

# The cosmic triad

Cosmic rays, gamma-rays and neutrinos

Markus Ahlers

University of Wisconsin-Madison & WIPAC

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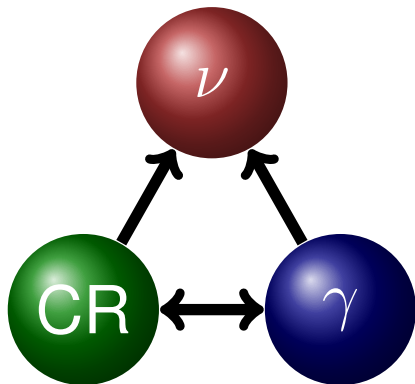


**WISCONSIN**  
UNIVERSITY OF WISCONSIN-MADISON



# Multi-messenger paradigm

- **Neutrino** production is closely related to the production of **cosmic rays** (CRs) and  $\gamma$ -rays.
- Flux predictions are based on CR and  $\gamma$ -ray observation.
- Status summary:
  - ✗ No “surprises” yet.
  - ✓ Sensitivity has reached the level of “serious” models.
- Implications of neutrino limits on UHE CR sources:
  - Part I: Direct vs. indirect  $\nu$ -limits
  - Part II: Cosmogenic  $\nu$ 's

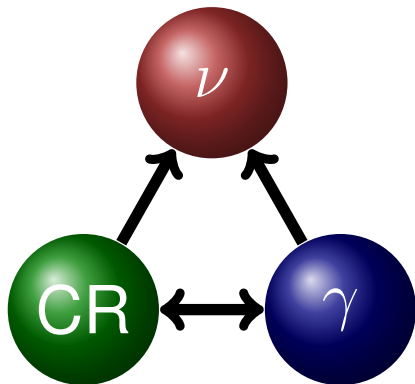


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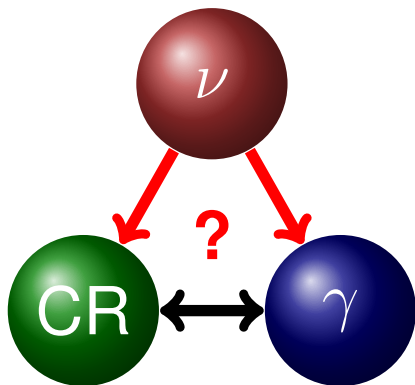


# Multi-messenger paradigm

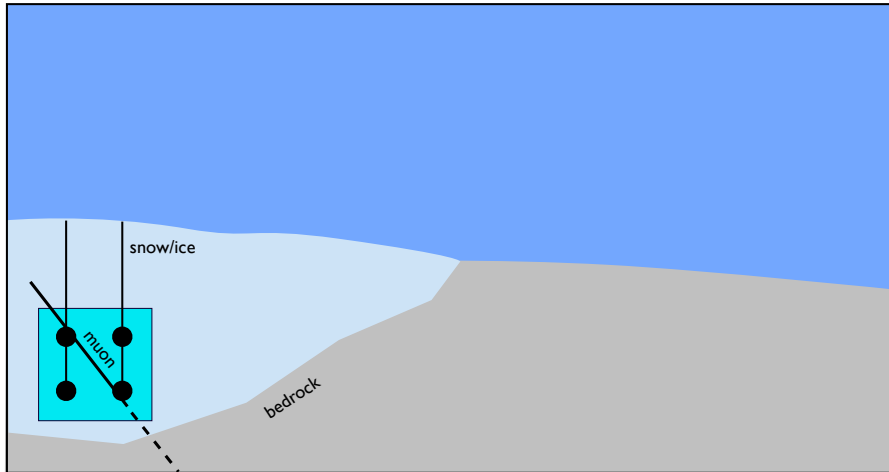
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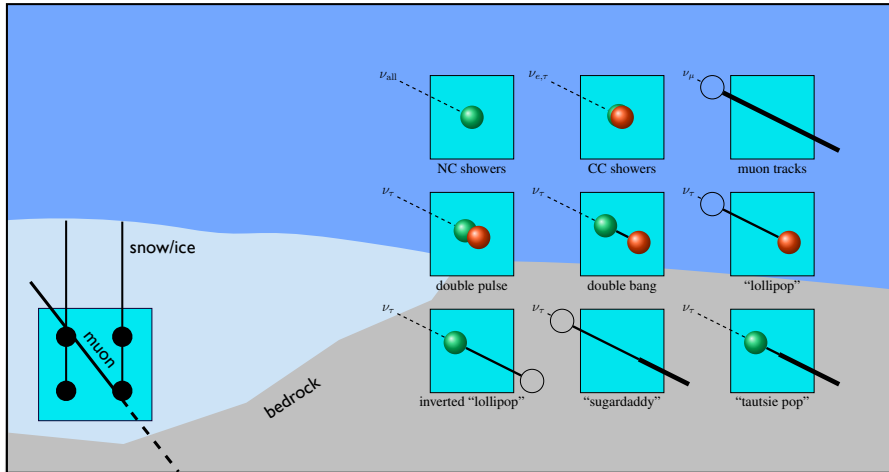


# Neutrino observation at very high energies



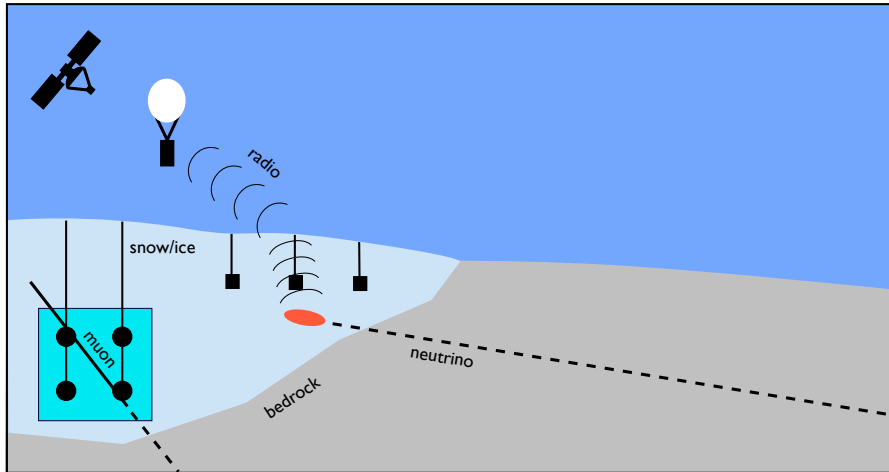
Cherenkov radiation in transparent media (glaciers, lakes, oceans, . . .).

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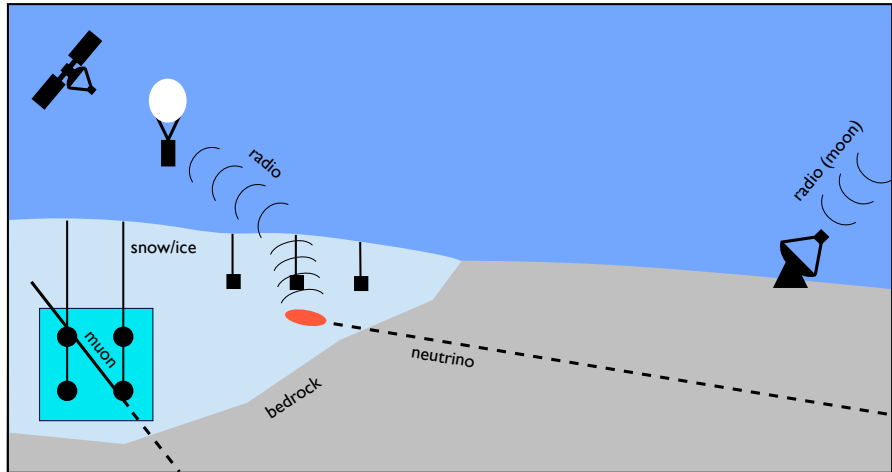
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# Neutrino observation at very high energies



Coherent radio Cherenkov emission (Askaryan effect).  
Observation in-situ, balloons or satellites.

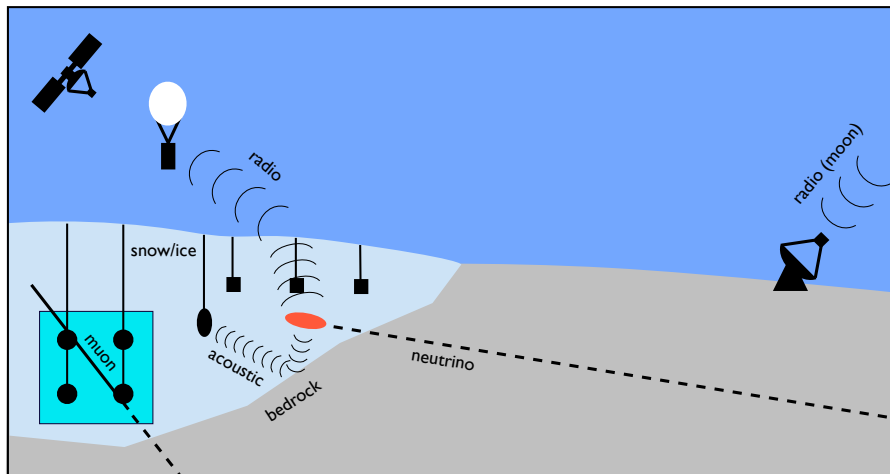
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Coherent Cherenkov emission (Askaryan effect).  
Observation from lunar regolith.

