

Status and latest results from the NA62 Experiment at CERN

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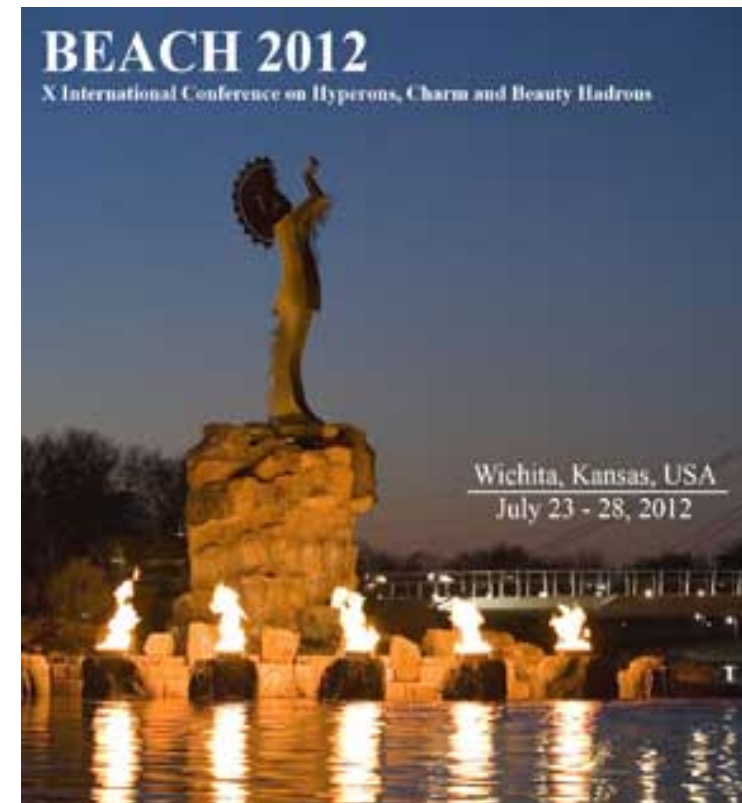
BEACH 2012

*X International Conference on Hyperons,
Charm and Beauty Hadrons*

Wichita, KS

July 23-28, 2012

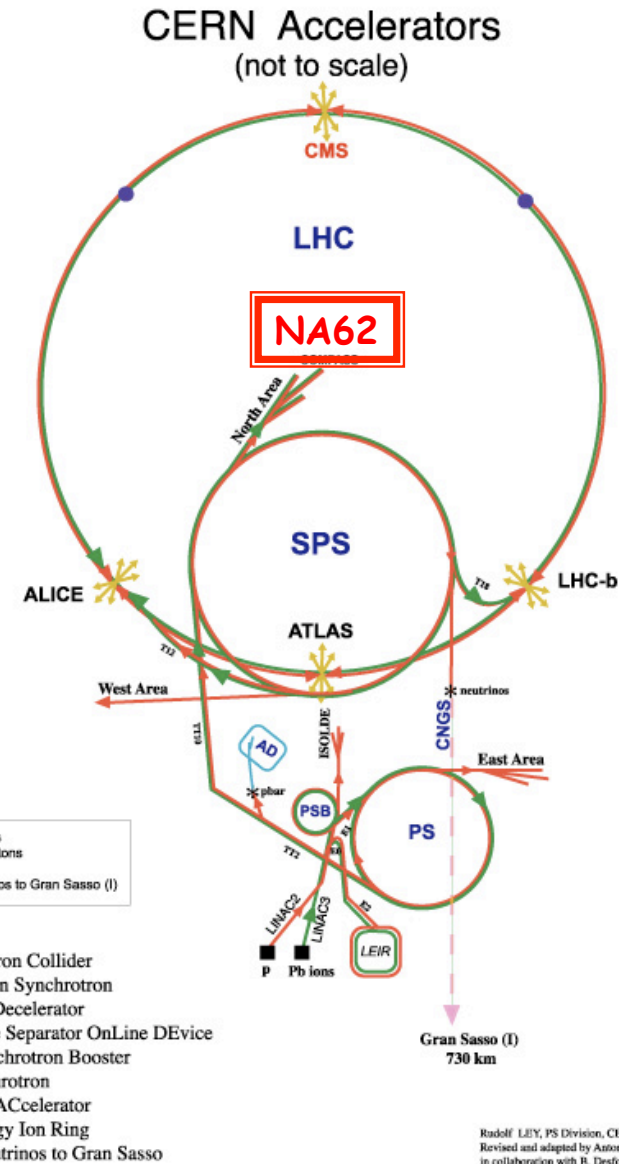
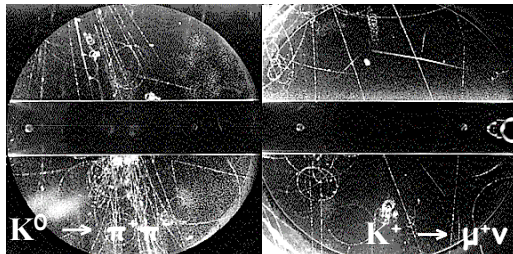
On behalf of the NA62 Collaboration



Outline



- Kaons and New Physics
- The NA62 experiment at CERN
 - Experimental technique
 - Beam and detector
 - Final $R_K = K_{e2}/K_{\mu 2}$ measurement
- Summary and outlook



Flavor physics: the golden observables



G. Isidori – Implications of LHC results

CERN, 30th March 2012

► Minimal list of key (or better classes of) observables

- γ from tree ($B \rightarrow DK, \dots$) (S)LHCb
- $|V_{ub}|$ from semi-leptonic B decays SuperB's
- $B_{s,d} \rightarrow l^+l^-$ (S)LHCb
- CPV in B_s mixing (S)LHCb
- $B \rightarrow K^{(*)} l^+l^-, \nu\nu$ (S)LHCb, SuperB's
- $B \rightarrow \tau\nu, \mu\nu$ SuperB's
- $K \rightarrow \pi\nu\nu$ Kaon beams (NA62,...)
- CPV in charm (S)LHCb, SuperB's
- LFV in charged leptons Muon beams, (S)LHCb, SuperB's

Gino Isidori

The $K \rightarrow \pi \nu \bar{\nu}$ decays in the SM



Decay ($BR \times 10^{10}$)	Theory (SM Prediction)	Experiments
$K^+ \rightarrow \pi^+ \nu \bar{\nu}$	$0.781 \pm 0.075 \pm 0.029$ [1]	$1.73 + 1.15 - 1.05$ [2]
$K^0 \rightarrow \pi^0 \nu \bar{\nu}$	$0.243 \pm 0.039 \pm 0.006$ [1]	< 260 [3]

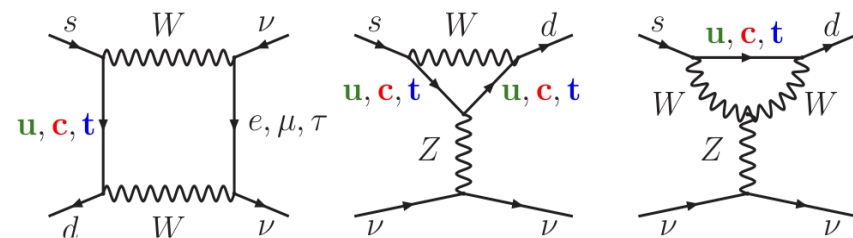
[1] Brod, Gorbahn, Stamou: PRD83(2011) 034030, arXiv 1009.0947

[2] BNL E787/E949: PRL101 (2008) 191802, arXiv 0808.2459

[3] KEK E391a: PR D81 (2010) 072004, arXiv 0911.4789

SM predictions are extremely clean

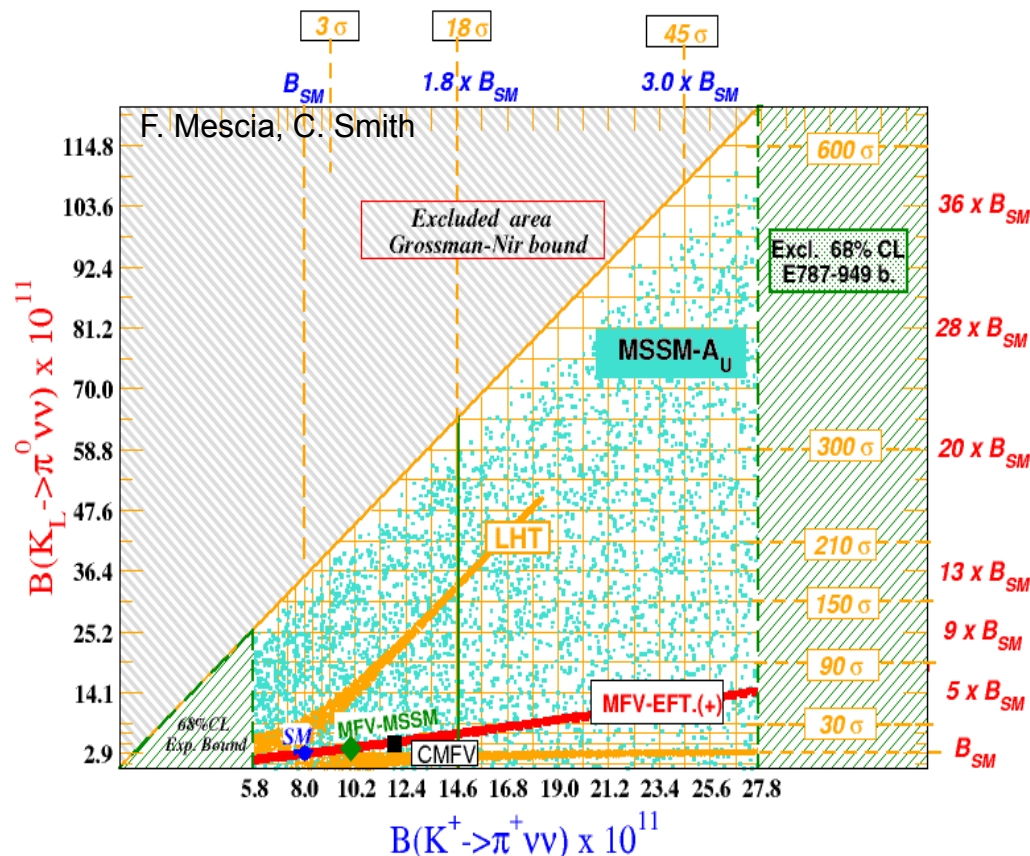
- Short Distance dynamics dominates:
 - FCNC processes only arising at loop level (Z penguins and box diagrams)
- Hadronic matrix element known from K_{e3} semileptonic decays BR via isospin rotation
- Uncertainty dominated by CKM matrix elements
- Amplitude very well predicted:
 - clean V_{td} dependence
 - the BR measurement determines V_{td} without input from Lattice QCD ($\delta BR/BR \approx 10\% \rightarrow \delta V_{td}/V_{td} \approx 7\%$)
- Strongly suppressed in SM ($< 10^{-10}$):
 - Key role in seeking NP beyond SM



The $K \rightarrow \pi \nu \bar{\nu}$ decays beyond SM

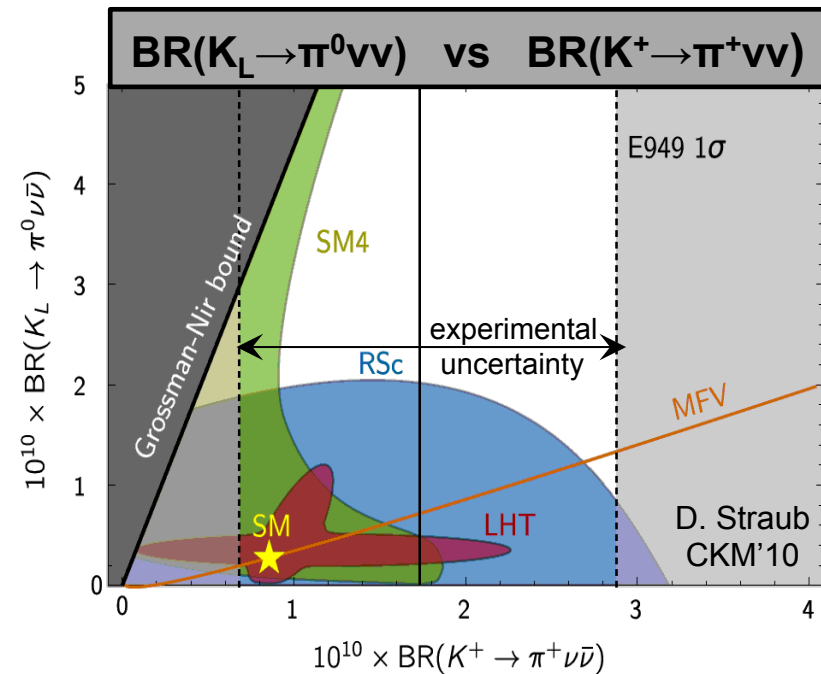


- Rare K decays are highly suppressed (CKM) \rightarrow highly predictive for SM extensions
- Many SM extensions predict sizeable deviations from the BR_{SM} value
- Possibility to distinguish among many different models:
 - *Chargino/ H^\pm loops (MSSM at low/large $\tan\beta$), R-parity violation (non MFV), enhanced EW Penguins, Little Higgs, extra dimensions, 4th generation, ...*



NP models predicting deviations from MFV:

- *Randall-Sudrum,*
- *Littlest Higgs with T-parity,*
- *SM 4th generation*



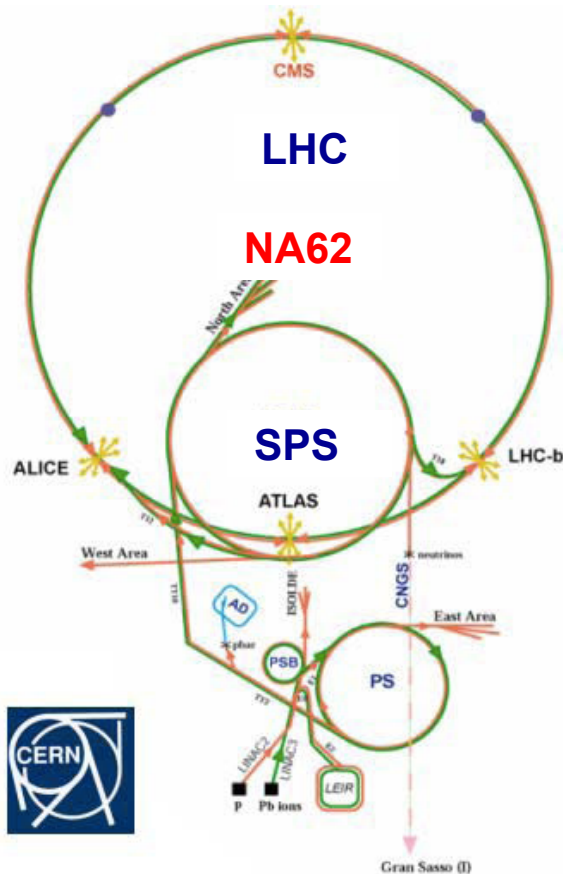
The NA62 experiment at CERN



The CERN Accelerator Complex

The SPS at CERN:

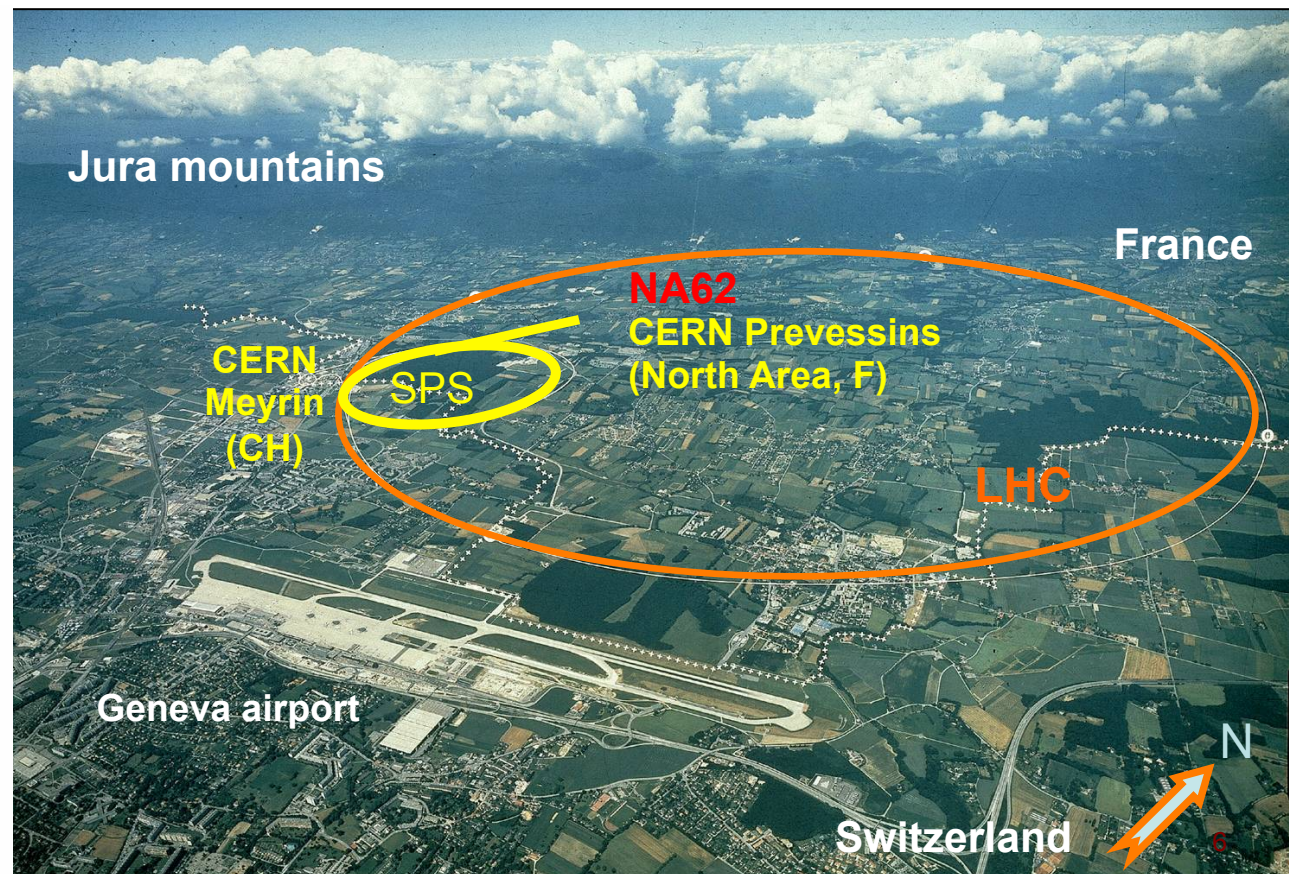
- 400 GeV/c protons
- used as injector for the LHC
- multi-turn fast/slow extraction system



