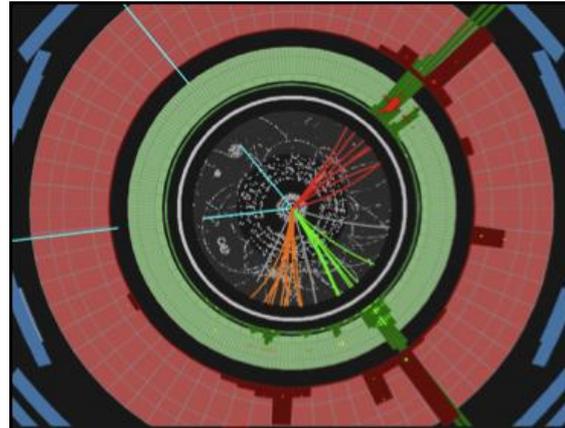


QCD at Colliders

Lecture 2

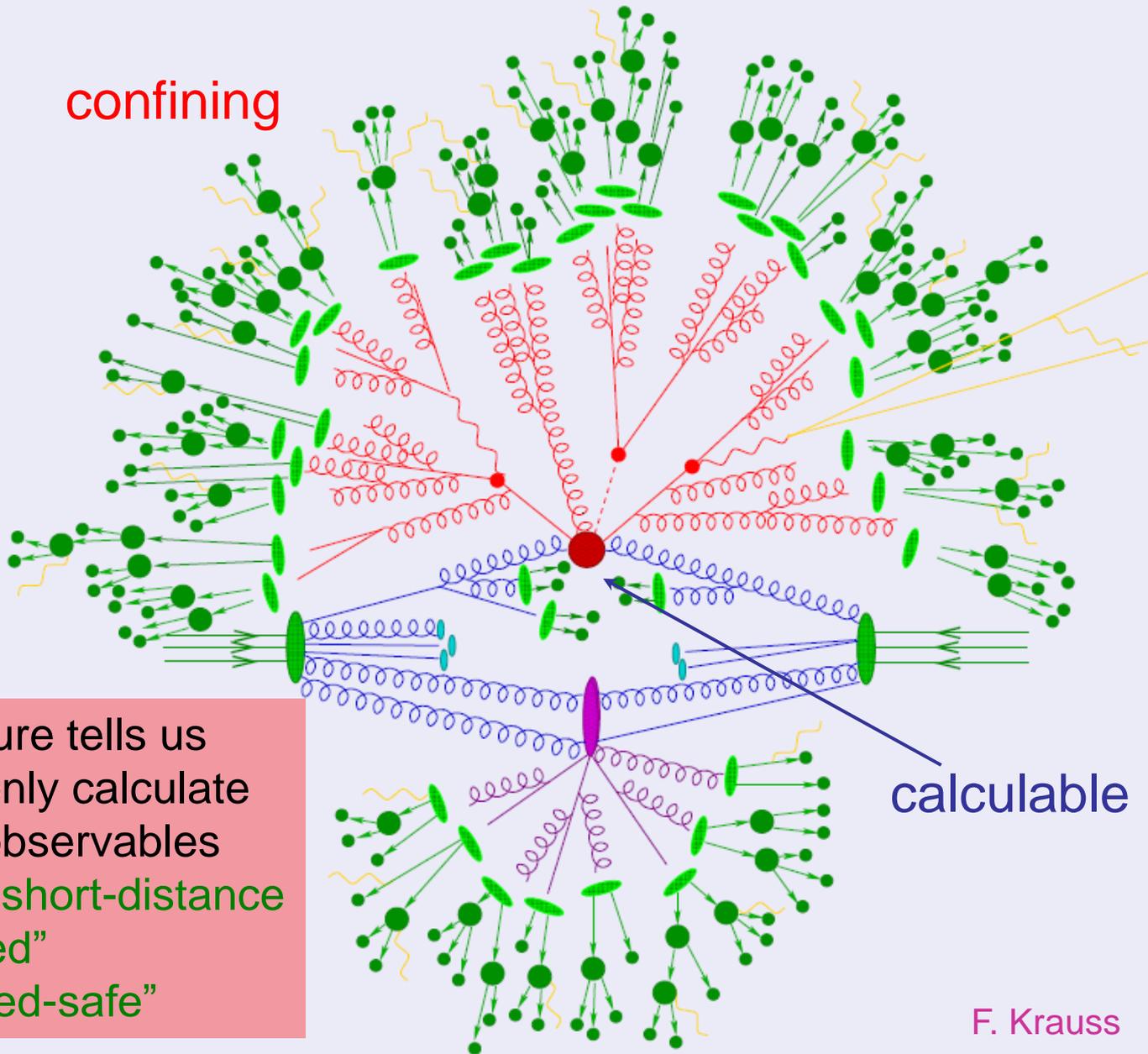
Jets and parton showers



Lance Dixon

2012 European School
of High Energy Physics

confining



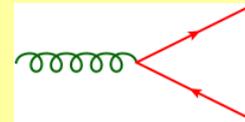
calculable

This picture tells us we can only calculate reliably observables that are “short-distance dominated” or “infrared-safe”

F. Krauss

Two ways to be IR unsafe

1) Ask a question which is sensitive to **collinear splitting**, such as “how many quarks are there in the event?”



2) Ask a question which is sensitive to **soft gluon** radiation, such as “what is the probability of no hadrons in this region of the detector?”

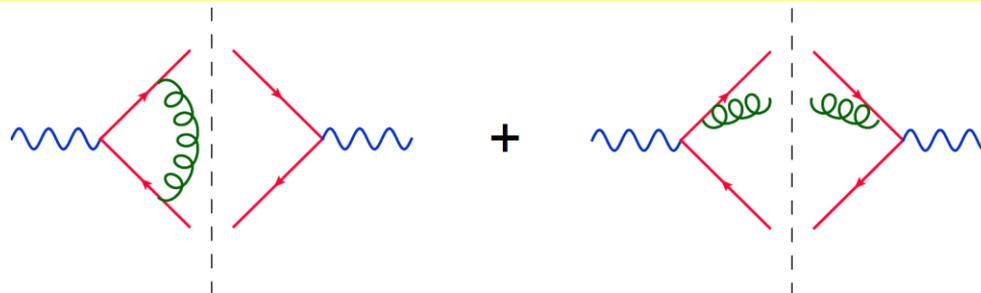
- Of course we could just look at the leptonic decay products of W 's, Z 's and Higgs bosons, but that would be rather restrictive
- **Jets** are a way to study hadronic energy flow, in a way that correlates pretty well with “the underlying partons”, and yet is **infrared safe** (for modern jet algorithms).

Technical definition of infrared safety

Infrared-safe observables \mathcal{O} are defined for an arbitrary number of partons (or hadrons) n , and satisfy:

$$\begin{aligned} \mathcal{O}_{n+1}(\dots, k_s, \dots) &\rightarrow \mathcal{O}_n(\dots, \cancel{k_s}, \dots) & k_s \rightarrow 0 \\ \mathcal{O}_{n+1}(\dots, k_a, k_b, \dots) &\rightarrow \mathcal{O}_n(\dots, k_P, \dots) & k_a \parallel k_b \end{aligned}$$

- Physically, insensitive to soft gluon emission and general collinear splitting.
- In perturbative QCD, virtual corrections with n partons cancel singularities from real emission with $n+1$ partons.



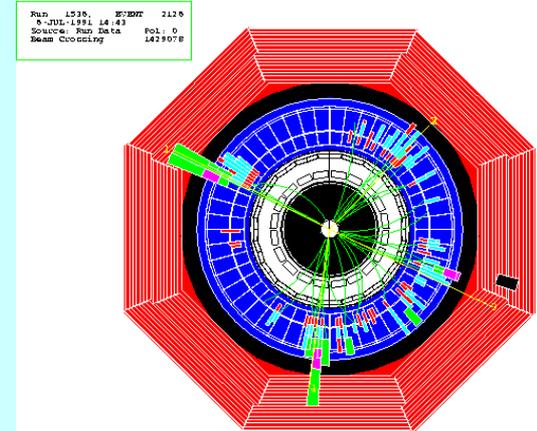
Jets in e^+e^- annihilation

Given a set of n particles $\{i\}$ (or clusters of energy in a calorimeter) define a “distance” measure d_{ij} , which vanishes when $i \parallel j$ or i or j becomes soft.

