

# Towards ESS prototyping and construction



EUROPEAN  
SPALLATION  
SOURCE

Romuald Duperrier – CEA /Saclay  
System Engineer

# European Spallation Source

18 countries

1.5 B€ (50% in kind)

5 MW proton accelerator

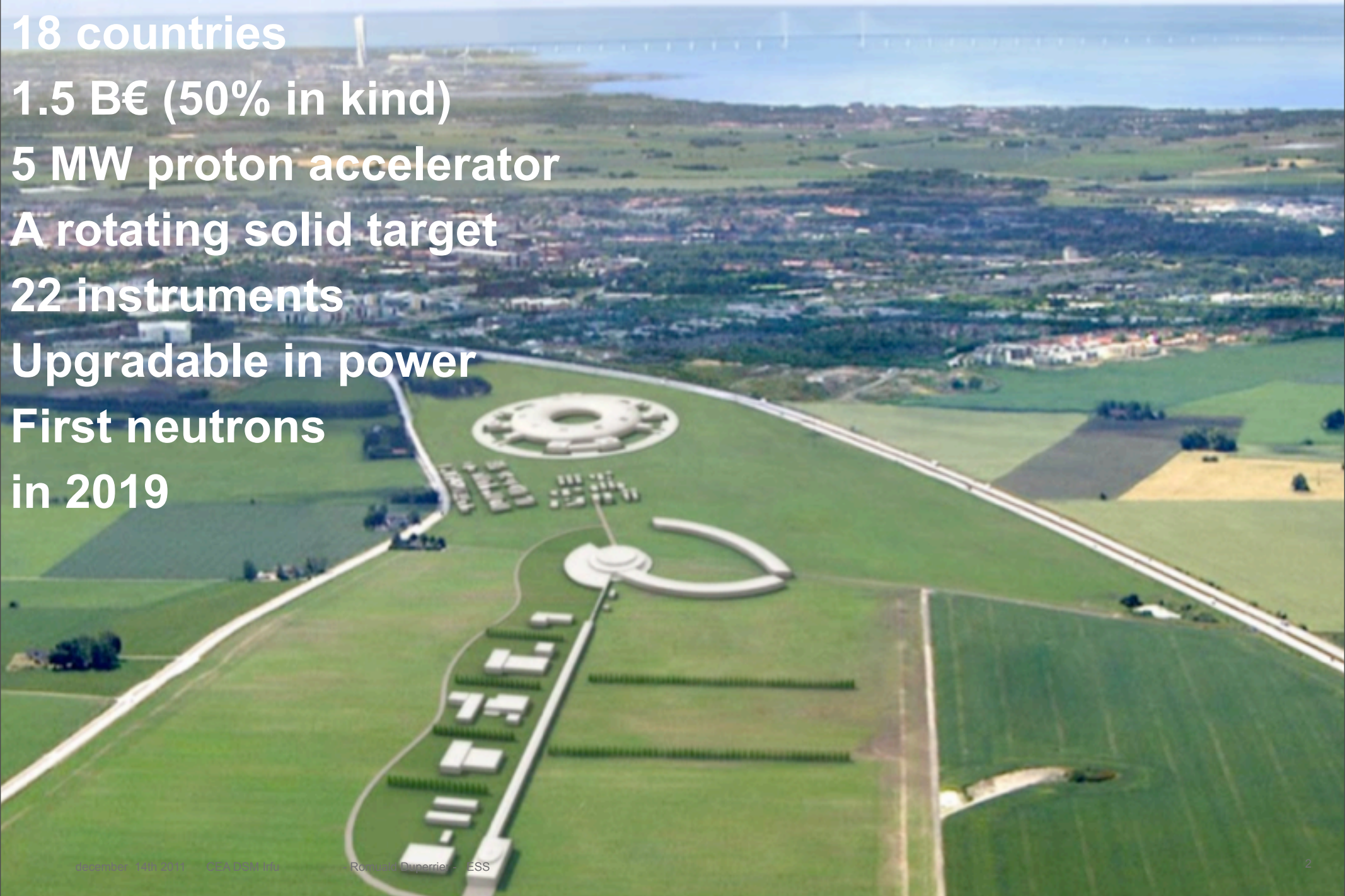
A rotating solid target

22 instruments

Upgradable in power

First neutrons

in 2019



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What is the path to transform these objectives into a reality?

# Building large facilities: 3 key dimensions



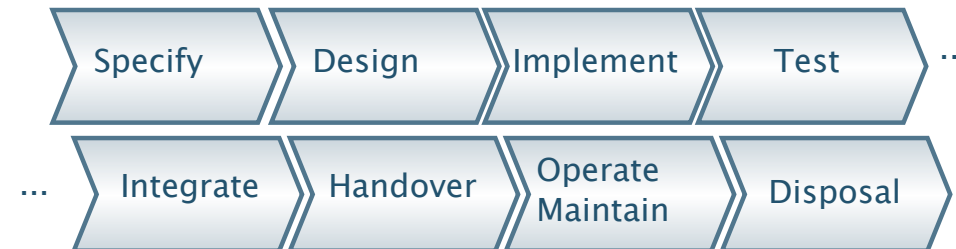
Organizations (who)



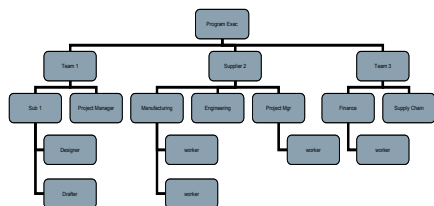
Products (what)



Processes (how)



# Building large facilities: 3 key dimensions



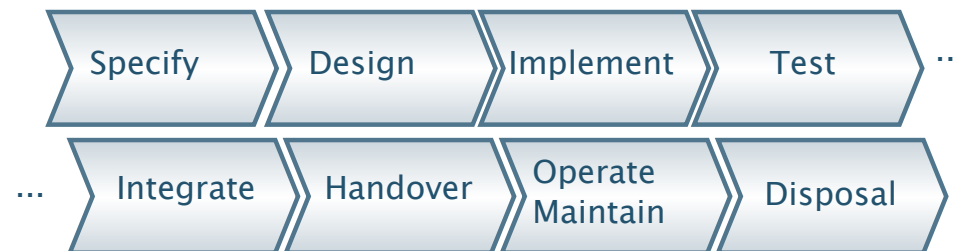
Organizations (who)



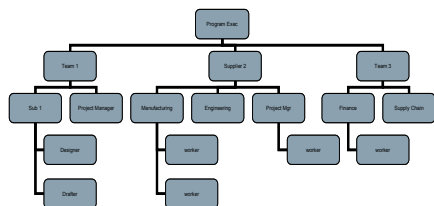
Products (what)



Processes (how)



# Building large facilities: 3 key dimensions



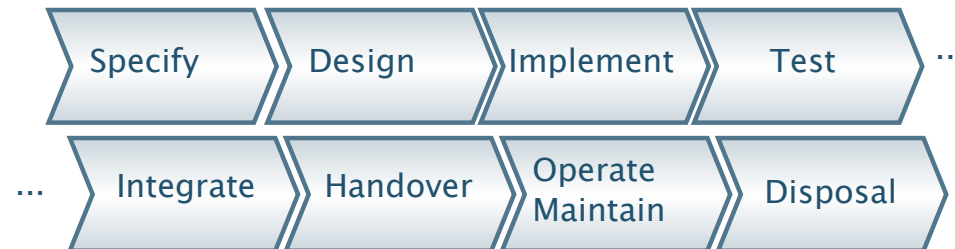
Organizations (who)



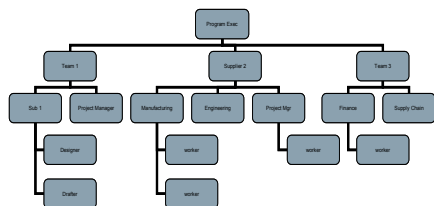
Products (what)



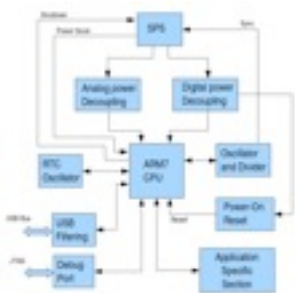
Processes (how)



# Building large facilities: 3 key dimensions



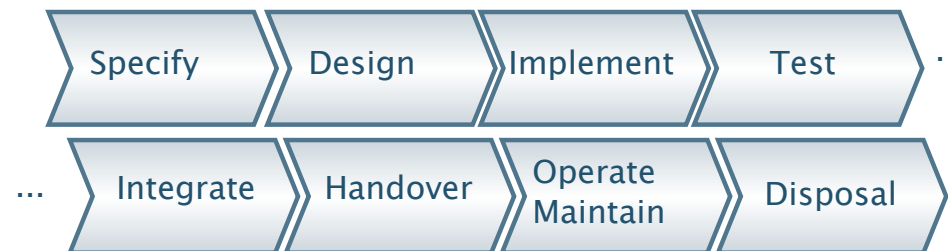
Organizations (who)



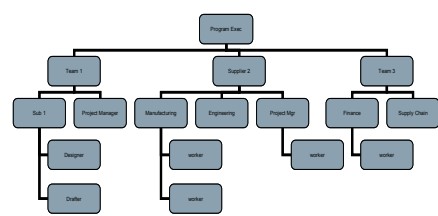
Products (what)



Processes (how)



# Building large facilities: 3 key dimensions



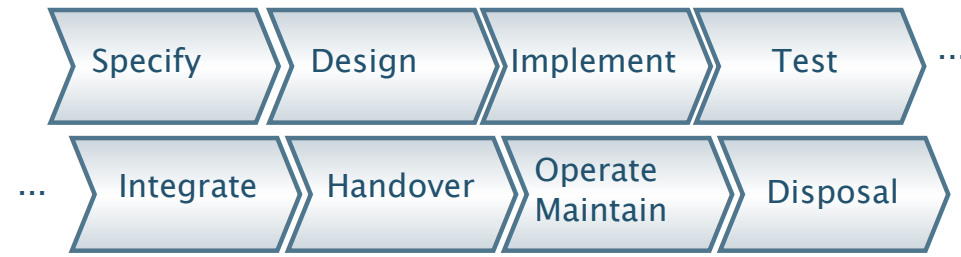
Organizations (who)



Products (what)



Processes (how)





# Building large facilities: 3 key dimensions



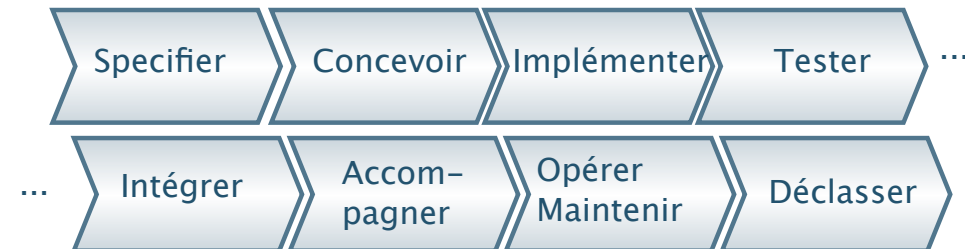
Organisations (qui)



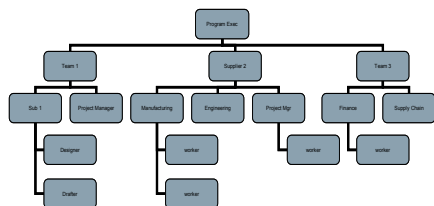
Produits (quoi)



Processus (comment)



