

# Neutrino Oscillation Phenomenology

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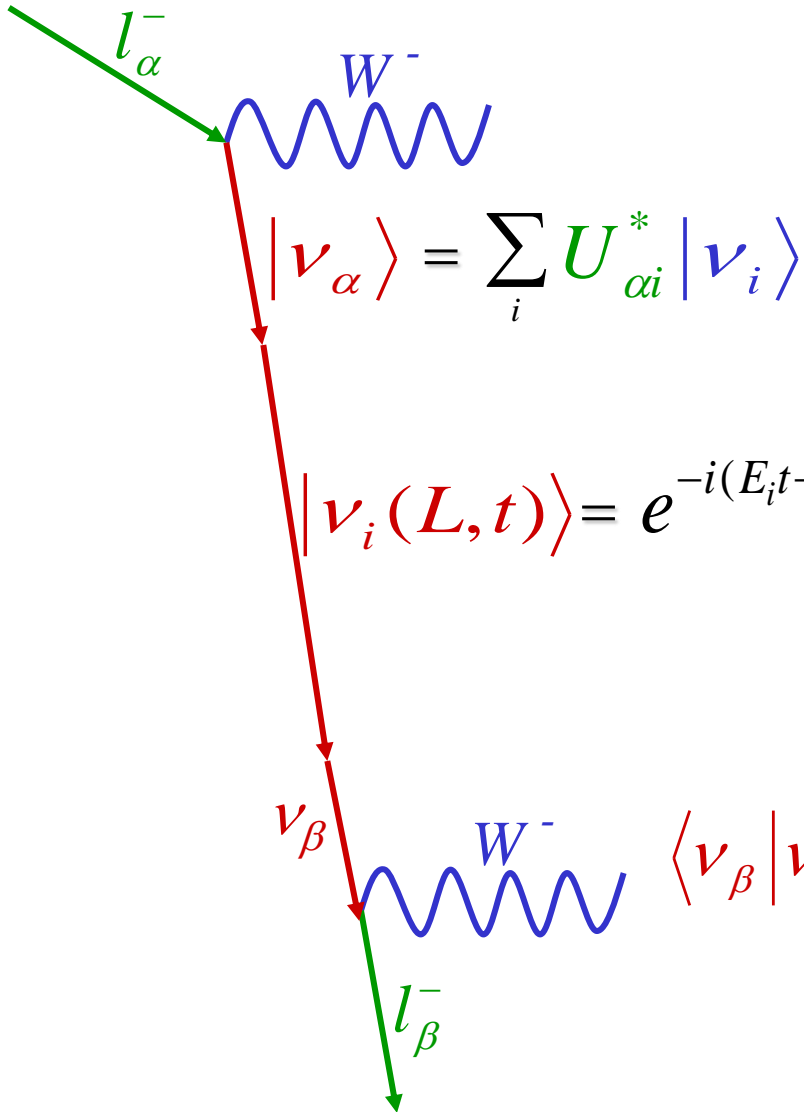
Enrique Fernández Martínez



# Why Neutrinos?

- Evidence of physics beyond the SM
  - Many open questions:
    - Masses? Why so small??
      - Absolute mass scale?
      - Normal or inverted hierarchy?
    - Mixing?
      - Large compared with CKM
      - $\theta_{23} = 45^\circ$ ?  $\theta_{13} = 0^\circ$ ?
      - CP violation?
    - Dirac or Majorana particles?
- Flavour
- Origin of matter
- New generation of neutrino experiments to address these questions is now running!

# Neutrino Oscillations



$$|\nu_\alpha\rangle = \sum_i U_{\alpha i}^* |\nu_i\rangle$$

$$|\nu_i(L, t)\rangle = e^{-i(E_i t - p_i L)} |\nu_i\rangle$$

$$\langle \nu_\beta | \nu_\alpha(L) \rangle \approx \sum_i U_{\beta i} e^{\frac{-im_i^2 L}{2E}} U_{\alpha i}^* \neq 0$$

Massive neutrinos  
can change  
flavour in flight

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2(2\theta) \sin^2\left(\Delta m^2 \frac{L}{4E}\right)$$

# Neutrino Parameters

Interaction  
Basis

$$|\nu_e\rangle$$

$$|\nu_\mu\rangle$$

$$|\nu_\tau\rangle$$

$U_{PMNS}$



Mass Basis

$$|\nu_1\rangle \quad m_1$$

$$|\nu_2\rangle \quad m_2$$

$$|\nu_3\rangle \quad m_3$$

$$|\nu_\alpha\rangle = U_{\alpha i}^* |\nu_i\rangle \text{ with } \alpha = e, \mu, \tau \quad i = 1, 2, 3$$

Atmospheric

Mixing

Solar

Majorana Phases

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha_2/2} & 0 \\ 0 & 0 & e^{i\alpha_3/2} \end{pmatrix}$$

With  $c_{ij} = \cos\theta_{ij}$  y  $s_{ij} = \sin\theta_{ij}$

# The atmospheric neutrino problem

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- Cosmic rays produce neutrinos upon reaching the atmosphere:

$$\pi^+ \rightarrow \mu^+ \nu_\mu \quad \mu^+ \rightarrow e^+ \nu_e \bar{\nu}_\mu$$

- We expect  $\approx 2\nu_\mu$  for each  $\nu_e$
- A  $\nu_\mu$  deficit is measured in SK

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## Neutrino oscillations with

$$\Delta m_{23}^2 = 2.5 \cdot 10^{-3} \text{ eV}^2 \quad \sin^2 \theta_{23} = 0.52$$

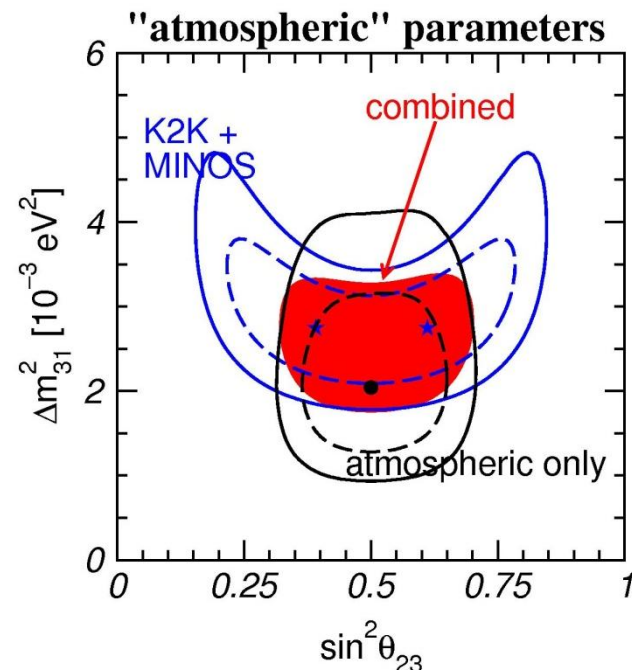
$$\nu_\mu \rightarrow \nu_\tau$$

Confirmed by K2K, MINOS,

T2K and OPERA with  $\nu_\mu$   
from accelerators

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2(2\theta) \sin^2\left(\Delta m^2 \frac{L}{4E}\right)$$

octant?      sign?



# The Solar Neutrino Problem

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- The sun produces  $\nu_e$  together with photons in nuclear reactions in its core



- **SNO** and **SK** and now **Borexino** have measured a  $\nu_e$  deficit:

# The Solar Neutrino Problem

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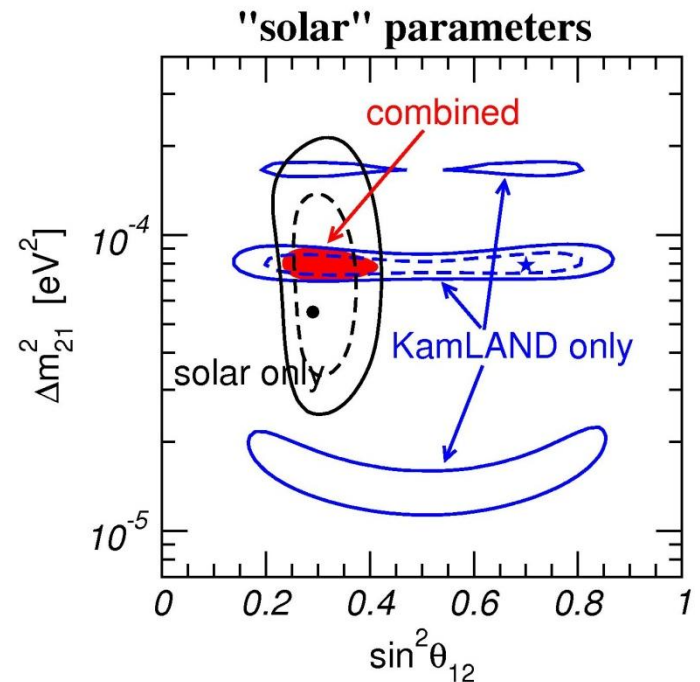
- **SNO** and **SK** and now **Borexino** have measured a  $\nu_e$  deficit:

## Neutrino Oscillations with

$$\sin^2 \theta_{12} = 0.31 \quad \Delta m_{12}^2 = 7.6 \cdot 10^{-5} \text{eV}^2$$

$$\nu_e \rightarrow \nu_\mu, \nu_\tau$$

Confirmed by KamLAND  
with reactor  $\bar{\nu}_e$





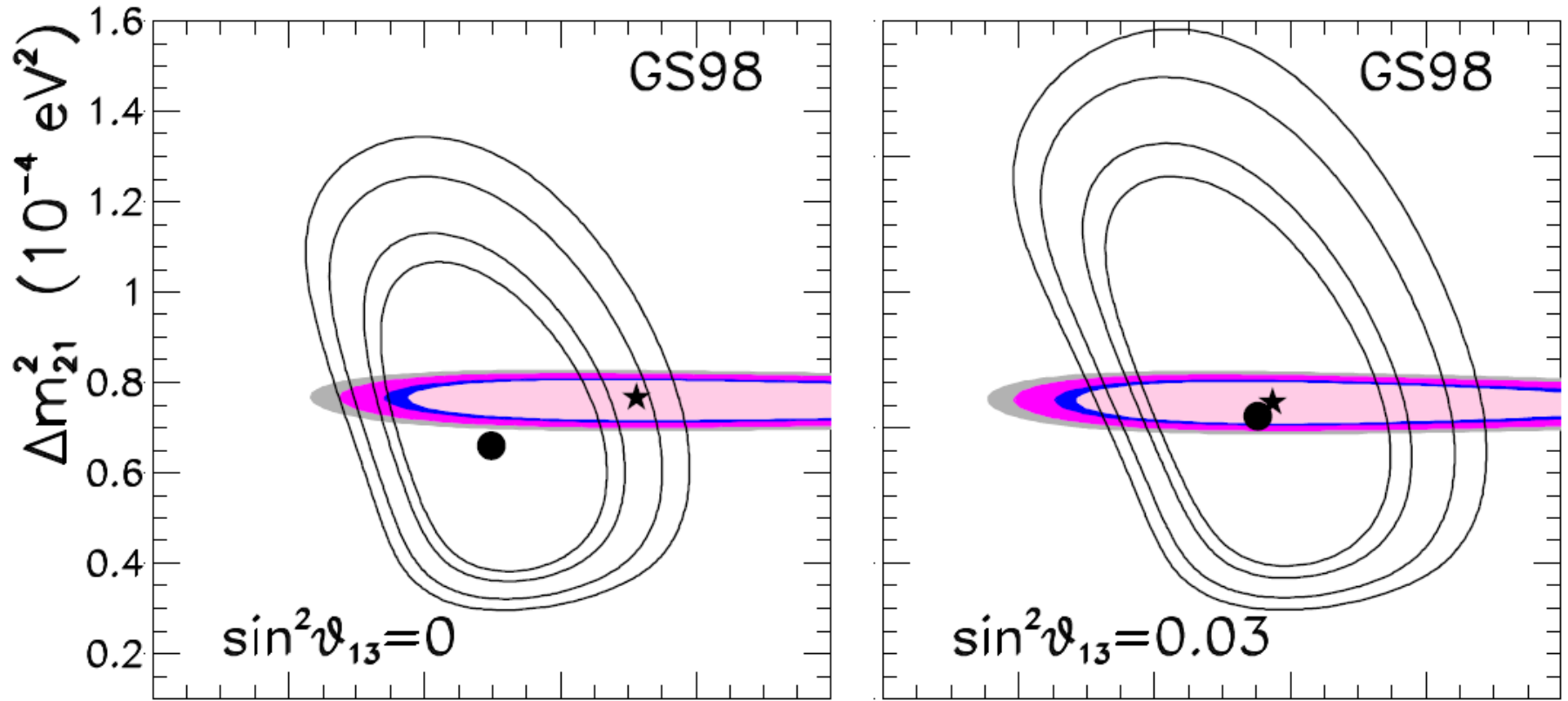
# Oscillation Parameters

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- What we already know ( $1\sigma$ )

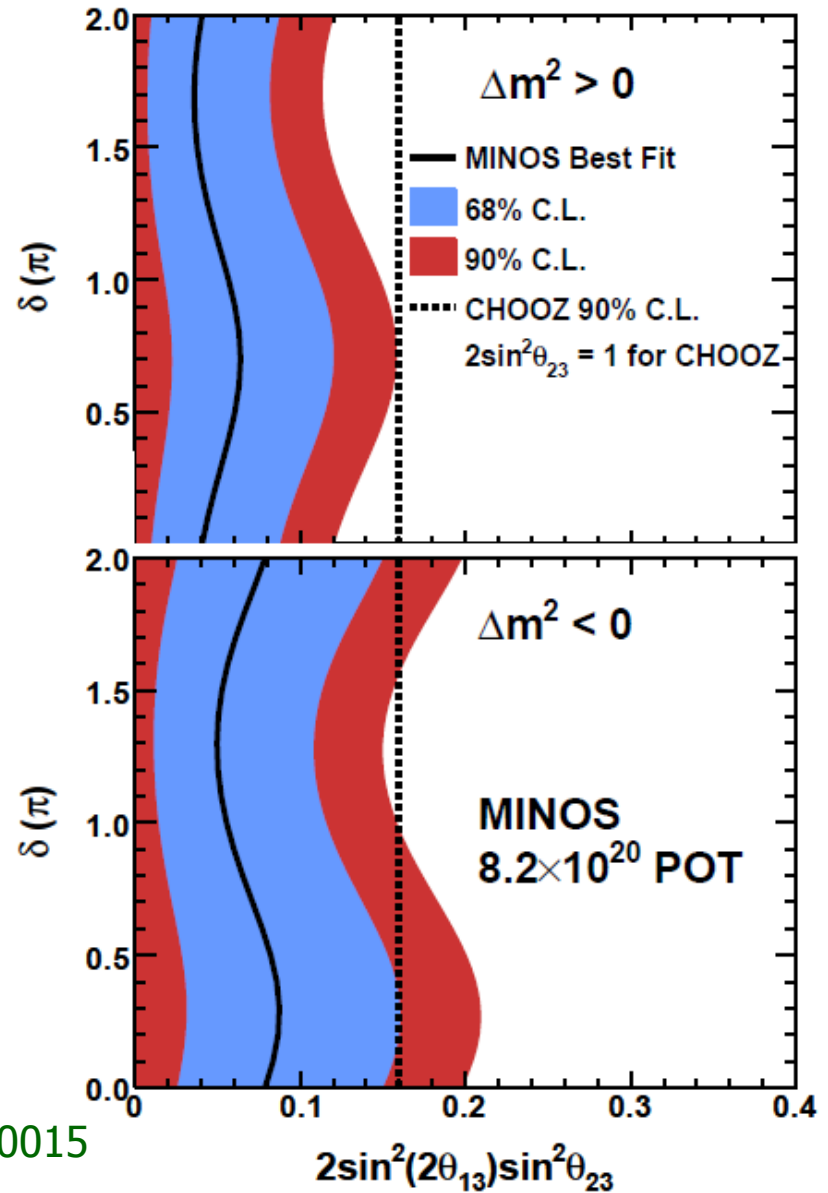
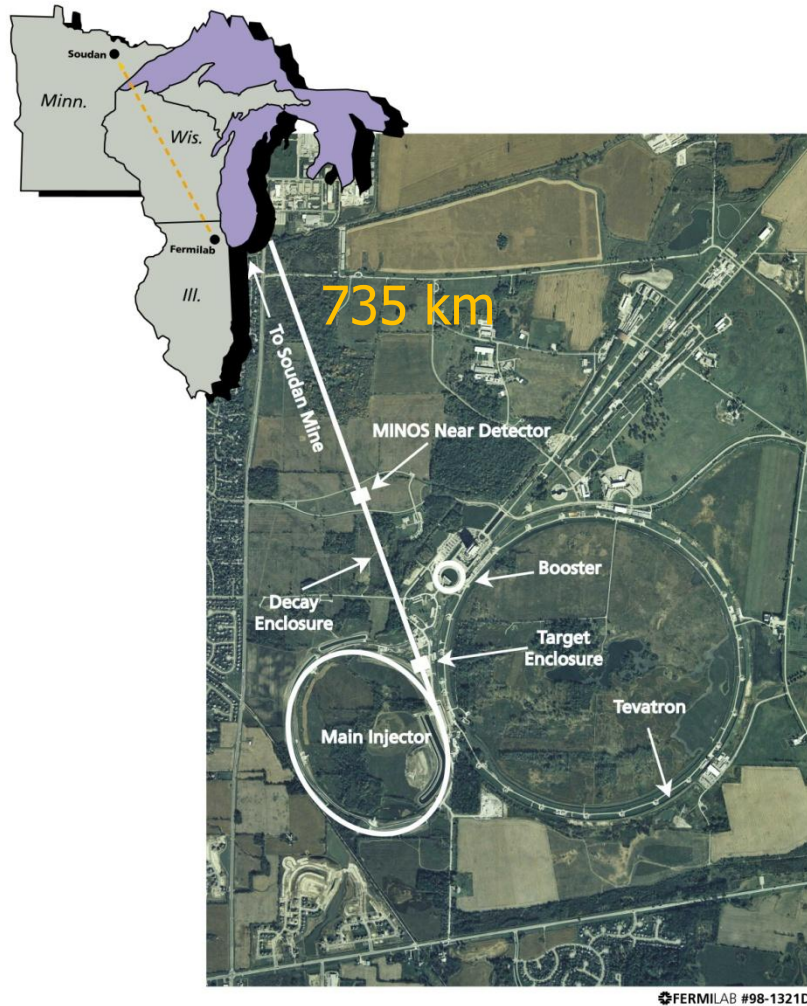
- Solar sector  $\left\{ \begin{array}{l} \Delta m_{21}^2 = 7.59_{-0.18}^{+0.20} \cdot 10^{-5} \text{ eV}^2 \\ \sin^2 \theta_{12} = 0.312_{-0.015}^{+0.017} \end{array} \right.$
- Atm. sector  $\left\{ \begin{array}{l} \Delta m_{31}^2 = 2.5_{-0.16}^{+0.09} \cdot 10^{-3} / -2.4_{-0.08}^{+0.09} \cdot 10^{-3} \text{ eV}^2 \\ \sin^2 \theta_{23} = 0.52_{-0.07}^{+0.06} \end{array} \right.$