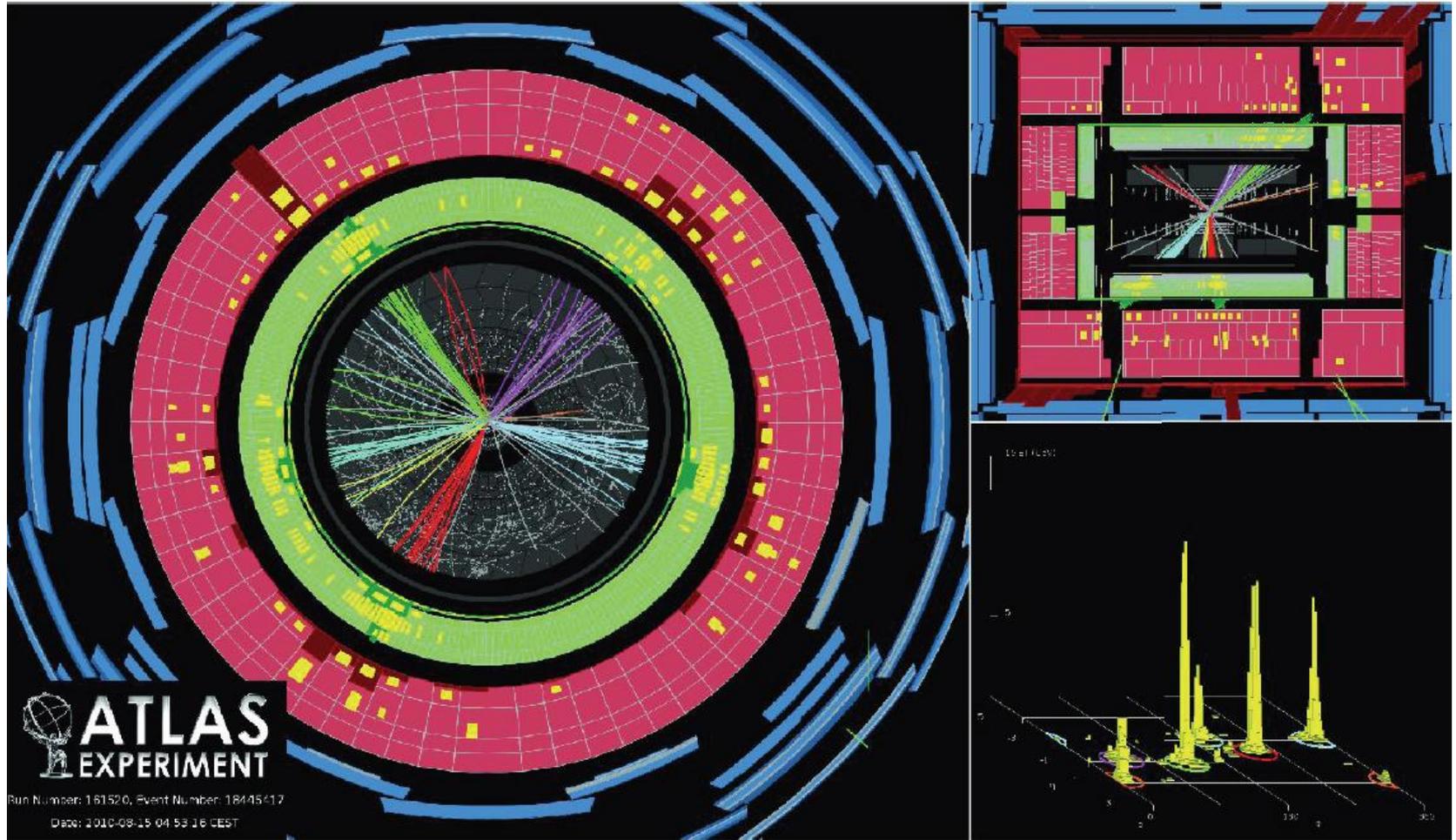
- For example (Durham/ k_t algorithm)

$$d_{ij} \equiv y_{ij}^D = \frac{2 \min(E_i^2, E_j^2)(1 - \cos \theta_{ij})}{Q^2}$$

- Cluster the two “closest” partons into a single proto-jet, assigning momentum by (say) $p_{ij} = p_i + p_j$
- Repeat procedure on the remaining $n-1$ proto-jets.
- Iterate until all $y_{ij} \gg y_{cut}$ (= jet resolution parameter)
- Remaining objects are jets.
- Properties depend on d_{ij}
- Automatically IR safe because starts with most soft/collinear



Jets at hadron colliders



A word about kinematics

- At a hadron collider, we have no idea where the “rest of the proton” went (mostly down the beampipe)
- Since we have no idea about longitudinal momentum conservation, we would like variables that transform simply under

longitudinal Lorentz boosts:

$$\begin{pmatrix} E \\ p_z \end{pmatrix} \rightarrow \begin{pmatrix} \gamma & \beta\gamma \\ \beta\gamma & \gamma \end{pmatrix} \begin{pmatrix} E \\ p_z \end{pmatrix} \quad \Rightarrow \quad E \pm p_z \rightarrow \gamma(1 \pm \beta)(E \pm p_z)$$

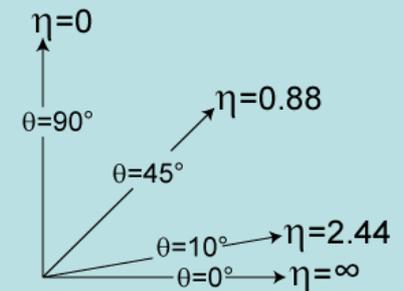
- **Rapidity** $y \equiv \frac{1}{2} \ln \left(\frac{E + p_z}{E - p_z} \right) \quad y \rightarrow y + \frac{1}{2} \ln \left(\frac{1 + \beta}{1 - \beta} \right)$

- For massless particles, rapidity is equal to

pseudorapidity:

$$\eta \equiv -\ln \tan \left(\frac{\theta}{2} \right) = \frac{1}{2} \ln \left(\frac{1 + \cos \theta}{1 - \cos \theta} \right) = \frac{1}{2} \ln \left(\frac{|\mathbf{p}| + p_z}{|\mathbf{p}| - p_z} \right)$$

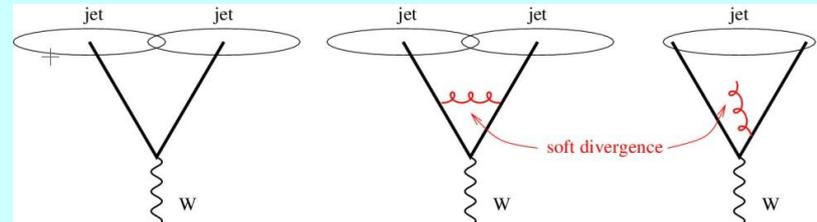
- Detector “coordinates”: (p_T, η, ϕ)



Jets at hadron colliders

- Historically, “cone algorithms” were used, rather than e^+e^- style “cluster algorithms”.

- However, definitions of “seed” for early cone algorithms



Salam
1011.5131

(where you start looking for a jet) were **not IR safe**.

- First cluster algorithm at hadron colliders was k_t algorithm (Catani et al., 1992; Ellis, Soper, 1993)

- “distance” measure d_{ij} modified to be invariant under longitudinal boosts:

$$d_{ij} = \min(p_{t,i}^2, p_{t,j}^2) \frac{\Delta R_{ij}^2}{R^2}$$

$$\Delta R_{ij}^2 = (y_i - y_j)^2 + (\phi_i - \phi_j)^2$$

- Also need to define distance to beam: $d_{iB} = p_{t,i}^2$
- If d_{iB} is smallest, proto-jet is removed and called a jet.
- IR safe, but **irregular shaped jets** were inconvenient for estimating effects of “underlying event”

Anti- k_t jet algorithm

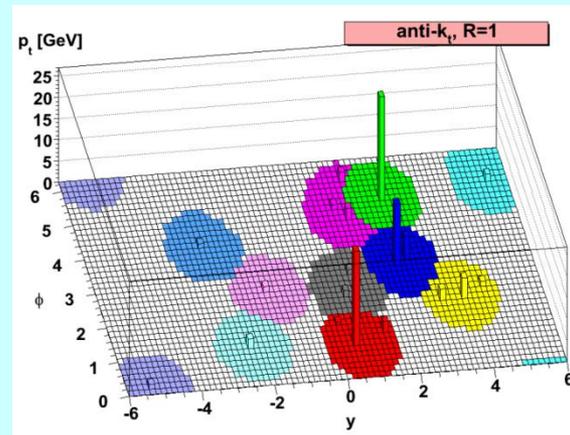
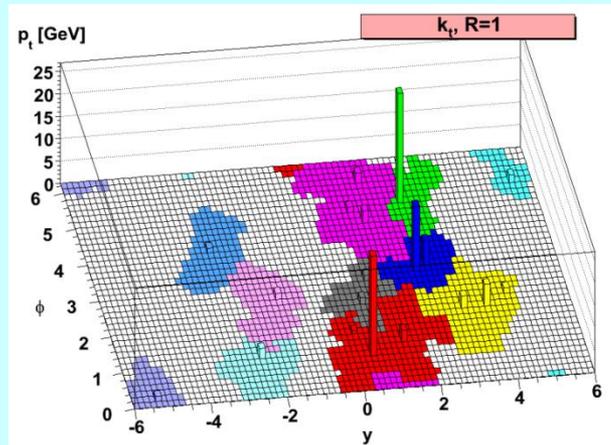
Cacciari, Salam, Soyez, 0802.1189

- Simply invert the p_t factors in k_t algorithm:

$$d_{ij} = \min(p_{t,i}^{-2}, p_{t,j}^{-2}) \frac{\Delta R_{ij}^2}{R^2}$$

$$d_{iB} = p_{t,i}^{-2}$$

- Now the hardest (largest p_t) particles tend to cluster first, soft wide angle ones last. (Still **IR safe** to add soft last) Now boundary of jet (catchment area for an additional soft particle) is **very circular**.



