

# Kaon Physics: Theory Overview

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## ① Theoretical Framework.

Short and long-distance physics

## ② Leptonic and Semileptonic Decays.

Lepton Universality. CKM determinations

## ③ Nonleptonic Decays.

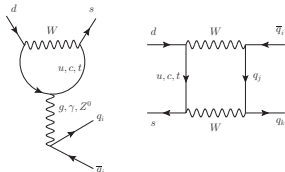
Octet Enhancement.  $\varepsilon'/\varepsilon$

## ④ Rare and Radiative Decays.

$K \rightarrow \pi\nu\bar{\nu}$ ,  $K \rightarrow \pi\ell^+\ell^-$ ,  $K \rightarrow \pi\gamma\gamma \dots$

# 1. Theoretical Framework

- Sensitivity to Short-Distance Scales:**

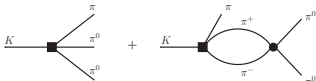


Charm mass prediction

Top quark

New Physics ?

- Long-Distance Physics:**



Chiral Dynamics

- Multi-Scale Problem:**

$\log(M/\mu)$

(OPE),

$\log(\mu/m_\pi)$


( $\chi$ PT)

$M_W$ 

$$\begin{array}{c}
 W, Z, \gamma, g \\
 \tau, \mu, e, \nu_i \\
 t, b, c, s, d, u
 \end{array}$$

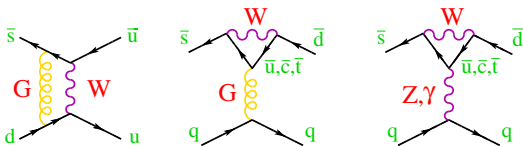
Standard Model

 OPE
 $\lesssim m_c$ 

$$\begin{array}{c}
 \gamma, g; \mu, e, \nu_i \\
 s, d, u
 \end{array}$$
 $\mathcal{L}_{\text{QCD}}^{(n_f=3)}, \mathcal{L}_{\text{eff}}^{\Delta S=1,2}$ 
  $N_C \rightarrow \infty$ 
 $M_K$ 

$$\begin{array}{c}
 \gamma; \mu, e, \nu_i \\
 \pi, K, \eta
 \end{array}$$
 $\chi\text{PT}$

# $\Delta S = 1$ TRANSITIONS



$$\mathcal{L}_{\text{eff}}^{\Delta S=1} = -\frac{G_F}{\sqrt{2}} V_{ud} V_{us}^* \sum_i C_i(\mu) Q_i(\mu)$$

$$\begin{aligned} Q_1 &= (\bar{s}_\alpha u_\beta)_{V-A} (\bar{u}_\beta d_\alpha)_{V-A} & Q_2 &= (\bar{s}u)_{V-A} (\bar{u}d)_{V-A} \\ Q_{3,5} &= (\bar{s}d)_{V-A} \sum_q (\bar{q}q)_{V\mp A} & Q_4 &= (\bar{s}_\alpha d_\beta)_{V-A} \sum_q (\bar{q}_\beta q_\alpha)_{V-A} \\ Q_{7,9} &= \frac{3}{2} (\bar{s}d)_{V-A} \sum_q e_q (\bar{q}q)_{V\pm A} & Q_{10} &= \frac{3}{2} (\bar{s}_\alpha d_\beta)_{V-A} \sum_q e_q (\bar{q}_\beta q_\alpha)_{V-A} \\ Q_6 &= -8 \sum_q (\bar{s}_L q_R) (\bar{q}_R d_L) & Q_8 &= -12 \sum_q e_q (\bar{s}_L q_R) (\bar{q}_R d_L) \\ Q_{11,12} &= (\bar{s}d)_{V-A} \sum_\ell (\bar{\ell}\ell)_{V,A} & Q_{13} &= (\bar{s}d)_{V-A} \sum_\nu (\bar{\nu}\nu)_{V-A} \end{aligned}$$

- $q > \mu$ :  $C_i(\mu) = z_i(\mu) - y_i(\mu) (V_{td} V_{ts}^* / V_{ud} V_{us}^*)$

$$O(\alpha_s^{n+1} t^n), O(\alpha_s^{n+1} t^n)$$

$$[t \equiv \log(M/m)]$$

(Munich / Rome)

- $q < \mu$ :  $\langle \pi\pi | Q_i(\mu) | K \rangle$  ?

