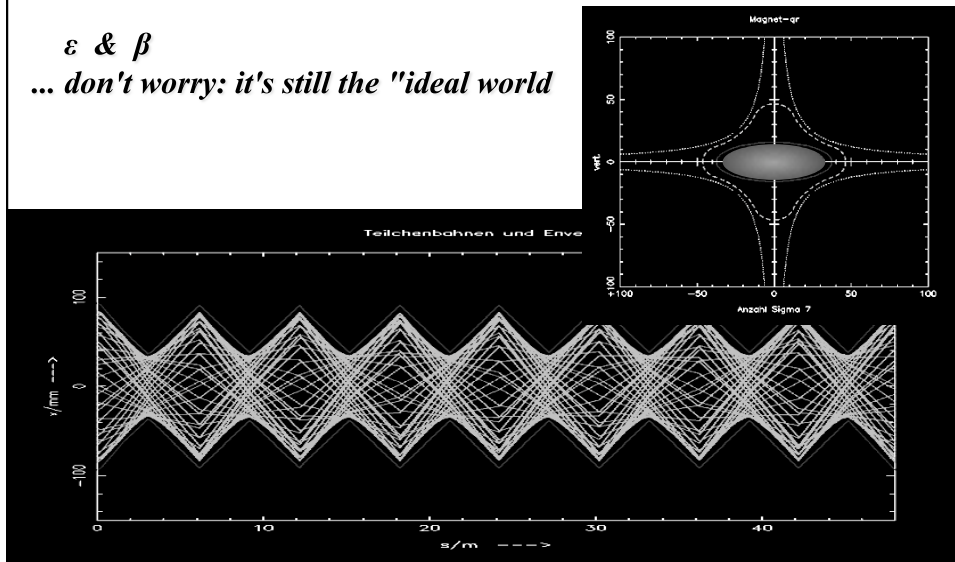


Introduction to Transverse Beam Optics

II.) Particle Trajectories, Beams & Bunch

ε & β
... don't worry: it's still the "ideal world"

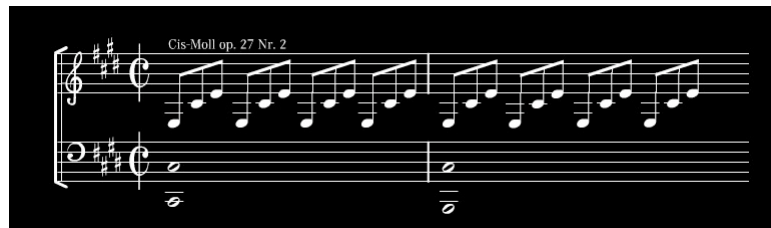


19th century:

Ludwig van Beethoven: „Mondschein Sonate“



Sonate Nr. 14 in cis-Moll (op. 27/II, 1801)



Astronomer Hill:

*differential equation for motions with periodic focusing properties
„Hill's equation“*



Example: particle motion with periodic coefficient

equation of motion: $x''(s) - k(s)x(s) = 0$

*restoring force \neq const,
 $k(s)$ = depending on the position s
 $k(s+L) = k(s)$, periodic function*



we expect a kind of quasi harmonic oscillation: amplitude & phase will depend on the position s in the ring.

6.) The Beta Function

General solution of Hill's equation:

(i) $x(s) = \sqrt{\epsilon} \sqrt{\beta(s)} \cdot \cos(\psi(s) + \phi)$

ϵ, ϕ = integration constants determined by initial conditions

$\beta(s)$ periodic function given by focusing properties of the lattice \leftrightarrow quadrupoles

$$\beta(s + L) = \beta(s)$$

Inserting (i) into the equation of motion ...

$$\psi(s) = \int_0^s \frac{ds}{\beta(s)}$$

$\Psi(s)$ = „phase advance“ of the oscillation between point „0“ and „s“ in the lattice.

For one complete revolution: number of oscillations per turn „Tune“

$$Q_y = \frac{1}{2\pi} \oint \frac{ds}{\beta(s)}$$

The Beta Function

Amplitude of a particle trajectory:

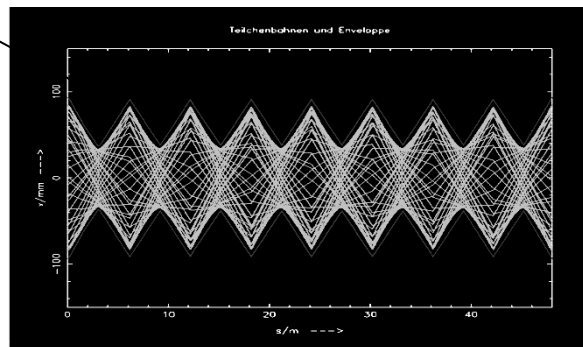
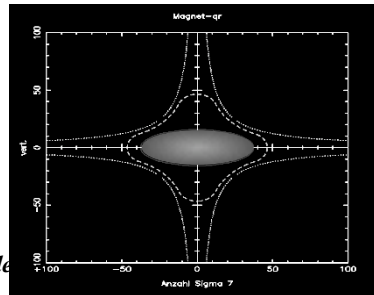
$$x(s) = \sqrt{\varepsilon} * \sqrt{\beta(s)} * \cos(\psi(s) + \varphi)$$

Maximum size of a particle amplitude

$$\hat{x}(s) = \sqrt{\varepsilon} \sqrt{\beta(s)}$$

β determines the beam size
(... the envelope of all particle trajectories at a given position "s" in the storage ring.

It reflects the periodicity of the magnet structure.



7.) Beam Emittance and Phase Space Ellipse

general solution of Hill equation

$$\begin{cases} (1) & x(s) = \sqrt{\varepsilon} \sqrt{\beta(s)} \cos(\psi(s) + \phi) \\ (2) & x'(s) = -\frac{\sqrt{\varepsilon}}{\sqrt{\beta(s)}} \{ \alpha(s) \cos(\psi(s) + \phi) + \sin(\psi(s) + \phi) \} \end{cases}$$

from (1) we get

$$\cos(\psi(s) + \phi) = \frac{x(s)}{\sqrt{\varepsilon} \sqrt{\beta(s)}}$$

Insert into (2) and solve for ε

$$\alpha(s) = \frac{-1}{2} \beta'(s)$$

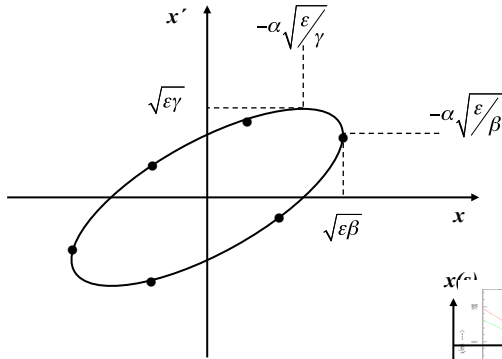
$$\gamma(s) = \frac{1 + \alpha(s)^2}{\beta(s)}$$

$$\varepsilon = \gamma(s) x^2(s) + 2\alpha(s)x(s)x'(s) + \beta(s) x'^2(s)$$

- * ε is a constant of the motion ... it is independent of „s“
- * parametric representation of an ellipse in the $x x'$ space
- * shape and orientation of ellipse are given by α, β, γ

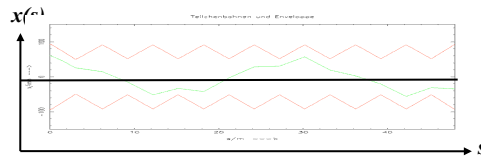
Beam Emittance and Phase Space Ellipse

$$\epsilon = \gamma(s) x^2(s) + 2\alpha(s)x(s)x'(s) + \beta(s) x'^2(s)$$



Liouville: in reasonable storage rings area in phase space is constant.

$$A = \pi \cdot \epsilon = \text{const}$$

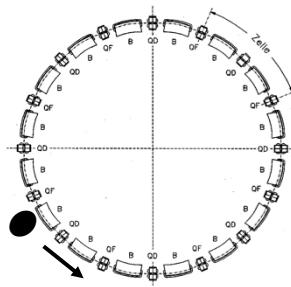
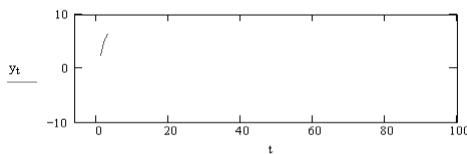
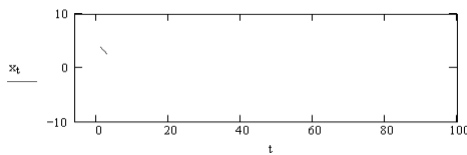


ϵ beam emittance = woosilycity of the particle ensemble, intrinsic beam parameter, cannot be changed by the foc. properties.
Scientifquely speaking: area covered in transverse x, x' phase space ... and it is constant !!!

