

*Direct CP violation in charm decays:
general considerations within and beyond the SM*

Gino Isidori

[*INFN, Frascati & CERN*]

- ▶ A closer look to Δa_{CP} within the SM
- ▶ Considerations on possible NP contributions

► A closer look to Δa_{CP} within the SM

Let's consider the relevant SM effective Hamiltonian ($|\Delta c|=1, |\Delta s|=0$) renormalized at a scale $m_c < \mu < m_b$

$$\mathcal{H}_{|\Delta c|=1}^{\text{eff}} = \lambda_d \mathcal{H}_{|\Delta c|=1}^{\text{d}} + \lambda_s \mathcal{H}_{|\Delta c|=1}^{\text{s}} + \lambda_b \mathcal{H}_{|\Delta c|=1}^{\text{peng}}$$

$$\mathcal{H}_{|\Delta c|=1}^q = \frac{G_F}{\sqrt{2}} \sum_{i=1,2} C_i^q Q_i^s + \text{H.c.},$$

$$Q_1^q = (\bar{u}q)_{V-A} (\bar{q}c)_{V-A}$$

$$Q_2^q = (\bar{u}_\alpha q_\beta)_{V-A} (\bar{q}_\beta c_\alpha)_{V-A}$$

Standard basis of four QCD penguin ops.

Tiny coefficients for μ in the perturbative regime: $C_i \sim \alpha_s(\mu)/\pi$

O(1) Wilson coeff.

$$\lambda_q = V_{cq}^* V_{uq} = \begin{cases} +\lambda + \dots & (q=\text{d}) \\ -\lambda + \dots & (q=\text{s}) \\ \sigma A^2 \lambda^5 e^{-i\gamma} & (q=\text{b}) \end{cases} \quad \begin{aligned} \lambda_d + \lambda_s + \lambda_b &= 0 \\ \lambda &= \sin(\theta_c) \approx 0.225 \end{aligned}$$

► A closer look to Δa_{CP} within the SM

To a good approximation, for sufficiently heavy μ :

$$\mathcal{H}_{|\Delta c|=1}^{\text{eff}} \approx \lambda_d \mathcal{H}_{|\Delta c|=1}^{(d)} + \lambda_s \mathcal{H}_{|\Delta c|=1}^{(s)}$$

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To a good approximation, for sufficiently heavy μ :

$$\mathcal{H}_{|\Delta c|=1}^{\text{eff}} \approx \lambda_d \mathcal{H}_{|\Delta c|=1}^d + \lambda_s \mathcal{H}_{|\Delta c|=1}^s$$

$$= + \lambda_d (\mathcal{H}_{|\Delta c|=1}^d - \mathcal{H}_{|\Delta c|=1}^s) - \lambda_b \mathcal{H}_{|\Delta c|=1}^s$$

$$= - \lambda_s (\mathcal{H}_{|\Delta c|=1}^d - \mathcal{H}_{|\Delta c|=1}^s) - \lambda_b \mathcal{H}_{|\Delta c|=1}^d$$

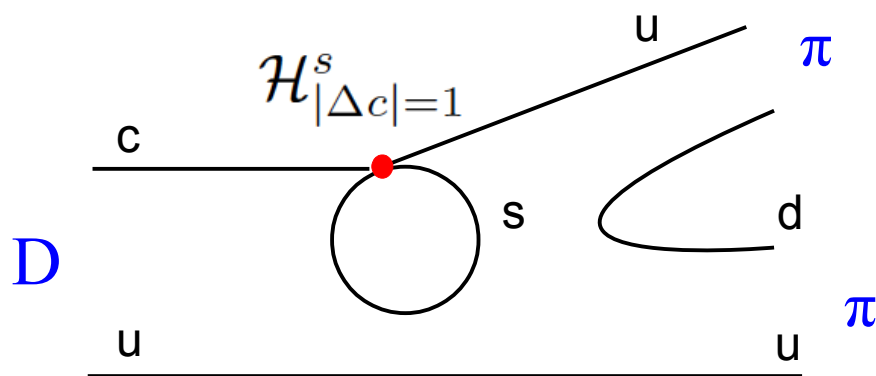
- Cabibbo-leading structure
- Tree-level amplitude in both K^+K^- and $\pi^+\pi^-$
- Both $\Delta I=1/2$ and $\Delta I=3/2$ components
- No penguin contractions in the SU(3) limit

