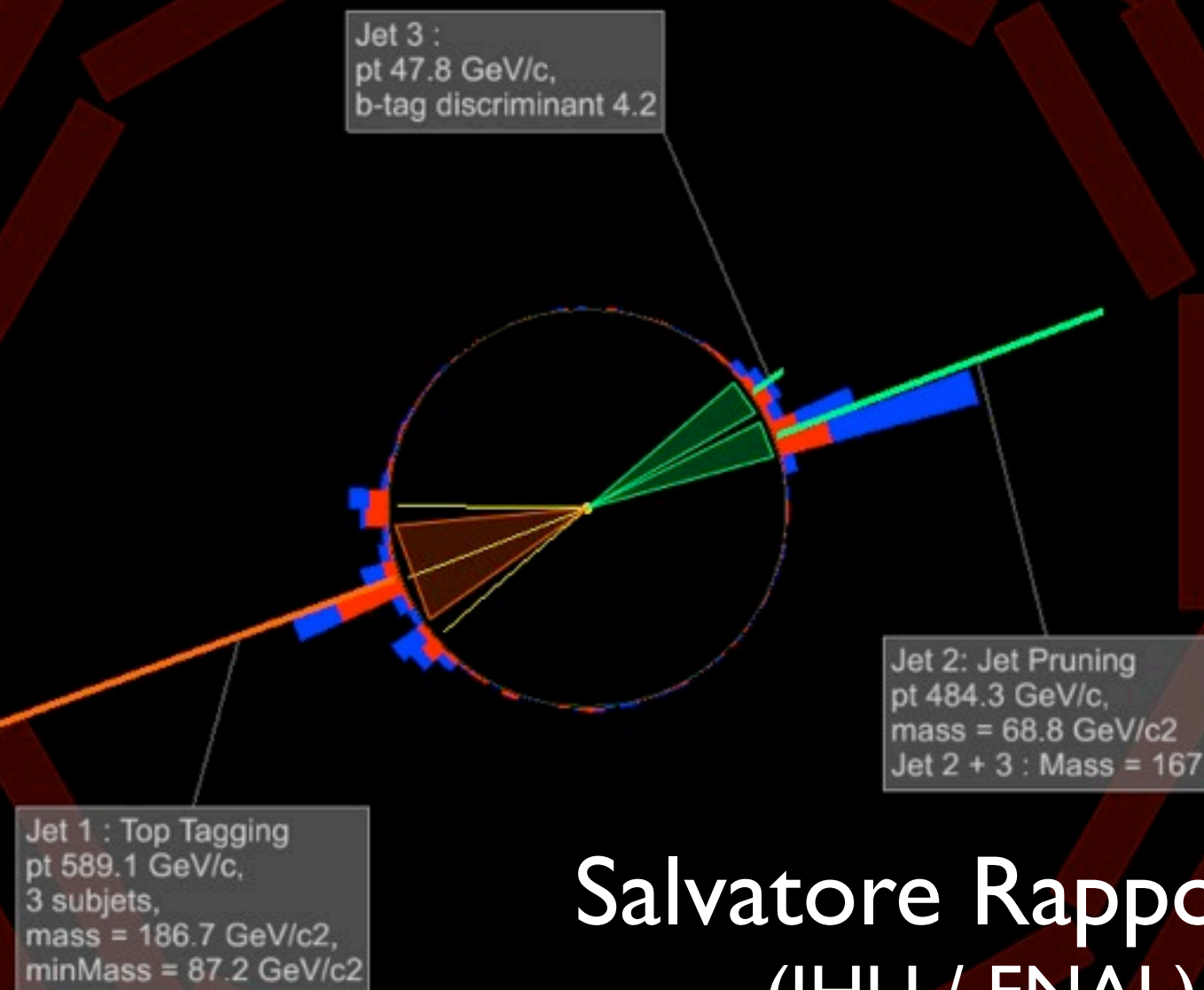


CMS studies and application of jet substructure



Salvatore Rappoccio
(JHU / FNAL)
for the CMS Collaboration

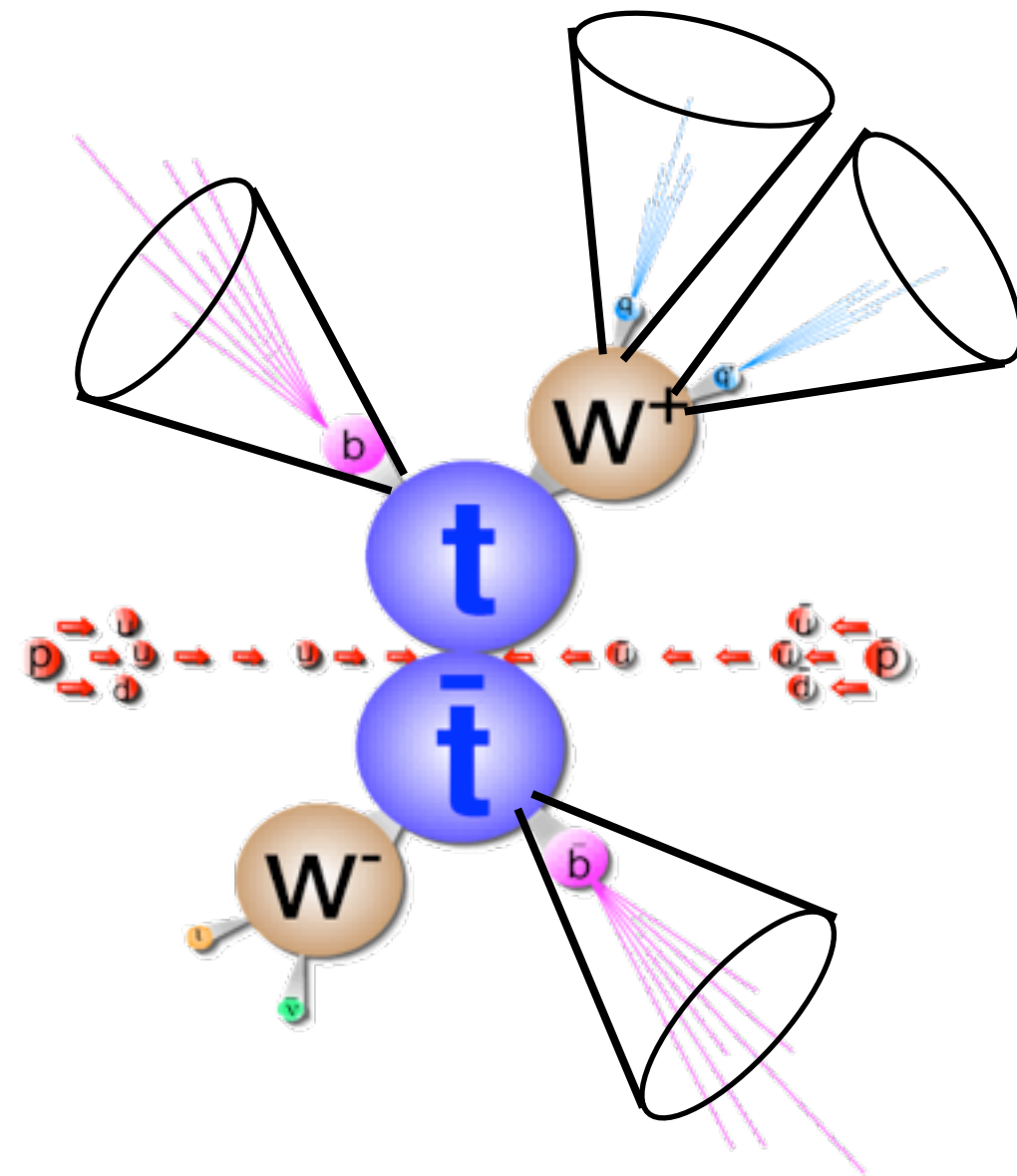


Documentation

- Jet substructure studies technical report
 - JME-10-013
 - Boosted ttbar search
 - EXO-11-006, <http://arxiv.org/abs/1204.2488>, submitted to JHEP
 - Boosted V + MET search
 - EXO-11-061
 - Boosted V + ll search
 - **Hot off the presses!** EXO-11-081
 - H->bb
 - HIG-11-031, <http://arxiv.org/abs/1202.4195>, submitted to PLB
 - Cross-check analysis uses boosted topology but no substructure tools yet
 - Dijet analysis
 - EXO-11-015, <http://arxiv.org/abs/1107.4771>, Phys. Lett. B 704 (2011) 123
 - “Fat jet” approach motivated by boosted techniques
- Joint publication in preparation
-
- ```
graph LR; A[Joint publication in preparation] --> B[Boosted V + MET search]; A --> C[Boosted V + ll search];
```

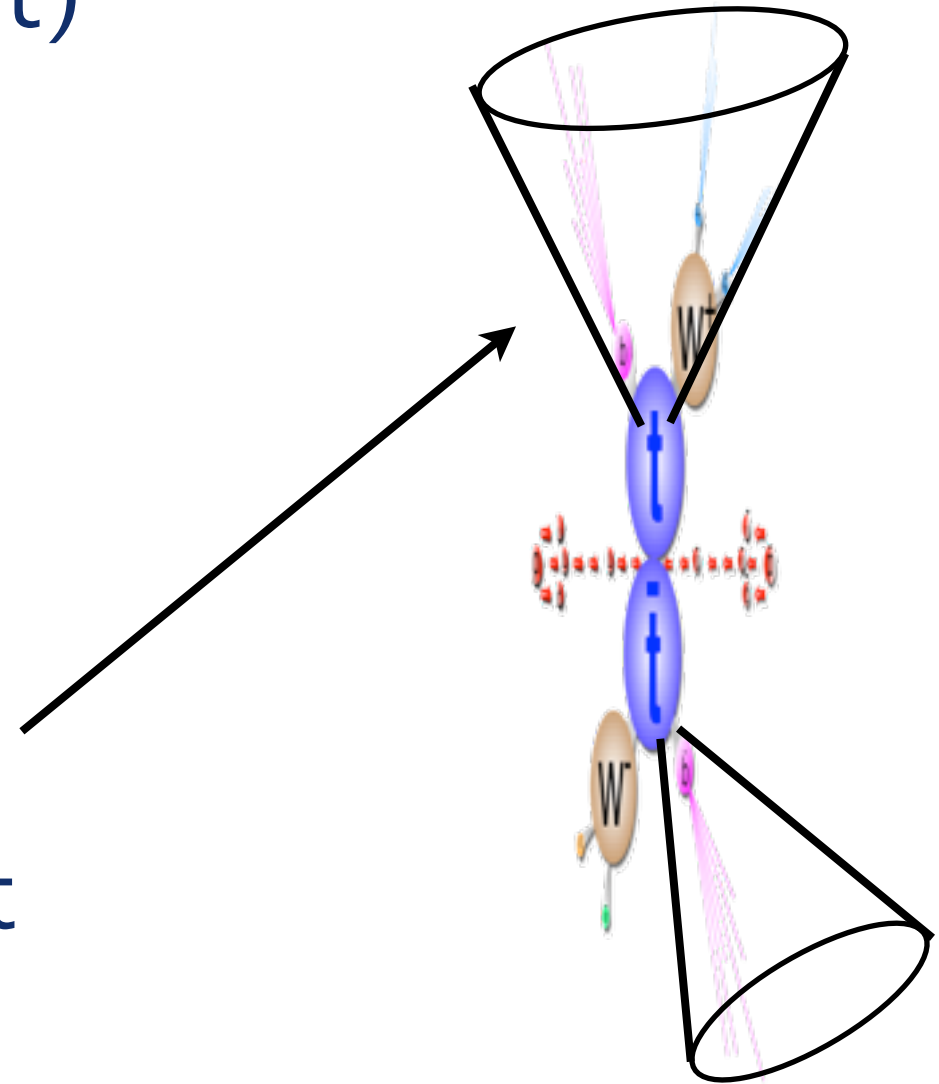
# Motivation

- Problem! Traditional techniques start to lose sensitivity (in part) due to jet merging at higher masses!
- Cannot rely on traditional methods to assign partons to jets anymore
- Have to consider cases where partons merge into a single jet