# Large $\theta_{13}$ ?

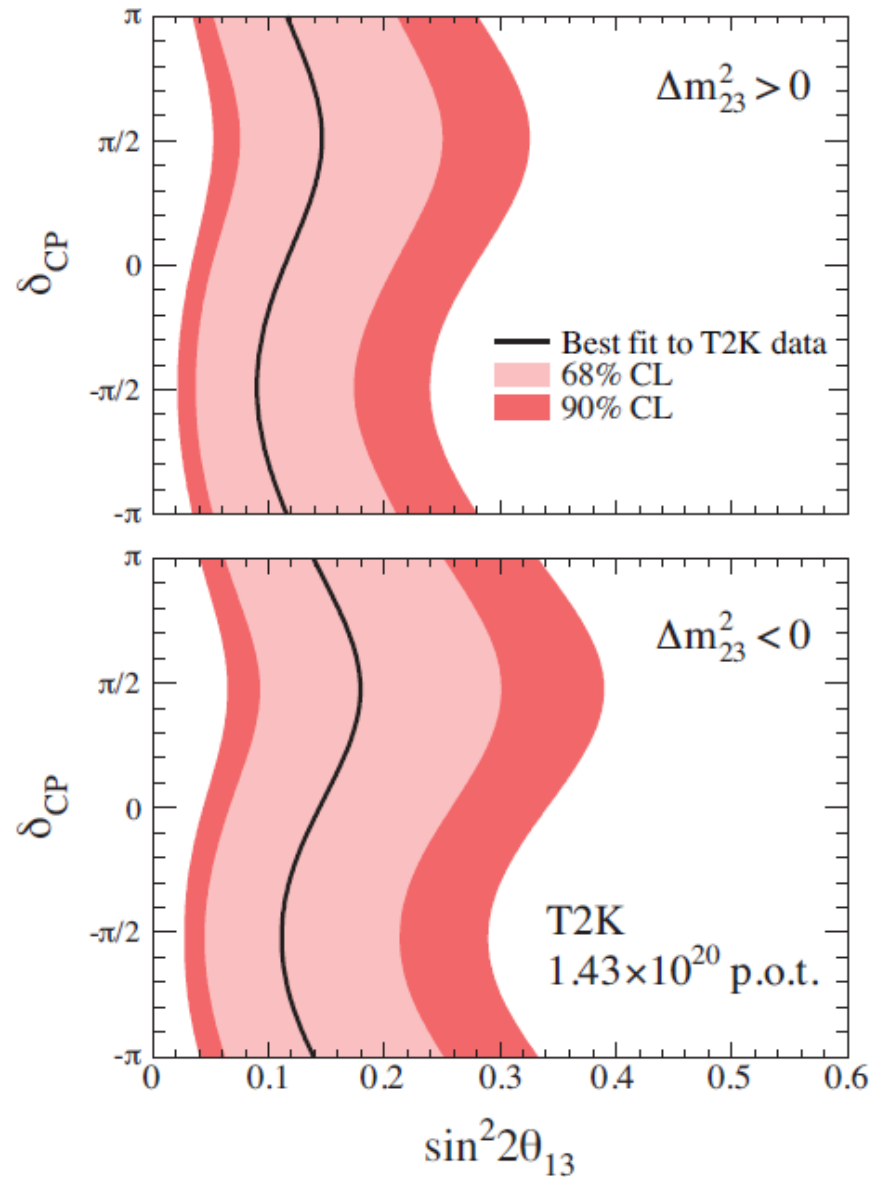
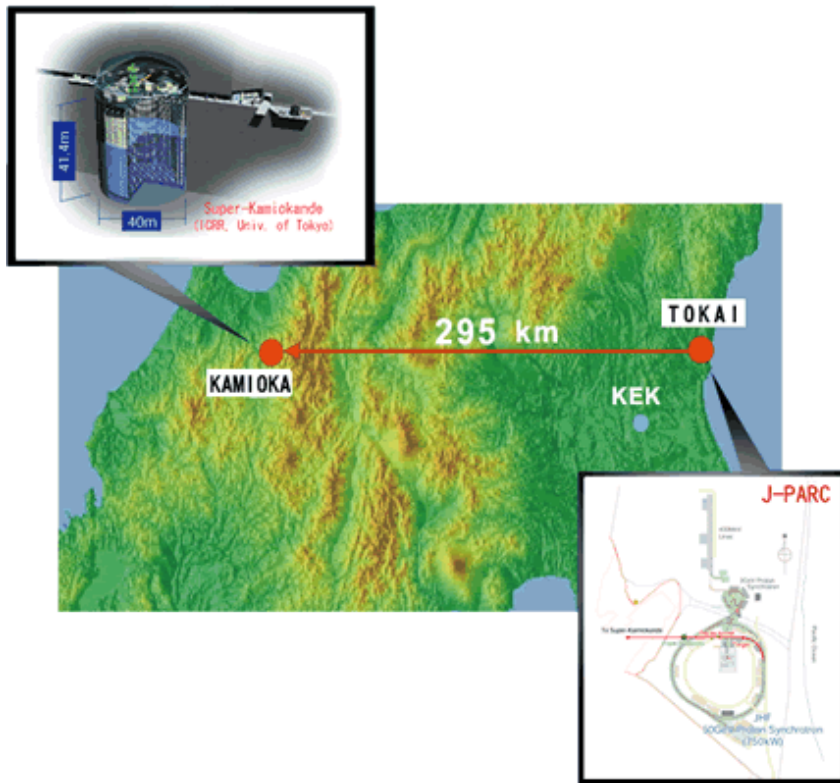


Solar + kamLAND data

# Large $\theta_{13}$ ?

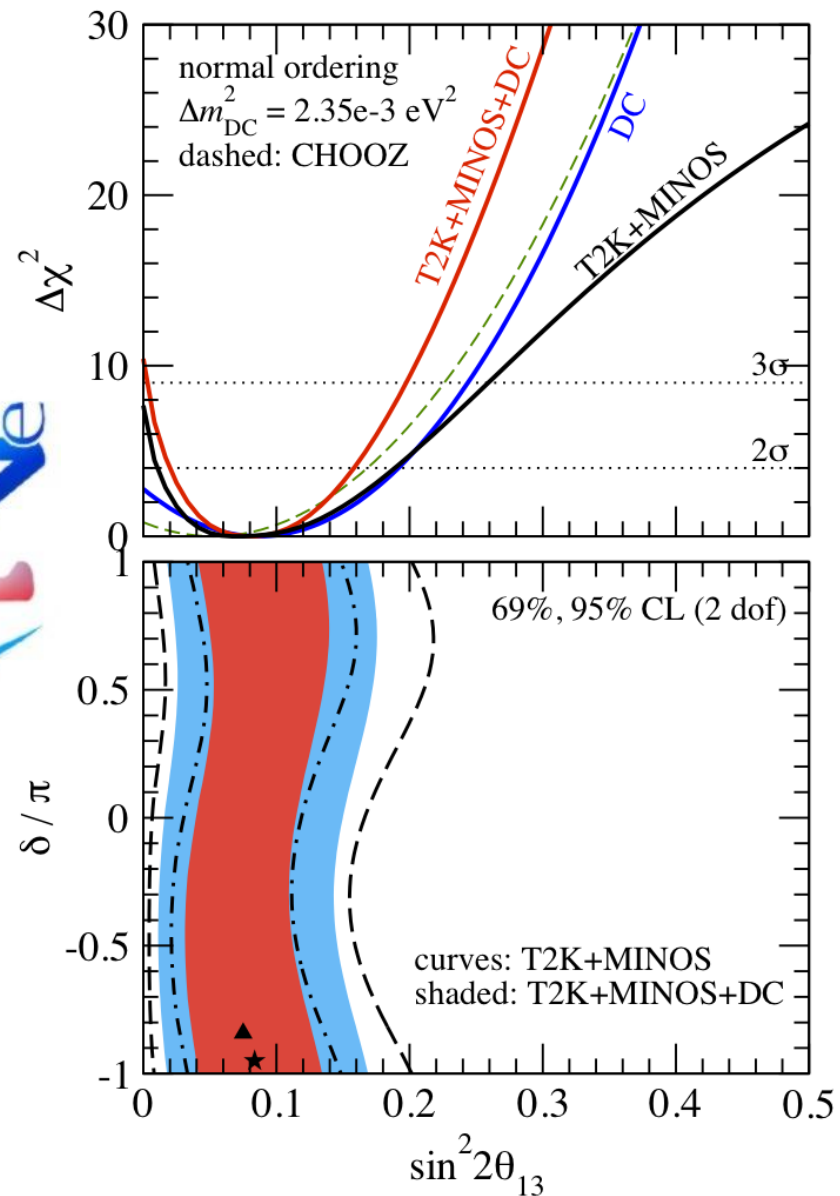
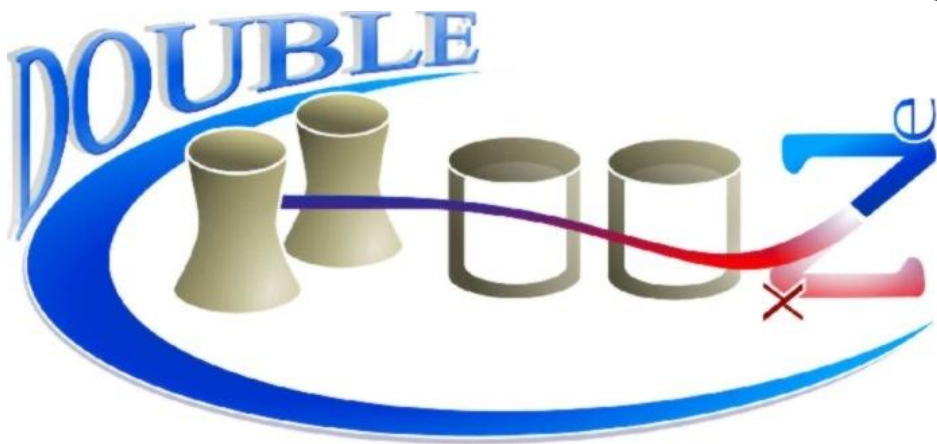


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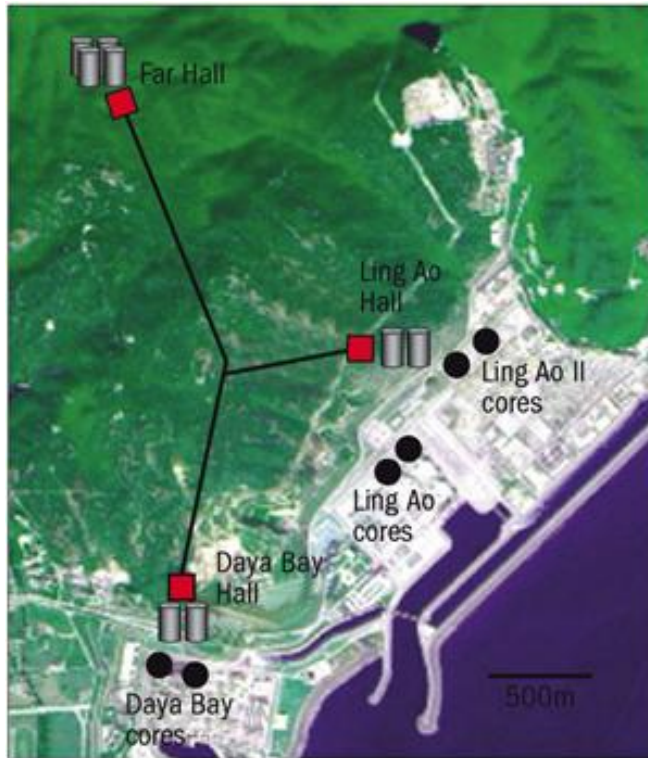


Abe et al. (T2K collaboration) 1106.2822

# Large $\theta_{13}$ ?



# Large $\theta_{13}$

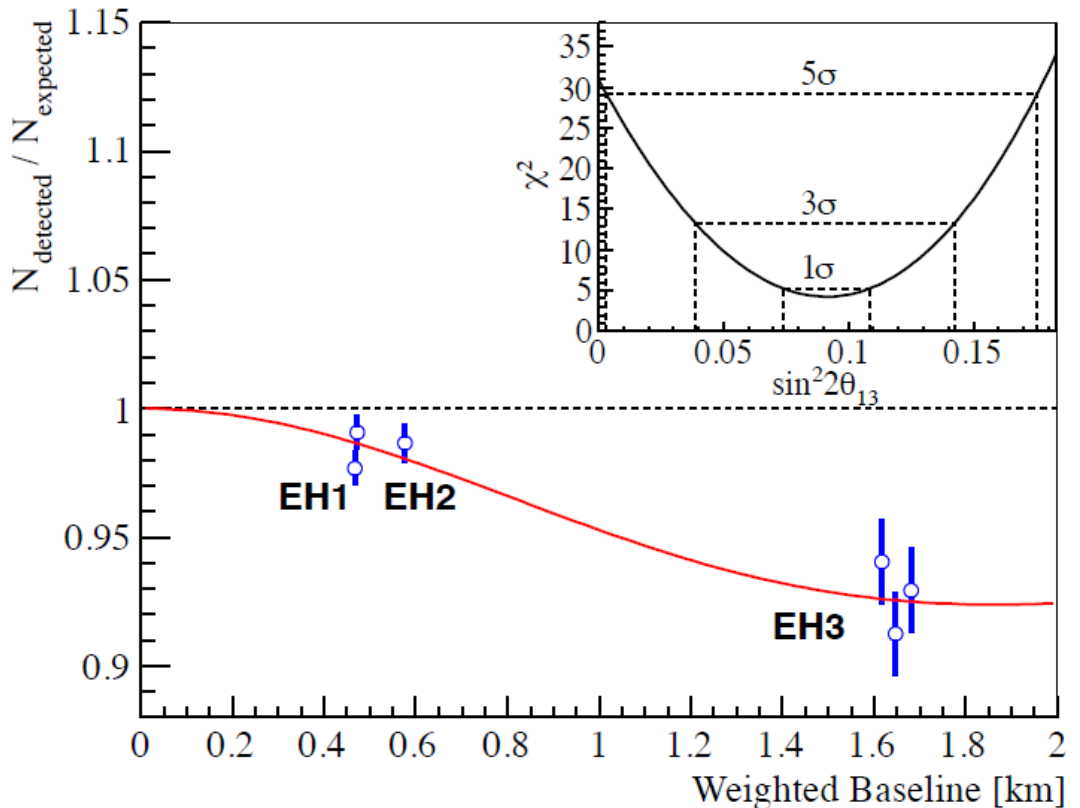


## Daya Bay

$$\sin^2 2\theta_{13} = 0.092 \pm 0.016 \pm 0.005$$

No oscillations excluded at  $5.2 \sigma$ !!