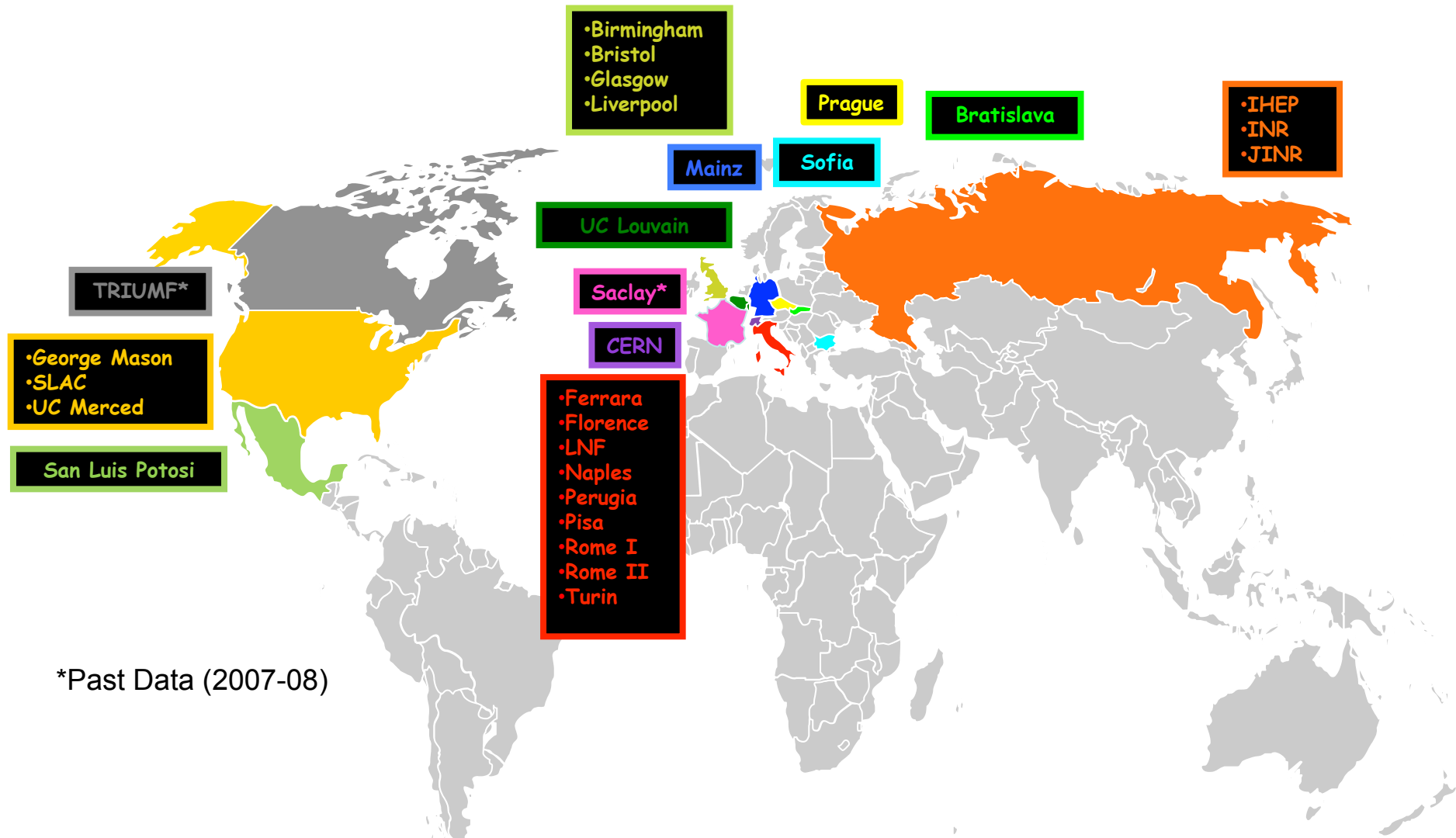
The NA62 experimental program

Main goal: measurement of $K^+ \rightarrow \pi^+ \nu \bar{\nu}$
(O(100) events, S/B \approx 10; physics runs: 2014-15, commissioning run with partial detector in 2012)

Early stage: measurement of $R_K = \Gamma(K_{e2})/\Gamma(K_{\mu2})$
with precision $<1\%$ (physics runs: 2007-08)



The NA62 Collaboration



*Past Data (2007-08)

The NA62 Collaboration: Birmingham, Bratislava, Bristol, CERN, Dubna, Fairfax, Ferrara, Florence, Frascati, Glasgow, Liverpool, Louvain, Mainz, Merced, Moscow, Napoli, Perugia, Pisa, Prague, Protvino, Rome I, Rome II, San Luis Potosí, SLAC, Sofia, Turin

NA62: the experimental technique



Decay-in-flight technique:

Advantages (wrt decay at rest)

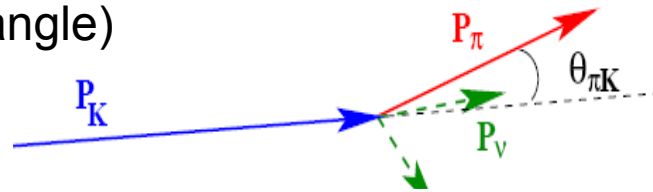
- easy to have high intensity beam
- easy to veto high energy photons

Disadvantages

- long detector and decay region
- event by event measurement of K momentum
- unseparated hadron beam

Signal signature:

1 track (momenta+angle)
+ nothing



Background:

All K decays + accidental charged particles
(beam particle interactions)

→ A challenging experiment with weak signal signature ($BR_{SM} = 8 \times 10^{-11}$) and huge background from kaon decays

Decay	BR
$\mu^+\nu$ ($K_{\mu 2}$)	63.5%
$\pi^+\pi^0$ ($K_{\pi 2}$)	20.7%
$\pi^+\pi^+\pi^-$	5.6%
$\pi^0e^+\nu$ ($K_{e 3}$)	5.1%
$\pi^0\mu^+\nu$ ($K_{\mu 3}$)	3.3%
$\pi^+\pi^0\pi^0$	1.8%
$\mu^+\nu\gamma$ ($K_{\mu 2\gamma}$)	0.62%
$\pi^+\pi^0\gamma$	2.7×10^{-4}
$\pi^+\pi^-\pi^0$	4.1×10^{-5}
$\pi^0\pi^0e^+\nu$ ($K_{e 4^{00}}$)	2.2×10^{-5}
$e^+\nu$ ($K_{e 2}$)	1.5×10^{-5}
$\pi^+\pi^-\mu^+\nu$ ($K_{\mu 4}$)	1.4×10^{-5}

NA62: guiding principles



GOAL: 10% precision measurement of $BR(K^+ \rightarrow \pi^+ \nu \bar{\nu})$

O(100) $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ decays with $\sim 10\%$ background in 2 years data taking

Requirements:

Statistics:

- $BR(SM) \sim 8 \times 10^{-11}$
- Acceptance: 10%
- K decays: $\sim 10^{13}$



Kaon intensity, signal efficiency

Systematics:

- $\geq 10^{12}$ background rejection
- $\sim 10\%$ precision on background measurement



Signal purity, detector redundancy



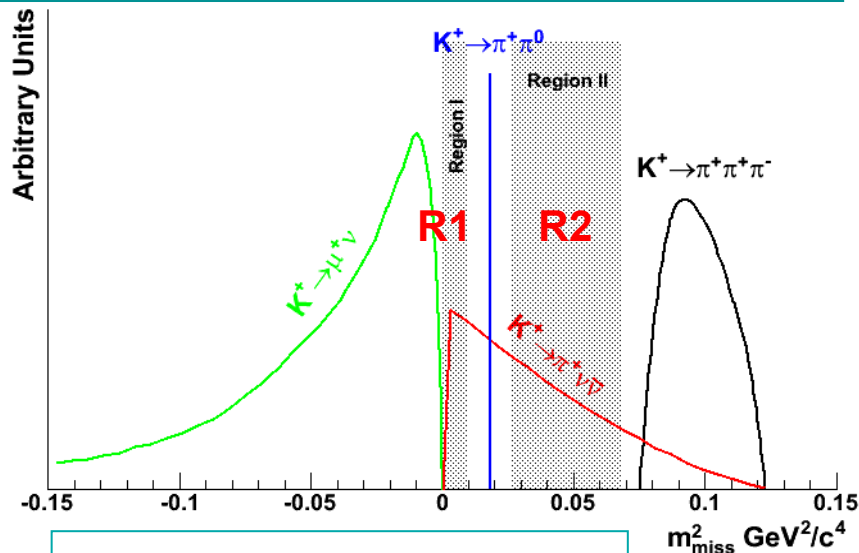
- high-rate environment \rightarrow precise high resolution timing
- kinematic rejection, by cutting on the missing mass squared of the observed decay particles
 - \rightarrow fast tracking to measure the incoming K momentum
 - \rightarrow high resolution tracking to measure daughter particles momenta
- rejection of background processes
 - \rightarrow precise identification of kaons, pions, muons, electrons and photons
 - \rightarrow hermetic vetoing of photons out to large angles and of muons within the acceptance
- redundancy of information

NA62: background rejection



$$m_{miss}^2 = (P_K - P_\pi)^2 = m_K^2 + m_\pi^2 - 2(E_K E_\pi - p_K p_\pi \cos \theta_{K\pi})$$

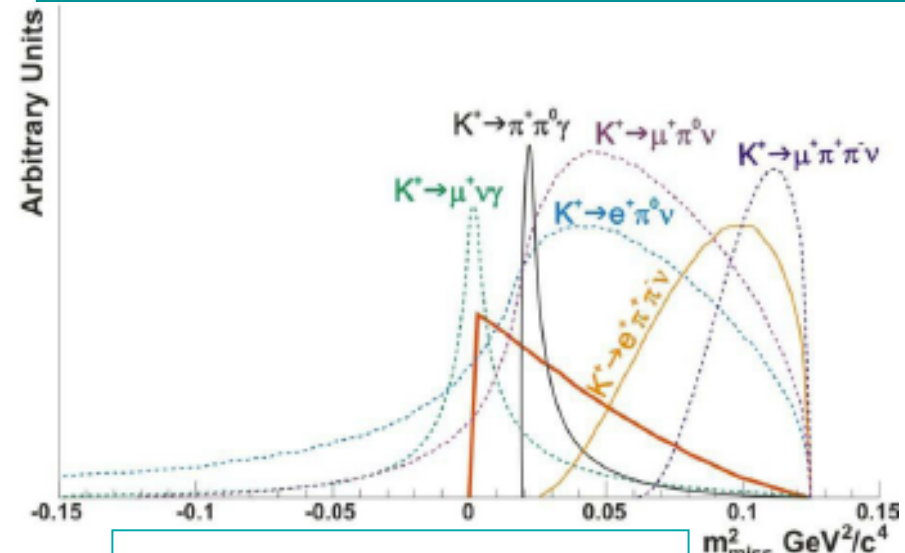
Background separated by kinematic cuts



92% of total background

- ▶ Definition of the signal region with low background:
 - ➔ $K^+ \rightarrow \pi^+ \pi^0$ forces to split it into 2 parts: **R1** and **R2**
- ▶ Achievable kinematic rejection power (MC):
 - ➔ $\sim 10^4$ ($K_{2\pi}^\pm$), $\sim 10^5$ ($K_{\mu 2}^\pm$)
- ▶ Rejection relies on high resolution m_{miss}^2 reconstruction
 - ➔ low mass/high resolution tracking in vacuum

Background NOT separated by kinematic cuts



8% of total background

- ▶ Radiative decays or ν final states
- ▶ Span across the signal region
- ▶ Rejection relies on efficient vetoes and PID

NA62: $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ signal acceptance

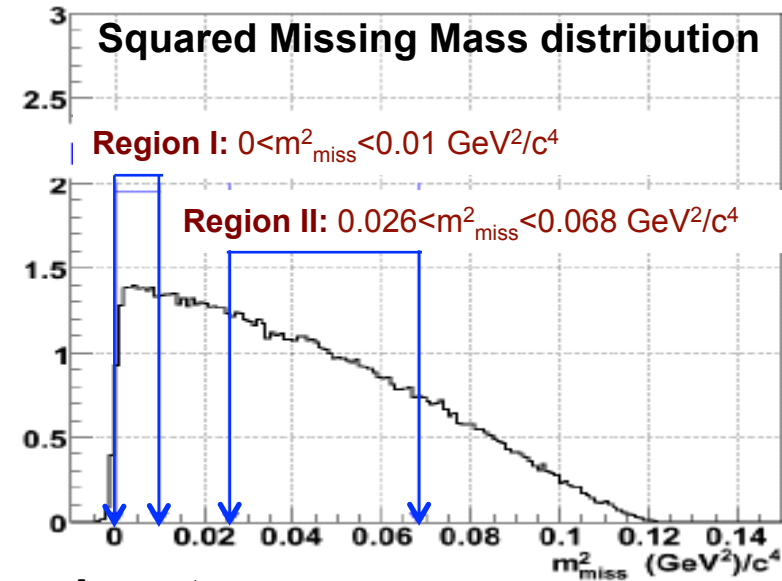


Analysis:

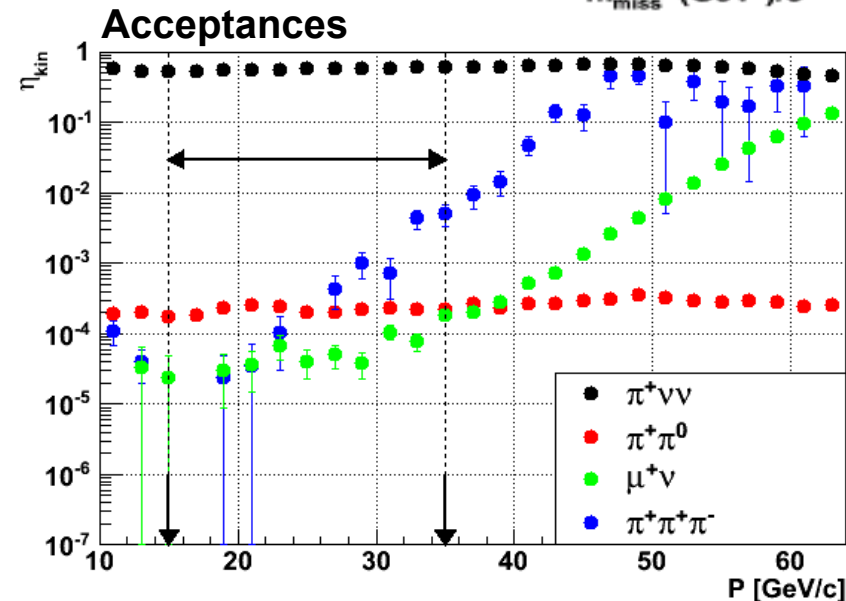
- ◆ Simulation based on G3, G4, Fluka
- ◆ **Main cut: $15 < P_{\pi^+} < 35$ GeV/c**
 - for RICH operational reasons
 - better photon and muon rejection

Signal Acceptance:

- ◆ 3.5 % in region I
- ◆ 10.9% in region II
- ◆ 50% signal loss due to P_{π^+} cut
- ◆ expected detector inefficiencies taken under consideration



Channel	M^2_{miss} cut	Overall acceptance
$\pi^+ \nu \bar{\nu}$	~ 0.57	~ 0.147
$\pi^+ \pi^0$	$(2.2 \pm 0.5) \times 10^{-4}$	$(4.4 \pm 1.0) \times 10^{-5}$
$\mu^+ \nu_{\mu}$	$(0.7 \pm 0.1) \times 10^{-4}$	$(1.0 \pm 0.1) \times 10^{-5}$
$\pi^+ \pi^+ \pi^-$	$(1.4 \pm 0.2) \times 10^{-4}$	$(6.9 \pm 2.0) \times 10^{-7}$



➔ **Acceptance: $\sim 14.7\%$ (NA62 goal: 10%)**
(to be taken into account additional losses due to dead time, further inefficiencies, ...)

