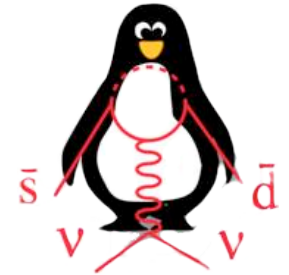




Study of the $K^+ \rightarrow e^+ \nu \gamma$ decay in the NA62 experiment at CERN



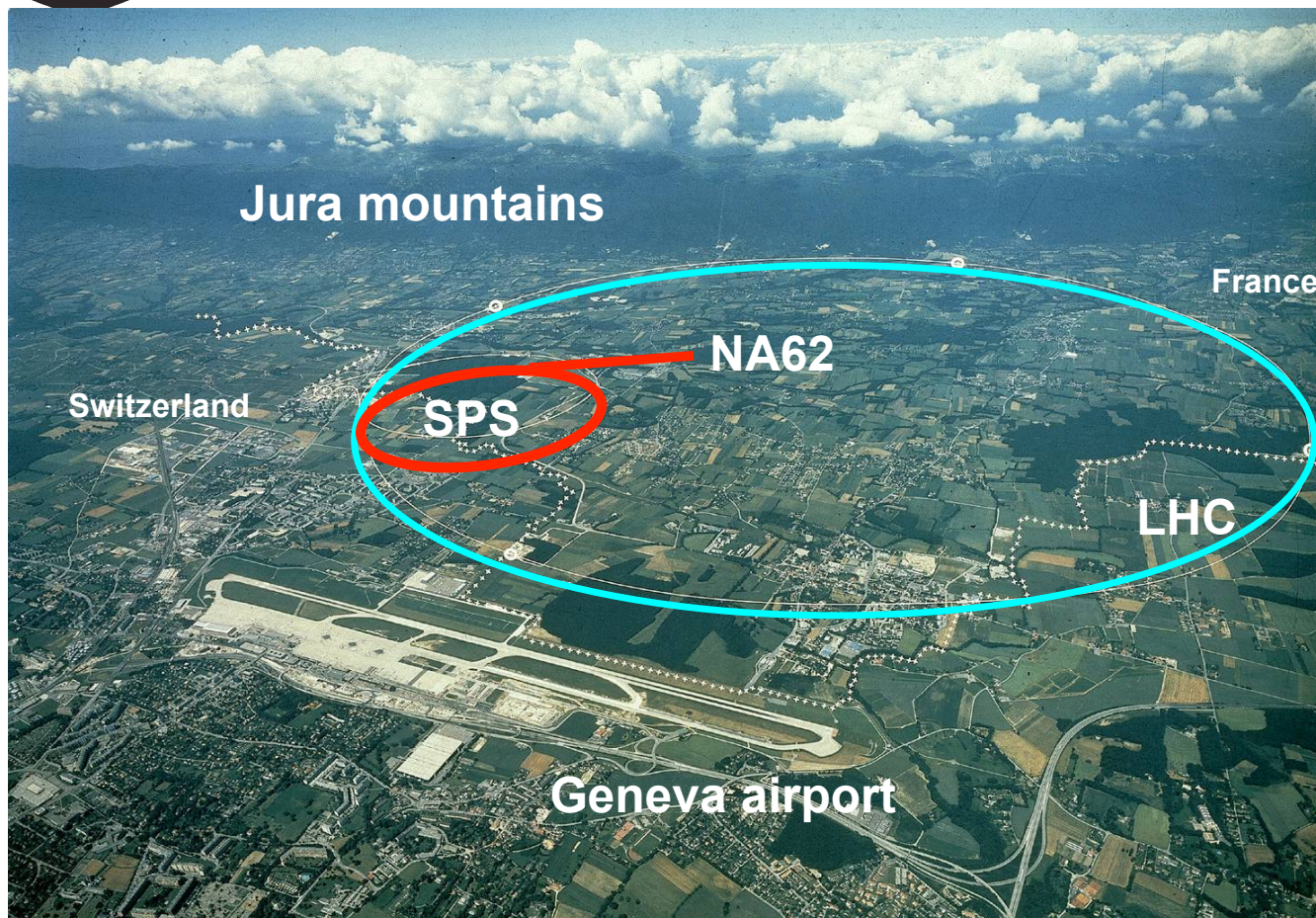
Angela Romano
University of Birmingham

IoP Joint HEPP and APP Meeting 2012
Queen Mary, University of London

- The NA62 collaboration at CERN SPS;
- Kaon leptonic radiative decay: $K^+ \rightarrow e^+ \nu \gamma$ ($K_{e2\gamma}$);
- Conclusions.



NA62 experiment at CERN SPS



NA62 (R_K phase)

2007: $K_{e2}^\pm / K_{\mu2}^\pm$

2008: $K_{e2}^\pm / K_{\mu2}^\pm$

(PLB 698 (2011) 105)

Data taking:

- 4 months in 2007
- 2 weeks in 2008:
for systematic studies

NA62 ($K^+ \rightarrow \pi^+ \nu \bar{\nu}$)

2008 - 2013:
design & construction

Start in 2014:
 $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ data taking

The NA62 Collaboration:

Birmingham, Bristol, CERN, Dubna, Glasgow, Fairfax, Ferrara, Florence, Frascati, IHEP Protvino, INR Moscow, Liverpool, Louvain, Mainz, Merced, Naples, Perugia, Pisa, Rome I, Rome II, Saclay, San Luis Potosí, SLAC, Sofia, TRIUMF, Turin.



NA62 (R_K phase) Detector



Primary SPS protons (400 GeV/c) $\sim 10^{12}$ per SPS spill;
Unseparated secondary beam (74 GeV/c): $\pi/K \sim 10$;
 $\sim 1\text{M}$ kaons per SPS spill;
 $\sim 18\%$ of kaons decaying in 114m long vacuum tank (decay volume);

Main subdetectors
from its predecessor NA48:

Liquid Krypton EM calorimeter (LKr):

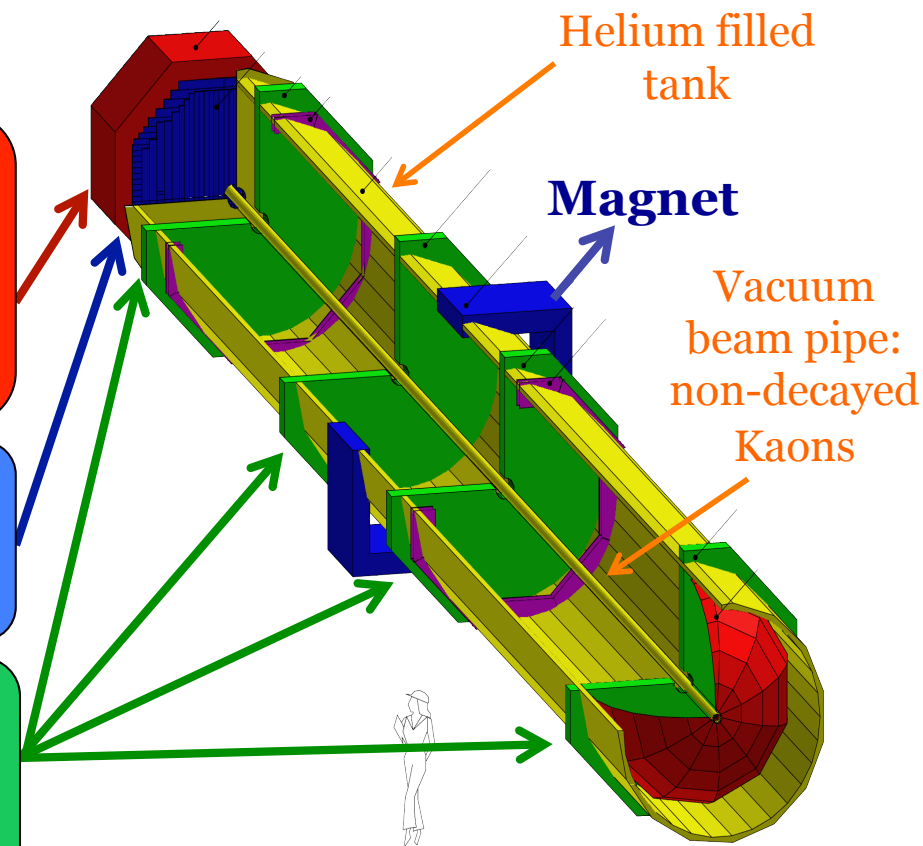
High granularity, quasi-homogeneous;
 $\sigma_E/E = 3.2\%/\sqrt{E} + 9\%/E + 0.42\%$ [GeV]
 $\sigma_x = \sigma_y = 4.2/\sqrt{E} + 0.6\text{mm}$ (1.5mm@10GeV)

Hodoscope:

fast trigger, precise time measurement (150ps)

Spectrometer (4 DCHs + Magnet):

4 views/DCH \Rightarrow high efficiency;
 $\sigma_p/p = 0.48\% + 0.009\% \cdot p$ [GeV/c]



decay volume is upstream \rightarrow



Kaon Leptonic Radiative decay:

$$***K^+ \rightarrow e^+ \nu \gamma (K^+_{e2\gamma})***$$



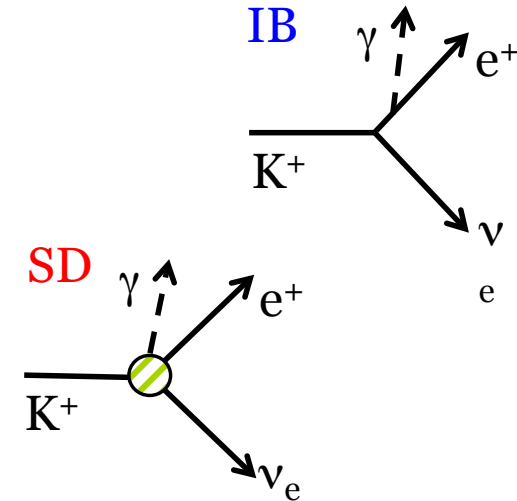
$K^+_{e2\gamma}$: Theory

Amplitude M of the decay $K^+_{e2\gamma}$ written in terms of three contributions:

$$M = M_{IB} + M_{SD} + M_{INT}$$

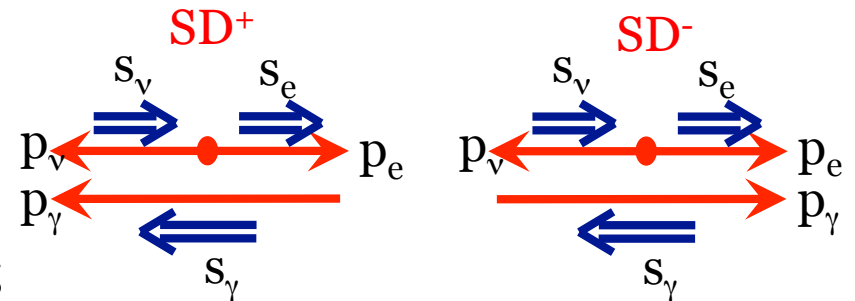
1. “Inner Bremsstrahlung” (IB) component

