

# Probing New Physics in Neutrino Oscillations

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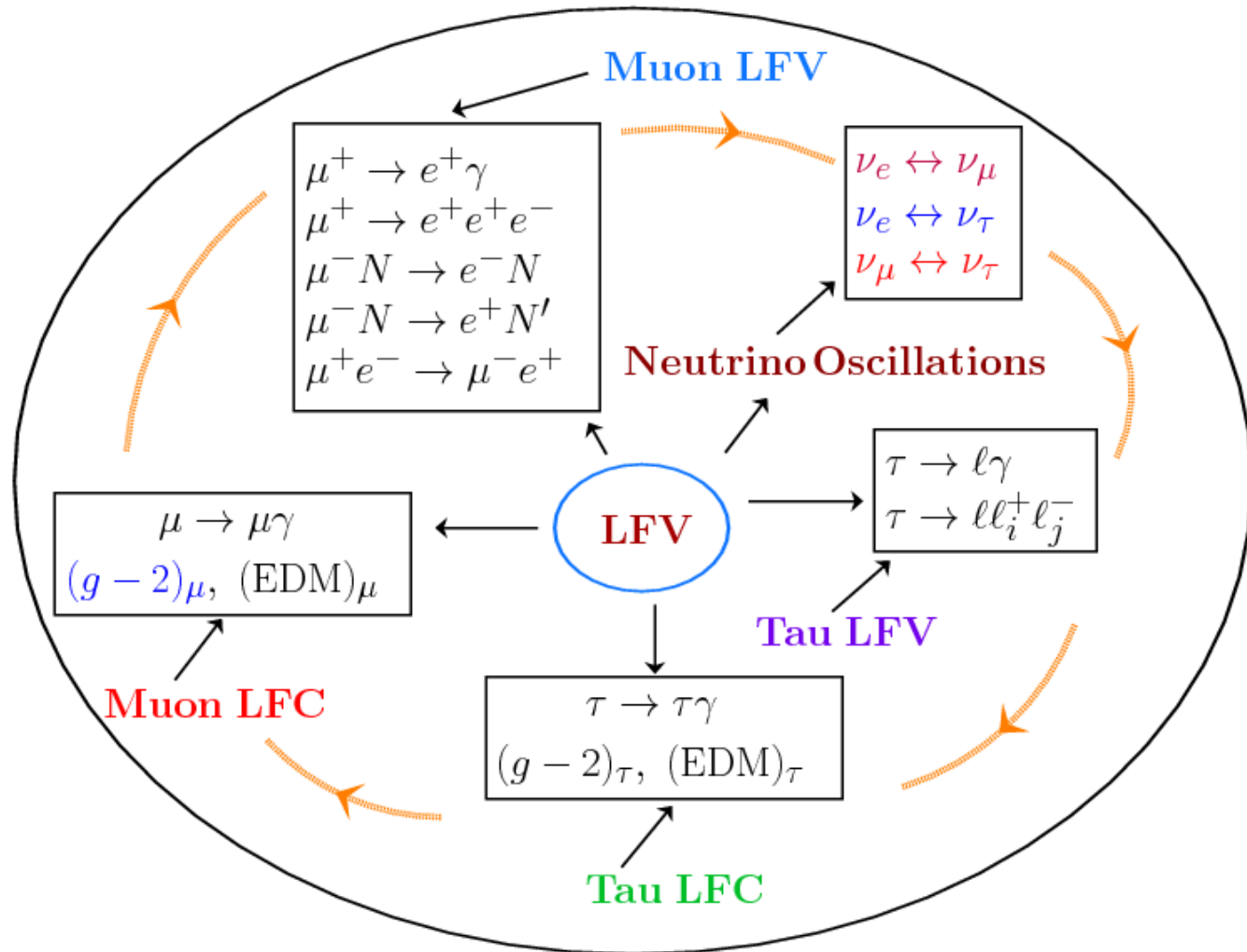


**Phenomenology 2012 Symposium**

**University of Pittsburgh**

**May 7-9, 2012**

# Lepton Flavor Physics: The Big Picture



# Lepton Flavor Violation Prospects

**Table 1-1.** Evolution of the 95% CL limits on the main CLFV observables with initial state muons. The expected limits in the 5 to 10 year range are based on running or proposed experiments at existing facilities. The 10 to 20 year range are based on sensitivity studies using muon rates available at proposed new facilities. The numbers quoted for  $\mu^+ \rightarrow e^+\gamma$  and  $\mu^+ \rightarrow e^+e^-e^+$  are limits on the branching fraction. The numbers quoted for  $\mu^-N \rightarrow e^-N$  are limits on the rate with respect to the muon capture process  $\mu^-N \rightarrow \nu_\mu N'$ . Below the numbers are the corresponding experiments or facilities and the year the current limit was set.

Process	Current limit	Expected limit		
		5-10 years	10-20 years	
$\mu^+ \rightarrow e^+\gamma$	$2.4 \times 10^{-12}$ PSI/MEG (2011)	$1 \times 10^{-13}$ PSI/MEG	$1 \times 10^{-14}$ PSI, Project X	
$\mu^+ \rightarrow e^+e^-e^+$	$1 \times 10^{-12}$ PSI/SINDRUM-I (1988)	$1 \times 10^{-15}$ Osaka/MuSIC	$1 \times 10^{-16}$ PSI/ $\mu 3e$	$1 \times 10^{-17}$ PSI, Project X
$\mu^-N \rightarrow e^-N$	$7 \times 10^{-13}$ PSI/SINDRUM-II (2006)	$1 \times 10^{-14}$ J-PARC/DeeMee	$6 \times 10^{-17}$ FNAL/Mu2e	$1 \times 10^{-18}$ J-PARC, Project X

**Intensity Frontier Workshop White Paper (2012)**

<http://www.lepp.cornell.edu/~yuvalg/ifw/emt.html>

# Muon (g-2) Anomaly

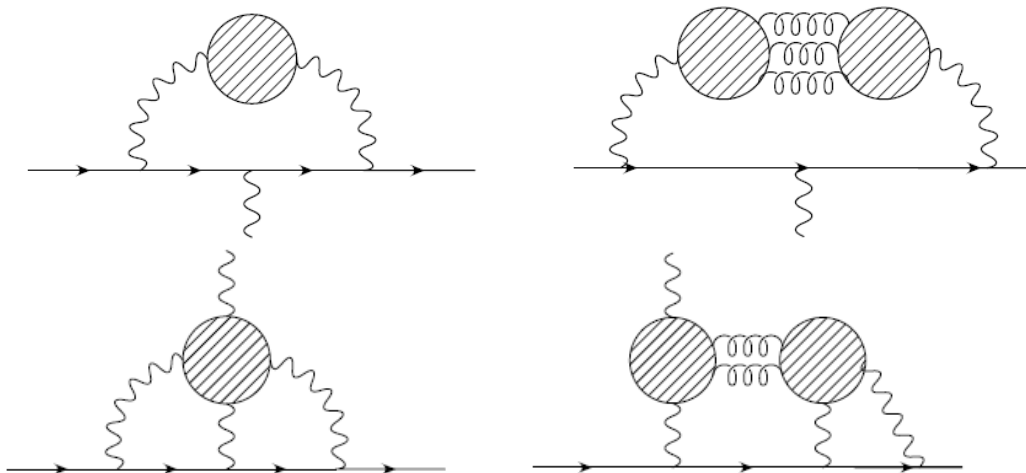
$$a_\mu = (g_\mu - 2)/2$$

$$a_\mu(\text{Expt}) = 116\,592\,089(54)(33) \times 10^{-11} \quad \text{BNL E821 (2006)}$$

$$a_\mu(\text{SM}) = 116\,591\,802(42)(26)(02) \times 10^{-11}$$

$$\Rightarrow \Delta a_\mu = 287(80) \times 10^{-11} \quad 3.6\sigma \text{ discrepancy}$$

Major theory uncertainty in hadronic vacuum polarization



$$a_\mu(\text{HVP}) = (692.3 \pm 4.2) \times 10^{-10}$$

$$= (701.5 \pm 4.7) \times 10^{-10}$$

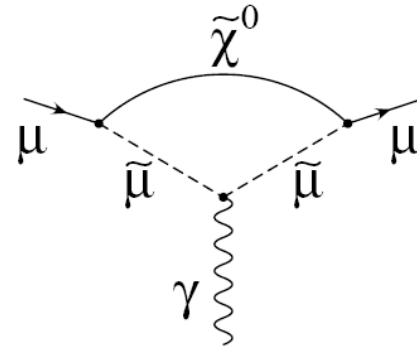
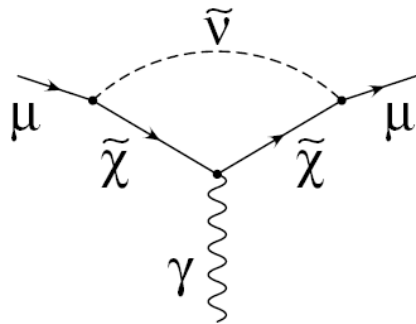
( $\tau \rightarrow \text{hadrons}$  data)