NA62: sensitivity



Decay mode	Events / year
Signal: $K^+ \rightarrow \pi^+ \nu \nu$ <i>(Flux = 4.8×10^{12} decays/year)</i>	55 events/year
$K^+ \rightarrow \pi^+ \pi^0$	4.3% (2.3 evts)
$K^+ \rightarrow \mu^+ \nu$	2.2% (1.2 evts)
$K^+ \rightarrow \pi^+ \pi^- e \nu$	<3% (1.7 evts)
Other 3-track decays	<1.5% (0.8 evts)
$K^+ \rightarrow \pi^+ \pi^0 \gamma$	~ 2% (1.1 evts)
$K^+ \rightarrow \mu^+ \nu \gamma$	~ 0.7% (0.4 evts)
others	negligible
Expected background	<13.5% (7.4 evts)

The $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ Analysis



- Kinematic rejection → Gigatracker (K), Straw Chambers (π)
- Particle identification
 - K identification → CEDAR (KTAG)
 - π/μ separation and μ suppression → RICH, MUV
 - charged particles detection/rejection → CHANTI, CHOD, MUV
- Photon rejection → Large Angle Veto, LKr calorimeter, Inner Ring Calorimeter, Small Angle Veto

General Theme:

maintain a good signal/background ratio while preserving as much as possible the signal acceptance

NA62: Beam & Detectors



Primary SPS beam: $p = 400 \text{ GeV}/c$

- proton/pulse 3×10^{12} ($\times 3 \text{ NA48}/2$)
- duty cycle 4.8 s / 16.8 s

Secondary unseparated positive beam:

- $p_K = 75 \text{ GeV}/c$ ($\Delta p/p \sim 1.1\%$)
- $\pi/K/p$ ($K^+ \sim 6\%$, positron free)
- K^+ decays / year = 4.5×10^{12} ($\times 45 \text{ NA48}/2$)

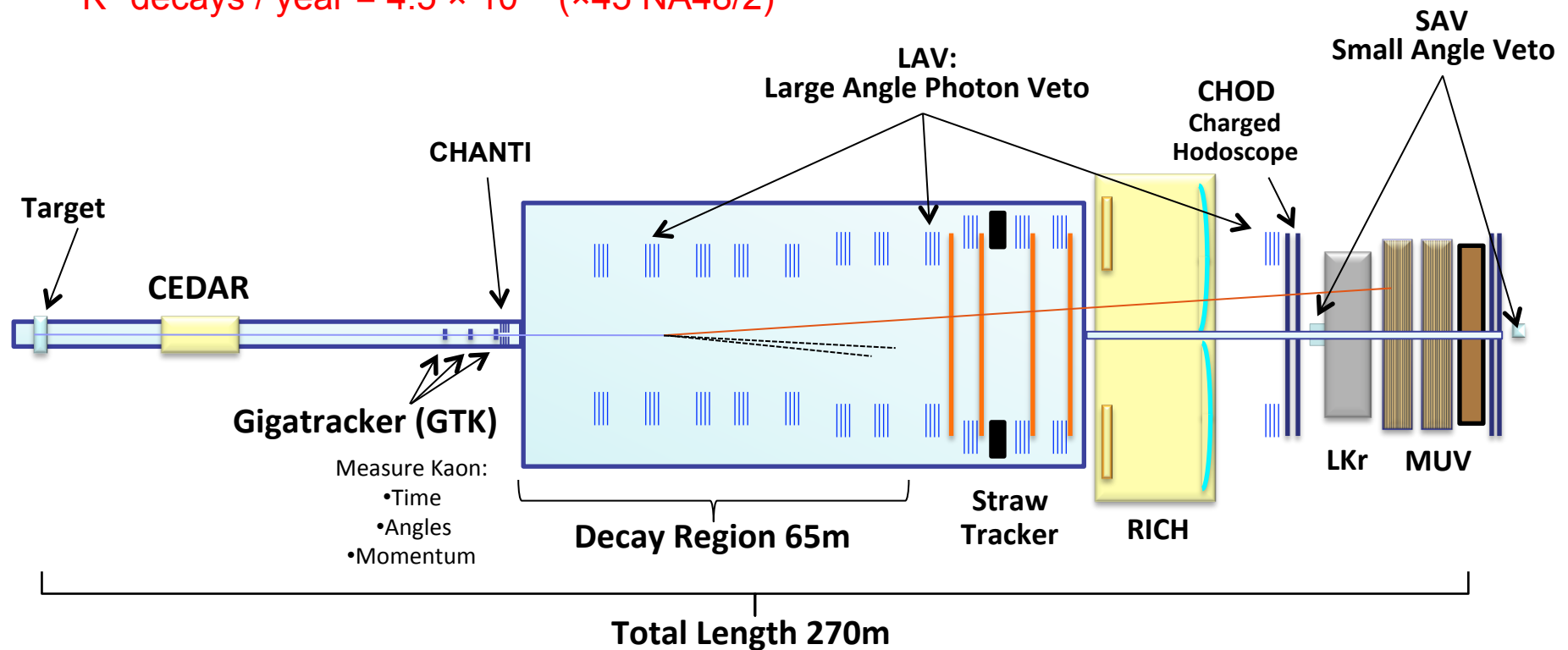
Beam acceptance = 12 mstr ($\times 25 \text{ NA48}/2$)

Area @ beam tracker = 16 cm²

Integrated average rate = 750 MHz

Average rate @ detectors $\approx 10 \text{ MHz}$

Vacuum at 10^{-6} mbar to reduce beam-gas interaction (use existing NA48 decay tank)



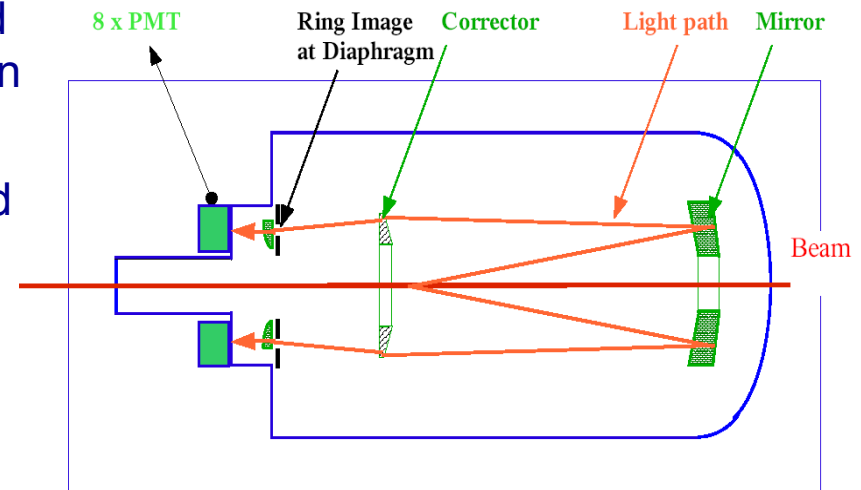
CEDAR: Cherenkov Differential Counter with Achromatic Ring Focus

CEDAR detectors have been designed and used at CERN since early 80's for particle identification in the SPS secondary hadron beams.

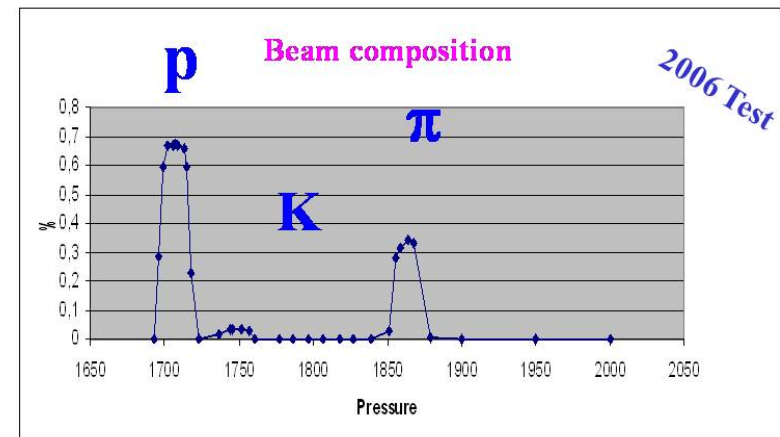
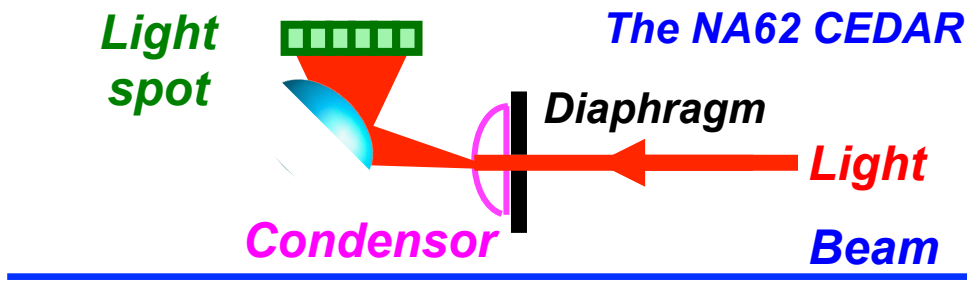
Gas pressure and diaphragm aperture are varied to select different beam particles (K, ρ , π)

Requirement for a CEDAR in NA62:

- positive K identification in a 800 MHz hadron beam, insensitive to π and ρ
- ~ 50 MHz K^+ rate (6% of total)
- photon rate = ~ 2 MHz/mm²:
 - ~ 30 photons per Kaon detected on 8 spots
 - light to be diluted on many PMs (condensor)



Original CEDAR (CERN 82-13)



CEDAR: the Kaon Tagger (KTAG)



KTAG: a CEDAR counter filled with H₂ gas to stand 50 MHz K rate

KTAG: CEDAR adapted to the NA62 need

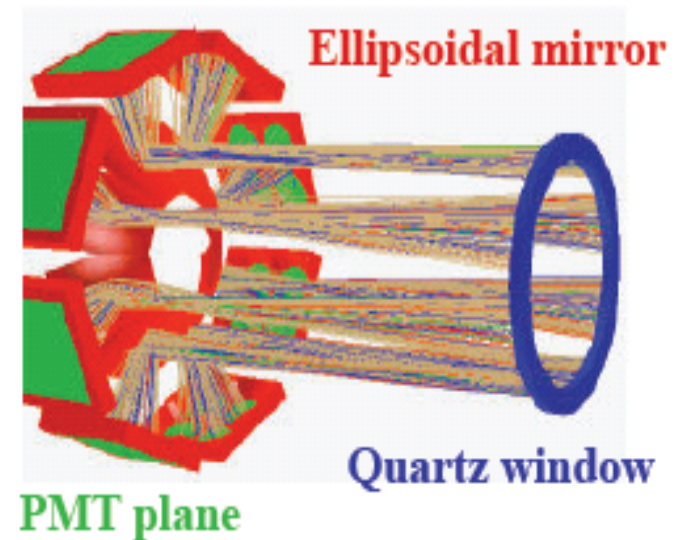
- H₂ instead of N₂ gas to minimize multiple scattering
- nominal pressure for Kaons: 3.85 bar
- photon rate spread on many photo-detectors (PMs):
64 PMs (Hamamatsu R7400U-03) per light spot
- new deflecting mirrors system to decrease the rate per single channel on the readout
- modified mechanics/optics
- new front-end and readout electronics

CEDAR detector commissioning for NA62 successfully completed (2011 CERN test beam)

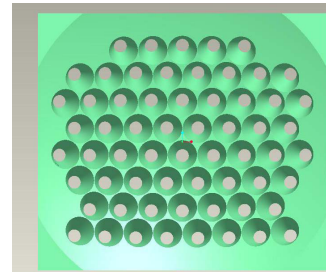
- new PMs and light guide prototype show adequate efficiency and timing performance
- different options of new front-end and read-out electronics were tested to validate the final choice

2012 Technical Run:

full enclosure available; 4 out of 8 light spots instrumented (read out by 32 out of 64 PMs)



Light spot (64 PMs)

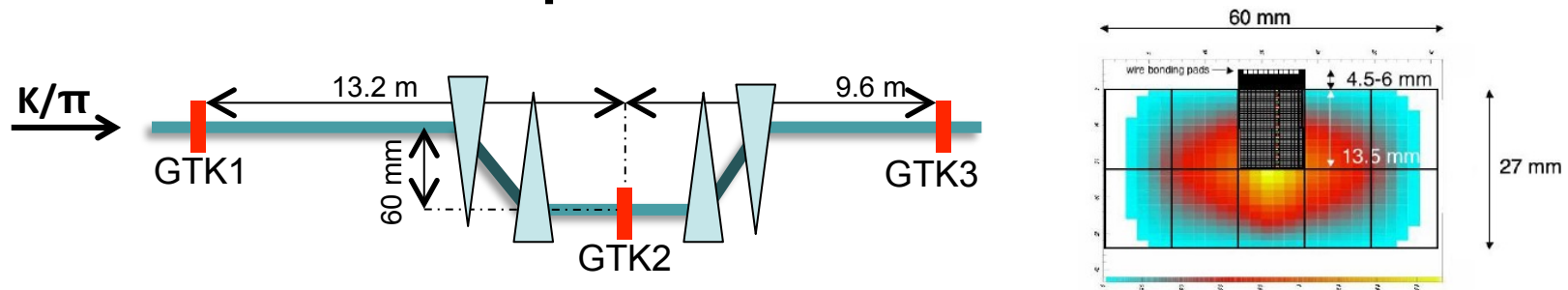


Aluminum light-guide (prototype)

Gigatracker (GTK)



GTK: beam spectrometer to measure time, direction and momentum of all the particle tracks in a **800 MHz** beam

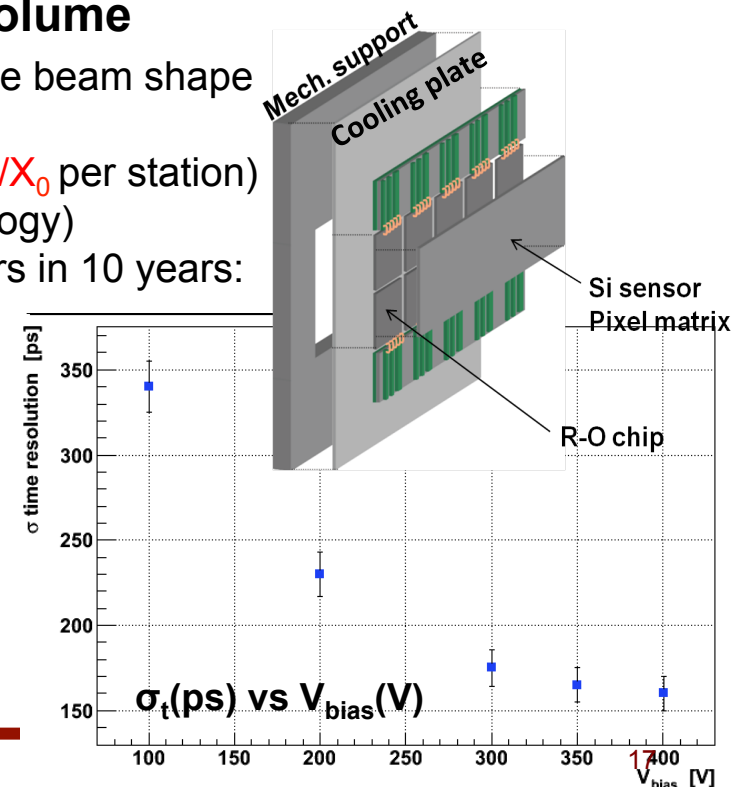


Three **hybrid Si-pixel stations** before the decay volume

- 200 μm thick Si sensor (60 x 27mm²), dimensions matching the beam shape
- 18000 pixel/station, 300 x 300 μm^2 pixel size
- **thin detector**: 200 μm sensor + $\sim 100 \mu\text{m}$ readout chip ($<0.5\% X/X_0$ per station)
- 10 readout chips bump-bonded to the sensor (0.13 μm technology)
- **high radiation levels**, comparable to inner layers of LHC trackers in 10 years: expected fluence (100 days/year) $\sim 2 \times 10^{14}$ (1 MeV $n_{\text{eq}}/\text{cm}^2$)
- **Micro-channel technology** chosen as **cooling system** to control the leakage current: 150 mm thick cooling plate (0.013% X_0), two-inlet and two-outlet pipe and appropriate geometry

Detector performances (prototype tests):

- direction: $\sigma_{\text{RMS}}(\theta_K) \sim 16 \mu\text{rad}$
- momentum: $\sigma_{\text{RMS}}(p_K) / p \sim 0.2\%$
- track time: $\sigma_{\text{RMS}}(t) \sim 150 \text{ ps}$ on single tracks