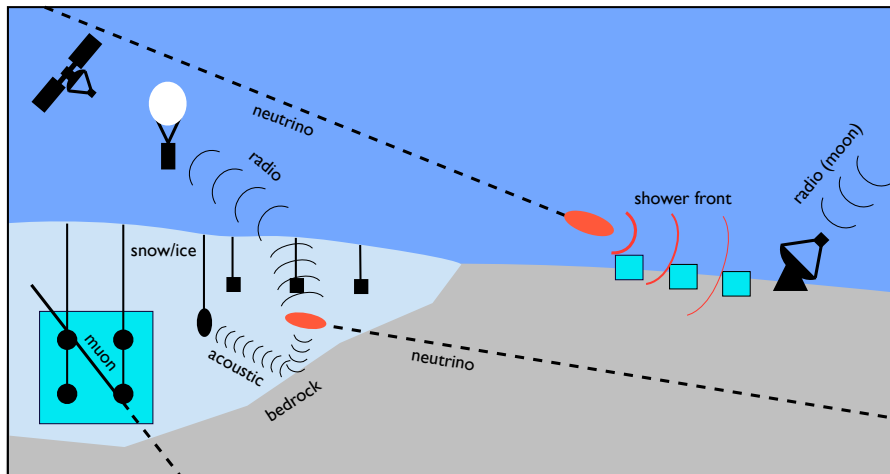


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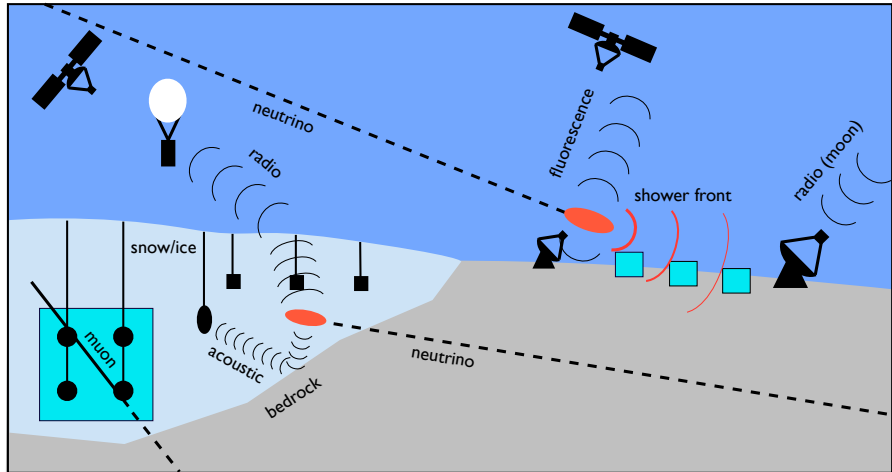
Acoustic detection?

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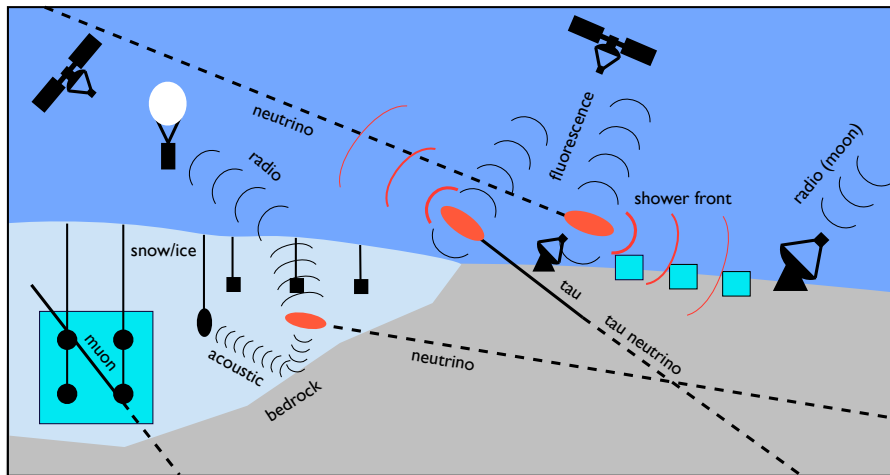
Deeply penetrating quasi-horizontal showers.  
Observation by CR surface arrays.

# Neutrino observation at very high energies



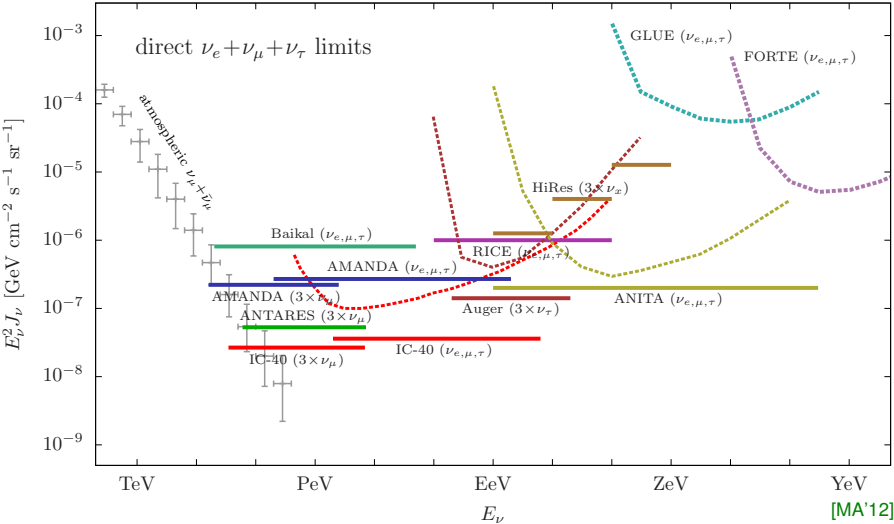
Observation by CR surface arrays and/or fluorescence detectors/satellites.

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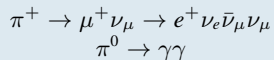
Earth-skimming tau neutrinos.

# Diffuse neutrino limits



# Neutrino flux predictions

- pion production in CR interactions with ambient radiation



- inelasticity:

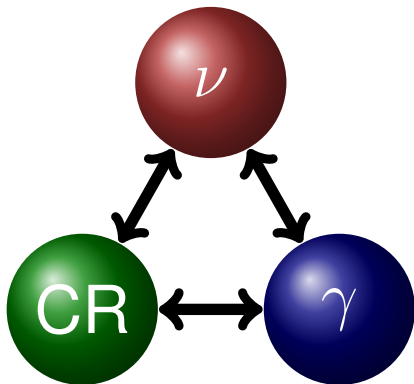
$$E_\nu \simeq E_\gamma/2 \simeq \kappa E_p/4$$

- relative multiplicity:

$$K = N_{\pi^\pm}/N_{\pi^0}$$

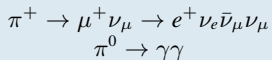
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$$f_\pi \simeq 1 - e^{-\kappa\tau}$$



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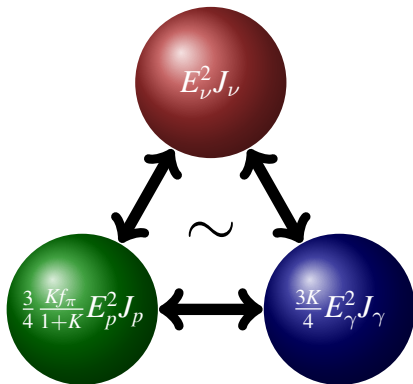
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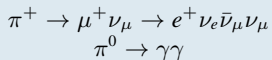
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( $E_\nu^2 J_\nu \sim$  energy density  $\omega$ )

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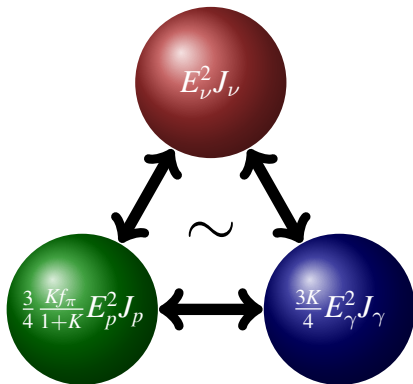
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$$\omega_{\text{Fermi}} \simeq 6 \times 10^{-7} \text{ eV/cm}^3$$

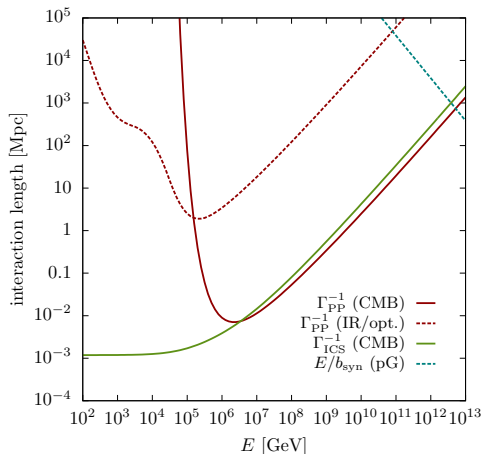
$$\omega_{\text{UHECR}} \simeq 1 \times 10^{-7} \text{ eV/cm}^3$$

$$\omega_{\text{IC40}} \lesssim 1 \times 10^{-7} \text{ eV/cm}^3$$



# Gamma-ray cascades

- CMB interactions (**solid lines**) dominate in cascade:
  - inverse Compton scattering (ICS)  
 $e^\pm + \gamma_{\text{CMB}} \rightarrow e^\pm + \gamma$
  - pair production (PP)  
 $\gamma + \gamma_{\text{CMB}} \rightarrow e^+ + e^-$
- PP in IR/optical background (**red dashed line**) determines the “edge” of the spectrum.
- this calculation:  
Franceschini *et al.* '08



Rapid cascade interactions produce universal GeV-TeV emission (almost) independent of injection spectrum and source distribution.