Cacciari, Salam,
Soyez, 0802.1188;
Salam, 0906.1833

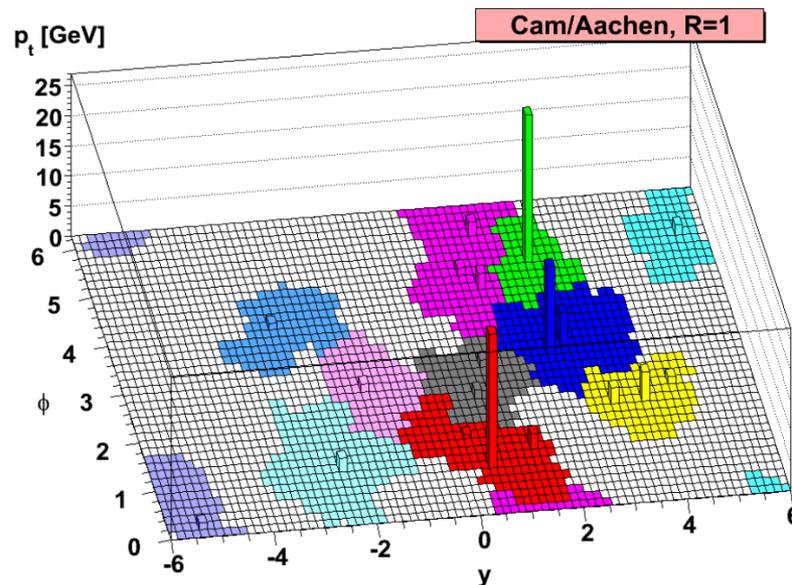
- Anti- k_t is default for both ATLAS ($R = 0.4, 0.6$) and CMS ($R = 0.5, 0.7$)

Cambridge/Aachen (C/A) jet algorithm

- Intermediate between k_t and anti- k_t algorithms, clustering depends only on angles:

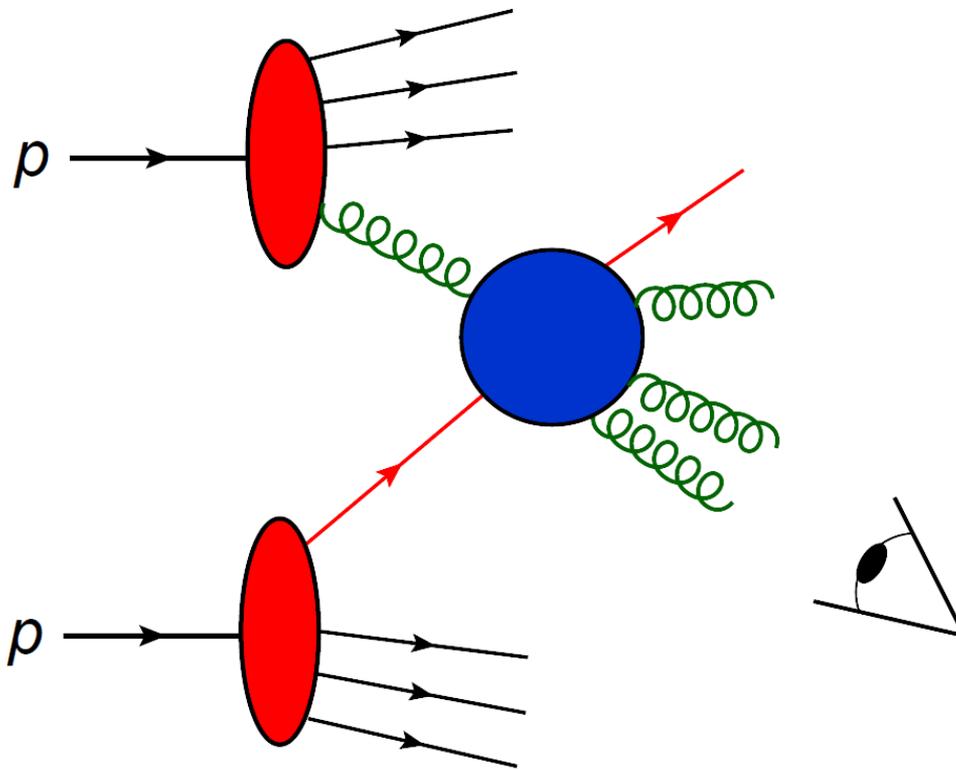
$$d_{ij} = \frac{\Delta R_{ij}^2}{R^2} \quad d_{iB} = 1$$

- Typically used with a large R , say $R = 1.2$, as the first step in **jet substructure** studies. (Anti- k_t jets don't work well for this.)



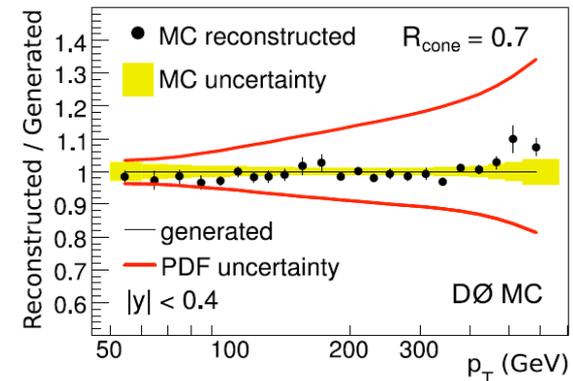
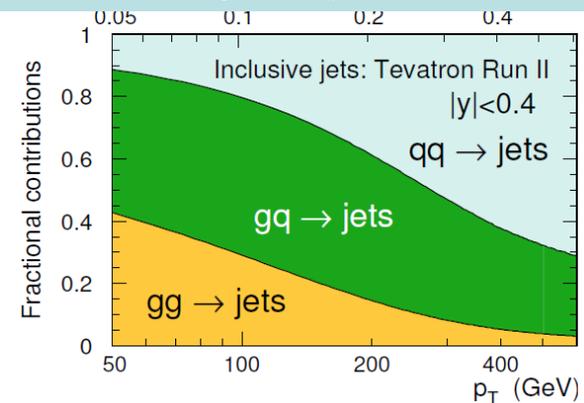
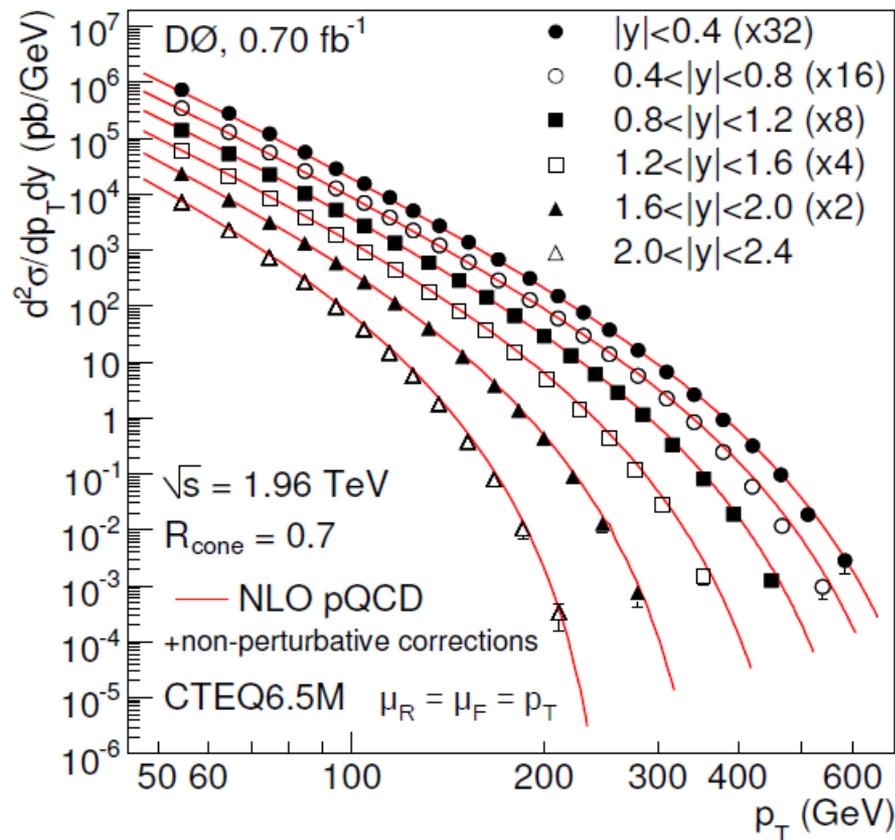
Inclusive Jets

