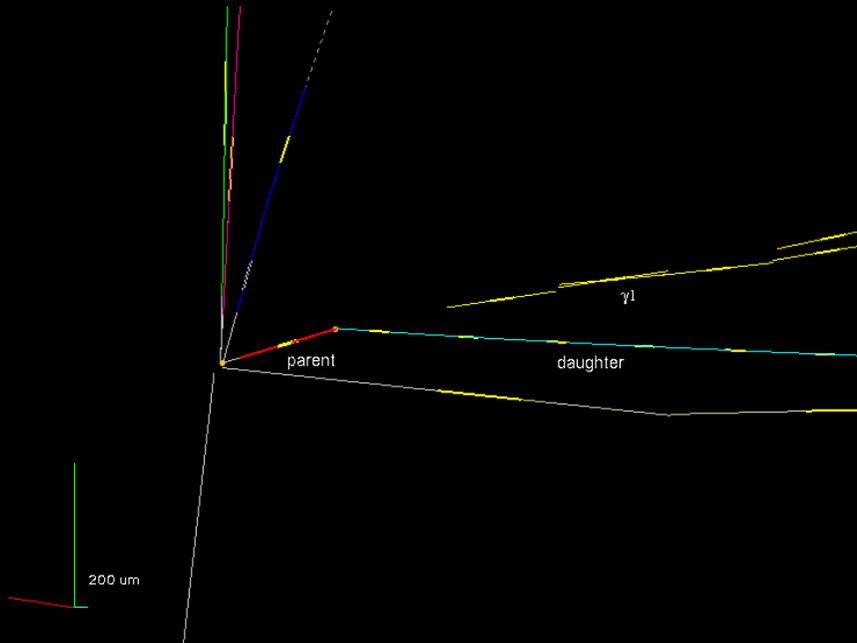


# Neutrino (mostly oscillation) physics



Antonio Ereditato

A. Einstein Center for Fundamental Physics,  
Laboratory for High Energy Physics,  
University of Bern

CERN Academic Training – April 2012

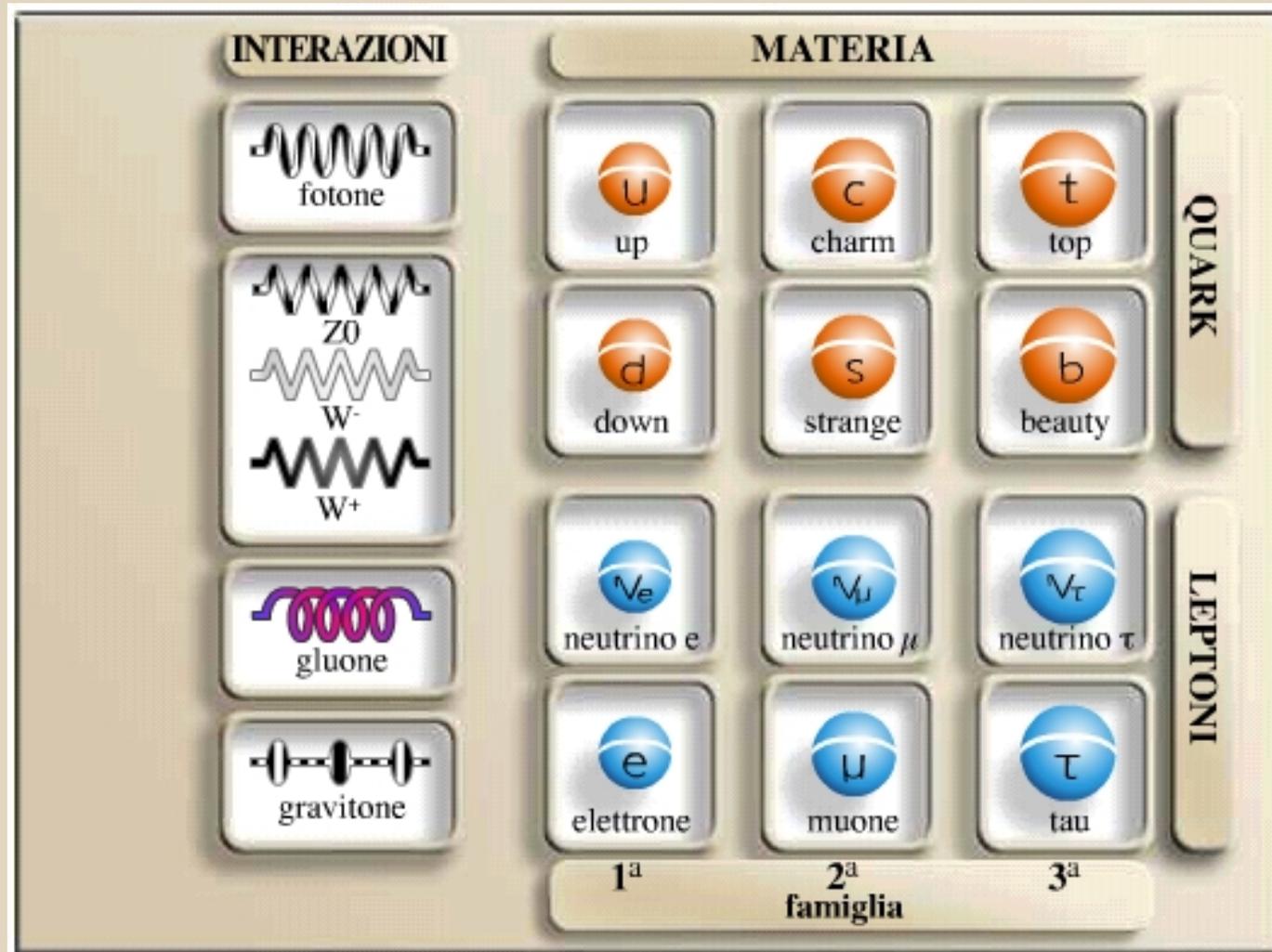
# Content\*

- General information and history: what is special with the neutrino ?
- Neutrino oscillations: hottest topic in neutrino physics
- Examples of oscillation experiments (not exhaustive list): past, ongoing, future
- An almost arbitrary selection is imposed...apologies....

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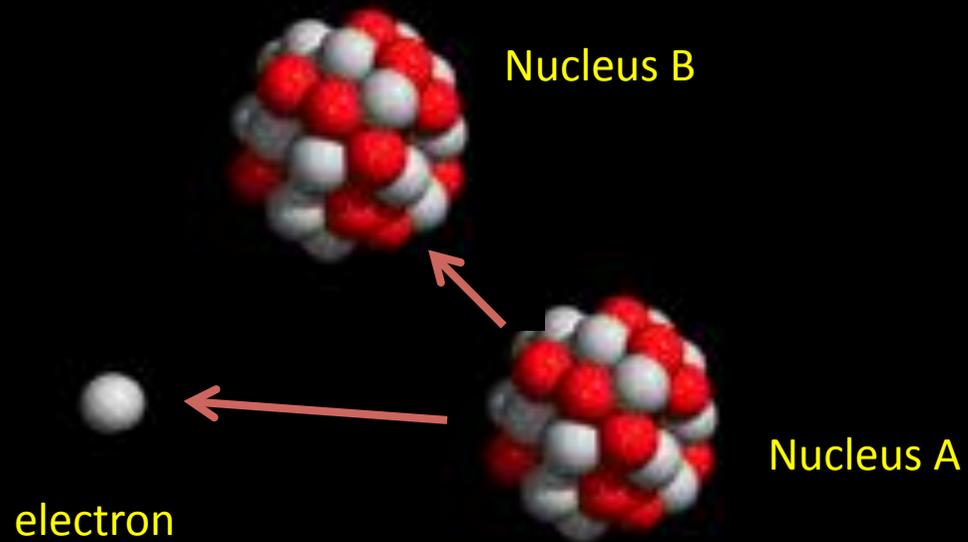
\*Thanks to many colleagues for the use of some of their excellent slide material

“Zoo” of elementary particles:  
electrons, protons, neutrons, photons, quarks,...  
and.... NEUTRINOS  $\nu$



*The story of the neutrino begins, as for any of us,  
with its birth...*

*Nuclear “beta” decay circa the beginning of last century*





Pauli (1930)  
and the “desperate remedy”  
of the “neutron”

# One of the most famous letters of particle physics

*Original. Photocopy of 74. 0193*  
Abschrift/15.12.96 PW

Offener Brief an die Gruppe der Radioaktiven bei der  
Gesellschafts-Tagung zu Tübingen.

Abschrift  
Physikalisches Institut  
der Eidg. Technischen Hochschule  
Zürich

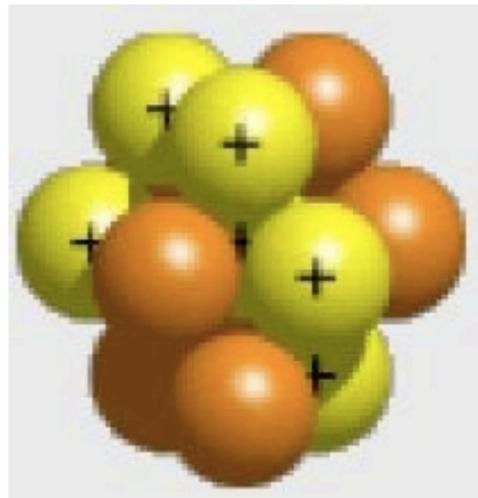
Zürich, 4. Dez. 1930  
Oliverstrasse

Liebe Radioaktive Damen und Herren,

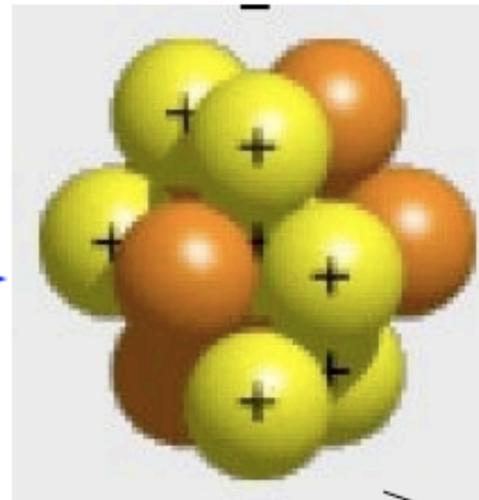
Wie der Ueberbringer dieser Zeilen, den ich baldvöllst  
anschauen bitte, Ihnen das näherem auseinandersetzen wird, bin ich  
angesichts der "falschen" Statistik der  $\beta$ - und  $\beta$ -6 Kerne, sowie  
des kontinuierlichen  $\beta$ -Spektrums auf einen verweifelten Ausweg  
verfallen um den "Wechselzute" (1) der Statistik und den Energiezust  
zu retten. Nämlich die Möglichkeit, es könnten elektrisch neutrale  
Teilchen, die ich Neutronen nennen will, in den Kernen existieren,  
welche den Spin  $1/2$  haben und das Ausschliessungsprinzip befolgen und  
sich von Lichtquanten ausserdem noch dadurch unterscheiden, dass sie  
nicht mit Lichtgeschwindigkeit laufen. Die Masse der Neutronen  
wäre von derselben Grössenordnung wie die Elektronenmasse sein und  
jedenfalls nicht grösser als  $0,01$  Protonenmasse.- Das kontinuierliche  
 $\beta$ -Spektrum wäre dann verständlich unter der Annahme, dass beim  
 $\beta$ -Zerfall mit dem Elektron jeweils noch ein Neutron emittiert  
wird, derart, dass die Summe der Energien von Neutron und Elektron  
konstant ist.



# Nuclear BETA Decay



**Carbon-14**  
6 protons,  
8 neutrons



**Nitrogen-14**  
7 protons,  
7 neutrons

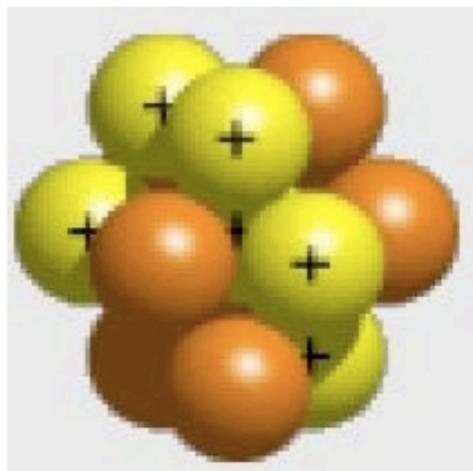
**Not conserving**

Energy  
Momentum  
Angular Momentum

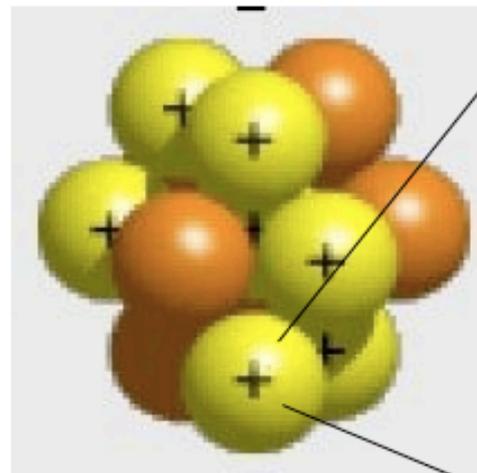


+ electron

# Nuclear BETA Decay



**Carbon-14**  
6 protons,  
8 neutrons



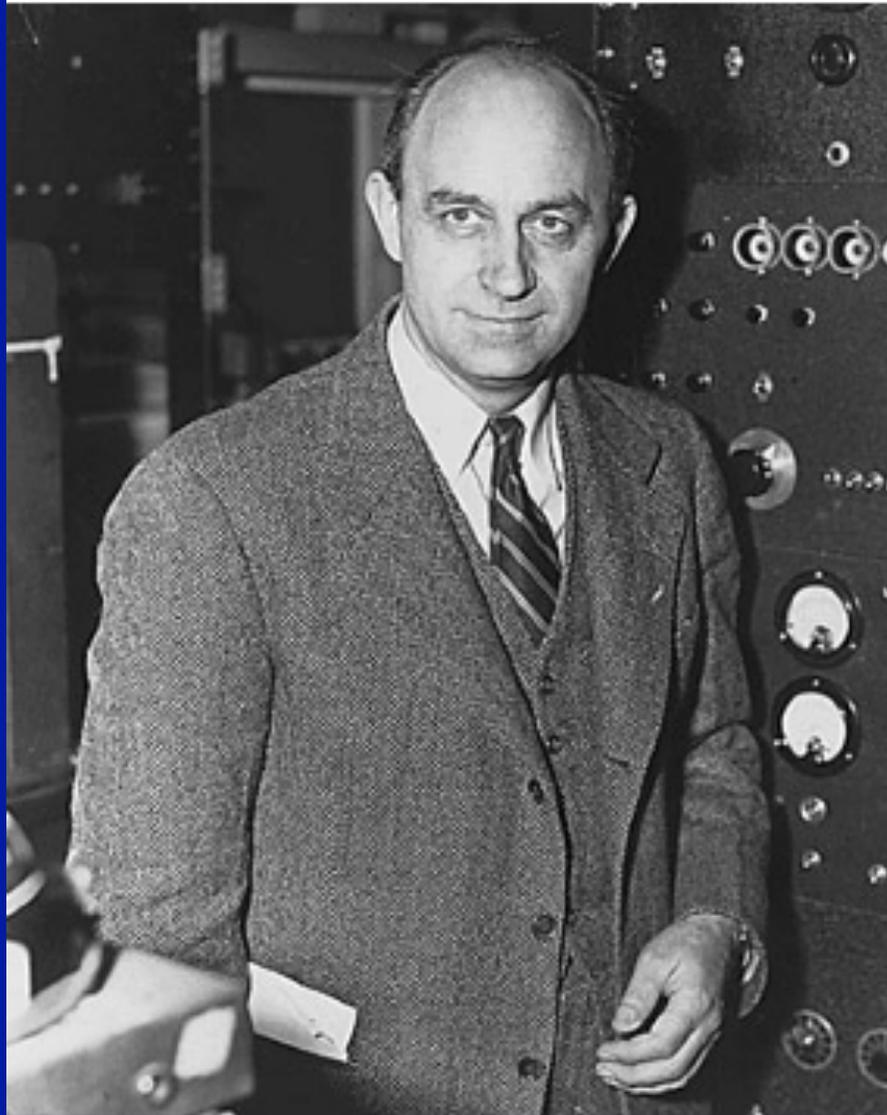
**Nitrogen-14**  
7 protons,  
7 neutrons



**neutrino**

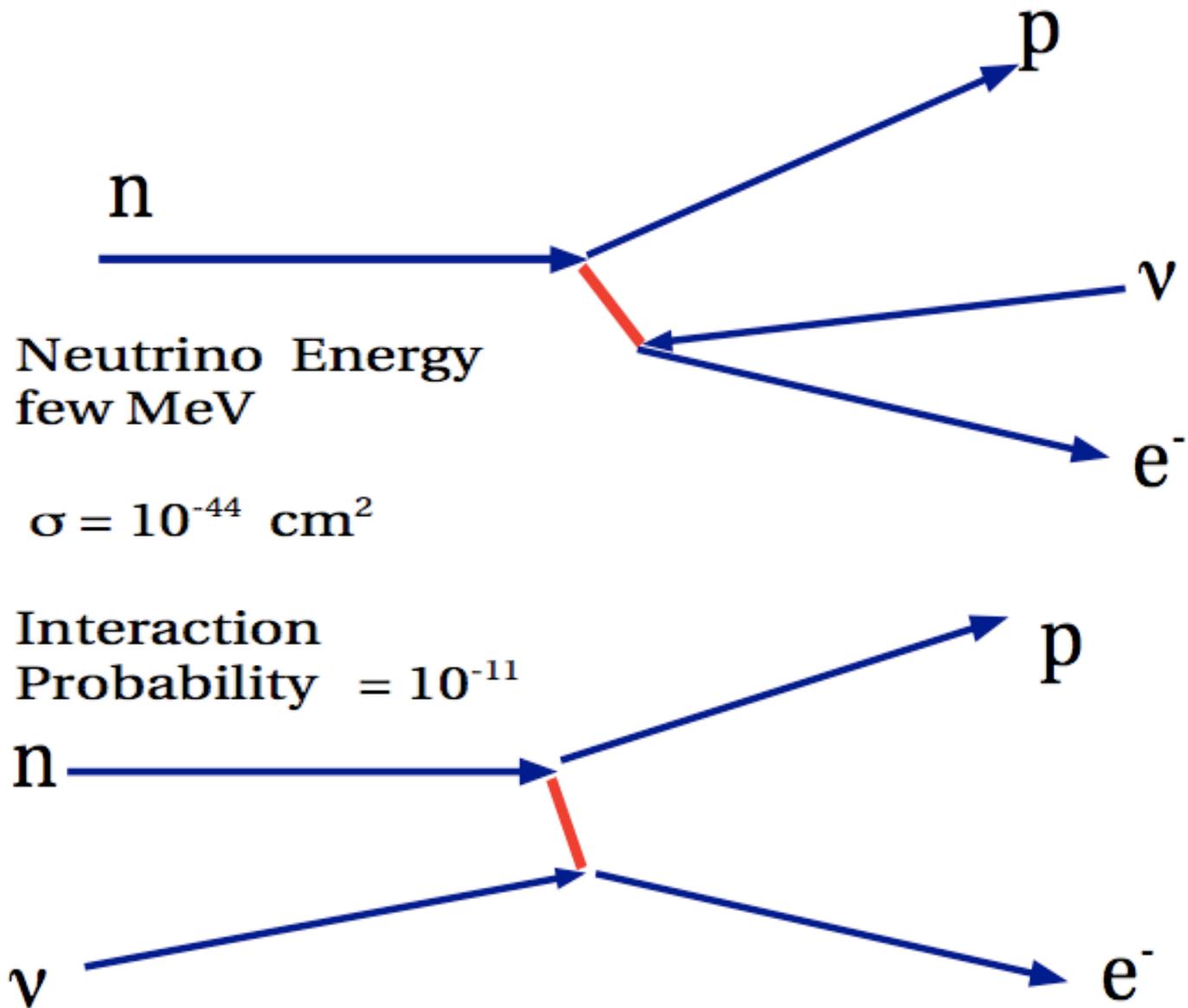


**+ electron**



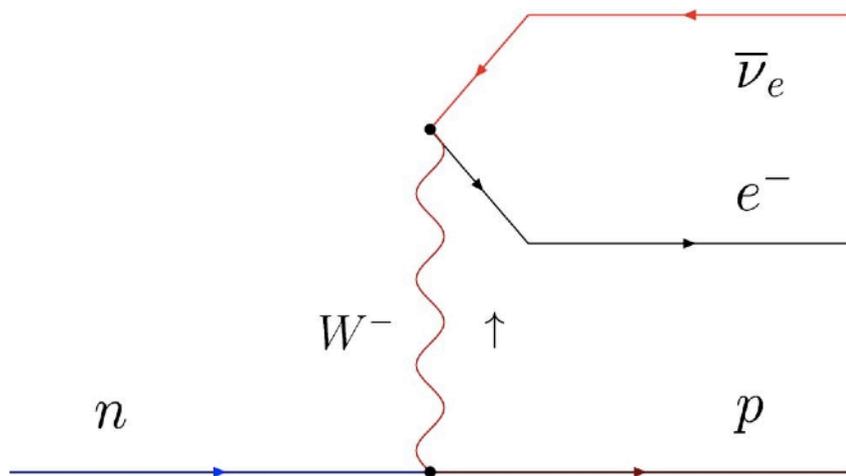
Fermi (1933)  
and the first theory  
of the “neutrino”

# Fermi: Current-Current Interaction

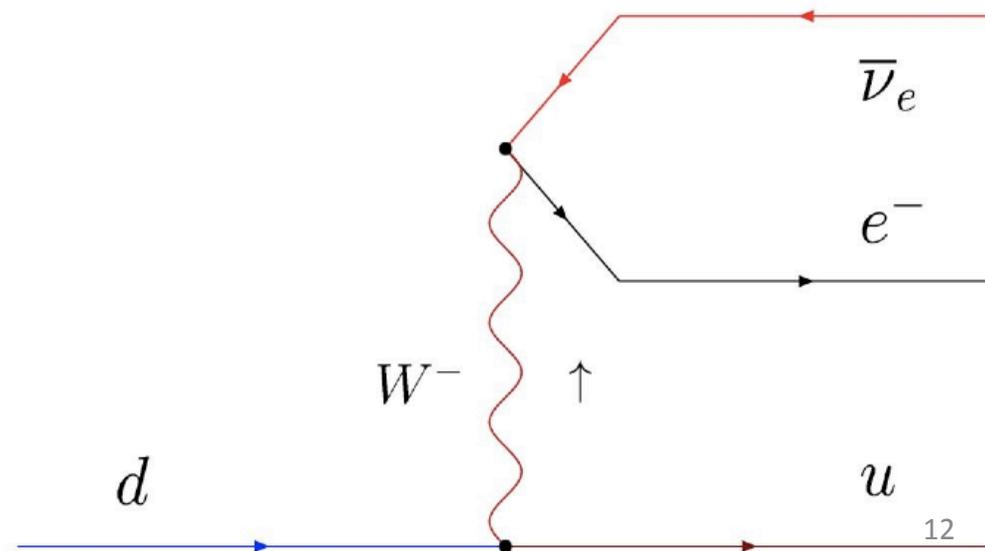


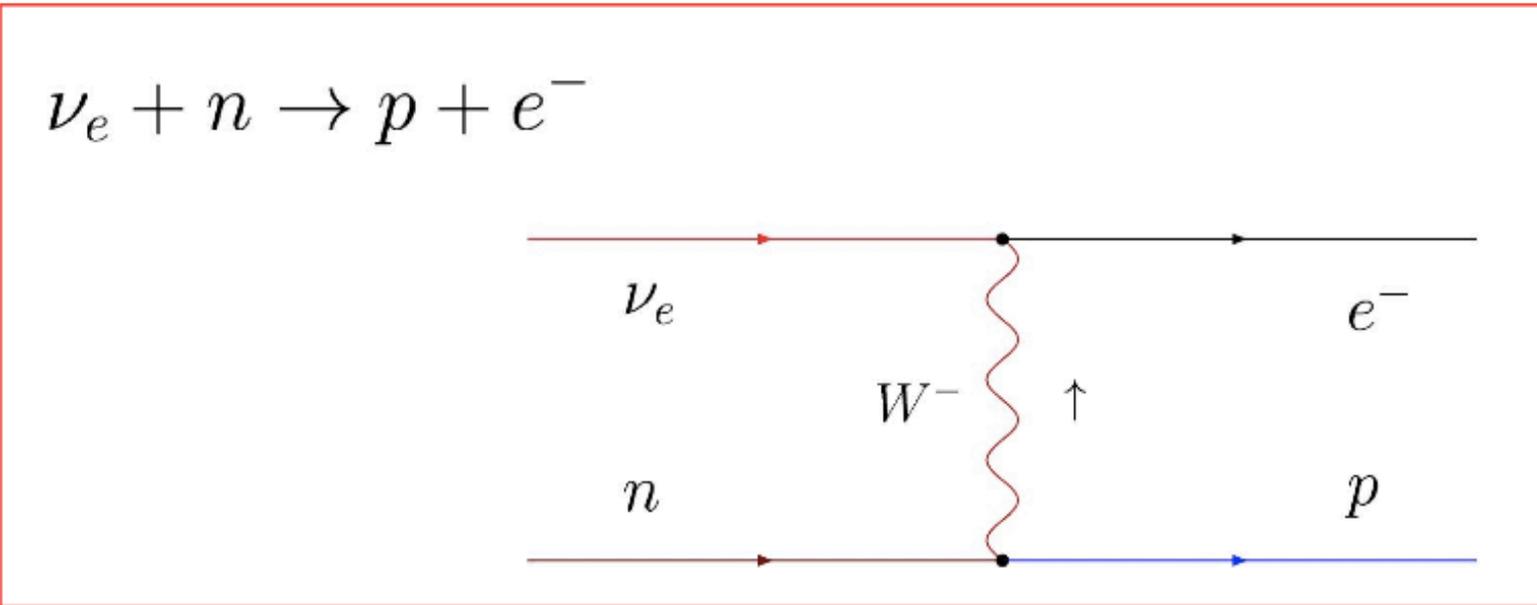
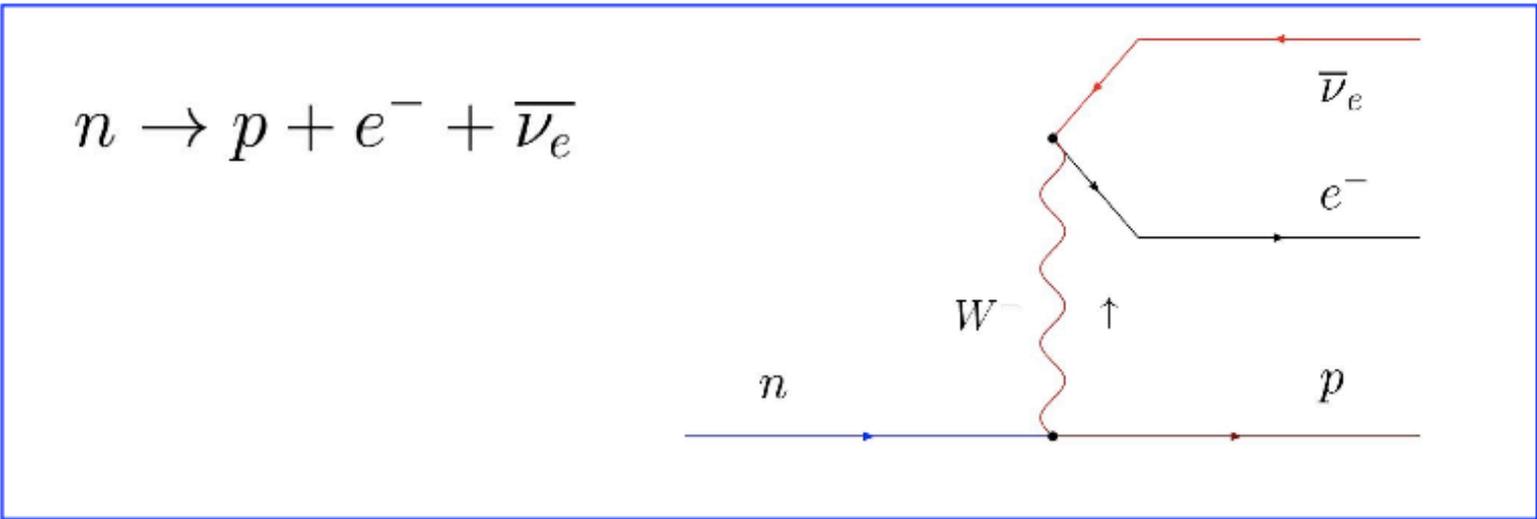
# Feynman Diagram for Neutron Beta Decay

$$n \rightarrow p + e^{-} + \bar{\nu}_e$$

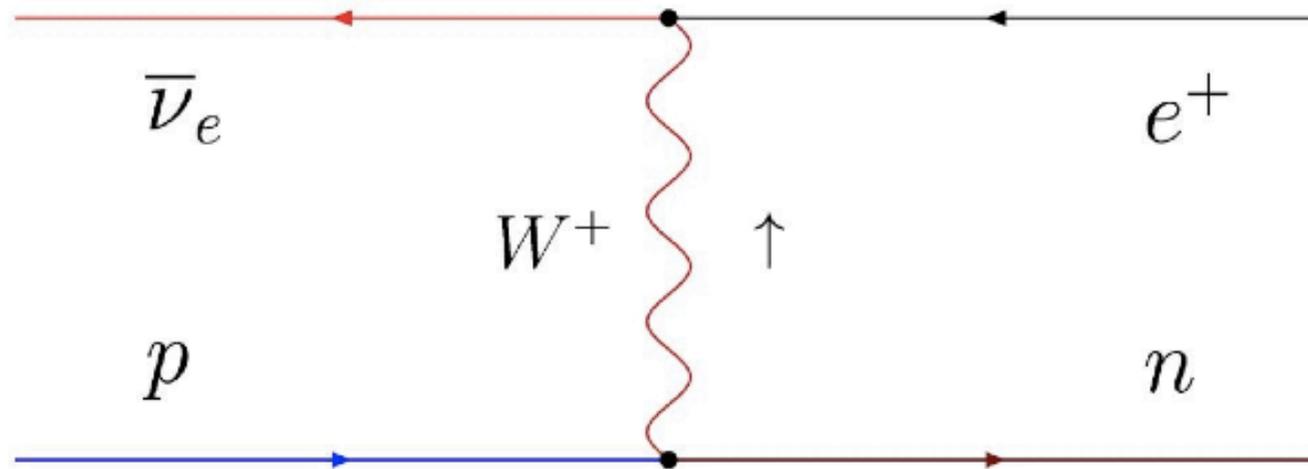
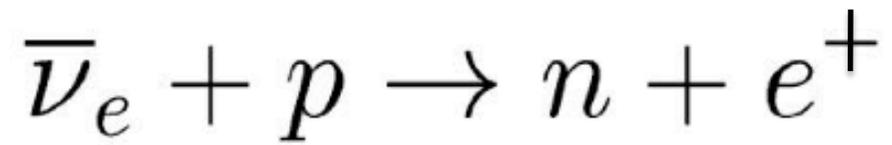


Decay at the Quark Level





# Detection Method



Better detection method....

## *Some features of the neutrino (at the time of Fermi)*

- Mass-less or almost mass-less particle
- Electrically neutral
- “Fermion” (particle with “spin”): a spinner made of nothing !?
- Extremely small matter interaction probability:

can travel tens of light years in matter without interacting !!

- For this reason it took 25 years to discover the neutrino →

## *Artificial neutrinos?*

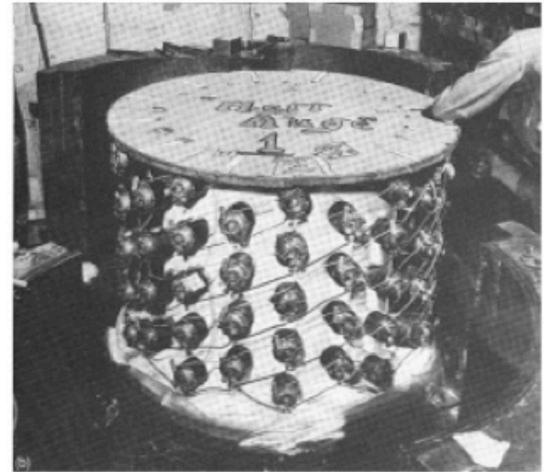


$10^{20} = 100$  billion of billions

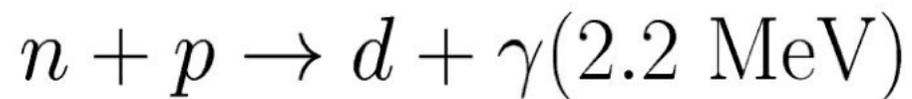


## Neutrino Discovery (antineutrinos from Nuclear Reactors)

Reines e Cowan  
1953-1956



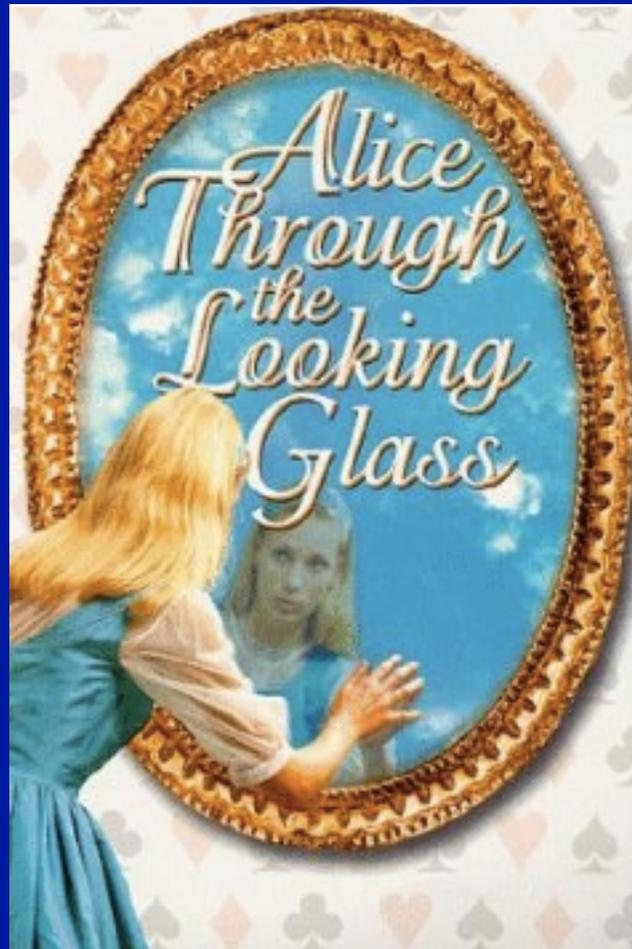
Delayed neutron capture  
(after thermalization of the neutron)



*Soon after its discovery the neutrino contributed in solving a long standing problem:*

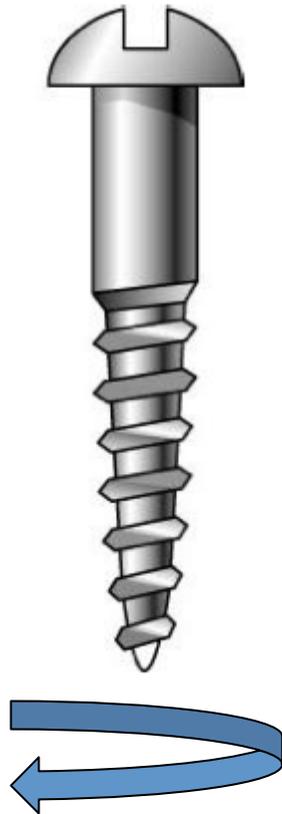
can one distinguish **left** from **right** ?

Or better: can we distinguish our “real” world from the one in the mirror ?

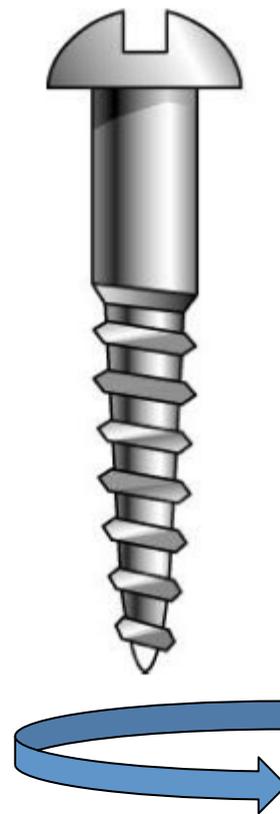


*neutrinos and parity violation*

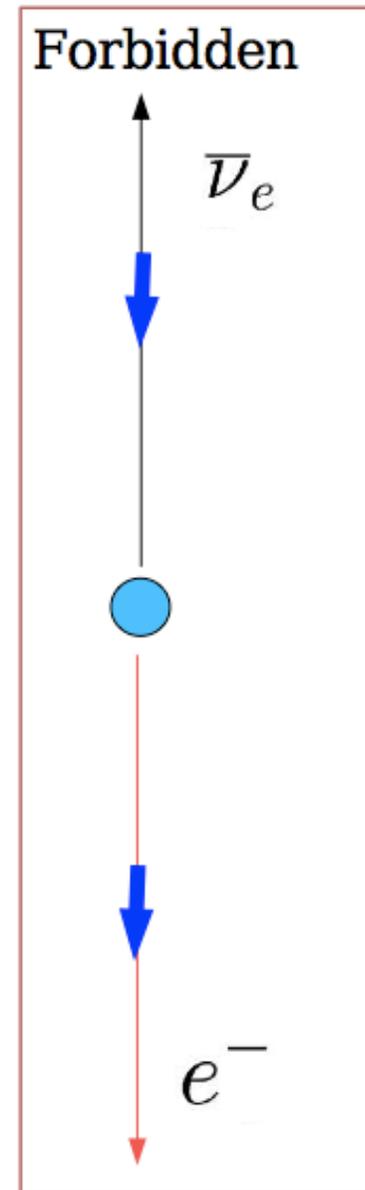
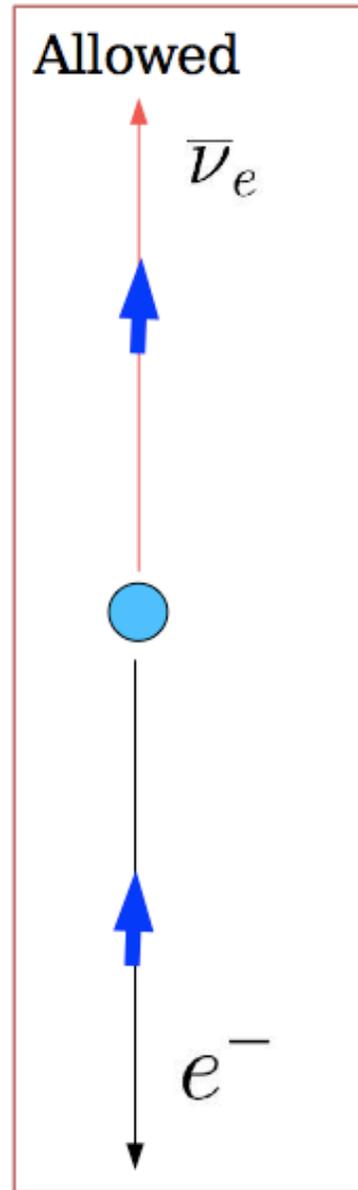
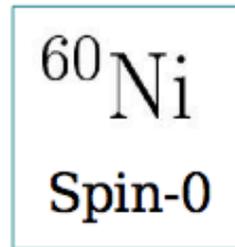
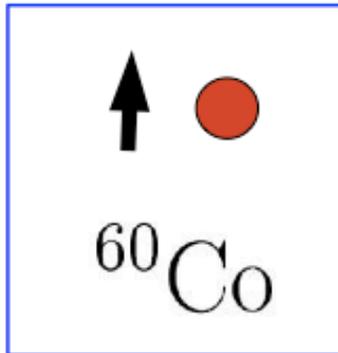
Reality: neutrino left-handed



Mirror image: neutrino right-handed



**DOES NOT EXIST !!**

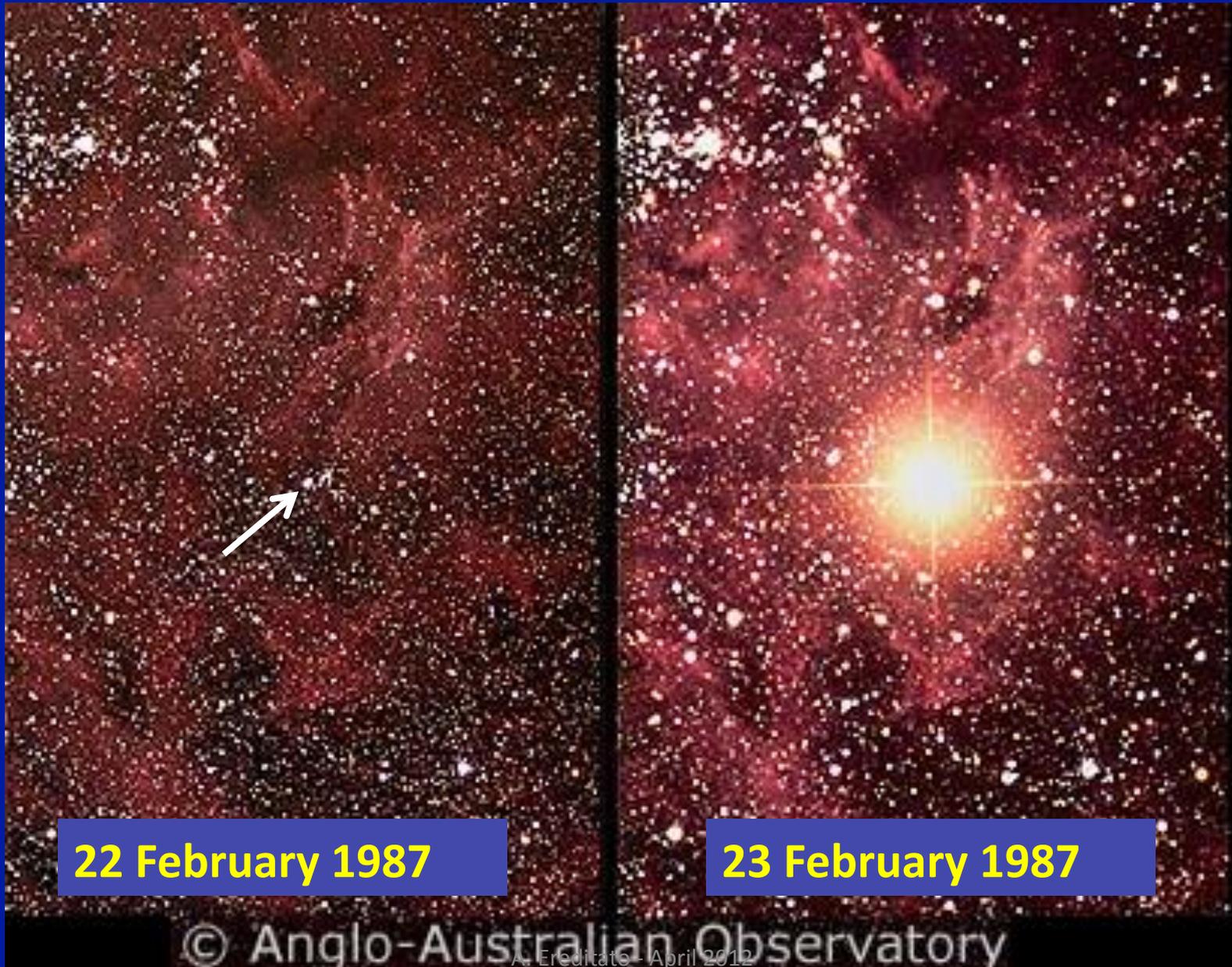


*Where do neutrinos come from? ...and how many are they?*

*one second after the Big Bang....*

A. Ereditato - April 2012

## Explosion of Supernova 1987a



A supernova emits per minute as much energy as that irradiated by the Sun in 200 years. For several days after explosion, the brightest object in the night sky.

And neutrinos ??

Only 0.1% of the explosion energy goes into visible light: 99.9% goes into neutrino energy!

On 23 February 1987 each human being was crossed by 10000 billion of those neutrinos. One million people had one of those neutrinos interacting in their body!

