

Kaon experiments at CERN

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Outline:

- 1) Ultra-rare $K \rightarrow \pi \nu \bar{\nu}$ decays: theory and experiment.
- 2) NA62 at CERN: towards a $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ measurement.
- 3) Lepton flavour programme at NA62.

$K \rightarrow \pi \nu \bar{\nu}$: introduction

Theoretically clean, sensitive to new physics, almost unexplored

Ultra-rare decays with the highest CKM suppression:
 $A \sim (m_t/m_W)^2 |V_{ts}^* V_{td}| \sim \lambda^5$

SM branching ratios

(Brod et al., PRD83 (2011) 034030)

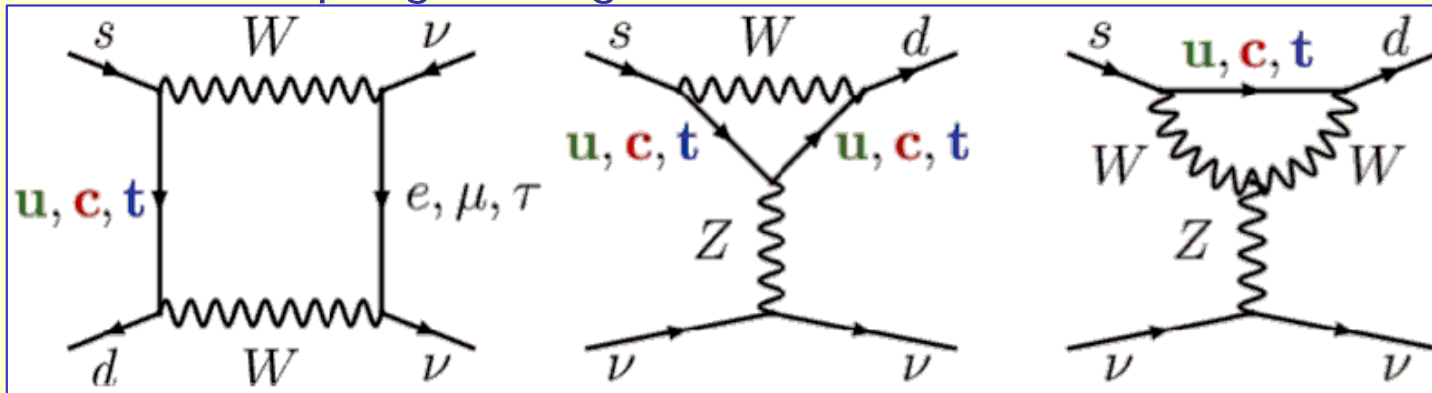
Mode	$BR_{SM} \times 10^{11}$
$K^+ \rightarrow \pi^+ \nu \bar{\nu} (\gamma)$	$7.81 \pm 0.75 \pm 0.29$
$K_L \rightarrow \pi^0 \nu \bar{\nu}$	$2.43 \pm 0.39 \pm 0.06$



CKM parametric

Intrinsic

SM: box and penguin diagrams



- Hadronic matrix element can be related to measured quantities ($K \rightarrow \pi e \nu$ form factors).
- SM precision surpasses any other FCNC process involving quarks.
- Measurement of $|V_{td}|$ complementary to those from $B-\bar{B}$ mixing and $B^0 \rightarrow \rho \gamma$.
- $\delta BR/BR = 10\%$ would lead to $\delta |V_{td}|/|V_{td}| = 7\%$.

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$: BNL E787/E949

($K_L \rightarrow \pi^0 \nu \bar{\nu}$ never observed)

Technique: K^+ decay at rest.

Data taking: E787(1995–98), E949(2002).

Incoming K^+ (710 MeV/c) stopped in target (1.6MHz).

PID: range (entire $\pi^+ \rightarrow \mu^+ \rightarrow e^+$ decay chain).

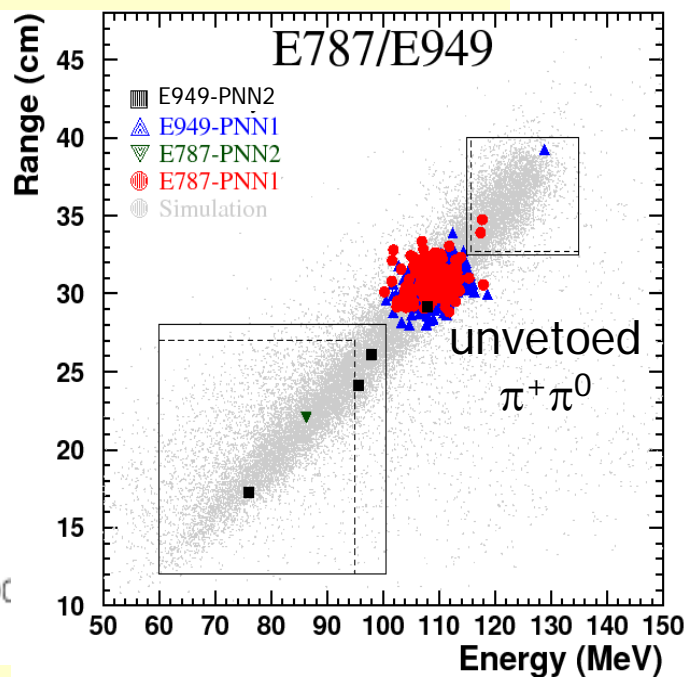
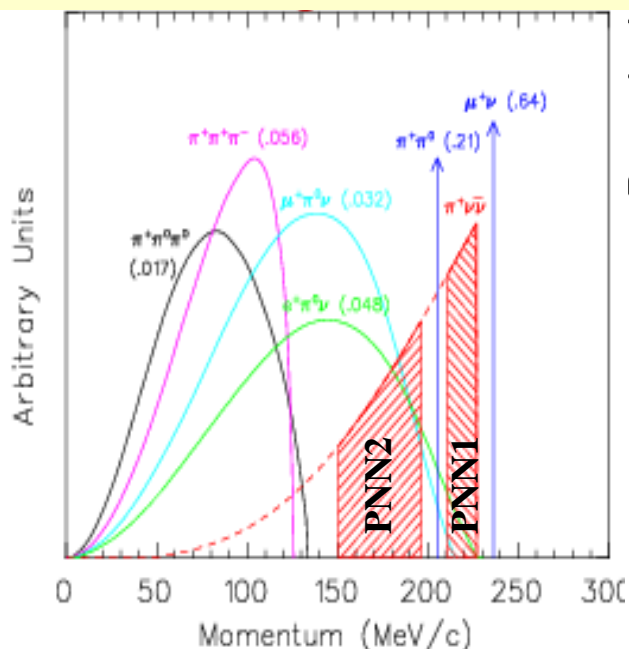
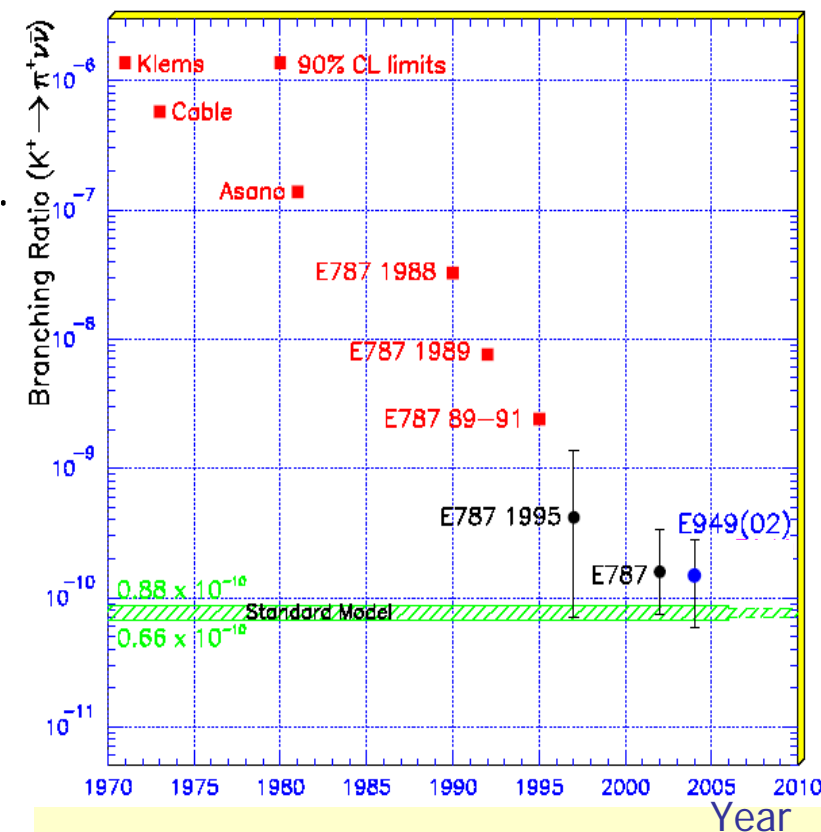
Hermetic photon veto system.