- Cabibbo-suppressed
- No tree-level in K^+K^- or $\pi^+\pi^-$
- Penguin contractions allowed

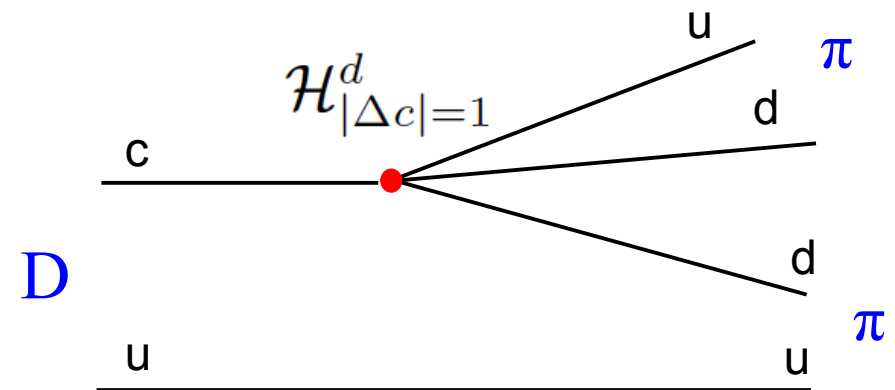
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To a good approximation, for sufficiently heavy μ :

$$\begin{aligned} \mathcal{H}_{|\Delta c|=1}^{\text{eff}} &\approx \lambda_d \mathcal{H}_{|\Delta c|=1}^{\text{d}} + \lambda_s \mathcal{H}_{|\Delta c|=1}^{\text{s}} \\ &= +\lambda_d (\mathcal{H}_{|\Delta c|=1}^{\text{d}} - \mathcal{H}_{|\Delta c|=1}^{\text{s}}) - \lambda_b \mathcal{H}_{|\Delta c|=1}^{\text{s}} \\ &= -\lambda_s (\mathcal{H}_{|\Delta c|=1}^{\text{d}} - \mathcal{H}_{|\Delta c|=1}^{\text{s}}) - \lambda_b \mathcal{H}_{|\Delta c|=1}^{\text{d}} \end{aligned}$$



“Penguin contractions”



“Tree-level topologies”

► A closer look to Δa_{CP} within the SM

In order to account for the observed asymmetry the penguin contractions need to be **~ 2-5 times** larger than the tree-level topologies.

$$A_f = \lambda_d A_f^d + \lambda_s A_f^s + \lambda_b A_f^b$$

$$R_\pi^{\text{SM}} = \frac{A_\pi^b - A_\pi^s}{A_\pi^d - A_\pi^s}$$

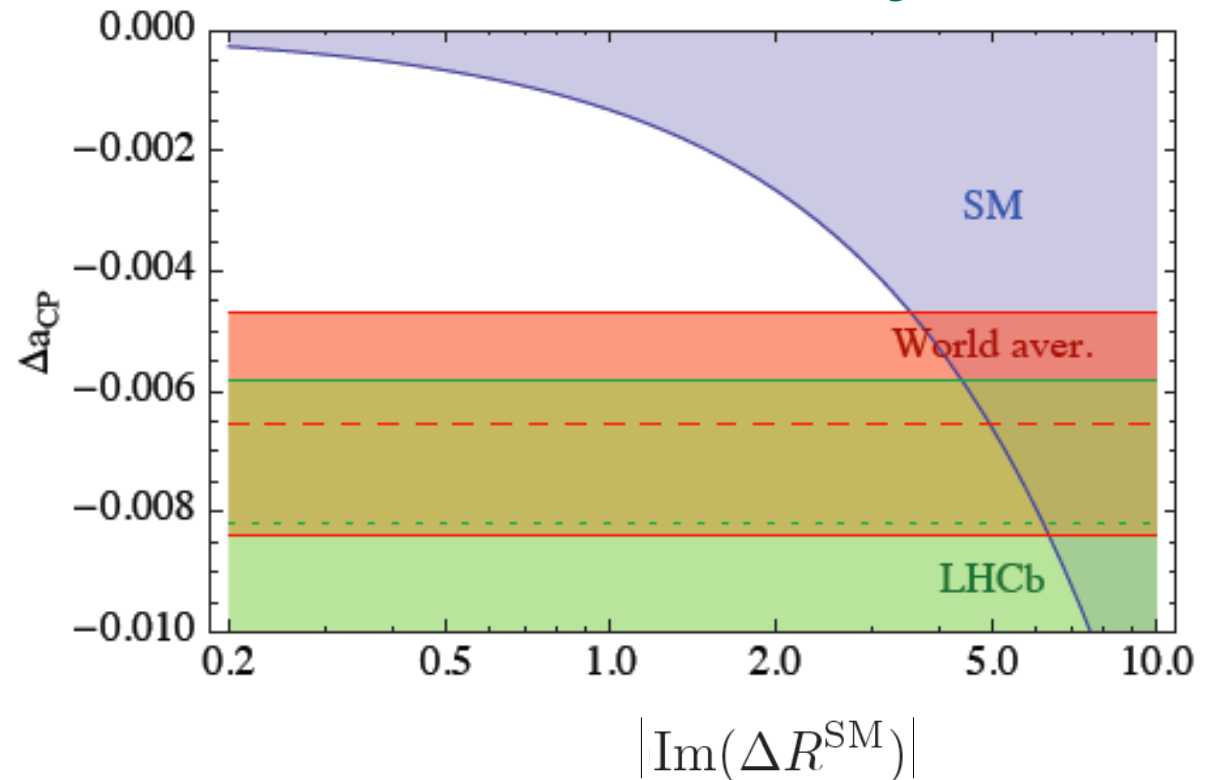
$$R_K^{\text{SM}} = \frac{A_K^b - A_K^d}{A_K^s - A_K^d}$$

