

Recent computational results for rf breakdown mitigations

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1) Argonne National Laboratory, USA

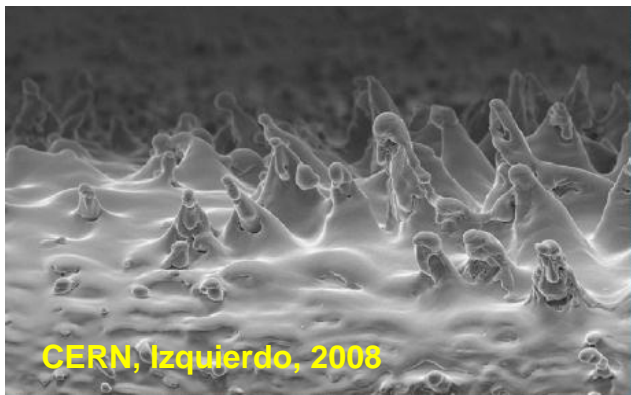
2) Joint Institute for High Temperature, Moscow, Russia



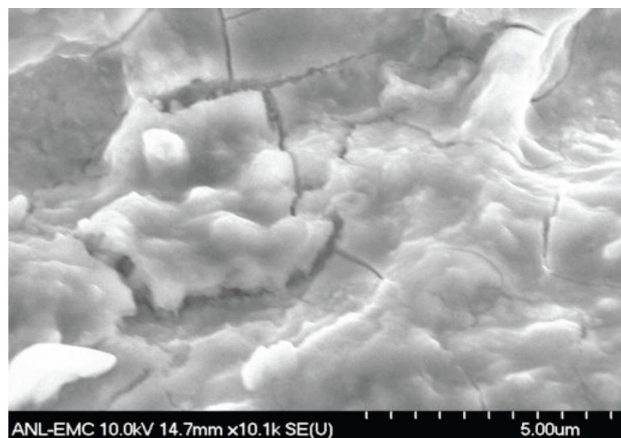
Outline

- **Motivation - RF breakdown in cavities**
- **Field enhancements of tips vs cracks**
- **Schwirzke model of unipolar arc**
- **Plasma fueling by surface sputtering**
- **Plasma model of RF BD**
- **Modeling non-Debye plasma sheath**
- **Summary of the Arc model**
- **Other applications and future plans**

Severe damage

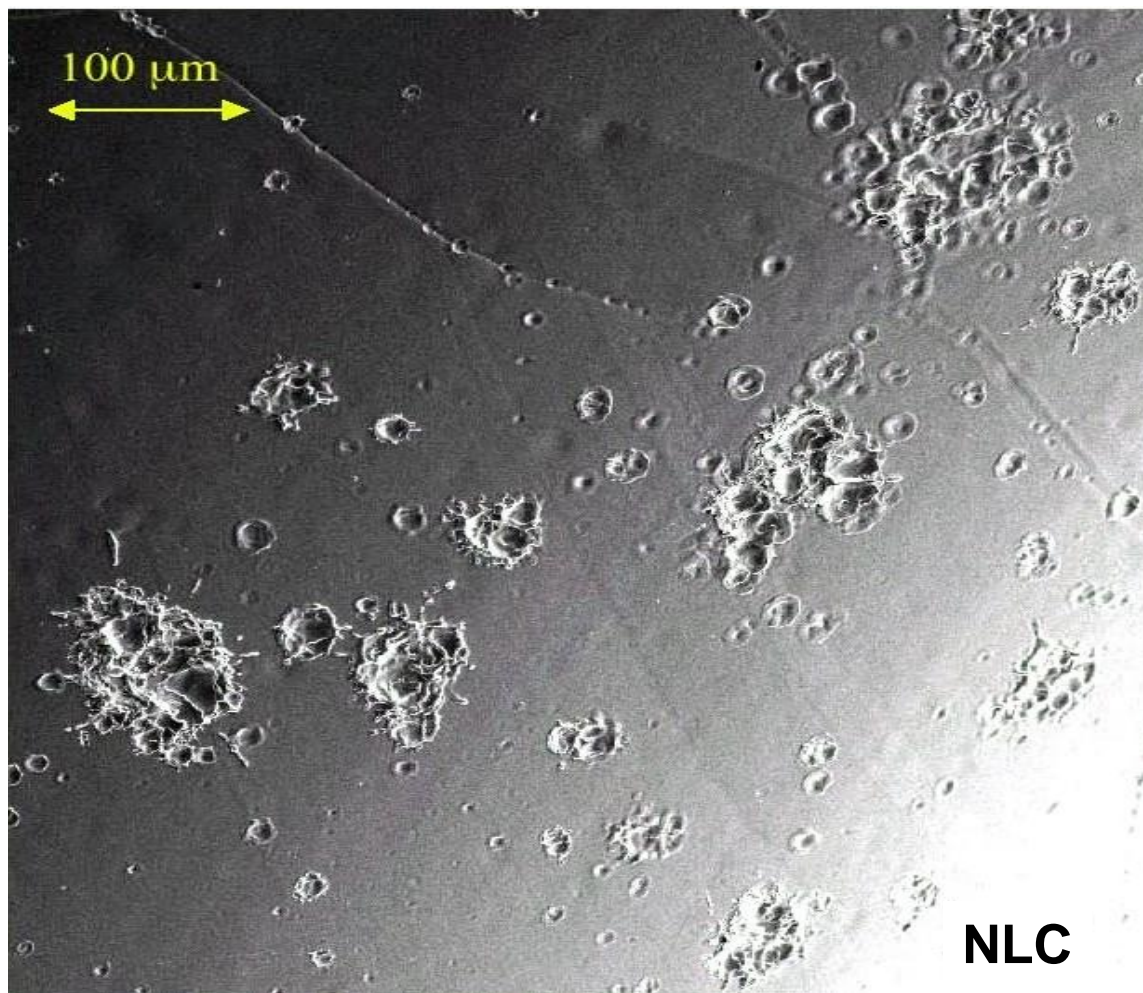


Cracks



Norem, 2011

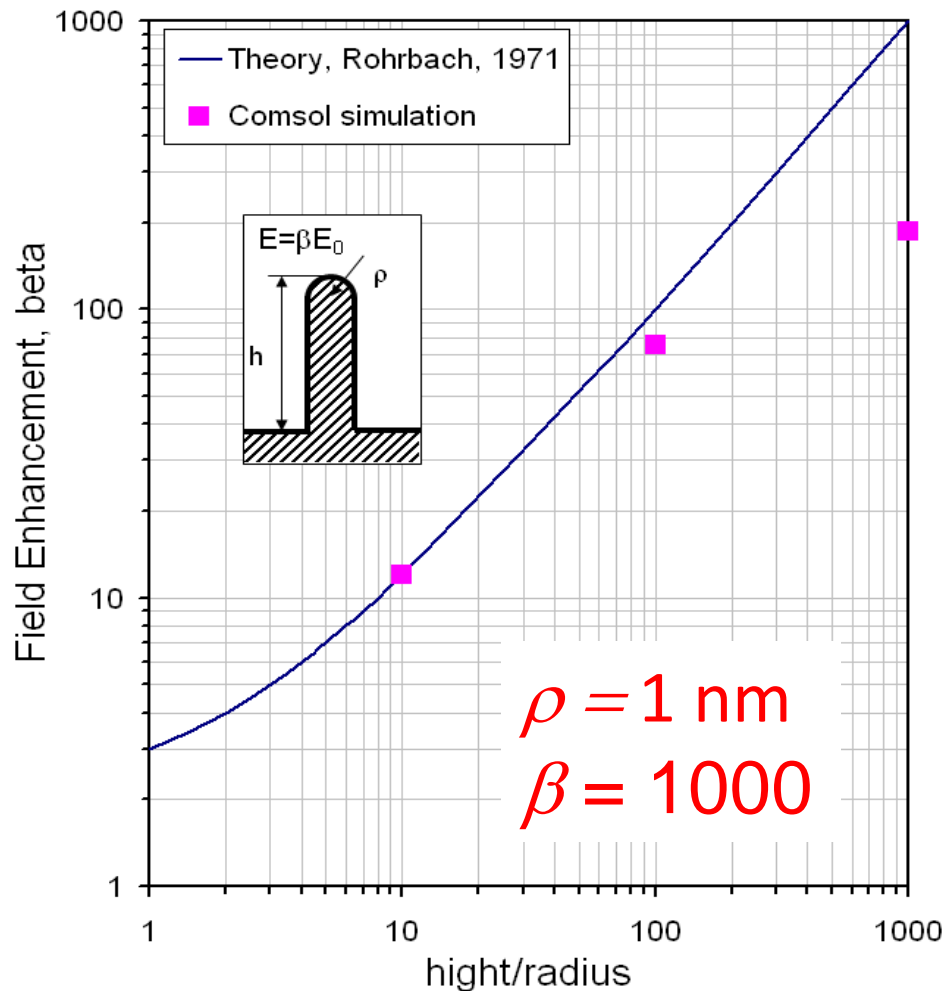
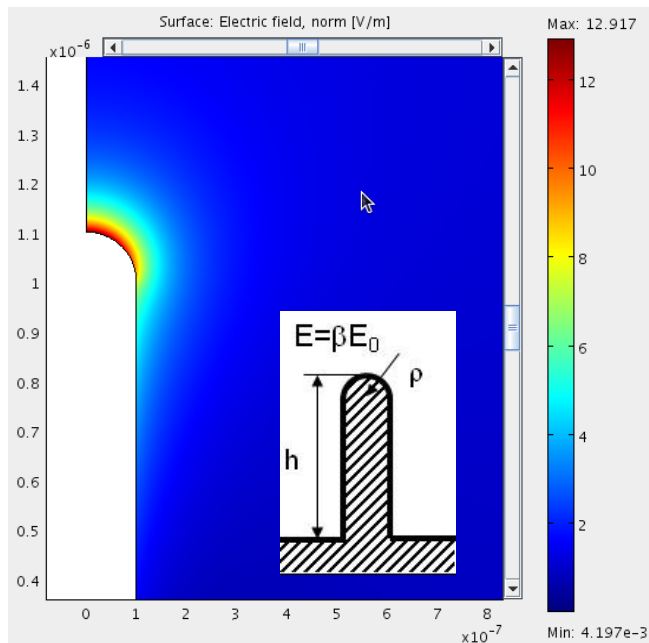
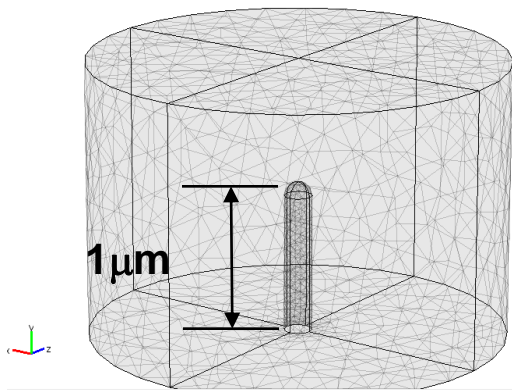
Moderate damage



