

# Black Holes, Gravity, and Information Theory

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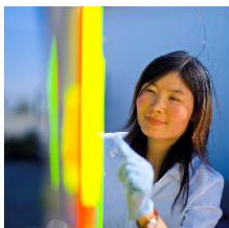
## ABSTRACT

A particle physicist looks at new ideas about black holes and gravity. Already with some successful verification, the new ideas challenge long accepted views about the nature of the world. Disclaimer: these ideas are *not* due to me, I am just an experimentalist trying to make some sense of them.



#### Call for Papers

#### Special Section of the Journal of Photonics for Energy (JPE) on Nonimaging Optics: Efficient Design for Illumination and Solar Concentration



**Guest Editors: Roland Winston, Univ. of California, Merced (United States); Jeffrey M. Gordon, Ben-Gurion Univ. of the Negev (Israel)**

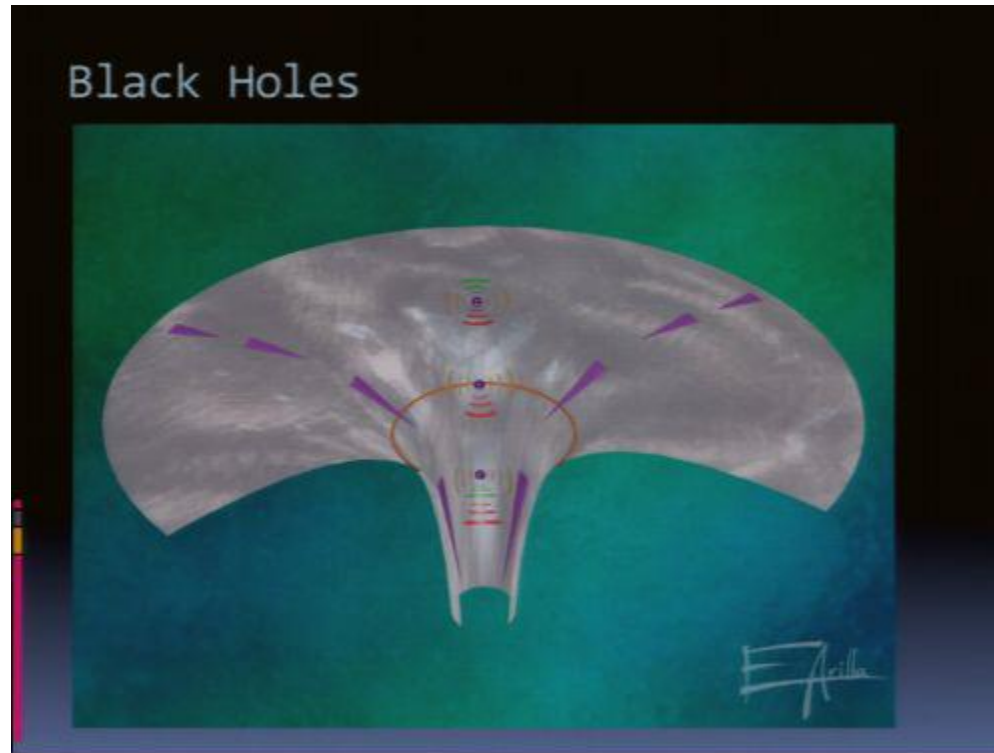
This Call for Papers is open to all interested applicants that have original and *not yet published* scientific work in the broad area of Nonimaging Optics: Efficient Design for Illumination and Solar Concentration.

This Special Section of JPE will center on fundamental studies to critical design issues and practical applications of nonimaging optics including theory and its application to the design and experimental realization of illumination and concentration systems, tailored freeform optics, display backlighting, condenser optics, high-flux solar and infrared concentration, day lighting, LED optical systems, laser pumping, and luminaires. Examples of research in these areas include but are not limited to radiative transfer near the étendue limit, concentrator optics, illumination and irradiation optics, solar photovoltaic and solar thermal concentration, fiber-optic and light-pipe optical systems, radiometry, day lighting, characterization of light-transfer devices, freeform optics, optical furnaces and radiative heating, infrared detection, LED applications, laser pumping, and condenser optics.

*Manuscripts due January 12, 2012.*



## Black Hole Thermodynamics Informs Solar Energy Conversion



**S. Chandrasekhar, the most distinguished astrophysicist of the 20<sup>th</sup> century discovered that massive stars collapse (neutron stars, even black hole)**

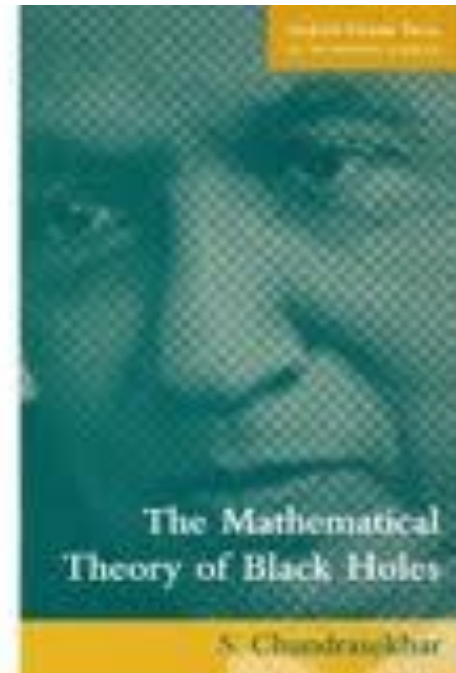
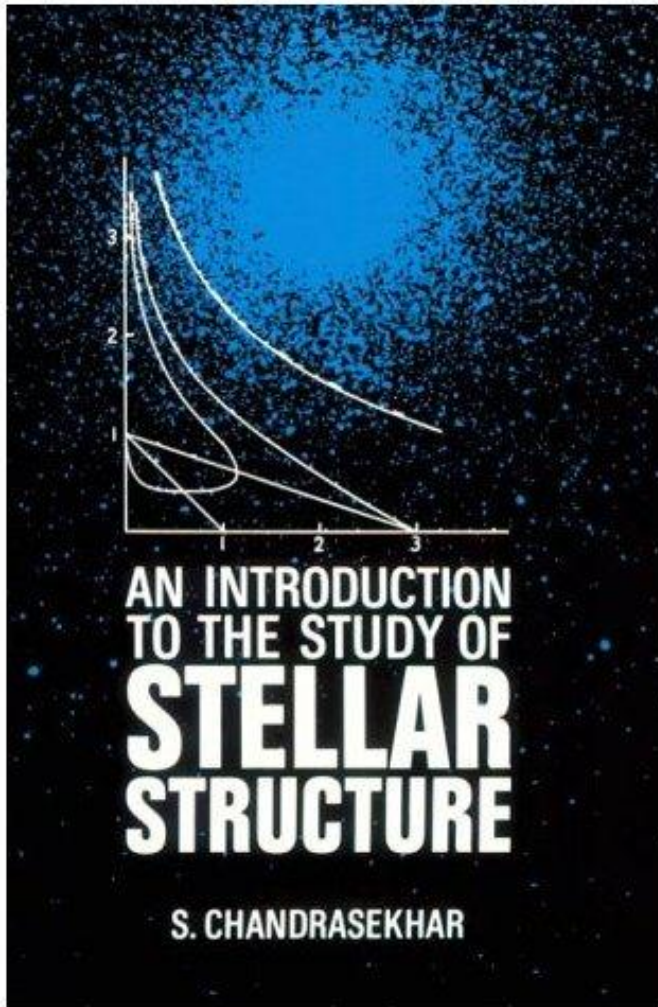


## **On the Non-Radial Oscillations of a Star II. Further Amplifications**

Subrahmanyan Chandrasekhar, Valeria Ferrari and Roland Winston

*Proc. R. Soc. Lond. A* 1991 **434**, 635-641  
doi: 10.1098/rspa.1991.0117







# Invention of the Second Law of Thermodynamics by Sadi Carnot



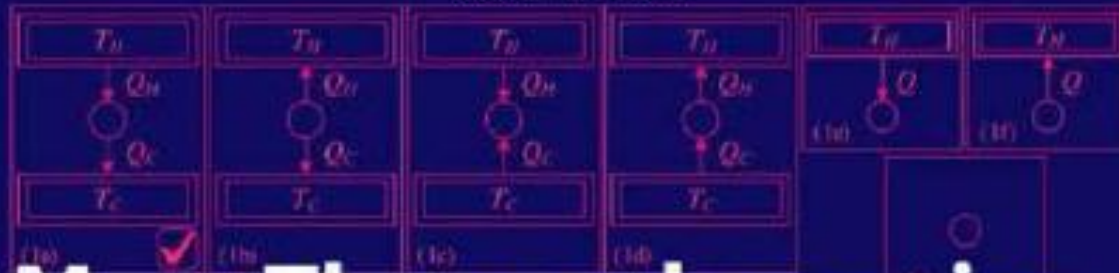


# Invention of Entropy

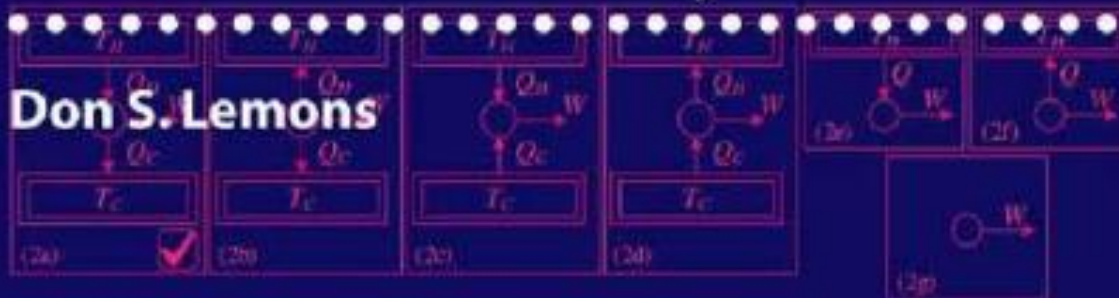
## (The Second Law of Thermodynamics)

- Sadi Carnot had fought with Napoleon, but by 1824 was a student studying physics in Paris. In that year he wrote:
- Reflections on the Motive Power of Heat and on Machines fitted to Develop that Power.
- The conservation of energy (the first law of thermodynamics) had not yet been discovered, heat was considered a conserved fluid—"caloric"
- So ENTROPY (the second law of thermodynamics) was discovered first.
- A discovery way more significant than all of Napoleon's conquests! (personal bias)

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# Mere Thermodynamics





$$TdS = dE + PdV$$

is arguably the most important equation in Science  
If we were asked to predict what currently accepted principle would be valid 1,000 years from now,  
The Second Law would be a good bet (personal bias)  
From this we can derive entropic forces  $\mathbf{F} = T \mathbf{grad} S$   
The S-B radiation law (const.  $T^4$ )  
Information theory (Shannon, Gabor)  
Accelerated expansion of the Universe  
Even Gravity!  
And much more modestly----

The design of thermodynamically efficient optics

# BLACK HOLE THERMODYNAMICS

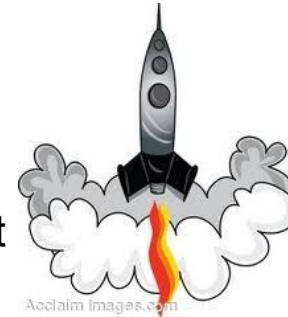
## THE HORIZON FROM WHICH LIGHT CAN'T ESCAPE

$(\frac{1}{2} mv^2) = G Mm/R$   $G$  is Newton's gravitational constant

The horizon radius is  $R = 2MG/v^2$

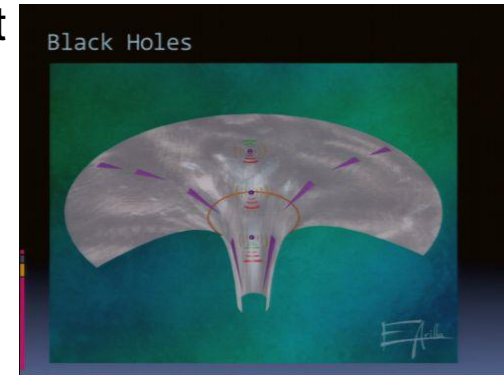
Change  $2MG/v^2$  to  $2MG/c^2$  and hope for the best

At least the units are right, **and so is the value!**



## THE SCHARZSCHILD RADIUS

Is this some exotic place, out of Star Trek?



**The fact is, we could be at the horizon and not even notice!**

Just place a sufficient mass at the center of the Milky way ( $\sim 27,000$  LY from us)

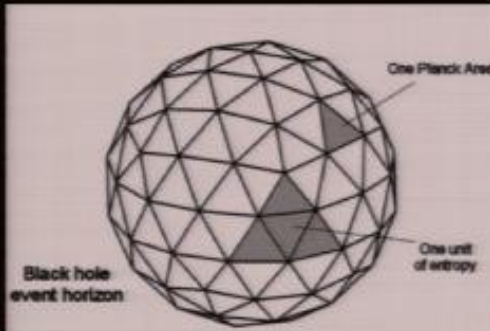
$$M = Rc^2/2G$$

$$g = \frac{MG}{R^2} = 0.5 \frac{c^2}{R} = 0.5 \frac{c^2}{27,000 LY} = \frac{5}{27,000} \sim \mathbf{0.0002} \text{ meters/sec}^2$$

( $LY = |c^2/10| \text{ meters}$ )

compared to terrestrial  $g \sim \mathbf{9.8} \text{ meters/sec}^2$

## Black Hole Entropy



$$S_{BH} = k_B \frac{Ac^3}{4G\hbar}$$

Finding the temperature

$$\begin{aligned} \frac{1}{2} kT \times N &= Mc^2 \\ kT &= 2Mc^2/N = \hbar c^3/(8\pi GM) \end{aligned}$$

Stephen Hawking  
Jacob Bekenstein  
John Wheeler  
Max Planck



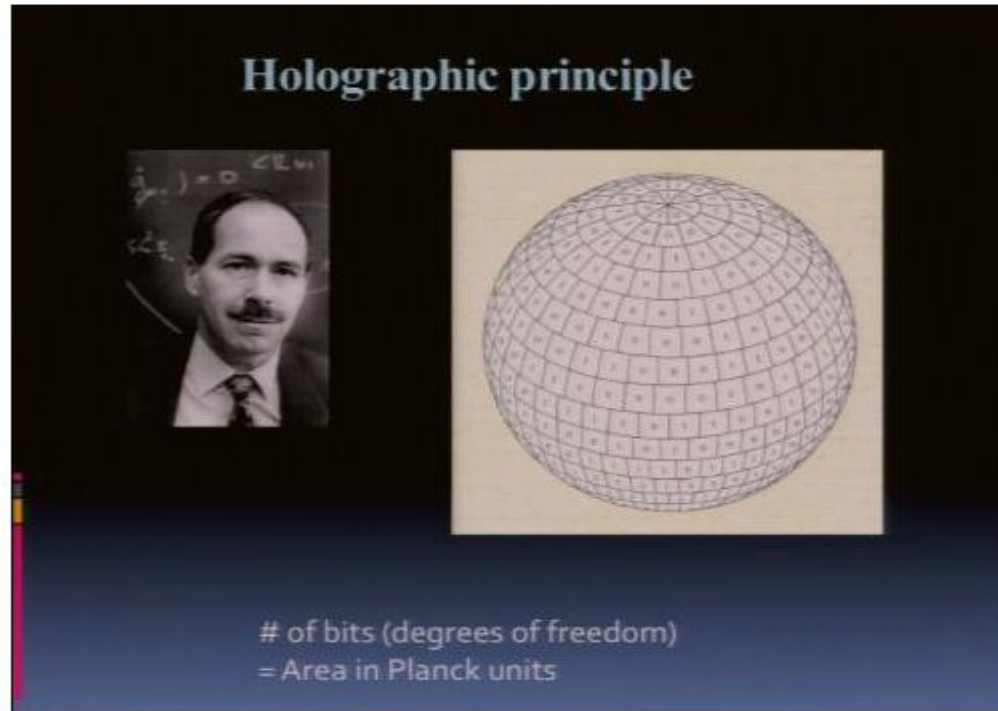
PLANCK AREA  $A_P = \frac{G\hbar}{c^3} = 2.7 \times 10^{-70} \text{ Meter}^2$

$\frac{1}{2} kT$  per degree of freedom

$N = \text{number of degrees of freedom} = A/A_P = 4\pi R^2 c^3 / G\hbar = 16\pi GM^2 / \hbar c$

Total Energy  $= Mc^2 = \frac{1}{2} kT \times N$

## Gerardus 't Hooft



$A_P = 1$  pixel on horizon surface or 1 bit of information –Holographic Principle t'Hooft

$$1 \text{ planck area} = 2.61209 \times 10^{-70} \text{ m}^2$$





Erik Verlinde (Spinoza Prize 2011) lecturing at the Perimeter Institute  
5.12.2010 Home of Lee Smolin and colleagues

$A_p$  = one degree of freedom on horizon surface (1 pixel)

All information is stored on this surface (holographic principle, 't Hooft)

Equipartition of normal matter  $\frac{1}{2}kT/\text{degree of freedom} = \frac{1}{2}kT/N$

$$N = \text{number of degrees of freedom} = \frac{A}{A_p} = \frac{4\pi R^2 c^3}{G\hbar} \quad \text{recall } (R = 2MG/c^2)$$

$$N = 16\pi GM^2/\hbar c$$

Finding the temperature

$$\frac{1}{2} kT \times N = Mc^2 \text{ then } kT = 2Mc^2/N = \hbar c^3/(8\pi GM)$$

Later we will need  $1/kT = 8\pi GM/\hbar c^3 = 4\pi R/\hbar c$

Deriving the Black Hole entropy purely from thermodynamics

Build up the black hole by adding mass in small increments

Use the second law  $TdS = dE = c^2 dM$

$$dS/k = c^2 dM/kT = 8\pi c^2 GM dM/\hbar c^3$$

$$S/k = 4\pi GM^2/\hbar c^3$$

Recall  $R = 2GM/c^2$ , so

$$S/k = \pi R^2 c^3/G\hbar = \frac{1}{4} A/A_p \text{ (we recover Hawking's factor of 4)}$$

---

I was so happy with his result, I emailed it to our “cosmo club” last November  
Even Eli Yablonovitch (UC Berkeley) liked it!

Entropy of a string of zero's and one's  
0111001010111000011101011010101

The connection between entropy and the information is well known. The entropy of a system measures one's uncertainty or lack of information about the actual internal configuration of the system, suppose that all that is known about the internal configuration of a system is that it may be found in any of a number of states with probability  $p_n$  for the  $n$ th state. Then the entropy associated with the system is given by Shannon's formula:

$$S = -k \sum_n p_n \ln(p_n)$$





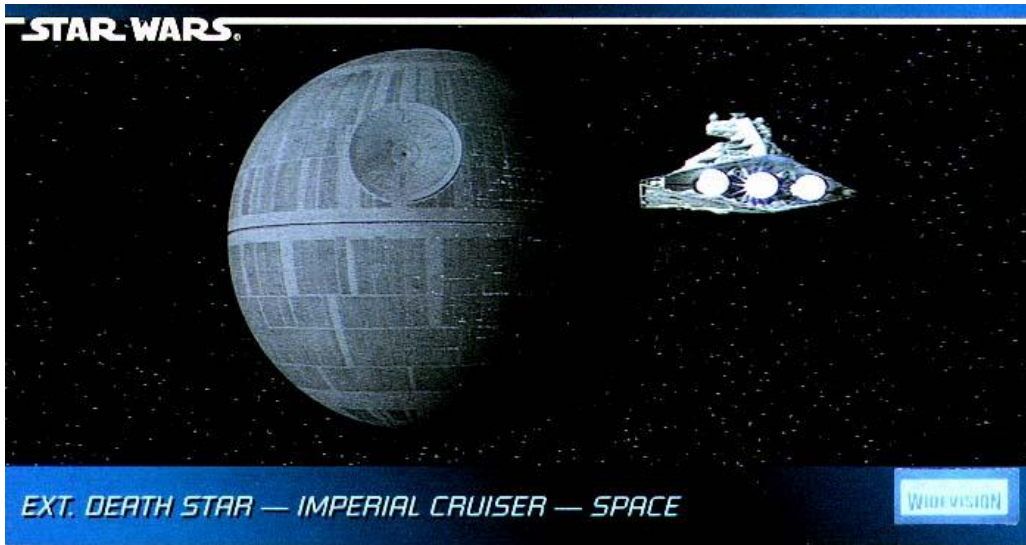
The conventional unit of information is the “bit” which may be defined as the information available when the answer to a yes-or-no question is precisely known (zero entropy). According to the scheme (11) a bit is also numerically equal to the maximum entropy that can be associated with a yes-or-no question, i.e., the entropy when no information whatsoever is available about the answer. One easily finds that the entropy function (10) is maximized when  $p_{\text{yes}} = p_{\text{no}} = \frac{1}{2}$ . Thus, in our units, one bit is equal to  $\ln 2$  of information.

$S = k (\ln 2) N$  or as modified by Hawking

$S = k(1/4) N$  for a Black Hole

“Gravity is moving information around”

Erik Verlinde



As we fall into the death star, information we send decreases due to red shift  
Like the lower frequency of the horn sound from a receding train

On board the rocket ship 0111001010111000011101011010101

Further away 0 1 1 1 0 0 1 0 1 0 1 1 1 0 0 0 1 1 1 0 1 0 1 1

Still further away 0 1 1 1 0 0 1

Still further 0 1 1 1

Finally, behind the horizon **goodbye**

# Information content of the world

Notice Shannon's entropy maximizes for all  $P_n = \frac{1}{2}$  (total ignorance)

Maximum  $S/k = N \log 2$  where  $N$  is the number of bits.

So 1 bit (1 degree of freedom) is  $\log 2$  of information.

Seth Lloyd[10] generated a formula the number of operations  $N$  or events that can have taken place in volume with radius  $r$  over a time  $t$  is

$$N = \frac{rt}{\ell_{Pl} t_{Pl}} = \frac{10^{26} \text{ m} \times 4.32 \times 10^{17} \text{ sec}}{1.616252(81) \times 10^{-35} \text{ m} \times 5.3924(27) \times 10^{-44} \text{ s}} = 0.5 \times 10^{122} \quad (1)$$

We can compare this to the number of bits set by the entropy of a horizon

$$S_H = \frac{k_B c^3}{G \hbar} \frac{A}{4} = \frac{k_B c^3}{G \hbar} \pi R_H^2 = \frac{k_B c^3}{G \hbar} \pi \left( \frac{c}{H} \right)^2 \sim (2.6 \pm 0.3) \times 10^{122} k_B \quad (2)$$

Note that these two agree to an amazing factor of order unity. The relationship one expects is that  $S = N \ln(2) k_B$  where  $\ln(2) = 0.693$ , so that the factor is  $F = 2.6 / (0.693 \times 0.5) = 7.5$ .