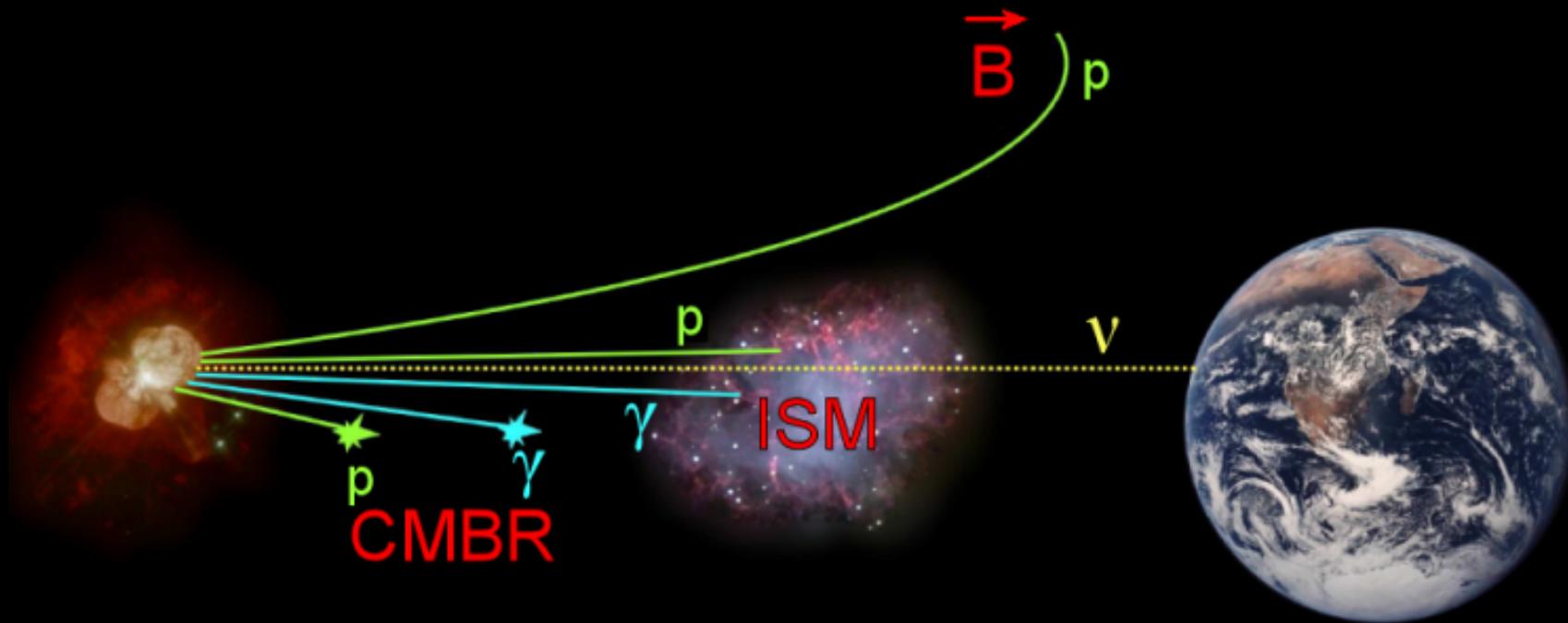




*Masatoshi Koshiba*

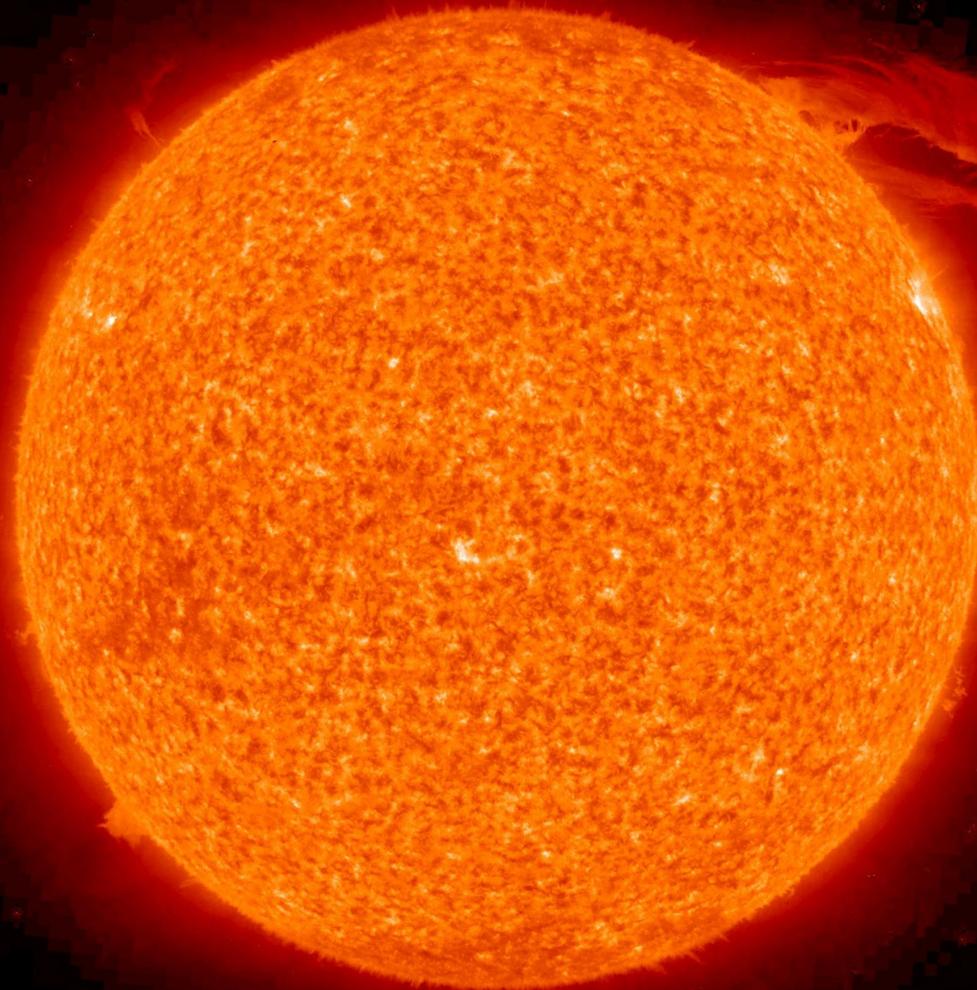
2002 Nobel Prize for the introduction of neutrino astronomy  
(detection of SN1987A neutrinos)

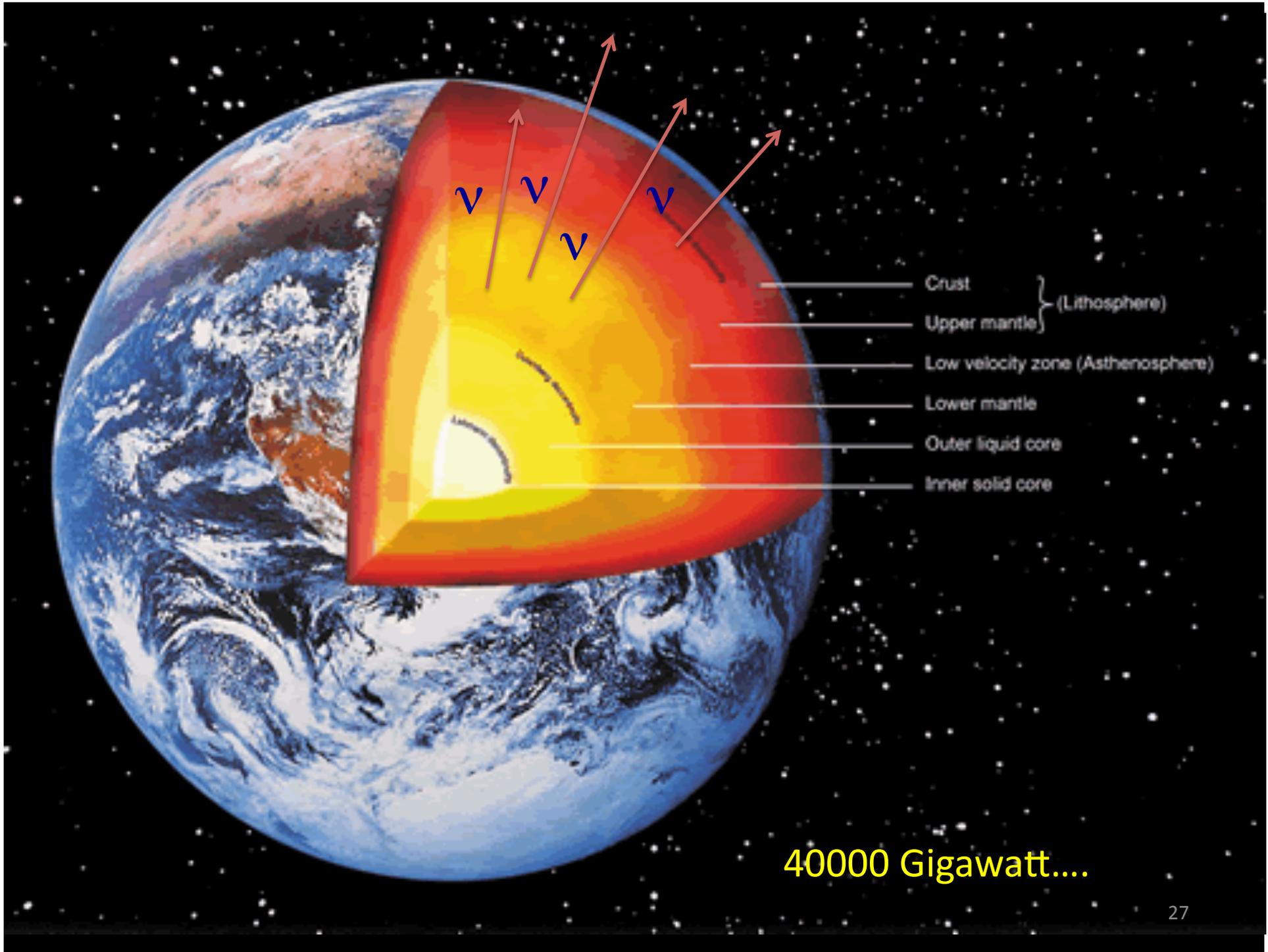
# Neutrino astronomy



400000 billion....

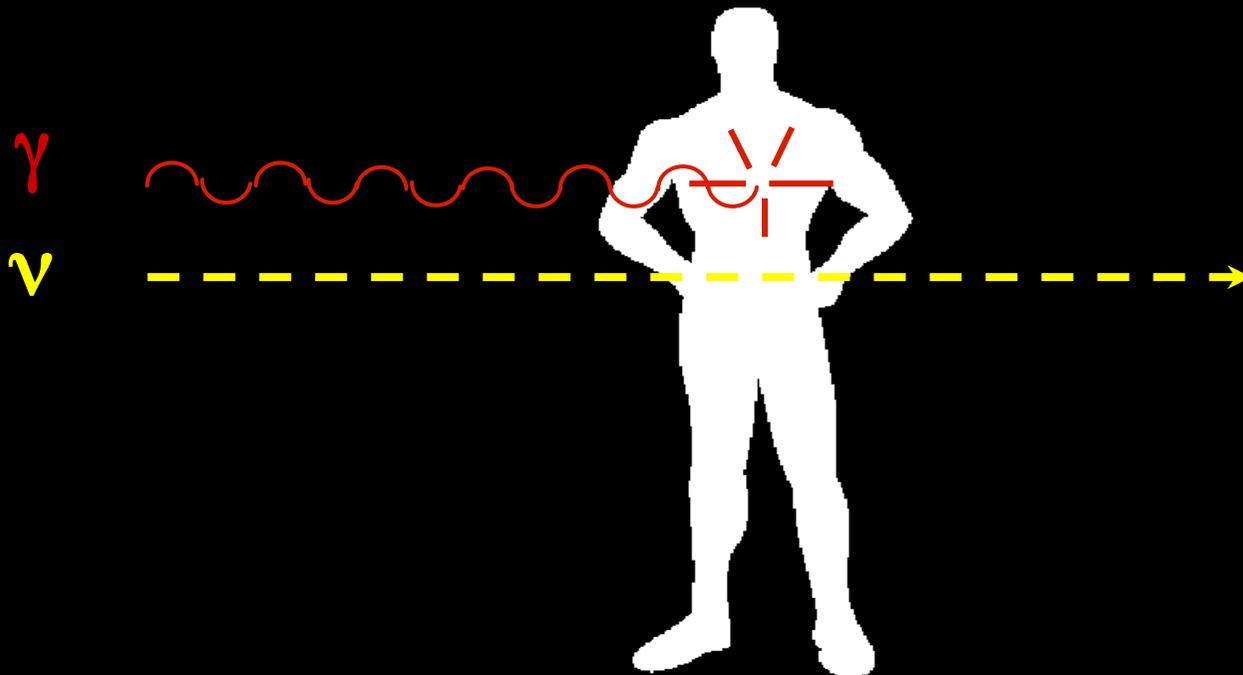
Solar Model and neutrinos...





40000 Gigawatt....

# *Neutrinos and human beings*



Is neutrino a *Dirac* or a *Majorana* particle ?



$$\nu \neq \bar{\nu}$$



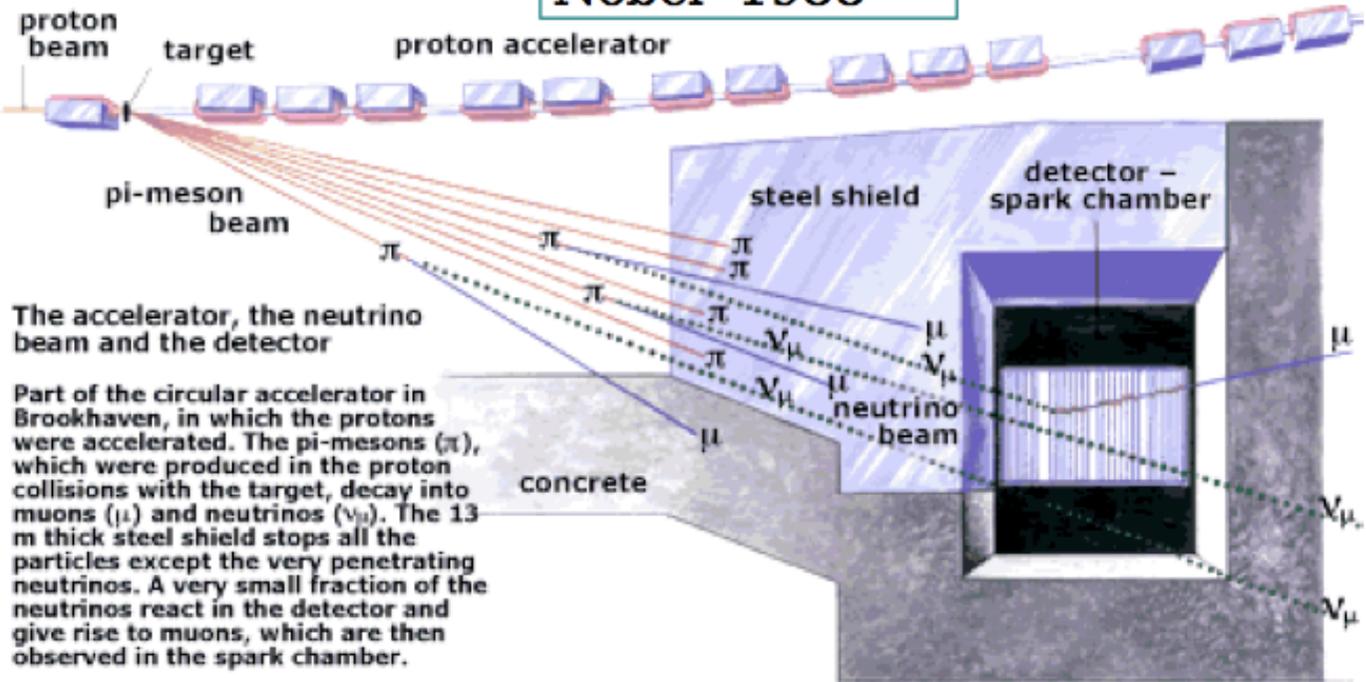
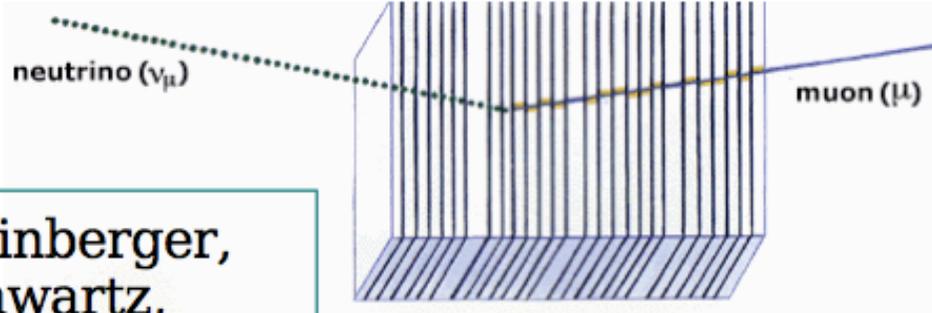
$$\nu = \bar{\nu}$$

*occurrence of neutrino-less double beta decay -> Majorana*

# The second neutrino flavor



Steinberger,  
Schwartz,  
Lederman  
Measure (1962)  
Nobel -1988



The accelerator, the neutrino beam and the detector

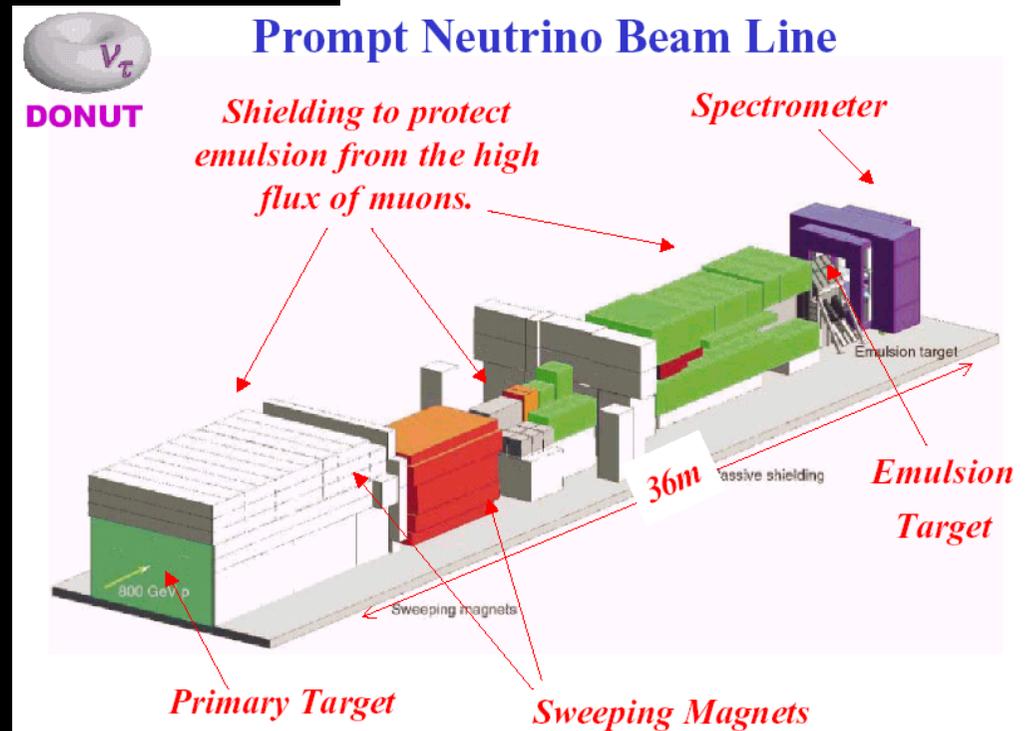
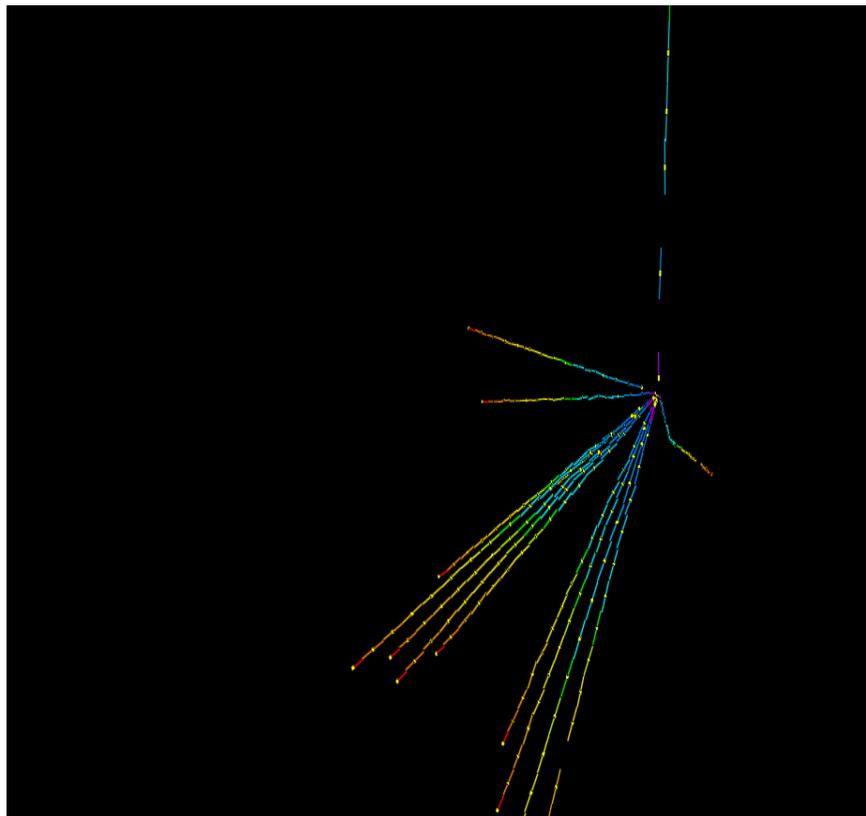
Part of the circular accelerator in Brookhaven, in which the protons were accelerated. The pi-mesons ( $\pi$ ), which were produced in the proton collisions with the target, decay into muons ( $\mu$ ) and neutrinos ( $\nu_{\mu}$ ). The 13 m thick steel shield stops all the particles except the very penetrating neutrinos. A very small fraction of the neutrinos react in the detector and give rise to muons, which are then observed in the spark chamber.

Based on a drawing in Scientific American, March 1963.

# The third neutrino flavor

DONUT experiment at FERMILAB: first detection of  $\nu_\tau$  with an ECC based detector (K. Niwa and collaborators): 9  $\tau$  events, 1.5 BG.

K. Kodama et al. (DONuT Collaboration), Phys. Lett. B 504, 218 (2001).



# How Many Light Neutrinos Exist ?

Answer: **3**

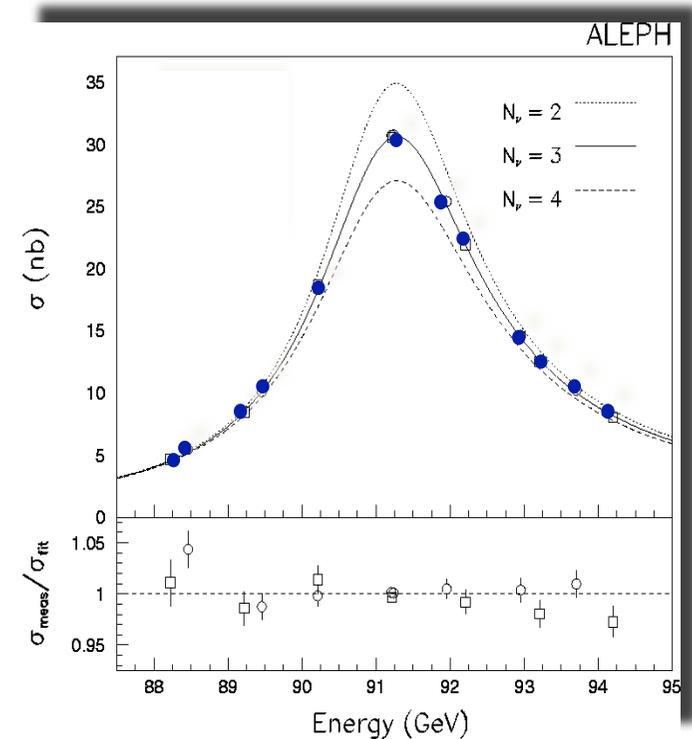
$$Z^0 \rightarrow \nu_\alpha + \bar{\nu}_\alpha$$

$$\Gamma_{\nu\bar{\nu}} = 166.9 \text{ MeV}$$

$$\Gamma_{\text{invisible}} = N_\nu \Gamma_{\nu\bar{\nu}}$$

$$\Gamma_{\text{invisible}} = \Gamma_{\text{tot}} - \Gamma_{\text{vis}} = 498 \pm 4.2 \text{ MeV}$$

$$N_\nu = \frac{\Gamma_{\text{inv}}}{\Gamma_{\nu\bar{\nu}}} = 2.994 \pm 0.012$$



A series of key experiments conducted in the last three decades with atmospheric and solar neutrinos, and confirmed with reactor and accelerator neutrinos, has allowed to firmly establish the first evidence of physics beyond the Standard Model of Particles and Interactions:

## **neutrino oscillations**

# Bruno Pontecorvo



Бруно Понтекорво



- B. Pontecorvo, Zh. Eksp. Teor. Fiz. 33 (1957) 549 [Sov. Phys. JETP 6 (1957) 429];  
B. Pontecorvo, Zh. Eksp. Teor. Fiz. 34 (1957), 247 [Sov. Phys. JETP 7 (1958) 172].  
B. Pontecorvo, Zh. Eksp. Teor. Fiz. 53 (1967) 1717 [Sov. Phys. JETP 26 (1968) 984].



## Remarks on the Unified Model of Elementary Particles

Ziro Maki, Masami Nakagawa and Shoichi Sakata

*Institute for Theoretical Physics, Nagoya University, Nagoya*

(Received June 25, 1962)

### **Abstract:**

A particle mixture theory of neutrino is proposed assuming the existence of two kinds of neutrinos. Based on the neutrino-mixture theory, a possible unified model of elementary particles is constructed by generalizing the Sakata-Nagoya model. Our scheme gives a natural explanation of smallness of leptonic decay rate of hyperons as well as the subtle difference of  $G_V$ 's between  $\mu$ -e and  $\beta$ -decay.

Starting with this scheme, the possibility of  $K_{e3}$  mode with  $\Delta S / \Delta Q = -1$  is also examined, and some bearings on the dynamical role of the  $B$ -matter, a fundamental constituent of baryons in the Nagoya model, are clarified.

### 3 Neutrinos states: 3 masses

$$m_1, m_2, m_3$$

States with definite masses  
in general do **not** coincide with the "flavor" states

$$\{ |\nu_e\rangle, |\nu_\mu\rangle, |\nu_\tau\rangle \}$$

Flavor basis

$$\{ |\nu_1\rangle, |\nu_2\rangle, |\nu_3\rangle \}$$

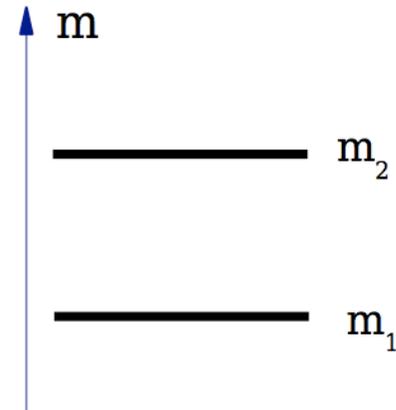
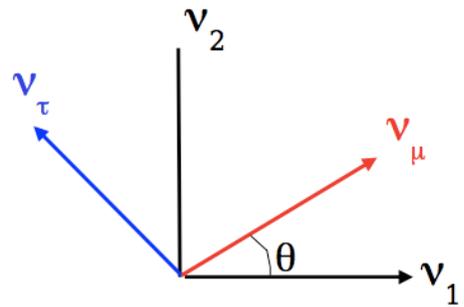
Mass basis

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = V^{\text{CKM}} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

Quantum mechanical mixing can take place,  
as it happens for quarks

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U^{\text{PMNS}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

## 2 Flavor case



$$\begin{aligned}
 |\nu_\mu\rangle &= \cos\theta |\nu_1\rangle + \sin\theta |\nu_2\rangle \\
 |\nu_\tau\rangle &= -\sin\theta |\nu_1\rangle + \cos\theta |\nu_2\rangle
 \end{aligned}$$

$$\Delta m^2 = m_2^2 - m_1^2$$

## Neutrino Propagation

$$|\nu(0)\rangle = |\nu_\mu\rangle = \cos\theta |\nu_1\rangle + \sin\theta |\nu_2\rangle$$

$\nu_\mu$  created at  $t=0$   
with momentum  $\mathbf{p}$

$$E_i = \sqrt{p^2 + m_i^2} \simeq p + \frac{m_i^2}{2p} \simeq E + \frac{m_i^2}{2E}$$

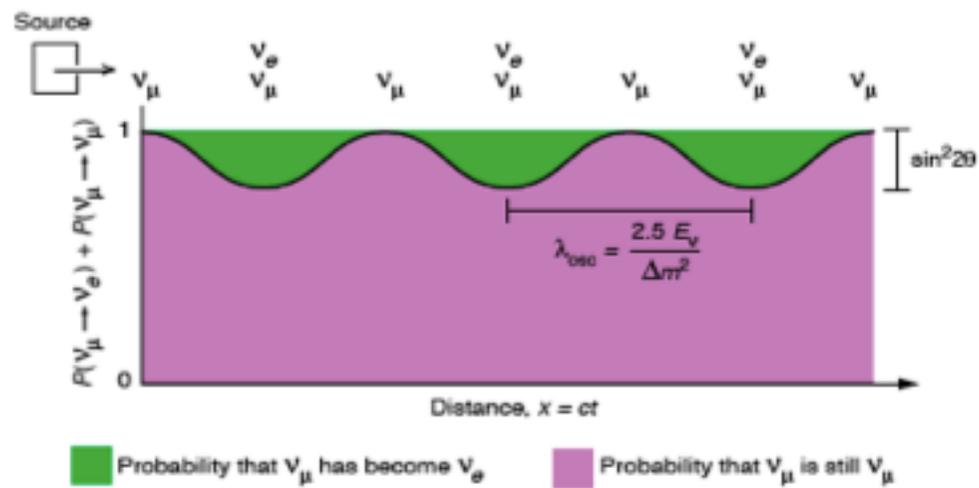
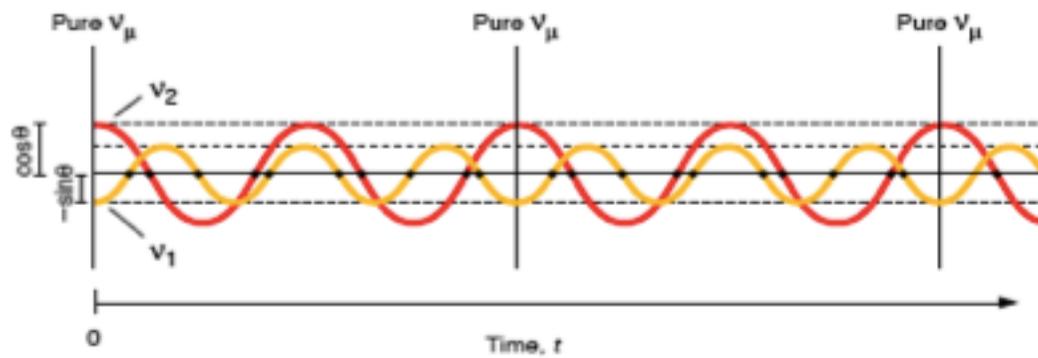
Different mass  
components  
have different energy

$$|\nu(t)\rangle = \cos\theta e^{-iE_1 t} |\nu_1\rangle + \sin\theta e^{-iE_2 t} |\nu_2\rangle$$

$\nu$  state at time  $t$

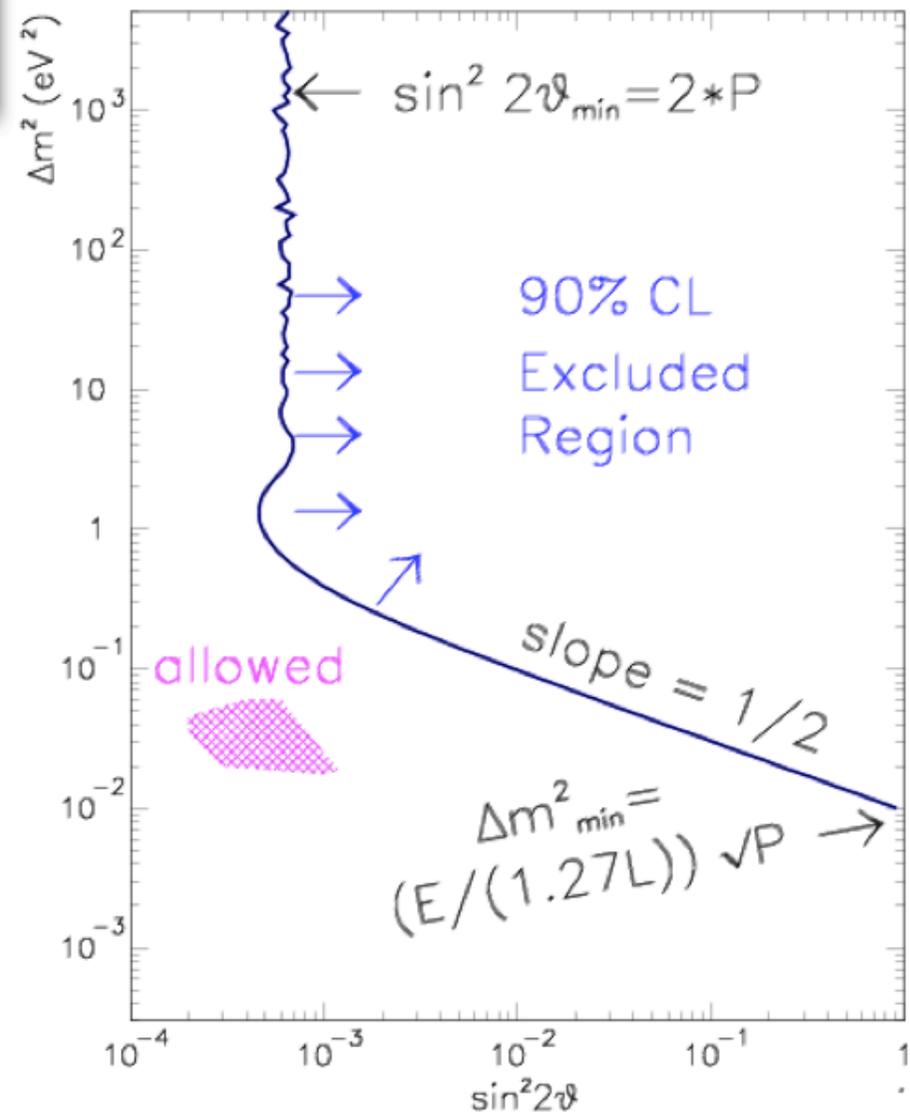
$$\begin{aligned}
P(\nu_\mu \rightarrow \nu_\tau; t) &= \\
&= |\langle \nu_\tau | \nu(t) \rangle|^2 \\
&= | \{ -\sin \theta \langle \nu_1 | + \cos \theta \langle \nu_2 | \} | \{ \cos \theta e^{-iE_1 t} | \nu_1 \rangle + \sin \theta e^{-iE_2 t} | \nu_2 \rangle \} |^2 \\
&= \cos^2 \theta \sin^2 \theta | e^{-iE_2 t} - e^{-iE_1 t} |^2 \\
&= 2 \cos^2 \theta \sin^2 \theta \{ 1 - \cos[(E_2 - E_1)t] \} \\
&= \sin^2 2\theta \sin^2 \left[ \frac{\Delta m^2}{4E} t \right]
\end{aligned}$$

$$P(\nu_\mu \rightarrow \nu_\tau; L) = \sin^2 2\theta \sin^2 \left[ 1.27 \Delta m^2 (\text{eV}^2) \frac{L(\text{Km})}{E(\text{GeV})} \right]$$

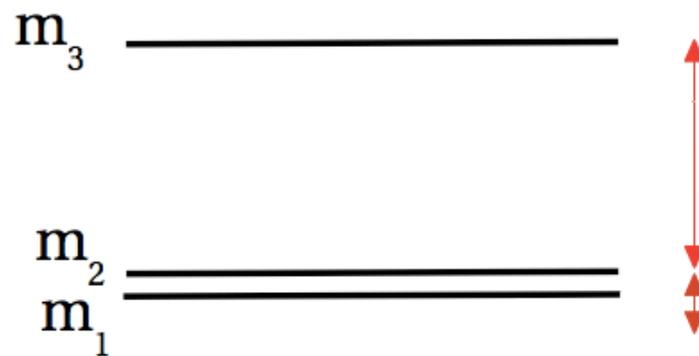


$$P(\nu_\mu \rightarrow \nu_e) = \sin^2 2\theta_{12} \sin^2 \left( 1.27 \Delta m_{12}^2 \frac{L}{E} \right)$$

- L and E determine  $\Delta m^2$  sensitivity
- $\theta_{12}$  sensitivity determined by statistics, backgrounds, and uncertainties
- No signal: exclusion curve
- Signal: allowed region



# 3 Flavor Oscillations



$$|\nu_e\rangle = U_{e1}^* |\nu_1\rangle + U_{e2}^* |\nu_2\rangle + U_{e3}^* |\nu_3\rangle$$

$$|\nu_\mu\rangle = U_{\mu1}^* |\nu_1\rangle + U_{\mu2}^* |\nu_2\rangle + U_{\mu3}^* |\nu_3\rangle$$

$$|\nu_\tau\rangle = U_{\tau1}^* |\nu_1\rangle + U_{\tau2}^* |\nu_2\rangle + U_{\tau3}^* |\nu_3\rangle$$

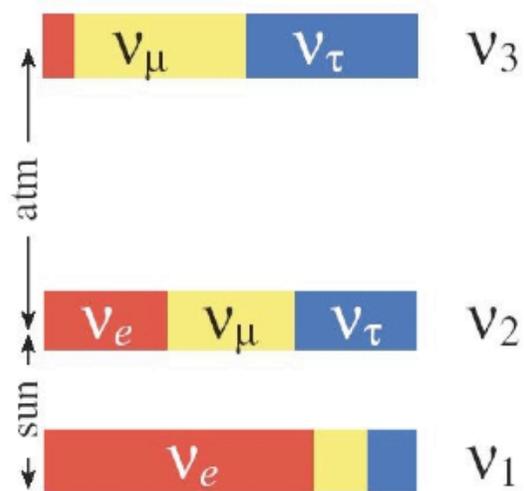
$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{13}s_{23}e^{i\delta} & c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta} & c_{13}s_{23} \\ s_{12}s_{23} - c_{12}s_{13}c_{23}e^{i\delta} & -c_{12}s_{23} - s_{12}s_{13}c_{23}e^{i\delta} & c_{13}c_{23} \end{pmatrix}$$

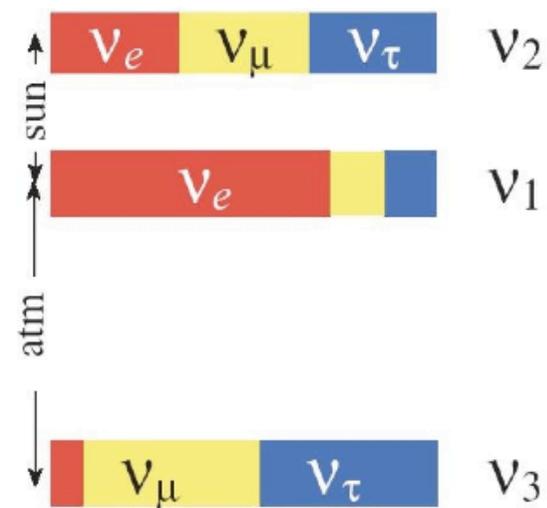
$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| \sum_j U_{\beta j} U_{\alpha j}^* e^{-i m_j^2 \frac{L}{2E_\nu}} \right|^2$$

Neutrino state cross-composition

Normal Hierarchy



Inverted Hierarchy



For the special case of  $\nu_\mu \rightarrow \nu_e$  oscillations, we have:

$$P(\nu_\mu \rightarrow \nu_e) = \sum_{i=1,4} P_i$$

$$P_1 = \sin^2 \theta_{23} \sin^2 2\theta_{13} \left( \frac{\Delta_{13}}{B_\pm} \right)^2 \sin^2 \frac{B_\pm L}{2}$$

atmospheric part

$$P_2 = \cos^2 \theta_{23} \sin^2 2\theta_{12} \left( \frac{\Delta_{12}}{A} \right)^2 \sin^2 \frac{AL}{2}$$

solar part

$$P_3 = J \cos \delta \left( \frac{\Delta_{12}}{A} \right) \left( \frac{\Delta_{13}}{B_\pm} \right) \cos \frac{\Delta_{13}L}{2} \sin \frac{AL}{2} \sin \frac{B_\pm L}{2}$$

interference

$$P_4 = \mp J \sin \delta \left( \frac{\Delta_{12}}{A} \right) \left( \frac{\Delta_{13}}{B_\pm} \right) \sin \frac{\Delta_{13}L}{2} \sin \frac{AL}{2} \sin \frac{B_\pm L}{2}$$

$\theta_{13}$  is the link between solar and atmospheric oscillations

where

$$\Delta_{ij} = \frac{\Delta m_{ij}^2}{2E_\nu}$$

$$A = \sqrt{2} G_F n_e$$

$$B_\pm = |A \pm \Delta_{13}|$$

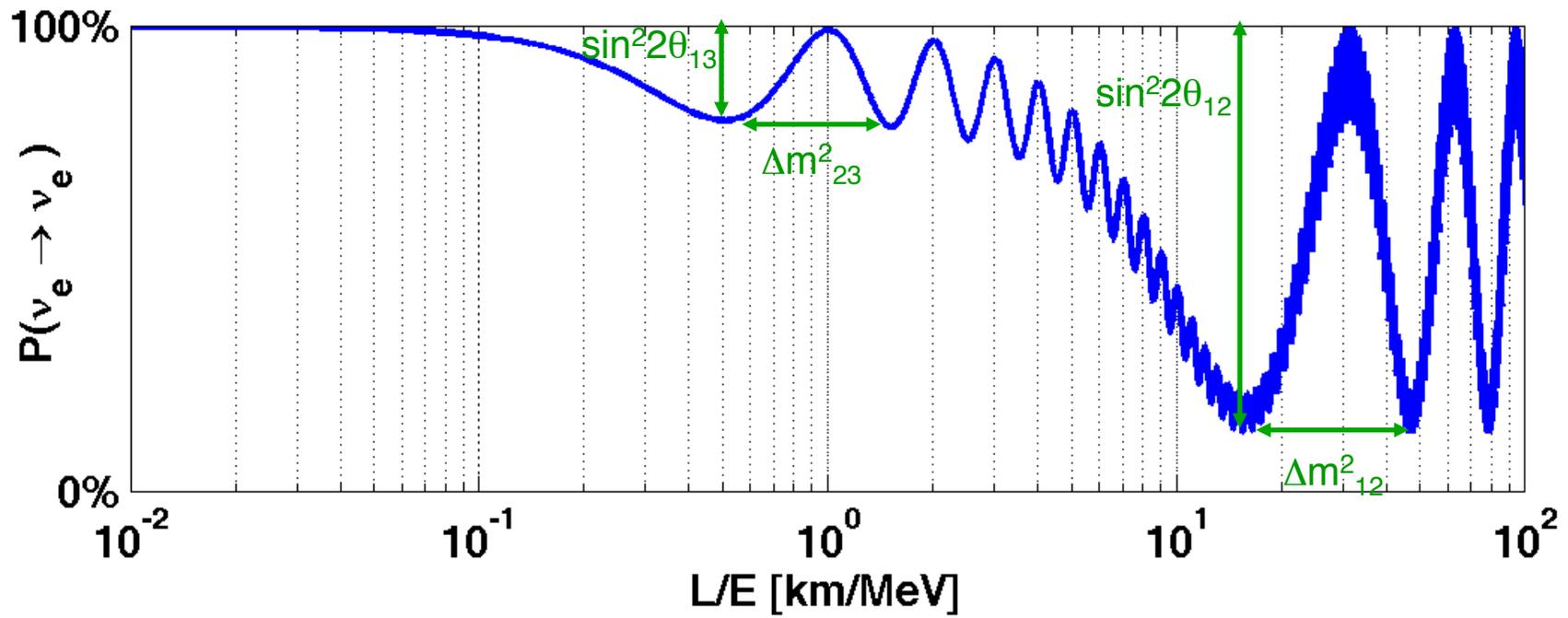
$$J = \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23}$$

and the  $\pm$  signifies neutrinos or antineutrinos

In vacuum, at leading order:

$$P(\nu_\mu \rightarrow \nu_e) \propto \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \frac{\Delta m_{23}^2 L}{4E}$$

## Example: $\nu_e$ survival probability as a function of $L/E$

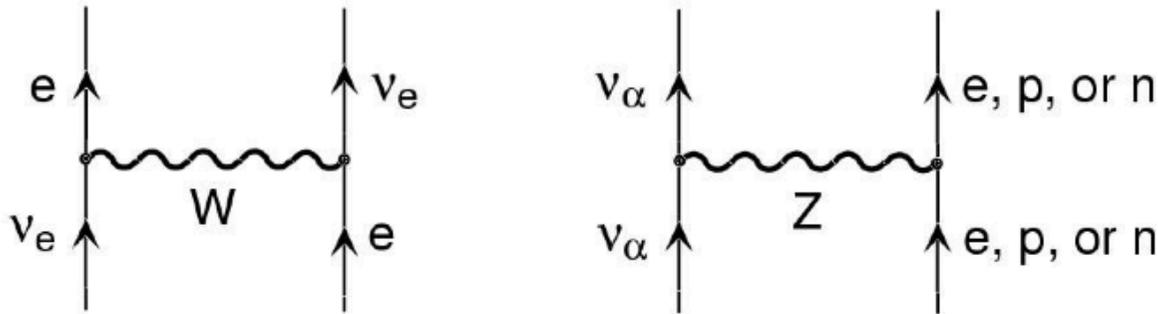


## Matter oscillations (MSW effect)

The effect of the presence of matter along the path of a neutrino is equivalent to the Refractive Index for photon propagation in a transparent medium.

Or equivalently to the presence of an **Effective Potential**

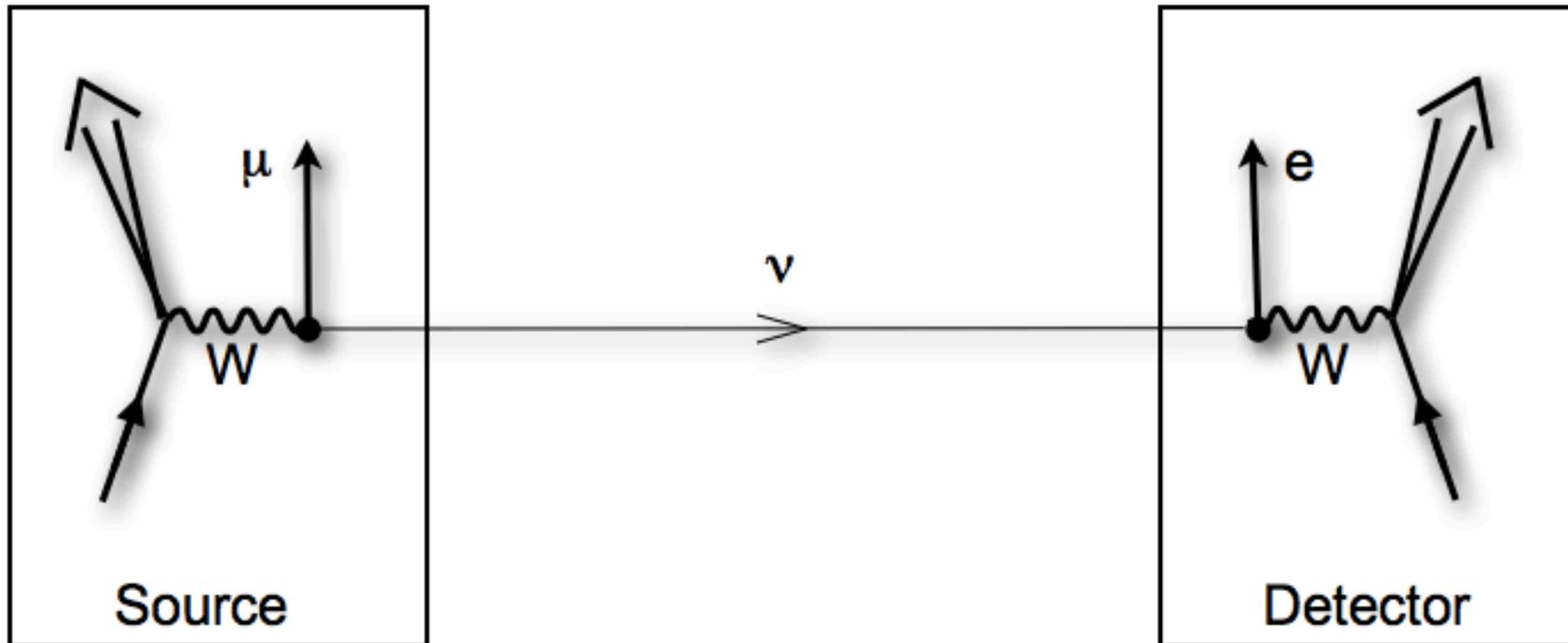
## Effective Potential in Ordinary Matter



$$\begin{aligned}
 V_{\nu_\mu e} &= V_{\nu_\tau e} = V_{\nu_e e}^Z = -\frac{\sqrt{2}}{2} G_F N_e \\
 V_{\nu_\mu p} &= V_{\nu_\tau p} = V_{\nu_e p} = +\frac{\sqrt{2}}{2} G_F N_p \\
 V_{\nu_\mu n} &= V_{\nu_\tau n} = V_{\nu_e n} = -\frac{\sqrt{2}}{2} G_F N_n \\
 V_{\nu_e e} &= V_{\nu_e e}^Z + V_{\nu_e e}^W = -\frac{\sqrt{2}}{2} G_F N_e + \sqrt{2} G_F N_e
 \end{aligned}$$

- different potential for different flavors (there are no muons or tau in ordinary matter)
- the effective potential affects the flavor propagation in matter
- matter effective potentials have opposite signs for neutrinos and antineutrinos

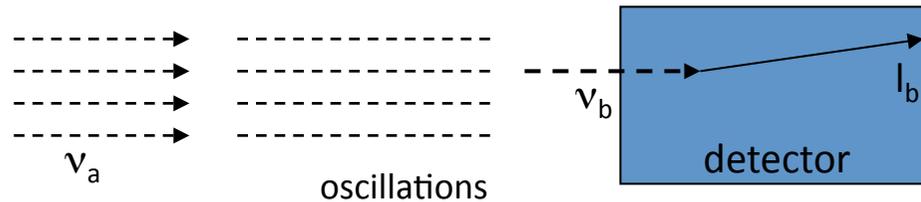
## How to detect neutrino oscillations?