- Photon emitted by e^+ (external leg);
- Helicity suppressed ($e^+=R, \nu=L$ for $m_e \rightarrow 0$);
- α and **(V-A)** structure as in K_{e2} ;



2. “Structure Dependent” (SD^\pm) components

- Photon emitted at the K^+ decay vertex;
- Two non-interfering contributions: **positive** & **negative** γ helicity;
- No helicity suppressed;
- **(V \pm A)** structures contributing;



3. **Interference** (INT^\pm) components

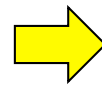
- IB & SD^\pm interfering contributions;
- $M_{INT} \propto (m_e/m_K)^2$;
- Negligible due to the small electron mass;



$K^+ e2\gamma (SD) : Kinematics$

$$\rho(x,y) = \frac{d^2\Gamma(K_{e2\gamma}^+)}{dxdy} = \underbrace{\rho_{IB}(x,y)}_{\text{suppressed helicity}} + \underbrace{\rho_{SD^\pm}(x,y)}_{\text{negligible}} + \underbrace{\rho_{INT^\pm}(x,y)}_{\text{negligible}}$$

$$x = \frac{2E^*(\gamma)}{M_K}; \quad y = \frac{2E^*(e)}{M_K};$$

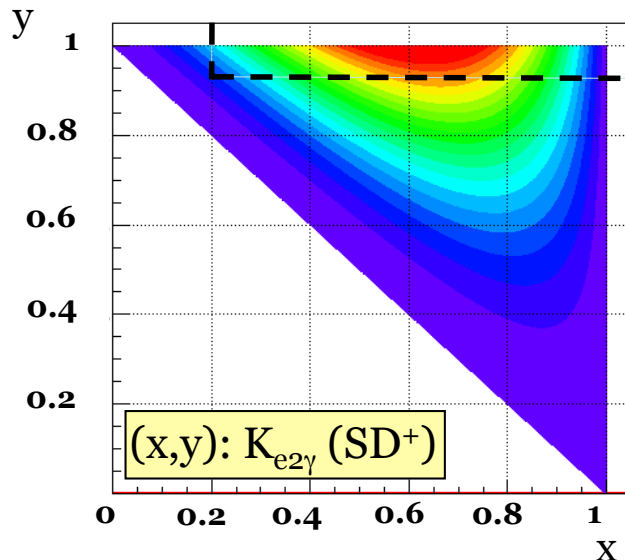


$E^*(e), E^*(\gamma)$: electron and photon energy in K^+ rest frame

$$\rho_{SD^\pm}(x,y) = \frac{G_F^2 |V_{us}|^2 \alpha}{64\pi^2} M_K^5 [(V+A)^2 f_{SD^+}(x,y) + (V-A)^2 f_{SD^-}(x,y)]$$

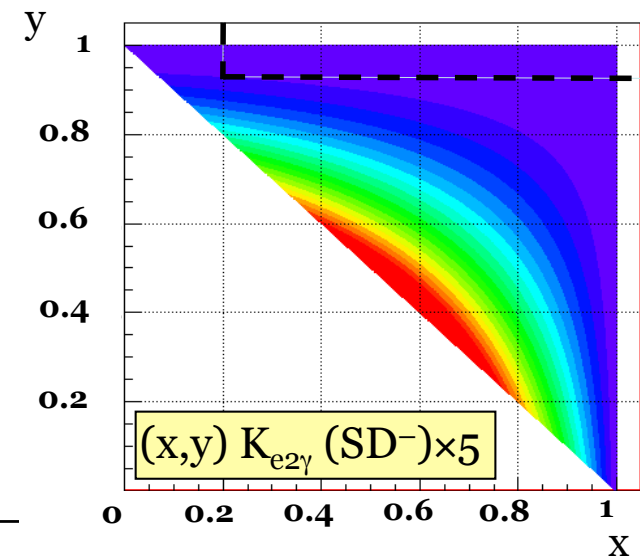
V, A: model-dependent vector and axial-vector effective couplings

SD^- component is smaller and beyond the kinematical region of the analysis



$$f_{SD^+}(x,y) = (1-x)(x+y-1)^2$$

$$f_{SD^-}(x,y) = (1-x)(1-y)^2$$





$K^+_{e2\gamma} (SD^+)$: *Motivation & Goal*

- SM Test to the Next-to-Leading Order (NLO) in chiral expansions (ChPT $O(p^4)$, ChPT $O(p^6)$) ; (NPB396 (1993) 81)
- Model independent extractions of theoretical **Form Factors** (FFs);
- **Independent measurement** with a different technique wrt the one performed by KLOE; (EPJC64 (2009) 627)
- “**Key**” ingredient for the estimate of the R_K background; (PLB B698 (2011) 105)

Analysis Goals:

1. Model-independent **Decay rate** $d^2\Gamma/dx dy$ & **Branching Ratio** $BR(K^+_{e2\gamma})$ in the kinematic region of interest;
2. Parameters of the model: **SD^+ Form Factors**; (precision test for ChPT $O(p^6)$);



$K^+_{e2\gamma} (SD^+) : \text{Experimental Status \& Background}$

KLOE result (PDG 2010):

- ✓ $N_{\text{evt}}=1484$ ($10\text{MeV} < E^*(\gamma) < 250\text{MeV}$, $p^*(e) > 200 \text{ MeV}/c$)
- ✓ $\Gamma(K_{e2\gamma})/\Gamma(K_{\mu2}) = (1.438 \pm 0.066_{\text{stat}} \pm 0.013_{\text{syst}}) \times 10^{-5}$
- ✓ $|V+A| = 0.125 \pm 0.007_{\text{stat}} \pm 0.001_{\text{syst}}$

KLOE measurement of V+A leads to
 $\text{BR}(SD^+, \text{full phase space}) = (1.37 \pm 0.06) \times 10^{-5}$
(EPJC64 (2009) 627)

Main **Backgrounds**:

- ✓ $K^+ \rightarrow e^+ \pi^0 \nu$ (K_{e3}) decay: $\Gamma(K_{e3})/\Gamma(K_{e2\gamma}) \sim 3000$;
 K_{e3} can mimic $K_{e2\gamma}$ decay if the positron is energetic and one photon from the $\pi^0 \rightarrow \gamma\gamma$ decay is undetected;
- ✓ $K^+ \rightarrow \pi^+ \pi^0$ ($K_{2\pi}$) decay: $\Gamma(K_{2\pi})/\Gamma(K_{e2\gamma}) \sim 12000$;
 $K_{2\pi}$ can mimic $K_{e2\gamma}$ decay if the pion is mis-ID as a positron ($\sim 10^{-3}$ probability) and one photon from the $\pi^0 \rightarrow \gamma\gamma$ decay is undetected;



$K^+ \rightarrow e^+ \nu \gamma$ (SD^+)

Analysis Strategy



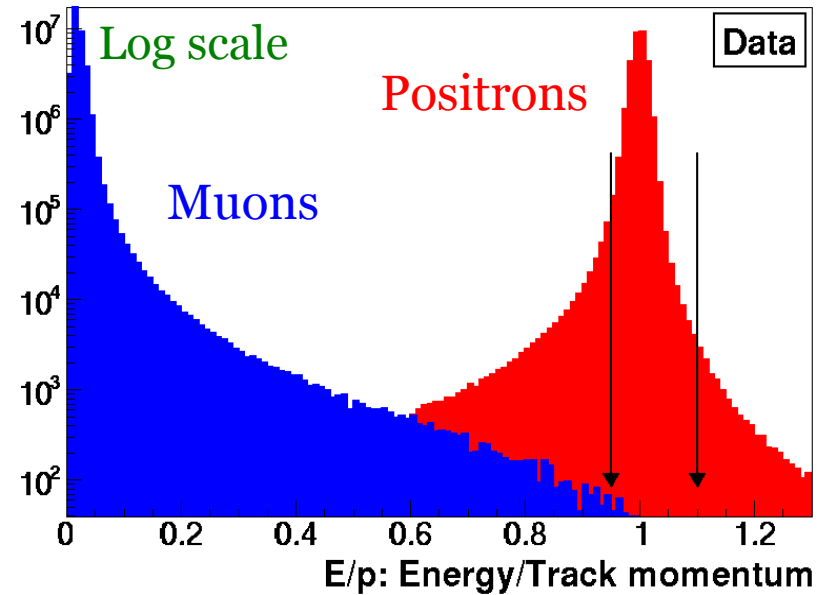
$K^+_{e2\gamma}(SD^+)$: Event Selection



Positron Selection:

Common part with Ke2:

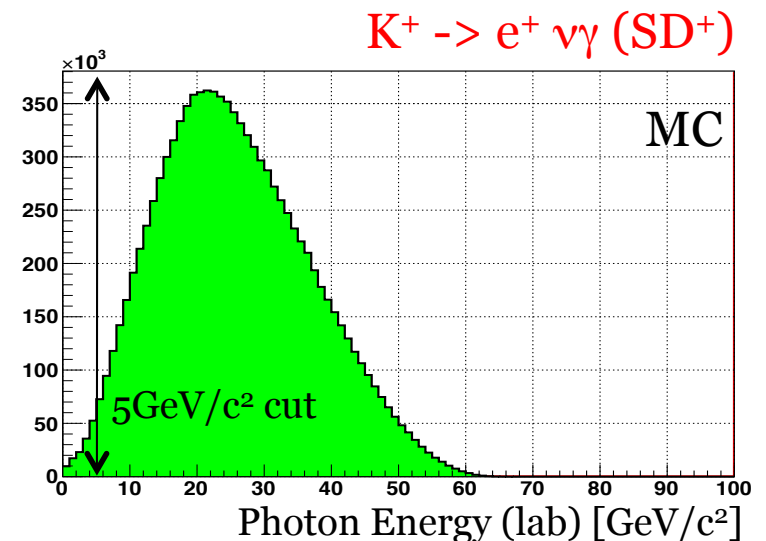
- one reconstructed track;
- positive charge;
- geometrical acceptance cuts;
- K decay vertex: closest distance of approach between track & kaon axis;
- $0.95 < E/p < 1.10$ (positron);
- track momentum: $10\text{GeV}/c < p < 55\text{GeV}/c$