Physics does not depend on  $\mu$

# CHIRAL PERTURBATION THEORY ( $\chi$ PT)

- Expansion in powers of  $p^2/\Lambda_\chi^2$ :  $\mathcal{A} = \sum_n \mathcal{A}^{(n)}$  ( $\Lambda_\chi \sim 4\pi F_\pi \sim 1.2 \text{ GeV}$ )
- Amplitude structure fixed by chiral symmetry

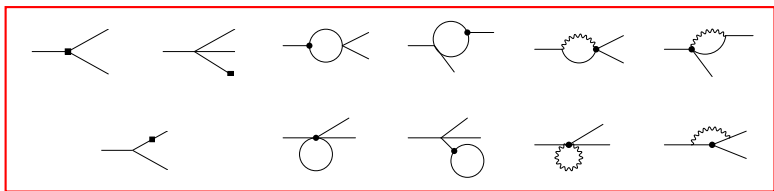
$$\text{SU}(3)_L \otimes \text{SU}(3)_R \rightarrow \text{SU}(3)_V$$

- Short-distance dynamics encoded in Low-Energy Couplings
- $\text{O}(p^2)$   $\chi$ PT: (Goldstone interactions:  $\pi, K, \eta$ )

$$\mathcal{L}_2^{\Delta S=1} = G_8 F^4 \langle \lambda L_\mu L^\mu \rangle + G_{27} F^4 \left( L_{\mu 23} L_{11}^\mu + \frac{2}{3} L_{\mu 21} L_{13}^\mu \right)$$
$$G_R \equiv -\frac{G_F}{\sqrt{2}} V_{ud} V_{us}^* \mathbf{g}_R \quad ; \quad L_\mu = -iU^\dagger D_\mu U \quad ; \quad \lambda \equiv \frac{1}{2} \lambda_{6-17} \quad ; \quad U \equiv \exp \{ i\sqrt{2} \Phi / F \}$$

- Loop corrections ( $\chi$ PT logarithms) unambiguously predicted
- LECs can be determined at  $N_C \rightarrow \infty$  (matching)
- $\text{O}(p^2)$  LECs ( $G_8, G_{27}$ ) can be phenomenologically determined

# O [p<sup>4</sup>, (m<sub>u</sub> - m<sub>d</sub>) p<sup>2</sup>, e<sup>2</sup>p<sup>0</sup>, e<sup>2</sup>p<sup>2</sup>] $\chi$ PT



- **Nonleptonic weak Lagrangian:**  $\mathcal{O}(G_F p^4)$

$$\mathcal{L}_{\text{weak}}^{(4)} = \sum_i G_8 N_i F^2 O_i^8 + \sum_i G_{27} D_i F^2 O_i^{27} + \text{h.c.}$$

- **Electroweak Lagrangian:**  $\mathcal{O}(G_F e^2 p^{0,2})$

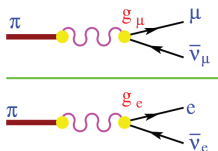
$$\mathcal{L}_{\text{EW}} = e^2 F^6 G_8 g_{\text{ew}} \langle \lambda U^\dagger Q U \rangle + e^2 \sum_i G_8 Z_i F^4 O_i^{\text{EW}} + \text{h.c.}$$

- $\mathcal{O}(e^2 p^{0,2})$  **Electromagnetic** +  $\mathcal{O}(p^4)$  **Strong:**  $Z, K_i, L_i$

