

**GABRIELA BARENBOIM PRESENTS**



**THE INCREDIBLES**  
NOW PLAYING



**European School of HEP, Anjou, France**



Solar and atmospheric anomalies approximately decouple as independent 2-by-2 mixing phenomena because

- **Hierarchy** between the two mass splittings:  
 $|\Delta m_{atmos}^2| \gg |\Delta m_{solar}^2|$
- **Small  $\theta_{13}$** :  $\sin \theta_{13} = V_{e3} \leftrightarrow V_{ub}$

1.  $E/L \sim \Delta m_{23}^2$ :

$$P(\nu_e \rightarrow \nu_\mu) = s_{23}^2 \sin^2 2\theta_{13} \sin^2 \left( \frac{\Delta m_{23}^2 L}{4E} \right)$$

$$P(\nu_e \rightarrow \nu_\tau) = c_{23}^2 \sin^2 2\theta_{13} \sin^2 \left( \frac{\Delta m_{23}^2 L}{4E} \right)$$

$$P(\nu_\mu \rightarrow \nu_\tau) = c_{13}^4 \sin^2 2\theta_{23} \sin^2 \left( \frac{\Delta m_{23}^2 L}{4E} \right)$$

Daya Bay  $\theta_{13}$  miserably small !!!

$$(\Delta m_{23}^2, \theta_{23}) = (\Delta m_{atmos}^2, \theta_{atmos}),$$

II.  $E/L \sim \Delta m_{12}^2$ :

$$P(\nu_e \rightarrow \nu_e) \simeq c_{13}^4 \left( 1 - \sin^2 2\theta_{12} \sin^2 \left( \frac{\Delta m_{12}^2}{4E} L \right) \right) + s_{13}^4$$

$$(\Delta m_{12}^2, \theta_{12}) = (\Delta m_{\text{solar}}^2, \theta_{\text{solar}})$$

When solar and atmospheric fits are done in the context of three families nothing changes too much

# CP violation in neutrino oscillations

Can I have it ?

Vacuum oscillations (  $W_{\alpha\beta}^{jk} \equiv [U_{\alpha j} U_{\beta j}^* U_{\alpha k}^* U_{\beta k}]$  )

$$P(\nu_\alpha \rightarrow \nu_\beta) = -4 \sum_{k>j} \text{Re}[W_{\alpha\beta}^{jk}] \sin^2 \left( \frac{\Delta m_{jk}^2 L}{4E_\nu} \right) \\ \pm 2 \sum_{k>j} \text{Im}[W_{\alpha\beta}^{jk}] \sin \left( \frac{\Delta m_{jk}^2 L}{2E_\nu} \right)$$

CP violation shows up as a difference between  $P(\nu_\alpha \rightarrow \nu_\beta)$  and  $P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)$

By CPT:

$$P(\nu_\alpha \rightarrow \nu_\beta) = P(\bar{\nu}_\beta \rightarrow \bar{\nu}_\alpha)$$

CP and T-odd terms cancel in survival probabilities  $\rightarrow$  **need appearance measurements:  $\alpha \neq \beta$**

Observability of CP-violation  $\leftrightarrow$  measurable CP-asymmetries:

$$A_{\alpha\beta}^{CP} \equiv \frac{P(\nu_\alpha \rightarrow \nu_\beta) - P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)}{P(\nu_\alpha \rightarrow \nu_\beta) + P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)} \quad A_{\alpha\beta}^T \equiv \frac{P(\nu_\alpha \rightarrow \nu_\beta) - P(\nu_\beta \rightarrow \nu_\alpha)}{P(\nu_\alpha \rightarrow \nu_\beta) + P(\nu_\beta \rightarrow \nu_\alpha)}$$

$$\begin{aligned}
P(\nu_i \rightarrow \nu_j) &= P_{CP}(\nu_i \rightarrow \nu_j) + P_{\cancel{CP}}(\nu_i \rightarrow \nu_j) \\
P(\bar{\nu}_i \rightarrow \bar{\nu}_j) &= P_{CP}(\nu_i \rightarrow \nu_j) - P_{\cancel{CP}}(\nu_i \rightarrow \nu_j)
\end{aligned}$$

$$P_{CP}(\nu_i \rightarrow \nu_j) = \delta_{ij} - 4\text{Re}J_{12}^{ji} \sin^2 \Delta_{12} - 4\text{Re}J_{23}^{ji} \sin^2 \Delta_{23} - 4\text{Re}J_{31}^{ji} \sin^2 \Delta_{31}.$$

$$P_{\cancel{CP}}(\nu_i \rightarrow \nu_j) = -8\sigma_{ij} J \sin \Delta_{12} \sin \Delta_{23} \sin \Delta_{31},$$

$$\rightarrow s_{12} c_{12} s_{13} c_{13}^2 s_{23} c_{23} \sin \delta_{CP}$$

$$J_{kh}^{ij} \equiv U_{ik} U_{kj}^\dagger U_{jh} U_{hi}^\dagger$$

$$\Delta_{ij} \equiv \Delta m_{ij}^2 L / 4E$$

$$\sigma_{ij} \equiv \sum_k \varepsilon_{ijk}$$



CP(T)-odd terms the same for all  $\alpha \neq \beta$ :

$$A_{\nu\alpha\nu\beta}^{\text{CP(T)-odd}} = \frac{2 \sin \delta c_{13} \sin 2\theta_{13} \overbrace{\sin 2\theta_{12} \frac{\Delta m_{12}^2 L}{4E\nu}}^{\text{solar}} \overbrace{\sin 2\theta_{23} \sin^2 \frac{\Delta m_{13}^2 L}{4E\nu}}^{\text{atmos}}}{P_{\nu\alpha\nu\beta}^{\text{CP-even}}}$$

GIM suppressed in all the  $\Delta m^2$  and all the angles, because if any of them is zero, the CP-odd phase is unphysical

- Minimize GIM suppression:  $E/L \sim \Delta m_{atmos}^2$
- Effects of  $\delta$  are more significant in subleading transitions:  
 $\nu_e \rightarrow \nu_\mu(\nu_\tau)$ :

$$P_{\nu\mu\nu\tau}^{\text{CP-even}} = \text{unsuppressed in } \theta_{13} \text{ or } \frac{\Delta m_{12}^2 L}{E_\nu}$$

$$P(\nu_\mu \rightarrow \nu_\tau) = c_{13}^4 \sin^2 2\theta_{23} \sin^2 \left( \frac{\Delta m_{23}^2}{4E} L \right)$$

$$A_{\nu\mu\nu\tau}^{\text{CP(T)-odd}} \sim \sin 2\theta_{13} \frac{\Delta m_{12}^2 L}{E_\nu}$$

$$P_{\nu e \nu \mu(\nu \tau)}^{\text{CP-even}} = \text{suppressed in } \theta_{13}^2 \text{ or } \left( \frac{\Delta m_{12}^2 L}{E_\nu} \right)^2$$

$$P(\nu_e \rightarrow \nu_\mu) = \sin^2 2\theta_{12} \sin^2 \left( \frac{\Delta m_{12}^2}{4E} L \right)$$

$$A_{\nu e \nu \mu(\nu \tau)}^{\text{CP(T)-odd}} \sim \frac{\Delta m_{12}^2 L / E_\nu}{\sin 2\theta_{13}} \text{ or } \frac{\sin 2\theta_{13}}{\Delta m_{12}^2 L / E_\nu}$$

$$\begin{aligned}
P_{\nu_e \nu_\mu (\bar{\nu}_e \bar{\nu}_\mu)} &= s_{23}^2 \sin^2 2\theta_{13} \sin^2 \left( \frac{\Delta_{23} L}{2} \right) \equiv P^{atmos} \\
&+ c_{23}^2 \sin^2 2\theta_{12} \sin^2 \left( \frac{\Delta_{12} L}{2} \right) \equiv P^{solar} \\
&+ \tilde{J} \cos \left( \pm \delta - \frac{\Delta_{23} L}{2} \right) \frac{\Delta_{12} L}{2} \sin \left( \frac{\Delta_{23} L}{2} \right) \equiv P^{inter}
\end{aligned}$$

$$(\tilde{J} \equiv c_{13} \sin 2\theta_{13} \sin 2\theta_{12} \sin 2\theta_{23}, \quad \Delta_{ij} \equiv \frac{\Delta m_{ij}^2}{2E\nu})$$

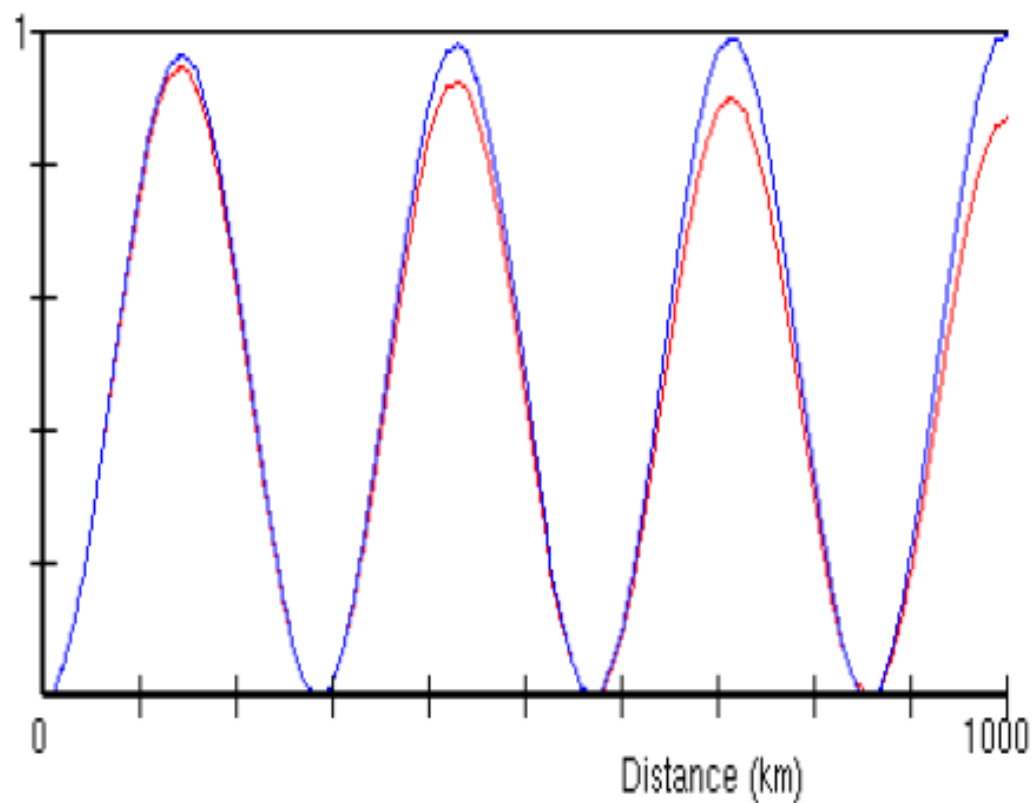
$$P^{atmos} \gg P^{solar} \rightarrow A_{\nu_e \nu_\mu (\nu_\tau)}^{CP,T} \sim \frac{\Delta_{12} L}{\sin 2\theta_{13}}$$

$$P^{solar} \gg P^{atmos} \rightarrow A_{\nu_e \nu_\mu (\nu_\tau)}^{CP,T} \sim \frac{\sin 2\theta_{13}}{\Delta_{12} L}$$

$$P^{solar} \simeq P^{atmos} \rightarrow A_{\nu_e \nu_\mu (\nu_\tau)}^{CP,T} = O(1)$$

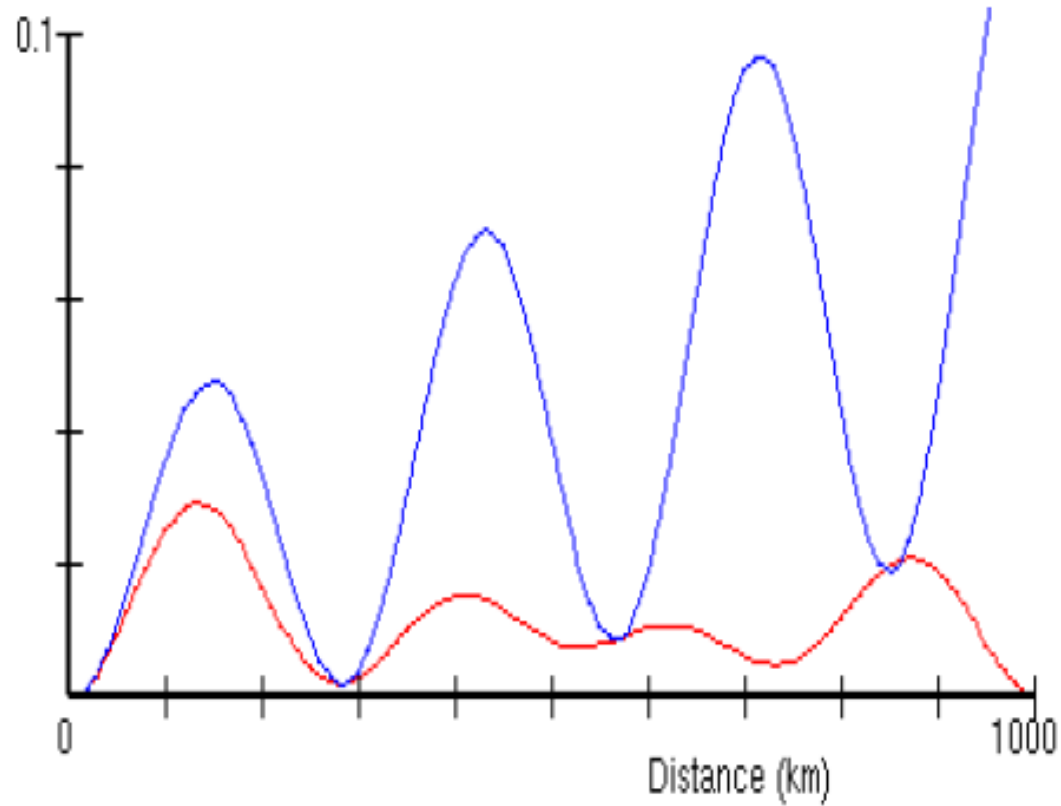
$$E_\nu = 500 \text{ MeV} \quad \theta_{13} = 8^\circ \quad \delta = 90^\circ$$

$$P_{\nu_\mu \rightarrow \nu_\tau} \text{ vs. } P_{\bar{\nu}_\mu \rightarrow \bar{\nu}_\tau}$$



$$E_\nu = 500 \text{ MeV} \quad \theta_{13} = 8^\circ \quad \delta = 90^\circ$$

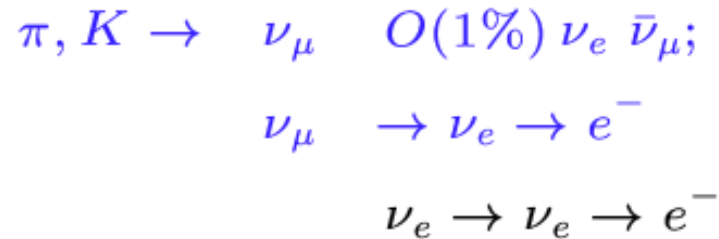
$P_{\nu_e \rightarrow \nu_\mu}$  vs.  $P_{\bar{\nu}_e \rightarrow \bar{\nu}_\mu}$