# Motivation

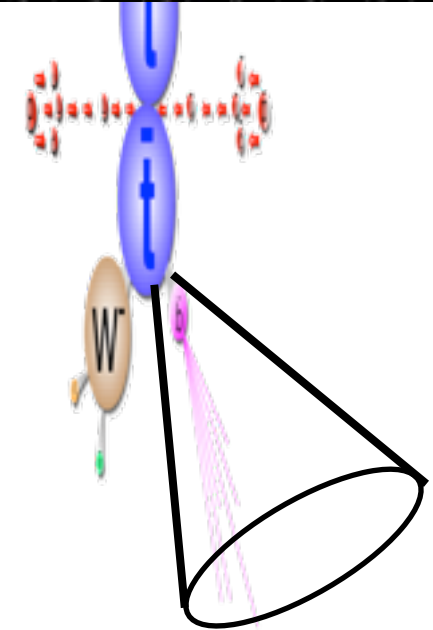
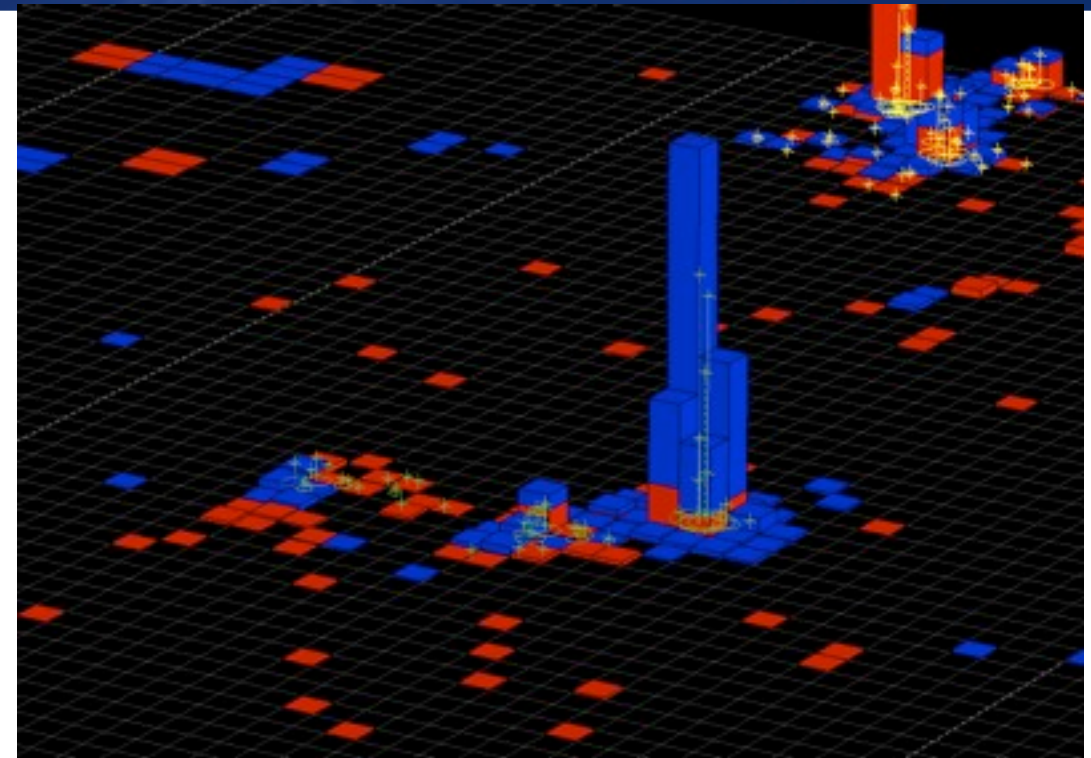
- Problem! Traditional techniques start to lose sensitivity (in part) due to jet merging at higher masses!
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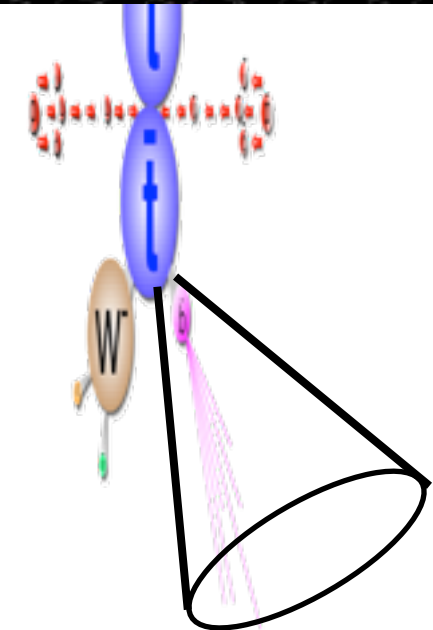
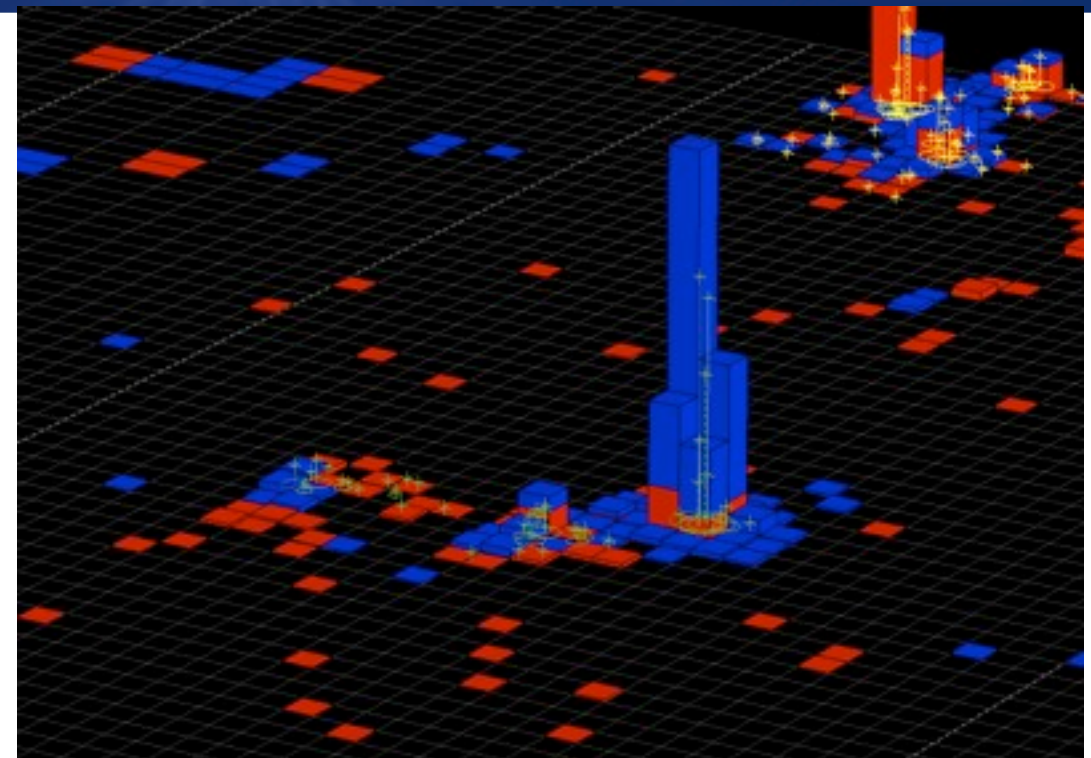
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- Problem! Traditional techniques start to lose sensitivity (in part) due to jet merging at higher masses!
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# Motivation

- Problem! Traditional techniques start to lose sensitivity (in part) due to jet merging at higher masses!
- Cannot rely on traditional methods to assign partons to jets anymore
- Have to consider cases where partons merge into a single jet



**“These ain’t your daddy’s jets!”  
(Joey Huston)**

Or if you prefer...



Boosted jet,  
massive jet,  
fat jet,  
hulk jet

Jet



# Or if you prefer...



Boosted jet,  
massive jet,  
fat jet,  
hulk jet

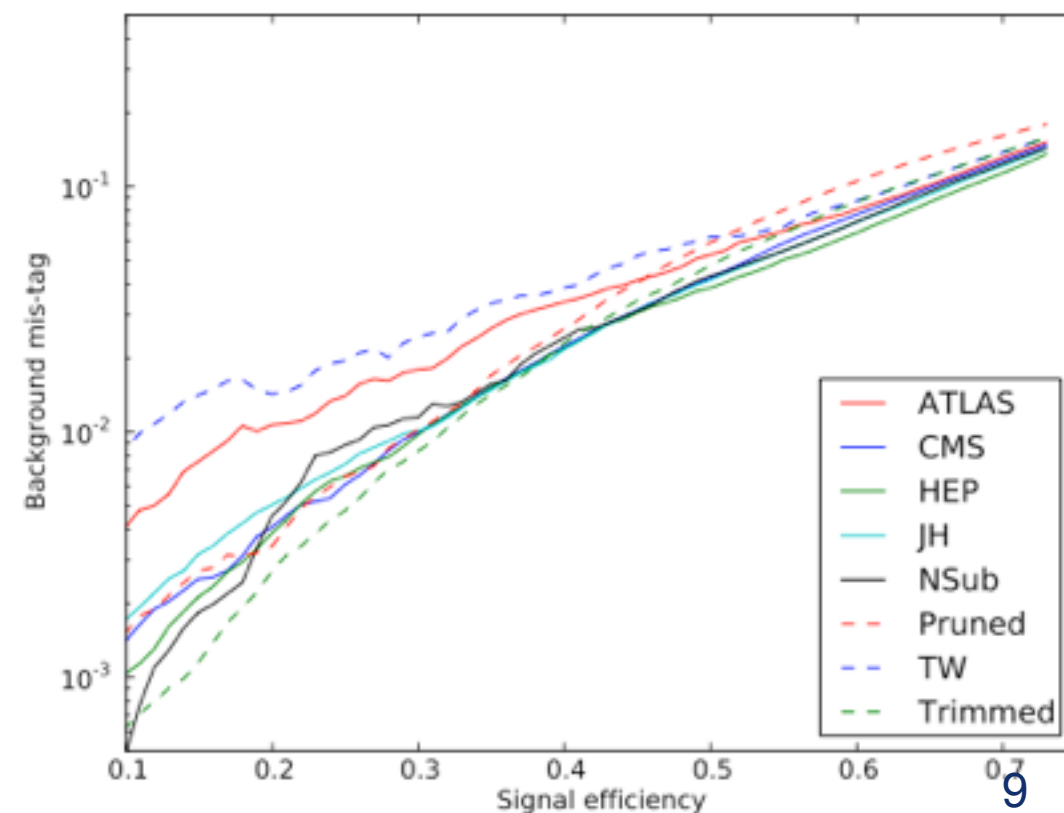
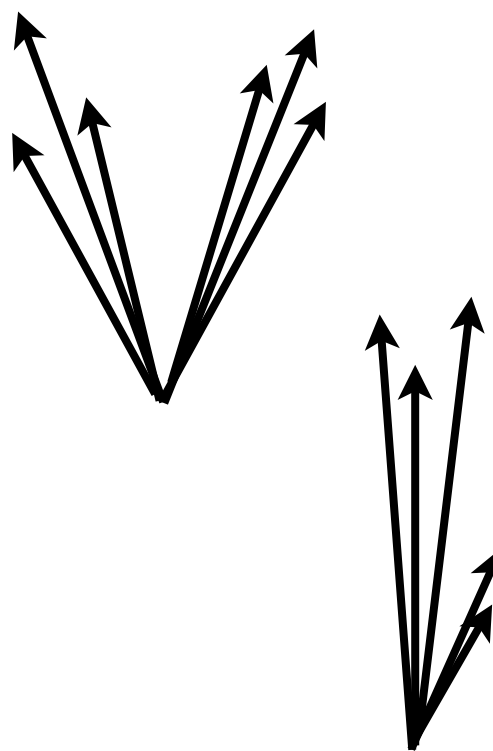
(OK, I made  
the last one up)

Jet



# Jet Substructure

- Massive particles:
  - Massive 4-vector sum of daughters
  - 1-2 wide-angle splittings
  - Symmetric splittings
- QCD :
  - Low-mass 4-vector sum of daughters
  - Many low-angle splittings
  - Asymmetric splittings
- Recent explosion of tools to exploit this!
  - Jet filtering : Butterworth, Davison, Rubin, Salam
  - JHU Top tagger: Kaplan, Reherman, Tweedie, Schwartz
  - Jet pruning : Ellis, Vermillion, Walsh
  - Jet trimming: Thaler, Wang
  - N-subjettiness : Thaler, Van Tilburg
  - HEP top tagger : Plehn, Salam, Spannowski
  - Many, many more!
  - For an overview check out the BOOST proceedings :
    - [arXiv:1012.5412v2](https://arxiv.org/abs/1012.5412v2) [hep-ph]
    - [arXiv:1201.0008v1](https://arxiv.org/abs/1201.0008v1) [hep-ph]