## 2. (Semi) Leptonic Decays

**Lepton Universality:**

$$R_{e/\mu}^{(P)} \equiv \frac{\Gamma(P^- \rightarrow e^- \bar{\nu}_e)}{\Gamma(P^- \rightarrow \mu^- \bar{\nu}_\mu)}$$



$$R_{e/\mu}^{(\pi)} = (1.2352 \pm 0.0001) \cdot 10^{-4}$$

$$R_{e/\mu}^{(K)} = (2.477 \pm 0.001) \cdot 10^{-5}$$

Cirigliano-Rosell '07

$$R_{e/\mu}^{(\pi)} \Big|_{\text{exp}} = (1.230 \pm 0.004) \cdot 10^{-4}$$

$$R_{e/\mu}^{(K)} \Big|_{\text{exp}} = (2.488 \pm 0.012) \cdot 10^{-5}$$

(KLOE, NA62)

$$\frac{|g_\mu|}{|g_e|} = \begin{cases} 1.0021 \pm 0.0016 & \pi \rightarrow \mu/e \\ 0.9978 \pm 0.0024 & K \rightarrow \mu/e \\ 1.0010 \pm 0.0025 & K \rightarrow \pi \mu/e \\ 1.0018 \pm 0.0014 & \tau \rightarrow \mu/e \end{cases}$$

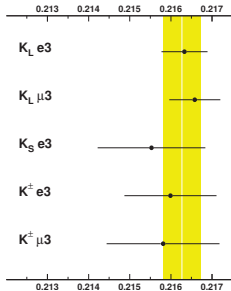


$$K \rightarrow \pi \ell \nu_\ell$$

$$|\mathbf{V}_{us} f_+(0)| = 0.2163 \pm 0.0005$$

Flavianet Kaon WG, arXiv:1005.2323 [hep-ph]

$$\langle \pi^- | \bar{s} \gamma_\mu u | K^0 \rangle = (p_\pi + p_K)_\mu f_+(t) + (p_K - p_\pi)_\mu f_-(t)$$

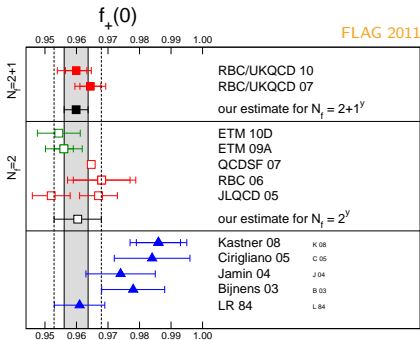
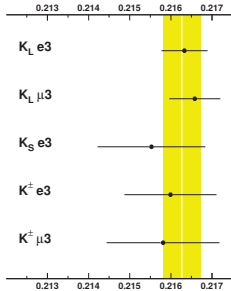


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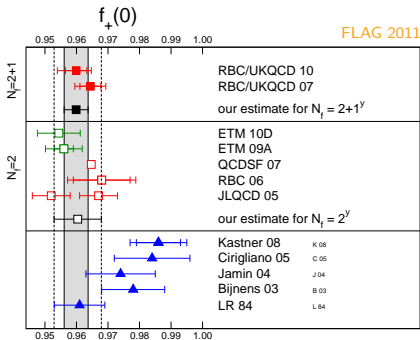
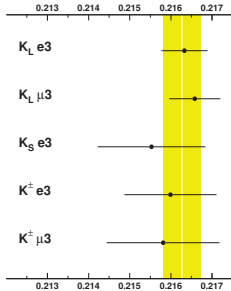


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$$f_+(0) = 0.9599(34)^{(+31)}_{(-47)}(14) \quad (\text{RBC/UKQCD})$$

$$\rightarrow |V_{us}| = 0.2255(14)$$

$$f_+(0) = 0.97 \pm 0.01$$

$$\rightarrow |V_{us}| = 0.2230(24)$$

Large  $\mathcal{O}(p^6)$   $\chi$ PT correction

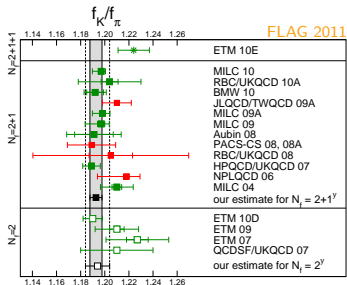
$$\Gamma(K^+ \rightarrow \mu^+ \nu_\mu) / \Gamma(\pi^+ \rightarrow \mu^+ \nu_\mu):$$