Photon Selection:

Specific part for radiative decay:

- one extra LKr energy deposition cluster;
- isolated and good;
- LKr geometrical acceptance cuts;
- in time with the track;
- photon energy: $E > 5\text{ GeV}/c^2$

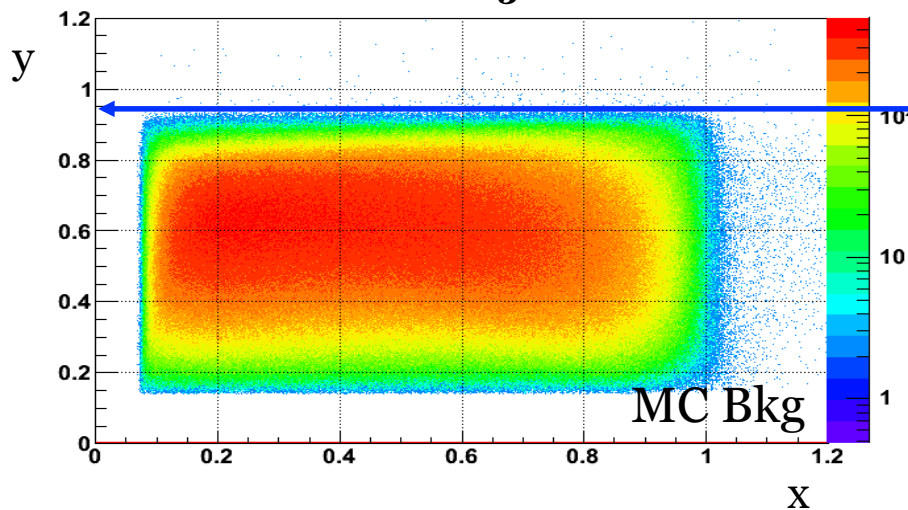




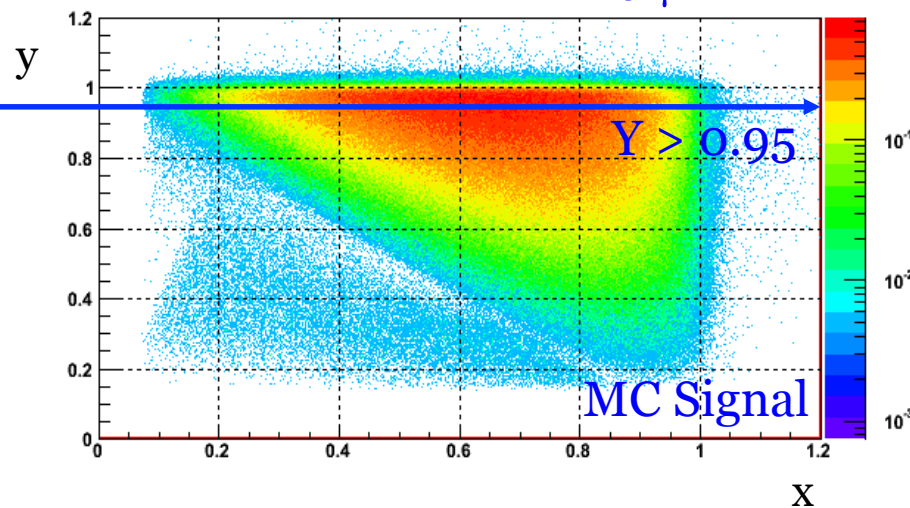
Reconstructed Signal - Bkg



$K^+ \rightarrow e^+ \pi^0 \nu$ (K_{e3})



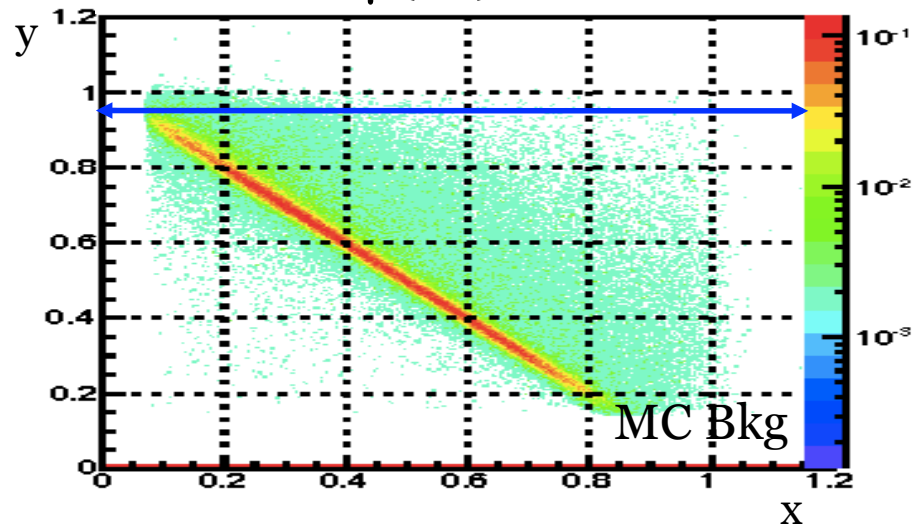
$K^+ \rightarrow e^+ \nu \gamma$ (SD^+) ($K_{e2\gamma}$)



$$x = \frac{2E^*(\gamma)}{M_K}; \quad y = \frac{2E^*(e)}{M_K};$$

$$y_{K_{e3}}^{\max} = 0.923 < y_{K_{e2\gamma}}^{\max} = 1$$

$K^+ \rightarrow e^+ \nu \gamma$ (IB)

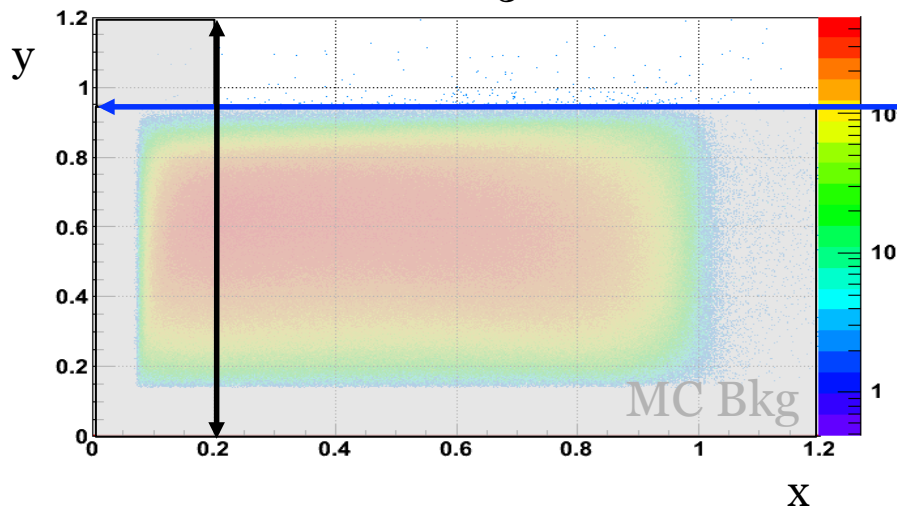




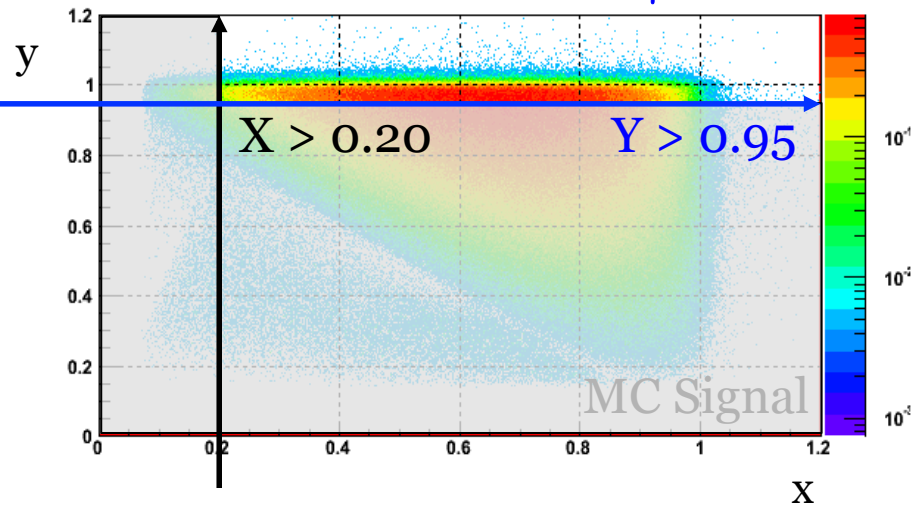
Reconstructed Signal - Bkg



$K^+ \rightarrow e^+ \pi^0 \nu (K_{e3})$



$K^+ \rightarrow e^+ \nu \gamma (SD^+) (K_{e2\gamma})$



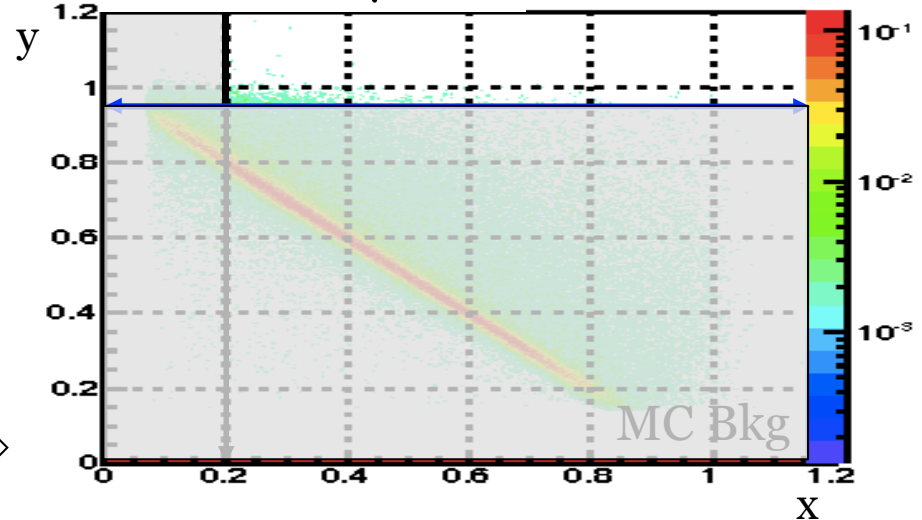
$$y_{K_{e3}}^{\max} = 0.923 < y_{K_{e2\gamma}}^{\max} = 1$$