Particle Tracking in a Storage Ring

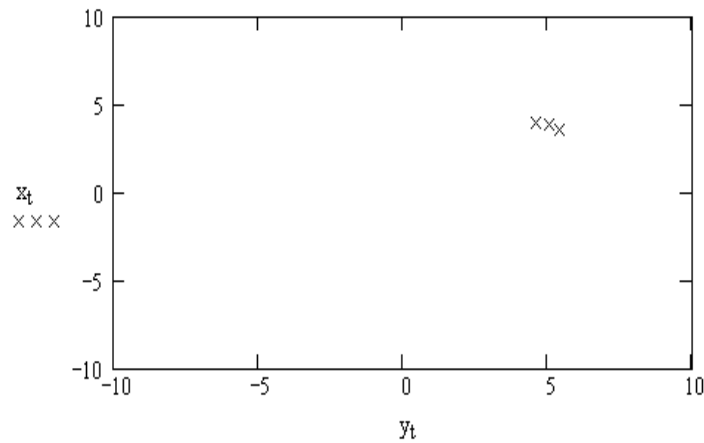
Calculate x, x' for each linear accelerator element according to matrix formalism

plot x, x' as a function of „s“



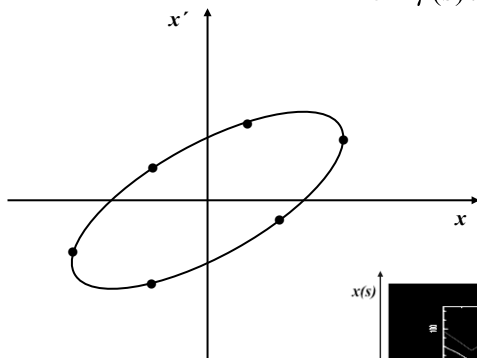
... and now the ellipse:

note for each turn x, x' at a given position „ s_1 “ and plot in the phase space diagram



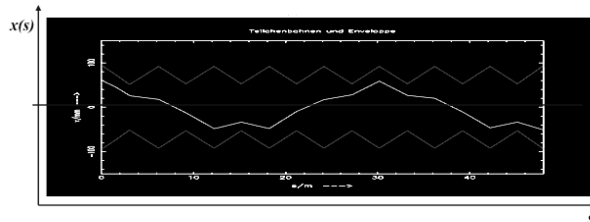
8.) Beam Emittance and Phase Space Ellipse

$$\varepsilon = \gamma(s) x^2(s) + 2\alpha(s)x(s)x'(s) + \beta(s) x'^2(s)$$



Liouville: in reasonable storage rings area in phase space is constant.

$$A = \pi^* \varepsilon = \text{const}$$



ε beam emittance = woosilycity of the particle ensemble, intrinsic beam parameter, cannot be changed by the foc. properties.

Scientifiquely spoken: area covered in transverse x, x' phase space ... and it is constant !!!

Phase Space Ellipse

particle trajectory: $x(s) = \sqrt{\varepsilon} \sqrt{\beta(s)} \cos\{\psi(s) + \phi\}$

max. Amplitude: $\hat{x}(s) = \sqrt{\varepsilon\beta} \longrightarrow x' \text{ at that position ...?}$

... put $\hat{x}(s)$ into $\varepsilon = \gamma(s) x^2(s) + 2\alpha(s)x(s)x'(s) + \beta(s) x'^2(s)$ and solve for x'

$$\varepsilon = \gamma \cdot \varepsilon\beta + 2\alpha\sqrt{\varepsilon\beta} \cdot x' + \beta x'^2$$

$$\longrightarrow x' = -\alpha \cdot \sqrt{\varepsilon / \beta}$$

* A high β -function means a large beam size and a small beam divergence. !
... et vice versa !!!

* In the middle of a quadrupole $\beta = \text{maximum},$
 $\alpha = \text{zero}$ } $x' = 0$... and the ellipse is flat

Phase Space Ellipse

$$\varepsilon = \gamma(s) x^2(s) + 2\alpha(s)x(s)x'(s) + \beta(s) x'^2(s)$$

$$\alpha(s) = \frac{-1}{2} \beta'(s)$$

$$\gamma(s) = \frac{1 + \alpha(s)^2}{\beta(s)}$$

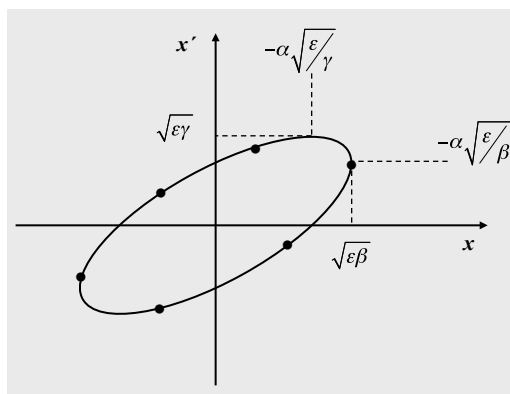
$$\longrightarrow \varepsilon = \frac{x^2}{\beta} + \frac{\alpha^2 x^2}{\beta} + 2\alpha \cdot x x' + \beta \cdot x'^2$$