(Cirigliano-Neufeld 2011)

$$\left| \frac{V_{us} f_K}{V_{ud} f_\pi} \right| = 0.2763 \pm 0.0005$$



$$\left| \frac{V_{us}}{V_{ud}} \right| = 0.2316 \pm 0.0012$$



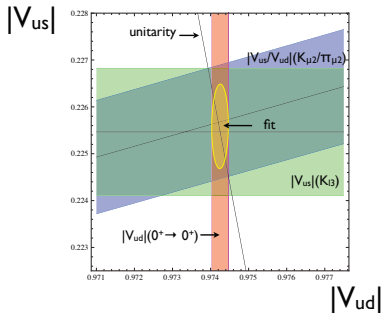
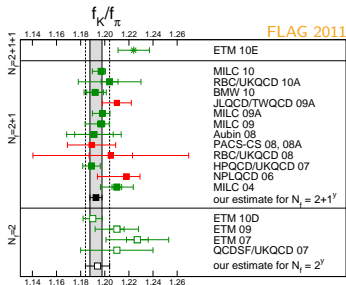
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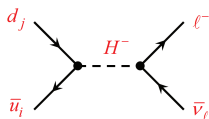
$$\text{Fit: } |V_{ud}| = 0.97425 \pm 0.00022$$

$$|V_{ud}| = 0.2256 \pm 0.0009$$

$$\Delta_{\text{CKM}} \equiv |V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 - 1 = 0.0001 \pm 0.0006$$

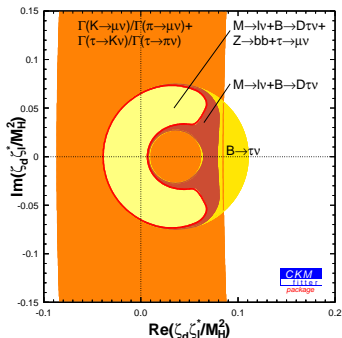
# Constraints on the aligned 2-Higgs-doublet model:

(95% CL, Jung-Pich-Tuzón)

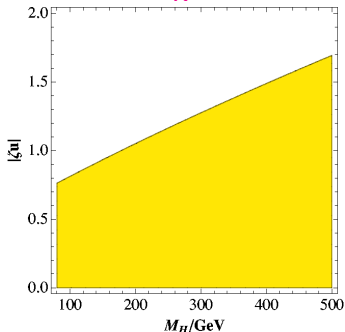


$$\mathcal{L}_Y = -\frac{\sqrt{2}}{v} H^+ \left\{ \bar{u} [S_d V_{CKM} M_d P_R - S_u M_u^\dagger V_{CKM} P_L] d + S_l (\bar{\nu} M_l P_R l) \right\} + \text{h.c.}$$

## Semileptonic



## $\epsilon_K$



# 3. Nonleptonic Decays

- **Octet Enhancement:**  $\frac{A(K \rightarrow \pi\pi)_{I=0}}{A(K \rightarrow \pi\pi)_{I=2}} \approx 22$ 
  - Short-distance: gluonic corrections, penguins
  - Long-distance: large  $\chi$ PT corrections (FSI)
  - Ongoing Lattice efforts

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• **Octet Enhancement:**  $\frac{A(K \rightarrow \pi\pi)_{I=0}}{A(K \rightarrow \pi\pi)_{I=2}} \approx 22$

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- Ongoing Lattice efforts

• **Direct CP Violation:**

$$\eta_{ij} \equiv \frac{A(K_L \rightarrow \pi^i \pi^j)}{A(K_S \rightarrow \pi^i \pi^j)}$$

$$\text{Re}(\epsilon'/\epsilon) = \frac{1}{3} \left( 1 - \left| \frac{\eta_{00}}{\eta_{+-}} \right| \right) = (16.8 \pm 1.4) \cdot 10^{-4} \quad (\text{NA31, E731, NA48, KTeV})$$

$$\text{Re}(\epsilon'/\epsilon)_{\text{SM}} = (19 \pm 2^{+9}_{-6} \pm 6) \cdot 10^{-4} \quad (\text{Pallante-Pich-Scimemi})$$



