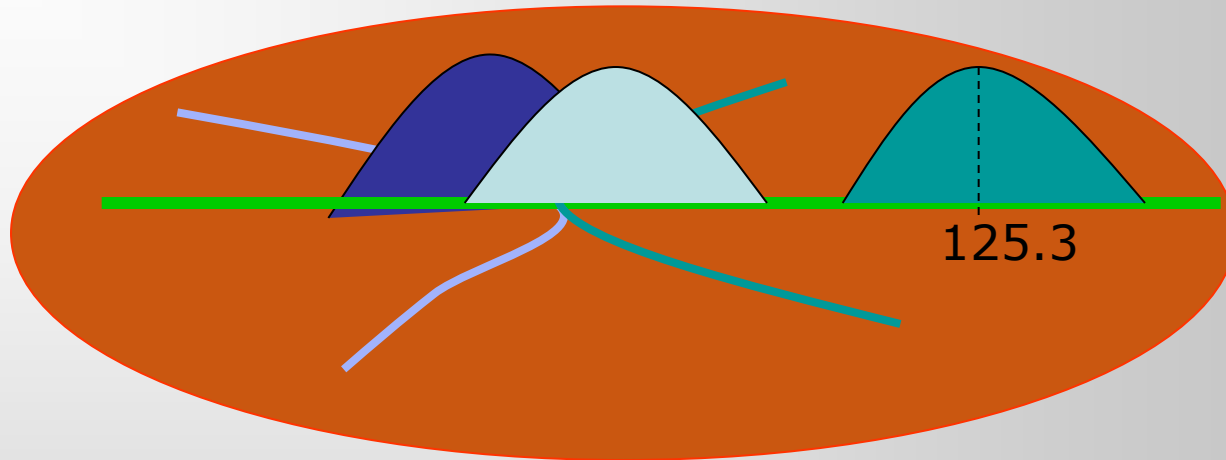


# Local wavefunctions in F-theory



Eran Palti

(Ecole Polytechnique, Paris)

String Phenomenology, CERN

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[arXiv:1203.4490](https://arxiv.org/abs/1203.4490)

[arXiv:1110.2206](https://arxiv.org/abs/1110.2206) with [Pablo Camara](#) and [Emilian Dudas](#)

## Local models motivation 1:

String theory currently lacks a top-down vacuum selection principle

We do not know the full landscape

An important aspect of string phenomenology is the sensitivity of low energy observables to the choice of vacuum (=assumptions)

Typically the more localised an interaction is the less sensitive and the more general the analysis