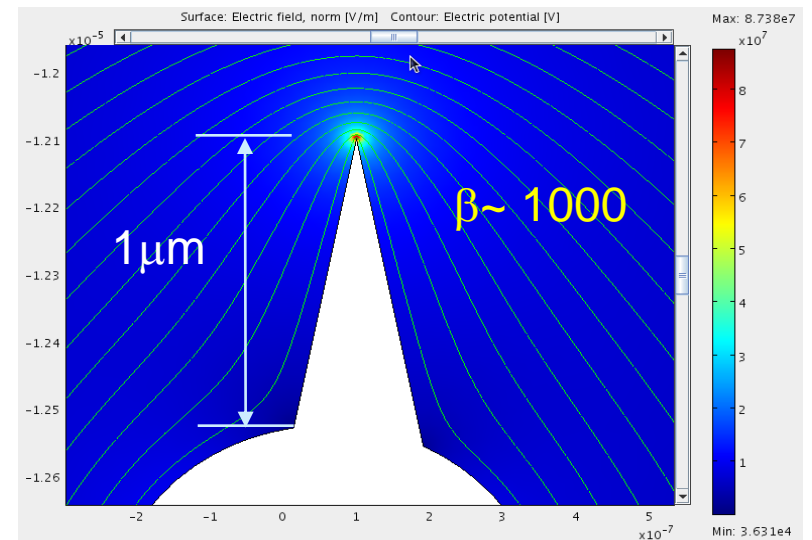
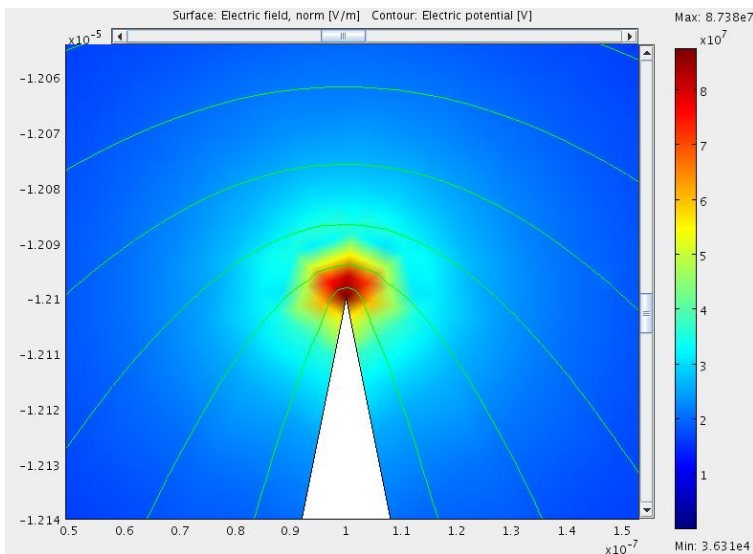
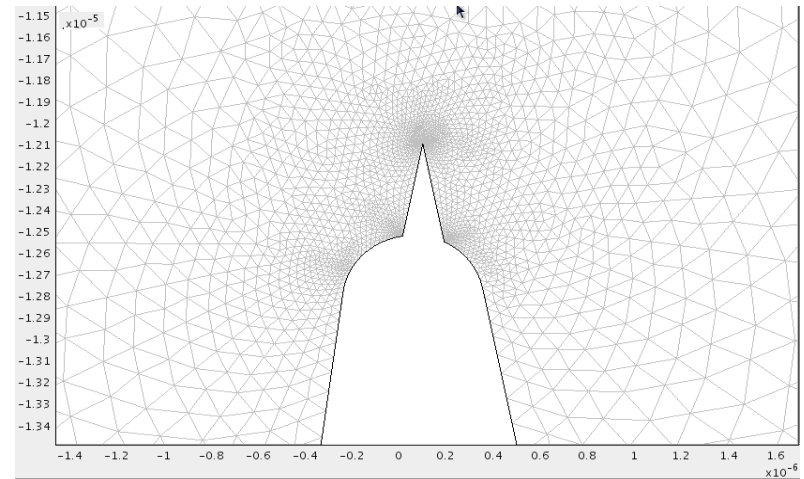
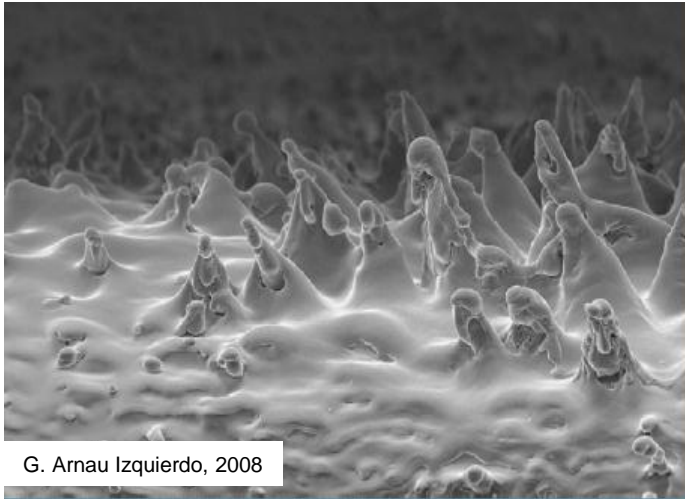
NLC

[From the 2001 Report on the Next Linear Collider]

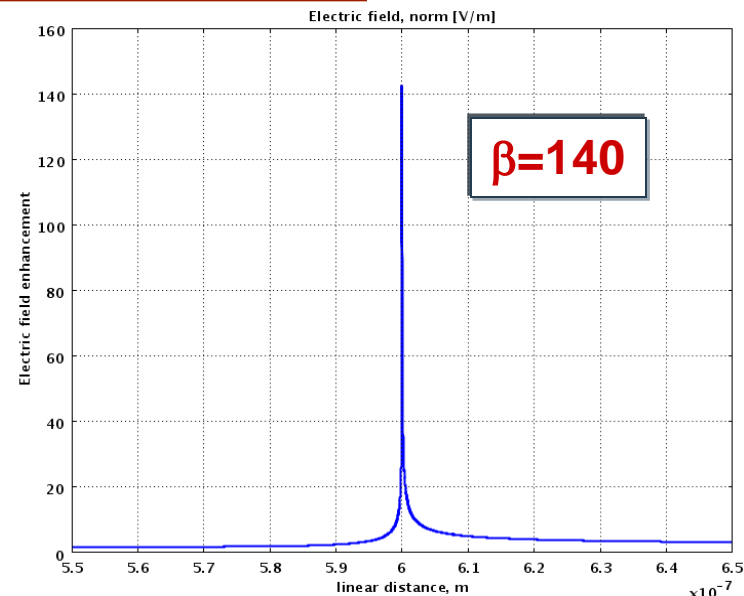
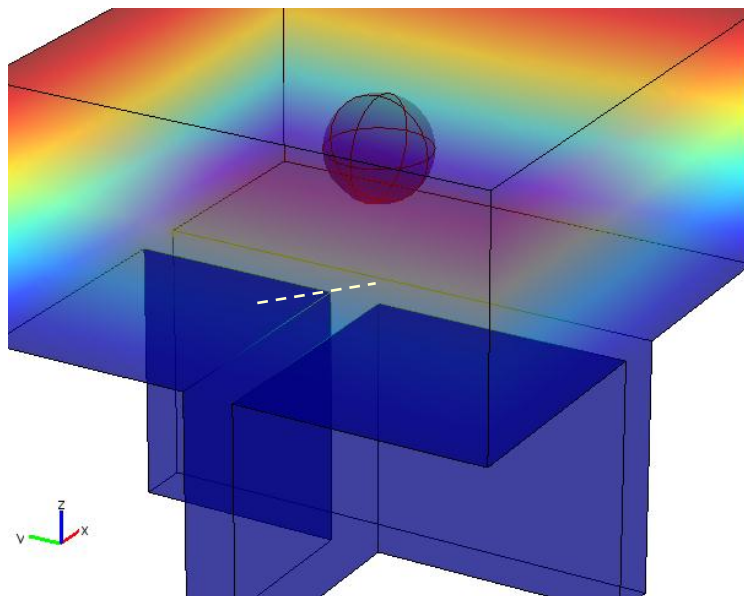
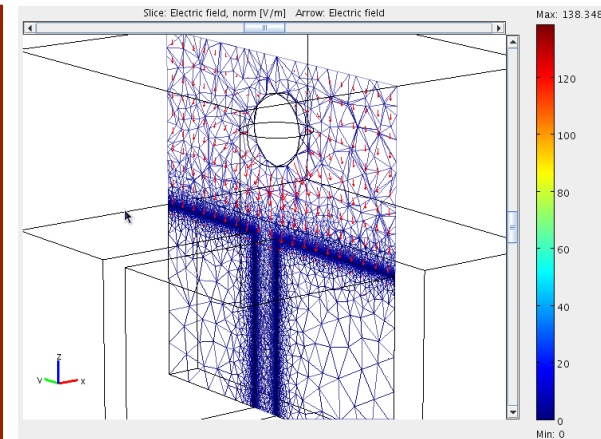
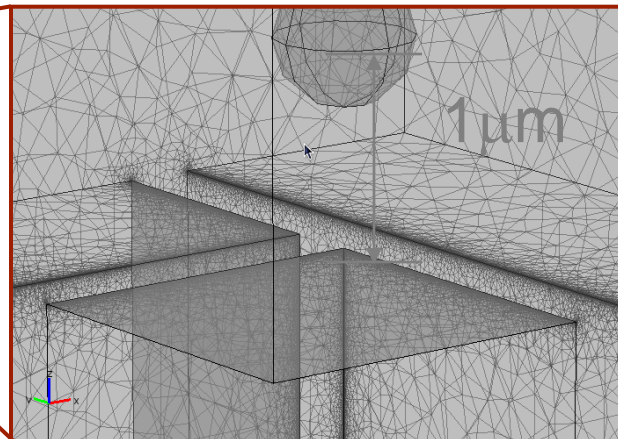
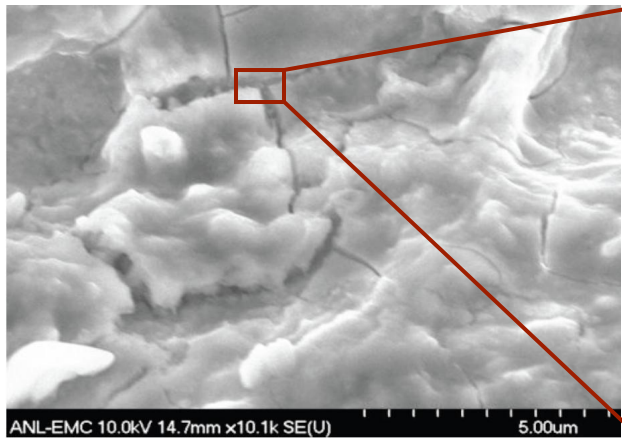
■ Comsol simulation vs analytical theory of field enhancement