$$a_\mu(\text{HLbL}) = 105(26) \times 10^{-11}$$

# Muon (g-2) Anomaly and New Physics

New physics should appear below a TeV

Supersymmetry a natural candidate

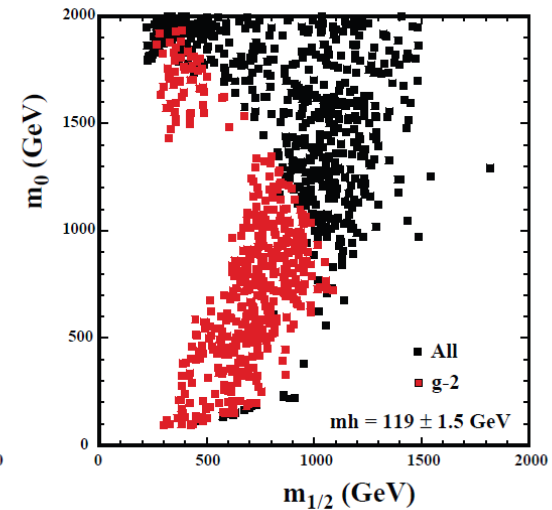
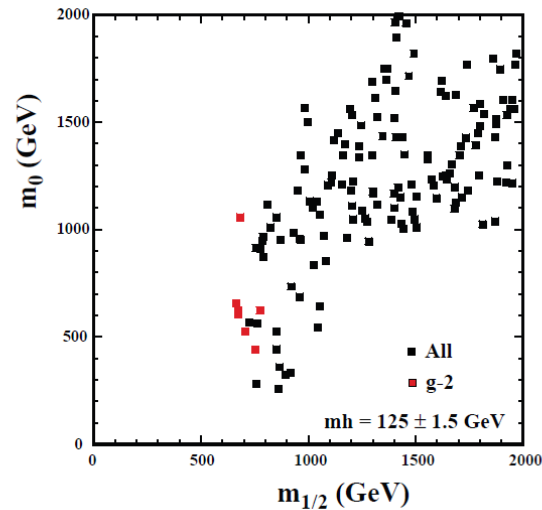
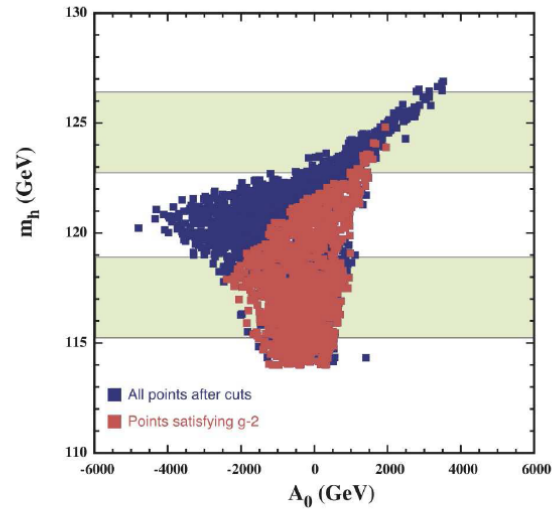
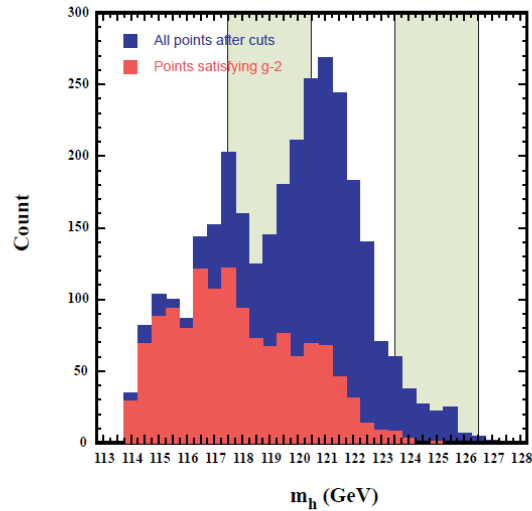


$$|a_{\mu}(\text{SUSY})| \simeq 130 \times 10^{-11} \left( \frac{100 \text{ GeV}}{\tilde{m}} \right)^2 \tan \beta$$

**Czarnecki, Marciano (2001)**

Large  $\tan \beta$  preferred in constrained SUSY models

# CMSSM Scan



Red dots explain  $(g - 2)_\mu$  anomaly

Ellis, Olive (2012)

# Neutrino Oscillations: Present Status

Parameter	$\delta m^2/10^{-5} \text{ eV}^2$	$\sin^2 \theta_{12}$	$\sin^2 \theta_{13}$	$\sin^2 \theta_{23}$	$\Delta m^2/10^{-3} \text{ eV}^2$
Best fit	7.58	0.306 (0.312)	0.021 (0.025)	0.42	2.35
$1\sigma$ range	7.32 – 7.80	0.291 – 0.324 (0.296 – 0.329)	0.013 – 0.028 (0.018 – 0.032)	0.39 – 0.50	2.26 – 2.47
$2\sigma$ range	7.16 – 7.99	0.275 – 0.342 (0.280 – 0.347)	0.008 – 0.036 (0.012 – 0.041)	0.36 – 0.60	2.17 – 2.57
$3\sigma$ range	6.99 – 8.18	0.259 – 0.359 (0.265 – 0.364)	0.001 – 0.044 (0.005 – 0.050)	0.34 – 0.64	2.06 – 2.67

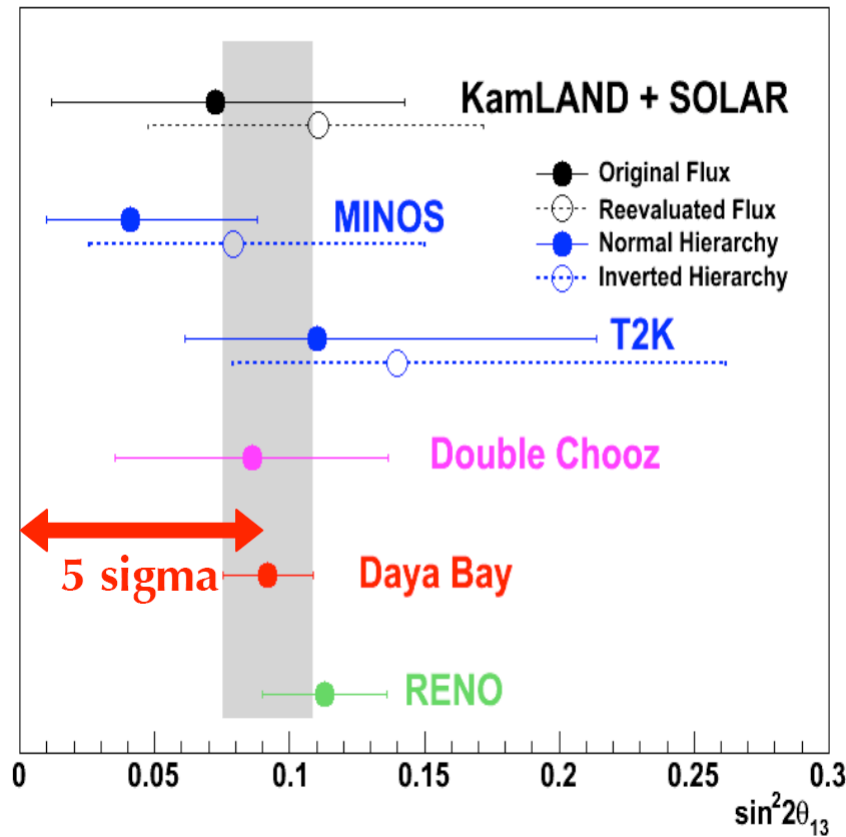
**Fogli, Lisi, Marrone, Palazzo, Rotunno (2011)**  
**(Pre Daya Bay & RENO)**

Neutrino mixing matrix:

$$U = \begin{pmatrix} c_{13}c_{12} & c_{13}s_{12} & s_{13}e^{-i\delta} \\ -c_{23}s_{12} - s_{23}s_{13}c_{12}e^{i\delta} & c_{23}c_{12} - s_{23}s_{13}s_{12}e^{i\delta} & s_{23}c_{13} \\ s_{23}s_{12} - c_{23}s_{13}c_{12}e^{i\delta} & -s_{23}c_{12} - c_{23}s_{13}s_{12}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \cdot P$$

$$P = \text{diag.}(e^{i\alpha}, e^{i\beta}, 1)$$

# Recent Results on Theta(13)



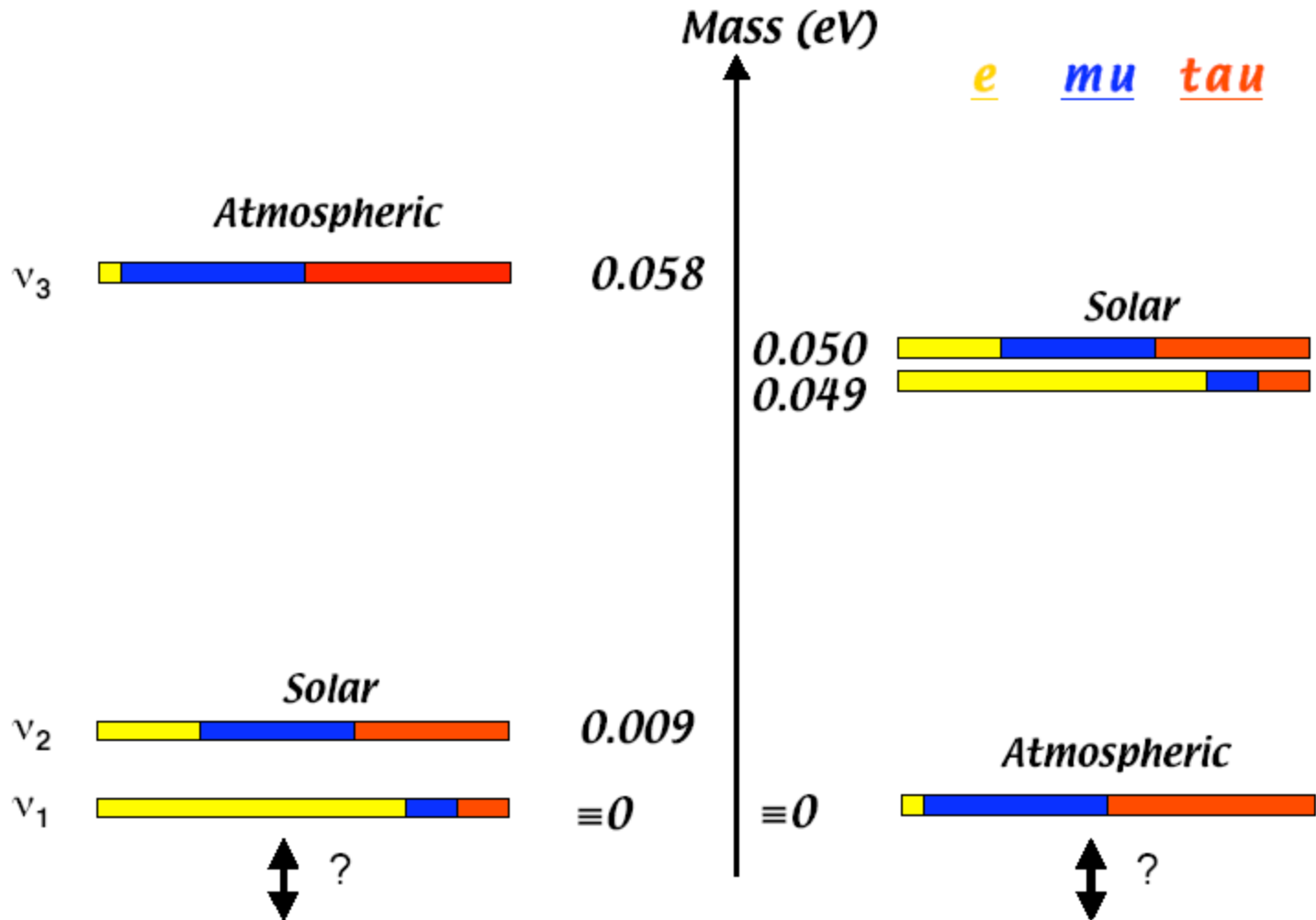
Wei Wang's talk

$\sin^2 2\theta_{13} = 0.092 \pm 0.016(\text{stat}) \pm 0.006(\text{syst})$  **Daya Bay**