## Local models motivation 2:

Calculating observables in string compactifications is hard

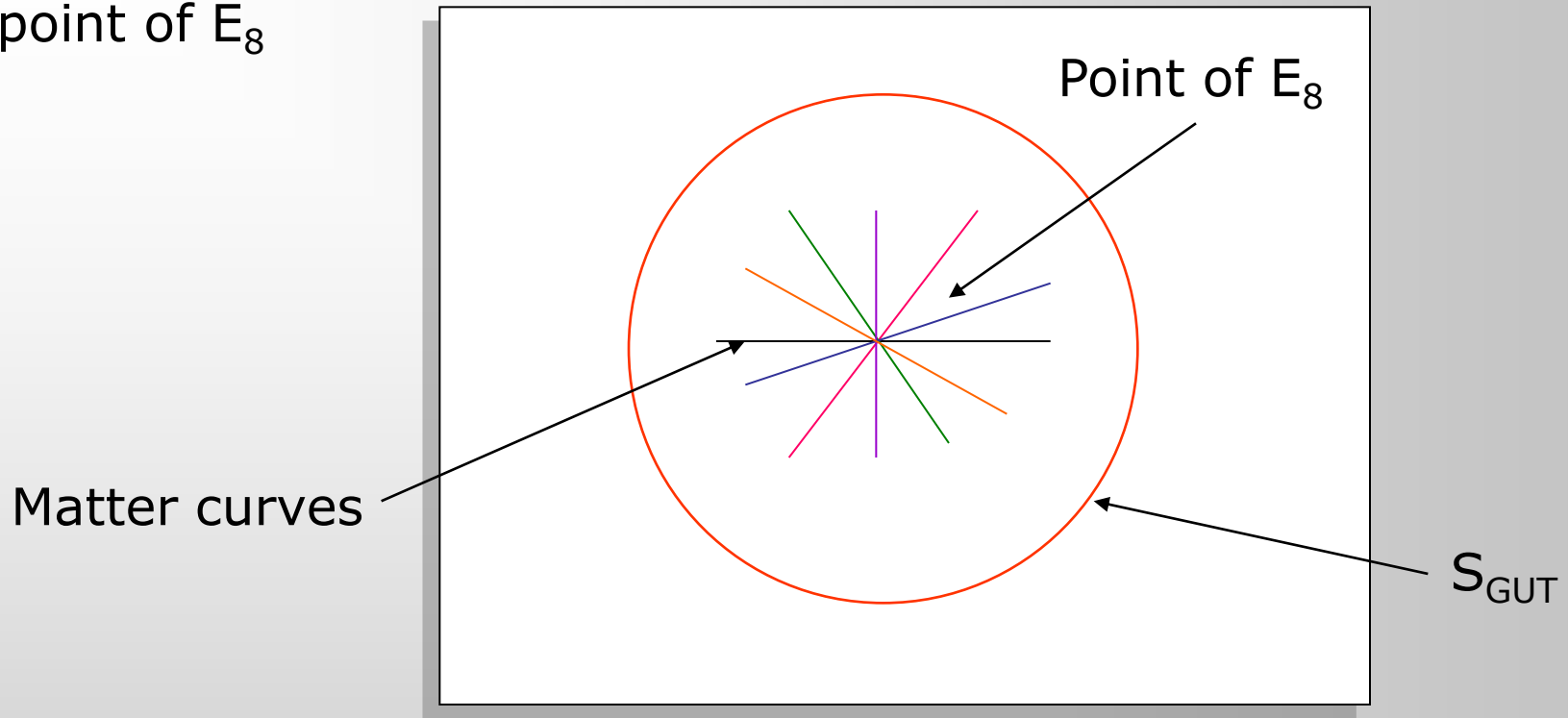
Typically there are many uncalculated “ $O(1)$ ” factors in constructions – and typically the phenomenology can change significantly if these turns out to be  $O(100)$ ...

Many calculations simplify considerably in a local approximation – and such an approximation is particularly good for particle physics operator coefficients

(Typically used to study Yukawa couplings in F-theory)

Heckman, Vafa, Cecotti, Ibanez, Font, Conlon, Dudas, EP, Aparicio, Marchesano, Martucci, Leontaris, King, Ross, Callaghan, ...

In F-theory(/Heterotic) the ultimate manifestation of locality is the point of  $E_8$



(some motivation from CKM matrix [Heckman, Tavanfar, Vafa](#) )

If such a point of  $E_8$  (or small deformation of) exists then there is a very rich local theory around it which allows us to study many phenomenological features explicitly and generally

Talk will be report on initial exploration of the idea of such a complete local theory and the calculation of operators within it

Current description of the theory on exceptional F-theory branes is through the IR limit as canonical 8-dimensional twisted super Yang-Mills (Gauge field + complex Higgs)

$\langle \varphi_H \rangle$       Spatially varying Higgs localises matter onto curves

$\langle F \rangle$       Background flux generates chirality

Matter fields arise as fluctuations of the 8D fields

$$\begin{aligned} \mathbf{A}_{\bar{m}} &= \{A_{\bar{m}}, \psi_{\bar{m}}, \mathcal{G}_{\bar{m}}\} , \\ \Phi_{mn} &= \{(\varphi_H)_{mn}, \chi_{mn}, \mathcal{H}_{mn}\} , \\ \mathbf{V} &= \{\eta, A_\mu, \mathcal{D}\} , \end{aligned}$$

$$\Psi_{8D} = \phi_{4D} \times \psi_{\text{int}}$$

Operator coefficients arise as overlaps of wavefunctions

$$\int_{4D \times S} \Psi^1 \Psi^2 \Psi^3 = \int_{4D} \phi^1 \phi^2 \phi^3 \left( \int_S \psi^1 \psi^2 \psi^3 \right)$$

Schematically the wavefunctions take the form

$$\psi \sim e^{-|\langle \phi_H \rangle|^2} e^{-\langle F \rangle}$$

Exponential localisation onto matter curves implies that it is possible to consider studying them on a local patch