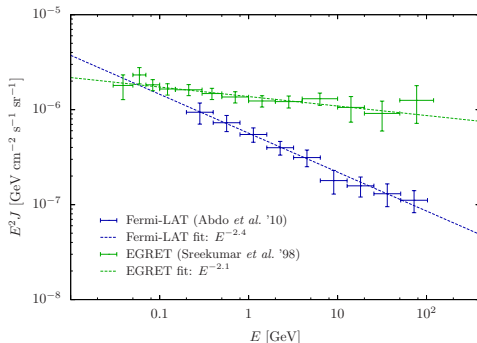
→ “**cascade bound**” for neutrinos

[Berezinsky&Smirnov'75]

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## diffuse $\gamma$ -ray background

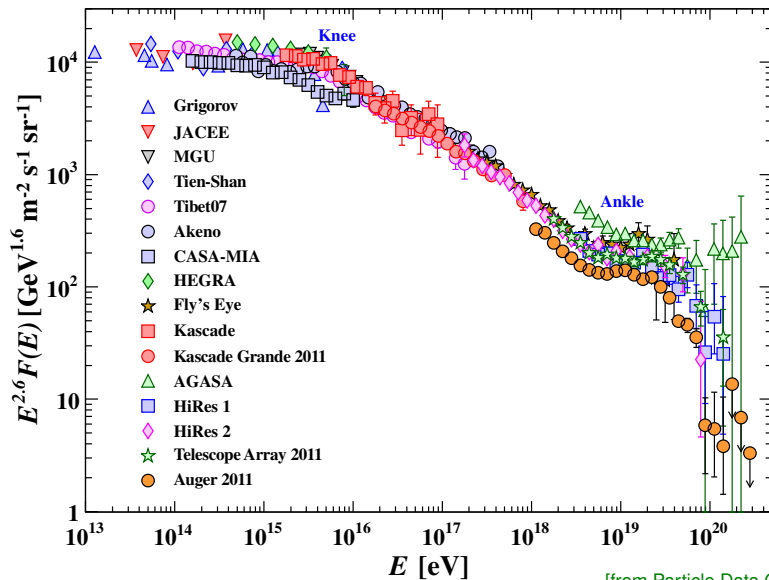


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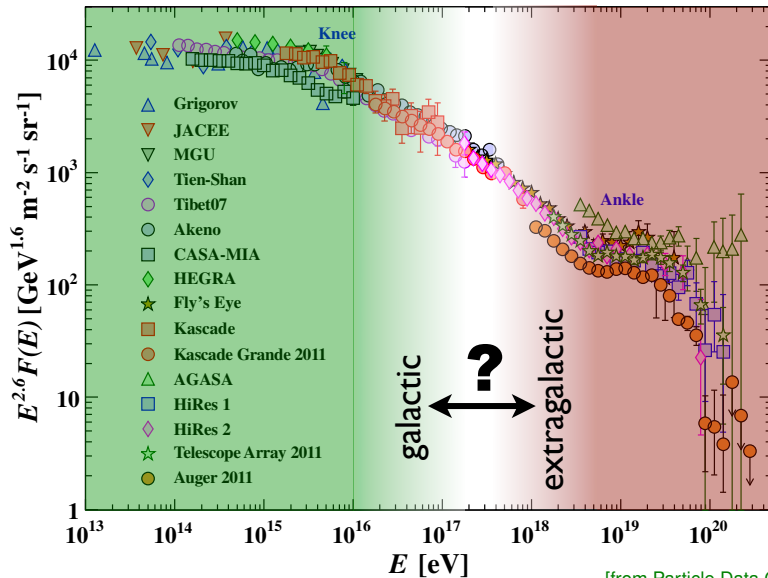
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# Cosmic ray spectrum



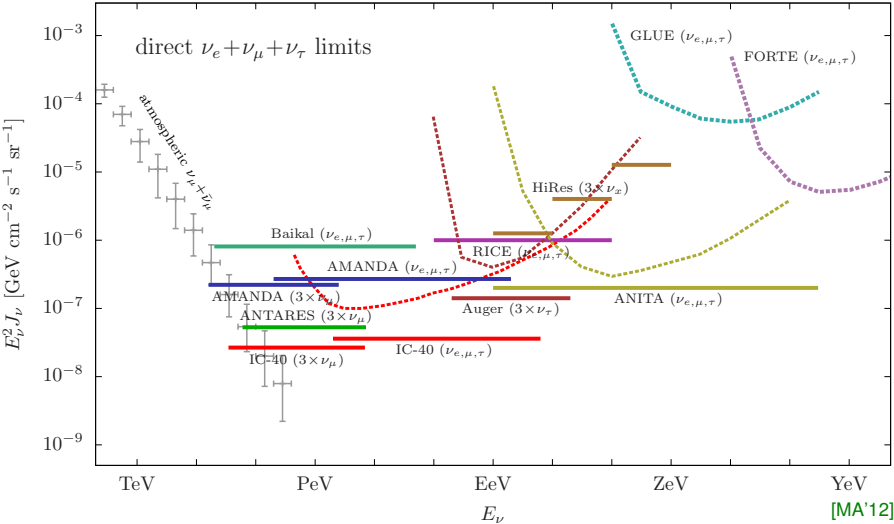
[from Particle Data Group'12]

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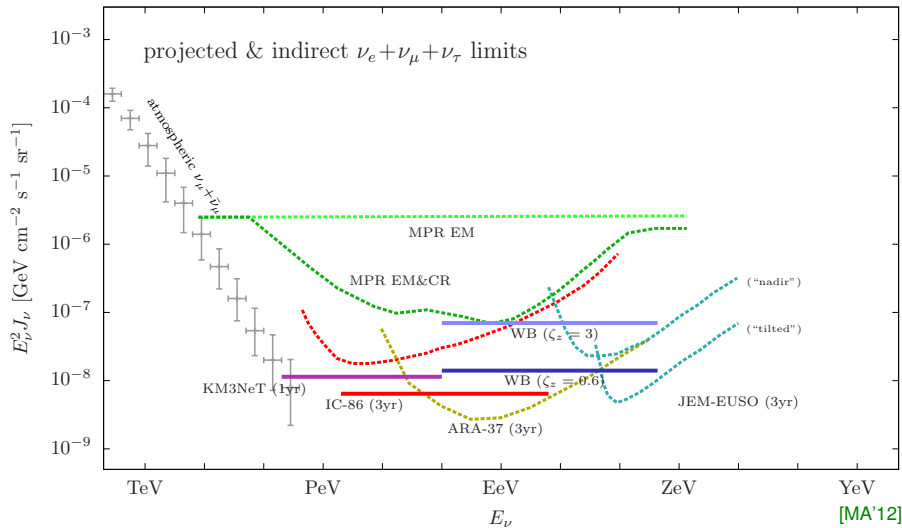


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# Diffuse neutrino limits

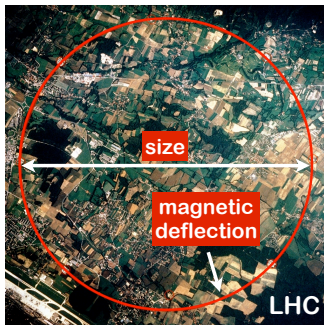


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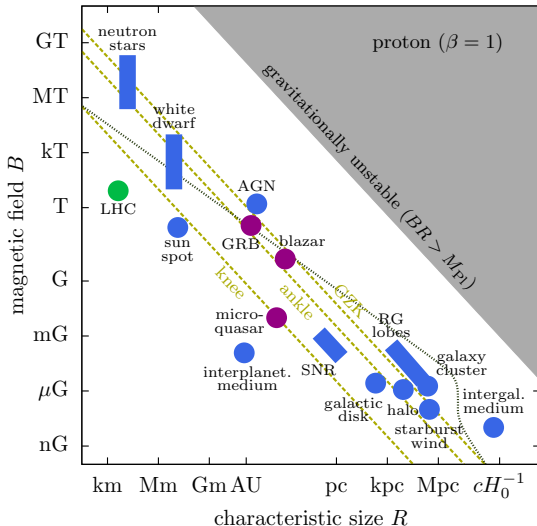


# Candidate sources

- CR acceleration is (most likely) a continuous process.
- Accelerators need to confine the particle by magnetic fields.
- $E_{\max} \sim \text{size} \times \text{field strength}$



## Hillas plot



# Conceivable neutrino fluxes

- Typical neutrino energy from  $p\gamma$  interactions (in boosted environments):

$$E_p \simeq \frac{1}{40} \Gamma^2 \frac{m_\Delta^2 - m_p^2}{4\omega} \simeq 4\text{PeV} \Gamma^2 \left( \frac{\text{eV}}{\omega_{\text{eV}}} \right)$$