2 weeks ago



# Oscillation Parameters

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$$\sin^2 \theta_{13} = 0.024 \pm 0.004$$

# Oscillation Parameters

- What we already know ( $1\sigma$ )

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- $\sin^2 \theta_{13} = 0.024 \pm 0.004$

- What we still don't know

- $\delta$
- Mass hierarchy  $s_{atm} = \text{sign}(\Delta m_{31}^2)$



# The Golden channel in matter

$$\begin{aligned}
 P(\bar{\nu}_e \rightarrow \bar{\nu}_\mu) = & s_{23}^2 \sin^2 2\theta_{13} \left( \frac{\Delta_{atm}}{\tilde{B}_\mp} \right)^2 \sin^2 \left( \frac{\tilde{B}_\mp L}{2} \right)^2 \\
 & + c_{23}^2 \sin^2 2\theta_{12} \left( \frac{\Delta_{sol} L}{A} \right)^2 \sin^2 \left( \frac{AL}{2} \right) \\
 & + \tilde{J} \frac{\Delta_{sol}}{A} \frac{\Delta_{atm}}{\tilde{B}_\mp} \sin \left( \frac{AL}{2} \right) \sin \left( \frac{\tilde{B}_\mp L}{2} \right) \cos \left( \pm \delta - \frac{\Delta_{atm} L}{2} \right)
 \end{aligned}$$

Expanded in

$$\sin 2\theta_{13} \sim 0.3 \qquad \left( \frac{\Delta_{sol} L}{2} \right) \cong 0.05$$

where

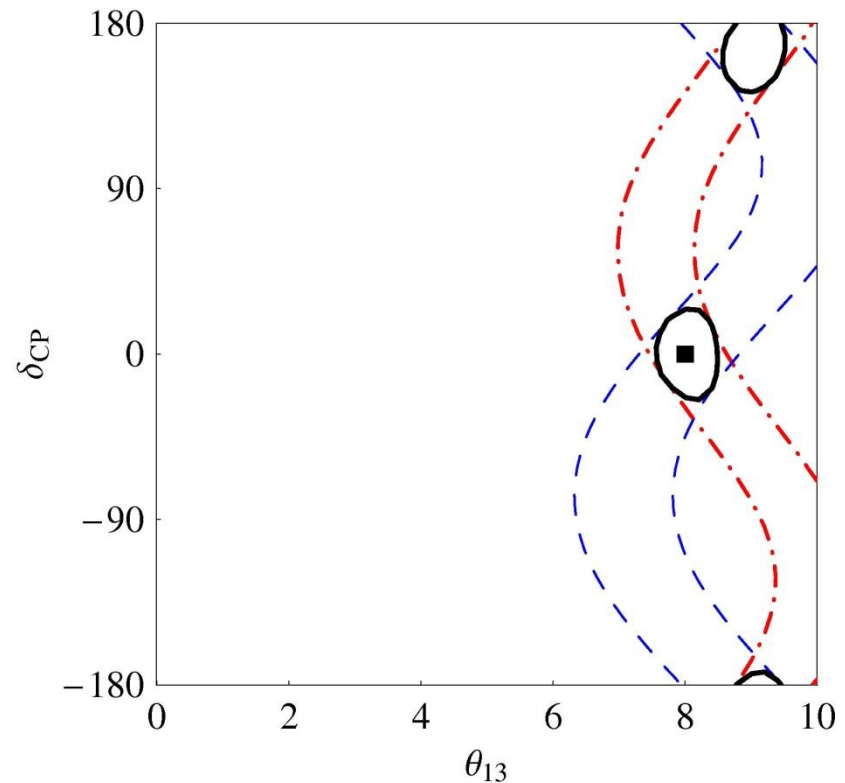
$$\tilde{J} = \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \qquad \Delta_{atm} = \frac{\Delta m_{23}^2}{2E} \qquad \Delta_{sol} = \frac{\Delta m_{12}^2}{2E}$$

$$A = \sqrt{2} G_F n_e \qquad \tilde{B}_\mp = |A \mp \Delta_{atm}|$$

A. Cervera *et al.* hep-ph/0002108

# The degeneracy problem

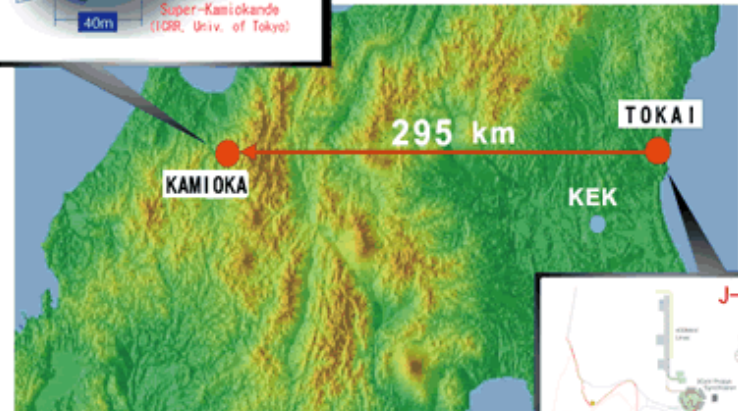
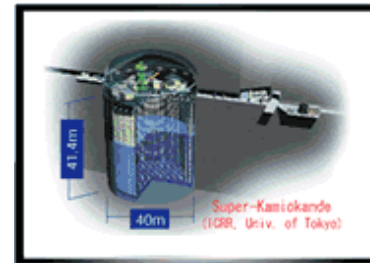
- Black square = input “true” value
- There is a curve of solutions
- If we add antineutrinos the two curves intersect in 2 regions: The *true* solution and an *intrinsic degeneracy*



J. Burguet-Castell *et al.* hep-ph/0103258

# Present (and near future) $\nu$ beams

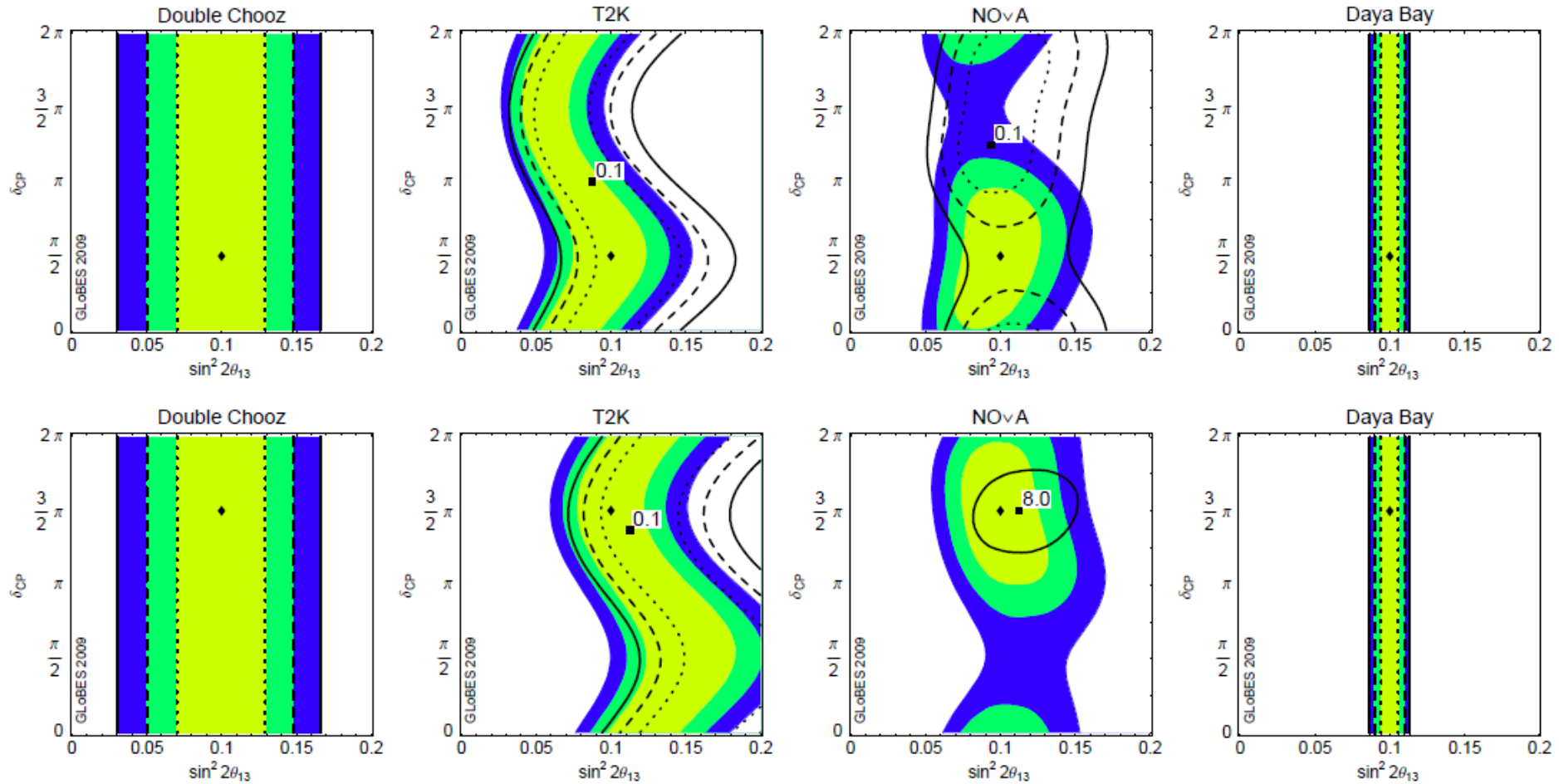
- **T2K**: L=295 Km, E= 0.4-1.2 GeV  
SK 22 kt water Cerenkov detector  
 $\nu_{\mu}$  beam  $\rightarrow$  no sensitivity to  $\delta$



- **Nova**: L=810 Km E= 1.5-3 GeV  
3 + 3 yr run. 2013 starts data taking  
15 kt active scintillator detector

# Sensitivities with present experiments

1, 2 and 3  $\sigma$

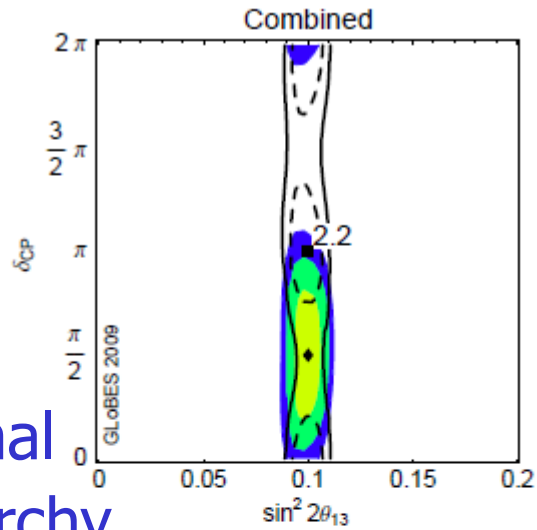


From P. Huber *et al.* 0907.1896

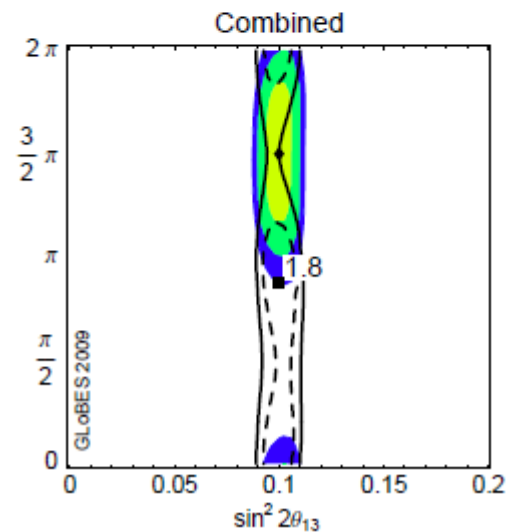
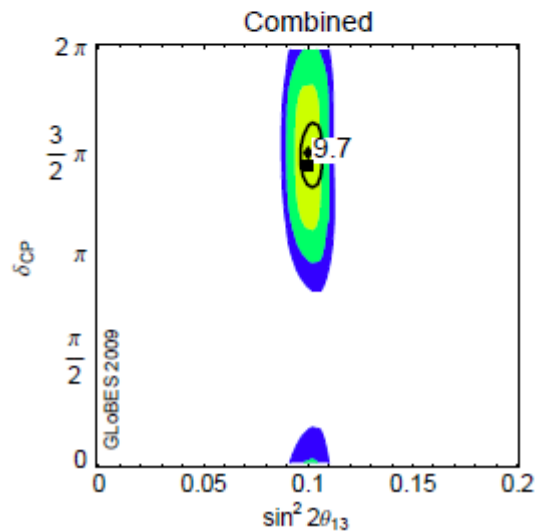
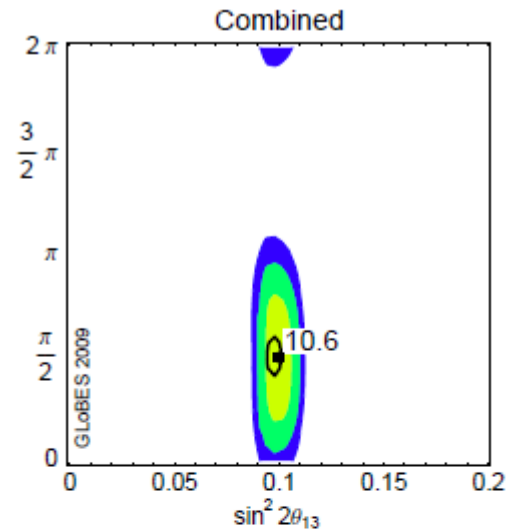
# Sensitivities with present experiments

1, 2 and 3  $\sigma$

Normal  
hierarchy

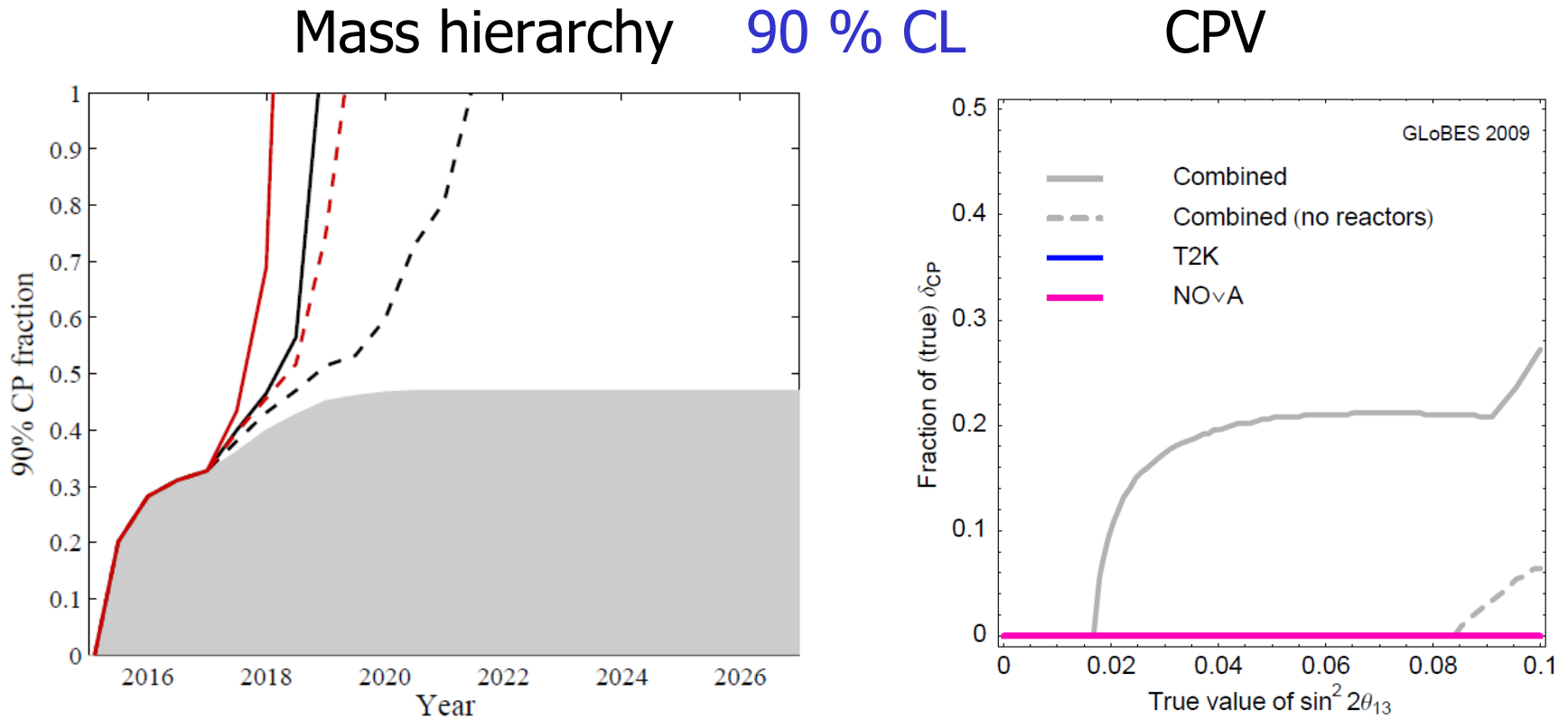


Inverted  
hierarchy



From P. Huber *et al.* 0907.1896

# CP and mass hierarchy in the next 10 years



Red 100 kt INO    Solid: high res INO ( $\sigma_E/E = 0.15, \sigma_\theta = 15^\circ$ )  
 Black 50 kt INO    Dashed: low res INO ( $\sigma_E/E = 0.10, \sigma_\theta = 10^\circ$ )