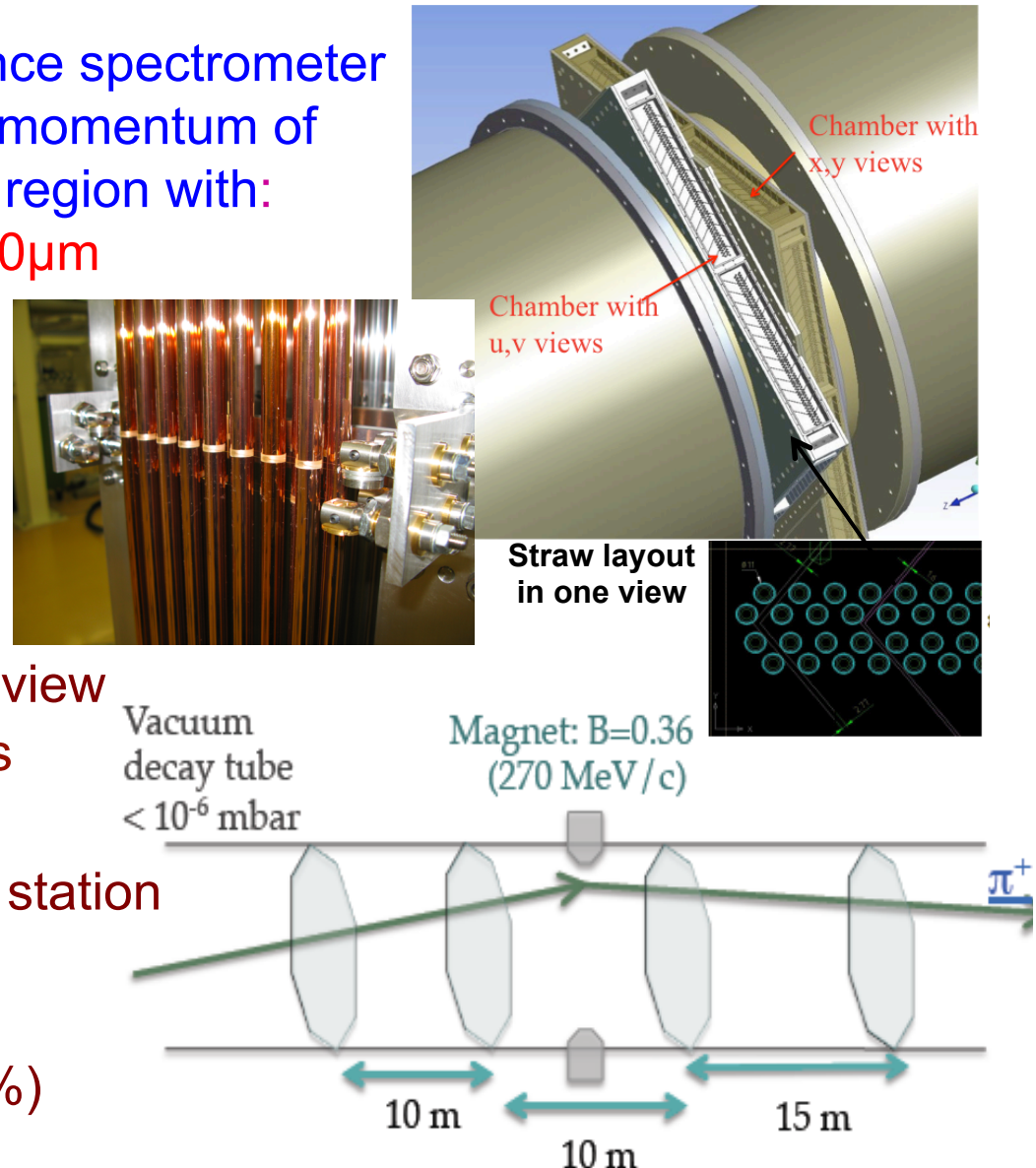


Straw Spectrometer

The Straw Tracker: large acceptance spectrometer to reconstruct coordinates and momentum of charged particles in the decay region with:

$$\Delta p/p < 0.5\% \text{ and } \sigma_{xy} < 120 \mu\text{m}$$

- 4 stations of straw tubes:
 - 36 μm Cu/Au-plated mylar foils
 - length 2.1 m, diameter 9.6 mm
 - ~1800 tubes per station
- 4 views (XYUV) per station
- 4 staggered layers of straws per view
- mechanically independent straws
- central “hole” (6 cm radius)
- minimum material: $\sim 0.5\% X_0$ per station
 - working in vacuum (10^{-6} mbar)
 - no windows or He gas
- gas mixture: Ar(70%) + CO₂ (30%)



Straw Spectrometer



Full length prototype built and tested in vacuum in 2007 and 2010 at SPS@CERN

Momentum and angular resolutions

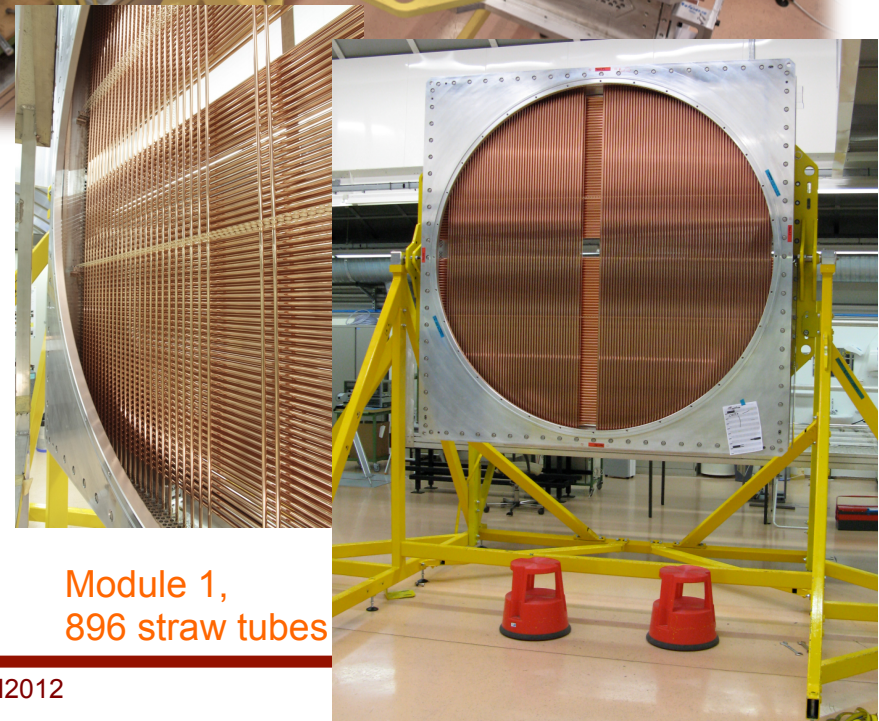
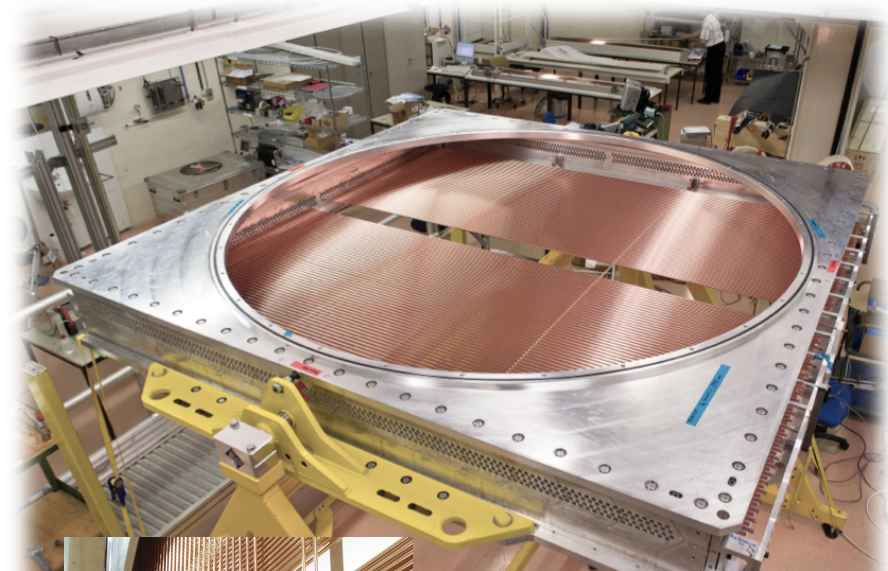
- $\sigma(P_p)/P_p \sim 0.3\% \oplus 0.007\% * P_p$ (GeV/c)
- $\sigma(dX/dZ)/(dX/dZ) \sim 45-15 \mu\text{rad}$
- $\sigma < 130 \mu\text{m}$ per view

Efficiency $> 99\%$ on single hit
(straw center at low rate)

High rate: 0.5 MHz maximum
with $< 3\%$ lost efficiency

Vertex extrapolation: $\sigma_{\text{CDA}} \sim 1 \text{ mm}$

Kinematic rejection power (MC):
 $\sim 10^4$ ($K^\pm_{2\pi}$), $\sim 10^5$ ($K^\pm_{2\mu}$)



Module 1,
896 straw tubes

RICH



REQUIREMENTS:

- Separate π - μ in $15 < p < 35$ GeV/c with μ suppression factor $\leq 10^{-2}$
- Measure the π crossing time with a resolution < 100 ps
- Provide a L0 trigger for charged tracks

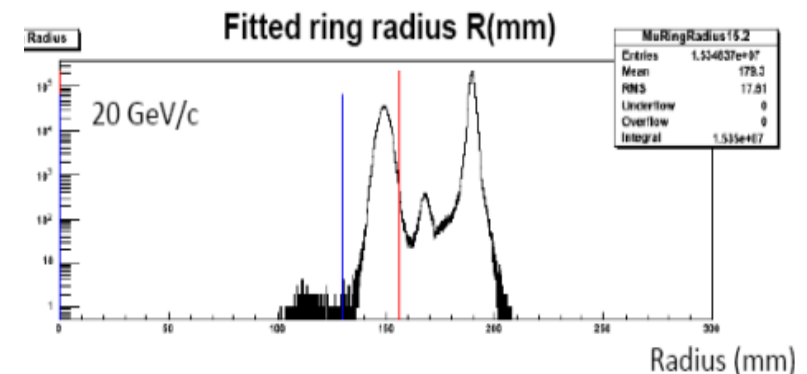
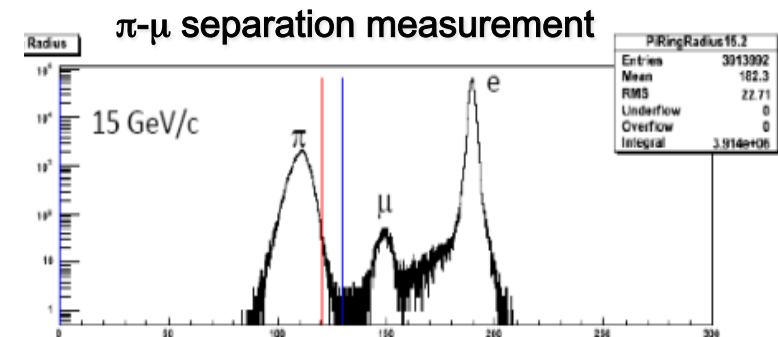
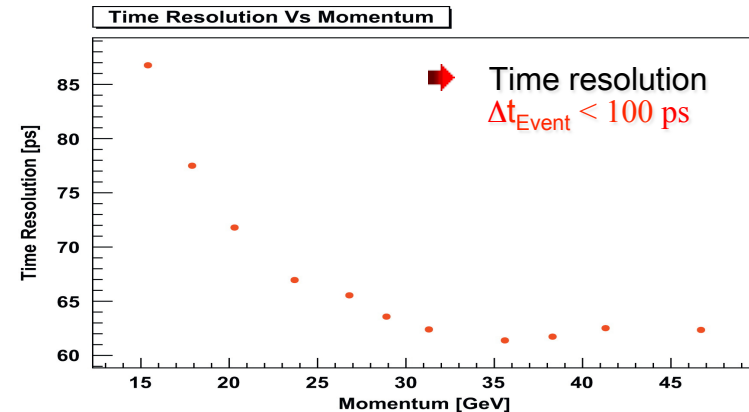
The NA62 RICH:

- 17 m long, 3 m diameter vessel
- Neon gas radiator at atmospheric pressure
 - $(n-1) = 62.8 \cdot 10^{-6}$ at $\lambda=300$ nm (small dispersion)
 - low atomic number: small X_0
 - $(\theta_{Ch})_{max} = 11.2$ mrad
 - $p_{threshold} = 12$ GeV/c for π
- Cherenkov light collected in 2 spots each of ~ 1000 Hamamatsu R7400 U03 PM
- Mosaic of mirrors with 17 m focal length
- Design validated in full length prototype tests at CERN

TEST BEAM RESULTS (2007, 2009)

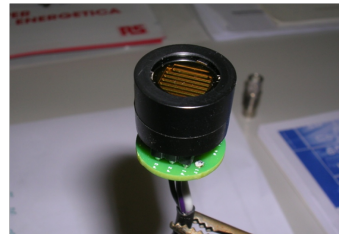
[NIM A 593, 2008; NIM A621, 2010]

- Average time resolution: ~ 70 ps
- Integrated π - μ mis-identification probability: $\sim 5 \times 10^{-3}$
- θ_{Ch} resolution ~ 60 mrad



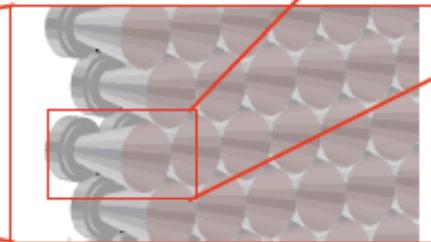
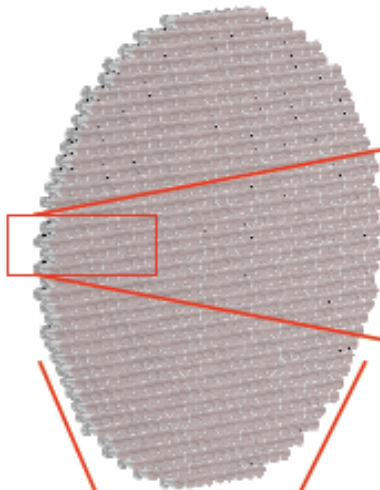
RICH Final Design

Hamamatsu R7400 U03 PMs

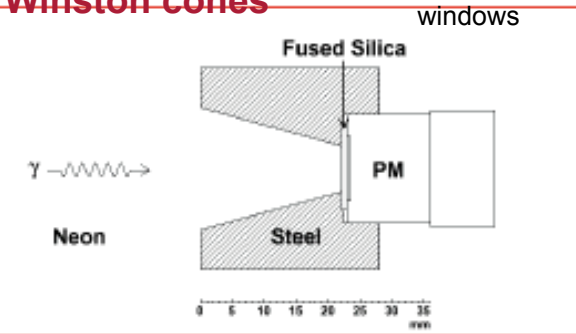


PM lodging disk

$2 \times \approx 1000 \text{ PM}$



Winston cones



- Ne @ 1 atm ($\approx 5\% X_0$)

- Vacuum proof vessel

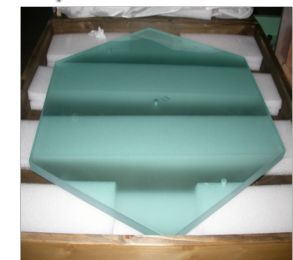
- 20 mirror segments ($\approx 20\% X_0$)

- $15 < \frac{p_{tr}}{\text{GeV}/c} < 35$

- Contamination of $\mu < 1\%$

- Level 0 Trigger

- $\sigma_t < 100 \text{ ps}$



$l \approx 17.5 \text{ m}$ $\varnothing \approx 4 \text{ m}$

Mirror Mosaic
(17 m focal length)
18 hex + 2 semi-hex

Beam 

Vessel diameter 4 → 3.4 m; volume $\sim 200 \text{ m}^3$

Simplified gas system: inject pure Ne into an evacuated vessel

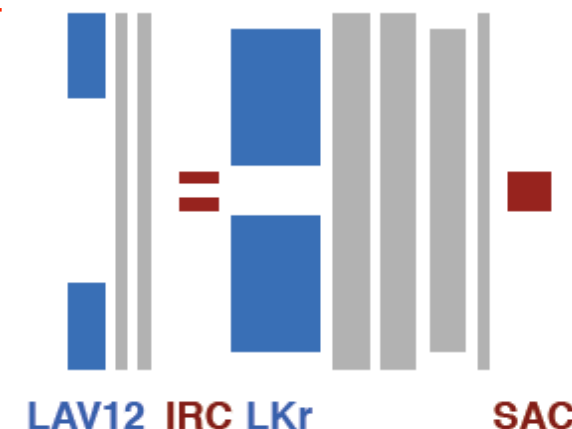
The NA62 Photon Veto



$BR(K^+ \rightarrow \pi^+\pi^0) = 21\% \rightarrow$ Kinematic rejection: 10^{-4}

Photon Veto Detectors inefficiency requirements:

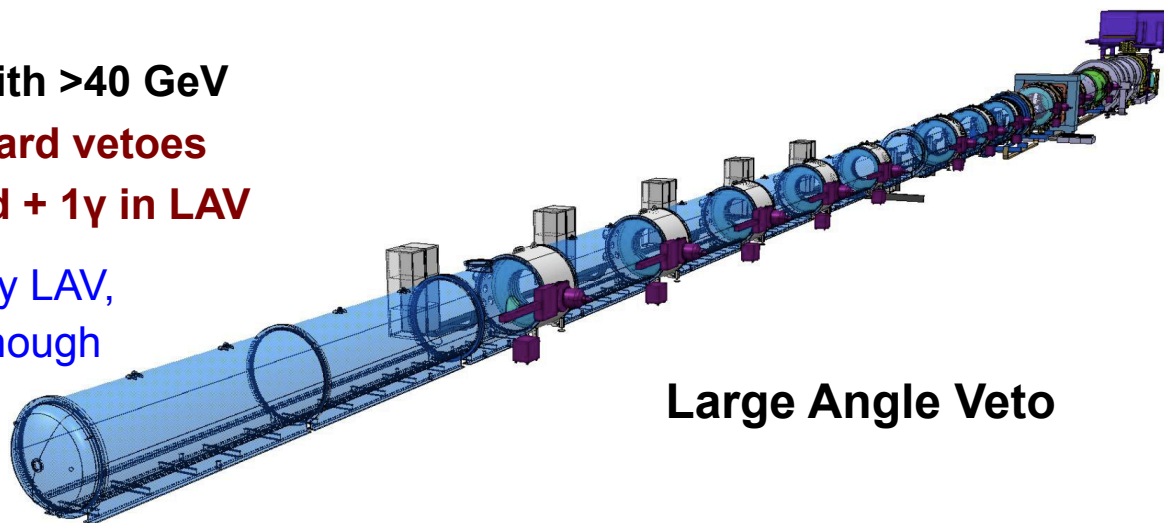
Detector	θ (mrad)	Maximum $1-\epsilon$	
LAV	8.5 - 50	10^{-4} at 200 MeV	Large angle, new system
LKr	1 - 8.5	10^{-3} at 1 GeV 10^{-5} at 10 GeV	Medium angle, re-use NA48 LKr calorimeter
SAV (SAC+IRC)	< 1	10^{-5}	Small angle, new system of compact calorimeters



Forward Vetoes

Cut $p_{\pi^+} < 35$ GeV gives $\pi^0 \rightarrow \gamma\gamma$ with >40 GeV

- \rightarrow 85% of events have 2γ in forward vetoes
- \rightarrow 15% of events have 1γ forward + 1γ in LAV
- \rightarrow In case of undetected photons by LAV, the other γ from π^0 decay has enough energy to be detected efficiently by LKr & SAC (LAV simulation)



Large Angle Veto

Large Angle Veto (LAV)



LAV system requirements:

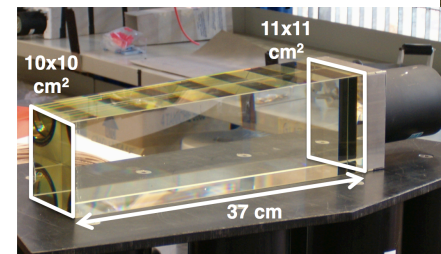
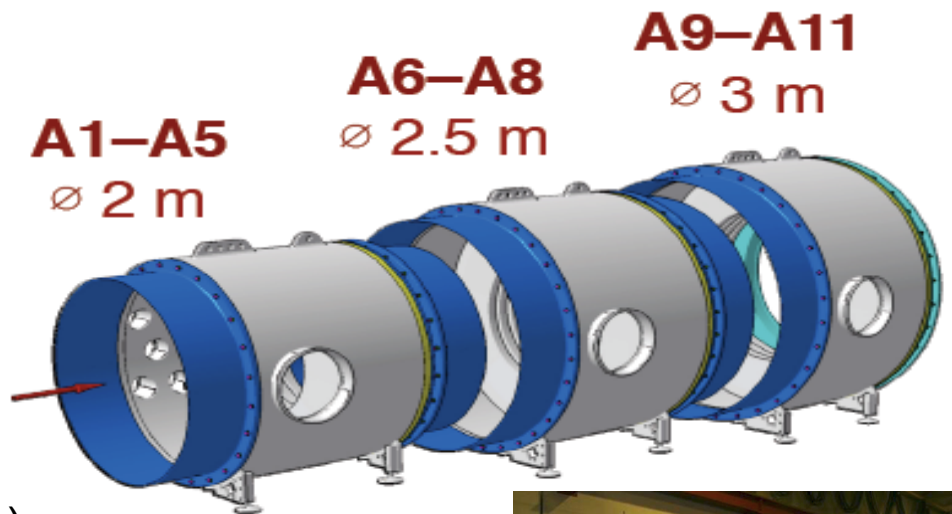
- Efficiency 10^{-4} for $E_\nu > 200$ MeV
- Operation in vacuum (10^{-6} mbar)
- Dynamic range 10 MeV – 10 GeV
- Energy resolution $\sim 10\%$ at 1 GeV
- Time resolution ~ 1 ns

Technology:

lead-glass (Schott SF57) modules
(OPAL: 3600 crystals, different geometries)
read with R2238 76-mm PMs
(12 stages, gain: $5 \times 10^5 @ 1250V$)

LAV System Design

- hermetic coverage in the $8.5-50$ mrad range
- **12 ring stations** of increasing diameter **along the decay volume**
→ suppress γ between 105 m to 170 m after the target
- spaced by 6 m in the upstream region and by 12 m downstream
- stations **A1-A11** operated **in vacuum**, station **A12** operated in **air** (design not final yet)
- 4 or 5 staggered **rings of lead-glass crystals** per station, 32 to 48 crystals/layer, total depth of **29 to 37 X_0** (>2500 crystals used in total)
- Incident particles hit **at least 3 rings** ($21 X_0$), most particles traverse **4 rings** ($27 X_0$)



Large Angle Veto (LAV)



Test beam results (CERN 2010):

Energy resolution:

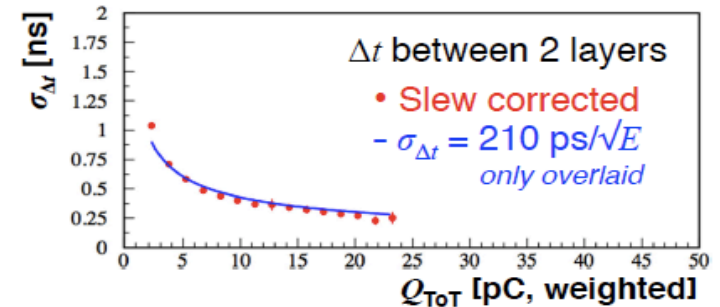
$$\sigma_E/E = 0.092/\sqrt{E} \oplus 0.05/E \oplus 0.025 \text{ [GeV]}$$

Time resolution (slewing corrected):

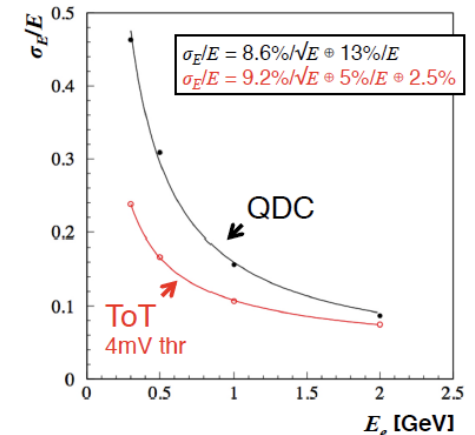
$$\sigma_t = 300 \text{ ps}/\sqrt{E(\text{GeV})}$$

Inefficiency within expectation (LAV simulation)

Time resolution



Energy resolution



Status: first 8 stations completed and installed along the beam line, available for the 2012 technical run

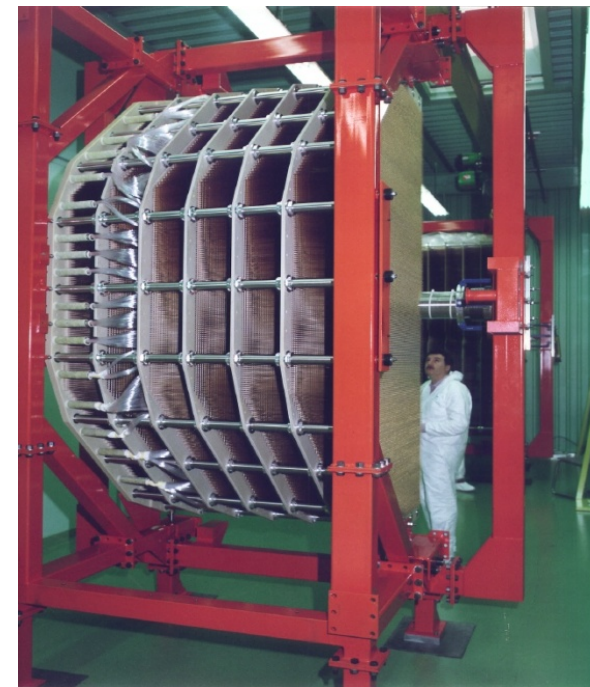
LKr: medium angle veto



Medium angle veto (1-8 mrad) \rightarrow inefficiency $< 10^{-5}$ for $E_\gamma > 10$ GeV

Liquid Krypton NA48 electromagnetic calorimeter

- Quasi homogeneous ionization chamber
- More than 13000 channels, 2×2 cm² granularity
- Depth 1.25 m, $27 X_0$
- Excellent energy resolution:
 $\Delta E/E = 3.2\%/\sqrt{E} \oplus 9\%/E \oplus 0.42\% [\text{GeV}]$
- Very good time resolution: 100 ps
- New readout electronics: 14 bits 40 MHz FADC with large data buffering, 14 bit resolution:
 - 5 prototypes (CAEN) available in 2012



Performance of LKr as photon veto

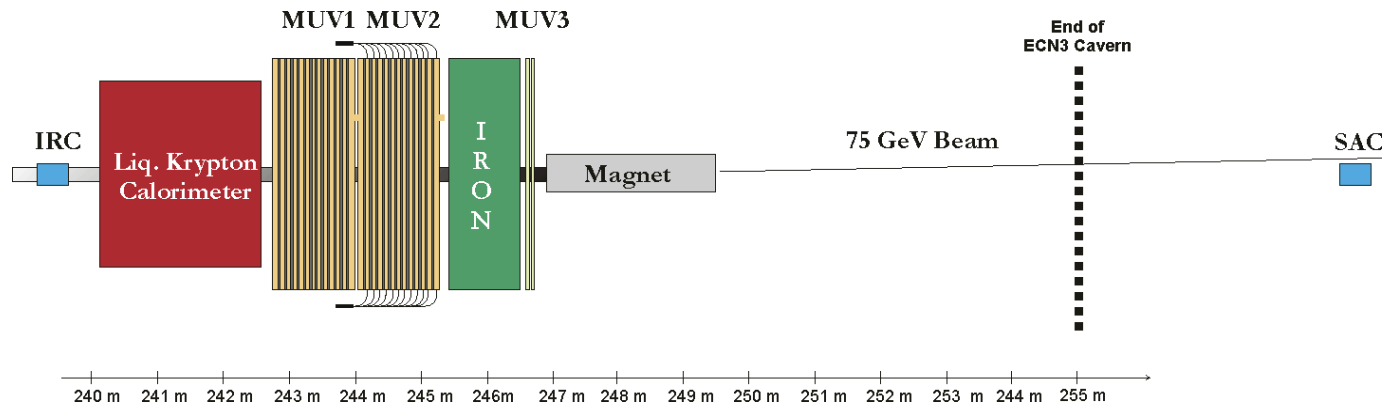
- \rightarrow measured using NA48 data @75 GeV
- $\rightarrow K^+ \rightarrow \pi^+ \pi^0$ selected using kinematics only
- $\rightarrow \pi^+$ and lower energy γ are used to predict the position of the other γ



Energy(GeV)	Inefficiency
2.5-5.5	10^{-3}
5.5-7.5	10^{-4}
7.5-10	5×10^{-5}
>10	8×10^{-6}

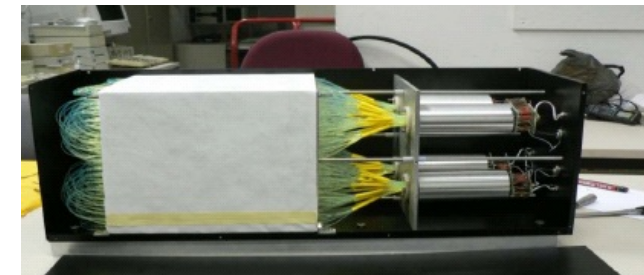
Small Angle Veto

Small Angle Veto (<1 mrad): two small calorimeters made of layers of lead and scintillators with wavelength shifting fibers (“shashlyk”)



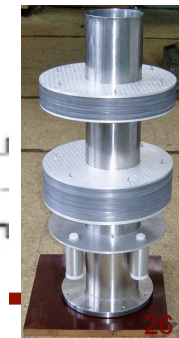
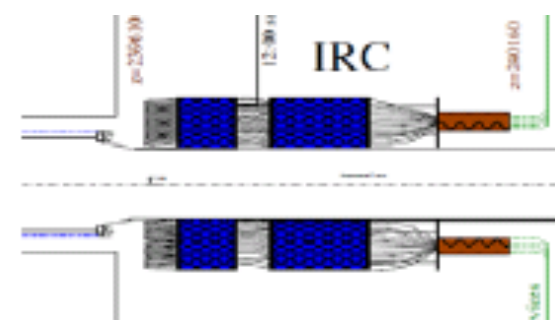
SAC: Small Angle Calorimeter

- close to the beam dump, at the very end of the experiment, in the prolongation of the beam pipe region, to detect γ down to 0 degrees.
- a magnet will deflect charged particles before it



IRC: Inner Ring Calorimeter

- located around the beam pipe, in front of LKr, cover the angular region close to the inner LKr radius (radial coverage: $7 \text{ cm} < R < 14 \text{ cm}$)



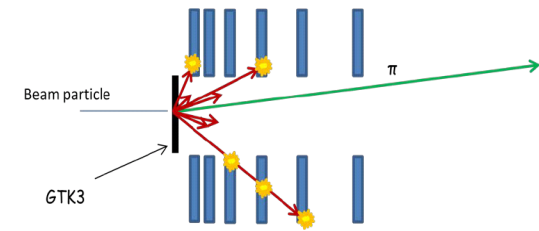
CHANTI and CHOD



CHANTI and CHOD: tracks detection → charged particles veto

CHANTI: identify inelastic interactions in the collimator and the GTK by detecting particles at higher angles w.r.t. the beam; identify beam halo μ in the region closest to the beam

- guard counters placed right immediately after GTK3
- triangular scintillator bars/layer read out by SiPM
- 6 stations, 2 scintillator layers per station:
- first station completed, second station test started



CHOD: provide a fast L0 trigger signal for charged particle final states and veto multiple charged particle events:

- stand a rate of about 11 MHz
- provide fast timing capability also at the online level: accuracy < 1 ns
 - suppress accidental events
 - complement RICH informations in identifying charged tracks (L0)
- effective vetoing of photon conversions or photonuclear interactions producing low energy hadrons in the detector material
 - complement the LKr photon detection capability at low energy (also at L0)
- acceptance adequate to complement MUV and RICH detectors in identifying μ at L0 trigger
- re-use NA48 CHOD in 2012 with the new NA62 TDAQ electronics
- prototype of new detector based on scintillator pads and SiPM

MUV: the Muon Veto

MUV: 3 muon veto stations to reach a factor of 10^6 in muon rejection (combined with the RICH)

MUV1-2 :

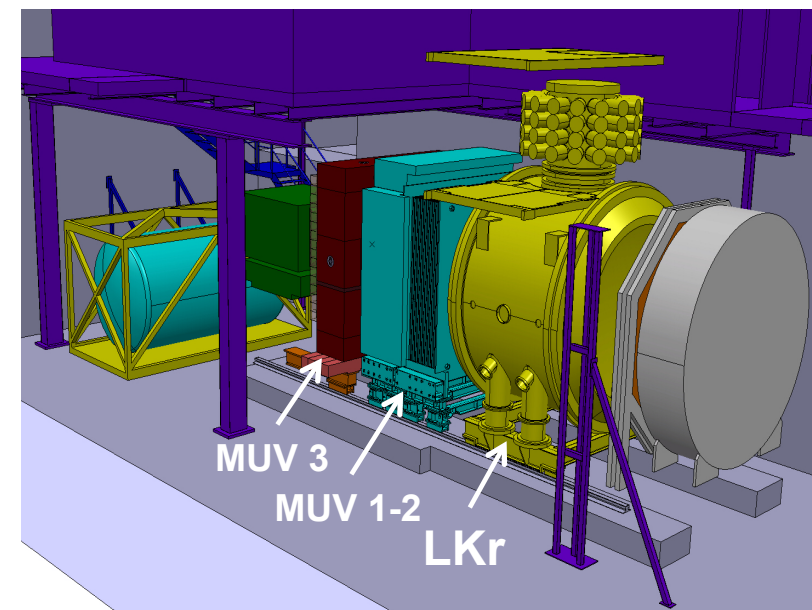
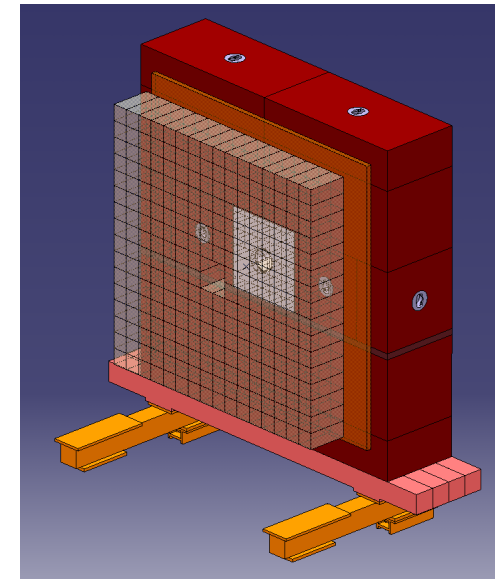
- NA48 Hadron calorimeter partially reused
- 24 (MUV1) and 22 (MUV2) iron/scintillator layers
- alternating horizontal and vertical scintillator strips coupled to PMs

MUV3:

- fast muon identification plane for trigger (L0)
- after 80 cm of iron, 5 cm thick single layer of scintillator tiles readout with 2 PMs
- < 1 ns time resolution (test beam result)
- crucial to cope with 10 MHz integrated rate

Present status

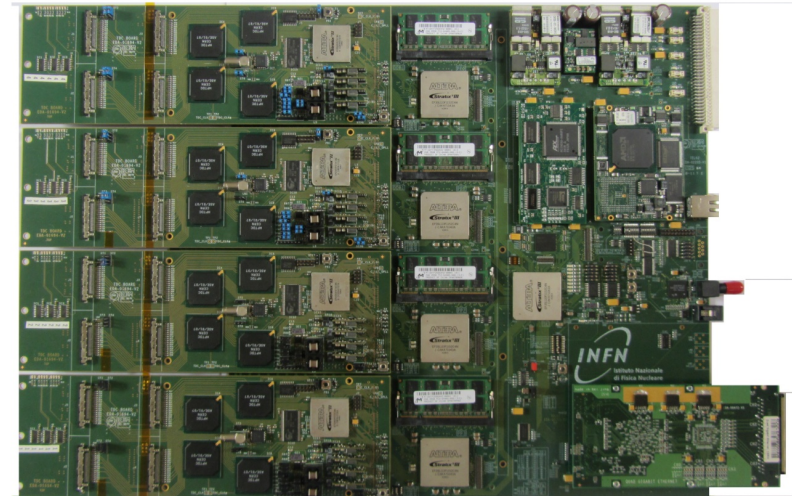
- MUV1 construction completed in 2012
- MUV2 reinstallation and commissioning done for participation in technical runs
- MUV3 assembled and tested with cosmics, installation and commissioning planned for participation in technical runs



NA62 readout: TEL62



- The **TEL62** is a main board to digitize (using daughter boards) buffer data and to build trigger primitives. It's an evolution of the LHCb **TELL1** board.
- A board (TDCB) equipped with 128 ch. of TDC (HPTDC, 100 ps LSB) has been developed.
- One TEL62 mother board houses 4 TDCB (512 ch.).
- The trigger primitives are built in parallel with the readout on the same TEL62 board (implemented in firmware using the board's FPGA's)
- **Technical run 2012: 13 TEL62 boards** will be available, with special crates



Detector	TEL62 (2012)
CEDAR	1
CHANTI	1
LAV	3
STRAW	1
CHOD	1
LKR/L0	3
MUV2	1
MUV3	1
SAC/IRC	1

Trigger and Data Acquisition



Requirements:

- Reduce 10 MHz rate/detector to 10 kHz
- High data bandwidth
- No zero suppression (for candidate events)
- Very good online time resolution (< 1 ns) to avoid random veto ($< 1\%$)

Solution:

- Integrated system Trigger + DAQ (based on TEL62)
- Completely digital data stream from FE to TDAQ
- Fully monitored system
- Uniformity for most subdetectors
- Custom hardware minimized
- L0 hardware, L1/L2 software
- Flexibility (for additional physics program)

L0: Hardware level

→ synchronous; decision based on primitives produced in the readout boards of the detectors participating to trigger

L1: Software level

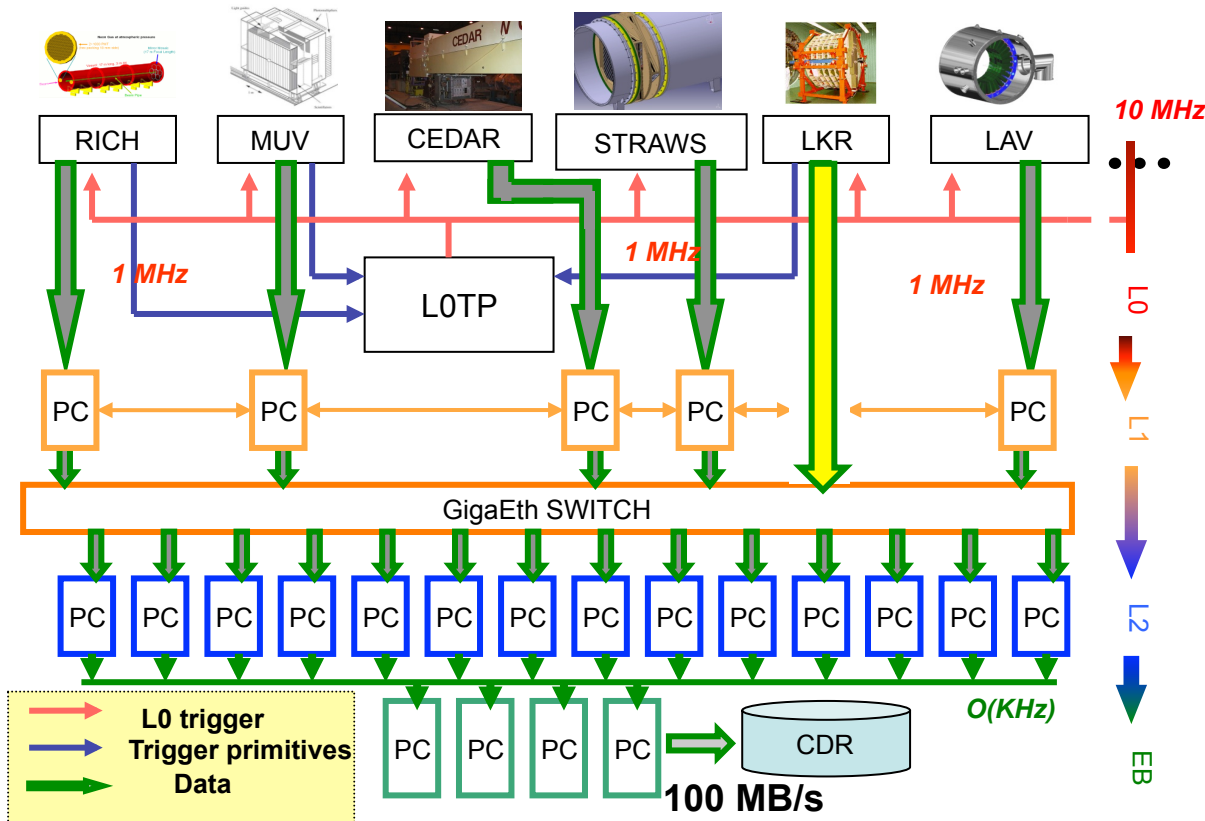
→ asynchronous (ethernet); “Single detector” PCs

L2: Software level

→ asynchronous; the informations coming from different detectors are merged together

On-line sub-nanosecond time resolution of Veto detectors and RICH is crucial for high efficiency (>95%)

Trigger/Daq General Overview



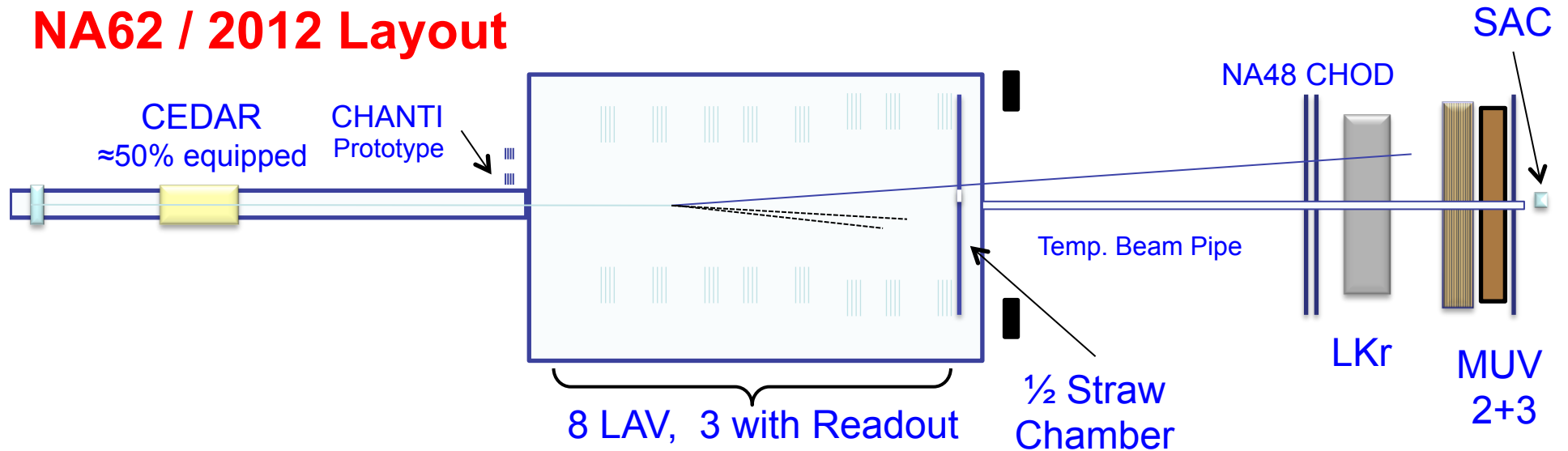
Detector	Rate (MHz)
CEDAR	50
GTK	800
LAV (total)	9.5
STRAW (each)	8
RICH	8.6
LKR	10.5
MUV	9.2
SAC	1.5

		Input rate (max)	Output rate (max)	latency
L0	hw, synchronous	~10 MHz	~ 1 MHz	1 ms
L1	sw, asynchronous	~ 1 MHz	~ 100 kHz	O(s)
L2	sw, asynchronous	~ 100 kHz	O(kHz)	undefined

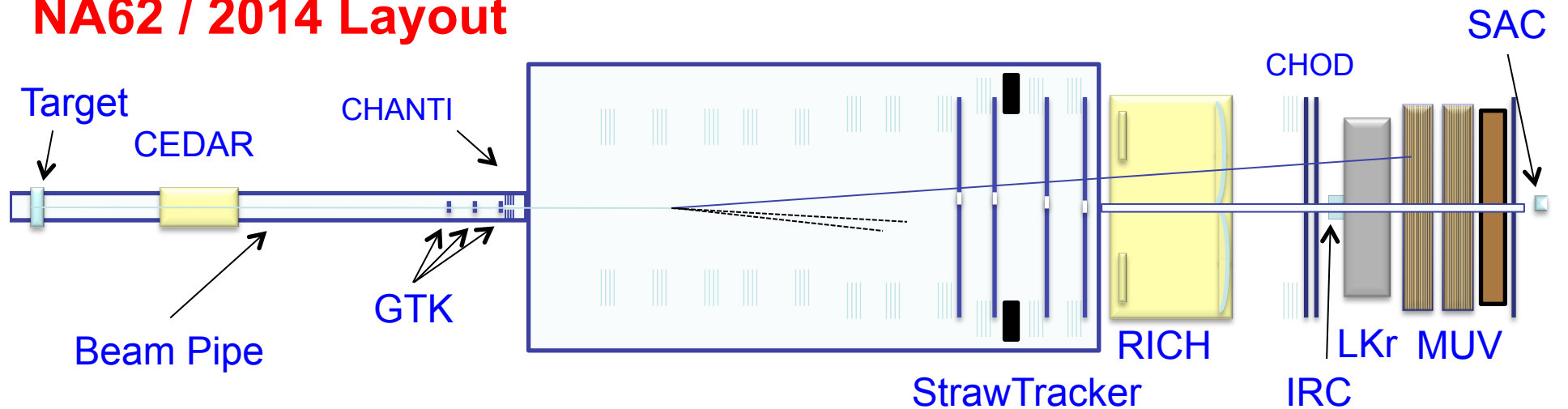
Commissioning run in 2012



NA62 / 2012 Layout



NA62 / 2014 Layout



Final $R_K = K_{e2} / K_{\mu2}$ measurement

Lepton Universality

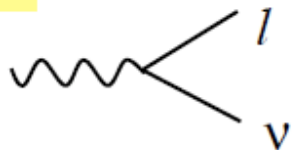


In Standard Model (SM) e-μ-τ have identical EW gauge interactions:

- ⇒ identical coupling constants for different lepton flavours
- ⇒ very accurate theoretical predictions
- ⇒ only difference in mass and coupling to the Higgs boson

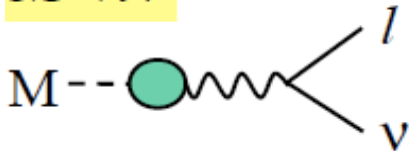
...unless New Physics (NP) violates Universality

W



$$R_{e/\mu}^W = \frac{\Gamma(W \rightarrow e\nu)}{\Gamma(W \rightarrow \mu\nu)} \propto \frac{g_e^2}{g_\mu^2}$$

M → lν



$$R_{e/\mu}^\pi = \frac{\Gamma(\pi \rightarrow e\nu)}{\Gamma(\pi \rightarrow \mu\nu)} \propto \frac{g_e^2}{g_\mu^2} \frac{m_e^2}{m_\mu^2}$$

Measurements of Ratios of Weak Coupling Constants

MODE	g_e/g_μ	
$\pi \rightarrow e\nu/\pi \rightarrow \mu\nu$	0.9985 ± 0.0016	PRL 68 (1992) PR D49 (1994) PRL 70 (1993)
$K \rightarrow e\nu/K \rightarrow \mu\nu$	1.0018 ± 0.0026	→ THIS TALK
$\tau \rightarrow e\nu\nu/\tau \rightarrow \mu\nu\nu$	0.9998 ± 0.0020	EPJ C57 (2008)
$\nu_e - \nu_\mu$	1.10 ± 0.05	PL B179 (1986)
W decays	1.001 ± 0.011	EPJ C57 (2008)
$K \rightarrow \pi e\nu/K \rightarrow \pi \mu\nu$	0.998 ± 0.002	NP Proc. Suppl. 181-182 (2008)

The ratio R_K



Very accurate Standard Model prediction of R_K (as well as R_{π}):
theoretical uncertainties on individual decay rates due to
hadronic contributions cancel in the ratio

$$R_K = \frac{\Gamma(K^\pm \rightarrow e^\pm \nu_e)}{\Gamma(K^\pm \rightarrow \mu^\pm \nu_\mu)} = \frac{m_e^2}{m_\mu^2} \left(\frac{m_K^2 - m_e^2}{m_K^2 - m_\mu^2} \right)^2 (1 + \delta R_{QED}) = (2.477 \pm 0.001) \times 10^{-5}$$

Helicity suppression

Radiative corrections

ChPT, $\mathcal{O}(m^2/p^4)$

[V. Cirigliano and I Rosell,
PRL 99, 231801 (2007),
JHEP 0710, 005 (2007)]

➔ Sub-permille (0.04%) accuracy of the SM prediction of R_K

Helicity suppression of R_K might
enhance the sensitivity to non-SM
effects to a level experimentally
accessible

A precise measurement of R_K
probes μ - e Universality
providing a powerful test of SM

R_K beyond the SM: SUSY



SUSY (MSSM framework) produces sizeable effects to $R_K(\text{SM})$

→ R-parity is the source of Lepton Universality violating effects

→ 2 Higgs Doublets Model (A. Masiero, P. Paradisi, R. Petronzio, PRD74 (2006) 011701, JHEP 0811 (2008) 042)

2HDM – tree level: K_{l2} proceeds via exchange of sizeable charged Higgs H^\pm instead of W^\pm

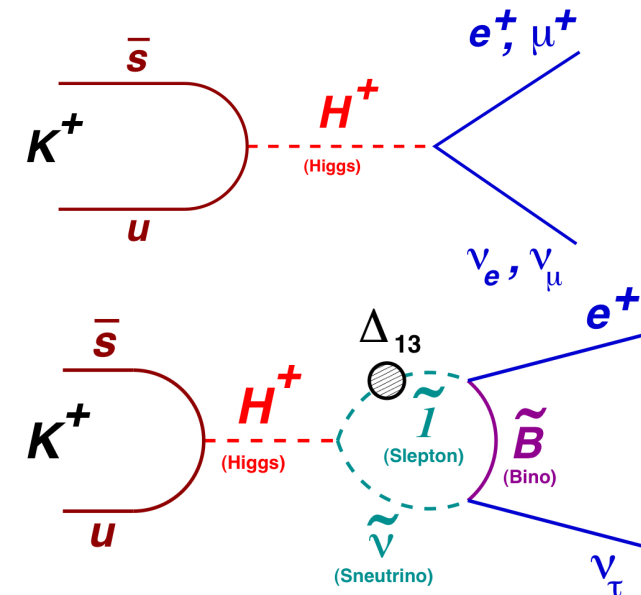
2HDM – one-loop level: H^\pm mediated LFV terms with emission of ν_τ are the dominant contribution to ΔR_K

$$R_K^{LFV} \approx R_K^{SM} \left[1 + \left(\frac{m_K^4}{m_{H^\pm}^4} \right) \left(\frac{m_\tau^2}{m_e^2} \right) |\Delta_{13}|^2 \tan^6 \beta \right]$$

Δ_{13} → mixing parameter of superpartners of right-handed leptons

→ LFV term connected to Helicity suppression in K_{e2}

$\tan\beta$ → ratio of the two Higgs vacuum expectation values



At large $\tan\beta$ values with a massive H^\pm , LFV contributions dominate and produce sizable $O(1\%)$ effects to R_K

(Ex.: $\Delta_{31}=5 \times 10^{-4}$, $\tan\beta=40$ and $M_H=500 \text{ GeV}/c^2 \rightarrow R_K^{LFV} = R_K^{SM} (1+0.013)$)

The NA62 Data Taking for R_K



Running periods:

- 2007: 4 months (~400K SPS spills)
~300TB of raw data, ~90TB recorded;
- 2008 : 2 weeks
special data sets collected to optimize
and reduce systematic uncertainties.

Detector: the NA48 detector

(C. Lazzeroni talk)

Magnetic spectrometer

(4 DCHs, 4 view each): redundancy \Rightarrow efficiency

$$\sigma(p)/p = 0.48\% + 0.009\% p \text{ [GeV/c]}; \quad \sigma_{x,y} = 90\mu\text{m}$$

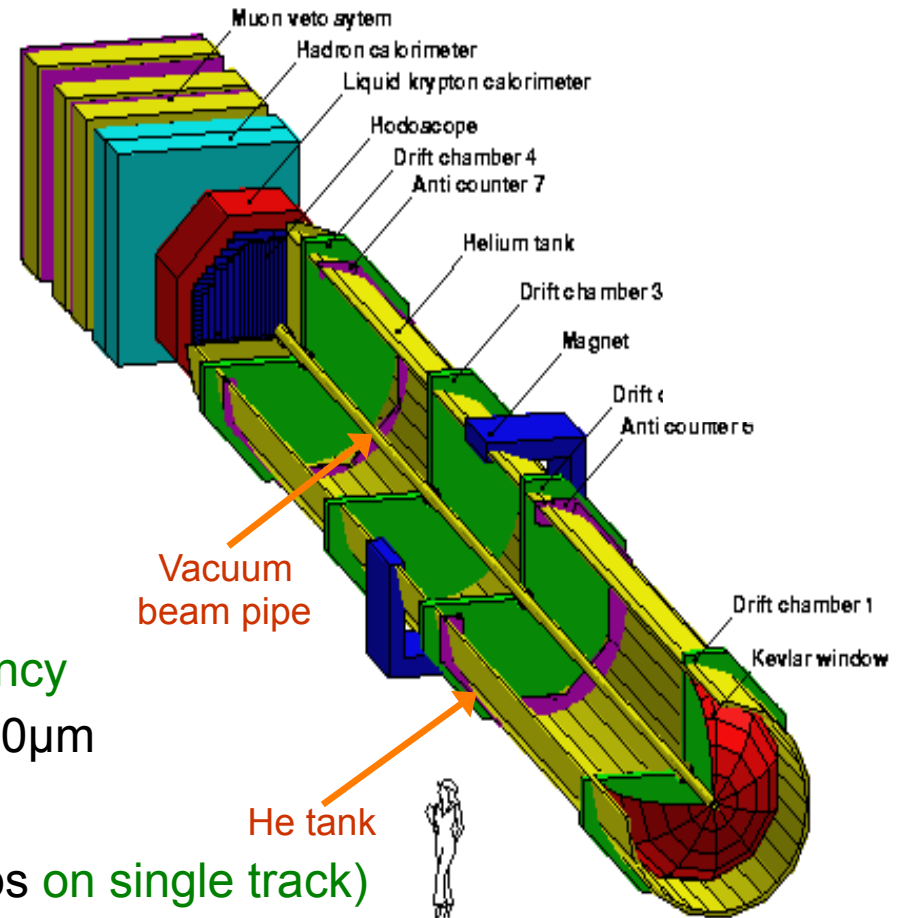
Hodoscope

fast trigger and good time resolution ($\sigma_t \sim 200\text{ps}$ on single track)

Liquid Krypton calorimeter (LKr)

high granularity, quasi-homogeneous $\Rightarrow \gamma$ veto

$$\sigma(E)/E = 3.2\%/\sqrt{E} + 9\%/E + 0.42\% \text{ [GeV]}; \quad \sigma_{x,y} = (0.42/\sqrt{E} + 0.6) \text{ mm}$$



Decay volume
is upstream

Analysis strategy



Count reconstructed $K_{e2}/K_{\mu2}$ candidates collected concurrently

- Fluxes cancel in the ratio: analysis does not rely on absolute K flux measurement
- Several systematic effects cancel at first order in the ratio
(e.g. reconstruction/trigger efficiencies, time-dependent effects, beam simulation)

$$R_K = \frac{1}{D} \cdot \frac{N(K_{e2}) - N_B(K_{e2})}{N(K_{\mu2}) - N_B(K_{\mu2})} \cdot \frac{A(K_{\mu2}) \times f_{\mu} \times \varepsilon(K_{\mu2})}{A(K_{e2}) \times f_e \times \varepsilon(K_{e2})} \cdot \frac{1}{f_{LKr}}$$

$N(K_{e2}), N(K_{\mu2})$:

$N_B(K_{e2}), N_B(K_{\mu2})$:

$A(K_{e2}), A(K_{\mu2})$:

f_e, f_{μ} :

$\varepsilon(K_{e2})/\varepsilon(K_{\mu2})$:

f_{LKr} :

D :

numbers of selected K_{l2} candidates

numbers of background events;

geometric acceptances (MC, no ID);

particle ID efficiency (measured, no MC);

trigger efficiency;

global LKr readout efficiency (only K_{e2});

downscaling factor of the $K_{\mu2}$ trigger ($D=150$).