- Comsol simulation of field enhancement at sharp cones



- Comsol simulation of field enhancement at triple crack junction



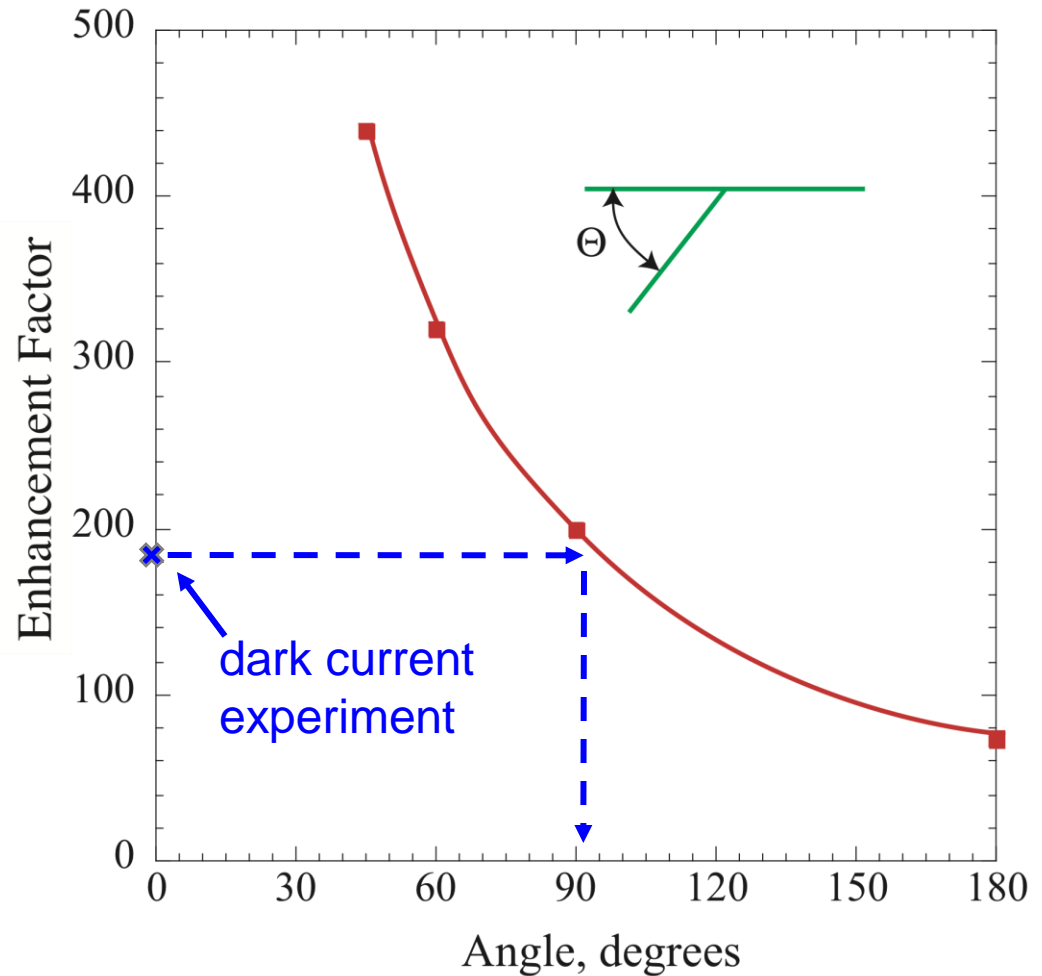
Experimental enhancement factor obtained from dark current measurements:

measurements:

$$\beta_{\text{exp}} \approx 184$$

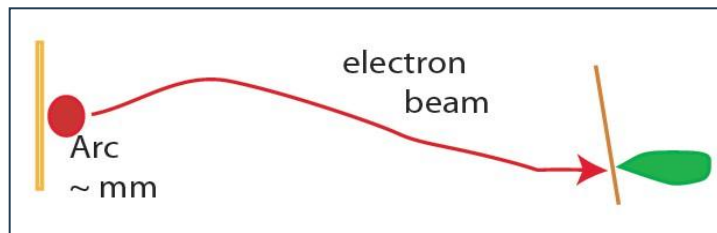
is close to values for a triple junction:

$$\theta = 90^\circ$$

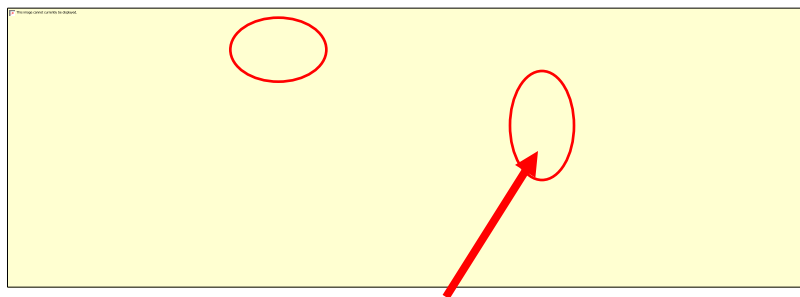


Dark current experiment

X rays show that cavities break down at $E_{\text{local}} \sim 7\text{--}10 \text{ GV/m}$

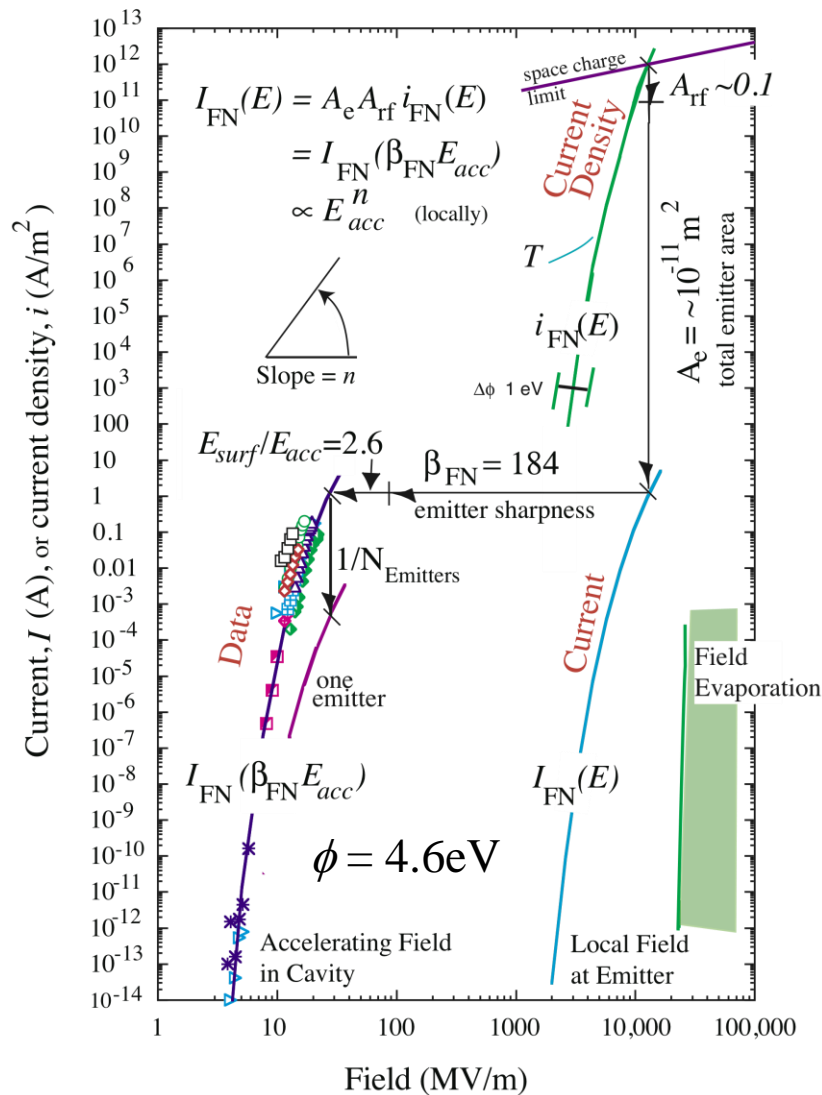


Fowler-Nordheim field emission (1928)



β – Local Field Enhancement

$\beta=184$



[Norem, PR STAB (2003)]

Schwirzke model of Unipolar Arc model in Tokamaks

- Heating occurs via ion bombardment.
 Plasma fueling:
- Evaporation of surface atoms
 - Tip explosion by high electric field

Plasma potential

$$U_f = \left(\frac{kT_e}{2e} \right) \ln \left(\frac{M_i}{2\pi m_e} \right),$$

$$\lambda_D = \sqrt{\frac{\epsilon_0 kT_e}{2n_e e^2}},$$

$$E_f \approx \frac{U_f}{\lambda_D} \approx (n_e kT_e)^{1/2} \times 5.12$$

$$n_e \approx 4 \times 10^{22} \text{ m}^{-3}$$

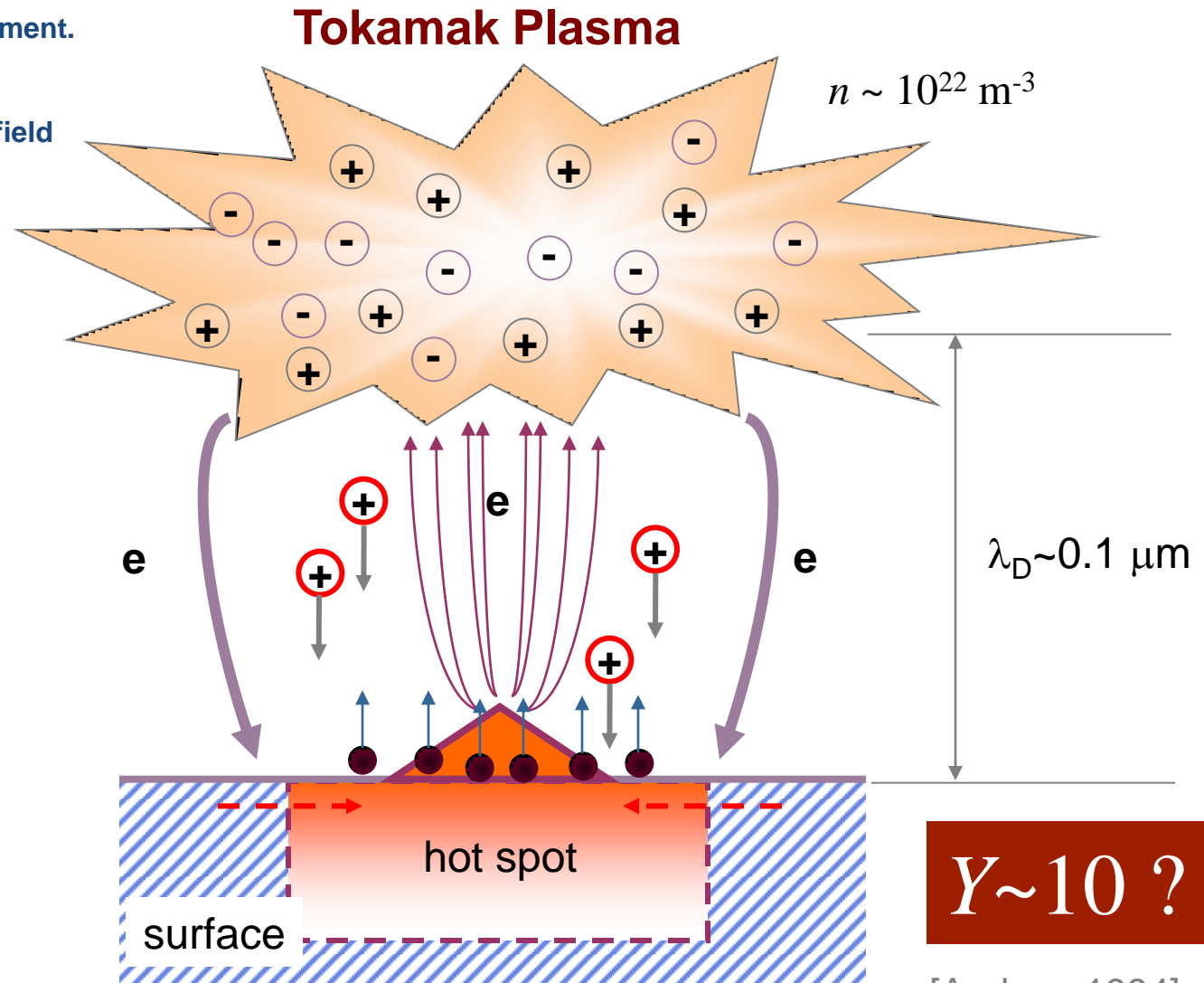
$$kT_e \approx 18 \text{ eV}, U_f \approx 26.4 \text{ V}$$

$$\lambda_D \approx 1.6 \times 10^{-7} \text{ m},$$

$$E_f \approx \beta \frac{U_f}{\lambda_D} \approx 3.6 \times 10^{10} \text{ V/m}$$

$$\tau \approx \lambda_D \left(\frac{2M_i}{eU_f} \right)^{1/2} \sim 1 \text{ ps}$$