Seth Lloyd was Lin Tian's advisor

# Acceleration of the World

From  $TdS = Fdx$  (entropic force)

direction of an entropic force is increase  $S$  or  $R$

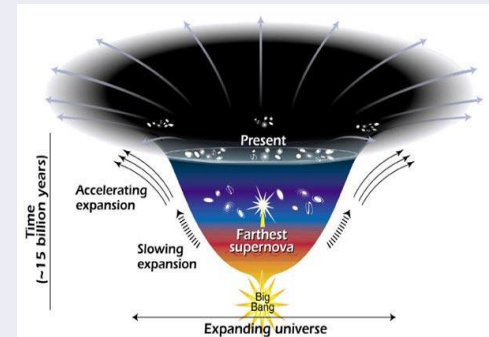
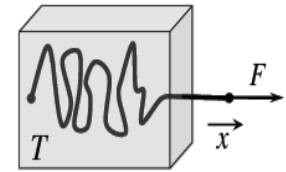
$$F = T \nabla S \quad \left( \text{recall } \frac{S}{k} = \frac{1}{4} \frac{A}{A_p} = \pi R^2 \frac{c^3}{G\hbar} \right)$$

$$kT = \frac{\hbar c}{4\pi R}$$

$$F = \frac{c^4}{2G} \quad (\text{outward to horizon})$$

$$\text{“Pressure”} = \text{Tension} = \frac{F}{4\pi R^2} = \frac{1}{8\pi G R^2} \quad \left( \sim \frac{1}{3} \rho_{\text{critical}} c^2 \right)$$

$$\rho_{\text{critical}} = \frac{3c^2}{8\pi G R^2} \quad R = R_H \text{ (Hubble radius)} \sim c \, 13.7 \times 10^9 \text{ LY}$$





# Connection with Unruh Temperature

- $kT = a/2\pi$  At  $R_H$  (horizon)
- $a = 0.5 c^2/R_H = 5/\text{LY} \sim 5/13.7 \times 10^9$   
 $\sim 0.4 \times 10^{-9} \text{ meters/sec}^2$
- $kT = \hbar c/(4\pi R)$
- $T \sim 10^{-30}$  Kelvin At  $R_H$  (horizon)

## Some scaling laws

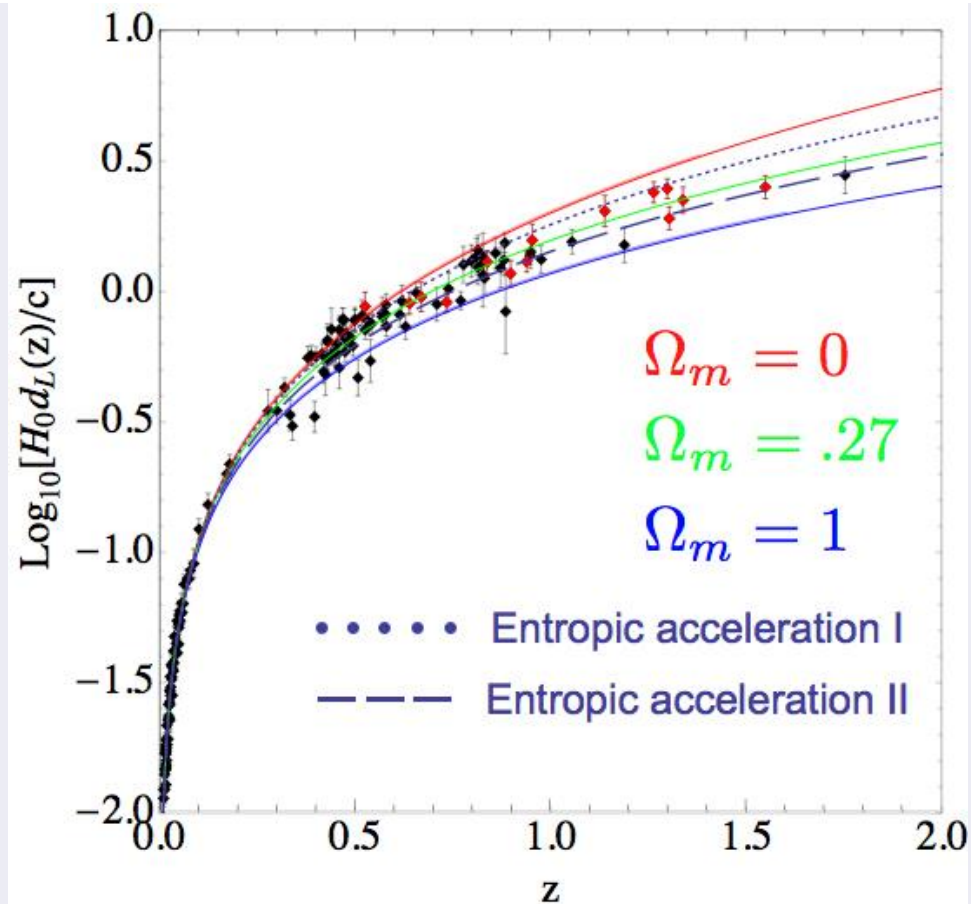
$$T \sim R$$

$$a \sim R$$

$$N \sim R^2$$

$$S \sim R^2$$

# From G. Smoot *et al*, 2010





**George Smoot, Nobel Prize 2006,\$1M quiz show prize Are You Smarter than a 5th Grader? 2009**



What about General Relativity?

$$\Phi = \log \xi^a \xi_a$$

$\xi^a$  = timelike Killing vector

Surface of constant redshift



$$k_B T = \frac{1}{2\pi} \frac{\hbar}{c} \nabla \Phi$$

$$dn = \frac{c^3}{G\hbar} dA$$

$$\int \nabla \Phi dA = 8\pi GM$$

Komar mass

=> Einstein equation





# EPILOGUE

In case you have been wondering,  
WHERE IS UC MERCED???

# Where we are





UC Merced Campus  
Under Construction January 2004

Photo By Hans Marsen



Thank you...



# Thermodynamically Efficient Solar Concentration

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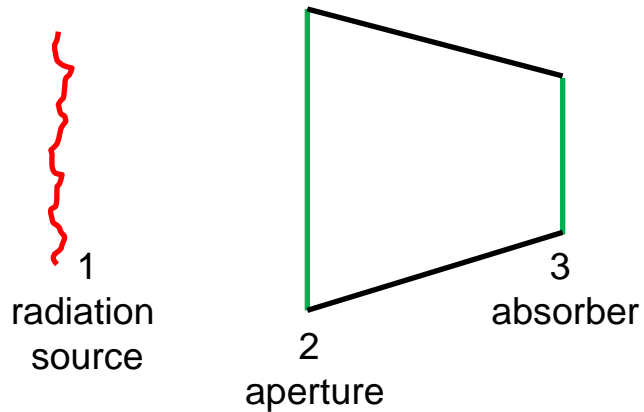
*<http://ucsolar.org>*

City University of Hong Kong 3/27/2012

## **ABSTRACT**

Thermodynamically efficient optical designs are dramatically improving the performance and cost effectiveness of solar concentrating and illumination systems.

# The general concentrator problem

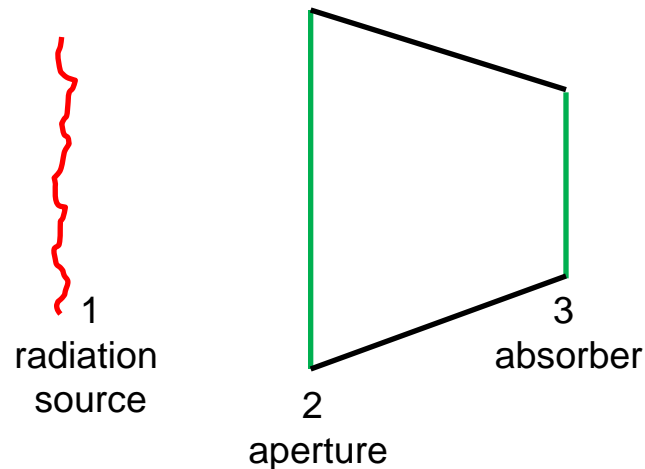


Concentration  $C$  is defined as  $A_2/A_3$

What is the “best” design?



# Characteristics of an optimal concentrator design



Let Source be maintained at  $T_1$  (sun)

Then  $T_3$  will reach  $T_1 \leftrightarrow P_{31} = 1$

Proof:  $q_{13} = \sigma T_1^4 A_1 P_{13} = \sigma T_3^4 A_3 P_{31}$

But  $q_3 total = \sigma T_3^4 \times A_3 \geq q_{13}$  at steady state

$T_3 \leq T_1$  (second law)  $\rightarrow P_{31} = 1 \leftrightarrow T_3 = T_1$

Summary:

For a thermodynamically efficient design

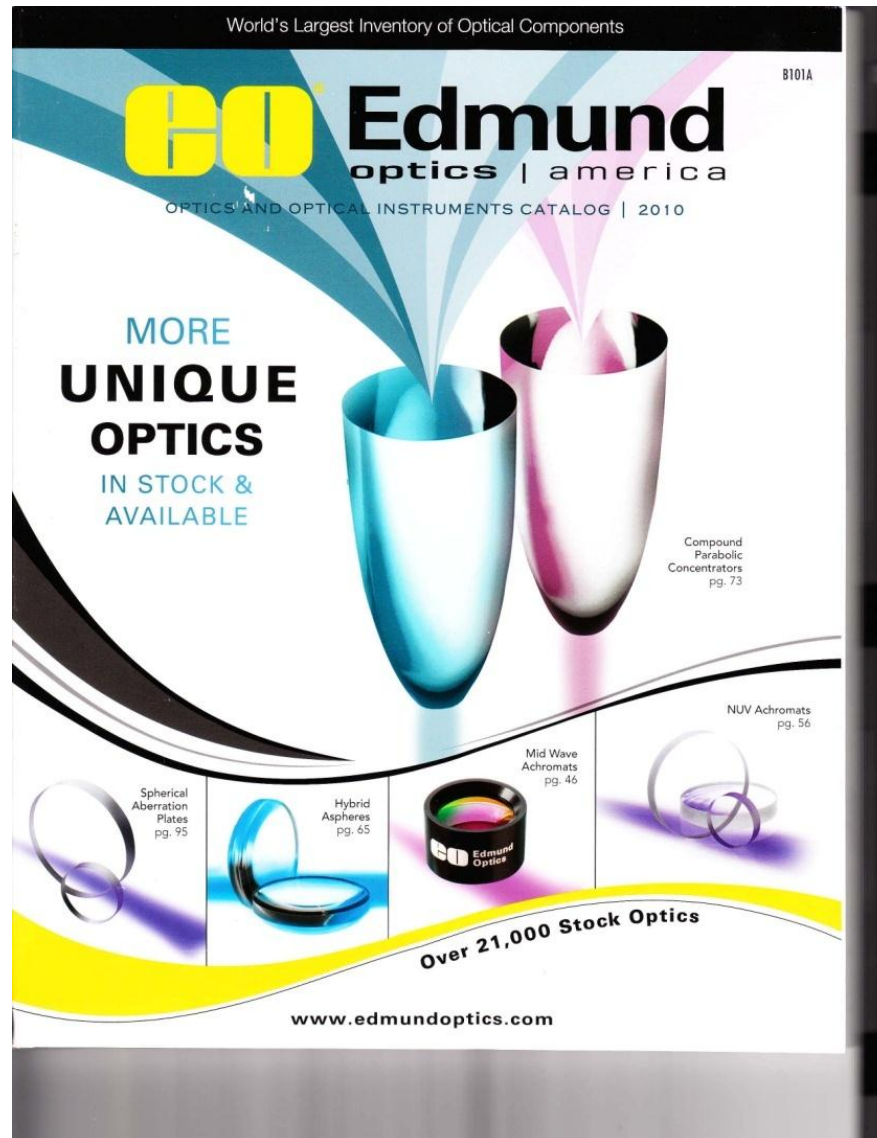
1.  $P_{31}$  ( where  $P_{31}$  = probability of radiation from receiver to source) = 1

Second Law

2.  $C = 1/P_{21}$  where  $P_{21}$  = probability of radiation from receiver to source

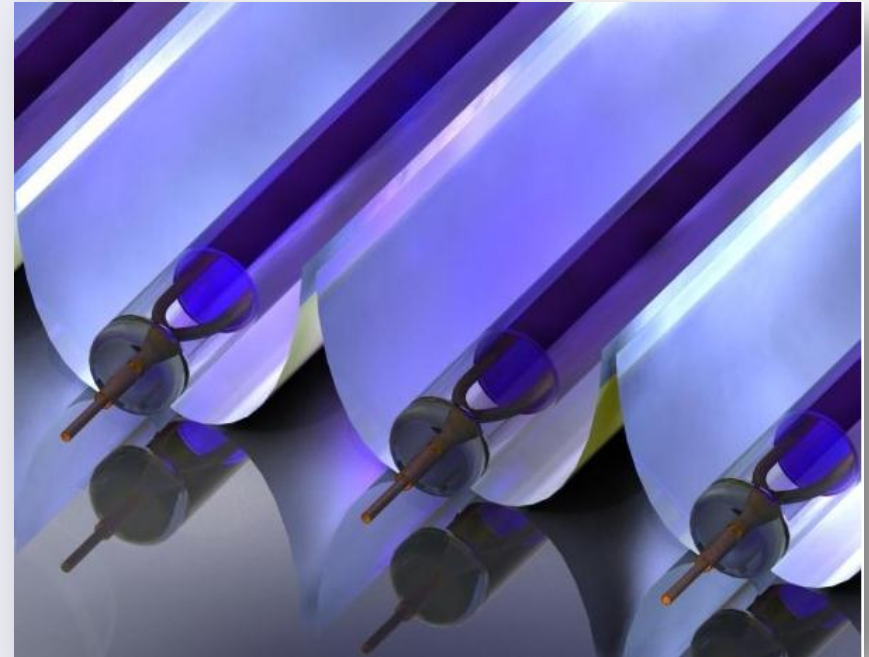


# I am frequently asked- Can this possibly work?

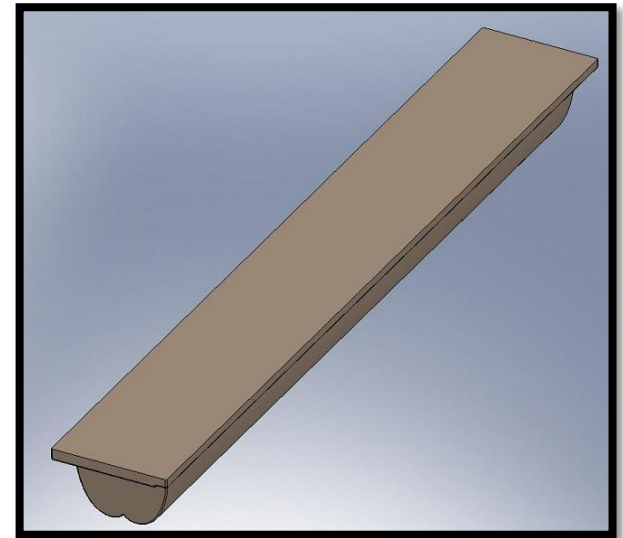
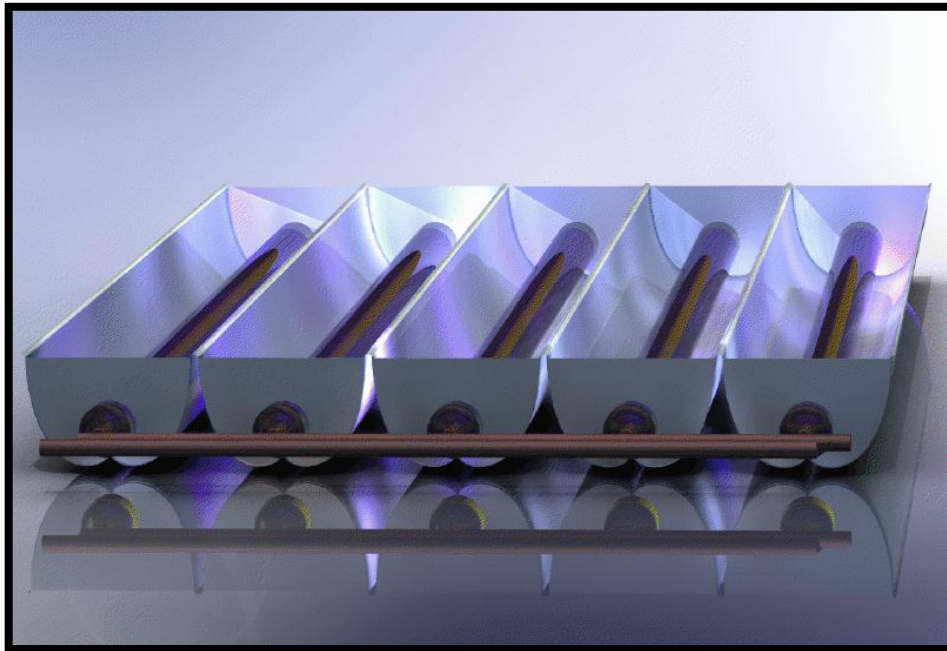
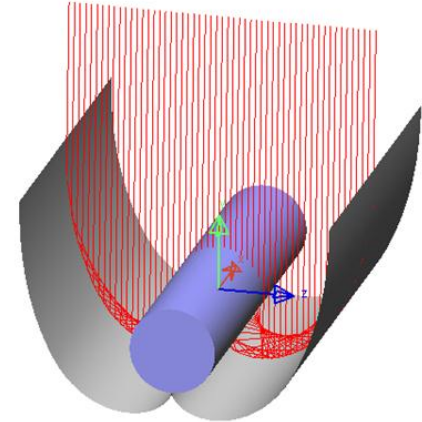
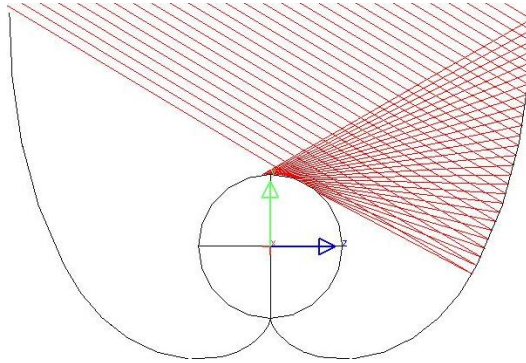


# How?

- Non-imaging optics:
  - External Compound Parabolic Concentrator (XCPC)
  - Non-tracking
  - Thermodynamically efficient
  - Collects diffuse sunlight



