( \nabla_\nu \left( \frac{\mu}{T} \right) - \frac{F_{\nu\rho} u^\rho}{T} \right)$$

$$P^{\mu\nu} = g^{\mu\nu} + u^\mu u^\nu, \quad \sigma^{\mu\nu} = P^{\mu\rho} P^{\nu\sigma} \left( 2 \nabla_{(\rho} u_{\sigma)} - \frac{2}{d-1} g_{\rho\sigma} \nabla_\tau u^\tau \right)$$

- $\eta, \zeta, \sigma$  are examples of *transport coefficients*. Arbitrary func. of  $T, \mu \rightarrow$  fixed by UV theory.
- We have two constraints:
  1. Local form of **second law of thermodynamics**:  $\nabla_\mu s^\mu \geq 0$
  2. **Onsager reciprocity** relations, i.e. microscopic time reversal.

# Superfluids

**Superfluid:** Phase of matter with spontaneous breaking of a global  $U(1)$ . Order parameter:  $\langle O_\psi \rangle = |\langle O_\psi \rangle| e^{iq_e \theta} \neq 0$  for  $T < T_c$ .



**Assuming**  $\partial_\mu \ll T - T_c$ :

- We have one **extra hydro dof**: The superfluid velocity  $\sim \partial_\mu \theta$  (massless Goldstone boson due to the SSB of  $U(1)$ )

# Superfluid Hydrodynamics

- At zeroth order in derivatives ( $m_\mu = \nabla_\mu \theta + A_\mu$ ,  $n^\mu = P^{\mu\nu} m_\nu$ ):

$$T_{eq}^{\mu\nu} = \epsilon u^\mu u^\nu + p P^{\mu\nu} - 2\mu \chi_{JJ} n^{(\mu} u^{\nu)} + \chi_{JJ} n^\mu n^\nu$$

$$J_{eq}^\mu = \varrho u^\mu - \underbrace{\chi_{JJ} n^\mu}_{\text{Supercurrent}}$$

$$\mu = u^\mu m_\mu \quad \text{"Josephson relation"} = \text{time evolution of } \theta$$

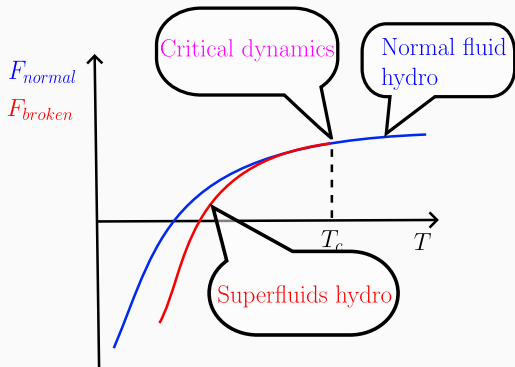
- At first order in derivatives [[Herzog et al., 2011](#)], [[Donos and Kailidis, 2022](#)]:

$$T_1^{\mu\nu} = -\eta \sigma^{\mu\nu} - \zeta_1 P^{\mu\nu} \nabla_\rho u^\rho + \zeta_2 P^{\mu\nu} \nabla_\rho (\chi_{JJ} n^\rho)$$

$$J_1^\mu = -T\sigma P^{\mu\nu} \left( \nabla_\nu \left( \frac{\mu}{T} \right) - \frac{F_{\nu\rho} u^\rho}{T} \right)$$

$$\mu = u^\mu m_\mu + \zeta_2 \nabla_\mu u^\mu - \zeta_3 \nabla_\mu (\chi_{JJ} n^\mu)$$

# Hydrodynamics and its breakdown



Close to  $T_c$  the corresponding bulk viscosities diverge ( $\zeta_i \sim \frac{1}{T-T_c} \rightarrow \infty$ ) and Hydrodynamics **breaks down!**

Apart from the phase of  $O_\psi$ , its **amplitude**  $|\langle O_\psi \rangle|$  becomes a **nearly massless** dof  $\rightarrow$  include it in the EFT

# Dynamics of critical superfluids

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**Statement of the problem:** Study the nearly critical dynamics, in the presence of small, slowly varying external sources  $g_{\mu\nu}$ ,  $A_\mu$ ,  $s_\psi$ .