... solve for x' $x'_{1,2} = \frac{-\alpha \cdot x \pm \sqrt{\varepsilon\beta - x^2}}{\beta}$

... and determine \hat{x}' via: $\frac{dx'}{dx} = 0$

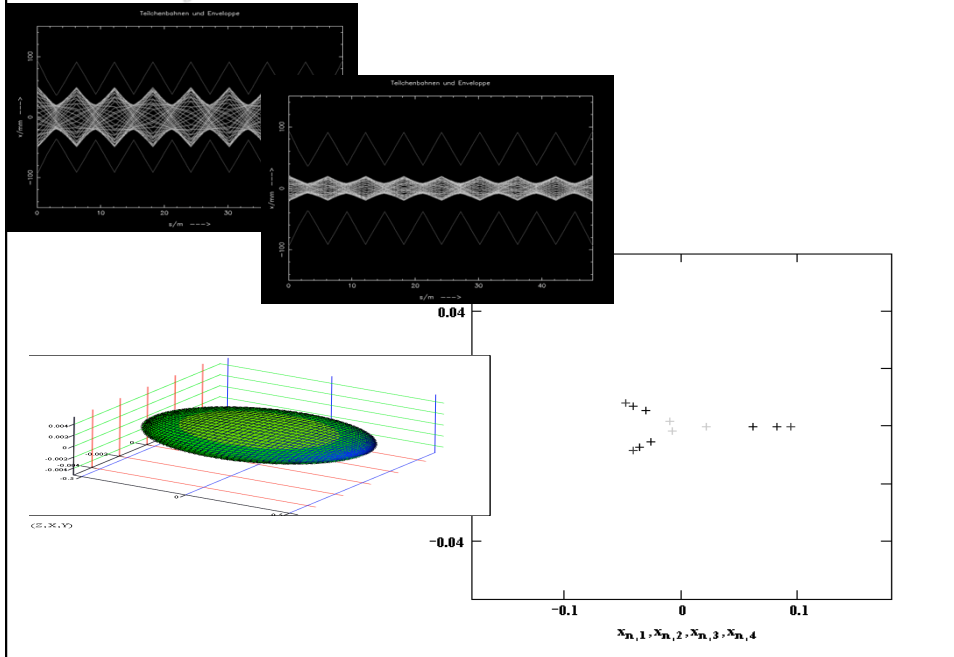
$$\longrightarrow \hat{x}' = \sqrt{\varepsilon\gamma}$$

$$\longrightarrow \hat{x} = \pm \alpha \sqrt{\varepsilon / \gamma}$$



shape and orientation of the phase space ellipse
depend on the Twiss parameters β α γ

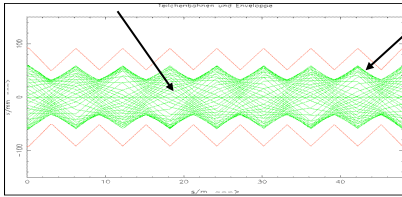
Emittance of the Particle Ensemble:



Emittance of the Particle Ensemble:

$$x(s) = \sqrt{\epsilon} \sqrt{\beta(s)} \cdot \cos(\Psi(s) + \phi)$$

$$\hat{x}(s) = \sqrt{\epsilon} \sqrt{\beta(s)}$$

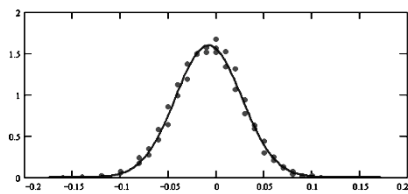


single particle trajectories, $N \approx 10^{11}$ per bunch

LHC: $\beta = 180 \text{ m}$

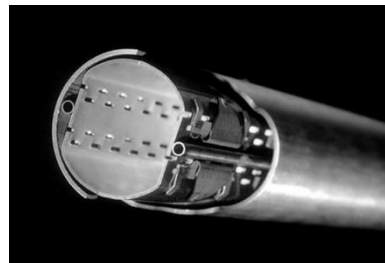
$\epsilon = 5 \cdot 10^{-10} \text{ m rad}$

$$\sigma = \sqrt{\epsilon \cdot \beta} = \sqrt{5 \cdot 10^{-10} \text{ m} \cdot 180 \text{ m}} = 0.3 \text{ mm}$$