- Just ask for a single jet, in a given range of p_T and η



Inclusive jets at Tevatron

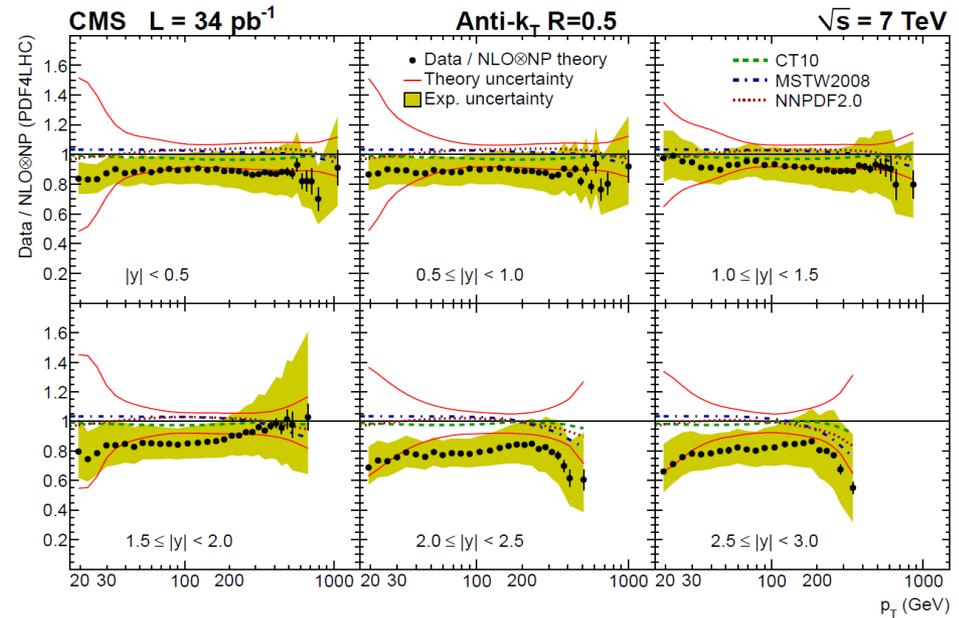
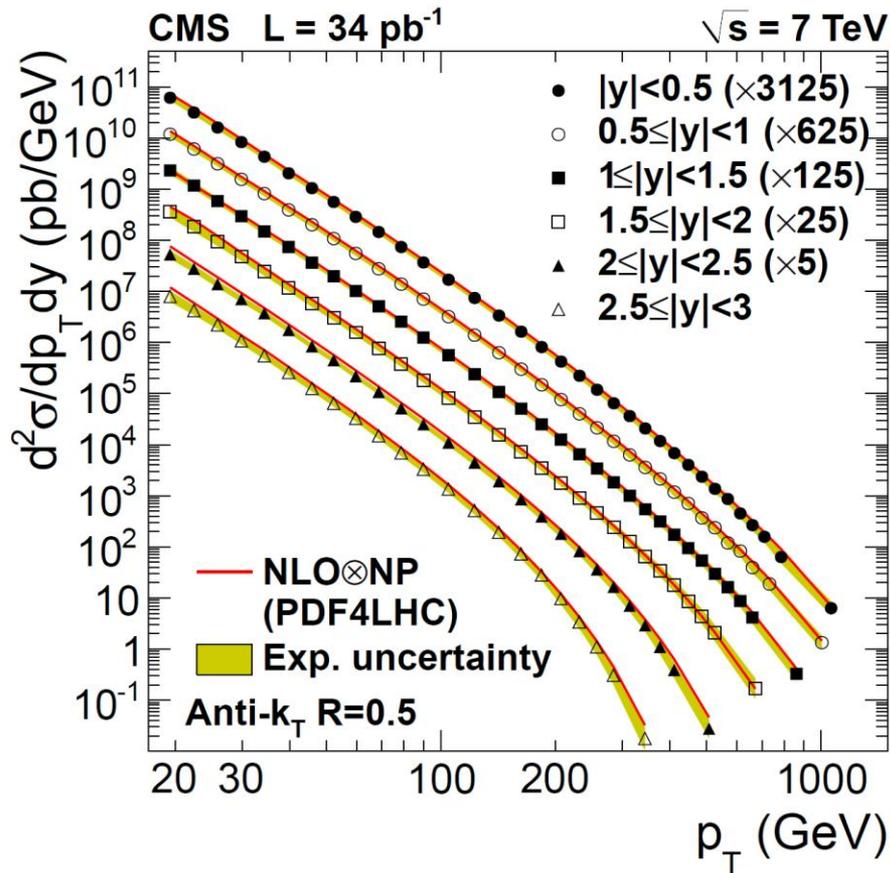
- “Midpoint” cone algorithm not IR safe in general but OK here (through NLO).
- Note sensitivity to pdfs, especially large x gluon, which is poorly constrained.



D0, 1110.3771

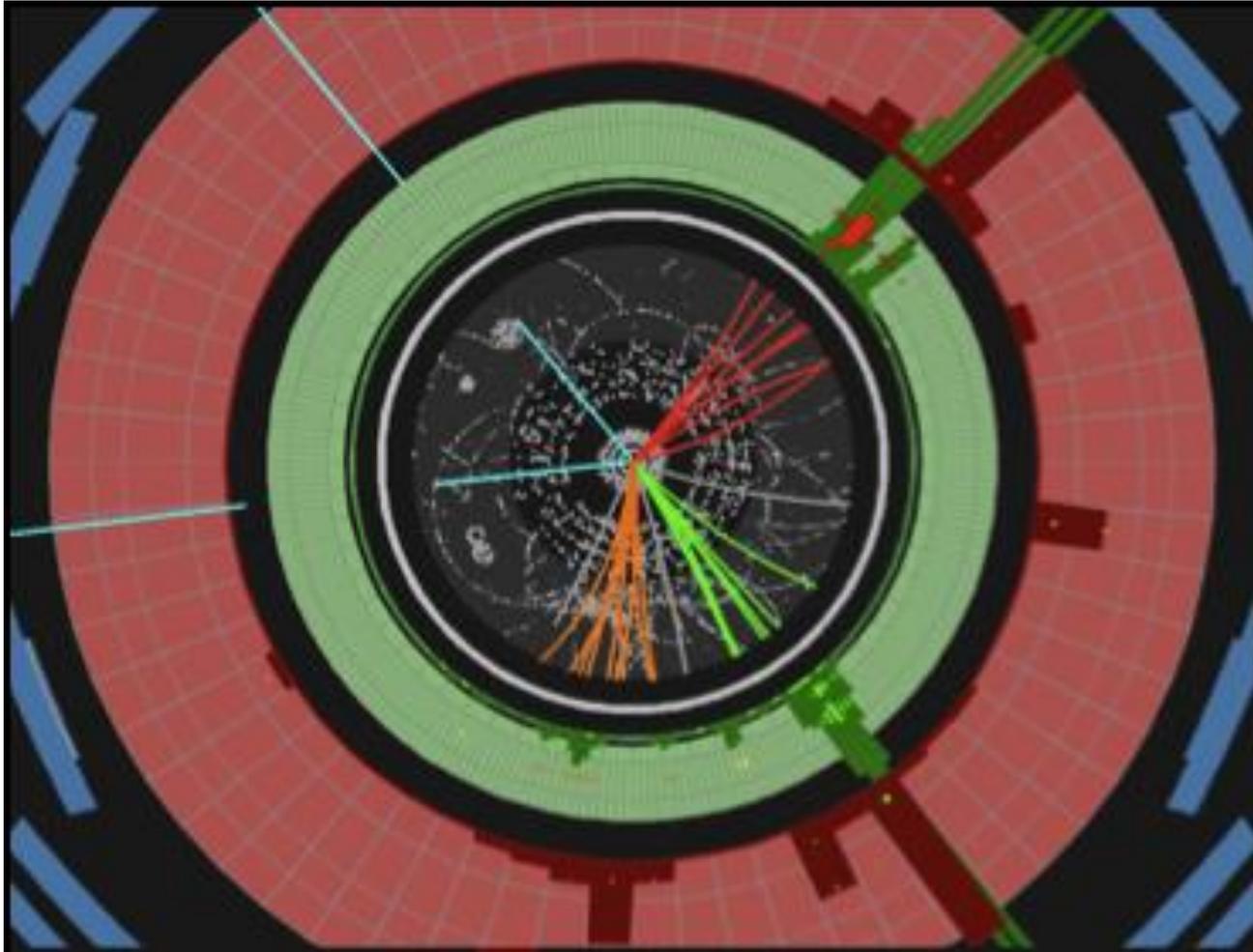
Inclusive jets at LHC

- IR safe anti- k_t jet algorithm, better coverage at forward rapidities (where NLO theory is not working quite as well)



CMS, 1106.0208

More complicated final states

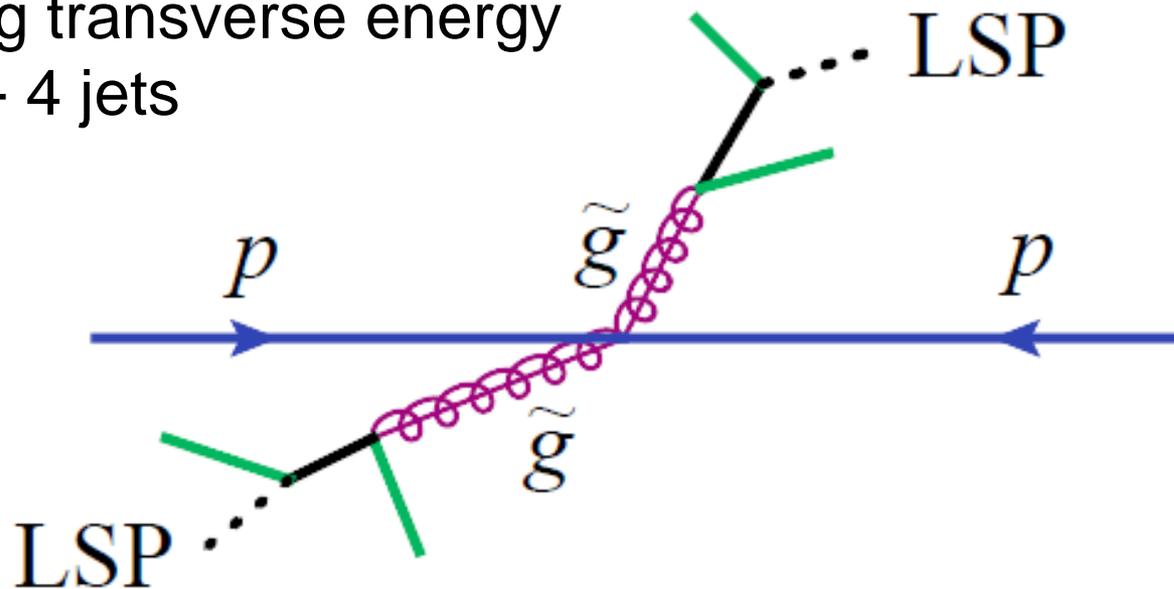


Classic SUSY dark matter signature

→ Multiple jets + missing energy (+ lepton(s)?)