# Classification of neutrino oscillation experiments

APPEARANCE experiments

$\nu_a - \nu_b$  oscillations



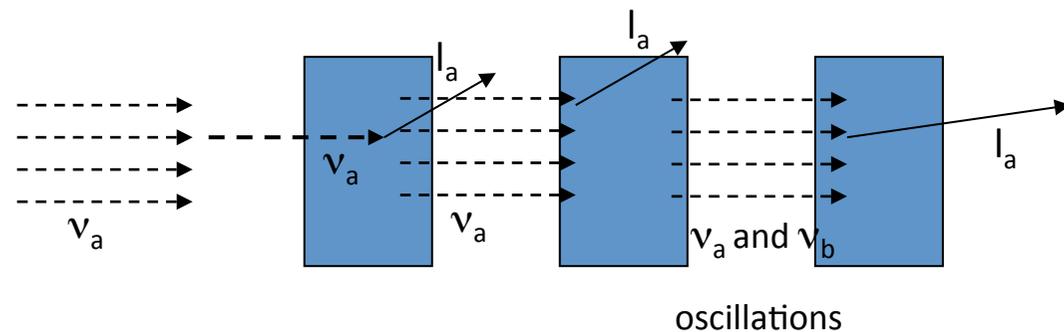
CC interaction of  $\nu_b$  producing the charged lepton b, whose appearance is detected

NEED:

- 1) no  $\nu_b$  in the initial beam (or a small fraction very well known)
- 2)  $E_\nu$  sufficient to produce a b lepton
- 3) high efficiency in detecting the b lepton

DISAPPEARANCE experiments

$\nu_a - \nu_x$  oscillations

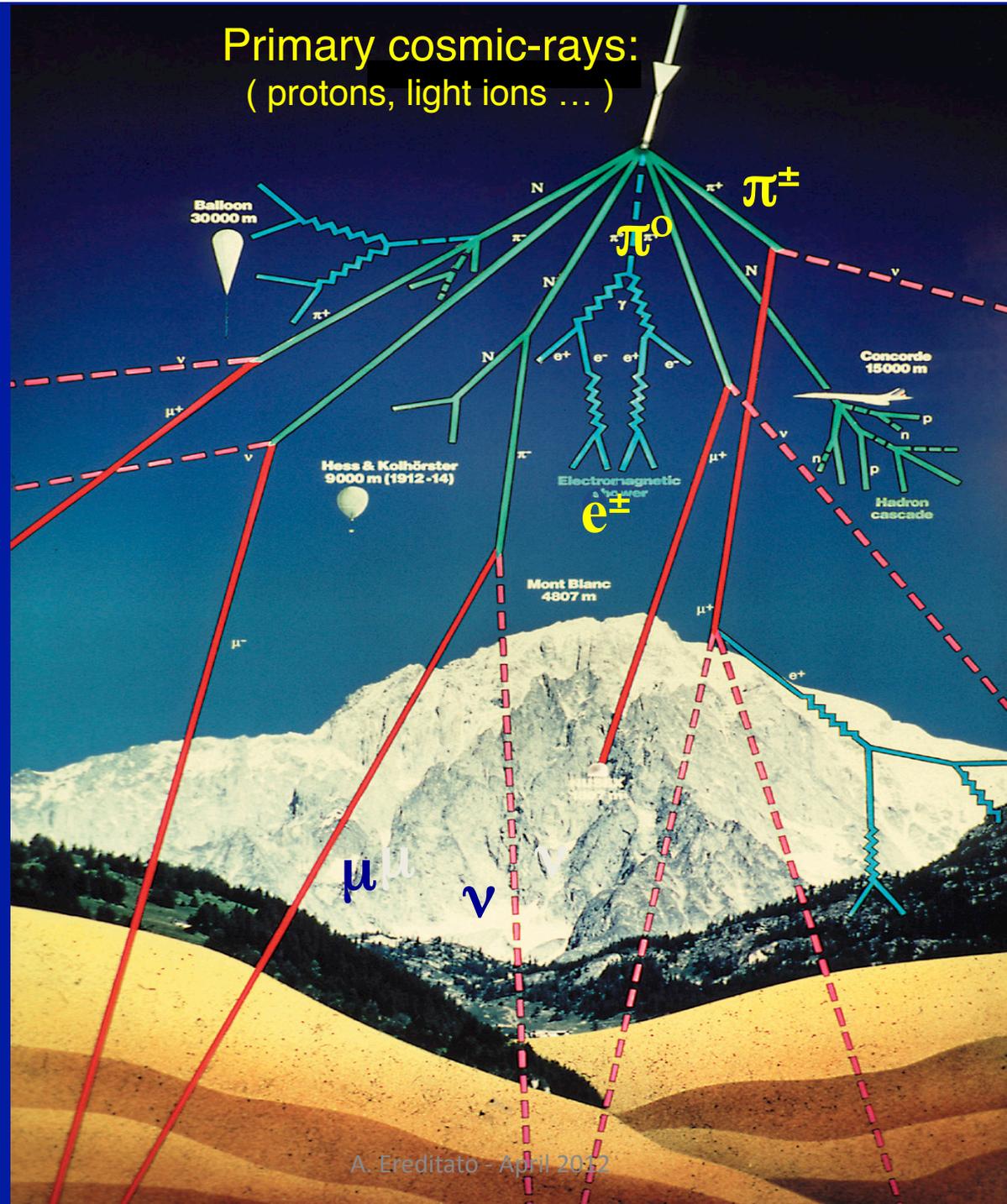


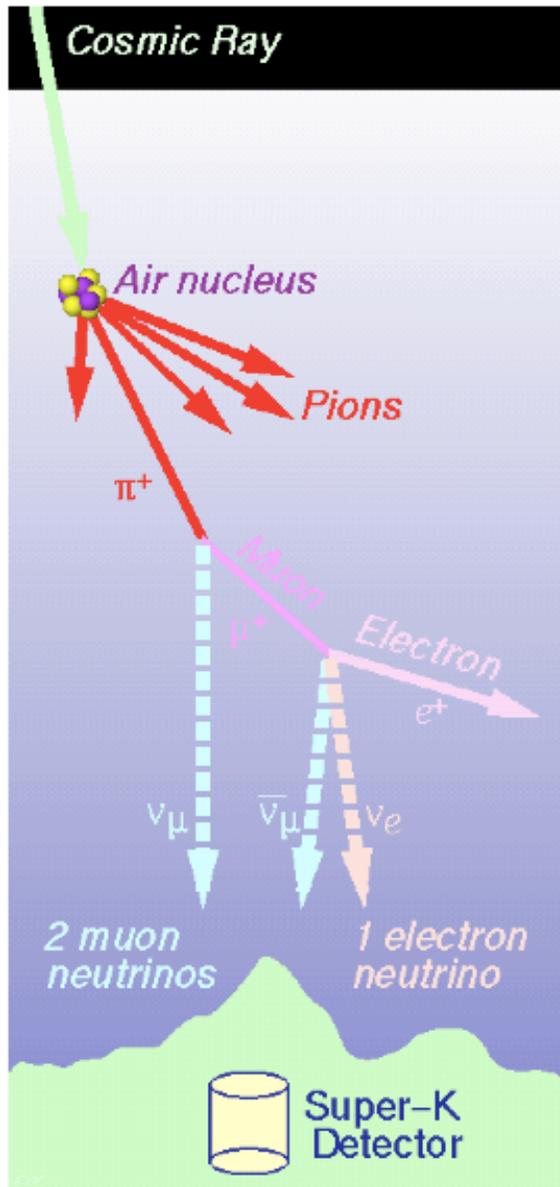
CC interaction of  $\nu_a$  producing the charged lepton a, measured where oscillations do-not/do occur

NEED:

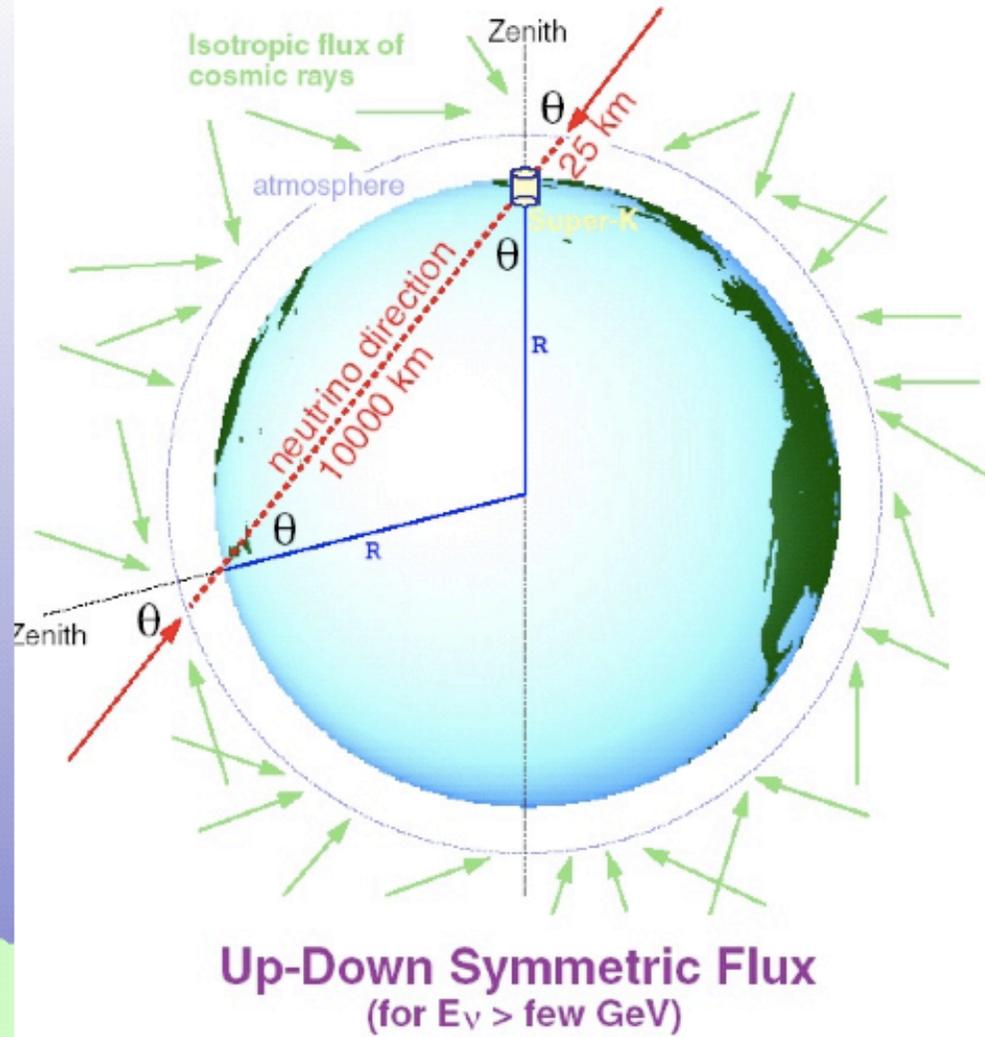
- 1) tiny effects: very good knowledge of the beam, and good control of detector systematics
- 2) useful to have 'near' and 'far' detector of the same type (mass scaling with  $L^2$ )
- 3) look for spectrum distortions

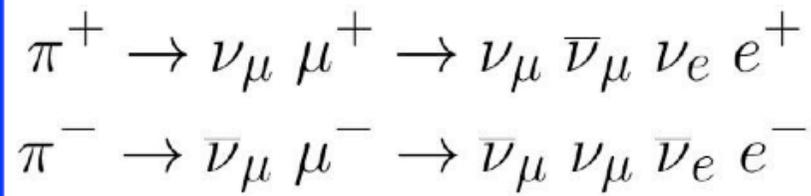
# Primary cosmic-rays: ( protons, light ions ... )





# ATMOSPHERIC NEUTRINOS



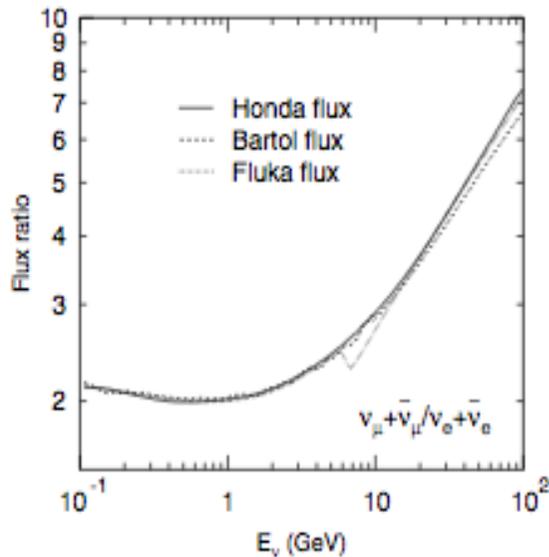


$$\frac{\nu_e}{\bar{\nu}_e} \simeq \frac{\pi^+}{\pi^-} \simeq 1.2$$

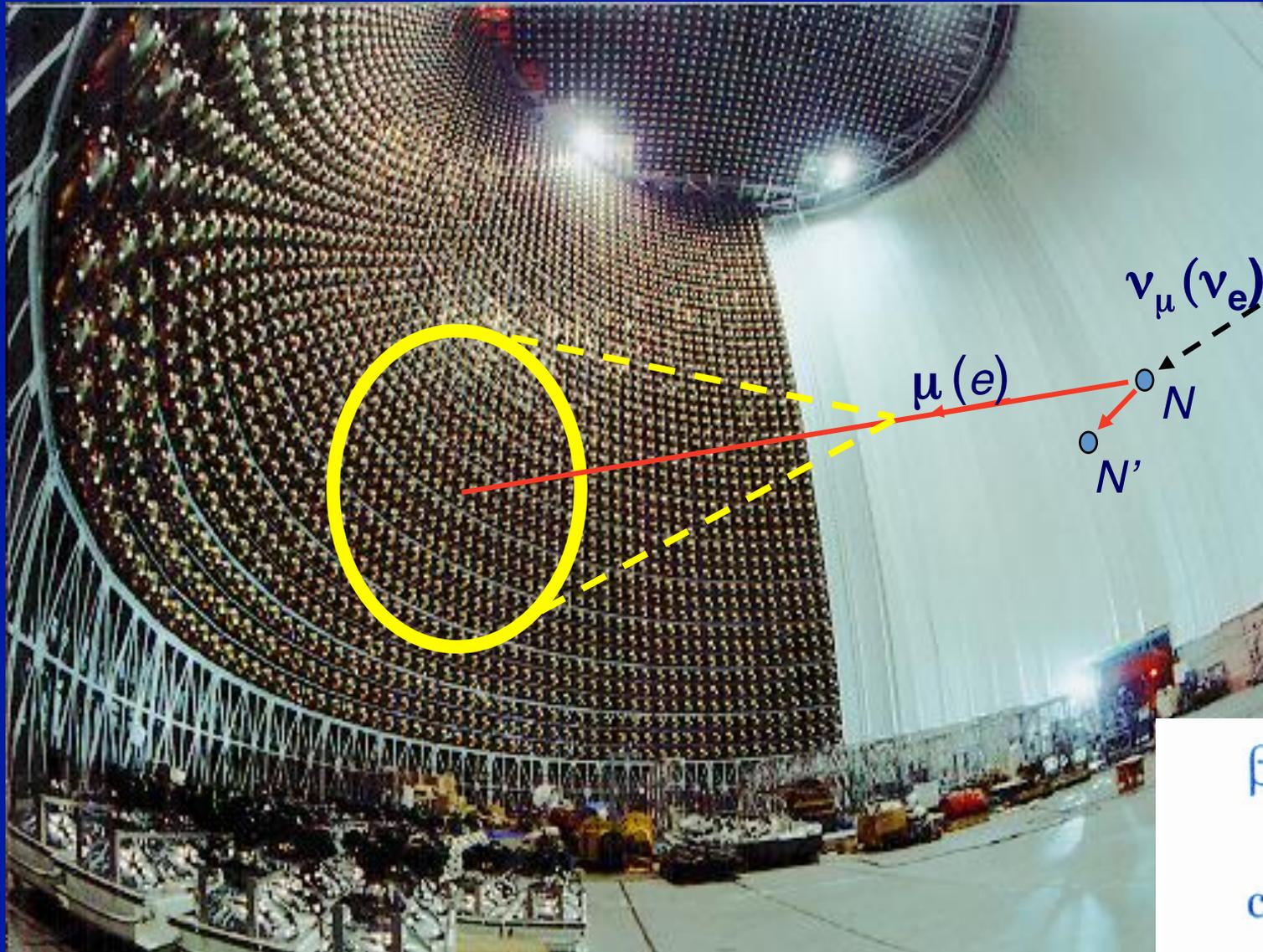
$$\frac{\nu_\mu}{\bar{\nu}_\mu} \simeq 1$$

$$\frac{\nu_\mu + \bar{\nu}_\mu}{\nu_e + \bar{\nu}_e} \simeq 2$$

Assume all muons decay  
**AND**  
 an important kinematical fact.  
 All 3 neutrinos in decay  
 have approximately the same  
 energy



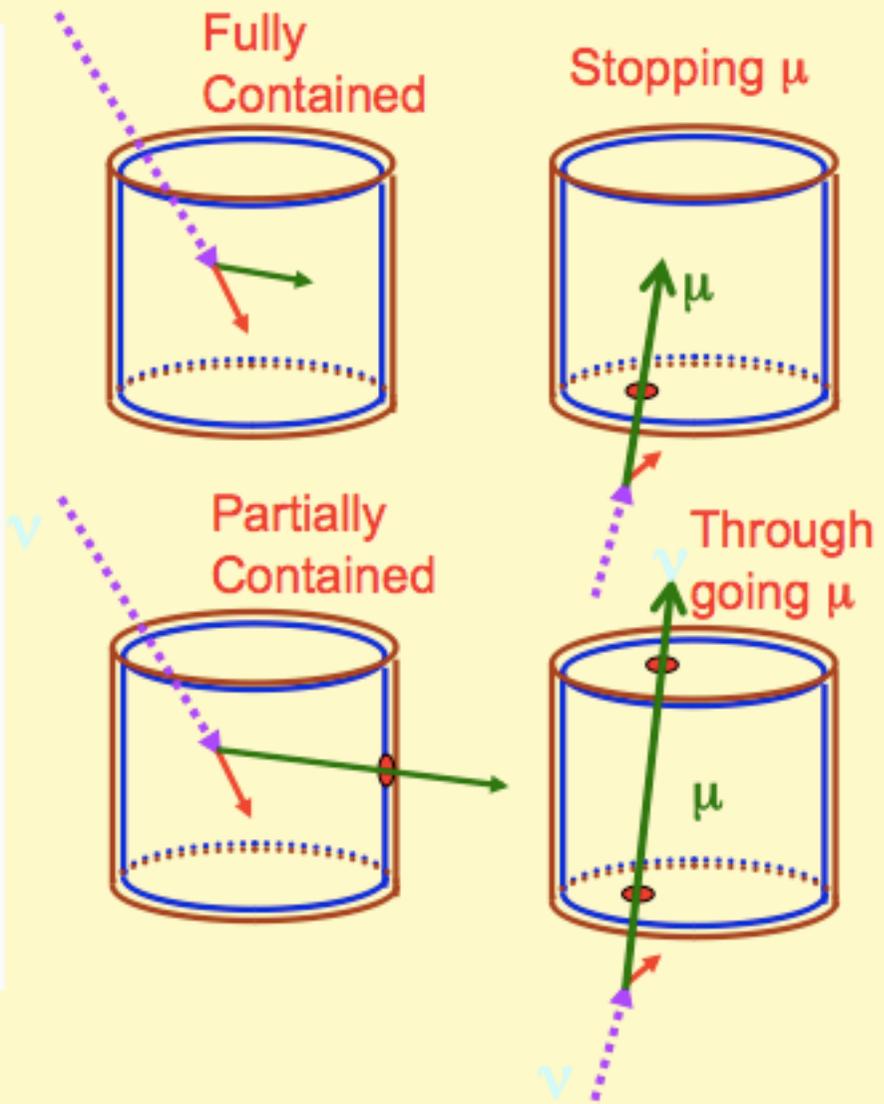
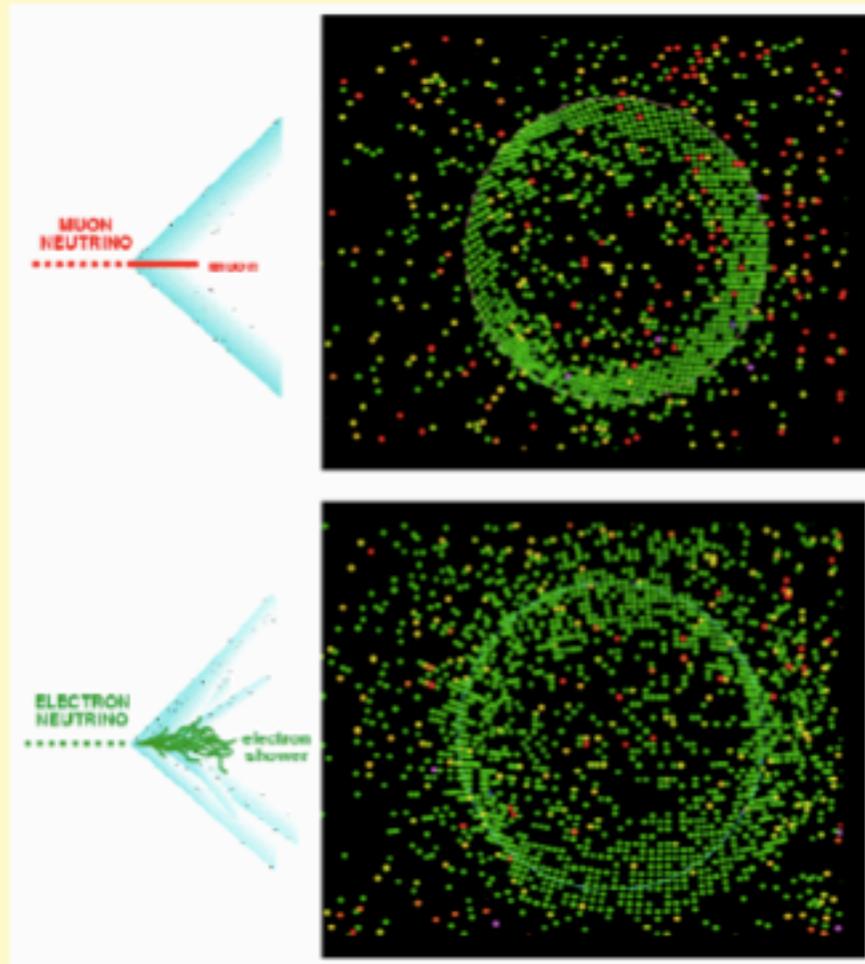
# Cerenkov ring detection in Super-Kamiokande



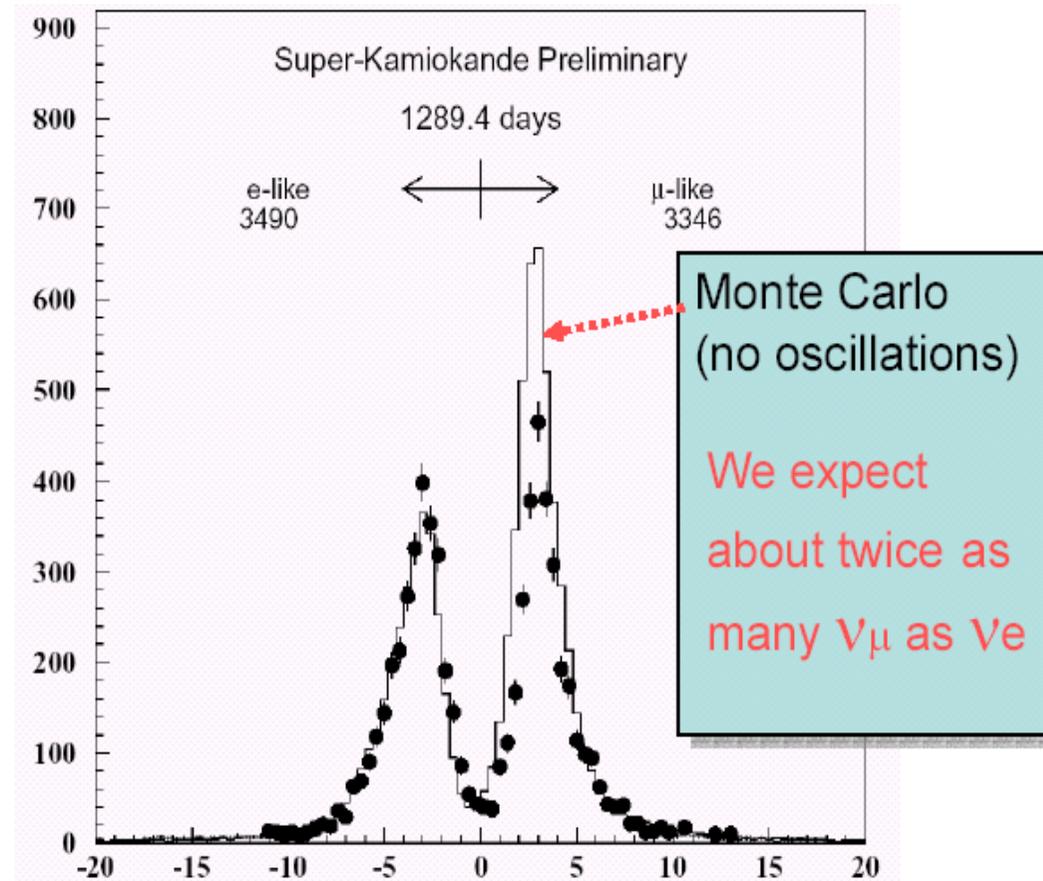
$$\beta \left( = \frac{v}{c} \right) > \frac{1}{n}$$

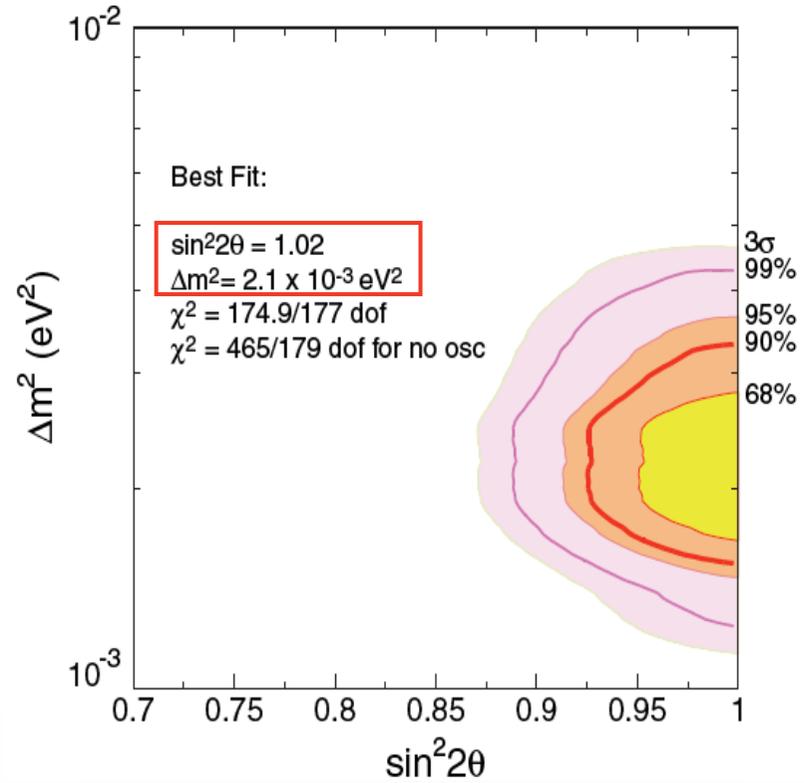
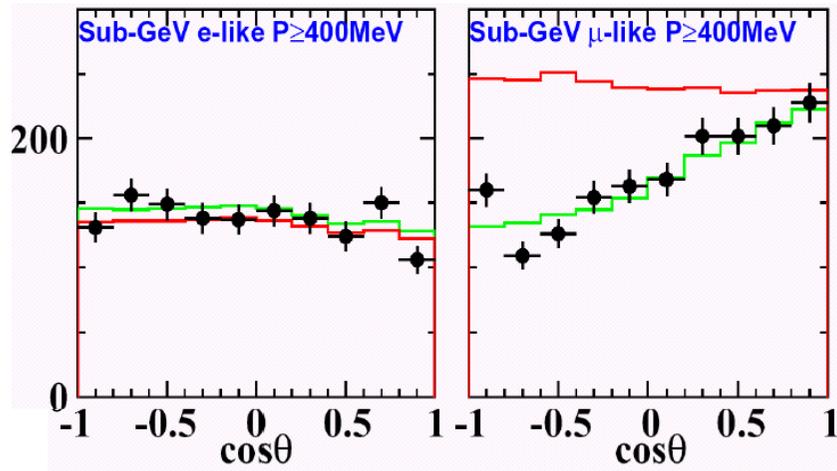
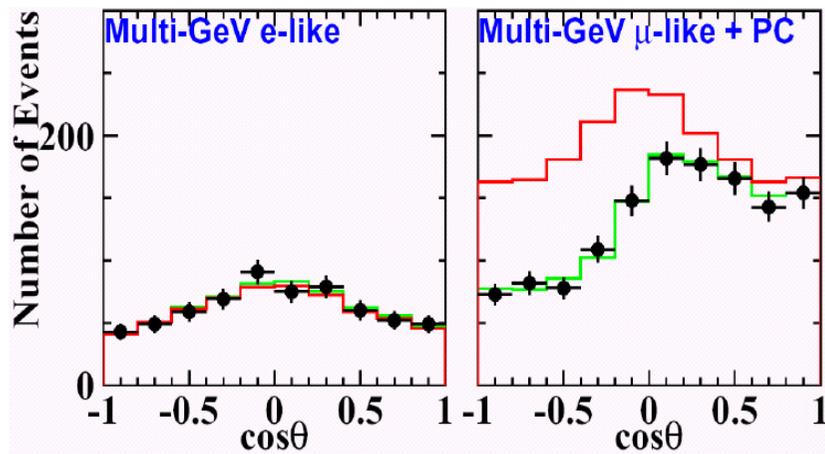
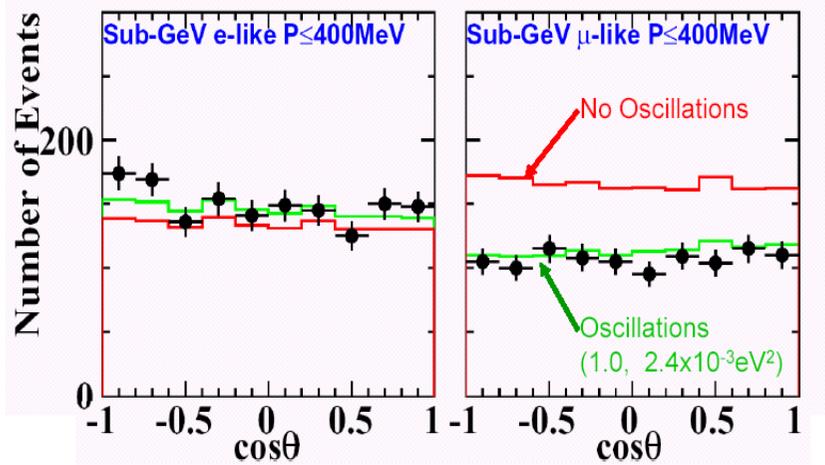
$$\cos \theta_{Ch} = \frac{1}{\beta n}$$

in water,  $n = 1.33$   
as  $\beta \rightarrow 1$ ,  $\theta_{Ch} \rightarrow 41$  degrees



## A first problem: integral electron and muon distributions





$$P_{\text{osc}} = \sin^2 2\theta \sin^2 (\Delta m^2 L / 4E)$$

The data also indicate that the atmospheric neutrino deficit is due to  $\nu_{\mu} \rightarrow \nu_{\tau}$  oscillations

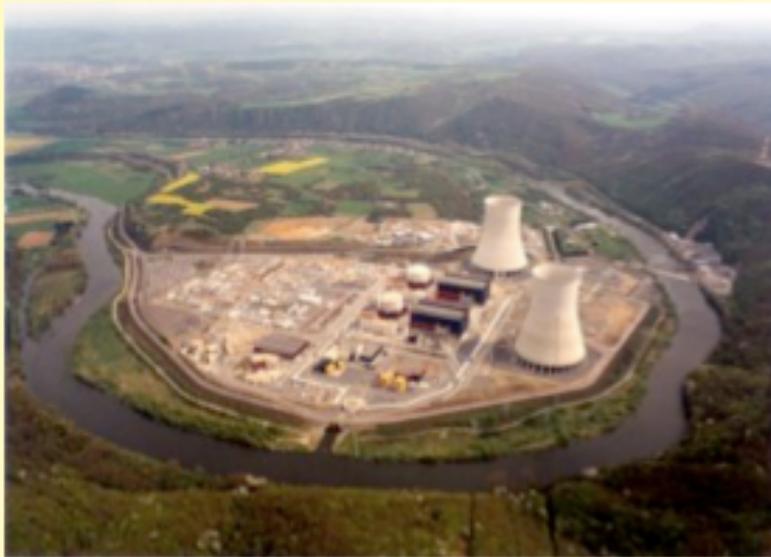
Energy Threshold for CC interactions of  $\nu_\tau$

$$E(\nu_\tau) \geq m_\tau + \frac{m_\tau^2}{2m_p} \approx 3.5 \text{ GeV}$$

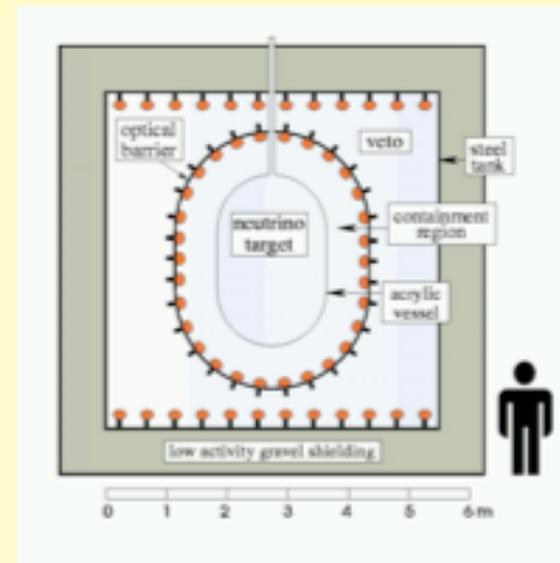
In atmospheric neutrinos most  $\nu_\tau$  are below threshold for CC interactions and therefore simply "disappear".

No  $\nu_e$ - $\nu_x$  oscillations in the same parameter region as atmospheric neutrinos: it must predominantly be  $\nu_\mu$ - $\nu_\tau$

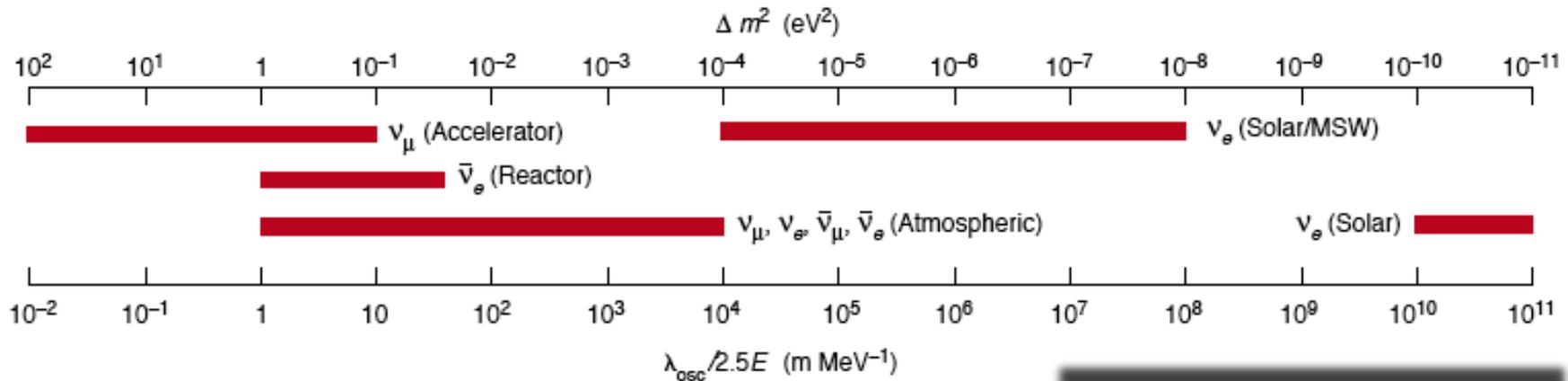
## The short-baseline reactor experiment CHOOZ



$\sim 1 \text{ km} \rightarrow$



# Sensitivity range of neutrino oscillation experiments



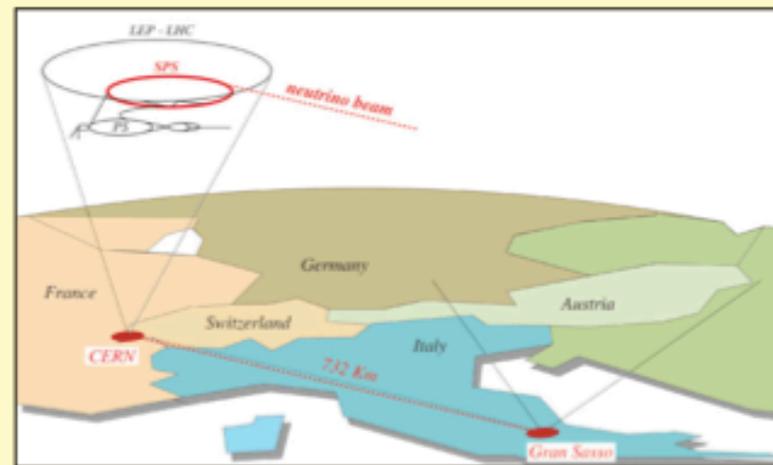
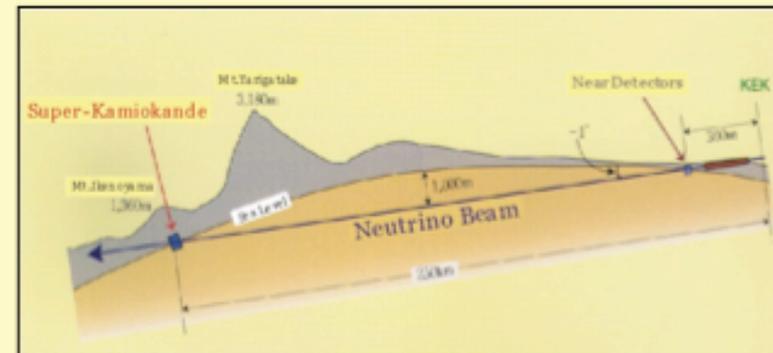
$$\lambda_{\text{osc}} = \frac{\pi E_\nu}{1.27 \Delta m^2} \approx \frac{2.5 E_\nu}{\Delta m^2}$$

Following the results obtained with atmospheric neutrinos: experiments with artificial (accelerator) neutrinos sensitive to the same oscillation parameters

$$P_{\text{osc}} \sim \sin^2(\Delta m^2 L/4E)$$

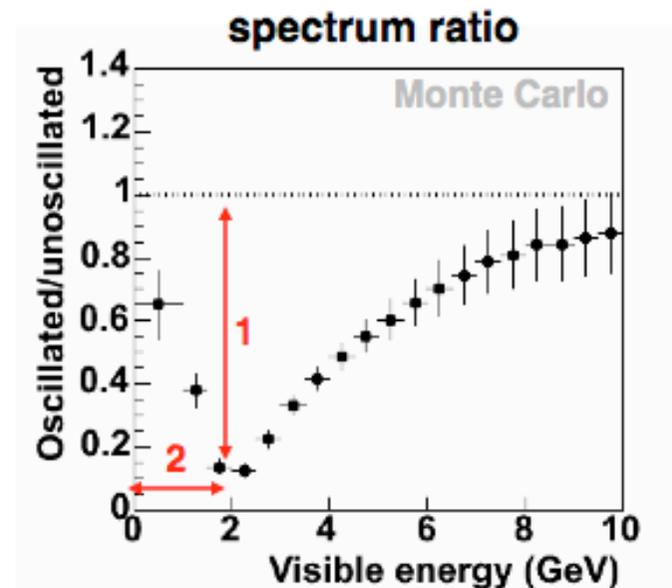
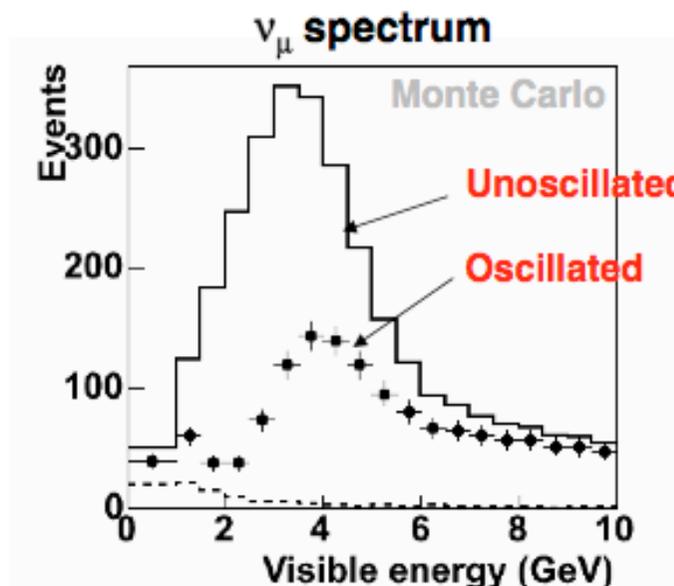
oscillation	$\Delta m^2$ (eV <sup>2</sup> )	L (km)	E (GeV)	source	typical Experiment
atmospheric	$\sim 10^{-3}$	100-1000	1-10	accelerator	K2K, MINOS, OPERA, T2K
solar	$\sim 10^{-5}$	10-100	$10^{-3}$ - $10^{-2}$	reactor	CHOOZ, KamLAND

## "Reproducing atmospheric $\nu_\mu$ physics" in controlled conditions

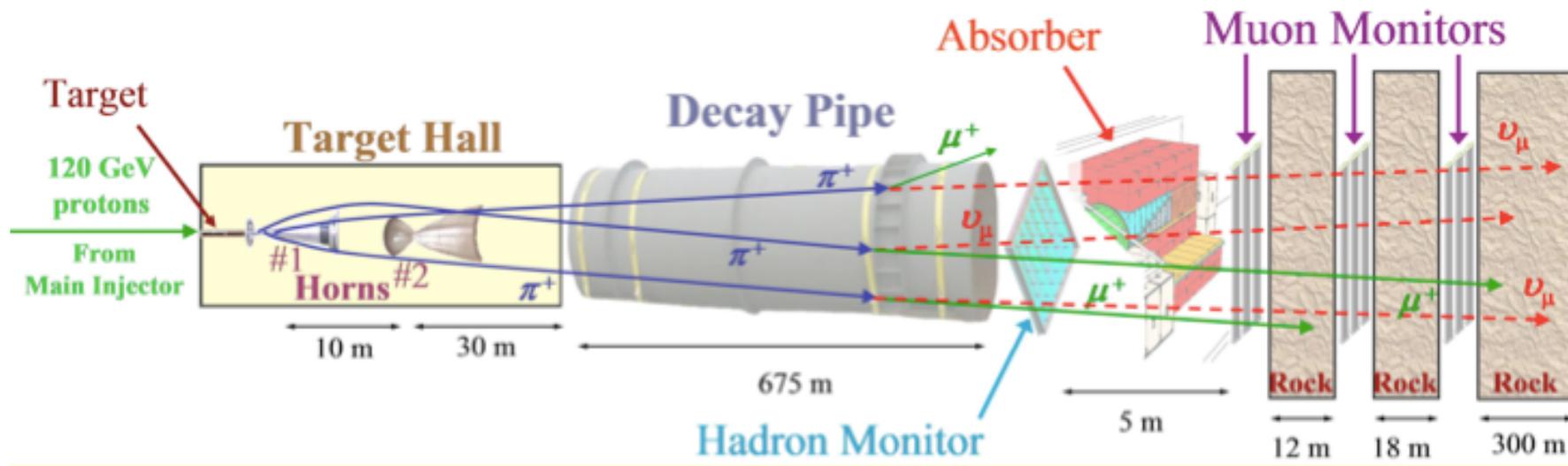


# Example of a $\nu_\mu$ disappearance measurement

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \underbrace{\sin^2 2\theta}_1 \sin^2(1.267 \underbrace{\Delta m^2}_2 L/E)$$

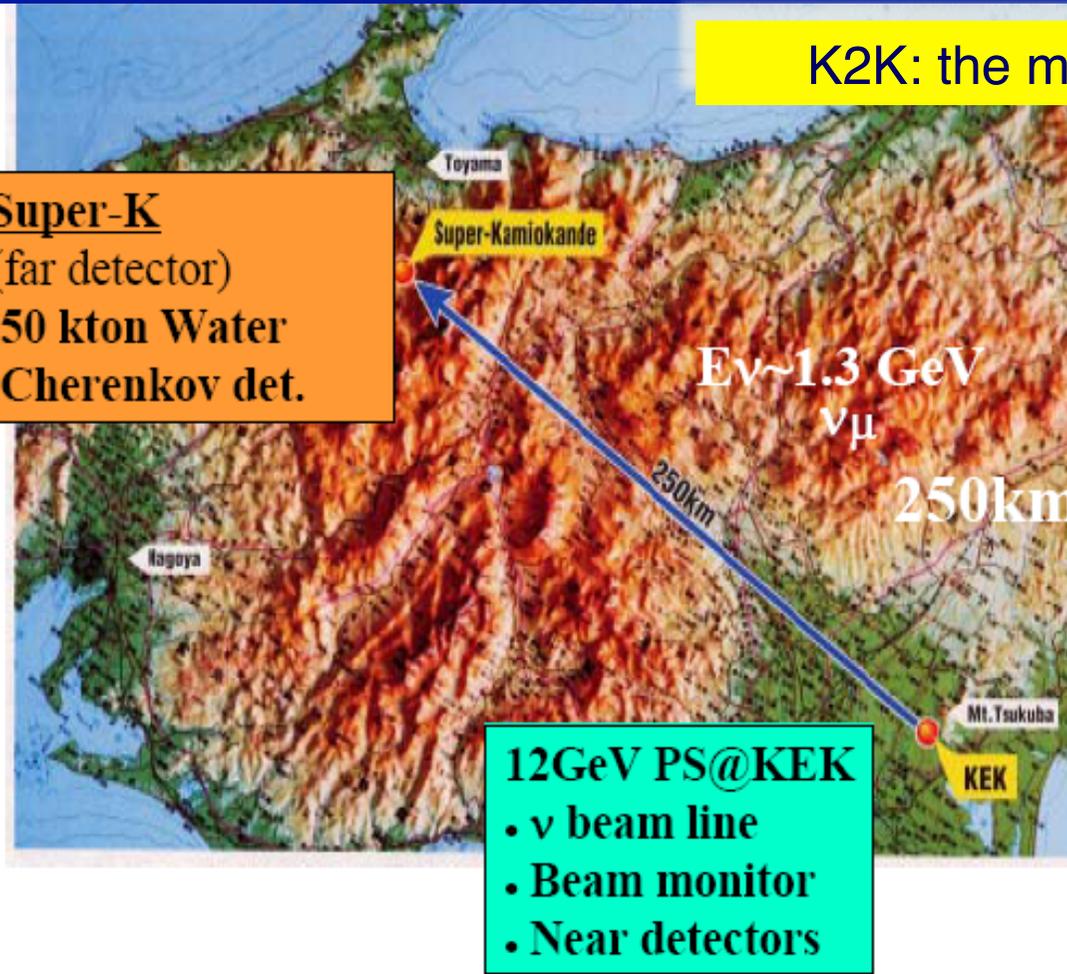


# Typical accelerator neutrino beam (NUMI, Fermilab)



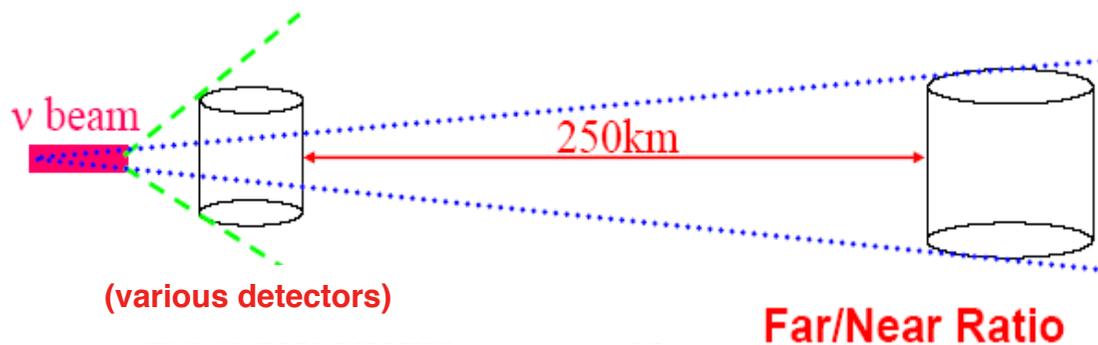
# K2K: the mother of all LBL experiments

**Super-K**  
(far detector)  
50 kton Water  
Cherenkov det.



**12GeV PS@KEK**  
•  $\nu$  beam line  
• Beam monitor  
• Near detectors

$\nu_\mu$  disappearance experiment  
to probe the SK atmospheric  
neutrino result.