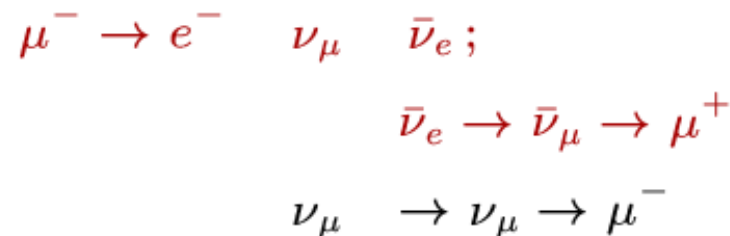
# The challenge

We need to measure for the first time small oscillation probabilities:  
need more intense and purer  $\nu$  sources

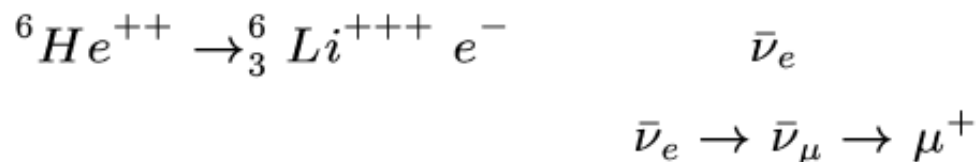
- **Superbeams**  $\nu$  from  $K, \pi$  decay



- **Neutrino factory**  $\nu$  from muon decay



- **$\beta$ -beams** from boosted heavy ions decays



# Matter Effects

The oscillation probabilities for three neutrino mixing in matter are not very illuminating. A particularly useful approximation is obtained for  $E/L \sim \Delta m_{23}^2$  and to second order in the two small parameters:  $\theta_{13}$  and  $\Delta m_{12}^2$  :

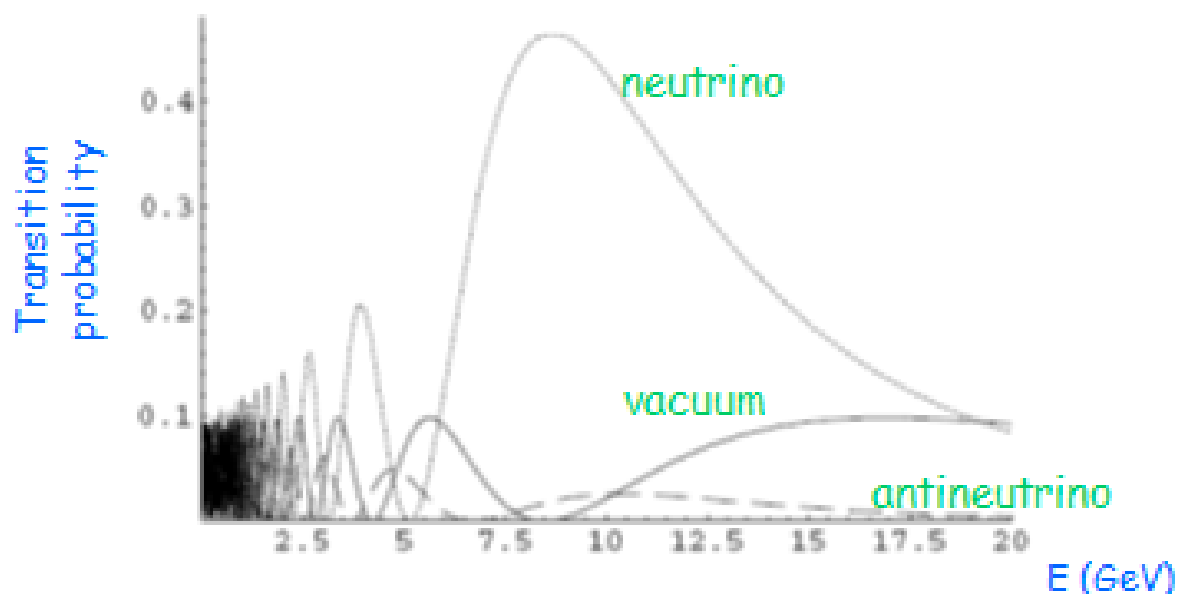
$$\begin{aligned} P_{\nu_e \nu_\mu (\bar{\nu}_e \bar{\nu}_\mu)} = & s_{23}^2 \sin^2 2\theta_{13} \left( \frac{\Delta_{13}}{B_\pm} \right)^2 \sin^2 \left( \frac{B_\pm L}{2} \right) \\ & + c_{23}^2 \sin^2 2\theta_{12} \left( \frac{\Delta_{12}}{A} \right)^2 \sin^2 \left( \frac{AL}{2} \right) \\ & + \tilde{J} \frac{\Delta_{12}}{A} \sin \left( \frac{AL}{2} \right) \frac{\Delta_{13}}{B_\pm} \sin \left( \frac{B_\pm L}{2} \right) \cos \left( \pm \delta - \frac{\Delta_{13} L}{2} \right) \end{aligned}$$

$$B_\pm = |A \pm \Delta_{13}| \quad \Delta_{ij} = \frac{\Delta m_{ij}^2}{2E_\nu}$$

There is an MSW effect in  $\theta_{13}$ ! There is a huge enhancement of the  $\nu$  or  $\bar{\nu}$  (depending on the sign of  $\Delta m_{23}^2$ ) channel if:

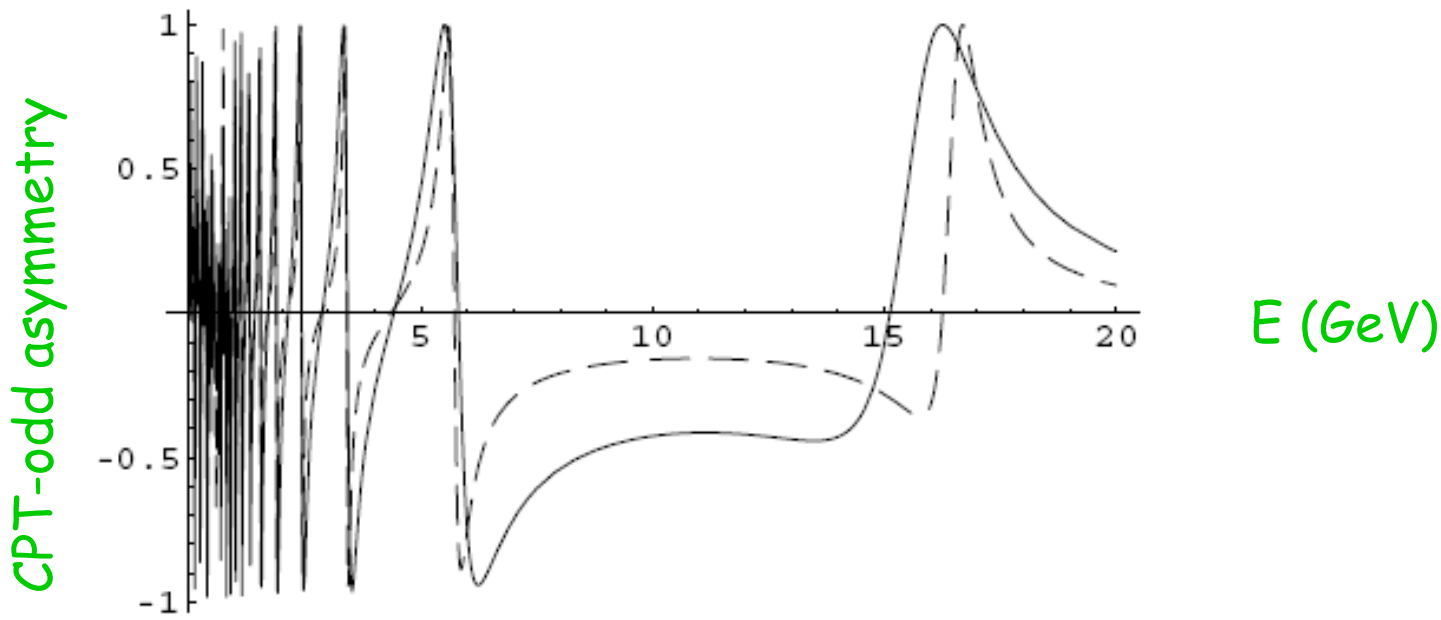
$$2E_\nu A \sim |\Delta m_{13}^2|, \quad E_\nu \sim 10 - 20 \text{ GeV}$$

$$\sin^2(2\tilde{\theta}_{13}) = \frac{4s_{13}^2 c_{13}^2 \left(\frac{\Delta m_{13}^2}{\tilde{a}}\right)^2}{\left(E - \cos 2\theta_{13} \frac{\Delta m_{13}^2}{\tilde{a}}\right)^2 + 4s_{13}^2 c_{13}^2 \left(\frac{\Delta m_{13}^2}{\tilde{a}}\right)^2}$$





For very long baselines and atmospheric neutrinos...



There is an MSW effect in  $\theta_{13}$ ! There is a huge enhancement of the  $\nu$  or  $\bar{\nu}$  (depending on the sign of  $\Delta m_{23}^2$ ) channel if:

$$2E_\nu A \sim |\Delta m_{13}^2|, \quad E_\nu \sim 10 - 20 \text{ GeV}$$

- The type of neutrino spectrum can be revealed!
- CP asymmetries exist even if  $\sin \delta = 0$ :

$$P(\nu_a \rightarrow \nu_b) \neq P(\bar{\nu}_a \rightarrow \bar{\nu}_b)$$

E.g., MSW effect can enhance  $\nu_e \leftrightarrow \nu_\mu$  and suppress  $\bar{\nu}_e \leftrightarrow \bar{\nu}_\mu$  or vice versa.

Survival probabilities are not CP-invariant:

$$P(\nu_a \rightarrow \nu_a) \neq P(\bar{\nu}_a \rightarrow \bar{\nu}_a)$$

To disentangle fundamental  $CP$  from the matter induced one in LBL experiments – need to measure energy dependence of oscillated signal or signal at two baselines

### Alternatives:

- Low- $E$  experiments ( $E \sim 0.1 - 1$  GeV) with  $L \sim 100 - 1000$  km
- Indirect measurements: CP-even terms  $\sim \cos \delta_{CP}$  or area of leptonic unitarity triangle

Neutrinos,

In and Beyond the Standard Model:

NEUTRINO MASS:

$$\delta m_{atm}^2 = 2.7_{-0.3}^{+0.4} \times 10^{-3} eV^2$$

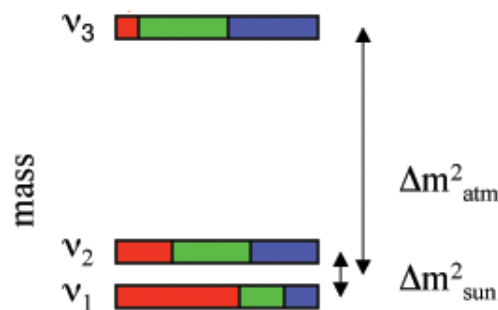
$$L/E = 500 \text{ km/GeV}$$

$$\delta m_{solar}^2 = 8.0 \pm 0.4 \times 10^{-5} eV^2$$

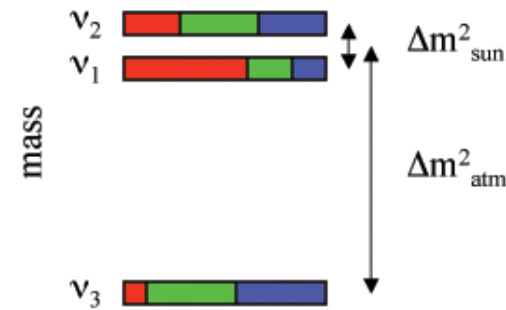
$$L/E = 15 \text{ km/MeV}$$



$$m_{\nu}^{Heavy} > \sqrt{\delta m_{atm}^2} = 50 \text{ meV}$$

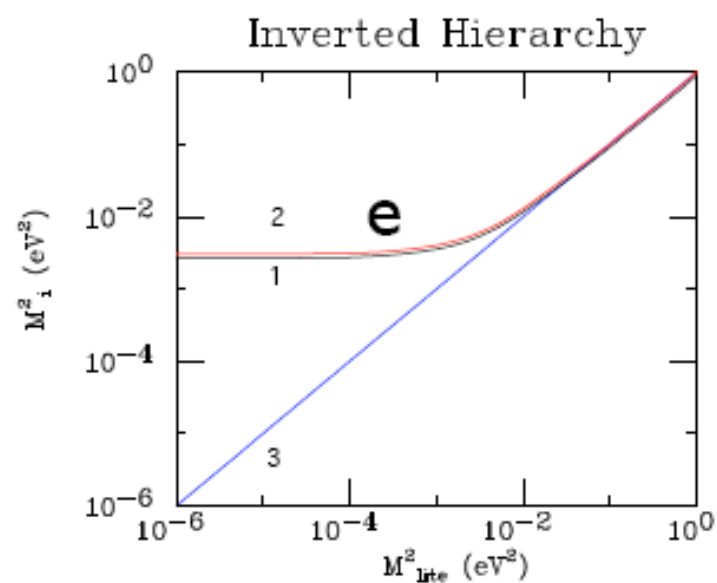
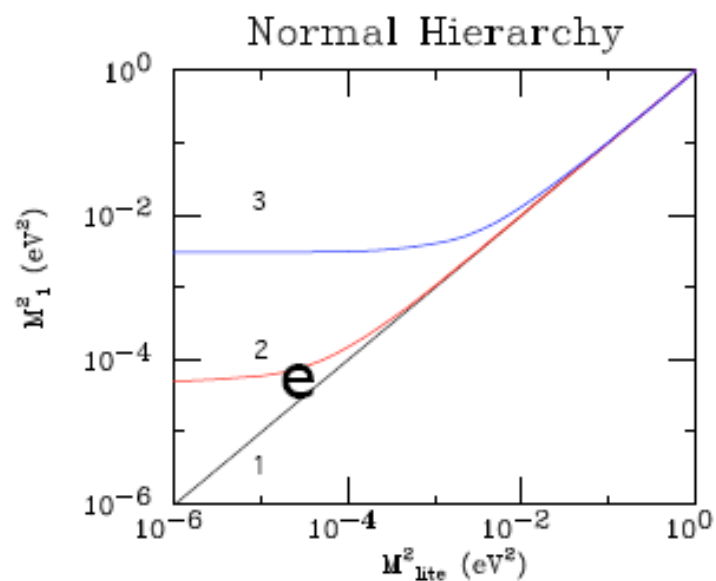


Normal mass hierarchy

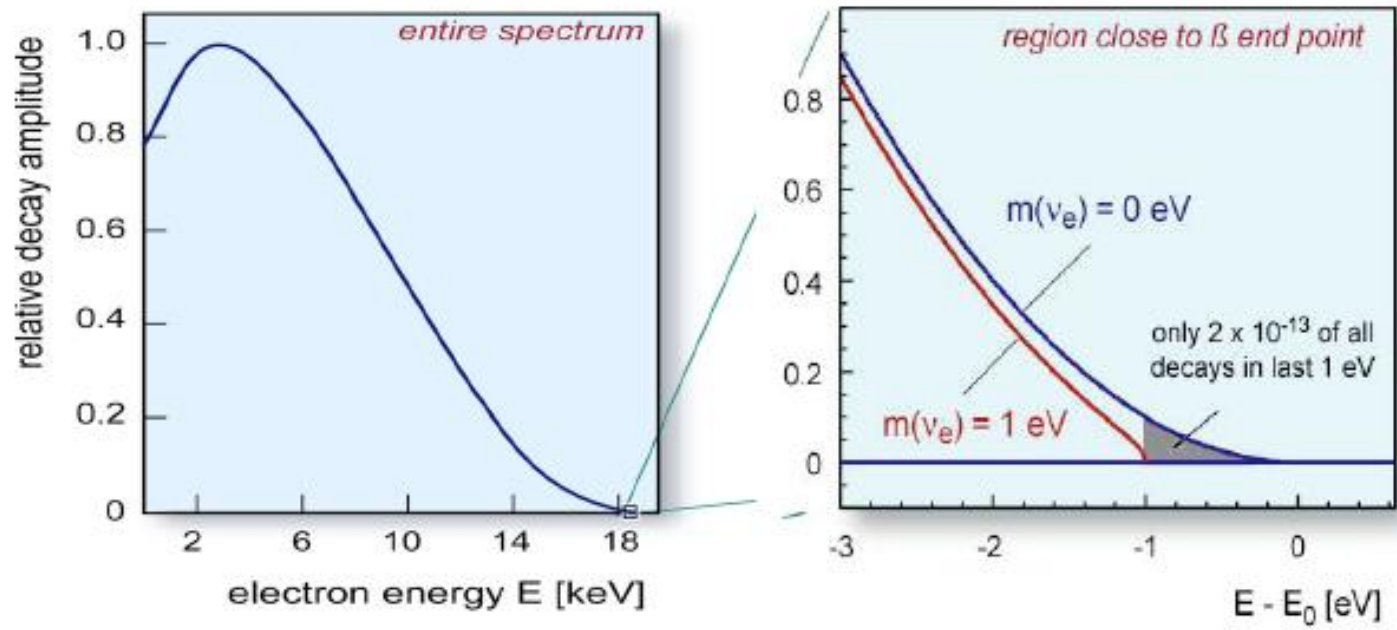


Inverted mass hierarchy

## Masses:



States 1 and 2 are  $\nu_e$  rich.

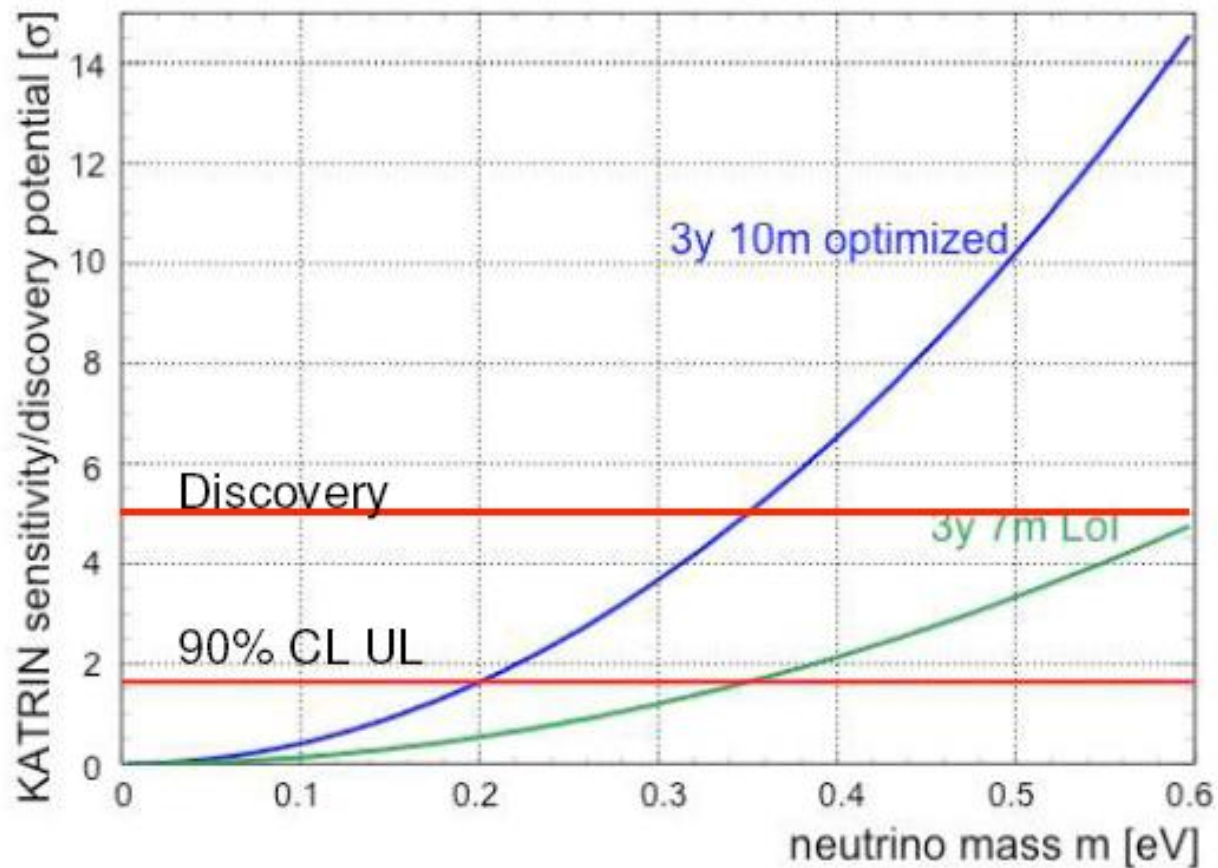


Decay Rate:

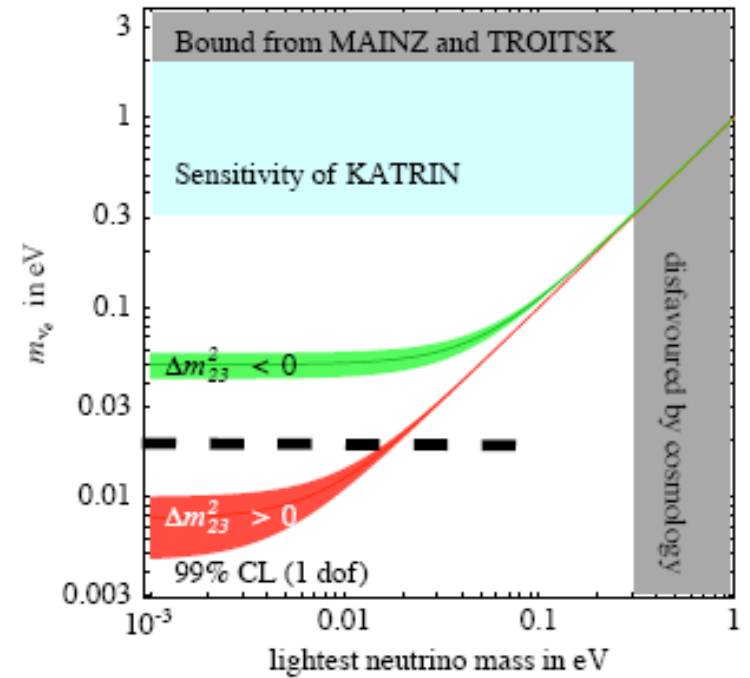
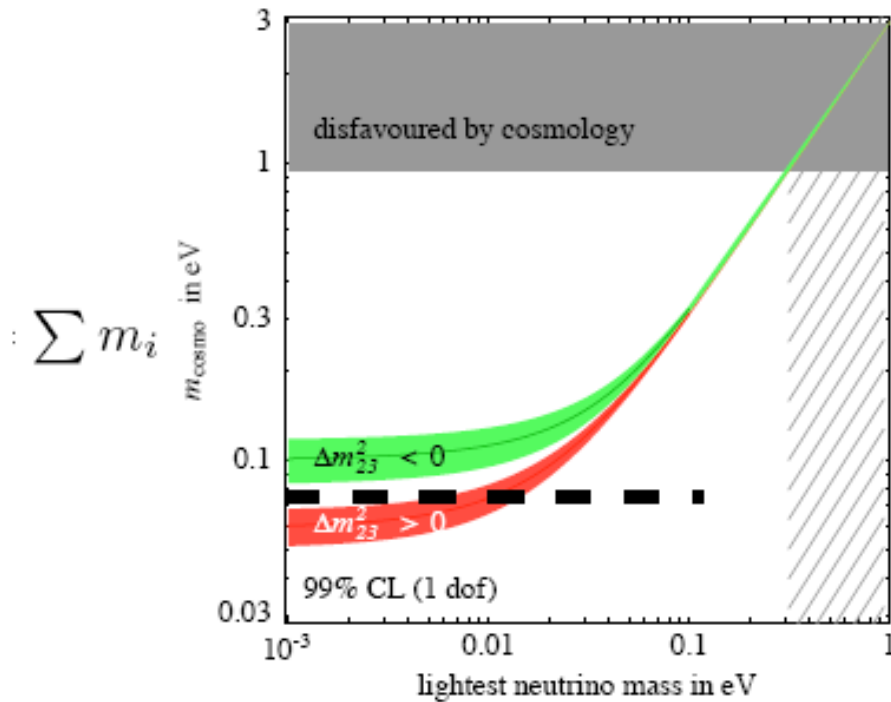
$$|\langle {}^3He + e^- + \bar{\nu} | T | {}^3H \rangle|^2 \sim pE(E_0 - E) \sum_{\mathbf{k}} |U_{e\mathbf{k}}|^2 \sqrt{(E_0 - E)^2 - m_{\mathbf{k}}^2}$$

if  $\nu$ 's quasi-degenerate:  $m_1 \approx m_2 \approx m_3$

$$|\langle {}^3He + e^- + \bar{\nu} | T | {}^3H \rangle|^2 \sim pE(E_0 - E) \sqrt{(E_0 - E)^2 - m_{\nu}^2}$$



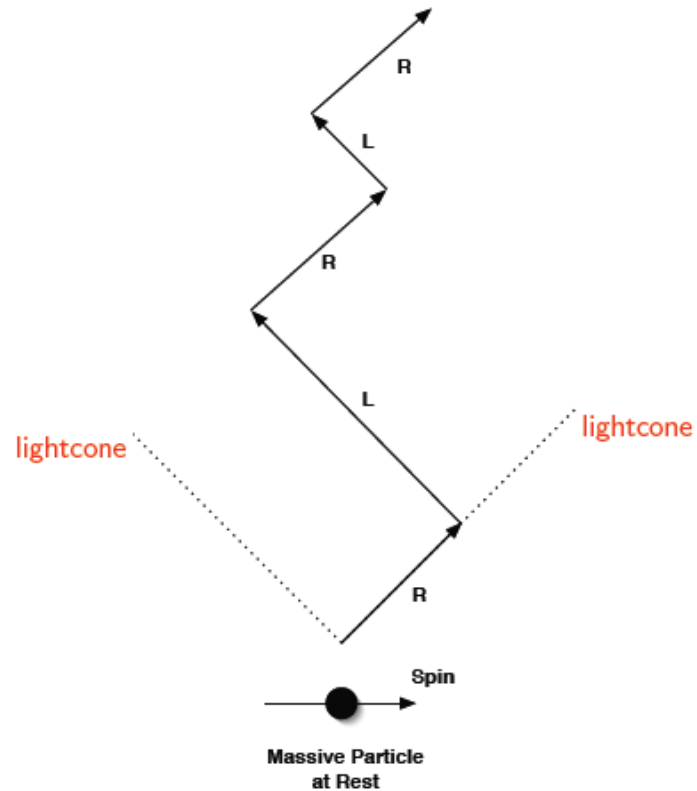




$\sum m_i \approx 60 \text{ eV}$  closes the Universe. Limit a few % of this number.