tree-level

$$\Delta R^{\text{SM}} = R_K^{\text{SM}} + R_\pi^{\text{SM}}$$

In the SU(3) limit: $R_K^{\text{SM}} = R_\pi^{\text{SM}}$

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► A closer look to Δa_{CP} within the SM

In order to account for the observed asymmetry the penguin contractions need to be \sim **2-5 times** larger than the tree-level topologies.

This is not what expected if $m_c \gg \Lambda_{\text{QCD}}$, but is not surprising if we treat the charm as a light quark: enhancement of a_{CP} similar to the $\Delta I=1/2$ enhancement in kaon decays [*very similar operator structure* – Golden & Grinstein '89]

N.B.: the “penguin enhancement” has an opposite effect in K and D decays:

- In $K \rightarrow \pi\pi$ it enhances the leading A_0 ampl. \rightarrow **suppression of direct CPV**.
- In $D \rightarrow \text{KK}, \pi\pi$ it affects only the sub-leading terms with different CKM phase \rightarrow **enhancement of direct CPV**.

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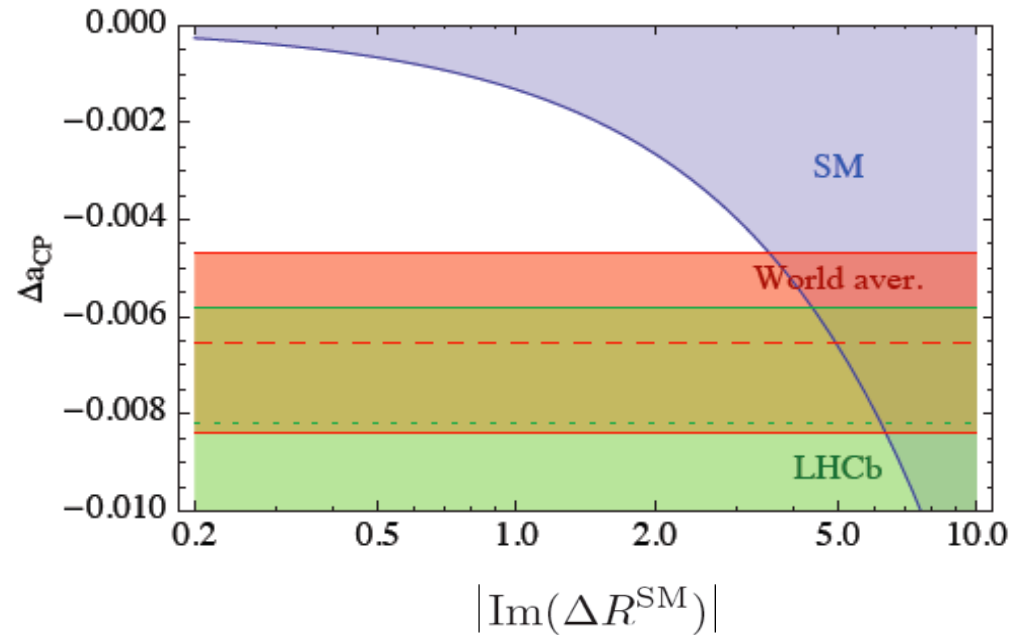
Arguments in favor of sizable corrections with respect to the leading terms in the Λ_{QCD}/m_c expansions presented by Bord et al. [Brod, Kagan & Zupan '11], based on the (non) suppression of $\Gamma(D \rightarrow K^0 K^0)$ and other CPC observables.