Gauß Particle Distribution:
$$\rho(x) = \frac{N \cdot e}{\sqrt{2\pi}\sigma_x} \cdot e^{-\frac{x^2}{2\sigma_x^2}}$$

particle at distance 1σ from centre
 $\leftrightarrow 68.3 \%$ of all beam particles



aperture requirements: $r_{\theta} = 12 \cdot \sigma$

9.) Transfer Matrix M ... yes we had the topic already

$$\text{general solution of Hill's equation} \quad \left\{ \begin{array}{l} x(s) = \sqrt{\varepsilon} \sqrt{\beta(s)} \cos\{\psi(s) + \phi\} \\ x'(s) = \frac{-\sqrt{\varepsilon}}{\sqrt{\beta(s)}} [\alpha(s) \cos\{\psi(s) + \phi\} + \sin\{\psi(s) + \phi\}] \end{array} \right.$$

remember the trigonometrical gymnastics: $\sin(a + b) = \dots$ etc

$$\begin{aligned} x(s) &= \sqrt{\varepsilon} \sqrt{\beta_s} (\cos\psi_s \cos\phi - \sin\psi_s \sin\phi) \\ x'(s) &= \frac{-\sqrt{\varepsilon}}{\sqrt{\beta_s}} [\alpha_s \cos\psi_s \cos\phi - \alpha_s \sin\psi_s \sin\phi + \sin\psi_s \cos\phi + \cos\psi_s \sin\phi] \end{aligned}$$

starting at point $s(0) = s_0$, where we put $\Psi(0) = 0$

$$\left. \begin{array}{l} \cos\phi = \frac{x_0}{\sqrt{\varepsilon\beta_0}} \quad , \\ \sin\phi = -\frac{1}{\sqrt{\varepsilon}} (x'_0 \sqrt{\beta_0} + \frac{\alpha_0 x_0}{\sqrt{\beta_0}}) \end{array} \right\} \text{inserting above ...}$$

$$\begin{aligned} \underline{x(s)} &= \sqrt{\frac{\beta_s}{\beta_0}} \{ \cos\psi_s + \alpha_0 \sin\psi_s \} \underline{x_0} + \{ \sqrt{\beta_s \beta_0} \sin\psi_s \} \underline{x'_0} \\ \underline{x'(s)} &= \frac{1}{\sqrt{\beta_s \beta_0}} \{ (\alpha_0 - \alpha_s) \cos\psi_s - (1 + \alpha_0 \alpha_s) \sin\psi_s \} \underline{x_0} + \sqrt{\frac{\beta_0}{\beta_s}} \{ \cos\psi_s - \alpha_s \sin\psi_s \} \underline{x'_0} \end{aligned}$$

which can be expressed ... for convenience ... in matrix form $\begin{pmatrix} x \\ x' \end{pmatrix}_s = M \begin{pmatrix} x \\ x' \end{pmatrix}_0$

$$M = \begin{pmatrix} \sqrt{\frac{\beta_s}{\beta_0}} (\cos\psi_s + \alpha_0 \sin\psi_s) & \sqrt{\beta_s \beta_0} \sin\psi_s \\ \frac{(\alpha_0 - \alpha_s) \cos\psi_s - (1 + \alpha_0 \alpha_s) \sin\psi_s}{\sqrt{\beta_s \beta_0}} & \sqrt{\frac{\beta_0}{\beta_s}} (\cos\psi_s - \alpha_s \sin\psi_s) \end{pmatrix}$$

* we can calculate the single particle trajectories between two locations in the ring, if we know the $\alpha \beta \gamma$ at these positions.

* and nothing but the $\alpha \beta \gamma$ at these positions.

* ... !

* Äquivalenz der Matrizen

stability criterion proof for the disbelieving colleagues !!

$$\text{Matrix for 1 turn: } M = \begin{pmatrix} \cos\psi_{turn} + \alpha_s \sin\psi_{turn} & \beta_s \sin\psi_{turn} \\ -\gamma_s \sin\psi_{turn} & \cos\psi_{turn} - \alpha_s \sin\psi_{turn} \end{pmatrix} = \underbrace{\cos\psi}_{\mathbf{I}} \cdot \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \sin\psi \underbrace{\begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix}}_{\mathbf{J}}$$