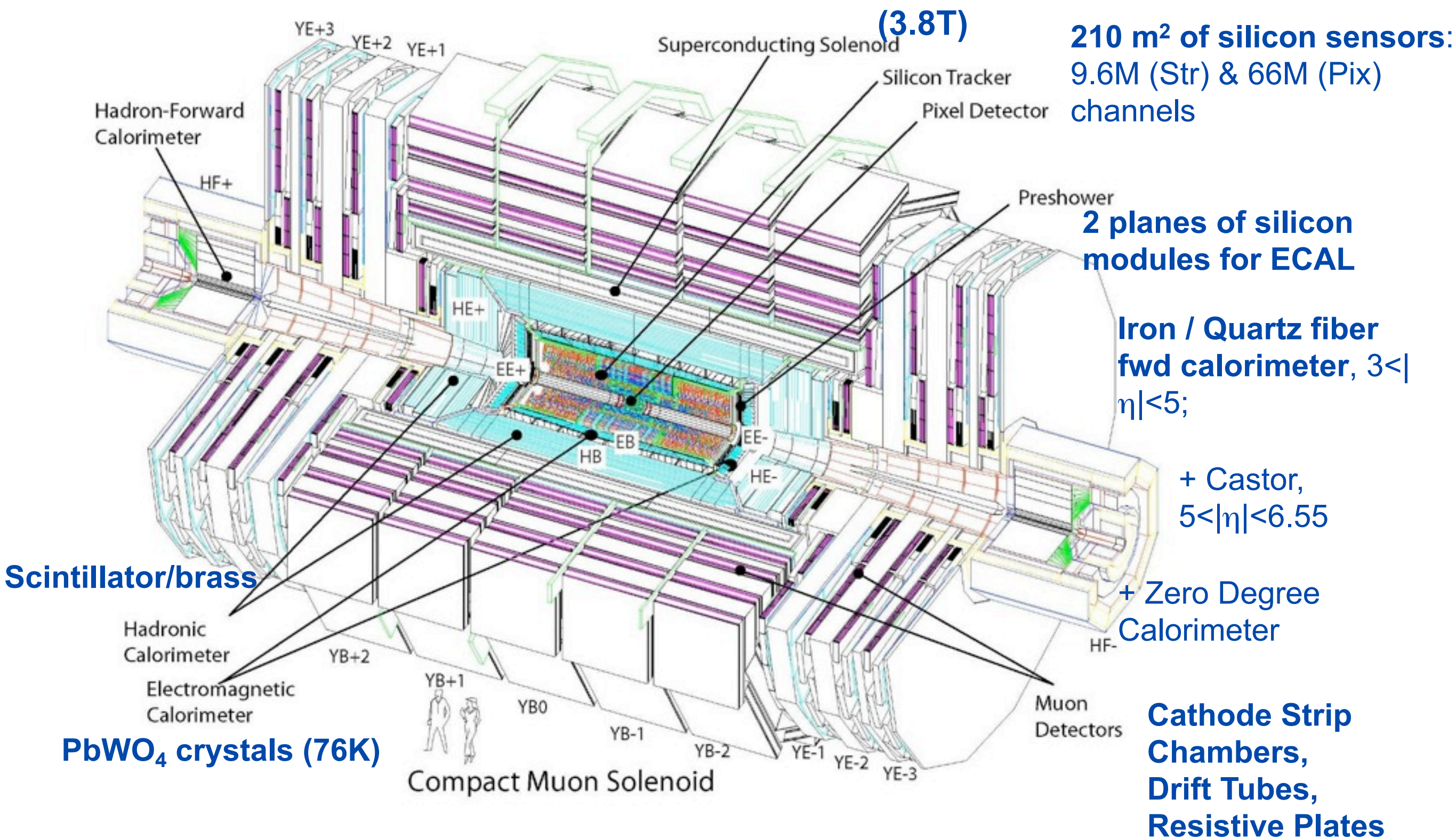


# Outline

- Motivation
- ➔ • Experimental and algorithmic overview
- Applications



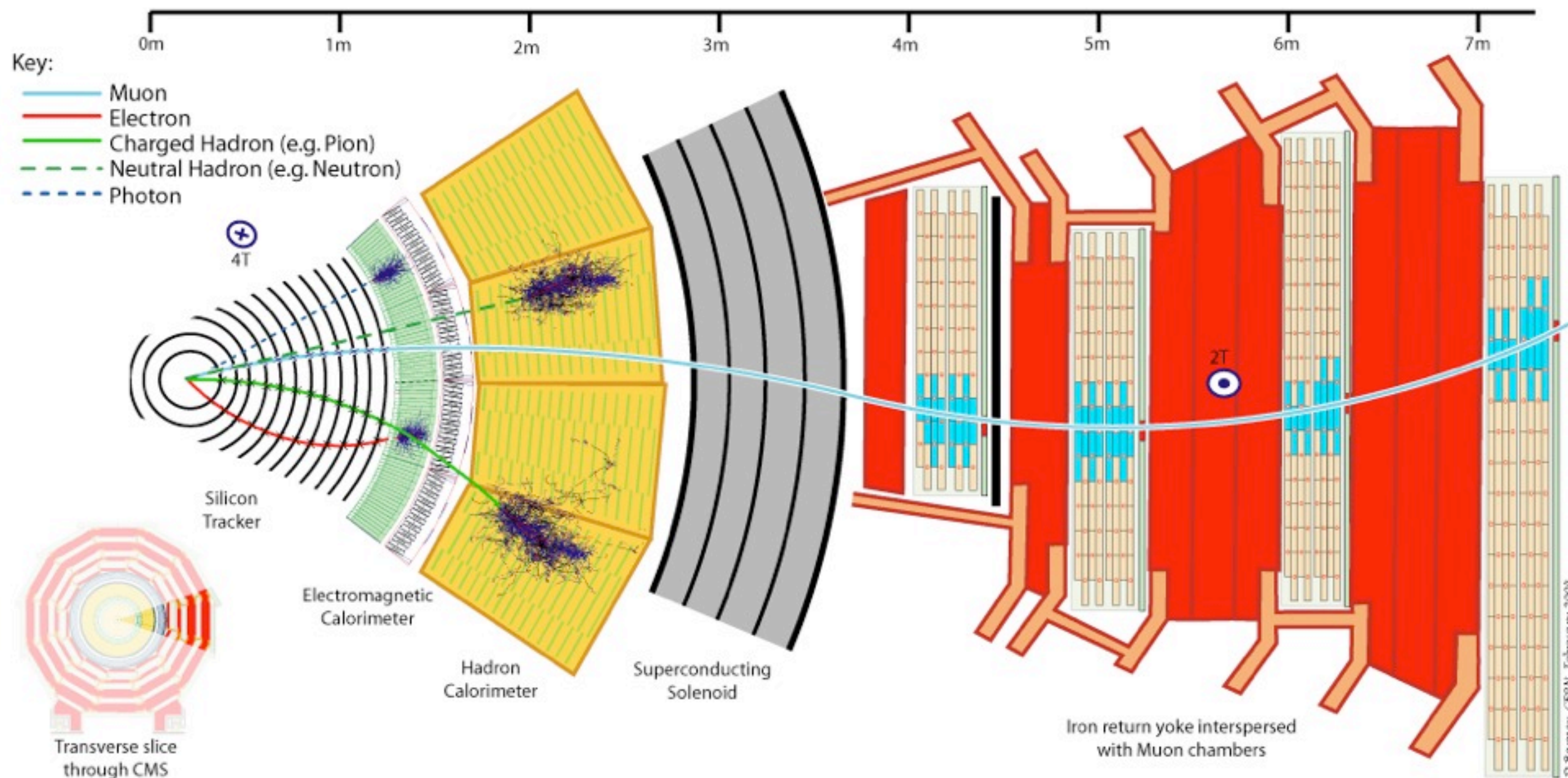
# Experimental Overview





# Experimental Overview

Classify objects into 5 categories

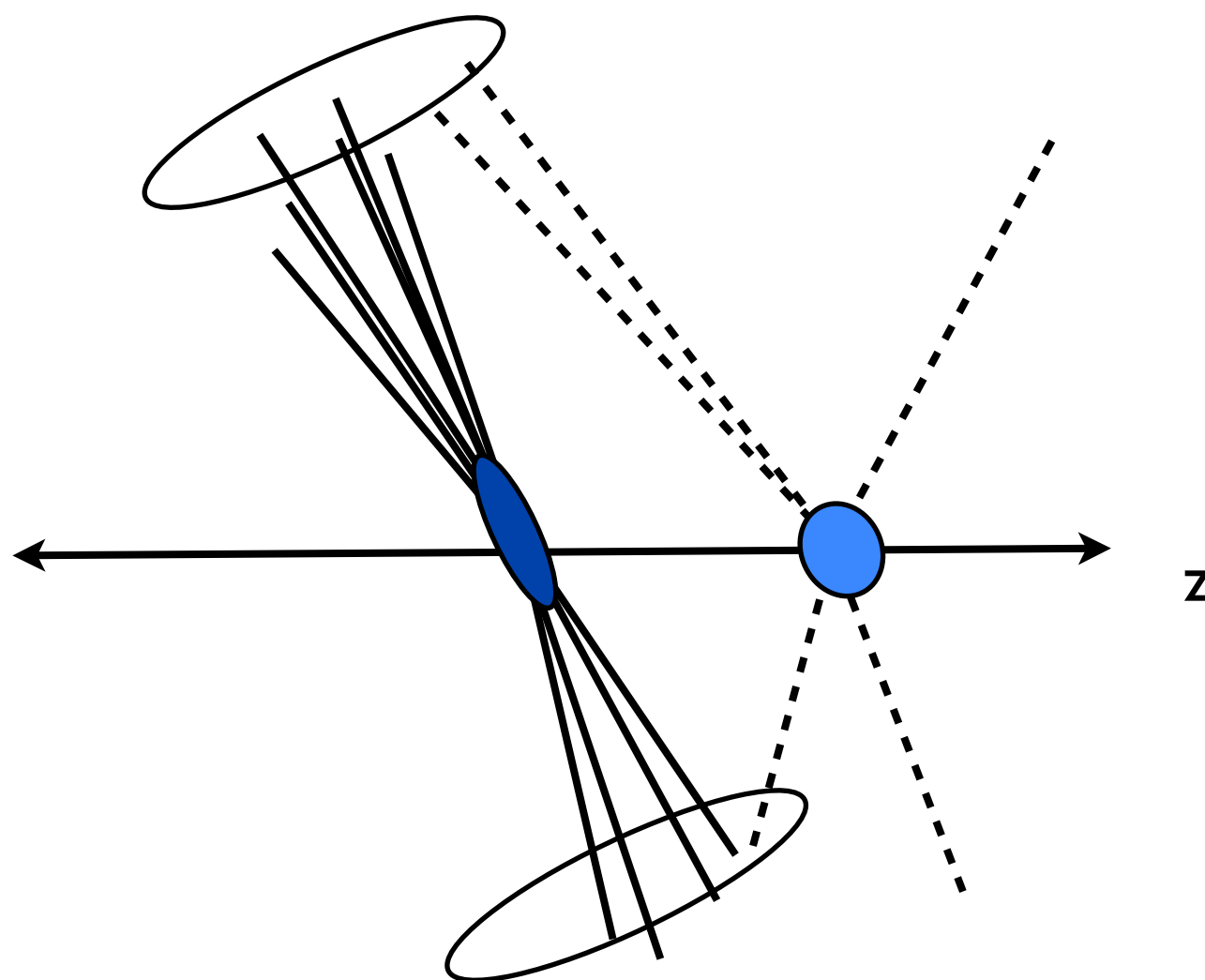


“Holistic” approach to reconstruction  
at CMS: Particle flow!

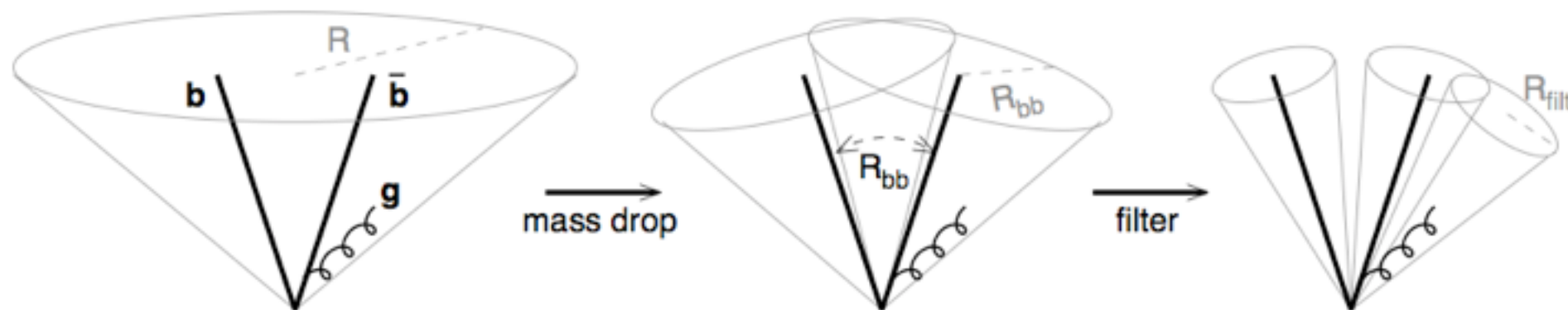


# Experimental Overview

- Advantages of PF reconstruction for substructure:
  - Excellent subjet angular and energy resolution ( $<10\%$  where interesting)
  - Subjet energy scales are very close to typical QCD energy scales
  - Can explicitly remove pileup from non-leading primary vertices



# Jet Filtering Details



- From Butterworth, et al (arXiv:0802.2470 [hep-ph])
- Undo clustering sequence until a sufficiently symmetric mass drop is obtained

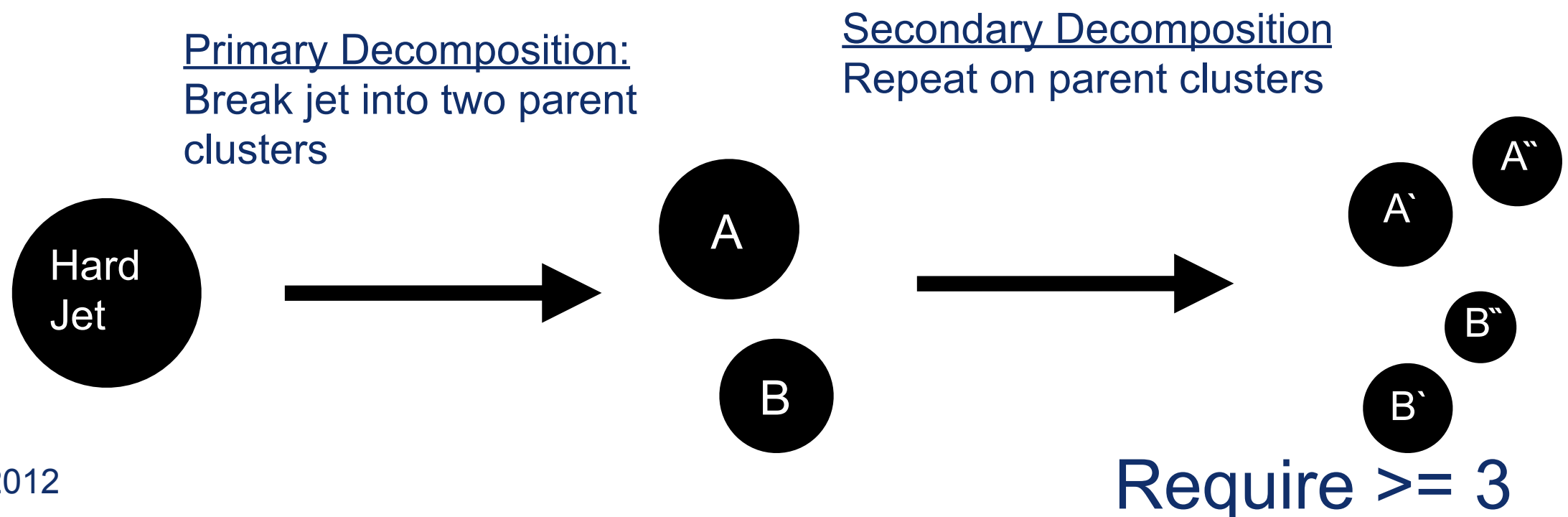
$$Y_{ij} = \frac{\min(p_{ti}^2, p_{tj}^2)}{p_t^2} \Delta R_{ij}^2 > Y_{cut} \quad \mu = \frac{m_{j1}}{m_j}$$

- Filter constituents by using a smaller distance parameter to remove “noise” components