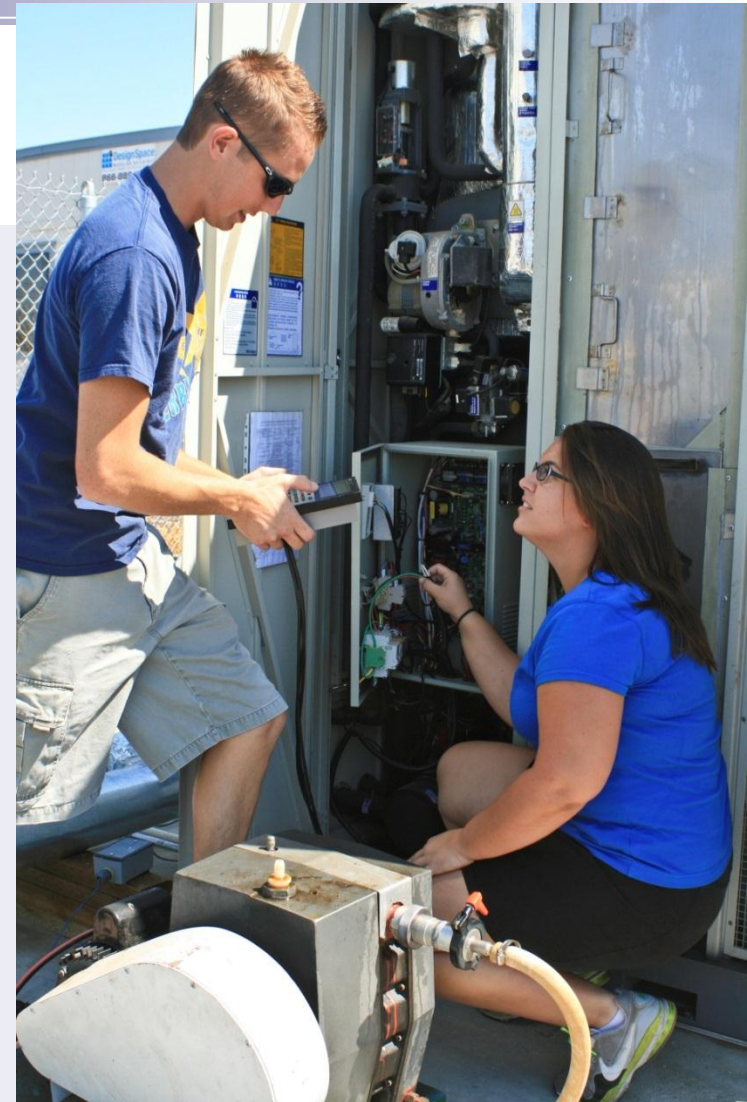
# The Design: Solar Collectors



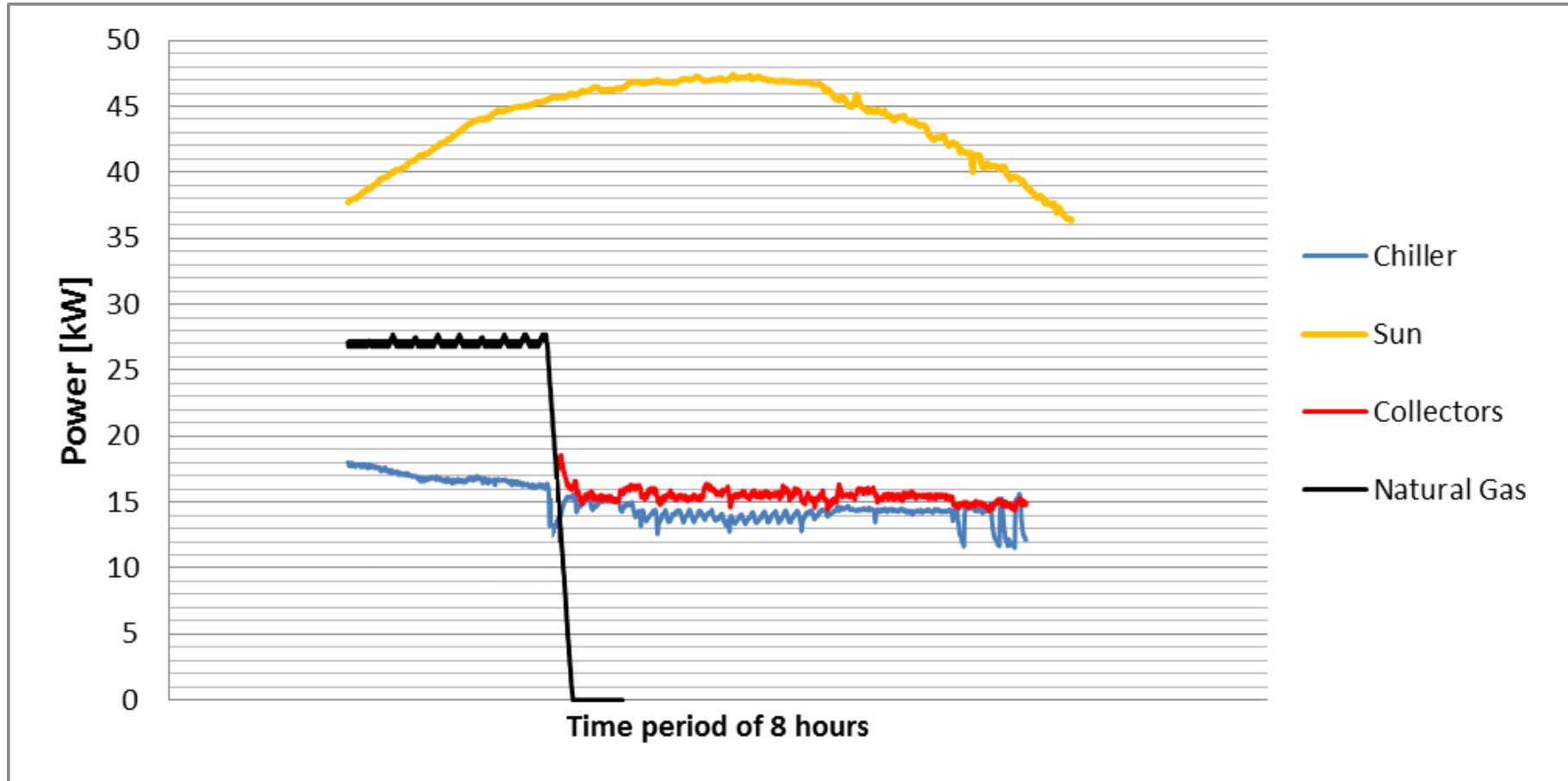


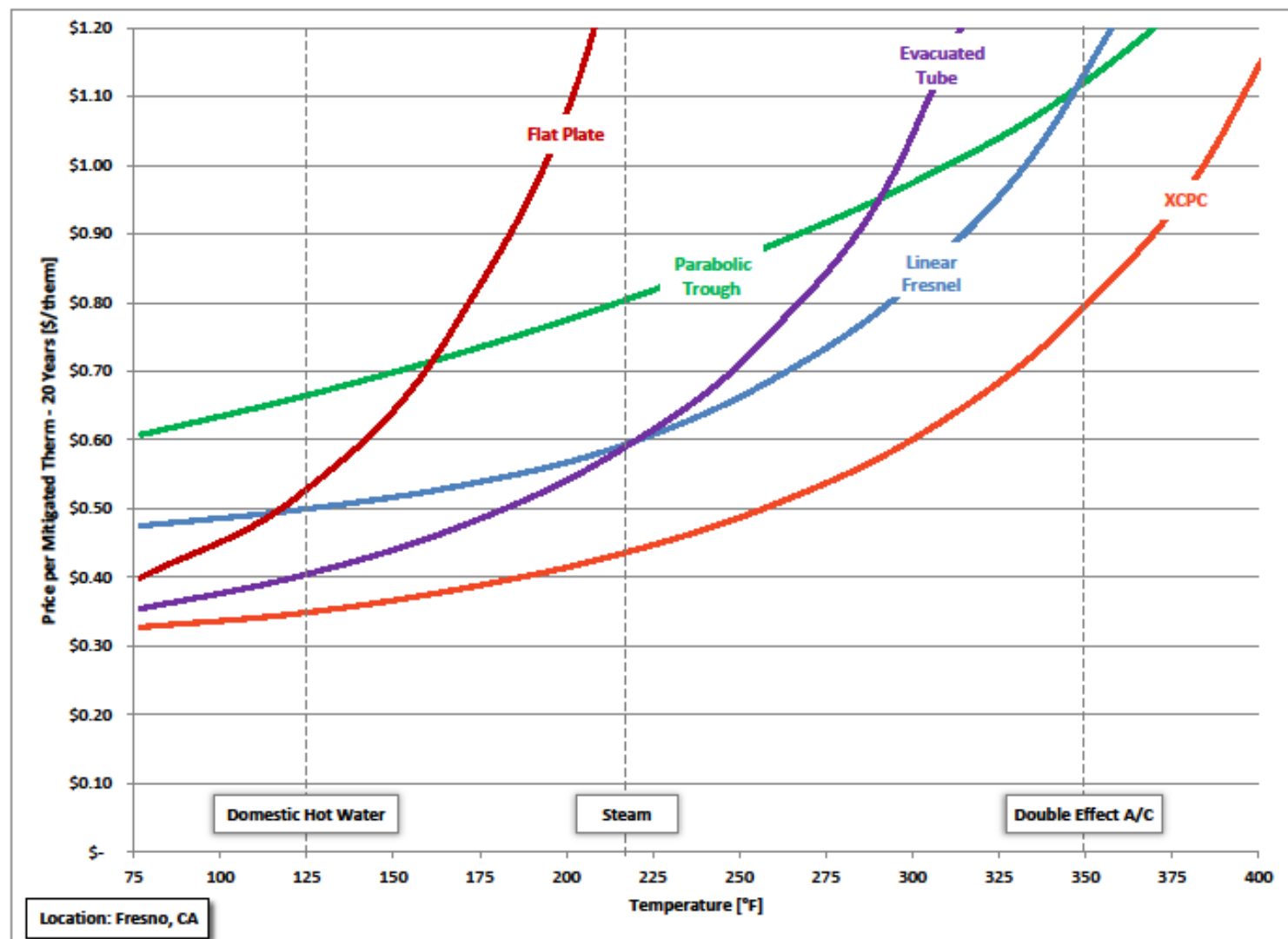


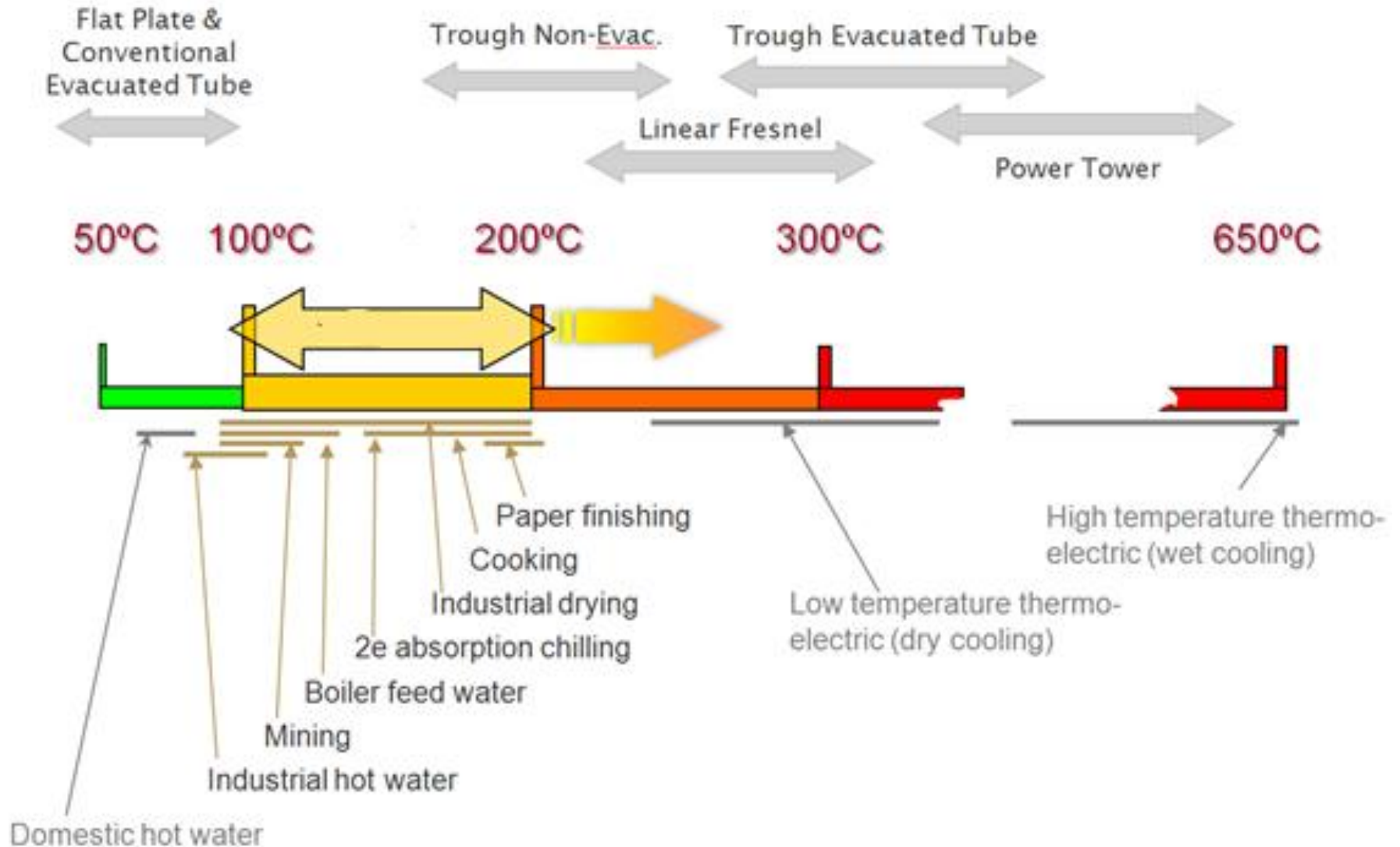




# Power Output of the Solar Cooling System







# The *Best Use* of our Sun



nitin.parekh@b2usolar.com  
tammy.mcclure@b2usolar.c  
om  
[www.b2usolar.com](http://www.b2usolar.com)



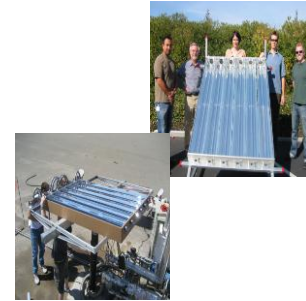




# Demonstrated Performance



10kW Array Gas Technology Institute



Conceptual Testing  
SolFocus & UC Merced



10kW test loop NASA/AMES



# Hospital in India



Roland, I hope Shanghai went well Hit 200C yesterday with just 330W DNI. Gary D. Conley~Ancora Imparo  
[www.b2uSolar.com](http://www.b2uSolar.com)



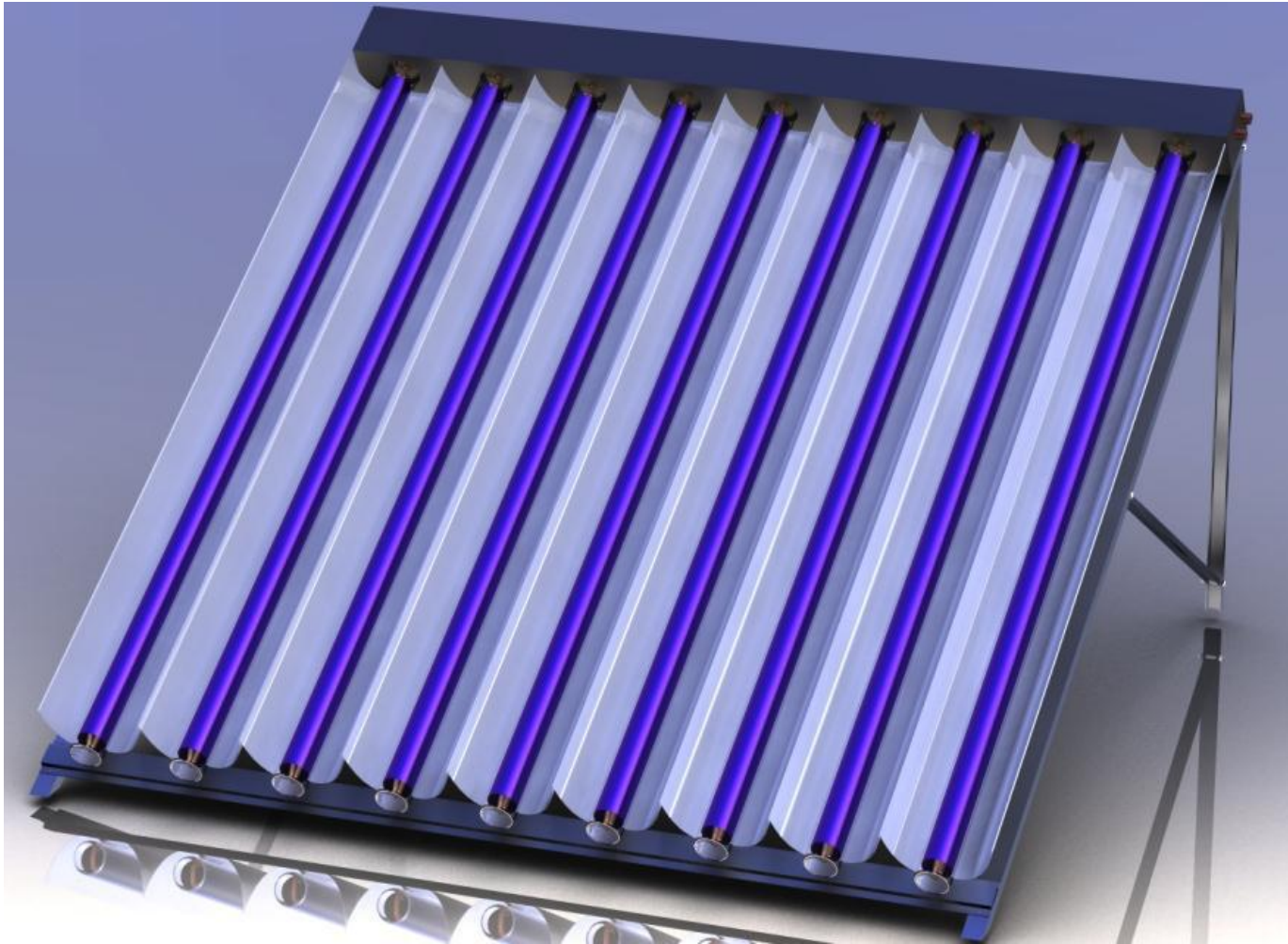
**SunTherm**  
Energy, Inc.

2816 Park Ave., Suite A  
Merced, CA 95348  
[www.sun-therm.com](http://www.sun-therm.com)  
209.726.4688





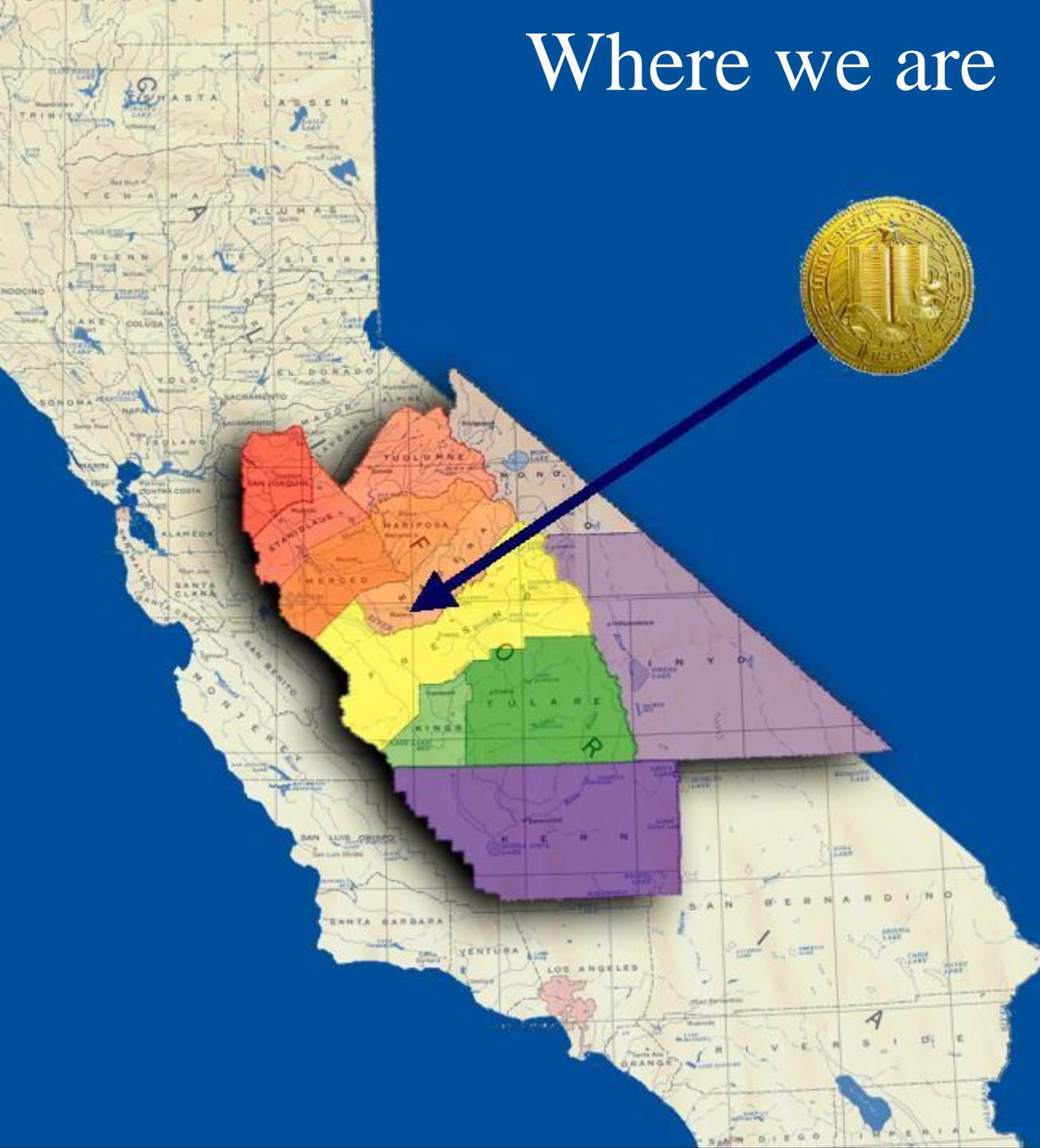
# Sun-Therm Collector





# EPILOGUE

In case you have been wondering,  
WHERE IS UC MERCED???





# Thank You



UC Merced Campus  
Under Construction January 2004

Photo By Hans Marsen



What is the best efficiency possible? When we pose this question, we are stepping outside the bounds of a particular subject. Questions of this kind are more properly the province of thermodynamics which imposes limits on the possible, like energy conservation and the impossible, like transferring heat from a cold body to a warm body without doing work. And that is why the fusion of the science of light (optics) with the science of heat (thermodynamics), is where much of the excitement is today. During a seminar I gave some ten years ago at the Raman Institute in Bangalore, the distinguished astrophysicist Venkatraman Radhakrishnan famously asked “how come geometrical optics knows the second law of thermodynamics?” This provocative question from C. V. Raman’s son serves to frame our discussion.

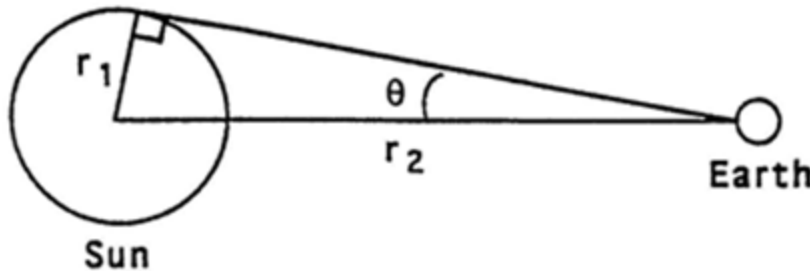


# Limits to Concentration

- from  $\lambda_{\text{max sun}} \sim 0.5 \mu$   
we measure  $T_{\text{sun}} \sim 6000^\circ$  (5670°)  
Without actually going to the Sun!
- Then from  $\sigma T^4$  - solar surface flux  $\sim 58.6 \text{ W/mm}^2$ 
  - The solar constant  $\sim 1.35 \text{ mW/mm}^2$
  - The second law of thermodynamics
  - $C_{\text{max}} \sim 44,000$
  - Coincidentally,  $C_{\text{max}} = 1/\sin^2\theta$
  - This is evidence of a deep connection to optics

# 1/sin<sup>2</sup>θ Law of Maximum Concentration

Earth:Sun Example



$$I_2 = (r_1/r_2)^2 I_1 \quad \text{Inverse Square Fall-off of Flux (Gauss's Law)}$$

$$\sin(\theta) = r_1/r_2 \quad \longrightarrow \quad I_1/I_2 = 1/\sin^2\theta$$

$$CI_2 \leq I_1 \quad (2\text{nd Law of Thermodynamics})$$

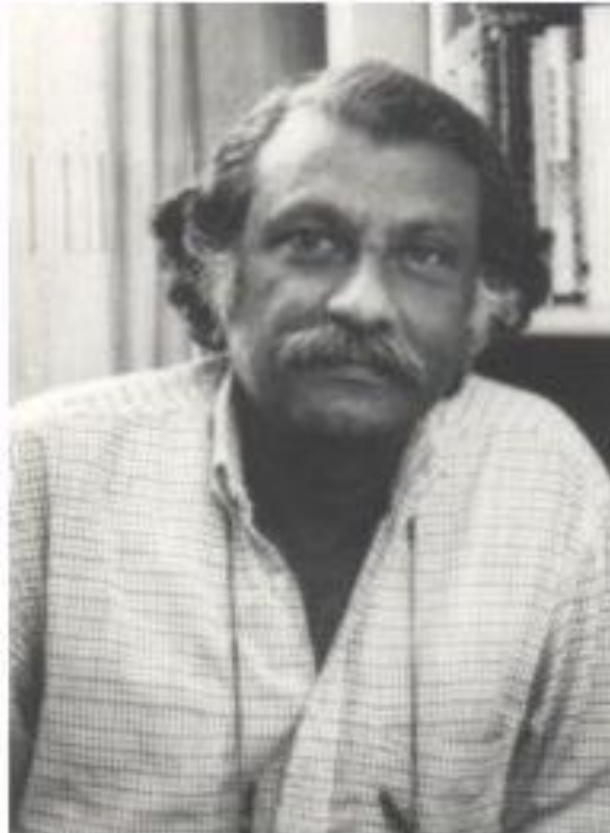
$$\text{Maximum Concentration } C = 1/\sin^2\theta = 46,000$$

- The irradiance, of sunlight,  $I$ , falls off as  $1/r^2$  so that at the orbit of earth,  $I_2$  is  $1/\sin^2\theta \times I_1$ , the irradiance emitted at the sun's surface.
- The 2<sup>nd</sup> Law of Thermodynamics forbids concentrating  $I_2$  to levels greater than  $I_1$ , since this would correspond to a brightness temperature greater than that of the sun.
- In a medium of refractive index  $n$ , one is allowed an additional factor of  $n^2$  so that the equation can be generalized for an absorber immersed in a refractive medium as

$$C_{\max} = \frac{n^2}{\sin^2 \theta_s}.$$



During a seminar at the Raman Institute (Bangalore) in 2000,  
**Prof. V. Radhakrishnan** asked me:  
**How does geometrical optics know the second law of thermodynamics?**



# Invention of the Second Law of Thermodynamics by Sadi Carnot







# Invention of Entropy

## (The Second Law of Thermodynamics)

- Sadi Carnot had fought with Napoleon, but by 1824 was a student studying physics in Paris. In that year he wrote:
- Reflections on the Motive Power of Heat and on Machines fitted to Develop that Power.
- The conservation of energy (the first law of thermodynamics) had not yet been discovered, heat was considered a conserved fluid-“caloric”
- So ENTROPY (the second law of thermodynamics) was discovered first.
- A discovery way more significant than all of Napoleon’s conquests!

$$TdS = dE + PdV$$

is arguably the most important equation in Science  
If we were asked to predict what currently accepted principle would be valid 1,000 years from now,  
The Second Law would be a good bet  
From this we can derive entropic forces  $\mathbf{F} = T \mathbf{grad} S$   
The S-B radiation law (const.  $T^4$ )  
Information theory (Shannon, Gabor)  
Accelerated expansion of the Universe  
Even Gravity!  
And much more modestly----  
**The design of thermodynamically efficient optics**

# Failure of conventional optics

$P_{AB} \ll P_{BA}$  where  $P_{AB}$  is the probability of radiation starting at A reaching B--- etc



Nonimaging Optics

# Nonimaging Concentrators

- It was the desire to bridge the gap between the levels of concentration achieved by common imaging devices, and the *sine law of concentration limit* that motivated the invention of nonimaging optics.