- *cosmogenic neutrinos* ( $\Gamma = 1 / E_\gamma \simeq 10 \text{ meV}$ ):  $E_\nu \simeq 1 \text{ EeV}$   
[Berezinsky&Zatsepin'69]
- *prompt neutrino emission in GRBs* ( $\Gamma \simeq 300 / E_\gamma \simeq 1 \text{ MeV}$ ):  $E_\nu \simeq 1 \text{ PeV}$   
[Waxman&Bahcall'97]
- *optical afterglow emission in GRBs* ( $\Gamma \simeq 10 / E_\gamma \simeq 1 \text{ eV}$ ):  $E_\nu \simeq 1 \text{ EeV}$   
[Waxman&Bahcall'00;Murase&Nagataki'06;Murase'07]
- *external radiation in line-emitting blazars* ( $\Gamma \simeq 10 / E_\gamma \simeq 0.1 \text{ MeV}$ ):  $E_\nu \simeq 10 \text{ TeV}$   
[Atoyan&Dermer'01]
- *UV emission from AGN disk* ( $\Gamma \simeq 1 / E_\gamma \simeq 10 \text{ eV}$ ):  $E_\nu \simeq 1 \text{ PeV}$   
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- *internal synchrotron emission in AGN jets* ( $\Gamma \simeq 10 / E_\gamma \simeq 1 \text{ meV}$ ):  $E_\nu \simeq 1 \text{ ZeV}$   
[Mannheim/Stanev/Biermann'92]
- ...
- Neutrinos from  $pp$  interactions  $E_\nu \lesssim 0.05E_p$  can dominate in dense environments:
  - *precursor neutrinos of GRBs*:  $E_\nu \lesssim 100 \text{ TeV}$   
[Razzaque/Meszáros/Waxman'03]
  - *starburst galaxies*:  $E_\nu \lesssim 100 \text{ TeV}$   
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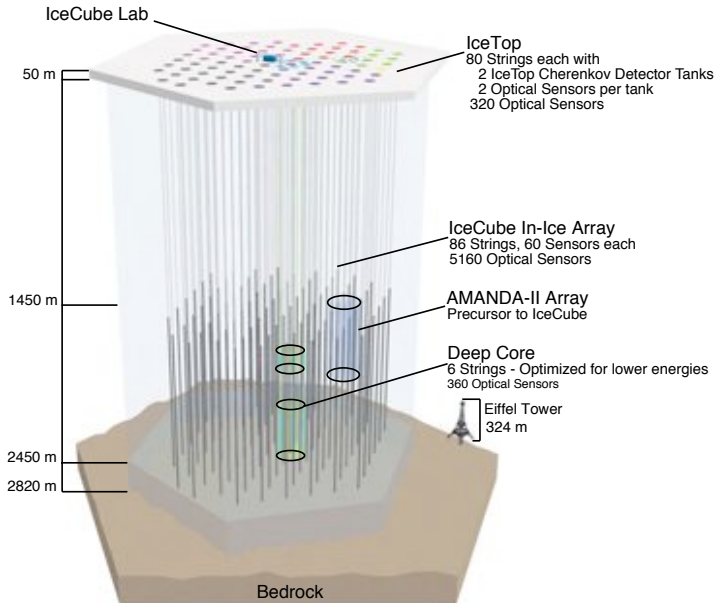
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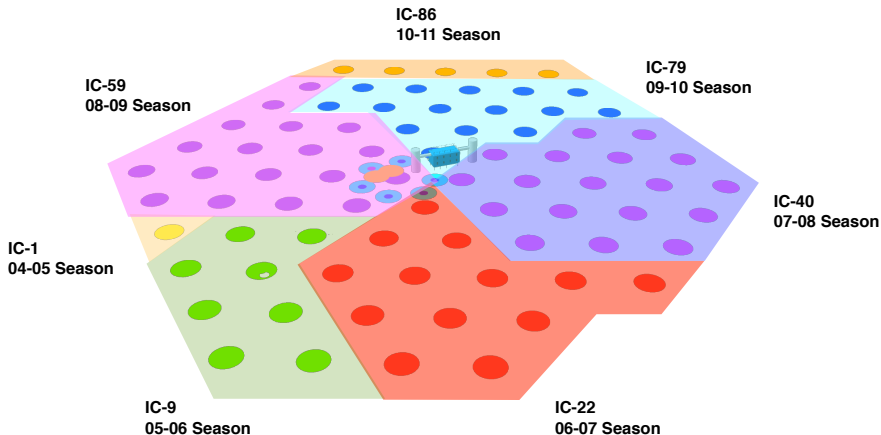
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  - ...

# IceCube search for burst neutrinos



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[courtesy of M. Santander]

# IC40+59 results

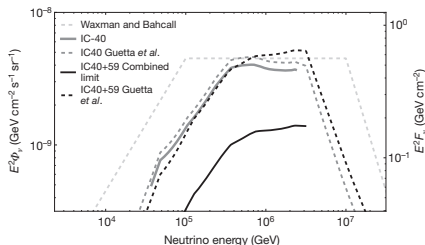
- Limits on neutrino emission coincident with 215 (85) northern (southern) sky GRBs between April 2008 and May 2010 ("IC40+59"). [Abbasi *et al.*'11;'12]

→ **Model-dependent** limit for prompt emission model.

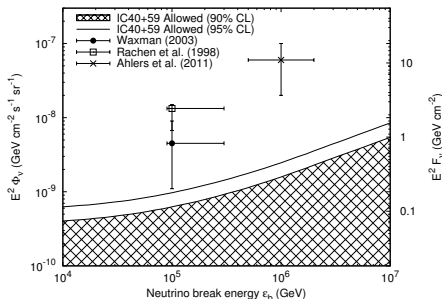
→ **Model-independent** limit for general neutrino coincidences (no spectrum assumed) with sliding time window  $\pm \Delta t$  from burst.

- Stacked flux** below "benchmark" prediction of burst neutrino emission by a factor 3-4. [Guetta *et al.*'04]
- IceCube limit below **benchmark diffuse models** normalized to UHE CR data. [Waxman&Bahcall'03; Rachen *et al.*'98]

## $L_\gamma$ normalization



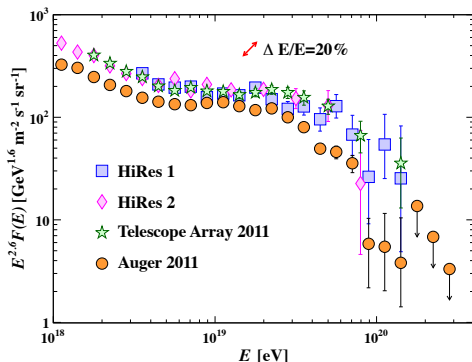
## UHE CR normalization



# Cosmogenic neutrinos

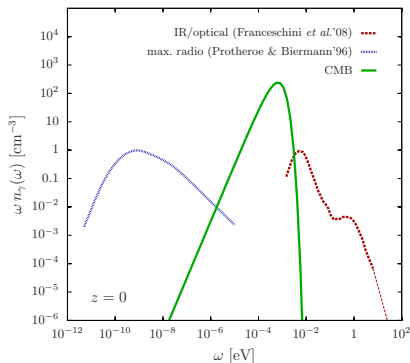
- “Guaranteed” neutrino production from UHE CR propagation in cosmic radiation background. [Greisen&Zatsepin'66;Kuzmin'66;Berezinsky&Zatsepin'70]
- resonant proton interaction  $p\gamma \rightarrow \Delta \rightarrow n\pi^+$  with CMB:  $E_{\text{CR}} < E_{\text{GZK}} \simeq 40\text{EeV}$
- peak neutrino contribution at  $E_\nu \simeq 1\text{EeV}$

## UHE CR spectrum

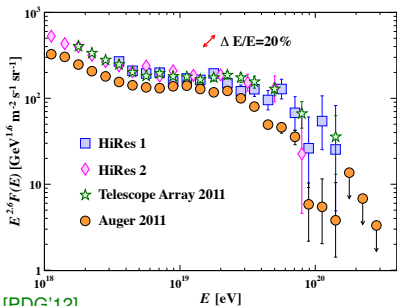


[Particle Data Group'12]

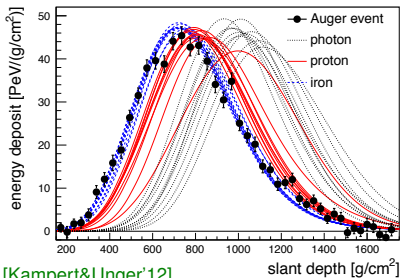
## radiation background



# UHE CR observation

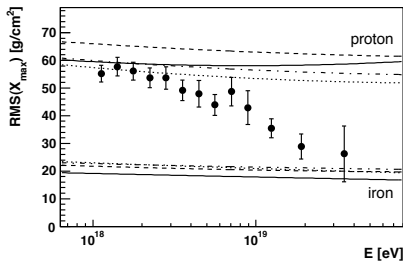
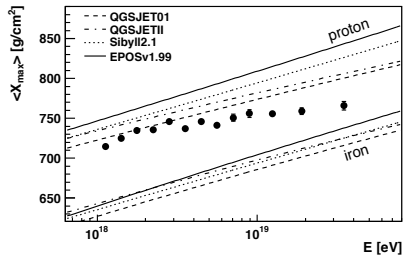