Locally the most general (Abelian) Higgs and Flux backgrounds are

$$\begin{aligned} \langle \varphi_H \rangle &= M_K R m_i^a z_i Q_a dz_1 \wedge dz_2 + \dots, \\ \langle A \rangle &= -M_K \text{Im}(M_{ij}^a z_i d\bar{z}_j) Q_a + \dots, \end{aligned}$$

$$\begin{aligned} M_K &= \frac{M_*}{R_{\parallel}}. \\ R &\equiv R_{\parallel} R_{\perp}. \end{aligned}$$

Wavefunctions are solutions to the Dirac equation

$$\mathbb{D}^- \Psi = 0,$$

$$\mathbb{D}^{\pm} = \begin{pmatrix} 0 & D_1^{\pm} & D_2^{\pm} & D_3^{\pm} \\ -D_1^{\pm} & 0 & -D_3^{\mp} & D_2^{\mp} \\ -D_2^{\pm} & D_3^{\mp} & 0 & -D_1^{\mp} \\ -D_3^{\pm} & -D_2^{\mp} & D_1^{\mp} & 0 \end{pmatrix}, \quad \Psi = \begin{pmatrix} \eta \\ \psi_{\bar{1}} \\ \psi_{\bar{2}} \\ \chi \end{pmatrix},$$

Can solve for the most general local wavefunction

$$\varphi = f \left( -\hat{\xi}_{1,2} z_1 + \hat{\xi}_{1,1} z_2 \right) e^{-p_1 |z_1|^2 - p_2 |z_2|^2 + p_3 \bar{z}_1 z_2 + p_4 \bar{z}_2 z_1} ,$$

$$\begin{aligned} p_1 &= \frac{1}{2} M_{11} - R m_1 \left( \frac{\hat{\xi}_{3,2}^* \hat{\xi}_{2,3}^* - \hat{\xi}_{2,2}^* \hat{\xi}_{3,3}^*}{\hat{\xi}_{3,1}^* \hat{\xi}_{2,2}^* - \hat{\xi}_{2,1}^* \hat{\xi}_{3,2}^*} \right) , \\ p_2 &= \frac{1}{2} M_{22} + R m_2 \left( \frac{\hat{\xi}_{3,1}^* \hat{\xi}_{2,3}^* - \hat{\xi}_{2,1}^* \hat{\xi}_{3,3}^*}{\hat{\xi}_{3,1}^* \hat{\xi}_{2,2}^* - \hat{\xi}_{2,1}^* \hat{\xi}_{3,2}^*} \right) , \\ p_3 &= -\frac{1}{2} M_{21} + R m_2 \left( \frac{\hat{\xi}_{3,2}^* \hat{\xi}_{2,3}^* - \hat{\xi}_{2,2}^* \hat{\xi}_{3,3}^*}{\hat{\xi}_{3,1}^* \hat{\xi}_{2,2}^* - \hat{\xi}_{2,1}^* \hat{\xi}_{3,2}^*} \right) , \\ p_4 &= -\frac{1}{2} M_{12} - R m_1 \left( \frac{\hat{\xi}_{3,1}^* \hat{\xi}_{2,3}^* - \hat{\xi}_{2,1}^* \hat{\xi}_{3,3}^*}{\hat{\xi}_{3,1}^* \hat{\xi}_{2,2}^* - \hat{\xi}_{2,1}^* \hat{\xi}_{3,2}^*} \right) . \end{aligned}$$

$$\xi_i = \begin{pmatrix} \frac{1}{2} (\bar{M}_{12} + M_{21}) \lambda_i + R^2 m_2 \bar{m}_1 \\ \lambda_i^2 - \frac{1}{2} (\bar{M}_{11} + M_{11}) \lambda_i - R^2 |m_1|^2 \\ R m_2 \lambda_i + \frac{1}{2} R m_1 (\bar{M}_{12} + M_{21}) - \frac{1}{2} R m_2 (\bar{M}_{11} + M_{11}) \end{pmatrix} ,$$

$$\begin{aligned} -\lambda_i^3 &+ \lambda_i \left( R^2 |m_1|^2 + R^2 |m_2|^2 + \frac{1}{4} |M_{12} + \bar{M}_{21}|^2 + \frac{1}{4} |M_{11} + \bar{M}_{11}|^2 \right) \\ &+ R^2 \operatorname{Re} \left[ (M_{12} + \bar{M}_{21}) \bar{m}_1 m_2 + M_{11} (|m_1|^2 - |m_2|^2) \right] = 0 . \end{aligned}$$