Generating functional  $W[g_{\mu\nu}, A_\mu, s_\psi] = \int d\mu_f e^{-F}$

$$T^{\mu\nu} = \frac{2}{\sqrt{-g}} \frac{\delta W}{\delta g_{\mu\nu}}, \quad J^\mu = \frac{1}{\sqrt{-g}} \frac{\delta W}{\delta A_\mu}, \quad \psi = \frac{2}{\sqrt{-g}} \frac{\delta W}{\delta s_\psi^*}$$

- Diffeo's and  $U(1)$  gauge invariance lead to Ward id.:

$$\nabla_\mu T^{\mu\nu} = F^{\nu\mu} J_\mu + \frac{1}{2} (\psi^* D^\nu s_\psi + \psi D^\nu s_\psi^*),$$

$$\nabla_\mu J^\mu = \frac{q_e}{2i} (\psi^* s_\psi - s_\psi^* \psi).$$

- We need a further EOM for  $\psi$ .

In **equilibrium**: Timelike Killing vector  $K$ , such that Lie derivative  $\mathcal{L}_K$  acts trivially on the external sources (e.g.  $\mathcal{L}_K A_\mu = \nabla_\mu \lambda_K$ )

- Thermod. variables:

$$T = \frac{T_0}{\sqrt{-K^2}}, \quad u^\mu = \frac{K^\mu}{\sqrt{-K^2}}, \quad \mu = \frac{K^\mu A_\mu - \lambda_K}{\sqrt{-K^2}}$$

- For the order parameter:

$$\mathcal{L}_K |\psi| = 0, \quad \mathcal{L}_K \theta = -\lambda_K \Rightarrow u^\mu \hat{D}_\mu \psi = 0.$$

$$(\hat{D}_\mu = \nabla_\mu + iq_e A_\mu + iq_e \mu u_\mu)$$

$$F = \int d^d x \sqrt{-g} f_{tot} \quad \text{“Ginzburg-Landau potential”}$$

$$f_{tot} = \underbrace{\frac{w_0(\mu, T)}{2} |D_\mu^\perp \psi|^2}_{\text{gradient term}} + \underbrace{f(\mu, T, |\psi|^2)}_{\text{Landau f.e.}} - \underbrace{\frac{1}{2} (s_\psi^* \psi + s_\psi \psi^*)}_{\text{source term}}$$

$$J_{eq}^\mu = \varrho u^\mu + q_e w_0 \text{Im}(\psi D^{\perp\mu} \psi^*),$$

$$T_{eq}^{\mu\nu} = \epsilon u^\mu u^\nu + p P^{\mu\nu} + 2 w_0 q_e \mu u^{(\mu} \text{Im}(\psi D^{\perp\nu)} \psi^*) + w_0 D^{\perp(\mu} \psi D^{\perp\nu)} \psi^*,$$

$$\text{In the saddle point approx., } \mathcal{F}_\psi^* \equiv \frac{1}{\sqrt{-g}} \frac{\delta F}{\delta \psi} = 0$$

In the presence of **dissipation**, we expect corrections

$$T^{\mu\nu} = T_{eq}^{\mu\nu} + T_{diss}^{\mu\nu}, \quad J^\mu = J_{eq}^\mu + J_{diss}^\mu, \quad u^\mu \hat{D}_\mu \psi = E_{diss}.$$

To construct the perturbative expansion we have **two** small parameters available:

1.  $\lambda \leftrightarrow$  number of spacetime derivatives
2.  $\varepsilon \leftrightarrow$  distance from critical point (gap of ampl. mode  $\sim \varepsilon^2$ ):

$$T(\varepsilon) = T_c(\mu) + \mathcal{O}(\varepsilon^2), \quad \mu(\varepsilon) = \mu + \mathcal{O}(\varepsilon^2), \quad \psi \sim \varepsilon$$