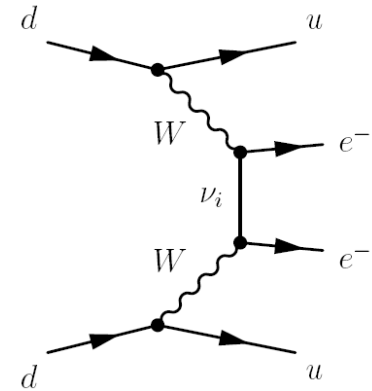
$\sin^2 2\theta_{13} = 0.113 \pm 0.013(\text{stat}) \pm 0.019(\text{syst})$  **RENO**



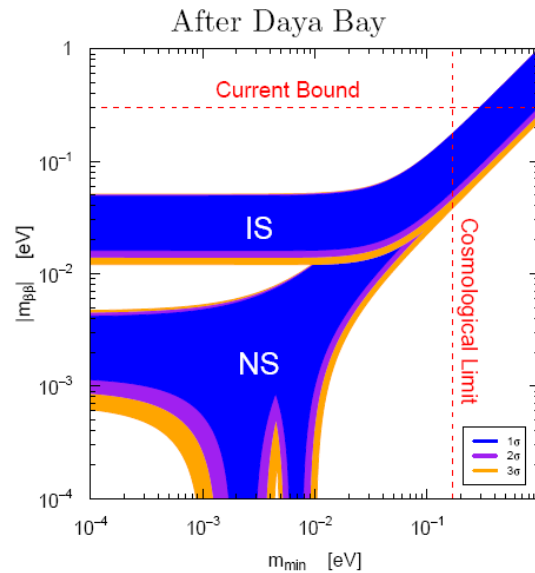
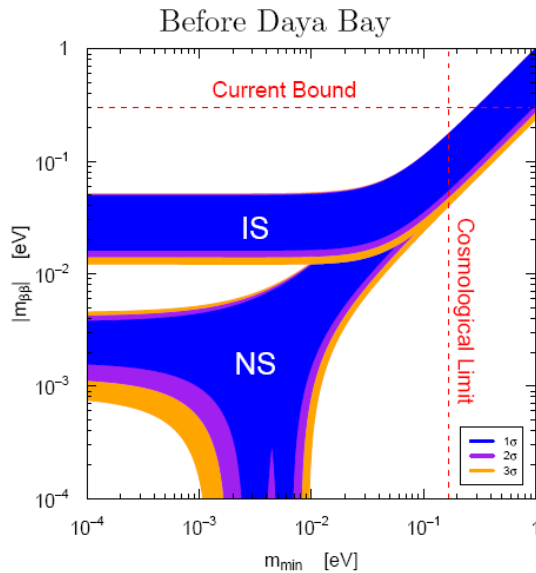
# Neutrino Mass Ordering



# Neutrinoless Double Beta Decay



$$|m_{\beta\beta}| = \left| \cos^2 \theta_{12} \cos^2 \theta_{13} m_1 + e^{2i\alpha_{12}} \sin^2 \theta_{12} \cos^2 \theta_{13} m_2 + e^{2i\alpha_{13}} \sin^2 \theta_{13} m_3 \right|$$



**Bilenky, Giunti, 2012**

# Pressing Questions for Neutrinos

- Are neutrinos Majorana particles ( $\beta\beta_{0\nu}$ )
- Normal mass hierarchy or inverted hierarchy?
- Is there CP violation in neutrino oscillations?
- What explains the pattern of neutrino mixings?
- Can neutrinos be unified with quarks?
- Is neutrino CP violation related to baryon asymmetry?

# Seesaw Mechanism for Neutrino Mass

$(\nu, \nu^c)$  Mass Matrix:

Minkowski (1977)  
Yanagida (1979)  
Gell-Mann, Ramond, Slansky (1979)  
Mohapatra, Senjanovic (1980)

$$M_\nu = \begin{pmatrix} 0 & m_D \\ m_D^T & M_R \end{pmatrix}$$

$m_D$  breaks  $SU(2)_L \times U(1)_Y$  symmetry  $\Rightarrow m_D \leq 200$  GeV

$M_R$  not protected by any symmetry and can be large,  $M_R \sim 10^{14}$  GeV



$$m_\nu(\text{light}) \approx \frac{m_D^2}{M_R} \sim 10^{-2} \text{ eV}$$
$$m_\nu(\text{heavy}) \approx M_R \sim 10^{14} \text{ GeV}$$

# Tri-bimaximal Neutrino Mixing

$$U = \begin{pmatrix} \sqrt{\frac{2}{3}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix} \cdot P$$

Harrison, Perkins, Scott (2002)

Neutrino mixing angles are geometrical!

Excluded by Daya Bay and RENO data at  $5\sigma$

A variety of models based on  $A_4$  and other symmetries

Ma, Rajasekaran (2001)

Xing (2002)

Babu, Ma, Valle (2003)

Altarelli, Feruglio (2005)

He, Keum, Volkas (2006)

Mohapatra, Nasri, Yu (2006)

King, Malinsky (2007)

Verzielas, King, Ross (2007)

Chen, Mahanthappa (2007)

Honda, Tanimoto (2008)

Everett, Stuart (2009)

Grimus, Lavoura, Ludl (2009)

There is generically a vacuum alignment problem:

$A_4$  needs two triplet Higgs:  $\langle \chi \rangle = (1, 1, 1)$      $\langle \phi \rangle = (0, 1, 0)$

# Zeros in fermion mass matrices

CKM mixing angles may be related to quark mass ratios

A two family example:

$$M_u = \begin{pmatrix} 0 & A_u \\ A_u^* & B_u \end{pmatrix}, \quad M_d = \begin{pmatrix} 0 & A_d \\ A_d^* & B_d \end{pmatrix}.$$

$$\Rightarrow |\sin \theta_C| \simeq \left| \sqrt{\frac{m_d}{m_s}} - e^{i\psi} \sqrt{\frac{m_u}{m_c}} \right|$$

**Weinberg (1977)**  
**Wilczek, Zee (1977)**  
**Fritzsch (1977)**

Here  $\psi$  is a free phase, but still  $\theta_C$  is constrained

Two symmetries needed to enforce this structure:

- (i) Parity symmetry for hermiticity
- (ii) Family  $U(1)$  symmetry for the zero in (1,1) entries

## Zeros in the neutrino mass matrix

10 interesting possibilities with texture zeros:

7 consistent models with two zeros

3 models with one zero and  $m_1 = 0$

Zeros adopted in “flavor basis”

Charged lepton mass matrix assumed diagonal

Normal and inverted hierarchy both supported

$\theta_{13}$  is predicted and is typically large

## 7 Models with two texture zeros

$$A_1 : \begin{pmatrix} 0 & 0 & X \\ 0 & X & X \\ X & X & X \end{pmatrix}$$

$$A_2 : \begin{pmatrix} 0 & X & 0 \\ X & X & X \\ 0 & X & X \end{pmatrix}$$

$$B_1 : \begin{pmatrix} X & X & 0 \\ X & 0 & X \\ 0 & X & X \end{pmatrix}$$

$$B_2 : \begin{pmatrix} X & 0 & X \\ 0 & X & X \\ X & X & 0 \end{pmatrix}$$

$$B_3 : \begin{pmatrix} X & 0 & X \\ 0 & 0 & X \\ X & X & X \end{pmatrix}$$

$$B_4 : \begin{pmatrix} X & X & 0 \\ X & X & X \\ 0 & X & 0 \end{pmatrix}$$

$$C : \begin{pmatrix} X & X & X \\ X & 0 & X \\ X & X & 0 \end{pmatrix}$$

**Frampton, Glashow, Marfatia (2002)**

**Xing (2002)**

**Merle, Rodejohann (2006)**

**Goswami et. al (2006)**



## Phenomenology of Model A2

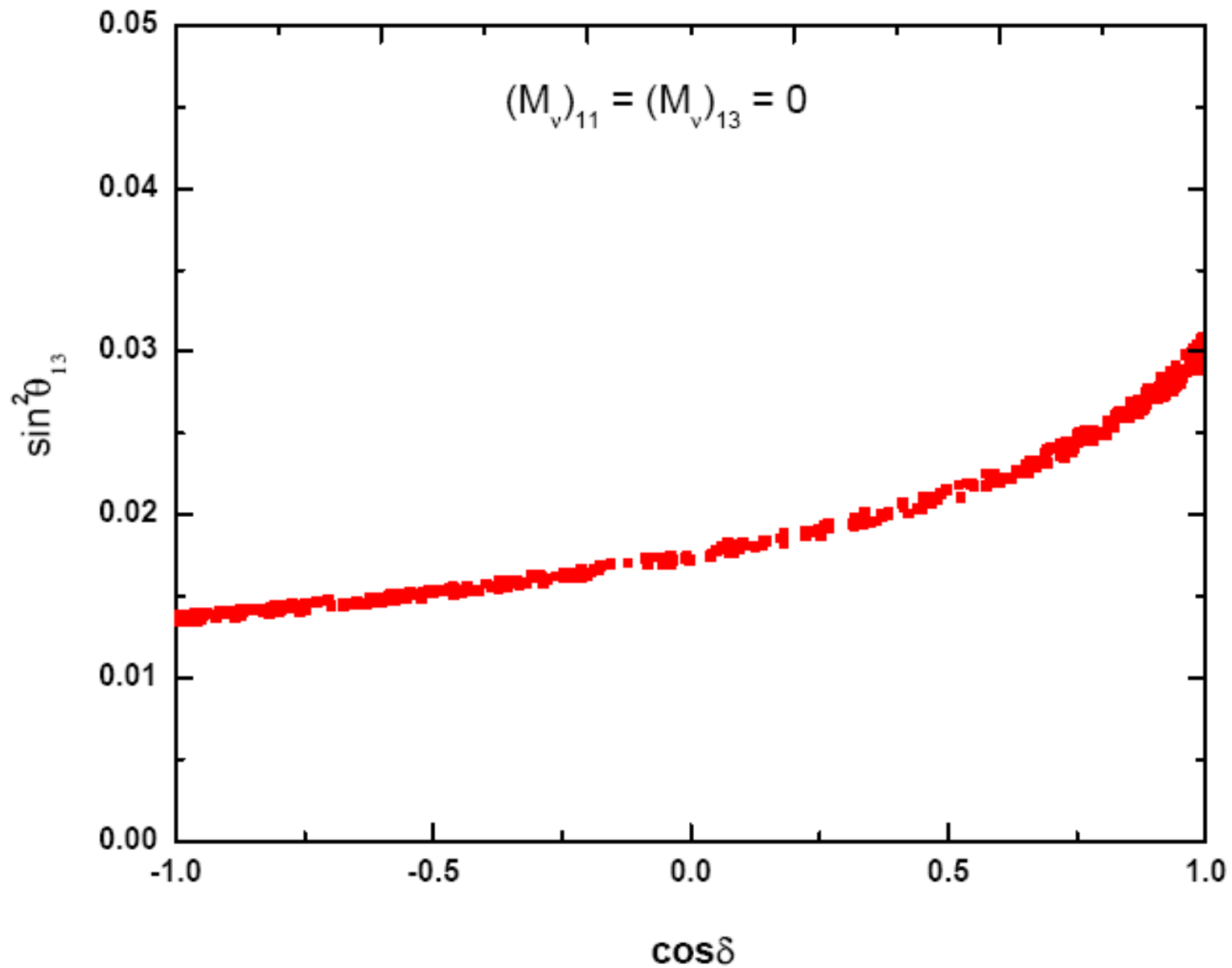
$$A_2 : \begin{pmatrix} 0 & X & 0 \\ X & X & X \\ 0 & X & X \end{pmatrix}$$