Similarly, if Tritium decay exp. (Hyper-Katrin) could exclude  $m_{\nu_e} > \frac{1}{30} \text{ eV}$ , then Normal Hierarchy.

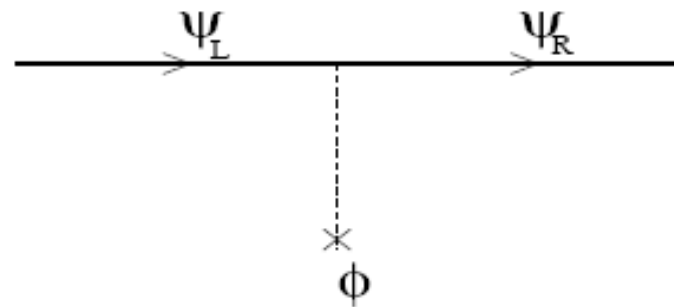
# What is Fermion Mass ???



A mass can be thought of as a  $L \leftrightarrow R$  transition:

$$m \overline{\psi}_L \psi_R + h.c.$$

In the SM fermion masses originate in the interaction with the Higgs field:



$$\lambda_f \overline{\psi}_L \Phi \psi_R + h.c. \rightarrow m_f = \lambda_f v$$

## Fermion Masses:

	electron	positron	
Left Chiral	$e_L$	$\bar{e}_R$	$SU(2) \times U(1)$
Right Chiral	$e_R$	$\bar{e}_L$	$U(1)$

CPT:  $e_L \leftrightarrow \bar{e}_R$  and  $e_R \leftrightarrow \bar{e}_L$

Mass couples L to R:

$e_L$  to  $e_R$  AND also  $\bar{e}_R$  to  $\bar{e}_L$  Dirac Mass terms.

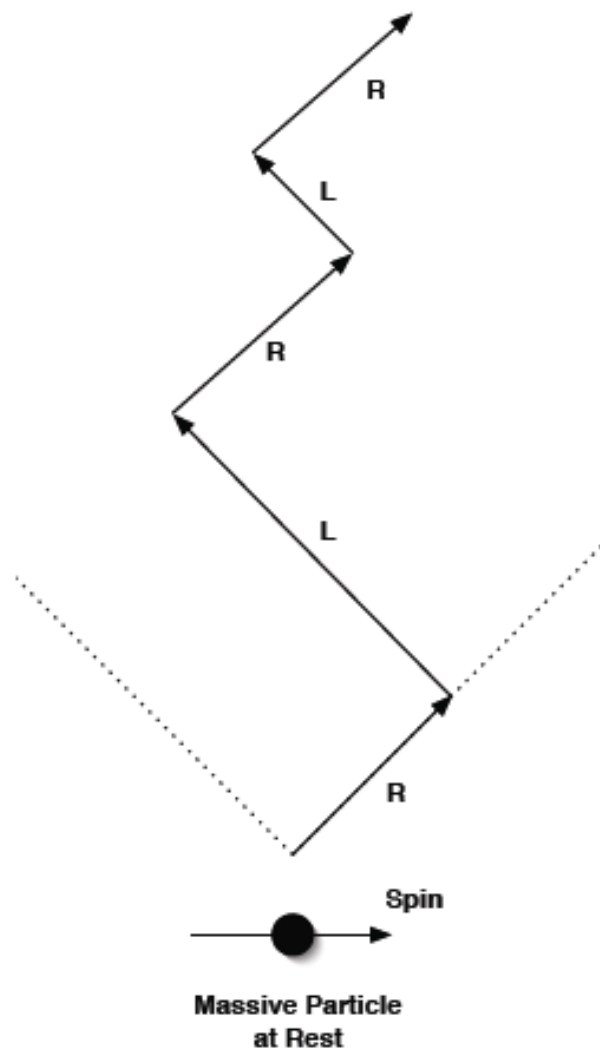
## Mass couples L to R:

$$P^2 = M^2, \quad P \cdot S = 0 \quad \text{and} \quad S^2 = -1$$

$$u(P, S) = \frac{(1 + \gamma_5)}{2} u\left(\frac{P + MS}{2}\right) + e^{i\phi} \frac{(1 - \gamma_5)}{2} u\left(\frac{P - MS}{2}\right)$$

right massless

left massless



A coupling of  $e_L$  to  $\bar{e}_R$  OR  $e_R$  to  $\bar{e}_L$  would be (Majorana) mass term but this violates conservation of electric charge!

# Seesaw / Dirac Neutrinos / Light Sterile Neutrinos

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	Nu	CPT:	Anti-Nu	
Left Chiral	$\nu_L$	$\Leftrightarrow$	$\bar{\nu}_R$	Dirac Masses
	$\Uparrow$		$\Downarrow$	
Right Chiral	$\nu_R$	$\Leftrightarrow$	$\bar{\nu}_L$	
		Majorana Masses		

Coupling of

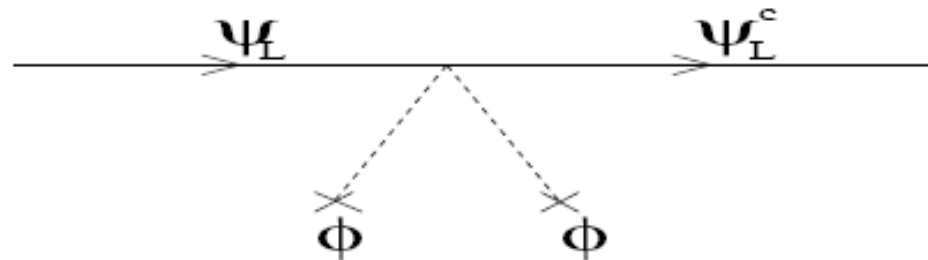
- $\nu_L$  to  $\nu_R$  AND  $\bar{\nu}_R$  to  $\bar{\nu}_L$  are the Dirac masses.
- $\nu_L$  to  $\bar{\nu}_R$  forbidden by weak isospin.
- $\nu_R$  to  $\bar{\nu}_L$  allowed and coefficient is unprotected. ( $\rightarrow M$ )

CPT  $\leftrightarrow$  Lorentz invariance  $\oplus$  unitarity:

L particle  $\leftrightarrow$  R antiparticle

For neutral particles, Majorana realized that one can get rid of half of the degrees of freedom in a massive Dirac spinor by identifying:

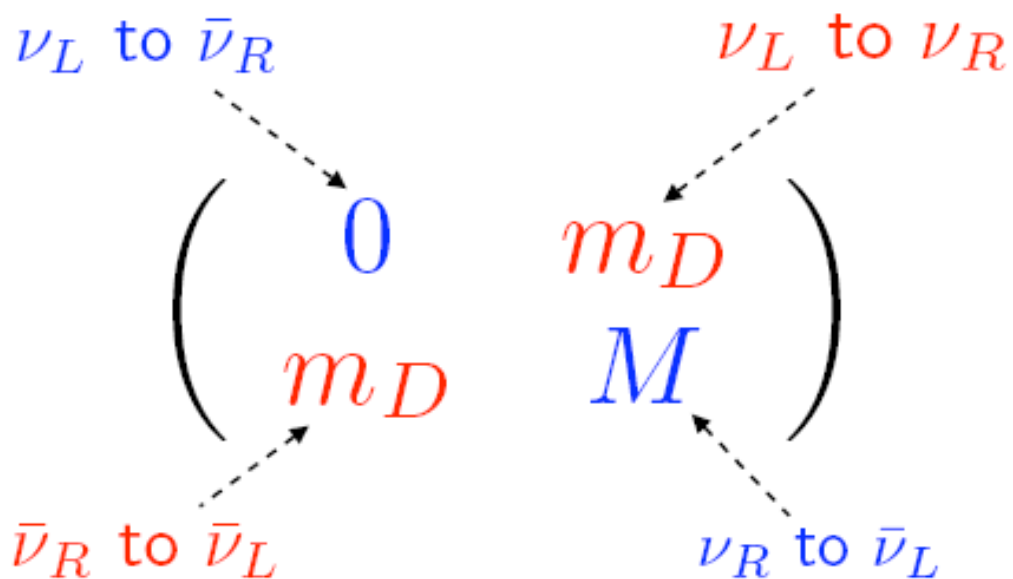
$$\psi = \psi_L + \psi_R \quad \psi_R \rightarrow (\psi_L)^c = C\bar{\psi}_L^T = C\gamma_0\psi_L^*$$



In the SM one can indeed write a  $L \leftrightarrow R$  coupling of this form in a gauge invariant way:

$$\frac{1}{M} \nu_L^T C \alpha_\nu \tilde{\Phi}^T \tilde{\Phi} \nu_L + h.c. \rightarrow m_\nu = \alpha_\nu \frac{v^2}{M}$$

A new scale  $M$  is needed!



Two Majorana neutrinos  
with masses  $m_D^2/M$  and  $M$

Seesaw:  
Yanagida, Gell-man-  
Ramond-Slansky

- Coupling of  $\nu_R$  to  $\bar{\nu}_L$  allowed and coefficient is unprotected. ( $\rightarrow M$ )

Also applies to sterile neutrinos.

Light Sterile Neutrinos and/or Dirac Neutrinos Unexpected!!!



The consequences of this alternative are profound:

- **Physics beyond the SM** at a scale  $M$ !
- Majorana fermions carry no conserved charge:  $L$  is violated !

$$\nu_L \rightarrow e^{i\alpha} \nu_L$$

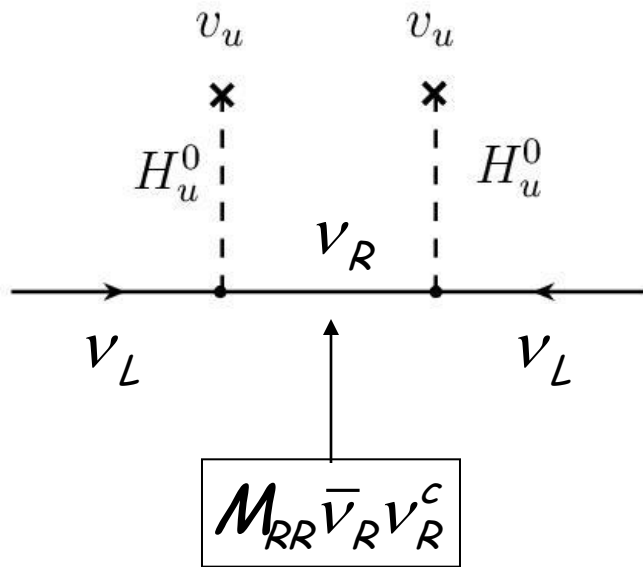
does not leave the Majorana mass term invariant.

→ Most welcome for **baryogenesis**: a mechanism to understand the matter-antimatter asymmetry in the Universe emerges naturally

→ Most welcome by **string theory**: it is difficult to get global  $U(1)$  charges conserved

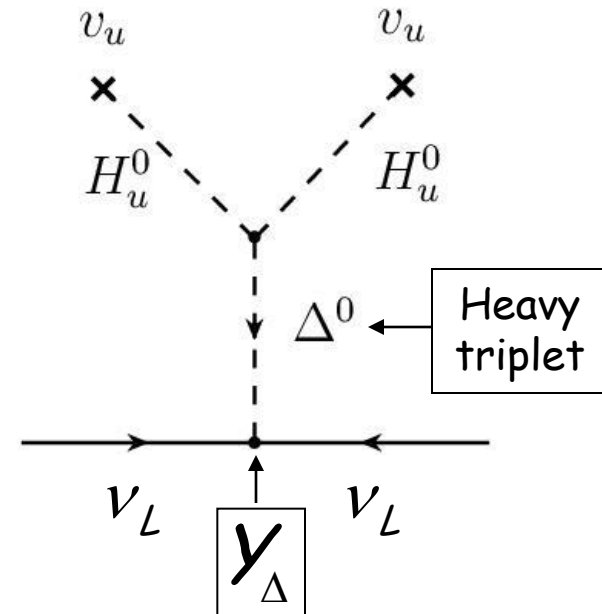
# Types of see-saw mechanism

Type I see-saw mechanism



$$m_{LL}^I \approx -m_{LR} M_{RR}^{-1} m_{LR}^T$$

Type II see-saw mechanism



$$m_{LL}^{II} \bar{v}_L v_L^C \approx Y_\Delta \frac{v_u^2}{M_\Delta}$$

# How Can We Demonstrate That $\bar{\nu}_i = \nu_i$ ?

We assume neutrino **interactions** are correctly described by the SM. Then the **interactions** conserve L ( $\nu \rightarrow l^-$ ;  $\bar{\nu} \rightarrow l^+$ ).