From M. Blennow and T. Schwetz 1203.3388  
 P. Huber *et al.* 0907.1896

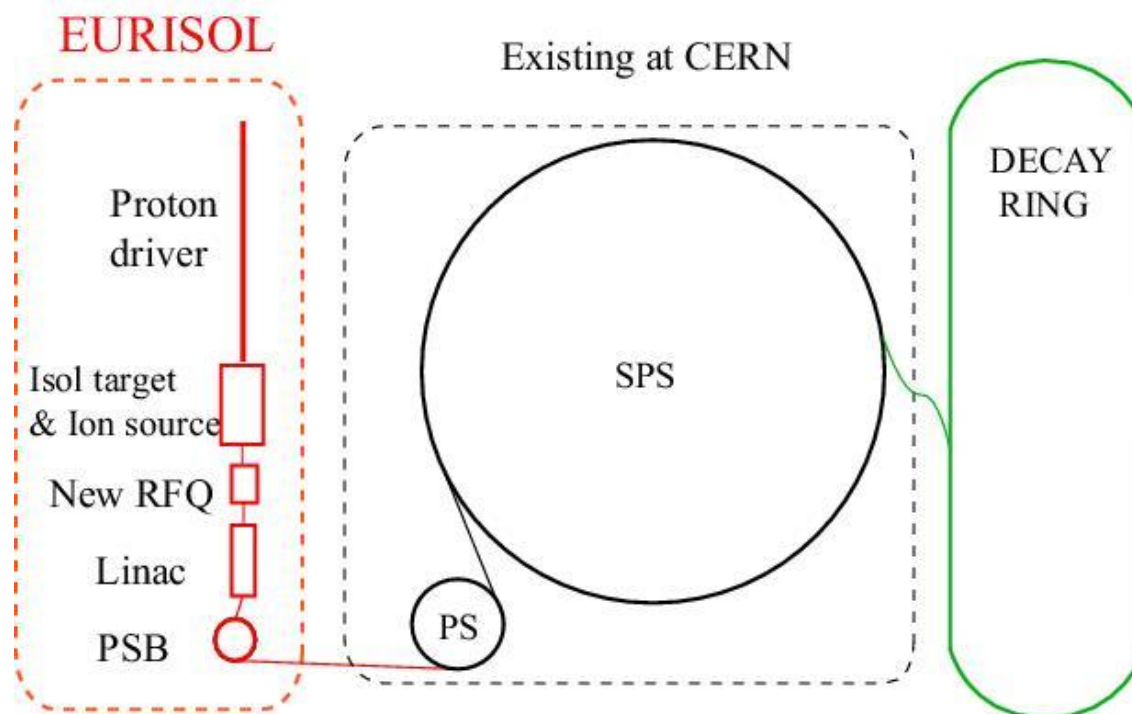
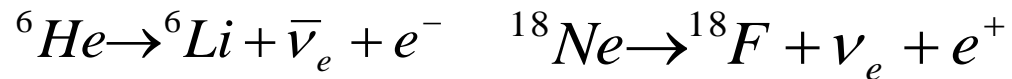
# Super-Beams

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- Intense conventional  $\nu_{\mu}$  beams from  $\pi$  decay with MW proton drivers
- **T2HK:** Beam power x2  
mass x25 (560 kt) Hyper-K  
Abe et al 1109.3262
- **LBNE:** Wide Band Beam  
E= 1-5 GeV  
Fnal – Dusel L=1300 km  
Liquid Ar detector 33.4 kt
- **SPL:** CERN - Frejus L=130 km  
E= 0.1-0.5 GeV  
500 kt water Cerenkov detector
- **LAGUNA-LBNO:** Wide Band Beam E= 1-8 GeV  
CERN – Pyhäsalmi L=2300 km  
Liquid Ar detector 100 kt

# $\beta$ -Beams

- Pure  $\nu_e$  beams from the  $\beta$  decay of radioactive ions





# Neutrino Factory

- Pure  $\nu_e$  and  $\nu_\mu$  from the  $\mu$  decay accelerated to 25 GeV

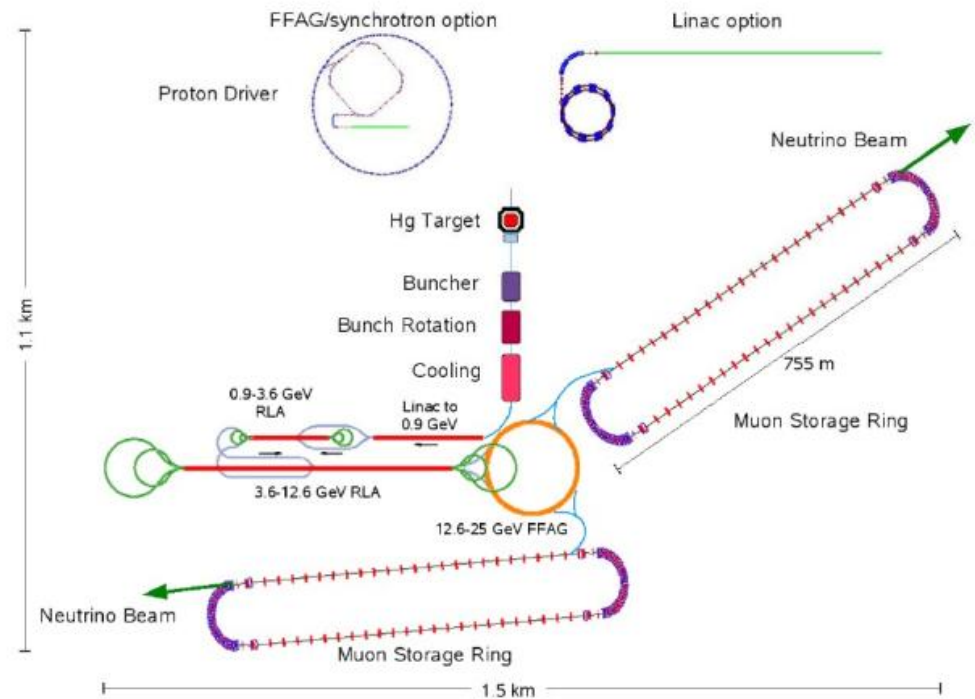
$$\mu^+ \rightarrow e^+ \nu_e \bar{\nu}_\mu \quad L = 4000\text{km}$$

- Lots of channels could be observed

- *golden channel:*  $\nu_e \rightarrow \nu_\mu$
- *silver channel:*  $\nu_e \rightarrow \nu_\tau$
- $\nu_\mu \rightarrow \nu_\mu$
- $\nu_\mu \rightarrow \nu_\tau$

- Needs to measure the lepton charge to identify the original flavour

- Magnetized iron detector for  $\nu_e \rightarrow \nu_\mu$  and ECC for  $\nu_e \rightarrow \nu_\tau$

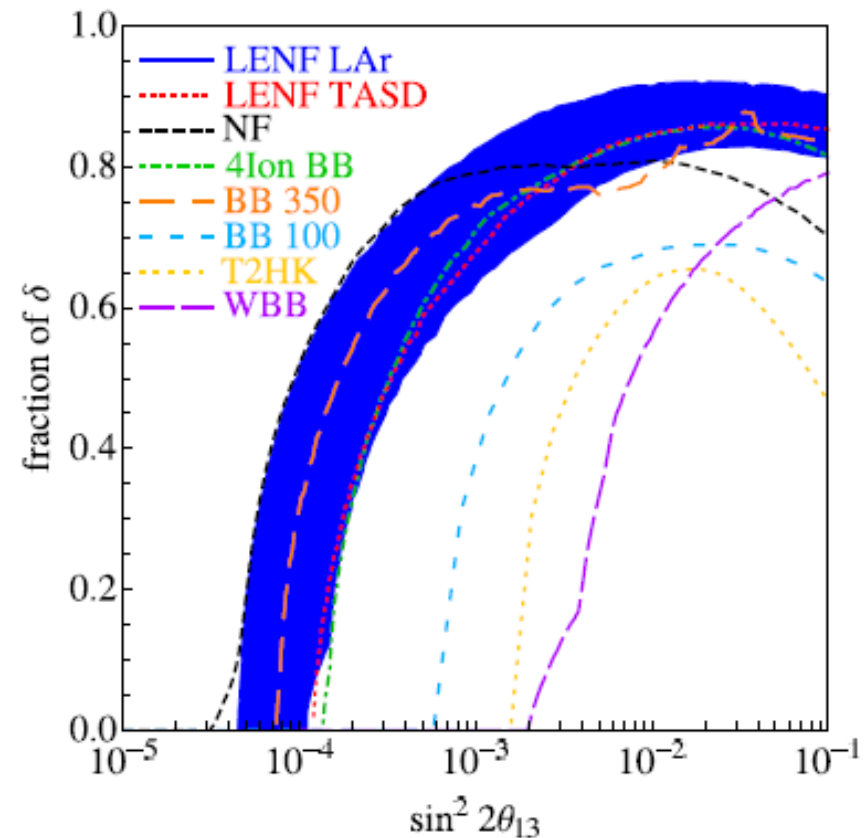
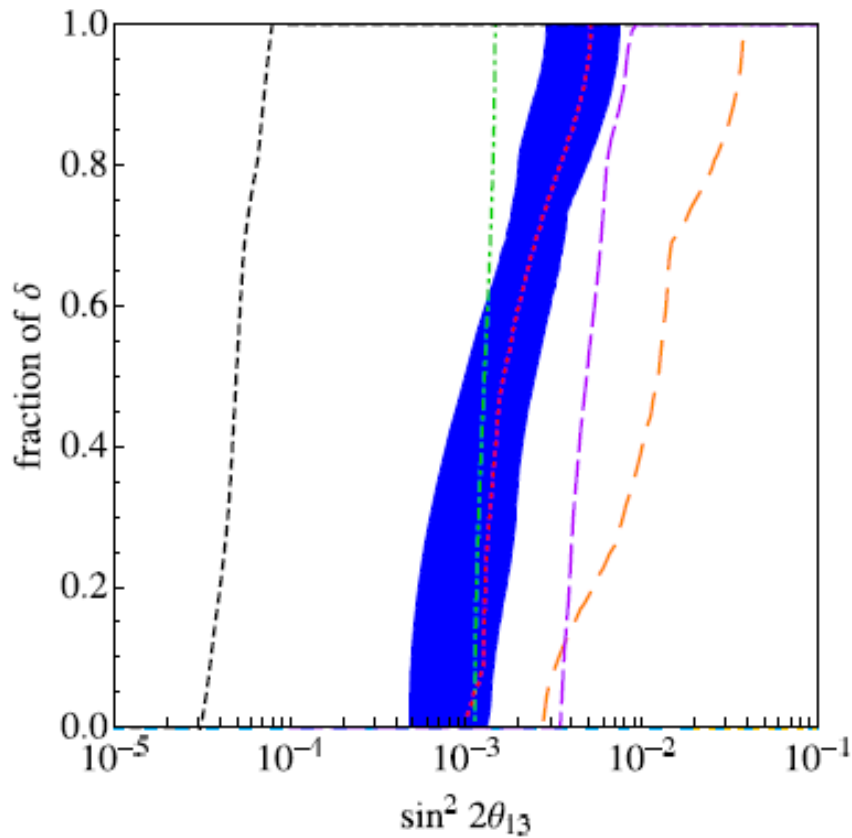


# Sensitivities with future experiments

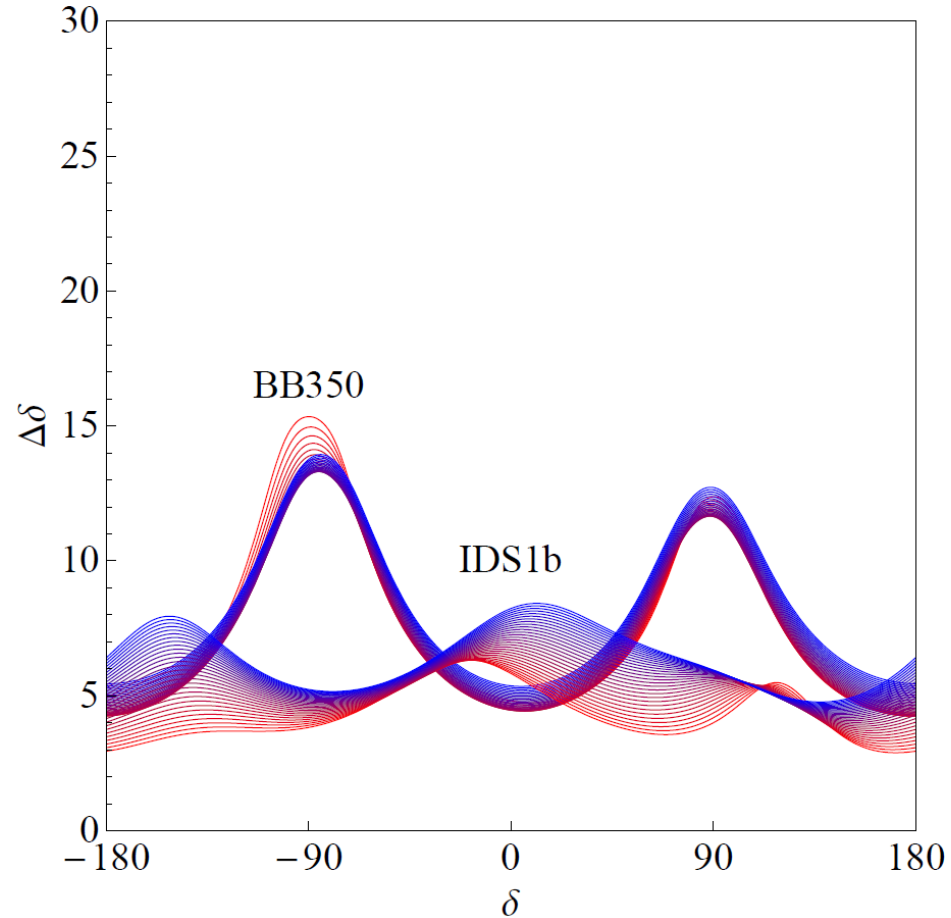
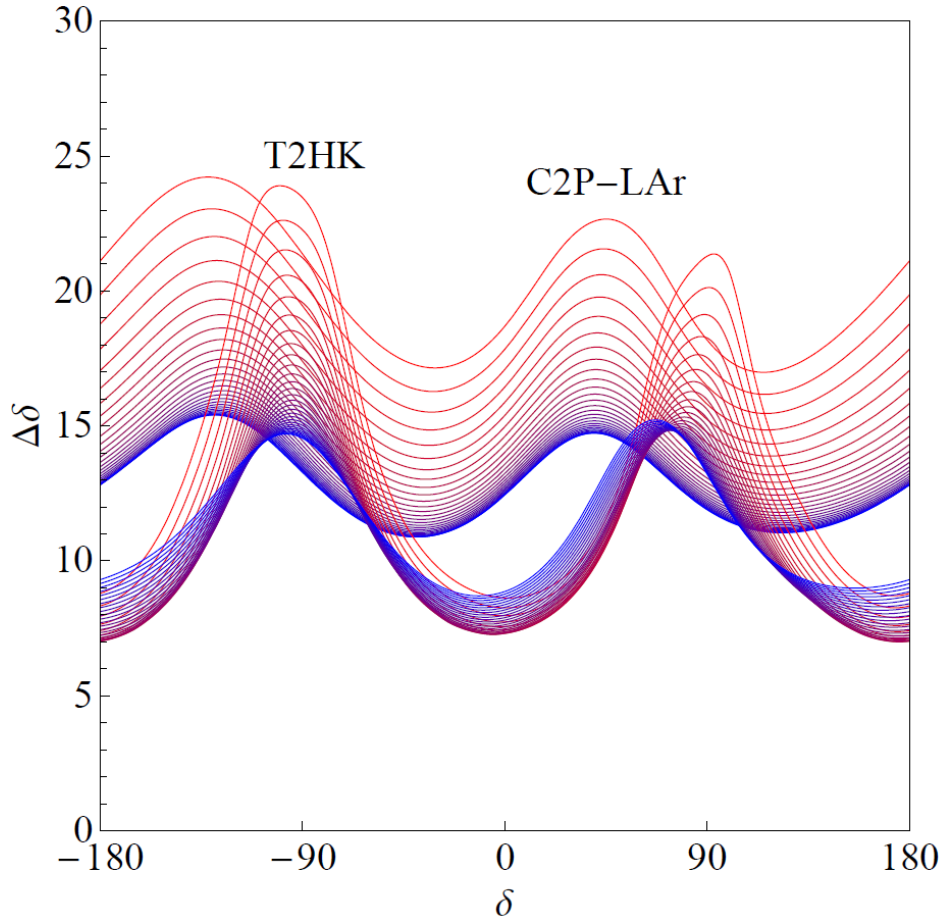
Mass hierarchy