Observed candidates: 7

Expected background: 2.6

Final result: $BR = (1.73^{+1.15}_{-1.05}) \times 10^{-10}$

PRL 101 (2008) 191802, PRD 79 (2009) 092004

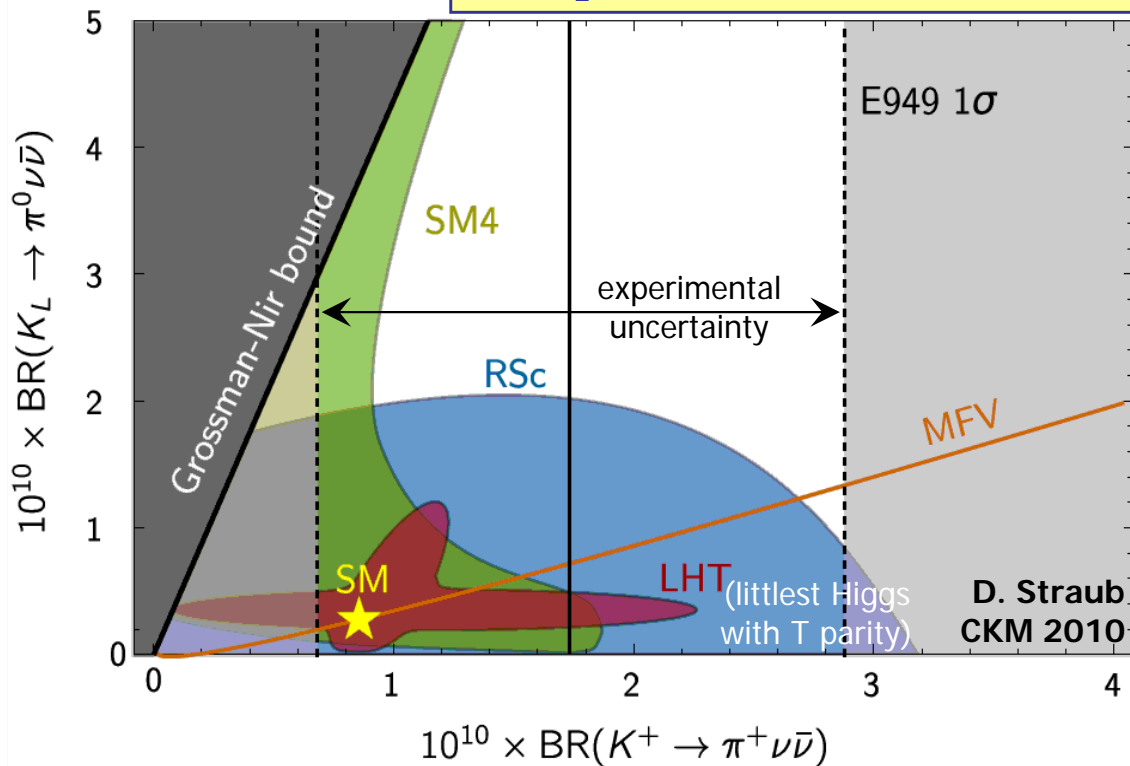


Drawbacks of the method:

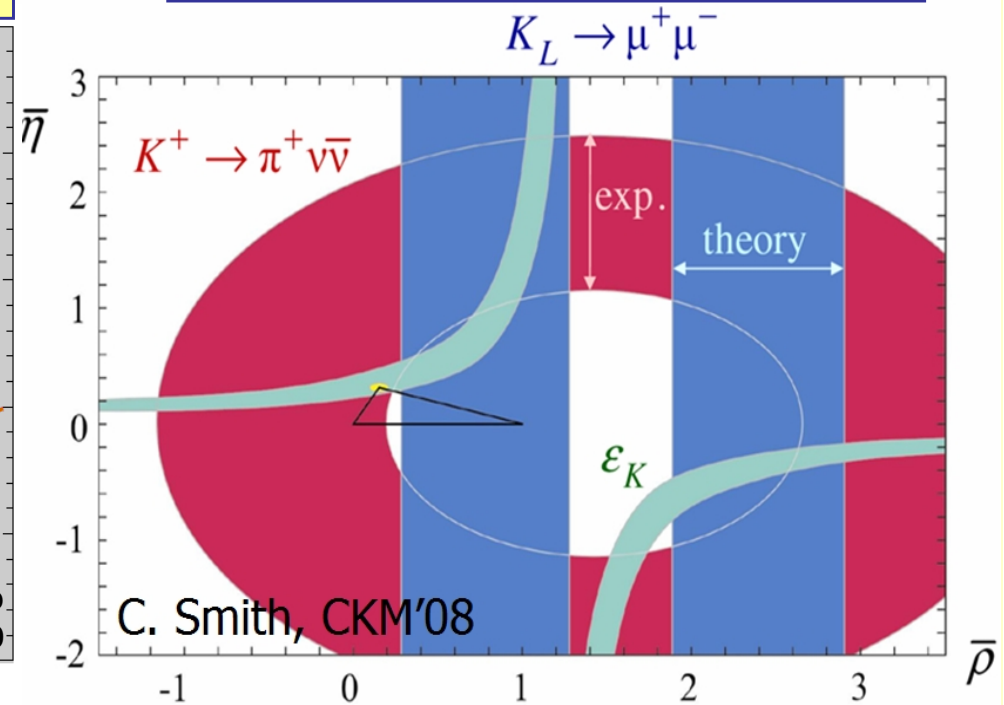
- Low acceptance ($\sim 1\%$);
- significant background ($\sim 30\%$) due to π scattering in the target.