# Building large facilities: 3 key dimensions



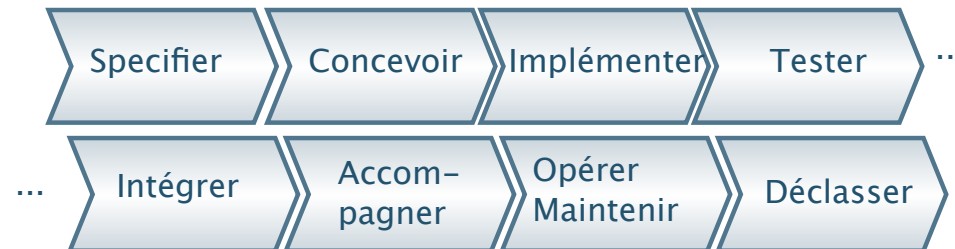
Organisations (qui)



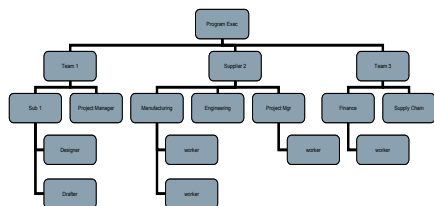
Produits (quoi)



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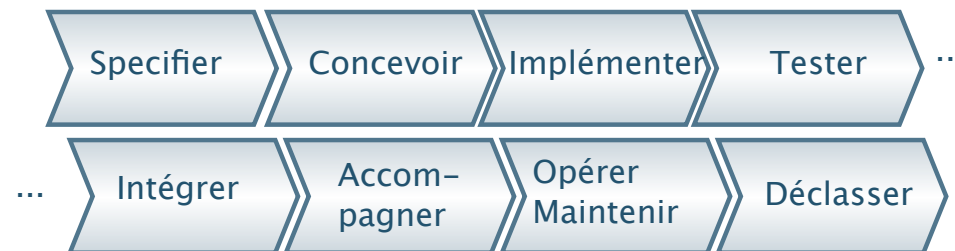
Organisations (qui)



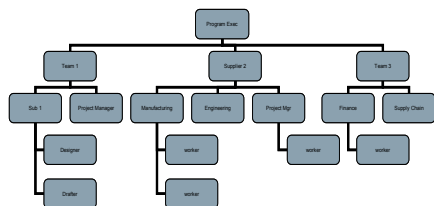
Produits (quoi)



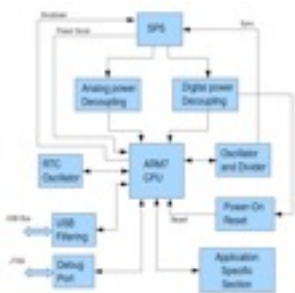
Processus (comment)



# Building large facilities: 3 key dimensions



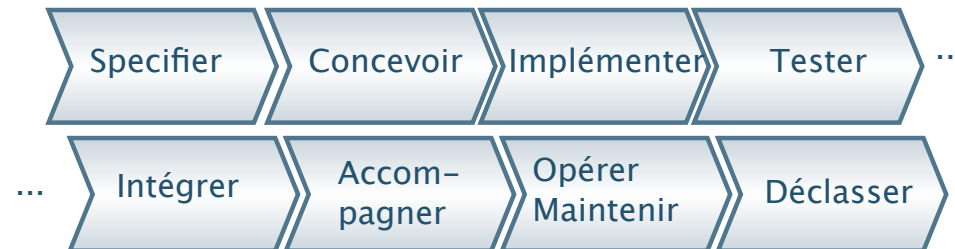
Organisations (qui)



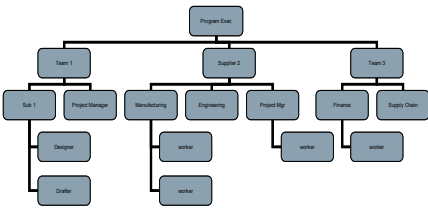
Produits (quoi)



Processus (comment)



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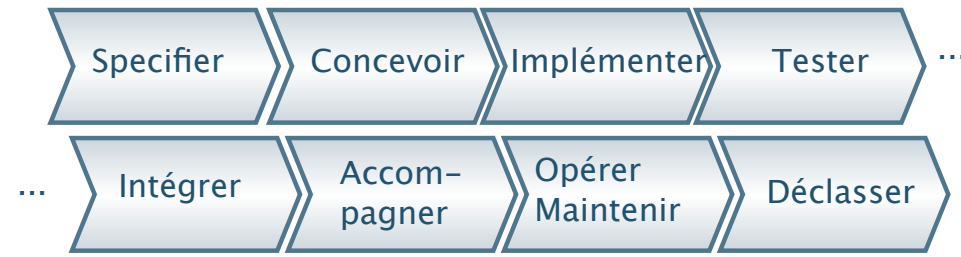
Organisations (qui)



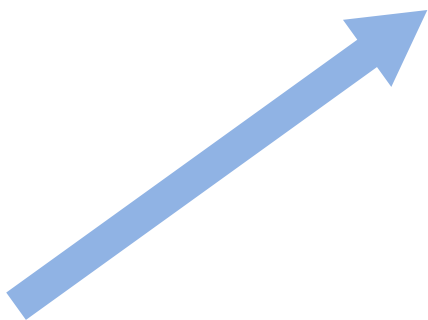
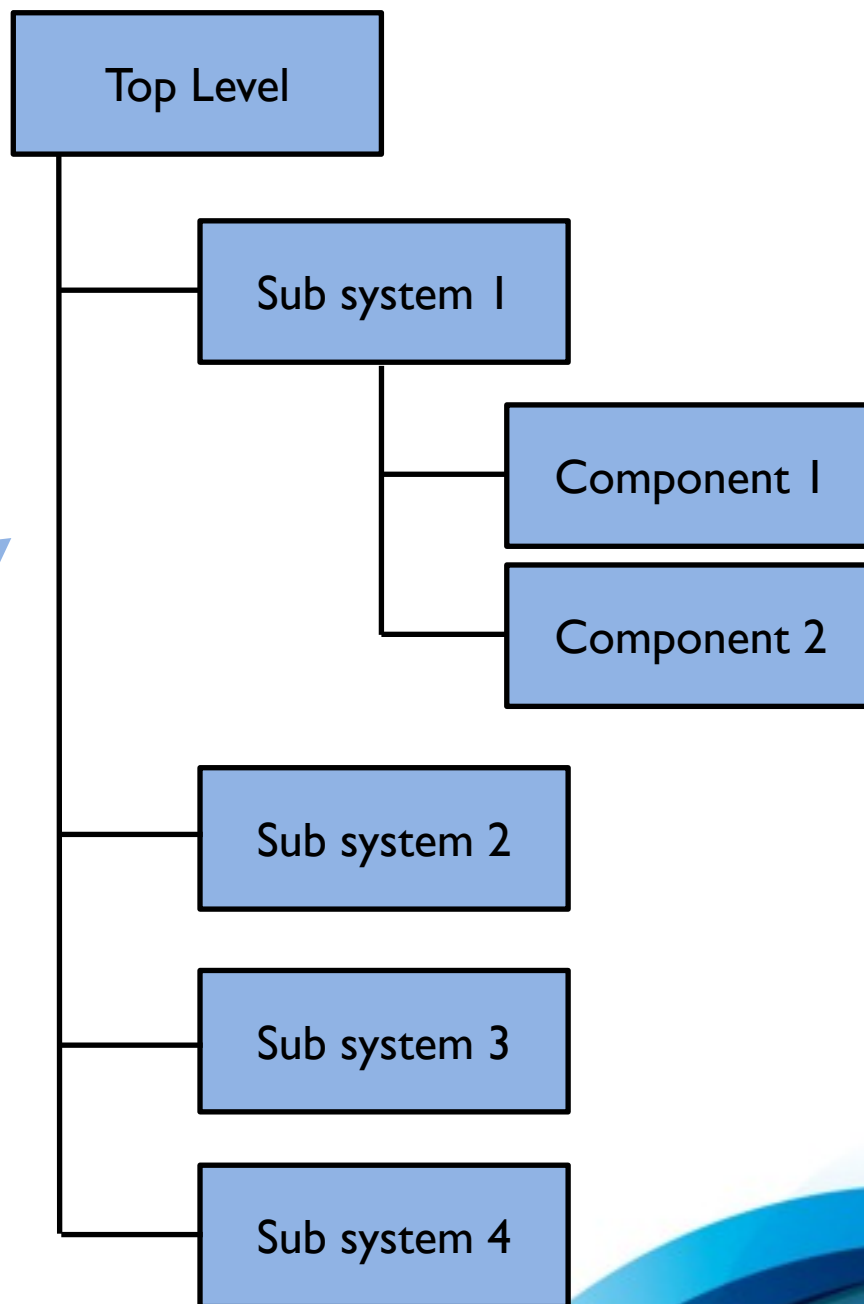
Produits (quoi)



Processus (comment)



# Identify the subsystems and interfaces

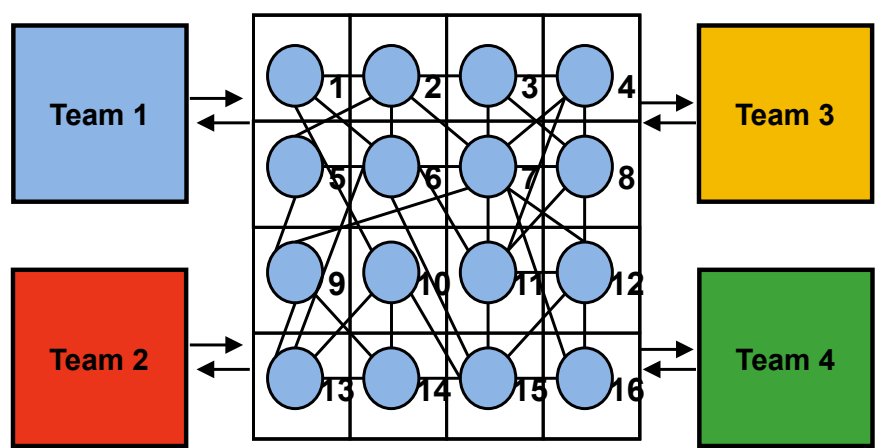


Products (what)

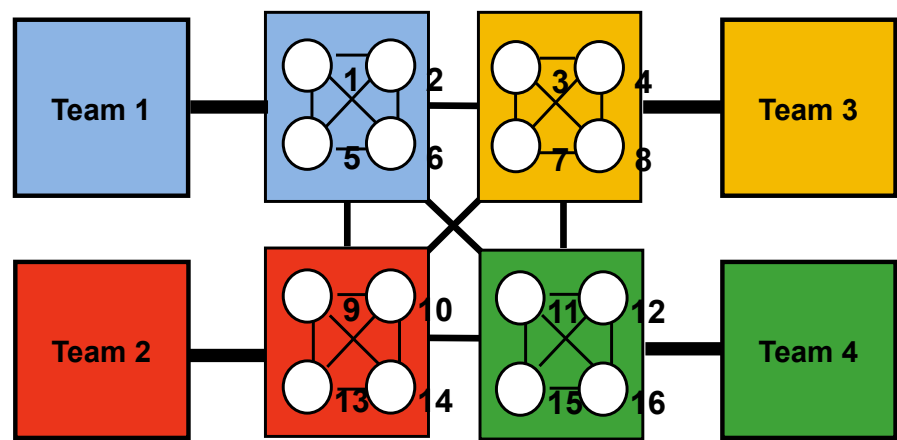


# System design and collaborations

Integral architecture



Modular architecture

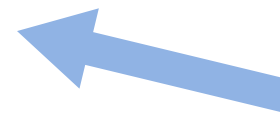


Products (what)