(various detectors)

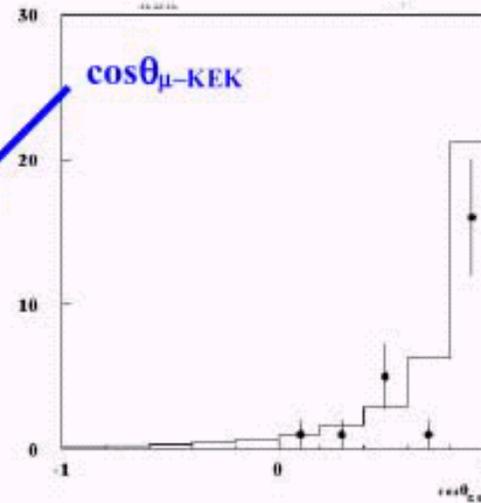
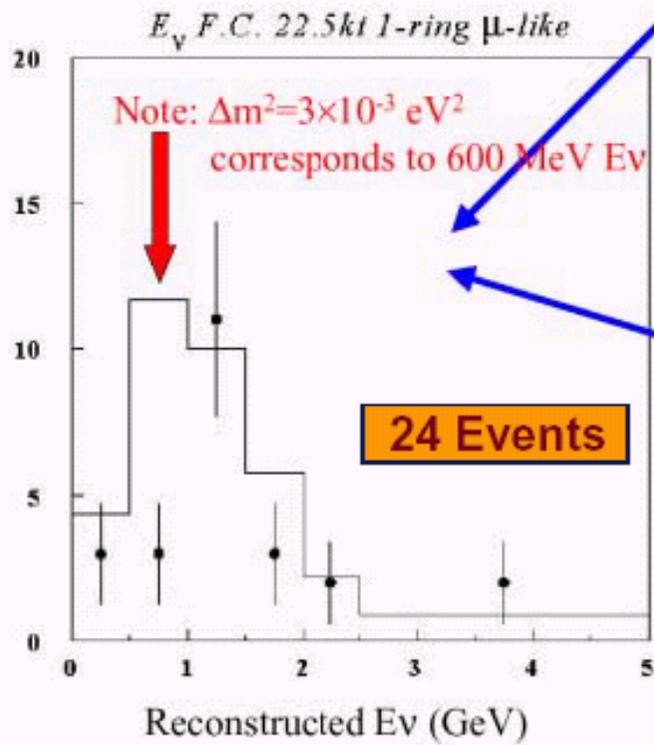
near/far detectors  
comparison: event rate and  
energy spectrum shape

## K2K results (oscillation parameters)

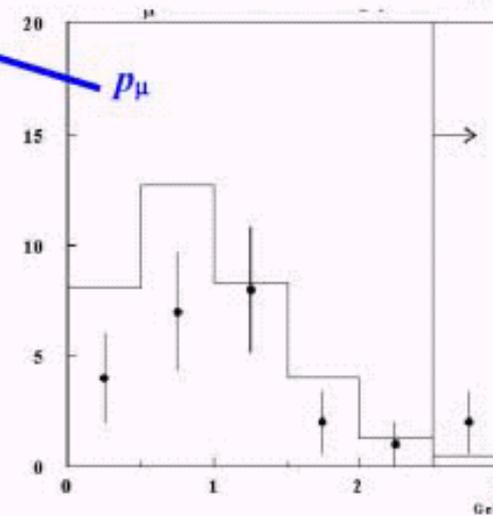
FC Events in FV		June 1999 - April 2001				
		Obs.	No Osci.	$\Delta m^2 (\times 10^{-3} eV^2)$		
			(1kton)	(sin <sup>2</sup> 2θ = 1)		
				3	5	7
FC	22.5kt	44	63.9 <sup>+6.1</sup> <sub>-6.6</sub>	41.5	27.4	23.1
	1-ring	26	38.4 ± 5.5	22.3	14.1	13.1
	μ-like	24	34.9 ± 5.5	19.3	11.6	10.7
	e-like	2	3.5 ± 1.4	2.9	2.5	2.4
	multi ring	18	25.5 ± 4.3	19.3	13.3	10.0

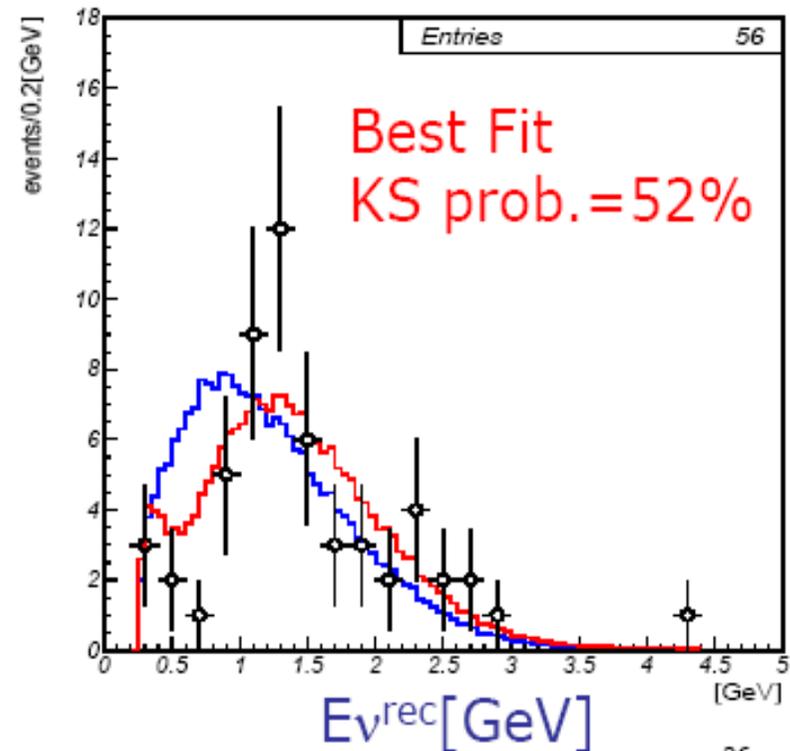
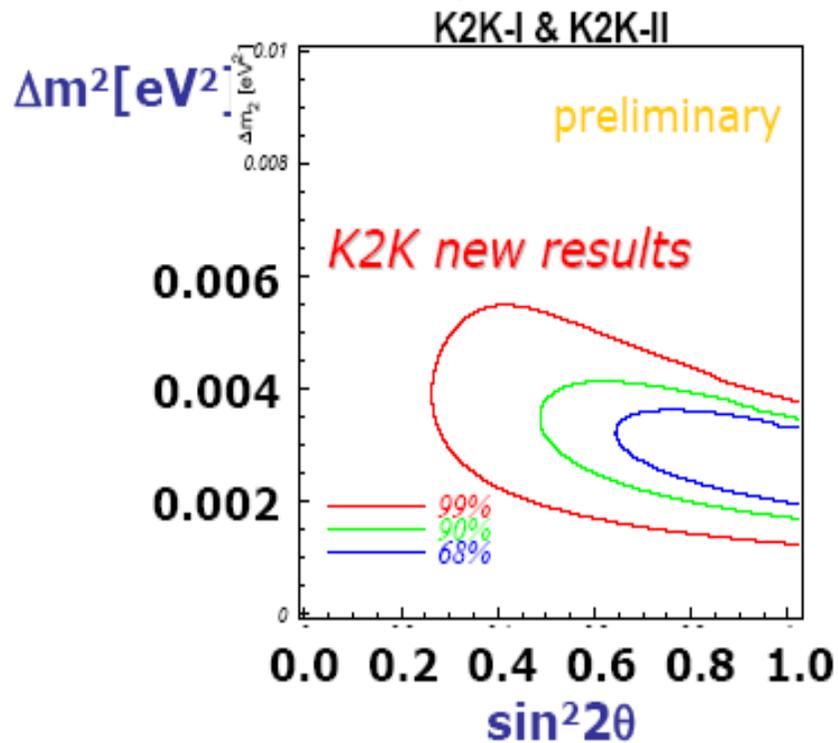
# K2K event energy dependence

## Reconstructed $E_\nu$



**Data**  
**MC w/o osc.**





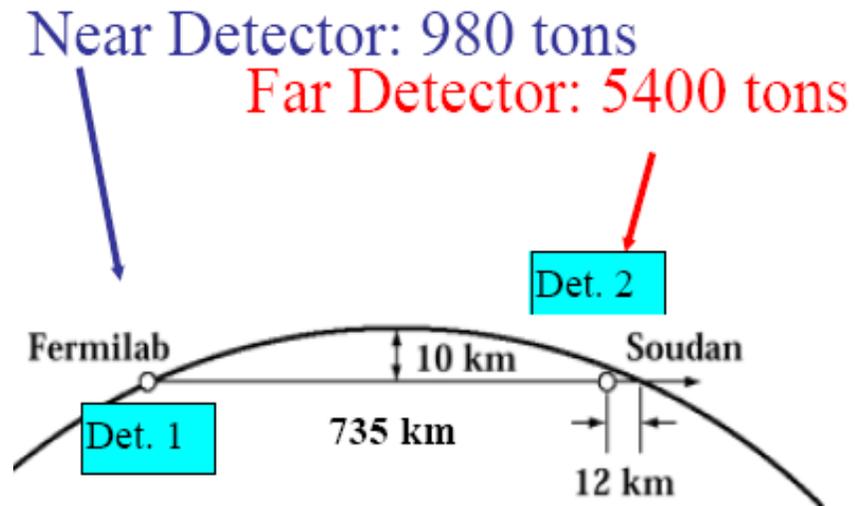
K2K results:

$1.7 < \Delta m^2 < 3.5 \text{ eV}^2$  for  $\sin^2 2\theta = 1$  (90% CL)  
( $\nu_{\mu}$  disappearance plus shape distortion)

K2K confirmed SK:

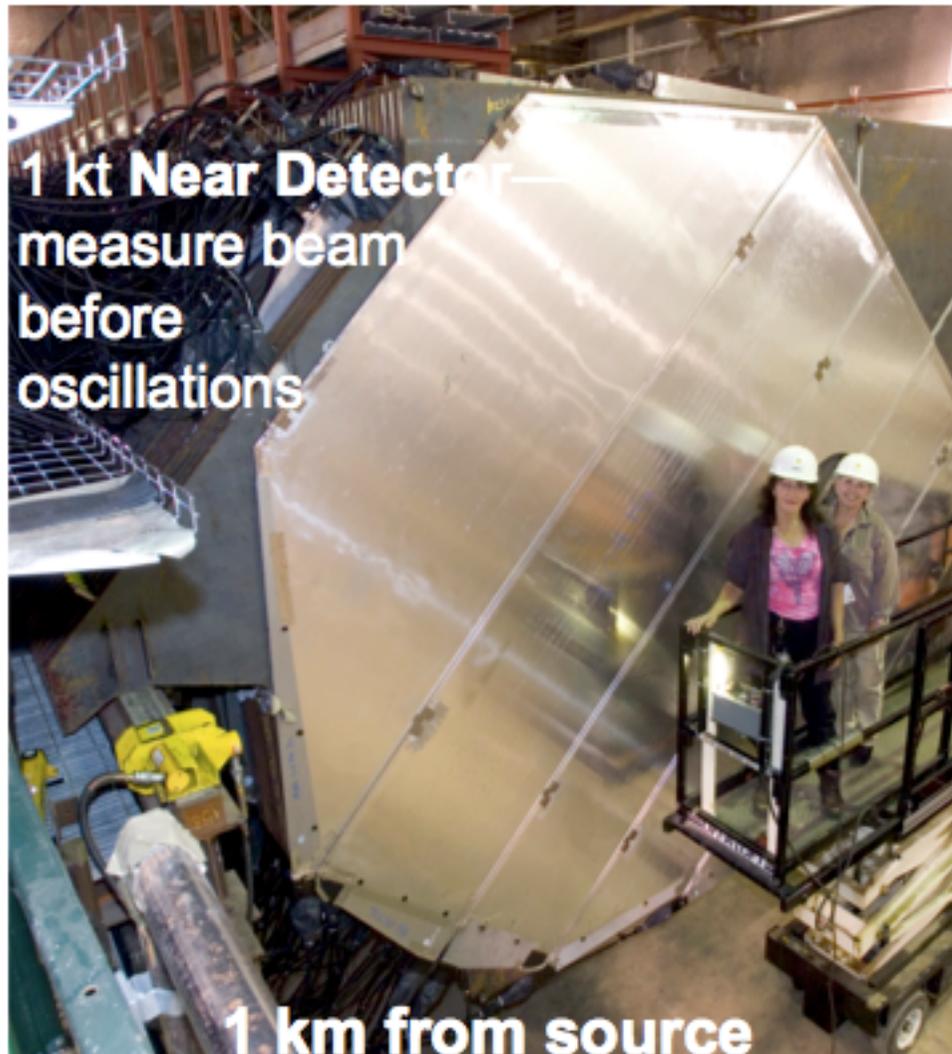
$1.5 < \Delta m^2 < 3.4 \text{ eV}^2$  for  $\sin^2 2\theta > 0.93$  (90% CL)

# MINOS in the NuMi neutrino beam



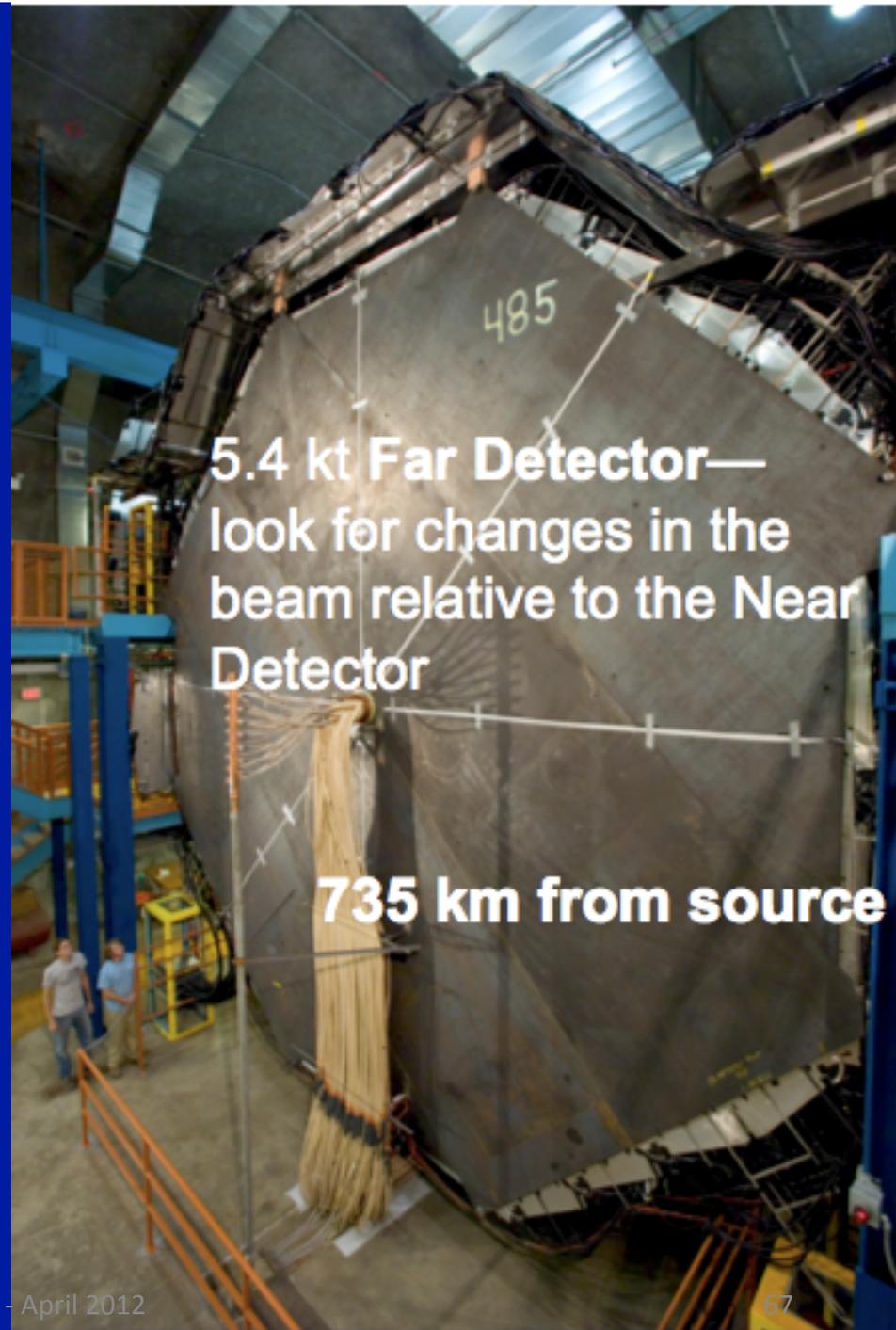
Magnetized steel/scintillator calorimeter

- low E neutrinos (few GeV):  $\nu_\mu$  disappearance experiment
- $4 \times 10^{20}$  pot/year  $\rightarrow$  2500  $\nu_\mu$  CC/year
- compare Det1-Det2 response vs E  $\rightarrow$  sensitivity to  $\Delta m_{\text{atm}}^2$
- main goal: reduce errors on  $\Delta m_{23}^2$  and  $\sin^2 2\theta_{23}$  as needed to measure  $\sin^2 2\theta_{13}$
- some sensitivity to  $\theta_{13}$



**1 kt Near Detector—  
measure beam  
before  
oscillations**

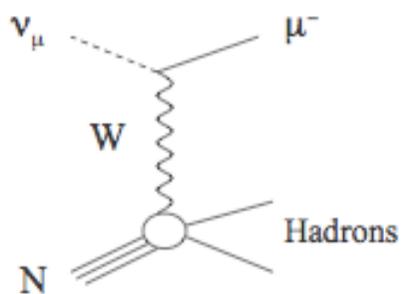
**1 km from source**



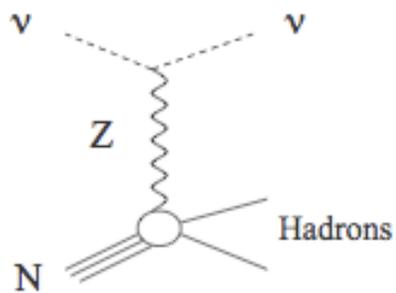
**5.4 kt Far Detector—  
look for changes in the  
beam relative to the Near  
Detector**

**735 km from source**

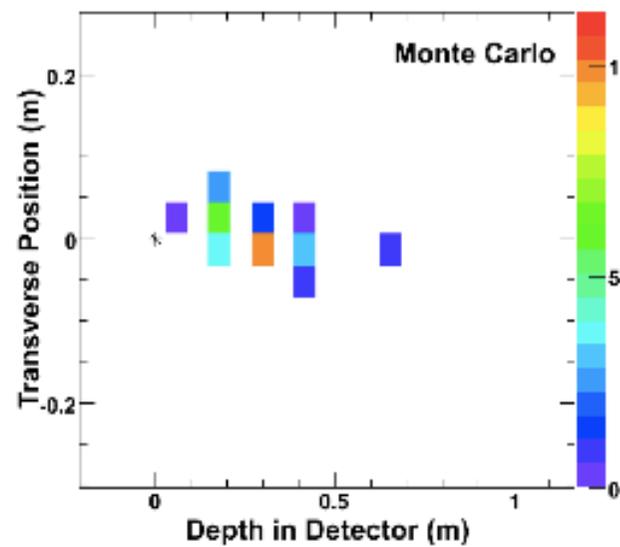
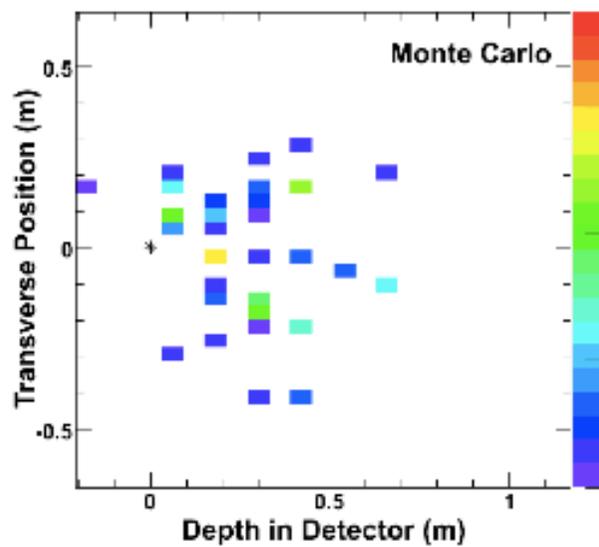
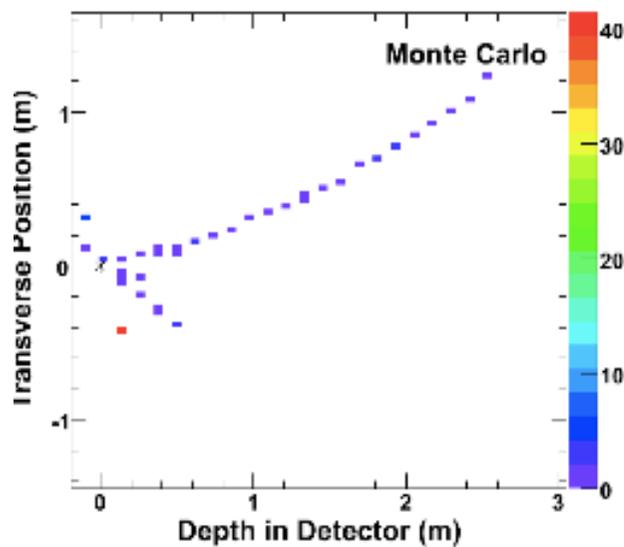
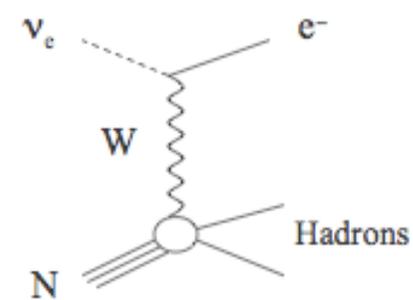
### $\nu_\mu$ CC Event

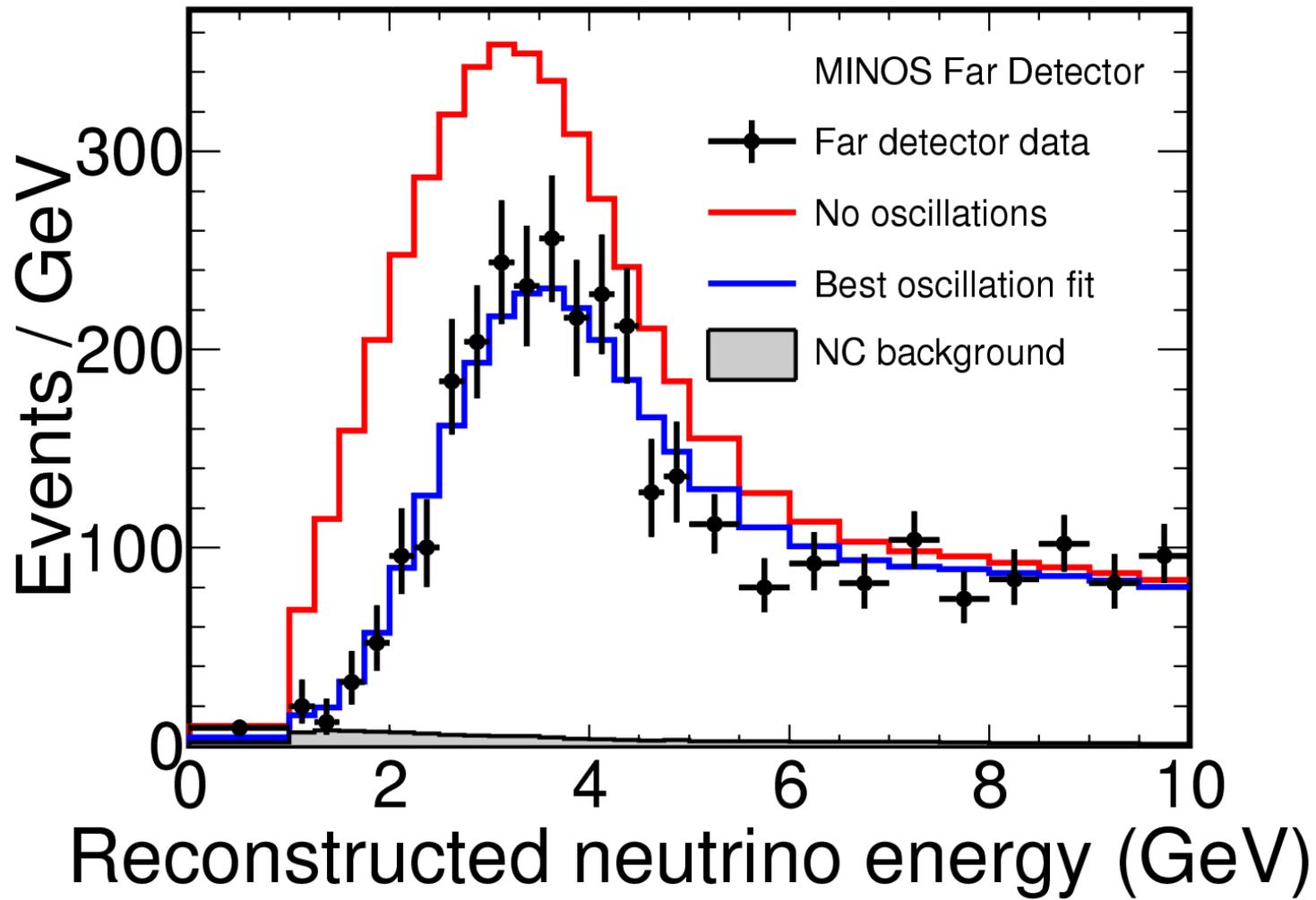


### NC Event

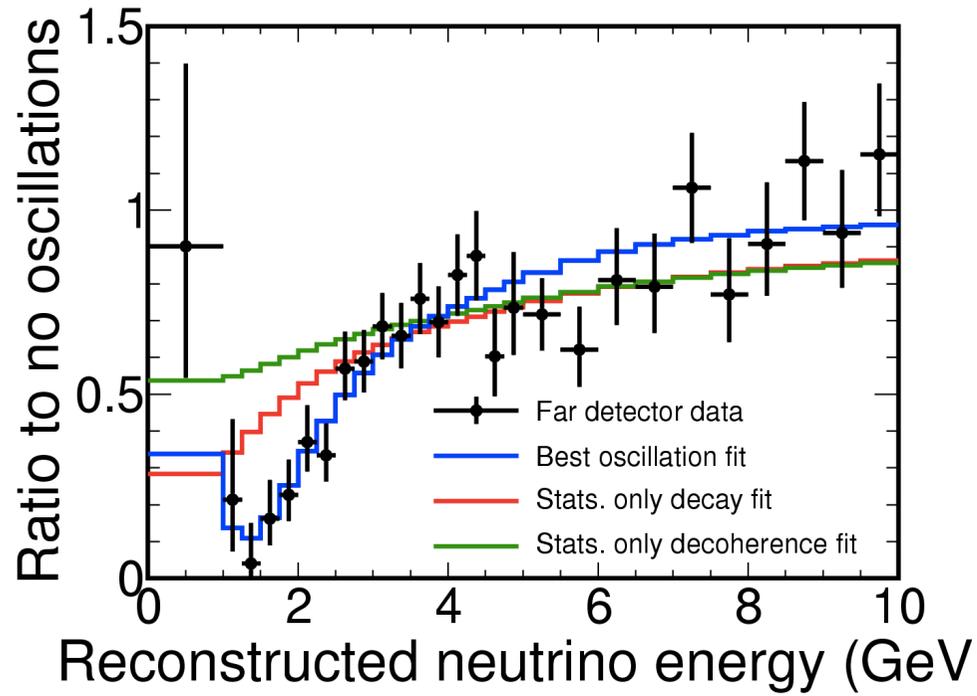


### $\nu_e$ CC Event

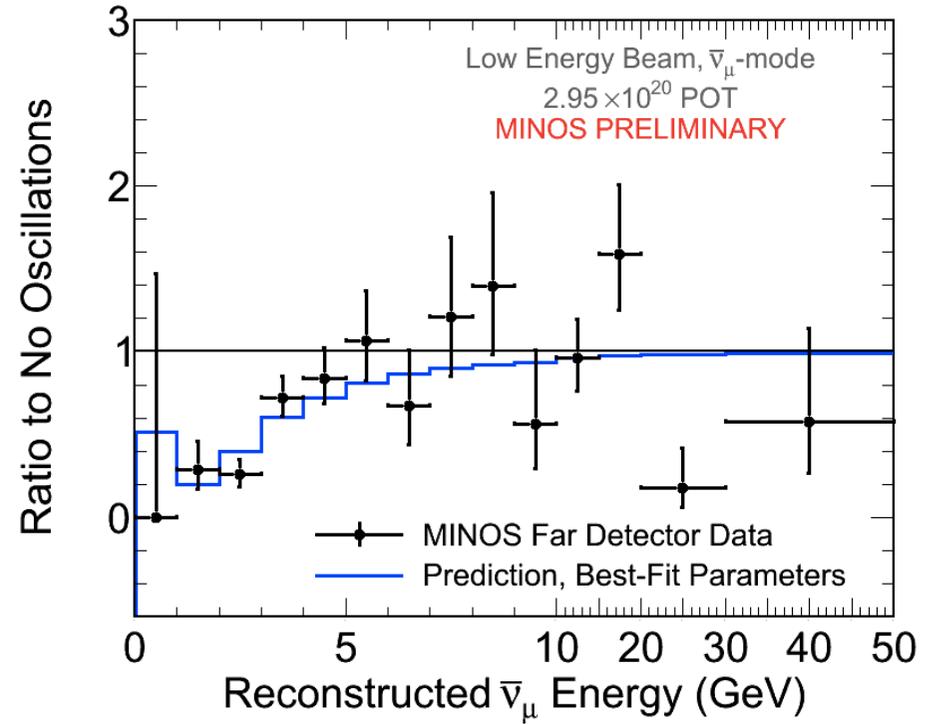


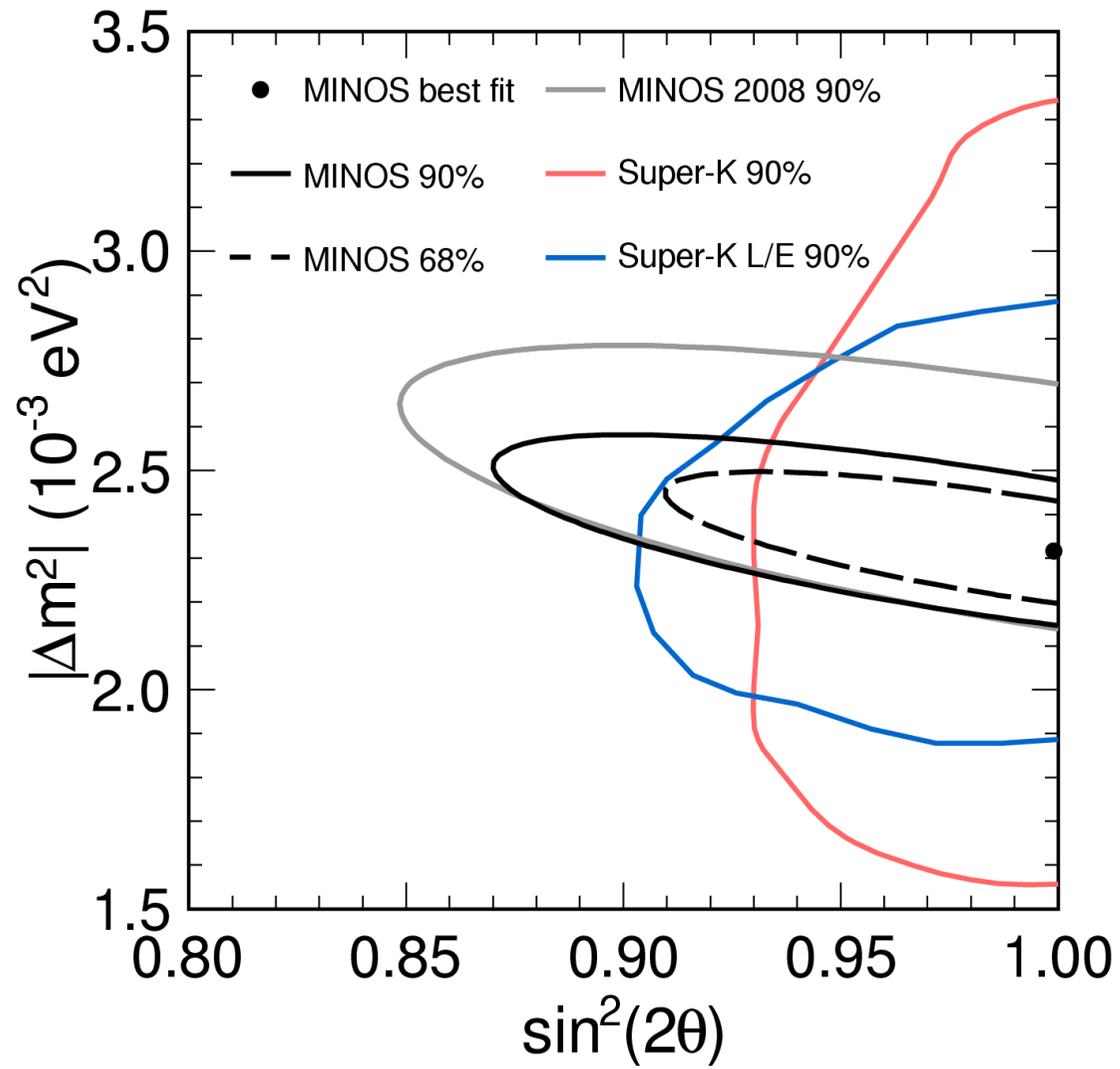


$$\nu_{\mu} - \nu_{\tau}$$



$$\bar{\nu}_{\mu} - \bar{\nu}_{\tau}$$





One can also look for oscillation appearance...

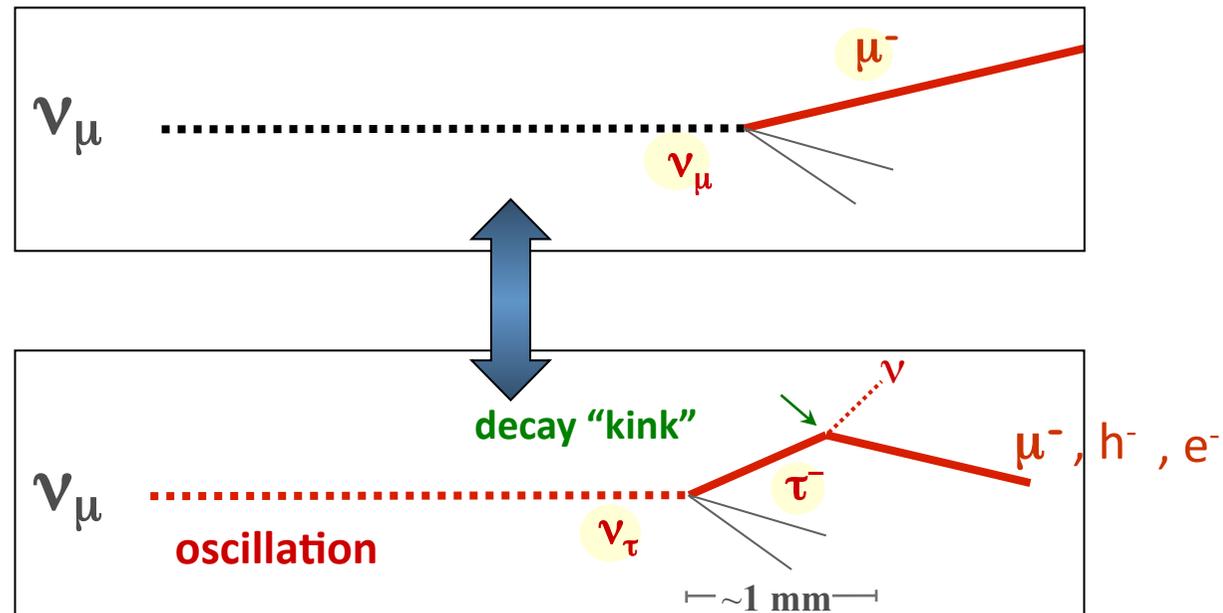
# OPERA: first direct detection of neutrino oscillations in appearance mode

The PMNS 3-flavor oscillation formalism predicts:

$$P(\nu_{\mu} \rightarrow \nu_{\tau}) \sim \sin^2 2\theta_{23} \cos^4 \theta_{13} \sin^2(\Delta m_{23}^2 L/4E)$$

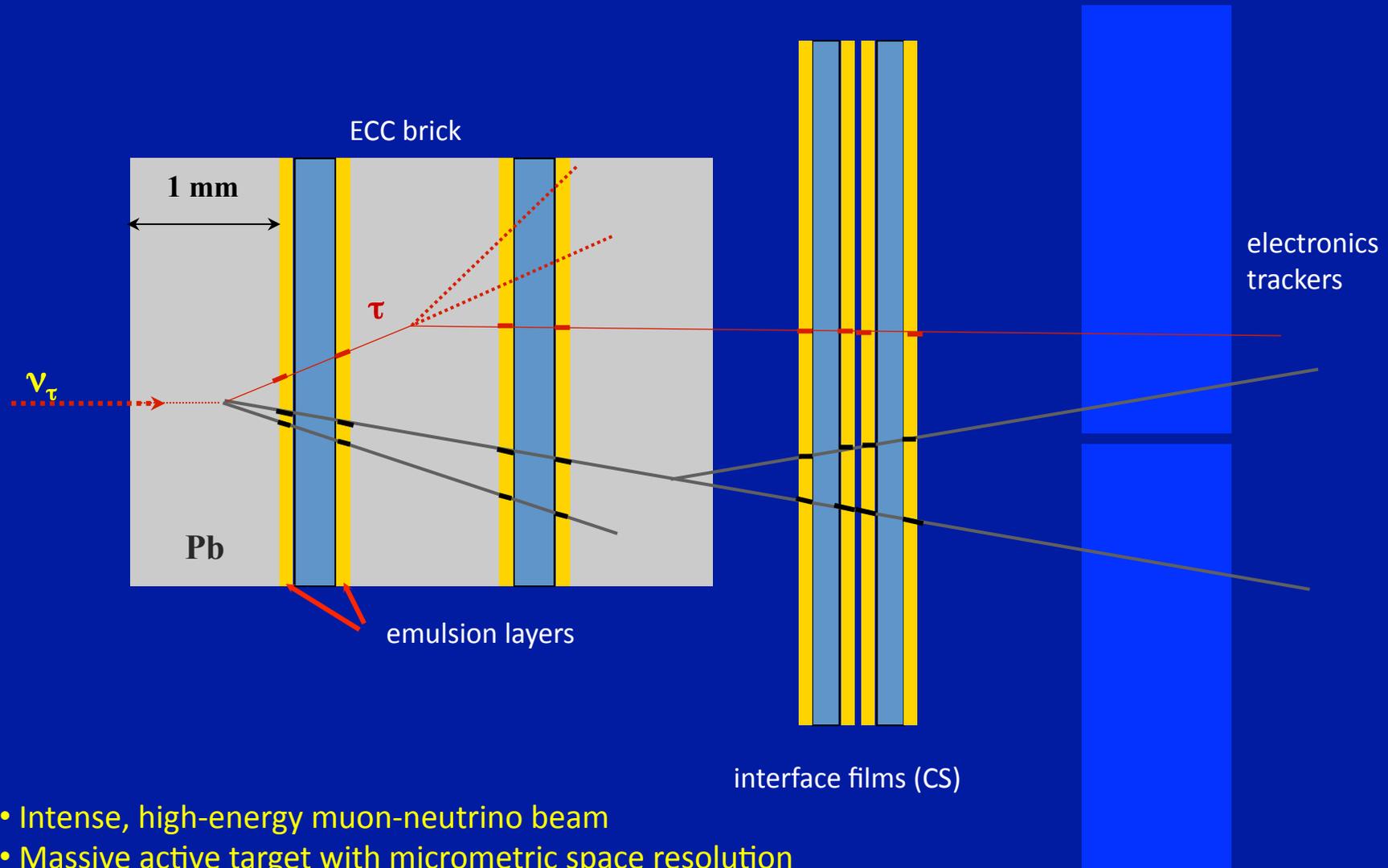
Requirements:

1) long baseline, 2) high neutrino energy, 3) high beam intensity, 4) detect short lived  $\tau$ 's



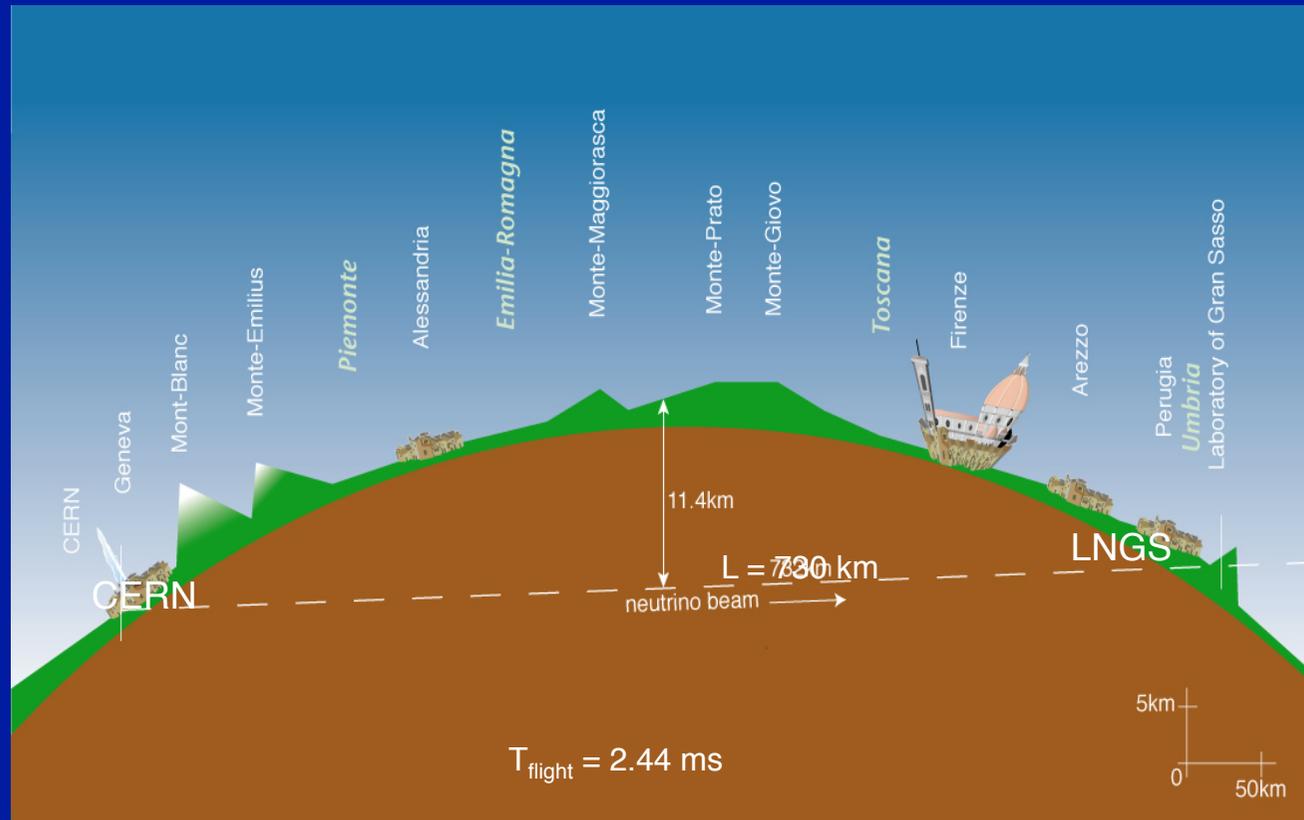
plus 3-prong decay modes

# THE PRINCIPLE OF THE EXPERIMENT: ECC + ELECTRONIC DETECTORS



- Intense, high-energy muon-neutrino beam
- Massive active target with micrometric space resolution
- Detect tau-lepton production and decay
- Use electronic detectors to provide “time resolution” to the emulsions and preselect the interaction region

# CNGS beam: tuned for $\nu_\tau$ -appearance at LNGS (730 km from CERN)



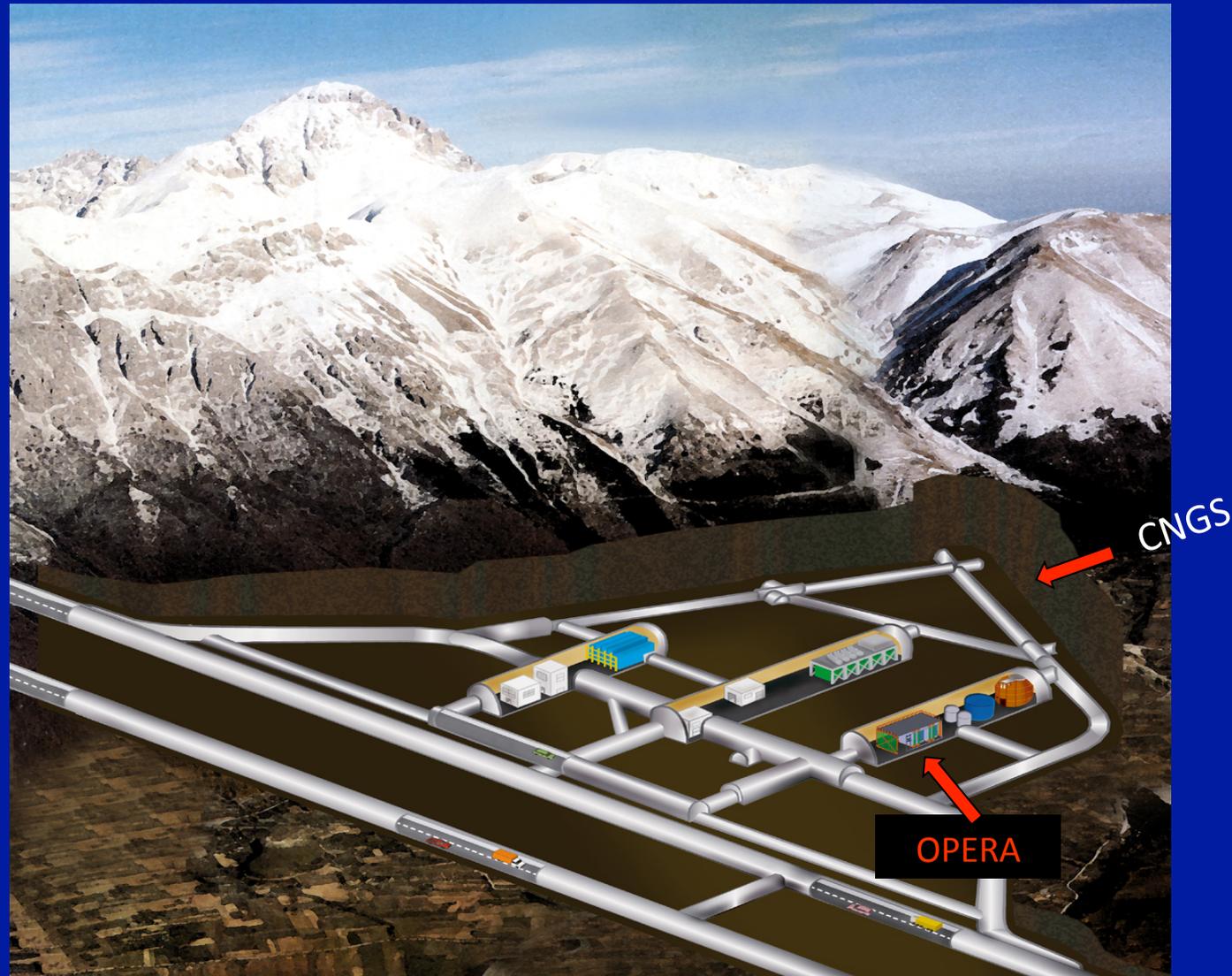
$\langle E \rangle$	17 GeV
L	730 km
$(\nu_e + \bar{\nu}_e) / \nu_\mu$ (CC)	0.87%
$\nu_\mu / \bar{\nu}_\mu$ (CC)	2.1%
$\nu_\tau$ prompt	negligible

Expected neutrino interactions for  $22.5 \times 10^{19}$  pot:

- $\sim 23600 \nu_\mu$  CC + NC
- $\sim 160 \nu_e + \bar{\nu}_e$  CC
- $\sim 115 \nu_\tau$  CC ( $\Delta m^2 = 2.5 \times 10^{-3} \text{ eV}^2$ )

## LNGS of INFN, the world largest underground physics laboratory:

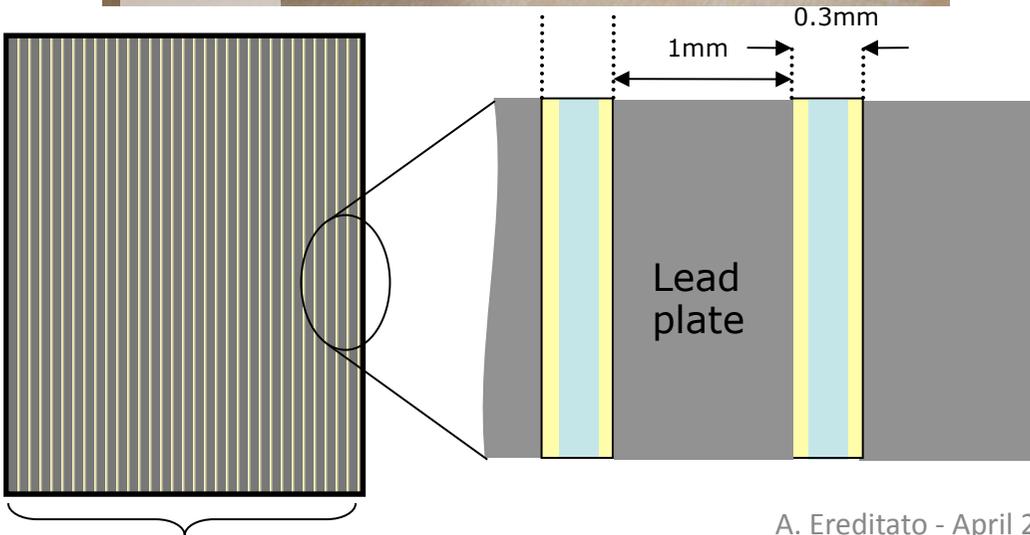
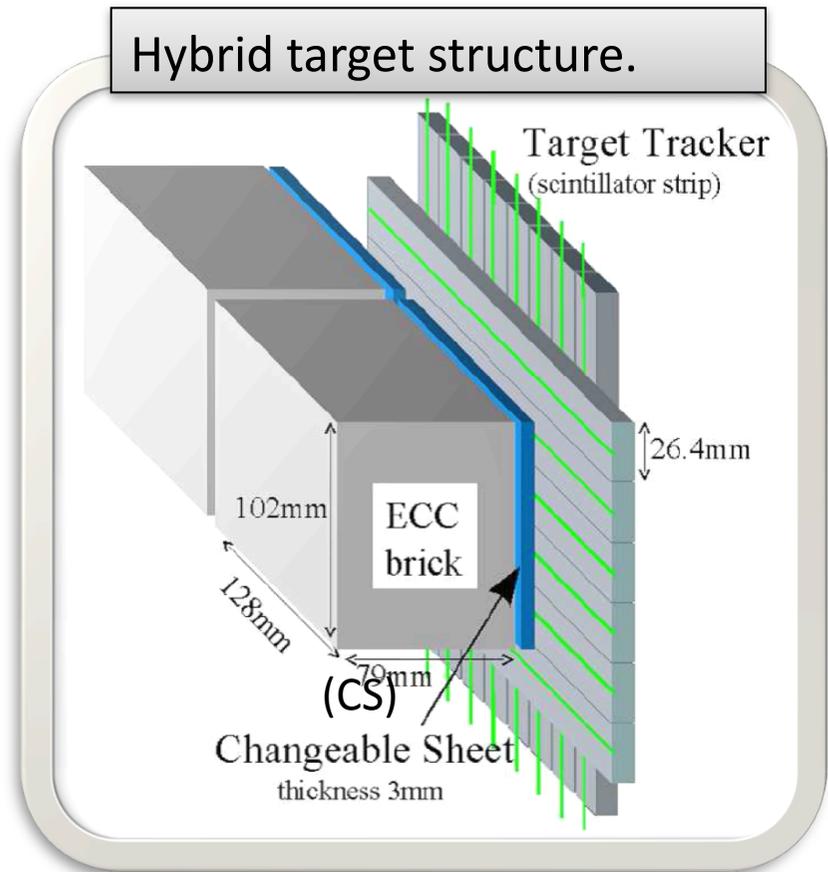
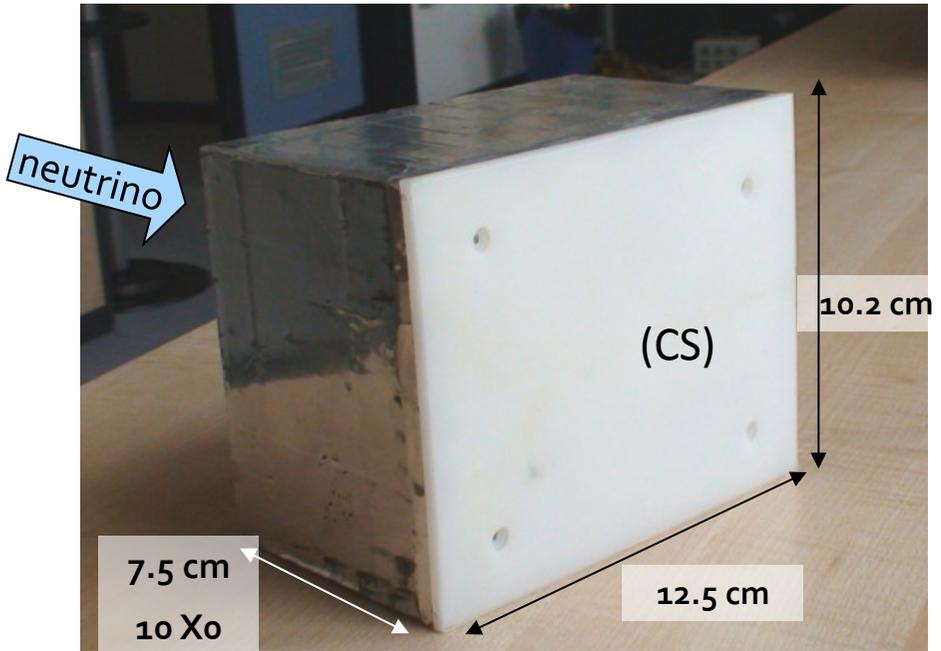
~180000 m<sup>3</sup> caverns' volume, ~3100 m.w.e. overburden, ~1 cosmic  $\mu$  / m<sup>2</sup> x hour, experimental infrastructure, variety of experiments. Perfectly fit to host detector and related facilities, caverns oriented towards CERN.