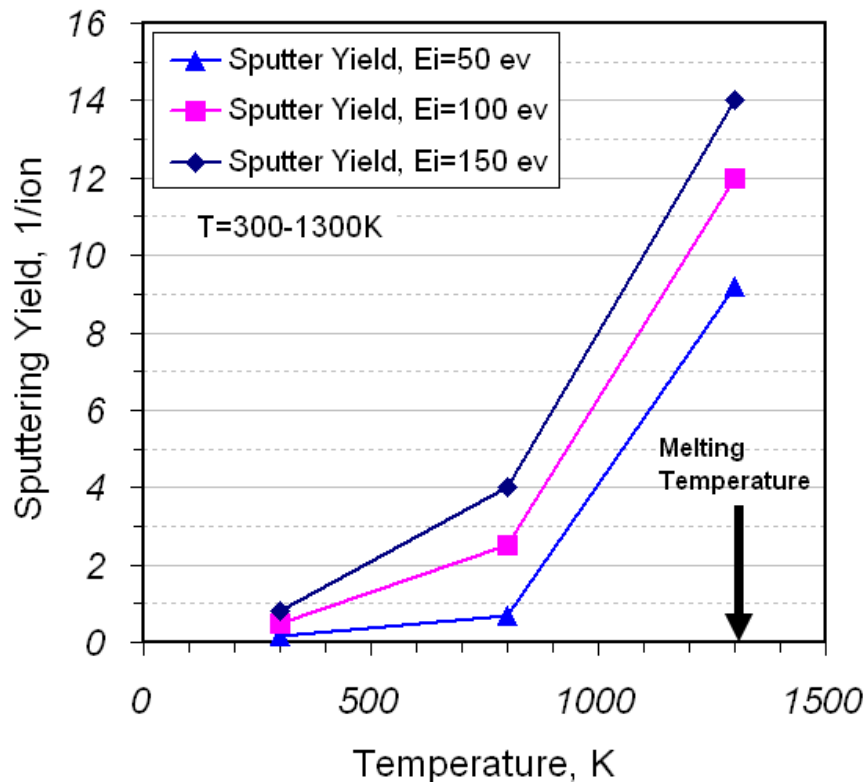
[Schwirzke, JNM 1984]



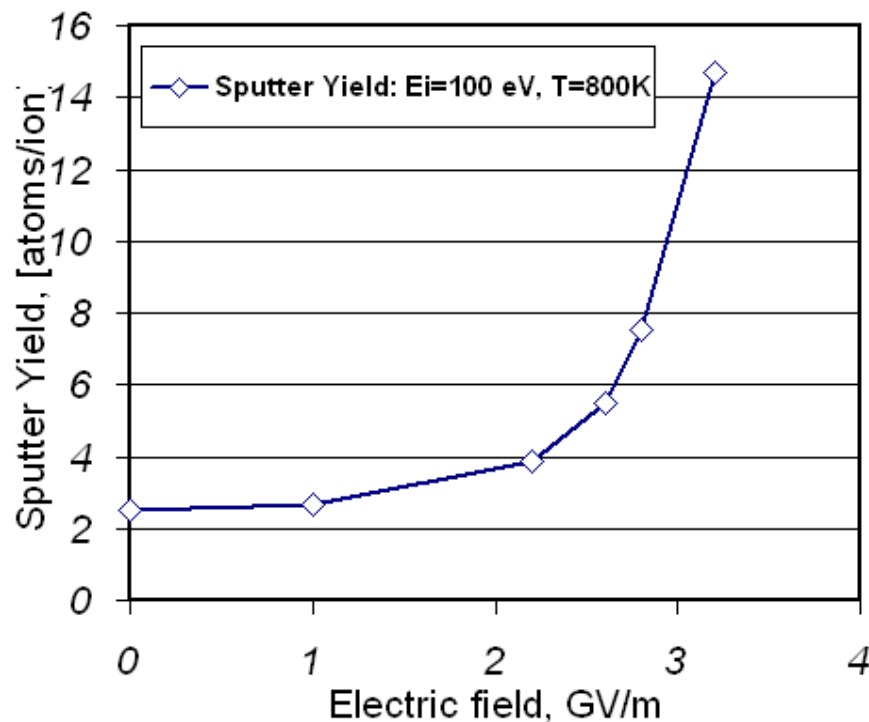
[Robson, Thonemann, 1959]

$Y \sim 10 ?$

[Anders, 1994]



Self-sputtering yield of a charged by plasma copper surface



- **Self-sputtering is the mechanism for fueling unipolar surface plasma.**
- **Unipolar model requires $Y > 10$ typical at low ion energies.**
- **MD predicts very high sputtering yields for high surface T and E .**
- **Erosion rates on the order of $\sim 1\text{ m/s}$.**

- Typical parameters for self-sustained self-sputtering

Superdense glow discharge in pseudospark (hollow Mo cathode filled with H₂)

Heating occurs via ion bombardment.

Plasma fueling:

- Evaporation of surface atoms
- Tip explosion by high electric field

$$n_e \sim 10^{21} \text{ m}^{-3},$$

$$\lambda = \left(\frac{v_e e}{j_e^{\text{sec}} \sigma} \right)^{-1} \leq 1 \text{ mm}$$

$$d_c = (2\varepsilon_0 U_c / en_e)^{1/2} \sim 50 \mu\text{m},$$

$$E_c \approx \beta \frac{U_c}{d_c} = \beta \left(\frac{en_e U_c}{2\varepsilon_0} \right)^{1/2} \sim 10^9 \text{ V/m},$$

$$\beta \sim 20, U_c \sim 2 \text{ keV}$$

$$n_e \geq n_e^{cr} = \frac{2\varepsilon_0}{eU_c} \left(\frac{E_c^{cr}}{\beta} \right)^2,$$

$$E_c^{cr} \approx 10 \text{ GV/m}, n_e^{cr} \approx 5 \times 10^{19} \text{ m}^{-3}$$

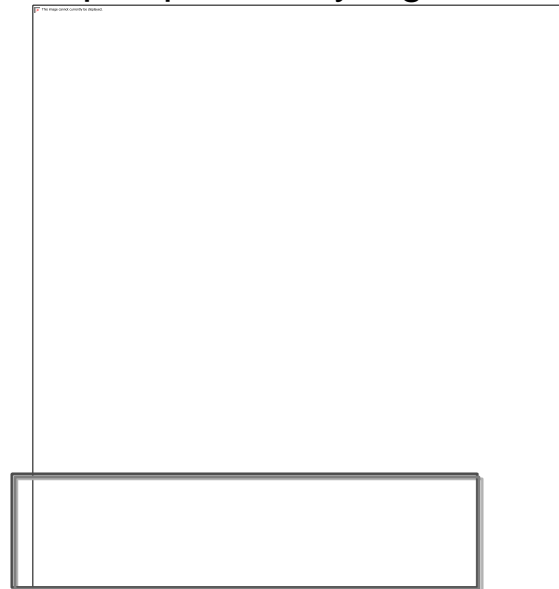
[A. Anders et al, J. Appl. Phys. (1994)]

RF breakdown on Copper surface

Heating via ion bombardment.

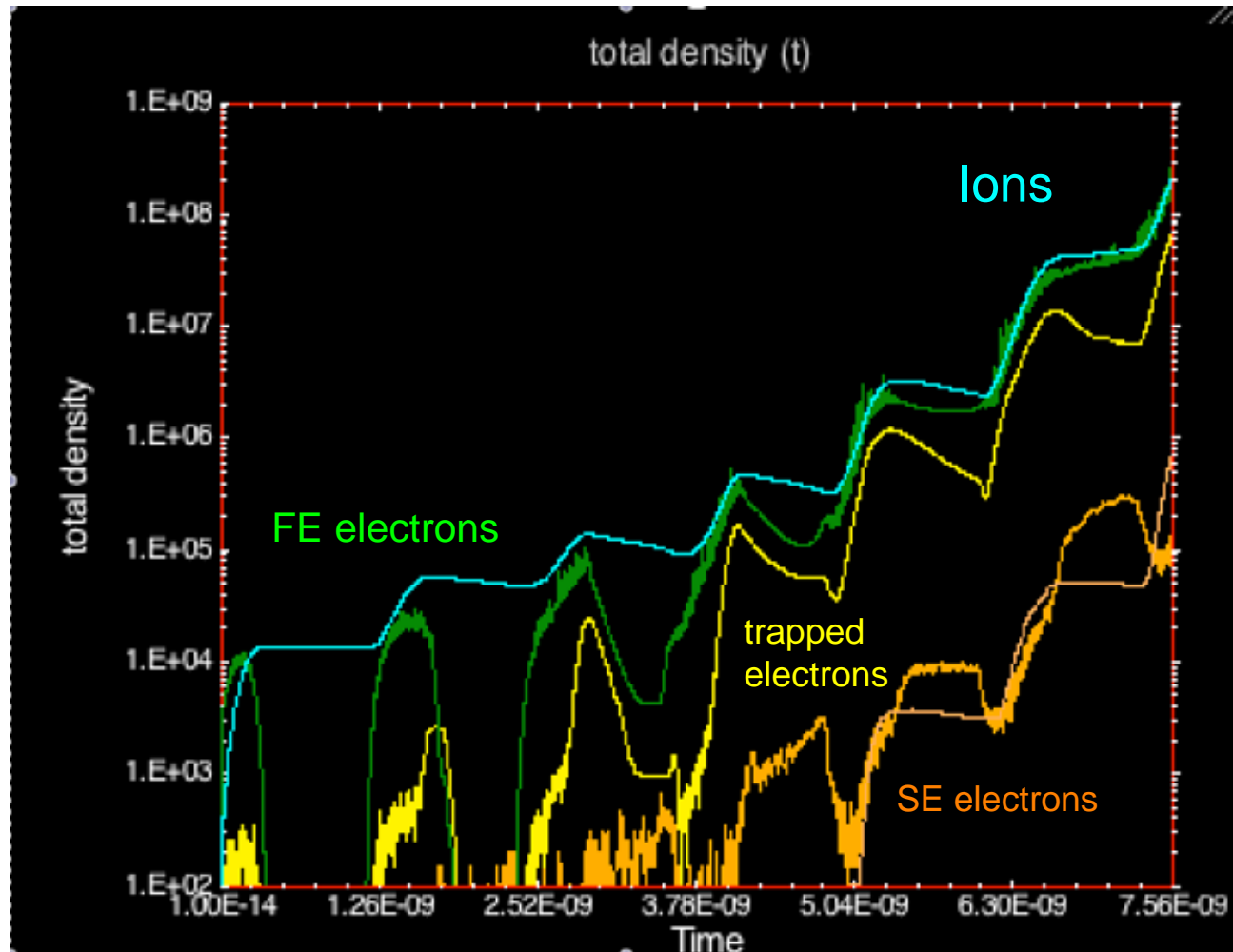
Plasma fueling:

- Evaporation of surface atoms
- Tip explosion by high electric field



[Insepov, Norem (2008)]

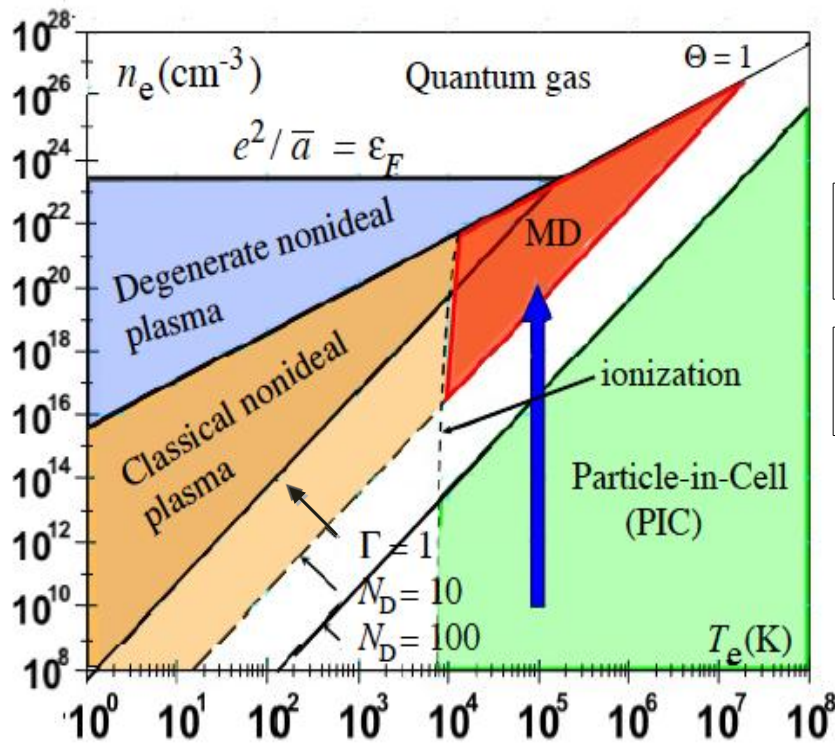
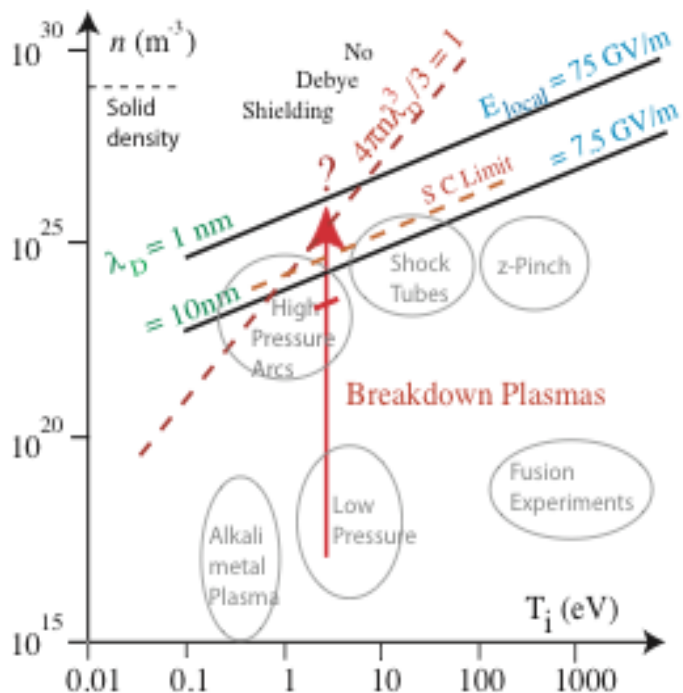
Simulation showing how rf arcs start (805 MHz)



Unipolar Arc model

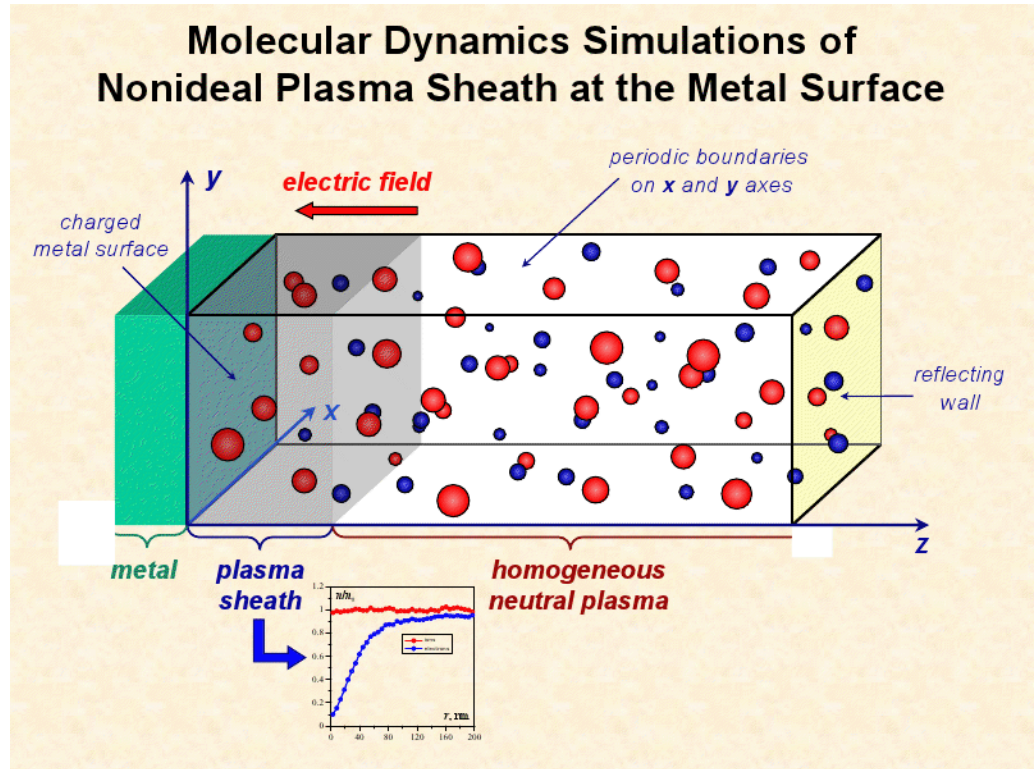
We have to develop a model that explains unipolar arcs

- This seems to be the basic physics that governs gradient limits.
- In rf systems the arcs develop from fracture and ionization of surfaces.
- Lasers, micrometeorites, and other causes can also generate them.
- The arcs are exothermic, develop rapidly and become non-Debye plasmas.

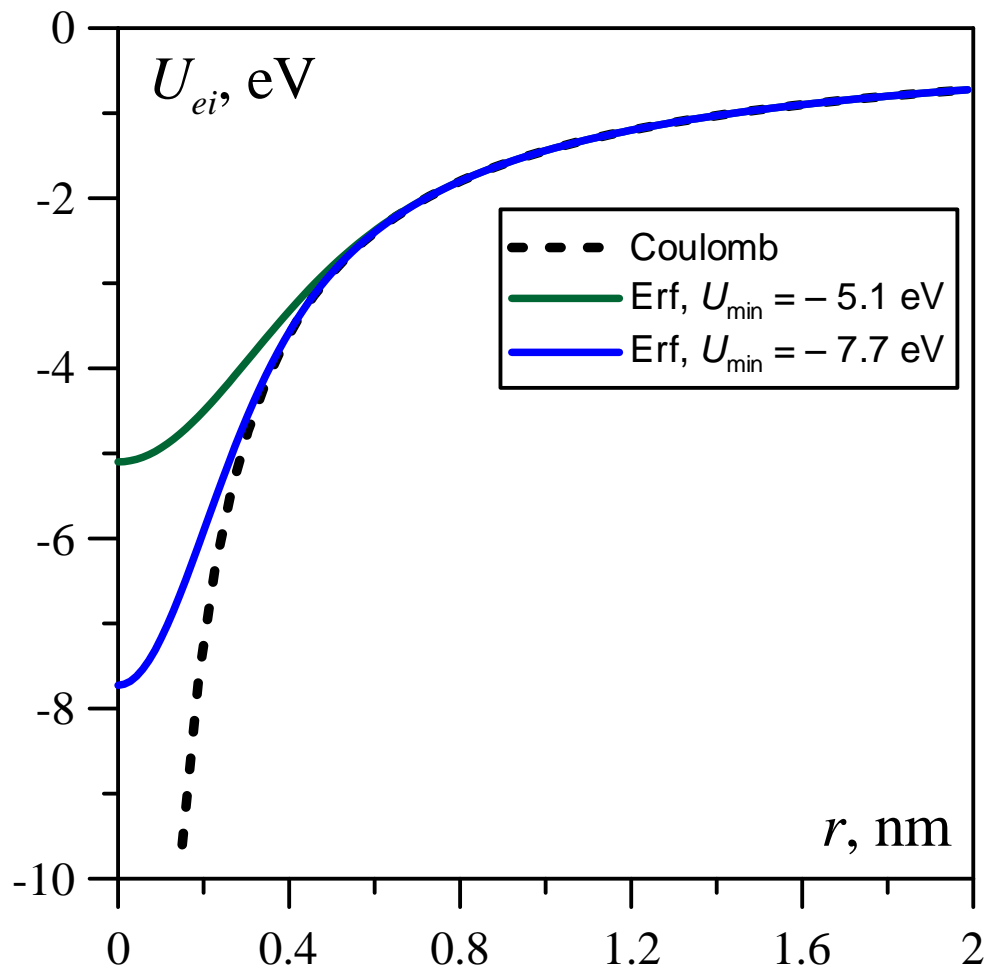


Simulation Features

- Classical molecular dynamics (MD) simulations with a $e-i$ pseudo-potential to account for quantum effects
- Two component plasma of electrons and copper ions
- Long range Coulomb interactions (N -body problem)
- Periodical boundary conditions for transversal dimensions
- Ideal absorption of electrons to the surface with generation of the surface electrostatic field
- Simulation of the relaxation process
- Averaging over an ensemble of initial states



[Accepted for publication in PR STAB, 2012]