Situation after the BNL experiment

BR($K_L \rightarrow \pi^0 \nu \bar{\nu}$) vs BR($K^+ \rightarrow \pi^+ \nu \bar{\nu}$)



CKM unitarity triangle with kaons



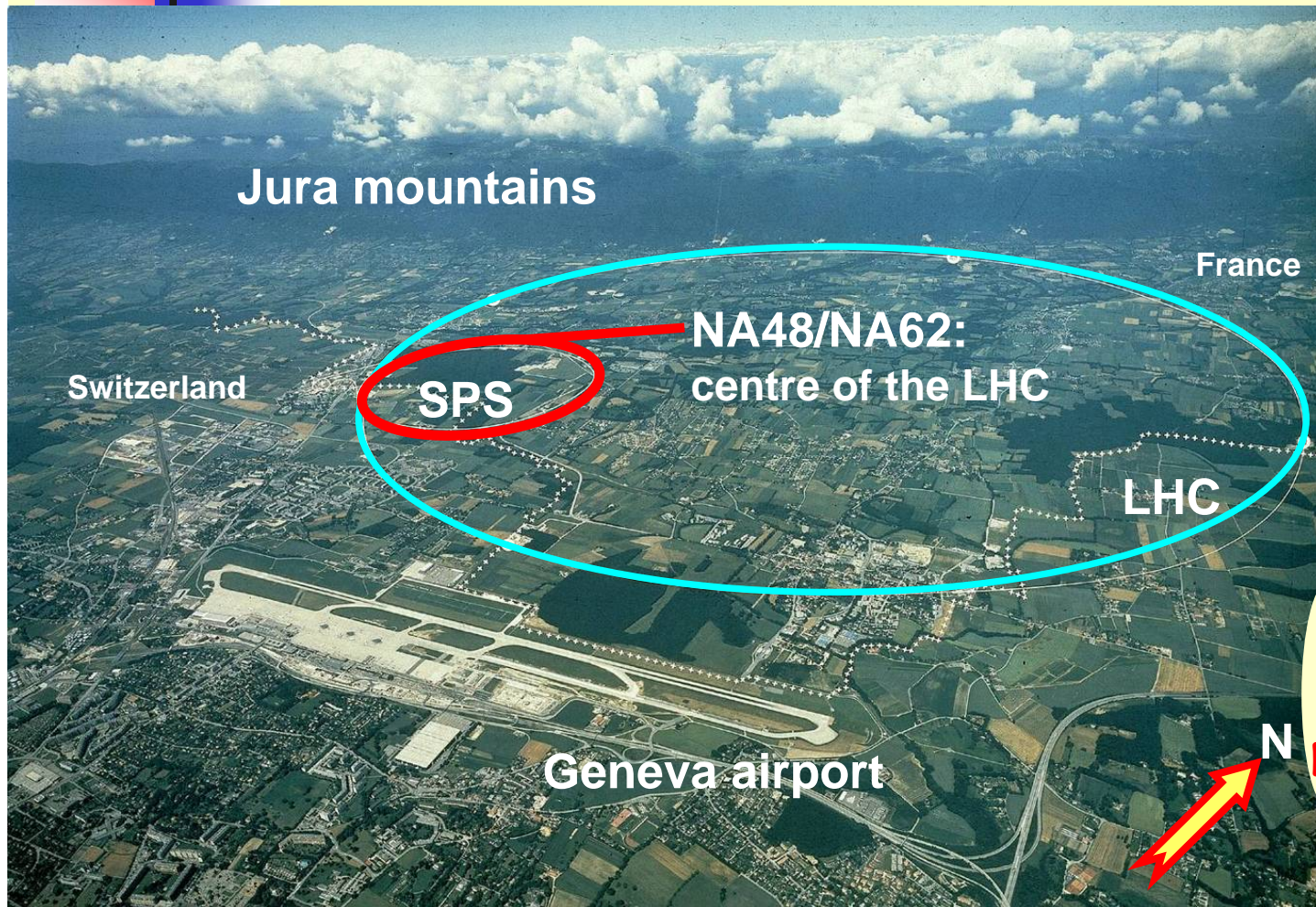
NA62@CERN aims to collect $O(100)$ $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ decays with $\sim 10\%$ background in 2 years of data taking using a novel decay-in-flight technique.

Decay signature: high momentum K^+ (75 GeV/c) \rightarrow low momentum π^+ (15-35 GeV/c).

Advantages: high K^+ production rate ($\sim p_K^2$); high acceptance ($\sim 10\%$); efficient photon veto (>40 GeV missing energy) + good π^+/μ^+ separation by RICH.

However, un-separated beam (6% kaons) \rightarrow higher rates in some detectors.

CERN NA48/NA62 experiments



NA62: Birmingham, Bristol, CERN, Dubna, Fairfax, Ferrara, Florence, Frascati, Glasgow, IHEP Protvino, INR Moscow, Liverpool, Louvain-la-Neuve, Mainz, Merced, Naples, Perugia, Pisa, Rome I, Rome II, Saclay, San Luis Potosí, SLAC, Sofia, TRIUMF, Turin

Earlier: NA31

1997: $\epsilon'/\epsilon: K_L+K_S$

1998: K_L+K_S

NA48
discovery
of direct
CPV

1999: K_L+K_S | K_S HI

2000: K_L only | K_S HI

2001: K_L+K_S | K_S HI

NA48/1

2002: K_S /hyperons

NA48/2

2003: K^+/K^-

2004: K^+/K^-

NA62

(R_K phase)

2007: $K_{e2}^\pm/K_{\mu2}^\pm$ | tests

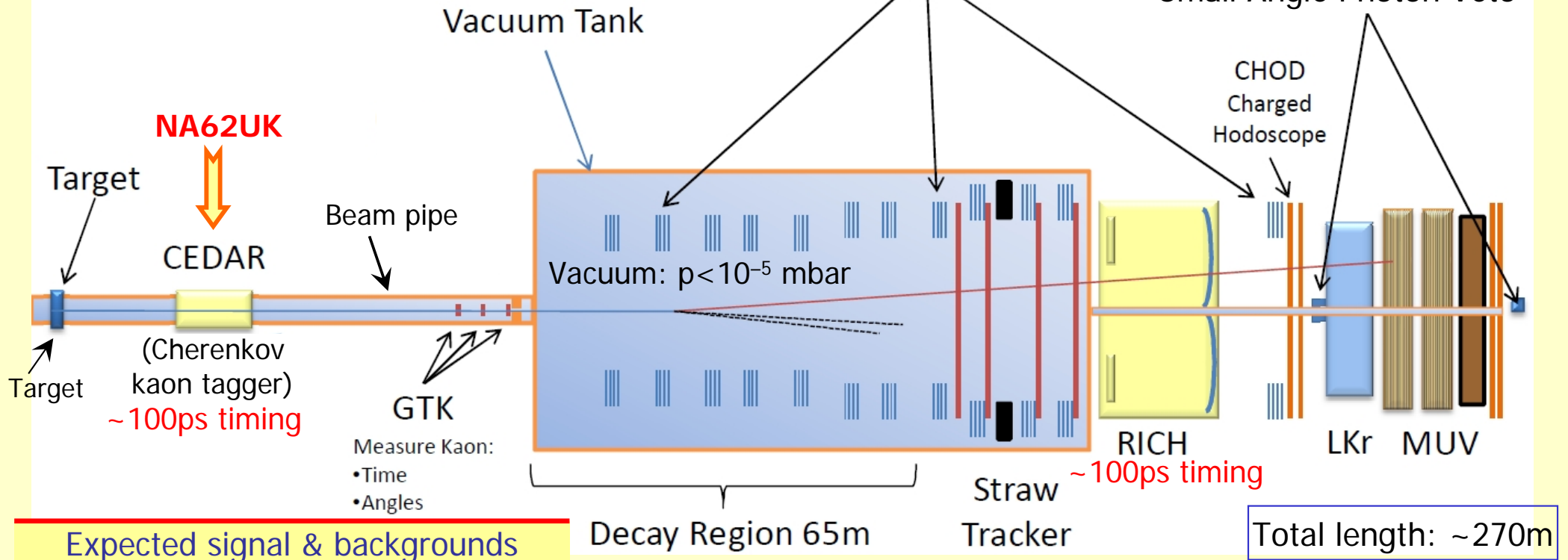
2008: $K_{e2}^\pm/K_{\mu2}^\pm$ | tests

NA62

2007–2013:
design & construction
2012: first data taking

NA62: sensitivity

Un-separated hadron ($p/\pi^+/K^+$) beam:
 400GeV SPS protons \rightarrow 75GeV ($\pm 1\%$) kaons
 750MHz \rightarrow 50MHz kaons \rightarrow 6MHz decays