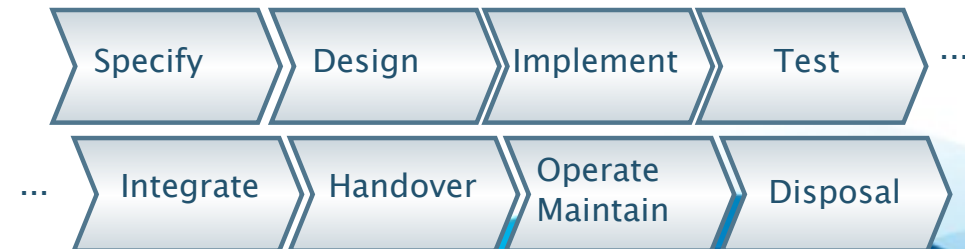


Often a combination of both

# The waterfall process model

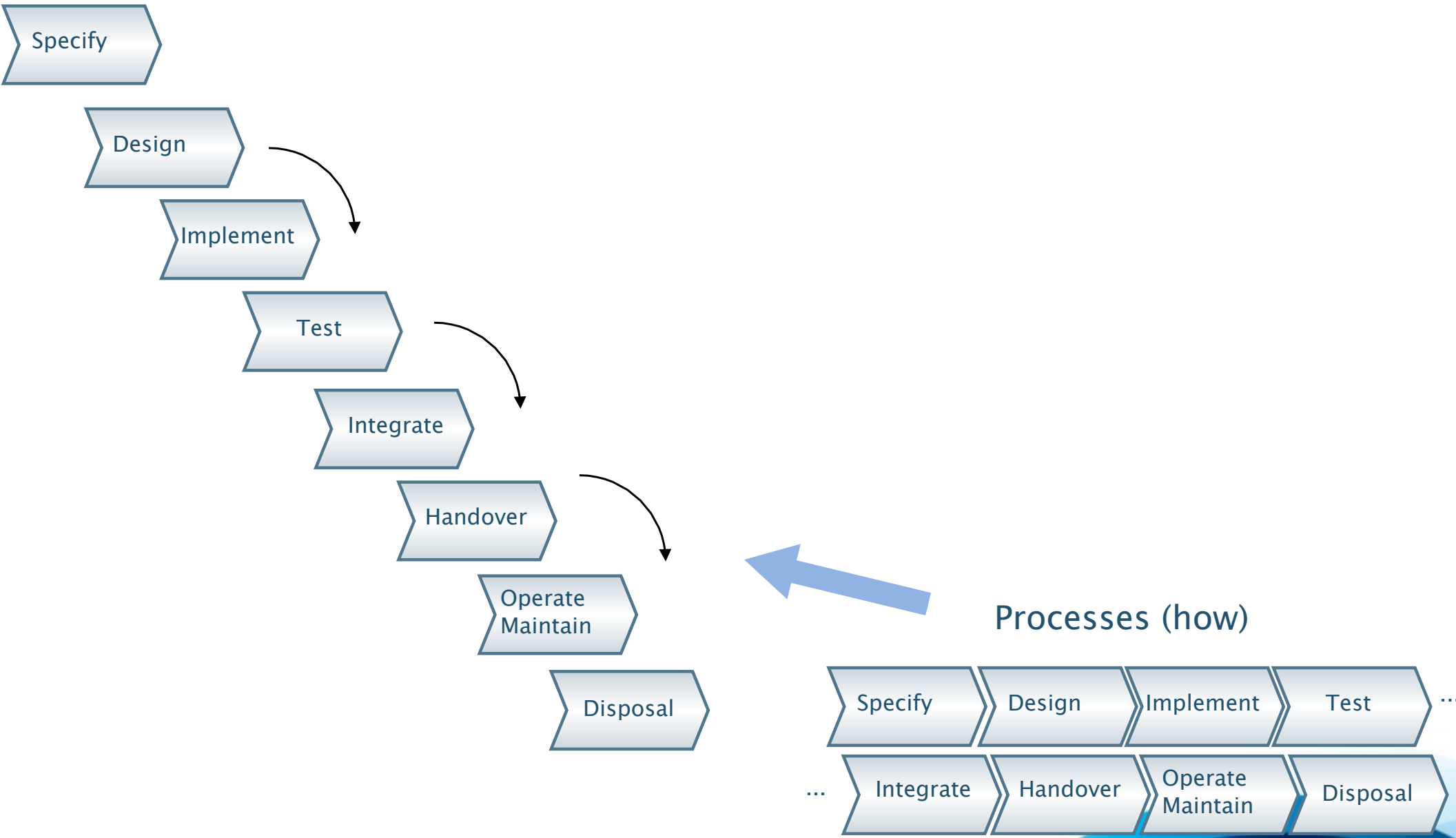


Processes (how)





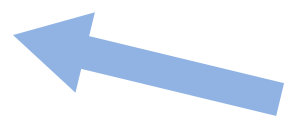
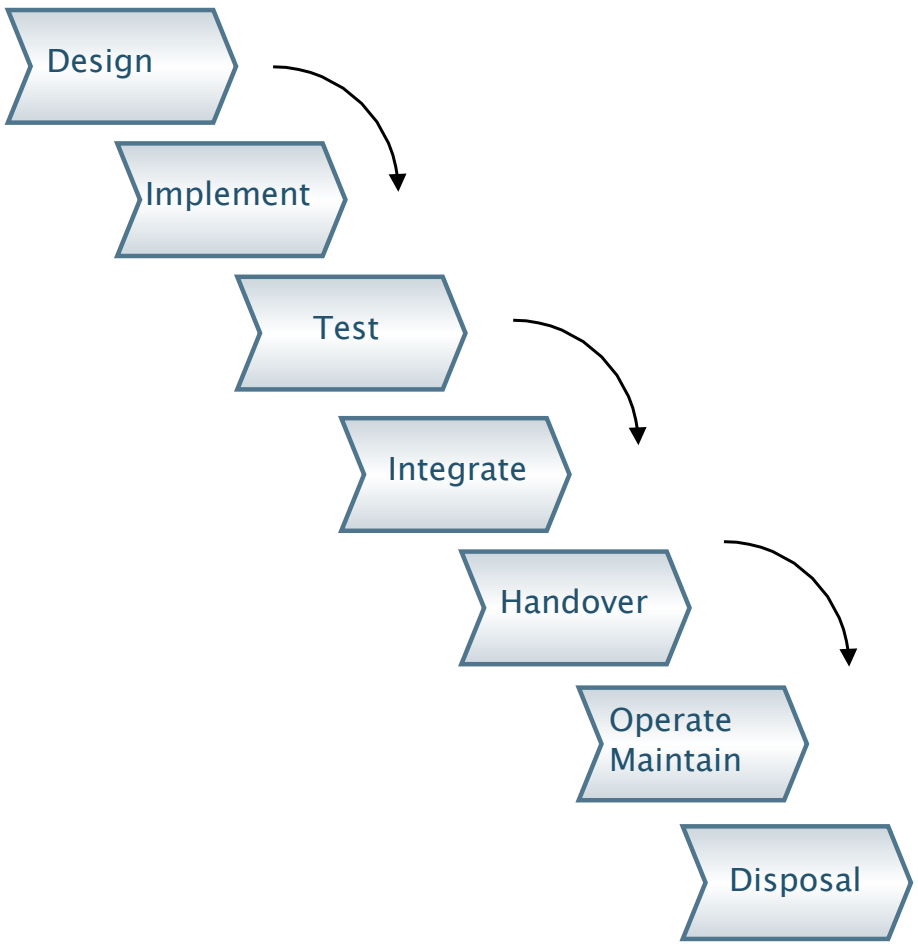
# The waterfall process model



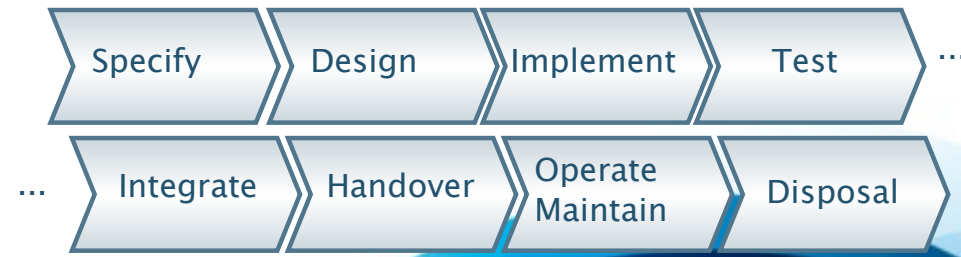
# The waterfall process model

Specify

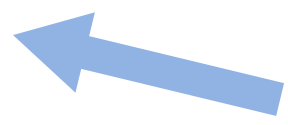
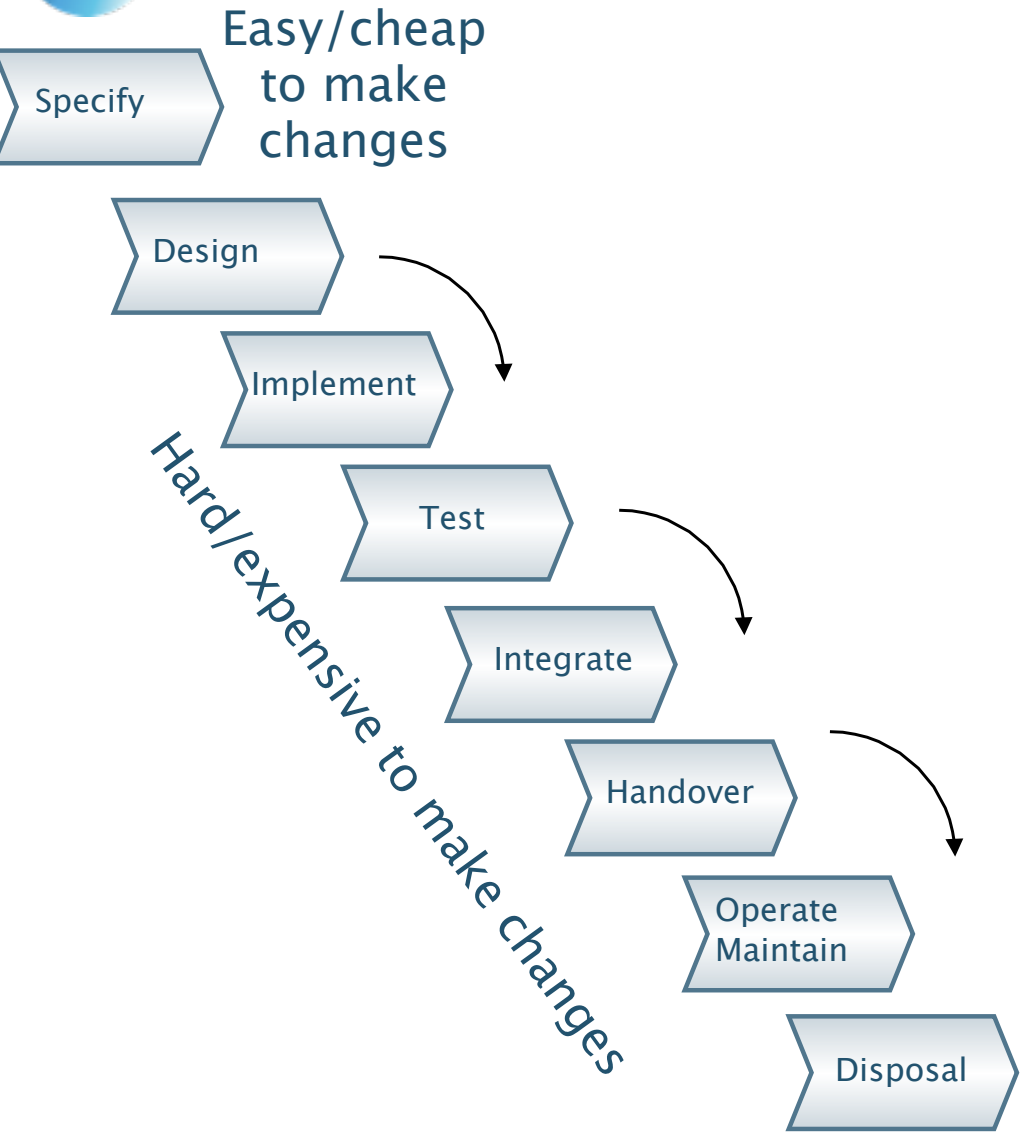
Easy/cheap  
to make  
changes