An Idea that Does Not Work  
[and illustrates why most ideas do not work]

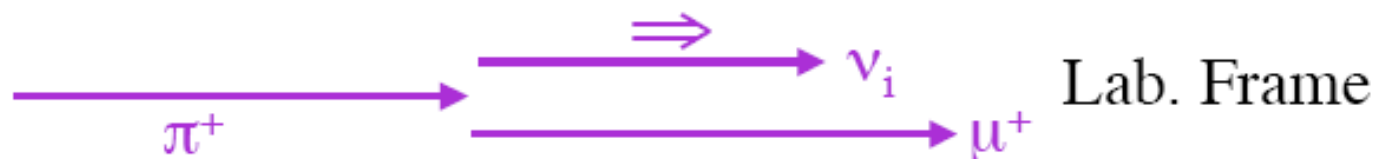
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Produce a  $\nu_i$  via—

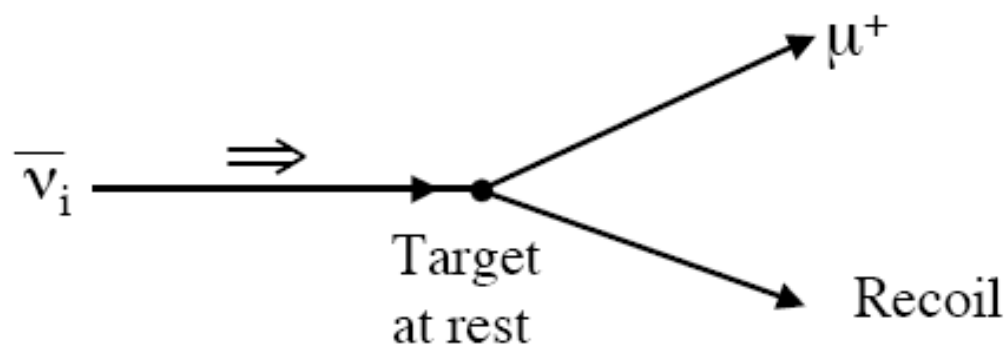


Give the neutrino a Boost:

$$\beta_{\pi}(\text{Lab}) > \beta_{\nu}(\pi \text{ Rest Frame})$$



The SM weak interaction causes—



$\nu_i = \bar{\nu}_i$  means that  $\nu_i(\mathbf{h}) = \bar{\nu}_i(\mathbf{h})$ .

helicity

If  $\nu_i \Rightarrow = \bar{\nu}_i \Rightarrow$ ,

our  $\nu_i \Rightarrow$  will make  $\mu^+$  too.

# Minor Technical Difficulties

$$\beta_{\pi}(\text{Lab}) > \beta_{\nu}(\pi \text{ Rest Frame})$$

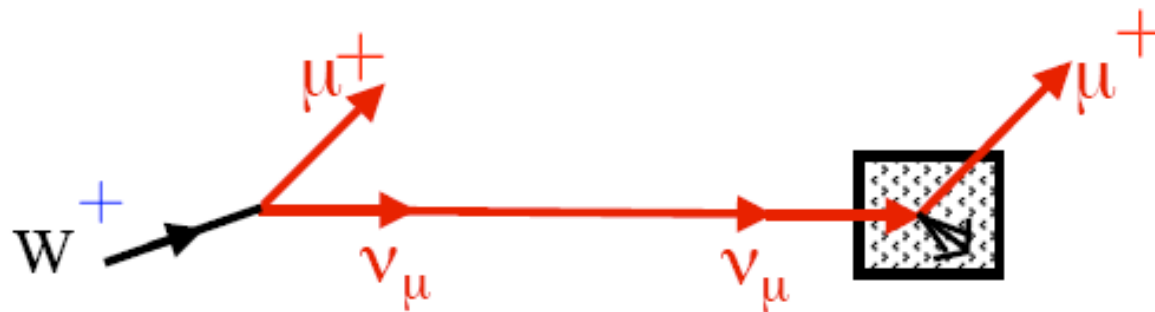
$$\Rightarrow \frac{E_{\pi}(\text{Lab})}{m_{\pi}} > \frac{E_{\nu}^2(\pi \text{ Rest Frame})}{m_{\nu}^2}$$

$$\Rightarrow E_{\pi}(\text{Lab}) > 10^{12} \text{ TeV} \quad \text{if } m_{\nu} \sim 1 \text{ eV}$$

Fraction of all  $\pi$ -decay that get helicity flipped

$$\approx \left( \frac{m_{\nu}}{E_{\nu}(\pi \text{ Rest Frame})} \right)^2 \sim 10^{-16} \quad \text{if } m_{\nu} \sim 1 \text{ eV}$$

# For Majorana Neutrinos

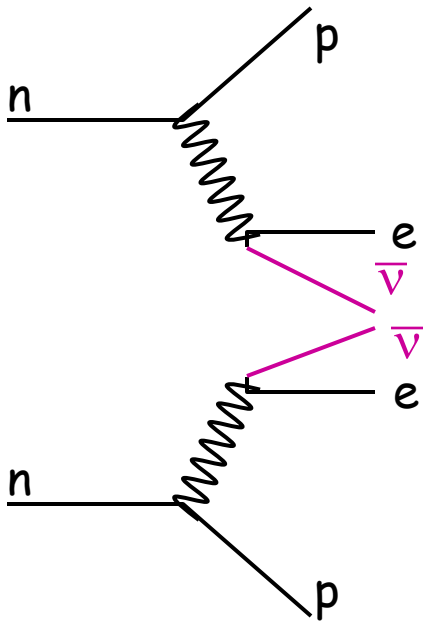


Not Observed

Allowed

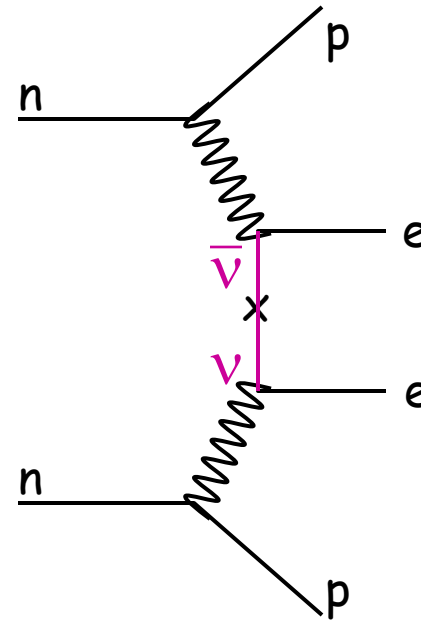
BUT Suppressed by  $\frac{m_\nu^2}{E^2} \sim 10^{-20}$  !!!

# ➤ How we can find out ?



SM double weak process

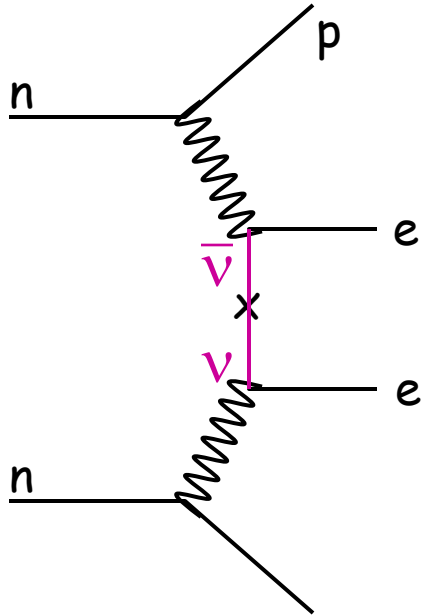
4 body decay: continuous spectrum for the e energy sum



Only allowed for Majorana  $\nu$

2 body decay: e energy sum is a delta

$\bar{\nu}_i$  is emitted ( RH +  $O(m_i/E)$  LH )



$\text{Amp}[\nu_i \text{ contribution}] \propto m_i$

$\text{Amp}[0\nu\beta\beta]$

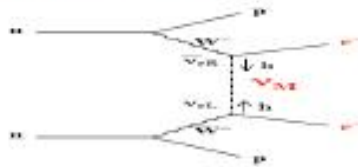
$$\propto \left| \sum m_i U_{ei}^2 \right|$$

effective mass



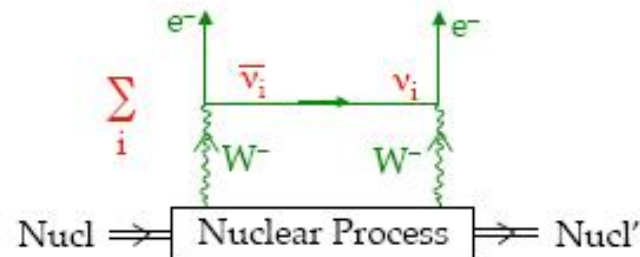
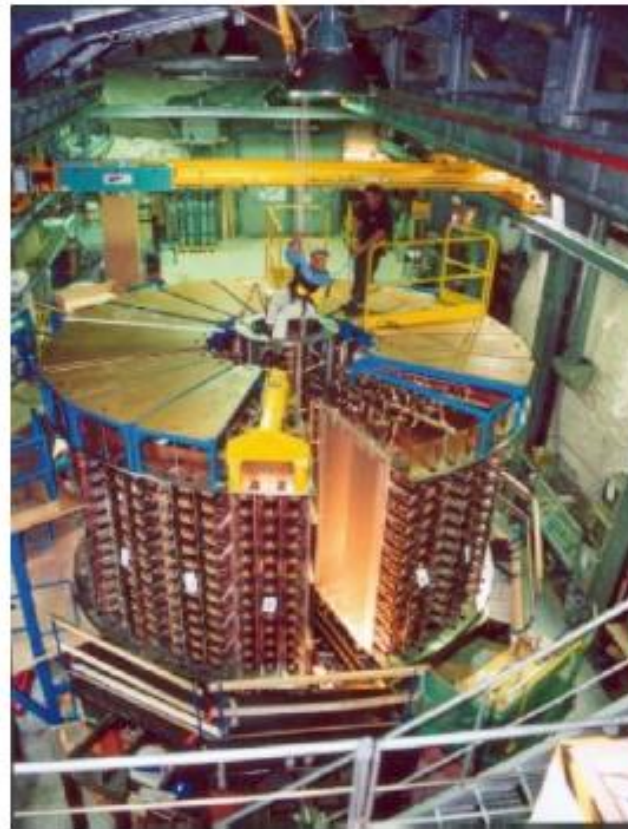
# Neutrinoless double beta decay

- Most sensitive (terrestrial) probe of the absolute neutrino mass
- Unique way of proving Majorana nature of  $\nu$
- If Majorana  $\nu$  is the only mechanism,  $\implies$



$$\langle m \rangle_{\beta\beta} \equiv \left| \sum_{i=1}^3 m_i U_{ei}^2 \right|$$

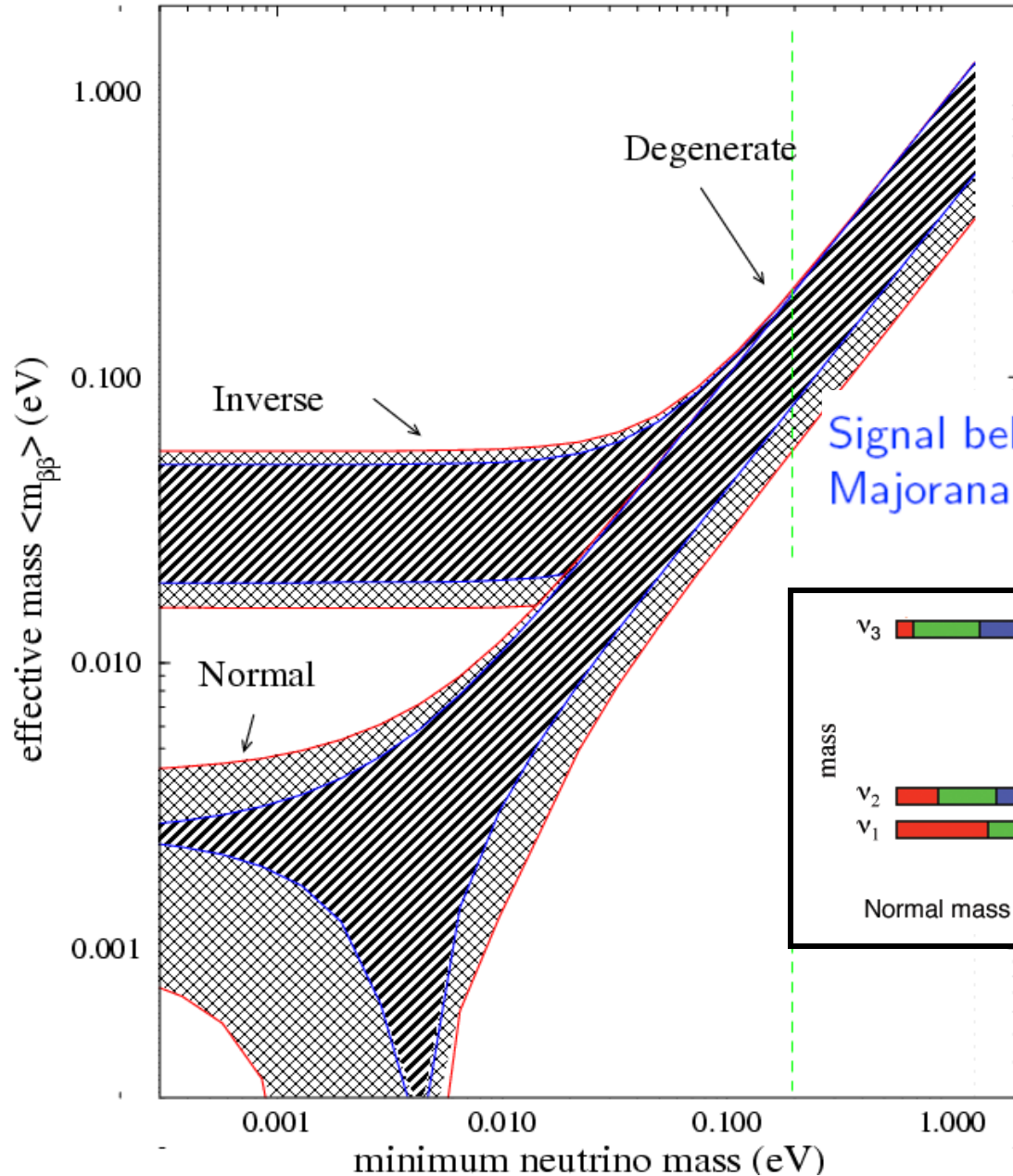
$$= \left| m_1 c_{12}^2 c_{13}^2 + m_2 s_{12}^2 c_{13}^2 e^{2i\beta} + m_3 s_{13}^2 e^{2i(\gamma-\delta)} \right|$$