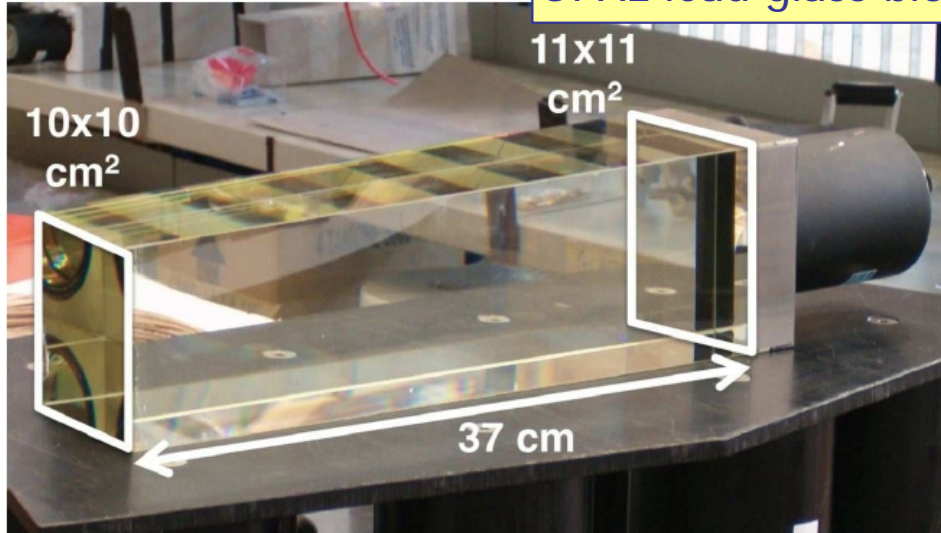
Expected signal & backgrounds

Signal	45 evt/year
$K^+ \rightarrow \pi^+ \pi^0$	4.3%
$K^+ \rightarrow \mu^+ \nu$	2.2%
$K^+ \rightarrow 3$ charged tracks	$< 4.5\%$
$K^+ \rightarrow \pi^+ \pi^0 \gamma$	$\sim 2\%$
$K^+ \rightarrow \mu^+ \nu \gamma$	$\sim 0.7\%$
Total background	$< 13.5\%$

- 5×10^{12} K^+ decays/year \rightarrow record SES of $\sim 10^{-12}$;
- Hermetic veto: $\sim 5 \times 10^{-8}$ suppression of $\pi^0 \rightarrow \gamma\gamma$;
- Kinematics: $\sim 10^{-4}$ suppression of $K \rightarrow \pi^+ \pi^0$.
- Construction in progress; first technical run in 2012;
- Physics data taken driven by CERN accelerator schedule.

Construction of LAV detectors

OPAL lead glass blocks



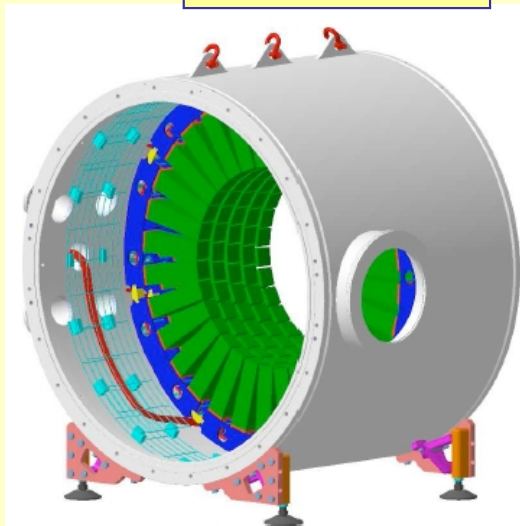
Detector:

- 12 stations;
- 4 block types:
inner radii vary from 537 to 1072mm;

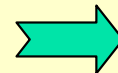
Each station:

- 4 or 5 staggered layers;
- 160 to 256 Pb glass blocks.

Station design



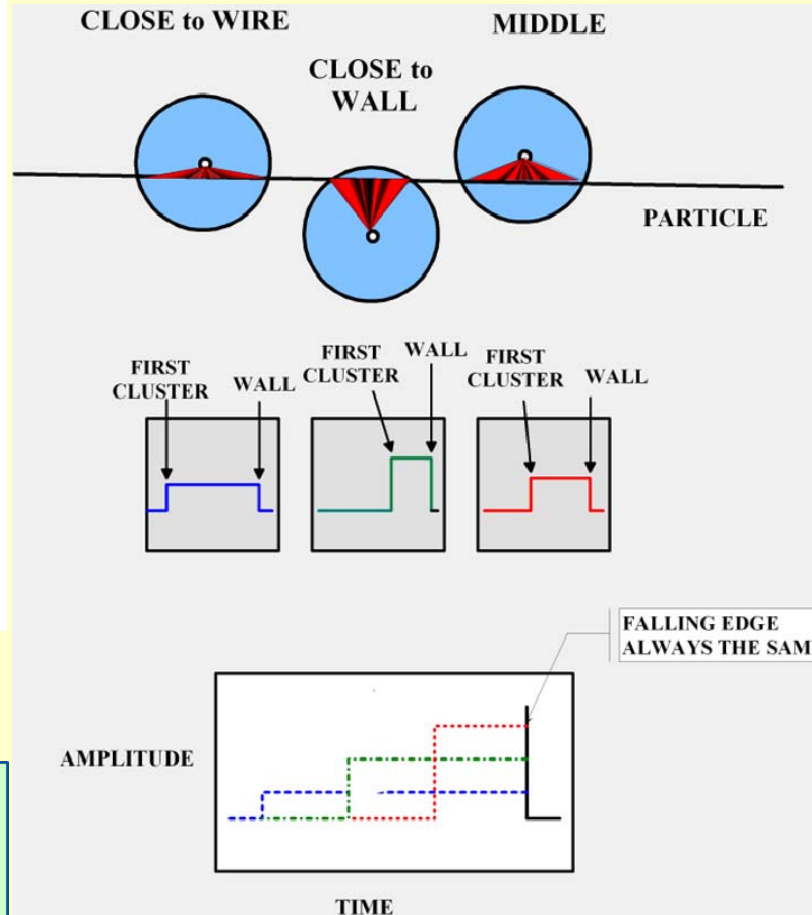
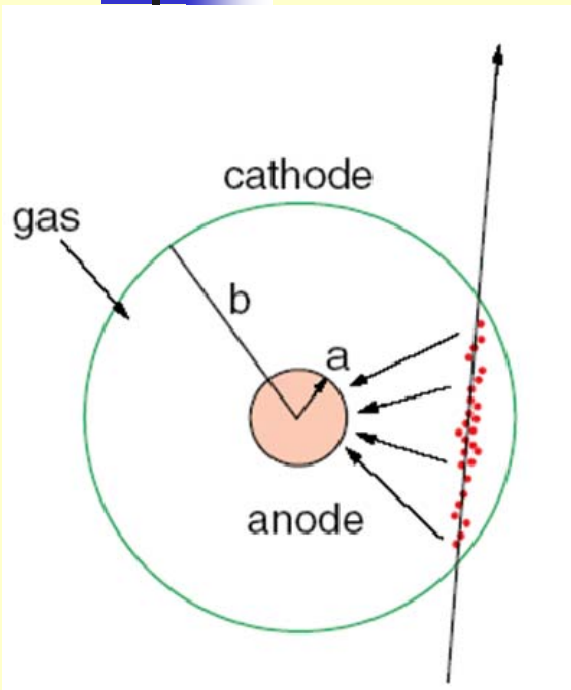
A LAV station constructed at Frascati