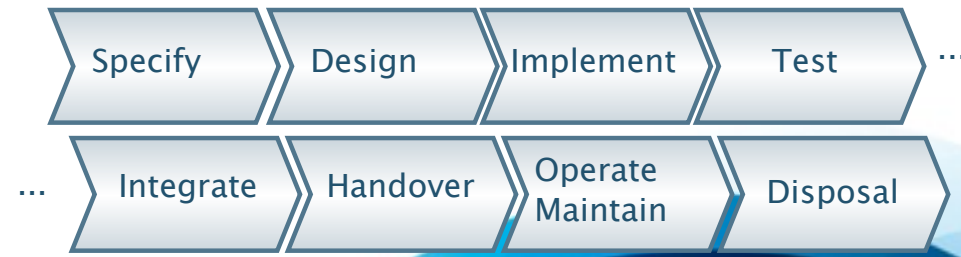
Processes (how)



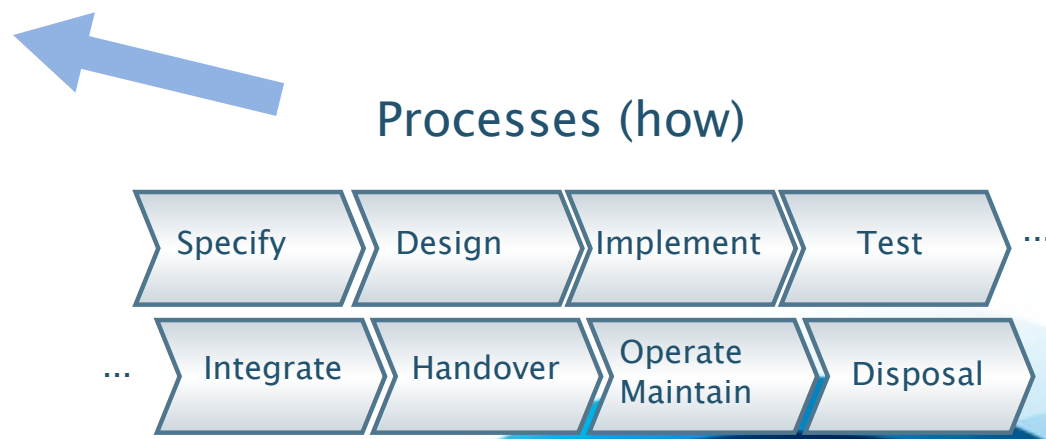
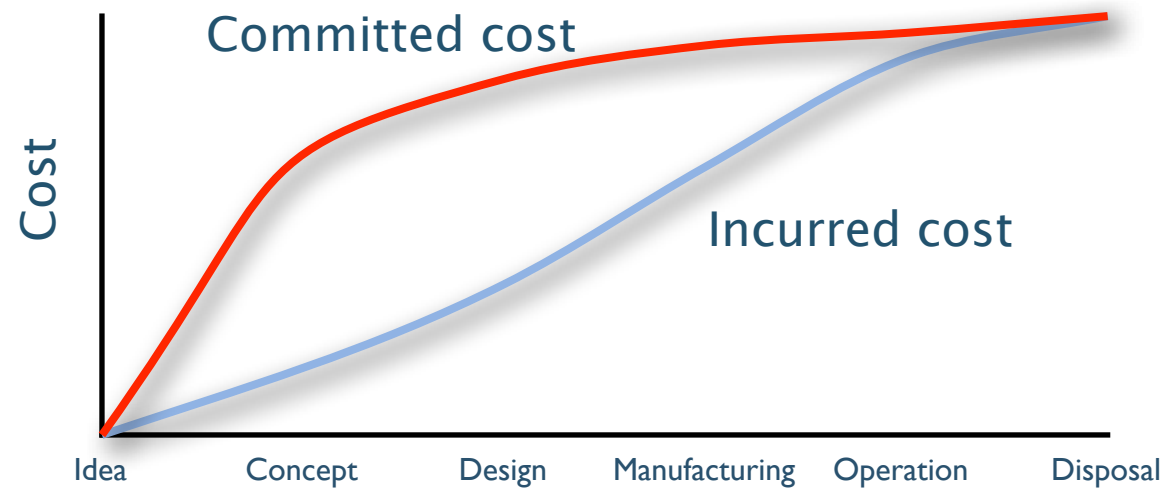
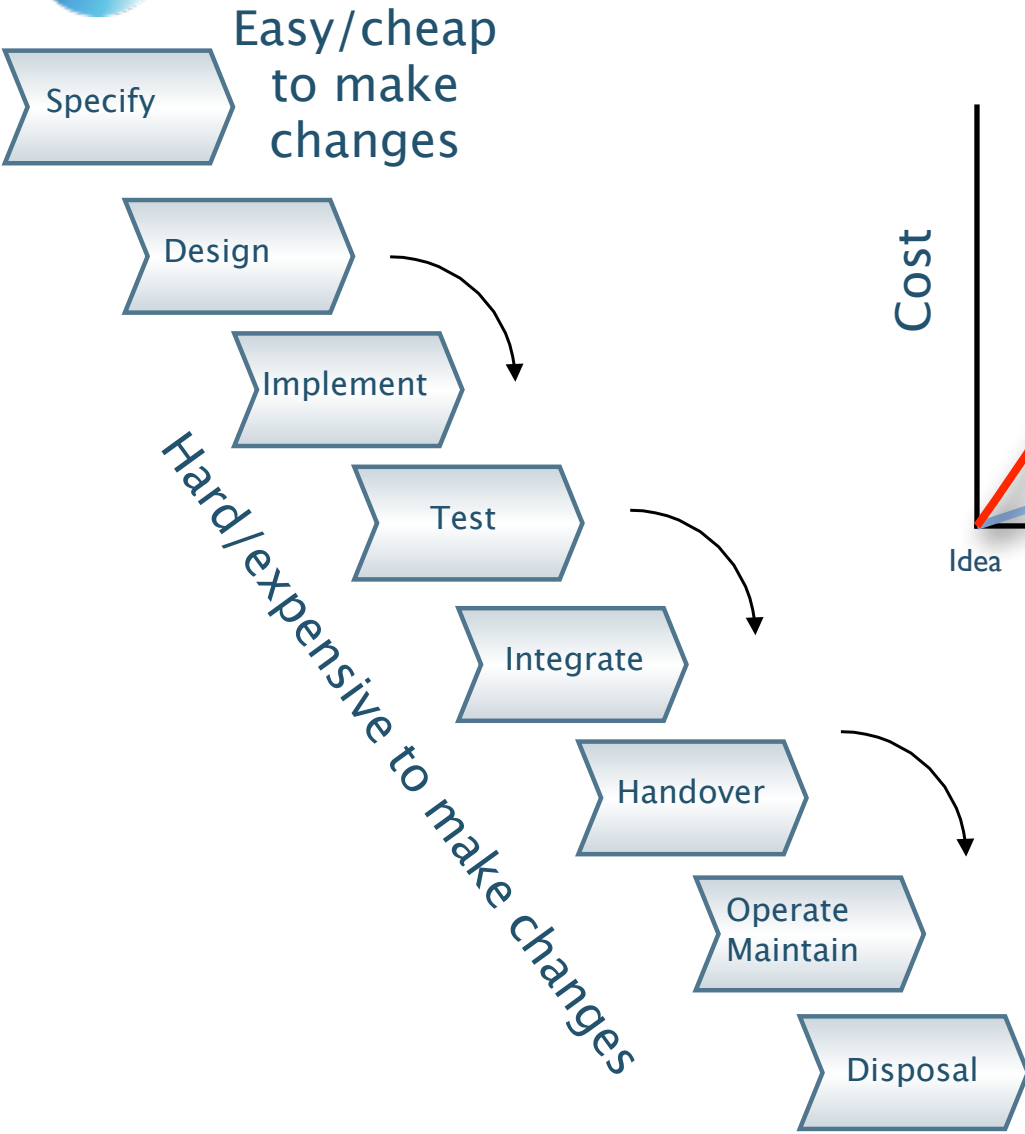
# The waterfall process model