## Wavefunction profiles along curve and a local notion of chirality

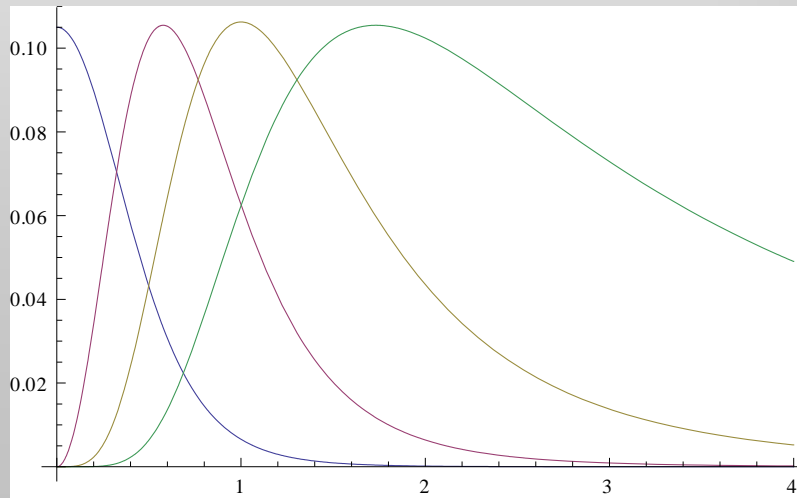
Example matter curve  $P^1$ , wavefunctions basis takes the form

$$\begin{aligned}\psi_- &= f_-(\bar{z}) (1 + z\bar{z})^{\frac{1-M}{2}}, \\ \psi_+ &= f_+(z) (1 + z\bar{z})^{\frac{1+M}{2}}.\end{aligned}$$

$$\chi_{\text{global}} = -\frac{1}{2\pi} \int_{\mathcal{C}} F = M.$$

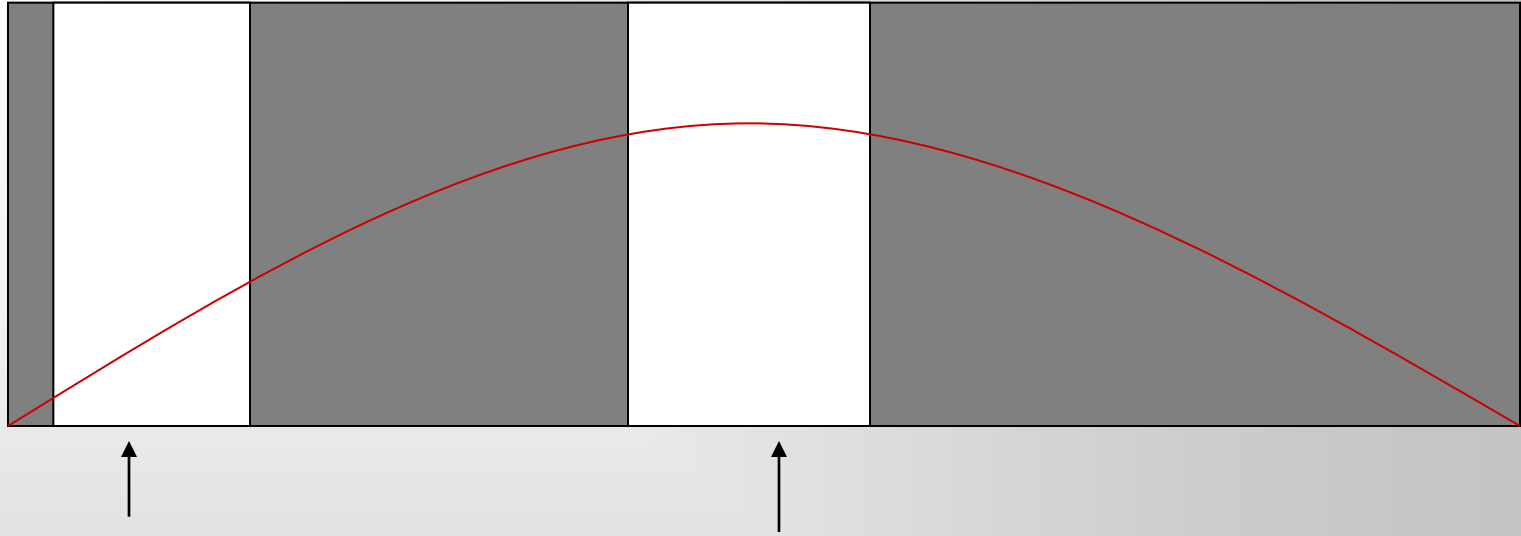
Conlon, Maharana, Quevedo

Chirality manifests in the unitary frame as the number of normalisable holomorphic polynomial prefactors