# Top Tagging Details

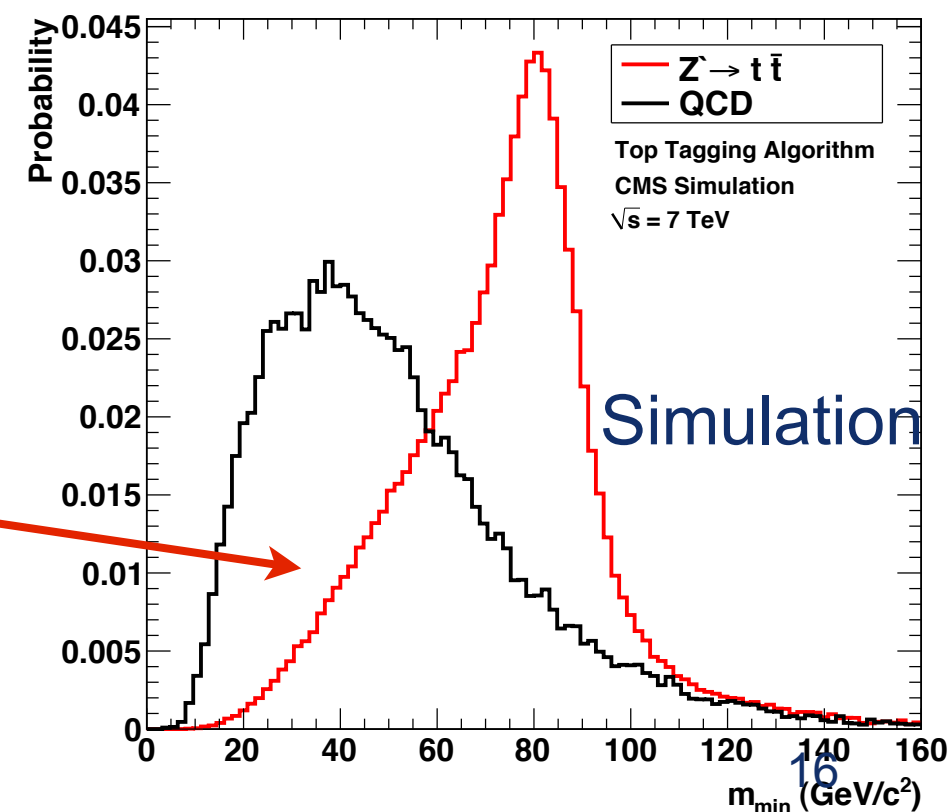
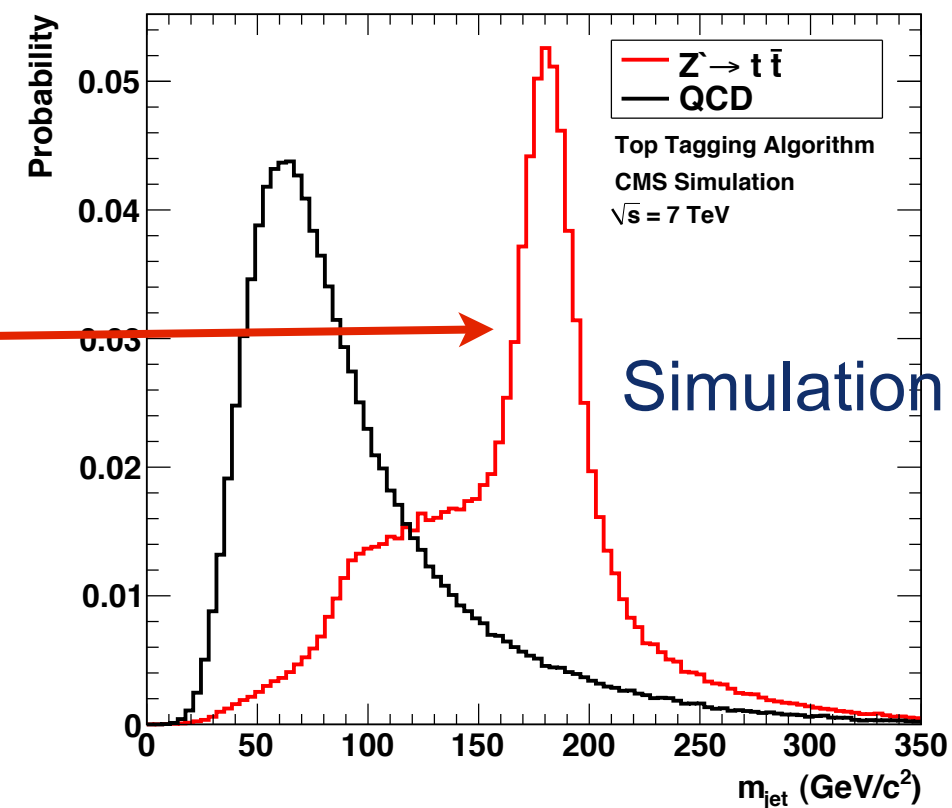
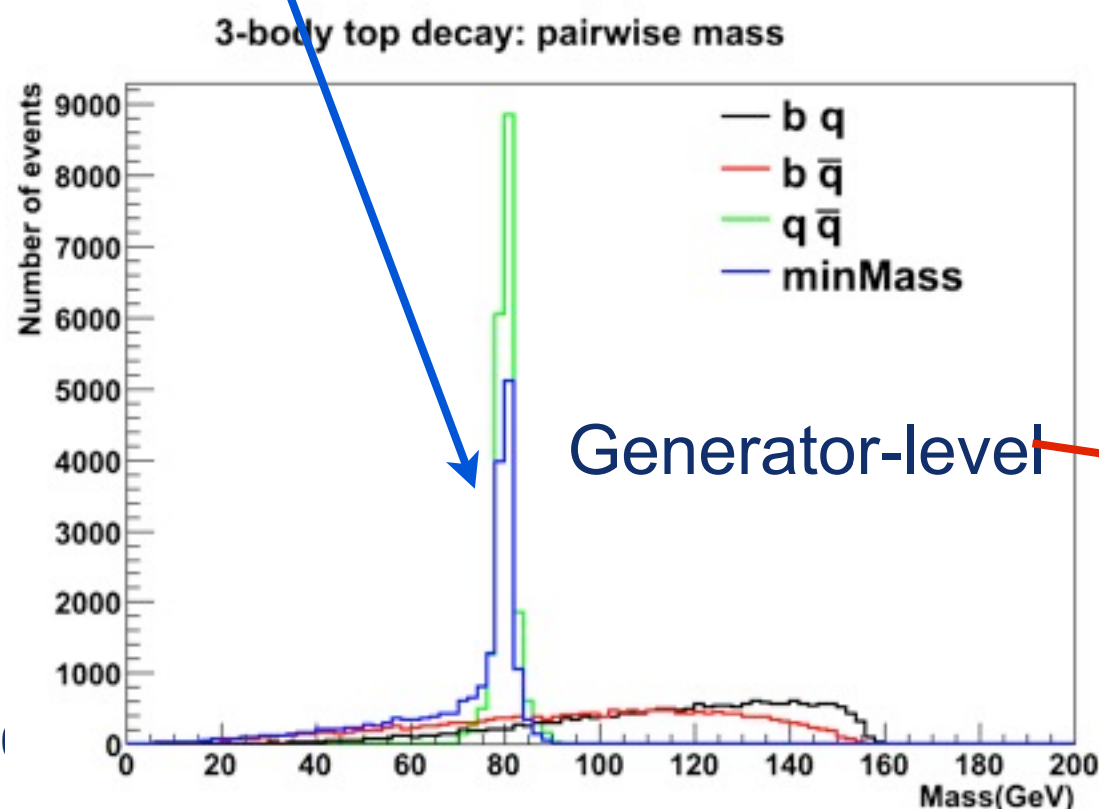
- Based on Kaplan et al. (arXiv:0806.0848)
- Cluster particle flow candidates using Cambridge Aachen
- Reverse the clustering sequence in order to find substructure
- Subjets must satisfy two requirements
  - Momentum fraction criterion:  $p_{T\text{subjet}} > 0.05 \times p_{T\text{hard jet}}$  ← Removes soft subjets
  - Adjacency criterion:  $\Delta R(A, B) > 0.4 - 0.0004 \times p_T$  ← Removes adjacent subjets
- Iterative process - throw out objects that fail and try to decluster again
- Stops when  $\geq 3$  distinct, sufficiently hard subjets emerge



# Top Tagging Details

- Discriminating variables:
  - Number of subjects: 3 or 4
  - Top Mass: Approximated by jet mass
    - Mass in 100-250 GeV/c<sup>2</sup>
  - W Mass: Approximated by min pairwise mass
    - Min mass > 50 GeV/c<sup>2</sup>

$$m_{\min} = \min[m_{12}, m_{13}, m_{23}]$$





# Jet Pruning Details

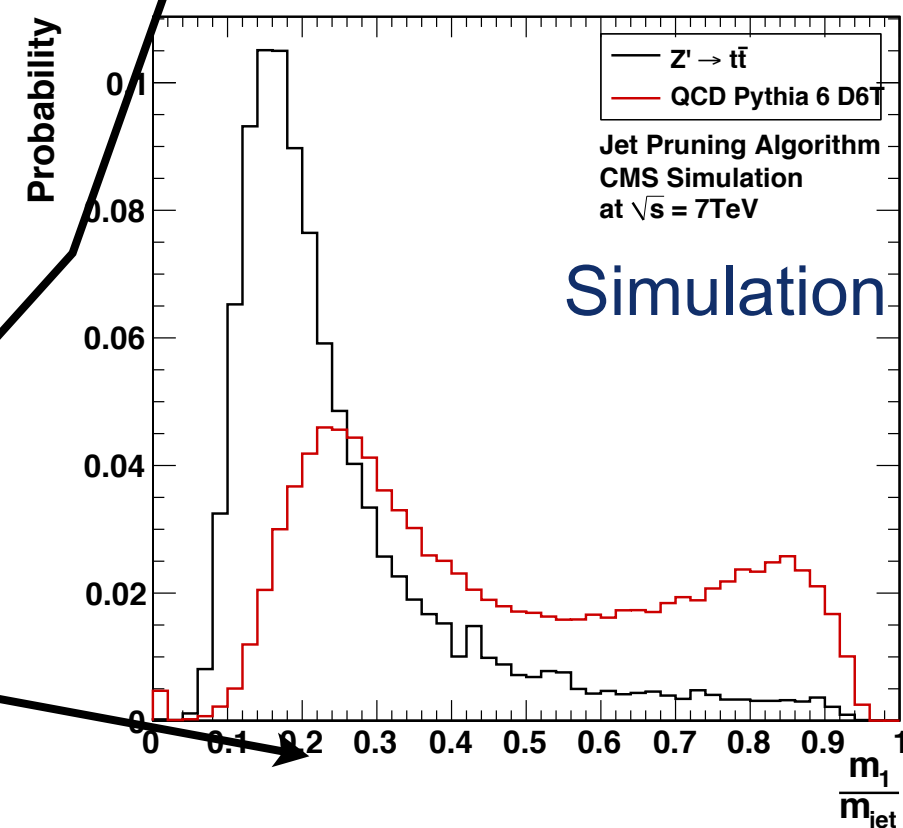
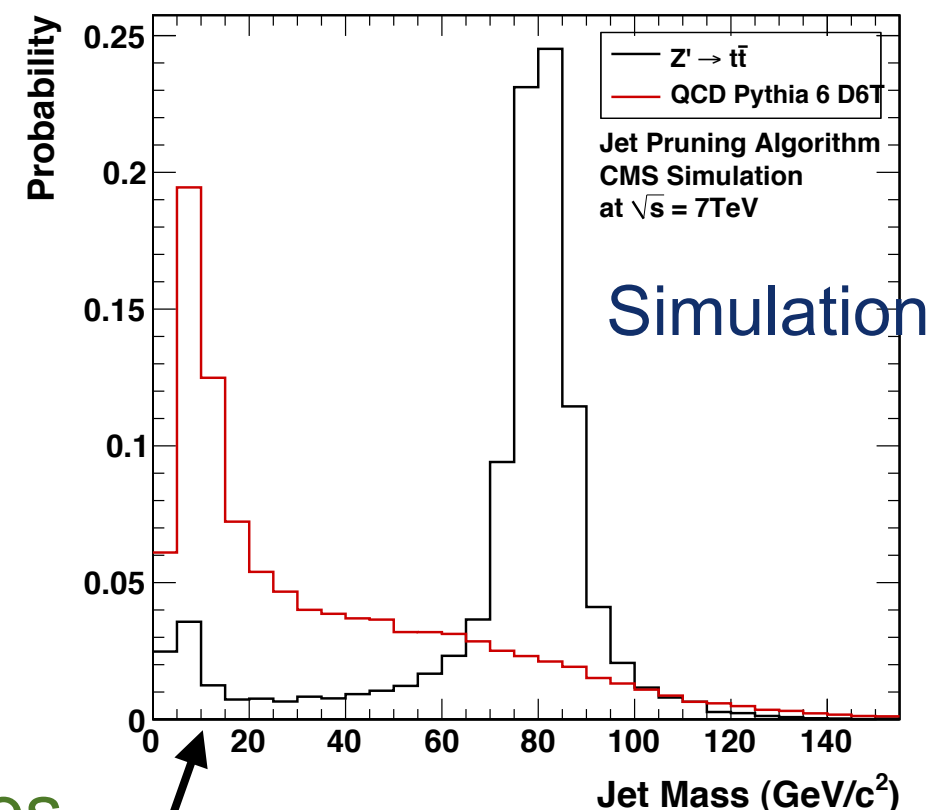
- Ellis et al. (arXiv:0903.5081)
- Attempts to isolate subjet showers by removing soft, large angle particles from each subjet
- The “interesting” recombination occurs at wide angles
- Recluster each jet, requiring that each recombination satisfy the following:

$$\frac{\min(p_{T1}, p_{T2})}{p_{Tp}} > 0.1 \quad \leftarrow \text{Removes soft particles}$$

$$\Delta R_{12} < 0.5 \times \frac{m_{\text{jet}}}{p_T} \quad \leftarrow \text{Removes wide angle particles}$$

- For W tagging, require:
  - Jet mass in 60-100 GeV/c<sup>2</sup>
  - Mass drop ( $\mu$ ) < 0.4

$$\mu = \frac{m_{j1}}{m_j}$$

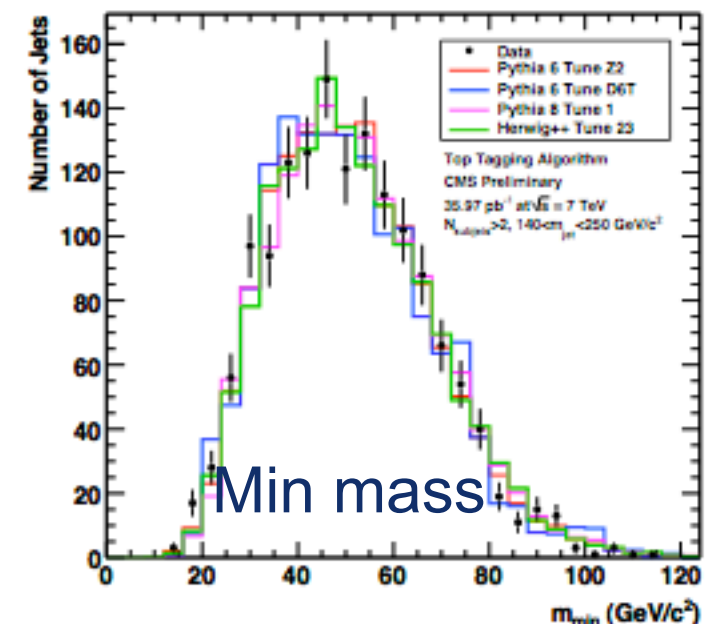
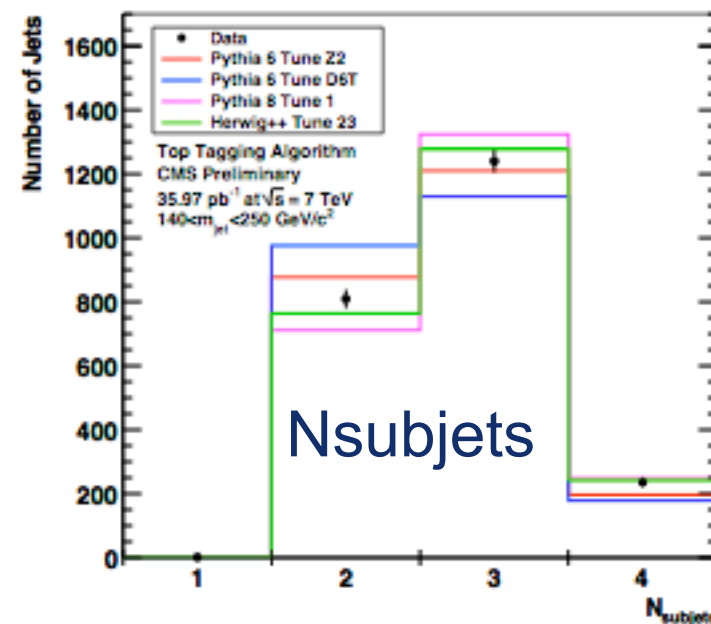
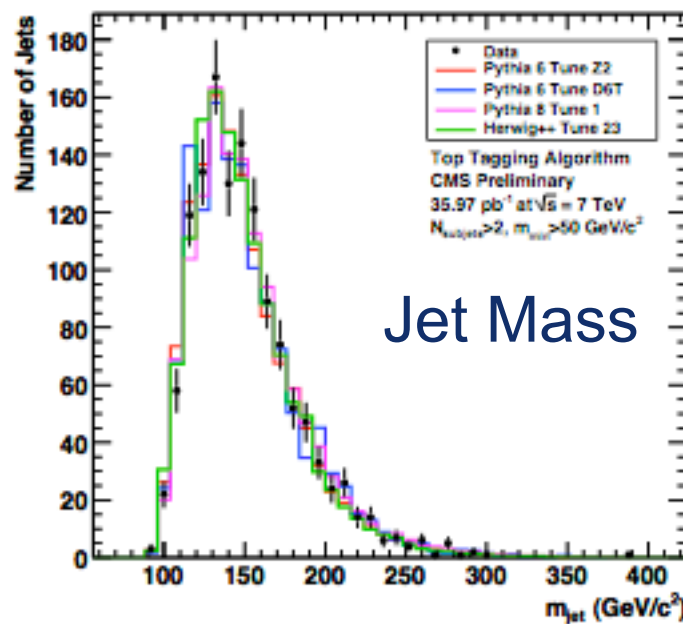