$3\sigma$

CP violation

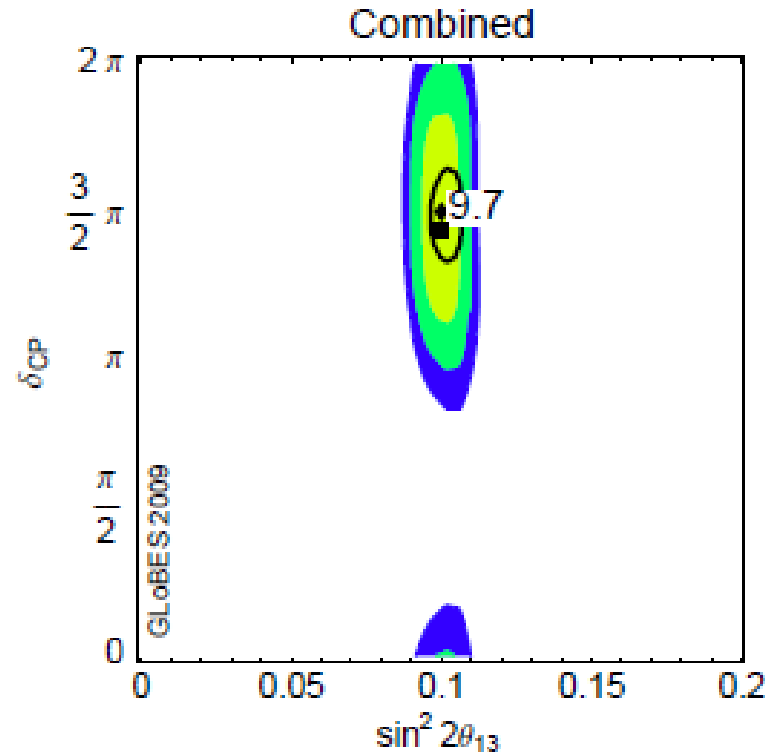
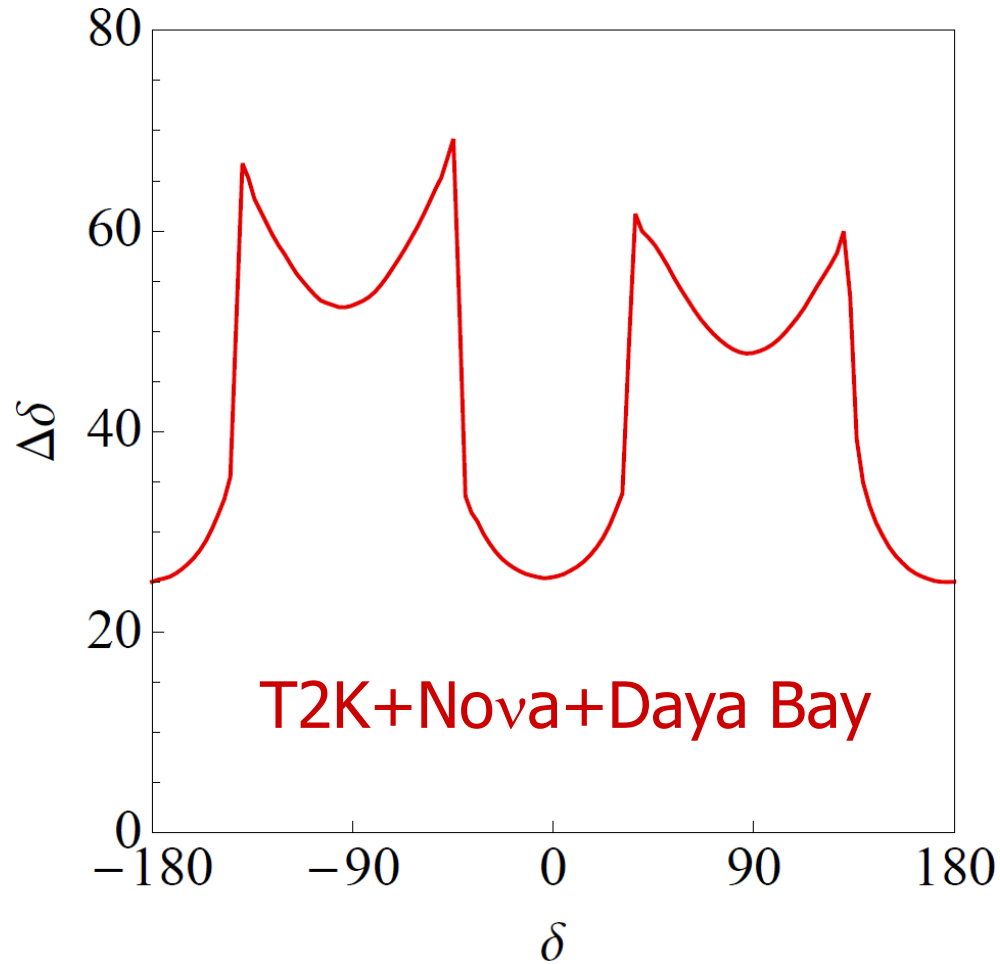


# Precision



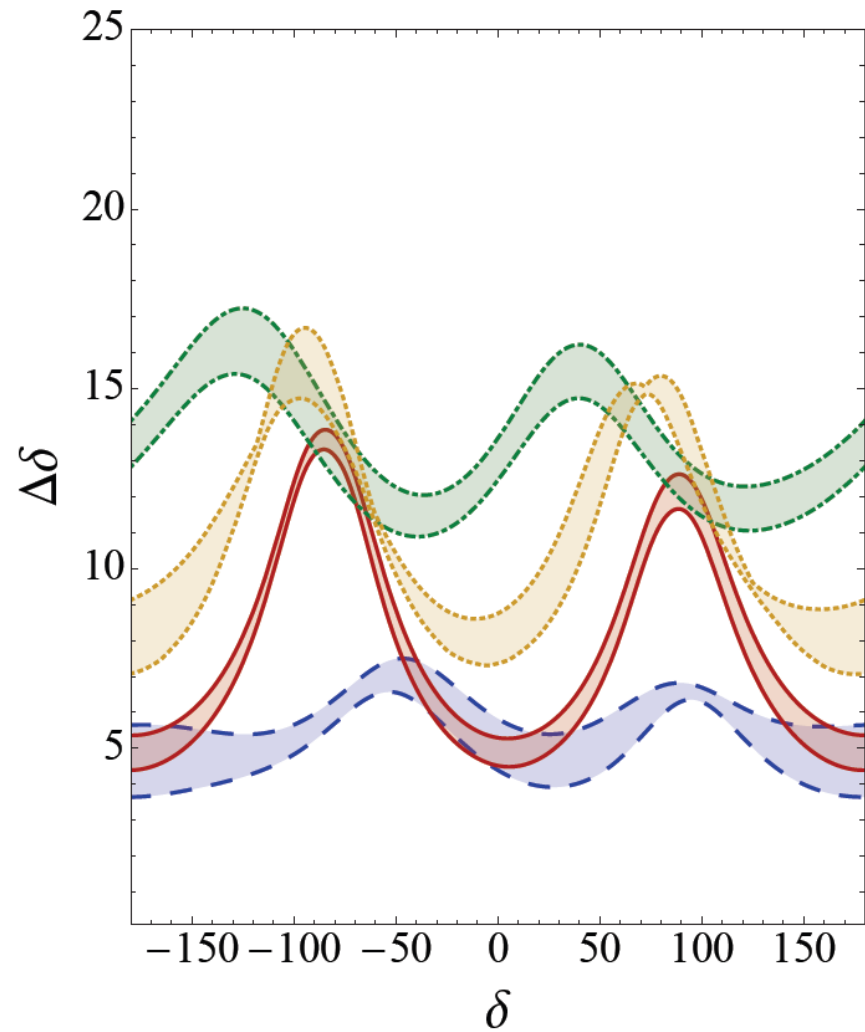
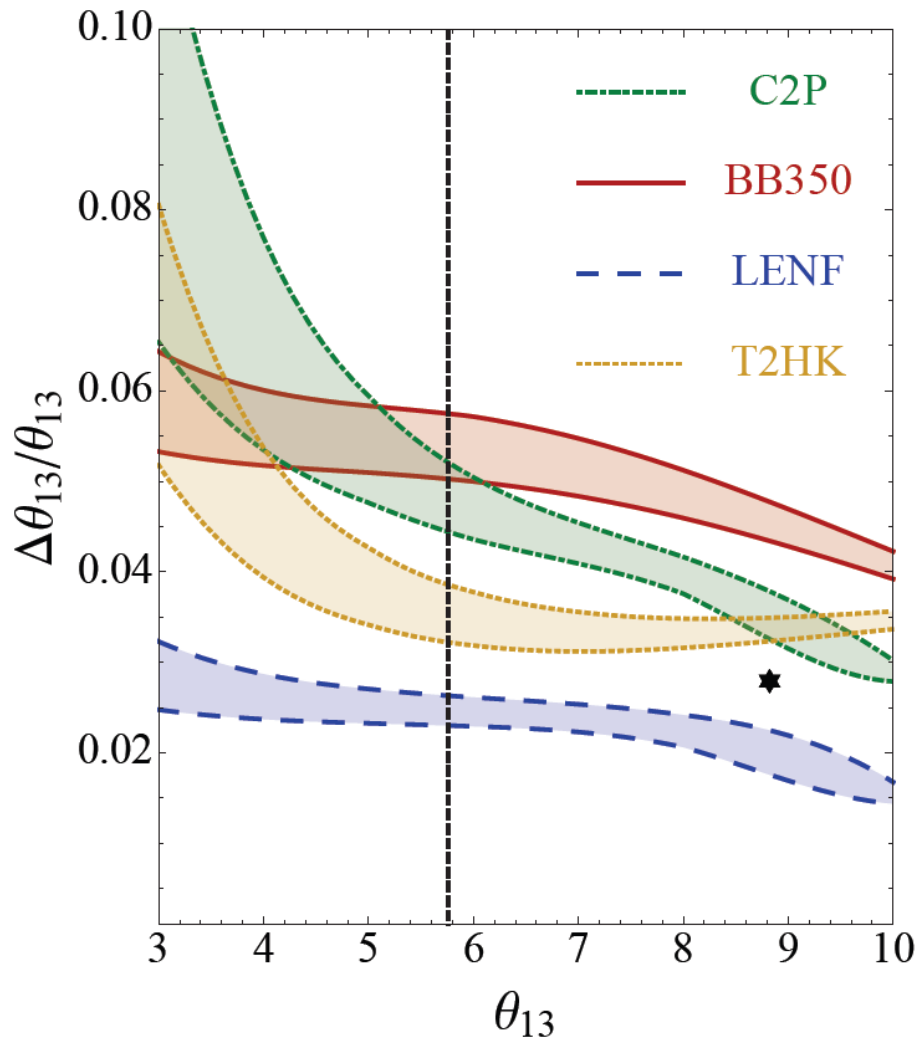
$\theta_{13}$ :  $3^\circ$  -  $10^\circ$

# Precision

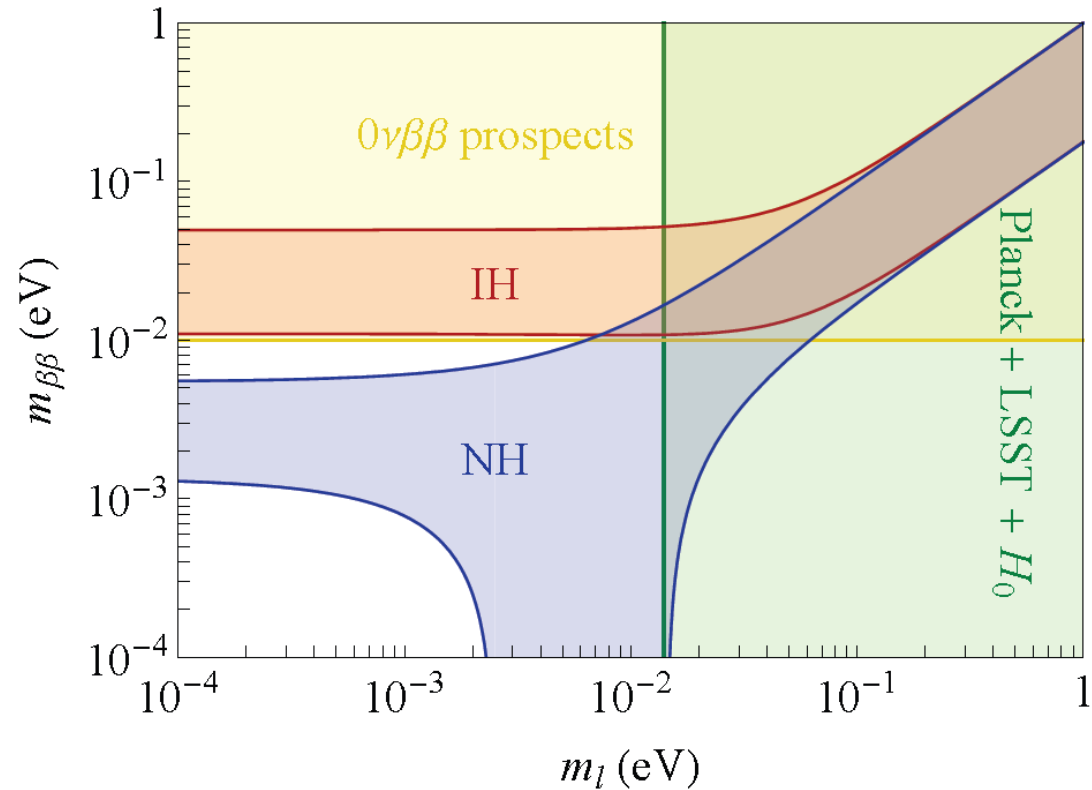
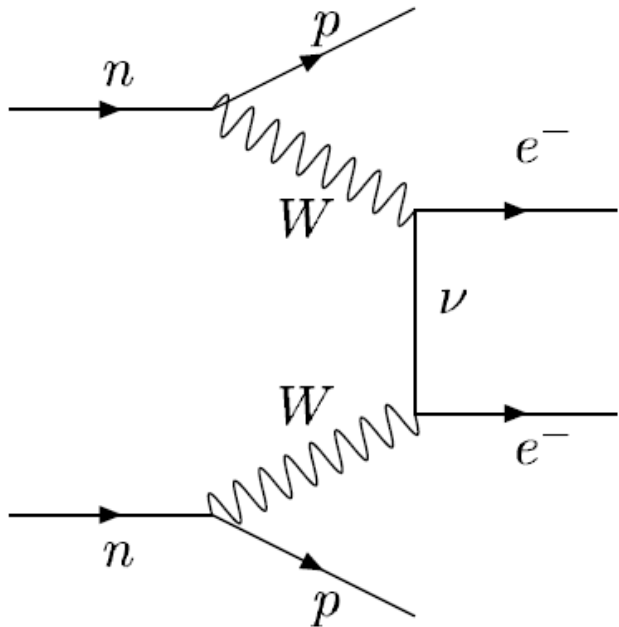


From P. Huber *et al.* 0907.1896

# Precision



# Neutrinoless double $\beta$ decay



$$m_{\beta\beta} = m_1 c_{12}^2 c_{13}^2 + m_2 s_{12}^2 c_{13}^2 e^{2i\alpha_1} + m_3 s_{13}^2 e^{2i\alpha_2}$$

Adapted from M. Blennow, EFM, J. Lopez and J. Menendez 1005.3240

Future with **weak lensing from LSST** (survey  $\sim 2020$ )  
and **prospective  $0\nu\beta\beta$**  experiments

# Conclusions

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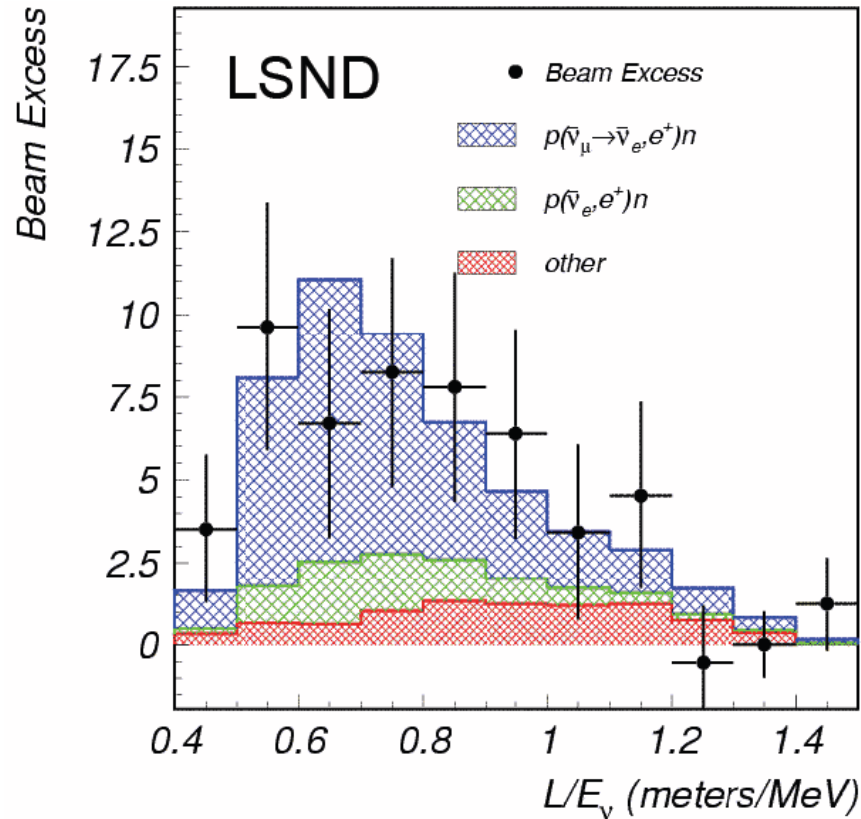
- The large value of  $\theta_{13}$  discovered by **Daya Bay** opens the window to the measurement of the neutrino mass hierarchy and **leptonic CP violation**.
- **T2K** and **Nova** will provide the first  $\sim 90\%$  **CL** indications over the next 8 years.
- In order to reach discovery, upgraded or new facilities will be needed. But “moderate” improvements like **T2HK** can be sufficient.