Electron-ion interaction potential



Ionization potential for Copper

$$U_{min} = U_{ei}(0) = -7.73 \text{ eV}$$

$$(\sigma = 0.21 \text{ nm})$$

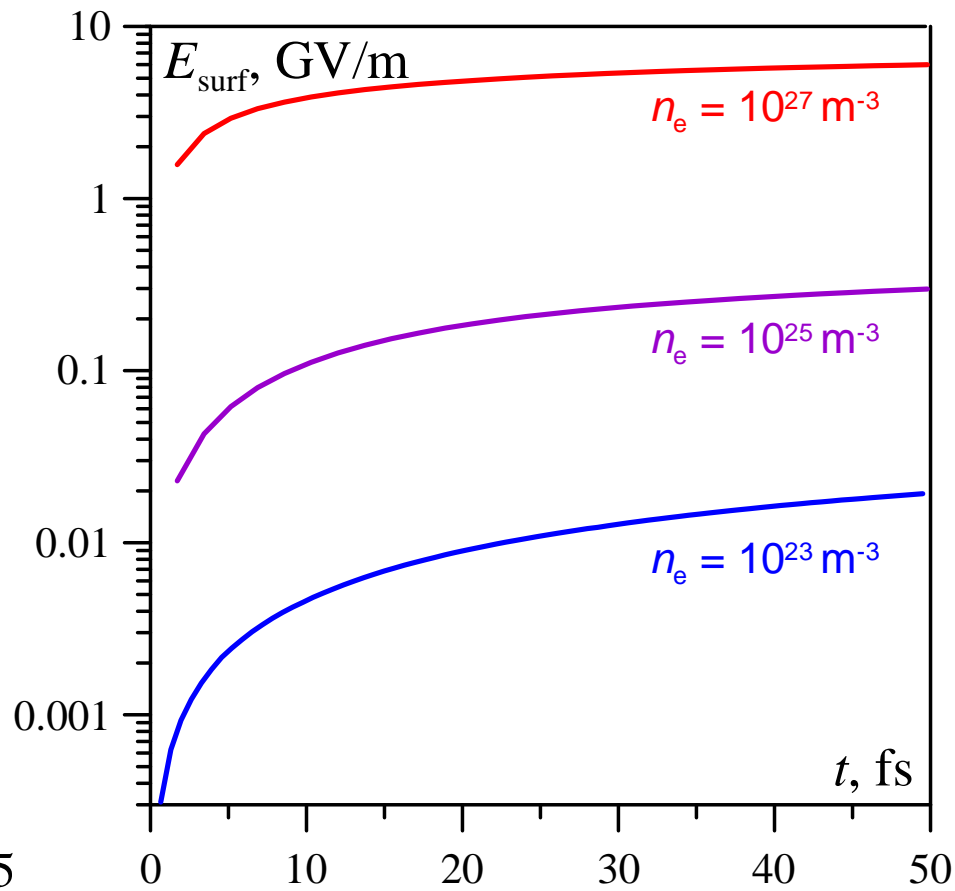
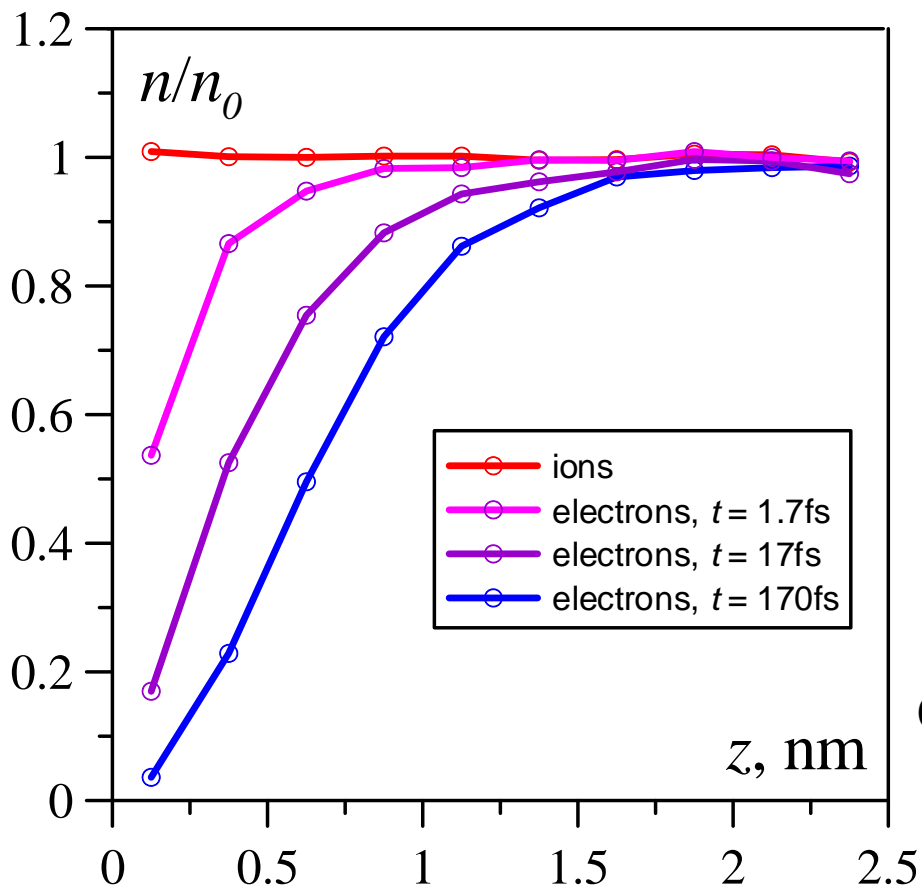
Test potential

$$U_{min} = U_{ei}(0) = -5.1 \text{ eV}$$

$$(\sigma = 0.32 \text{ nm})$$

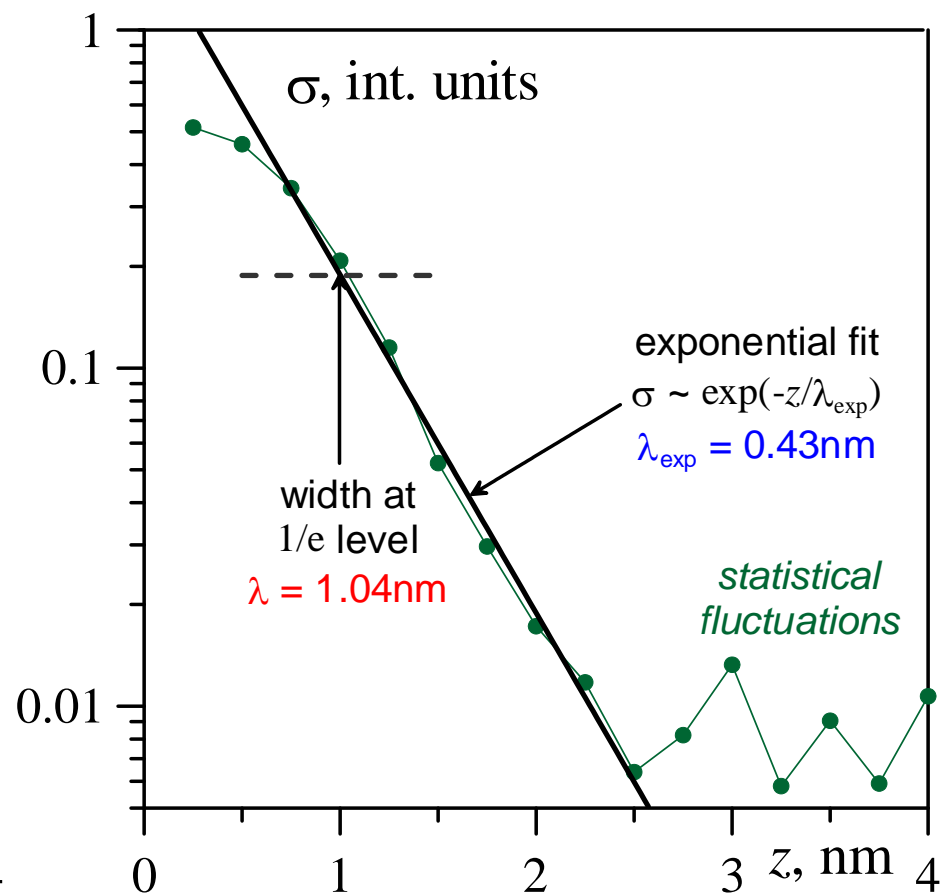
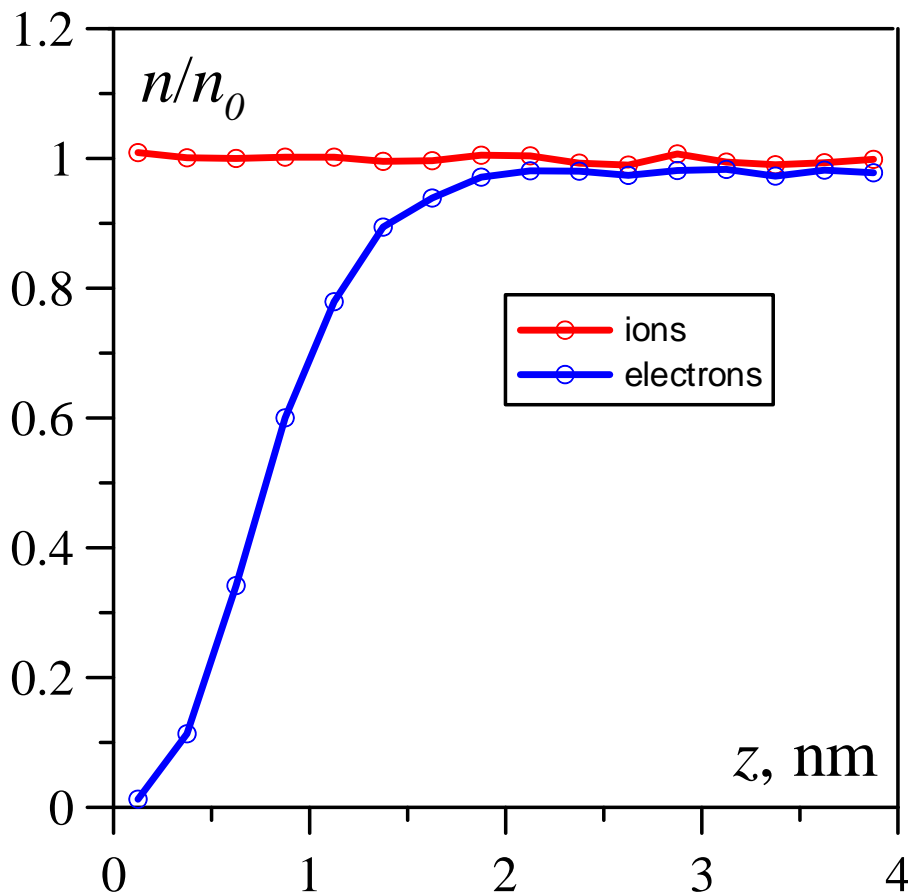
Electron-electron and ion-ion potentials are pure Coulomb. The erf-like electron-ion interaction potential given above was used e.g. for simulations of sodium clusters in [Raitza et al, Contrib. Plasma Phys \(2009\)](#).