$N_B(K_{e2})$: the main source of systematic errors comes from the K_{e2} background subtraction

- count of events done independently in 10 lepton momentum bins
(due to strong dependence of backgrounds and acceptance on lepton momentum)
- MC simulations (Geant3) used for the geometric acceptance correction
- PID, trigger, readout efficiencies are measured directly from data

The K_{e2} and $K_{\mu2}$ event selections



Large common part (similar topologies):

- one reconstructed track (lepton candidate);
- track momentum: $13\text{GeV}/c < p < 65\text{GeV}/c$;
- geometrical acceptance cuts;
- K decay vertex: minimum distance between the lepton track & the nominal kaon axis;
- veto extra LKr energy deposition clusters.

Kinematic identification: M_{miss}^2 vs p_l

Missing mass $M_{\text{miss}}^2 = (p_K - p_l)^2$

p_K : average value measured with $K_{3\pi}$ decays

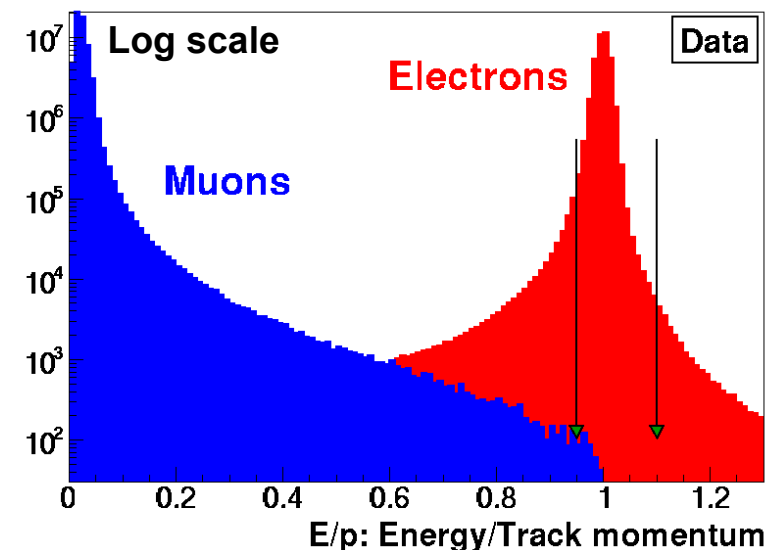
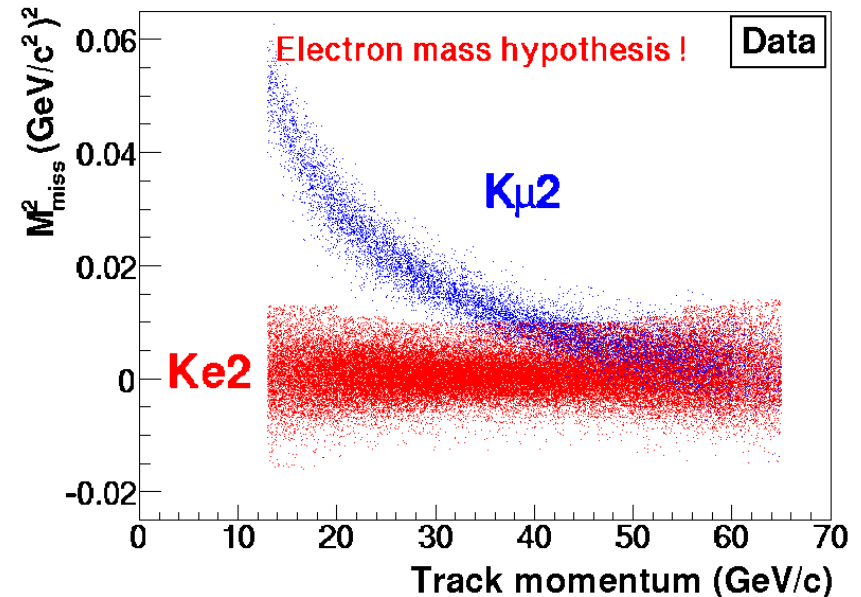
→ $K_{e2}/K_{\mu2}$ samples separation at $p_{\text{track}} < 25 \text{ GeV}/c$

Particle ID: E/p

E/p = LKr energy/track momentum

$(0.90 \text{ to } 0.95) < E/p < 1.10$ for **electrons**
 $E/p < 0.85$ for **muons**

→ Powerful μ^\pm suppression in e^\pm sample ($\sim 10^6$)



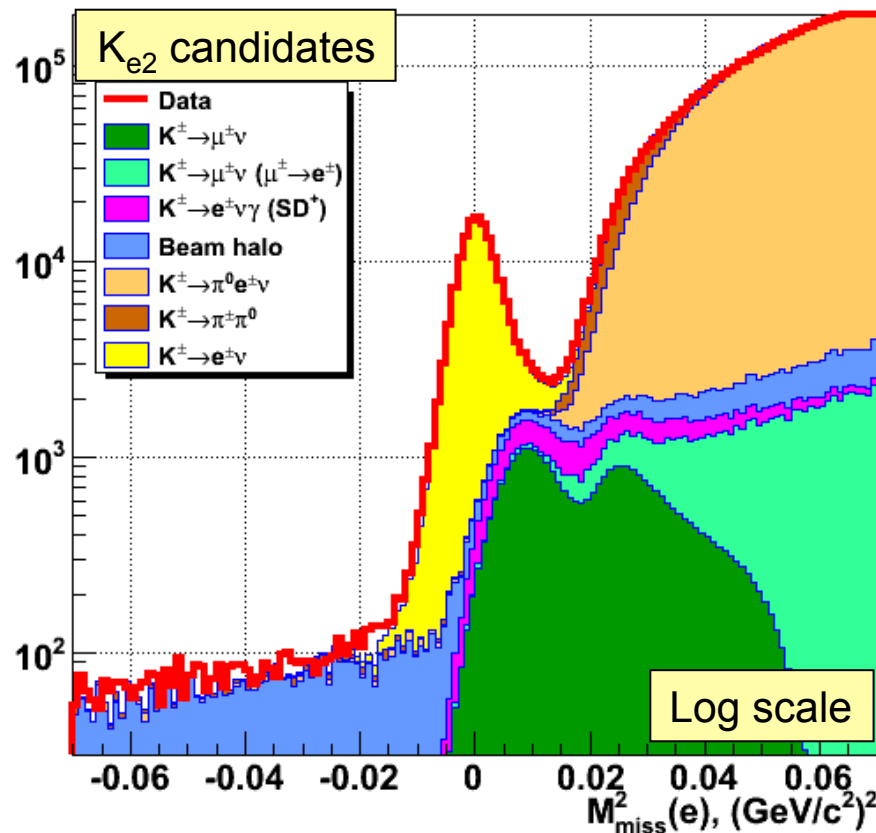
Background to the K_{e2} sample



- $K^+ \rightarrow \mu^+ \nu$ with a μ^+ mis-identified as a e^+
 - μ bremsstrahlung ← MAIN CONTRIBUTION
 - $\mu \rightarrow e$ decay in flight
- $K^+ \rightarrow e^+ \nu \gamma$, SD+ component
- Beam halo muons
- $K^+ \rightarrow \pi^0 e^+ \nu$ with a non-identified π^0
- $K^+ \rightarrow \pi^+ \pi^0$ with a π^+ mis-identified as e^+ or followed by a Dalitz decay $\pi^0 \rightarrow e e \gamma$
- After the events selection:
 - 146K K_{e2} candidates
 - 43M $K_{\mu 2}$ candidates (beam halo muons: only background)

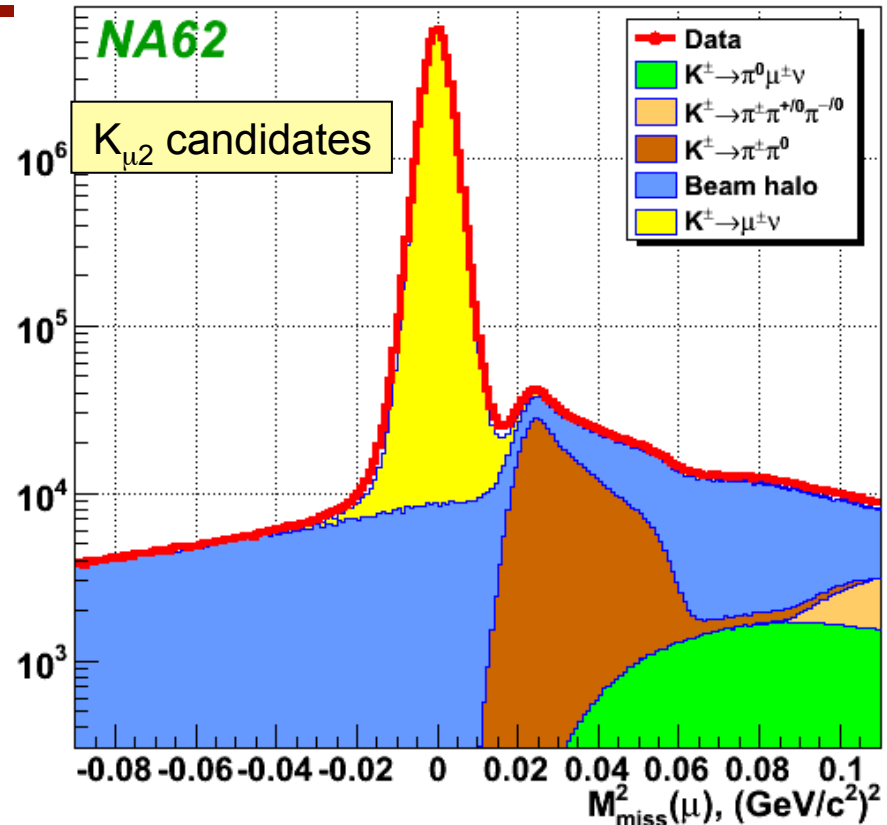
Lower contributions:
 $B/(S+B) < \text{per-mille}$

The final K_{e2} and $K_{\mu2}$ data samples



145,958 $K^{\pm} \rightarrow e^{\pm} \nu$ candidates
 10.95% background
 Electron ID efficiency: $(99.28 \pm 0.05)\%$

$42,817 \times 10^6$ $K^{\pm} \rightarrow \mu^{\pm} \nu$ candidates
 0.50% background



Background source	B/(S+B)
$K_{\mu2}$	$(5.64 \pm 0.20)\%$
$K_{\mu2} (\mu \rightarrow e)$	$(0.26 \pm 0.03)\%$
$K_{e2\nu} (SD^+)$	$(2.60 \pm 0.11)\%$
$K_{e3(D)}$	$(0.18 \pm 0.09)\%$
$K_{2\pi(D)}$	$(0.12 \pm 0.06)\%$
Wrong sign K	$(0.04 \pm 0.02)\%$
Muon halo	$(2.11 \pm 0.09)\%$
Total	$(10.95 \pm 0.27)\%$

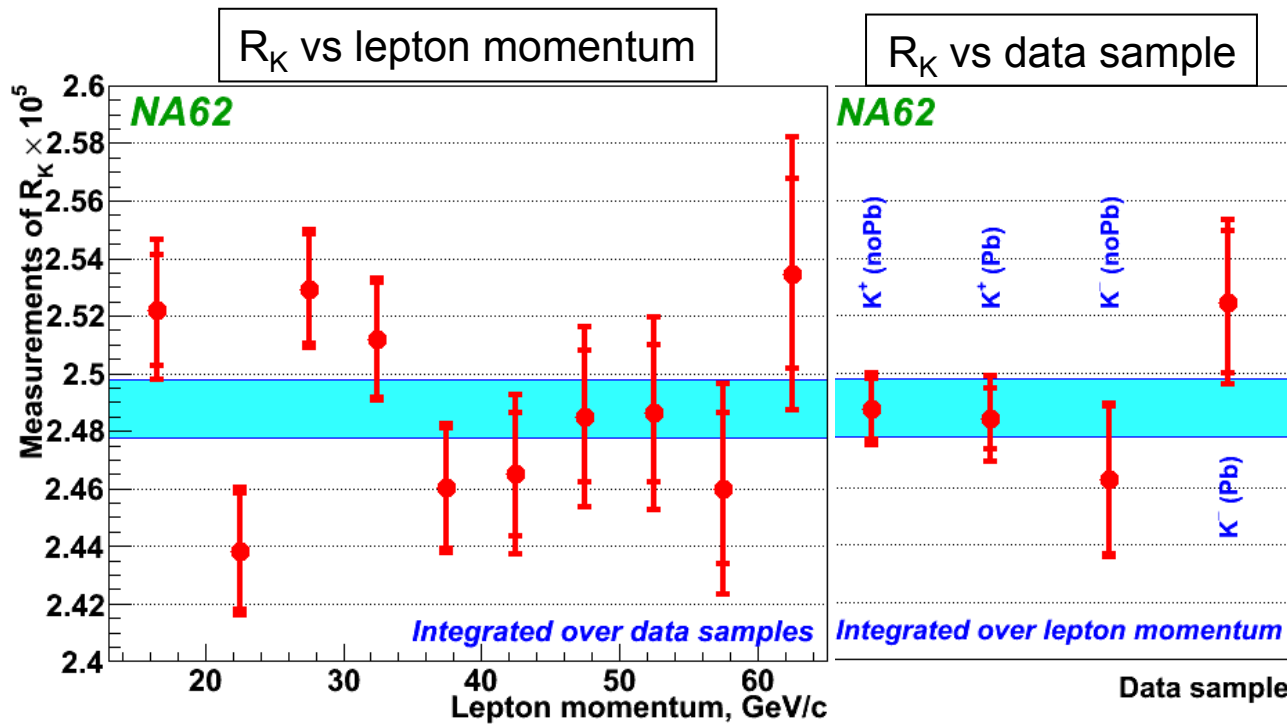
The NA62 final result (full data set)



$$R_K = (2.488 \pm 0.007_{\text{stat}} \pm 0.007_{\text{syst}}) \times 10^{-5}$$

$$R_K = (2.488 \pm 0.010) \times 10^{-5}$$

Fit over 40 measurements (4 data samples \times 10 momentum bins)
including correlations: $\chi^2/\text{ndf}=47/39$



Uncertainty source	$\delta R_K \times 10^5$
Statistical	0.007
$K_{\mu 2}$ background	0.004
$K^\pm \rightarrow e^\pm \nu \gamma$ (SD ⁺)	0.002
$K^\pm \rightarrow \pi^0 e^\pm \nu$, $K^\pm \rightarrow \pi^\pm \pi^0$	0.003
Beam halo background	0.002
Thickness of spectrom.	0.003
Acceptance correction	0.002
DCH alignment	0.001
Electron identification	0.001
1TRK trigger efficiency	0.001
LKr readout efficiency	0.001
Total uncertainty	0.010