# Effective neutrino mass in $0\nu\beta\beta$ decay

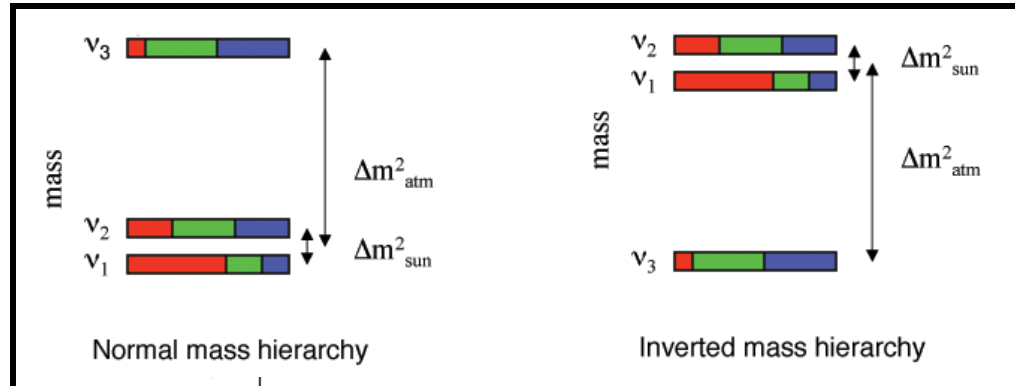
LMA solution, crosshatched region with errors

$$m_{\beta\beta} = \left| \sum m_i U_{ei}^2 \right|$$



dividing point  $m_{\beta\beta} \approx 10\text{meV}$

Signal below  $\sim 10\text{ meV}$  would imply Majorana and Normal Hierarchy!



## Evidence for $0\nu\beta\beta$ decay ?

Name	Isotope	Mass	Method	Location	Time Line
<i>Operational &amp; Recently completed experiments</i>					
CUORICINO NEMO-3	$^{130}\text{Te}$	12 kg	bolometric	LNGS	2003-2008
	$^{100}\text{Mo}/^{82}\text{Se}$	6.9/0.9 kg	tracko-calo	LSM	until 2010
<i>Construction funding</i>					
CUORE	$^{130}\text{Te}$	200 kg	bolometric	LNGS	2012
EXO-200	$^{136}\text{Xe}$	160 kg	liquid TPC	WIPP	2009
GERDA I&II	$^{76}\text{Ge}$	35kg	ionization	LNGS	2009
SNO+	$^{150}\text{Nd}$	56 kg	scintillation	SNOlab	2011
<i>Substantial R&amp;D funding / prototyping</i>					
CANDLES	$^{48}\text{Ca}$	0.35 kg	scintillation	Kamioka	2009
Majorana	$^{76}\text{Ge}$	26 kg	ionization	SUSL	2012
NEXT	$^{136}\text{Xe}$	80 kg	gas TPC	Canfranc	2013
SuperNEMO	$^{82}\text{Se}$ or $^{150}\text{Nd}$	100 kg	tracko-calo	LSM	2012 (first mod.)

# Leptogenesis

The Universe is made of matter:

$$\eta_B \equiv \frac{N_b - N_{\bar{b}}}{N_\gamma} \sim 6 \times 10^{-10}$$

The hope is that this asymmetry might have been produced dynamically from a symmetric initial state:

- Baryon number violation
- Deviation from thermal equilibrium
- $C$  and  $CP$  violation

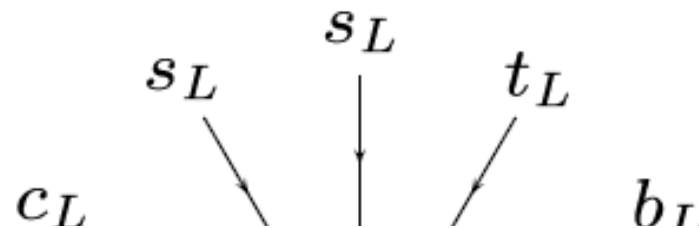
*Sakharov*

All these conditions are present in the SM !

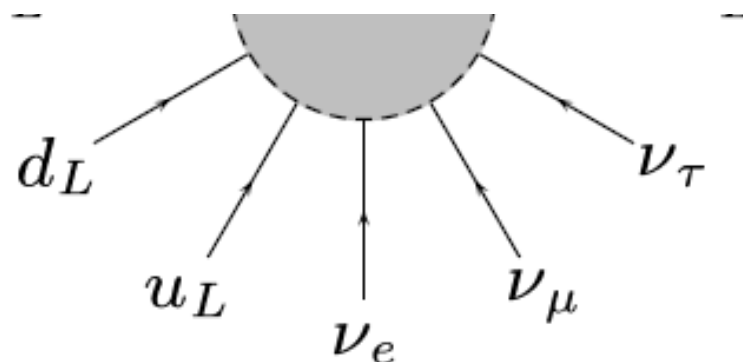
## Baryon number violation:

$B + L$  is anomalous in the SM both with and without massive neutrinos, while  $B - L$  is preserved

At high  $T$  in the early Universe,  $B + L$  violating transitions could be in thermal equilibrium due to the thermal excitation of configurations with topological charge called **sphalerons** :



If there are heavy Majorana singlets, as in the sea-saw mechanism, there is an additional source of  $L$  violation (and  $B - L$ ):



$$\Delta B = \Delta L$$



## Deviation from equilibrium:

Sphalerons are in equilibrium for  $T \geq 100$  GeV: to get these processes out-of-equilibrium we need to go to the EW phase transition.

EW baryogenesis is disfavoured because:

1. The sources of CP violation involved are too small in the SM
2. The out-of-equilibrium condition is not well met: the EW phase transition is not strongly first order

Majorana singlets are in equilibrium until they decouple:  $T \sim M_R$

This happens much earlier than EW transition since  $M_R \gg v$  and sphalerons are still in equilibrium:

$$Y_B = aY_{B-L} = \frac{a}{a-1}Y_L \quad a = \frac{28}{79} \quad \text{in SM}$$

# Leptogenesis

Baryon Asymmetry is created by a Lepton Asymmetry produced by the decays of super heavy Majorana Neutrinos.

$$\frac{\Gamma(N \rightarrow l^+ \phi^-) - \Gamma(N \rightarrow l^- \phi^+)}{\Gamma(N \rightarrow l^+ \phi^-) + \Gamma(N \rightarrow l^- \phi^+)}$$

$\Gamma(N \rightarrow l^\pm \phi^\mp)$  depends on the Majorana Phases in the MNS mixing matrix.

$$B_{now} = \frac{1}{2}(B - L) + \frac{1}{2}(B + L) = \frac{1}{2}(B - L)_{ini} = -\frac{1}{2}L_{ini}$$

0

Final asymmetry:

$$Y_B = 10^{-2} \underbrace{\epsilon_1}_{\text{CP-asym}} \underbrace{\kappa}_{\text{eff. factor}}$$

$$\epsilon_1 = \frac{\Gamma(N \rightarrow \Phi l) - \Gamma(N \rightarrow \Phi \bar{l})}{\Gamma(N \rightarrow \Phi l) + \Gamma(N \rightarrow \Phi \bar{l})}$$

$\kappa$  efficiency factor which depends on the non-equilibrium dynamics.

A relation between the baryon number of the Universe and the neutrino flavour parameters!



Some exotic (and not so exotic) scenarios

Non standard neutrino interactions

CPT violation

Violations of Lorentz invariance

# Non standard neutrino interactions

They can be described by effective four-fermion operators of the form

$$2\sqrt{2}G_F \varepsilon_{\alpha\beta} \left( \bar{\nu}_\beta \gamma^\mu P_L l_\alpha \right) \left( \bar{f} \gamma_\mu P_{L,R} f' \right)$$

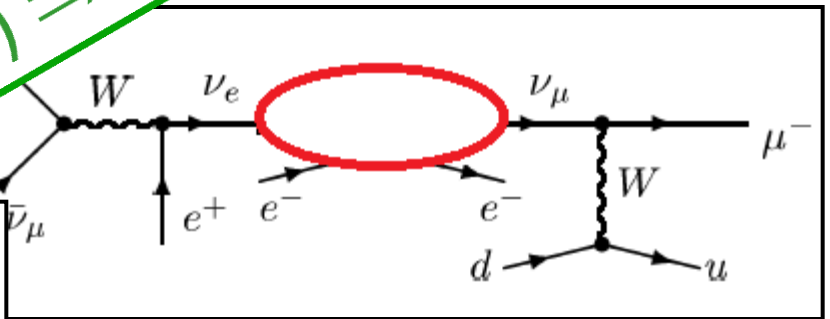
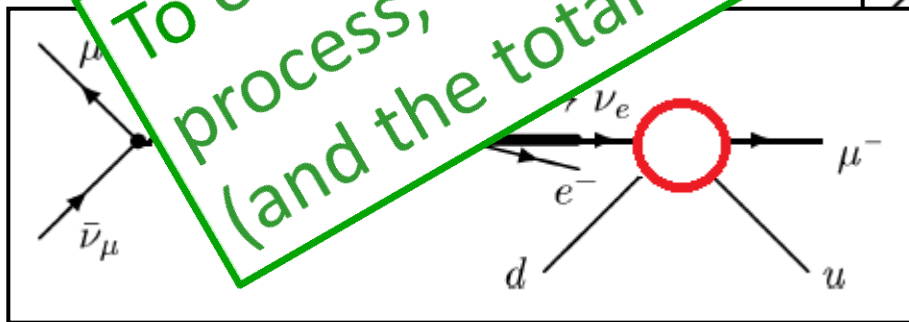
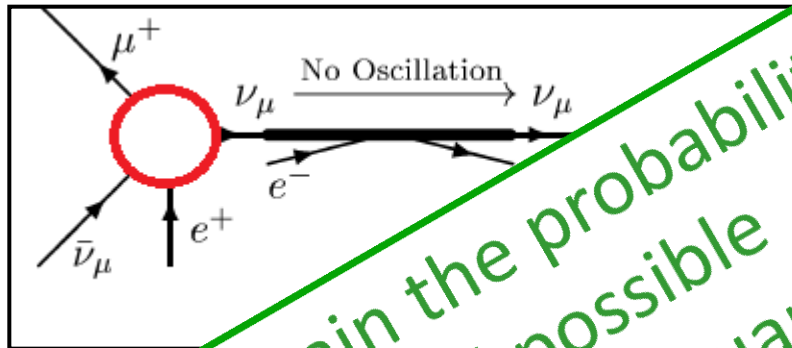
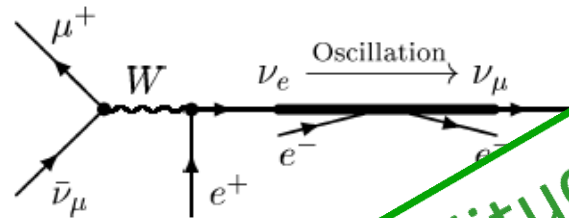
normalizing the operator with the Fermi constant

$$\varepsilon_{\alpha\beta} = \frac{M_W^2}{M_{NSI}^2}$$

NSNI can appear at every step. It is therefore necessary to break down the analysis in three stages

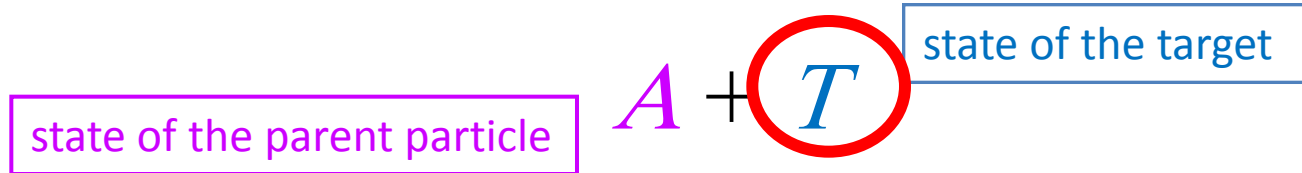
- the production process
- the time evolution
- the detection process

Lets have a look at what is called the “golden” channel in a neutrino factory



To obtain the probability amplitude of the whole process, all possible processes must be summed (and the total squared)  $\Rightarrow$  interference happens