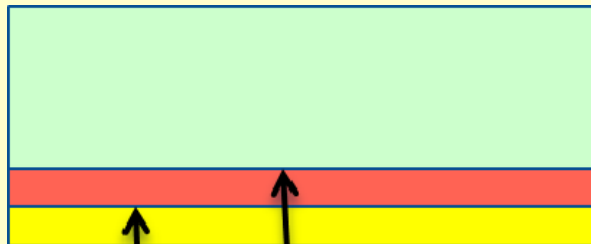
Construction of the straw tracker

to operate in vacuum

The straw principle:



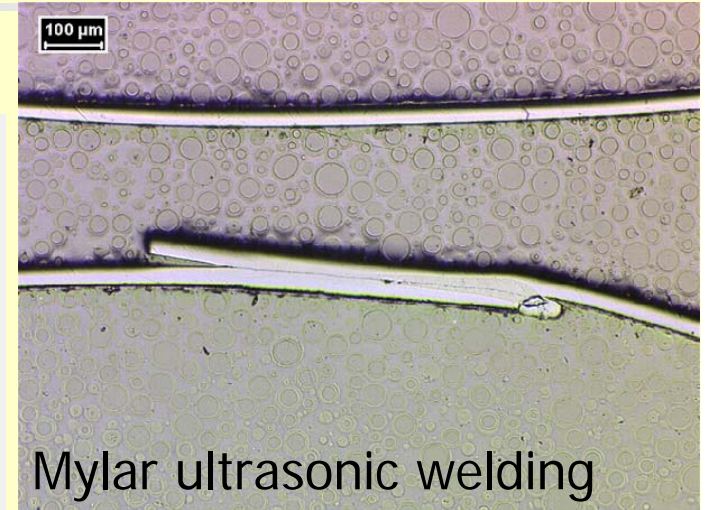
36 μm Mylar



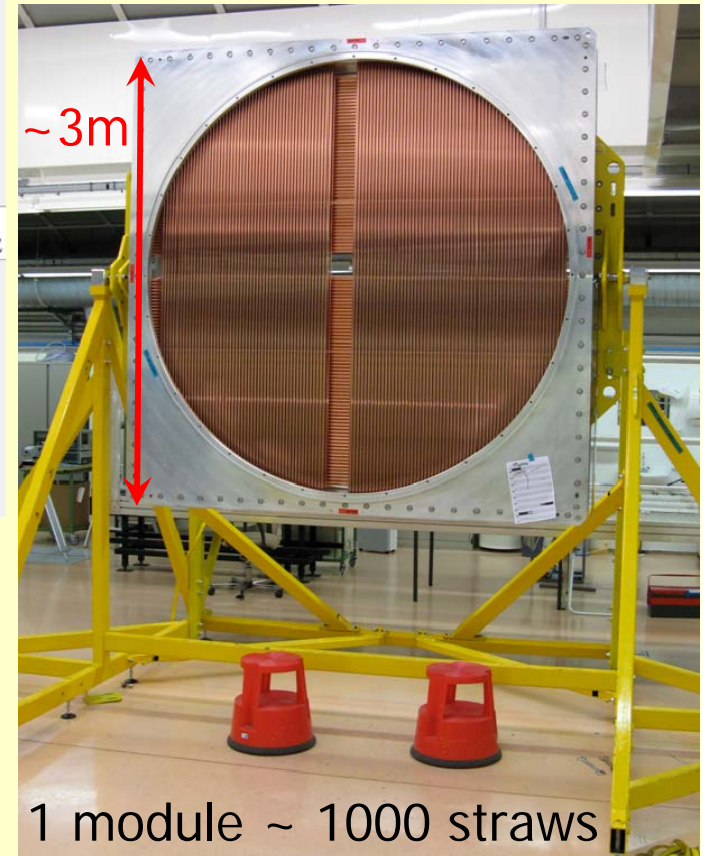
50 nm Cu

20 nm Au

- Falling edge has the same time for all straws on track;
- Rising edge and amplitude give the distance to wire.



Mylar ultrasonic welding



1 module ~ 1000 straws

The wider NA62 programme

- Lepton Flavour Universality test

$$R_K = \text{BR}(K^+ \rightarrow e^+ \nu) / \text{BR}(K^+ \rightarrow \mu^+ \nu).$$

Well established decay-in-flight technique.
 Expected NA62 precision: $\delta R_K / R_K < 0.2\%$.
 Competitor: TREK@J-PARC (stopped K^+).

- Searches for lepton flavour/number violation

$$K^+ \rightarrow \pi^+ \mu^+ e^-, K^+ \rightarrow \pi^+ \mu^- e^+, K^+ \rightarrow \pi^- \mu^+ e^+, \\ K^+ \rightarrow \pi^- \mu^+ \mu^+, K^+ \rightarrow \pi^- e^+ e^+.$$

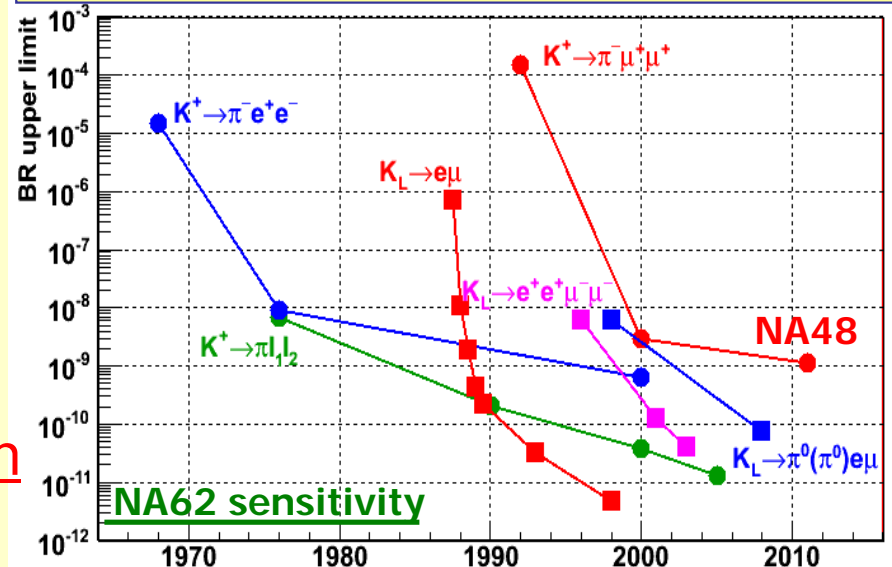
Current upper limits: $\sim 10^{-10} \dots 10^{-11}$.
 Expected NA62 limits
 (subject to trigger configuration): $\sim 10^{-12}$.