Interested in picture around a patch inside the curve where the enhancement point is



Case I: No turning point – mode is `global`

Case II: Turning point – mode is `local`

Type of mode is fixed by boundary condition and orthonormality – can not be fixed in the local theory due to arbitrary holomorphic prefactor

$$z_1 \rightarrow z_1 + m_2 a, \quad z_2 \rightarrow z_2 - m_1 a,$$

$$\varphi \rightarrow \varphi C_{wl}(a) \varphi_{wl}(a),$$

$$\varphi_{wl} = e^{z_1 c_1 + z_2 c_2},$$

Whether a mode should be local or global is constrained by phenomenology, since operator coefficient suppressed for global modes

Expect 3<sup>rd</sup> generation + Higgs for example to be local modes to give O(1) Yukawa coupling

(Additional motivation for the point of E<sub>8</sub>)

For `local` modes chirality is related to the local form of the flux

$$\chi_{\text{local}}(q^a) = -\text{Re} \left[ (M_{12} + \bar{M}_{21}) \bar{m}_1 m_2 + M_{11} (|m_1|^2 - |m_2|^2) \right] .$$

Gives strong constraints on the fluxes for a point of E<sub>8</sub> - many states and few fluxes – local spectrum model building!

For example: not find models where all matter is local and generations distributed on different curves

## Massive modes

Massive mode wavefunctions can also be studied locally – they are created from massless wavefunctions by raising operators

$$\tilde{D}_p^- = \sum_j \hat{\xi}_{p,j} D_j^- , \quad \tilde{D}_p^+ = \sum_j \hat{\xi}_{p,j}^* D_j^+ ,$$

$$[\tilde{D}_p^+ , \tilde{D}_q^-] = -\delta_{pq} \lambda_p .$$

$$\Psi_{P,(n,m,l)} = \frac{(i\tilde{D}_1^+)^n (i\tilde{D}_2^-)^m (i\tilde{D}_3^-)^l}{\sqrt{m!n!l!} (-\lambda_1)^{n/2} \lambda_2^{m/2} \lambda_3^{l/2}} \Psi_P ,$$

Like massless modes Massive modes come in N=4 groupings

Landau-Levels arise from flux and are N=1 like

$$M_{\mathbf{R}}^2 = M_K^2 \{-\lambda_1, 0, \lambda_2 - \lambda_1, \lambda_3 - \lambda_1\} ,$$
$$M_{\bar{\mathbf{R}}}^2 = M_K^2 \{-\lambda_1, -2\lambda_1, \lambda_3, \lambda_2\} .$$

KK modes are N=2 like and arise in the absence of flux

$$\lambda_3 \rightarrow 0$$

Coupling of massive modes to massless modes is cubic and is calculated through wavefunction overlaps

$$\int_S \psi_0^1 \psi_0^2 \psi_M^3$$

Massive modes couple to each other in separate subsectors

$$W_{ab}^M = i \int_S \text{Tr} \left[ \left( \Psi_a^{\bar{\mathbf{R}}} \right)^T \mathbb{D}^{-1} \Psi_b^{\mathbf{R}} \right]$$

$$W \supset i \left( \Phi_0^{\mathbf{R}} \right)^T \cdot \mathbf{M} \cdot \Phi_0^{\mathbf{R}},$$

$$\Phi_0^{\mathbf{R}} = \begin{pmatrix} \phi_{0,(0,0,0)}^{\mathbf{R}} \\ \phi_{2,(0,1,0)}^{\mathbf{R}} \\ \phi_{3,(0,0,1)}^{\mathbf{R}} \\ \phi_{1,(0,1,1)}^{\mathbf{R}} \end{pmatrix}, \quad \mathbf{M} = M_K \begin{pmatrix} 0 & -(\lambda_2)^{\frac{1}{2}} & -(\lambda_3)^{\frac{1}{2}} & 0 \\ -(\lambda_2)^{\frac{1}{2}} & 0 & 0 & (\lambda_3)^{\frac{1}{2}} \\ -(\lambda_3)^{\frac{1}{2}} & 0 & 0 & -(\lambda_2)^{\frac{1}{2}} \\ 0 & (\lambda_3)^{\frac{1}{2}} & -(\lambda_2)^{\frac{1}{2}} & 0 \end{pmatrix}.$$