Neutrinoless double beta decay amplitude vanishes

$$\left(\frac{m_1}{m_3}\right)^2 = t_{13}^2 \left[ t_{12}^2 t_{23}^2 (1 + t_{13}^2) + t_{13}^2 - 2t_{12}t_{23}t_{13} \sqrt{1 + t_{13}^2} \cos \delta \right]$$

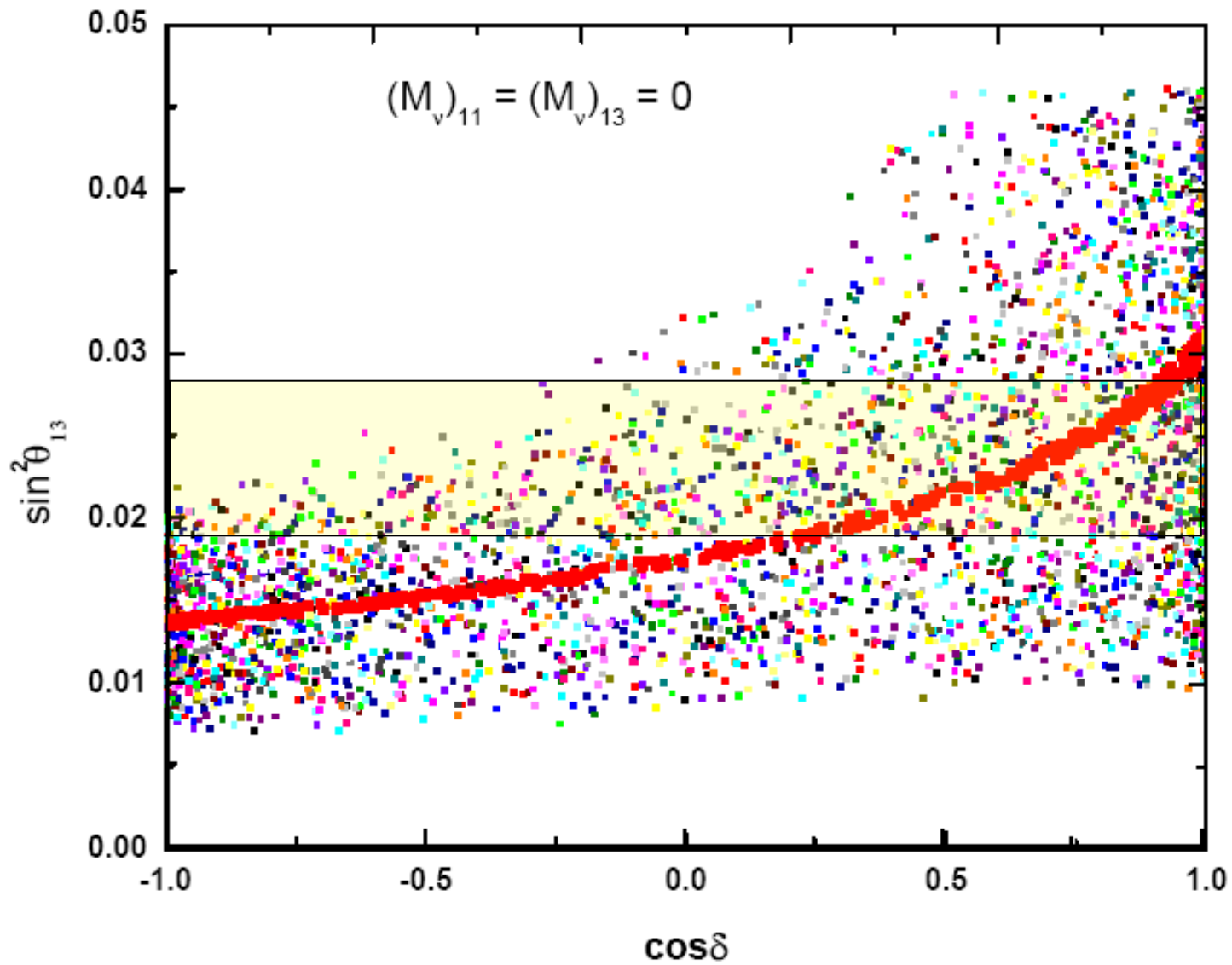
$$\left(\frac{m_2}{m_3}\right)^2 = t_{13}^2 \left[ t_{12}^2 + t_{23}^2/t_{13}^2 (1 + t_{13}^2) + 2t_{13}t_{23}/t_{12} \sqrt{1 + t_{13}^2} \cos \delta \right]$$

$$(t_{ij} = \tan \theta_{ij})$$

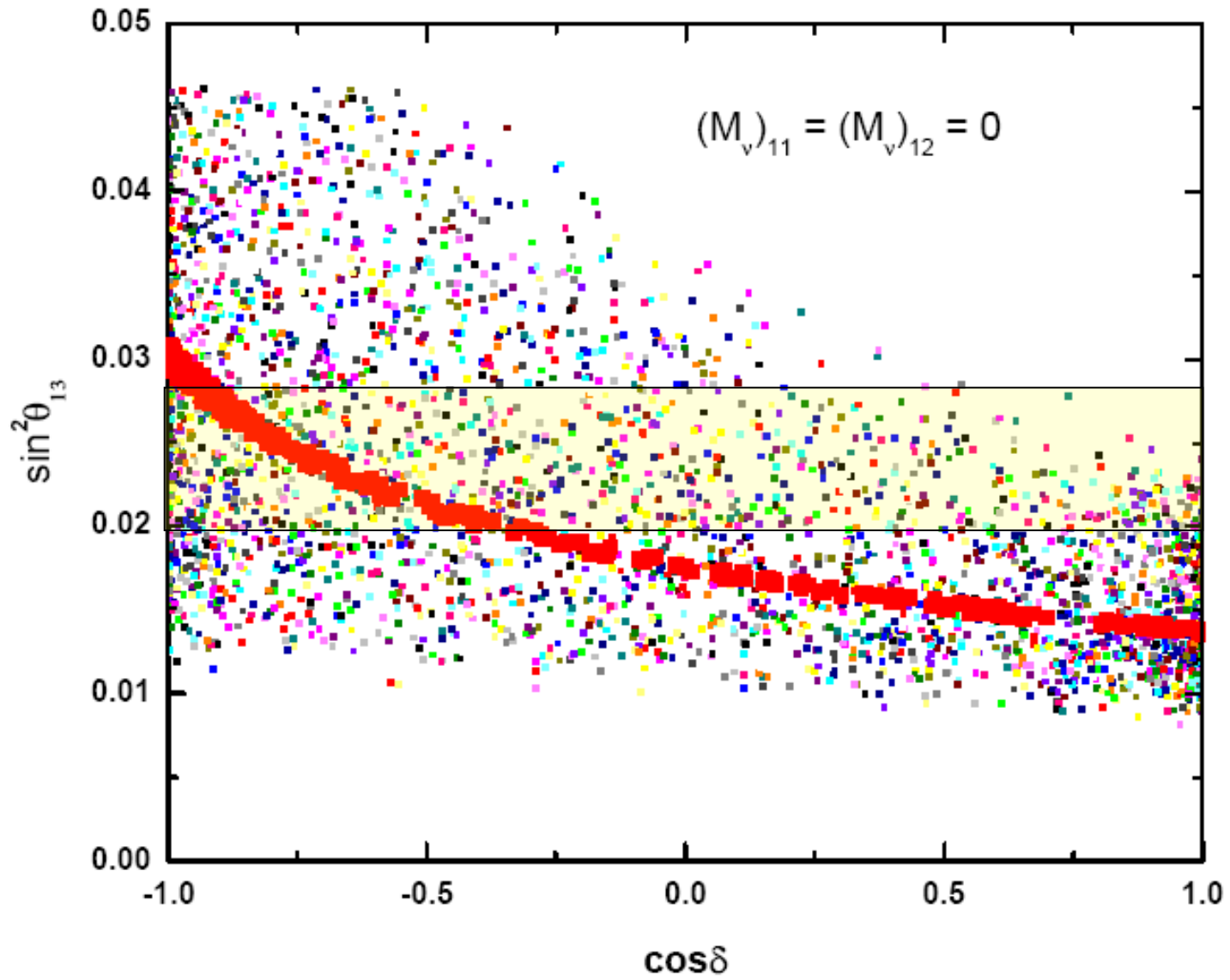


Fortes, KSB

Model A2 (central values)



**Model A2 (with 95% CL errors)**



**Model A1 (with 95% CL errors)**

## Zeros in Neutrino Mass Matrix (cont.)

Models  $B_1 - B_4$  predict large CP violating phase  $\delta$

$$\sin \theta_{13} \cos \delta \approx \frac{m_2}{m_3}$$

Model  $C$  predicts inverted mass hierarchy with  $m_{\beta\beta} = m_3$

All of these textures support large  $\theta_{13}$

# Texture models with 2 right-handed neutrinos

$$M_\ell = \text{diag} \{m_e, m_\mu, m_\tau\}$$

$$M_N = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} M \quad \text{Heavy } 2 \times 2 \text{ Majorana matrix}$$

$$(A) \quad Y_\nu = \begin{pmatrix} a_1 & 0 \\ a_2 & b_2 \\ a_3 & b_3 \end{pmatrix} \quad (B_1) \quad Y_\nu = \begin{pmatrix} a_1 & b_1 \\ a_2 & 0 \\ a_3 & b_3 \end{pmatrix} \quad (B_2) \quad Y_\nu = \begin{pmatrix} a_1 & b_1 \\ a_2 & b_2 \\ a_3 & 0 \end{pmatrix}$$

Normal hierarchy

Inverted hierarchy

A single CP violating phase in all 3 cases!