Two target super-modules, each with an iron spectrometer for muon detection (BG rejection and tau-into-muon decay channel)

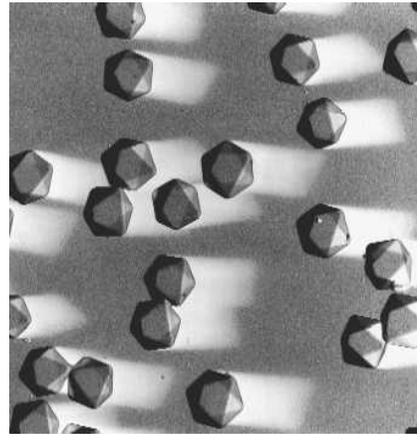
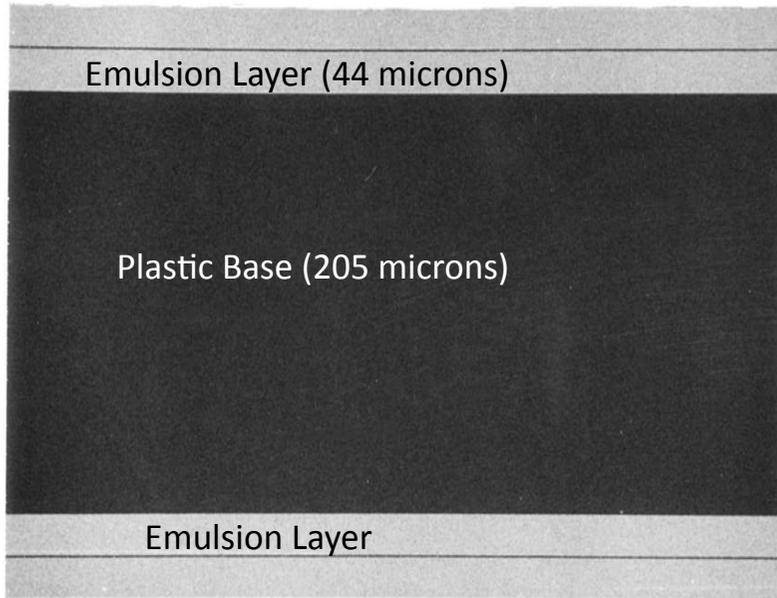


The heart of the experiment:  
THE ECC TARGET BRICKS



The OPERA target consists of 150'000 ECC bricks.  
 Total 105'000 m<sup>2</sup> of lead surface  
 and 111'000 m<sup>2</sup> of film surface  
 (~ 8.9 million films)  
 Total target mass: 1.25 kton

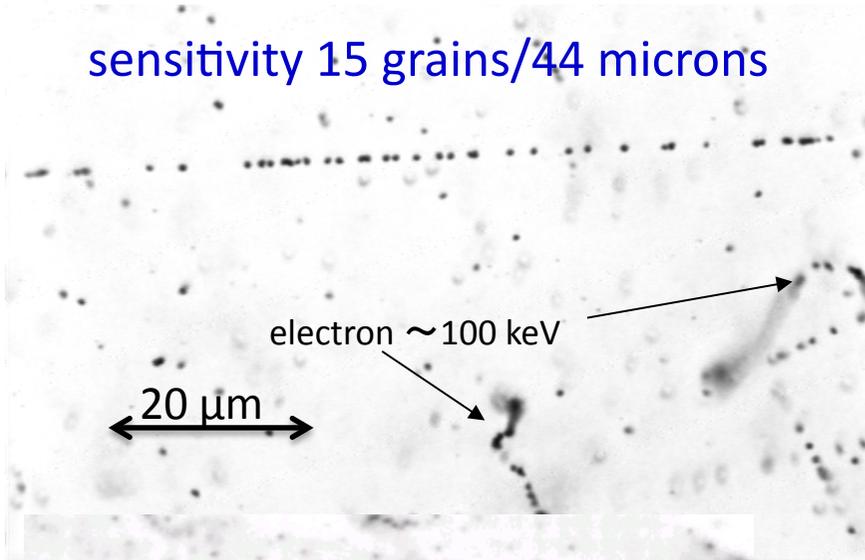
# INDUSTRIAL EMULSION FILMS BY FUJI FILM



**basic detector: AgBr crystal,**  
 size = 0.2 micron  
 detection eff. = 0.16/crystal  
**10<sup>13</sup> “detectors” per film**

sensitivity 15 grains/44 microns

mip →

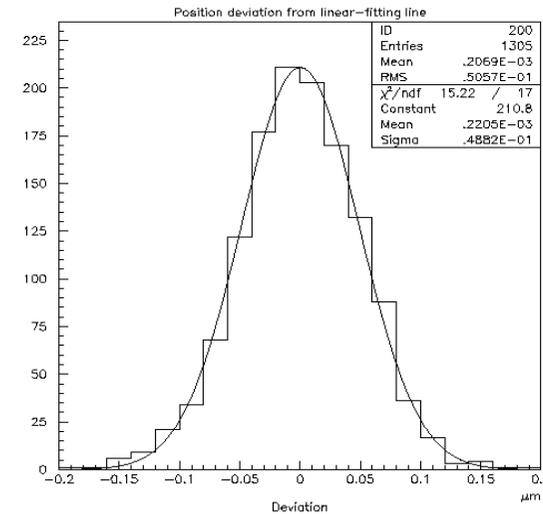


high dE/dx tracks  
 from nuclear evaporation

A. Ereditato - April 2012

intrinsic resolution: 50 nm

deviation from linear-fit line. (2D)



# PARALLEL ANALYSIS OF BRICKS

selected bricks sent  
to scanning labs

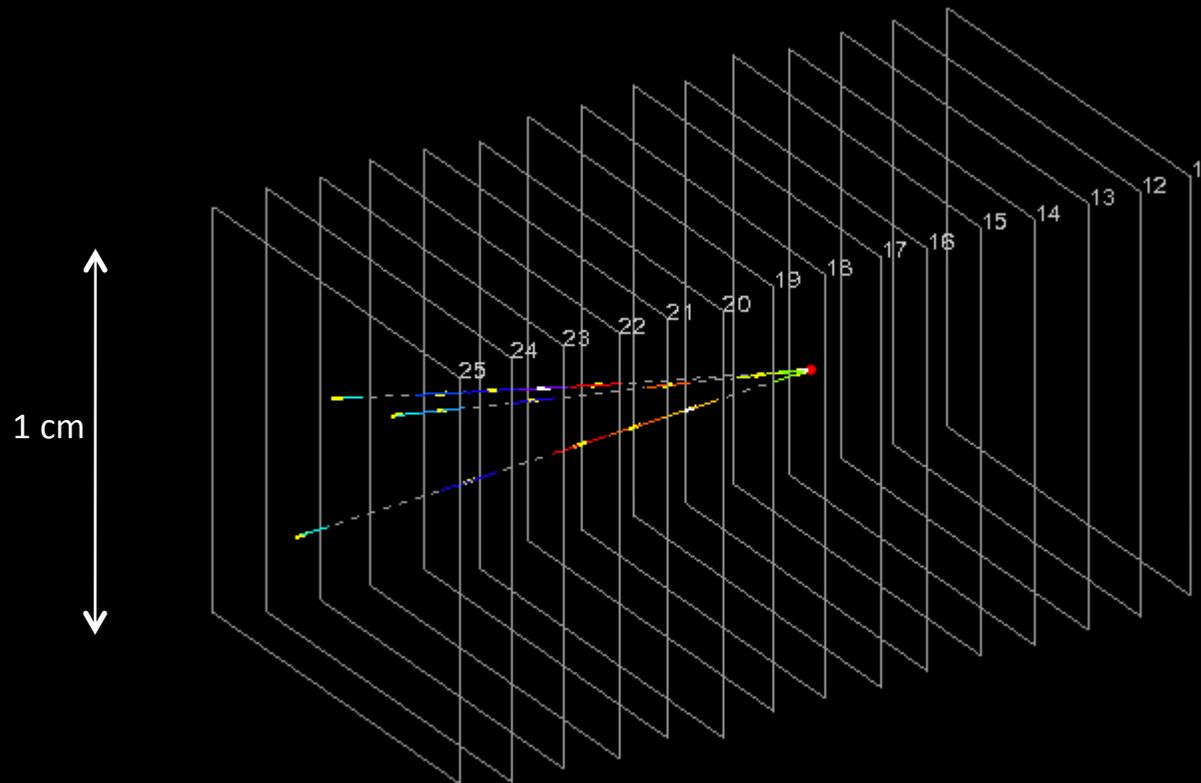


**Bern scanning lab**

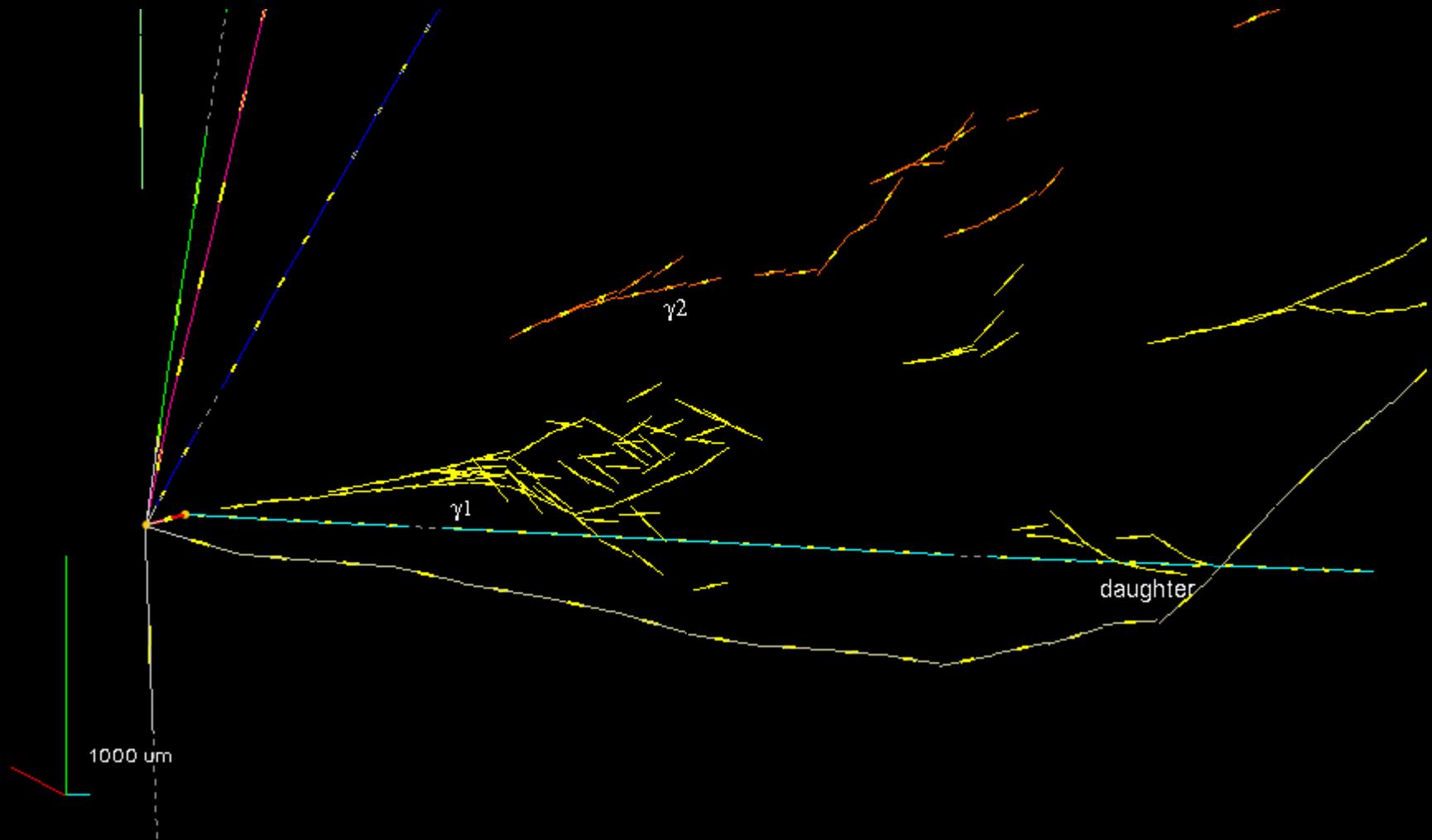
# Located neutrino interaction

Emulsions give 3D vector data, with micrometric precision of the vertexing accuracy.

The frames correspond to the scanning area. Yellow short lines → measured tracks.  
Other colored lines → interpolation or extrapolation.

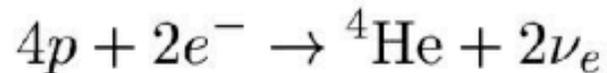


# First tau-neutrino candidate event



# SOLAR NEUTRINOS

Source of Energy of the SUN : Nuclear Fusion



Energy Released per each Cycle

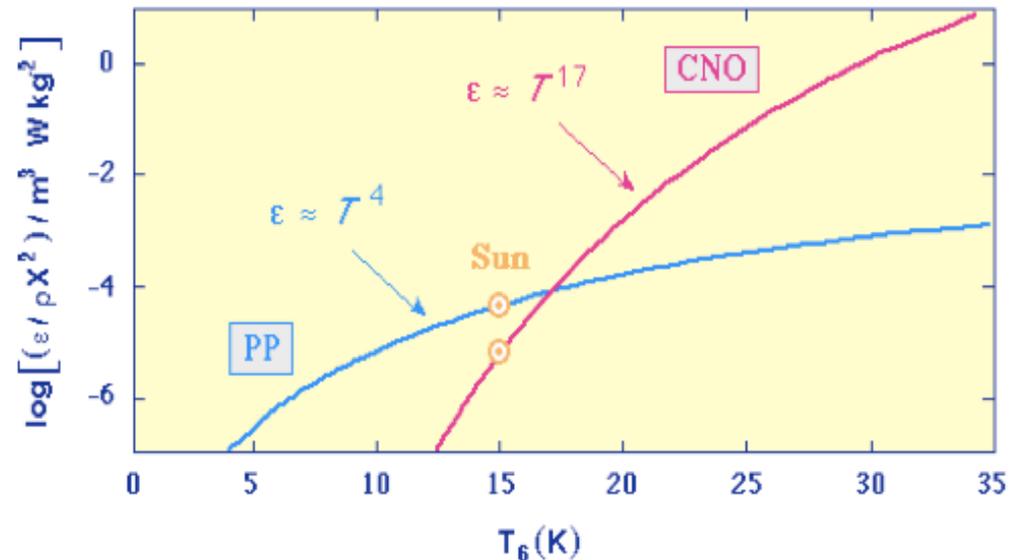
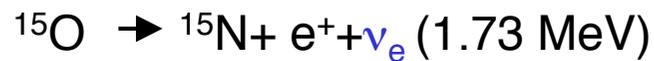
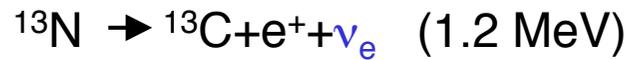
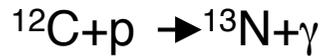
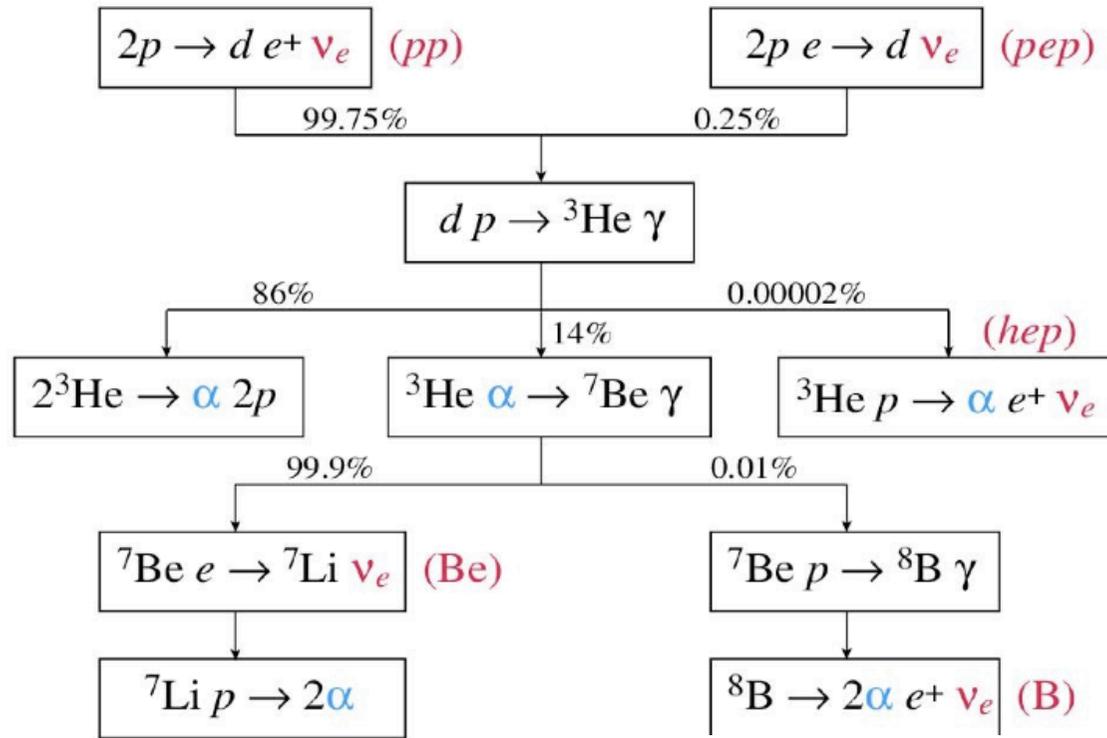
$$Q = 4m_p + 2m_e - m_{\text{He}} = 26.73 \text{ MeV}$$

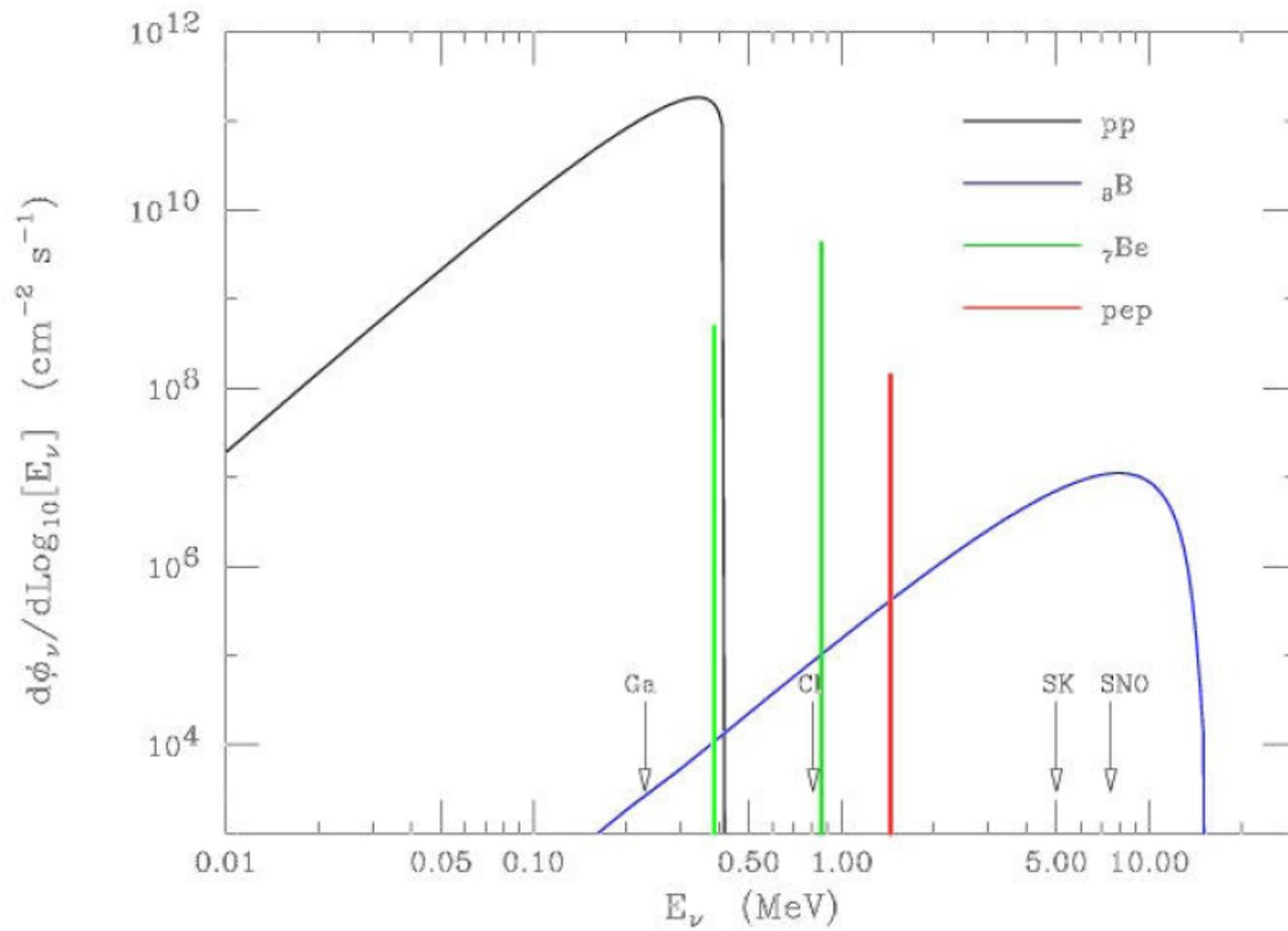
$$\Phi_{\nu_e} \simeq \frac{1}{4\pi d_{\odot}^2} \frac{2L_{\odot}}{(Q - \langle E_{\nu} \rangle)}$$

$$\phi_{\nu_{\odot}} \sim 6 \times 10^{10} \text{ (cm}^2 \text{ s)}^{-1}$$

Neutrino Flux

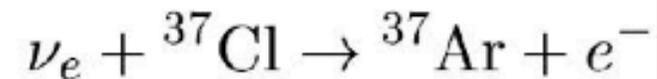
# PP cycles



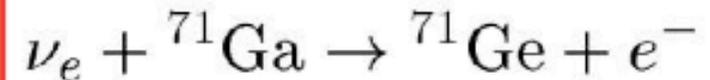


# Detection of Solar Neutrinos:

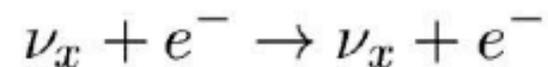
Chlorine Experiment  
(Ray Davis)



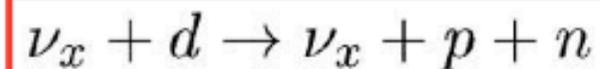
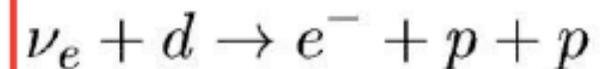
Gallium Experiments  
[Gallex, Sage]

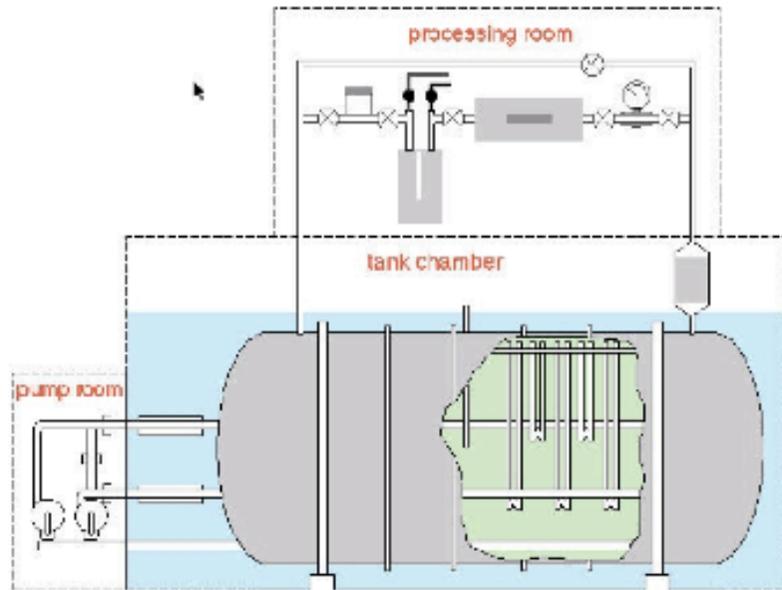


(Super)-Kamiokande  
Electron Scattering



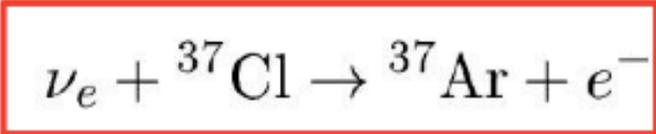
Heavy Water [SNO]



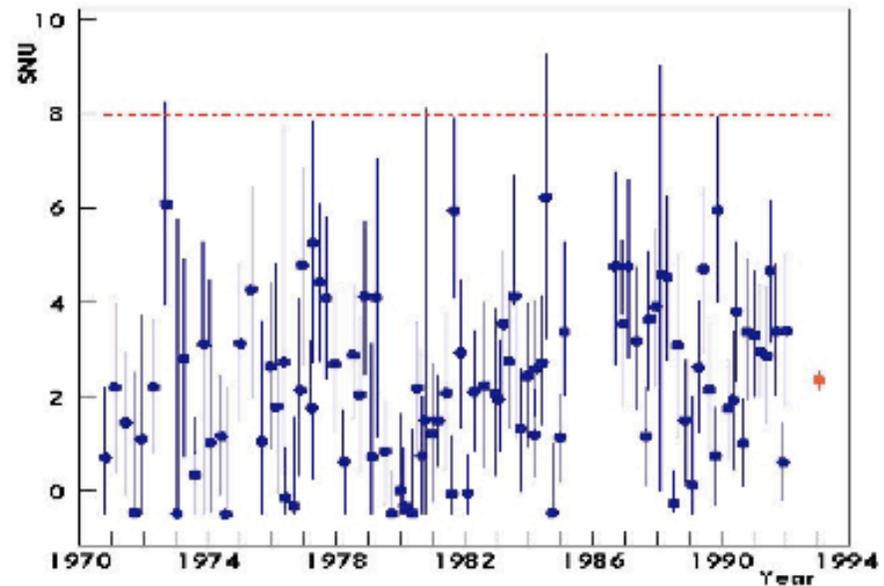


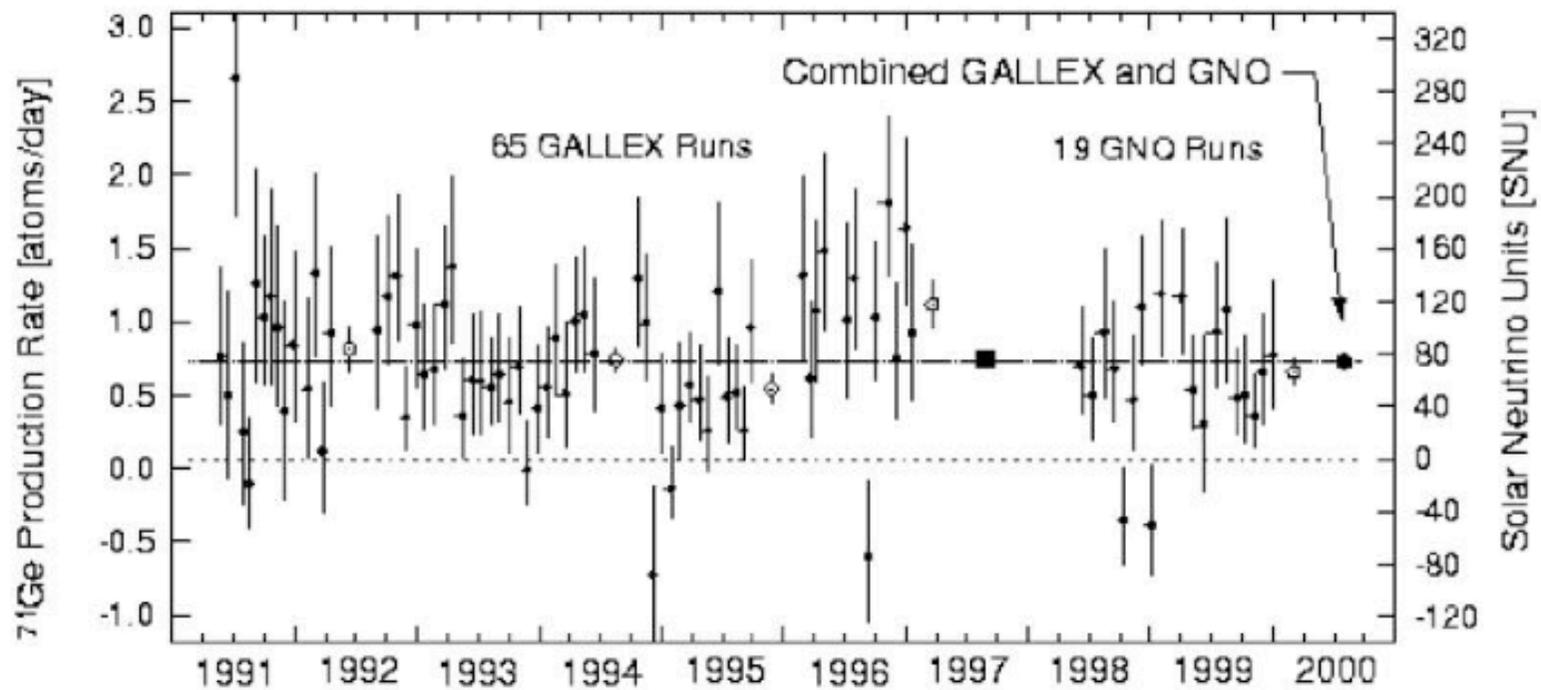
# Davis experiment

## Chlorine



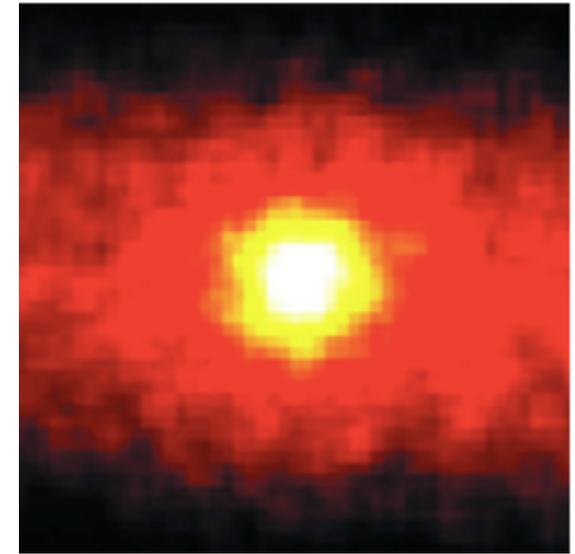
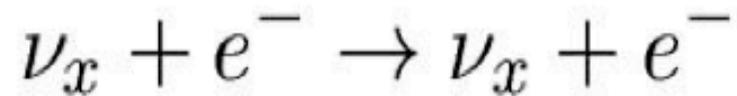
615 tons  $\text{C}_2\text{Cl}_4$





Experiment	(SNU) Prediction	Data	Data/Prediction
Chlorine	$7.6^{+1.3}_{-1.1}$	$2.56 \pm 0.23$	$0.34 \pm 0.06$
GALLEX + GNO	$128^{+9}_{-7}$	$74.1^{+6.7}_{-7.8}$	$0.58 \pm 0.07$
SAGE	$128^{+9}_{-7}$	$75.4^{+7.8}_{-7.4}$	$0.59 \pm 0.07$

# Electron Scattering



$$\frac{d\sigma_{\nu_x e}}{dT} = \frac{2G_F^2 m_e^2}{\pi} \left[ g_L^2 + g_R^2 \left( 1 - \frac{T}{E_\nu} \right)^2 - g_L g_R \frac{m_e c^2 T}{E_\nu^2} \right]$$

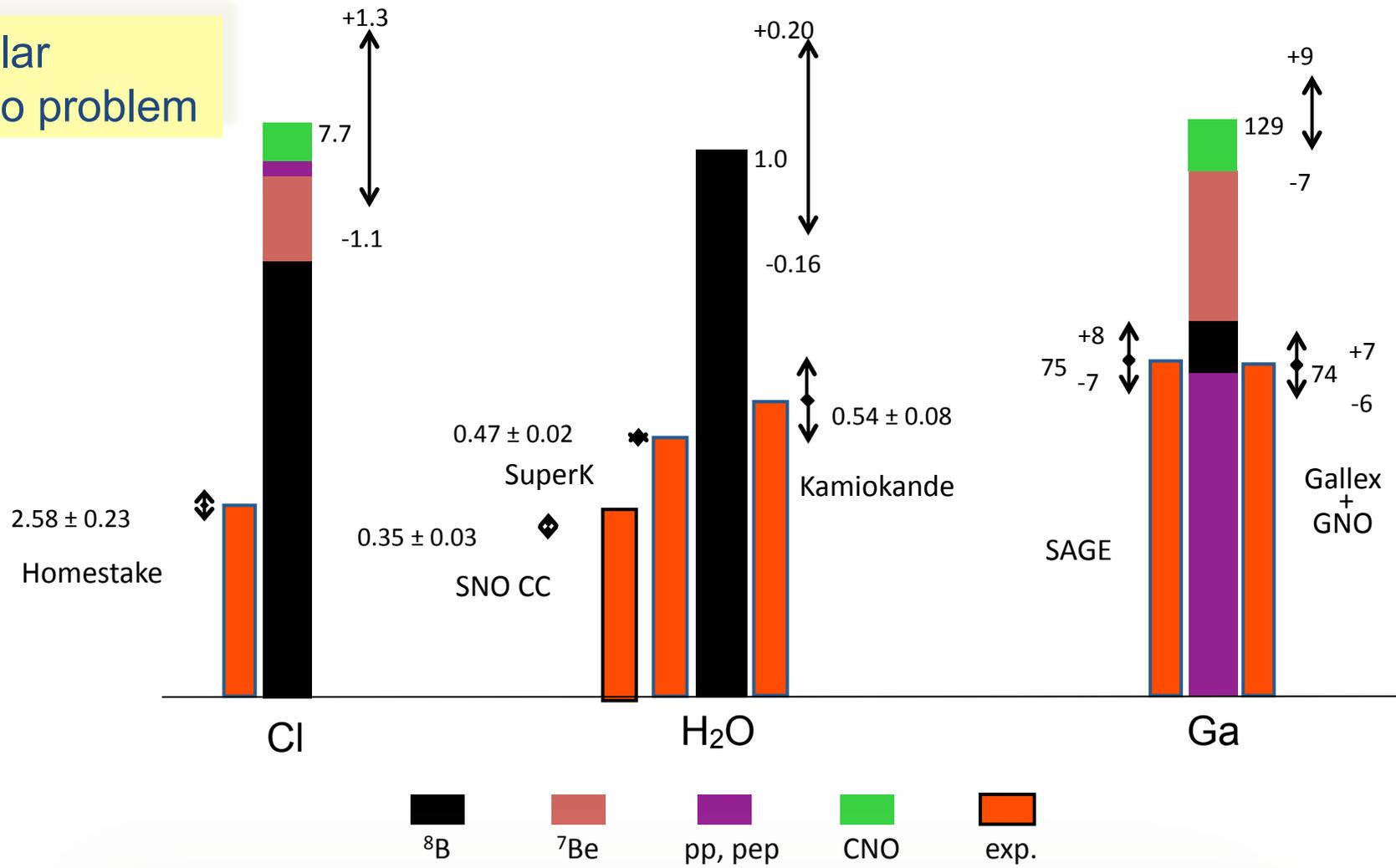
T = Kinetic Energy of  
the final state electron

Cross section strongly  
peaked for electron emission  
in the neutrino direction

$$g_L^2 = \begin{cases} \left( \frac{1}{2} + \sin^2 \theta_W \right)^2 & \simeq 0.536 & , & \nu_e \\ \sin^4 \theta_W & \simeq 0.0538 & , & \bar{\nu}_e \\ \left( -\frac{1}{2} + \sin^2 \theta_W \right)^2 & \simeq 0.0719 & , & \nu_i \\ \sin^4 \theta_W & \simeq 0.0538 & , & \bar{\nu}_i \end{cases}$$

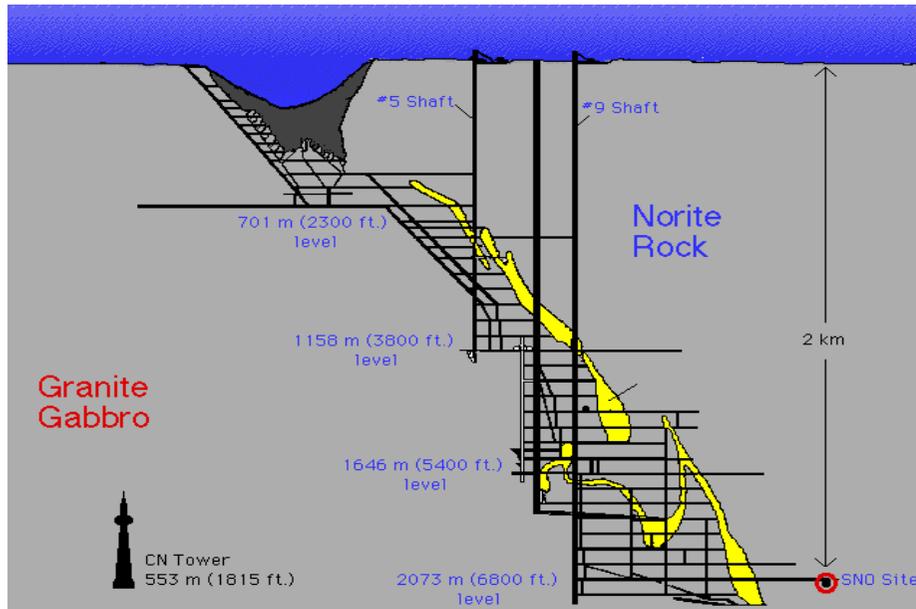
$$g_R^2 = \begin{cases} \sin^4 \theta_W & \simeq 0.0538 & , & \nu_e \\ \left( \frac{1}{2} + \sin^2 \theta_W \right)^2 & \simeq 0.536 & , & \bar{\nu}_e \\ \sin^4 \theta_W & \simeq 0.0538 & , & \nu_i \\ \left( -\frac{1}{2} + \sin^2 \theta_W \right)^2 & \simeq 0.0719 & , & \bar{\nu}_i \end{cases}$$

The solar neutrino problem



By fitting data from all the experiments: the detected <sup>7</sup>Be flux is consistent with 0 while the <sup>8</sup>B flux is reduced by about one half. But <sup>8</sup>B neutrinos are produced from <sup>7</sup>Be !