# First and Second Law of Thermodynamics

Nonimaging Optics is the theory of maximal efficiency **radiative transfer**

It is axiomatic and algorithmic based

As such, the subject depends much more on thermodynamics than on optics

To learn efficient optical design, first study the **theory of furnaces.**

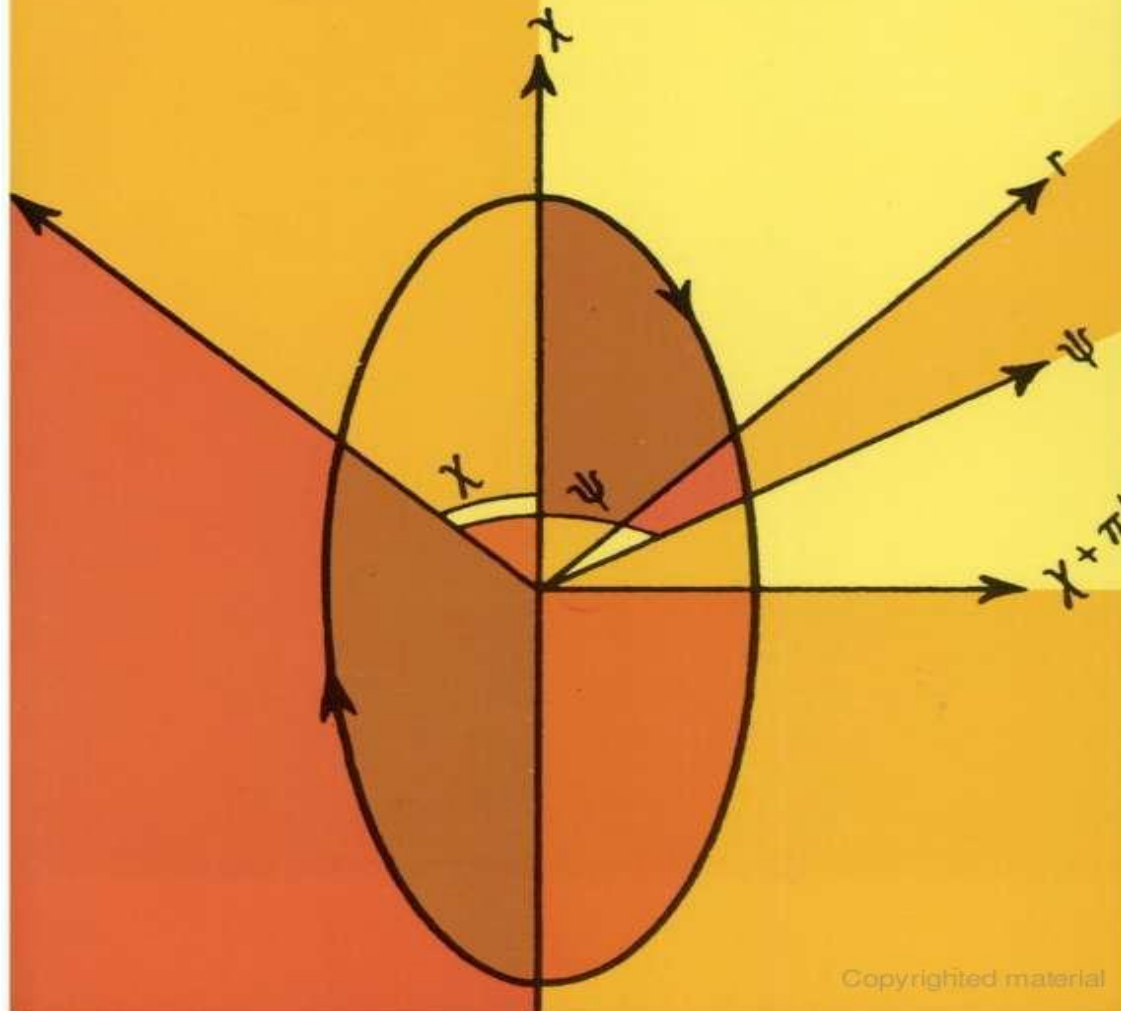


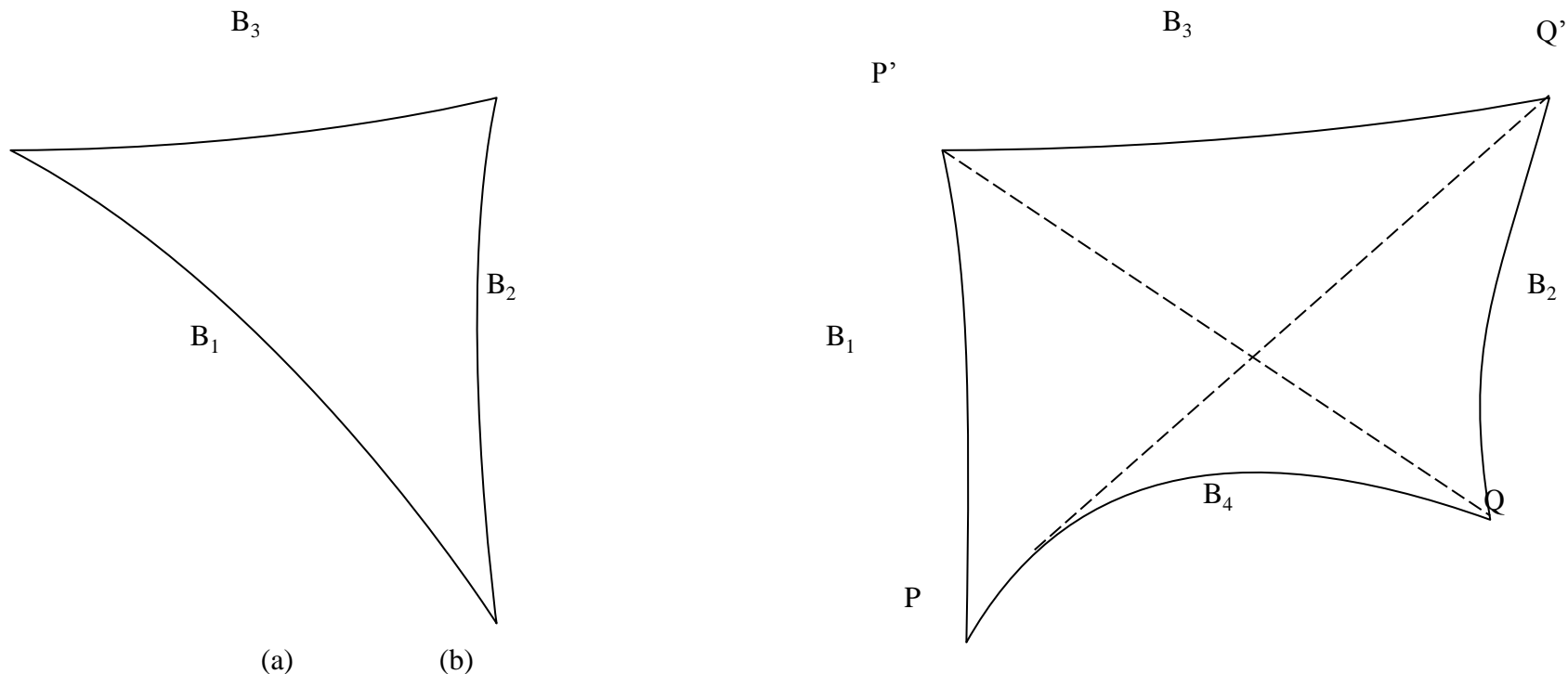


Chandra

# S. Chandrasekhar

## RADIATIVE TRANSFER





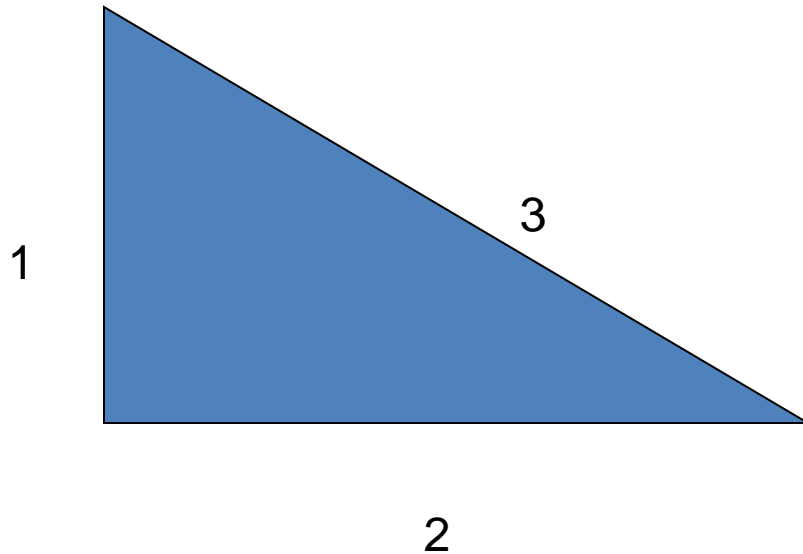
## Radiative transfer between walls in an enclosure

### HOTTEL STRINGS

Michael F. Modest, Radiative Heat Transfer, Academic Press 2003

Hoyt C. Hottel, 1954, Radiant-Heat Transmission, Chapter 4 in  
William H. McAdams (ed.), *Heat Transmission*, 3rd ed. McGRAW-HILL

# Strings 3-walls



$$P_{12} = (A_1 + A_2 - A_3)/(2A_1)$$

$$P_{13} = (A_1 + A_3 - A_2)/(2A_1)$$

$$P_{23} = (A_2 + A_3 - A_1)/(2A_2)$$

$$q_{ij} = A_i P_{ij}$$

$$P_{12} + P_{13} = 1$$

$$P_{21} + P_{23} = 1$$

3 Eqs

$$P_{ii} = 0$$

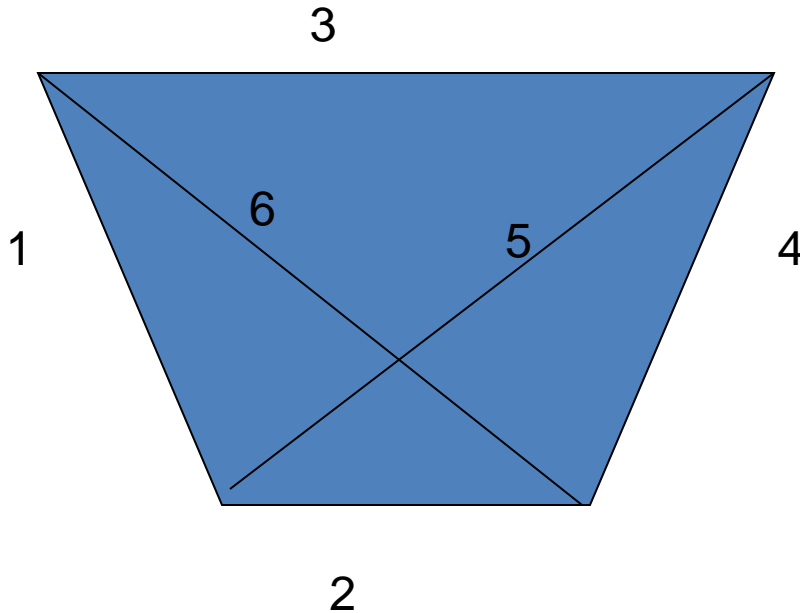
$$P_{31} + P_{32} = 1$$

$$A_i P_{ij} = A_j P_{ji}$$

3 Eqs



# Strings 4-walls



$$P_{12} + P_{13} + P_{14} = 1$$

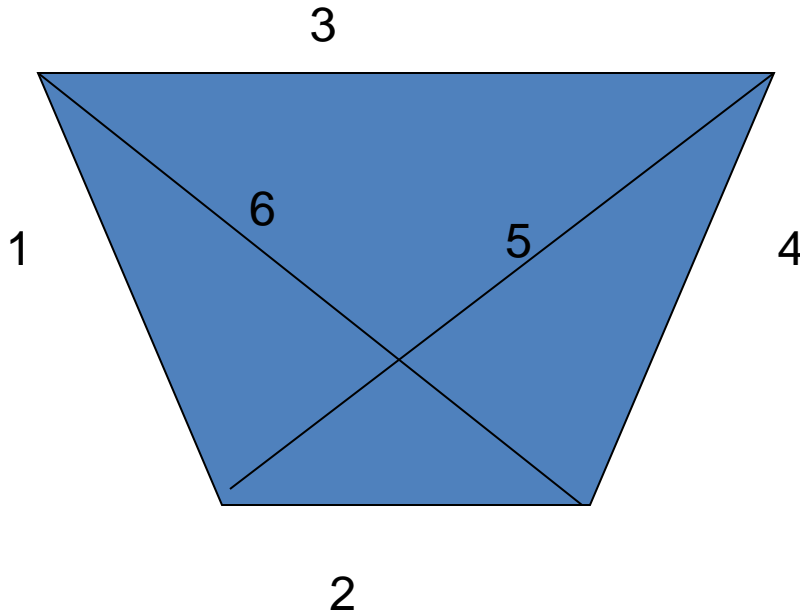
$$P_{21} + P_{23} + P_{24} = 1$$

$$P_{14} = [(A_5 + A_6) - (A_2 + A_3)] / (2A_1)$$

$$P_{23} = [(A_5 + A_6) - (A_1 + A_4)] / (2A_2)$$



# Limit to Concentration



$$P_{23} = [(A_5 + A_6) - (A_1 + A_4)] / (2A_2)S$$

$P_{23} = \sin(\theta)$  as  $A_3$  goes to infinity

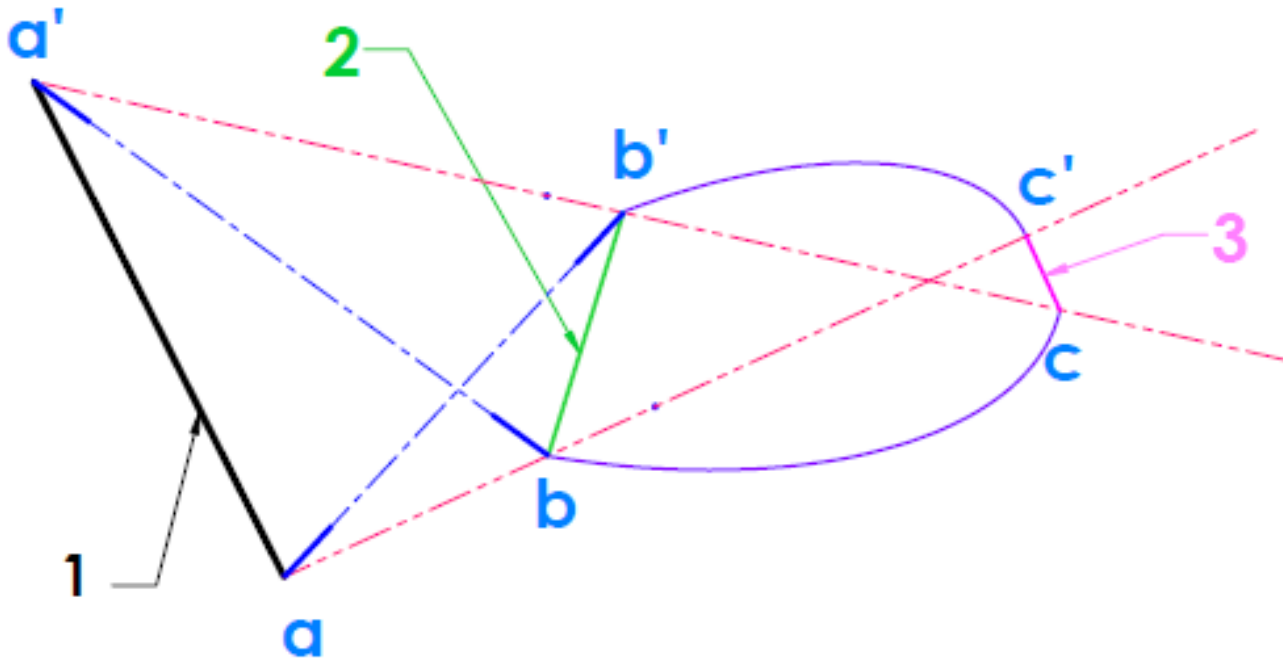
- This rotates for symmetric systems to  $\sin^2(\theta)$

# String Method

- We explain what strings are by way of example.
- We will proceed to solve the problem of attaining the sine law limit of concentration for the simplest case, that of a flat absorber.

# String method deconstructed

1. Choose source
2. Choose aperture
3. Draw strings
4. Work out  $P_{12}A_1$



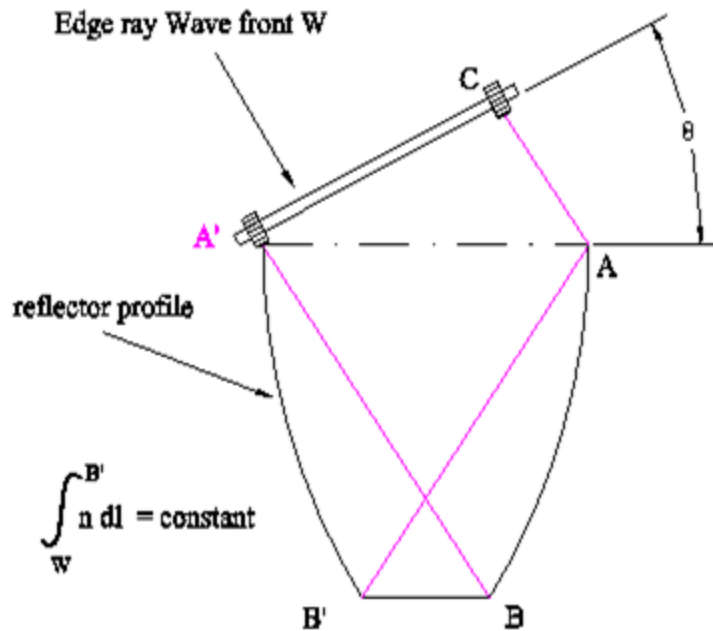
$$5. P_{12}A_1 = \frac{1}{2} [\sum \text{long strings} - \sum \text{short strings}] = A_3 = 0.55A_1 = 0.12A_1$$

6. Fit  $A_3$  between extended strings  $\Rightarrow$  2 degrees of freedom, Note that

$$A_3 = cc' = \frac{1}{2} [(ab' + a'b - (ab + a'b'))]$$

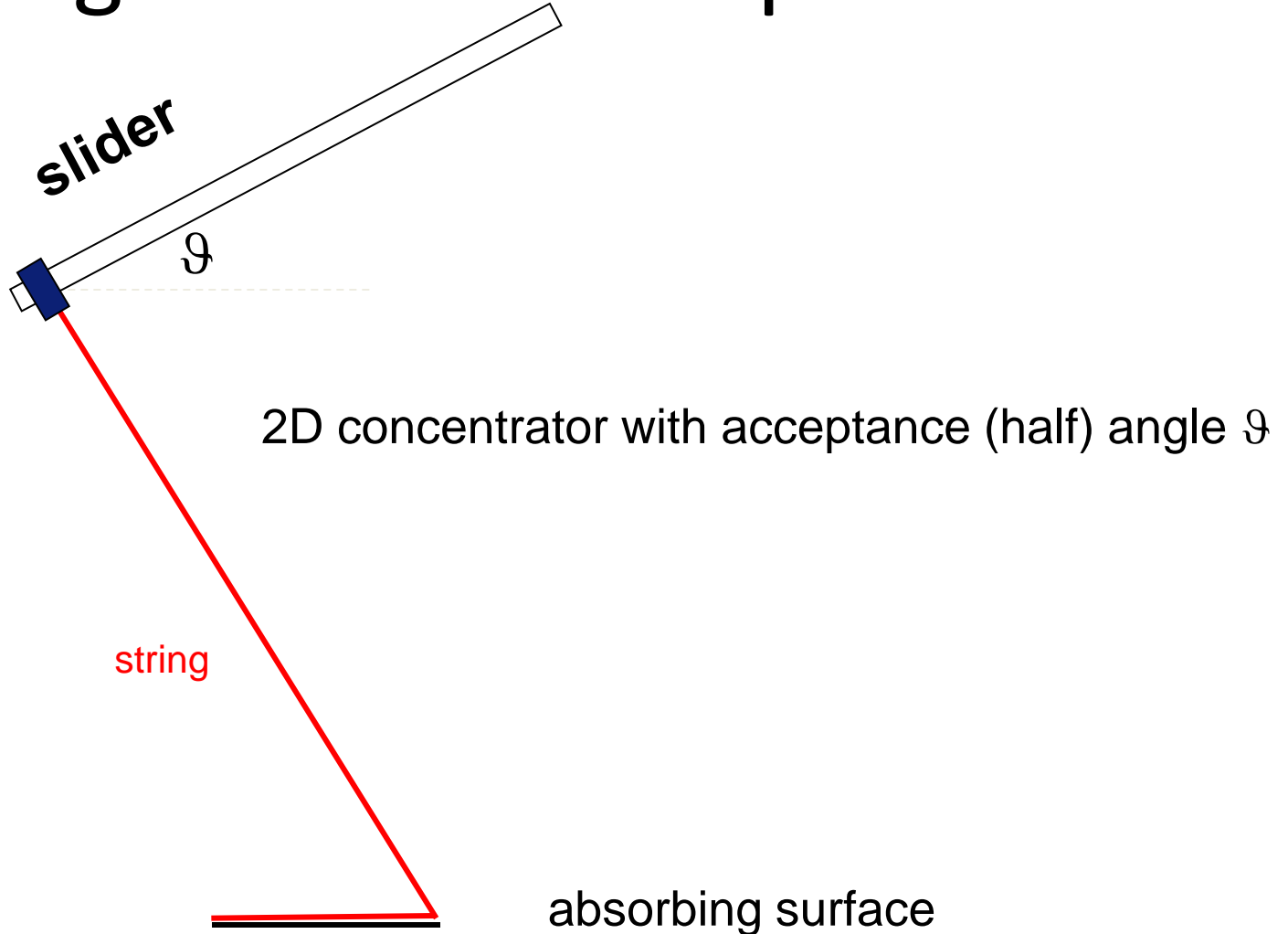
7. Connect the strings. **That's all there is to it!**

# String Method Example: CPC



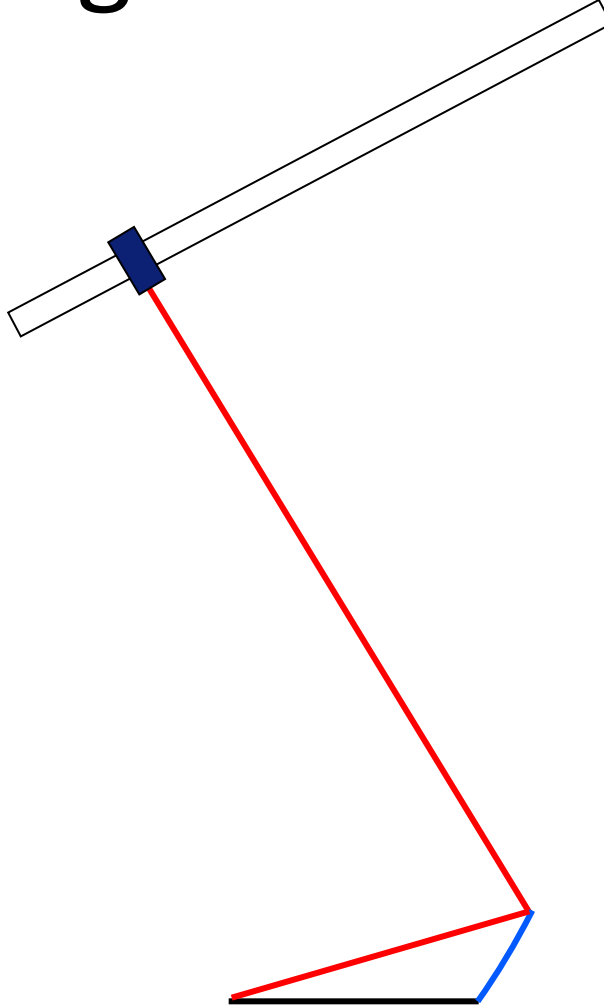
- We loop one end of a “string” to a “rod” tilted at angle  $\theta$  to the aperture AA’ and tie the other end to the edge of the exit aperture B’.
- Holding the length fixed, we trace out a reflector profile as the string moves from C to A’.