The constitutive relations read

$$T_{diss}^{\mu\nu} = -\eta \sigma^{\mu\nu} - Z_1 P^{\mu\nu} \nabla_\rho u^\rho - 2 \operatorname{Re} [Z_3 \mathcal{F}_\psi \psi^*] P^{\mu\nu} + \mathcal{O}(\varepsilon^6)$$

$$J_{diss}^\mu = -T \sigma P^{\mu\nu} \left( \nabla_\nu \left( \frac{\mu}{T} \right) - \frac{F_{\nu\rho} u^\rho}{T} \right) + \mathcal{O}(\varepsilon^6),$$

$$E_{diss} = -2\bar{\Gamma}_0 \mathcal{F}_\psi - Z_n \psi^2 \mathcal{F}_\psi^* + Z_2 \psi \nabla_\mu u^\mu - Z_\pi u^\mu \hat{D}_\mu \mathcal{F}_\psi + \mathcal{O}(\varepsilon^7)$$

**Note:** The last term in  $E_{diss}$  was missed by [Khalatnikov and Lebedev, 1978].

Relative scaling: Since  $u^\mu \hat{D}_\mu \psi = \lambda \varepsilon \sim \mathcal{F}_\psi = \varepsilon^3 \rightarrow$  Choose  $\lambda \sim \varepsilon^2$ .

Constraints on transport coefficients:

1. Onsager reciprocity fixes:  $Z_3 = Z_2$ ,  $\text{Im}(Z_n) = 0$ .
2. Imposing positive entropy production:

$$\eta \geq 0, \sigma \geq 0, Z_1 \geq 0,$$

$$2 \text{Re}(\Gamma_0) + \text{Re}(Z_n)|\psi|^2 \geq 0,$$

$$2 \text{Re}(\Gamma_0) - \text{Re}(Z_n)|\psi|^2 \geq 0,$$

$$2|\psi|^2 \text{Im}(Z_2)^2 \leq (2 \text{Re}(\Gamma_0) - \text{Re}(Z_n)|\psi|^2) Z_1.$$

## Limits of the effective theory

- To write the const. rel. of the nearly critical theory we took  $\lambda \sim \varepsilon^2$ .
- Consistency check: Consider two extreme limits of the EFT:
  1.  $\lambda \ll \varepsilon^2$ : **amplitude**  $|\psi|$  **decouples**.  $\rightarrow$  “integrate it out”  $\rightarrow$  we find **superfluid hydrodynamics** with transport coefficients fixed in terms of the the coefficients of the nearly critical theory, e.g.

$$\zeta_1 = \frac{(s\nu_{\rho s} + \varrho\nu_{\rho\varrho} + \rho_v \operatorname{Re}Z_2)^2}{\operatorname{Re}\Gamma_0 + \frac{\rho_v^2}{2} \operatorname{Re}Z_n} + Z_1$$

2.  $\lambda \gg \varepsilon^2$ : **whole order parameter**  $\psi$  **decouples** at leading order. We find **normal fluid hydrodynamics** with transport coefficients fixed in terms of the the coefficients of the n.c. theory, e.g.  $\zeta = Z_1$ .

# Interlude

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So far, we have presented a general EFT, with minimal assumptions about the microscopics.

- Can we **reproduce** this effective theory using some tractable **microscopic** theory? What about the **extra term**?
- What are the **transport coefficients** as functions of background quantities?

As our microscopic theory we choose holography.

# Holographic superfluids

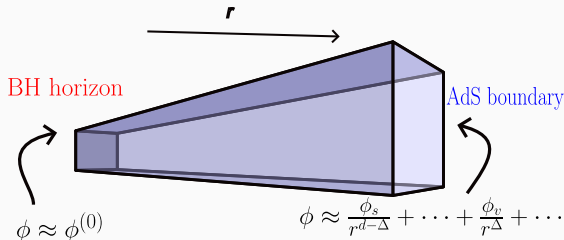
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# Holographic duality

Holography connects a  $d$ -dimensional field theory (without gravity) with a  $d + 1$ -dimensional gravitational theory.