In models such as supersymmetry, heavy produced particles (colored) decay rapidly to stable Weakly Interacting Massive Particle (WIMP) plus jets

→ Missing transverse energy
MET + 4 jets



Happens in Standard Model too

- **MET + 4 jets from**
 $pp \rightarrow Z + 4 \text{ jets},$
 $Z \rightarrow \nu\nu$

Neutrinos also weakly interacting,
escape detector.

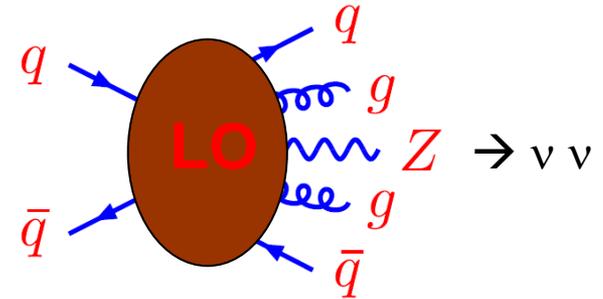
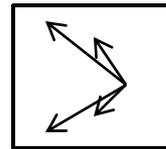
Irreducible background.

Also a large background from

$$pp \rightarrow W + 4 \text{ jets},$$
$$W \rightarrow l\nu$$

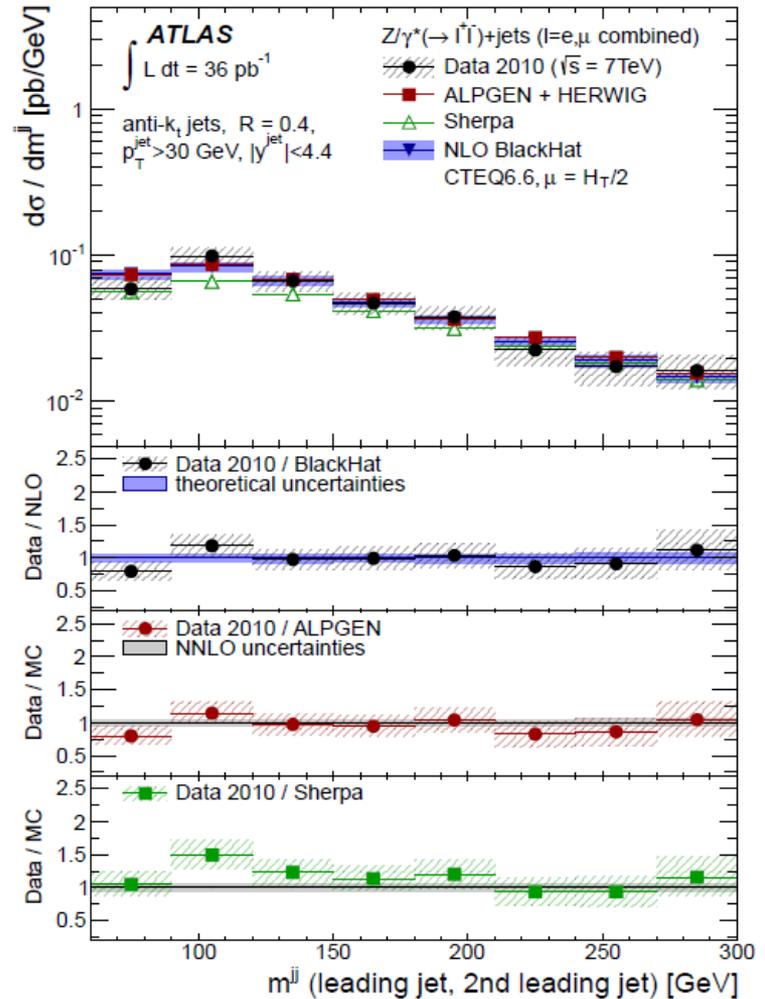
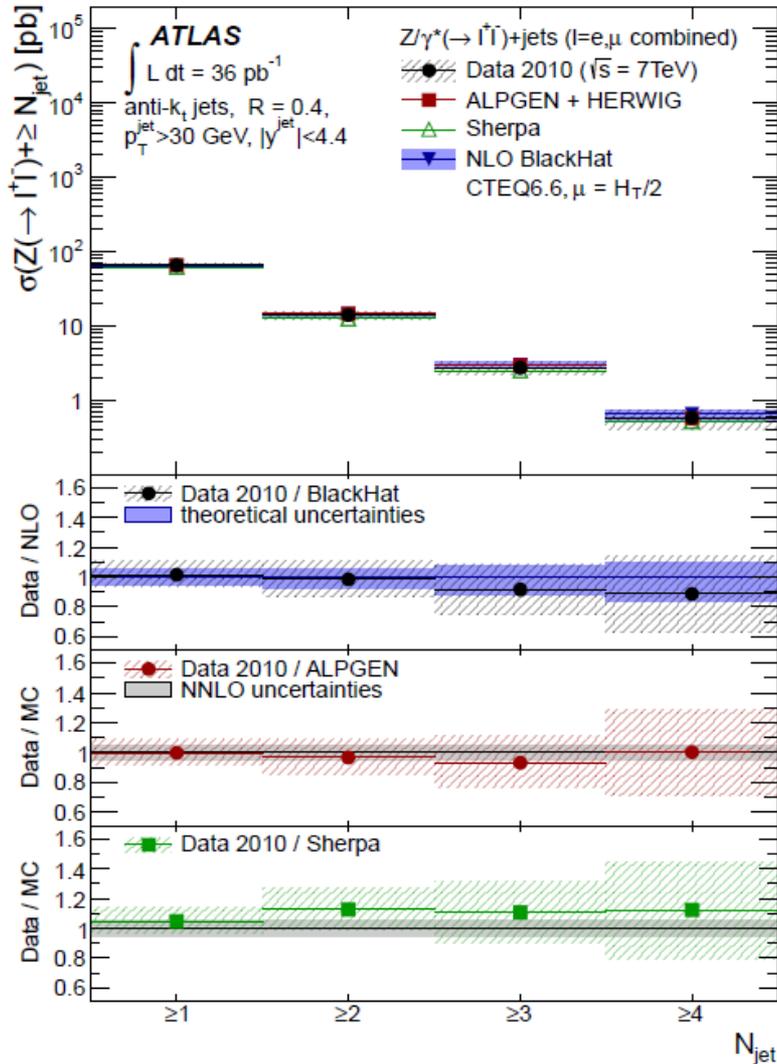
(~ 10x $Z \rightarrow \nu\nu$ rate)

if you lose the charged lepton
(or if you **want** a lepton)

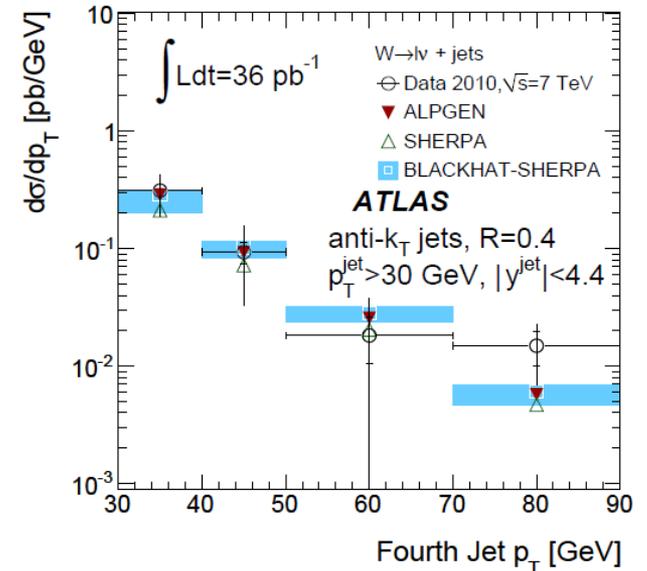
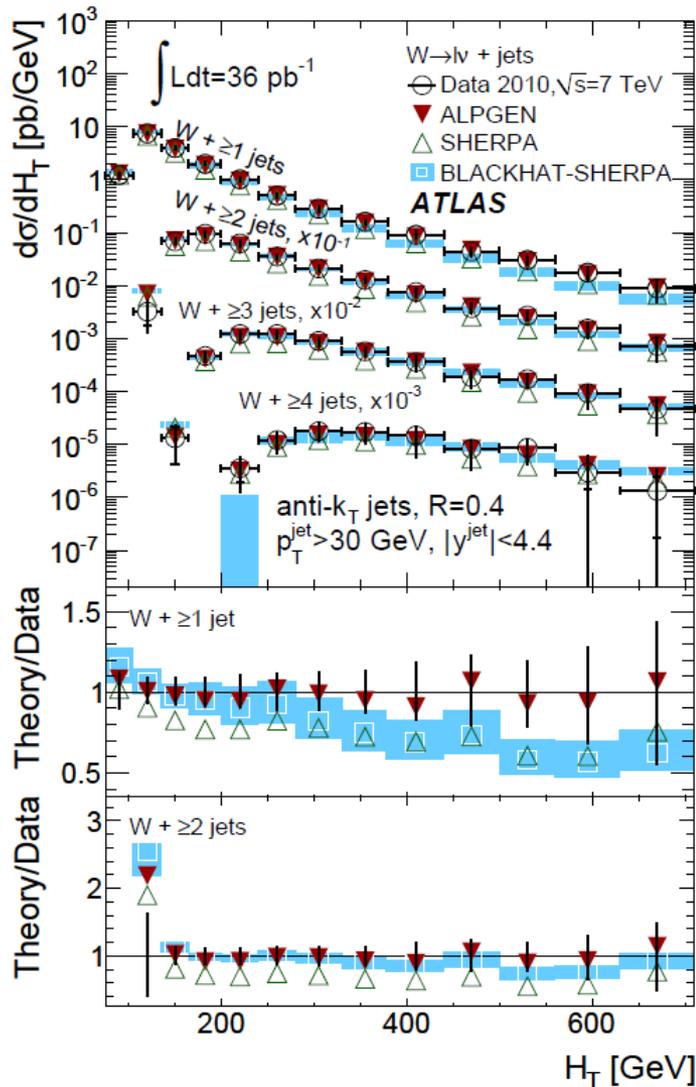