Lightest neutrino mass  $m_1 = 0$

## Predictions for Texture (B)

$$(B_1) \quad M_\nu = \begin{pmatrix} 2a_1b_1 & a_2b_1 & a_1b_3 + a_3b_1 \\ a_2b_1 & 0 & a_2b_3 \\ a_1b_3 + a_3b_1 & a_2b_3 & 2a_3b_3 \end{pmatrix} \frac{(v \sin \beta)^2}{M}$$

$$M_\nu^{\text{diag}} = \text{diag}(m_1, m_2, 0)$$

Inverted mass hierarchy

$$(B_1) \quad \sin^2 \theta_{12} \simeq \frac{1}{2} - \frac{\sin \theta_{13} \tan \theta_{23} \cos \delta}{|\tan^2 \theta_{23} \sin^2 \theta_{13} + e^{2i\delta}|} + \frac{1}{8} \frac{\Delta m_{\text{sol}}^2}{|\Delta m_{\text{atm}}^2|}$$

$$(B_2) \quad \sin^2 \theta_{12} \simeq \frac{1}{2} + \frac{\sin \theta_{13} \tan \theta_{23} \cos \delta}{|\tan^2 \theta_{23} + \sin^2 \theta_{13} e^{2i\delta}|} + \frac{1}{8} \frac{\Delta m_{\text{sol}}^2}{|\Delta m_{\text{atm}}^2|}$$

$$(A) \quad \tan \theta_{13} \simeq \sin \theta_{12} \sqrt{\frac{m_2}{m_3}} \quad \sin^2 \theta_{13} = (0.042 - 0.047)$$

Texture (A) strongly disfavored!

## Predictions for Texture (B) – cont.

$$(B_1) \quad \theta_{13} \gtrsim 0.12, \quad \cos \delta \gtrsim 0.573 \quad (|\delta| \lesssim 0.96)$$

$$m_{\beta\beta} = \sqrt{|\Delta m_{\text{atm}}^2|} c_{13}^2 \frac{|t_{12}^2 - 1 + 2t_{12}t_{23}s_{13}e^{i\delta}|}{|t_{12} + t_{23}s_{13}e^{i\delta}|^2}$$

$$\Rightarrow \quad 0.013 \text{ eV} \lesssim m_{\beta\beta} \lesssim 0.023 \text{ eV}$$

$$(B_2) \quad \theta_{13} \gtrsim 0.129, \quad \cos \delta \lesssim -0.614 \quad (|\pi - \delta| \lesssim 0.91)$$

$$m_{\beta\beta} = \sqrt{|\Delta m_{\text{atm}}^2|} c_{13}^2 \frac{|t_{12}^2 - 1 - 2t_{12}ct_{23}s_{13}e^{i\delta}|}{|t_{12} - ct_{23}s_{13}e^{i\delta}|^2}$$

$$\Rightarrow \quad 0.013 \text{ eV} \lesssim m_{\beta\beta} \lesssim 0.023 \text{ eV}$$

Inverted neutrino mass hierarchy predicted



# Finding Order in Fermion Mass Spectrum

Fermion masses in units of  $m_t$

$$m_t = 1.0$$

$$m_c = 3.6 \times 10^{-3}$$

$$m_u = 1.3 \times 10^{-5}$$

$$m_\tau = 1.0 \times 10^{-2}$$

$$m_\mu = 6.2 \times 10^{-4}$$

$$m_e = 3.0 \times 10^{-6}$$

$$m_b = 1.67 \times 10^{-2}$$

$$m_s = 3.1 \times 10^{-4}$$

$$m_d = 2.3 \times 10^{-5}$$

$$m_3 = 2.9 \times 10^{-13}$$

$$m_2 = 5.2 \times 10^{-14}$$

$$m_1 = < m_2$$

$$V_q = \begin{pmatrix} 0.976 & 0.22 & 0.004 \\ -0.22 & 0.98 & 0.04 \\ 0.007 & -0.04 & 1 \end{pmatrix}$$

$$U_\ell = \begin{pmatrix} 0.85 & -0.54 & 0.16 \\ 0.33 & 0.62 & -0.72 \\ -0.40 & -0.59 & -0.70 \end{pmatrix}$$

$$\text{Im} \left( \frac{V_{ub}V_{cs}}{V_{us}V_{cb}} \right) = 0.34$$

# Neutrino Masses in Unified Theories

- Electric charge quantization
  - ◇  $Q_p = -Q_e$  to better than 1 part in  $10^{21}$
- Miraculous cancellation of anomalies
- Quantum numbers of quarks and leptons
- **Existence of  $\nu_R$  and thus neutrino mass via seesaw**
- Unification of gauge couplings with low energy SUSY
- $b - \tau$  unification
- Baryon asymmetry of the universe via leptogenesis

# Quantum Numbers of Fermions in SO(10)

$u_r$ : { - + + + - }	$d_r$ : { - + + - + }	$u_r^c$ : { + - - + + }	$d_r^c$ : { + - - - - }
$u_b$ : { + - + + - }	$d_b$ : { + - + - + }	$u_b^c$ : { - + - + + }	$d_b^c$ : { - + - - - }
$u_g$ : { + + - + - }	$d_g$ : { + + - - + }	$u_g^c$ : { - - + + + }	$d_g^c$ : { - - + - - }
$\nu$ : { - - - + - }	$e$ : { - - - - + }	$\nu^c$ : { + + + + + }	$e^c$ : { + + + - - }

**16** of  $SO(10)$

First 3 spins refer to color, last 2 are weak spins

$$Y = \frac{1}{3}\Sigma(C) - \frac{1}{2}\Sigma(W)$$

$$\text{Eg: } Y(e^c) = \frac{1}{3}(3) - \frac{1}{2}(-2) = 2$$

# Minimal SO(10) Model

$$\mathcal{L}_{\text{Yukawa}} = f_{ij} 16_i 16_j 10_H + h_{ij} 16_i 16_j \overline{126}_H$$

Two Yukawa matrices determine all fermion masses and mixings, including the neutrinos

$$M_u = A + B, \quad M_{\nu D} = A - 3B$$

$$M_d = \alpha A + \beta B, \quad M_\ell = \alpha A - 3\beta B$$

$$M_{\nu c} = cB$$

Model has only 11 real parameters plus 7 phases

Babu, Mohapatra (1993)  
Fukuyama, Okada (2002)  
Bajc, Melfo, Senjanovic, Vissani (2004)  
Fukuyama, Ilakovac, Kikuchi, Meljanac, Okada (2004)  
Aulakh et al (2004)

Bertolini, Frigerio, Malinsky (2004)  
Babu, Macesanu (2005)  
Bertolini, Malinsky, Schwetz (2006)  
Dutta, Mimura, Mohapatra (2007)  
Bajc, Dorsner, Nemevsek (2009)

## Specific Example

Input at the GUT scale:

$$m_u = 0.00006745, \quad m_c = 0.3308, \quad m_t = 97.335$$

$$m_d = 0.00009726, \quad m_s = 0.02167, \quad m_b = 1,1475$$

$$m_e = 0.0000344, \quad m_\mu = 0.0726, \quad m_\tau = 1.350$$

$$s_{12} = 0.2248, \quad s_{23} = 0.0328, \quad s_{13} = 0.0022$$

$$\delta_{CKM} = 1.19$$

Output for neutrinos:

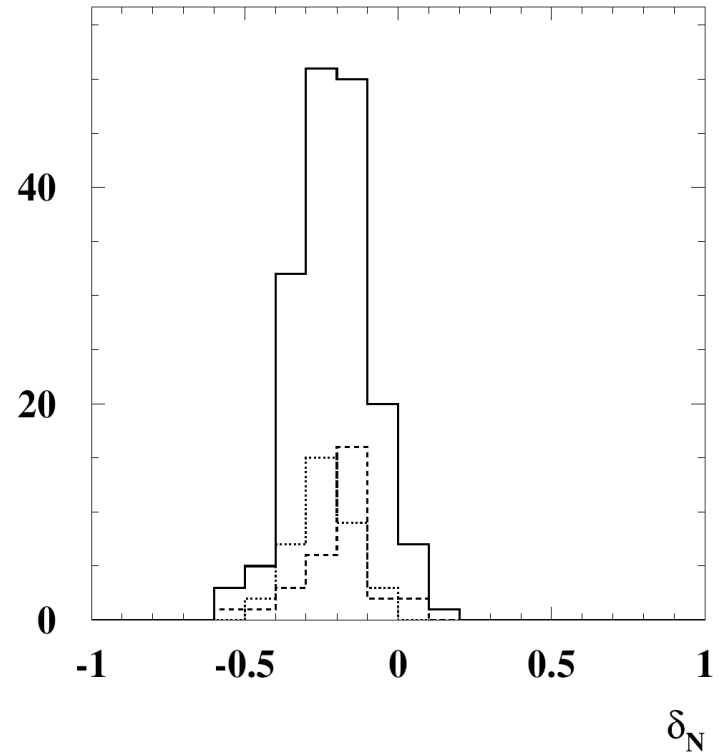
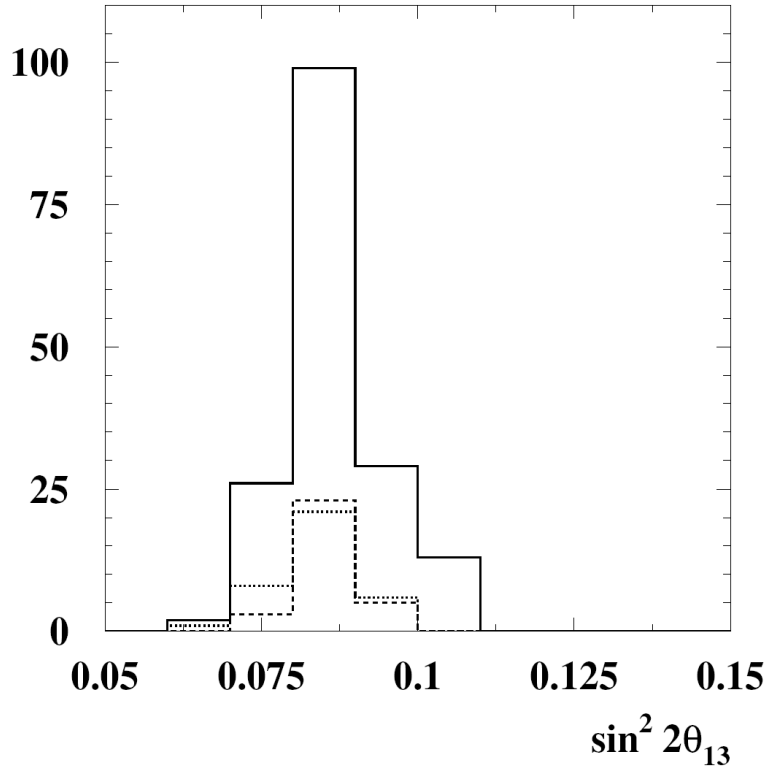
$$\sin^2 \theta_{12} \simeq 0.27, \quad \sin^2 2\theta_{23} \simeq 0.90, \quad \sin^2 2\theta_{13} \simeq 0.08$$