$x > 0.20;$ $y > 0.95;$

The x cut has been optimised for minor sources of background;



$K^+ \rightarrow e^+ \nu \gamma (IB)$





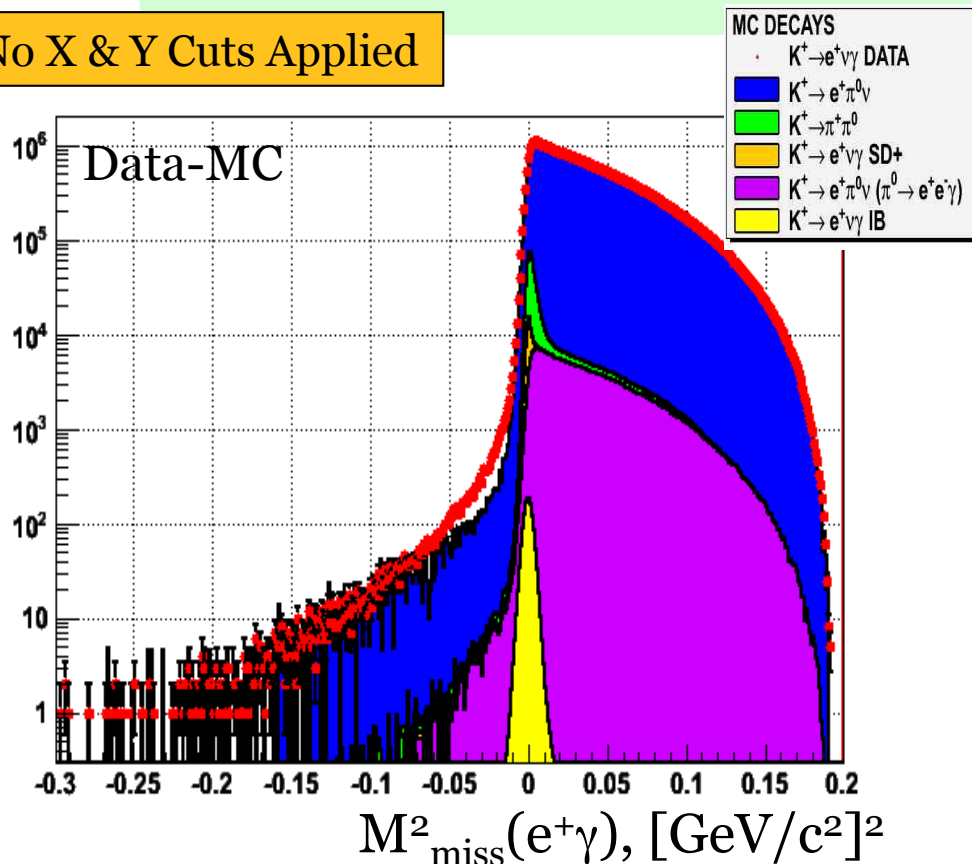
$K^+_{e2\gamma}(SD^+)$: Partial (40%) Data Set



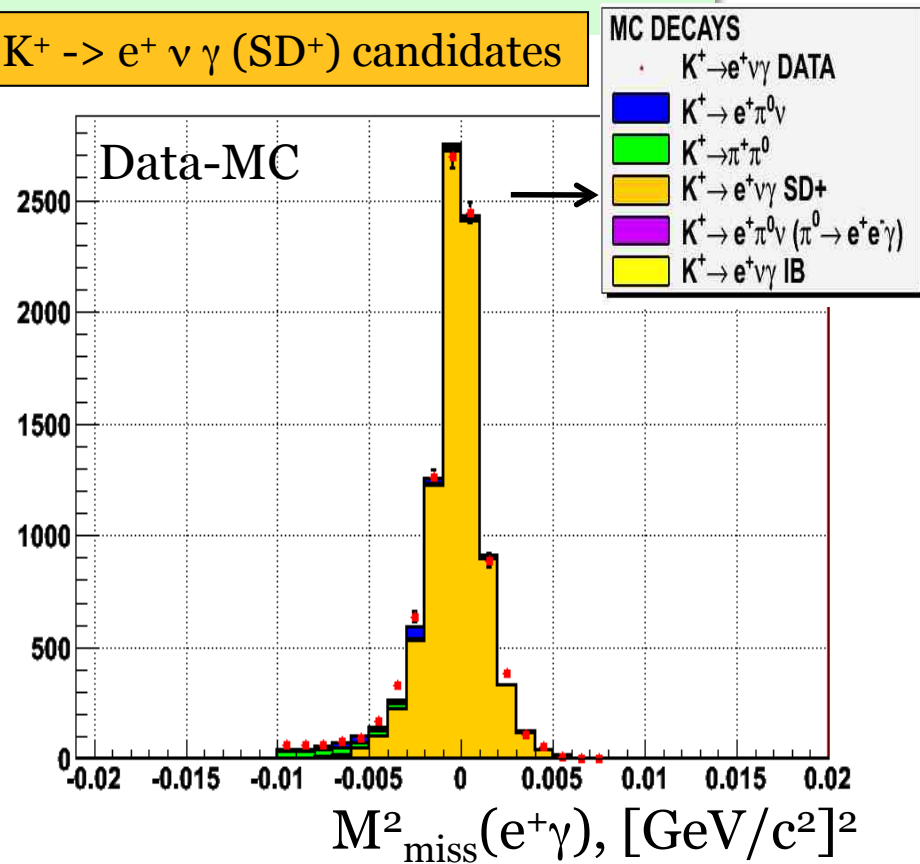
Kinematic identification:

- Three body decay: $M^2_{\text{miss}}(e^+\gamma) = |(P_{K^+} - P_{e^+} - P_{\gamma})^2| < 0.01 \text{ GeV}^2/c^4$

No X & Y Cuts Applied



$K^+ \rightarrow e^+ \nu \gamma$ (SD⁺) candidates



$$\text{Acc}(K^+_{e2\gamma}) = N^{\text{MC}}_{\text{final}}(K^+_{e2\gamma}) / N^{\text{MC}}_{\text{TOT}}(K^+_{e2\gamma})$$

$$\text{Acc}(K^+_{e2\gamma}) \sim 7\%$$

~ 10k $K^+_{e2\gamma}(SD^+)$ candidates
 Bkg/Data ~ 5% (mainly K_{e3})



Form Factors Fit

A χ^2 fit has been performed to the measured x spectrum using the distribution expected from the ChPT models;

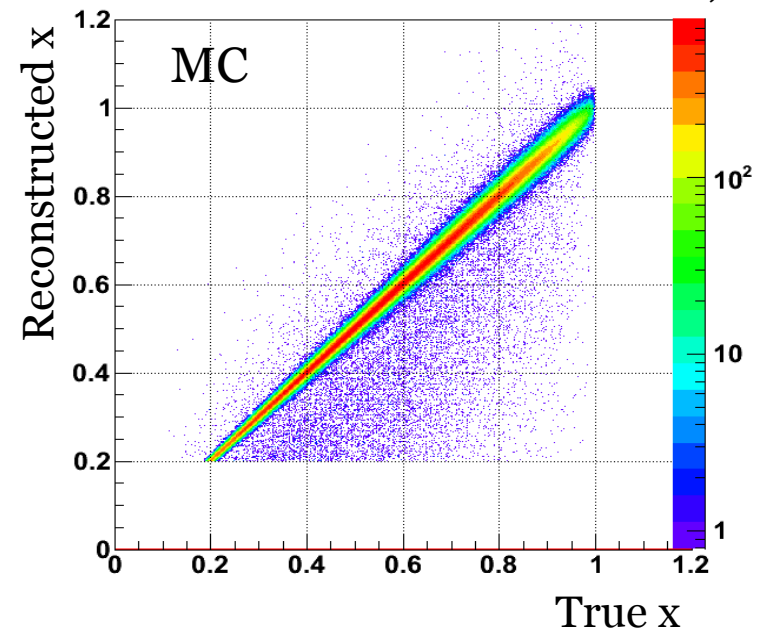
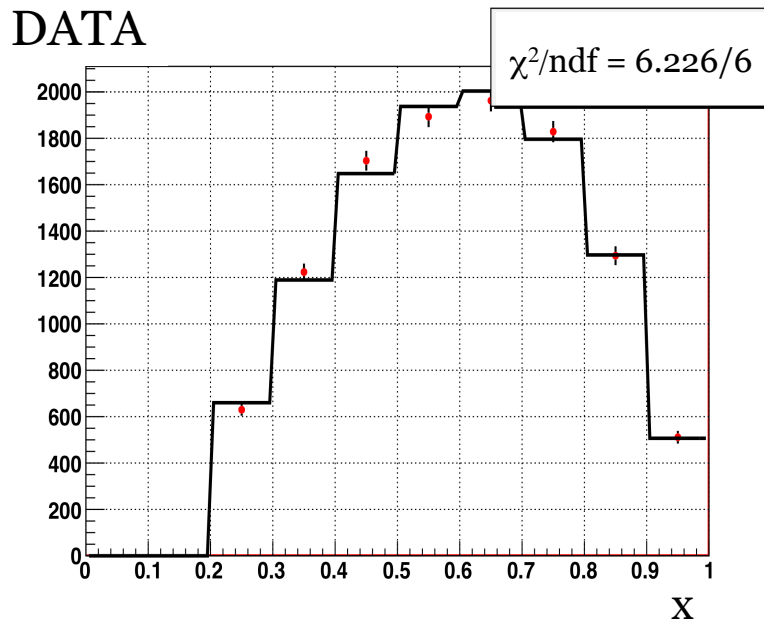
$$\frac{d^2\Gamma(K^+ \rightarrow e^+\nu\gamma)}{dxdy} = \frac{G_F^2 \sin^2 \theta_c M_K^5 \alpha}{64\pi^2} (V + A)^2 (1-x)(x-y-1)^2$$