Matrix for 2 turns:

$$M^2 = (\mathbf{I} \cos\psi_1 + \mathbf{J} \sin\psi_1)(\mathbf{I} \cos\psi_2 + \mathbf{J} \sin\psi_2)$$

$$= \mathbf{I}^2 \cos\psi_1 \cos\psi_2 + \mathbf{I}\mathbf{J} \cos\psi_1 \sin\psi_2 + \mathbf{J}\mathbf{I} \sin\psi_1 \cos\psi_2 + \mathbf{J}^2 \sin\psi_1 \sin\psi_2$$

now ...

$$\mathbf{I}^2 = \mathbf{I}$$

$$\left. \begin{aligned} \mathbf{I}\mathbf{J} &= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} * \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix} = \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix} \\ \mathbf{J}\mathbf{I} &= \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix} * \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix} \end{aligned} \right\} \mathbf{I}\mathbf{J} = \mathbf{J}\mathbf{I}$$

$$\mathbf{J}^2 = \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix} * \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix} = \begin{pmatrix} \alpha^2 - \gamma\beta & \alpha\beta - \beta\alpha \\ -\gamma\alpha + \alpha\gamma & \alpha^2 - \gamma\beta \end{pmatrix} = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} = -\mathbf{I}$$

$$M^2 = \mathbf{I} \cos(\psi_1 + \psi_2) + \mathbf{J} \sin(\psi_1 + \psi_2)$$

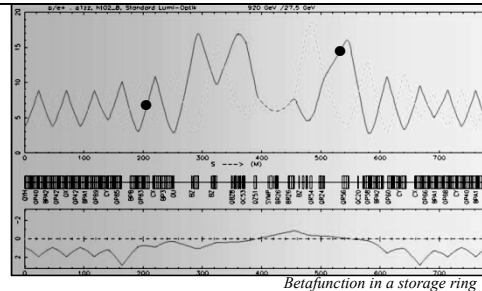
$$M^2 = \mathbf{I} \cos(2\psi) + \mathbf{J} \sin(2\psi)$$

11.) Transformation of α, β, γ

consider two positions in the storage ring: s_0, s

$$\begin{pmatrix} x \\ x' \end{pmatrix}_s = M * \begin{pmatrix} x \\ x' \end{pmatrix}_{s_0}$$

$$M = \begin{pmatrix} C & S \\ C' & S' \end{pmatrix}$$



since $\varepsilon = \text{const}$ (Liouville):

$$\varepsilon = \beta_s x'^2 + 2\alpha_s x x' + \gamma_s x^2$$

$$\varepsilon = \beta_0 x_0'^2 + 2\alpha_0 x_0 x_0' + \gamma_0 x_0^2$$

... remember $W = CS' - S C' = 1$

$$\left. \begin{aligned} \begin{pmatrix} x \\ x' \end{pmatrix}_0 &= M^{-1} * \begin{pmatrix} x \\ x' \end{pmatrix}_s \\ M^{-1} &= \begin{pmatrix} S' & -S \\ -C' & C \end{pmatrix} \end{aligned} \right\} \rightarrow \begin{aligned} x_0 &= S'x - Sx' \\ x_0' &= -C'x + Cx' \end{aligned} \quad \dots \text{inserting into } \varepsilon$$

$$\varepsilon = \beta_0 (Cx' - C'x)^2 + 2\alpha_0 (S'x - Sx')(Cx' - C'x) + \gamma_0 (S'x - Sx')^2$$

sort via x, x' and compare the coefficients to get

$$\beta(s) = C^2 \beta_0 - 2SC\alpha_0 + S^2 \gamma_0$$

$$\alpha(s) = -CC' \beta_0 + (SC' + S'C)\alpha_0 - SS' \gamma_0$$

$$\gamma(s) = C'^2 \beta_0 - 2S'C' \alpha_0 + S'^2 \gamma_0$$

in matrix notation:

$$\begin{pmatrix} \beta \\ \alpha \\ \gamma \end{pmatrix}_s = \begin{pmatrix} C^2 & -2SC & S^2 \\ -CC' & SC' + CS' & -SS' \\ C'^2 & -2S'C' & S'^2 \end{pmatrix} \cdot \begin{pmatrix} \beta_0 \\ \alpha_0 \\ \gamma_0 \end{pmatrix}$$



- 1.) this expression is important
- 2.) given the twiss parameters α, β, γ at any point in the lattice we can transform them and calculate their values at any other point in the ring.
- 3.) the transfer matrix is given by the focusing properties of the lattice elements, the elements of M are just those that we used to calculate single particle trajectories.
- 4.) go back to point 1.)