# Anatomy of $\varepsilon'/\varepsilon$ calculation

$$\frac{\varepsilon'_K}{\varepsilon_K} \sim \left[ \frac{105 \text{ MeV}}{m_s(2 \text{ GeV})} \right]^2 \left\{ B_6^{(1/2)} (1 - \Omega_{\text{eff}}) - 0.4 B_8^{(3/2)} \right\}$$

- ①  $O(p^4)$   $\chi$ PT Loops: **Large correction** (FSI) Pallante-Pich-Scimemi
- ②  $O(p^4)$  LECs fixed at  $N_C \rightarrow \infty$ : **Small correction**
- ③ Isospin Breaking  $O[(m_u - m_d)p^2, e^2 p^2]$ : **Sizeable corrections**

$$\Omega_{\text{eff}} = 0.06 \pm 0.08$$

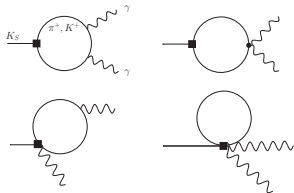
Cirigliano-Ecker-Neufeld-Pich

- ④  $O(p^4)$  LECs  $[\text{Re}(g_8), \text{Re}(g_{27})]$  and phase-shifts fitted to data
- ⑤  $m_s(2 \text{ GeV}) = 110 \pm 20 \text{ MeV}$  (quark condensate)

# 4. Rare and Radiative Decays

$$K^0 \rightarrow \gamma\gamma$$

Long-distance dynamics



Finite loop:

$$\text{Br}_{\text{LO}} = 2.0 \cdot 10^{-6}$$

(D'Ambrosio-Espriu, Goity)

$$\text{Br}(K_S \rightarrow \gamma\gamma) = (2.63 \pm 0.17) \cdot 10^{-6}$$

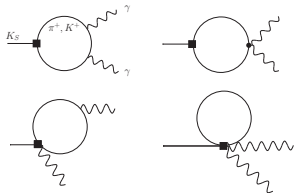
Agreement at  $O(p^6)$  (FSI)

$$K_S \rightarrow \pi\pi \rightarrow \pi^+\pi^- \rightarrow \gamma\gamma$$

(Kambor-Holstein, Buchalla et al)

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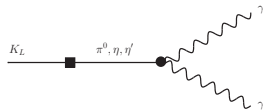
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$$K_S \rightarrow \pi\pi \rightarrow \pi^+\pi^- \rightarrow \gamma\gamma$$

(Kambor-Holstein, Buchalla et al)

Long-distance dynamics



$$\text{Br}(K_L \rightarrow \gamma\gamma) = (5.47 \pm 0.04) \cdot 10^{-4}$$

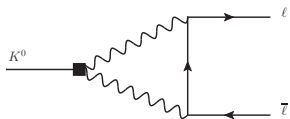
**WZW Anomaly**

$$\mathbf{T}_{\text{LO}} = \mathbf{0} \quad [\mathcal{O}(p^4), \text{ GMO cancel.}]$$

$\mathcal{O}(p^6)$ : SU(3) breaking,  $\eta$ - $\eta'$  mixing

**Well understood**

$$K^0 \rightarrow l^+ l^-$$



$$K_S \rightarrow l^+ l^-$$

## Long-distance dynamics

### Finite 2-loop amplitude: (Ecker-Pich)

$$\text{Br}(K_S \rightarrow e^+ e^-)_{\text{LO}} = 2.1 \cdot 10^{-14}$$

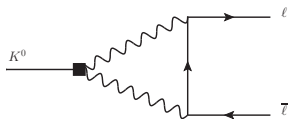
$$\text{Br}(K_S \rightarrow \mu^+ \mu^-)_{\text{LO}} = 5.1 \cdot 10^{-12}$$

$$\text{Br}(K_S \rightarrow e^+ e^-)_{\text{exp}} < 9 \cdot 10^{-9}$$

$$\text{Br}(K_S \rightarrow \mu^+ \mu^-)_{\text{exp}} < 3.2 \cdot 10^{-7}$$

(90% CL)