# String Method Example: CPC

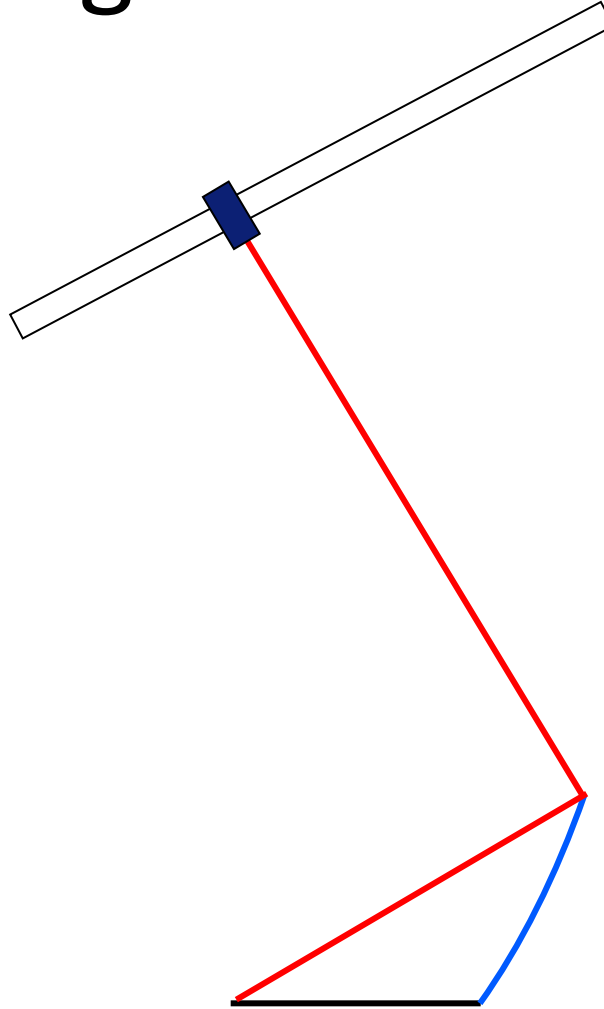




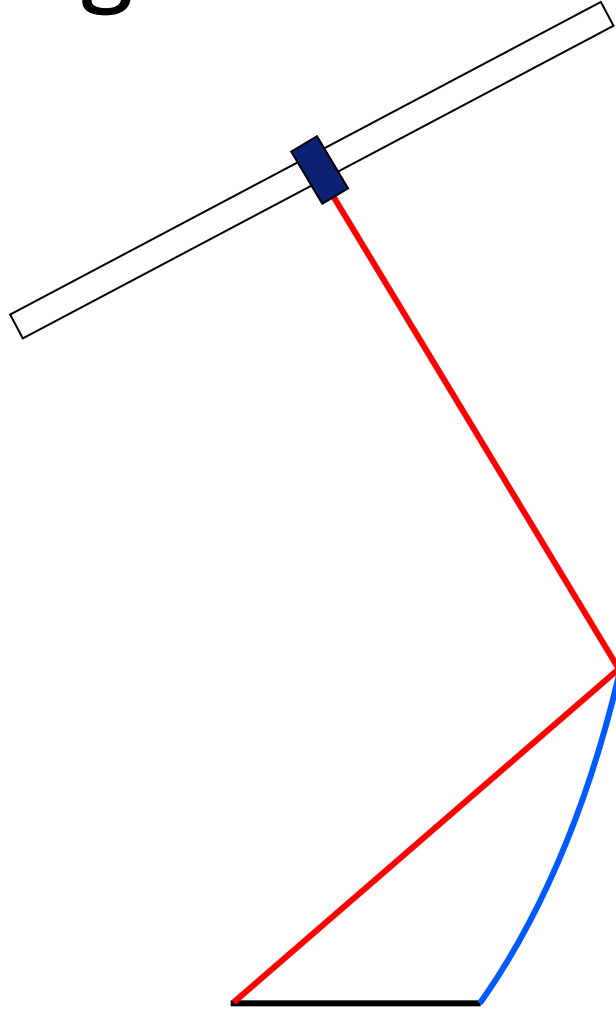
# String Method Example: CPC



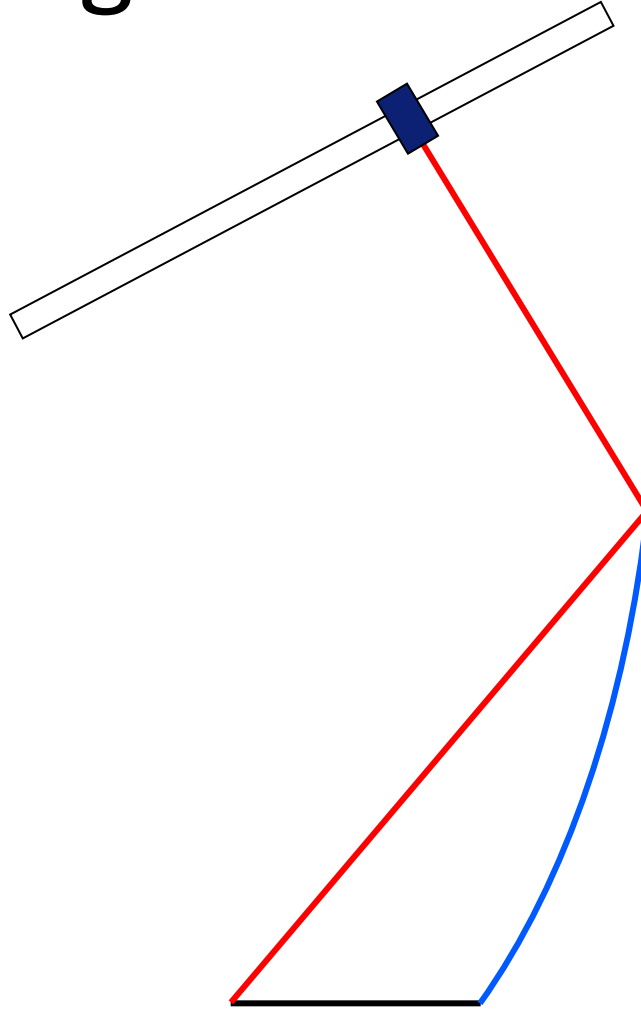
# String Method Example: CPC



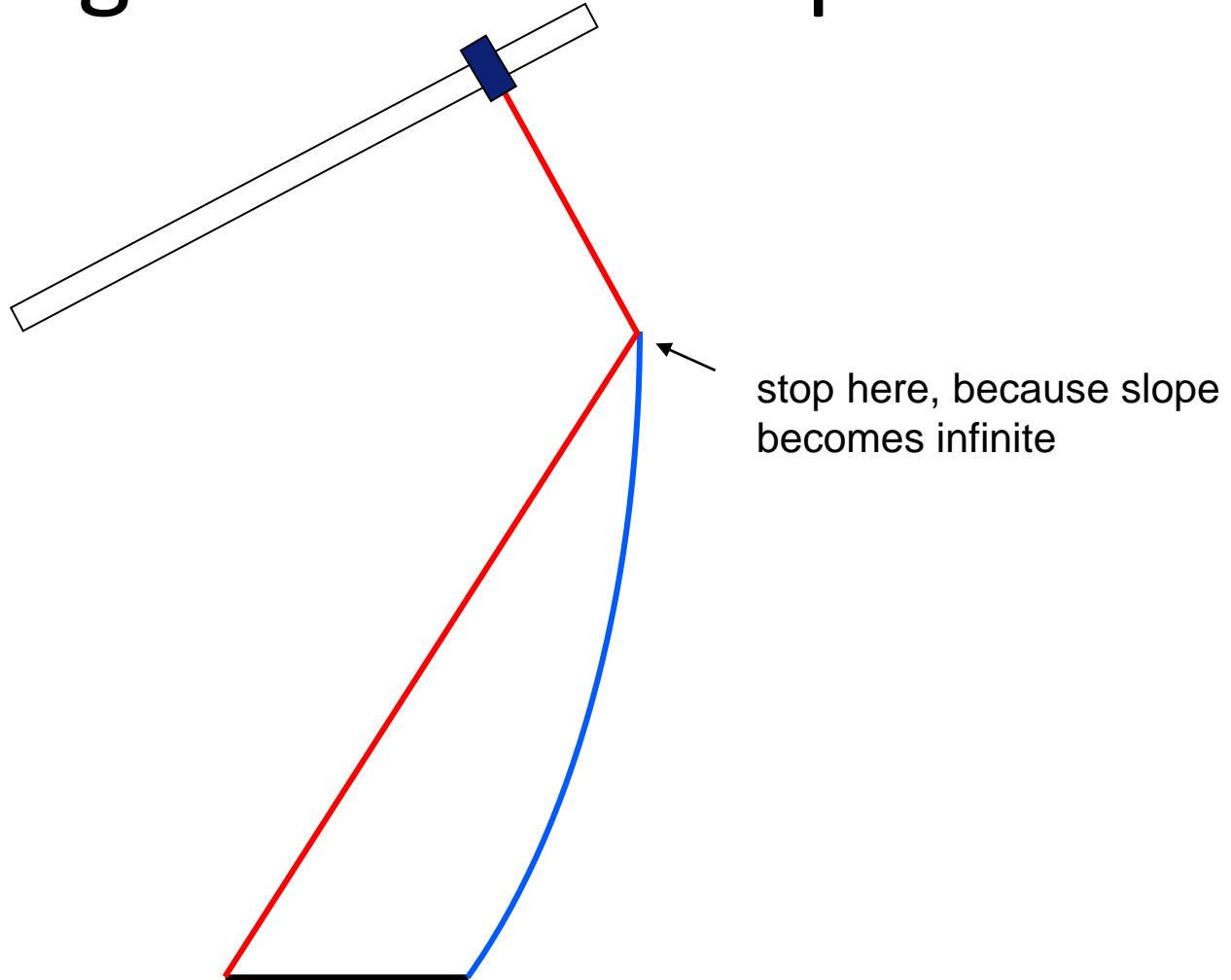
# String Method Example: CPC



# String Method Example: CPC

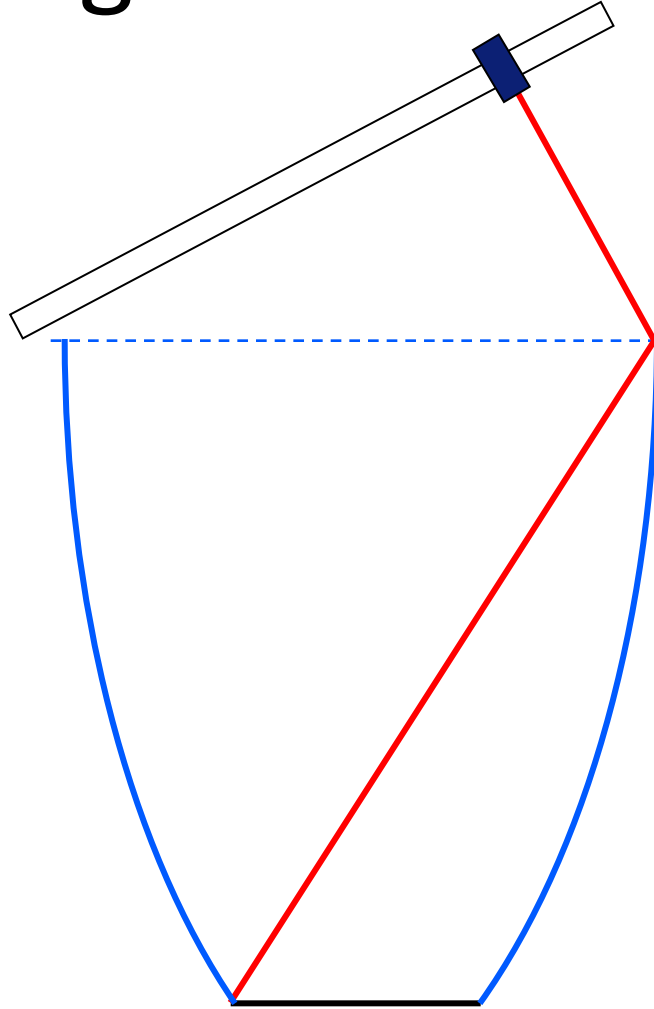


# String Method Example: CPC

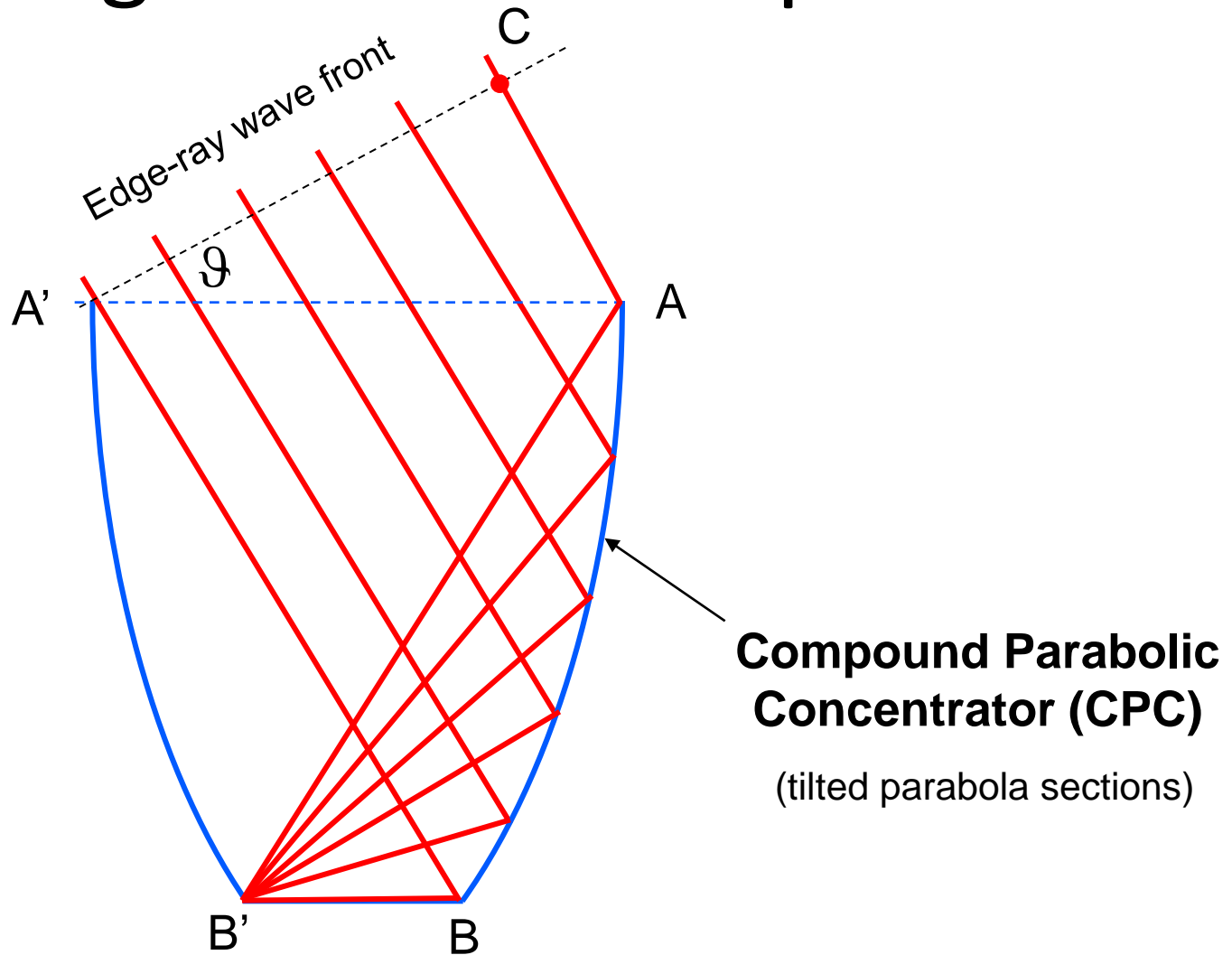




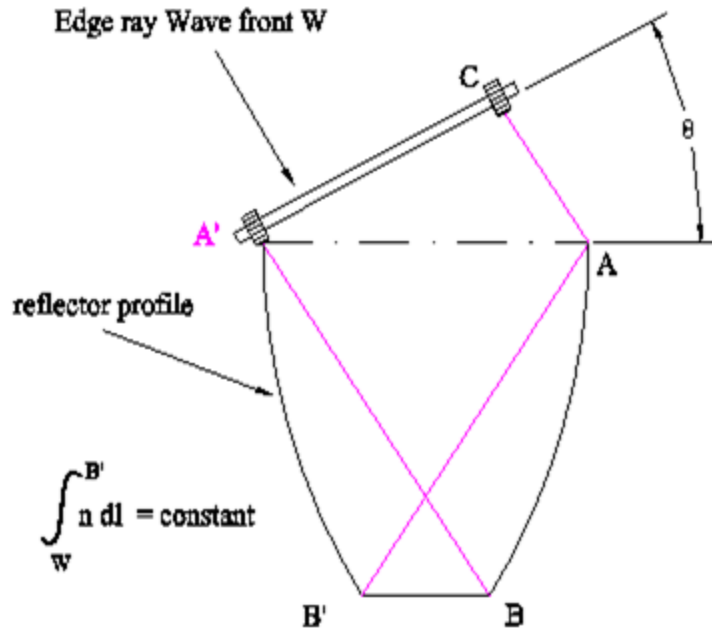
# String Method Example: CPC



# String Method Example: CPC



# String Method Example: CPC



$$\mathbf{B}'\mathbf{A} + \mathbf{A}\mathbf{C} = \mathbf{B}'\mathbf{B} + \mathbf{B}\mathbf{A}'$$

$$B'A = BA'$$

$$BB' = AC = AA' \sin \mathcal{G}$$

$$\Rightarrow AA' \sin \mathcal{J} = BB'$$

$$C = \frac{AA'}{BB'} = \frac{1}{\sin \vartheta}$$

$$C(\text{cone}) = \left(\frac{AA'}{BB'}\right)^2 = \frac{1}{\sin^2 \vartheta}$$

***sine law of concentration limit!***

# String Method Example: CPC

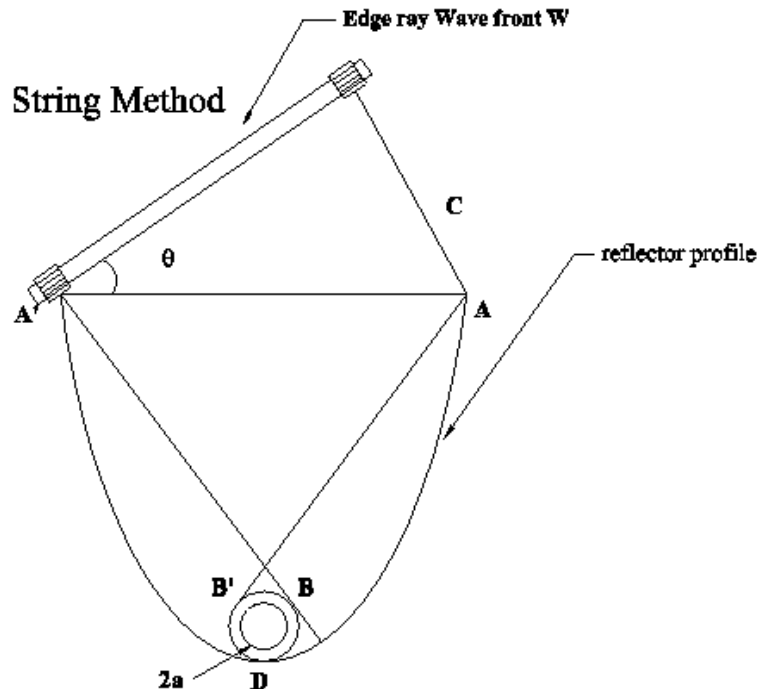
- The 2-D CPC is an ideal concentrator, i.e., it works perfectly for all rays within the acceptance angle  $\theta$ ,
- Rotating the profile about the axis of symmetry gives the 3-D CPC
- The 3-D CPC is very close to ideal.

# String Method Example: CPC

- Notice that we have kept the *optical length* of the string fixed.
- For media with varying index of refraction ( $n$ ), the physical length is multiplied by  $n$ .
- The string construction is very versatile and can be applied to *any* convex (or at least non-concave) absorber...



# String Method Example: Tubular Absorber

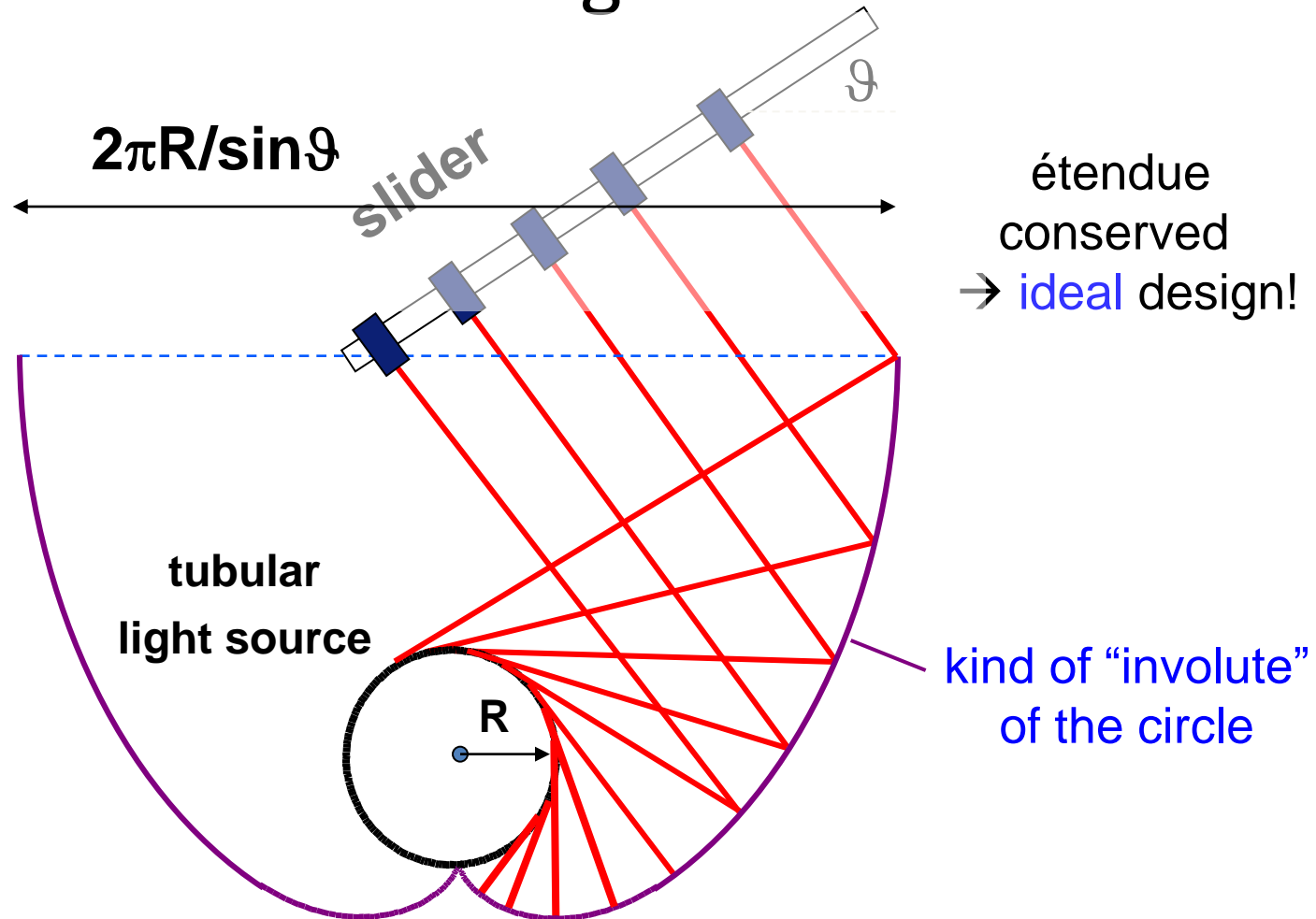


**String Method :**  $\int_n dl = \text{Constant}$   
 $AC + AB' + B'D = A'B + BD + 2\pi a$   
 $AA' \sin\theta = 2\pi a$

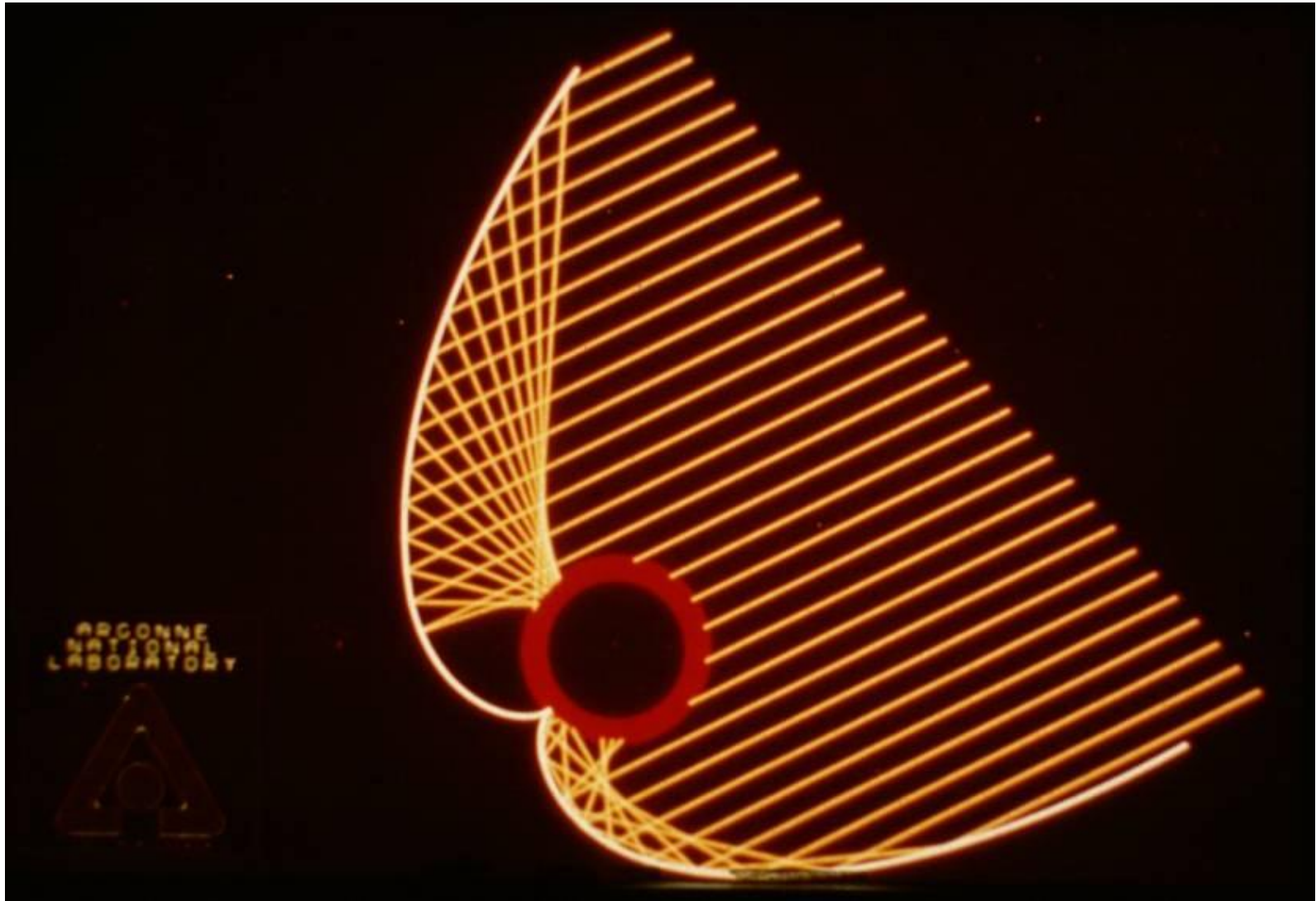
$$C = \frac{AA'}{2\pi a} = \frac{1}{\sin \vartheta}$$

- String construction for a tubular absorber as would be appropriate for a solar thermal concentrator.