12.) Lattice Design:

„... how to build a storage ring“

$$B \rho = p / q$$

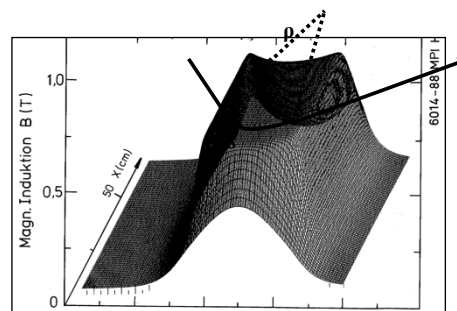
Circular Orbit: dipole magnets to define the geometry

$$\alpha = \frac{ds}{\rho} \approx \frac{dl}{\rho} = \frac{Bdl}{B\rho}$$

The angle run out in one revolution must be 2π , so

... for a full circle $\alpha = \frac{\int Bdl}{B\rho} = 2\pi \rightarrow \int Bdl = 2\pi \frac{p}{q}$... defines the integrated dipole field around the machine.

Nota bene: $\Delta \frac{B}{B} \approx 10^{-4}$ is usually required !!



field map of a storage ring dipole magnet

Example LHC:



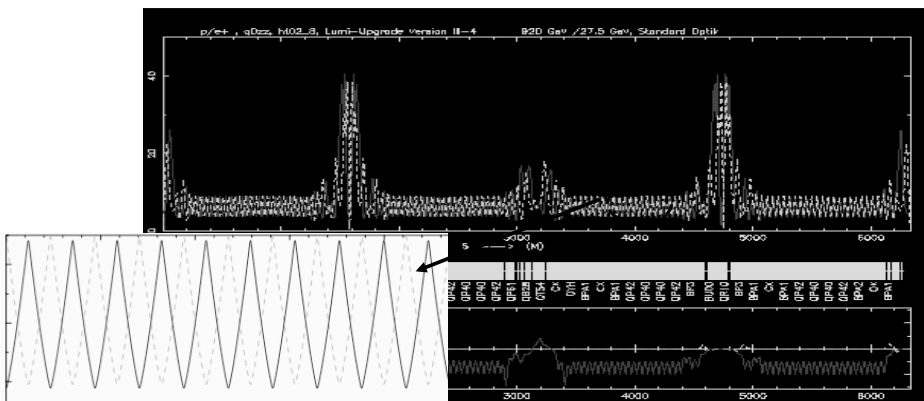
7000 GeV Proton storage ring
 dipole magnets $N = 1232$
 $l = 15 \text{ m}$
 $q = +1 e$

$$\int B dl \approx N l B = 2\pi p / e$$

$$B \approx \frac{2\pi \cdot 7000 \cdot 10^9 \text{ eV}}{1232 \cdot 15 \text{ m} \cdot 3 \cdot 10^8 \frac{\text{m}}{\text{s}} \cdot e} = \underline{\underline{8.3 \text{ Tesla}}}$$

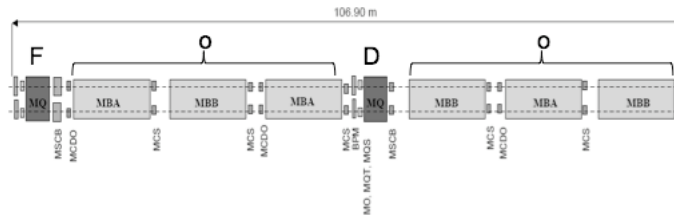
The FoDo-Lattice

A magnet structure consisting of focusing and defocusing quadrupole lenses in alternating order with nothing in between.
 (Nothing = elements that can be neglected on first sight: drift, bending magnets, RF structures ... and especially experiments...)



Starting point for the calculation: in the middle of a focusing quadrupole
 Phase advance per cell $\mu = 45^\circ$,
 → calculate the twiss parameters for a periodic solution

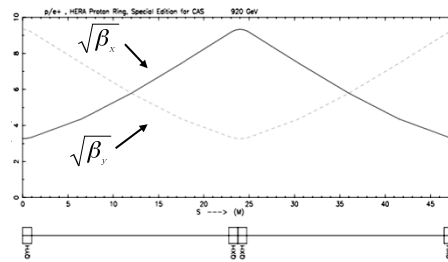
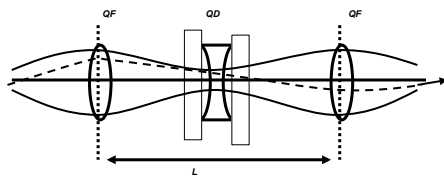
LHC: Lattice Design the ARC 90° FoDo in both planes



equipped with additional corrector coils

- MB*: main **MB**: main dipole
- MQ*: main **MQ**: main quadrupole
- MQT*: Trim quadrupole
- MQS*: Skew trim quadrupole
- MO*: Lattice octupole (Landau damping)
- MSCB*: Skew sextupole
- Orbit corrector dipoles
- MCS*: Spool piece sextupole
- MCDO*: Spool piece 8 / 10 pole
- BPM*: Beam position monitor + diagnostics