# Neutrino anomalies

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# Sterile neutrinos? LSND



LSND 3.8  $\sigma$  excess  
 $E \sim 30$  MeV  $L \sim 30$  m

Assuming 2 family oscillations

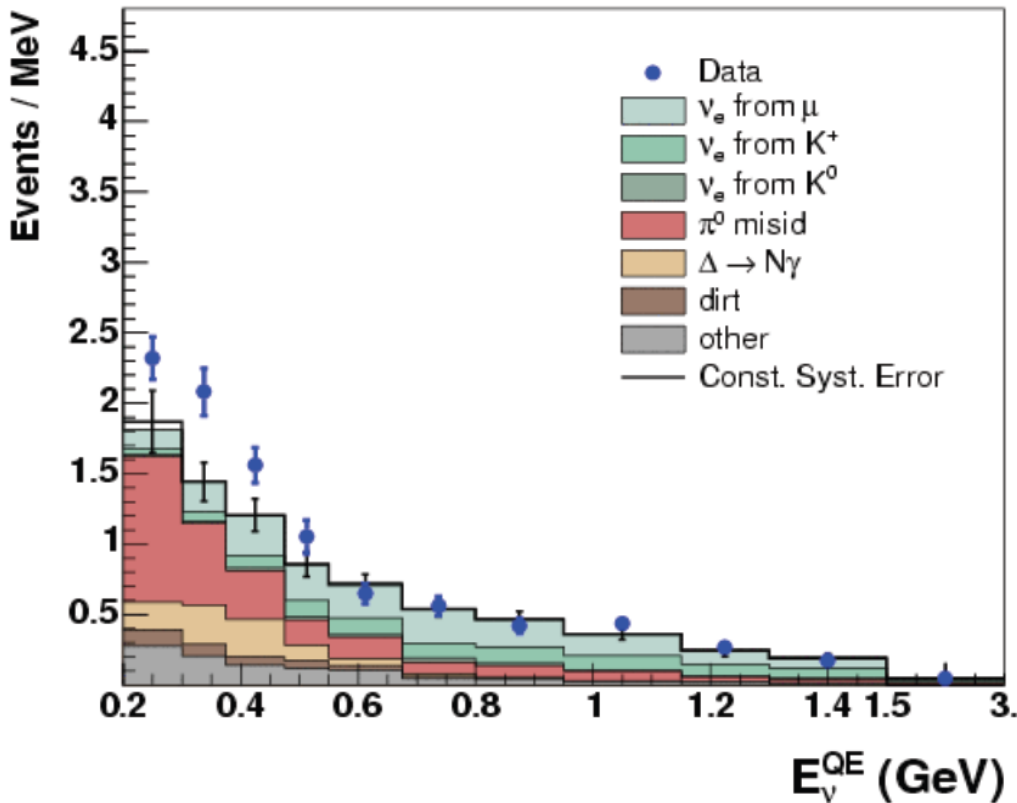
$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = \sin^2(2\theta) \sin^2\left(\frac{1.27 L \Delta m^2}{E}\right)$$

$$= 0.245 \pm 0.067 \pm 0.045 \%$$

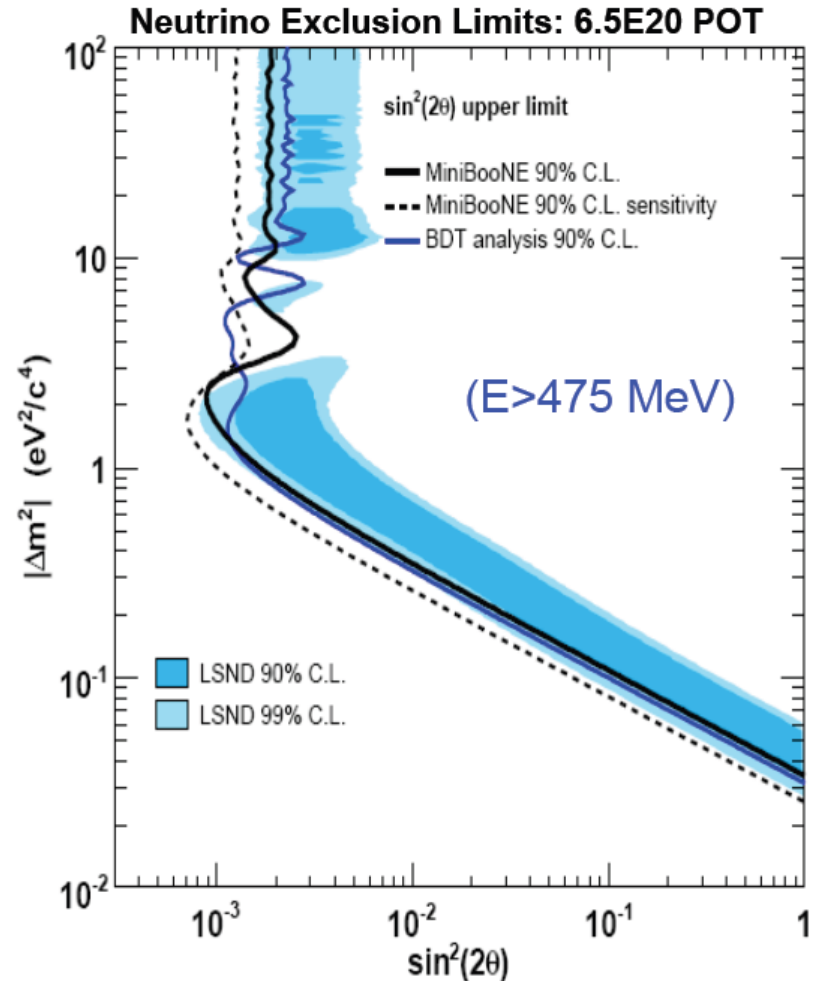
Selection	Beam-On Events	Beam-Off Background	$\nu$ Background	Event Excess
$R_\gamma > 1$	205	$106.8 \pm 2.5$	$39.2 \pm 3.1$	$59.0 \pm 14.5 \pm 3.1$
$R_\gamma > 10$	86	$36.9 \pm 1.5$	$16.9 \pm 2.3$	$32.2 \pm 9.4 \pm 2.3$
$R_\gamma > 100$	27	$8.3 \pm 0.7$	$5.4 \pm 1.0$	$13.3 \pm 5.2 \pm 1.0$