# Sudbury Neutrino Observatory



1000 tonnes  $D_2O$

12 m diameter Acrylic Vessel

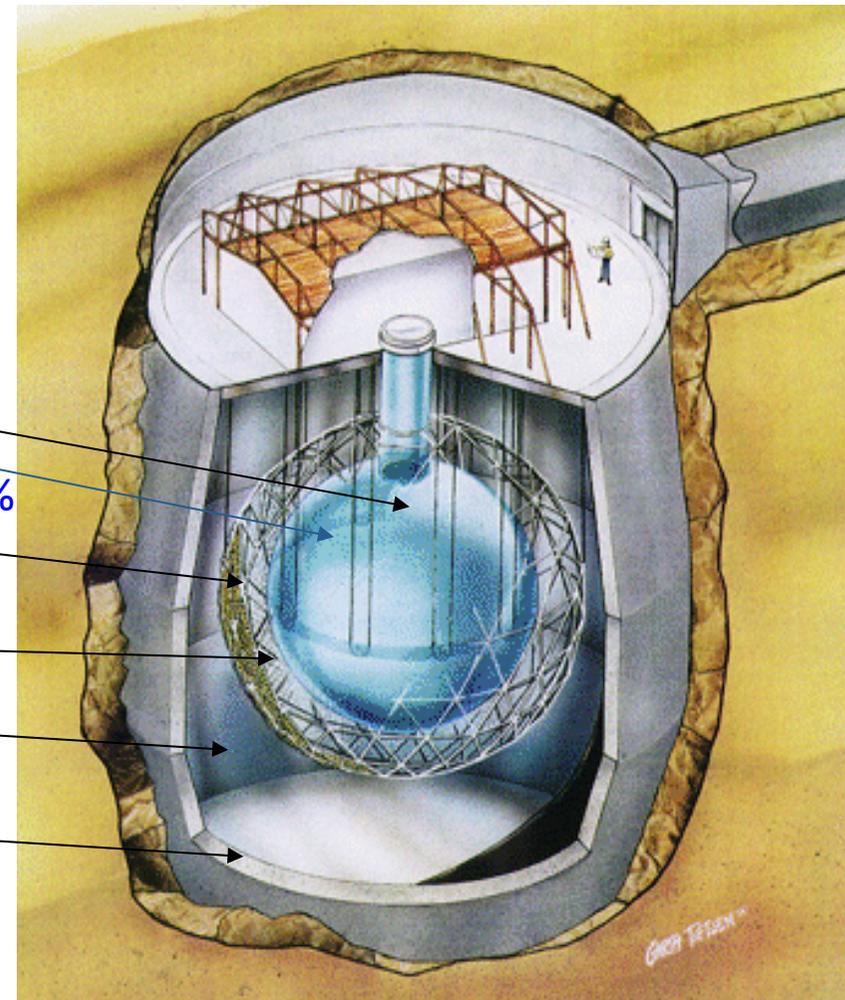
18 m diameter support structure; 9500 PMTs (~60% photocathode coverage)

1700 tonnes inner shielding  $H_2O$

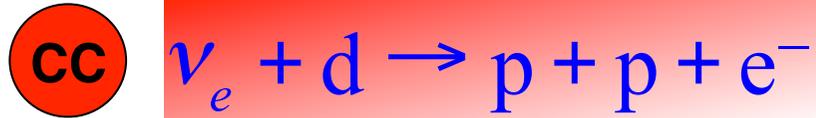
5300 tonnes outer shielding  $H_2O$

Urylon liner radon seal

depth: 2092 m (~6010 m.w.e.) ~70 muons/day



# Neutrino Reactions in SNO



- $Q = 1.445 \text{ MeV}$
- good measurement of  $\nu_e$  energy spectrum
- some directional info  $\propto (1 - 1/3 \cos\theta)$
- $\nu_e$  only

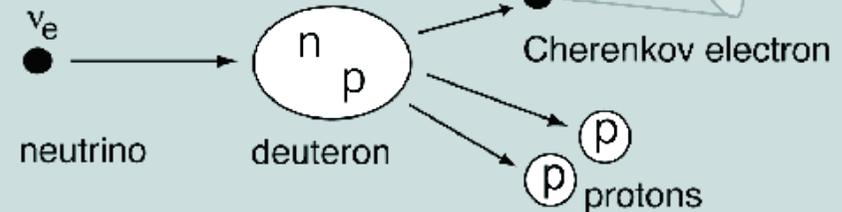


- $Q = 2.22 \text{ MeV}$
- measures total  ${}^8\text{B}$   $\nu$  flux from the Sun
- equal cross section for all active  $\nu$  flavors

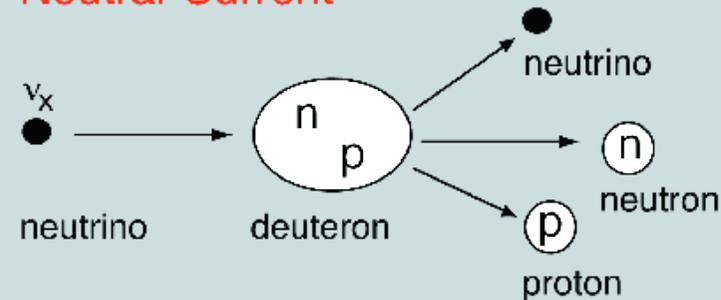


- low statistics
- mainly sensitive to  $\nu_e$ , some  $\nu_\mu$  and  $\nu_\tau$
- strong directional sensitivity

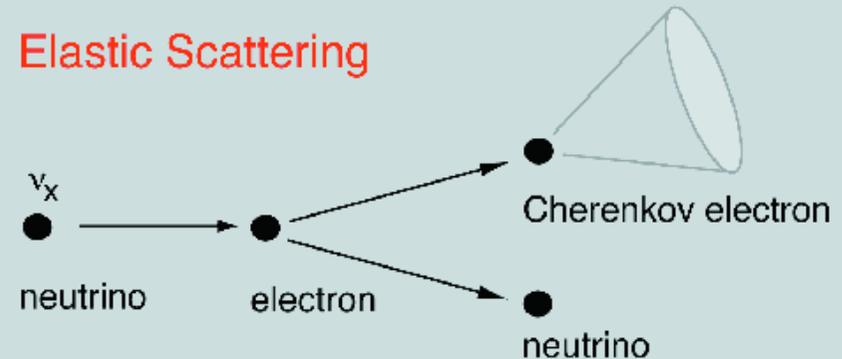
## Charged-Current

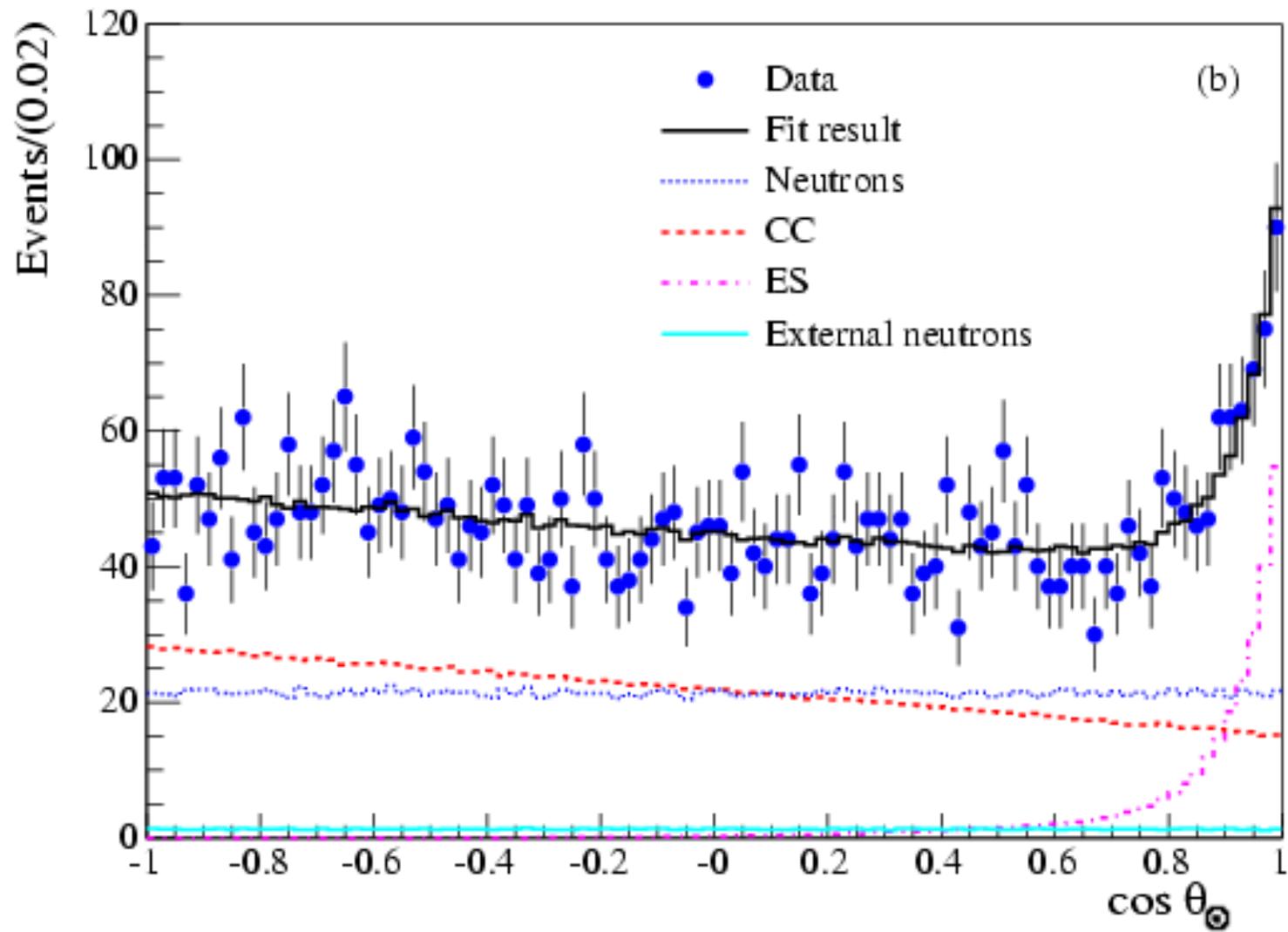


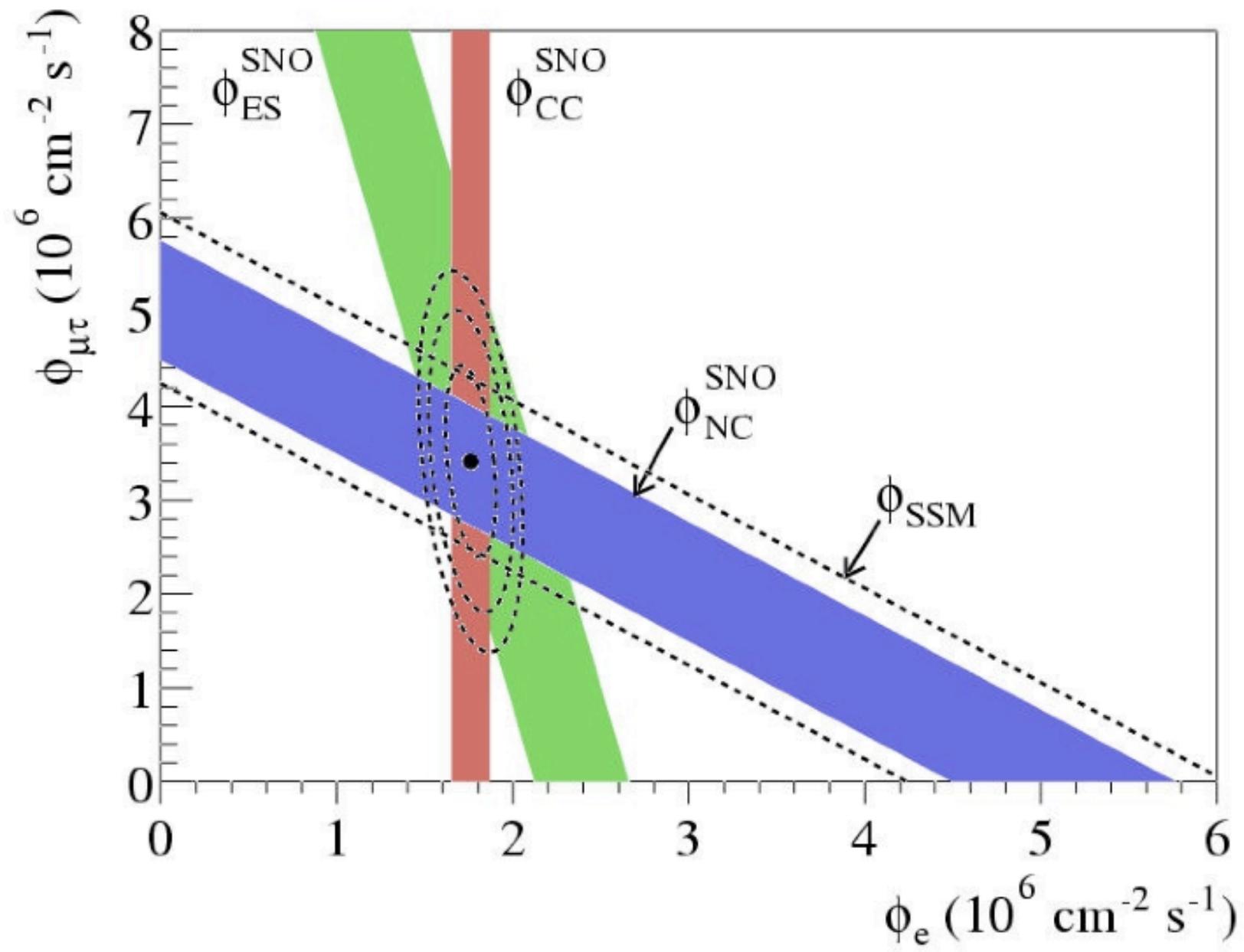
## Neutral-Current



## Elastic Scattering



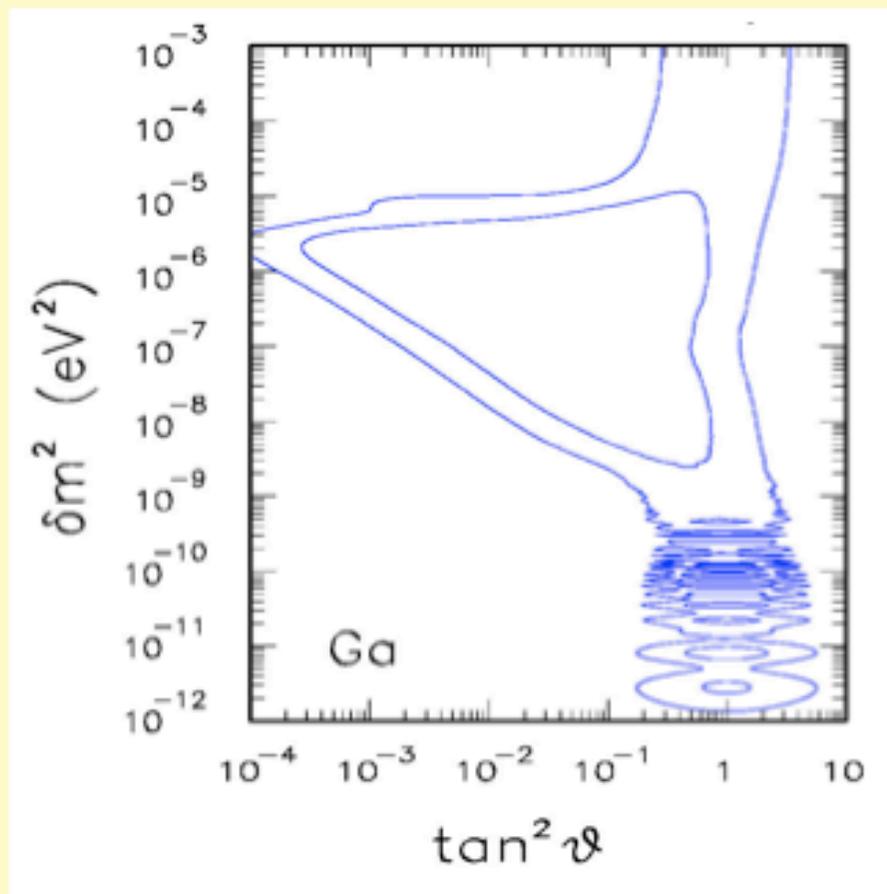




# Interpretation

In the "past millennium": Oscillations? Maybe, but...

- large uncertainties in the parameter space or solar model
- no unmistakable evidence for flavor transitions ("smoking gun")



E.g., in Gallium expts:

"matter" (MSW) solutions

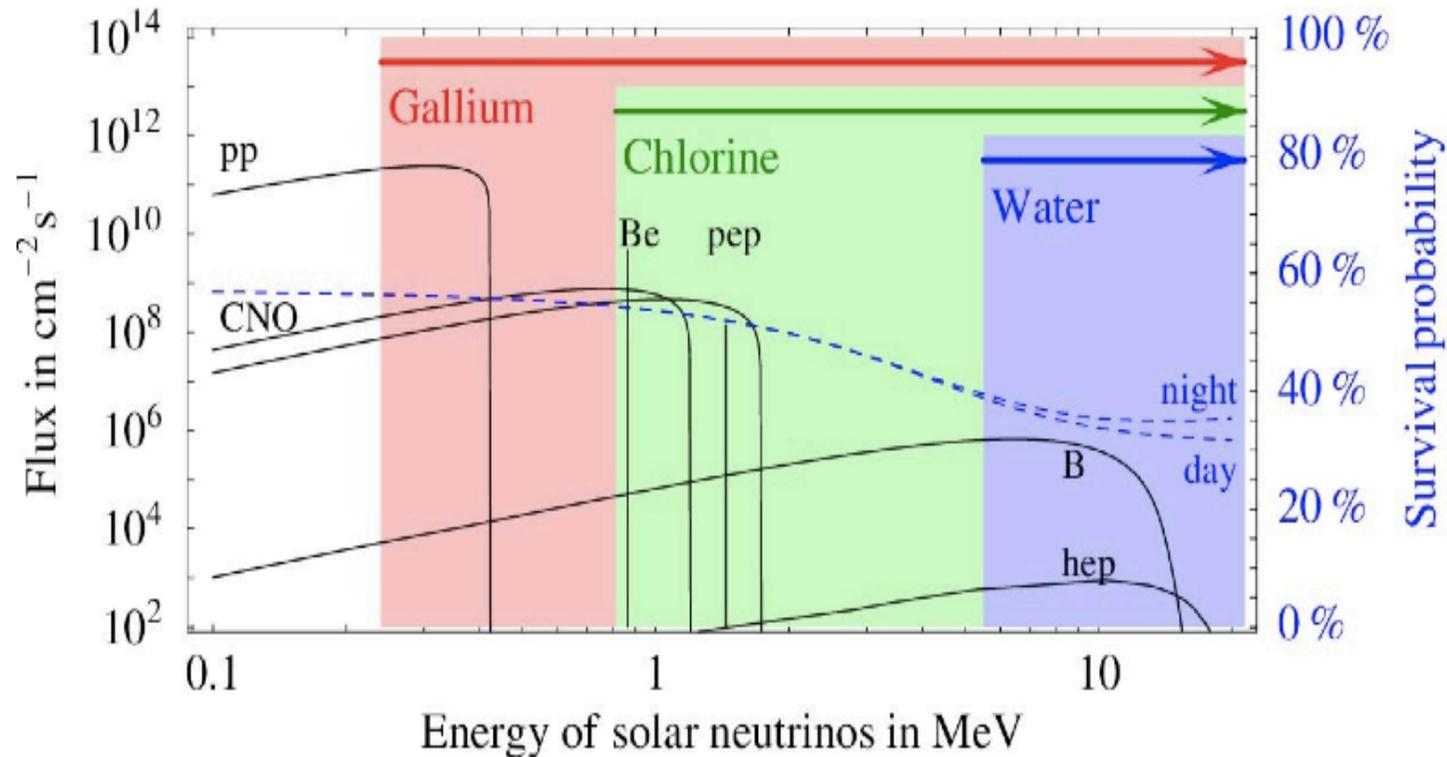
"vacuum" solutions

"small" mixing

"large" mixing

+ many "exotic"  
or non-oscillatory  
solutions...

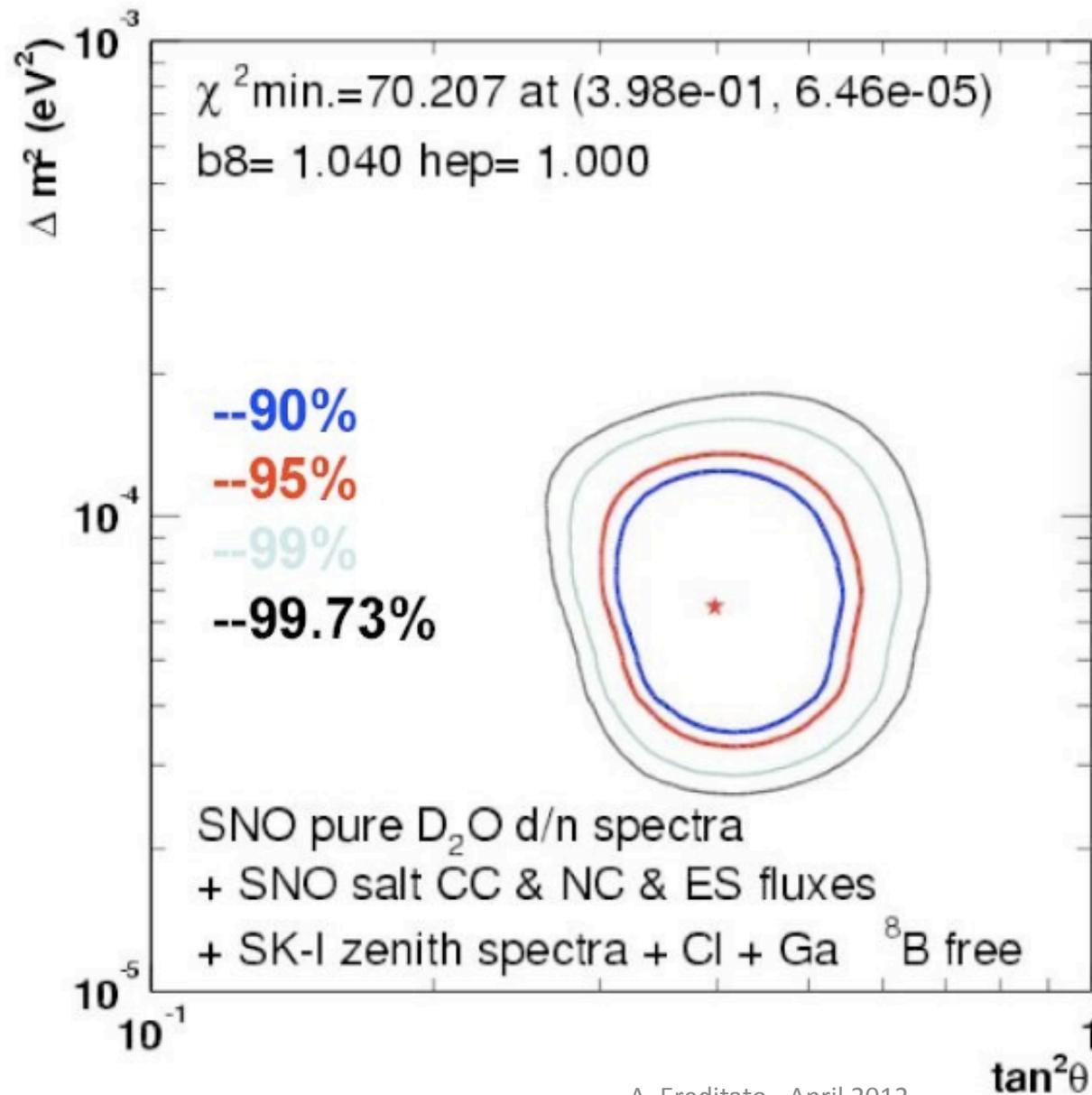
...this millennium (after the SNO result of 2002):  
 everything points to MSW matter oscillations in the Sun



Solar neutrinos produced in the Sun core with  $E \leq 2$  MeV only experience averaged vacuum oscillations in the Sun with  $P(\text{survival}) \approx 1 - 1/2 \sin^2 2\theta_{12} \geq 1/2$

If  $E \geq 2$  MeV than  $P(\text{survival}) \approx \sin^2 \theta_{12}$

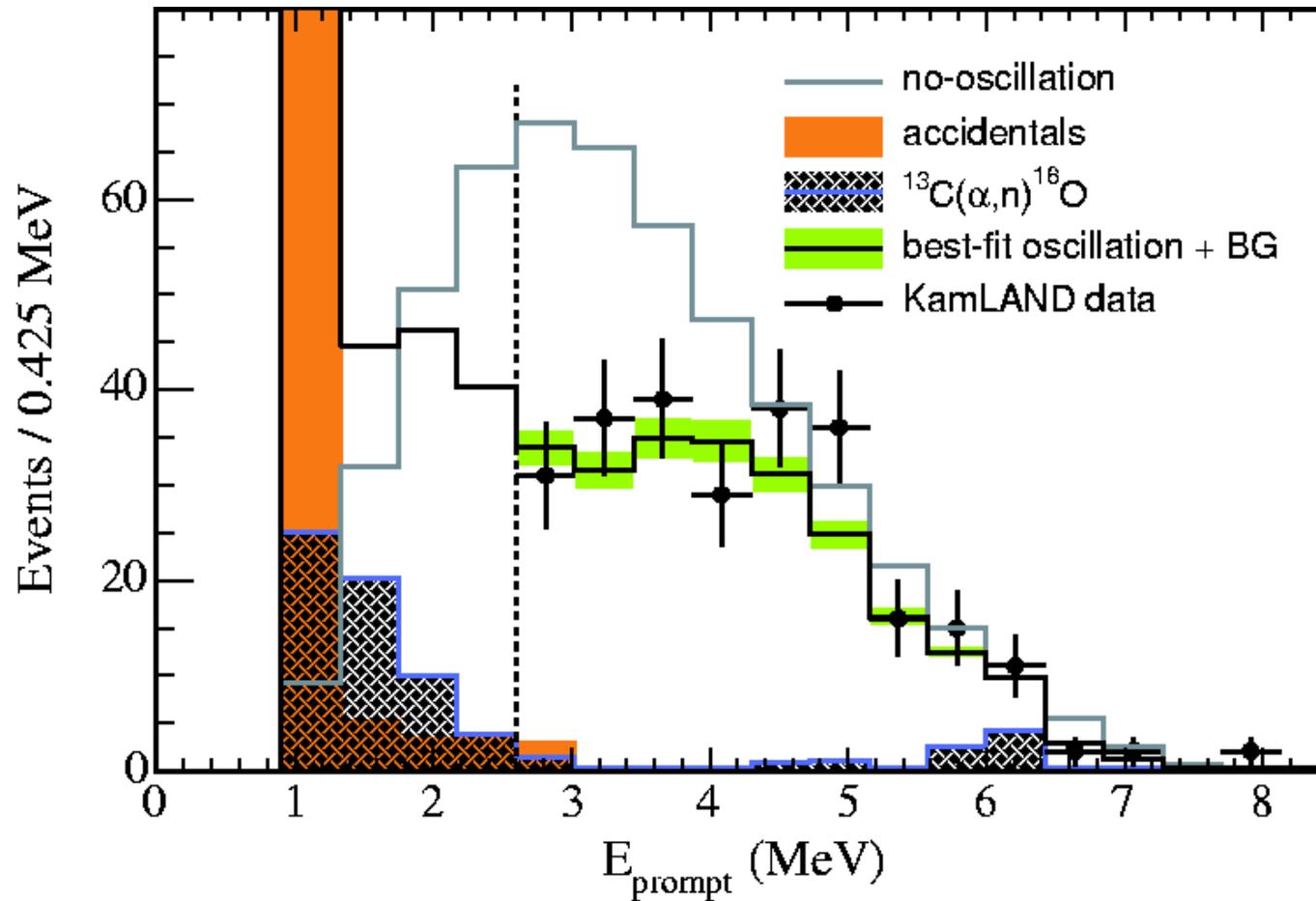
# Combining all solar neutrino results



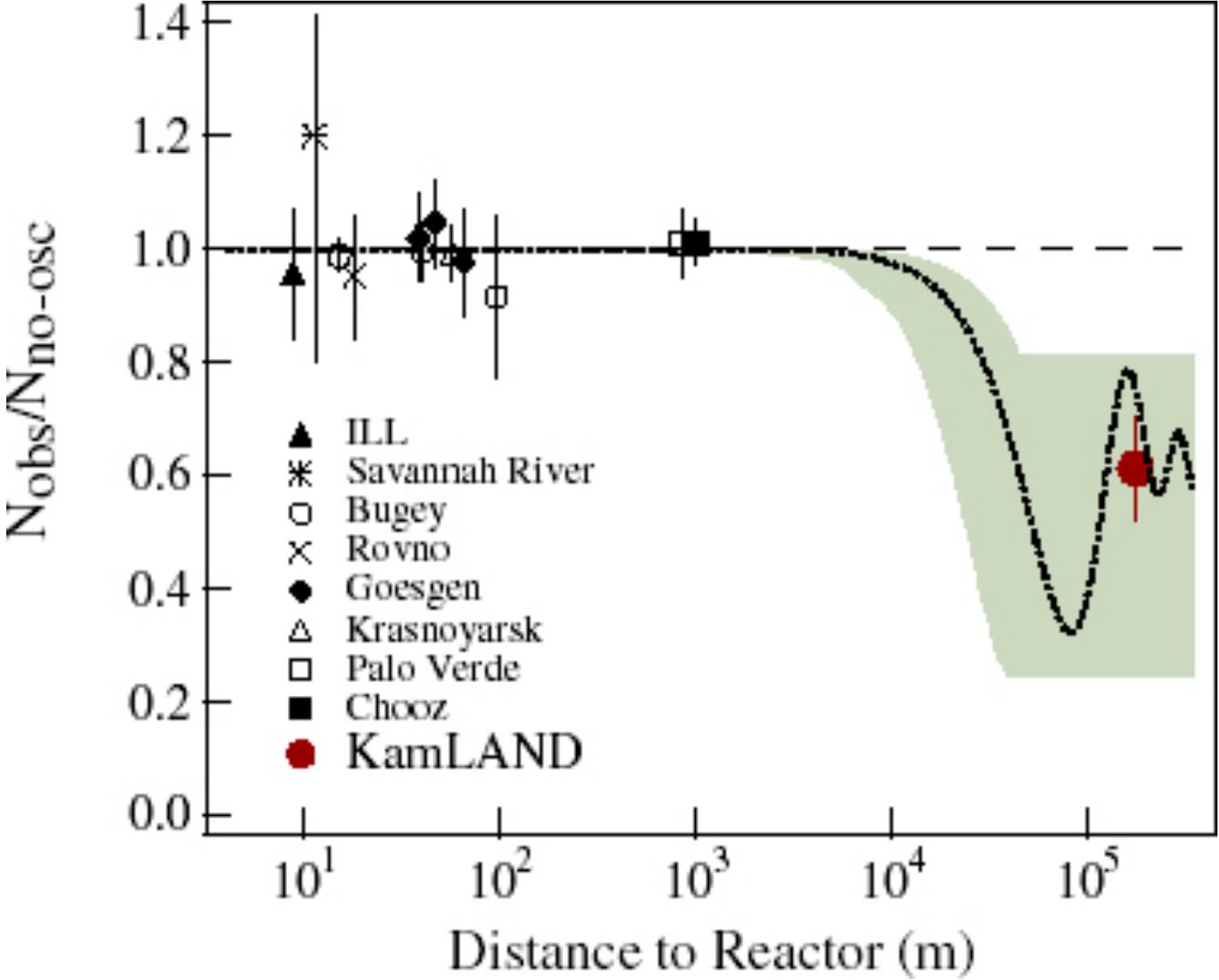
$$\theta_{12} \sim 34^\circ$$

$$\Delta m^2_{12} \sim 7.6 \times 10^{-5} \text{ eV}^2$$

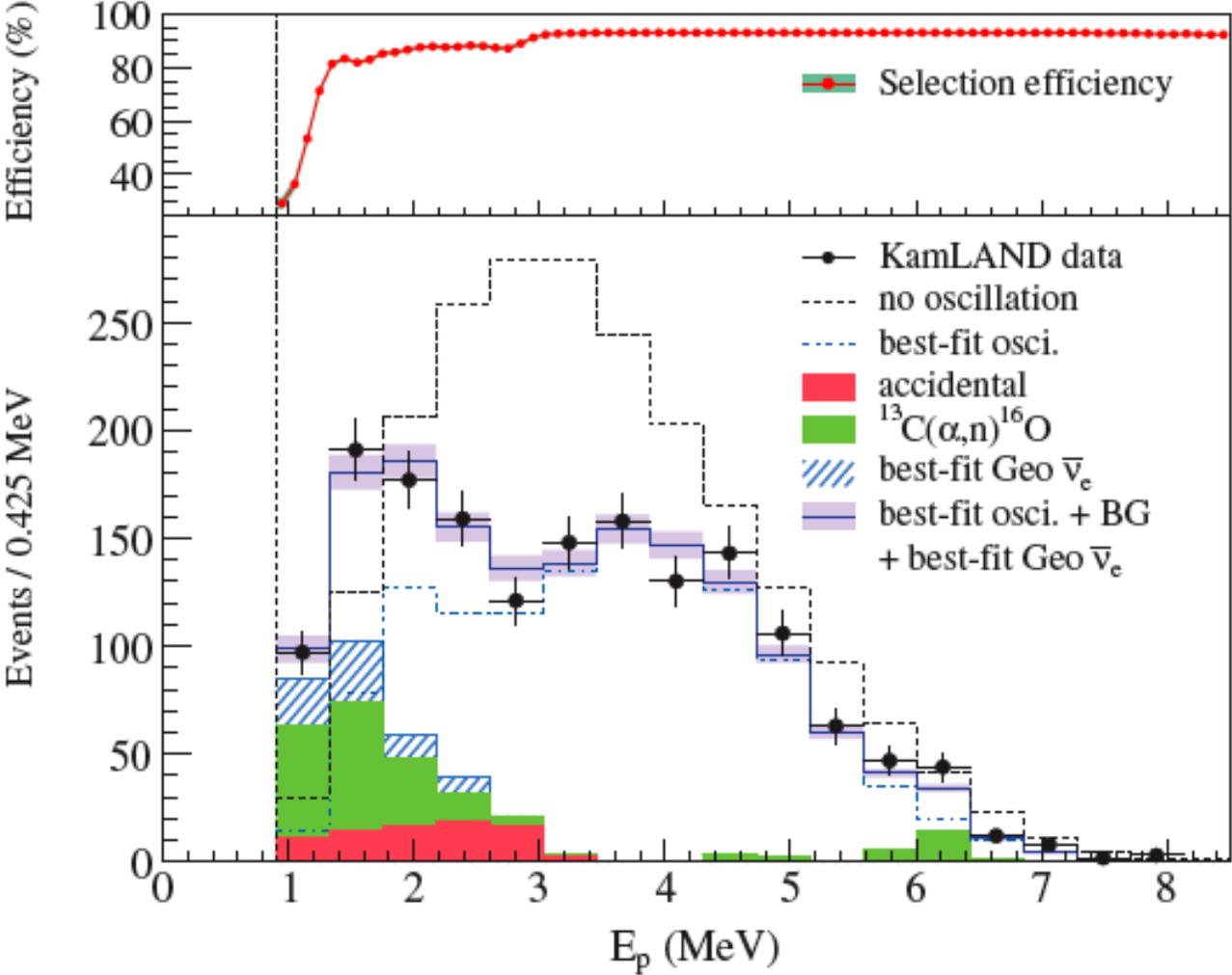
## Clarifying result: KAMLAND (2002)



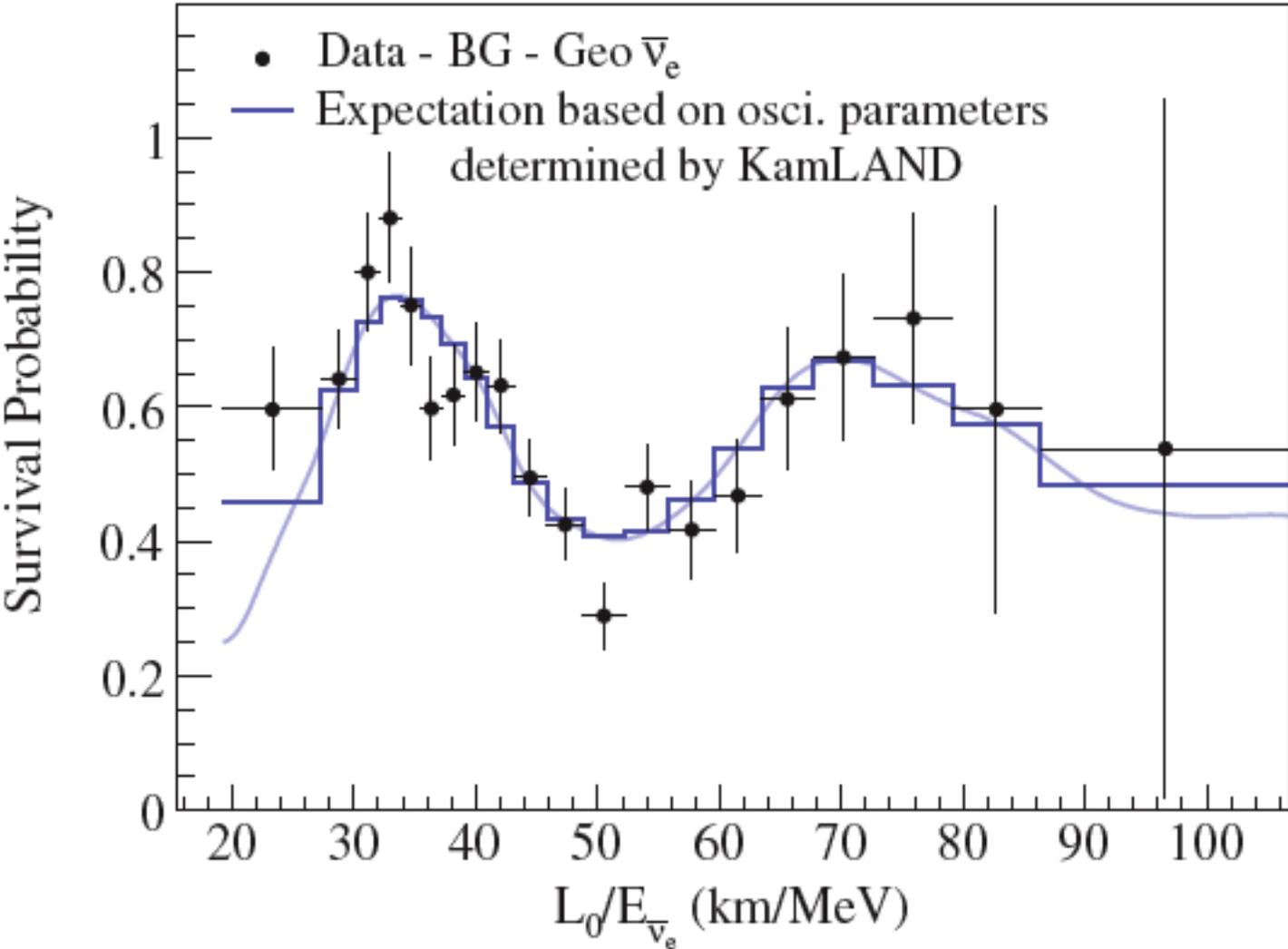
# KAMLAND (2002)



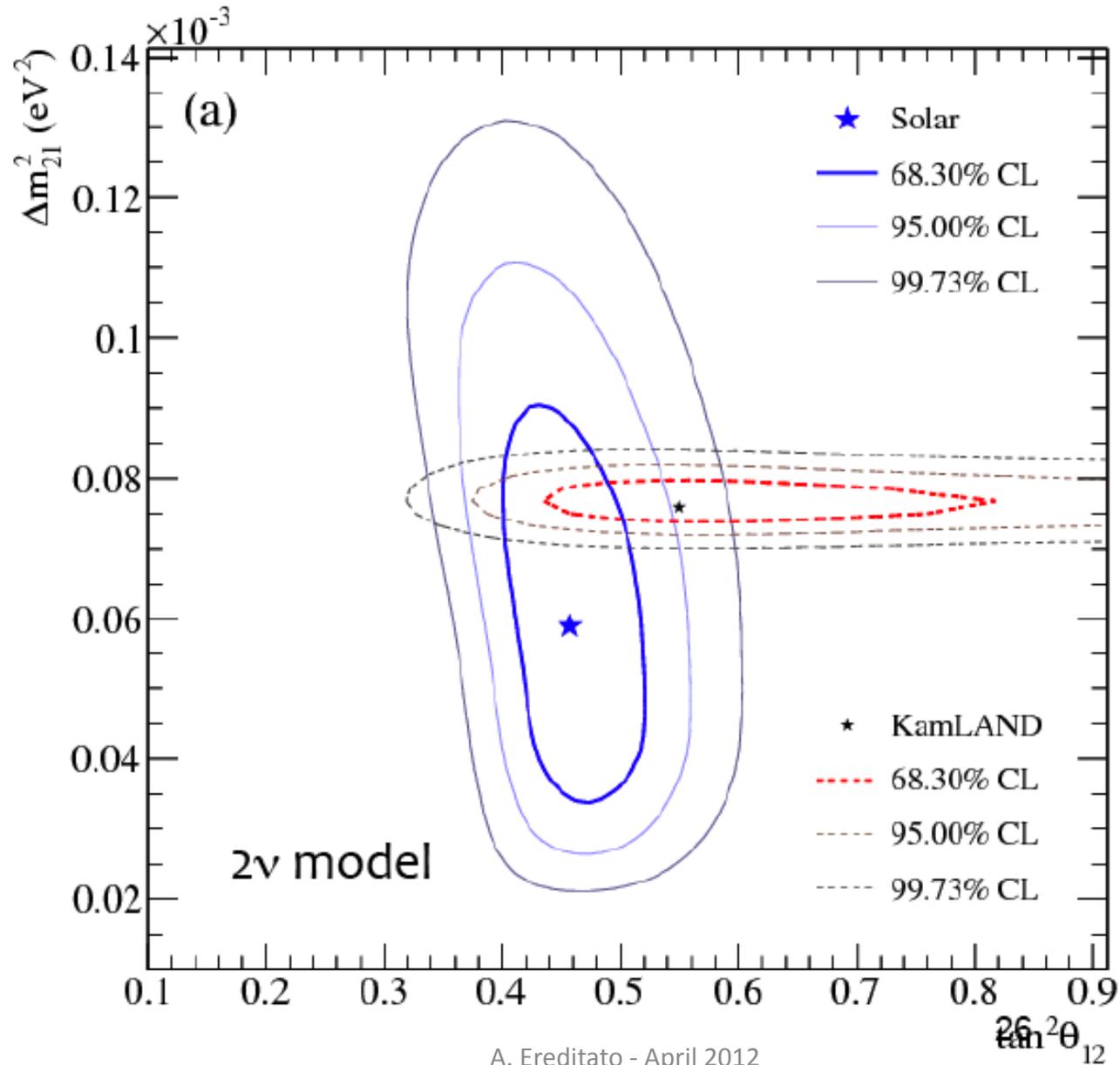
# KAMLAND results (2007)



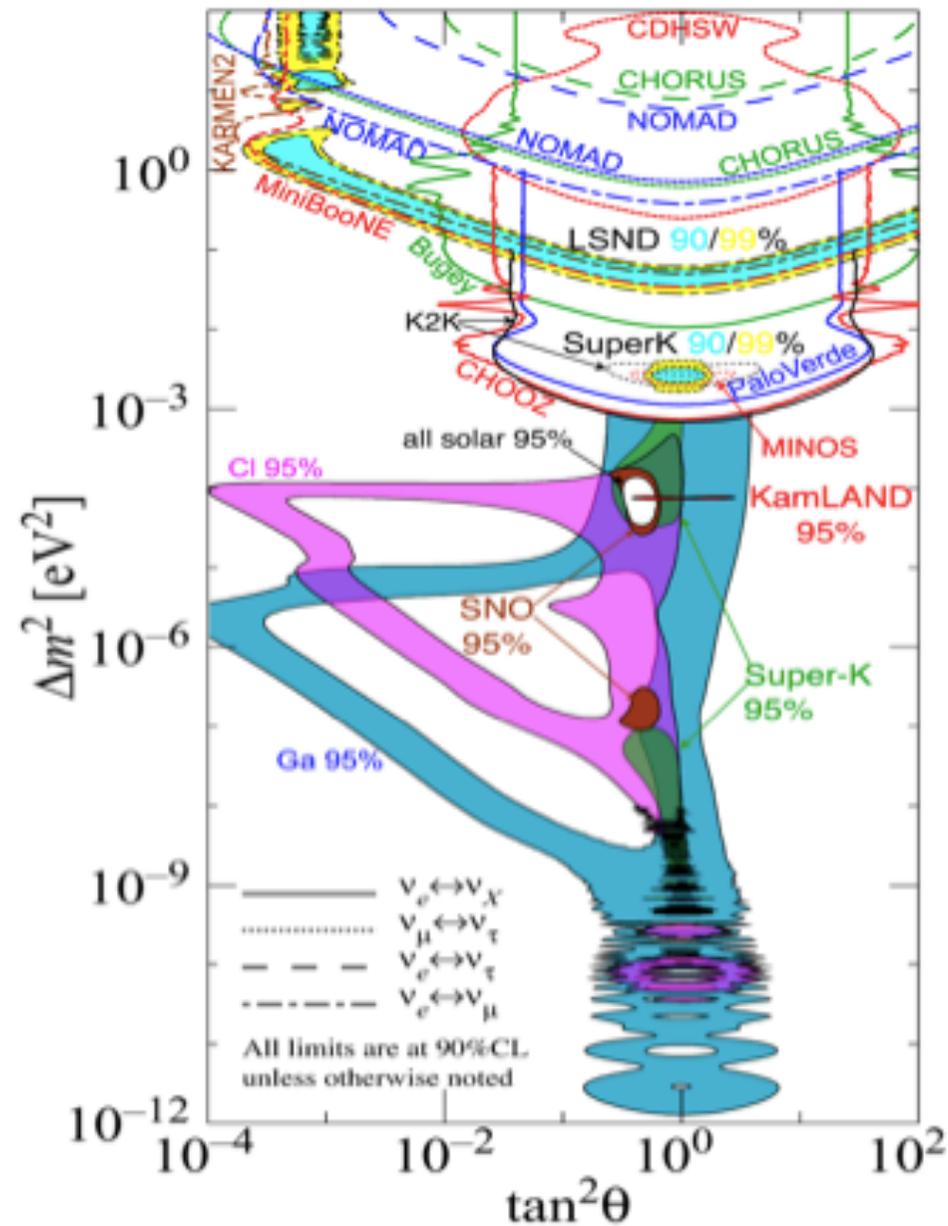
# KAMLAND results (2007)



# KAMLAND results complementary to solar neutrino experiments

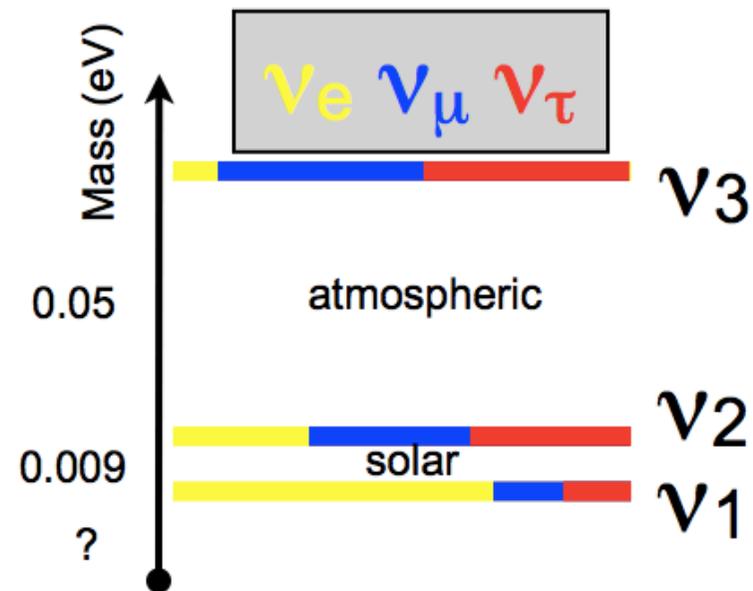


In summary, out of all these experiments....



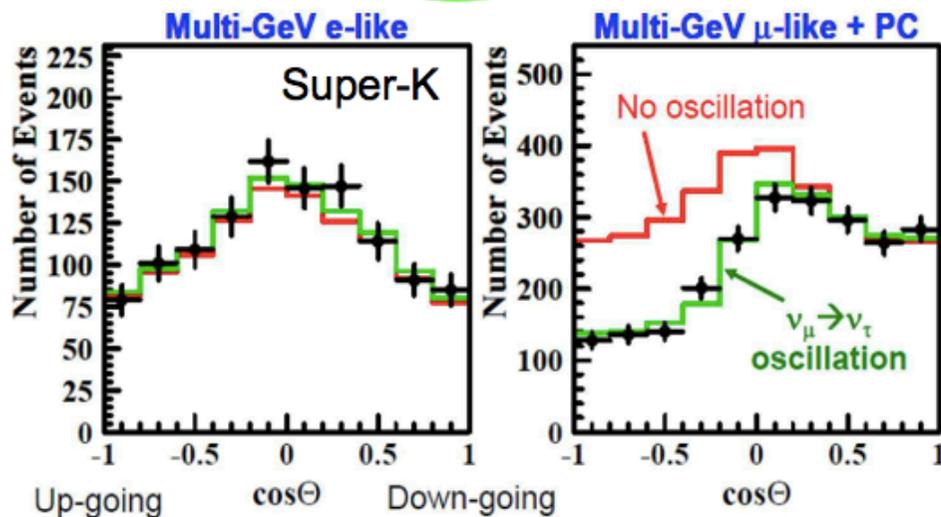
$$\begin{array}{c} \text{flavor} \\ \left( \begin{array}{c} \nu_e \\ \nu_\mu \\ \nu_\tau \end{array} \right) \end{array} = \begin{array}{c} \text{atmospheric} \\ \left( \begin{array}{ccc} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{array} \right) \end{array} \begin{array}{c} \text{cross-mixing} \\ \left( \begin{array}{ccc} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{array} \right) \end{array} \begin{array}{c} \text{solar} \\ \left( \begin{array}{ccc} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{array} \right) \end{array} \begin{array}{c} \text{mass} \\ \left( \begin{array}{c} \nu_1 \\ \nu_2 \\ \nu_3 \end{array} \right) \end{array}$$

where  $c_{ij} = \cos\theta_{ij}$ ,  $s_{ij} = \sin\theta_{ij}$

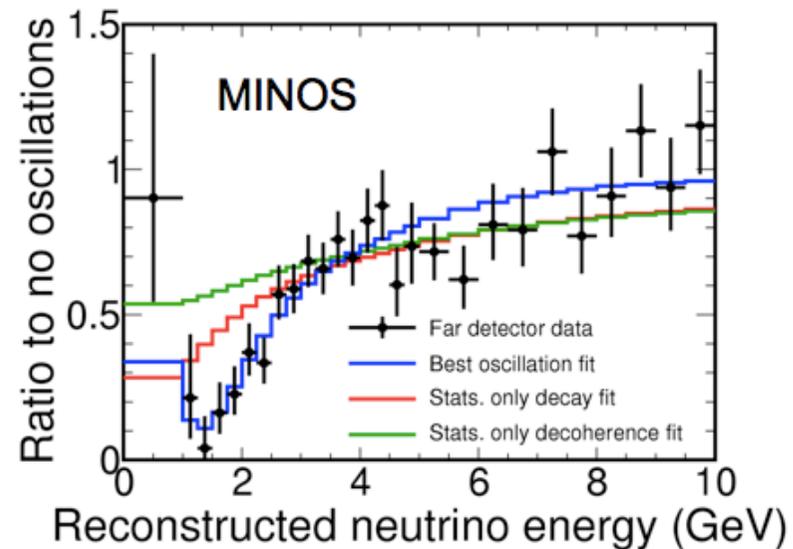


flavor                      atmospheric                      cross-mixing                      solar                      mass

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

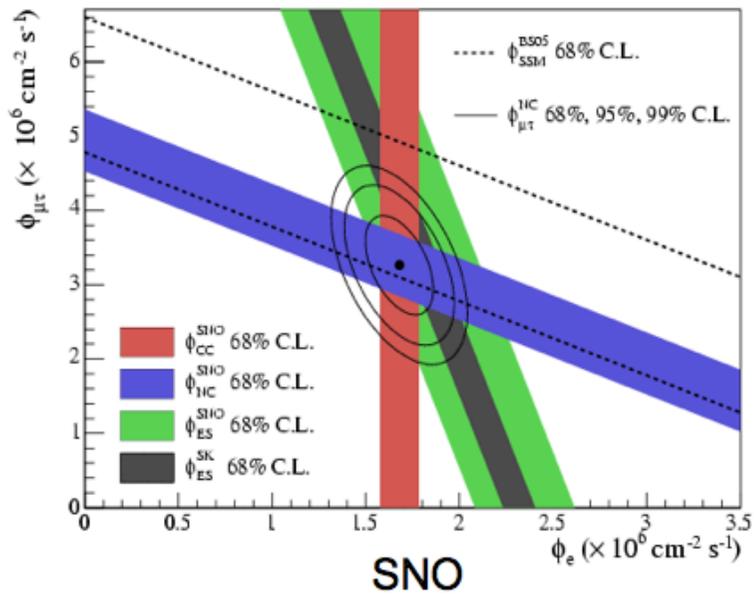


[Phys.Rev.Lett.81.1562\(1998\)](https://arxiv.org/abs/hep-ex/9807002)

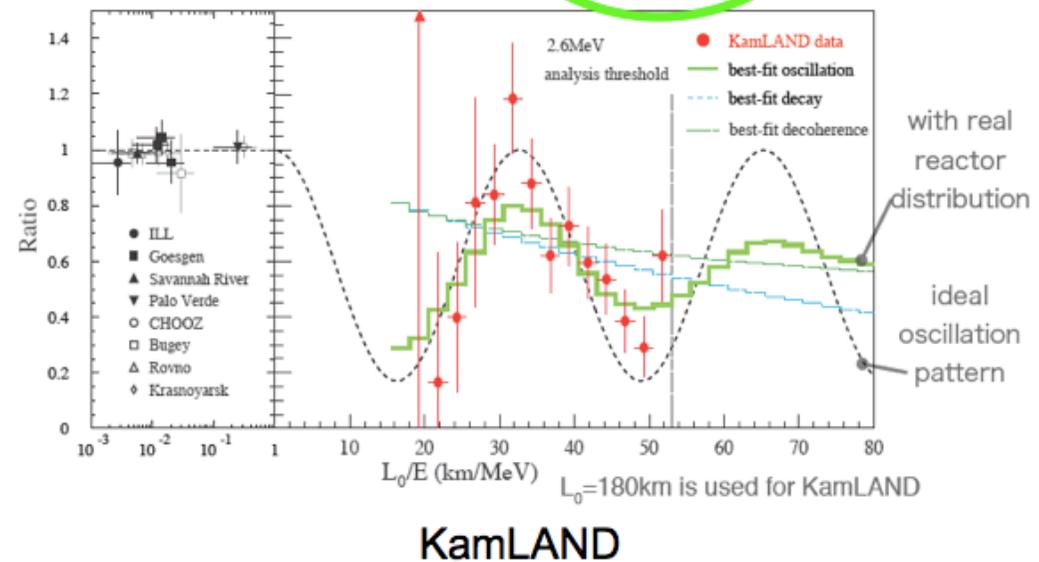


[PhysRevLett.101.131802](https://arxiv.org/abs/hep-ex/0508002)

$$\begin{array}{ccccc}
 \text{flavor} & & \text{atmospheric} & & \text{cross-mixing} & & \text{solar} & & \text{mass} \\
 \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} & = & \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} & \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} & \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} & \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}
 \end{array}$$



[Phys.Rev.Lett.89.011301 \(2002\)](https://arxiv.org/abs/physics/0111301)

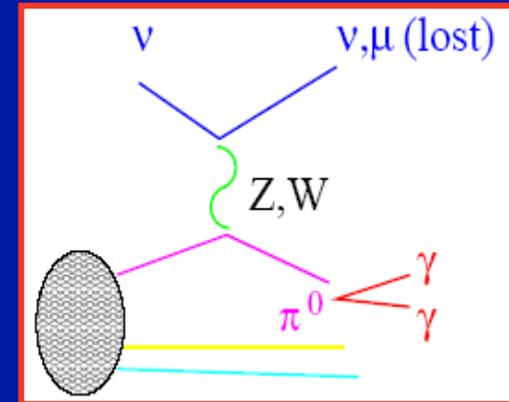


[Phys.Rev.Lett.100.221803 \(2008\)](https://arxiv.org/abs/hep-ex/0608033)

# The last mixing angle: $\theta_{13}$

$\nu_\mu \rightarrow \nu_e$  oscillation as a tool to measure  $\theta_{13}$  with accelerator neutrino experiments.