However...

- The structure of H_{eff} is such that is not possible to access the size of the penguin contractions from data on the rates only (Cabibbo suppression)
- The size of the sub-leading Λ_{QCD}/m_c terms so far identified is not sufficient to explain the central value of the CP asymmetry measured by LHCb.

► Considerations on possible NP contributions

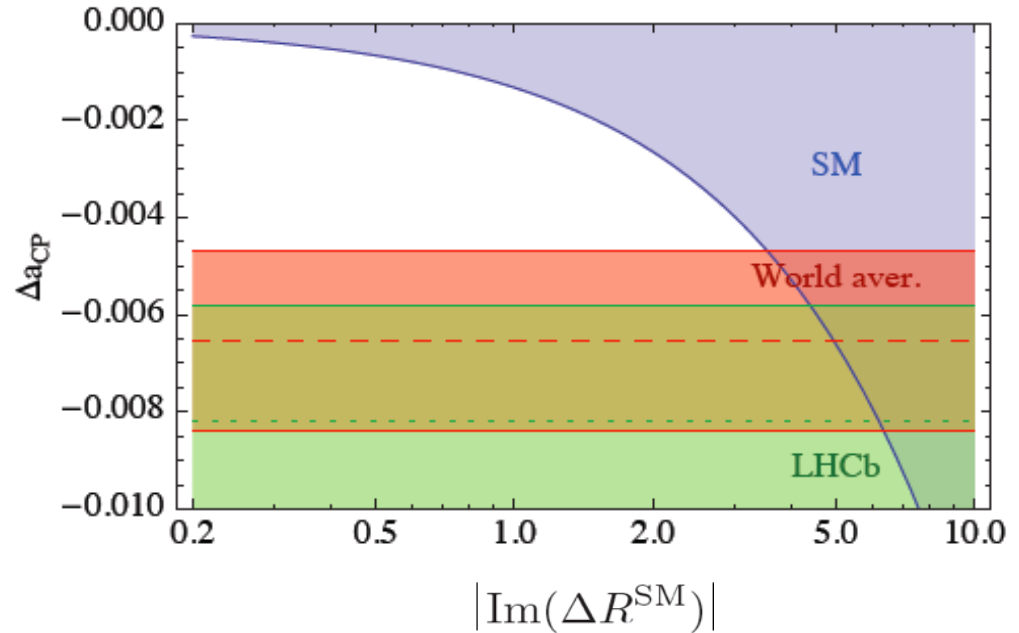
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► Considerations on possible NP contributions

Assuming that the SM does not saturates the exp. value, what can be said about NP?

$$\Delta a_{CP} \approx (0.13\%) \text{Im}(\Delta R^{\text{SM}}) + 9 \sum_i \text{Im}(C_i^{\text{NP}}) \text{Im}(\Delta R_i^{\text{NP}})$$



$$\mathcal{H}_{|\Delta c|=1}^{\text{eff-NP}} = \frac{G_F}{\sqrt{2}} \sum_i C_i^{\text{NP}} Q_i$$