[PDG'12]

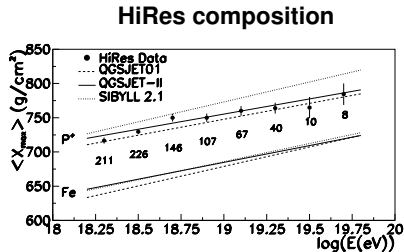
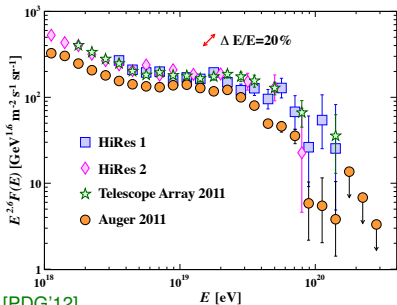


[Kampert&Unger'12]

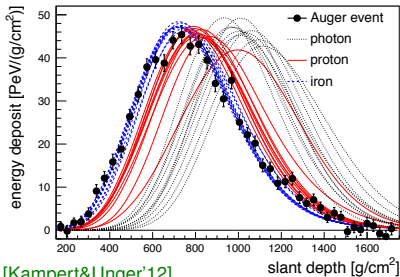
## Auger composition



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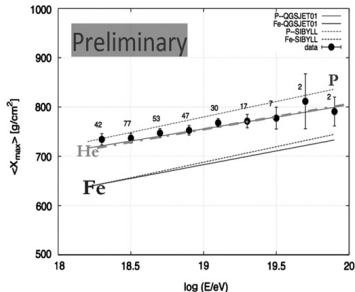


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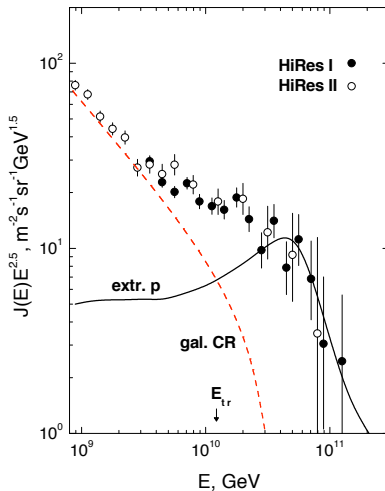
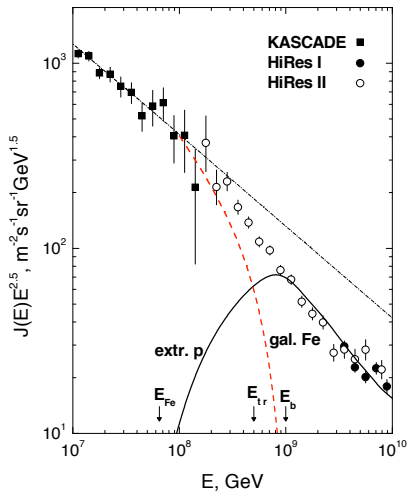
[Kampert&Unger'12]

### TA composition



# Galactic to extragalactic crossover

“dip-transition” vs. “ankle-transition”



[e.g. Aloisio et al.'06]



# Diffuse CR fluxes

- **spatially homogeneous and isotropic** distribution of sources
- Boltzmann equation of comoving number density ( $Y = n/(1+z)^3$ ):

$$\dot{Y}_i = \partial_E(HEY_i) + \partial_E(b_i Y_i) - \Gamma_i Y_i + \sum_j \int dE_j \gamma_{ji} Y_j + \mathcal{L}_i,$$

$H$  : Hubble rate

$b_i$  : continuous energy loss

$\gamma_{ji}$  ( $\Gamma_i$ ) : differential (total) interaction rate

- **power-law** proton emission rate:

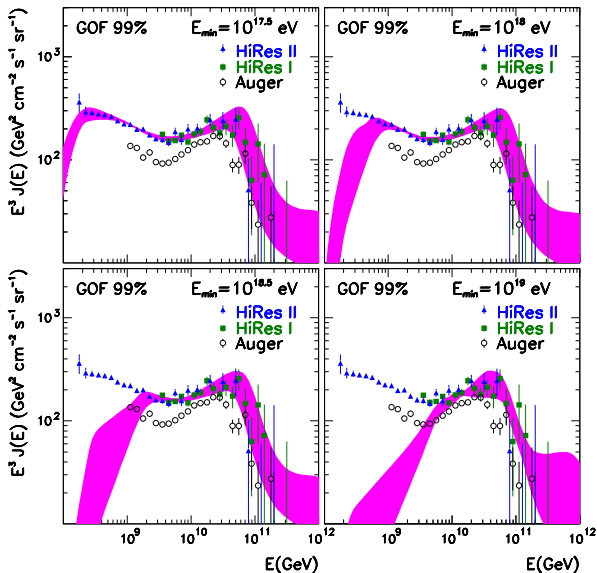
$$\mathcal{L}_p(0, E) \propto (E/E_0)^{-\gamma} \exp(-E/E_{\max}) \exp(-E_{\min}/E)$$

- **redshift evolution** of source emission or distribution:

$$\mathcal{L}_p(z, E) = \mathcal{L}_p(0, E)(1+z)^n \Theta(z_{\max} - z) \Theta(z - z_{\min})$$

# Proton-dominance in UHE CRs?

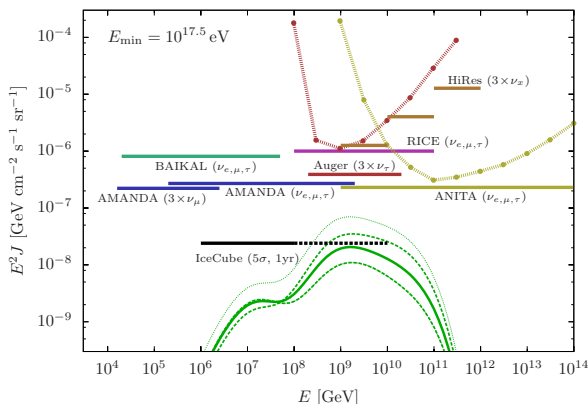
- GoF based on Hires-I/II data ( $\Delta E/E \simeq 25\%$ )
- *fixed:*  
 $E_{\max} = 10^{21}$  eV  
 $z_{\min} = 0 / z_{\max} = 2$
- *priors:*  
 $2.1 \leq \gamma \leq 2.9$   
 $2 \leq n \leq 6$   
 $\omega_{\text{cas}} \leq \omega_{\text{Fermi}}$
- range of spectra: 99% C.L.
- increasing crossover energy from 2nd knee to ankle



[MA/Anchordoqui/Gonzalez-Garcia/Halzen/Sarkar'10]

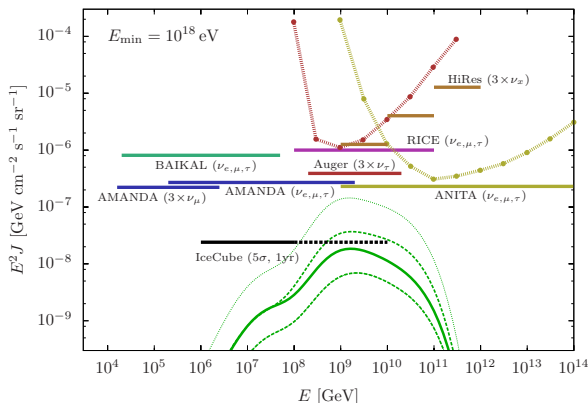
# Cosmogenic neutrinos from CR protons

- Cascade bound,  $\omega_{\text{cas}} \leq \omega_{\text{Fermi}}$ , reduces the cosmogenic neutrino flux (**dotted green line**) by a factor 2-4.
- Range of cosmogenic neutrino fluxes (**dashed green line**) increase along with the cross-over energy and lies within reach of present & future neutrino observatories.