## Processes (how)



# The waterfall process model





# The V cycle model

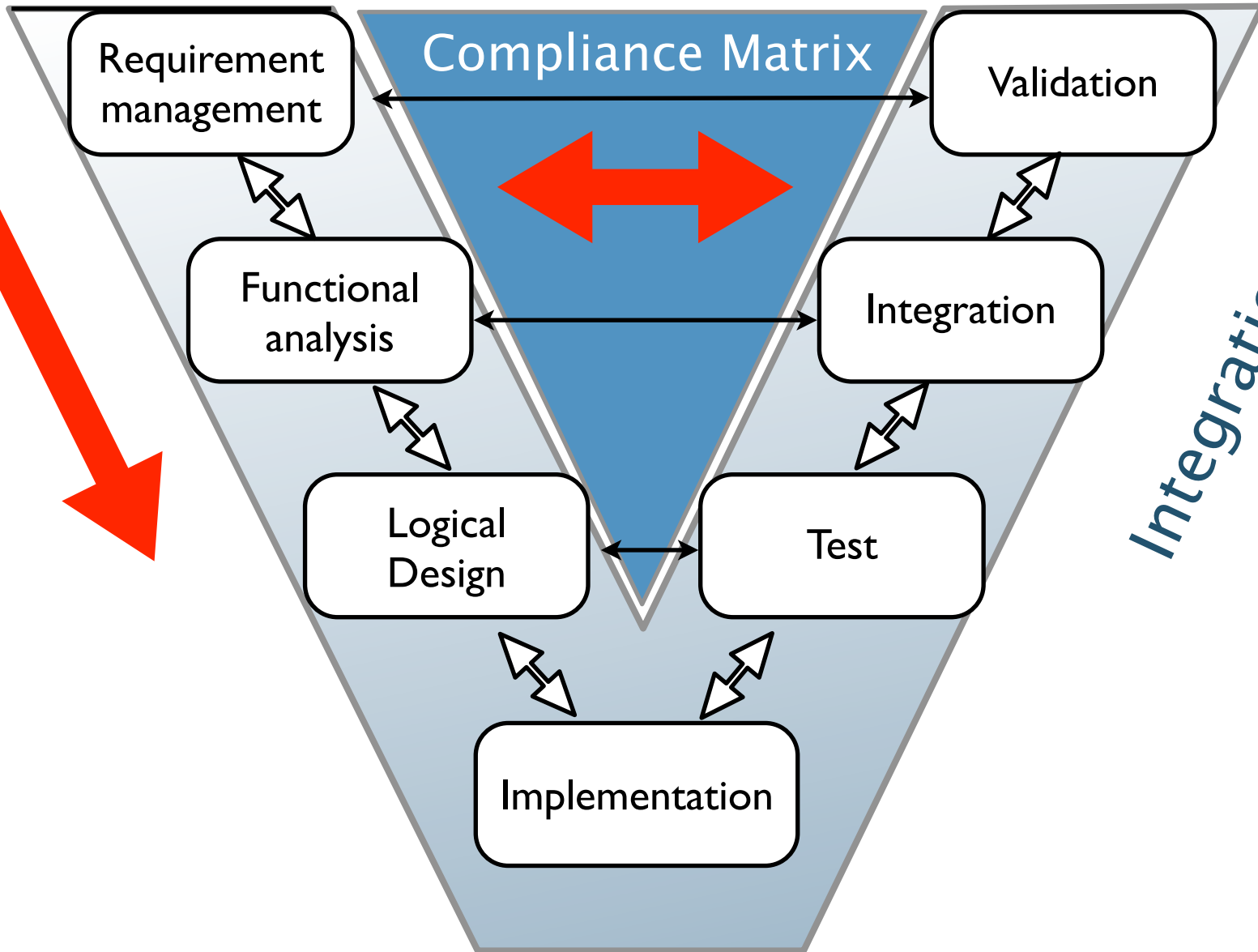
Needs

ESS facility

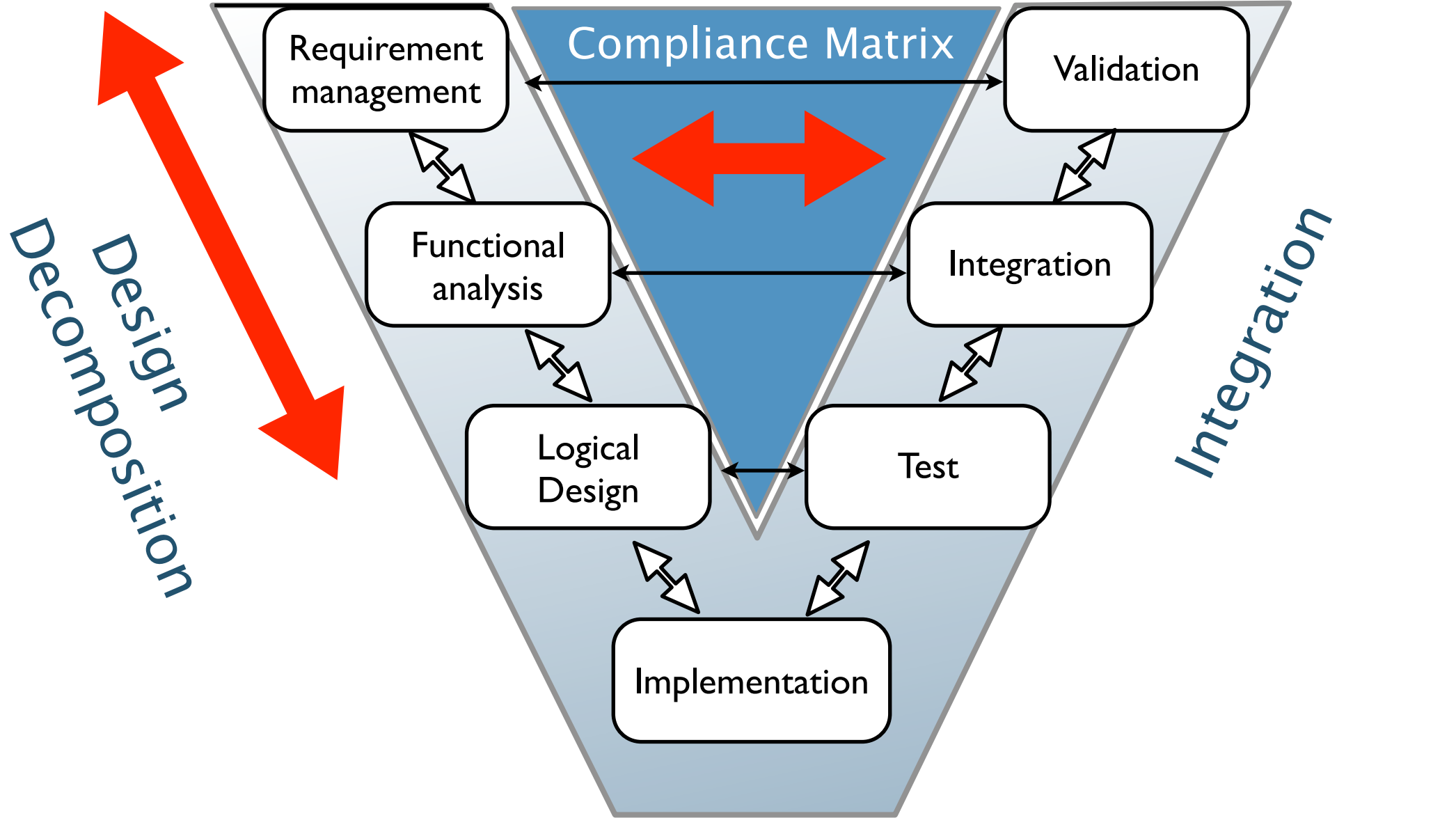
Handover

Design  
Decomposition

Integration

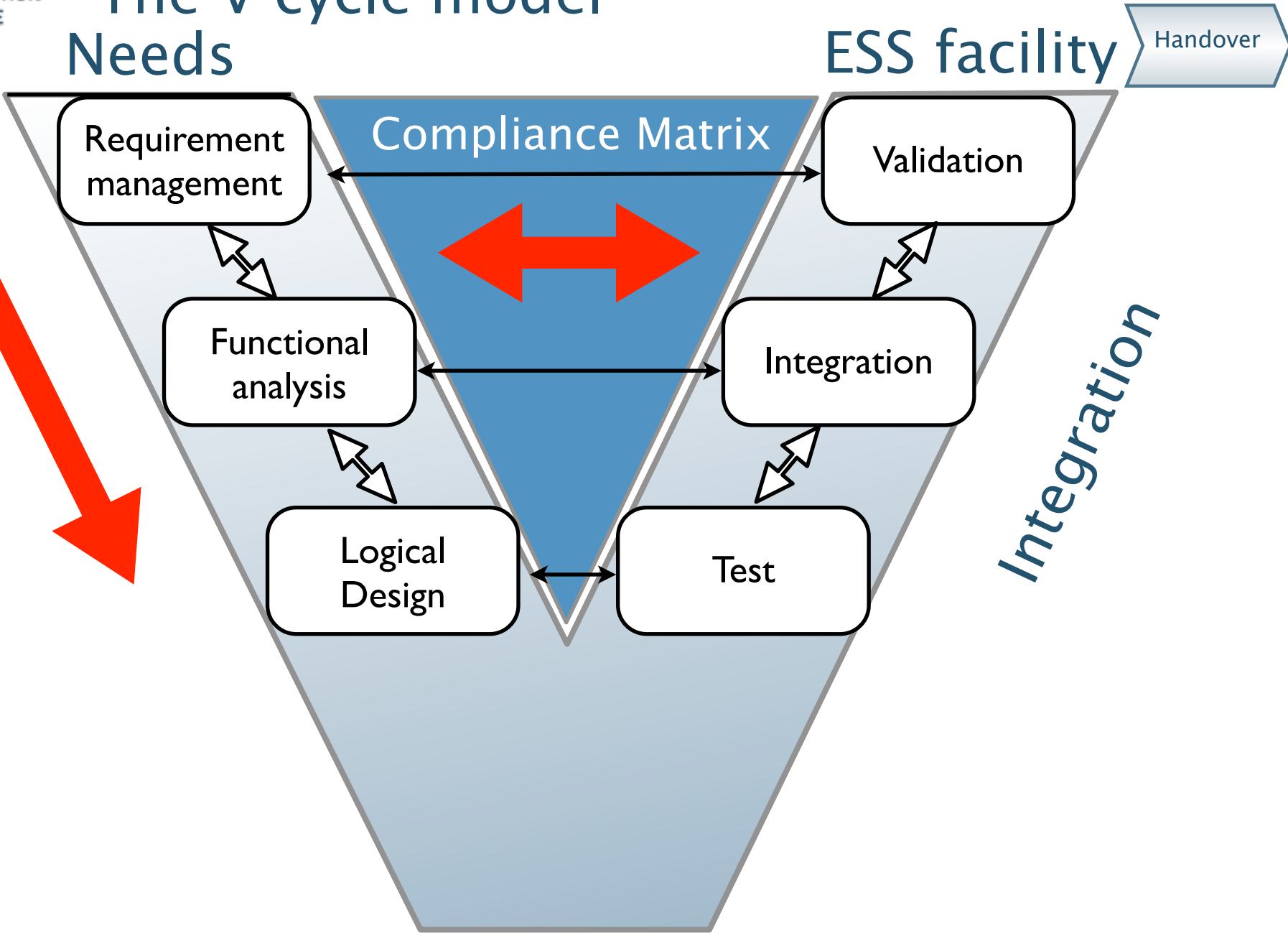


# The V cycle model

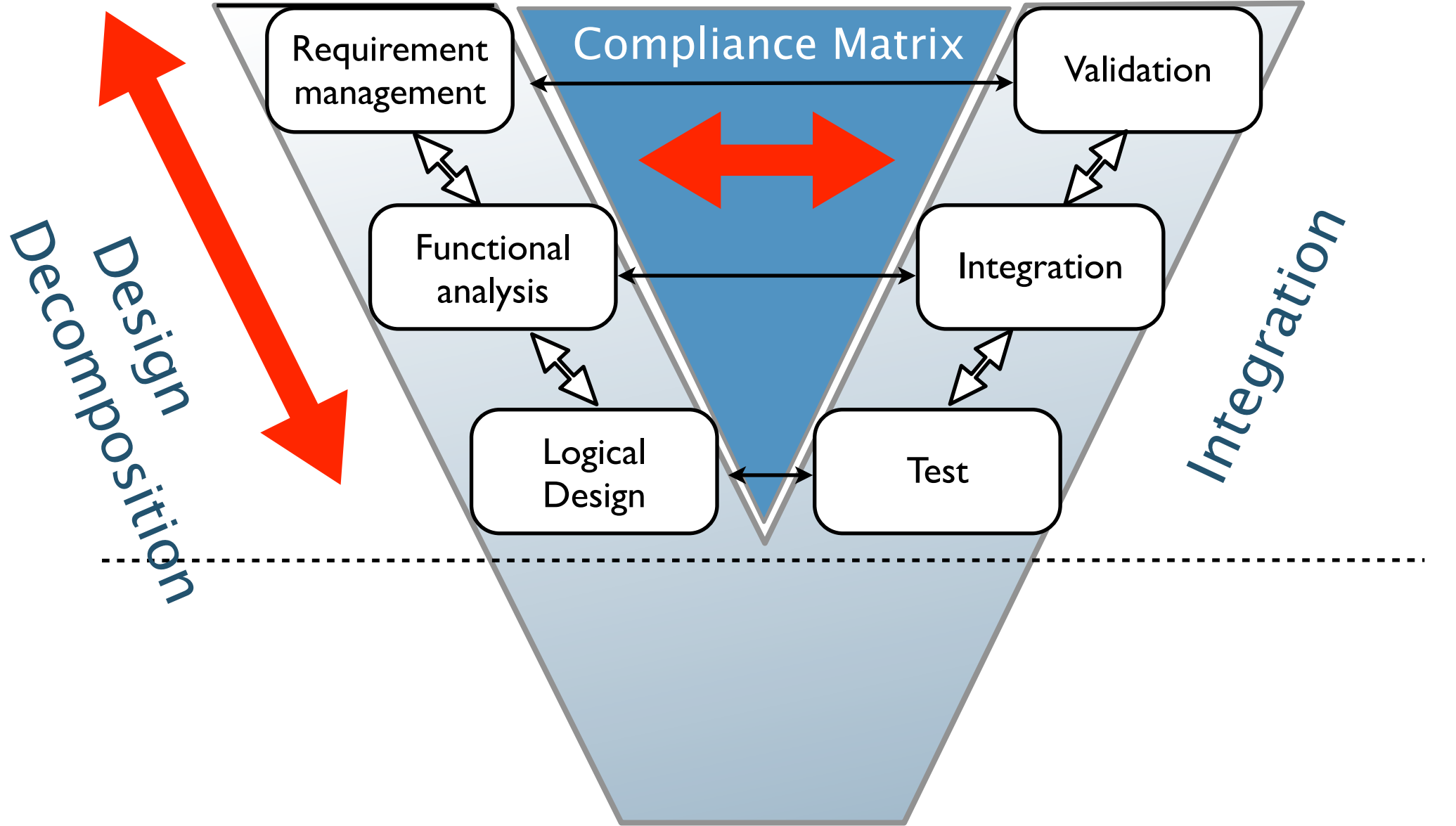


# The V cycle model

Design  
Decomposition

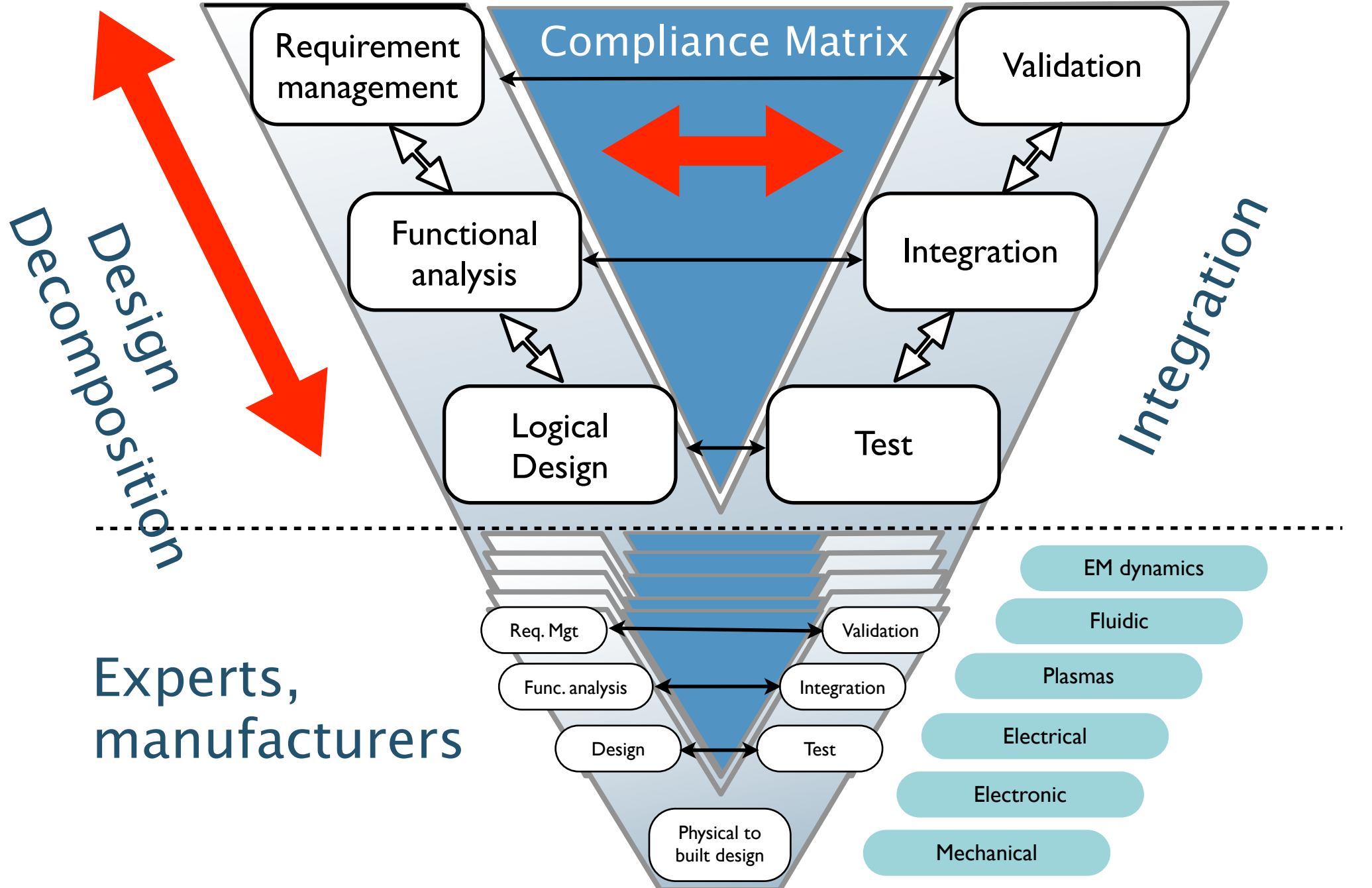


# The V cycle model





# The V cycle model



# Baseline Process to get to design

- State Problem
- Define requirements
- Generate potential solutions
- Define sub-systems
- Flow down requirements to sub-systems
- Evaluate solutions at sub-system level
- Evaluate solutions at system level
- Pick preliminary design
- Iterate as needed

# State the problem

- ➔ This often comes from the customer (users, funding agencies),
- ➔ Make sure you understand the customer's needs.
- ➔ Make sure you understand what the customer wants.