Ratio of NP/tree-level SM amplitudes, factoring-out the NP Wilson coeff

I. General considerations on the NP scale:

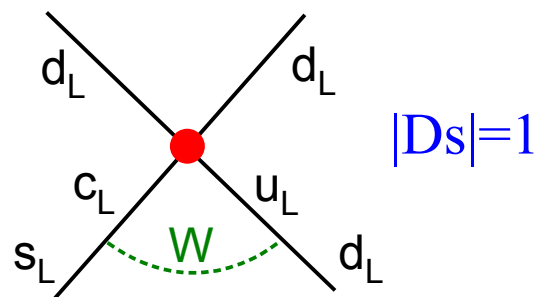
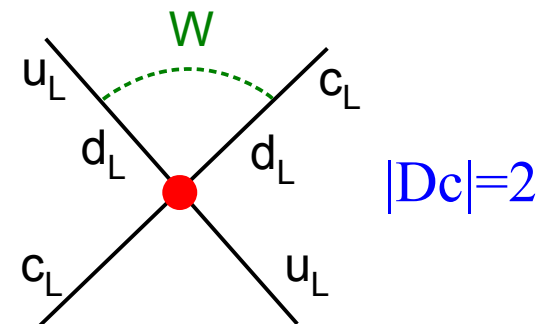
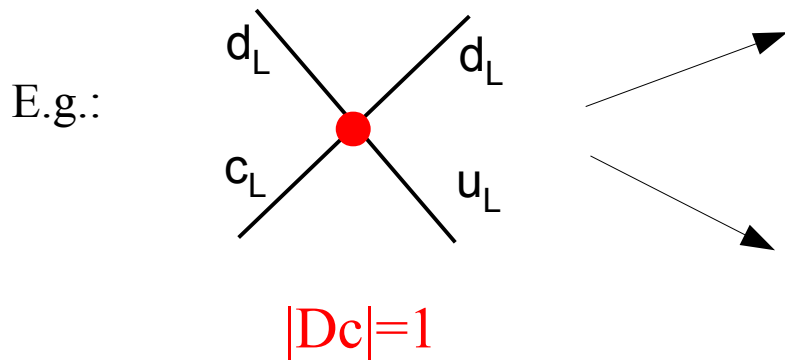
$$\text{Im}(C_i^{\text{NP}}) = \frac{v^2}{\Lambda^2} \quad \rightarrow \quad \frac{(10 \text{ TeV})^2}{\Lambda^2} = \frac{(0.61 \pm 0.17) - 0.12 \text{Im}(\Delta R^{\text{SM}})}{\text{Im}(\Delta R^{\text{NP}})}$$

► Considerations on possible NP contributions

Despite 10 TeV is a large scale, several of the possible $|\mathbf{Dc}|=1$ operators around or above the electroweak scale are constrained in a model-independent way by other low-energy constraints after “dressing” with SM weak interactions

$$T \{ \mathcal{H}_{|\Delta c|=1}^{\text{eff-NP}}(x) \mathcal{H}^{\text{SM}}(0) \} \begin{cases} \rightarrow |\mathbf{Dc}|=2 \text{ (D-Dbar mixing)} \\ \rightarrow \text{CPV in } |\mathbf{Ds}|=1 \text{ } (\epsilon'/\epsilon): \end{cases}$$

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Interesting exercise of EFT and RGE techniques

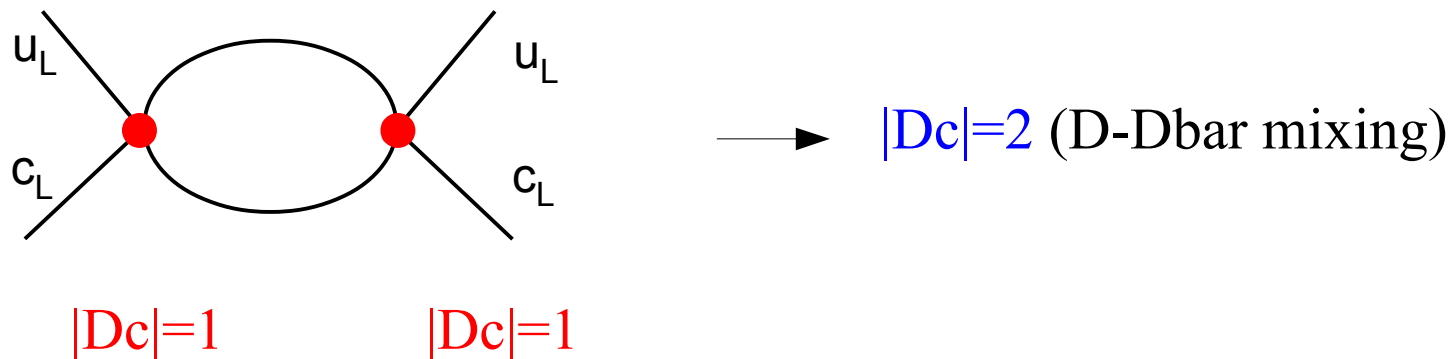
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Or considering the NP interaction twice:



► Considerations on possible NP contributions

Complete basis of $|\Delta c|=1$ operators:

$$\mathcal{H}_{|\Delta c|=1}^{\text{eff-NP}} = \frac{G_F}{\sqrt{2}} \sum_{i=1,2,5,6} \sum_q (C_i^q Q_i^q + C_i^{q'} Q_i^{q'}) + \frac{G_F}{\sqrt{2}} \sum_{i=7,8} (C_i Q_i + C_i' Q_i') + \text{H.c.}$$

$$Q_1^q = (\bar{u}q)_{V-A} (\bar{q}c)_{V-A}$$

$$Q_2^q = (\bar{u}_\alpha q_\beta)_{V-A} (\bar{q}_\beta c_\alpha)_{V-A} \quad 4 \times 5 \times 2 \text{ four-fermion}$$

$$Q_5^q = (\bar{u}c)_{V-A} (\bar{q}q)_{V+A}$$

$$Q_6^q = (\bar{u}_\alpha c_\beta)_{V-A} (\bar{q}_\beta q_\alpha)_{V+A}$$

$$Q_7 = -\frac{e}{8\pi^2} m_c \bar{u} \sigma_{\mu\nu} (1 + \gamma_5) F^{\mu\nu} c \quad 2 \times 2 \text{ dipoles}$$

$$Q_8 = -\frac{g_s}{8\pi^2} m_c \bar{u} \sigma_{\mu\nu} (1 + \gamma_5) T^a G_a^{\mu\nu} c$$

► Considerations on possible NP contributions

Complete basis of $|\Delta c|=1$ operators:

$$\mathcal{H}_{|\Delta c|=1}^{\text{eff-NP}} = \frac{G_F}{\sqrt{2}} \sum_{i=1,2,5,6} \sum_q (C_i^q Q_i^q + C_i^{q'} Q_i^{q'}) + \frac{G_F}{\sqrt{2}} \sum_{i=7,8} (C_i Q_i + C_i' Q_i') + \text{H.c.}$$

After “SM dressing” (W+gluons) we find that

$$Q_1^q = (\bar{u}q)_{V-A} (\bar{q}c)_{V-A}$$

$$Q_2^q = (\bar{u}_\alpha q_\beta)_{V-A} (\bar{q}_\beta c_\alpha)_{V-A}$$

$$Q_5^q = (\bar{u}c)_{V-A} (\bar{q}q)_{V+A}$$

$$Q_6^q = (\bar{u}_\alpha c_\beta)_{V-A} (\bar{q}_\beta q_\alpha)_{V+A}$$

- LL – ops. essentially ruled out
- LR – ops. borderline (potentially visible effects in D-meson mixing and/or ϵ'/ϵ)
- RR – ops. not constrained in a model-indep. way, but potentially very dangerous in D-meson mix. in explicit models (quadratic div. from NPxNP)

$$Q_7 = -\frac{e}{8\pi^2} m_c \bar{u} \sigma_{\mu\nu} (1 + \gamma_5) F^{\mu\nu} c$$

$$Q_8 = -\frac{g_s}{8\pi^2} m_c \bar{u} \sigma_{\mu\nu} (1 + \gamma_5) T^a G_a^{\mu\nu} c$$

- only the dipole ops. could “naturally” explain the effect. (weak constraints from edms)

► Conclusions

- The central value of Δa_{CP} measured by LHCb is larger than its natural expectation within the SM. However, given the present knowledge of the charm system, it cannot be considered (yet) a clear signal of physics beyond the SM.
- Hard to improve the SM predictions of Δa_{CP} using data on the rates (or the Lattice), while there is some hope to improve our understanding with the help of additional data on other CPV observables [*with the help of additional CPV observables it should be possible to construct observables that would unambiguously falsify the SM*].
- Assuming a sizable fraction of the measured Δa_{CP} is due to NP, only a few effective operators could explain the effect, due to the tight constraints from **D-Dbar mixing** and ϵ'/ϵ . The $|\text{Dc}=1|$ **chromo-magnetic operators** are the most natural candidates from an effective-theory point of view.