Periodic solution of a FoDo Cell



Output of the optics program:

Nr	Type	Length m	Strength 1/m ²	β_x m	α_x	ψ_x 1/2 π	β_y m	α_y	ψ_y 1/2 π
0	IP	0,000	0,000	11,611	0,000	0,000	5,295	0,000	0,000
1	QFH	0,250	-0,541	11,228	1,514	0,004	5,488	-0,781	0,007
2	QD	3,251	0,541	5,488	-0,781	0,070	11,228	1,514	0,066
3	QFH	6,002	-0,541	11,611	0,000	0,125	5,295	0,000	0,125
4	IP	6,002	0,000	11,611	0,000	0,125	5,295	0,000	0,125

$Q_x = 0,125 \quad Q_y = 0,125$

$0,125 * 2\pi = 45^\circ$

Can we understand, what the optics code is doing?

$$\text{matrices } M_{foc} = \begin{pmatrix} \cos(\sqrt{|K|}l_q) & \frac{1}{\sqrt{|K|}} \sin(\sqrt{|K|}l_q) \\ -\sqrt{|K|} \sin(\sqrt{|K|}l_q) & \cos(\sqrt{|K|}l_q) \end{pmatrix} \quad M_{drift} = \begin{pmatrix} 1 & l_d \\ 0 & 1 \end{pmatrix}$$

strength and length of the FoDo elements

$$K = +/- 0.54102 \text{ m}^{-2}$$

$$l_q = 0.5 \text{ m}$$

$$l_d = 2.5 \text{ m}$$

The matrix for the complete cell is obtained by multiplication of the element matrices

$$M_{FoDo} = M_{qf h} * M_{ld} * M_{qd} * M_{ld} * M_{qf}$$

Putting the numbers in and multiplying out ...

$$M_{FoDo} = \begin{pmatrix} 0.707 & 8.206 \\ -0.061 & 0.707 \end{pmatrix}$$

The transfer matrix for one period gives us all the information that we need !

Phase advance per cell

$$M(s) = \begin{pmatrix} \cos \psi + \alpha \sin \psi & \beta \sin \psi \\ -\gamma \sin \psi & \cos \psi - \alpha \sin \psi \end{pmatrix} \rightarrow \begin{aligned} \cos(\psi) &= \frac{1}{2} \text{Trace}(M) = 0.707 \\ \psi &= \text{arc cos}\left(\frac{1}{2} \text{Trace}(M)\right) = \underline{\underline{45^\circ}} \end{aligned}$$

hor β -function

$$\beta = \frac{M_{1,2}}{\sin \psi} = \underline{\underline{11.611 \text{ m}}}$$

hor α -function

$$\alpha = \frac{M_{1,1} - \cos \psi}{\sin \psi} = \underline{\underline{0}}$$

Resume':

transfer matrix in Twiss form $\begin{pmatrix} x \\ x' \end{pmatrix}_s = M \begin{pmatrix} x \\ x' \end{pmatrix}_0$

$$M = \begin{pmatrix} \sqrt{\frac{\beta_s}{\beta_0}} (\cos\psi_s + \alpha_0 \sin\psi_s) & \sqrt{\beta_s \beta_0} \sin\psi_s \\ \frac{(\alpha_0 - \alpha_s) \cos\psi_s - (1 + \alpha_0 \alpha_s) \sin\psi_s}{\sqrt{\beta_s \beta_0}} & \sqrt{\frac{\beta_0}{\beta_s}} (\cos\psi_s - \alpha_s \sin\psi_s) \end{pmatrix}$$

... and for the periodic case

$$M(s) = \begin{pmatrix} \cos\psi_{turn} + \alpha_s \sin\psi_{turn} & \beta_s \sin\psi_{turn} \\ -\gamma_s \sin\psi_{turn} & \cos\psi_{turn} - \alpha_s \sin\psi_{turn} \end{pmatrix}$$

beam emittance during acceleration $\varepsilon \propto \frac{1}{\beta\gamma}$

dispersion $D(s) = \frac{x_f(s)}{\Delta p/p}$