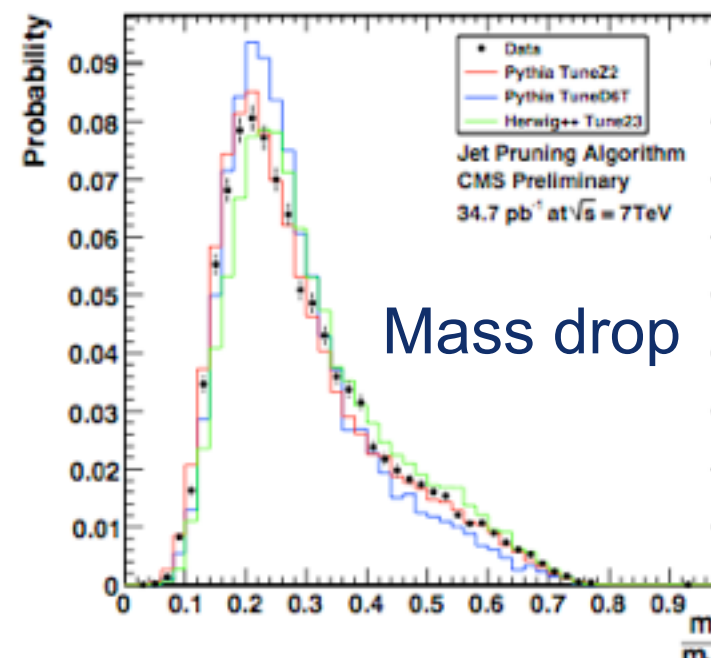
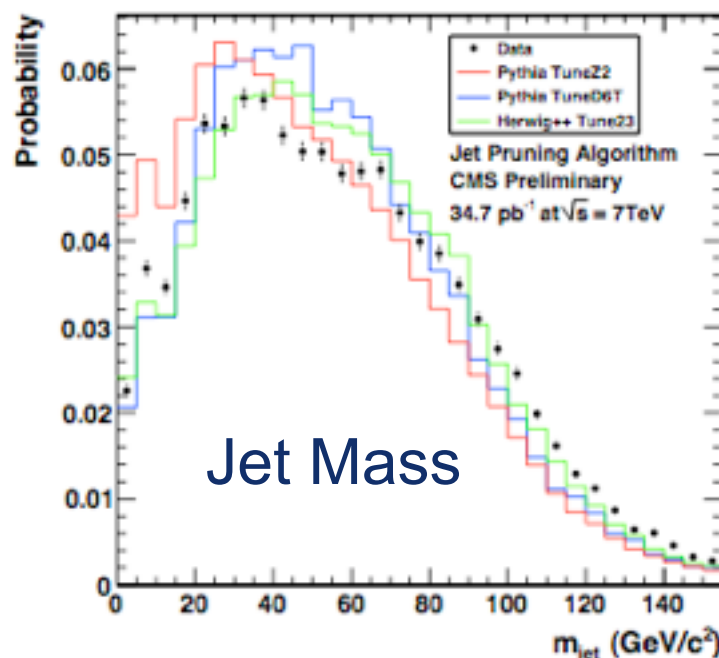
# Data/MC Comparisons

- Extensive validation with 36 pb<sup>-1</sup> of data outlined in technical report JME-10-013

Top Tagging



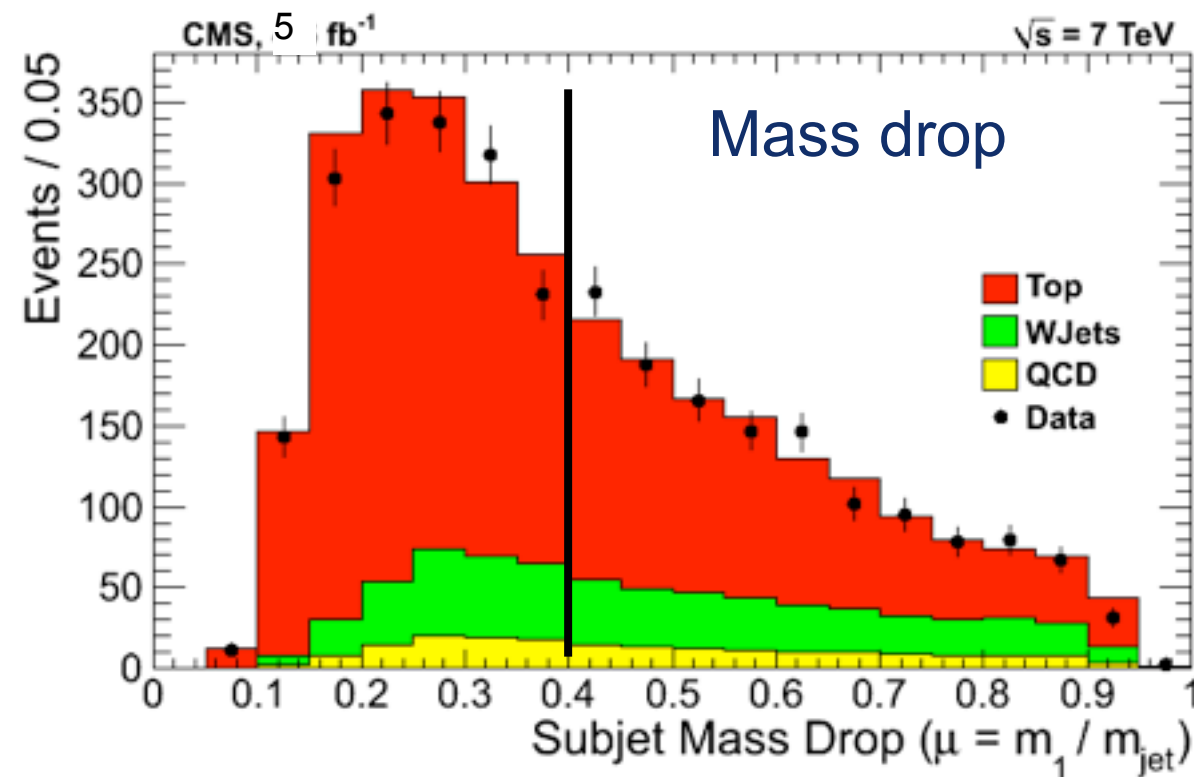
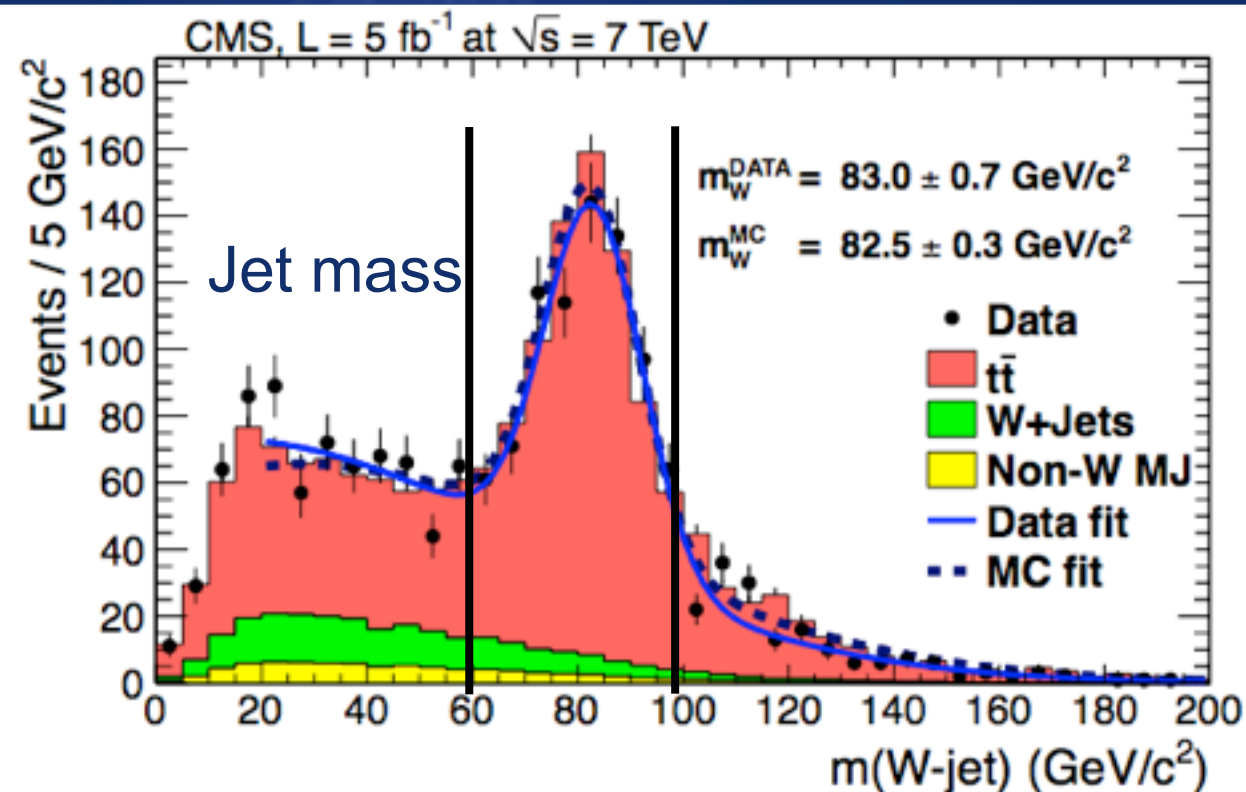
W Tagging



Excellent data/MC agreement, best with Herwig++

# Data/MC Comparisons

- Also investigated real W's in semileptonic  $t\bar{t}$  events
- Excellent agreement between data and simulation



# Outline

- Motivation
- Experimental and algorithmic overview
- ➔ • Applications



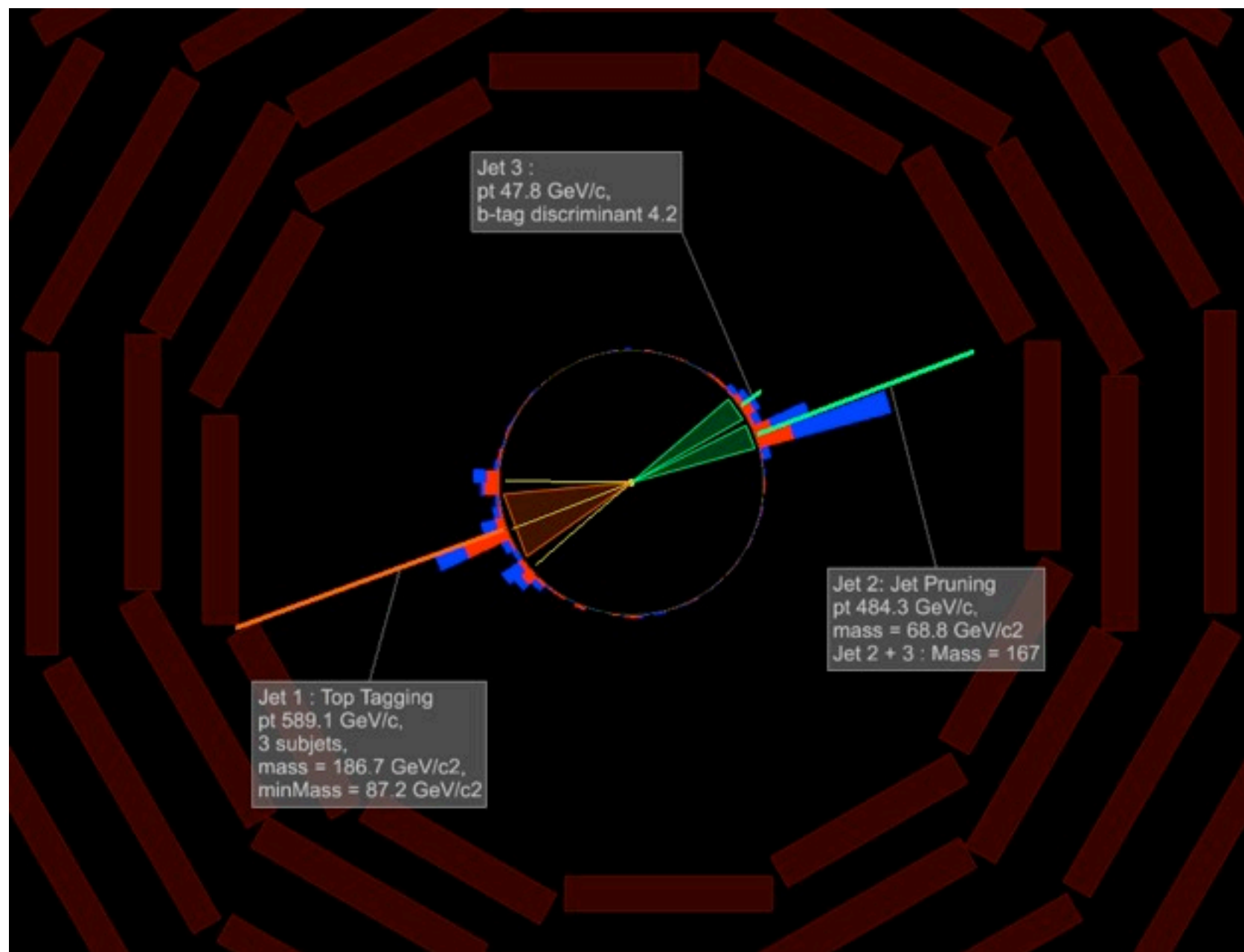
- Boosted ttbar search
- Boosted V + MET search
- Boosted V + ll search
- H->bb
- Dijet analysis

FOCUS

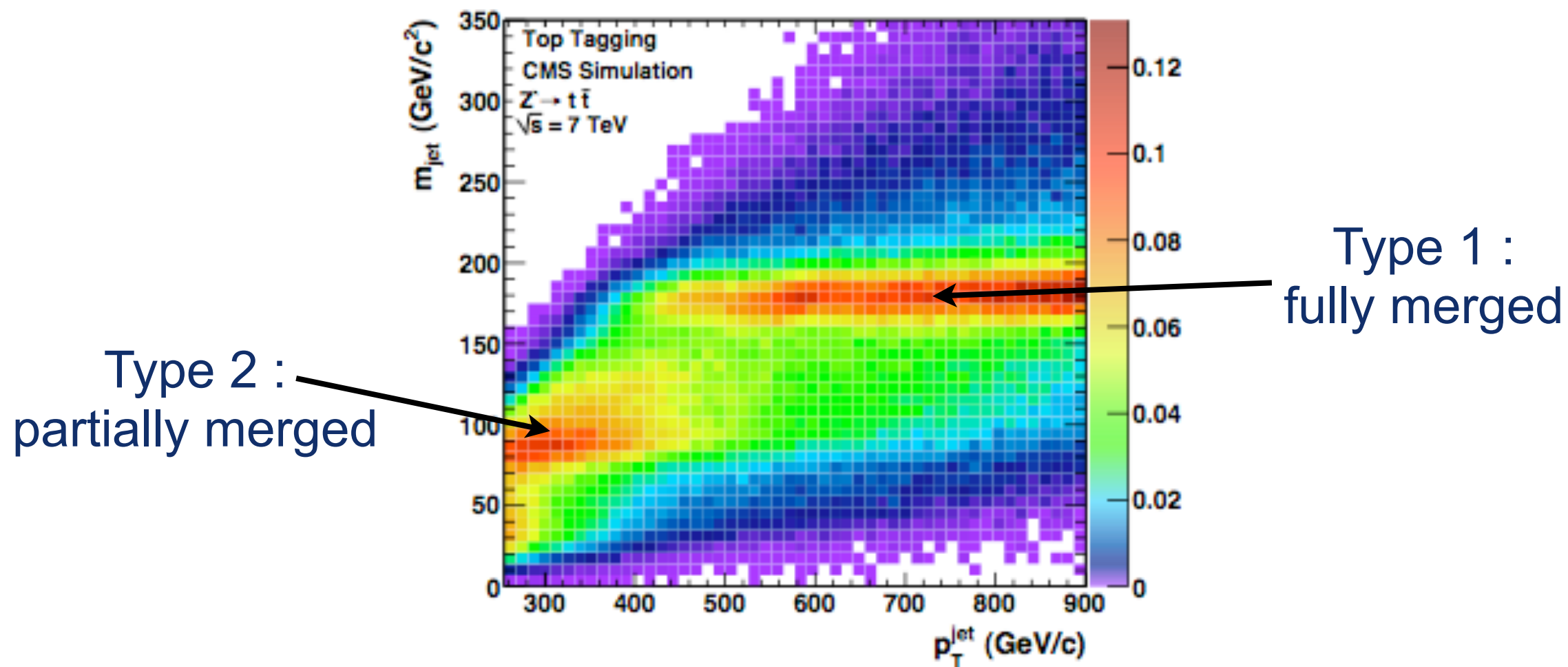
Covered elsewhere

# Boosted ttbar

- Look for resonances decaying to boosted ttbar pairs
- Prototype models are Z' and RS KK gluon
- Data-driven background estimate
- Also perform analysis of general enhancements to ttbar spectrum