## An example model and calculation

$$E_8 \supset SU(5)_{GUT} \times SU(5)_{\perp} \rightarrow SU(5)_{GUT} \times U(1)^4$$

$$248 \rightarrow (24, 1) \oplus (1, 24) \oplus (10, 5) \oplus (\bar{5}, 10) \oplus (\bar{10}, \bar{5}) \oplus (5, \bar{10}) ,$$

First need to find fluxes and Higgs values compatible with chirality of the MSSM

Higgs	Value	Flux	Value
$m_1^1$	1	$m_2^4$	$-\frac{4}{5}$
$m_1^2$	$e^{2\pi i/5}$	$m_2^5$	-1
$m_1^3$	$e^{4\pi i/5}$	$M_{11}^1$	$-\frac{11}{5}$
$m_1^4$	$e^{6\pi i/5}$	$M_{11}^2$	$\frac{14}{5}$
$m_1^5$	$e^{8\pi i/5}$	$M_{11}^3$	$-\frac{11}{5}$
$m_2^1$	$-\frac{3}{5}$	$M_{11}^5$	$\frac{8}{5}$
$m_2^2$	$\frac{7}{5}$	$M_{11}^Y$	$-\frac{9}{5}$
$m_2^3$	1		

This implies that the following modes can be taken as local

Representation	$\chi_{\text{local}}$
$\left( (3, 2)_{1/6} \oplus (\bar{3}, 1)_{-2/3} \oplus (1, 1)_1 \right) \otimes \mathbf{q}_1$	+1
$\left( (3, 2)_{1/6} \oplus (\bar{3}, 1)_{-2/3} \oplus (1, 1)_1 \right) \otimes \mathbf{q}_2$	+1
$\left( (3, 2)_{1/6} \oplus (\bar{3}, 1)_{-2/3} \oplus (1, 1)_1 \right) \otimes \mathbf{q}_3$	0
$\left( (\bar{3}, 1)_{1/3} \oplus (1, 2)_{-1/2} \right) \otimes (-\mathbf{q}_1 - \mathbf{q}_3)$	-1

$\left( (\bar{3}, 1)_{1/3} \oplus (1, 2)_{-1/2} \right) \otimes (-\mathbf{q}_4 - \mathbf{q}_5)$	-1
$(3, 1)_{-1/3} \otimes (-\mathbf{q}_1 - \mathbf{q}_2)$	0
$(1, 2)_{+1/2} \otimes (-\mathbf{q}_1 - \mathbf{q}_2)$	+1
$(3, 1)_{-1/3} \otimes (-\mathbf{q}_2 - \mathbf{q}_3)$	0
$(1, 2)_{+1/2} \otimes (-\mathbf{q}_2 - \mathbf{q}_3)$	-1

Example calculation of dimension 5 proton decay in presence of Pecci-Quinn U(1)

$$W \supset \hat{Y}_u T_u^{KKK} Q_1 Q_2 + \hat{Y}_d T_d^{KKK} Q_1 L_1 + \hat{Y}_X X_1 \bar{T}_u^{KKK} \bar{T}_d^{KKK} ,$$

$$W \supset \frac{\hat{Y}_u \hat{Y}_d \hat{Y}_X}{M_u M_d} X_1 Q_1 Q_2 Q_1 L_1 .$$

Interested in relation to exotics mass

$$W \supset Y_{X_1 E_1 E_2} X_1 E_1 E_2 .$$

The wavefunctions are determined by the flux and Higgs, after...