Precise mapping between bulk fields and field theory operators

- $\langle T_{\mu\nu} \rangle \leftrightarrow g_{\mu\nu}$ ,  $\langle J_\mu \rangle \leftrightarrow A_\mu$ ,  $\langle O_\phi \rangle \leftrightarrow \phi$



- $\phi_s \leftrightarrow \text{source}$ ,  $\phi_v \leftrightarrow \langle O_\phi \rangle$
- We can introduce temperature in our field theory by adding a black hole in the bulk spacetime.

# Holographic superfluids

**General remark:** Duality between gauge symmetries in the bulk and global symmetries in the boundary (for us  $U(1)$ )

**Superfluids** can be described **holographically** ([Gubser, 2008], [Hartnoll, Herzog, and Horowitz, 2008]) with an Einstein-Maxwell-charged scalar action

$$S_{bulk} = \int d^4x \sqrt{-g} \left( R - V - \frac{1}{2}(\partial_\mu \phi)^2 - \frac{1}{2}|D_\mu \psi|^2 - \frac{1}{4}\tau (F_{\mu\nu})^2 \right).$$

$\psi \leftrightarrow \langle O_\psi \rangle =$  order parameter

- **Thermal state=aAdS Black hole solution**, labelled by  $(T, \mu, \phi_s)$ .
- For  $T \leq T_c$  we have two solutions:
  1.  $\psi=0$  (normal phase)
  2.  $\psi \neq 0$  (superfluid phase)

**Goal:** Study spacetime dependent linear fluctuations

$\delta_{Hydro}\mathcal{F} = e^{-i\omega(t+S(r))+ik_i x^i} \delta_H f(r)$  around a nearly critical background, in the scaling regime  $\omega \sim |\vec{k}| \sim \varepsilon^2$ . Expand the hydro perturbation in  $\varepsilon$ :

$$\delta_H f(r) = \delta f_{Thermo}(r) \varepsilon^2 + \delta f_{subl}(r) \varepsilon^4 + \mathcal{O}(\varepsilon^6).$$

The nontrivial problem is how to find the subleading part  $\delta f_{subl}(r)$ ...

# Symplectic current

Our basic tool is the **symplectic current** of [Crnkovic and Witten, 1986], constructed out of ANY two solutions of the linearised eom of the Lagrangian density  $\mathcal{L}(\phi^I, \partial_\mu \phi^I)$  :

$$P_{\delta_1, \delta_2}^\mu = \delta_1 \phi^I \delta_2 \left( \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi^I)} \right) - \delta_2 \phi^I \delta_1 \left( \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi^I)} \right)$$

Properties of  $P^\mu$ :

1.  $\partial_\mu P_{\delta_1, \delta_2}^\mu = 0$
2. As  $r \rightarrow \infty$  :  $P^r \rightarrow \delta \phi_{1(s)}^I \delta \phi_{2(v)}^I - \delta \phi_{2(s)}^I \delta \phi_{1(v)}^I$

Integrating along the radial variable we connect the boundary to the black hole horizon

**We can read the vevs of bulk fields without the need to solve the linearised eoms in the bulk** [Donos, Kailidis, and Pantelidou, 2022]

To study hydro: Pick  $\delta \phi_1^I$  hydro mode,  $\delta \phi_2^I$  static

Method: Apply symplectic current  $\rightarrow$  calculate  $\delta f_{subl}(r) \rightarrow$  find  $\delta\langle T_{\mu\nu}\rangle$  and  $\delta\langle J^\mu\rangle$  and the eom of  $\delta\psi$ , in an  $\varepsilon$  expansion

Results:

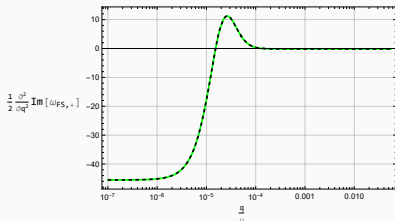
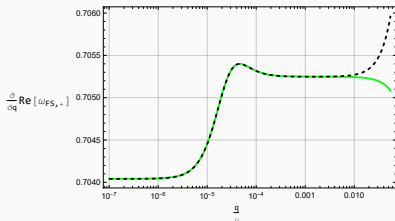
1. We find complete **agreement** with the predictions of the **effective theory**. Important:  $Z_\pi \neq 0$  in holography.
2. All **transport coefficients** of the EFT are **fixed** in terms of background quantities. E.g.  $Z_1 = \frac{s}{4\pi} (\rho \partial_\rho \phi^{(0)} + s \partial_s \phi^{(0)})^2 + \mathcal{O}(\varepsilon^2)$ 
  - They are fixed perturbatively in an  $\varepsilon$  expansion and they all remain finite close to the transition (as  $\varepsilon \rightarrow 0$ ).
  - They explicitly obey the phenom. constraints

# Numerical checks

Quasinormal modes  $\leftrightarrow$  Poles of retarded Green's func's.

Solve the bulk eoms numerically (exactly) and compare with analytic predictions.

Ex.: For **first sound** mode, very close to  $T_c$



Interpolating behaviour:

- For  $q \ll \varepsilon^2$  :  $\omega_{FS}(q) \approx c_{sf} q - iD_{sf} q^2$  (sound mode of superfluids)
- For  $q \gg \varepsilon^2$  :  $\omega_{FS}(q) \approx c_{nf} q - iD_{nf} q^2$  (sound mode of normal fluid)

## Conclusion

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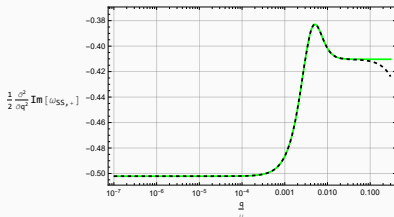
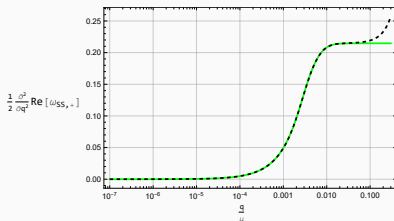
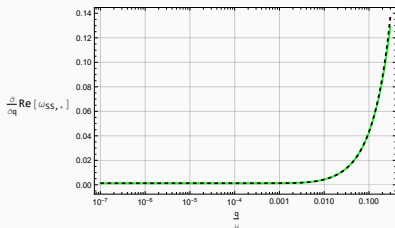
# Summary

- We have constructed an EFT for relativistic superfluids close to  $T_c$
- We have identified an extra coefficient, compared to the treatment by Khalatnikov and Lebedev.
- We have also studied holographic superfluids analytically and found the same EFT  
Performed numerical checks concerning the dominant quasinormal modes of the system.
- (Not discussed here) We arrived at the same theory also using the Keldysh-Schwinger framework: dynamical KMS, entropy current, fluctuations...

- Use the symplectic current in holography to extract the effective description for other systems. Most notable example: Describe *critical dynamics* in systems with **spontaneously broken translations**.
- Analyse the statistical fluctuations in the KS framework

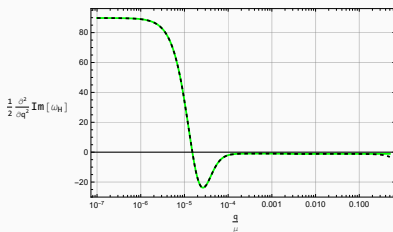
Thank you for your attention!

# Numerics: Second sound mode






- For  $q \ll \varepsilon^2 \omega_{SS}(q) \rightarrow$  Goldstone mode of superfluids
- For  $q \gg \varepsilon^2 \omega_{SS}(q) \rightarrow$  mode related to fluctuations of  $\psi$  in the normal phase





# Numerics: Higgs/Amplitude mode



Interpolating behaviour:

- For  $q \ll \varepsilon^2$   $\omega_H(q) \rightarrow$  Amplitude/Higgs mode
- For  $q \gg \varepsilon^2$   $\omega_H(q) \rightarrow$  charge diffusion of normal phase

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