- Searches for heavy sterile neutrinos ($m_\nu < m_K$):

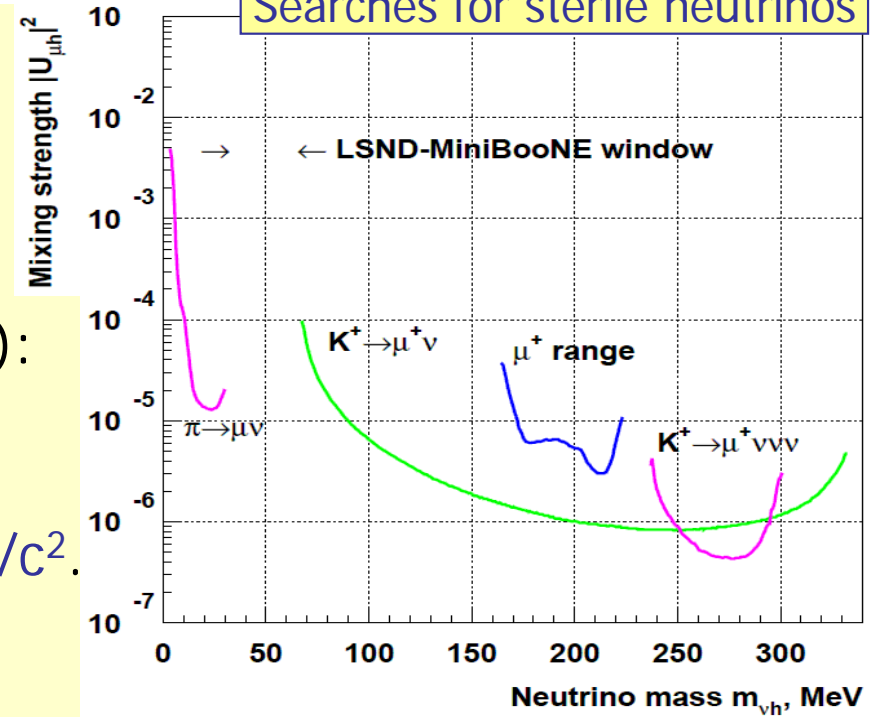
$K^+ \rightarrow \mu^+ \nu_H$ via missing mass or $\nu_H \rightarrow \nu \gamma$ decay.
 Possible interpretation of LSND/MiniBooNE results: existence of neutrino with $m \sim 60 \text{ MeV}/c^2$.

S.N.Gninenko, PRD83 (2011) 015015

Searches for LFV/LNF: BR upper limit vs year



Searches for sterile neutrinos



Lepton Flavour Physics

(UK contribution to NA62)

Lepton Flavour Universality (LFU): not a fundamental law (violated in ν sector).
New physics models (2HDM, SUSY, SM4): significant LFU violation.

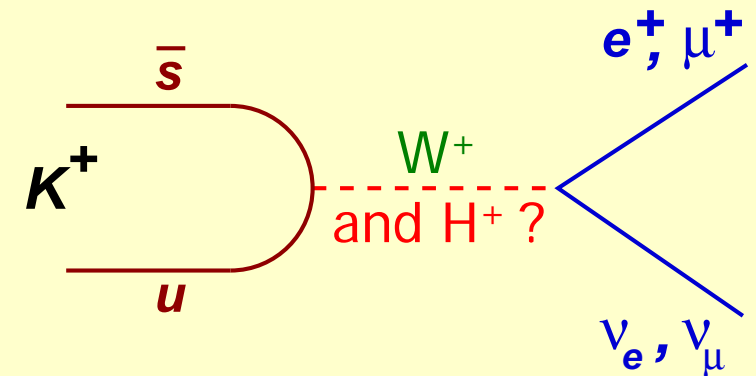
Observable sensitive to LFU violation:

$$R_K = \frac{\Gamma(K^\pm \rightarrow e^\pm \nu)}{\Gamma(K^\pm \rightarrow \mu^\pm \nu)} = \underbrace{\frac{m_e^2}{m_\mu^2}}_{\text{Helicity suppression: } f \sim 10^{-5}} \cdot \left(\frac{m_K^2 - m_e^2}{m_K^2 - m_\mu^2} \right)^2 \cdot \underbrace{(1 + \delta R_K^{\text{rad. corr.}})}_{\text{Radiative correction (well known, few \%)}}$$

Helicity suppression: $f \sim 10^{-5}$

Radiative correction
(well known, few %)

- **SM prediction**: excellent sub-permille accuracy: not obstructed by hadronic uncertainties.
- Measurements of R_K (and R_π) have long been considered as tests of LFU.
- NP contributions accessible experimentally due to the suppression of the SM value.



$$R_K^{\text{SM}} = (2.477 \pm 0.001) \times 10^{-5}$$

PL99 (2007) 231801

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$R_K = K_{e2}/K_{\mu2}$ beyond the SM

2HDM – tree level (including SUSY)

K_{12} can proceed via exchange of charged Higgs H^\pm instead of W^\pm

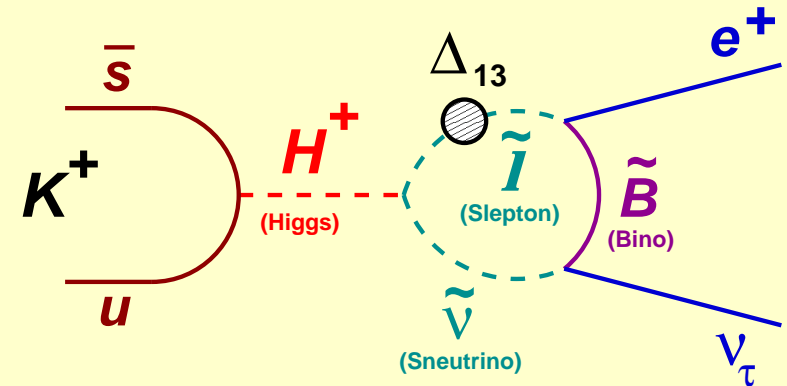
→ Does not affect the ratio R_K

2HDM – one-loop level

Dominant contribution to R_K : H^\pm mediated LFV (rather than LFC) with emission of ν_τ

→ R_K enhancement can be experimentally accessible

Masiero et al., PRD 74 (2006) 011701,
Masiero et al., JHEP 0811 (2008) 042



$$R_K^{\text{LFV}} \approx R_K^{\text{SM}} \left[1 + \left(\frac{m_K^4}{M_{H^\pm}^4} \right) \left(\frac{m_\tau^2}{M_e^2} \right) |\Delta_{13}|^2 \tan^6 \beta \right]$$

→ $\sim \tan^6 \beta$, cf. $B_s \rightarrow \mu^+ \mu^-$

uniquely sensitive to slepton mixing

$\mathcal{O}(1\%)$ enhancement possible without contradicting any experimental constraints.