- ➔ **Always, always, always state the problem and not the solution!**

# Define requirements

- ➔ When the requirements are finished, any system that meets them should adequately address the customer's problem.
- ➔ Making assumptions can be dangerous.
- ➔ Make sure that all phases of the life cycle are addressed
- ➔ All systems should have their requirements documented with revision control.
- ➔ Traceability is mandatory.

# Receipe for good requirements

- Each requirement is necessary
- Each should be unique (maybe)
- Identify owner
- Traceable
- Quantitative (gradual or binary)
- Verifiable!
- Approved
- Complete
- Make state conditions clear
- State any assumptions
- Avoid optimize, maximize or minimize
- Life cycle
- State units (i.e. Mars Climate Orbiter)

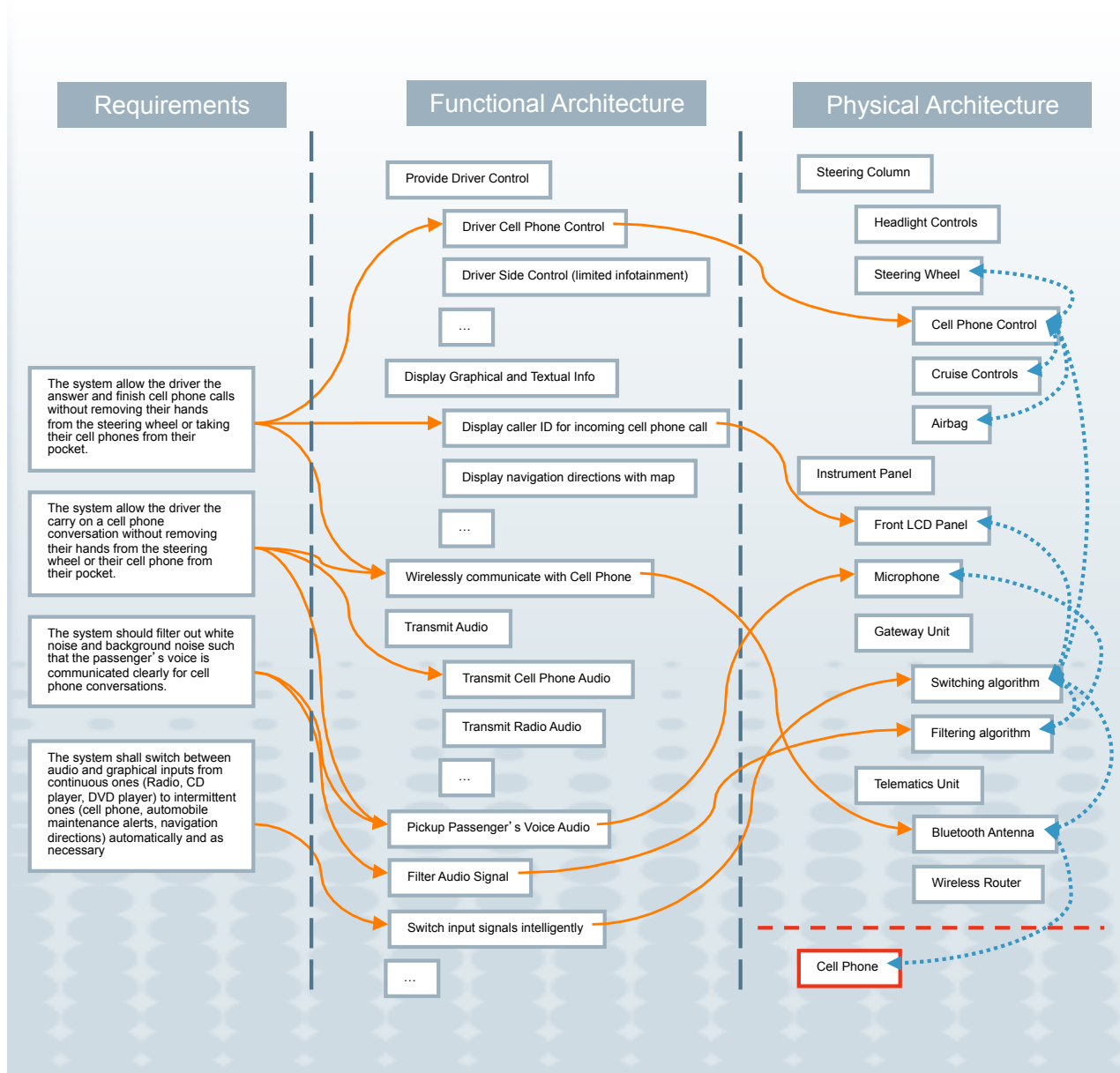


# Verification

- ➔ Inspection.
- ➔ Demonstration.
- ➔ Analysis.
- ➔ Test.
- ➔ Certification.
- ➔ Similarity.
- ➔ Review.