Axial and Vector effective couplings used:

$A=0.034$, as given by ChPT $O(p^6)$; (PRD77 (2008) 014004)

$V=V_0(1+\lambda(1-x))$; (EPJC64 (2009) 627)

Smearing effects due to the **detector acceptance, resolution and mis-reconstruction** are convoluted with the theoretical distribution;





Fit Results (40% data set)

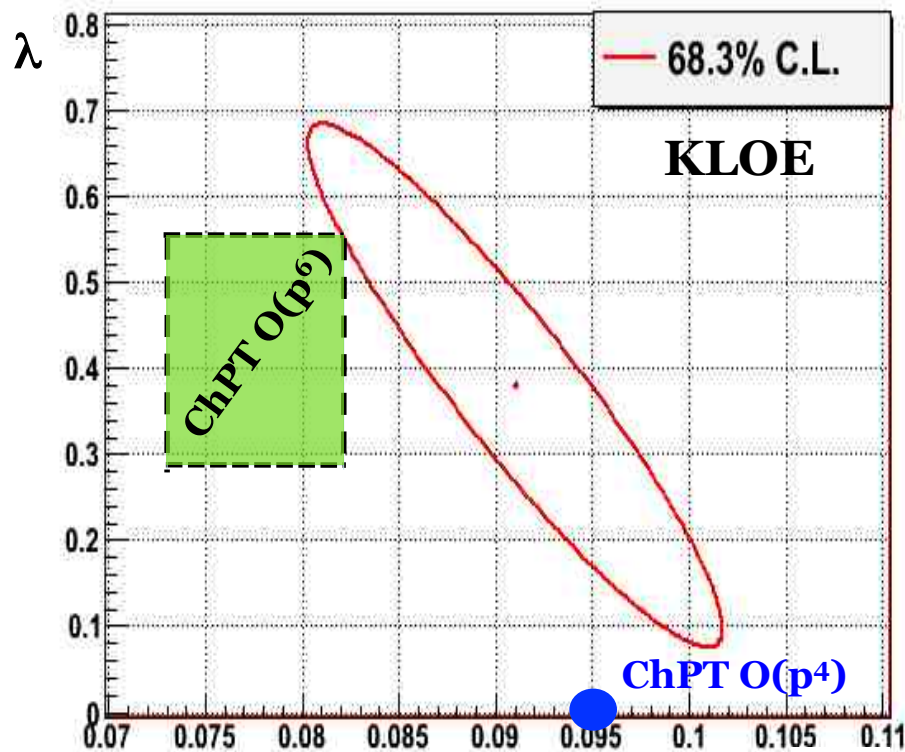


Form Factor parameters with their uncertainties and the correlation coefficient represented with the standard error ellipse (68% C.L.)

Analysis phase space region (K rest frame):

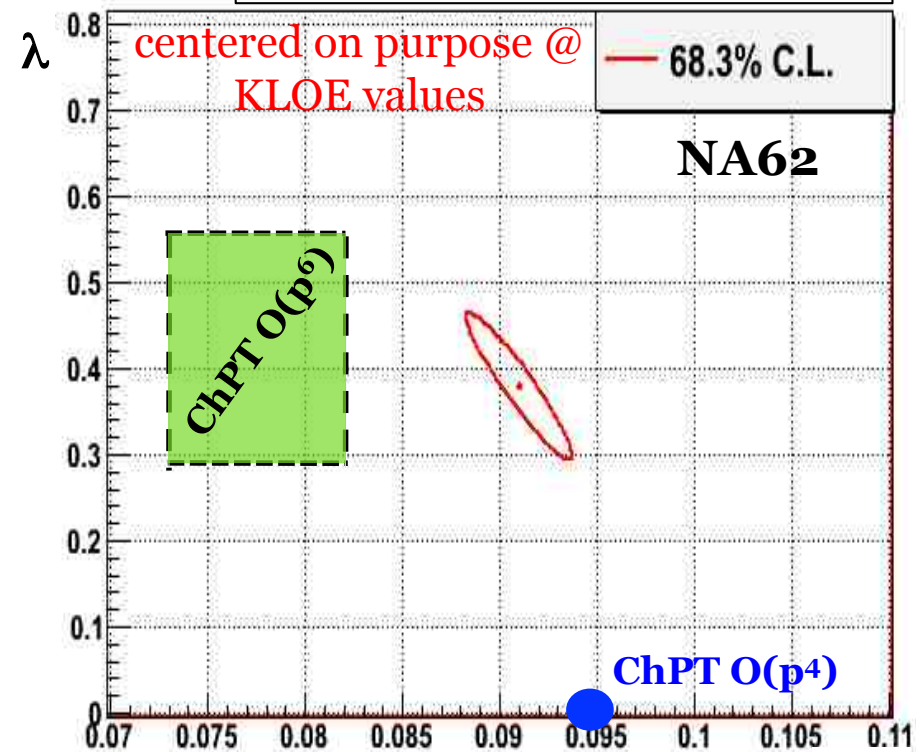
KLOE: $p_e > 200\text{MeV}/c$, $E_\gamma > 10\text{MeV}/c^2$;

NA62: $E_e > 235\text{MeV}/c^2$, $E_\gamma > 50\text{MeV}/c^2$;



KLOE: Dominated by stat errors V_0

(EPJC64 (2009) 627), (NPB684(2004)281)



NA62: V_0

Significant systematic uncertainty expected



Conclusions



- A comprehensive study of the process $K^+ \rightarrow e^+ \nu \gamma (SD^+)$ is being performed;
 - $\sim 10k$ candidates, $\sim 5\%$ bkg contamination (40% of NA62 data set);
- **Total uncertainty** is dominated by the background subtraction (mainly K_{e3});
 - signal kinematic region is affected by non gaussian tails above the K_{e3} kinematic endpoint: $y_{K_{e3}}^{\max} = 0.923 < y_{K_{e2\gamma}}^{\max} = 1$
- **Model independent BR(SD^+ , NA62 phase space)** can be evaluated with a $\sim 2\%$ precision;
- **SD^+ FFs and extraction of V,A effective coupling:**
 - KLOE results are in agreement with the expectations from ChPT and confirm at $\sim 2\sigma$ the presence of a slope in V (as predicted in $O(p^6)$);
 - NA62 results will get advantages from the large data sample providing that the background is kept reasonably under control.



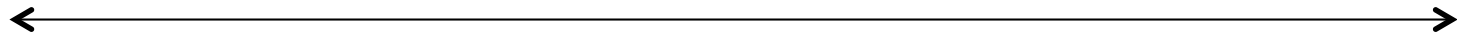
Spares

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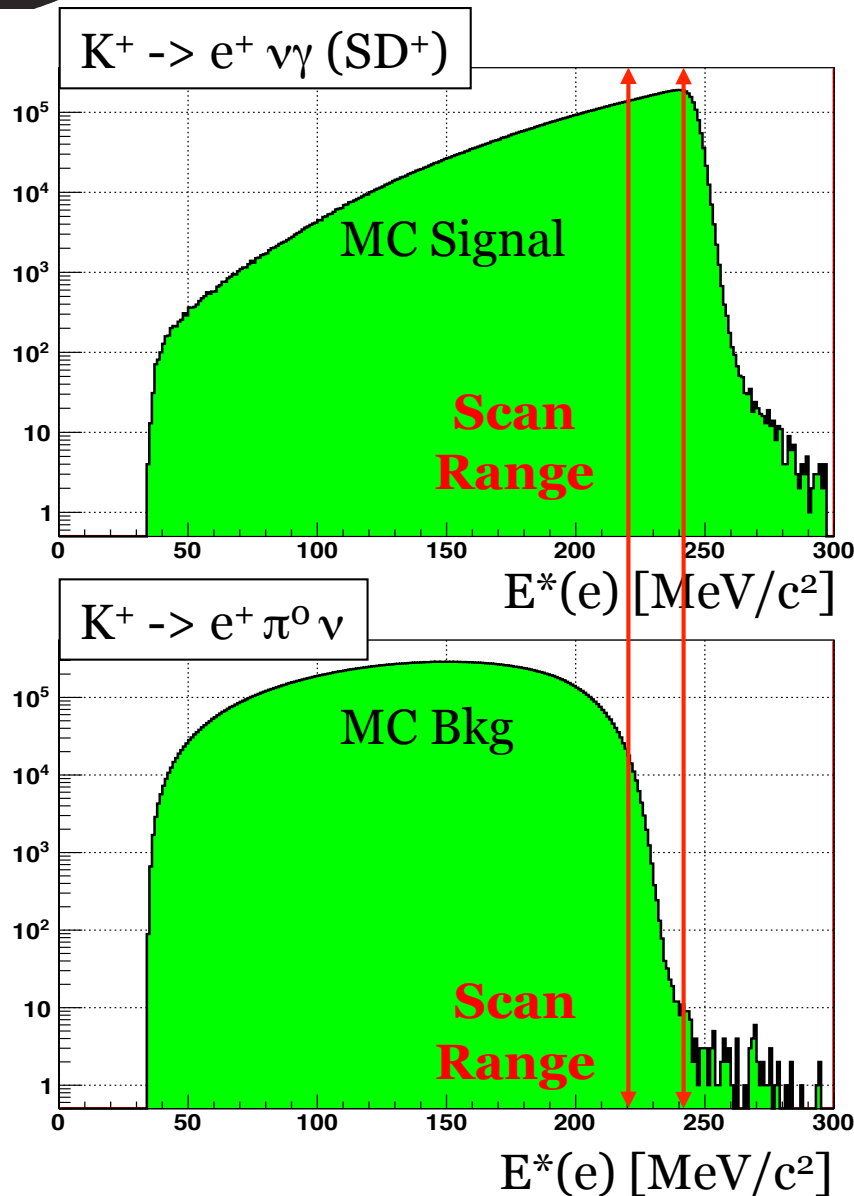


Next Steps..