Motivates study of $V + n \text{ jets}$ at Tevatron and LHC

NLO $pp \rightarrow Z + 1,2,3,4$ jets vs. ATLAS 2010 data



NLO $pp \rightarrow W + 1,2,3,4$ jets vs. ATLAS 2010 data

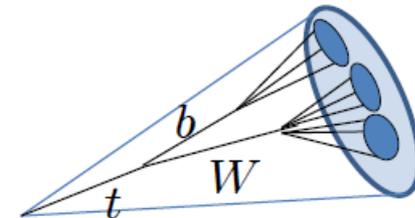
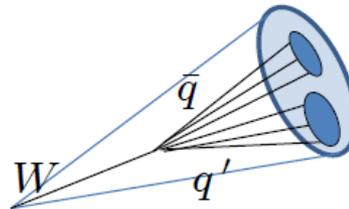
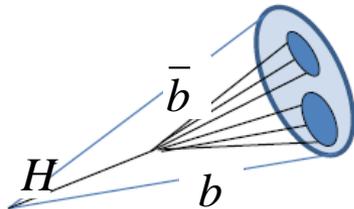
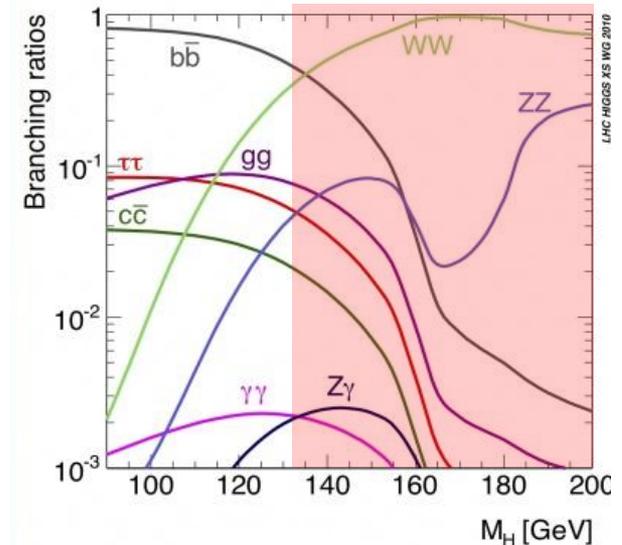


NLO undershoots badly for $W + 1$ jet
 – production dominated by $W + 2$ parton configurations. Theory can be improved here: Rubin, Salam, Sapeta 1006.2144

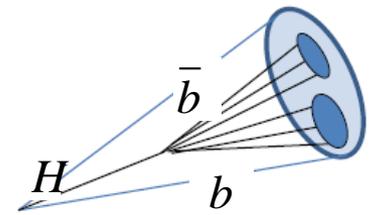
$$H_T = p_T^l + p_T^\nu + \sum_j p_T^j$$

Boosted jets & jet substructure

- Decays of heavy particles can produce multiple jets:
- A light SM Higgs boson $\rightarrow bb$ *most of the time*
- Top quark $\rightarrow Wb \rightarrow q\bar{q}b$ *most of the time*
- How to find if parent particle is boosted, so jets merge?
- How to distinguish from jets from direct QCD production?



$$pp \rightarrow WH \rightarrow l\nu b\bar{b}$$



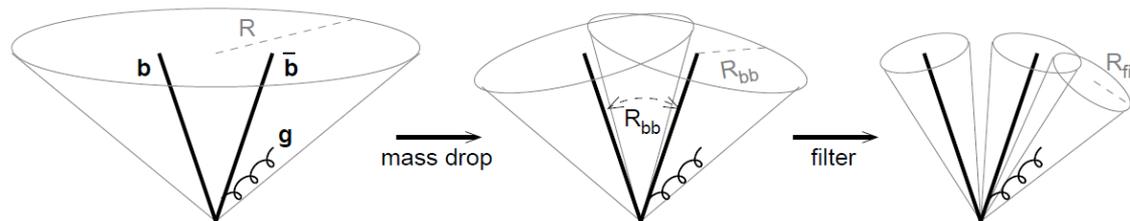
Butterworth, Davison, Rubin, Salam, 0802.2470

- For WH near production threshold, backgrounds from top ($t\bar{t} \rightarrow l\nu b\bar{b}jj$) made search for $H \rightarrow b\bar{b}$ at LHC look very difficult.
- Background suppressed well above threshold, $p_T^H \sim 200\text{-}300$ GeV, because the b quarks are boosted, moving in same direction.
- Start with C/A $R = 1.2$ “fat jet”. Break jet j into 2 subjets, j_1 and j_2 , by undoing last C/A clustering. Look for a large “mass drop”,

$$\mu m_j > m_{j_1} > m_{j_2}$$

and a splitting that is “not too asymmetric” (to suppress QCD soft radiation)

- Another “filtering” stage looks for 3 hardest subjets on a finer angular scale, to keep perturbative QCD radiation while suppressing the underlying event.



$pp \rightarrow WH \rightarrow l\nu b\bar{b}$, and more

Butterworth, Davison, Rubin, Salam, 0802.2470

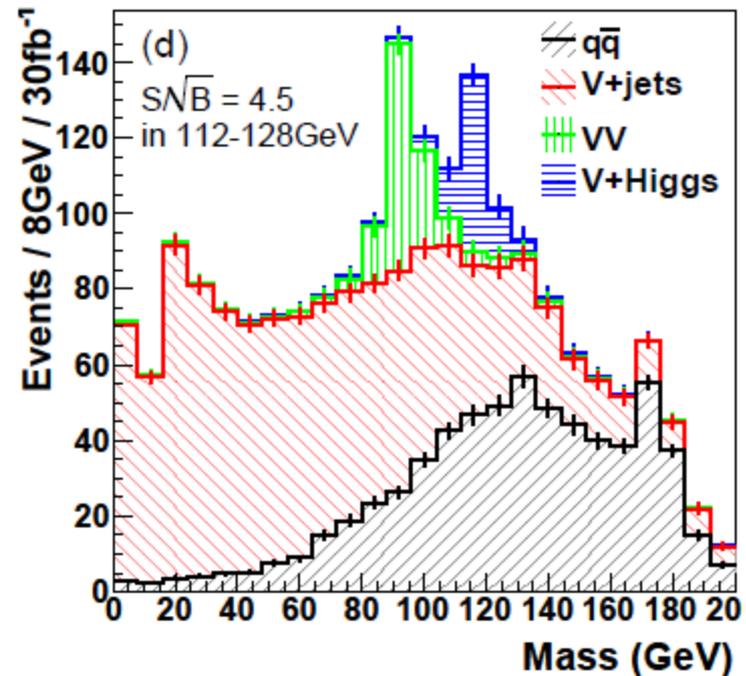
- Result is a greatly boosted S/\sqrt{B}

- Also heavy $H \rightarrow WW \rightarrow l\nu jj$

Seymour (1994)

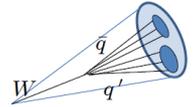
- Many subsequent variations on boosted jets and jet substructure techniques: [trimming](#), [pruning](#), [angular correlation functions](#), ...

- Also applied to beyond-SM scenarios such as \sim TeV scale resonances decaying to top quark pairs.