$$m_i = \{0.0021e^{0.11i}, 0.0098e^{-3.08i}, 0.048\} \text{ eV}$$

$$\Delta m_{23}^2 / \Delta m_{12}^2 \simeq 24$$

K.S. Babu and C. Macesanu (2005)

# Theta(13) in Minimal SO(10)



$\sin^2 2\theta_{13}$  and CP violating phase  $\delta_N$

K.S. Babu and C. Macesanu (2005)

$$\sin^2 2\theta_{13} = 0.092 \pm 0.016 \pm 0.005 \quad 5.2\sigma \text{ effect}$$

# Global Analysis of Minimal SO(10) Model

	A	B	C	D	C1	C2
Observables	Pulls obtained for best fit solution					
$(m_u/m_c)$	0.0486938	-0.180782	0.0653101	0.0053847	0.0467579	-0.0119661
$(m_c/m_t)$	1.22599	0.130589	0.246294	0.146932	0.297256	0.273346
$(m_d/m_s)$	-0.229546	-0.730641	0.223201	-0.748148	-2.2904	-0.689684
$(m_s/m_b)$	-0.932536	-0.886438	-0.977249	-1.05766	0.735548	0.000467775
$(m_e/m_\mu)$	0.0340323	0.442759	0.103692	-0.476364	0.0649144	-0.0648856
$(m_\mu/m_\tau)$	0.310305	-0.526529	0.881934	0.938701	0.705648	0.0178824
$(m_b/m_\tau)$	-0.486477	-0.194215	0.0172182	-0.34079	0.789868	-0.734937
$\left(\frac{\Delta m_{sol}^2}{\Delta m_{atm}^2}\right)$	0.122267	-0.10063	-0.00563647	-0.120429	-0.180164	0.158557
$\sin \theta_{12}^q$	0.0432634	0.227948	0.0186715	0.084149	0.130301	0.0922391
$\sin \theta_{23}^q$	-0.281221	-0.0401177	-0.167224	0.0649082	-0.273222	-1.17651
$\sin \theta_{13}^q$	1.37864	-0.275689	0.926186	0.559003	1.48675	0.248759
$\sin^2 \theta_{12}^l$	-0.0528379	-0.0598219	-0.38133	-0.172148	-0.746107	0.0694831
$\sin^2 \theta_{23}^l$	-1.22555	-1.27077	-1.43475	0.0548963	-1.99485	-0.946001
$\delta_{CKM} [^\circ]$	-0.291137	0.397159	-0.350422	-0.755859	-0.956628	-0.3197
$\chi_{min}^2$	<b>6.3479</b>	<b>3.7962</b>	<b>5.0715</b>	<b>3.8665</b>	<b>14.789</b>	<b>3.4746</b>
Observables	Corresponding Predictions at GUT scale					
$\sin^2 \theta_{13}^l$	0.0223307	0.0194886	0.0218753	0.0186789	0.0253152	0.0205366
$\delta_{MNS} [^\circ]$	2.41793	4.52493	6.08769	335.07	357.142	14.7651
$\alpha_1 [^\circ]$	347.106	8.42838	7.64991	28.0261	14.5679	1.13126
$\alpha_2 [^\circ]$	163.759	191.241	188.713	218.586	196.273	177.828
$r_R \left(\frac{m_t^2}{m_\tau}\right) [\text{GeV}]$	$1.77 \times 10^{-10}$	$2.63 \times 10^{-10}$	$2.50 \times 10^{-10}$	$4.02 \times 10^{-10}$	$7.3 \times 10^{-11}$	$2.82 \times 10^{-10}$

# Large Neutrino Mixing From Lopsided Matrices

Quark and Lepton Mass hierarchy:

$$m_d : m_s : m_b \sim m_e : m_\mu : m_\tau \sim \epsilon_1 : \epsilon_2 : \epsilon_3$$

$$m_u : m_c : m_t \sim \epsilon_1^2 : \epsilon_2^2 : \epsilon_3^2$$

This motivates:

$$\begin{aligned} U &= H^T U_0 H \\ D &= D_0 H \\ L &= H^T L_0 \\ N &= N_0 \end{aligned}$$

$$H = \text{Diag}(\epsilon_1, \epsilon_2, \epsilon_3) \quad \epsilon_1 \ll \epsilon_2 \ll \epsilon_3$$

$10_i$  of  $SU(5)$  carry flavor charge,  $\bar{5}_i$  do not.

Leads to large left-handed charged lepton mixing and large right-handed down quark mixing.

**K.S. Babu, S. Barr, 1995**

Albright, Babu and Barr, 1998

Sato and Yanagida, 1998

Irges, Lavignac, Ramond, 1998

Altarelli, Feruglio, 1998



Flavor  $U(1)$  charges:

$$10_i : (3, 2, 0) \quad \bar{5}_i : (p, p, p) \quad 1_i : (q, q, q)$$

$$U_{ij} = \begin{pmatrix} \epsilon^6 & \epsilon^5 & \epsilon^3 \\ \epsilon^5 & \epsilon^4 & \epsilon^2 \\ \epsilon^3 & \epsilon^2 & 1 \end{pmatrix} H_u, \quad D_{ij} = \begin{pmatrix} \epsilon^3 & \epsilon^3 & \epsilon^3 \\ \epsilon^2 & \epsilon^2 & \epsilon^2 \\ 1 & 1 & 1 \end{pmatrix} \epsilon^p H_d,$$
$$L_{ij} = \begin{pmatrix} \epsilon^3 & \epsilon^2 & 1 \\ \epsilon^3 & \epsilon^2 & 1 \\ \epsilon^3 & \epsilon^2 & 1 \end{pmatrix} \epsilon^p H_d, \quad \nu_{ij}^D = \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} \epsilon^{p+q} H_u$$

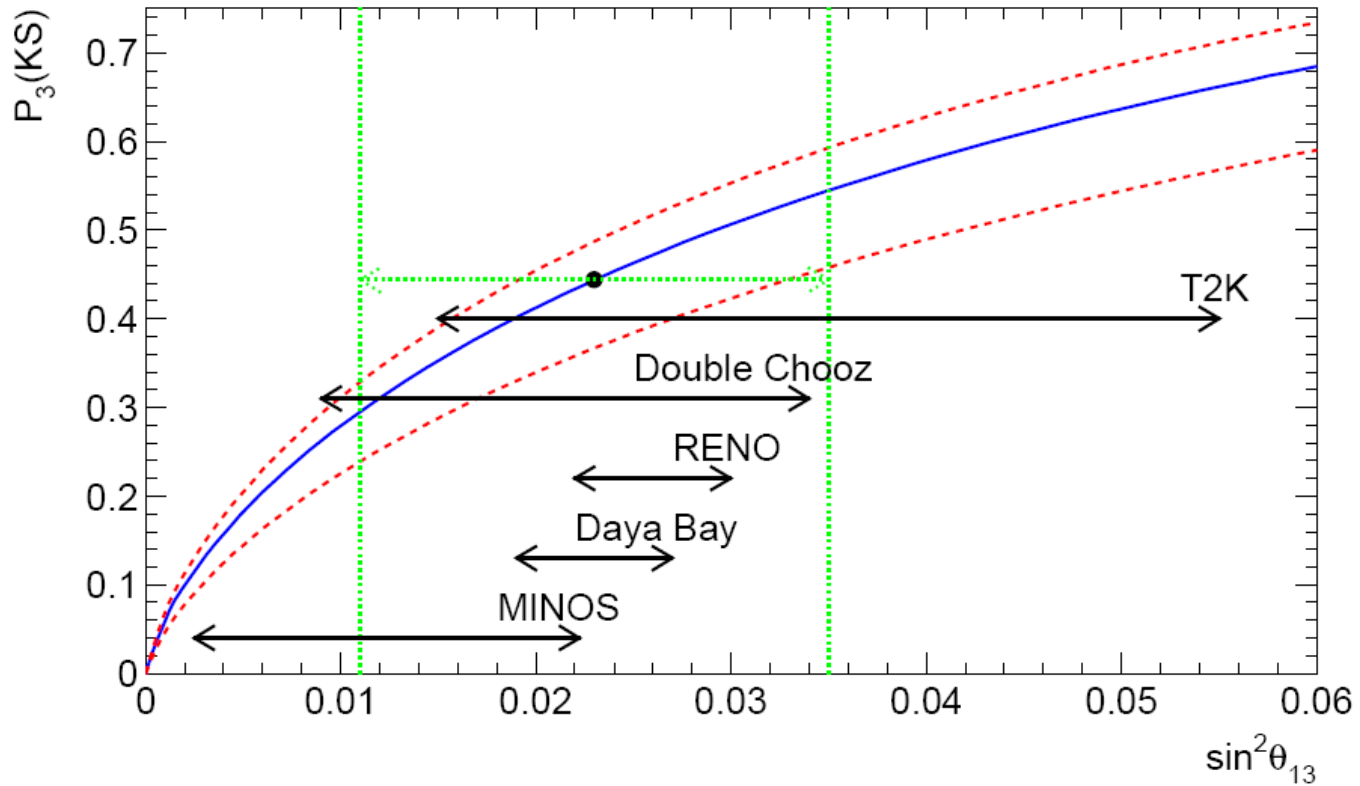
$$(M_\nu)_{ij} \propto \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} \quad \epsilon \sim 0.2$$