# Sterile neutrinos? MiniBooNE

MiniBooNE  $E \sim 500$  MeV  $L \sim 500$  m neutrino mode

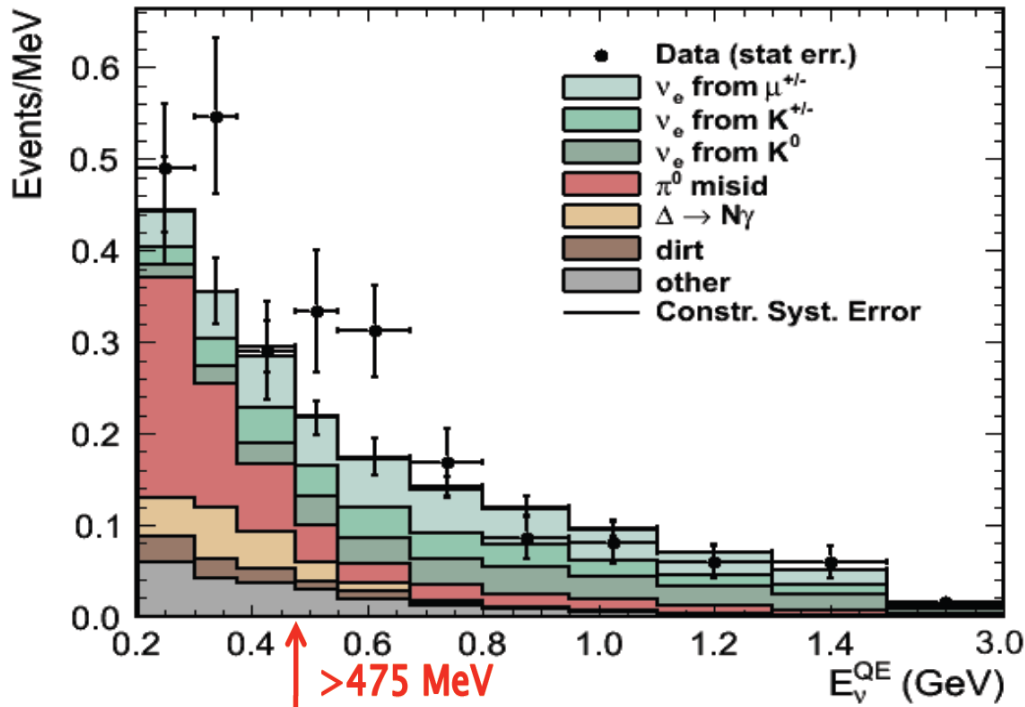


2009

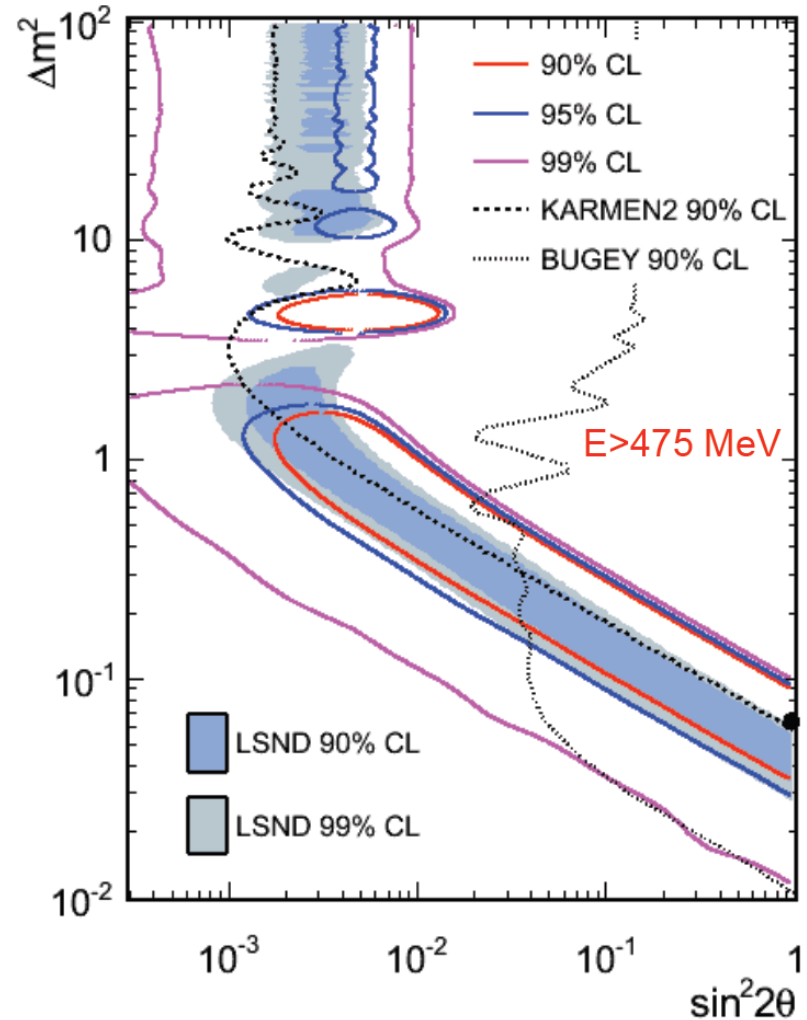


# Sterile neutrinos? MiniBooNE

MiniBooNE  $E \sim 500$  MeV  $L \sim 500$  m antineutrino mode

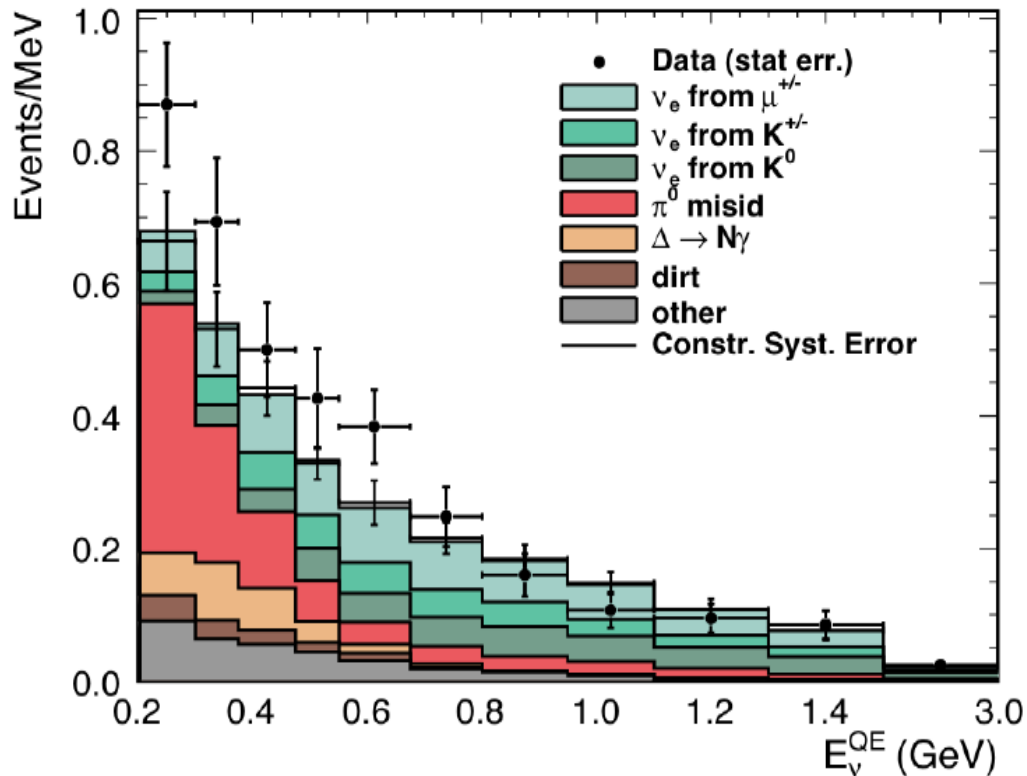


2010

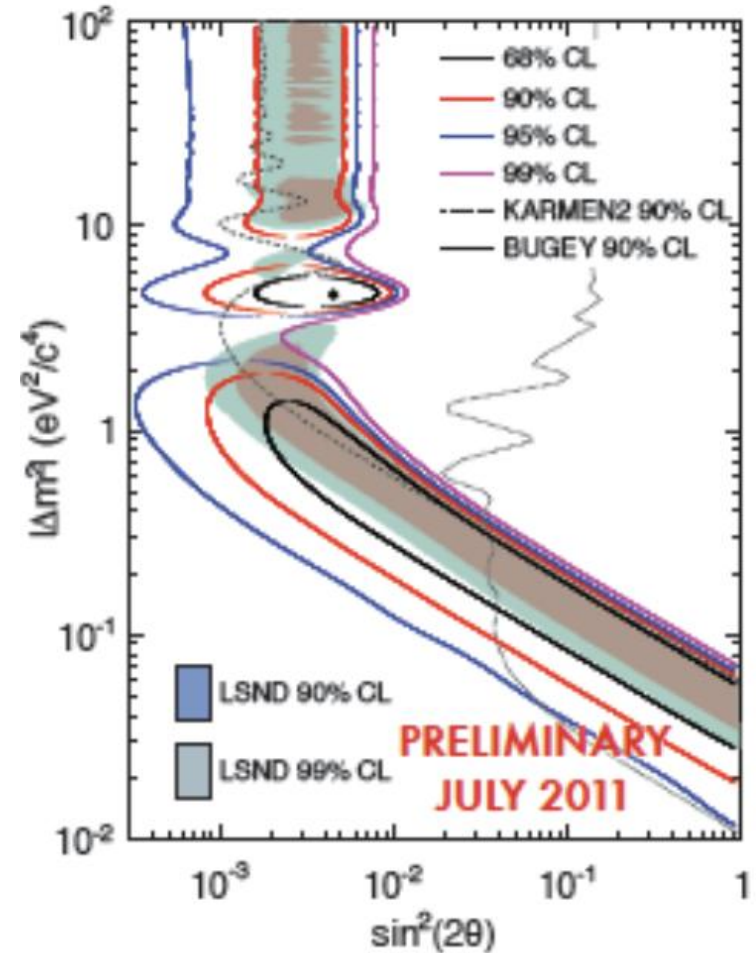


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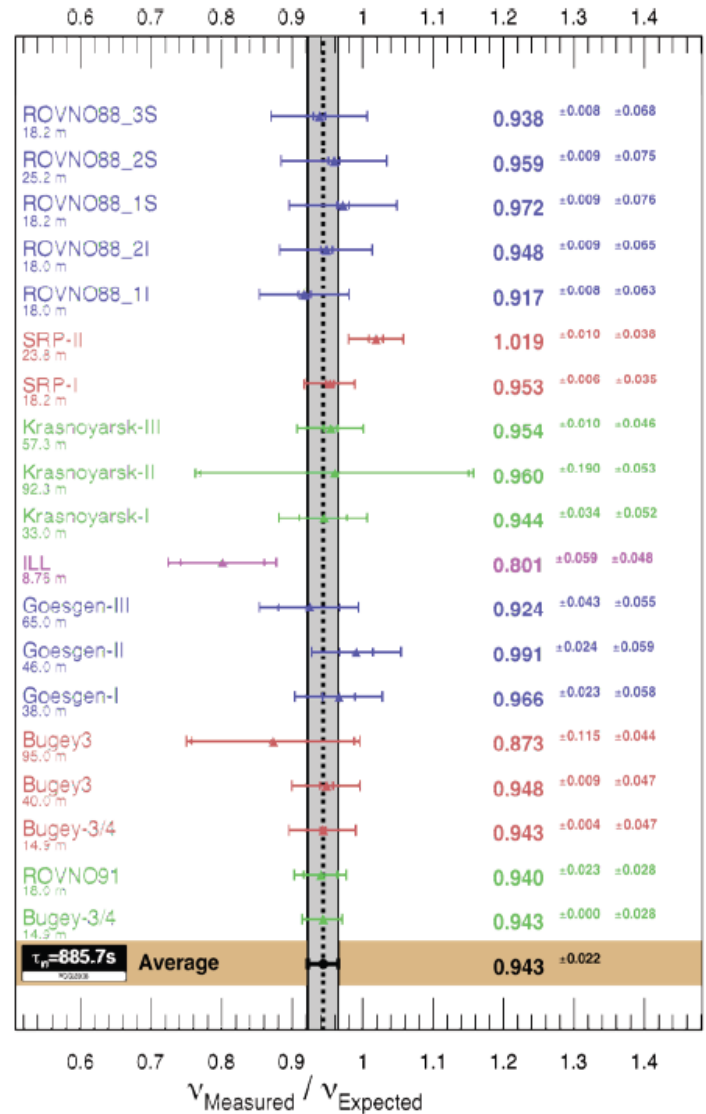
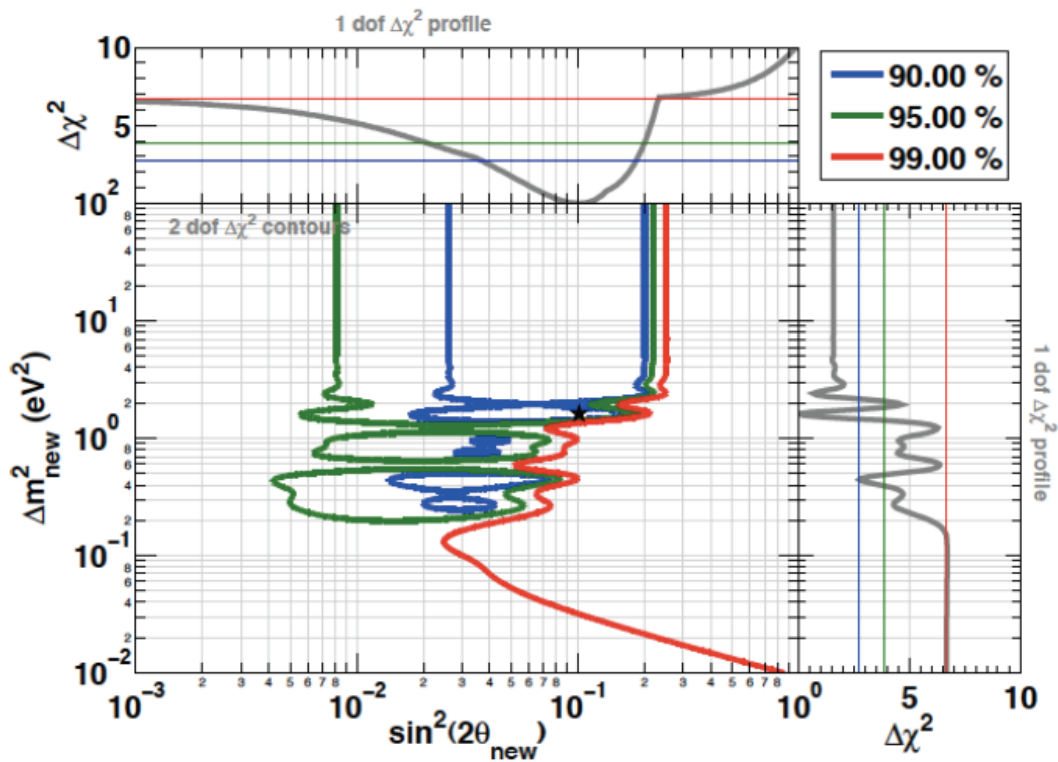
2011



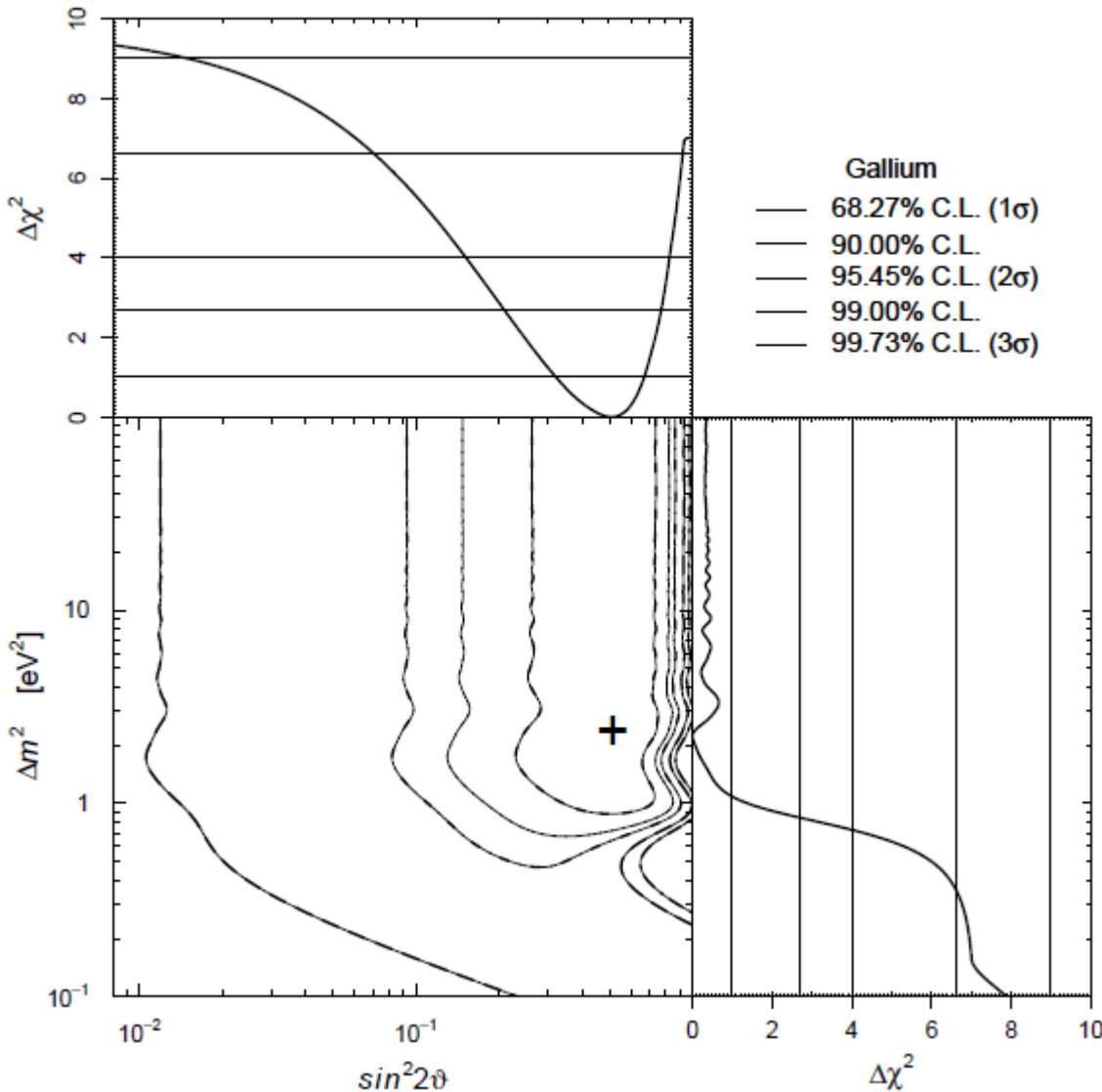
# Sterile neutrinos? Reactors

- Inclusion of new beta decay estimates in reactor flux calculations
- Increases expected flux

Best fit:  $0.943 \pm 0.023$



# Sterile neutrinos? Gallium



$$R_B^{G1} = 0.953 \pm 0.11,$$

$$R_B^{G2} = 0.812_{-0.11}^{+0.10},$$

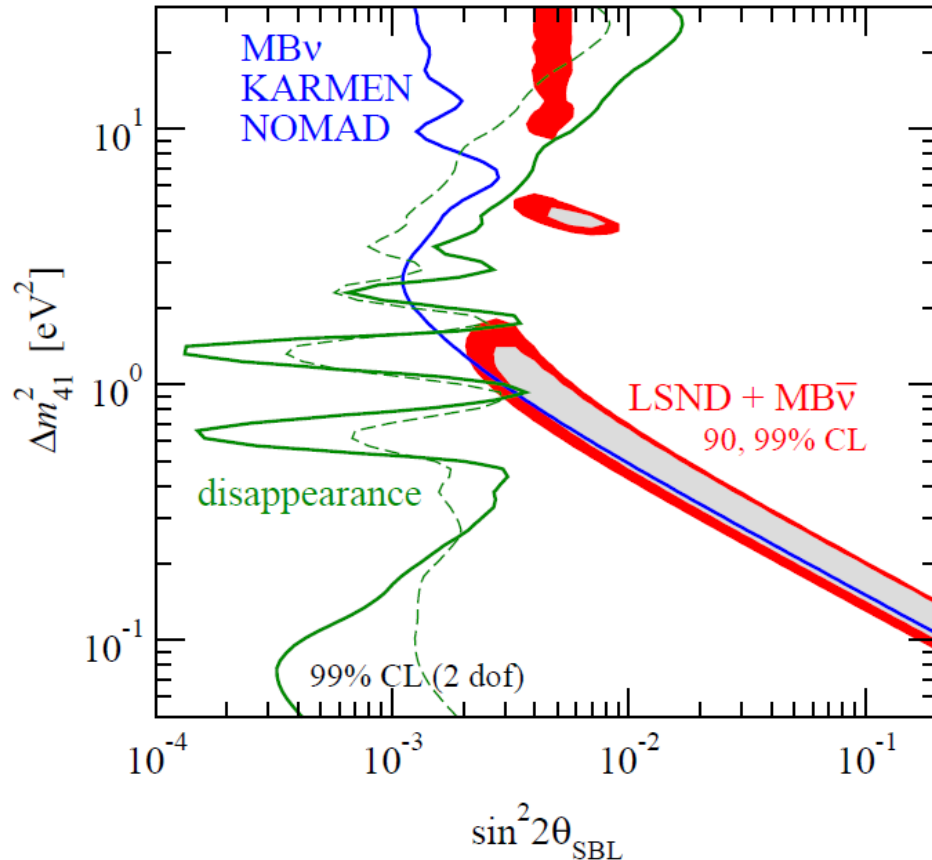
$$R_B^{S1} = 0.95 \pm 0.12,$$

$$R_B^{S2} = 0.791_{-0.078}^{+0.084},$$

Calibration of  
Gallex and Sage  
detectors with  
radioactive sources

C. Giunti and M. Laveder  
1006.3244

# Sterile neutrinos? Everything together



	$\Delta m_{41}^2$	$ U_{e4} $	$ U_{\mu 4} $	$\Delta m_{51}^2$	$ U_{e5} $	$ U_{\mu 5} $	$\delta/\pi$	$\chi^2/\text{dof}$
3+2	0.47	0.128	0.165	0.87	0.138	0.148	1.64	110.1/130

	LSND+MB( $\bar{\nu}$ ) vs rest appearance		vs disapp.	
	old	new	old	new
$\chi_{\text{PG},3+2}^2/\text{dof}$	25.1/5	19.9/5	19.9/4	14.7/4
PG <sub>3+2</sub>	$10^{-4}$	0.13%	$5 \times 10^{-4}$	0.53%

J. Kopp, M. Maltoni and T. Schwetz  
1103.4570

See also C. Giunti and M. Laveder 1107.1452

# Majorana neutrinos?

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General 3+2 scenario has 5 masses + 9 mixing angles + 6 Dirac phases + 4 Majorana phases

$$U_{\text{tot}}^T \begin{pmatrix} 0 & m_D^T \\ m_D & M_N \end{pmatrix} U_{\text{tot}} = \begin{pmatrix} m & 0 \\ 0 & M \end{pmatrix}$$

Adding 3 Majorana  $\nu_R$  6 masses + 6 angles + 4 + 2 phases

Can the 3+2 best fit point be reproduced with 3 Majorana  $\nu_R$ ?



# Majorana neutrinos?

We generalized the **Casas-Ibarra** parametrization to work for any Majorana mass (away from Seesaw condition)

We scanned the parameter space via **MCMC** to reproduce the **3+2** best fit points

	$ U_{e4} $	$ U_{e5} $	$ U_{\mu4} $	$ U_{\mu5} $	$\phi/\pi$	$\Delta m_{41}^2$	$\Delta m_{51}^2$
Ref. [26]	0.128	0.138	0.165	0.148	1.64	0.47	0.87
NH1	0.126	0.131	0.163	0.142	1.64	0.47	0.88
IH1	0.118	0.138	0.160	0.156	1.64	0.47	0.88
Ref. [27]	0.130	0.130	0.134	0.080	1.52	0.9	1.6
NH2	0.128	0.135	0.134	0.080	1.52	0.9	1.6
IH2	0.130	0.130	0.137	0.080	1.52	0.9	1.6

M. Blennow and EFM 1107.3992

See also A. de Gouvea hep-ph/0501039

A. Donini et al 1106.0064

J. Fan and P. Langacker 1201.6662

# LSND/MiniBooNE via NSI

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$$2\sqrt{2}G_F\varepsilon_{e\mu}^{ud}(\bar{\mu}\gamma^\mu P_L\nu_e)(\bar{u}\gamma_\mu P_{L,R}d)$$

Can be accommodated with  
**production/detection NSI +  
sterile neutrinos**

$$\varepsilon_{e\mu} \sim 0.01$$

E. Akhmedov and T. Schwetz 1007.4171

# LSND/MiniBooNE via NSI

---

$$2\sqrt{2}G_F \varepsilon_{e\mu} (\bar{\mu} \gamma^\mu P_L \nu_e) (\bar{u} \gamma_\mu P_L d)$$

Can be accommodated with  
**production/detection NSI +  
sterile neutrinos**

$$\varepsilon_{e\mu} \sim 0.01$$

E. Akhmedov and T. Schwetz 1007.4171

is related to  $2\sqrt{2}G_F \varepsilon_{e\mu} (\bar{\mu} \gamma^\mu P_L e) (\bar{q} \gamma_\mu P_{L,R} q)$

by gauge invariance  $\rightarrow$  **strong bounds  $< 10^{-4}$**

S. Antusch, J. Baumann and EFM 0807.1003

# Proposals to probe steriles

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- Add radioactive  $\nu$  source near or inside **Borexino**
- **BooNE**: identical (or same) detector to **miniBooNE** located at **200 m** to normalize flux and cross section
- **MicroBooNE**: **LAr 62 tons** detector starting **2013**. At a latter stage use as near detector with larger far detector
- 2 **ICARUS** detectors @ **CERN**: **600 ton** module moved from **Gran Sasso** to **CERN 810 m** baseline + near **150 ton** module **127 m** baseline

# Neutrino oscillation regimes

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$\Delta m^2_{13}$  fast oscillations - small  $L/E$

$\theta_{23}$   
 $\nu_{\mu} \rightarrow \nu_{\mu}$   
Atmospherics  
K2K, MINOS, T2K

$\theta_{23}$   
 $\nu_{\mu} \rightarrow \nu_{\tau}$   
OPERA

$\theta_{13}$   
 $\nu_e \rightarrow \nu_e$   
Daya Bay  
DCHOOZ

$\theta_{13}$   
 $\nu_{\mu} \rightarrow \nu_e$   
T2K, Nova

$\Delta m^2_{12}$  slow oscillations - large  $L/E$

$\theta_{12}$   
 $\nu_e \rightarrow \nu_e$   
Solar  
KamLAND

# Neutrino oscillation regimes

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$\Delta m^2_{13}$  fast oscillations - small  $L/E$

$$\theta_{13} \theta_{13} \theta_{13} \delta$$
$$\nu_{\mu} \leftrightarrow \nu_e$$

T2K, Nova

$\Delta m^2_{12}$  slow oscillations - large  $L/E$

# The Golden channel

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_\mu) = s_{23}^2 \sin^2 2\theta_{13} \sin^2\left(\frac{\Delta_{atm}L}{2}\right) + c_{23}^2 \sin^2 2\theta_{12} \sin^2\left(\frac{\Delta_{sol}L}{2}\right) + \tilde{J} \sin\left(\frac{\Delta_{sol}L}{2}\right) \sin\left(\frac{\Delta_{atm}L}{2}\right) \cos\left(\pm\delta - \frac{\Delta_{atm}L}{2}\right)$$