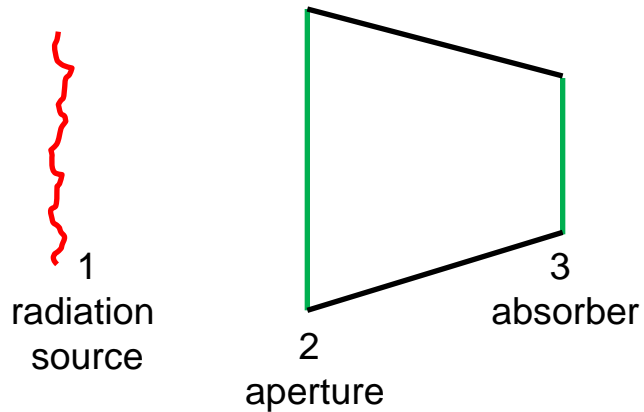
# String Method Example: Collimator for a Tubular Light Source



## Non-imaging Concentrator



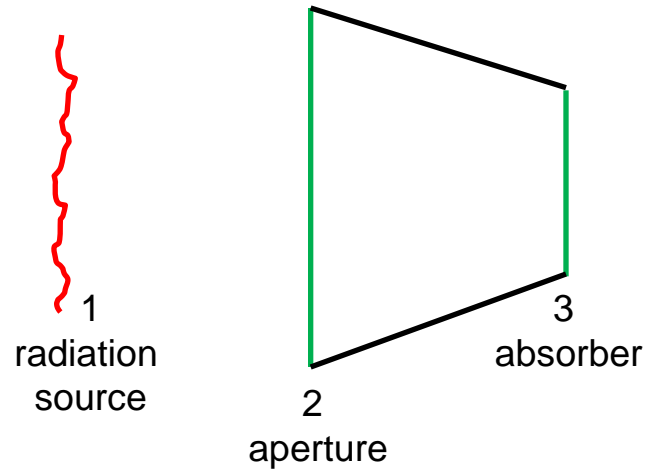
# The general concentrator problem



Concentration  $C$  is defined as  $A_2/A_3$

What is the “best” design?

# Characteristics of an optimal concentrator design



Let Source be maintained at  $T_1$  (sun)

Then  $T_3$  will reach  $T_1 \leftrightarrow P_{31} = 1$

Proof:  $q_{13} = \sigma T_1^4 A_1 P_{13} = \sigma T_3^4 A_3 P_{31}$

But  $q_3 total = \sigma T_3^4 \times A_3 \geq q_{13}$  at steady state

$T_3 \leq T_1$  (second law)  $\rightarrow P_{31} = 1 \leftrightarrow T_3 = T_1$



## Summary:

For a thermodynamically efficient design

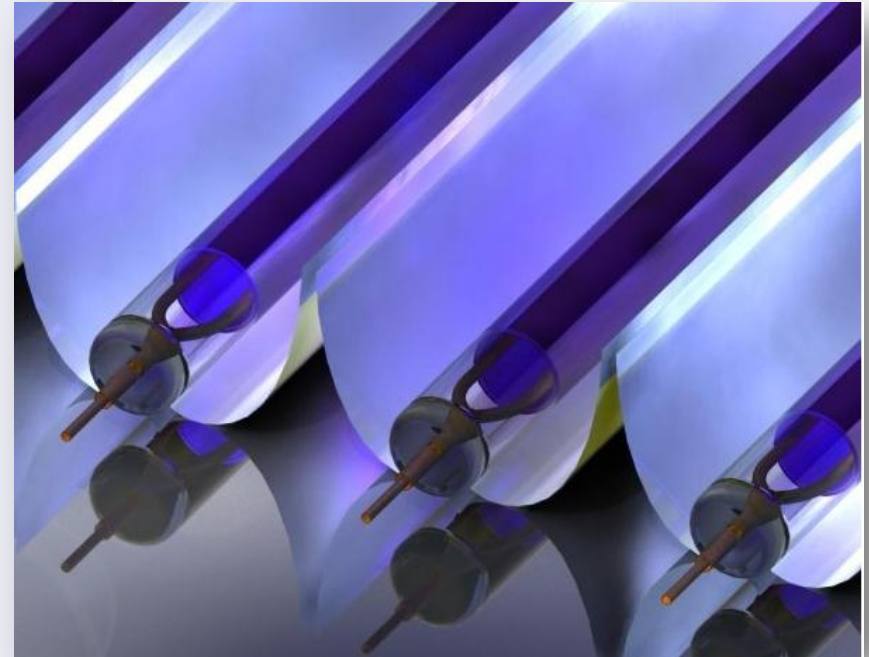
1.  $P_{31}$  ( where  $P_{31}$  = probability of radiation from receiver to source) = 1

## Second Law

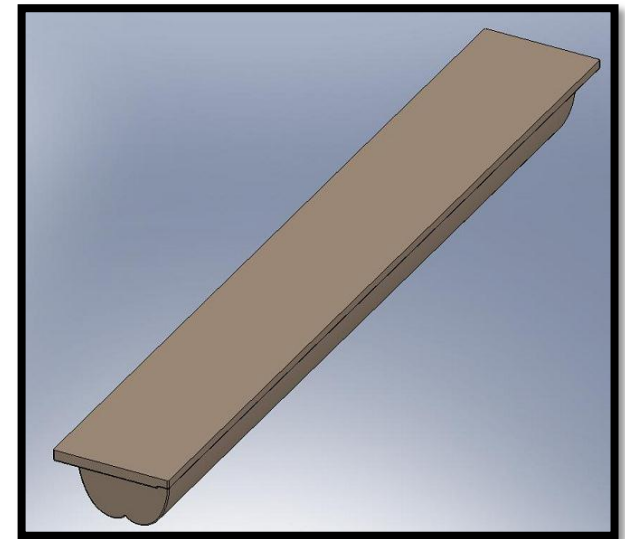
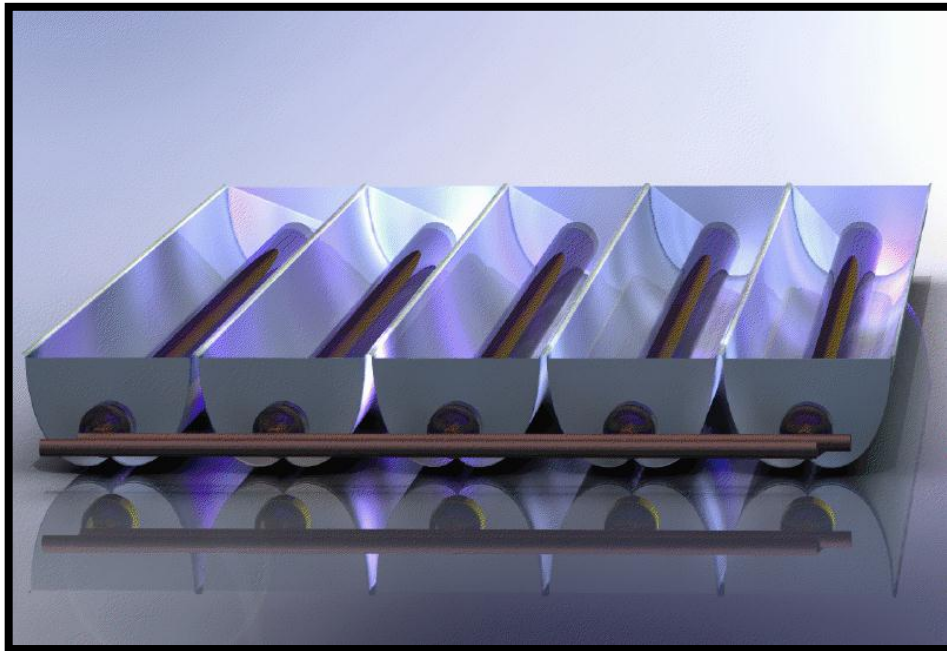
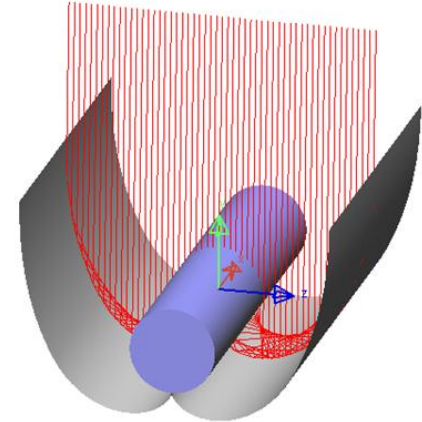
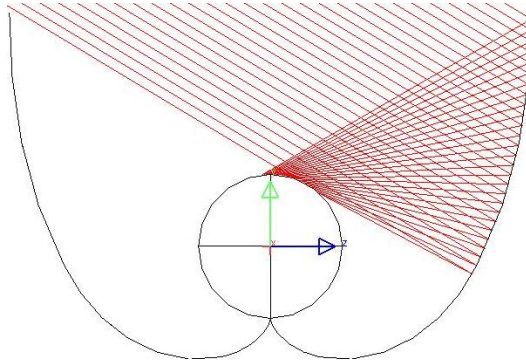
2.  $C = 1/P_{21}$  where  $P_{21}$  = probability of radiation from receiver to source

# How?

- Non-imaging optics:
  - External Compound Parabolic Concentrator (XCPC)
  - Non-tracking
  - Thermodynamically efficient
  - Collects diffuse sunlight