All features of fermion masses and CKM mixing reproduced

No particular hierarchy in neutrino masses

$\theta_{13}$  predicted to be large

# Neutrino Mass Anarchy

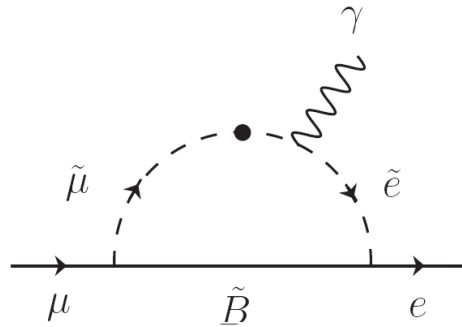


De Gouvea, Murayama (2012)

Lepton mixing matrix described by random draw of numbers in a unitary matrix

# Lepton Flavor Violation in SUSY Seesaw Models

$$(m_{\tilde{l}_L}^2)_{ij} \simeq -\frac{1}{8\pi^2} (Y_\nu^\dagger Y_\nu)_{ij} (3m_0^2 + |A_0|^2) \ln\left(\frac{M_P}{M_R}\right)$$



Borzumati, Masiero, 1986  
Hall, Kostelecki, Raby, 1986  
Hisano et al, 1995

LFV rates depend on neutrino and SUSY parameters

Similar LFV occurs in SUSY GUTs even without  $m_\nu$

$$(m_{\tilde{e}_R}^2)_{ij} \simeq -\frac{3}{8\pi^2} V_{3i} V_{3j}^* |Y_t|^2 (3m_0^2 + |A_0|^2) \ln\left(\frac{M_P}{M_G}\right)$$

Origin: Top quark and  $\tau$  lepton are grouped together in SU(5)

Barbieri, Hall, Strumia, 1995  
Hisano et al, 1997

# $\mu \rightarrow e\gamma$ in SUSY seesaw model

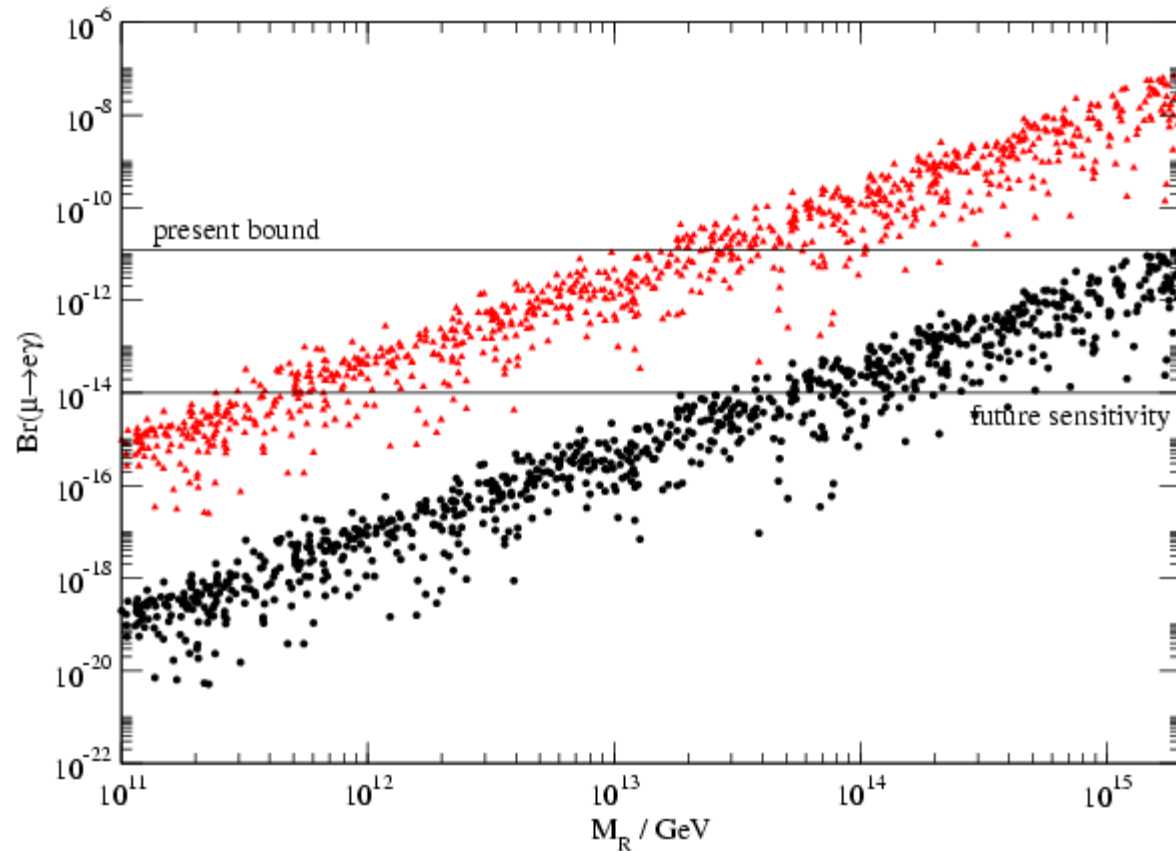


Figure 3: Branching ratio of  $\mu \rightarrow e\gamma$  for hierarchical neutrinos and uncertainties of future neutrino experiments in the mSUGRA scenarios leading to the largest (L, upper) and the smallest (H, lower) LFV rates.

# Radiative Neutrino Mass Generation

Neutrino masses are zero at tree level

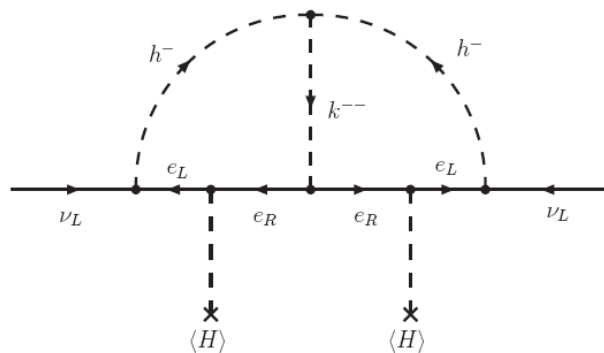
Smallness of  $m_\nu$  due to loop and chiral suppression

Scale of new physics near TeV

## Explicit Example

Two charged scalars  $h^+$  and  $k^{++}$  added to standard model

$$\mathcal{L}^{\text{new}} = f_{ij} \bar{l}_i l_j h^+ + g_{ij} e_i^c e_j^c k^{--} + \mu h^+ h^+ k^{--} + h.c.$$



A. Zee, 1986

K.S. Babu, 1988

Explains small neutrino mass, gives excellent fit to oscillation data

Requires  $h^+$  and  $k^{++}$  to be around TeV

Process	Experiment (90% CL)	Bound (90% CL)
$\mu^- \rightarrow e^+ e^- e^-$	$\text{BR} < 1.0 \times 10^{-12}$	$ g_{e\mu} g_{ee}^*  < 2.3 \times 10^{-5} (m_k/\text{TeV})^2$
$\tau^- \rightarrow e^+ e^- e^-$	$\text{BR} < 3.6 \times 10^{-8}$	$ g_{e\tau} g_{ee}^*  < 0.010 (m_k/\text{TeV})^2$
$\tau^- \rightarrow e^+ e^- \mu^-$	$\text{BR} < 2.7 \times 10^{-8}$	$ g_{e\tau} g_{e\mu}^*  < 0.006 (m_k/\text{TeV})^2$
$\tau^- \rightarrow e^+ \mu^- \mu^-$	$\text{BR} < 2.3 \times 10^{-8}$	$ g_{e\tau} g_{\mu\mu}^*  < 0.008 (m_k/\text{TeV})^2$
$\tau^- \rightarrow \mu^+ e^- e^-$	$\text{BR} < 2.0 \times 10^{-8}$	$ g_{\mu\tau} g_{ee}^*  < 0.008 (m_k/\text{TeV})^2$
$\tau^- \rightarrow \mu^+ e^- \mu^-$	$\text{BR} < 3.7 \times 10^{-8}$	$ g_{\mu\tau} g_{e\mu}^*  < 0.008 (m_k/\text{TeV})^2$
$\tau^- \rightarrow \mu^+ \mu^- \mu^-$	$\text{BR} < 3.2 \times 10^{-8}$	$ g_{\mu\tau} g_{\mu\mu}^*  < 0.010 (m_k/\text{TeV})^2$
$\mu^+ e^- \rightarrow \mu^- e^+$	$G_{MM} < 0.003 G_F$	$ g_{ee} g_{\mu\mu}^*  < 0.2 (m_k/\text{TeV})^2$

**M. Nebot et al, 2008**  
**K.S. Babu, C. Macesanu, 2003**

Table I: Constraints from tree-level lepton flavour violating decays.

Experiment	Bound (90%CL)
$\delta a_e = (12 \pm 10) \times 10^{-12}$	$r ( f_{e\mu} ^2 +  f_{e\tau} ^2) + 4 ( g_{ee} ^2 +  g_{e\mu} ^2 +  g_{e\tau} ^2) < 5.5 \times 10^3 (m_k/\text{TeV})^2$
$\delta a_\mu = (21 \pm 10) \times 10^{-10}$	$r ( f_{e\mu} ^2 +  f_{\mu\tau} ^2) + 4 ( g_{e\mu} ^2 +  g_{\mu\mu} ^2 +  g_{\mu\tau} ^2) < 7.9 (m_k/\text{TeV})^2$
$\text{BR}(\mu \rightarrow e\gamma) < 1.2 \times 10^{-11}$	$r^2  f_{e\tau}^* f_{\mu\tau} ^2 + 16  g_{ee}^* g_{e\mu} + g_{e\mu}^* g_{\mu\mu} + g_{e\tau}^* g_{\mu\tau} ^2 < 3.4 \times 10^{-5} (m_k/\text{TeV})^4$
$\text{BR}(\tau \rightarrow e\gamma) < 1.1 \times 10^{-7}$	$r^2  f_{e\mu}^* f_{\mu\tau} ^2 + 16  g_{ee}^* g_{e\tau} + g_{e\mu}^* g_{\mu\tau} + g_{e\tau}^* g_{\tau\tau} ^2 < 1.7 (m_k/\text{TeV})^4$
$\text{BR}(\tau \rightarrow \mu\gamma) < 4.5 \times 10^{-8}$	$r^2  f_{e\mu}^* f_{e\tau} ^2 + 16  g_{e\mu}^* g_{e\tau} + g_{\mu\mu}^* g_{\mu\tau} + g_{\mu\tau}^* g_{\tau\tau} ^2 < 0.7 (m_k/\text{TeV})^4$

Table III: Constraints from lepton number violating photon interactions.