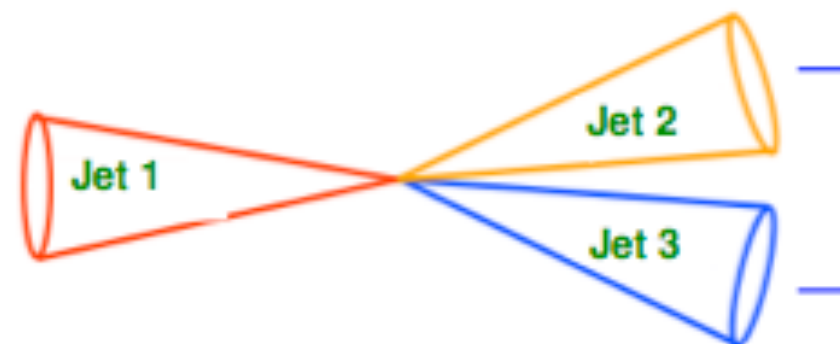


# Boosted ttbar



Type 1 + Type 1

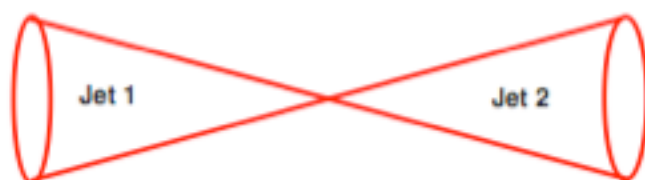
Type 1 + Type 2



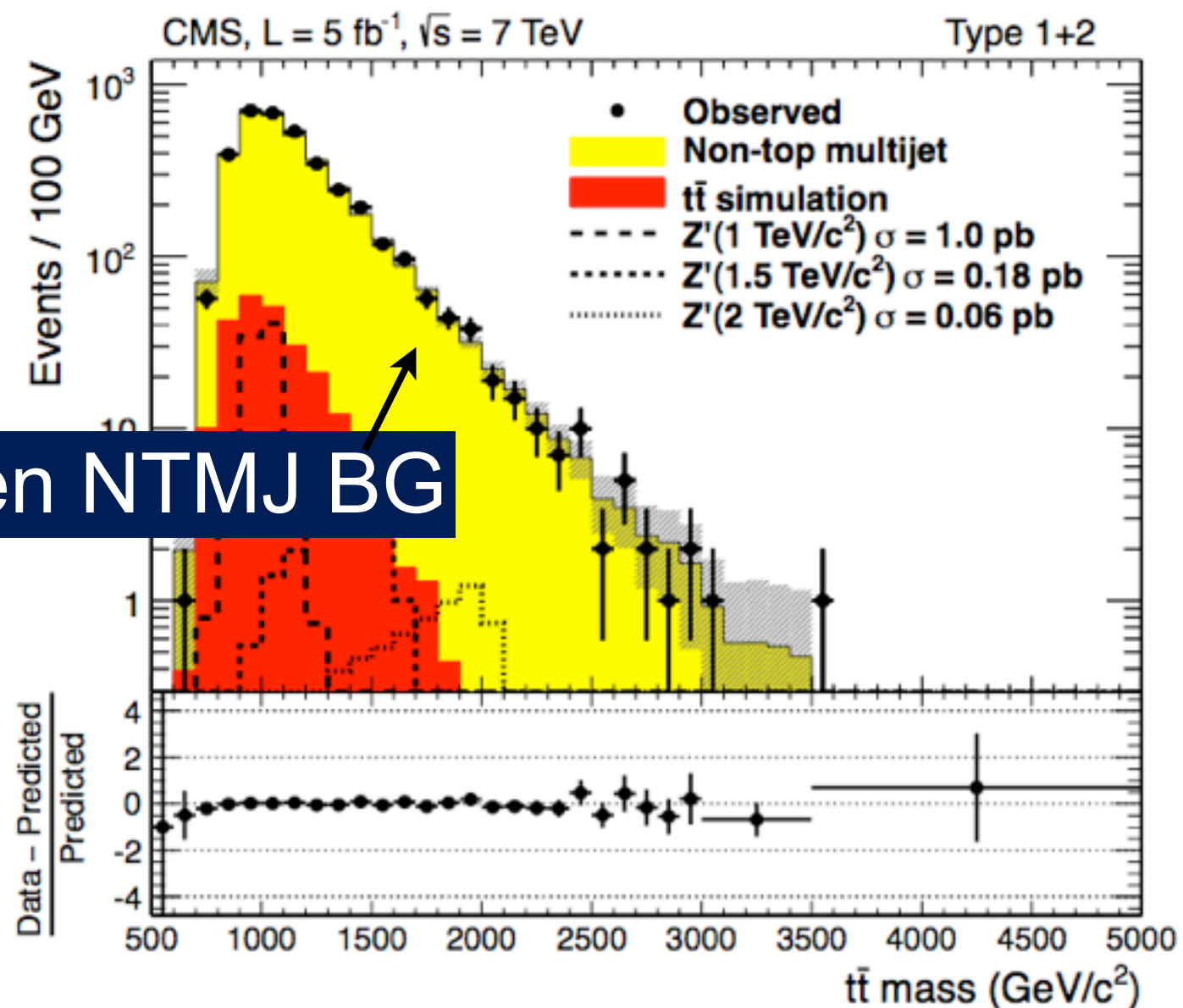
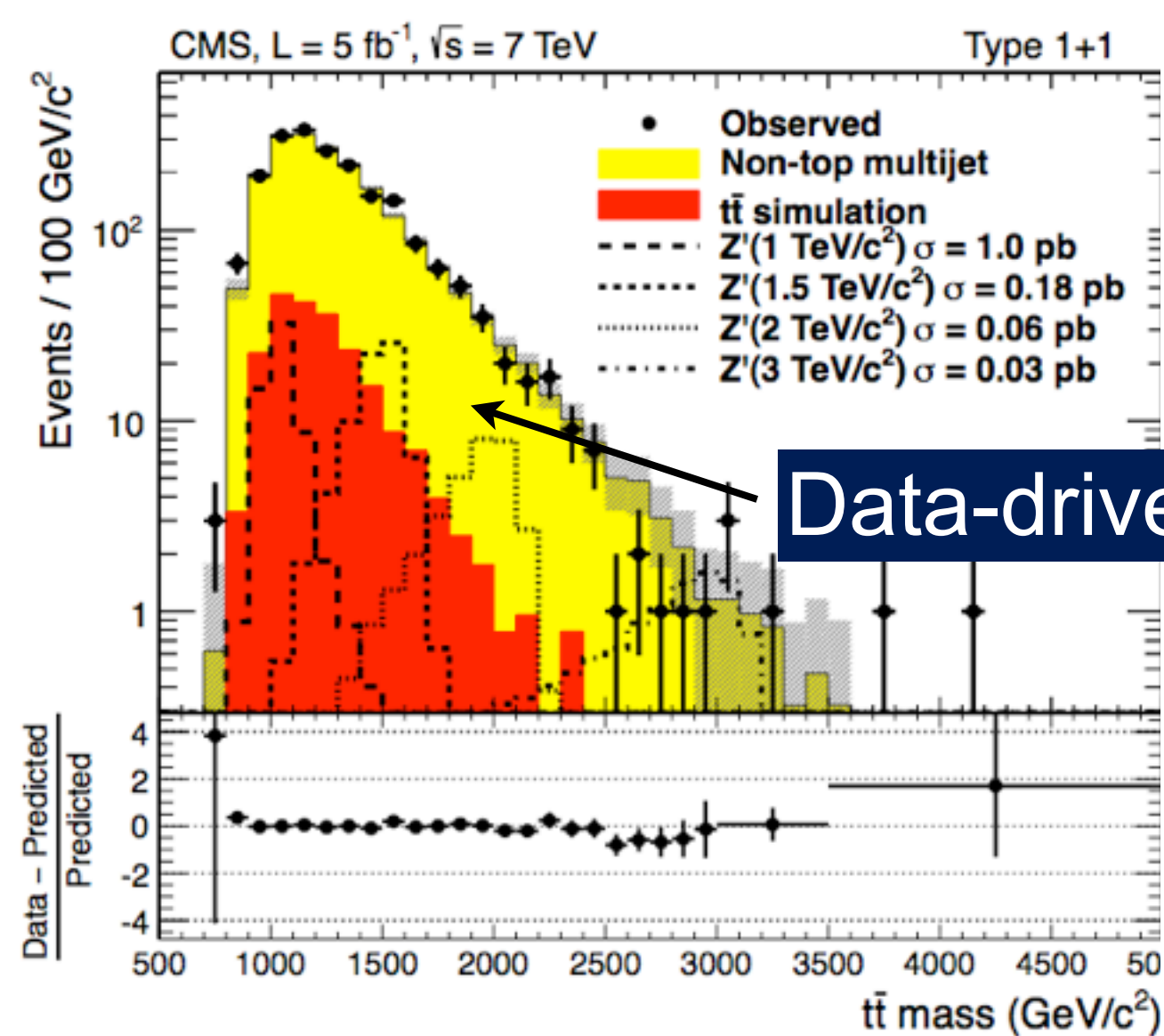


# Boosted $t\bar{t}$ bar

Type 1 + Type 1



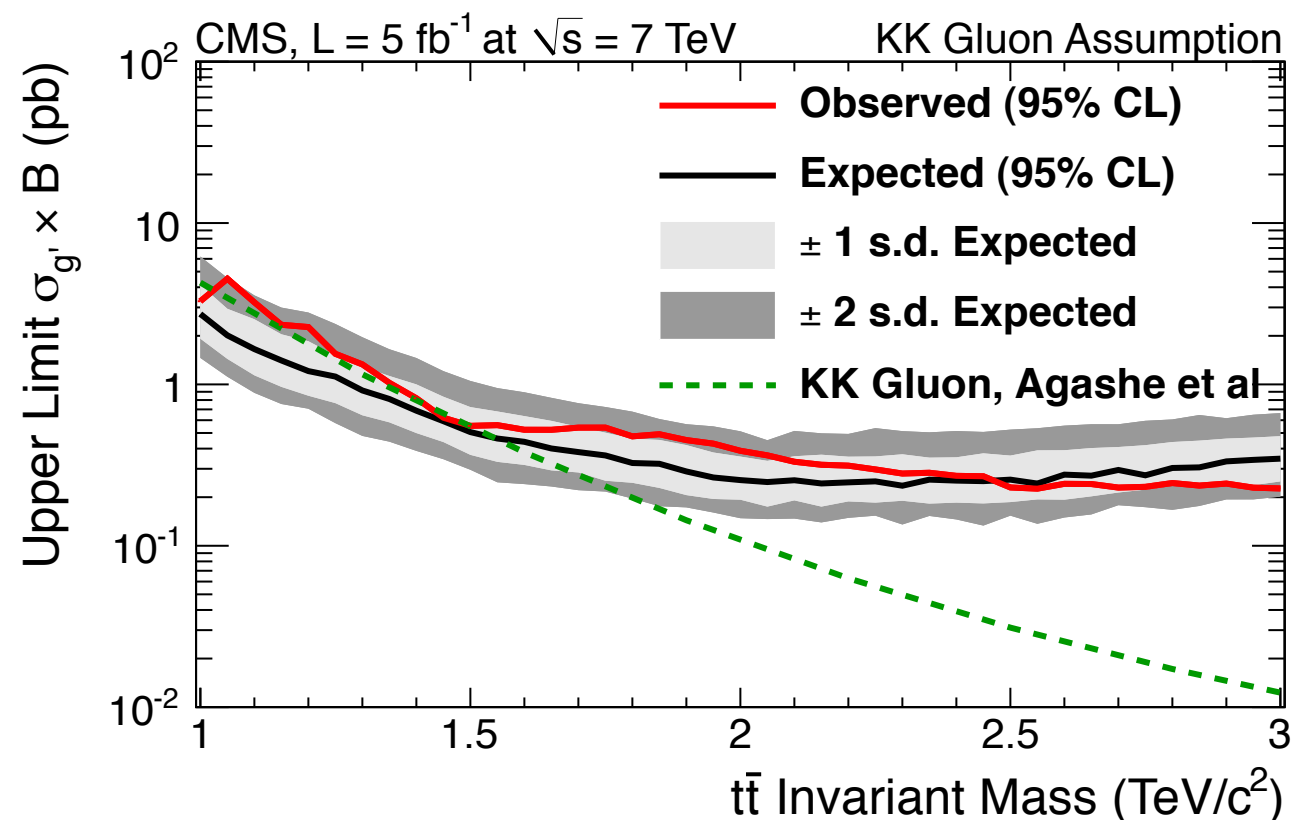
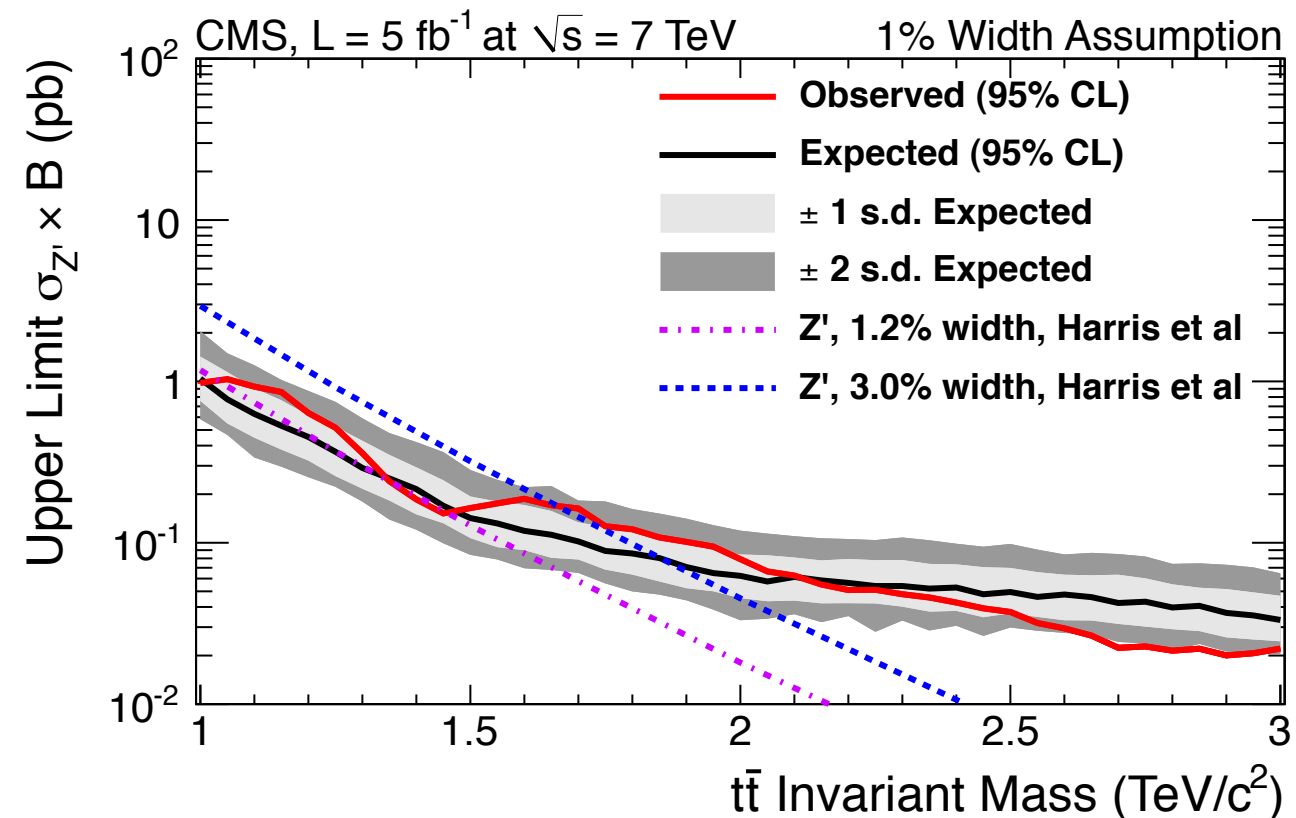
Type 1 + Type 2