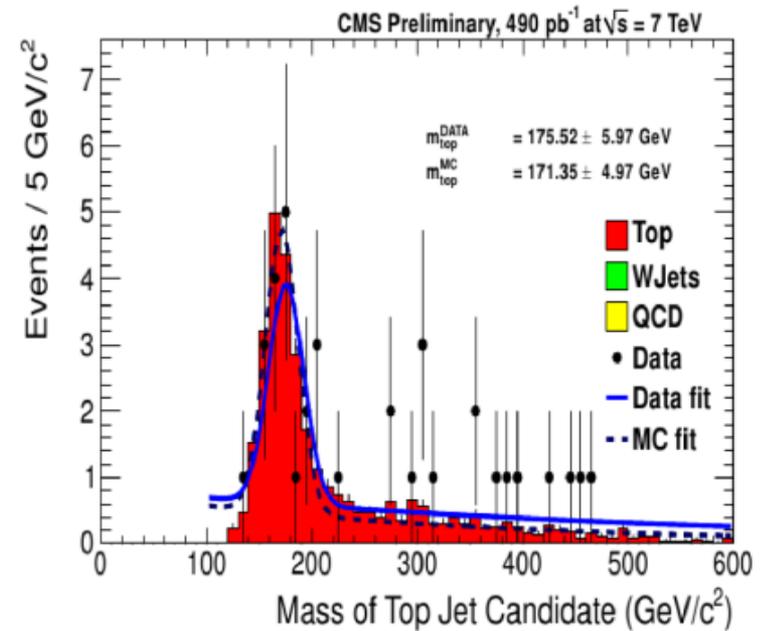
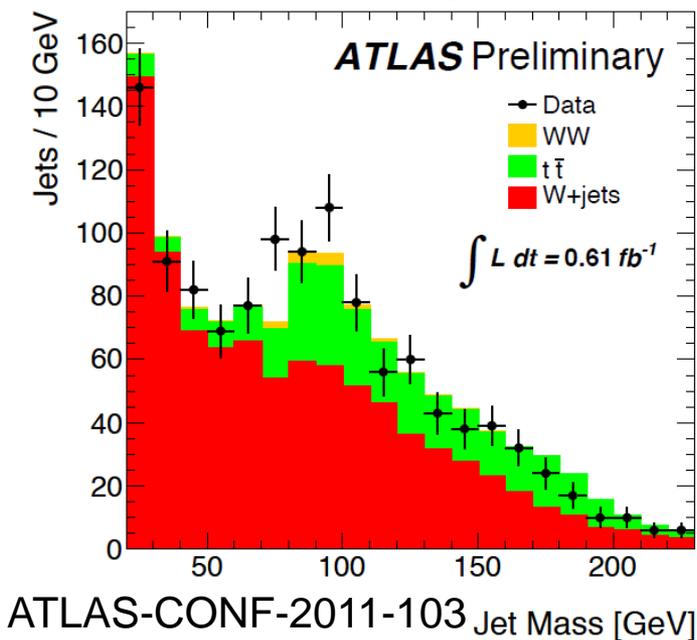
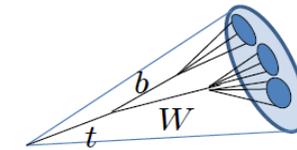


$$pp \rightarrow t\bar{t} \rightarrow l\nu b\bar{b}jj$$

- Large cross section makes it ideal place to test these techniques experimentally



$p_T^{W \rightarrow l\nu} > 200 \text{ GeV}, \quad p_T^{\text{C/A jet}} > 180 \text{ GeV}$



Levels of Approximation

- Monte Carlos (PYTHIA, HERWIG,...)
- LO, fixed-order matrix elements (ALPGEN, SHERPA, MADGRAPH)
- LO MEs matched to parton showers (DITTO)
- NLO MEs (parton level; multiple programs)
- NLO MEs + shower (MC@NLO, POWHEG)
- NNLO MEs (FEHiP, FEWZ; HNNLO, DYNNLO)
- MC@NNLO?

Parton Shower Monte Carlos

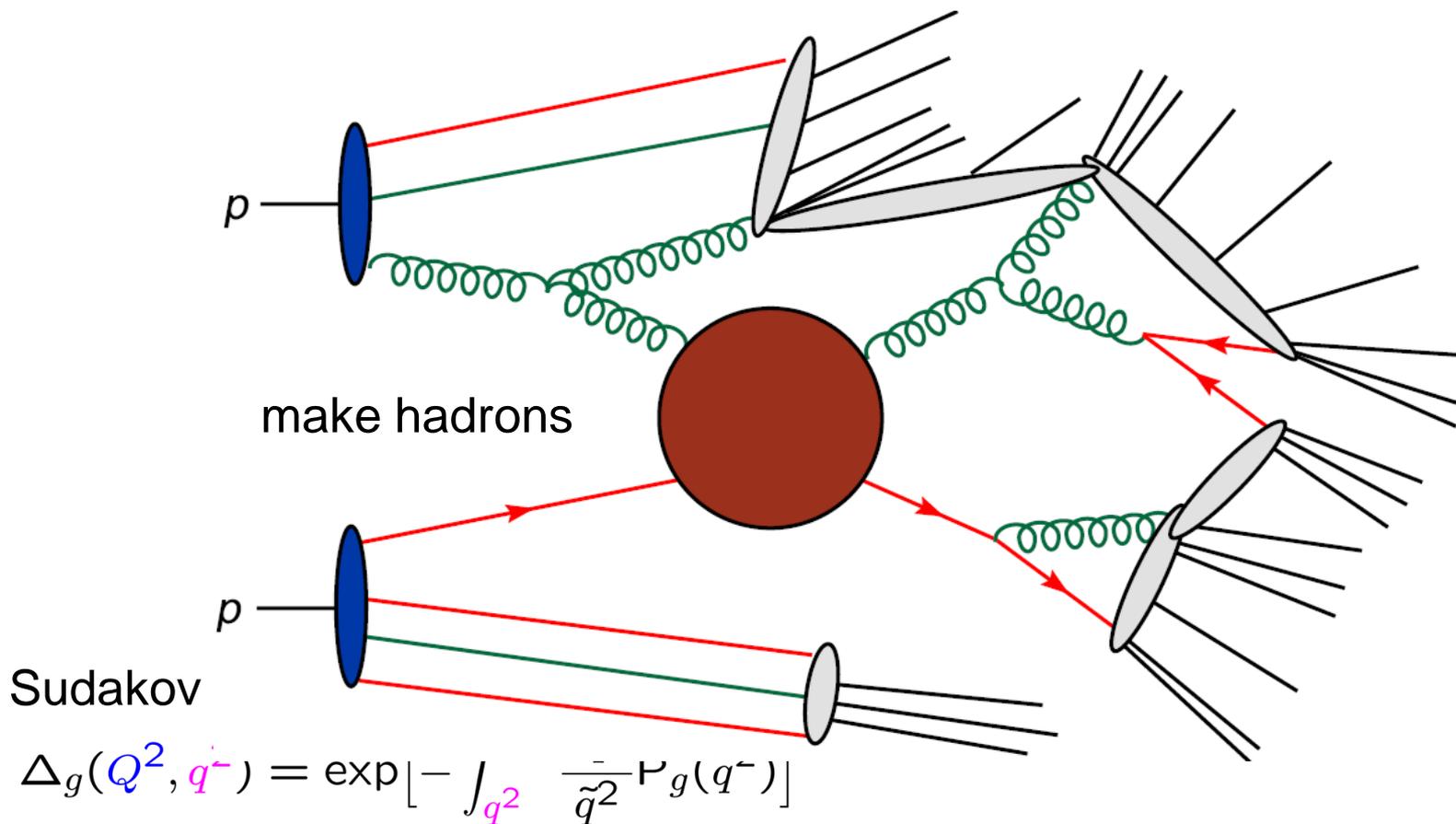
- Allow exclusive description of individual events at hadron level
- Can apply models for hadronization, the underlying event
- Indispensable to experimental analyses
- Overall normalization accuracy sacrificed a bit – but this is improving rapidly as NLO+MC programs expand their reach

Monte Carlos

- Based on properties of **soft and collinear radiation** in QCD
- Partons surrounded by “cloud” of soft and collinear partons
- Leading double logs of $Q_{\text{hard}}/Q_{\text{soft}}$ **exponentiate**, can be generated **probabilistically**
- Shower starts with **basic 2 → 2 parton scattering**
 - or **basic production process** for W, Z, tt , etc.
- Further radiation **approximate**, based on LO Altarelli-Parisi kernel + soft behavior.
- Requires infrared cutoff
- Shower can be evolved down to very low Q_{soft} , where models for **hadronization** and **spectator interactions (underlying event)** can be applied
- **Complete hadron-level event description attained**
- Normalization of event rates **unreliable**
- Event “shapes” **sometimes unreliable**

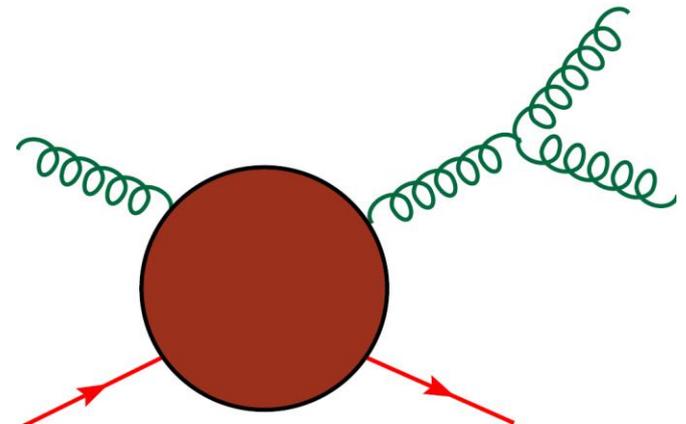
Monte Carlos in pictures

Splitting probability: $P_g(q^2) = \int_0^1 dz \frac{\alpha_s(q^2)}{2\pi} \hat{P}_{gg}(z) \Theta(q^2 - q_0^2)$