# Traceability



[M. Field, Frontiers 2008]

# Design: define sub-systems

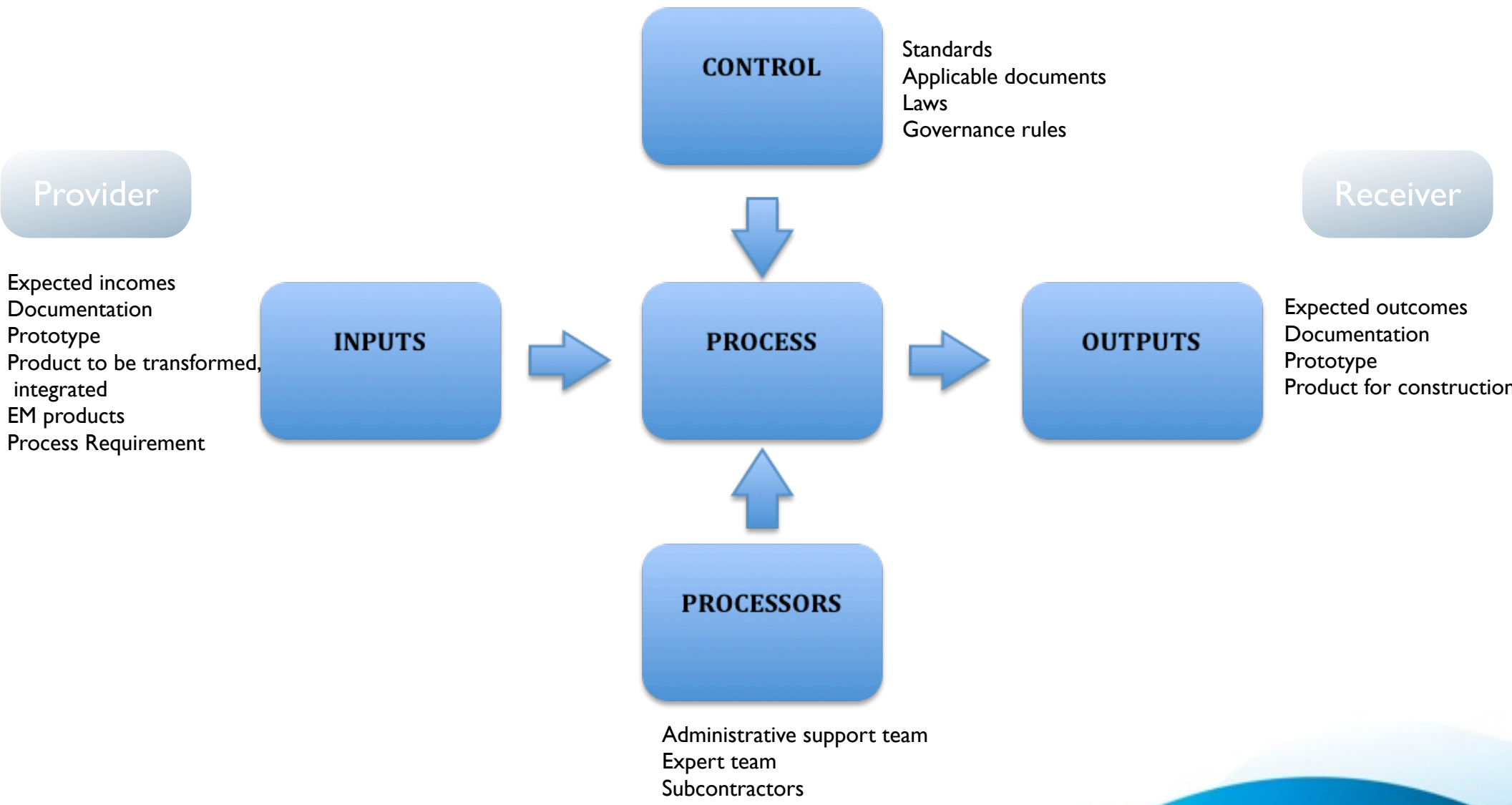
- ➔ Usually broken down by technical disciplines or system functions.
- ➔ One of the tasks of the systems engineers is to give well defined tasks to individuals or teams that can be worked on independently.
- ➔ Try to have clean boundaries between sub-systems.
- ➔ For big programs, there will be formal Interface Control Documents (ICD) between sub-systems
- ➔ The systems engineers get to make tradeoffs between different sub-systems.



# Design: evaluate sub-systems

- ➔ Sub-system designs need to be compared to the requirements.
  - ➔ It should be the goals that determine which ones are better.
  - ➔ If all you have are requirements, then risk and cost usually are the deciding factors.

# Process ingredients



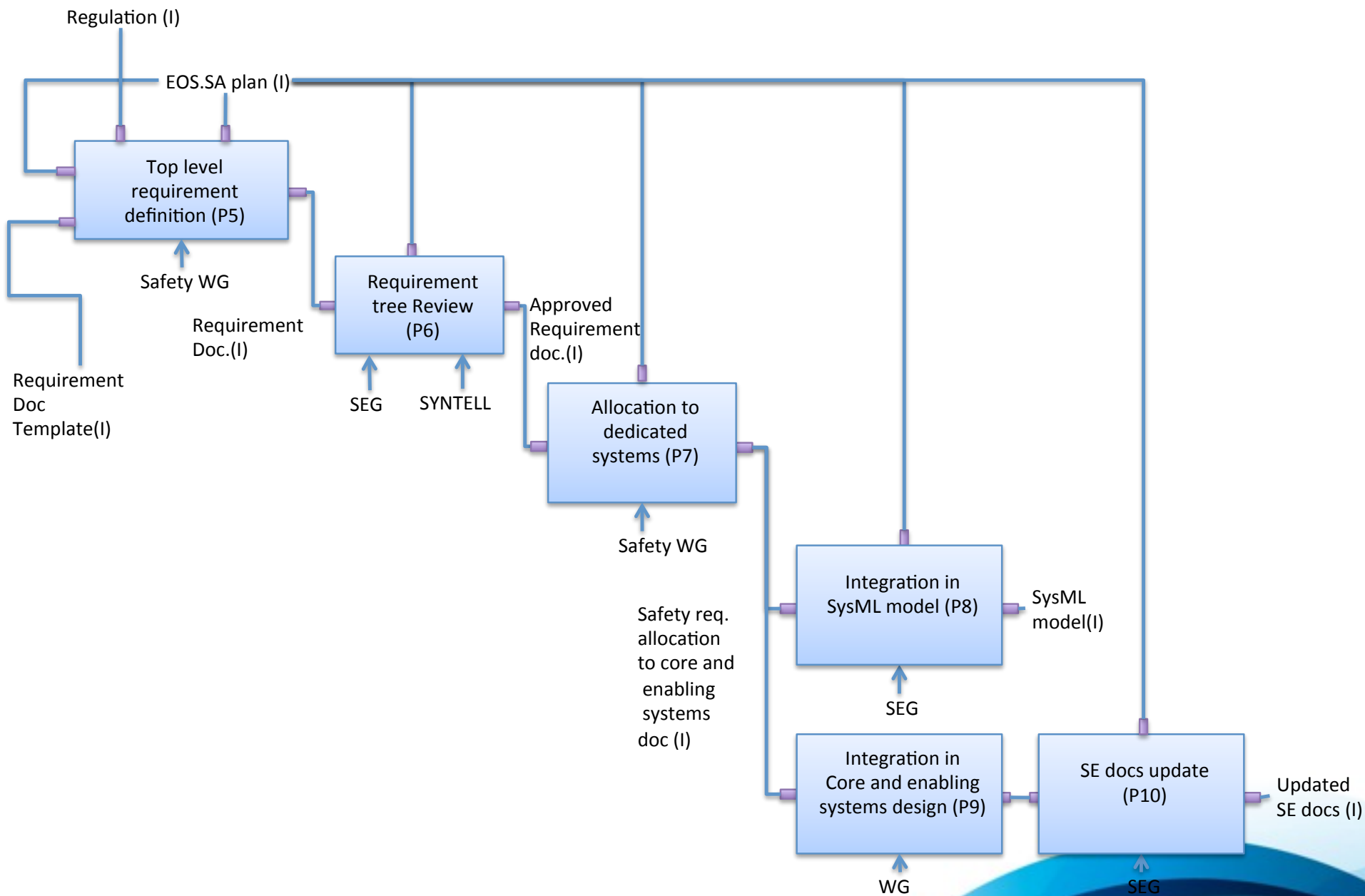


# Process ID

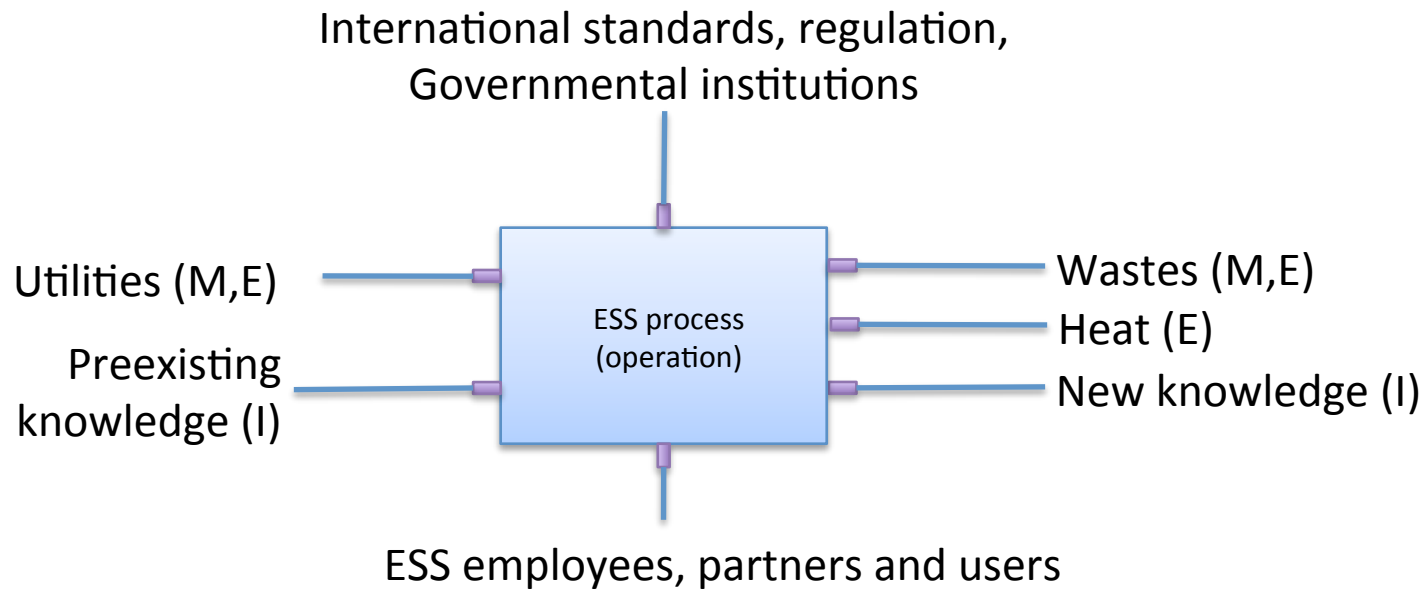
<i>Process name</i>	
<i>Purpose</i>	<i>Goals of the process</i>
<i>Scope</i>	<i>System or field of interest covered by the process</i>
<i>Tasks</i>	<i>Tasks to be implemented</i>
<i>Owner</i>	<i>Stakeholder who has the responsibility for the performance of the process</i>
<i>Client</i>	<i>Recipient of the outputs</i>
<i>Supplier</i>	<i>Provider of the inputs</i>
<i>Processor</i>	<i>Responsible person for the implementation of the process</i>
<i>Input</i>	<i>Data and/or material to be processed</i>
<i>Output</i>	<i>Expected result of the process</i>
<i>Control</i>	<i>Procedures, standards, rules applicable for the implementation of the process</i>
<i>Comments</i>	
<i>References</i>	<i>Documents that are in line with the process</i>