- small effect (< 5%)
- prompt  $\nu_e$  contamination at % level (accelerator neutrino beams)
- main BG:  $\pi^0$  production in NC and CC interactions
- additional BG: low energy muons and pions can fake electrons



$\nu_e \rightarrow \nu_\mu$  oscillations (with accelerator neutrinos) can solve most of the problems but hard to make  $\nu_e$  beams (wait for a next generation facilities)

$\bar{\nu}_e \rightarrow \bar{\nu}_\mu$  with reactor experiments: a serious option!

accelerator:

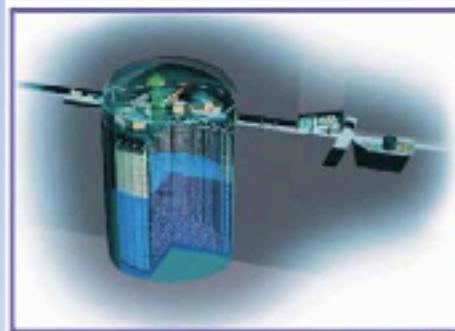
$$P(\nu_\mu \rightarrow \nu_e) \sim \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2(\Delta m_{23}^2 L/4E)$$

reactor:

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_x) \sim \sin^2 \theta_{13} \sin^2(\Delta m_{23}^2 L/4E)$$

# Measurement of $\theta_{13}$ with LBL accelerator experiments

# T2K (Tokai to Kamioka) experiment



Super-Kamiokande  
(ICRR, Univ. Tokyo)



J-PARC Main Ring  
(KEK-JAEA, Tokai)



- ◆ High intensity  $\nu_\mu$  beam from J-PARC MR to Super-Kamiokande @ 295km

- ◆ **Discovery of  $\nu_e$  appearance  $\rightarrow$  Determine  $\theta_{13}$**

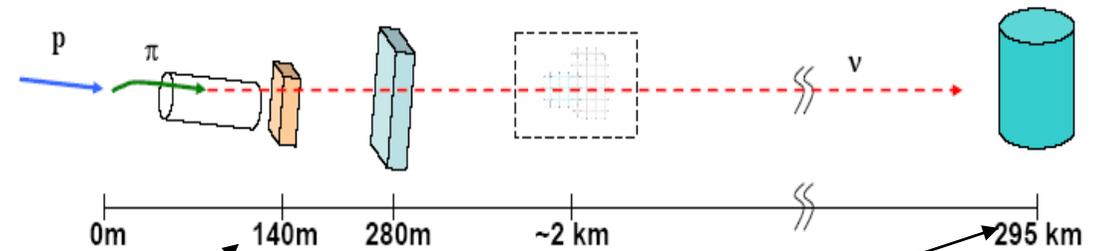
- ❖ Last unknown mixing angle
- ❖ Open possibility to explore CPV in lepton sector

**CP odd term in  $\nu_\mu \rightarrow \nu_e$  prob.  $\propto \sin \delta \cdot s_{12} \cdot s_{23} \cdot s_{13}$**   $\sin \theta_{12} \sim 0.5, \sin \theta_{23} \sim 0.7, \sin \theta_{13} < 0.2$

- ◆ **Precise meas. of  $\nu_\mu$  disappearance  $\rightarrow \theta_{23}, \Delta m_{23}^2$** 
  - ❖ Really maximum mixing? Any symmetry? Anything unexpected?

# The first Super-Beam: off-axis T2K, from JAERI at Tokai to SK

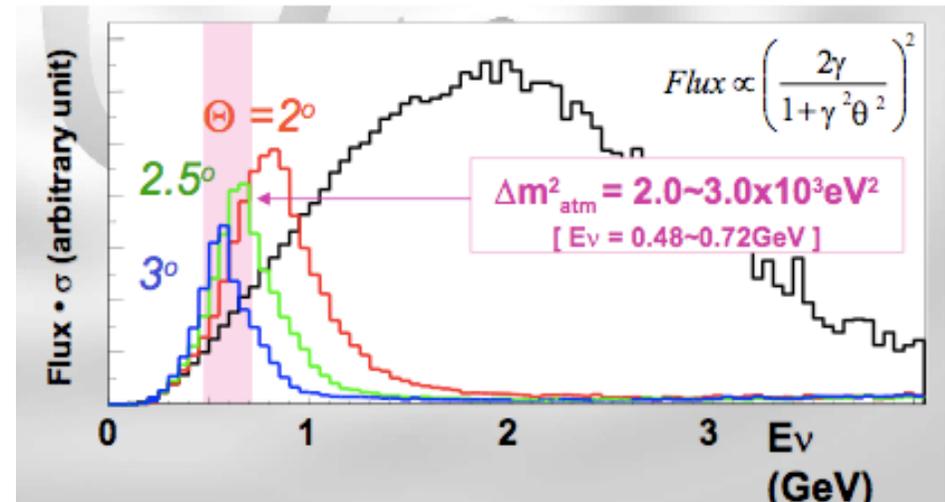
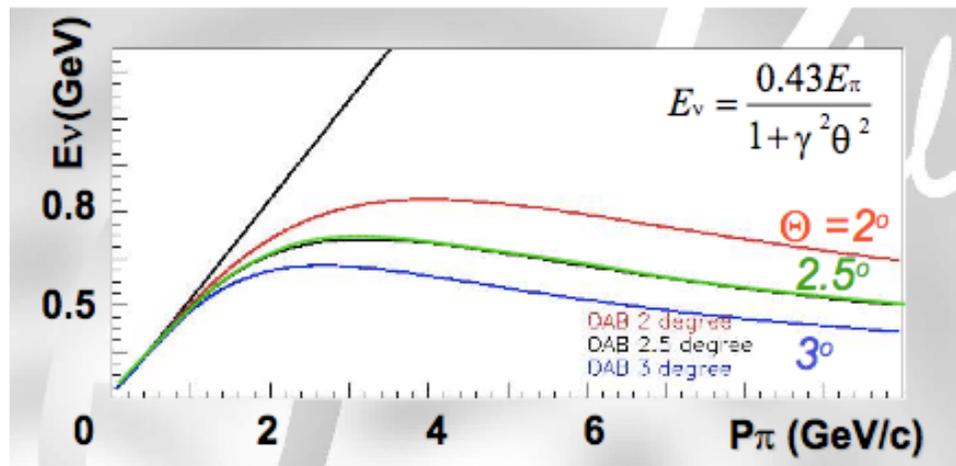
- low  $E_\nu$  (<1 GeV) Super-Beam:  $10^{21}$  pot/year
- @  $2^\circ \rightarrow 3000 \nu_\mu$  CC/year (x10 w.r.t. K2K)
- 0.2%  $\nu_e$  contamination and  $\pi^0$  BG



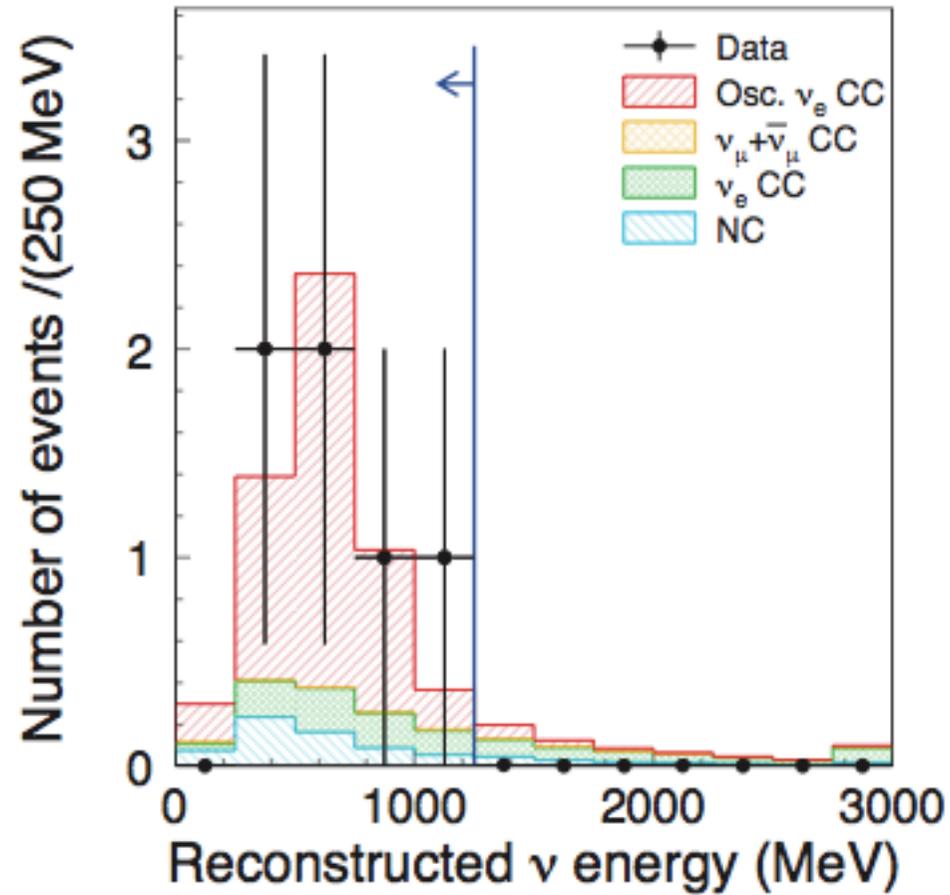
$\mu$  monitor (beam direction and intensity)

$\nu$  energy spectrum and intensity

Detect LBL events with SK

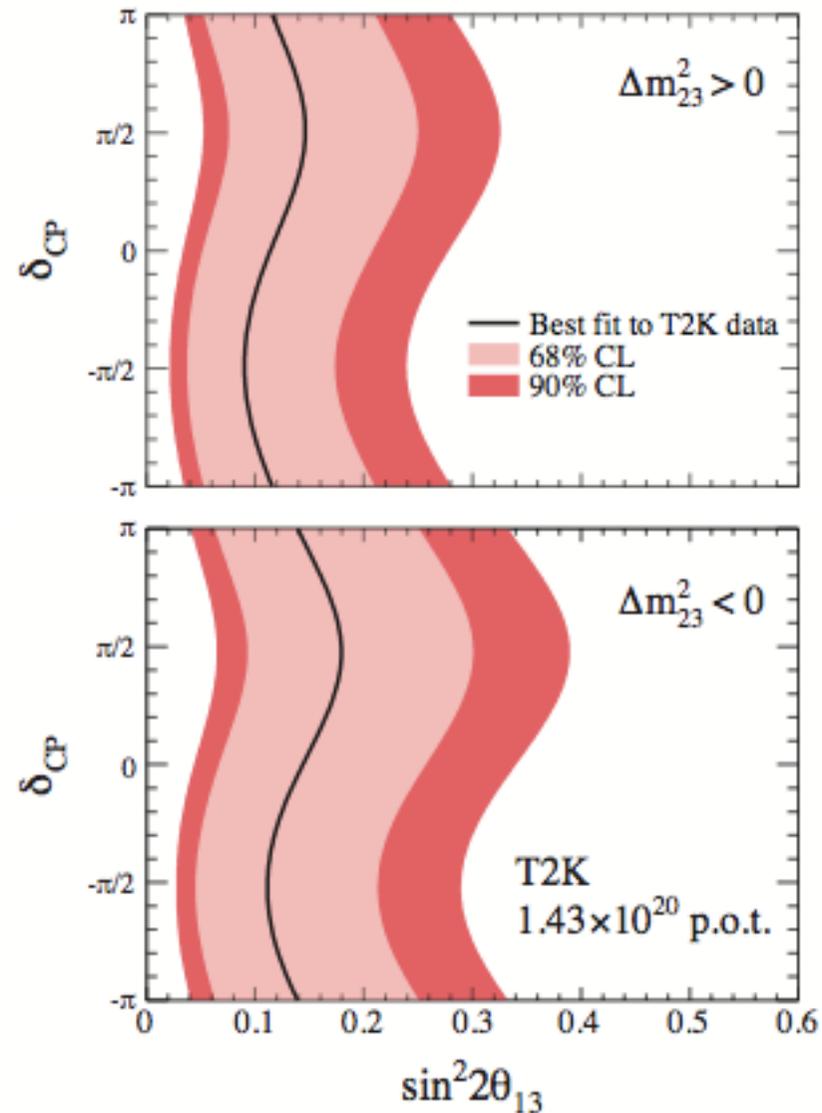


$\nu_e$  appearance: first measurement of  $\theta_{13}$  in 2010



$0.03(0.04) < \sin^2 2\theta_{13} < 0.28(0.34)$  for  $\delta_{CP}=0$  and a normal (inverted) hierarchy at 90% C.L. for  $1.43 \times 10^{20}$  p.o.t. (2.5 sigma)

[PRL107,041801\(2011\)](#)



## $\nu_\mu$ disappearance with T2K

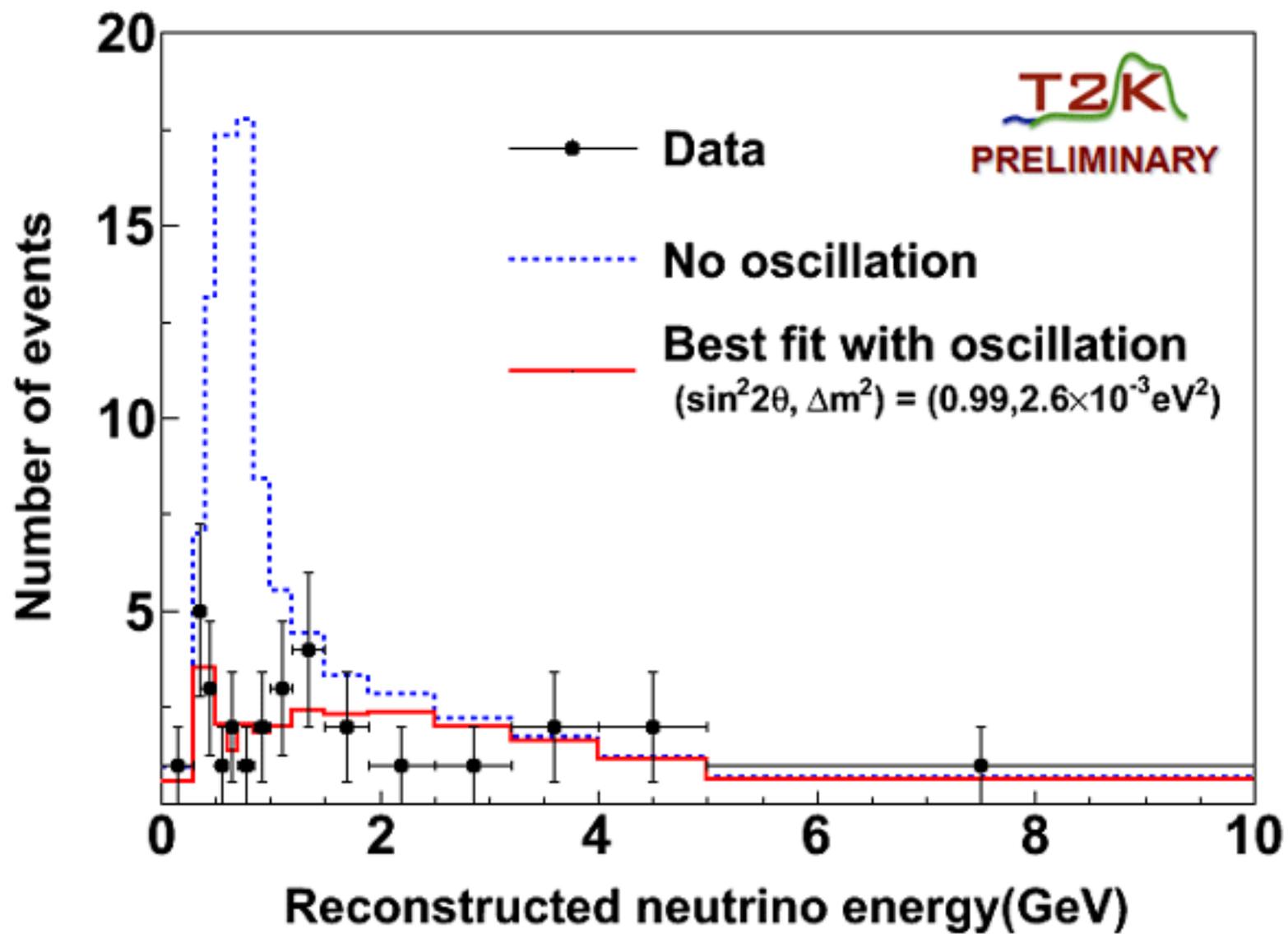
$\nu_\mu$  disappearance analysis performed with  $1.43 \times 10^{20}$  p.o.t.

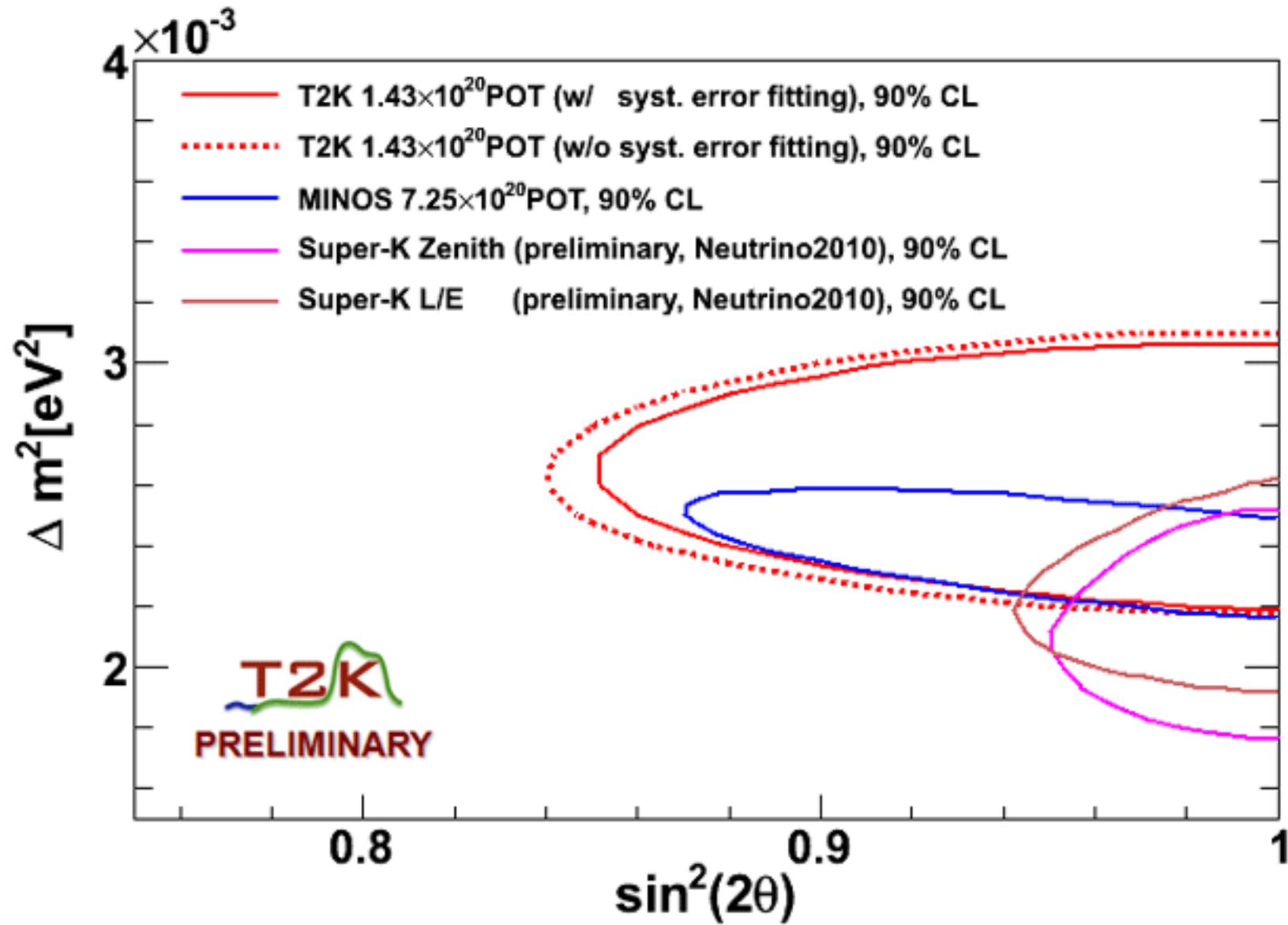
31 single-ring muon-like events observed at Super-Kamiokande while 104 were expected without oscillations.

No oscillation hypothesis is excluded at  $4.5\sigma$ .

An allowed region of  $\sin^2(2\theta_{23})$  and  $\Delta m^2_{23}$  is obtained:

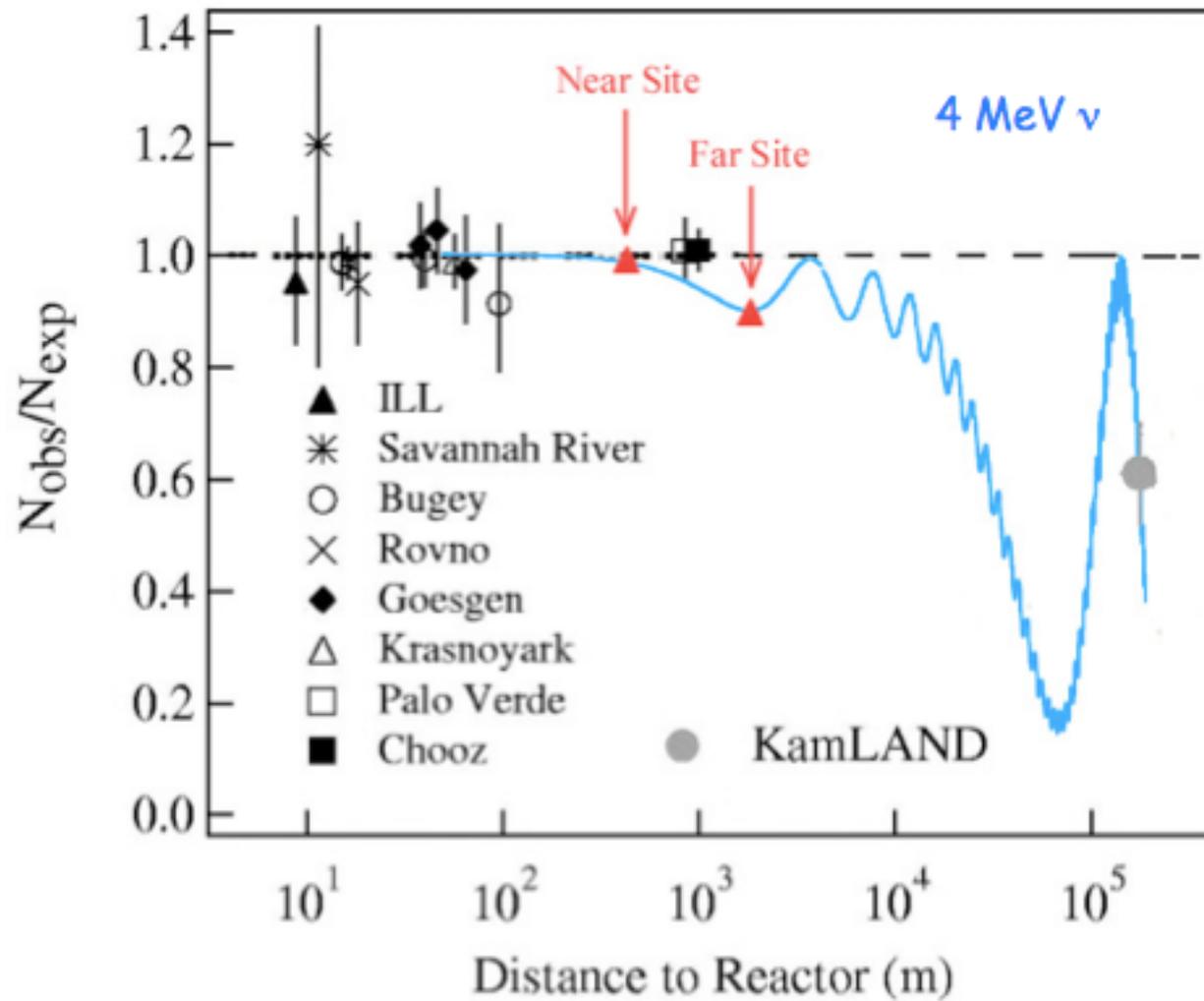
**$\sin^2(2\theta_{23}) > 0.85$  and  $2.1 \times 10^{-3} < \Delta m^2_{23}(\text{eV}^2) < 3.1 \times 10^{-3}$  at 90% C.L.**



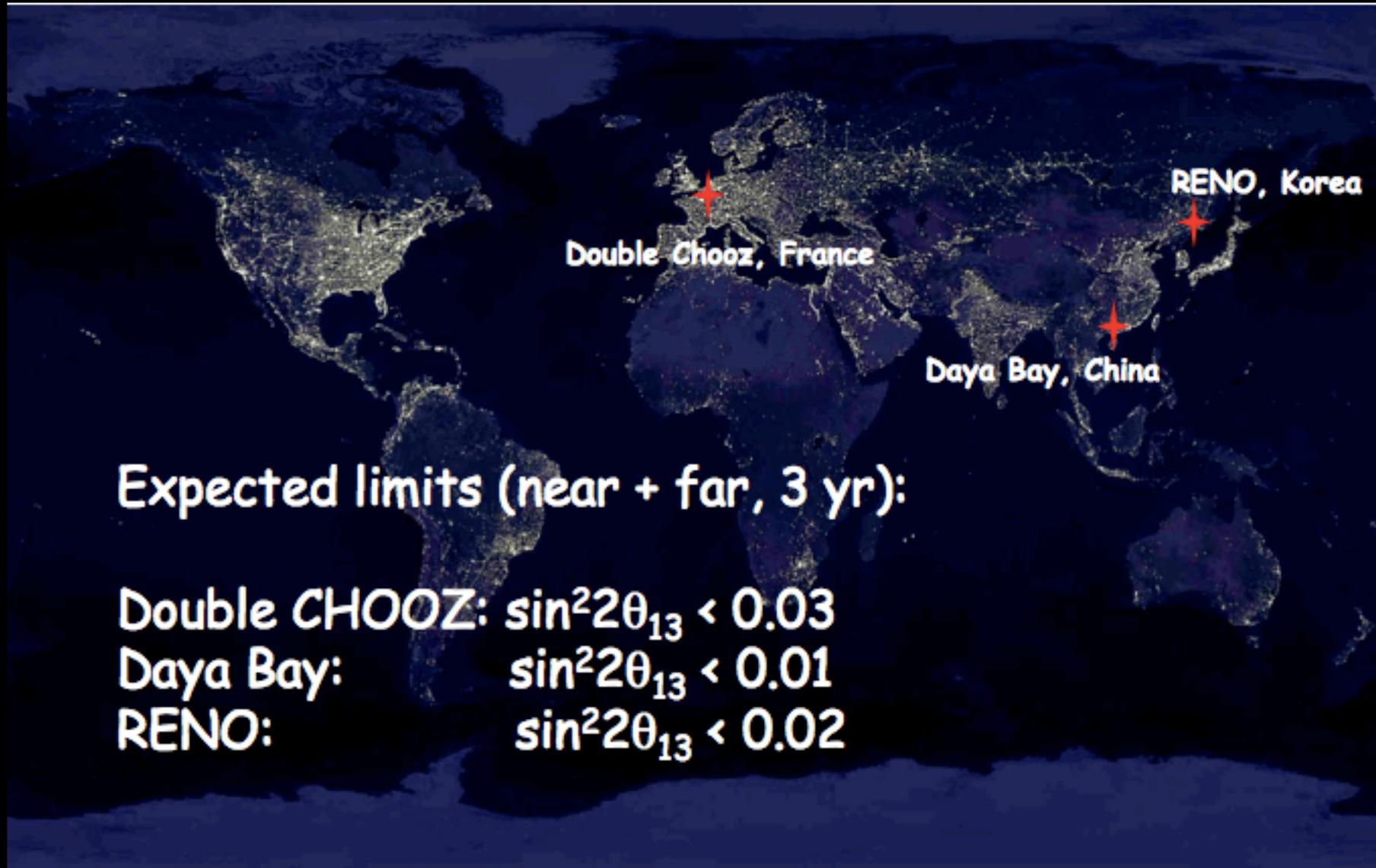


# Measurement of $\theta_{13}$ with LBL reactor experiments

Example: one “near” and one “far” detector



# Detectors and sensitivities



# Measurements of $\theta_{13}$ before Daya-Bay

## ◆ Palo Verde & Chooz: no signal

$$\text{Sin}^2 2\theta_{13} < 0.12 \text{ @ } 90\% \text{C.L.}$$

if  $\Delta M^2_{23} = 0.0024 \text{ eV}^2$



## ◆ T2K: 2.5 $\sigma$ over bkg

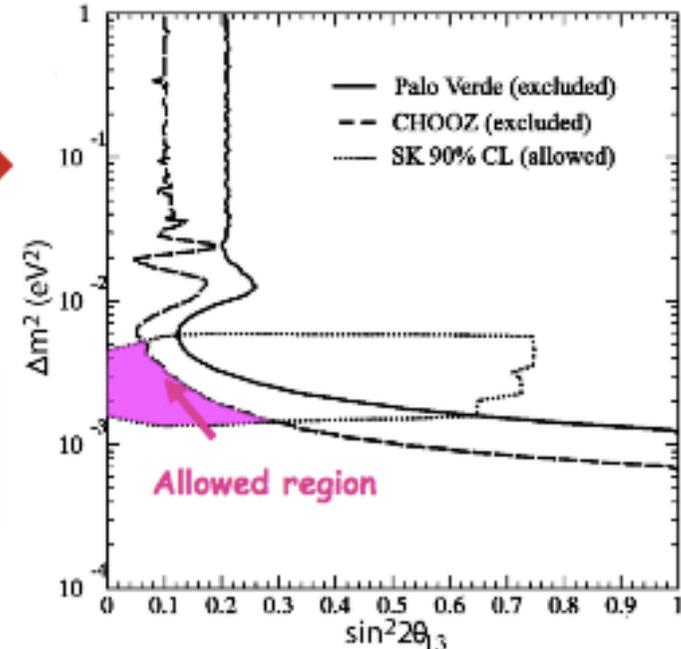
$$0.03 < \text{Sin}^2 2\theta_{13} < 0.28 \text{ @ } 90\% \text{C.L. for NH}$$
$$0.04 < \text{Sin}^2 2\theta_{13} < 0.34 \text{ @ } 90\% \text{C.L. for IH}$$

## ◆ Minos: 1.7 $\sigma$ over bkg

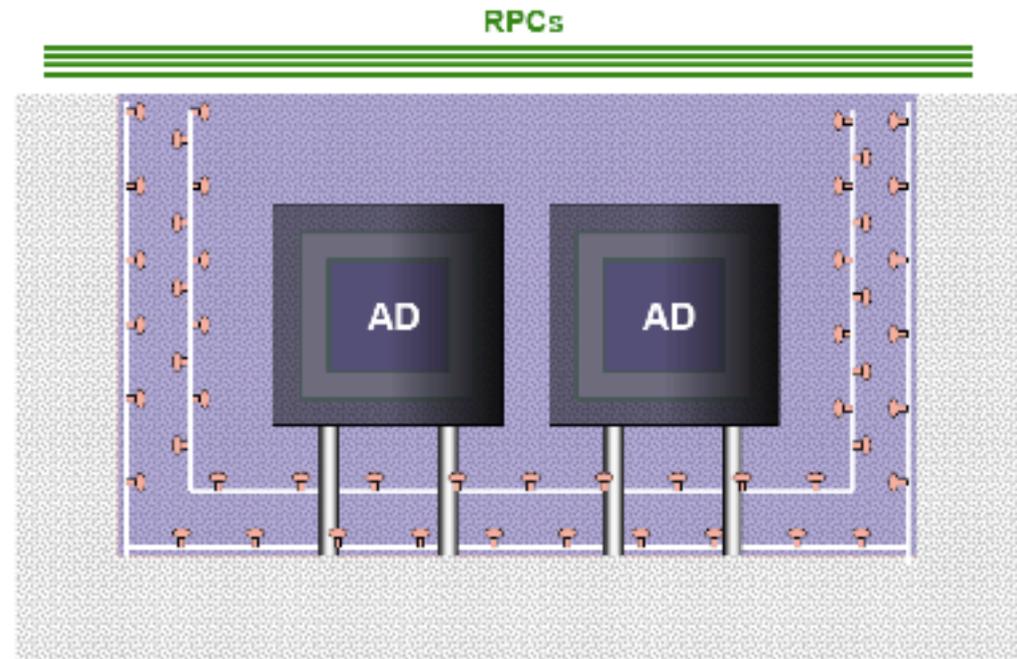
$$0 < \text{Sin}^2 2\theta_{13} < 0.12 \text{ @ } 90\% \text{C.L. NH}$$
$$0 < \text{Sin}^2 2\theta_{13} < 0.19 \text{ @ } 90\% \text{C.L. IH}$$

## ◆ Double Chooz: 1.7 $\sigma$

$$\text{sin}^2 2\theta_{13} = 0.086 \pm 0.041(\text{stat}) \pm 0.030(\text{sys})$$



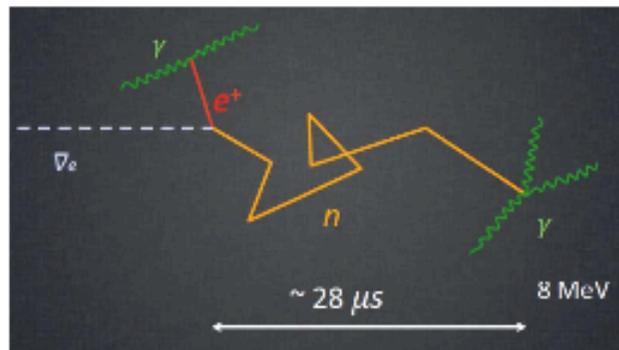
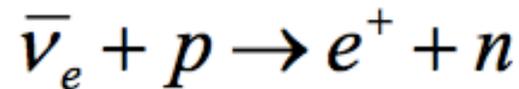
## Daya-Bay: reactors and detectors



- ◆ **Relative measurement to cancel **Corr. Syst. Err.****
  - ⇒ 2 near sites, 1 far site
- ◆ **Multiple AD modules at each site to reduce **Uncorr. Syst. Err.****
  - ⇒ Far: 4 modules, near: 2 modules
- ◆ **Multiple muon detectors to reduce **veto eff. uncertainties****
  - ⇒ Water Cherenkov: 2 layers
  - ⇒ RPC: 4 layers at the top + telescopes

## Detection method

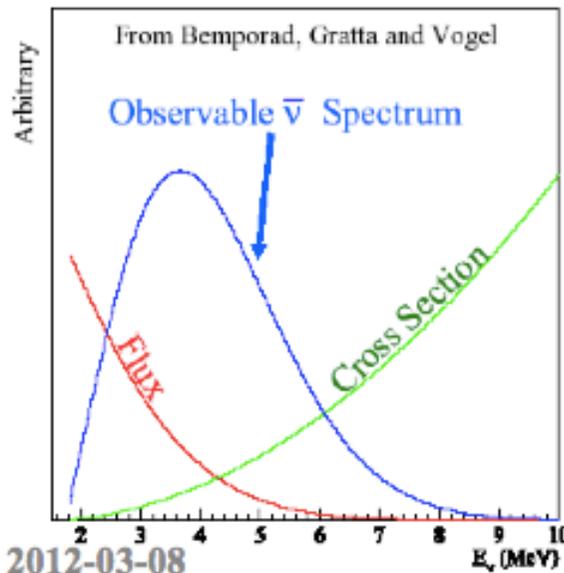
# Neutrino Detection: Gd-loaded Liquid Scintillator



$\tau \approx 28 \mu s$  (0.1% Gd)



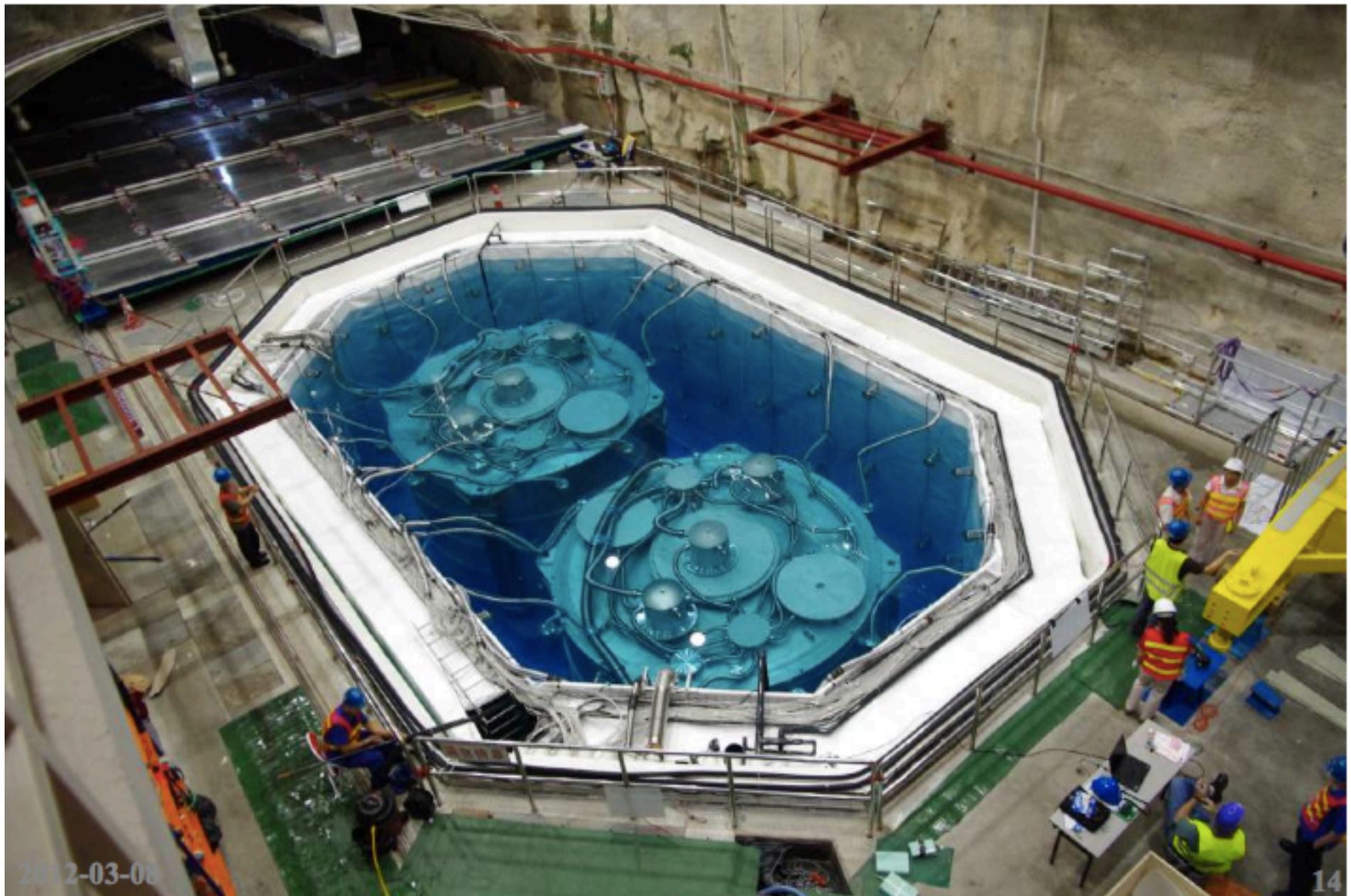
**Neutrino Event: coincidence in time, space and energy**

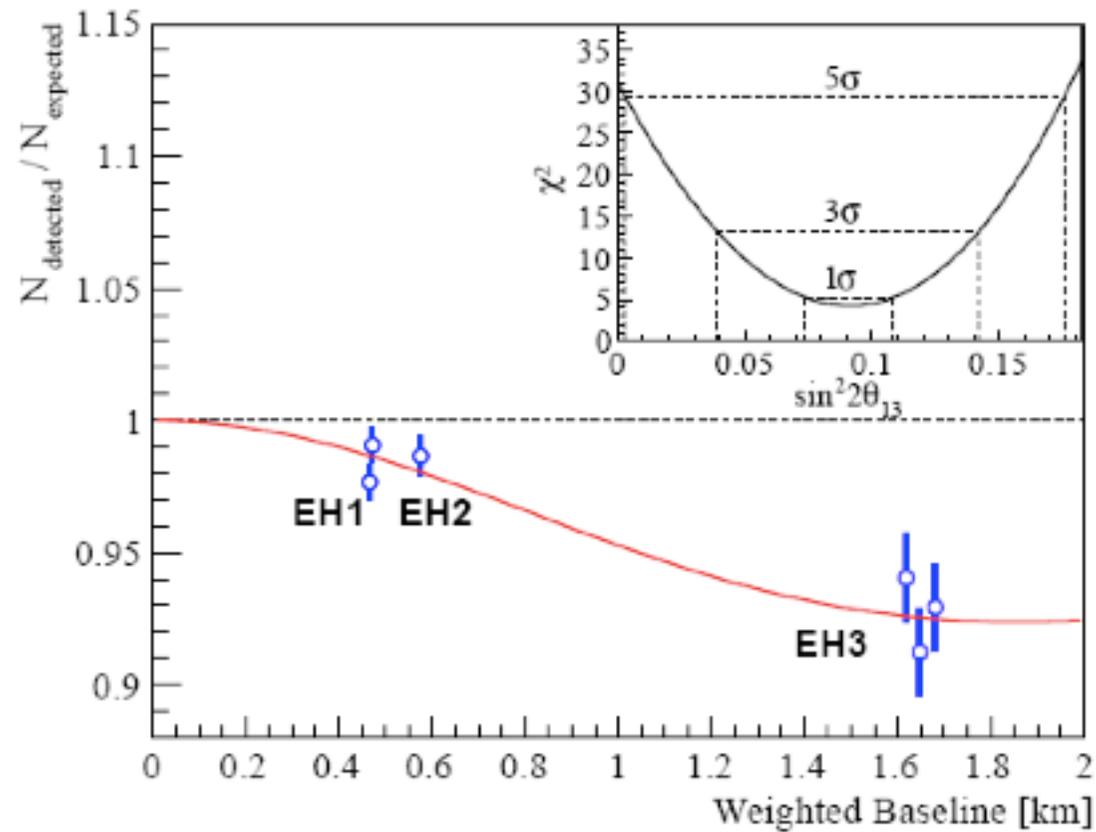


**Neutrino energy:**

$$E_{\bar{\nu}} \cong \underbrace{T_{e^+}}_{10-40 \text{ keV}} + \underbrace{T_n + (M_n - M_p)}_{1.8 \text{ MeV: Threshold}} + m_{e^+}$$

## Two Antineutrino Detectors (AD)

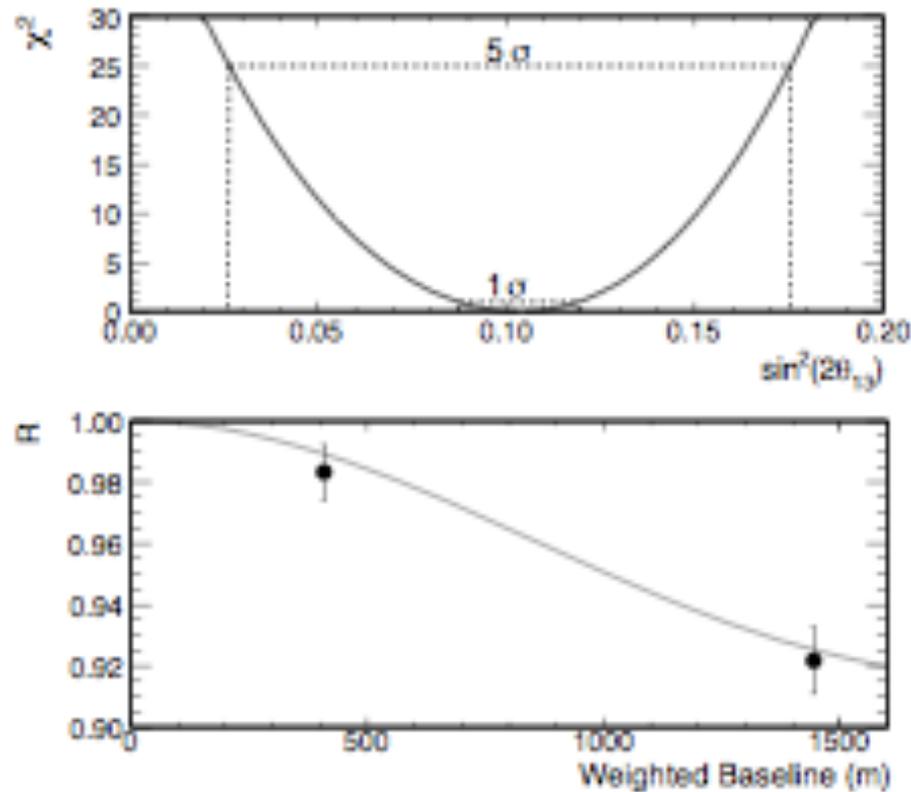




$\text{Sin}^2 2\theta_{13} = 0.092 \pm 0.016(\text{stat}) \pm 0.005(\text{syst})$   
 $\chi^2/\text{NDF} = 4.26/4$   
**5.2  $\sigma$  for non-zero  $\theta_{13}$**

Discovery of a non zero  $\theta_{13}$  angle !