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**Long-distance dynamics**

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(90% CL)

$$K_L \rightarrow l^+ l^-$$

$$\text{Br}(K_L \rightarrow \mu^+ \mu^-) = (6.84 \pm 0.11) \cdot 10^{-9}$$

$$\text{Br}(K_L \rightarrow e^+ e^-) = (9_{-4}^{+6}) \cdot 10^{-12}$$

**Saturated by absorptive contrib.**

**Local counterterm**  $\longleftrightarrow$  **SD**

**LD extracted from**  $\pi^0, \eta \rightarrow l^+ l^-$

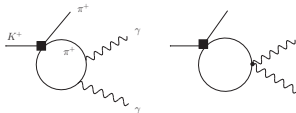
(Gomez-Dumm, Pich)

**Fitted SD contrib. agrees with SM**

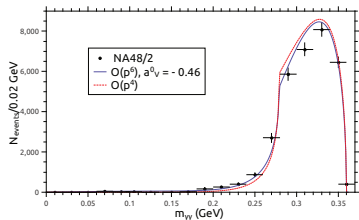
**Longitudinal Polarization:** (Ecker-Pich)

$$|\mathcal{P}_L| = (2.6 \pm 0.4) \cdot 10^{-3}$$

$$K \rightarrow \pi \gamma \gamma$$



$$\text{Br}(K_L \rightarrow \pi^0 \gamma \gamma) = (1.27 \pm 0.03) \cdot 10^{-6}$$



**Finite 1-loop amplitude  $[\mathcal{O}(p^4)]$ :**

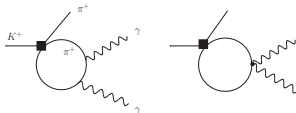
$$\text{Br}(K_L \rightarrow \pi^0 \gamma \gamma)_{\text{LO}} = 6.8 \cdot 10^{-7}$$

(Ecker-Pich-de Rafael, Capiello-D'Ambrosio, Sehgal)

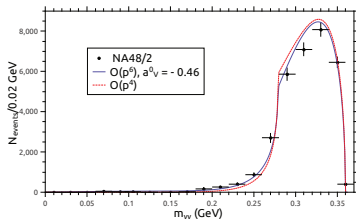
$\mathcal{O}(p^6)$  unitarity corrections needed

(Cohen et al, Capiello et al, D'Ambrosio-Portolés)

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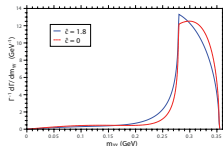
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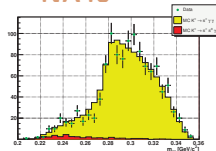
(Cohen et al, Capiello et al, D'Ambrosio-Portolés)

$$\text{Br}(K^+ \rightarrow \pi^+ \gamma \gamma) = (1.1 \pm 0.3) \cdot 10^{-6}$$

(BNL-E787)



NA48



**Local  $\mathcal{O}(p^4)$  LEC:**

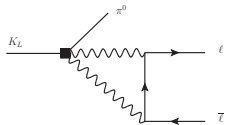
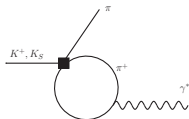
(Ecker-Pich-de Rafael)

$$\hat{c} = 1.6(1.8) \pm 0.6 \quad \text{at } \mathcal{O}(p^4) \quad (p^6)$$

Small higher-order corrections

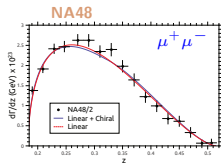
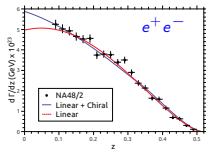
(D'Ambrosio-Portolés)

$$K \rightarrow \pi l^+ l^-$$



$$\text{Br}(K^\pm \rightarrow \pi^\pm e^+ e^-) = 3.14 (10) \cdot 10^{-7}$$

$$\text{Br}(K^\pm \rightarrow \pi^\pm \mu^+ \mu^-) = 9.62 (25) \cdot 10^{-8}$$



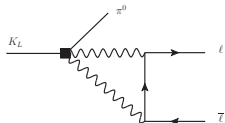
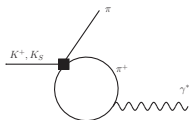
**Local  $\mathcal{O}(p^4)$  LECs** (Ecker-Pich-de Rafael)