$$\begin{aligned} \hat{Y}_u &\simeq 5 \times 10^{-4} , & \hat{Y}_d &\simeq 2 \times 10^{-4} , \\ \hat{Y}_X &\simeq 3 \times 10^{-4} , & Y_{X_1 E_1 E_2} &\simeq 5 \times 10^{-3} , \end{aligned}$$

$$\frac{\hat{Y}_u \hat{Y}_d \hat{Y}_X}{Y_{X_1 E_1 E_2}} \simeq 10^{-8} ,$$

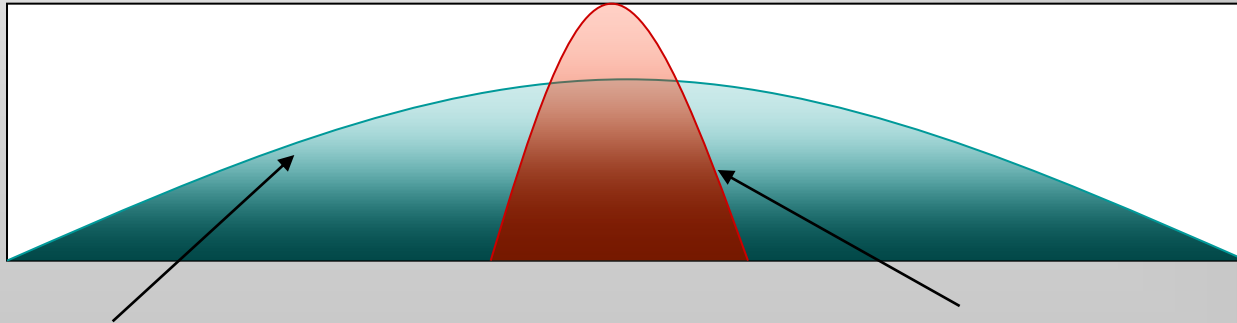
But in the model also find small Yukawa couplings

$$Y_{H_D Q_1 D_1} Y_{H_U Q_1 U_2} \simeq 10^{-5} .$$

Still more suppression than would expect from 4D field theory...

General issue:

It is difficult to generate  $O(1)$  couplings because wavefunctions are not localised strongly enough by the flux



Localised by flux

Localised by Higgs

Normalisation integral dominates overlap integral – effective suppression by modular weight

## General issue:

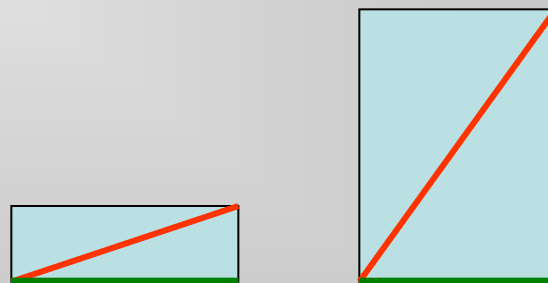
The effective theory is generally not compatible with the decoupling limit!

To keep higher derivative operators under control require

$$\frac{M_{ij}}{R_{\parallel}^2} \ll 1, \quad \frac{m_i R_{\perp}}{R_{\parallel}} \ll 1.$$

$$R_{\perp} \sim \left( \frac{M_{\text{Planck}}}{M_*} \right)^p$$

Torodial intuition: large intersection angles



Although the holomorphic sector is unaffected the Kahler potential receives large corrections

Must be difference between local normal scale and global one



## Summary

Local models around enhanced symmetries (most complete being based on point of  $E_8$ ) are an interesting set of constructions in F-theory(/Heterotic)

They offer, potentially, highly calculable, general, and predictive theories with few input parameters

Toy models show that many coefficients that by symmetries alone would be of order 1 can be much smaller/larger – may shed new light on some longstanding phenomenological issues

Still some way to go for realistic models, future difficulties likely to be related to differential rather than algebraic geometry

## Predictivity

In principle every coupling in the theory can be calculated by a wavefunction overlap integral which receives the bulk of its contribution from a small local patch

Only a few local parameters that are projections of a large set of global parameters

## Generality

Only assumes a point of  $E_8$  enhancement (or close to it):  
potentially applies to a large number of vacua