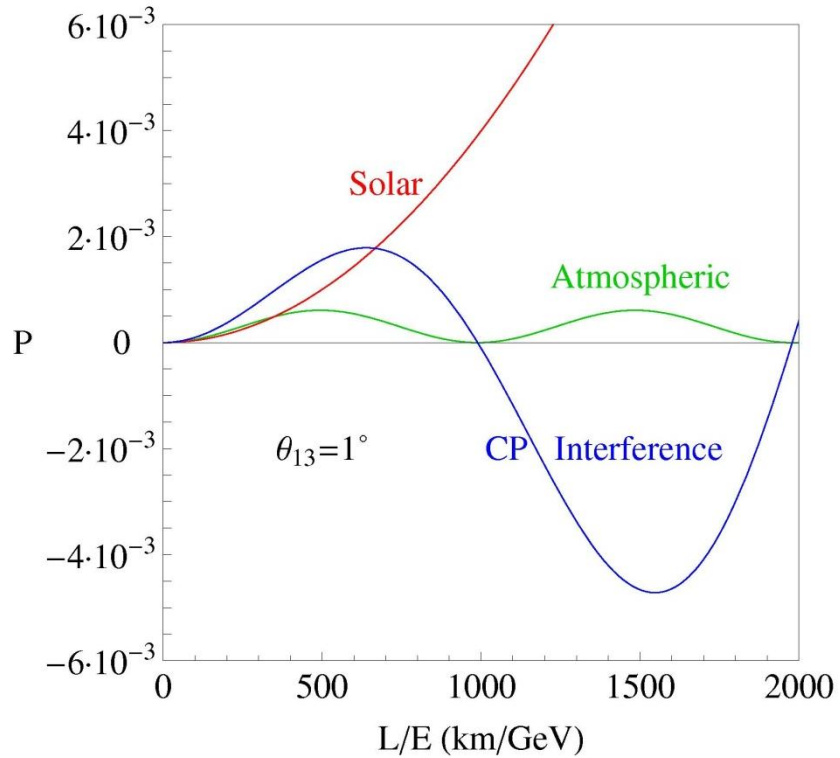
Expanded in

$$\sin 2\theta_{13} \left(\frac{\Delta_{sol}L}{2}\right)$$

where

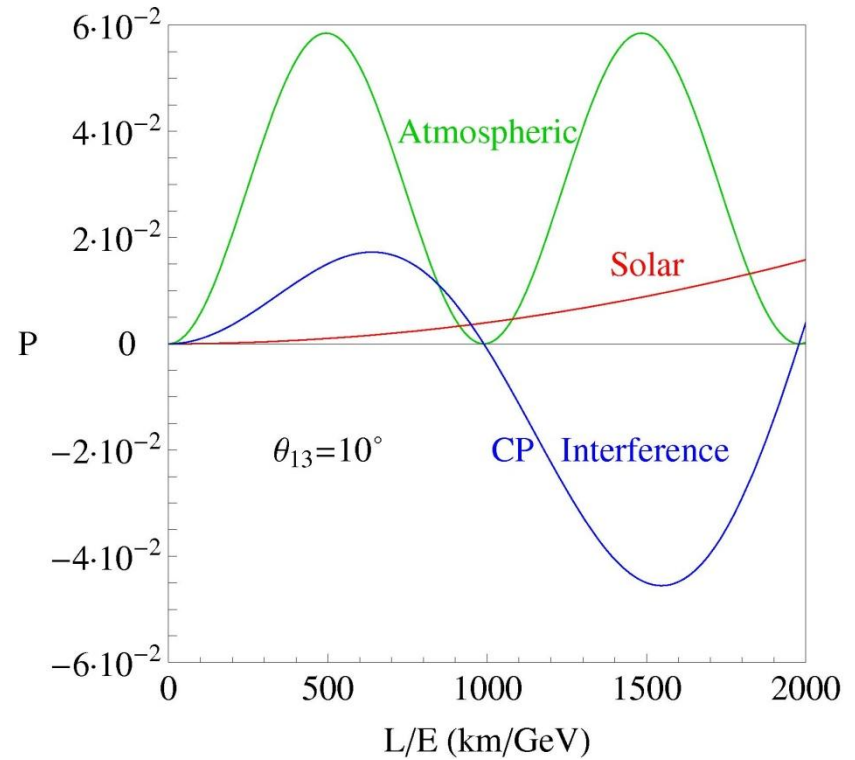
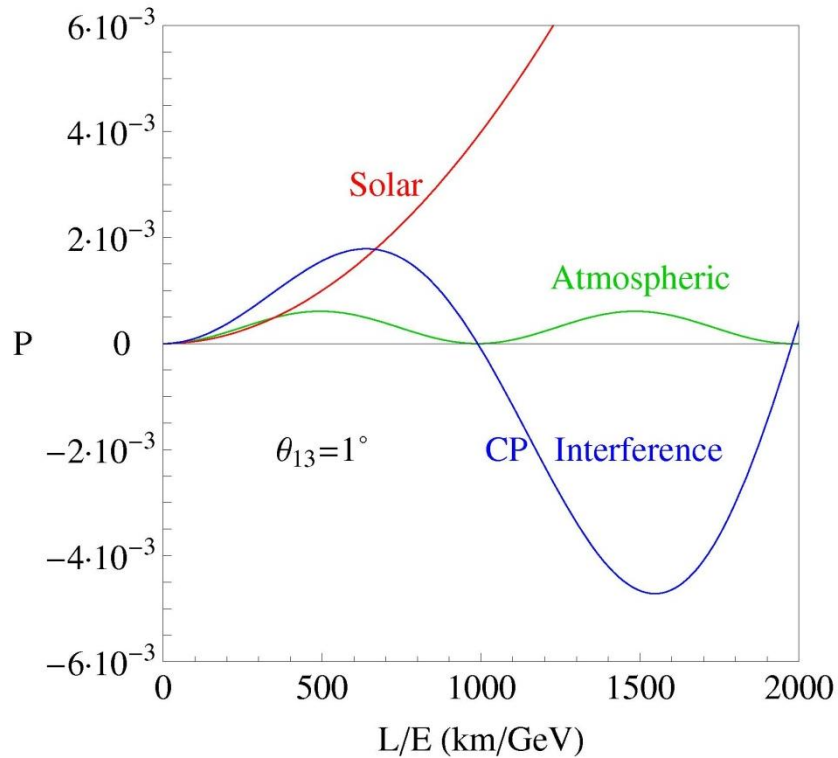
$$\tilde{J} = \cos\theta_{13} \sin 2\theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \quad \Delta_{atm} = \frac{\Delta m_{31}^2}{2E} \quad \Delta_{sol} = \frac{\Delta m_{21}^2}{2E}$$

# Optimization of facilities for large $\theta_{13}$





# Optimization of facilities for large $\theta_{13}$

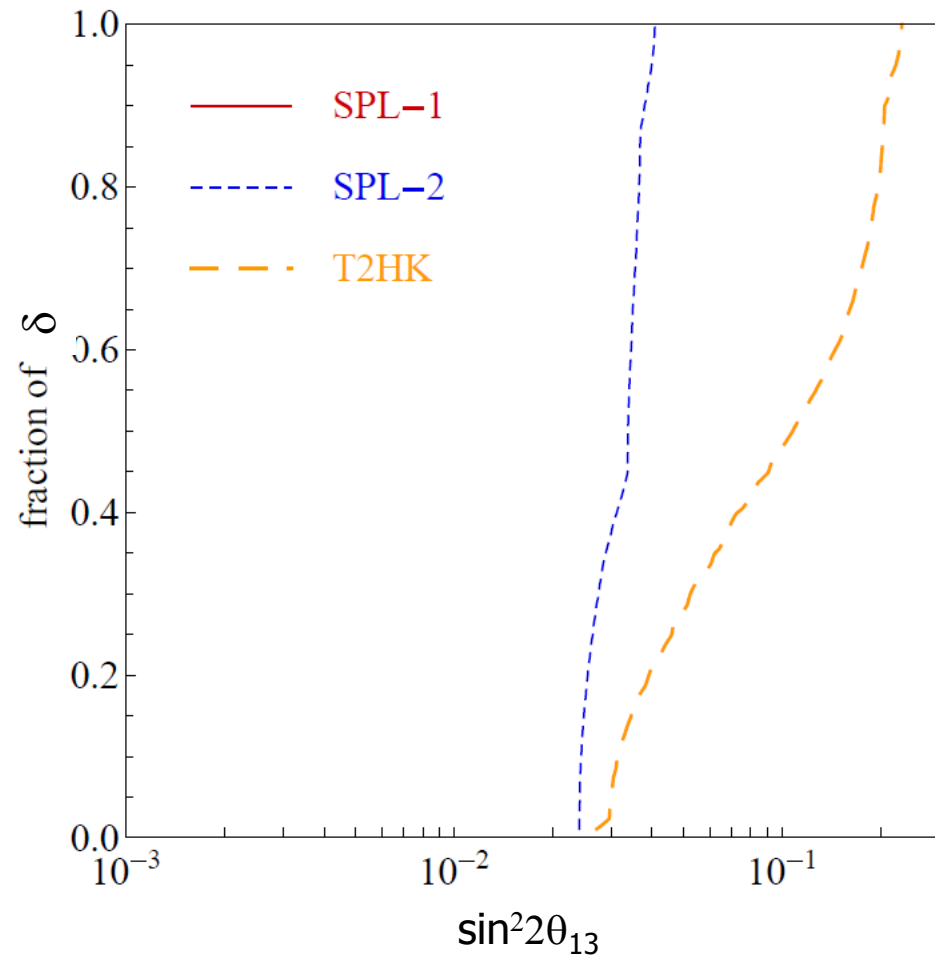
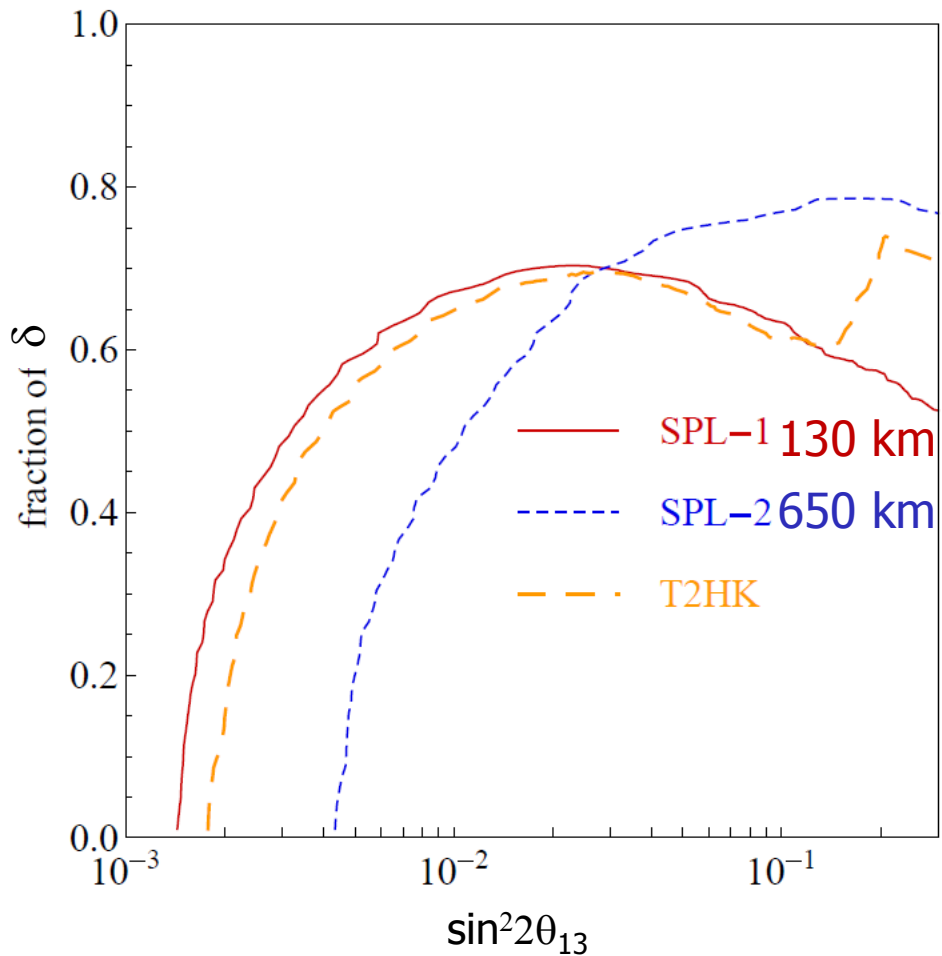


# Optimization of facilities for large $\theta_{13}$

CP violation

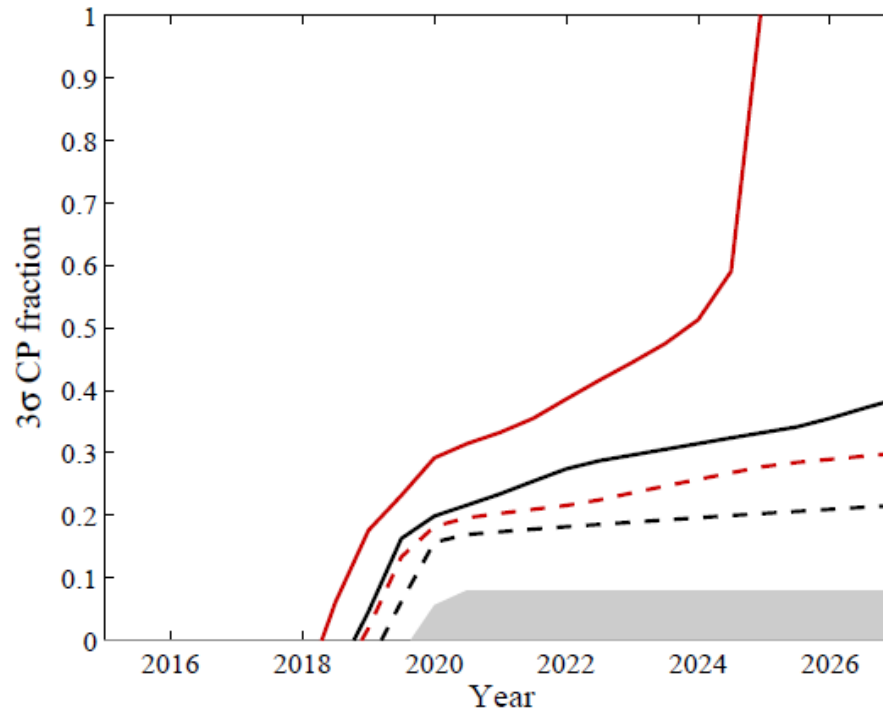
$3\sigma$

Mass hierarchy



# Mass hierarchy with Nova + T2K + INO

$3\sigma$



Red 100 kt INO    Solid: high res INO ( $\sigma_E/E = 0.15, \sigma_\theta = 15^\circ$ )  
Black 50 kt INO    Dashed: low res INO ( $\sigma_E/E = 0.10, \sigma_\theta = 10^\circ$ )

# The degeneracy problem

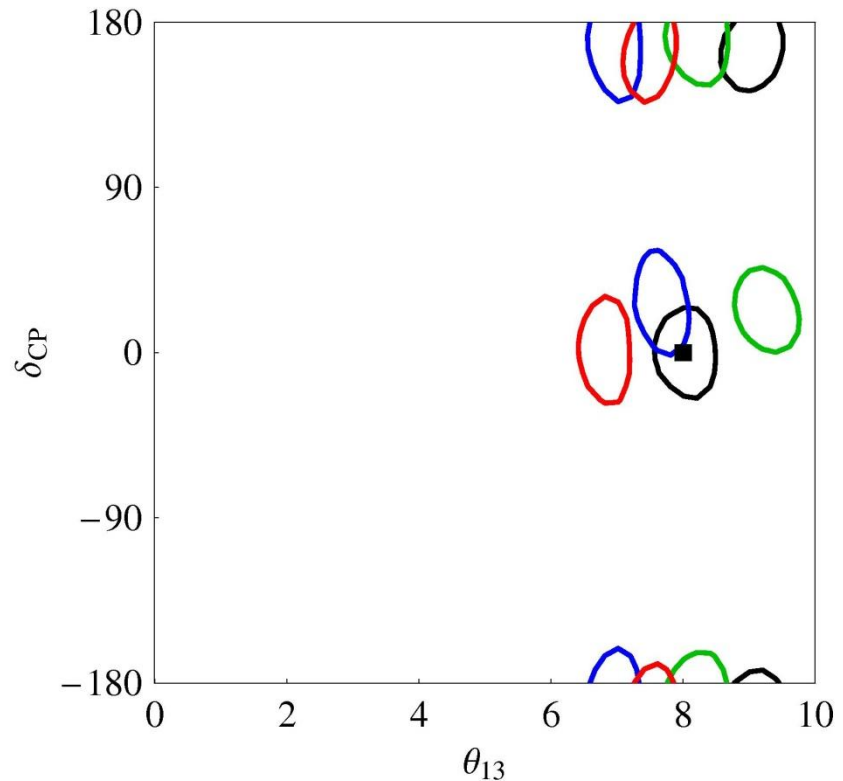
Two other unknown

parameters: **sign** and **oct**

- There are 4 different sets of curves for different choices of **sign** and **octant**
- 2 Intersections each

Eightfold degeneracy:

Intrinsic **sign** **octant** **mixed**



H. Minakata and H. Nunokawa hep-ph/0108085

G.L.Fogli and E. Lisi hep-ph/9604415

V. Barger and D. Marfatia hep-ph/0112119