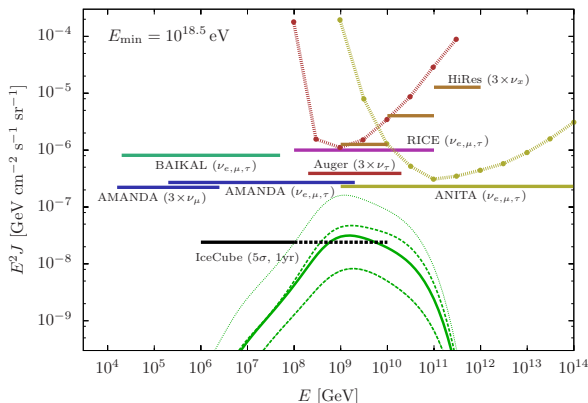
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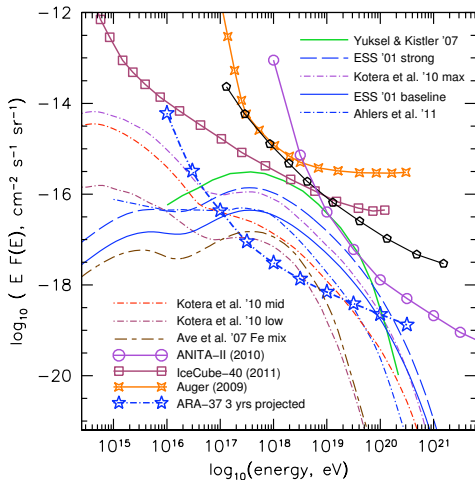


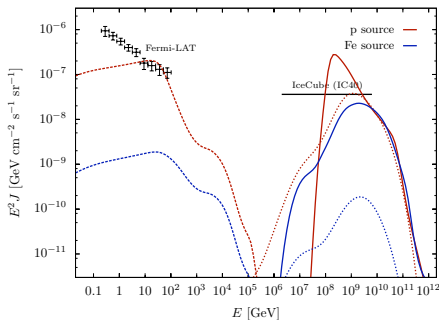
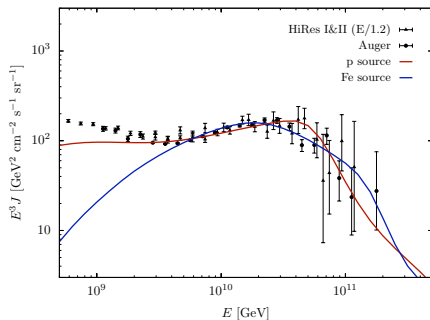
TABLE II: Expected numbers of events  $N_V$  from several UHE neutrino models, comparing published values from the 2008 ANITA-II flight with predicted events for a three-year exposure for ARA-37.

Model & references	$N_V$ :	ANITA-II, (2008 flight)	ARA, 3 years
<i>Baseline cosmogenic models:</i>			
Protheroe & Johnson 1996 [27]		0.6	59
Engel, Seckel, Stanev 2001 [28]		0.33	47
Kotera, Allard, & Olinto 2010 [29]		0.5	59
<i>Strong source evolution models:</i>			
Engel, Seckel, Stanev 2001 [28]		1.0	148
Kalashev <i>et al.</i> 2002 [30]		5.8	146
Barger, Huber, & Marfatia 2006 [32]		3.5	154
Yuksel & Kistler 2007 [33]		1.7	221
<i>Mixed-Iron-Composition:</i>			
Ave <i>et al.</i> 2005 [34]		0.01	6.6
Stanev 2008 [35]		0.0002	1.5
Kotera, Allard, & Olinto 2010 [29] upper		0.08	11.3
Kotera, Allard, & Olinto 2010 [29] lower		0.005	4.1
<i>Models constrained by Fermi cascade bound:</i>			
Ahlers <i>et al.</i> 2010 [36]		0.09	20.7
<i>Waxman-Bahcall (WB) fluxes:</i>			
WB 1999, evolved sources [37]		1.5	76
WB 1999, standard [37]		0.5	27

[ARA'11]

Best-fit range of GZK neutrino predictions ( $\sim$ two orders of magnitude!) cover various evolution models and source compositions.

# Composition dependence of UHE CR sources



- UHE CR emission toy-model:

- **100% proton:**  $n = 5$  &  $z_{\max} = 2$  &  $\gamma = 2.3$  &  $E_{\max} = 10^{20.5}$  eV
- **100% iron:**  $n = 0$  &  $z_{\max} = 2$  &  $\gamma = 2.3$  &  $E_{\max} = 26 \times 10^{20.5}$  eV
- Diffuse spectra of cosmogenic  $\gamma$ -rays (dashed lines) and neutrinos (dotted lines) **vastly different.**

[MA&Salvado'11]

# Propagation of CR nuclei

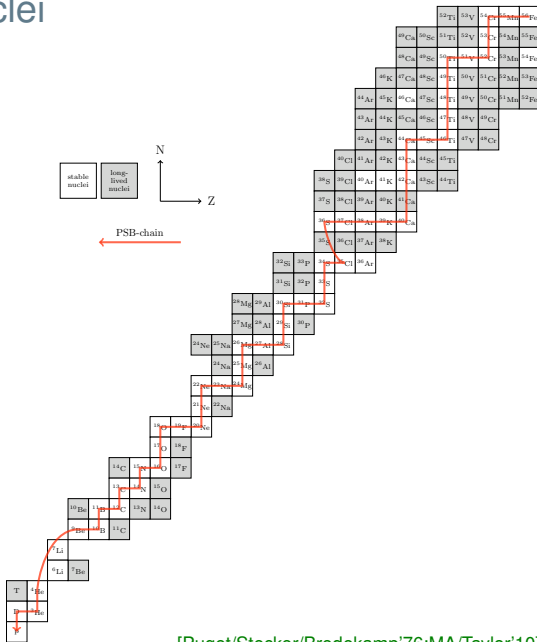
- fast photo-disintegration of nuclei (mass number  $A = N + Z$ ) beyond the giant dipole resonance (GDR):

$$\lambda_{\text{GDR}} \sim \frac{4}{A} \text{ Mpc}$$

- ✗ strong influence of mass composition at very high energy

→ BUT: conserves total number of nucleons with nucleon energy  $E/A$ !

→ Neutrino production (mostly) via  $\gamma$ -nucleon interaction!



[Puget/Stecker/Bredekamp'76; MA/Taylor'10]



# Approximate\* scaling law of energy densities

$$\omega_\nu \propto \underbrace{\sum_i A_i^{2-\gamma_i} \frac{E_{\text{th}}^2 Q_i(E_{\text{th}})}{2-\gamma_i}}_{\text{composition}} \times \underbrace{\int_0^{z_{\text{max}}} dz \frac{(1+z)^{n+\gamma_i-4}}{H(z)}}_{\text{evolution}}$$

## \* disclaimer:

- source composition  $Q_i$  with mass number  $A_i$  and index  $\gamma_i$
- applies only to models with large rigidity cutoff  $E_{\text{max},i} \gg A_i \times E_{\text{GZK}}$

*previous examples* ( $z_{\text{max}} = 2$  &  $\gamma = 2.3$ ):

- 100% proton:  $n = 5$  &  $E_{\text{max}} = 10^{20.5}$  eV  
 $\omega_\gamma \propto 1 \times 12$
- 100% iron:  $n = 0$  &  $E_{\text{max}} = 26 \times 10^{20.5}$  eV  
 $\omega_\gamma \propto 0.27 \times 0.5$

✓ **relative difference:**  $\sim 82$ .

# Nucleon Cascade

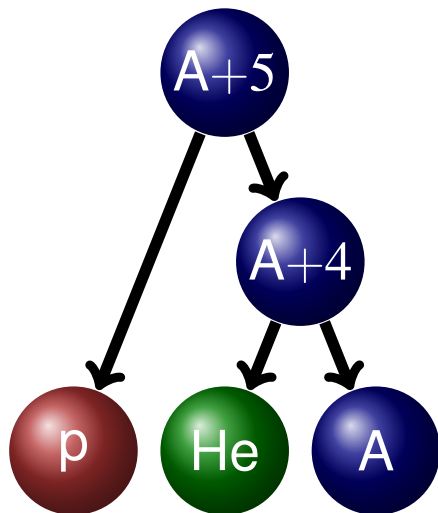
- Observed composition is result of source composition and nucleon cascades.
- **Backtracking** conserves energy per nucleon.
- ✗ Bethe-Heitler (BH) loss breaks this approximation

$$b_{A,BH}(E) \simeq Z^2 \times b_{p,BH}(E/A)$$

→ **Minimal cosmogenic neutrino** production from fit to Auger data assuming:

- **maximal** backtracking
- **minimal** BH loss

→ **minimal** nucleon emissivity



# Nucleon Cascade

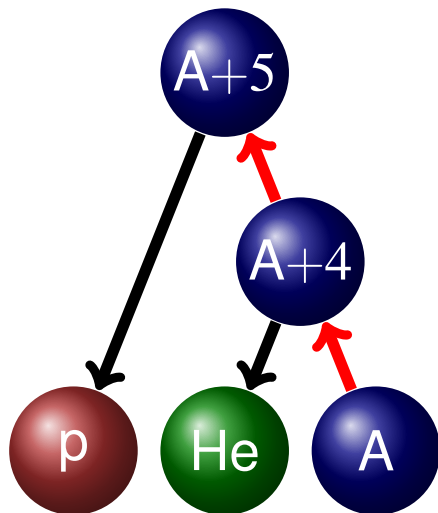
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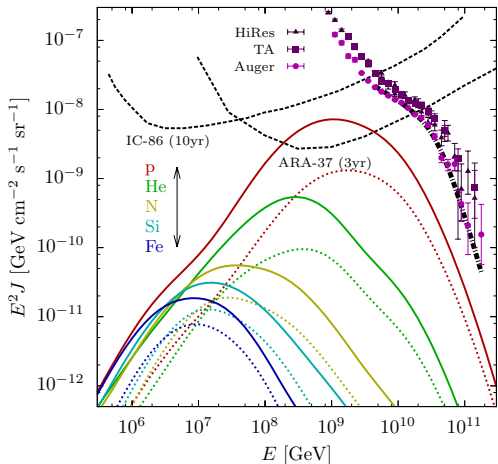
# Guaranteed cosmogenic neutrinos