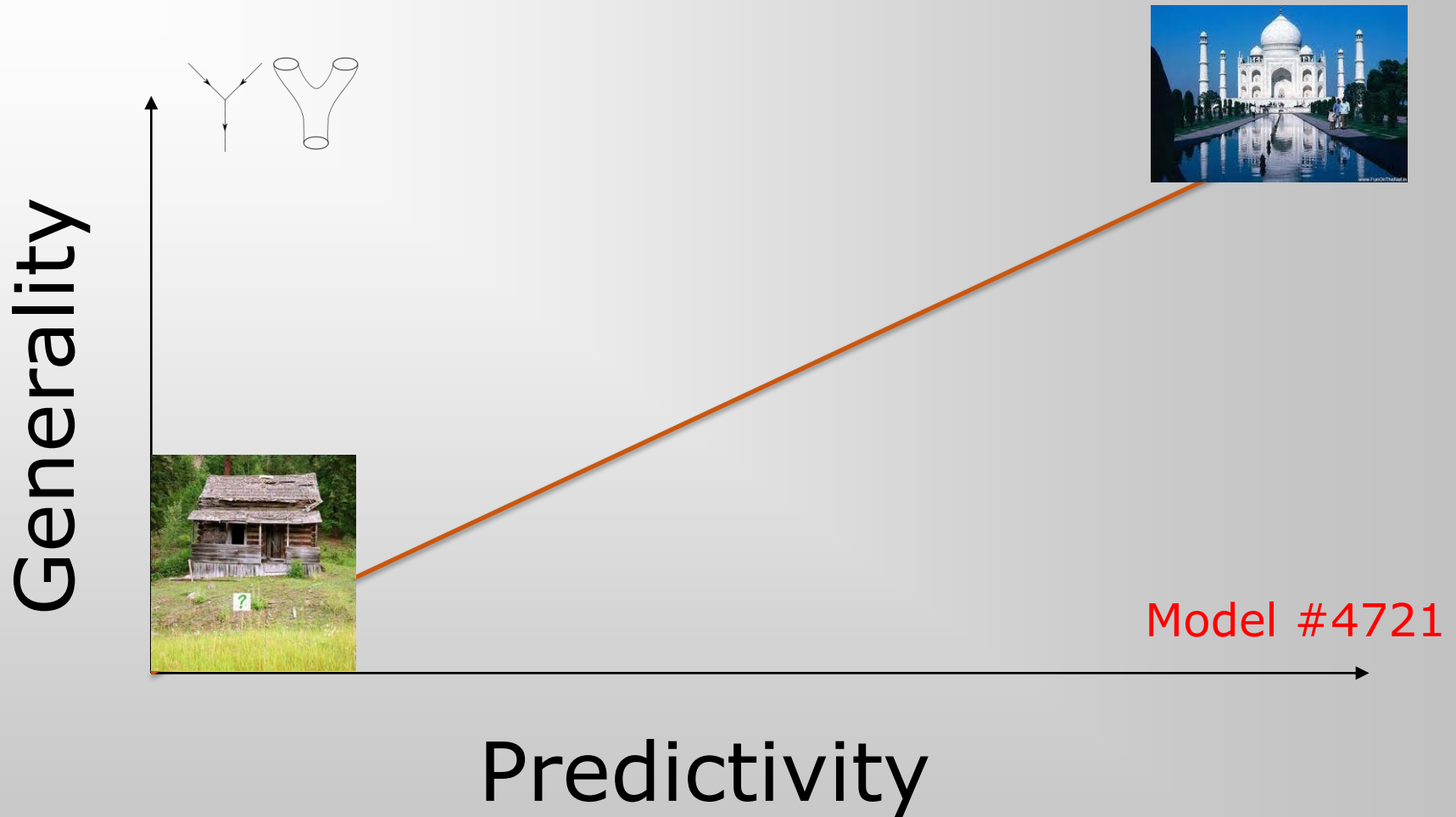
(some pheno motivation from CKM relating up and down sector)

Talk to outline some of the important concepts and constraints that arise in thinking about such a local theory

The road to a realistic local model is still long:

- Find parameter space within valid effective theory that recreates order 1 Yukawa coupling
- Incorporate monodromies: numerical methods?
- Incorporate non-commutative deformations?
- Understand contributions of leading corrections to metric, flux and Higgs from compact embedding (related to accuracy of normalisation integrals)

# Motivation: Two great challenges for string model building



Motivation: much of the difficulty relates to the geometry of the compact space - locality as a tool to make headway?

## Local models motivation 1:

String theory currently lacks a top-down vacuum selection principle

We do not even know the full landscape

An important aspect of string phenomenology is the sensitivity of low energy observables to the choice of vacuum (=assumptions)

Typically the more localised an interaction is the less sensitive

Cosmology

Susy breaking

Spectrum

Operators



Everything

Local open  
string sector

The amount of experimental constraints also increases with arrow