R_K World Average

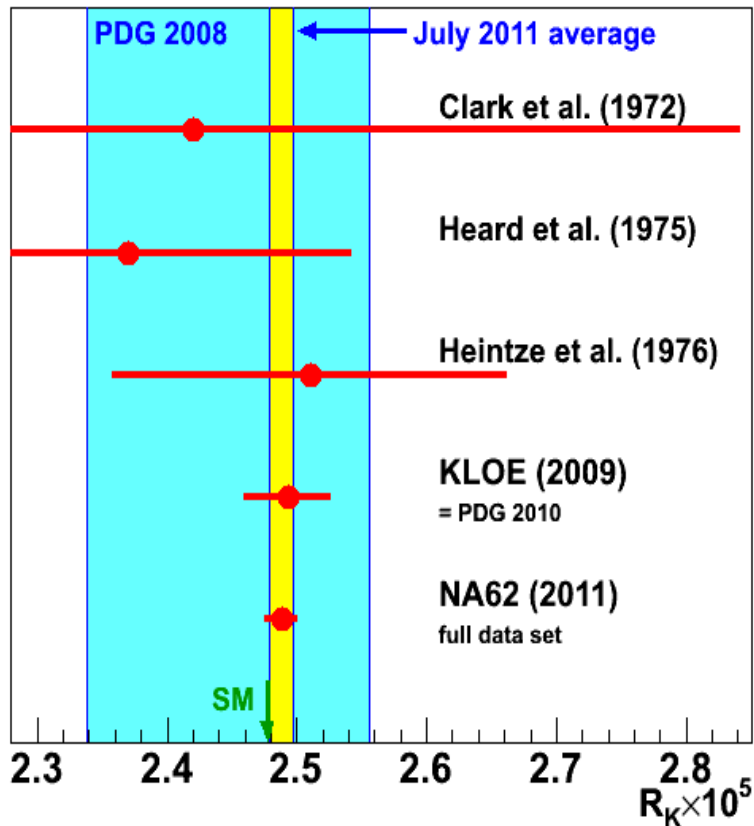


PDG'08 (1970s measurements): $R_K=(2.45\pm 0.11)\times 10^{-5}$ ($\delta R_K/R_K=4.5\%$)

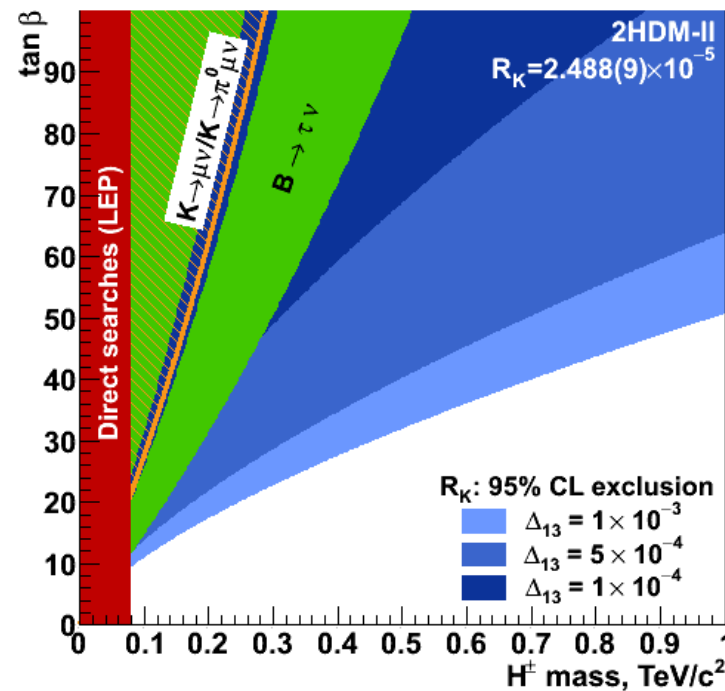
KLOE (LNF), 2009 (13.8K K_{e2} , 15% bkgd): $R_K=(2.493 \pm 0.031)\times 10^{-5}$ ($\delta R_K/R_K=1.3\%$)

NA62 (CERN), 2011 ($\approx 60K$ K_{e2} , $\approx 9\%$ bkgd): $R_K=(2.487 \pm 0.013)\times 10^{-5}$ ($\delta R_K/R_K=0.7\%$)

July 2011 World Average: $R_K=(2.488\pm 0.009)\times 10^{-5}$ ($\delta R_K/R_K=0.4\%$)



Constraints to 2 Higgs Doublets Model



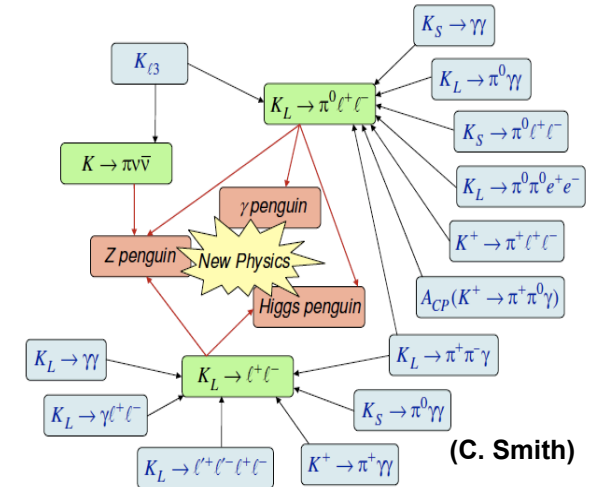
→ for non-tiny values of the LFV slepton mixing Δ_{13} , the sensitivity to H^\pm in R_K is better than in $B \rightarrow \tau \nu$

Summary



A challenging experimental program is going on in NA62 at CERN

- **$K^+ \rightarrow \pi^+ \nu \bar{\nu}$ decays:** main purpose of NA62, golden quality precision physics complementary to the high-energy approach for NP searches
 - collect **O(100) events** in two years to provide a **10% precision on BR**
 - **key points:** high intensity beams, excellent resolutions, hermetic coverage, particle Identification, redundancy of information
 - **schedule:** physics run in 2014-15
 - Construction in progress
 - Technical runs in summer/falls 2012
 - Ready to take data after CERN accelerators shutdown
- **R_K ratio:** a record precision of **0.4%** has been achieved
 - still one order of magnitude bigger than SM prediction
 - further improvement expected with physics run data



The high performance of NA62 detector is a building blocks for a further challenging physics program, in addition to the main goal (as in NA48):

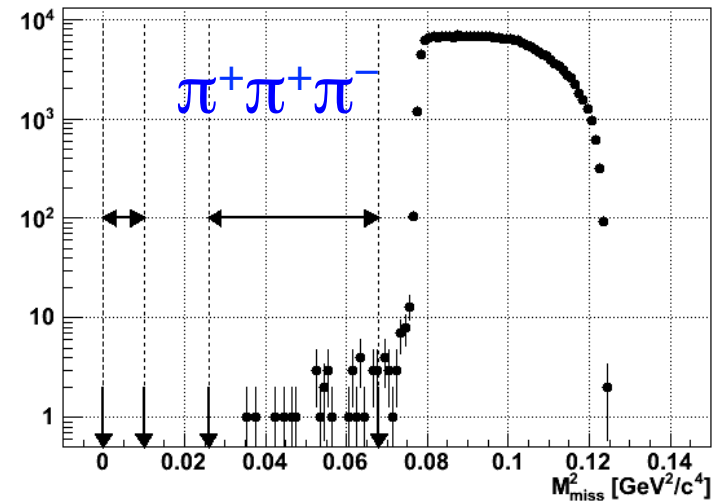
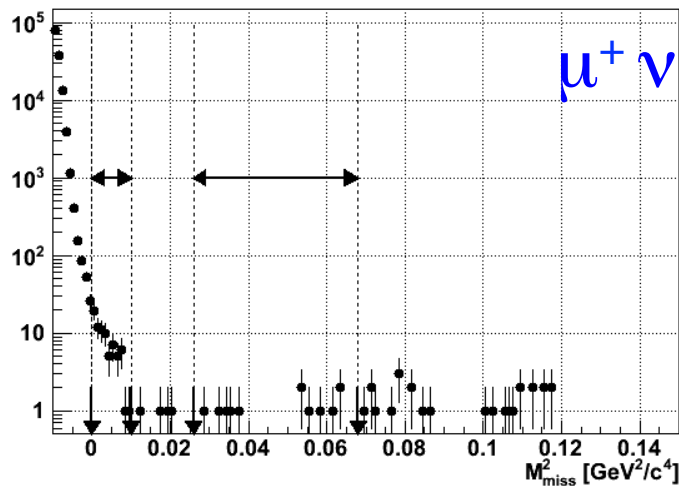
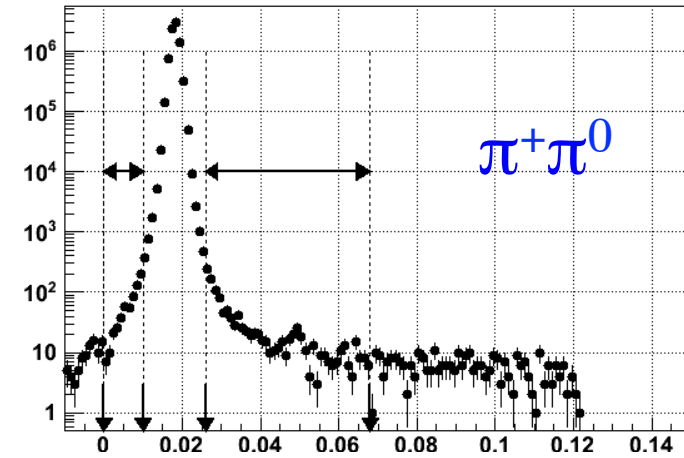
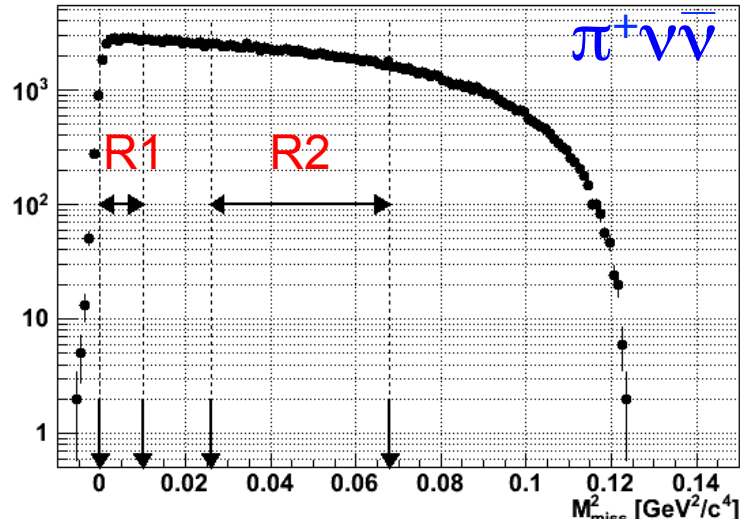
→ a rich physics program is expected in the near future

spare

Kinematic Selection: cuts on M_{miss}^2

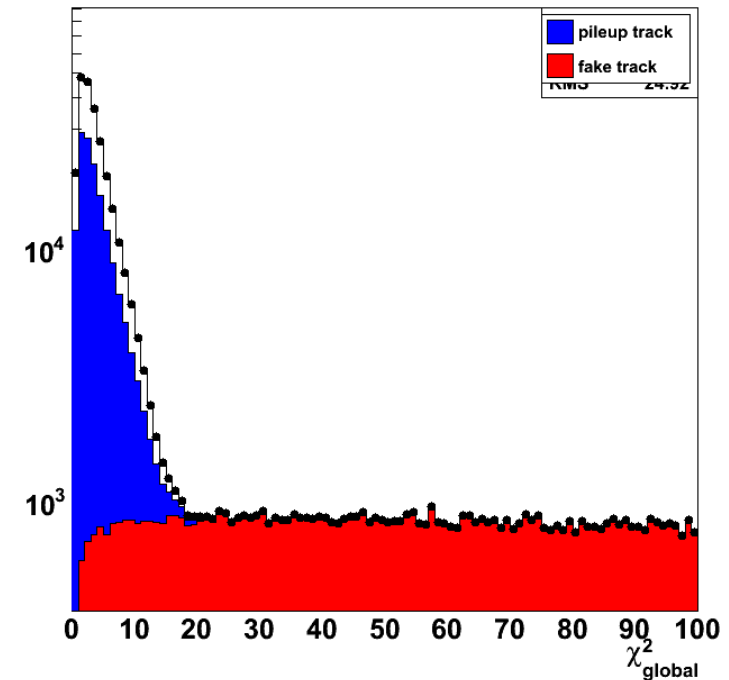


M_{miss}^2 resolution: non-gaussian tails



Beam Pileup and GTK reconstruction

- × Pileup simulation: Rate=750 MHz in GTK
 - × Average tracks in GTK per event: 2.5 (1 K, 1.5 pileup)
- × All possible GTK hit combinations considered
 - × Real tracks: GTK hits from the same track (Pileup tracks, Kaon tracks).
 - × Fake tracks: GTK hits from different beam tracks
- × Before selection cuts:
 - × Average reconstructed track per event: 27
 - × Fraction of: Kaons 3.6%, Pileup 5.3%, Fake 91%
- × Real Track Recognition:
- × Discriminant variable: global χ^2
- × Track recognition: global $\chi^2 < 20$.
- × After track recognition:
 - × Average reconstructed track per event: 2.6
 - × Fraction of: Kaons 38% , Pileup 56%, Fake 6.1%.

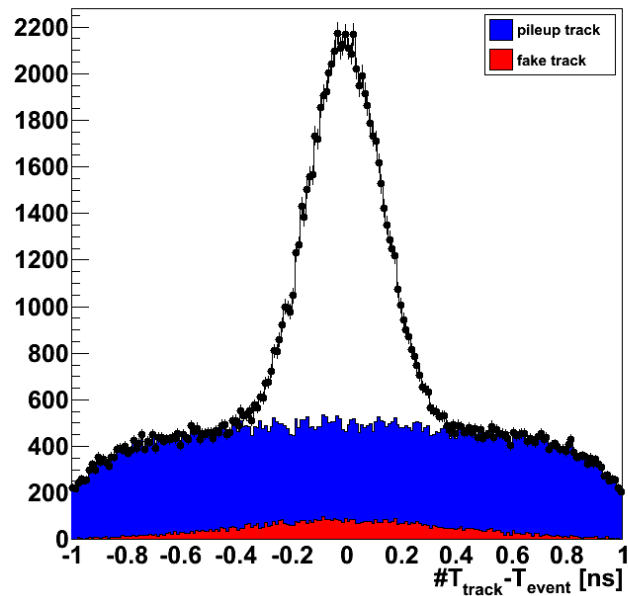


Beam Pileup and Kaon-ID

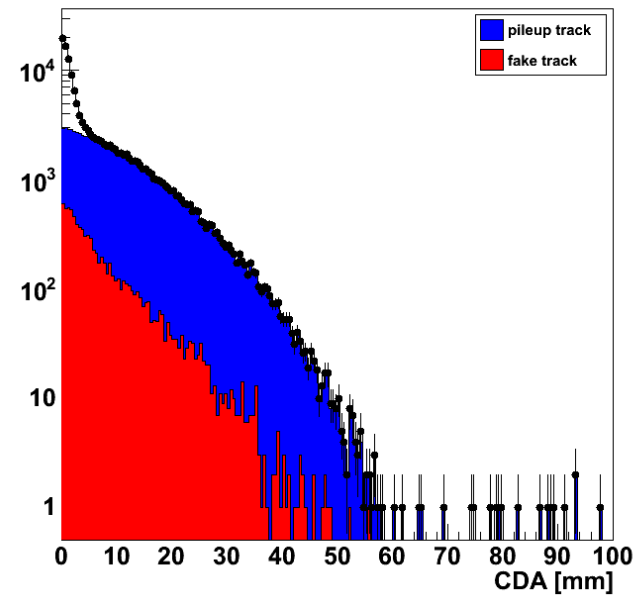


- ✗ Inputs for Kaon track identification: $\Delta T = T_{\text{track}} - T_{\text{event}}$, CDA.

ΔT for all the tracks



CDA for all the tracks



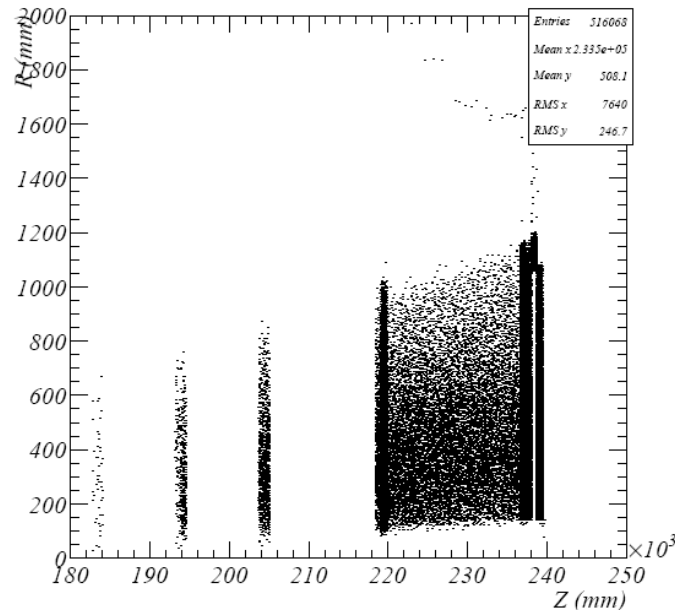
- ✗ Results after Kaon - ID:

- ✗ Fraction of: Kaons 99.4%, Pileup 0.6%, Fake <0.1%

Photons in the Forward Region



- ✗ Evaluate the effect of the material in front of the LKr on the photon rejection inefficiency (straw chambers and RICH).
- ✗ Reminder: the LKr intrinsic inefficiency was evaluated on data (NA48 in 2007) .



- ✗ Probability of γ interaction: 20%
 - ✗ Most part of the interactions are simple photon conversions (e^+e^- pairs detected as well in the LKr).
- ✗ Probability of γ nuclear interaction: 10^{-3}
- ✗ Multiplicity cuts in LAV9,10,11,12 and in the detectors downstream to the RICH have been applied.

Energy	< 1 GeV	1 – 5.5 GeV	5.5 – 7.5 GeV	7.5 – 10 GeV	>10 GeV
LKr Inefficiency	1	10^{-3}	10^{-4}	5×10^{-5}	8×10^{-6}
Effect of the material	-	$(2.1 \pm 0.5) \times 10^{-4}$	$(1.4 \pm 0.5) \times 10^{-4}$	$(5 \pm 2) \times 10^{-5}$	$(3.7 \pm 1.6) \times 10^{-6}$

Status of the installation

- The new beam dump is completed
- The beam line is being installed
- In progress tendering process for the vacuum system
- The surface building is being refurbished
- The detector is being installed in ECN3