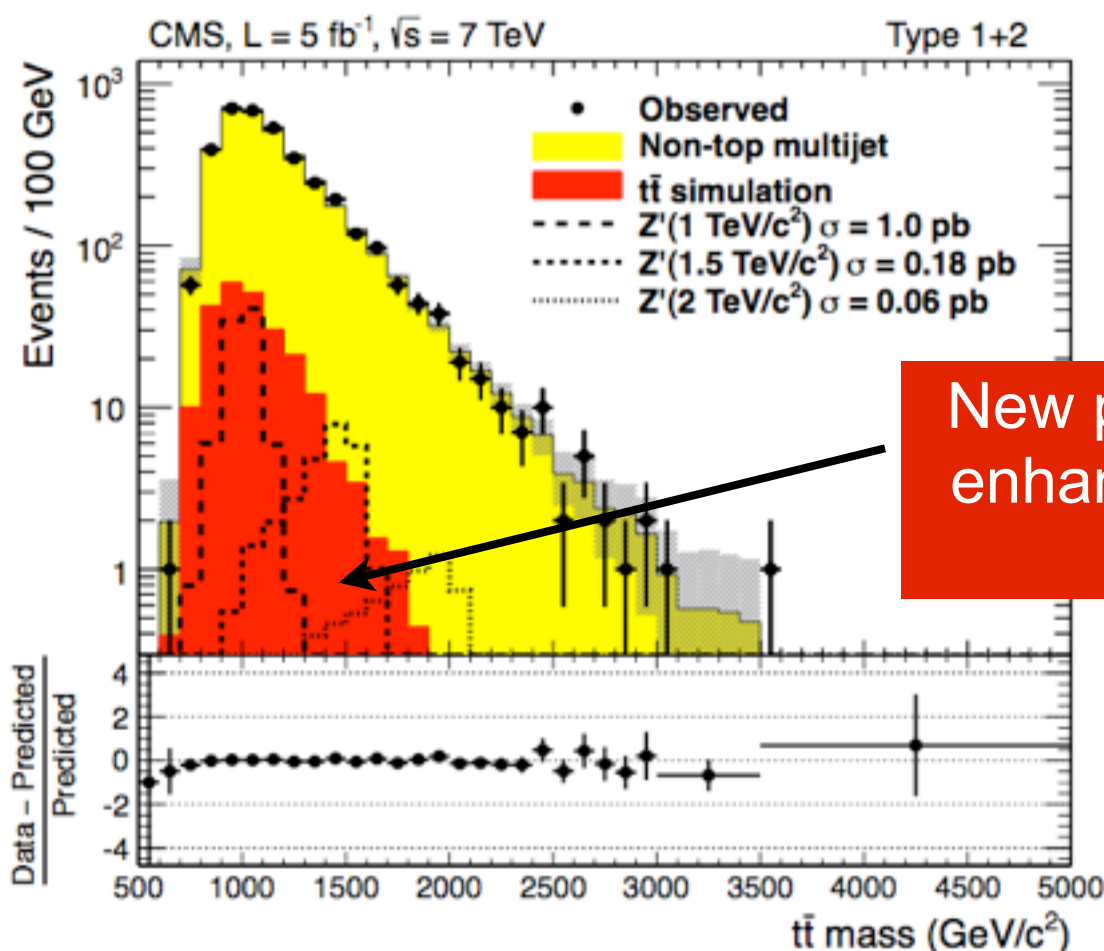
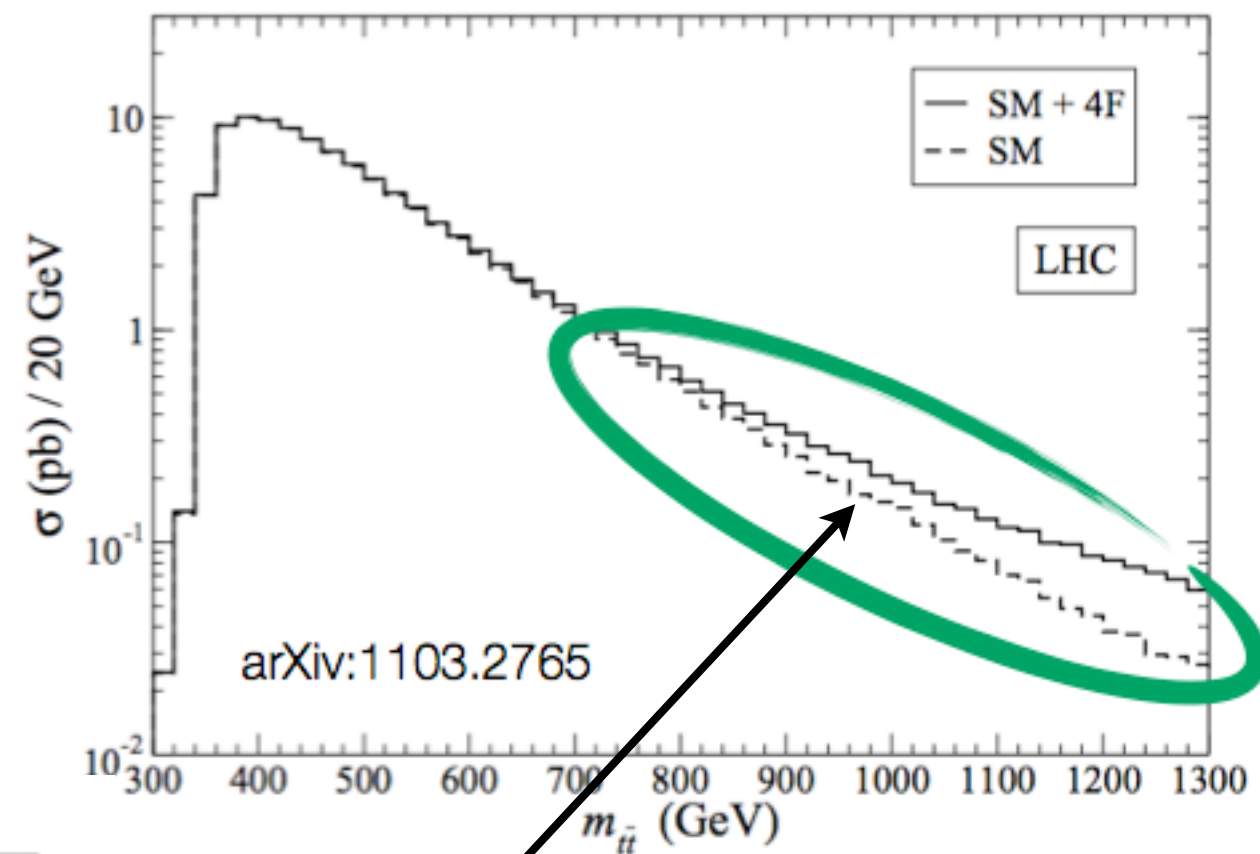
# Boosted $t\bar{t}b\bar{a}$

- Examined  $Z'$  with 1% width, 10% width (not shown), and KK gluon
- Extensive exclusions everywhere
- Combination with other  $t\bar{t}b\bar{a}$  analyses is ongoing!



# Boosted $t\bar{t}$

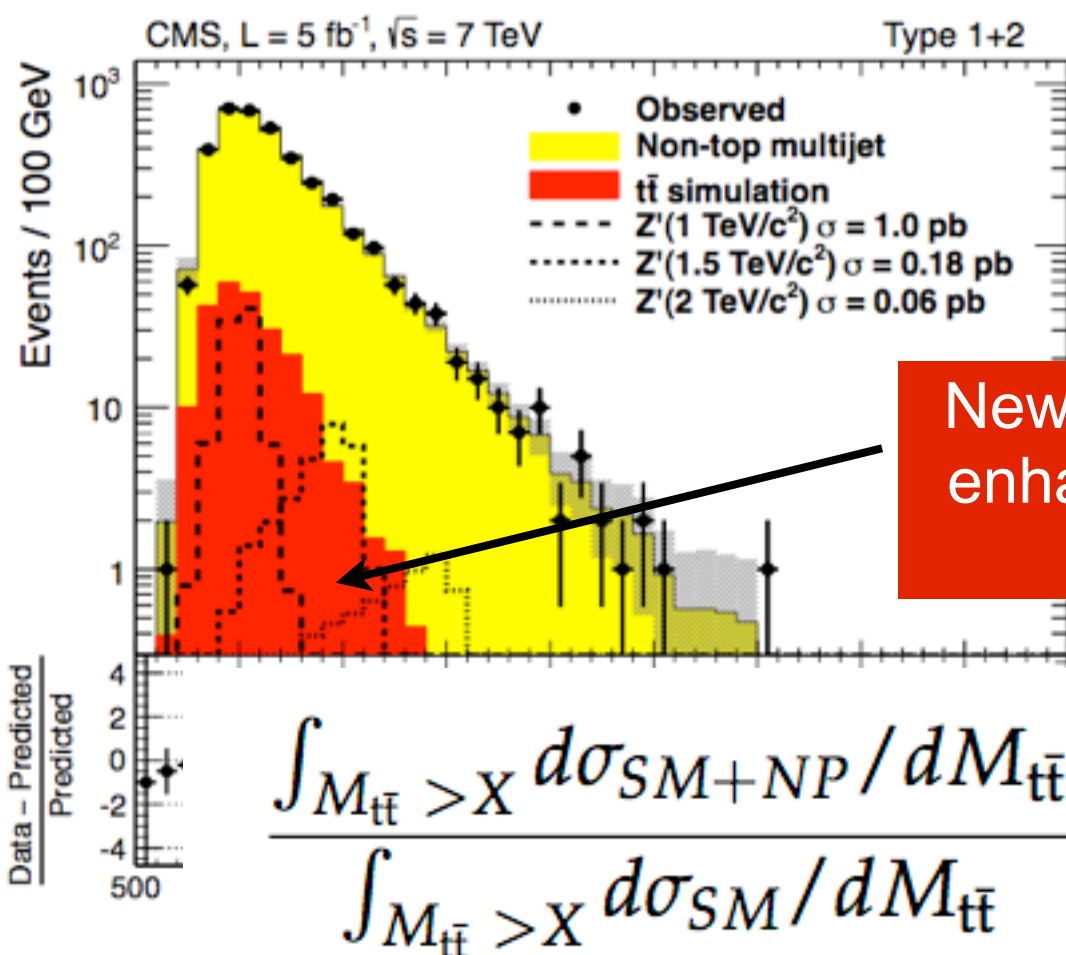
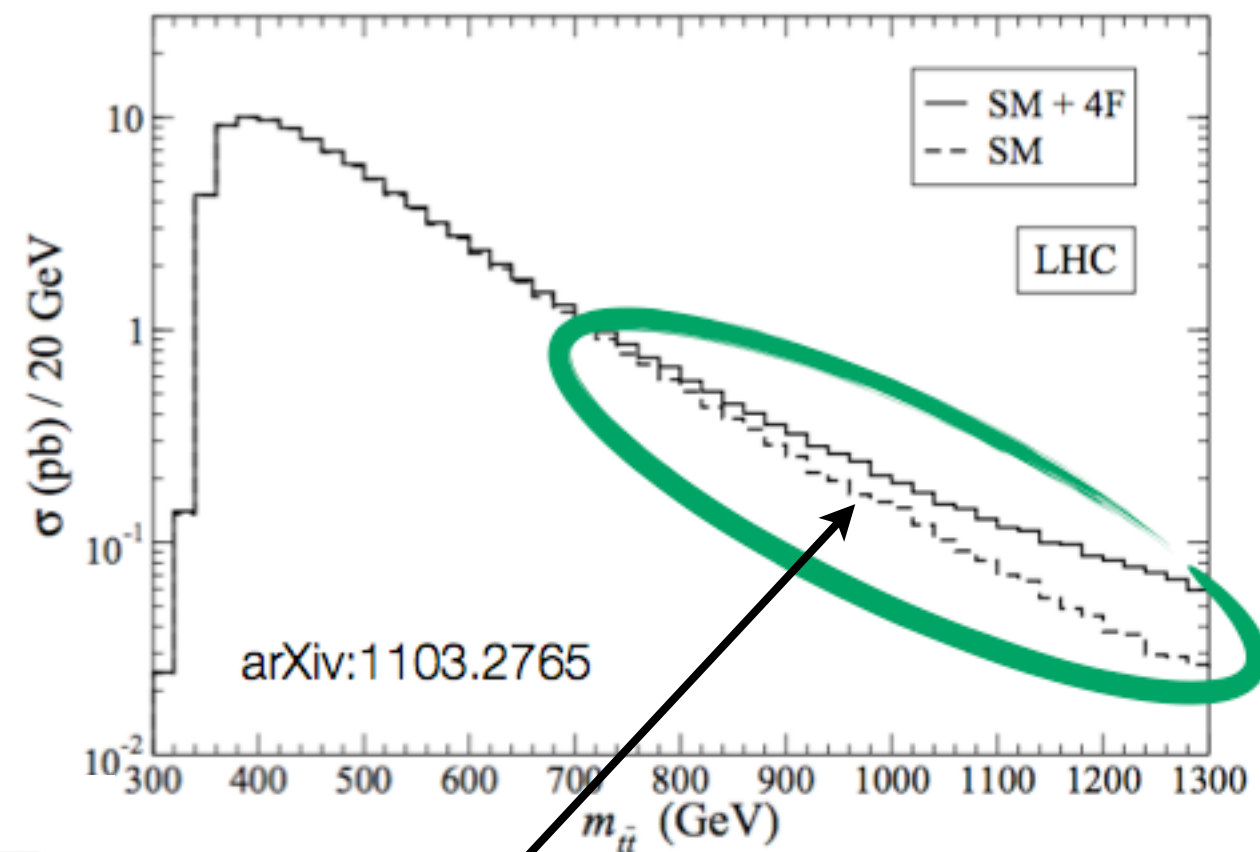
- Can also check enhancement to  $t\bar{t}$  XS
  - Use counting exp, absolute background normalization for  $m_{t\bar{t}} > 1$  TeV
  - Aguilar-Saavedra, Perez-Victoria ([arXiv:1103.2765v2](https://arxiv.org/abs/1103.2765v2) [hep-ph])
  - Delaunay et al ([arXiv:1103.2297v3](https://arxiv.org/abs/1103.2297v3) [hep-ph])