- A detailed study of backgrounds to $K^+ \rightarrow e^+ \nu \gamma (SD^+)$:
 - Background contamination and associated uncertainty;
- Non gaussian tails of **multiple scattering** of the track in the material on the beam line affecting the analysis:
 - multiple scattering being simulated with several models;
- **Systematic** uncertainties to be addressed:
 - Trigger efficiency for backgrounds with lost photons;
- **FFs Fit** optimization:
 - Fit parameters under control;
 - Stability checks wrt the variation of all analysis cuts.
- **Combined analysis** on the full NA62 data set;



Kaon Rest Frame Kinematics



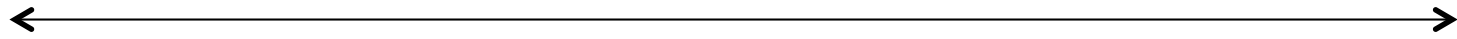
**Electron Energy
in Kaon Rest Frame
 $E^*(e)$ [MeV/c²]**

Used to reject background coming from $K^+ \rightarrow e^+ \pi^0 \nu$ decay channel.

To decide for a lower CUT value a scan of E^*_{\min} was performed.

Looking at the Electron Energy distributions in the Kaon Rest the **scan range** was set between:

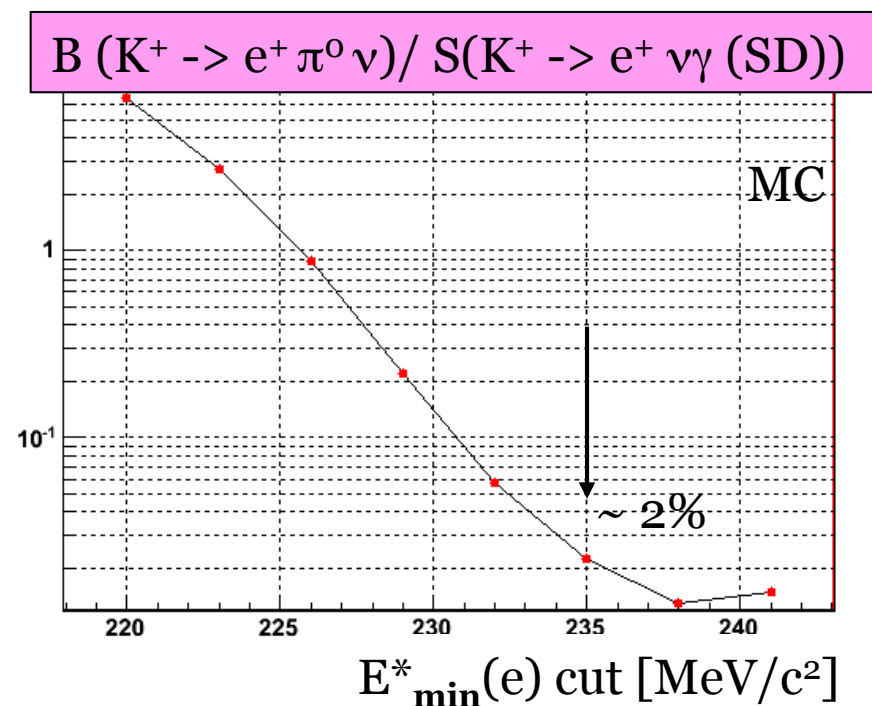
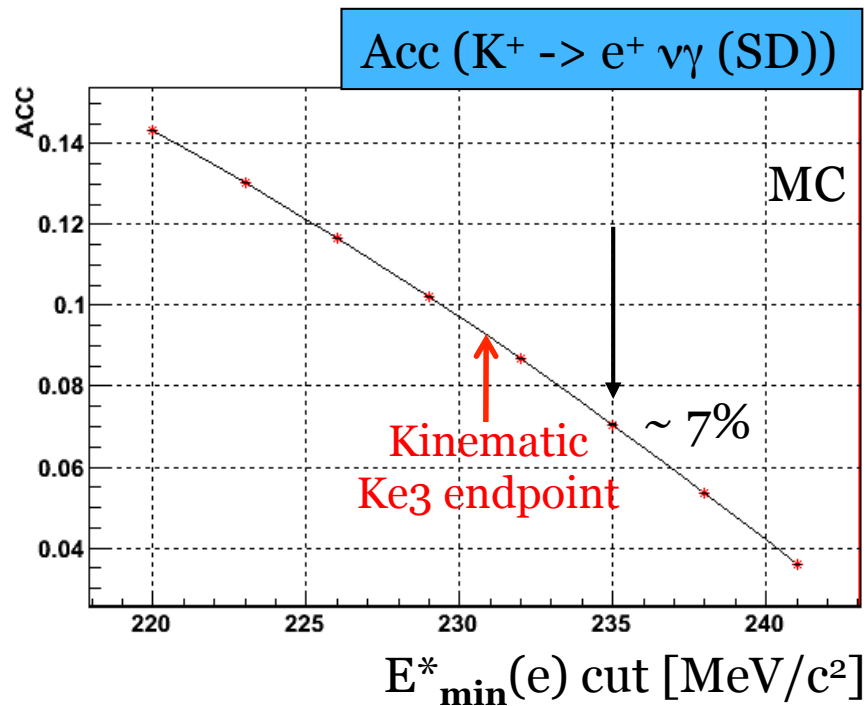
$$(220 < E^*_{\min}(e) < 241) \text{ MeV}/c^2$$



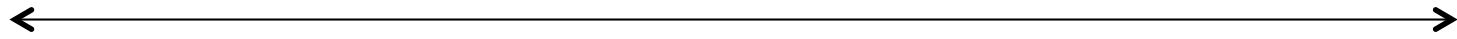
Kaon Rest Frame Kinematics

Acceptance: $Acc(i) = N_{final}(i)/N_{TOT}(i)$
where $N_{final}(i)$ are $N(i)$ which pass the selection

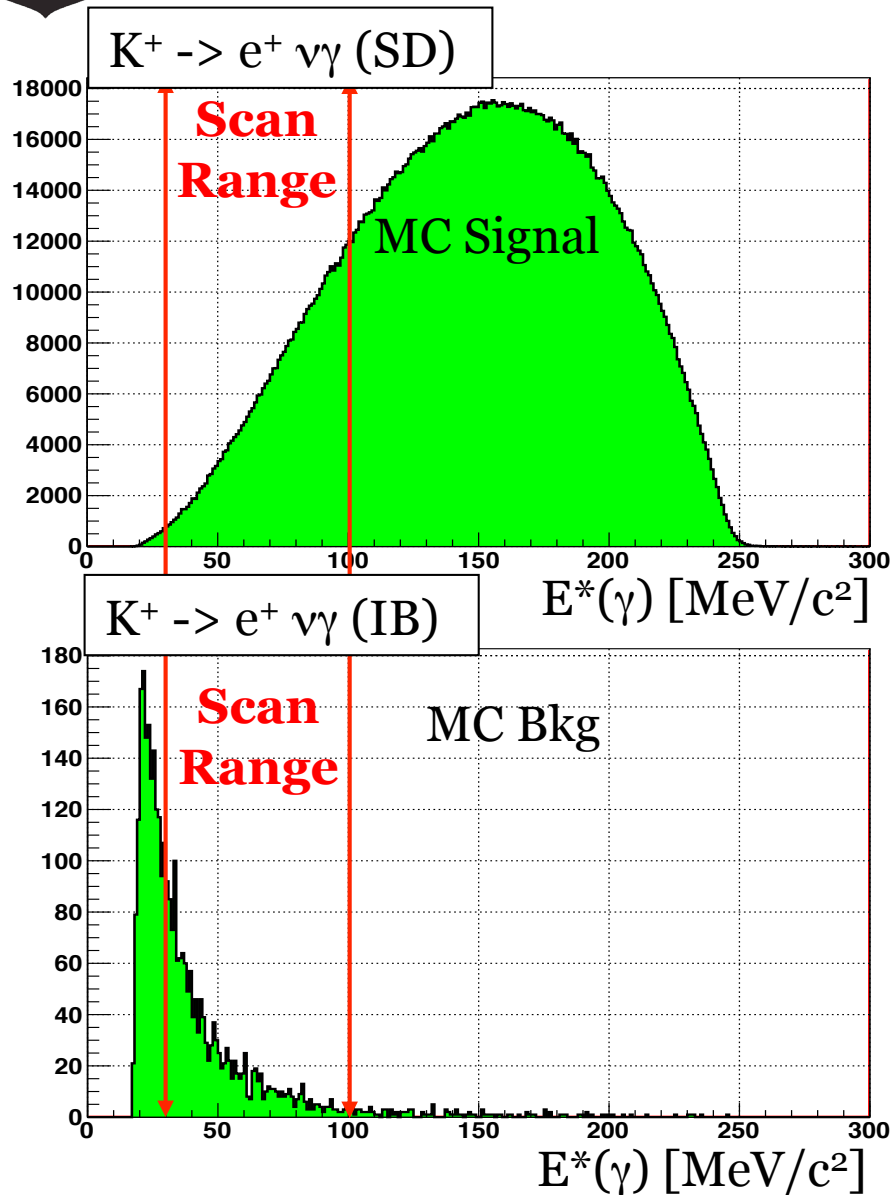
Background-Signal ratio:
 $B(j)/S(i) = N_{final}(j)/N_{final}(i)$,
- j is the background channel
- i is the signal channel



Kaon Rest Frame Electron Energy lower cut: $E^*_{min}(e) = 235 \text{ MeV}/c^2$



Kaon Rest Frame Kinematics



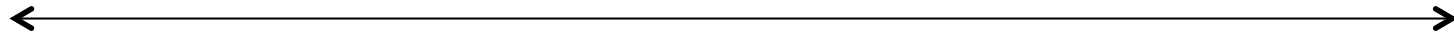
Photon Energy
in Kaon Rest Frame
 $E^*(\gamma)$ [MeV/c²]

Used to reject background coming from $K^+ \rightarrow e^+ \nu \gamma$ (IB) decay channel

To decide for a lower CUT value a scan of E^*_{\min} was performed.