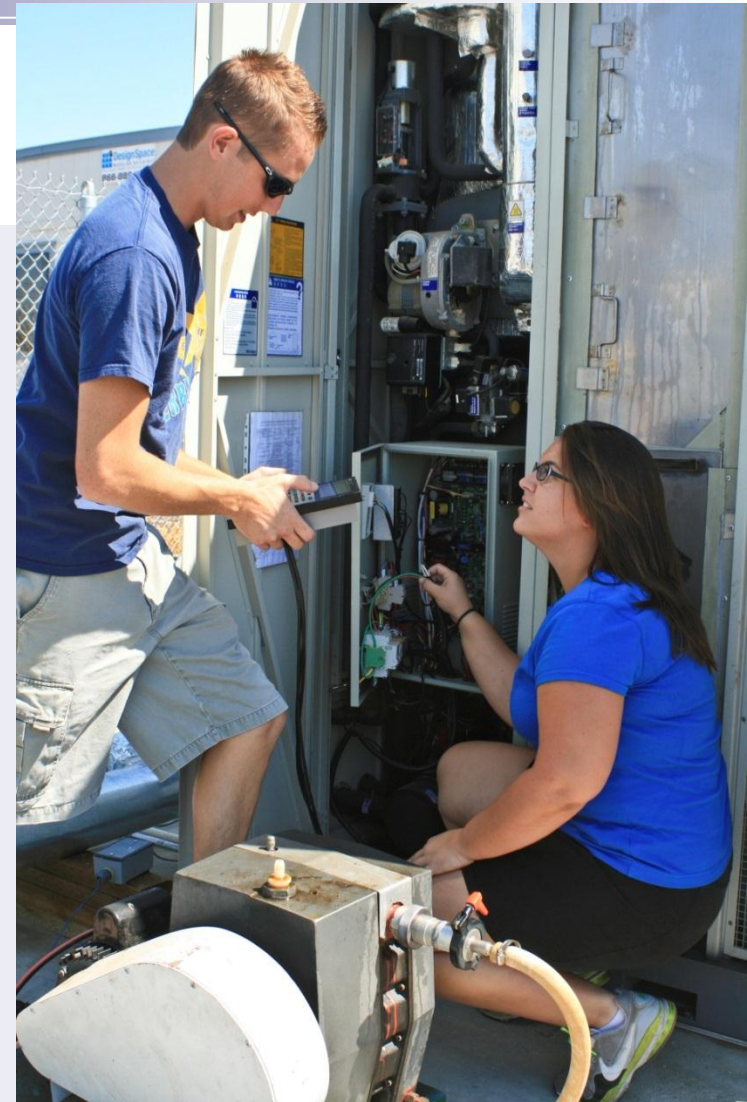
# The Design: Solar Collectors





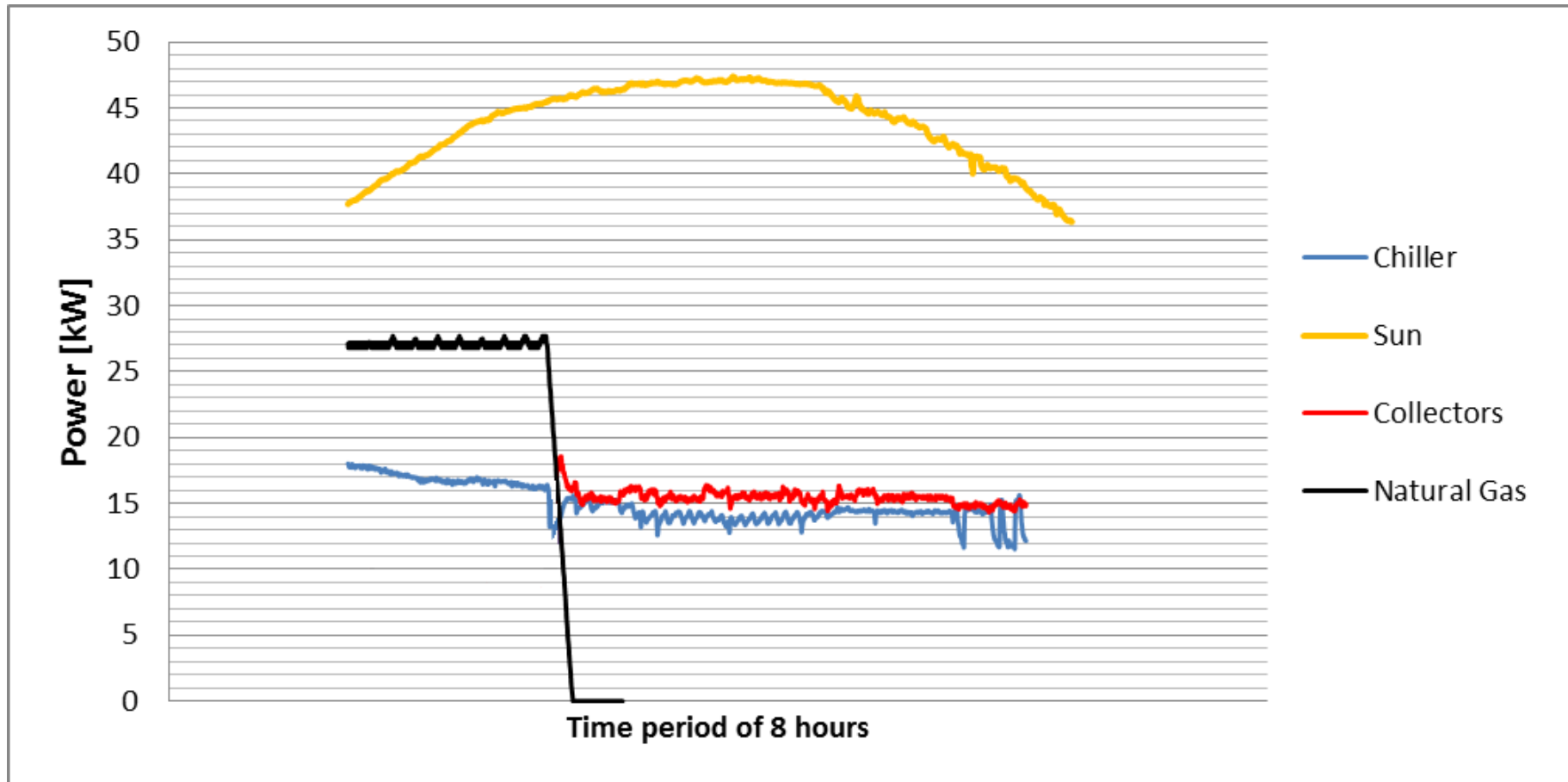




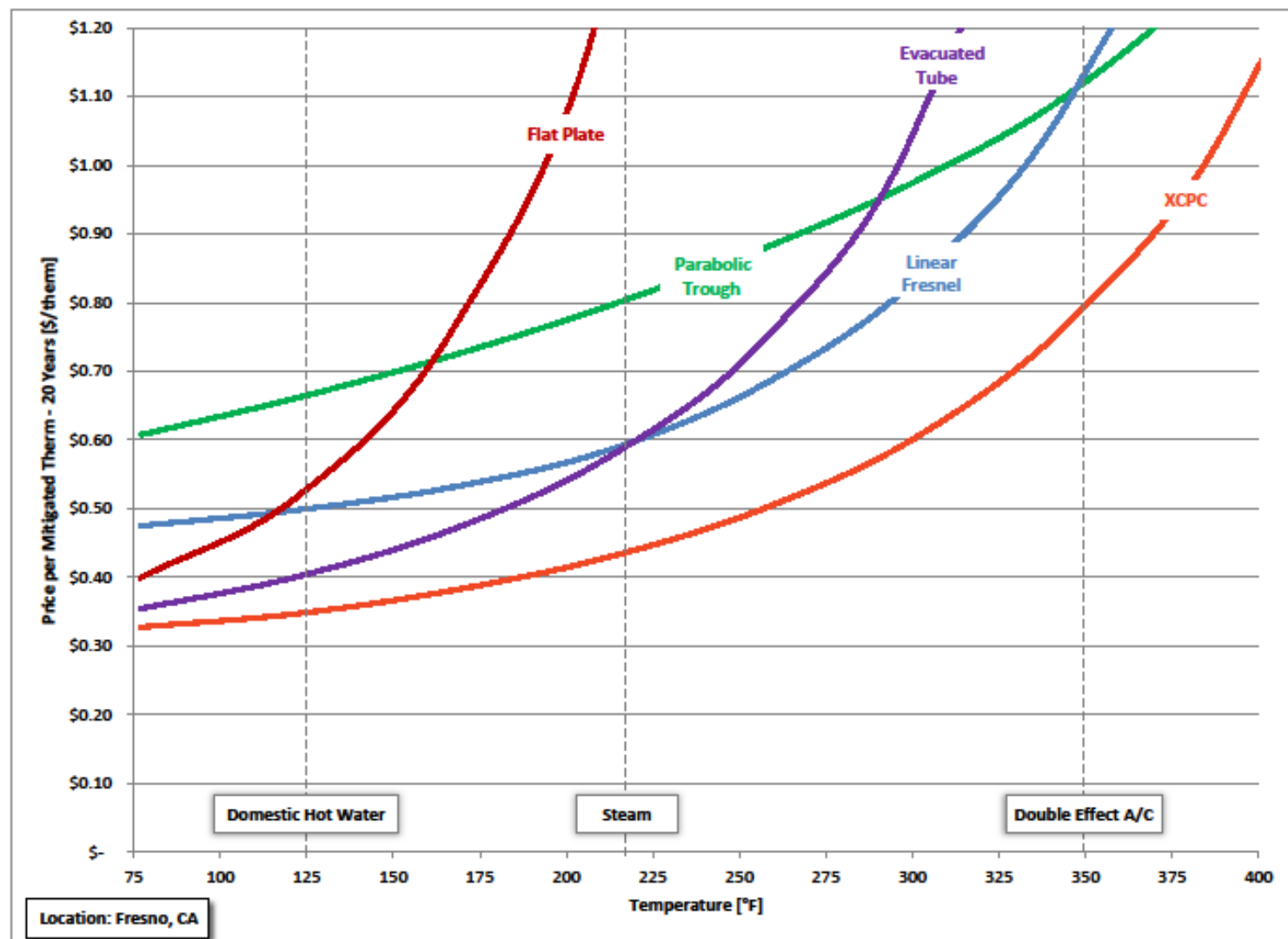




# Power Output of the Solar Cooling System







# The *Best Use* of our Sun

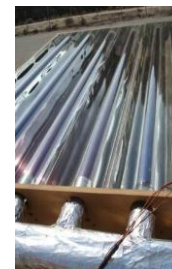


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# Demonstrated Performance



Conceptual Testing  
SolFocus & UC Merced

10kW Array Gas Technology Institute



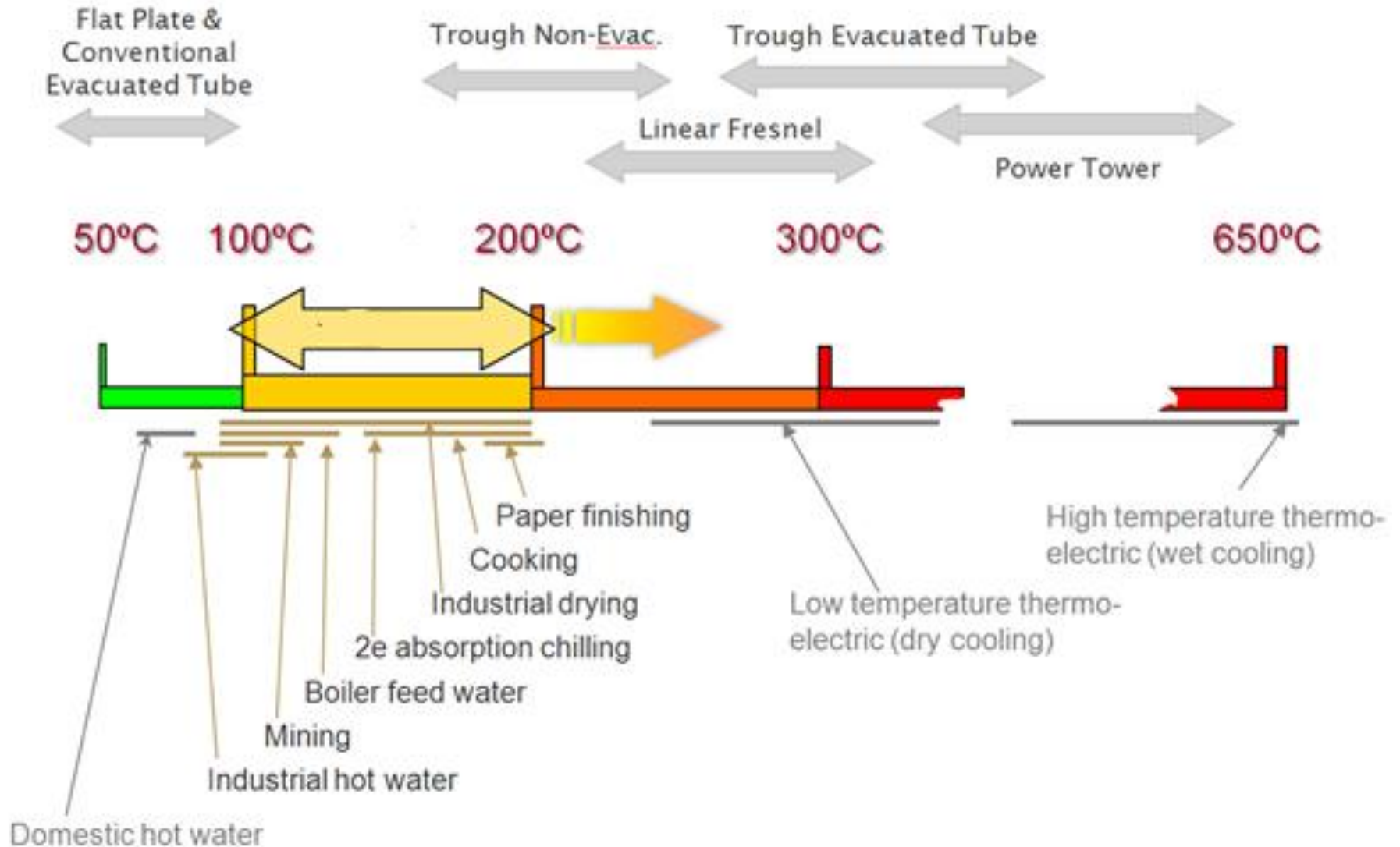
10kW test loop NASA/AMES



# Hospital in India

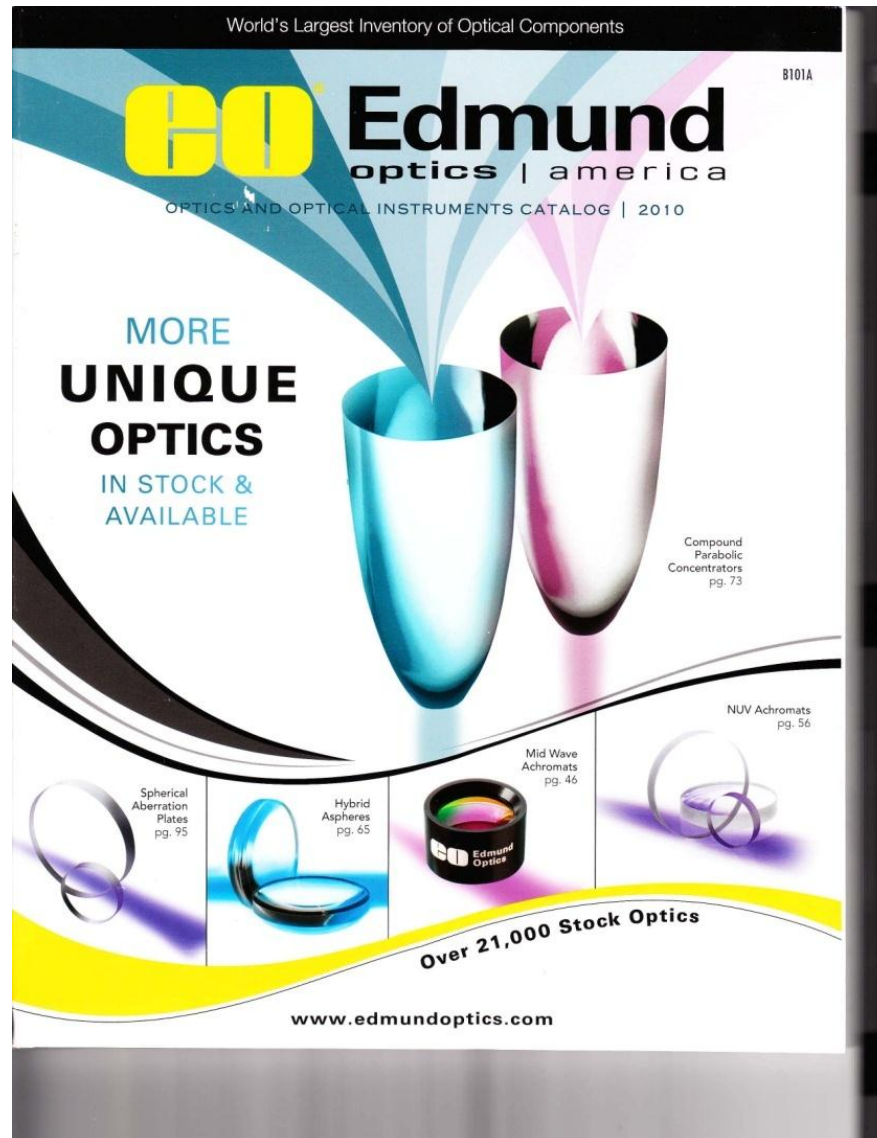


Roland, I hope Shanghai went well Hit 200C yesterday with just 330W DNI. Gary D. Conley~Ancora Imparo  
[www.b2uSolar.com](http://www.b2uSolar.com)





# I am frequently asked- Can this possibly work?



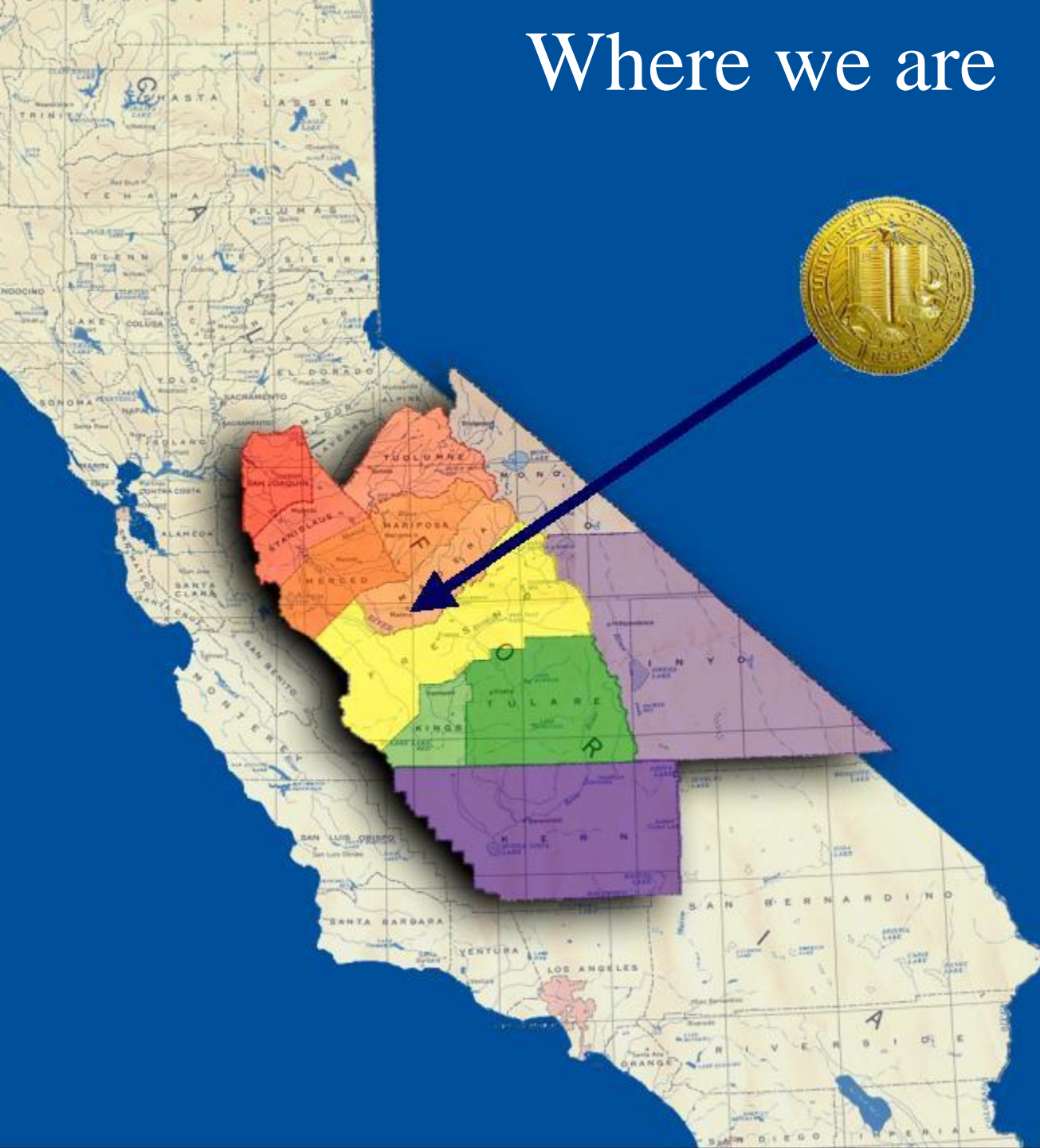




# EPILOGUE

In case you have been wondering,  
WHERE IS UC MERCED???

# Where we are





UC Merced Campus  
Under Construction January 2004

Photo By Hans Marsen



Thank you...





# Highlight Project—Solar Thermal

- UC Merced has developed the External Compound Parabolic Concentrator (XCPC)
- XCPC features include:
  - Non-tracking design
  - 50% thermal efficiency at 200
  - Installation flexibility
  - Performs well in hazy conditions
- Displaces natural gas consumption and reduces emissions
- Targets commercial applications such as double-effect absorption cooling, boiler preheating, dehydration, sterilization, desalination and steam extraction



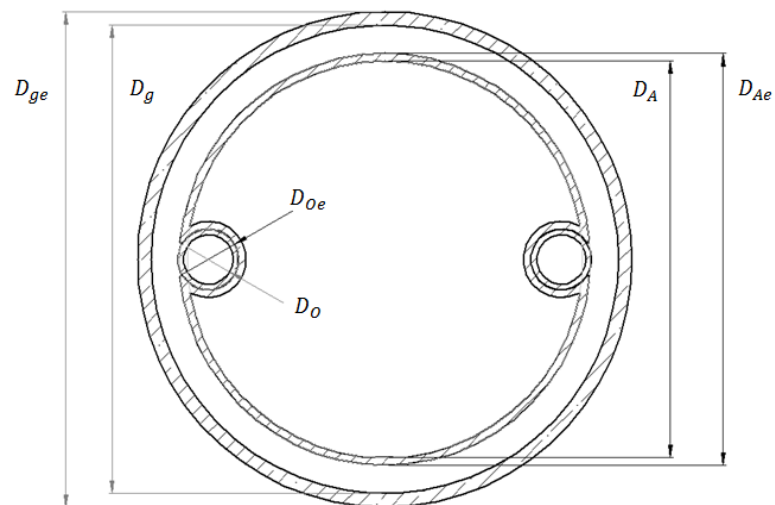
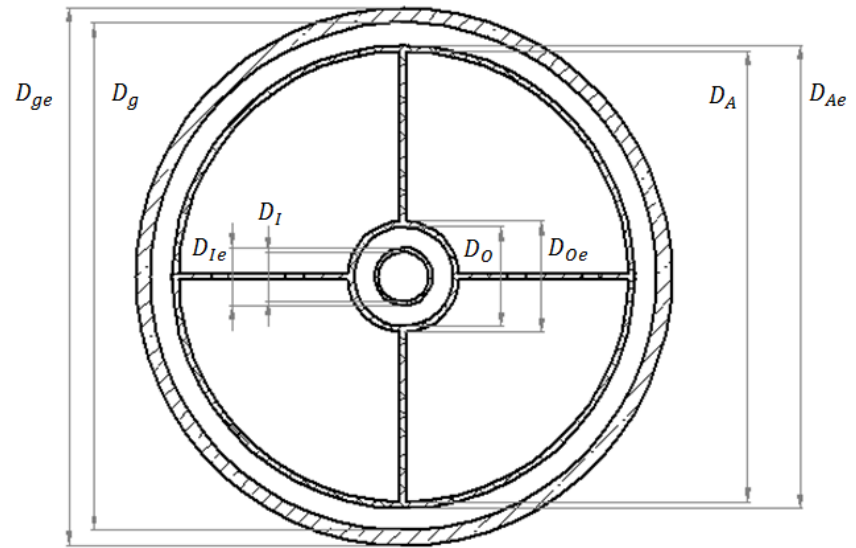
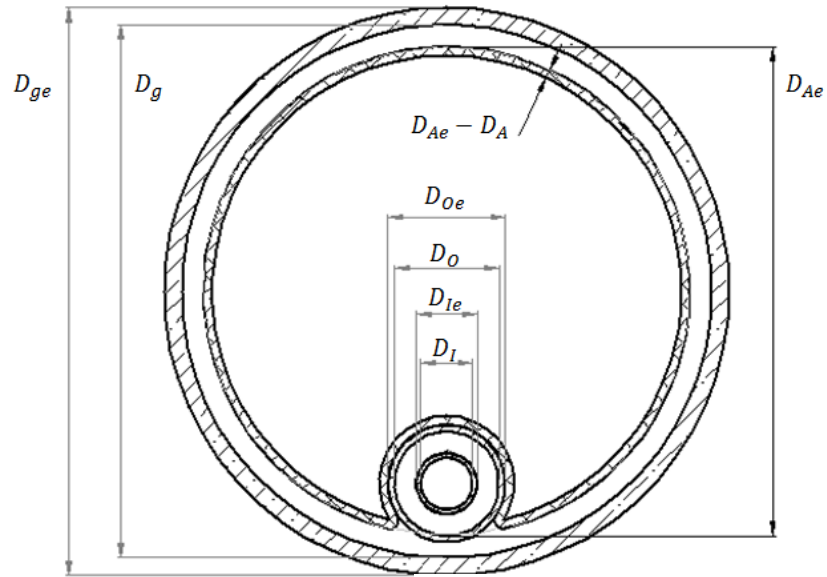


UCMERCED

# UC Merced 250°C Thermal Test Loop

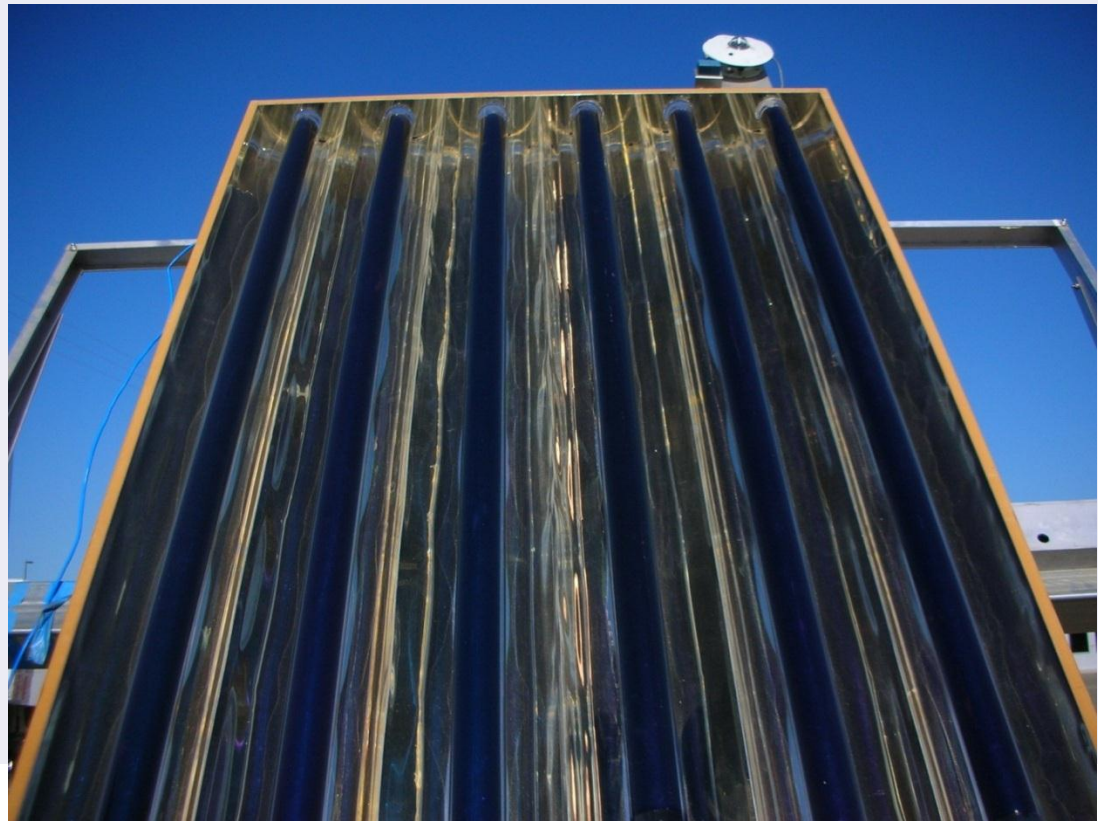






# Testing

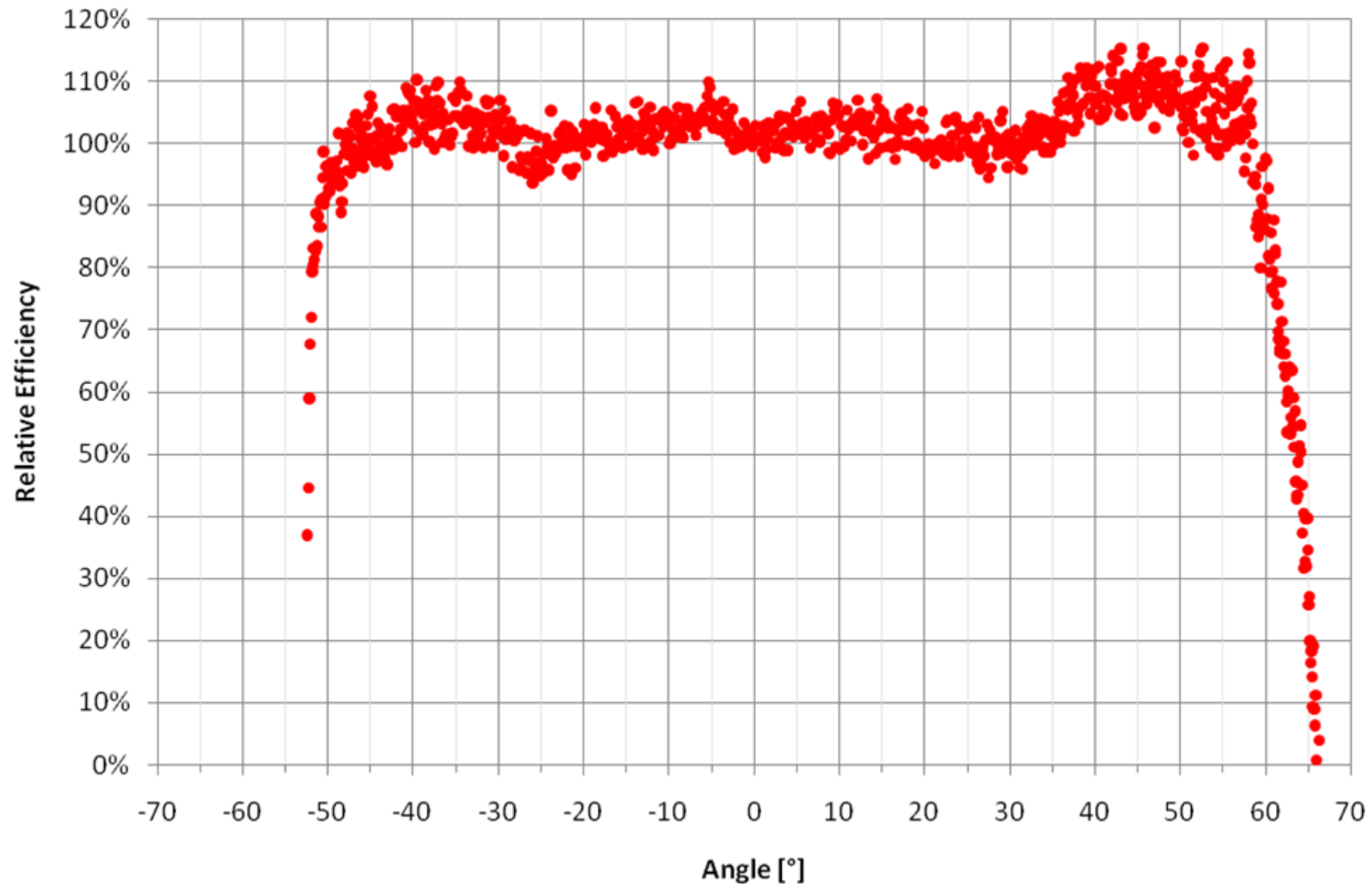
- Efficiency (80 to 200 °C)
- Optical Efficiency (Ambient temperature)
- Acceptance Angle
- Time Constant
- Stagnation Test