Very recent confirmation from another reactor experiment:  
RENO, in South Korea



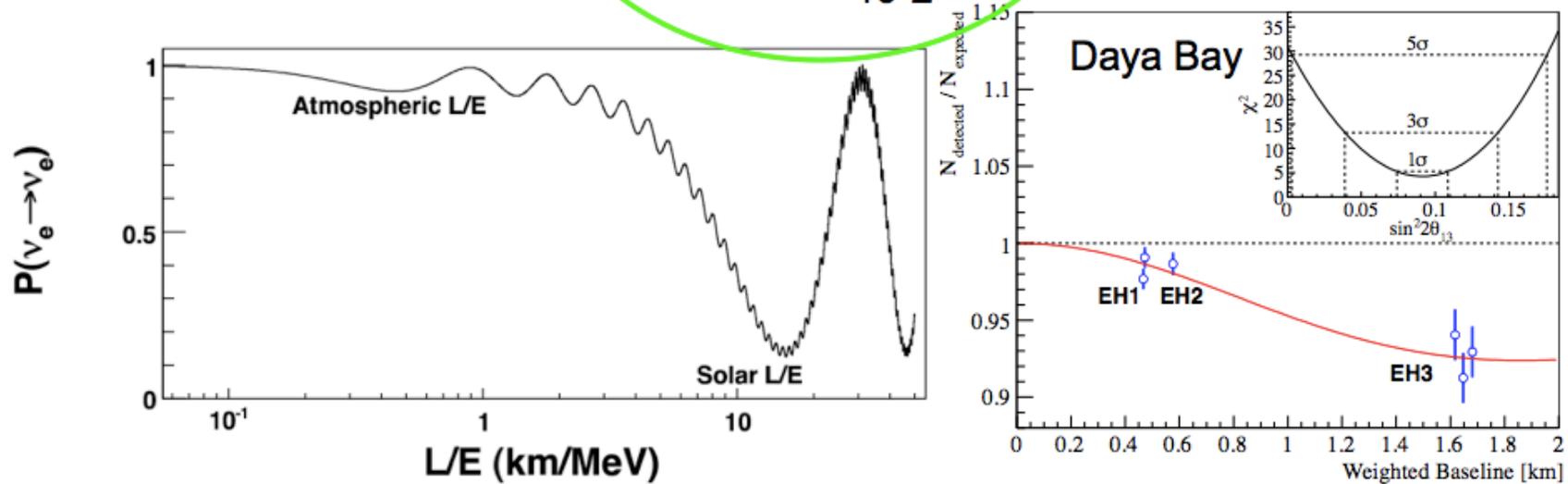
$$\sin^2 2\theta_{13} = 0.103 \pm 0.013 \text{ (stat.)} \pm 0.011 \text{ (syst.)}$$

**6.3 standard deviations!**

# Summary of the cross-mixing sector

$$\begin{array}{c} \text{flavor} \\ \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} \end{array} = \begin{array}{c} \text{atmospheric} \\ \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \end{array} \begin{array}{c} \text{cross-mixing} \\ \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \end{array} \begin{array}{c} \text{solar} \\ \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \end{array} \begin{array}{c} \text{mass} \\ \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \end{array}$$

10-2

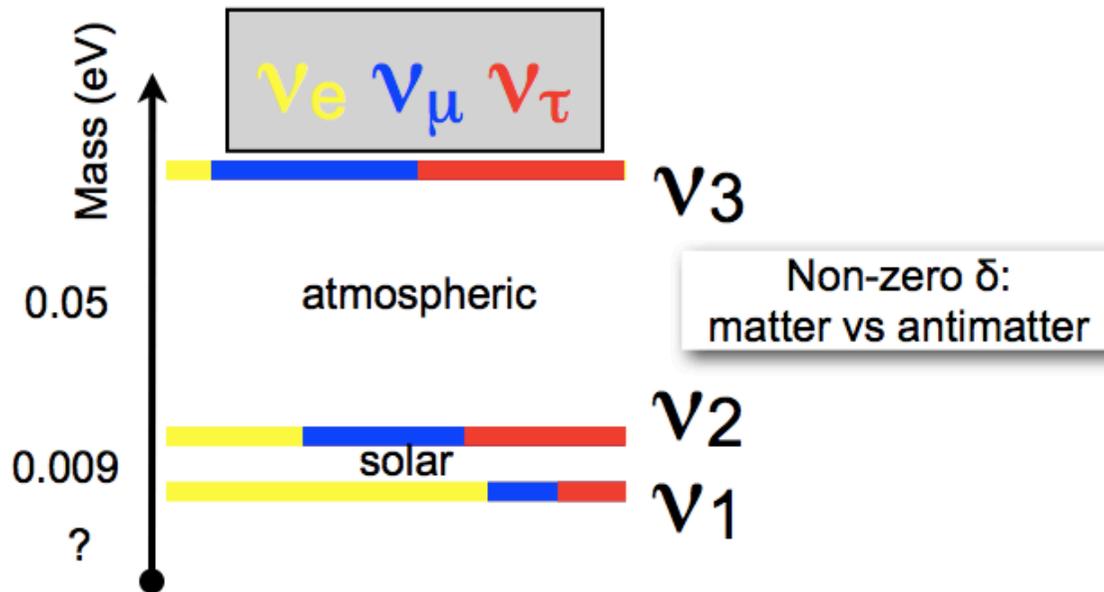


[arXiv:1203.1669v1](https://arxiv.org/abs/1203.1669v1)

# The final 3 flavor mixing scheme

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

where  $c_{ij} = \cos\theta_{ij}$ ,  $s_{ij} = \sin\theta_{ij}$



	VALUE
$\Delta m^2_{23}$	2.35E-03 (eV <sup>2</sup> )
$\Delta m^2_{12}$	7.58E-05 (eV <sup>2</sup> )
$\sin^2\theta_{12}$	0.306
$\sin^2\theta_{23}$	0.42
$\sin^2\theta_{13}$	0.02 !
$\delta$	?

[arXiv:1106.6028 \[hep-ph\]](https://arxiv.org/abs/1106.6028)

Fine, but maybe the “simple” three flavor mixing scheme is too simple?

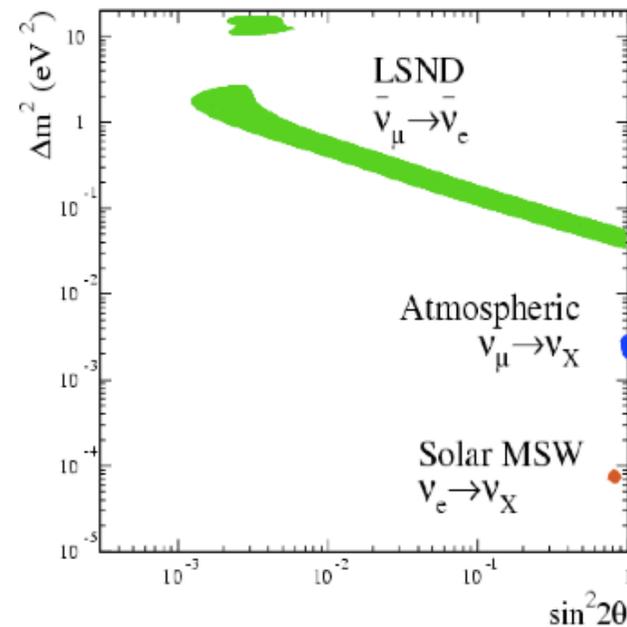
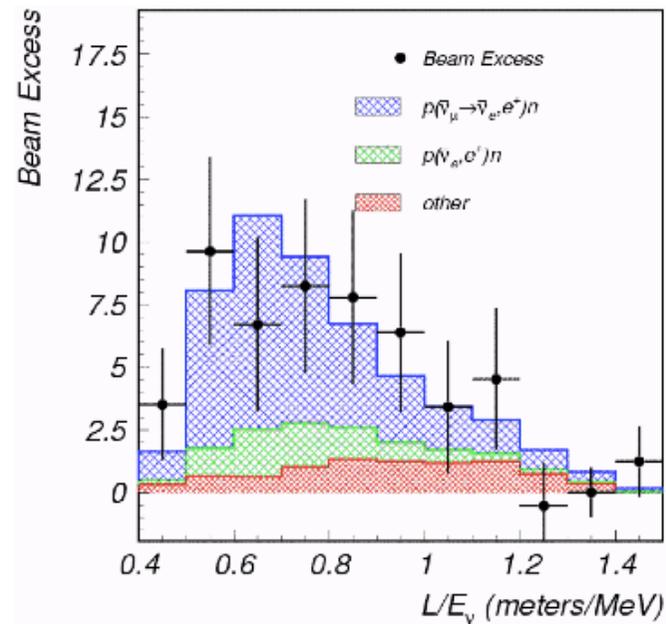
Sterile neutrinos??

The LSND experiment observed a small excess of  $\bar{\nu}_e$  events in a  $\bar{\nu}_\mu$  beam.

Data excess:  $87.9 \pm 22.4 \pm 6.0$  ( $3.8 \sigma$ )

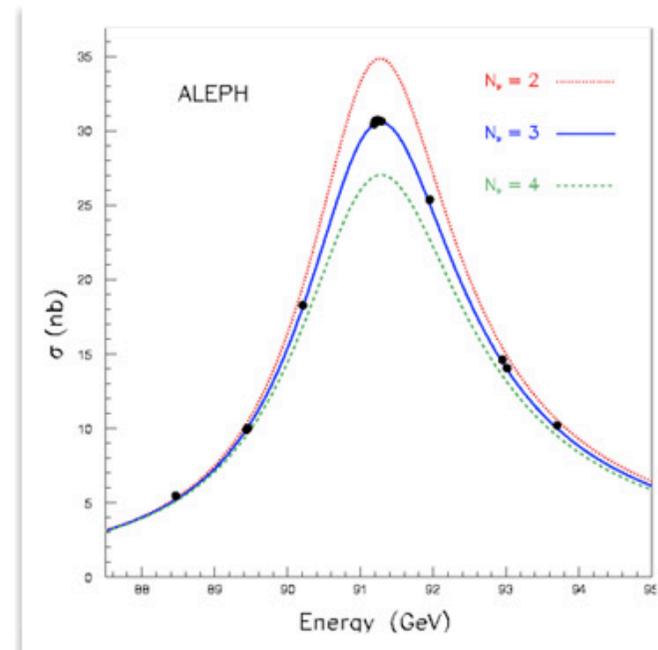
Best fit:  $\Delta m^2 \sim 1 \text{ eV}^2$ ,  $\sin^2 2\theta \sim 0.003$

[Phys.Rev.D 64, 112007 \(2001\)](#)



# Sterile neutrinos ??

- LEP experiments measured the number of light neutrinos: 3
- Only two independent  $\Delta m^2$  values for 3 neutrinos
  - $2.5 \times 10^{-3} + 7.6 \times 10^{-5} \neq 1$
- LSND signal involves *sterile neutrinos*, if it is due to neutrino oscillation
  - ➔ They do not interact via the weak force

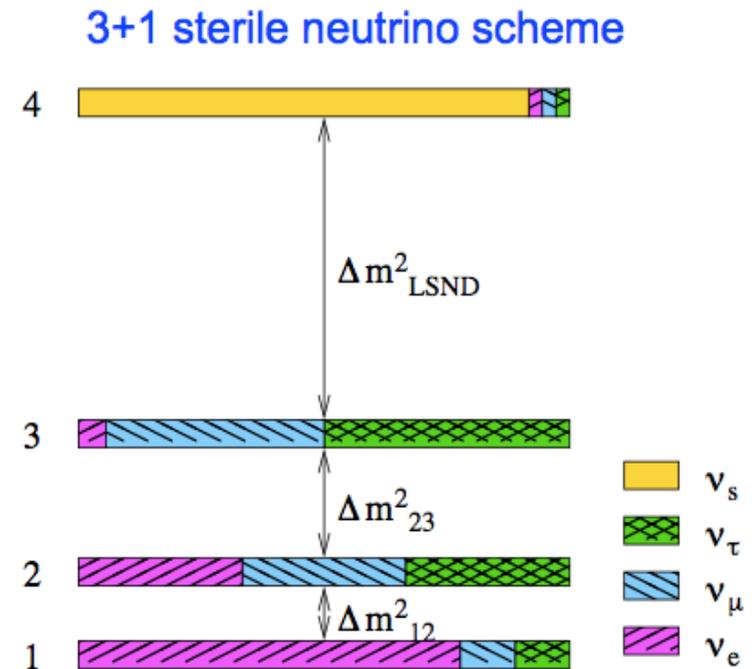


[Phys.Lett.B 313 520 \(1993\)](#)

- Sterile neutrinos could still mix with active neutrinos!

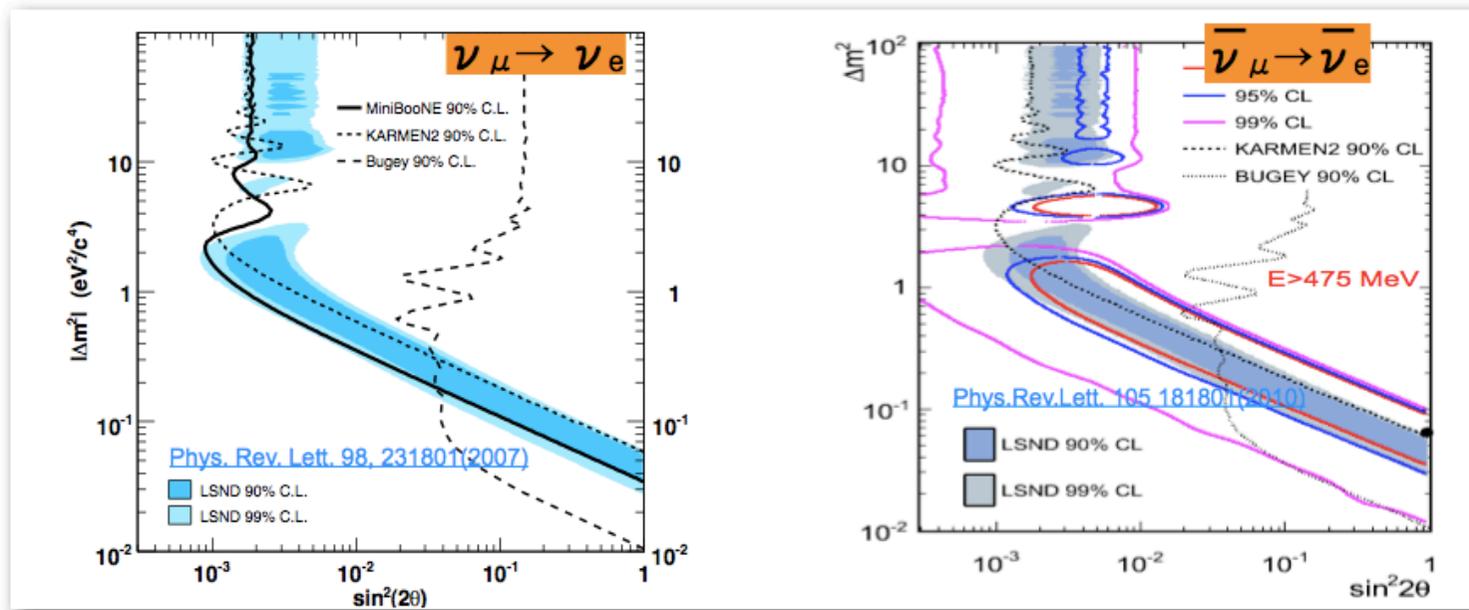
A simple realisation of the sterile neutrino is a right-handed neutrino  $\nu_R$ , which can be mixed with active  $\nu_L$ .

$$\begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} & \cdots \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} & \cdots \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} & \cdots \\ U_{s11} & U_{s12} & U_{s13} & U_{s14} & \cdots \\ \cdots & \cdots & \cdots & \cdots & \cdots \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \\ \cdots \end{pmatrix}$$



# MiniBooNE $\nu_e$ Results

- MiniBooNE recently tested the LSND signal.
- Ruled out most of LSND region in  $\nu_\mu \rightarrow \nu_e$  search.
- However, observed (small)  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  excess.
  - Consistent with LSND???



Affaire à suivre....

## But after new results, new questions....

- 1) Is the mixing between  $\nu_\mu$  and  $\nu_\tau$  states non maximal?  
is  $\theta_{23} \neq 45^\circ$  ?
- 2) Which is the mass hierarchy ?  
is  $\Delta m_{23} > 0$  ?
- 3) Since  $\theta_{13} \neq 0$ , we could hope to find CP violation in the lepton sector.  
is  $\delta_{CP} \neq 0$  ?
- 4) Is there room for sterile neutrinos?  
is the mixing matrix not 3x3 ?
- 5) Is the neutrino a Dirac or a Majorana particle?  
is  $\nu = \bar{\nu}$  ?
- 6), 7), ....

## Quark vs lepton mixing

Quark mixing

Neutrino mixing

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = V_{CKM} \cdot \begin{pmatrix} d \\ s \\ b \end{pmatrix} \longleftrightarrow \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{MNSP} \cdot \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$$V_{CKM} \approx U_{PMNS} ? \quad (3 \text{ mixing angles in } V_{CKM} \text{ and } U_{PMNS}?)$$

$$\begin{aligned} \theta_{12} &= 13^\circ \\ \theta_{23} &= 2.4^\circ \\ \theta_{13} &= 0.21^\circ \end{aligned}$$

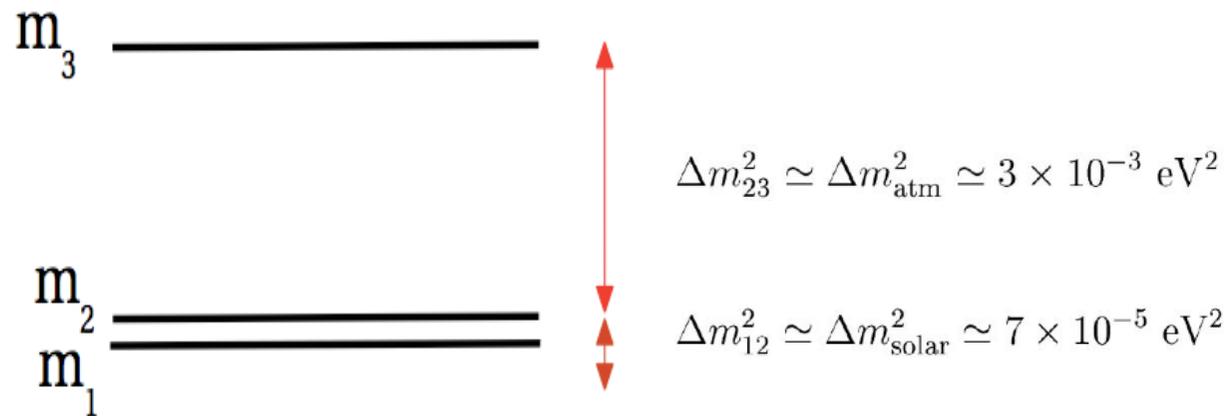
$$\begin{aligned} \theta_{12} &= 33 \pm 3^\circ \\ \theta_{23} &= 45 \pm 8^\circ \\ \theta_{13} &\sim 10^\circ \end{aligned}$$

Very different: need a precision study of the neutrino mixing matrix

## Why the neutrino mass is so small ?

The occurrence of neutrino oscillations implies that the neutrino has a mass (actually 3 non-degenerate mass eigenvalues)

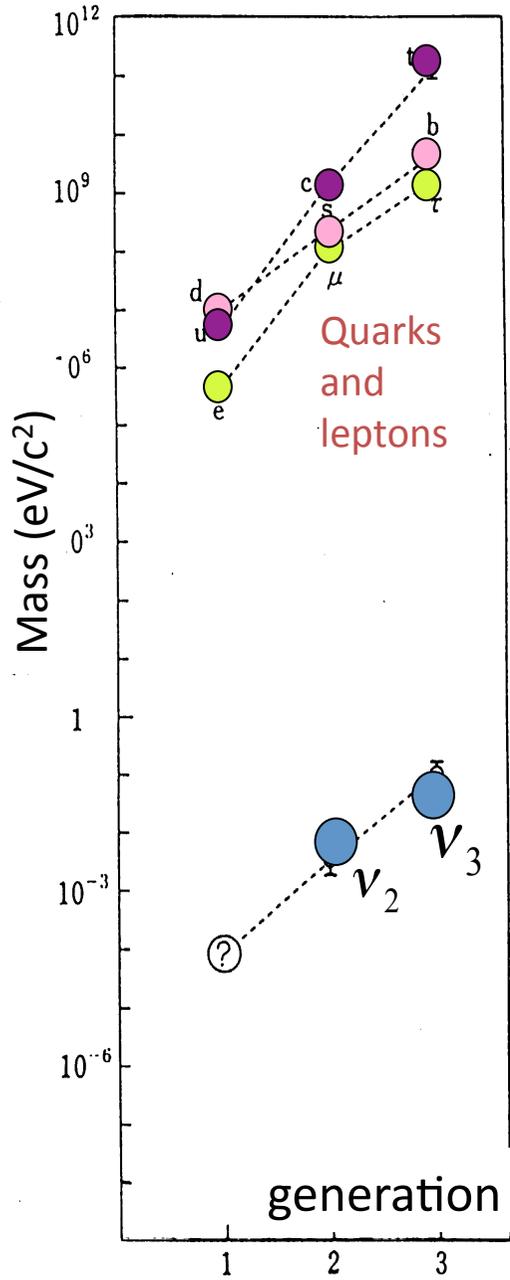
From oscillation experiments we cannot set the mass scale, but only a lower limit: if  $m_1 \sim 0 \rightarrow m_3 > \sqrt{3 \times 10^{-3} \text{ eV}^2} \sim 50 \text{ meV}$ . From cosmological and direct mass measurements it turns out that the neutrino mass is smaller than  $\sim 1 \text{ eV}$ .



The question is then: why the neutrino mass is so much smaller than that of the other fermions?

**Maybe because the neutrino is a Majorana particle....**

# Why the neutrino mass is so small ?



➔

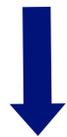
$$\left( \frac{m(\nu_3)}{m(\text{top quark})} \right) \approx \left( \frac{1}{3 \times 10^{12}} \right)$$

## See-saw mechanism

Minkowsky, Yanagida, Gell-mann, Ramond, Slansky

$$m_\nu \approx \frac{m_q^2}{m_N}$$

If we input  $m_{\nu_3}$  and  $m_q$  ( $m_{\text{top}}$  is used), we get  $m_N = 10^{15}$  GeV



This suggests that physics of neutrino mass could be related to physics of Grand Unification!

# Measuring the CP phase

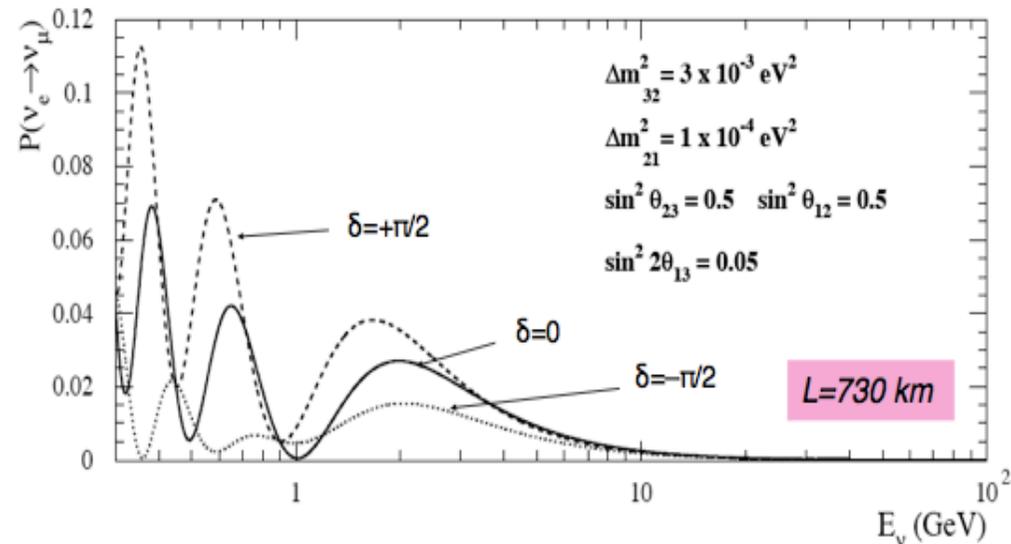
$$\begin{aligned}
 P(\nu_e \rightarrow \nu_\mu) = & \\
 & 4c_{13}^2 \left[ \sin^2 \Delta_{23} s_{12}^2 s_{13}^2 s_{23}^2 + c_{12}^2 (\sin^2 \Delta_{13} s_{13}^2 s_{23}^2 + \sin^2 \Delta_{12} s_{12}^2 (1 - (1 + s_{13}^2) s_{23}^2)) \right] \\
 & - \frac{1}{2} \cos \delta c_{13}^2 \sin(2\theta_{12}) s_{13} \sin(2\theta_{23}) [\cos 2\Delta_{13} - \cos 2\Delta_{23} - 2 \cos(2\theta_{12}) \sin^2 \Delta_{12}] \\
 & + \frac{1}{2} \sin \delta c_{13}^2 \sin(2\theta_{12}) s_{13} \sin(2\theta_{23}) [\sin 2\Delta_{12} - \sin 2\Delta_{13} + \sin 2\Delta_{23}]
 \end{aligned}$$

CP-even  
CP-odd

AR, Venice (NOVE) 2003  
 arXiv:hep-ph/0402110v1

$$\Delta_{jk} \equiv \Delta m_{jk}^2 \frac{L}{4E_\nu}$$

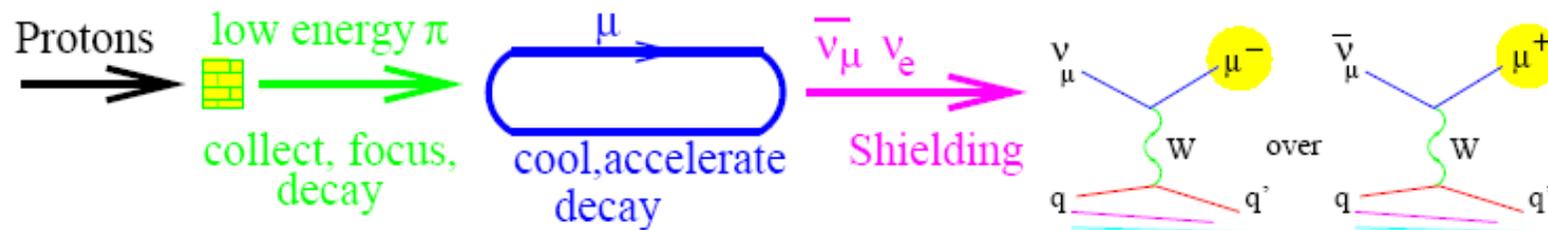
*The phase can only be observed in appearance mode since disappearance is a T-symmetric process.*  
*The effect for antineutrinos should be opposite to neutrinos ( $\delta \rightarrow -\delta$ ).*  
*It should have the expected L/E dependence.*



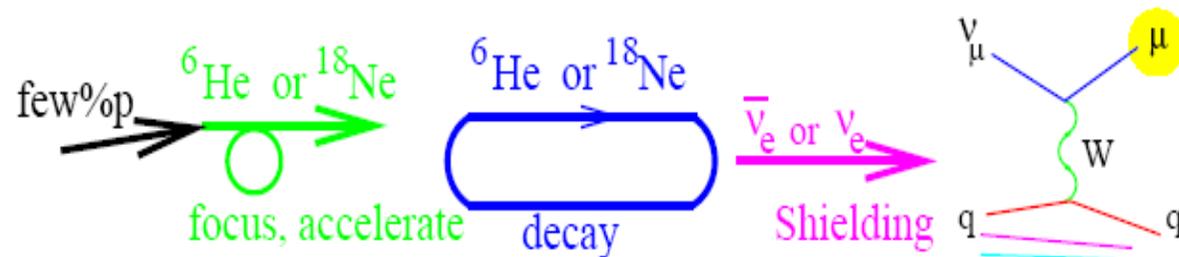
By the way, measuring CP phase might imply a new generation of neutrino beam facilities and experiments (beyond the scopes of these lectures).

Example: the ultimate neutrino facilities:

### NEUTRINO FACTORIES



### BETA-BEAMS



# LAGUNA-LBNO study cases



3 main options  
selected for  
LAGUNA-LBNO  
study

## CN2PY

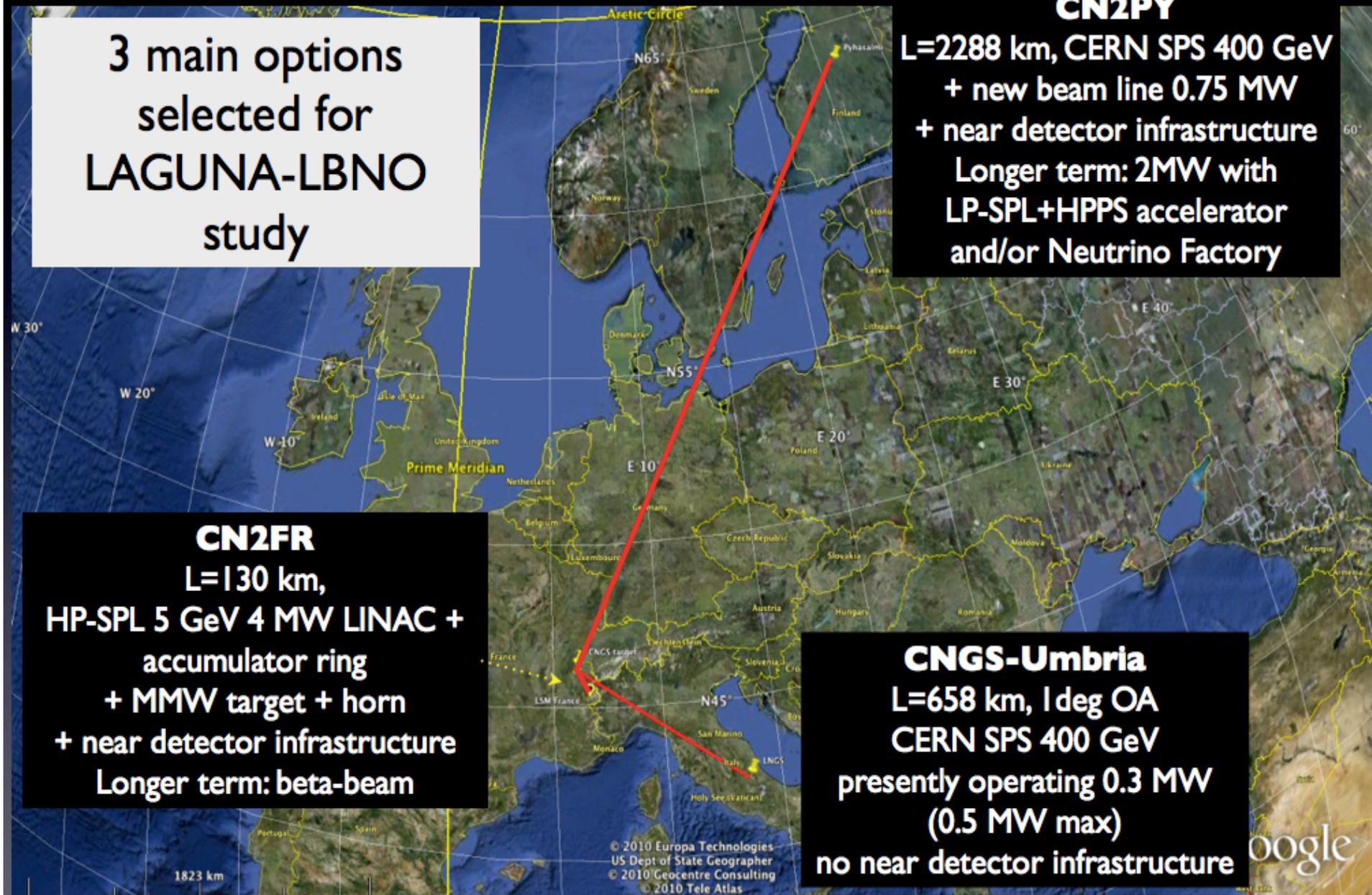
L=2288 km, CERN SPS 400 GeV  
+ new beam line 0.75 MW  
+ near detector infrastructure  
Longer term: 2MW with  
LP-SPL+HPPS accelerator  
and/or Neutrino Factory

## CN2FR

L=130 km,  
HP-SPL 5 GeV 4 MW LINAC +  
accumulator ring  
+ MMW target + horn  
+ near detector infrastructure  
Longer term: beta-beam

## CNGS-Umbria

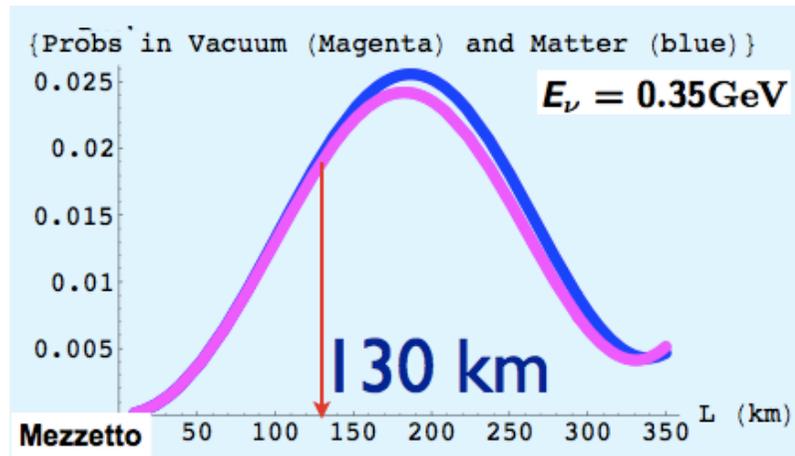
L=658 km, 1 deg OA  
CERN SPS 400 GeV  
presently operating 0.3 MW  
(0.5 MW max)  
no near detector infrastructure



# Very short/long baseline concept



CERN-Fréjus offers a very short baseline not considered elsewhere in the world → unique physics opportunities in Europe

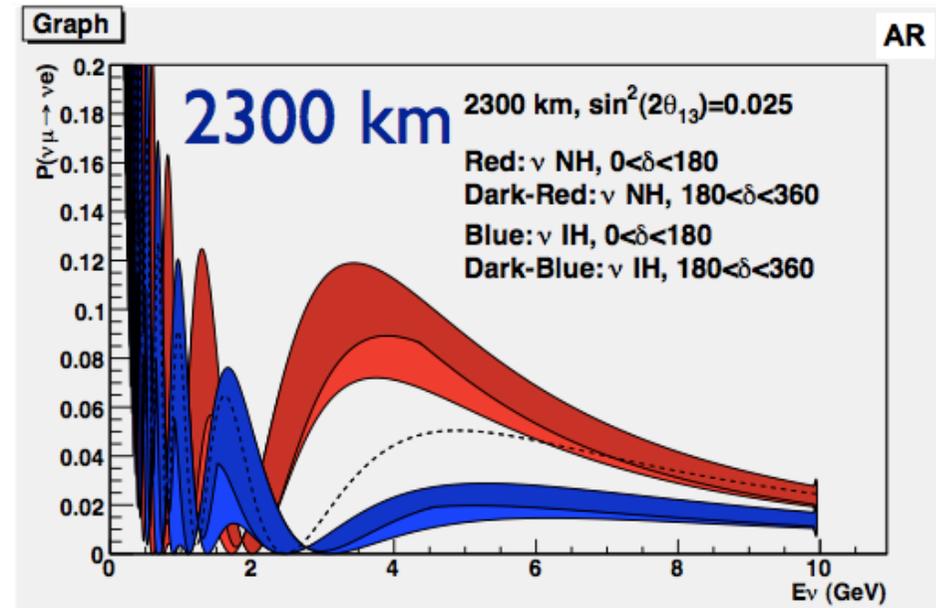


Determine CPV by comparison of neutrinos/antineutrinos in absence of competing matter effects

need very low energy beam and huge detector

**Adequate baseline/energy for betabeam**

CERN-Pyhäsalmi offers a very long baseline not considered elsewhere in the world → unique physics opportunities in Europe

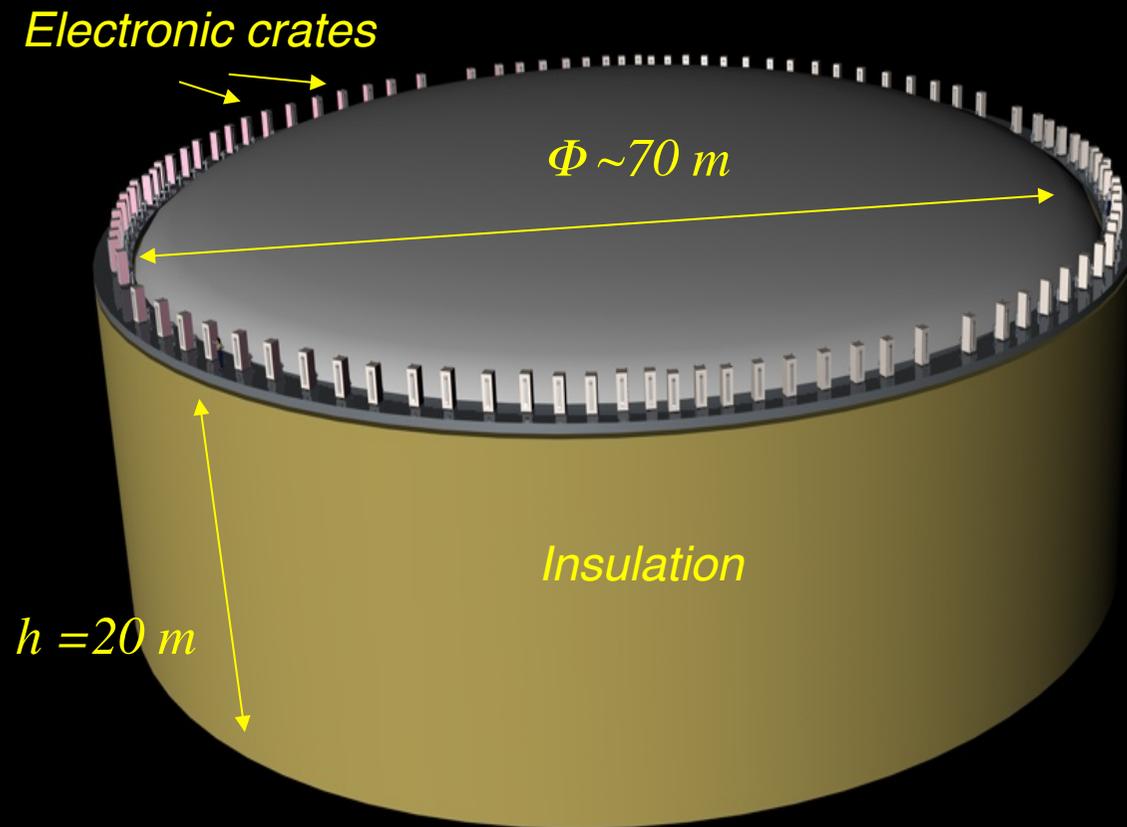


Determine CPV and mass hierarchy by spectrum measurement and resolve degeneracies and so-called “ $\pi$ -transit” effect

[arXiv:0908.3741v1](https://arxiv.org/abs/0908.3741v1) for “Magic distance”

**Adequate baseline for neutrino factory**

# HUGE DETECTORS!



## **XXXL Liquid Argon TPC's**

In one sentence, the study of neutrino physics will successfully continue for decades keeping physicists very busy...

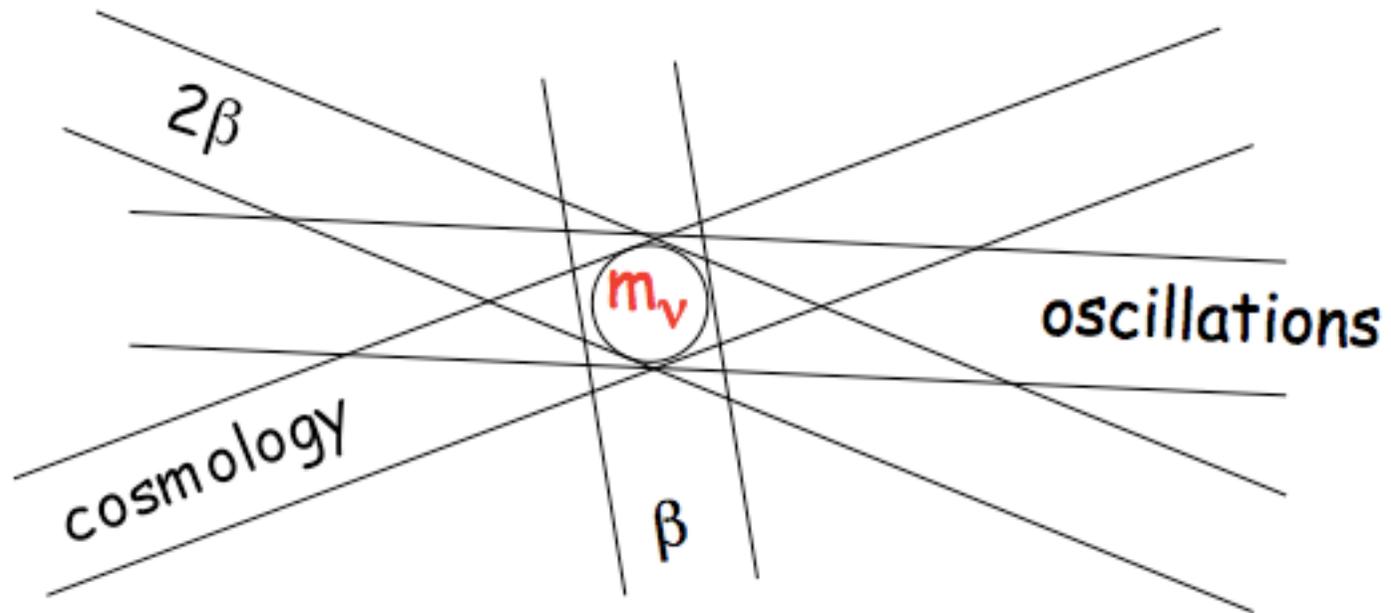
We will combine results from oscillation experiments to direct mass measurement experiments (with beta-decay)...

and with measurements on the neutrino-less double-beta decay...

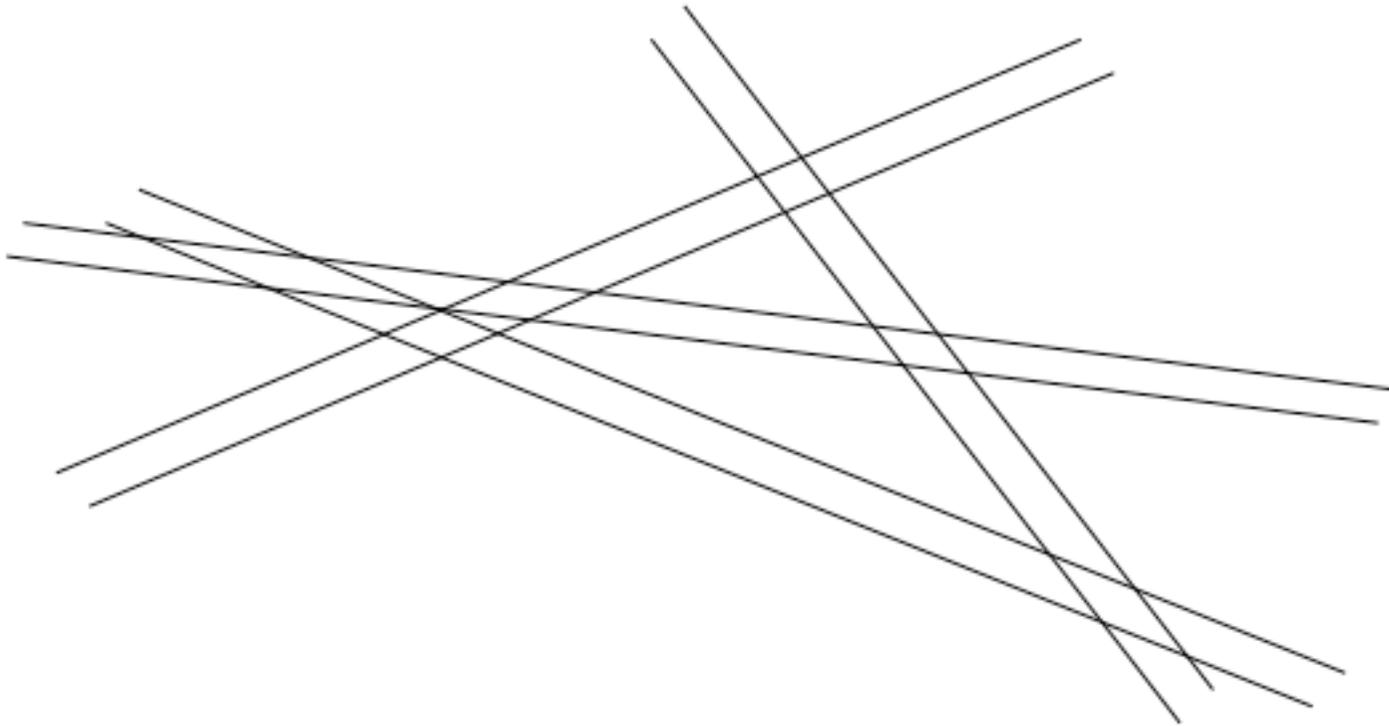
...in addition to the (already now!) sensitive measurements of the neutrino properties from cosmological observations...



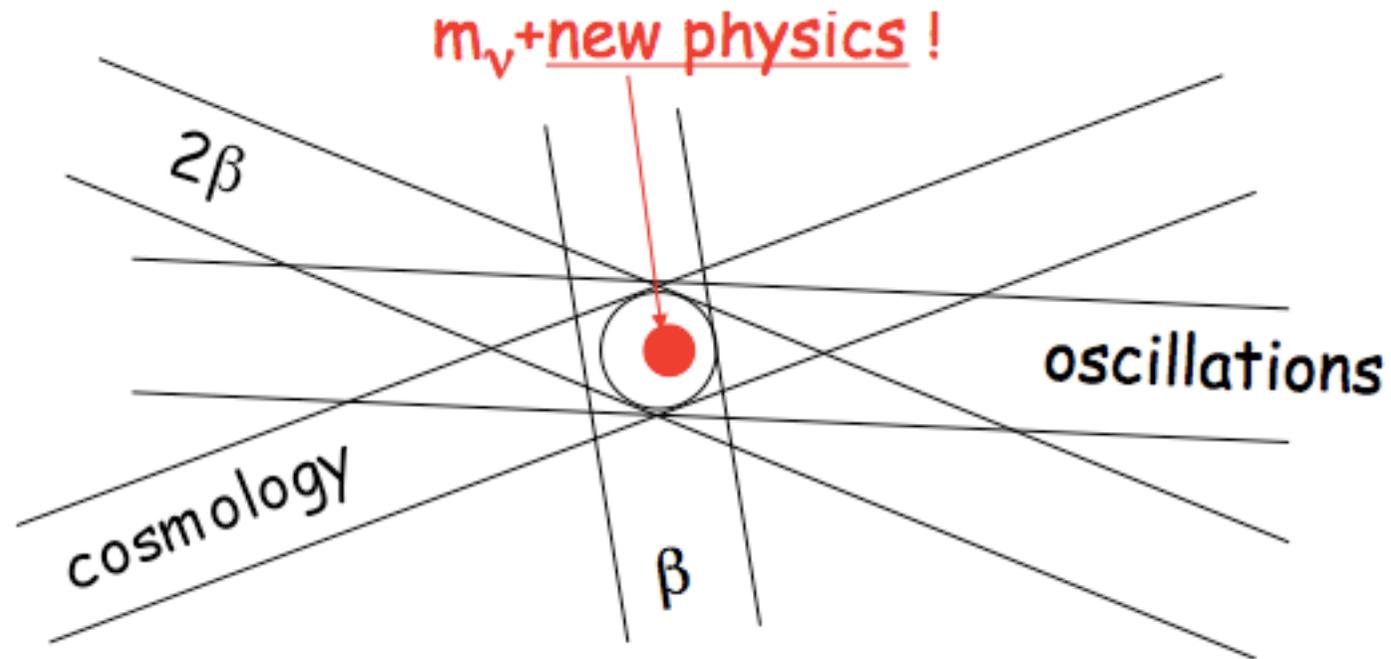
# Dream ??



**or nightmare ??**



**Maybe even better than a dream!!**



- The neutrino was born as a desperate remedy
- It became soon an intriguing source of mysteries, while being in many cases also a powerful tool to assess new physics
- Combined to other results from astrophysics, cosmology and LHC physics, neutrinos will certainly bring new “problems” to physicists, in perfect agreement with their nature
- Neutrino oscillations:

yesterday: a (ir)realistic possibility and then an explanation;  
today: a solid evidence opening a window to the unknown;  
tomorrow: a unique tool to pin down new physics?

# Thank you for your attention!