Looking at the Photon Energy distributions in the Kaon Rest Frame the **scan range** was set between:

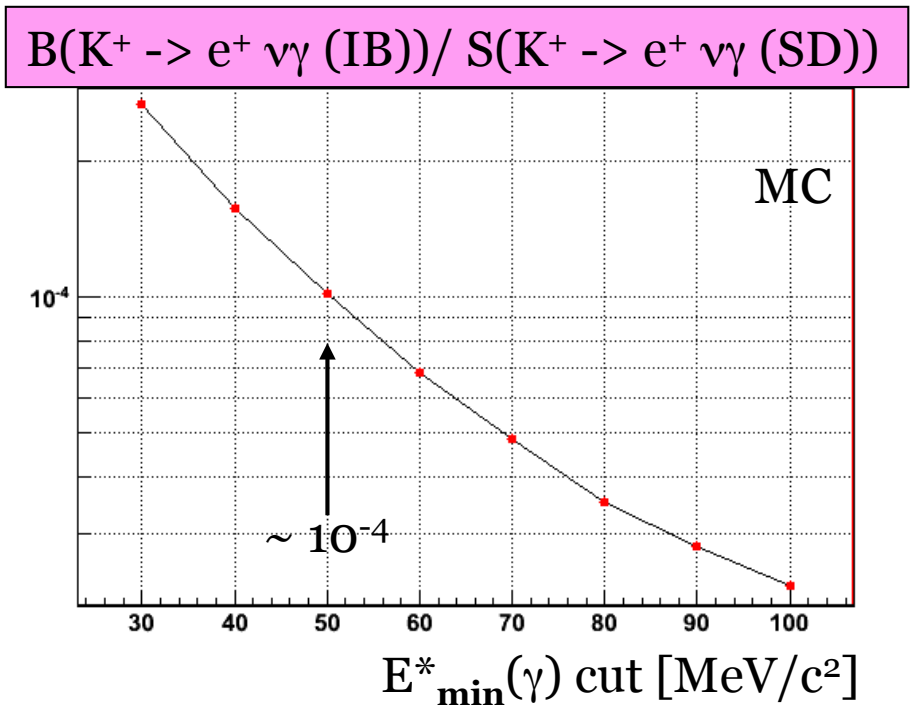
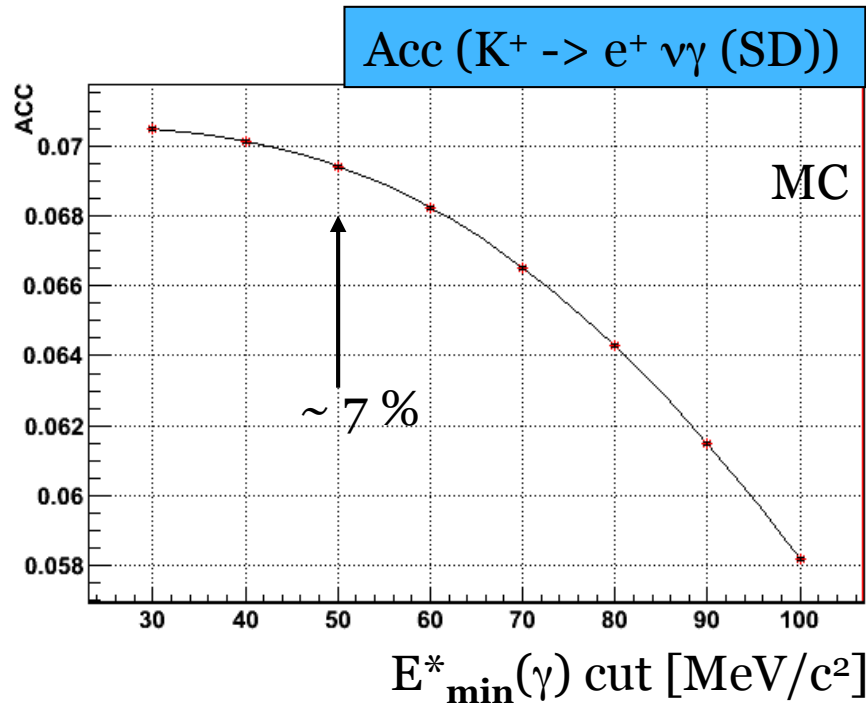
$$(30 < E^*_{\min}(\gamma) < 100) \text{ MeV/c}^2$$



Kaon Rest Frame Kinematics

Acceptance: $Acc(i) = N_{final}(i)/N_{TOT}(i)$
where $N_{final}(i)$ are $N(i)$ which pass the selection

Background-Signal ratio:
 $B(j)/S(i) = N_{final}(j)/N_{final}(i)$,
- j is the background channel
- i is the signal channel



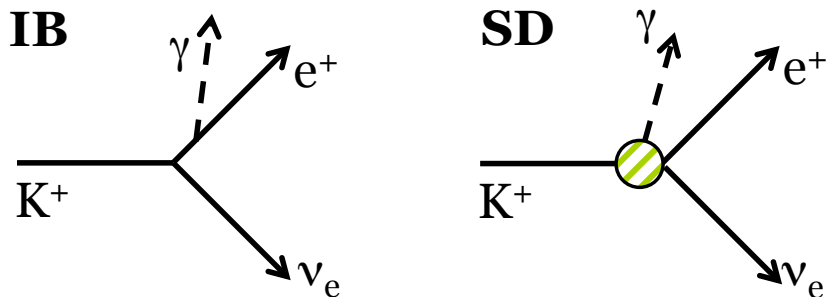
Kaon Rest Frame Photon Energy lower cut: $E^*_{min}(\gamma) = 50 \text{ MeV}/c^2$



Radiative $K^+ \rightarrow e^+ \nu \gamma$ process

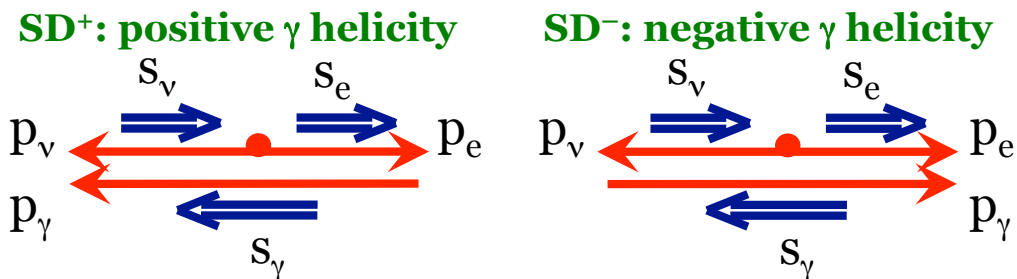


R_K is inclusive of IB radiation by definition.
SD radiation is a background. INT is negligible.



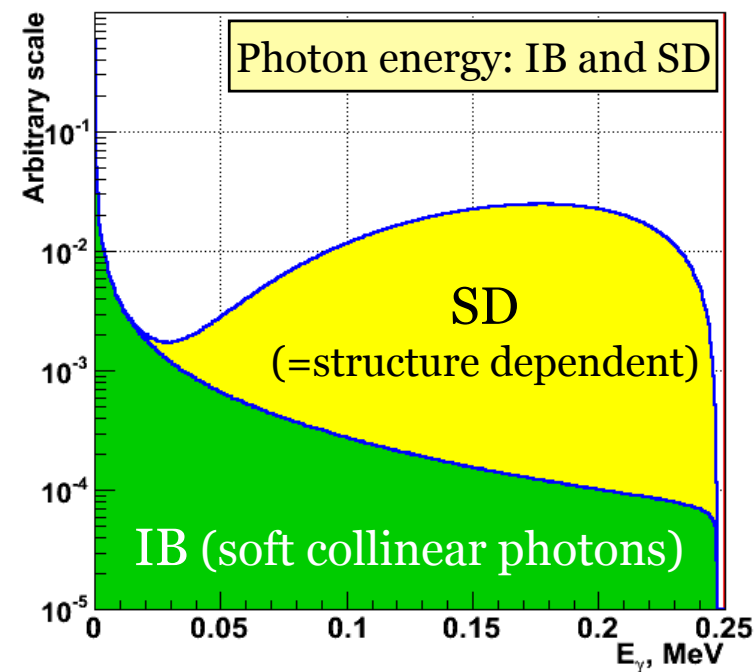
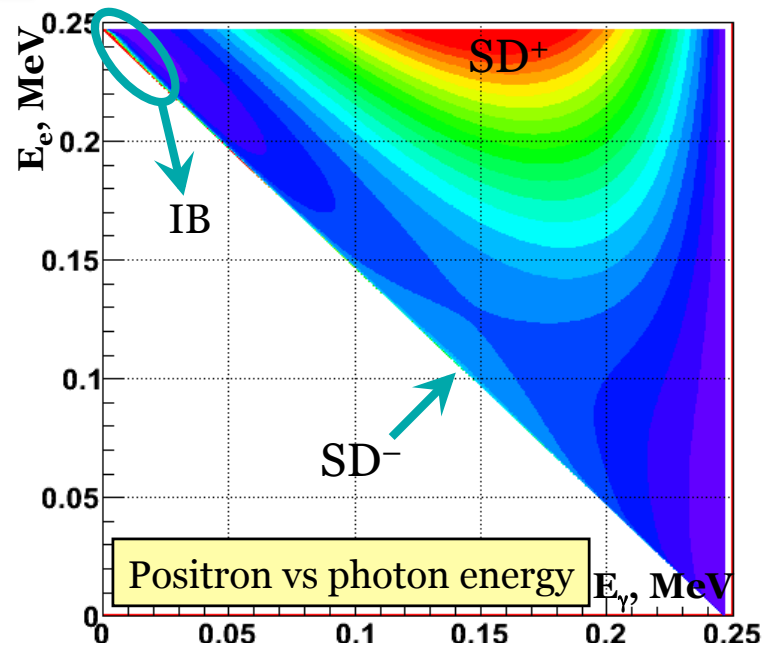
SD radiation is not helicity suppressed.
KLOE measurement of the form factor leads to
 $BR(SD^+, \text{full phase space}) = (1.37 \pm 0.06) \times 10^{-5}$.
(EPJC64 (2009) 627)

SD bkg ~ 4 times larger for Pb data samples than for NoPb



Result: $B/(S+B) = (2.60 \pm 0.11)\%$

$BR(K_{e2\gamma} (SD^+))$ measurement being performed with same data samples: $\sim 10K$ candidates, $\sim 5\%$ backgrounds.