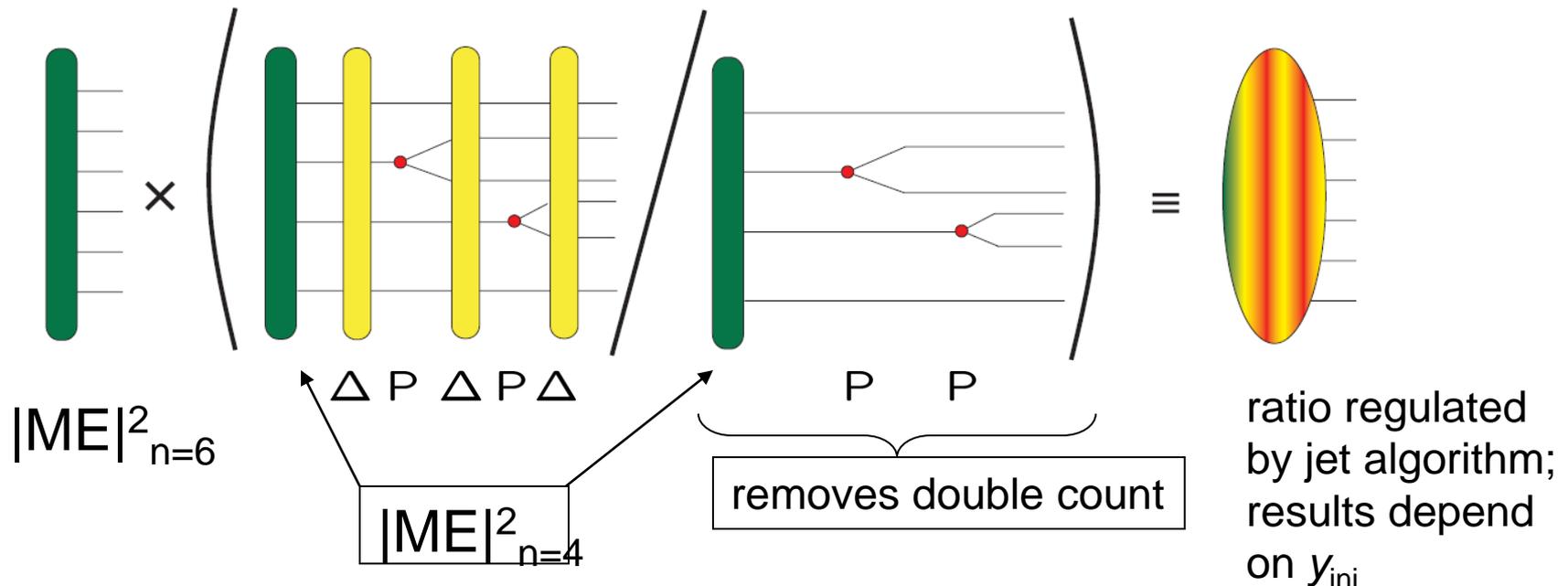
Matching MEs to showers

- Would like to have both:
 - accurate hard radiation pattern of MEs
 - hadron-level event description of parton-shower MCs
- Why not just use $2 \rightarrow 3, 4, \dots$ parton processes as starting point for the shower?
- Problem of **double-counting**:
When does radiation “belong” to the shower, and when to the hard matrix element?



ME/shower matching

- CKKW matching: [Catani, Kuhn, Krauss, Webber, hep-ph/0109231](#)
 - separate ME and shower domains using a common jet cluster algorithm variable (k_T algorithm with $y = y_{ini}$)
- an example in pictures: [Nagy, Soper, hep-ph/0607046](#)



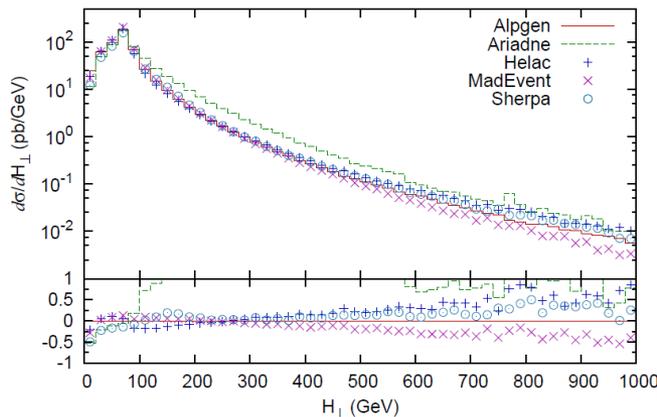
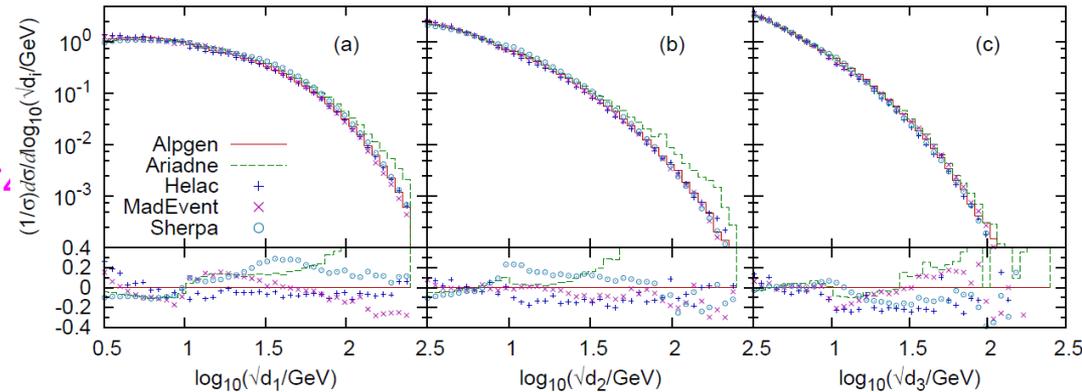
ME/shower matching (cont.)

Several other general matching schemes available or in the works, e.g.:

- MLM scheme (ALPGEN)
- Lonnblad, hep-ph/0112284 (Ariadne)
- CKKW (Sherpa)
- Mrenna, Richardson, hep-ph/0312274
- Nagy, Soper, hep-ph/0601021
- VINCIA (Skands, Giele, Kosower)

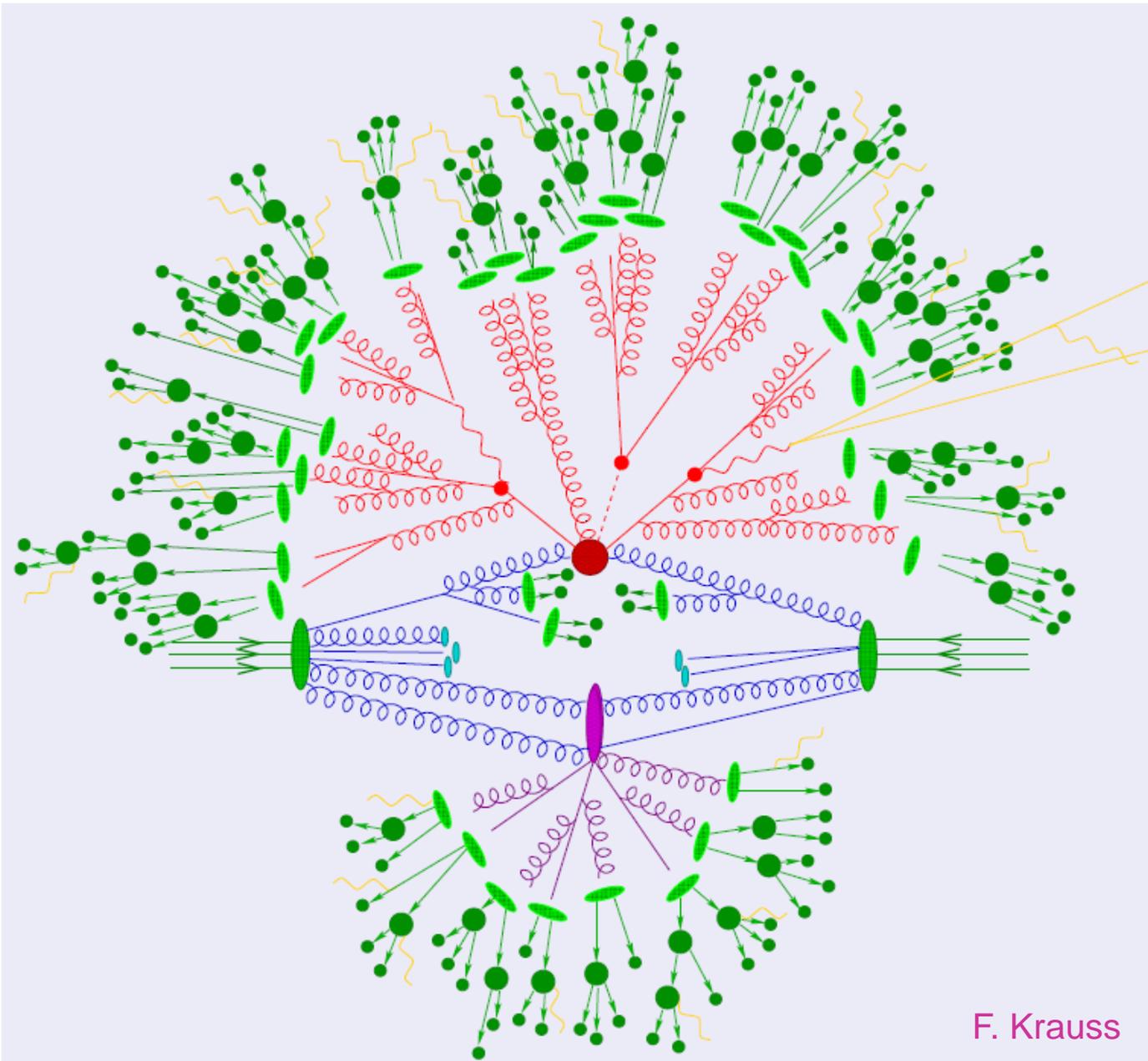
reasonable agreement between different schemes [0706.2569]

$p\bar{p} \rightarrow W + n \text{ jets at Tevatron}$



d_i indicates transition between i and $i-1$ jets in k_T algorithm

$$H_T = p_T^l + p_T^\nu + \sum_j p_T^j$$



F. Krauss