- nucleon spectrum for observed mass number  $A_{\text{obs}}$ :

$$J_N^{\text{min}}(E_N) = A_{\text{obs}}^2 J_{\text{CR}}(A_{\text{obs}} E_N)$$

- dependence on cosmic evolution of sources:
  - no evolution (dotted)
  - star-formation rate (solid)
- **ultimate test** of UHE CR proton models with **ARA-37**
- generalization to arbitrary composition via

$$J_N^{\text{min}}(E_N) = \sum_i f_i(A_i E_N) A_i^2 J_{\text{CR}}(A_i E_N)$$



[MA&Halzen'12]

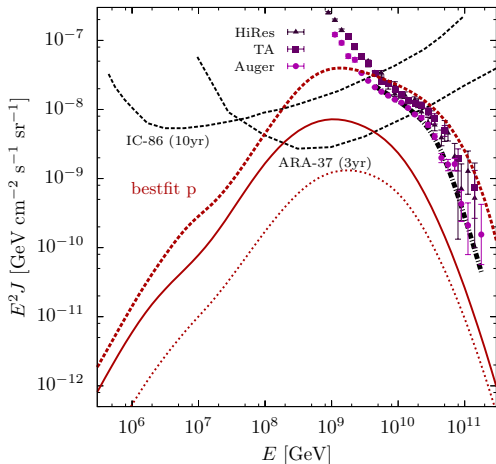
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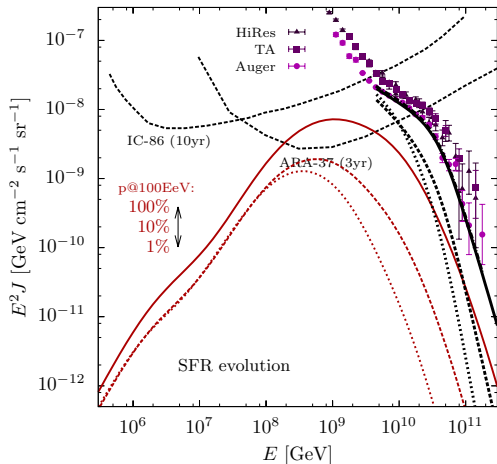
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[MA&Halzen'12]

# Summary

- ✓ Neutrino (non-)observatories have reached a sensitivity to **constrain** multi-messenger signals –  $\gamma$ -rays and UHE CRs – with “minimal” assumptions.
- ✗ **No surprises yet:** very high energy neutrino sky is dark.
- Neutrino “diagnostics” of UHE CR models; most effectively at PeV energies
  - Present neutrino limits challenge GRBs as the sources of UHE CRs; standard (“benchmark”) diffuse GRB neutrino predictions are ruled out by the IC40+59 results.
- ✓ IceCube also in reach of EeV cosmogenic neutrino flux predictions from proton-dominated CR models.
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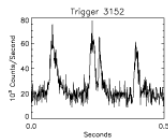
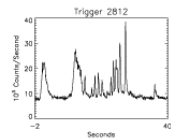
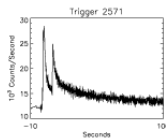
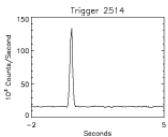
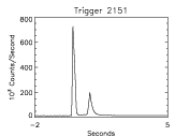
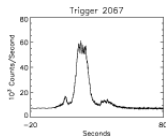
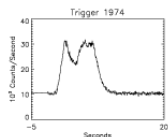
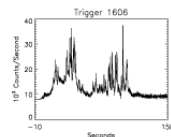
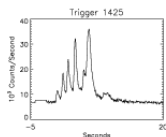
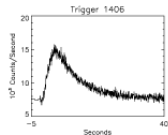
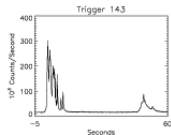
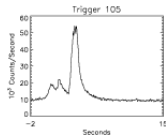
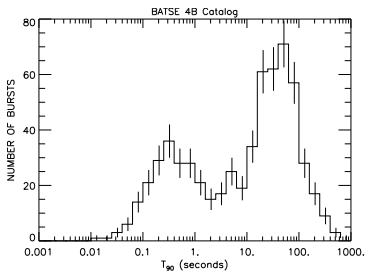
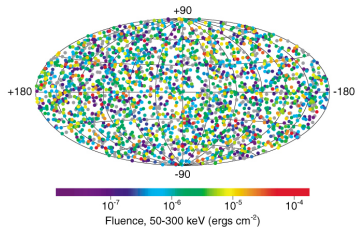
**Thank you for your attention!**



# Appendix

# Gamma-ray bursts (GRBs)

## 2704 BATSE Gamma-Ray Bursts

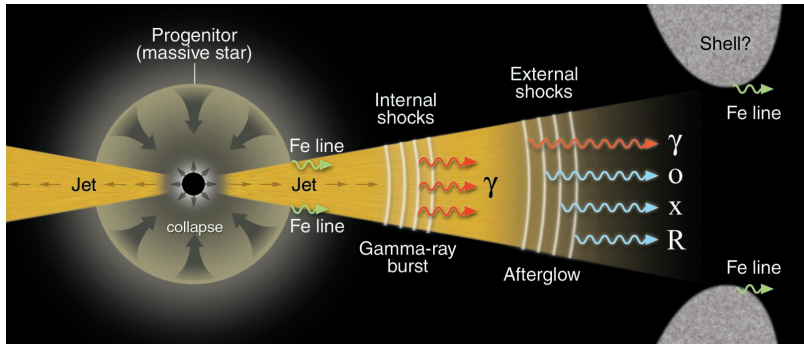


# Gamma-ray bursts & UHE CRs

- possible sources of UHE CRs:
  - ✓ comparable **energy density**:  $10^{53} \text{ erg t}_{\text{Hubble}}^{-3} \text{ day}^{-1} \simeq 10^{44} \text{ erg Mpc}^{-3} \text{ yr}^{-1}$
  - ✓ fulfill necessary conditions on time-scales (dynamical, cooling, acceleration) to reach **ultra-high energies** [Hillas'84]
  - ✓ acceleration of UHE CRs possible, *e.g.*, in **internal or external reverse shocks** [Vietri'95; Waxman'95]
- *smoking gun signal*: **neutrino production**
- Neutrino emission of GRBs is one of the best-tested models: [IceCube, Nature'12]
  - ✓ **cosmological sources** (“one per day and  $4\pi$ ”)
  - ✓ **wealth of data** from Swift and Fermi
  - ✓ good information on **timing and location** (→ background reduction)

# GRB neutrino emission

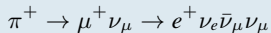
- Neutrino production at various stages of GRB, e.g.
  - **precursor**  $pp$  and  $p\gamma$  interactions in stellar envelope; also possible for “failed” GRBs [Razzaque,Meszáros&Waxman'03]
  - **burst**  $p\gamma$  interactions in internal shocks [Waxman&Bahcall'97]
  - **afterglow**  $p\gamma$  interactions in reverse external shocks [Waxman&Bahcall'00;Murase&Nagataki'06;Murase'07]



[Meszaros'01]

# Burst neutrino emission

- neutrinos from meson production, e.g.

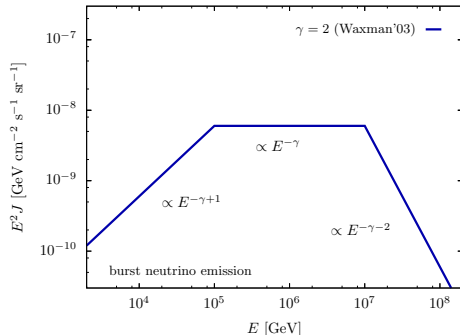


- spectra shaped by burst and proton spectrum and synchrotron loss of pions and muons before decay

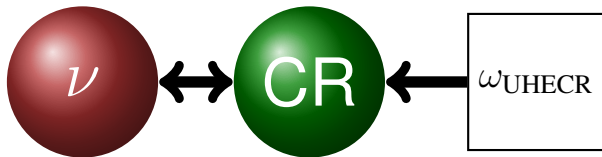
[Waxman & Bahcall'97]

- for typical burst spectra this c s a “plateau” of neutrinos

$$100 \text{ TeV} \lesssim E_\nu \lesssim 10 \text{ PeV}$$

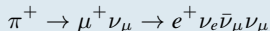


→ Different models for absolute normalization:



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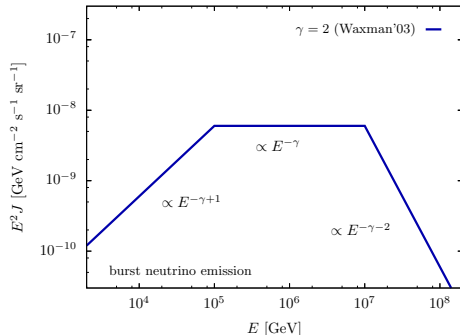


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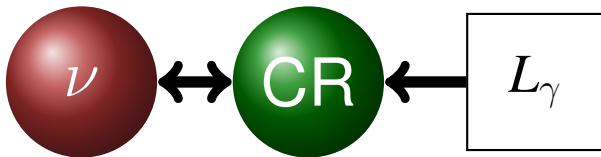
[Waxman & Bahcall'97]

- for typical burst spectra this results in a "plateau" of neutrinos

$$100 \text{ TeV} \lesssim E_\nu \lesssim 10 \text{ PeV}$$



→ Different models for absolute normalization:



# Neutrino production from $p\gamma$

- internal photon spectrum inferred from observed luminosity ( $L_\gamma$ )

$$\frac{L_\gamma}{4\pi} \underbrace{\frac{1}{r_{\text{dis}}^2 \Gamma^2}}_{\text{inferred/modeled}} \simeq \int d\epsilon n_\gamma(\epsilon)$$

- opacity of  $p\gamma$  collision ( $\epsilon_{\text{min}} = (m_\Delta^2 - m_p^2)/4E_p$ )

$$\tau_{p\gamma}(E_p) = \frac{t_{\text{dyn}}}{t_{p\gamma}(E_p)} \simeq t_{\text{dyn}} \underbrace{\left( \frac{\pi \Gamma_\Delta \sigma_0 m_\Delta^3}{2 m_\Delta^2 - m_p^2} \right)}_{0.04} \frac{m_p^2}{E_p^2} \int_{\epsilon_{\text{min}}} \frac{d\epsilon}{\epsilon^2} n_\gamma(\epsilon)$$

- pion to proton spectrum with inelasticity  $\kappa \simeq 0.2$

$$E_\pi^2 J_\pi(E_\pi) \simeq \underbrace{\left( 1 - e^{-\kappa \tau_{p\gamma}(E_p)} \right)}_{f_\pi(E_p)} E_p^2 J_p(E_p)$$

- final neutrino spectra after meson/muon **cooling in magnetic fields**

# GRB flux normalization

- Neutrino predictions depend on **model and normalization**:

## A GRB as **the** source of UHE CRs?

- calculate the pion energy fraction  $f_\pi$  in  $p\gamma$  interactions
- normalize to UHE CRs

[Waxman & Bahcall'97]

## A' GRB as **the** source of UHE CR neutrons?

- independent of  $f_\pi$
- normalize to UHE CRs

[Rachen & Mészáros'98; MA, Gonzalez-Garcia & Halzen'11]

## B GRB as **one** source of (UHE) CRs?

- use bolometric energy arguments about internal energy densities  $U$  in shock

$$U_B = \epsilon_B U_{\text{tot}} \quad U_e = \epsilon_e U_{\text{tot}} \quad U_p = \epsilon_p U_{\text{tot}}$$

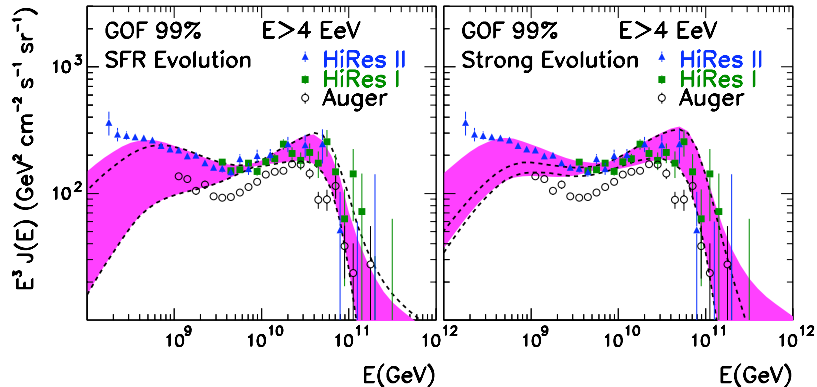
- by construction,  $\epsilon_B + \epsilon_e + \epsilon_p \lesssim 1$ , **but otherwise not well constrained**
- calculate the pion energy fraction  $f_\pi$  in  $p\gamma$  interactions
- normalize to CRs in individual bursts,  $U_p = (\epsilon_p/\epsilon_e)U_{\text{burst}}$  [Guetta *et al.*'04; He *et al.*'12]



# GRB model-dependence

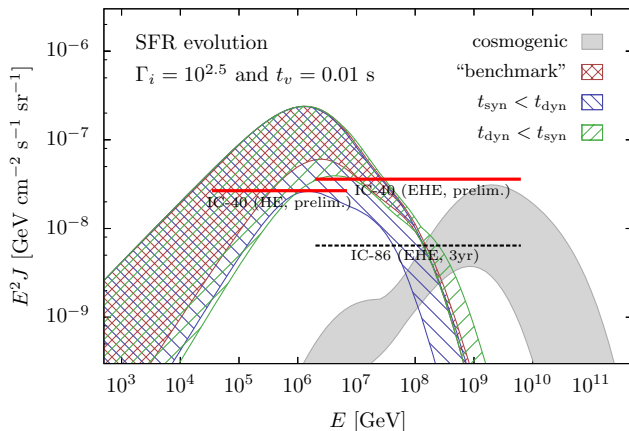
- The parameters  $\Gamma_i$ ,  $\epsilon_p$  and  $\epsilon_e$  are in general **fudge-factors**; some indirect observation by GRB afterglow emission.
- Model hierarchy: “**A**  $\rightarrow$  **B**” or “**not B**  $\rightarrow$  **not A**”
- **Heavy nuclei** acceleration in internal shocks?
  - issues for model **A**; large internal shock radii and/or large Lorentz factors needed to reach UHEs [Wang,Razzaque&Meszaros'08;Murase *et al.*'08]
  - generally **lower neutrino luminosity** due to limited photon density
- Diffuse limits have also dependence on the **stochasticity** of the tested GRB ensemble. [Baerwald,Hümmer&Winter'11]
- Revised calculations of **pion fraction**  $f_\pi$  produce *lower values* than the standard parametrization [Li'11; Baerwald,Hümmer&Winter'11;He *et al.*'12]
- CR production via **neutron emission** (model **A'**) relates neutrinos and CR protons *independent* of the absolute value  $f_\pi$ ; scenario largely ruled out by IC40+59. [MA/Gonzalez-Garcia/Halzen'11]

# Neutron emission (model A')



- fit of spectrum to HiRes data above ankle:  $\mathcal{L}(0, E) \propto E^{-\gamma} / (1 + (E_{p,b}/E)) e^{-E/E_{\max}}$
- “SFR” : evolution following star formation rate [Hopkins&Beacom'06; Yuksel *et al.*'08]
- “strong” :  $\mathcal{L}_{\text{strong}}(z, E) = (1 + z)^{1.4} \mathcal{L}_{\text{SFR}}(z, E)$  [Yuksel&Kistler'06]

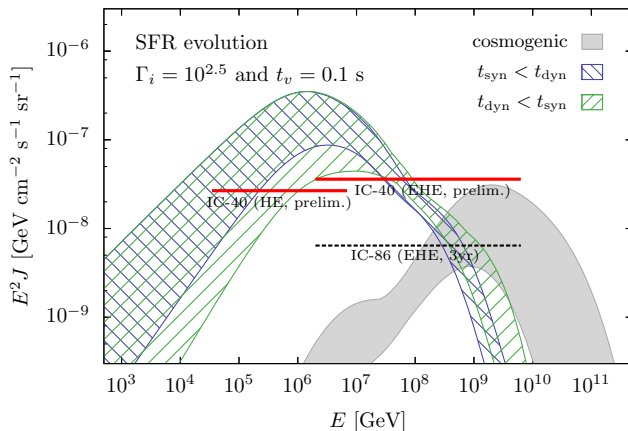
# Neutron emission (model A')



- **model A'** hypothesis: UHE CRs production in GRBs via **neutron emission**
- scan over luminosity range  $0.1 < (\varepsilon_B/\varepsilon_e)L_{\gamma,52} < 10$
- fit requires softer injection spectra

[MA/Gonzalez-Garcia/Halzen'11]

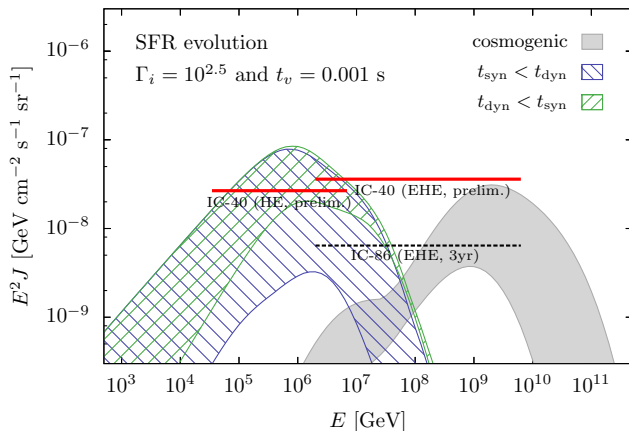
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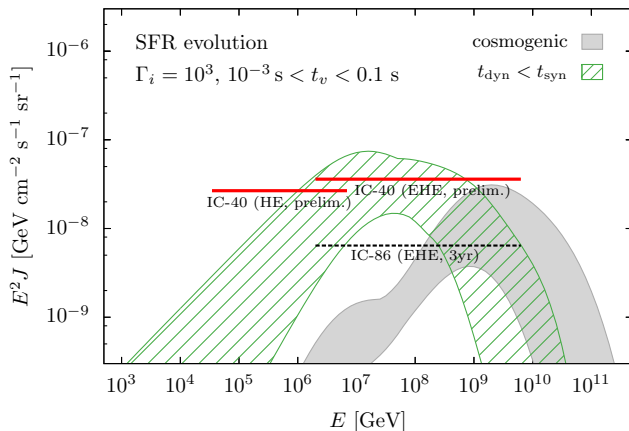
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