The system consists of an initial state



intermediate state  $B$

and a final state



$$P ( A + T \rightarrow C + U ) = | \sum_B \Phi(A, T; B; C, U) |^2$$

$$P ( A \rightarrow C ) = \sum_{T, U} P(A + T \rightarrow C + U)$$

Assuming that the amplitude  $\Phi (A, T_o ; B_o ; C, U_o )$  is dominant

$$P (A \rightarrow C) \approx P(A + T_o \rightarrow C + U_o)$$

$$= | \Phi (A, T_o ; B_o ; C, U_o) |^2$$

$$+ 2 \operatorname{Re} \left[ \Phi (A, T_o ; B_o ; C, U_o)^* \sum_{B \neq B_o} \Phi (A, T_o ; B ; C, U_o ) \right]$$

$$+ | \sum_{B \neq B_o} \Phi (A, T_o ; B ; C, U_o ) |^2$$

For a neutrino factory :  $A \rightarrow \mu^-$      $C \rightarrow \mu^+$

Production and detection involve charged current NSNI

$$\pi \rightarrow \mu + \nu_\alpha$$

$$\mu^- \rightarrow e^- \nu_\mu \bar{\nu}_\alpha$$

$$n + \nu_\alpha \rightarrow p + l_\beta$$

$$2\sqrt{2}G_F \varepsilon_{\alpha\beta}^{ud} (\bar{l}_\beta \gamma^\mu P_L \nu_\alpha) (\bar{u} \gamma_\mu P_{L,R} d)$$

$$2\sqrt{2}G_F \varepsilon_{\alpha\beta}^{\mu e} (\bar{\mu} \gamma^\mu P_L \nu_\beta) (\bar{\nu}_\alpha \gamma_\mu P_L e)$$

$$|\varepsilon^{ud}| < \begin{pmatrix} 0.041 & 0.025 & 0.041 \\ 2.6 \cdot 10^{-5} & 0.078 & 0.013 \\ 0.011 & 0.016 & 0.13 \end{pmatrix}$$

$$|\varepsilon^{\mu e}| < \begin{pmatrix} 0.025 & 0.03 & 0.03 \\ 0.025 & 0.03 & 0.03 \\ 0.025 & 0.03 & 0.03 \end{pmatrix}$$

bounds are  $\sim 10^{-2}$

We are left “only” with neutral current NSNI

$$2\sqrt{2}G_F \varepsilon_{\alpha\beta} (\bar{\nu}_\beta \gamma^\mu P_L \nu_\alpha) (\bar{f} \gamma^\mu f)$$

$$i \frac{d}{dt} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \frac{1}{2E} \left[ U \begin{pmatrix} 0 & 0 & 0 \\ 0 & \Delta m_{21}^2 & 0 \\ 0 & 0 & \Delta m_{32}^2 \end{pmatrix} U^\dagger + a \begin{pmatrix} \varepsilon_{e\mu} & \varepsilon_{e\tau} \\ \varepsilon_{\mu\mu} & \varepsilon_{\mu\tau} \\ \varepsilon_{e\tau}^* & \varepsilon_{\mu\tau}^* & \varepsilon_{\tau\tau} \end{pmatrix} \right] \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$$

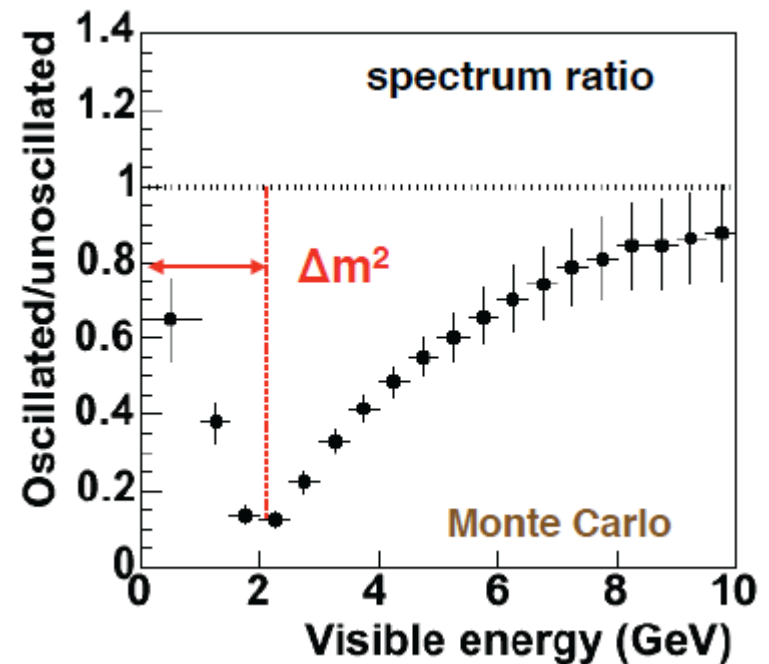
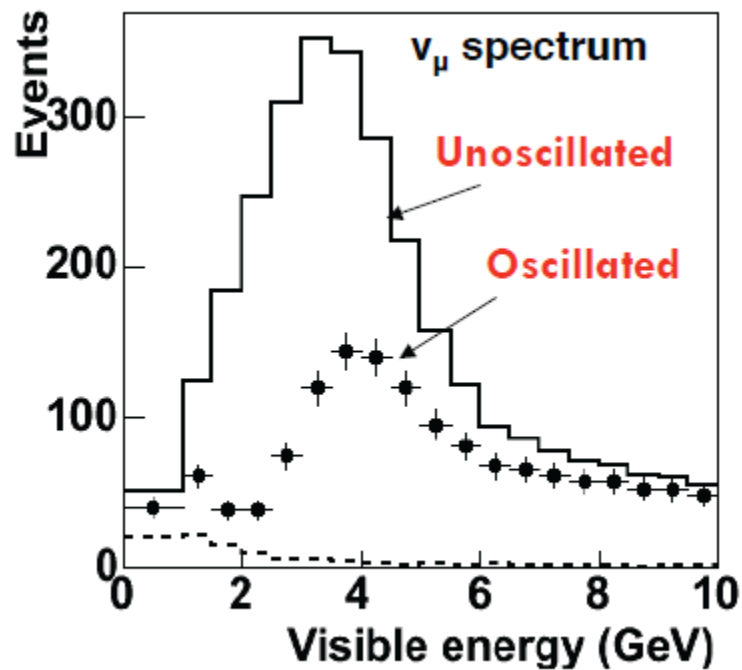
$$H_{eff} = \frac{1}{2E} \left[ U \begin{pmatrix} 0 & 0 & 0 \\ 0 & \Delta m_{21}^2 & 0 \\ 0 & 0 & \Delta m_{32}^2 \end{pmatrix} U^\dagger + a \begin{pmatrix} \varepsilon_{\mu\mu} & \varepsilon_{\mu\tau} \\ \varepsilon_{\mu\tau}^* & \varepsilon_{\tau\tau} \end{pmatrix} \right]$$

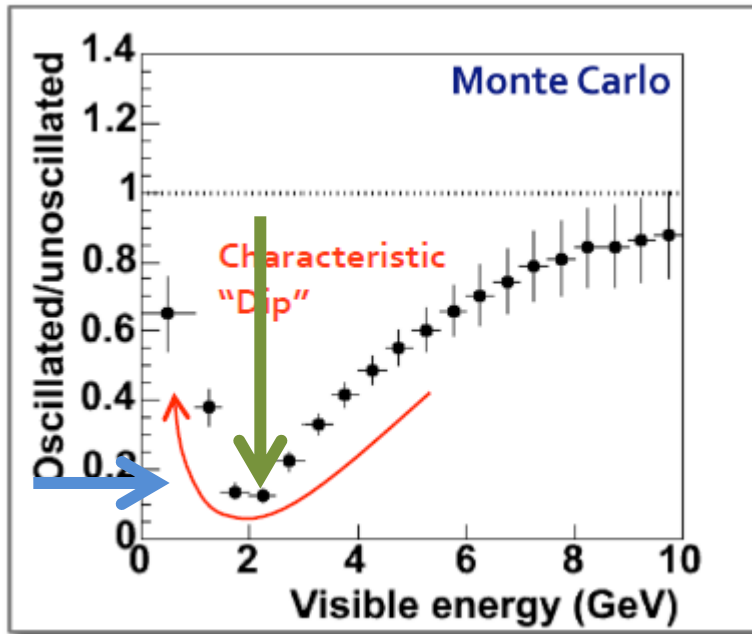
$$a \equiv 2\sqrt{2}G_F n_e E$$

Anomalies  
 the driving force in neutrino physics for 30+ years !!!!!



$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2(2\theta) \sin^2(1.27 \Delta m^2 L / E)$$





$\epsilon_{\mu\tau}$  changes the disappearance probability at large energies  
 shifts the position of the minimum in energy

$$\Delta m^2$$

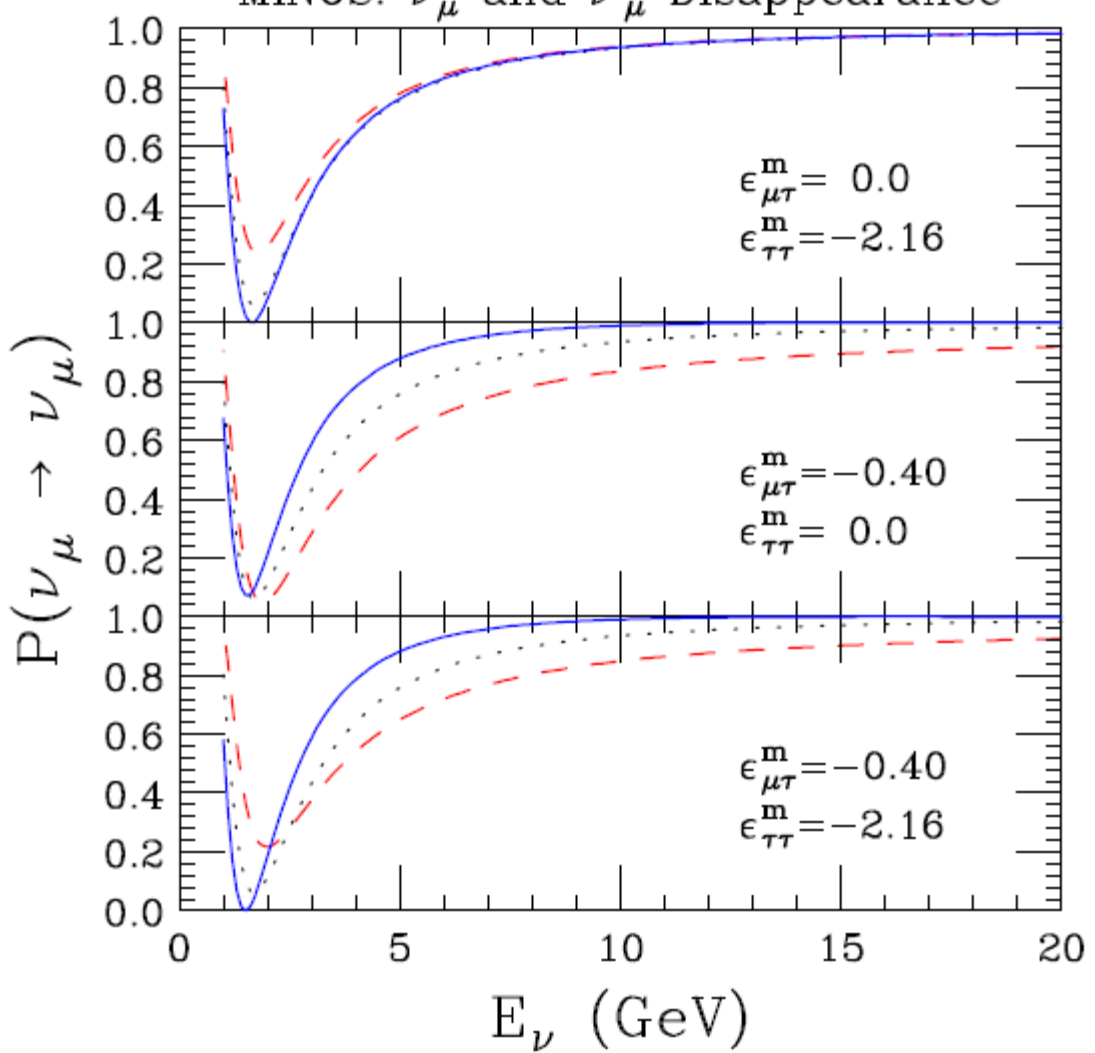
$\epsilon_{\tau\tau}$  modifies the disappearance probability near the first oscillation minimum, especially the depth of the minimum

$$\sin^2(2\theta_{23})$$

# MINOS: $\nu_\mu$ and $\bar{\nu}_\mu$ Disappearance

neutrino

antineutrino



# Summary

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- With  $7 \times 10^{20}$  POT of neutrino beam, MINOS finds

- muon-neutrinos disappear

$$\left| \Delta m^2 \right| = 2.35_{-0.08}^{+0.11} \times 10^{-3} \text{ eV}^2, \\ \sin^2(2\theta) > 0.91 \text{ (90\% C.L.)}$$

- NC event rate is not diminished

$$f_s < 0.22 \text{ (0.40) at 90\% C.L.}$$

- electron-neutrino appearance is limited

$$\sin^2(2\theta_{13}) < 0.12 \text{ (0.20) at 90\% C.L.}$$

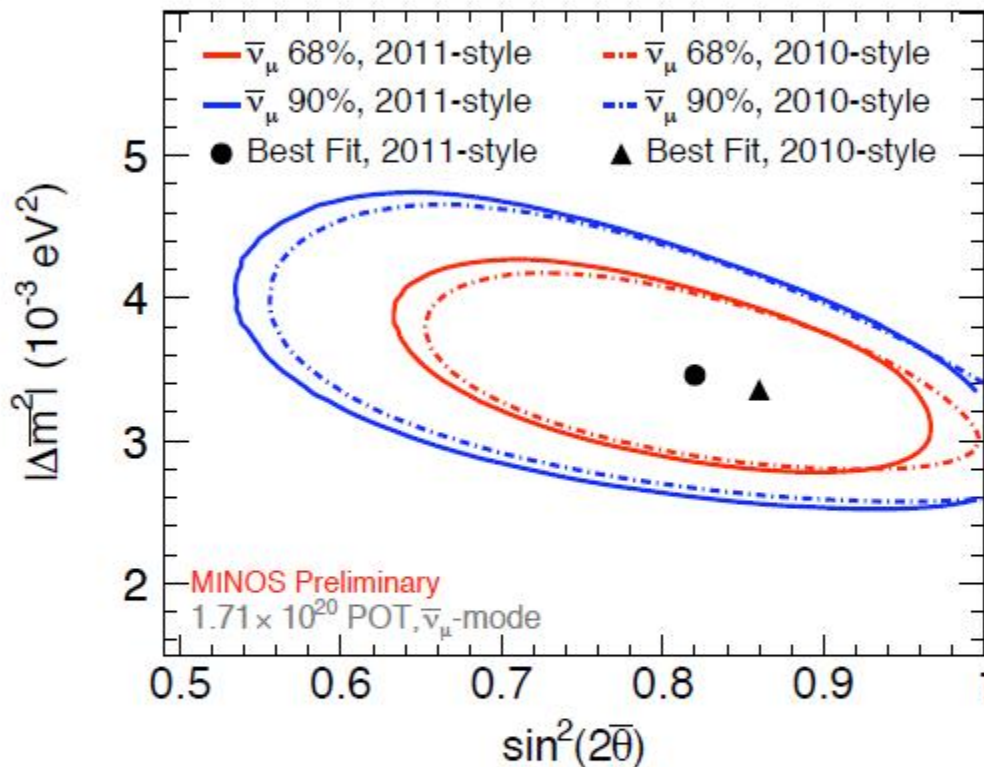
- With  $1.71 \times 10^{20}$  POT of anti-neutrino beam

- muon anti-neutrinos also disappear with

$$\left| \overline{\Delta m^2} \right| = 3.36_{-0.40}^{+0.45} \times 10^{-3} \text{ eV}^2, \\ \sin^2(2\bar{\theta}) = 0.86 \pm 0.11$$

- we look forward to more anti-neutrino beam!