E-field and density vs time



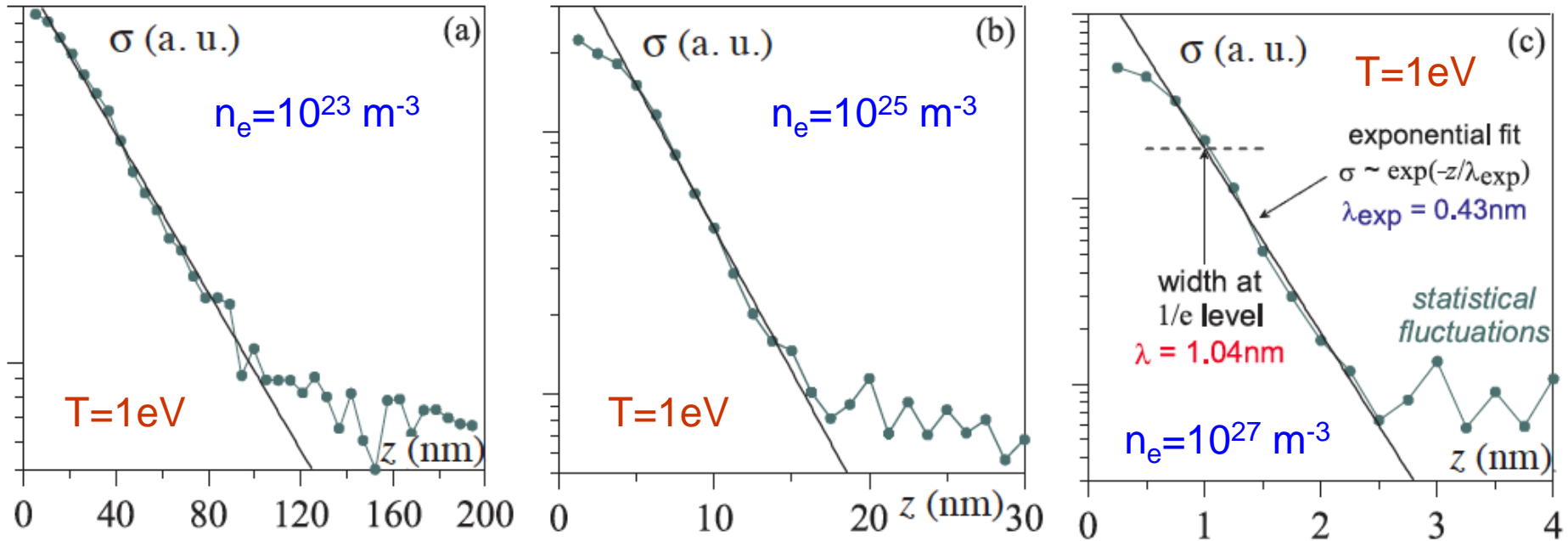
$$T_e = 1 \text{ eV}, \quad n_0 = 10^{27} \text{ m}^{-3}, \quad \Gamma = 2.32$$

Stationary plasma sheath



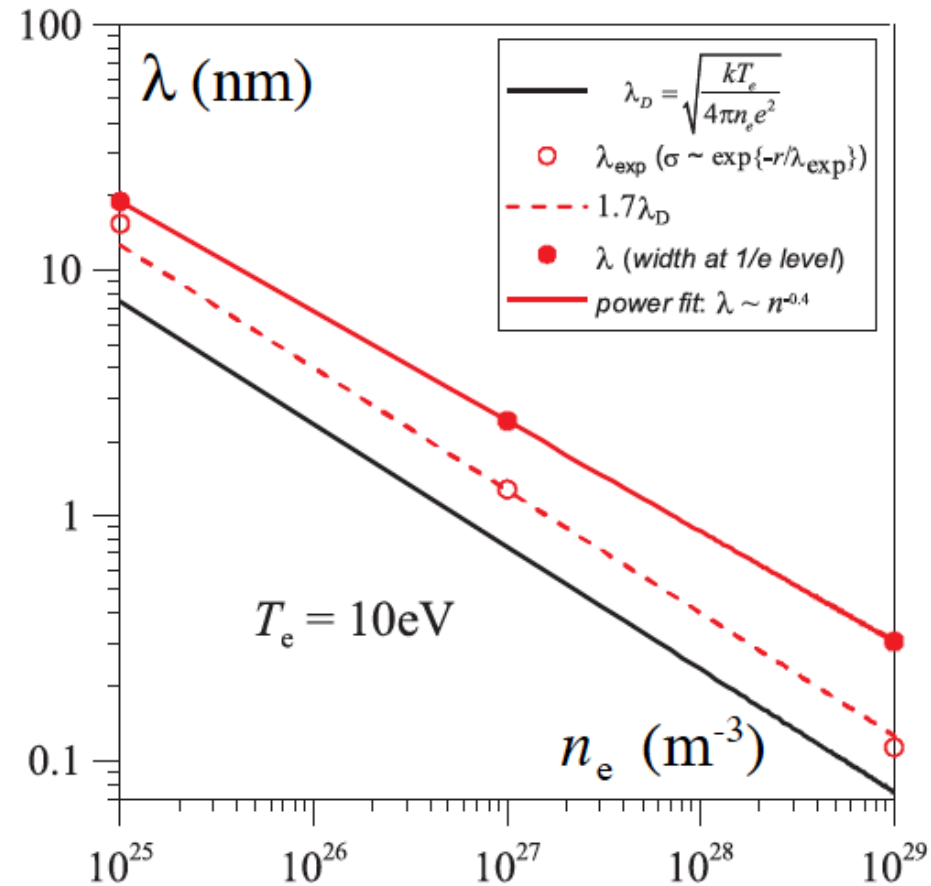
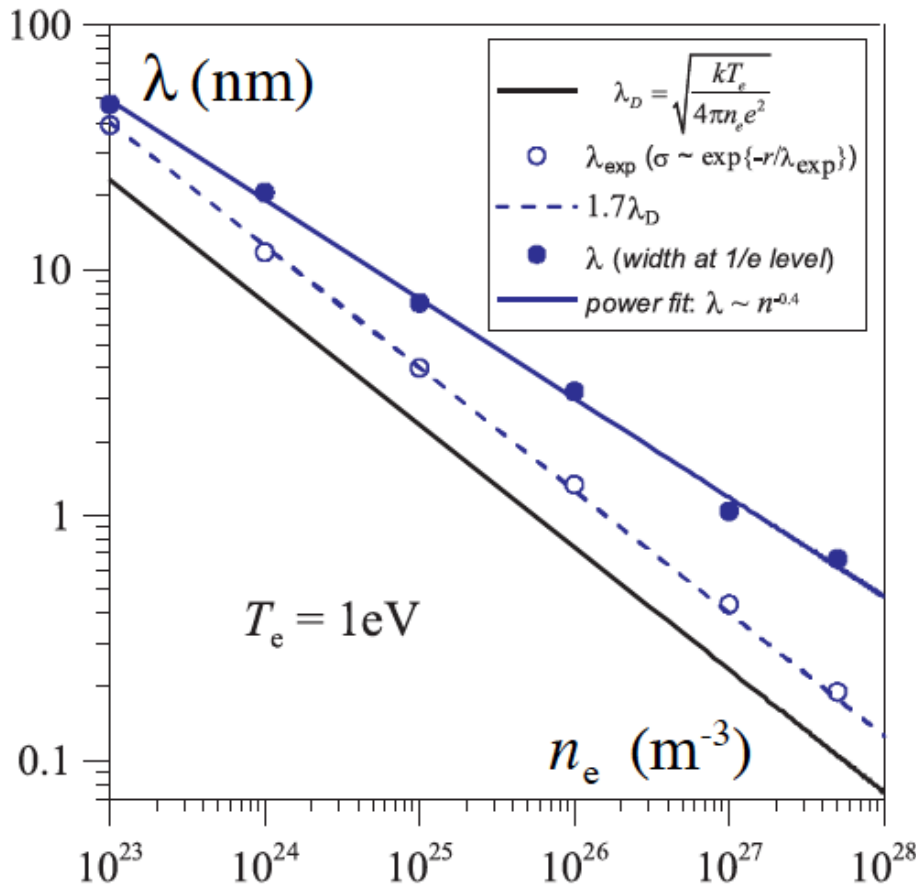
$T_e = 1 \text{ eV}, \quad n_0 = 10^{27} \text{ m}^{-3}, \quad \Gamma = 2.32$

Stationary plasma charge



The solid line is an exponential fit – the classical Debye law at 1eV.

Screening length vs density



The non-Debye sheath can be extrapolated from Debye with small corrections

Direct simulation of plasma sheath formation

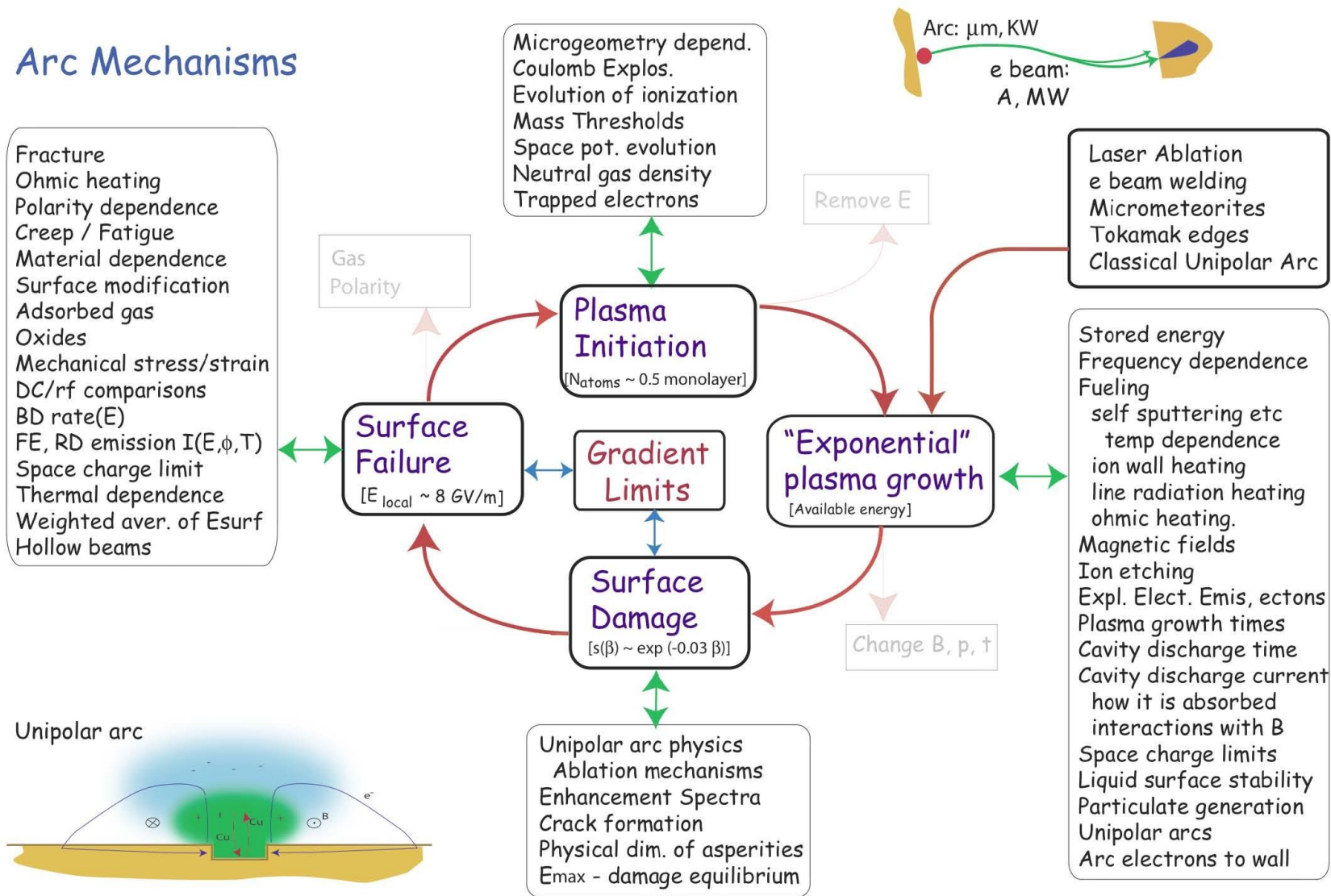
We simulated the development of the arc and its density limits