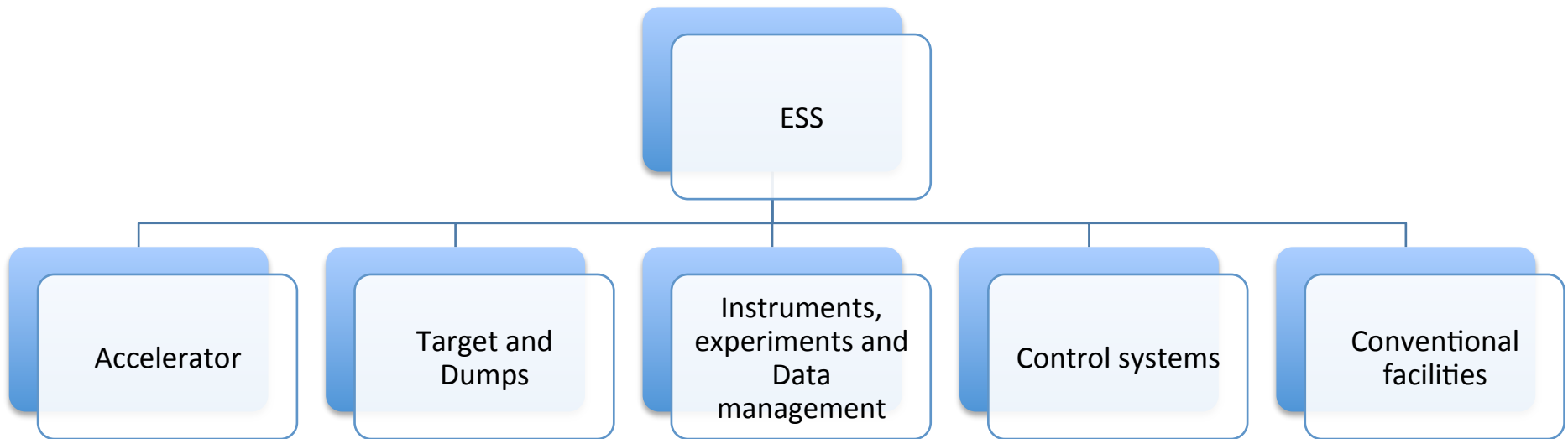
# Workflow example



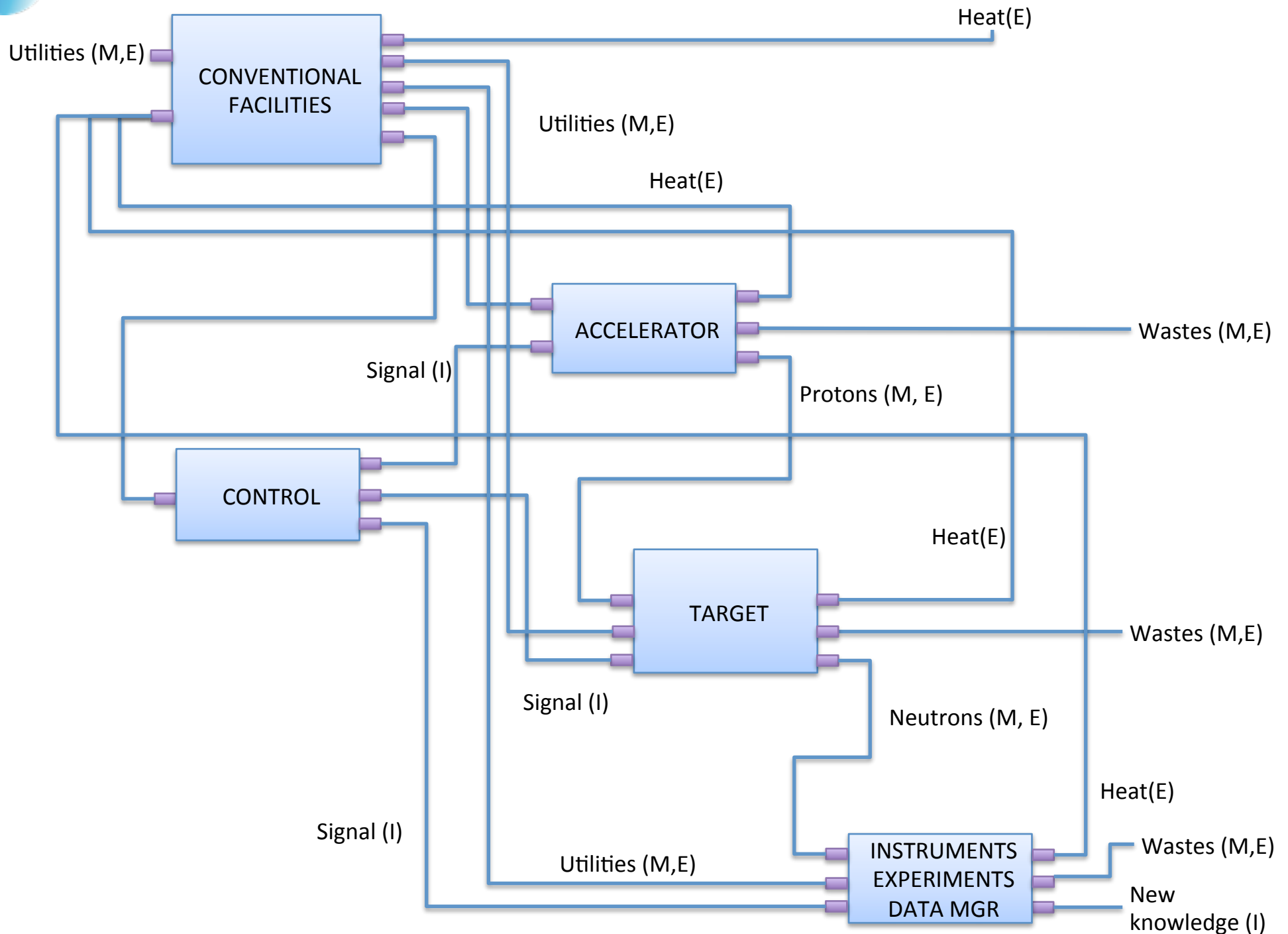
# ESS case (draft): operation process



# ESS case (draft): Architecture – level 1



# ESS case (draft): operation flows – level 1

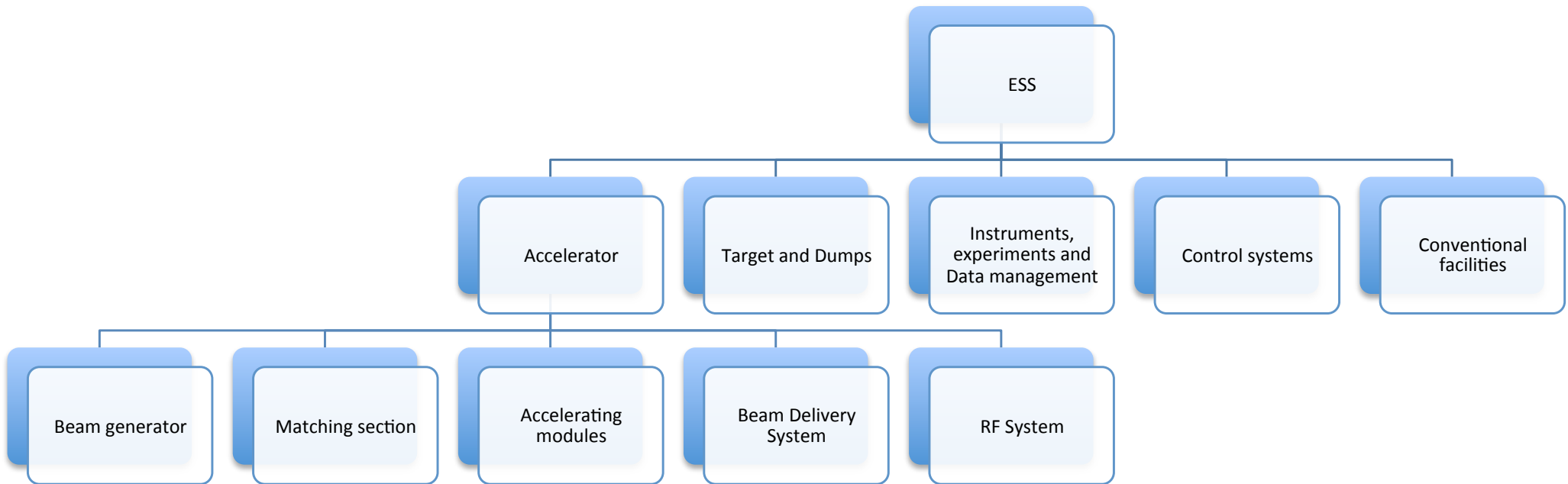


# Accelerator operation requirements (draft)

<b>Long Name</b>	Accelerator systems
<b>Short Name</b>	ACC
<b>Stakeholders (actors)</b>	Maintenance team, operators
<b>Main Functions</b>	<ol style="list-style-type: none"> <li>1. Use matter and energy flows to generate, accelerate and deliver a pulsed proton beam either to the target or the dump.</li> <li>2. Is remotely operationable.</li> <li>3. Is power upgradable.</li> </ol>
<b>Performances</b>	<ol style="list-style-type: none"> <li>1. Beam power up to 5 MW</li> <li>2. Beam Pulse length between 0,05 and <math>2,86 \pm x</math> ms</li> <li>3. Beam repetition rate between 0,1 and <math>14 \pm x</math> Hz</li> <li>4. Output peak proton current up to <math>50 \pm x</math> mA</li> <li>5. Output beam energy = <math>2.5 + x - y</math> GeV</li> <li>6. Upgrade power up to 15 MW</li> </ol>
<b>Constraints</b>	<ol style="list-style-type: none"> <li>1. Conventional and radiation safety requirements</li> <li>2. MTTR &lt; x days.</li> <li>3. MTTF &gt; x days.</li> <li>4. Lean development</li> </ol>
<b>Standards/ regulations</b>	<ol style="list-style-type: none"> <li>1. Norms and standards for the parts</li> <li>2. Swedish regulation and laws</li> </ol>



# ESS case (draft): design – acc. level2



# ESS RF systems requirements (draft)

<b>Long Name</b>	RF systems
<b>Short Name</b>	ACC.RFS
<b>Stakeholders (actors)</b>	Maintenance team, operators, designers
<b>Main Functions</b>	<ol style="list-style-type: none"> <li>1. Use matter and energy flows to generate RF waves.</li> <li>2. Transport RF waves through waveguides and resonators.</li> <li>3. Maintain the stability of the amplitude and phase of the RF waves in resonators.</li> <li>4. Is remotely operationable (amplitude and phase).</li> <li>5. Is power upgradable.</li> </ol>
<b>Performances</b>	<ol style="list-style-type: none"> <li>1. Average Plug to beam efficiency 0,3.</li> <li>2. Peak RF power transferred to beam up to 125 MW.</li> <li>3. RF Pulse length between 0,4 and 3,2 ± x ms.</li> <li>4. RF pulse repetition rate between 0,1 and 14 ± x Hz [TBC].</li> <li>5. Stability: phase ±1 deg, amplitude ±1% [TBC]</li> <li>6. Upgrade peak power power transferred to beam up to 375 MW.</li> </ol>
<b>Constraints</b>	<ol style="list-style-type: none"> <li>1. Conventional and radiation safety requirements</li> <li>2. Use 352,21 MHz and 704,42 MHz RF sources.</li> <li>3. Use one RF source per SC cavity.</li> <li>4. MTTR &lt; x days.</li> <li>5. MTTF &gt; x days.</li> <li>6. Lean development</li> </ol>
<b>Standards/ regulations</b>	<ol style="list-style-type: none"> <li>1. Norms and standards for the parts</li> <li>2. Swedish regulation and laws</li> </ol>

## ESS RF systems verification (draft)

- ➔ The RF system coupled with the accelerator will involve an array of accelerating modules, each module being an array of equipped resonators (cavity, couplers, antenna, tuning system).
- ➔ One strategy for the verification of the RF system may be based on the verification of the modules and sub modules. Combination of these modules being managed by sorting.
- ➔ Thus, to be considered:
  - ➔ Power coupler conditioning.
  - ➔ One accelerating unit (2 cavities, 2 klystrons, 1 modulator).
  - ➔ One module (may include the previous case).
- ➔ Plus: simulations, analysis, reviews, manufacturing controls

# In summary: Model Based Systems Engineering

- ➔ The construction of the ESS will be paved by a huge number of:
  - ➔ products (most of them are not challenging),
  - ➔ processes (most of them are simple),
  - ➔ roles (all are important).
- ➔ And thus a huge number of interfaces.
- ➔ Most of the processes are common sense, but the quantity creates the complexity.
- ➔ This complexity can **ONLY** be managed by a systematic approach, documented.
- ➔ From this view, traceability from requirements to verification is mandatory.