Electromagn. transition form factor

$\mathcal{O}(p^6)$  corrections (D'Ambrosio et al)

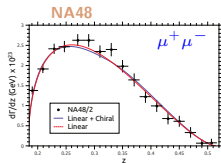
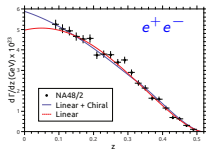


$$K \rightarrow \pi \ell^+ \ell^-$$



$$\text{Br}(K^\pm \rightarrow \pi^\pm e^+ e^-) = 3.14 (10) \cdot 10^{-7}$$

$$\text{Br}(K^\pm \rightarrow \pi^\pm \mu^+ \mu^-) = 9.62 (25) \cdot 10^{-8}$$



Local  $\mathcal{O}(p^4)$  LECs (Ecker-Pich-de Rafael)

Electromagn. transition form factor

$\mathcal{O}(p^6)$  corrections (D'Ambrosio et al)

$$\text{Br}(K_L \rightarrow \pi^0 e^+ e^-) < 2.8 \cdot 10^{-10}$$

$$\text{Br}(K_L \rightarrow \pi^0 \mu^+ \mu^-) < 3.8 \cdot 10^{-10}$$

(KTeV, 90% CL)

3 contributions: (Ecker-Pich-de Rafael)

- Direct  $C\mathcal{P}$
- Indirect  $C\mathcal{P}$
- CP conserving ( $2\gamma$ )

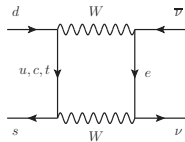
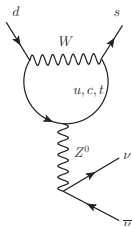
$C\mathcal{P}$  dominates for  $e^+ e^-$ :

$$\text{Br}(K_L \rightarrow \pi^0 e^+ e^-) = 3.1 (0.9) \cdot 10^{-11}$$

(Buchalla et al)

$$K \rightarrow \pi \nu \bar{\nu}$$

$$T \sim F \left( V_{is}^* V_{id}, \frac{m_i^2}{M_W^2} \right) (\bar{\nu}_L \gamma_\mu \nu_L) \langle \pi | \bar{s}_L \gamma^\mu d_L | K \rangle$$



**Negligible long-distance contribution**

$$\text{Br}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (7.8 \pm 0.8) \cdot 10^{-11} \sim A^4 \left[ \eta^2 + (1.4 - \rho)^2 \right]$$

$$\text{Br}(K_L \rightarrow \pi^0 \nu \bar{\nu}) = (2.4 \pm 0.4) \cdot 10^{-11} \sim A^4 \eta^2$$

Buras et al

$$\mathcal{A}(K_L \rightarrow \pi^0 \nu \bar{\nu}) \neq 0$$



**Direct**

*C/P*

**BNL-E949:** few events!  $\rightarrow \text{Br}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (1.73_{-1.05}^{+1.15}) \cdot 10^{-10}$

**KEK-E391a:**  $\text{Br}(K_L \rightarrow \pi^0 \nu \bar{\nu}) < 2.6 \cdot 10^{-8}$  (90% CL)

**Ongoing Experiments:** NA62, KOTO (ORKA, Project-X)

# Summary

**Kaons continue providing important physics information:**

- Interesting interplay of short and long-distances
- Sensitive to heavy mass scales. **New Physics?**
- Superb probe of flavour dynamics and  $CP$
- Excellent testing ground of  $\chi$ PT dynamics

**Theoretical challenge:** precise control of QCD effects

**Increased sensitivities at ongoing experiments** ( $K \rightarrow \pi \nu \bar{\nu}$ )

**Future data could bring interesting surprises**