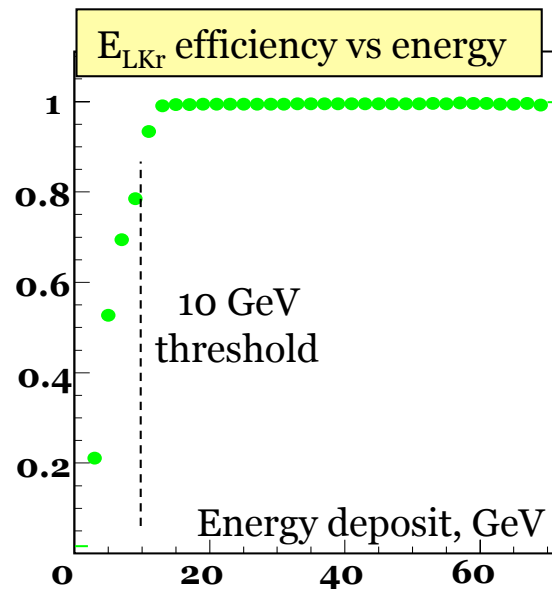
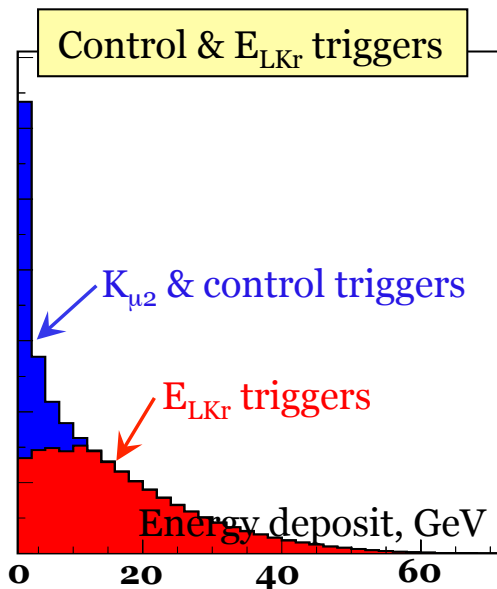
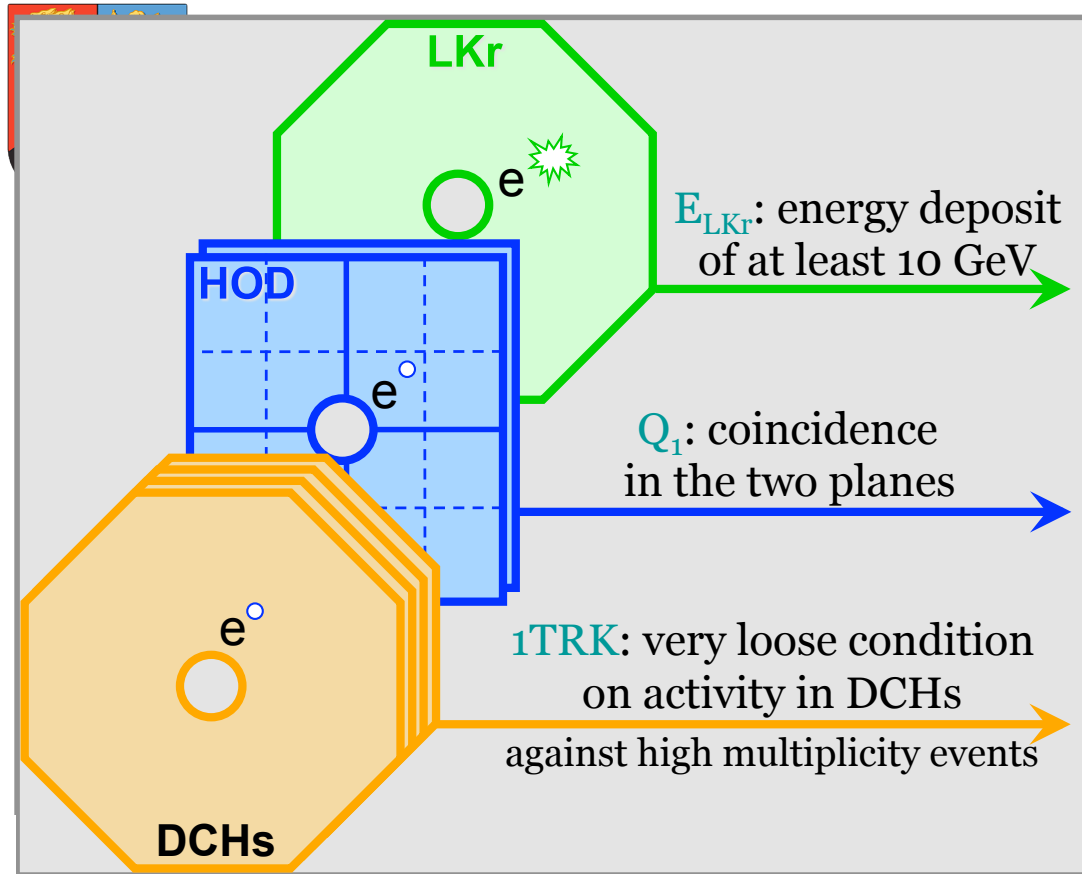


Trigger Logic

Minimum bias
(high efficiency, but low purity)
trigger configuration used

K_{e2} condition: $Q_1 \times E_{LKr} \times 1TRK$.
Purity $\sim 10^{-5}$.

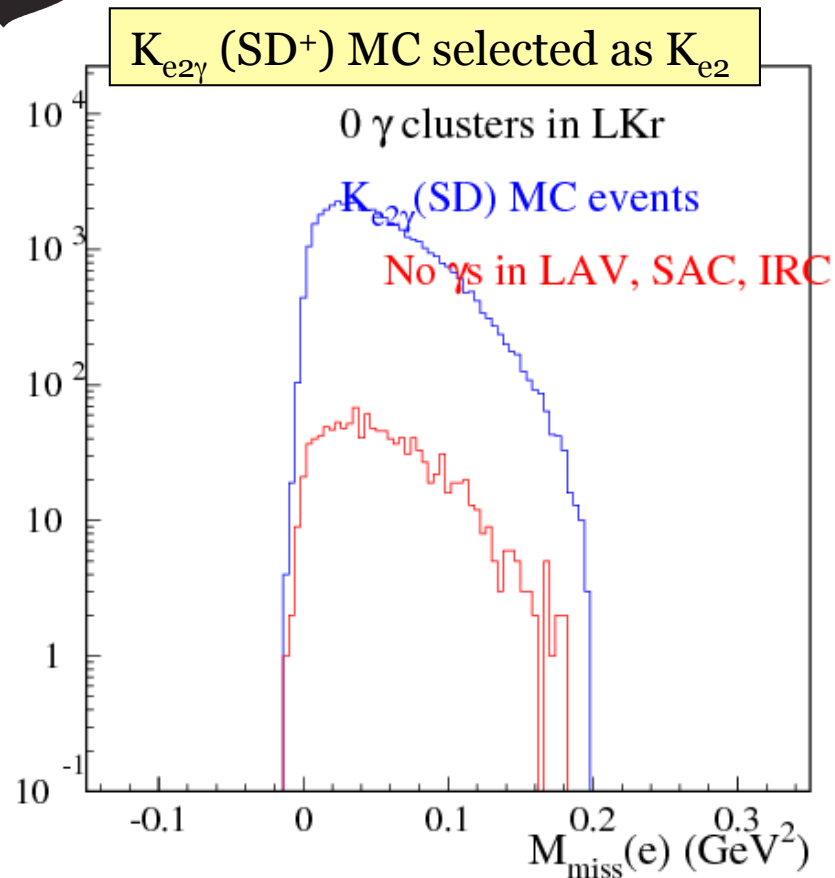
$K_{\mu2}$ condition: $Q_1 \times 1TRK / D$,
downscaling (D) 150.
Purity $\sim 2\%$.



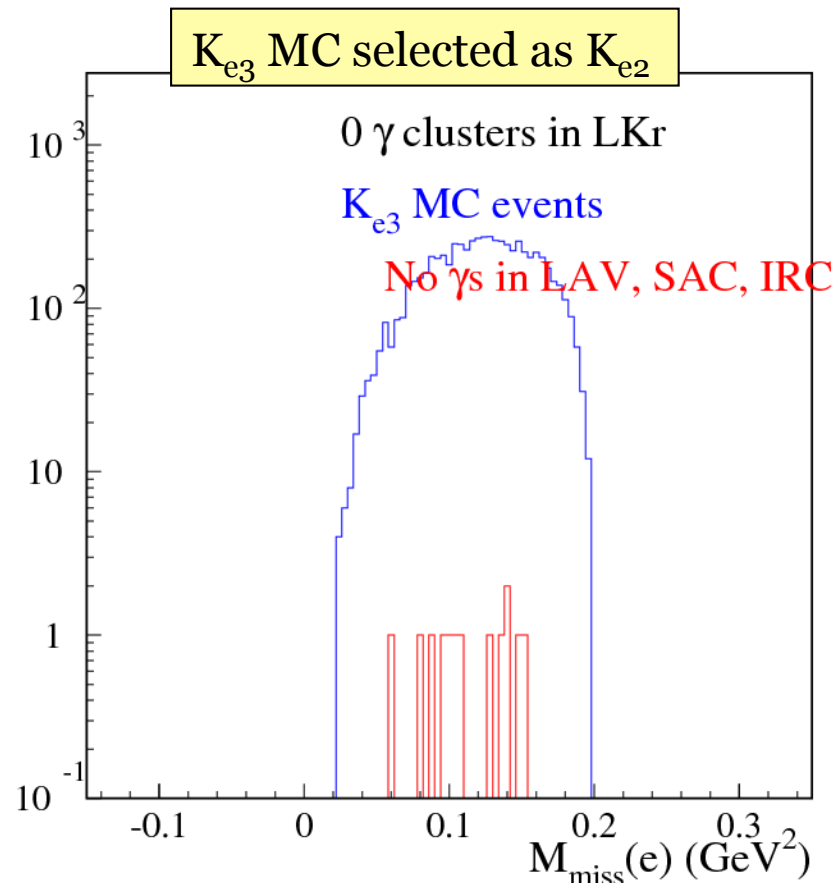
- Efficiency of K_{e2} trigger: monitored with $K_{\mu2}$ & other control triggers.
- E_{LKr} inefficiency for electrons measured to be $(0.05 \pm 0.01)\%$ for $p_{\text{track}} > 15 \text{ GeV}/c$.
- Different trigger conditions for signal and normalization!



$K_{e2\gamma}$ (SD), K_{e3} suppression



$K_{e2\gamma}$ (SD⁺) sample reduced by a factor of 35



K_{e3} sample reduced by a factor of 500

Rejection provided by the new veto detectors is excellent for K_{e2} analysis

K_{e2} sample untouched by the veto requirement