## LFV constraints and radiative neutrino mass

# Summary and Conclusions

- Discovery of  $\theta_{13}$  constrains theoretical models
- Zeros in neutrino mass matrix leads typically predict large  $\theta_{13}$
- A class of GUTs naturally predict large neutrino mixing and large  $\theta_{13}$
- New particles at TeV expected in many scenarios of neutrino mass generation and for muon  $g - 2$  anomaly

**Backup Slides**



# Specific Example for Quark & Lepton masses

Fit

Input at GUT scale

$$\tan \beta = 55$$

$$m_u = 0.85 \text{ MeV}$$

$$m_d = 1.08 \text{ MeV}$$

$$m_c = 222.3 \text{ MeV}$$

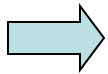
$$m_s = 34.3 \text{ MeV}$$

$$m_t = 85.5 \text{ GeV}$$

$$m_b = 1.549 \text{ GeV}$$

$$\delta_{CKM} = 1.508$$

$$V_{us} = 0.22 \quad V_{ub} = 0.0027 \quad V_{cb} = 0.036$$



Output: Type II Seesaw

$$\sin^2 2\theta_{\odot} = 0.635$$

$$\sin^2 2\theta_{e3} = 0.08$$

$$\sin^2 2\theta_{atm} = 0.892$$

$$\frac{\Delta m_{atm}^2}{\Delta m_{\odot}^2} = 15.2$$

# Global fit to fermion masses in minimal SO(10)

	type-II		mixed'		mixed		type-I	
$ \xi $	0		$10^{-4}$		0.3587		$3.59 \times 10^6$	
$\arg(\xi)$	—		$0.866\pi$		$1.018\pi$		$1.318\pi$	
$ r $	0.3278		1.9977		0.47896		0.3551	
$\arg(r)$	$0.408\pi$		$1.849\pi$		$0.0013\pi$		$0.0057\pi$	
$f_u$	16.62		11.51		18.77		19.23	
$f_\nu$	$1.671 \times 10^{-10}$		$4.519 \times 10^{-10}$		$8.732 \times 10^{-10}$		$3.613 \times 10^{-17}$	
observable	pred.	pull	pred.	pull	pred.	pull	pred.	pull
$m_d$ [MeV]	0.7662	— <b>1.16</b>	0.4956	— <b>1.82</b>	1.122	—0.29	0.4719	— <b>1.87</b>
$m_s$ [MeV]	31.33	<b>1.85</b>	22.46	0.15	22.85	0.22	19.99	—0.33
$m_b$ [MeV]	1147	0.61	1096	0.25	1078	0.13	1029	—0.35
$m_u$ [MeV]	0.5543	0.02	0.5576	0.03	0.5512	0.00	0.5538	0.02
$m_c$ [MeV]	213.1	0.17	213.5	0.18	210.6	0.03	213.1	0.16
$m_t$ [MeV]	78030	—0.29	77411	—0.34	81659	—0.05	78117	—0.29
$\sin \phi_{23}^{\text{CKM}}$	0.0345	—0.43	0.0352	0.08	0.0351	0.03	0.0349	—0.13
$\sin \phi_{13}^{\text{CKM}}$	0.00331	0.23	0.00319	—0.02	0.00319	—0.01	0.00323	0.06
$\sin \phi_{12}^{\text{CKM}}$	0.2245	0.11	0.2243	0.02	0.2243	0.01	0.2243	0.01
$\delta_{\text{CKM}} [^\circ]$	79.35	<b>1.38</b>	59.47	—0.04	61.41	0.10	61.11	0.08
$\sin^2 \theta_{23}^{\text{PMNS}}$	0.3586	— <b>2.17</b>	0.5126	0.19	0.5027	0.04	0.4944	—0.09
$\sin^2 \theta_{13}^{\text{PMNS}}$	0.0145	0.93	0.0106	0.68	0.0066	0.43	0.0095	0.61
$\sin^2 \theta_{12}^{\text{PMNS}}$	0.2829	— <b>1.08</b>	0.3078	—0.09	0.3094	—0.02	0.3078	—0.09
$\Delta m_{21}^2 [10^{-5} \text{eV}^2]$	7.863	—0.12	7.894	—0.02	7.898	—0.01	7.896	—0.01
$\Delta m_{31}^2 [10^{-3} \text{eV}^2]$	2.385	0.50	2.232	0.09	2.210	0.03	2.223	0.06
$m_1 / \sqrt{\Delta m_{21}^2}$	0.279		0.478		0.382		0.361	
$\delta_{\text{PMNS}} [^\circ]$	—0.70		—59		—0.70		4.9	
$\alpha_1 [^\circ]$	1.1		30		1.8		—2.1	
$\alpha_2 [^\circ]$	91		126		—84		90	
$\chi^2$		14.5		4.1		0.35		4.3

$$\sin^2 2\theta_{13} \simeq 0.04$$

Bertolini, Malinsky, Schwetz (2006)

# Discrete example of lopsided matrices

Gogoladze, Wang, KSB (2003)

$$U_{ij} = \begin{pmatrix} \epsilon^6 & \epsilon^5 & \epsilon^3 \\ \epsilon^5 & \epsilon^4 & \epsilon^2 \\ \epsilon^3 & \epsilon^2 & 1 \end{pmatrix} H_u, \quad D_{ij} = \begin{pmatrix} \epsilon^4 & \epsilon^3 & \epsilon^3 \\ \epsilon^3 & \epsilon^2 & \epsilon^2 \\ \epsilon & 1 & 1 \end{pmatrix} \epsilon^p H_d,$$

$$L_{ij} = \begin{pmatrix} \epsilon^4 & \epsilon^3 & \epsilon \\ \epsilon^3 & \epsilon^2 & 1 \\ \epsilon^3 & \epsilon^2 & 1 \end{pmatrix} \epsilon^p H_d, \quad \nu_{ij}^D = \begin{pmatrix} \epsilon^2 & \epsilon & \epsilon \\ \epsilon & 1 & 1 \\ \epsilon & 1 & 1 \end{pmatrix} \epsilon^{a_1} H_u$$

$\epsilon \sim 0.2$

$\theta_{13} \sim \epsilon \sim 0.2$

$$\nu_{ij}^M \propto \begin{pmatrix} \epsilon^2 & \epsilon & \epsilon \\ \epsilon & 1 & 1 \\ \epsilon & 1 & 1 \end{pmatrix} \epsilon^{a_2} \sim M_{\text{light}}^\nu$$

Discrete  $Z_{14}$  Gauge Symmetry with Green-Schwarz anomaly cancellation

	$Q_i$	$u_i^c$	$d_i^c$	$L_i$	$e_i^c$	$\nu_i^c$	$H_u$	$H_d$	$\theta$	$S$	$A_2$	$A_3$
A	0,2,6	1,3,7	3,5,5	4,6,6	13,1,5	5,7,7	1	13	7	2	6	13
B	4,6,10	13,1,5	11,13,13	6,8,8	9,11,1	5,7,7	13	1	7	2	13	13
C	6,8,12	5,7,11	1,3,3	0,2,2	7,9,13	5,7,7	9	5	7	2	13	6

	A	B	C	D	C1	C2
Observables	Pulls obtained for best fit solution					
$(m_u/m_c)$	-0.00668428	0.0276825	0.0259467	0.120767	-0.0212532	0.0356043
$(m_c/m_t)$	0.56521	0.157569	0.0201093	0.0730136	0.130288	0.320944
$(m_d/m_s)$	-1.21642	-0.891034	-0.27664	-1.36265	-1.04724	-1.57673
$(m_s/m_b)$	0.112798	0.440678	0.163272	0.752408	0.884723	0.789053
$(m_e/m_\mu)$	0.0590249	-0.00627804	0.3944	0.0396087	0.0297987	0.0555931
$(m_\mu/m_\tau)$	0.182548	0.103214	0.821485	0.0192305	0.26316	0.121145
$(m_b/m_\tau)$	0.87282	2.20829	2.79368	2.34331	0.26656	0.407798
$\left(\frac{\Delta m_{sol}^2}{\Delta m_{atm}^2}\right)$	0.256292	0.116314	-0.14908	0.230056	0.0188227	-0.0140039
$\sin \theta_{12}^q$	0.0730813	0.0702755	0.0399788	0.105989	0.0779176	0.127757
$\sin \theta_{23}^q$	-0.0311676	-0.172792	-0.471738	-0.0960437	-0.757038	-0.945821
$\sin \theta_{13}^q$	1.33502	-0.0354198	0.494732	0.606606	0.890741	1.17758
$\sin^2 \theta_{12}^l$	0.00836789	-0.106439	-0.599727	-0.27881	-0.63356	-0.510182
$\sin^2 \theta_{23}^l$	-1.53367	-4.97038	-4.95673	-4.70944	-2.56294	-1.84412
$\delta_{CKM}[\circ]$	-0.345931	-0.163765	-0.600814	-0.214459	-0.650554	-0.75885
$\chi_{min}^2$	<b>6.9367</b>	<b>30.70</b>	<b>34.52</b>	<b>30.68</b>	<b>10.804</b>	<b>9.3559</b>
Observables	Corresponding Predictions at GUT scale					
$\sin^2 \theta_{13}^l$	0.0226508	0.0190847	0.0206716	0.0196974	0.0239619	0.0209208
$\delta_{MNS}[\circ]$	19.9399	18.9784	19.5619	11.92	358.789	1.78569
$\alpha_1[\circ]$	337.171	346.627	344.795	350.595	12.4786	349.711
$\alpha_2[\circ]$	147.364	151.912	146.886	161.702	194.023	168.156
$r_L m_\tau [\text{GeV}]$	$8.37 \times 10^{-10}$	$6.0 \times 10^{-10}$	$6.49 \times 10^{-10}$	$6.94 \times 10^{-10}$	$7.15 \times 10^{-10}$	$9.1 \times 10^{-10}$