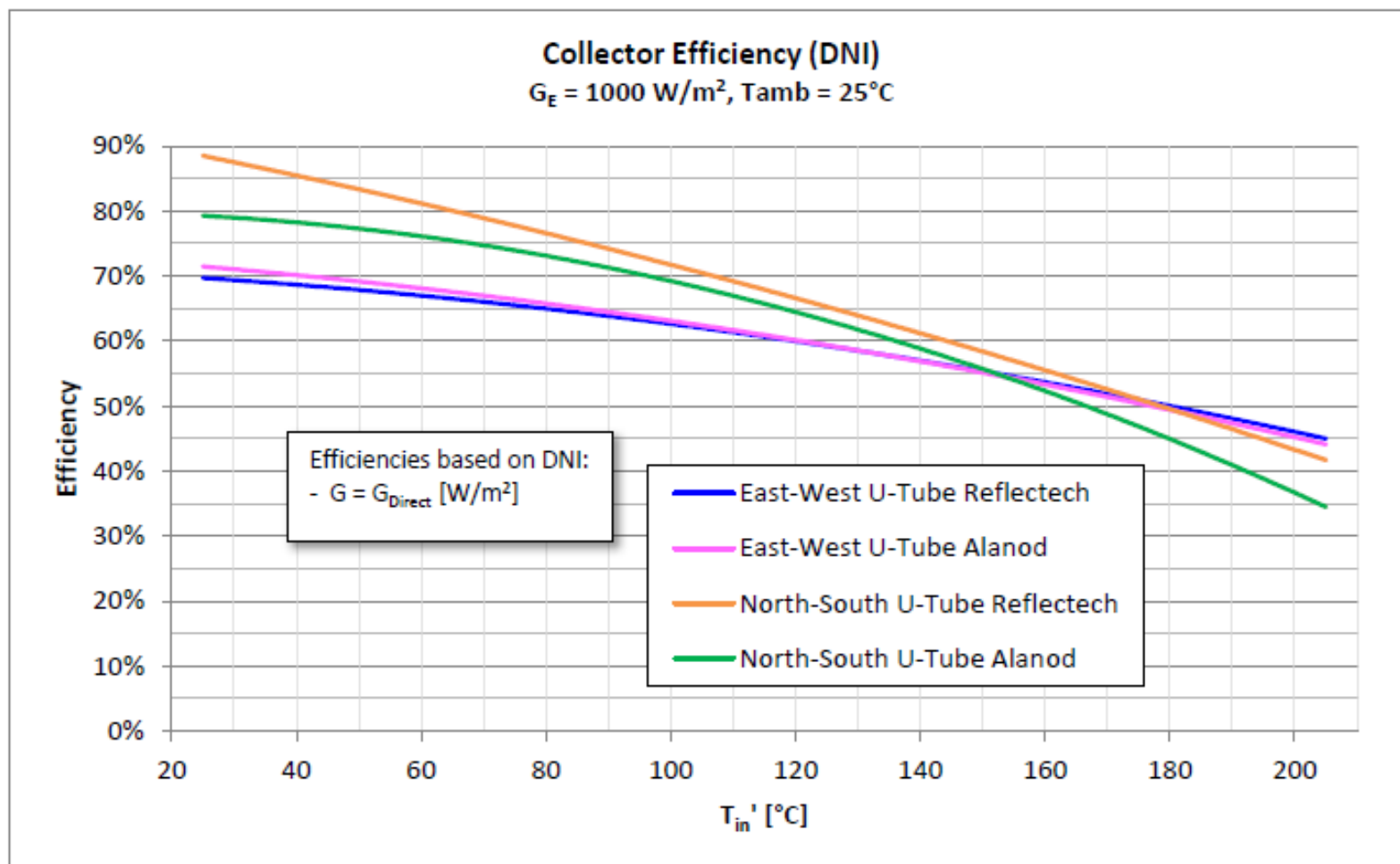




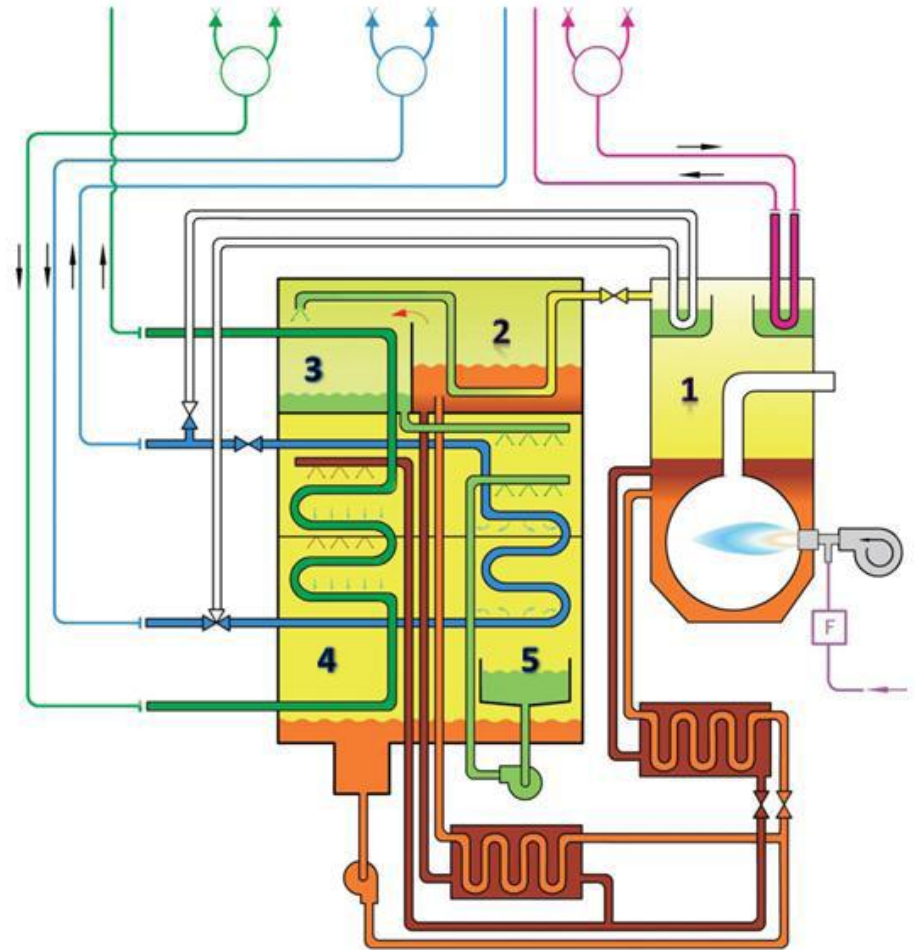
# Acceptance Angle

North-South Counterflow Alanod: IAM

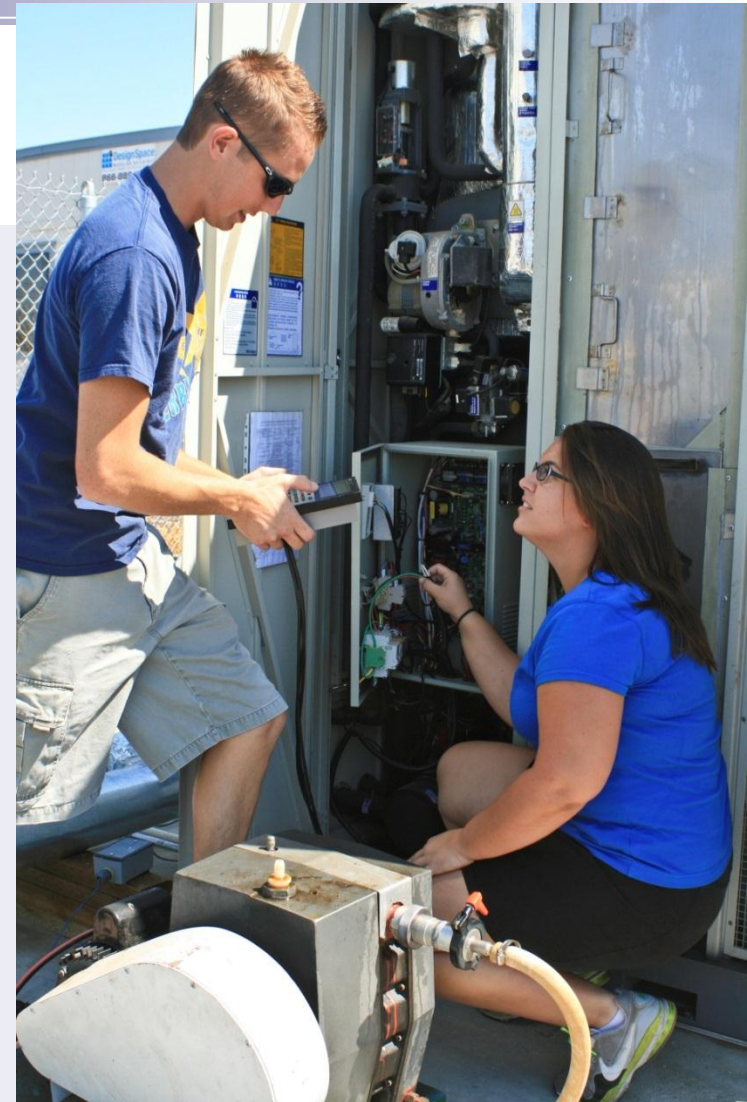




**Figure 84. U-Tube Collector Efficiency (DNI)**

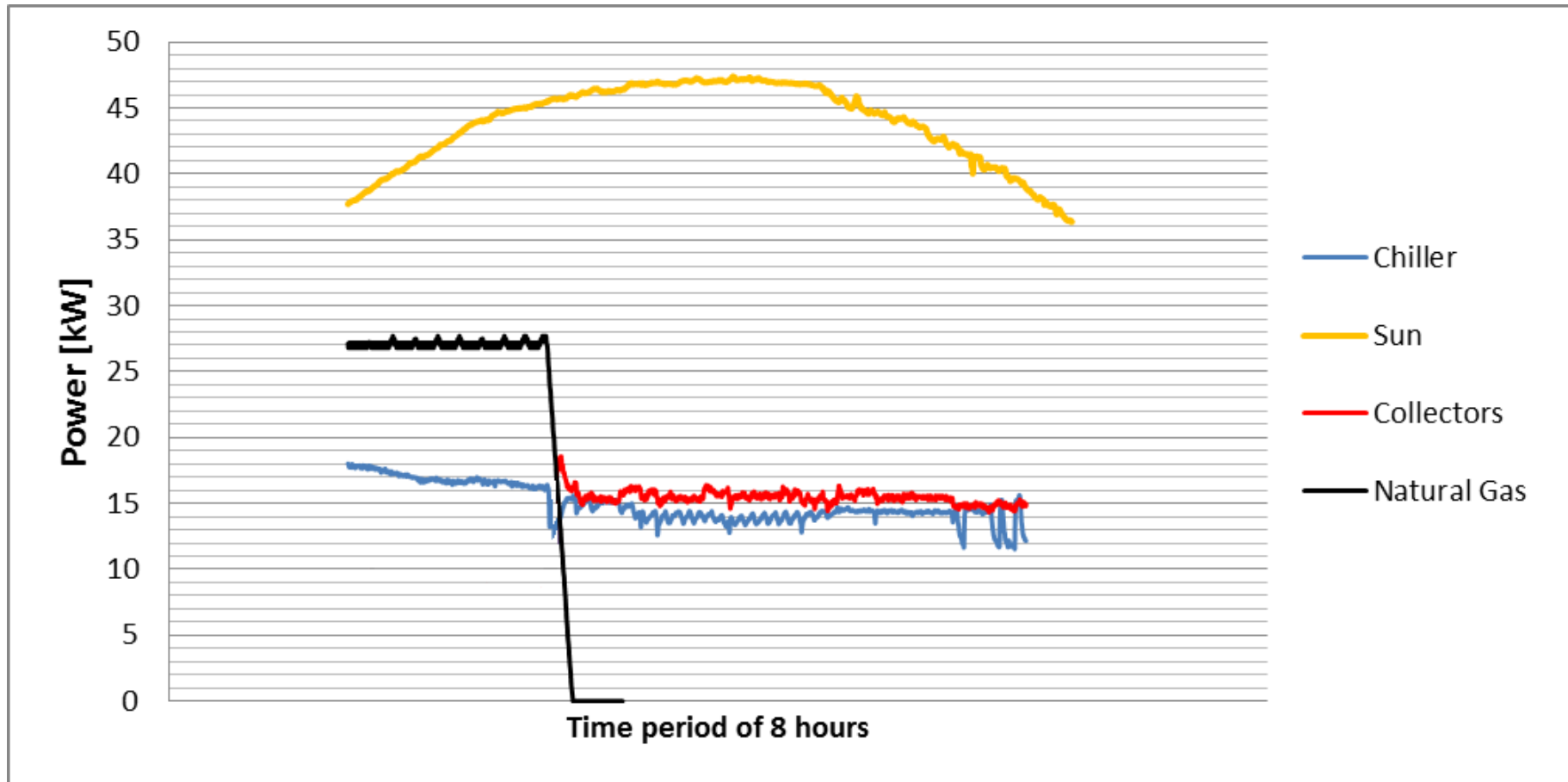


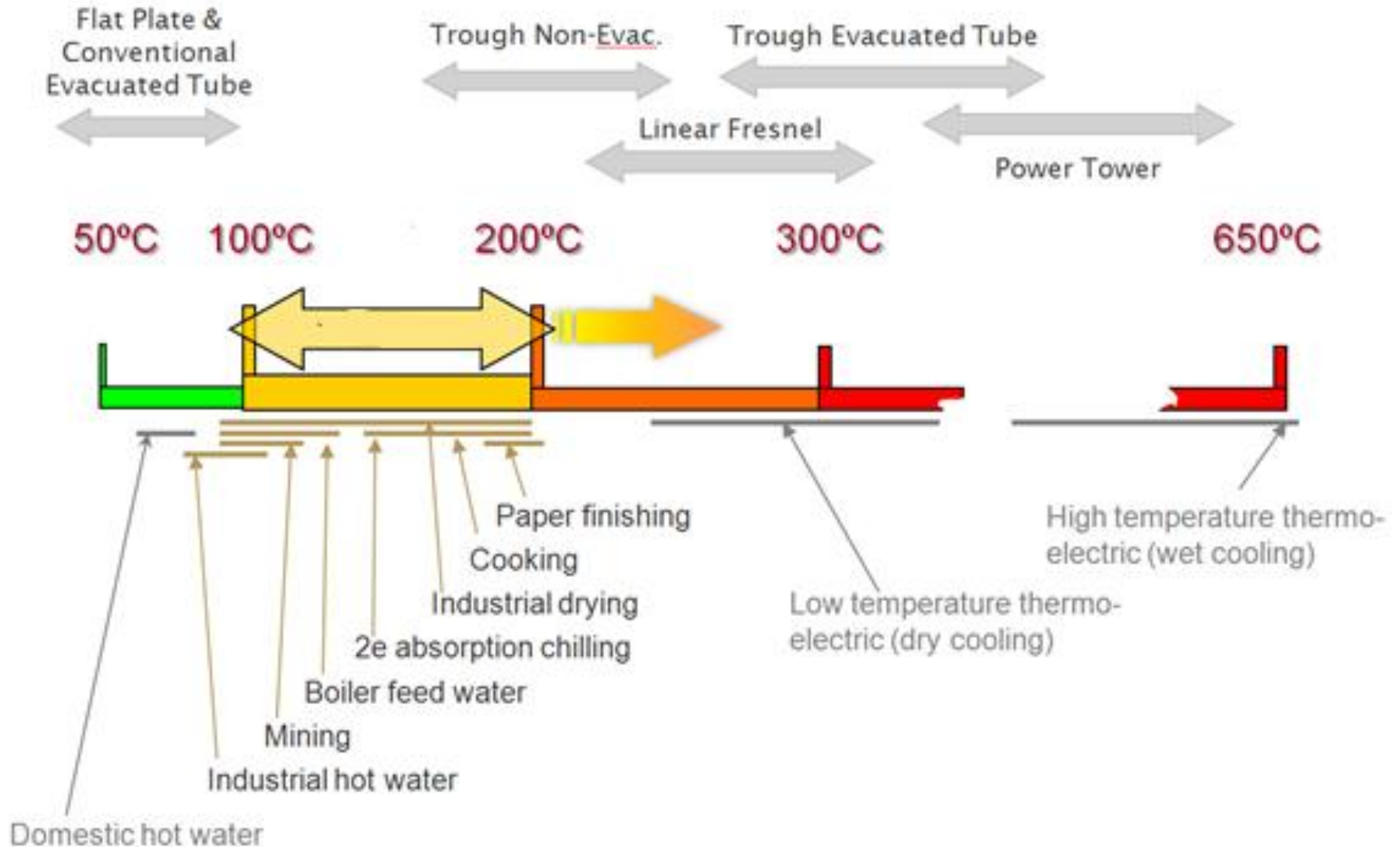






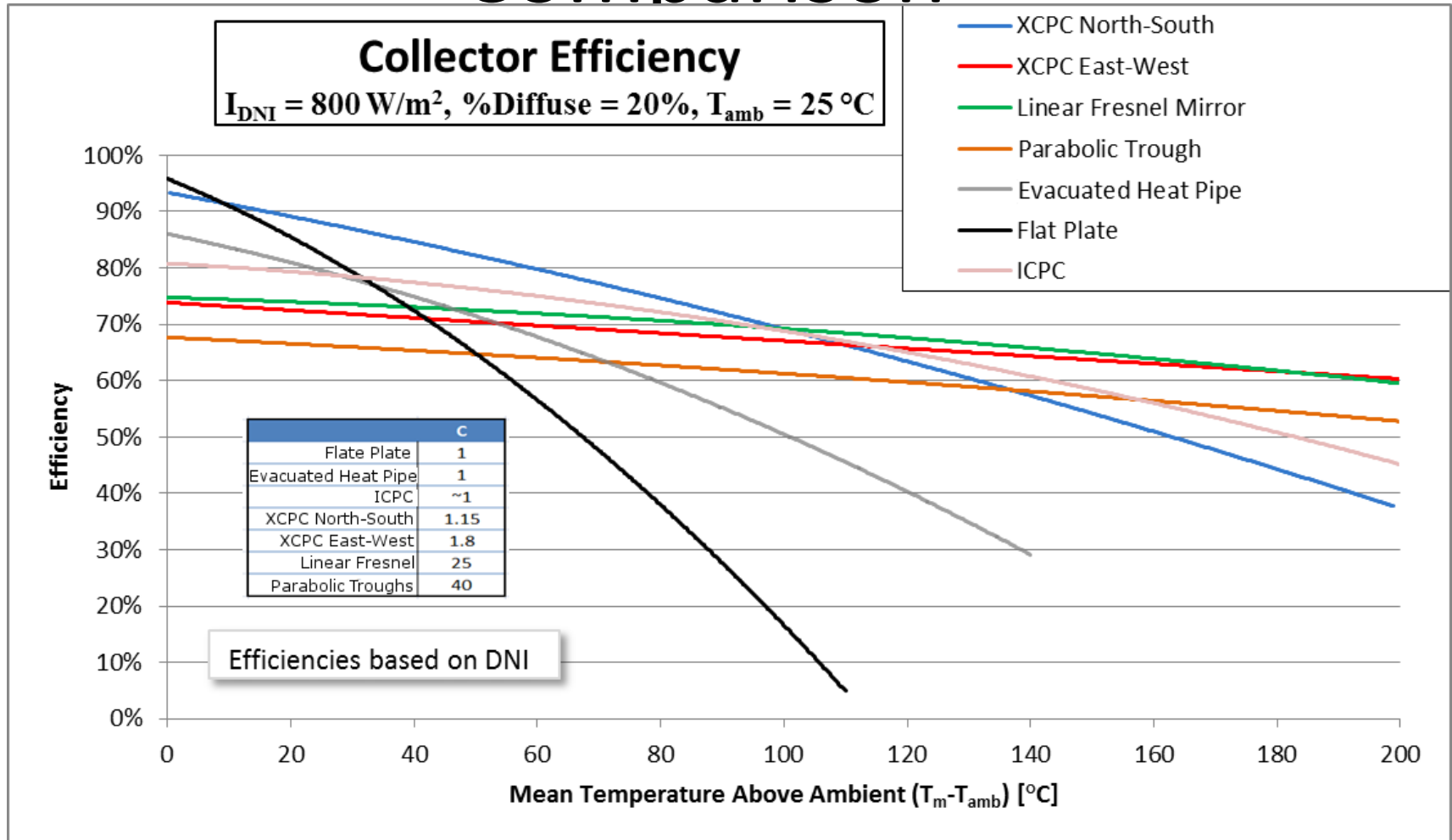
# Power Output of the Solar Cooling System

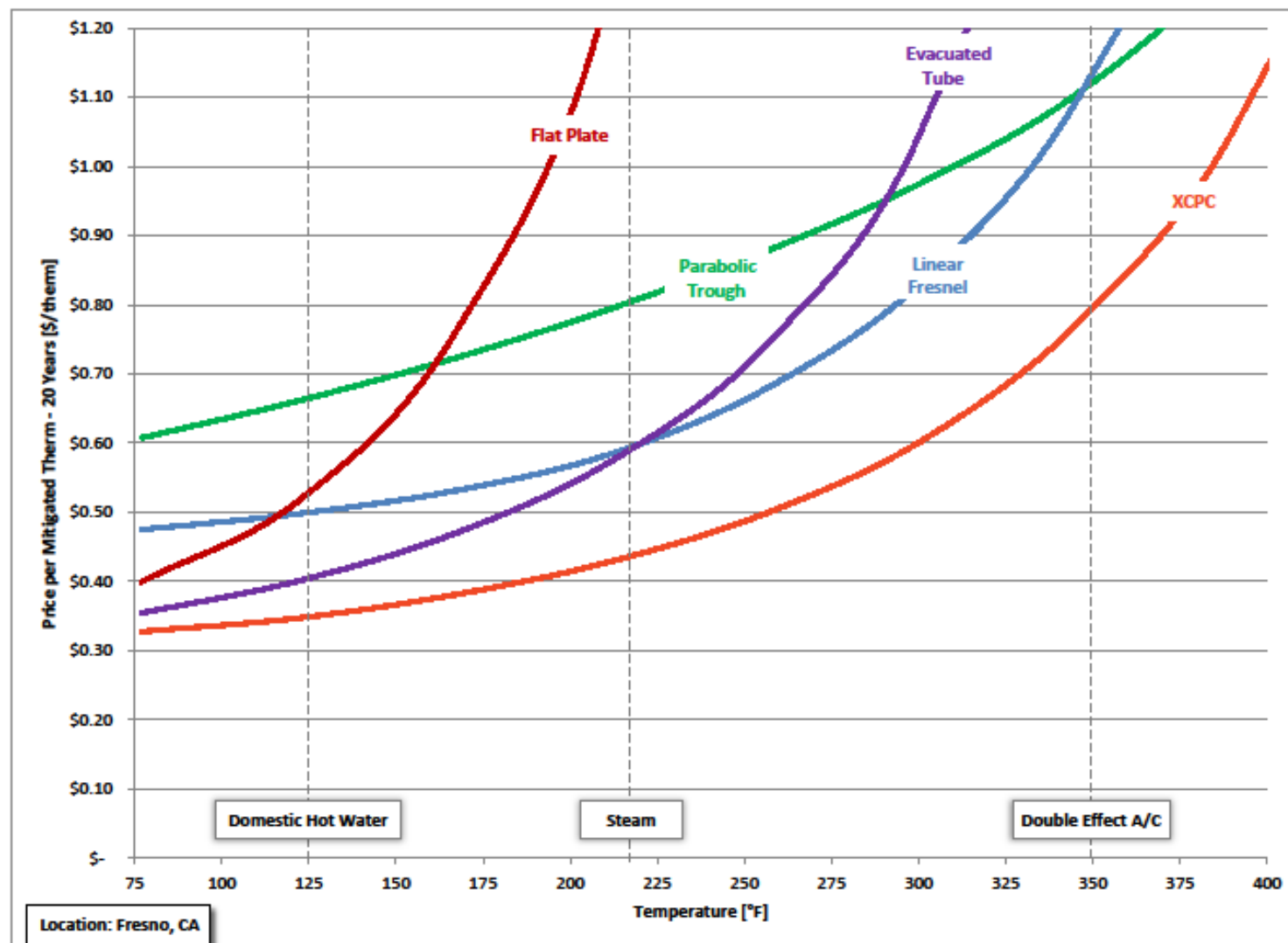






# Comparison









# XCPC Applications

- **Absorption Chillers**
- Adsorption Chillers
- Desiccant Cooling
- Heat Driven Electrical Power Generation
- Steam Cycle Based Products
- Stirling Cycle Based Products
- Heat Driven Water
- Membrane Distillation
- Heat Driven Industrial Process
- Technology feasibility
- Economic Competitiveness
- Market Potential
- Time to

# The *Best Use* of our Sun



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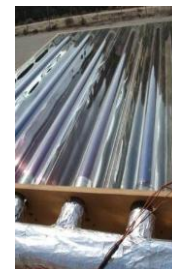




# Demonstrated Performance



10kW Array Gas Technology Institute



Conceptual Testing  
SolFocus & UC Merced



10kW test loop NASA/AMES



**SunTherm**  
Energy, Inc.

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Merced, CA 95348  
[www.sun-therm.com](http://www.sun-therm.com)  
209.726.4688