Girrbach, Nierste, arXiv:1202.4906

SM with 4-th generation:

$$R_K^{4\text{SM}} = R_K^{\text{SM}} (1 - |U_{e4}|^2) / (1 - |U_{\mu4}|^2)$$

Sub-percent precision on R_K provides non-trivial constraints on neutrino mixing

Lacker and Menzel, JHEP 1007 (2010) 00611

NA62 (R_K phase)

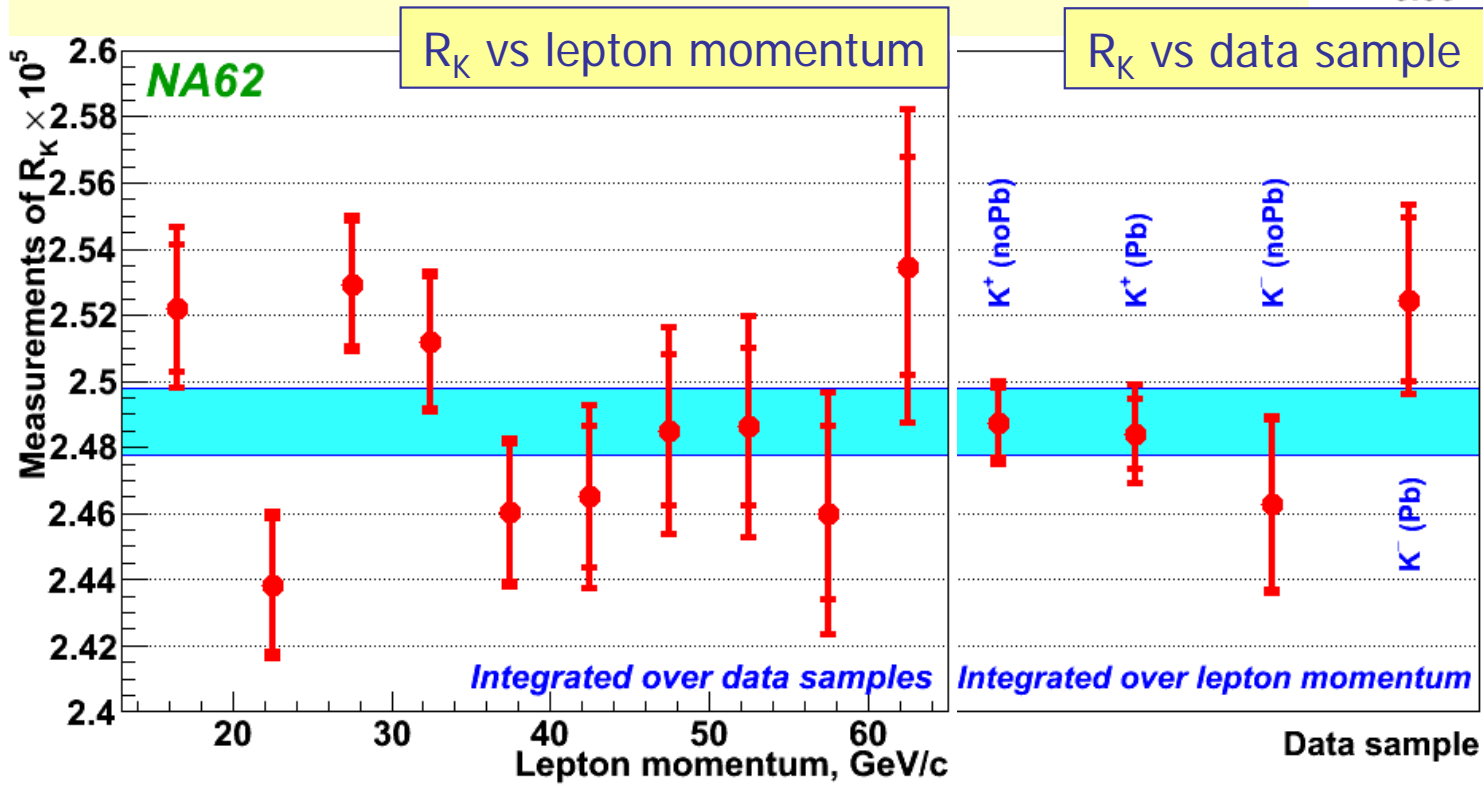
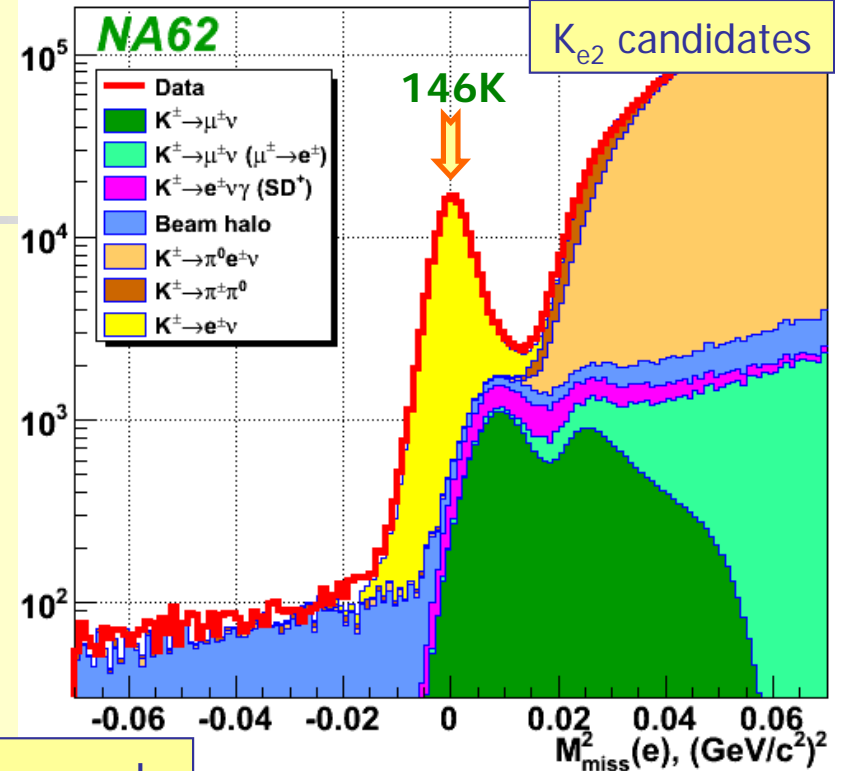
Final result with the full 2007 data set:

$$R_K = (2.488 \pm 0.007_{\text{stat}} \pm 0.007_{\text{syst}}) \times 10^{-5}$$

$$= (2.488 \pm 0.010) \times 10^{-5}$$

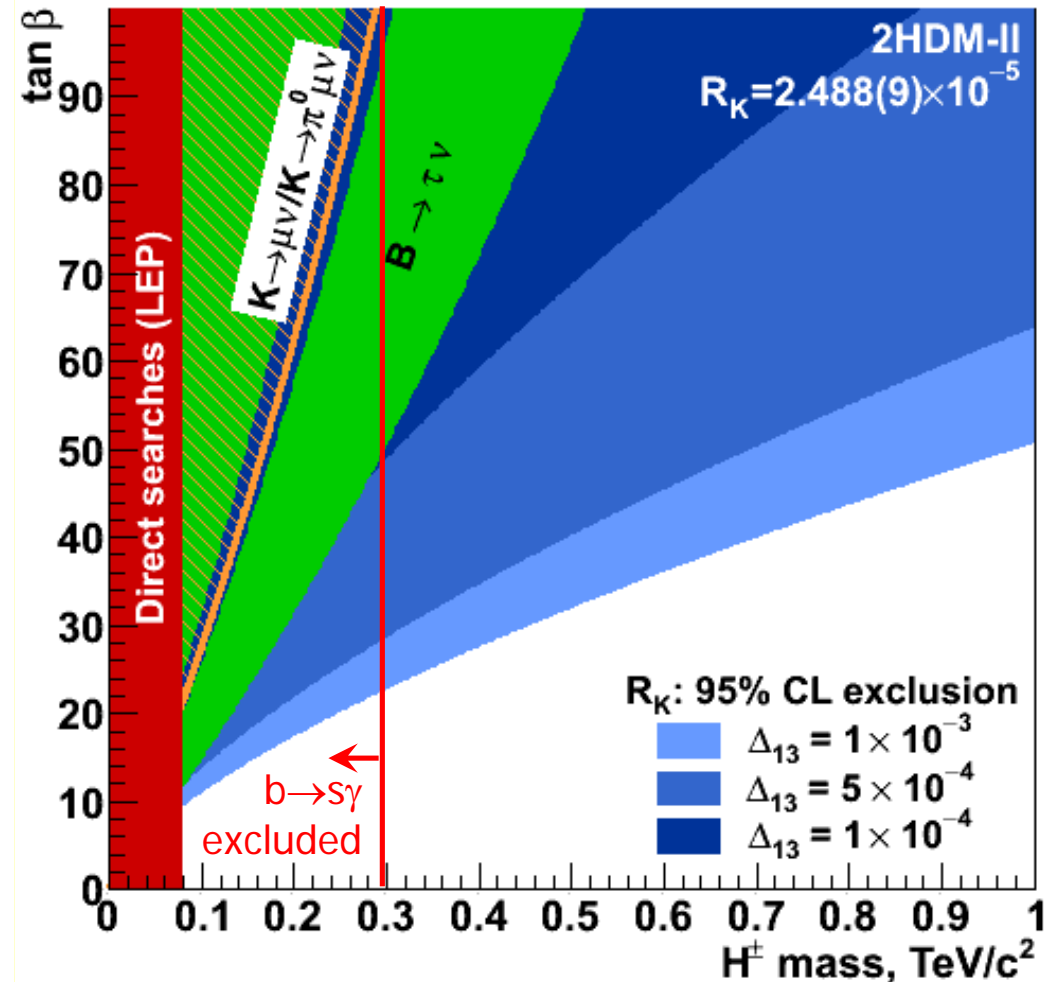
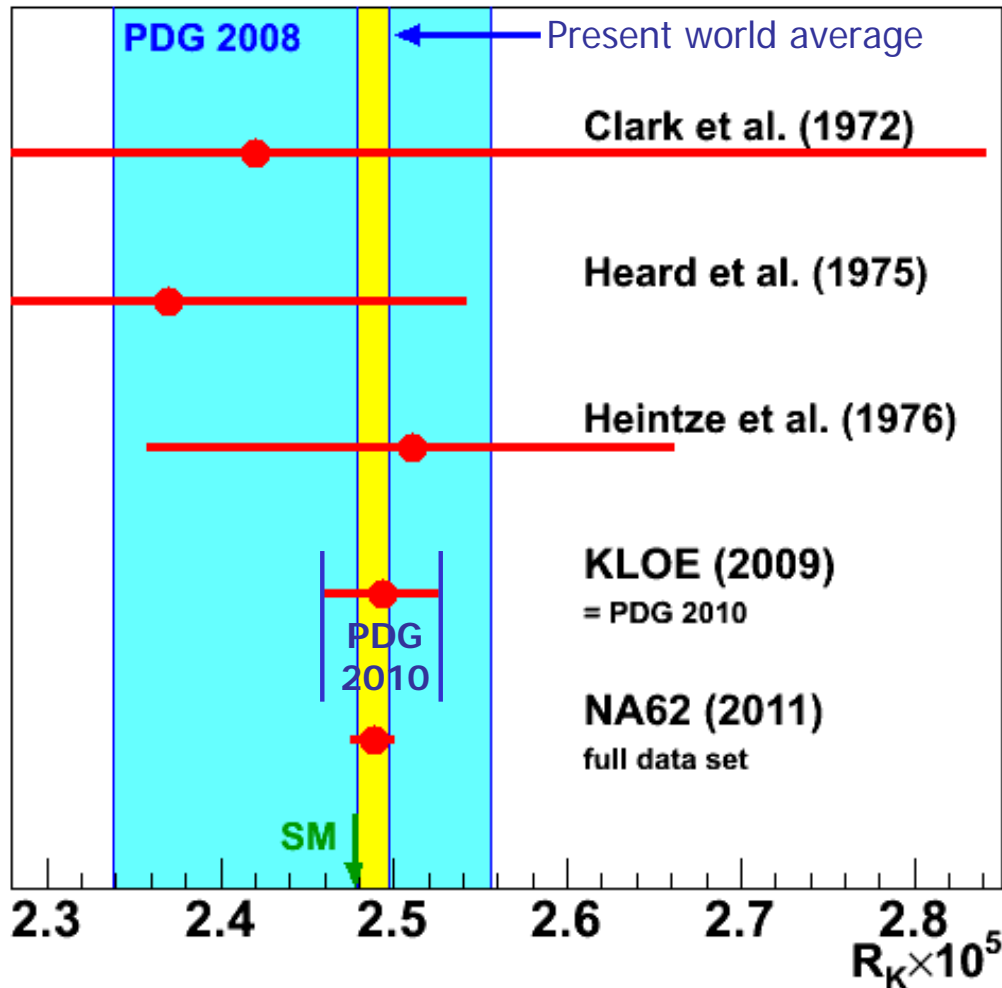
Partial (40%) data set: PLB 698 (2011) 105.

Full data set: paper to be submitted in April/May 2012.



Uncertainty source	$\delta R_K \times 10^5$
Statistical	0.007
$K_{\mu 2}$ background	0.004
$K^{\pm} \rightarrow e^{\pm} \nu \gamma (SD^+)$	0.002
$K^{\pm} \rightarrow \pi^0 e^{\pm} \nu, K^{\pm} \rightarrow \pi^{\pm} \pi^0$	0.003
Beam halo background	0.002
Matter composition	0.003
Acceptance correction	0.002
DCH alignment	0.001
Electron identification	0.001
1TRK trigger efficiency	0.001
LKr readout efficiency	0.001
Total uncertainty	0.010

R_K world average



World average	$\delta R_K \times 10^5$	Precision
PDG 2008	2.447 ± 0.109	4.5%
Now	2.488 ± 0.009	0.4%

Other limits on 2HDM-II:
 PRD 82 (2010) 073012.
 SM with 4 generations:
 JHEP 1007 (2010) 006.

Conclusions

- The $K \rightarrow \pi \nu \nu$ decays are extremely suppressed and precisely predicted within the SM.
 - unique sensitivity to new physics;
 - a way of pushing the energy frontier above 14 TeV pp interactions.
- NA62: first experiment aiming to measure $BR(K \rightarrow \pi \nu \bar{\nu})$ to $\sim 10\%$ precision.
 - a timely measurement complementary to the LHC programme.
- The NA62 programme spans well beyond the flagship decay mode.
 - lepton flavour and number violation,
sterile neutrinos, rare and radiative decays, ...
- Precise measurement of the helicity-suppressed ratio $R_K = \Gamma(K \rightarrow e \nu) / \Gamma(K \rightarrow \mu \nu)$ completed at the previous stage of NA62:
 - record precision; non-trivial bounds on the 2HDM parameters.