# Run IV-Only Contours 2011 vs. 2010



2010 Analysis,  $1.71 \times 10^{20}$  POT

$$|\Delta \bar{m}_{\text{atm}}^2| = 3.36_{-0.40}^{+0.46} \times 10^{-3} \text{eV}^2$$

$$\sin^2(2\bar{\theta}_{23}) = 0.86_{-0.12}^{+0.11}$$

2011 Analysis,  $1.71 \times 10^{20}$  POT

$$|\Delta \bar{m}_{\text{atm}}^2| = 3.46_{-0.43}^{+0.47} \times 10^{-3} \text{eV}^2$$

$$\sin^2(2\bar{\theta}_{23}) = 0.82_{-0.11}^{+0.10}$$

# CPT violation



$$\frac{|m(K_0) - m(\overline{K}_0)|}{m_{K-av}} < 10^{-18}$$

$$m_{K-av} \approx \frac{1}{2} 10^{-9} \text{ eV}$$

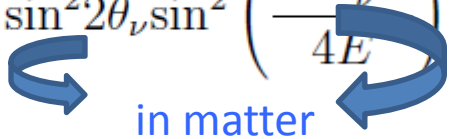
$$(m(K_0) - m(\overline{K}_0))(m(K_0) + m(\overline{K}_0)) < 2 \cdot 10^{-18} m_{K-av}^2$$
$$|m^2(K_0) - m^2(\overline{K}_0)| \approx \frac{1}{2} \text{ eV}^2$$

$$|\Delta m^2 - \overline{\Delta m^2}| \approx 10^{-6} - 10^{-3} \text{ eV}^2$$

# Distinguishing CPT violation from NSNI

The muon neutrino survival probability in matter can be written as

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta_\nu \sin^2 \left( \frac{\Delta m_\nu^2 L}{4E} \right).$$



$$\Delta m_\nu^2 \cos 2\theta_\nu$$

$$\Delta m_\nu^2 \sin 2\theta_\nu$$

$$4\Delta m^4 = \Delta m_\nu^4 + \Delta m_{\bar{\nu}}^4 + 2\Delta m_\nu^2 \Delta m_{\bar{\nu}}^2 \cos(2\theta_\nu - 2\theta_{\bar{\nu}})$$

$$- \epsilon_{\tau\tau} A,$$

$$- 2\epsilon_{\mu\tau} A.$$

$$\sin^2(2\theta) = \frac{(\Delta m_\nu^2 \sin(2\theta_\nu) + \Delta m_{\bar{\nu}}^2 \sin(2\theta_{\bar{\nu}}))^2}{\Delta m_\nu^4 + \Delta m_{\bar{\nu}}^4 + 2\Delta m_\nu^2 \Delta m_{\bar{\nu}}^2 \cos(2\theta_\nu - 2\theta_{\bar{\nu}})}$$

$$2\epsilon_{\tau\tau}^m A = \Delta m_\nu^2 \cos(2\theta_\nu) - \Delta m_{\bar{\nu}}^2 \cos(2\theta_{\bar{\nu}})$$

$$4\epsilon_{\mu\tau}^m A = \Delta m_\nu^2 \sin(2\theta_\nu) - \Delta m_{\bar{\nu}}^2 \sin(2\theta_{\bar{\nu}})$$



# Violations of Lorentz invariance

Lorentz violation

$$(h_{\text{eff}})_{ab} = \frac{m_{ab}^2}{2E} + \frac{1}{E} \left[ (a_L)^\alpha p_\alpha - (c_L)^{\alpha\beta} p_\alpha p_\beta \right]_{ab}$$

standard Lorentz  
covariant term

violates both CPT and  
Lorentz invariance

As usual, the oscillation probability is governed by the difference of the eigenvalues of the effective hamiltonian.

$$\sin^2(\Delta_{ab} L/2)$$

$$m_{ab}^2 L/E$$

$$(a^\alpha)_{ab} L$$

$$(c^{\alpha\beta})_{ab} L E$$

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2(2\theta) \sin^2(1.27 \Delta m^2 L / E)$$

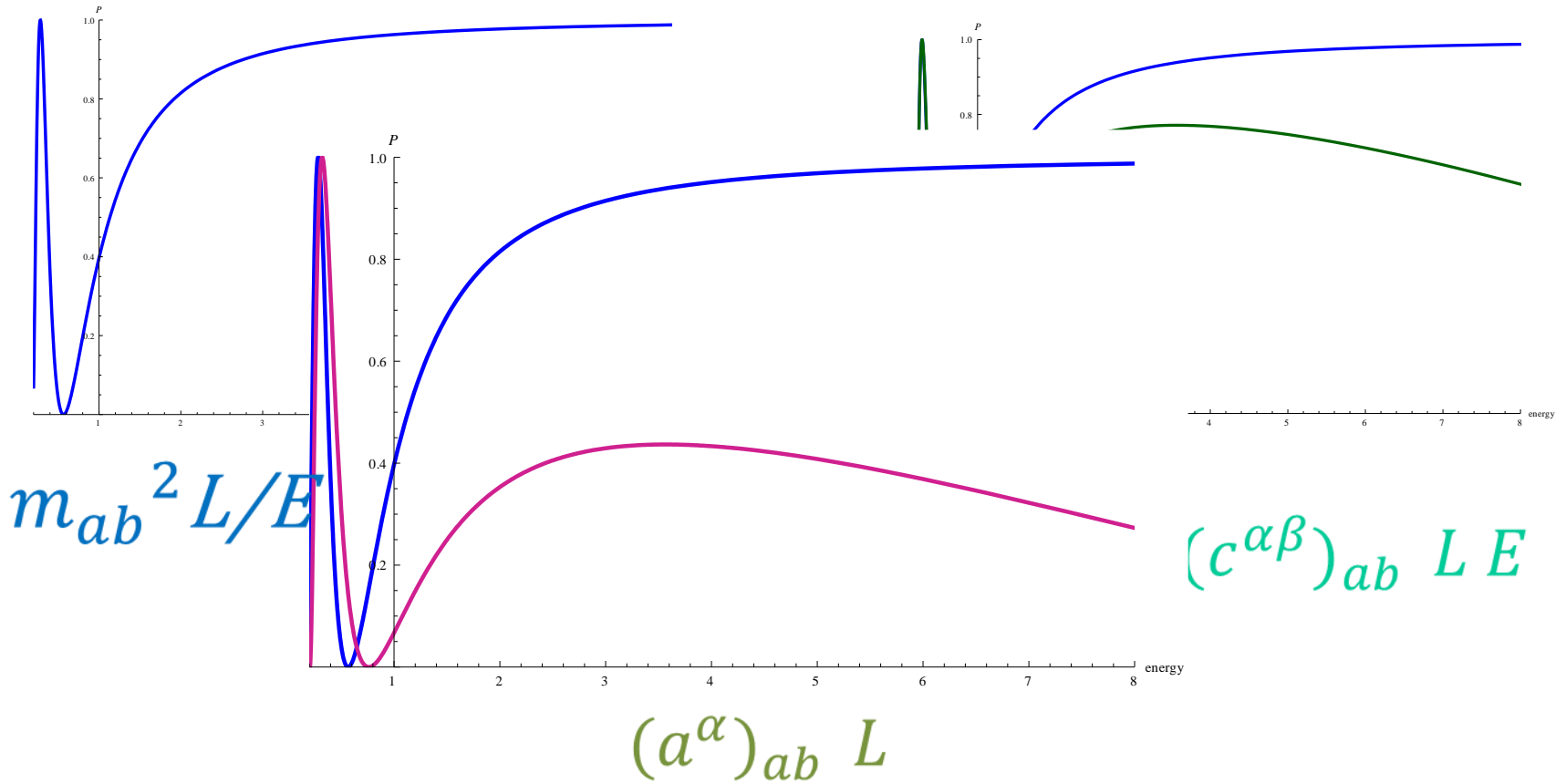


TABLE X: Neutrino sector

Combination	Result	System	Ref.
$(a_L)_{e\mu}^T$	$(-3.1 \pm 0.9) \times 10^{-20}$ GeV	MiniBooNE	[93]
$(a_L)_{e\mu}^X$	$(0.6 \pm 1.9) \times 10^{-20}$ GeV	"	[93]
$(a_L)_{e\mu}^Y$	$(-0.9 \pm 1.8) \times 10^{-20}$ GeV	"	[93]
$(a_L)_{e\mu}^Z$	$(-4.2 \pm 1.2) \times 10^{-20}$ GeV	"	[93]
$(c_L)_{e\mu}^{TT}$	$(7.2 \pm 2.1) \times 10^{-20}$	"	[93]
$(c_L)_{e\mu}^{TX}$	$(-0.9 \pm 2.8) \times 10^{-20}$	"	[93]
$(c_L)_{e\mu}^{TY}$	$(1.3 \pm 2.6) \times 10^{-20}$	"	[93]
$(c_L)_{e\mu}^{TZ}$	$(5.9 \pm 1.7) \times 10^{-20}$	"	[93]
$(c_L)_{e\mu}^{XZ}$	$(-1.1 \pm 3.7) \times 10^{-20}$	"	[93]
$(c_L)_{e\mu}^{YZ}$	$(1.7 \pm 3.4) \times 10^{-20}$	"	[93]
$(c_L)_{e\mu}^{ZZ}$	$(2.6 \pm 0.8) \times 10^{-19}$	"	[93]
$a_L^X, a_L^Y$	$< 1.8 \times 10^{-23}$ GeV	IceCube	[94]
$ (a_L)_{\mu\tau}^X $	$< 5.9 \times 10^{-23}$ GeV	MINOS FD	[95]
$ (a_L)_{\mu\tau}^Y $	$< 6.1 \times 10^{-23}$ GeV	"	[95]
$ a_L^X ,  a_L^Y $	$< 3.0 \times 10^{-20}$ GeV	MINOS ND	[96]
$c_L^{TX}, c_L^{TY}$	$< 3.7 \times 10^{-27}$	IceCube	[94]
$ (c_L)_{\mu\tau}^{TX} ,  (c_L)_{\mu\tau}^{TY} $	$< 0.5 \times 10^{-23}$	MINOS FD	[95]
$ (c_L)_{\mu\tau}^{XX} $	$< 2.5 \times 10^{-23}$	"	[95]
$ (c_L)_{\mu\tau}^{YY} $	$< 2.4 \times 10^{-23}$	"	[95]
$ (c_L)_{\mu\tau}^{XY} $	$< 1.2 \times 10^{-23}$	"	[95]
$ (c_L)_{\mu\tau}^{YZ} ,  (c_L)_{\mu\tau}^{XZ} $	$< 0.7 \times 10^{-23}$	"	[95]
$ c_L^{TX} ,  c_L^{TY} $	$< 9 \times 10^{-23}$	MINOS ND	[96]
$ c_L^{XX} $	$< 5.6 \times 10^{-21}$	"	[96]
$ c_L^{YY} $	$< 5.5 \times 10^{-21}$	"	[96]
$ c_L^{XY} $	$< 2.7 \times 10^{-21}$	"	[96]
$ c_L^{YZ} $	$< 1.2 \times 10^{-21}$	"	[96]
$ c_L^{XZ} $	$< 1.3 \times 10^{-21}$	"	[96]

V.Kostelecky and N. Russell  
 Rev.Mod.Phys. 83 (2011) 11  
 e-Print: arXiv:0801.0287



# SUMMARY

neutrino mass  $\Leftrightarrow$  flavor change

## Unknowns:

- Majorana v Dirac
- Light Steriles ???
- Mass Hierarchy  $m_3 > m_2 > m_1$  OR  $m_2 > m_1 > m_3$   
using  $|U_{e3}|^2 < |U_{e2}|^2 < |U_{e1}|^2$
- Is CP violated ?  $\sin \delta \neq 0$
- Mass of Heaviest Neutrino
- Mass of Lightest Neutrino
- New Interactions, Surprises !!!