- Non-ideal (non-Debye) plasma were simulated in a wide range of θ, T, n .
- The electric field and charge build up is determined
- The electrical field close to Debye predicted in most part of the sheath space
- The non-classical deviation is at very close proximity of the surface
- Ions were takes into account in specific approximation

T , eV	$n_e, 1e27, m^{-3}$	Γ	θ	λ_D , nm
1	1e-4	0.11	0.001	23.5
1	1e-3	0.23	0.004	7.43
1	1e-2	0.5	0.017	2.35
1	0.1	1.08	0.079	0.74
1	1.0	2.32	0.36	0.24
10	0.01	0.05	0.002	7.43
10	1.0	0.23	0.036	0.74
10	100	1.08	0.79	0.07

Summary of the Arc model

Arc Mechanisms



- We are beginning to develop parameter sets for these cases:
- Tokamak edge plasmas
- Large surface area and long DC pulses.
This model predicts breakdown will occur at $E_{\text{local}} > 5 - 6 \text{ GV/m}$.
- $(\phi/\lambda_D)\beta \sim 6 \text{ GV/m}$
- With a 100 eV sheath potential, and $\lambda_D \sim 6 \mu\text{m}$ gives,
- $\beta \sim (6 \text{ GV/m})(6\text{E-}6\text{m})/(100 \text{ eV}) \sim 400$,
- Laser Ablation, micrometeorite impacts
- Tiny areas and very short DC pulses.
- Dense plasmas can appear and arcs must trigger more quickly.
With $\lambda_D \sim 0.1 \mu\text{m}$,
- $(\phi/\lambda_D)\beta \sim 11 \text{ GV/m}$,
- $\phi \sim (11 \text{ GV/m})(1\text{E-}7\text{m})/30 \sim 40 \text{ eV}$
- These arcs would have similar parameters and would develop as described above

Future Plans for unipolar arc studies

In rf systems, the arcs occur randomly, and therefore **cannot be studied**. Triggered unipolar arc **can be studied** in specifically designed experiment.

We are interested in:

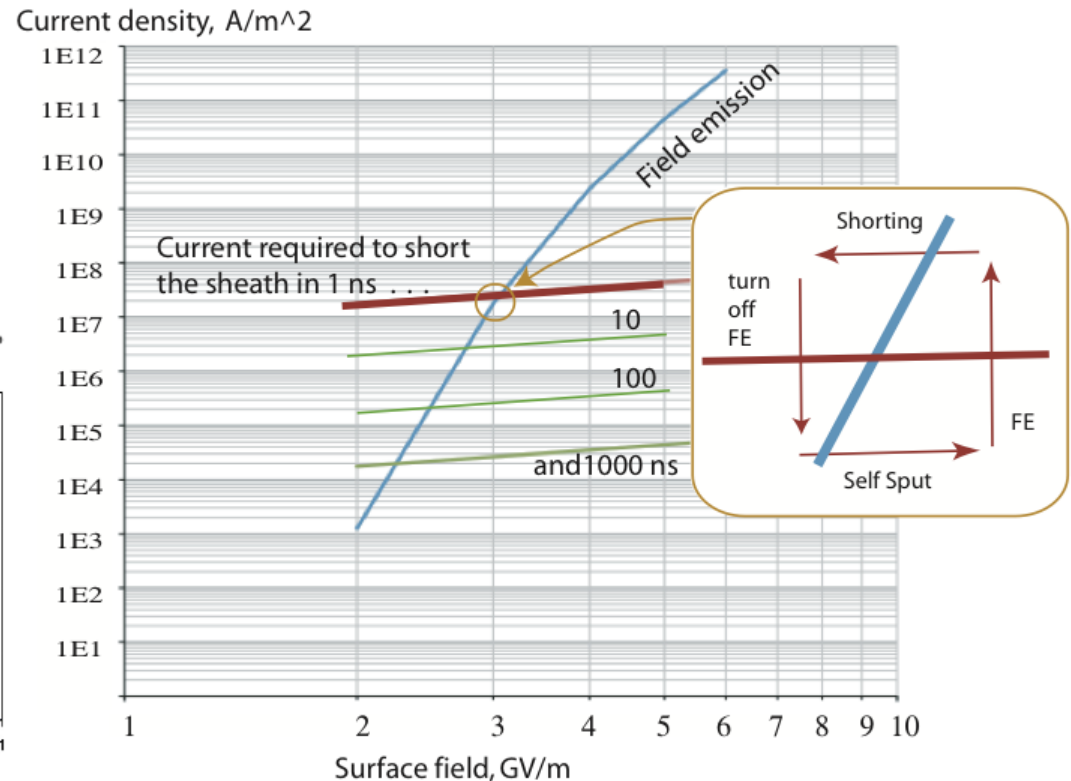
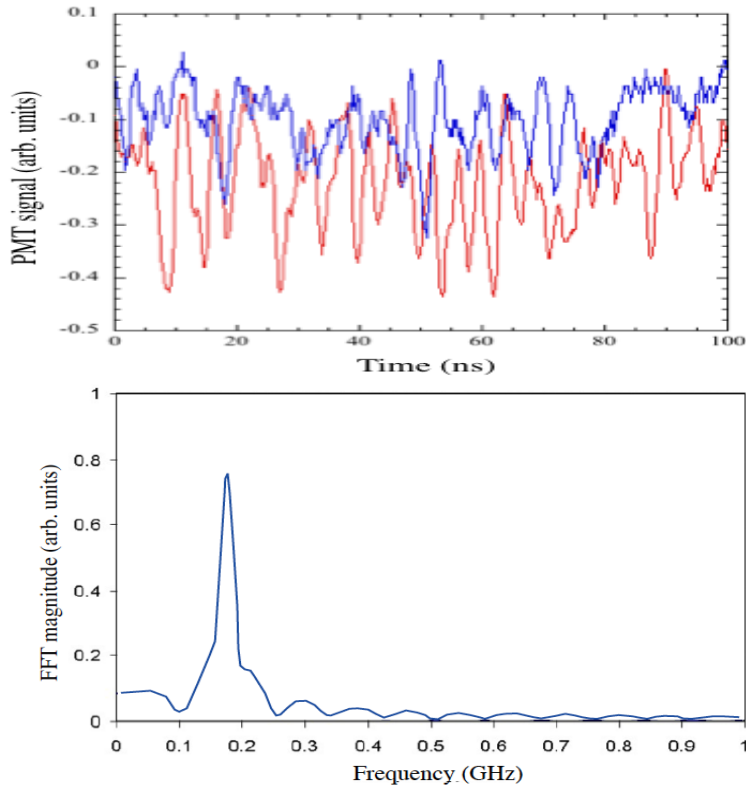
- **Oscillations**
 - How do they depend on material, B field, size and other variables?
 - Does the ecton model or FE model look more realistic?
- **What parameters determine unipolar arc behavior**
 - Cohesive energy (sublimation energy) T_{melt} , hardness, ionization potential.
 - **Can the maximum density, (maximum E field etc.) be measured?**
- **What determines the surface damage?**
 - What causes pits, cracks, “chicken track” formation? EEE?
- **How do magnetic fields interact with unipolar arcs**
 - Both formation & stability of the arc
- **What threshold determines unipolar arc formation?**
 - Can “subcritical”, “unlit” arcs make tracks (CERN “worms”)

- Sheath potential formation was simulated for the 1st time.
- Picture of arcs becomes simpler and more general (Tokamaks, laser ablation, micrometeorites).
- We find electrostatic fields can both trigger and drive arcs.
- Materials properties are the clue for understanding of unipolar arc formation and rf breakdown.
- We are exploring new applications and constraints on our model, with a number of papers underway.

Feasible model of oscillations at rf breakdown

- 805 MHz PMT signal

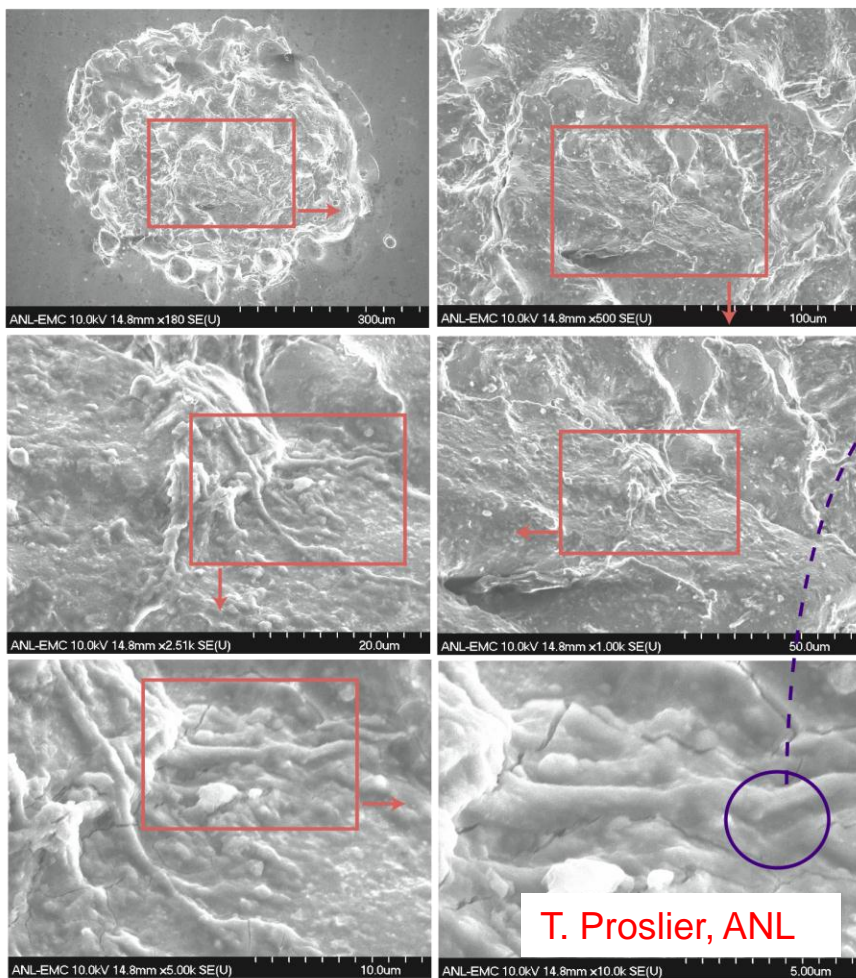
Field emission shorting plasma sheath



We can explain the oscillations we see in rf breakdown

Capillary waves can measure surface fields

- Dimensions of structures imply $E_{\text{surface}} \sim 1 \text{ GV/m}$, if $P_{\text{surface tension}} = P_{\text{Electrostatic}}$



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