New physics would enhance  $t\bar{t}$  from SM

# Boosted ttbar

- Can also check enhancement to ttbar XS
  - Use counting exp, absolute background normalization for  $m_{t\bar{t}} > 1$  TeV
  - Aguilar-Saavedra, Perez-Victoria ([arXiv: 1103.2765v2](https://arxiv.org/abs/1103.2765) [hep-ph])
  - Delaunay et al ([arXiv:1103.2297v3](https://arxiv.org/abs/1103.2297) [hep-ph])

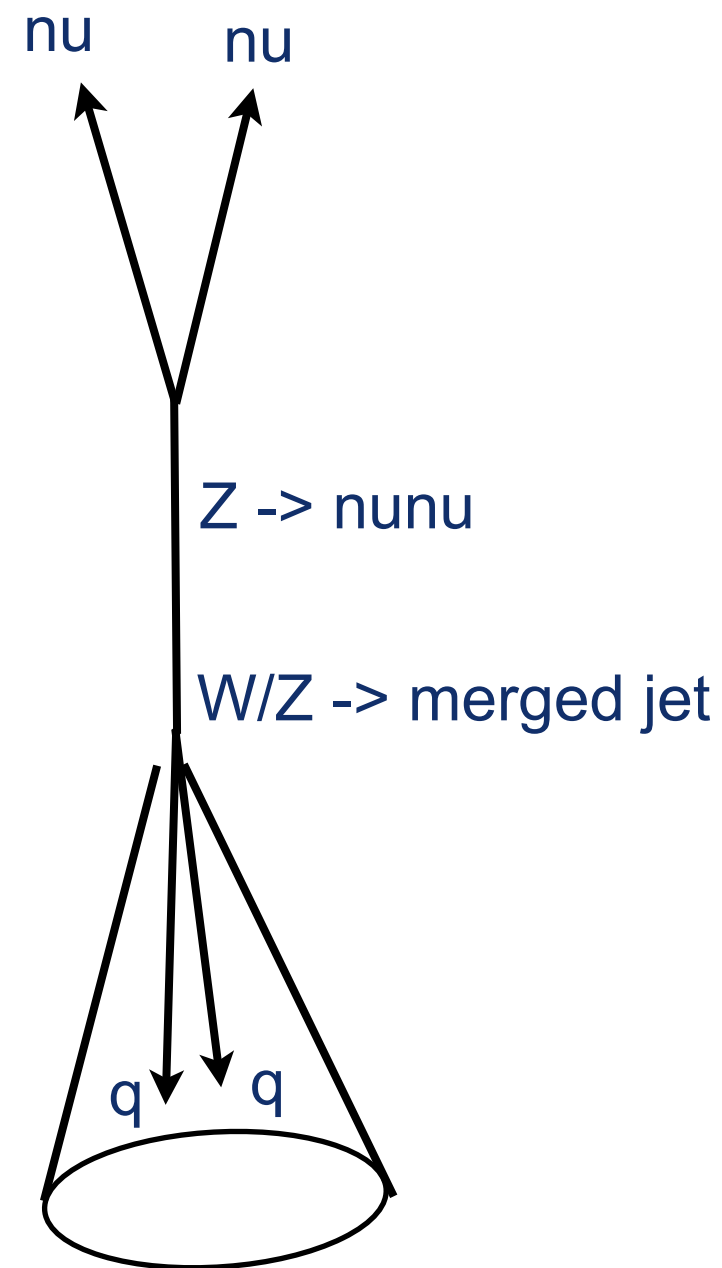


New physics would enhance ttbar from SM

$$\frac{\int_{M_{t\bar{t}} > X} d\sigma_{SM+NP} / dM_{t\bar{t}}}{\int_{M_{t\bar{t}} > X} d\sigma_{SM} / dM_{t\bar{t}}} < 2.6 \quad (X=1 \text{ TeV})$$

# Boosted V+MET

- Look for resonances to  $V+Z \rightarrow$  merged jet + MET
- Prototype signals are  $W'$ , RS gravitons, technirho
- Data-driven background estimate

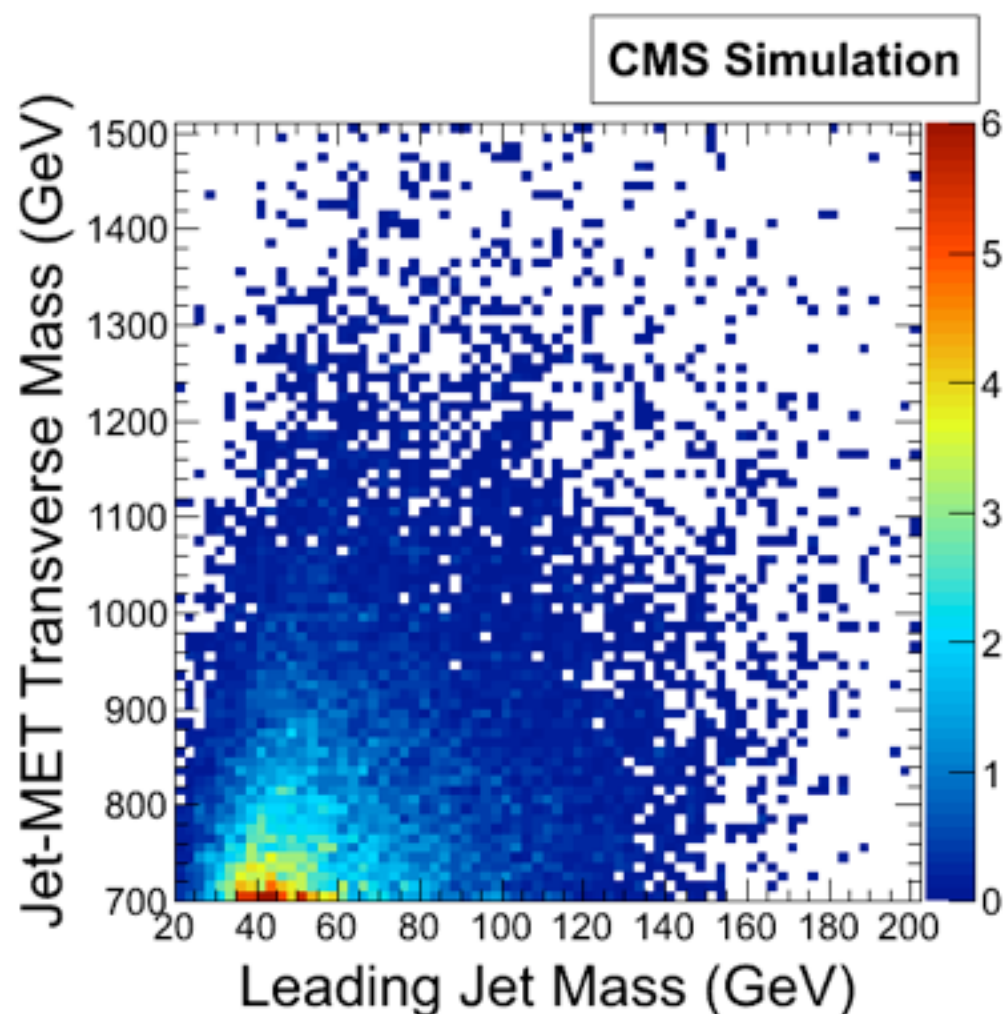




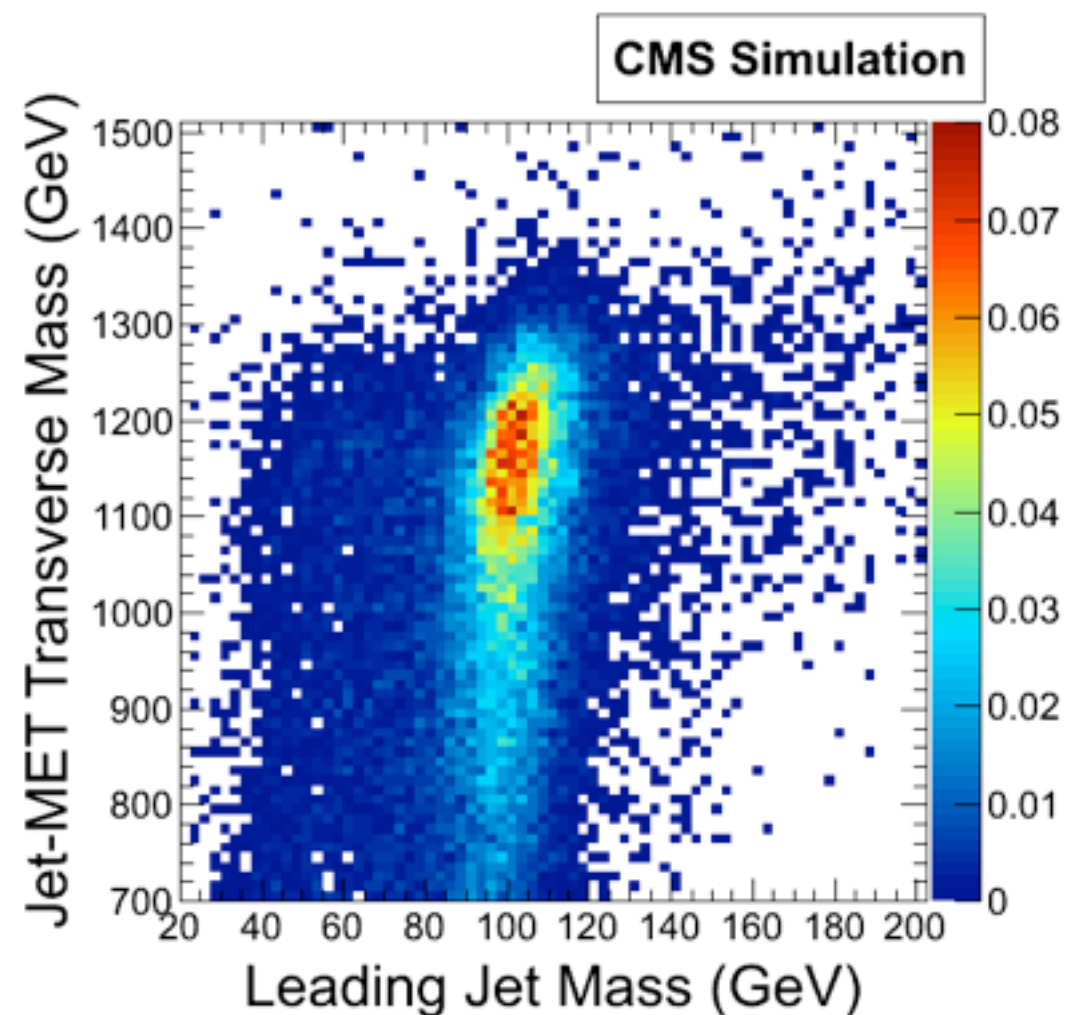
# Boosted V+MET

- Use simpler V-tagging :
  - anti-kT jets,  $D = 0.7$ , no jet grooming
  - $m_{\text{jet}} > 70 \text{ GeV}$

SM backgrounds



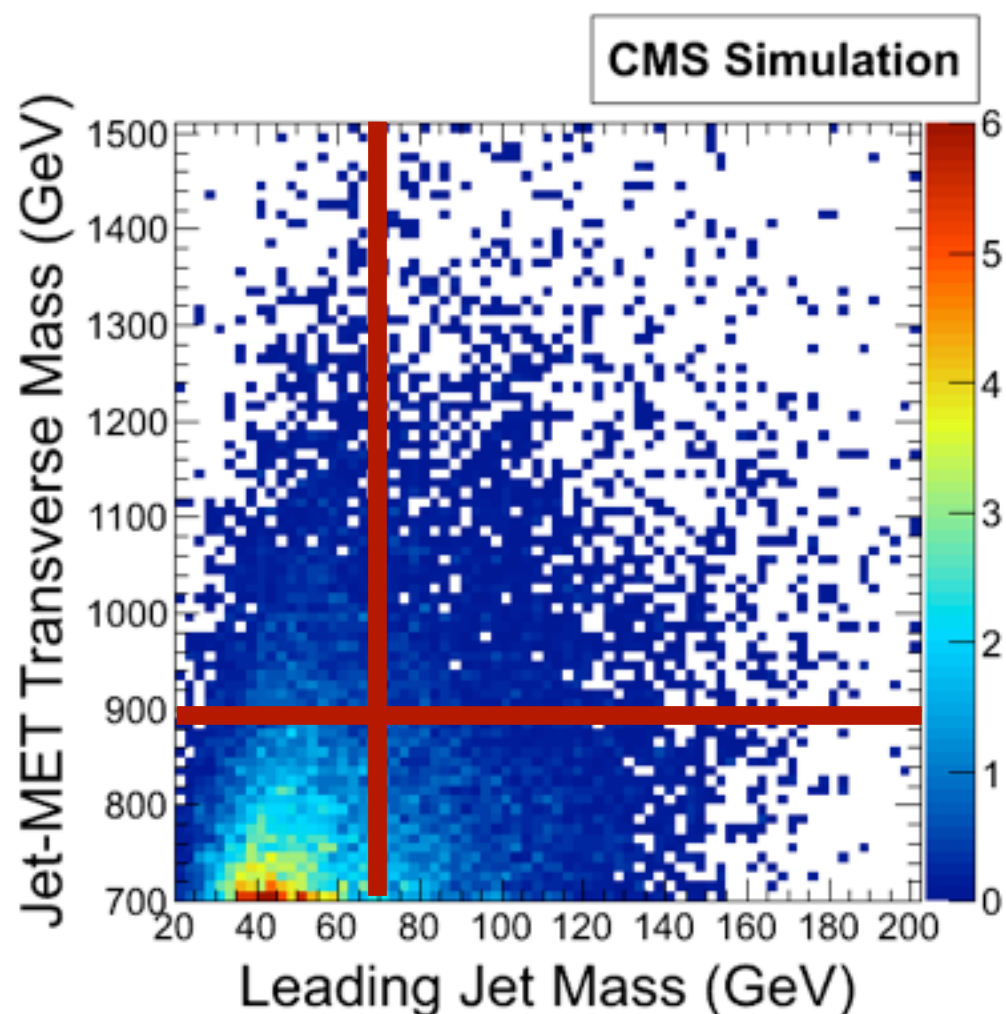
RS graviton,  $m=1250 \text{ GeV}$



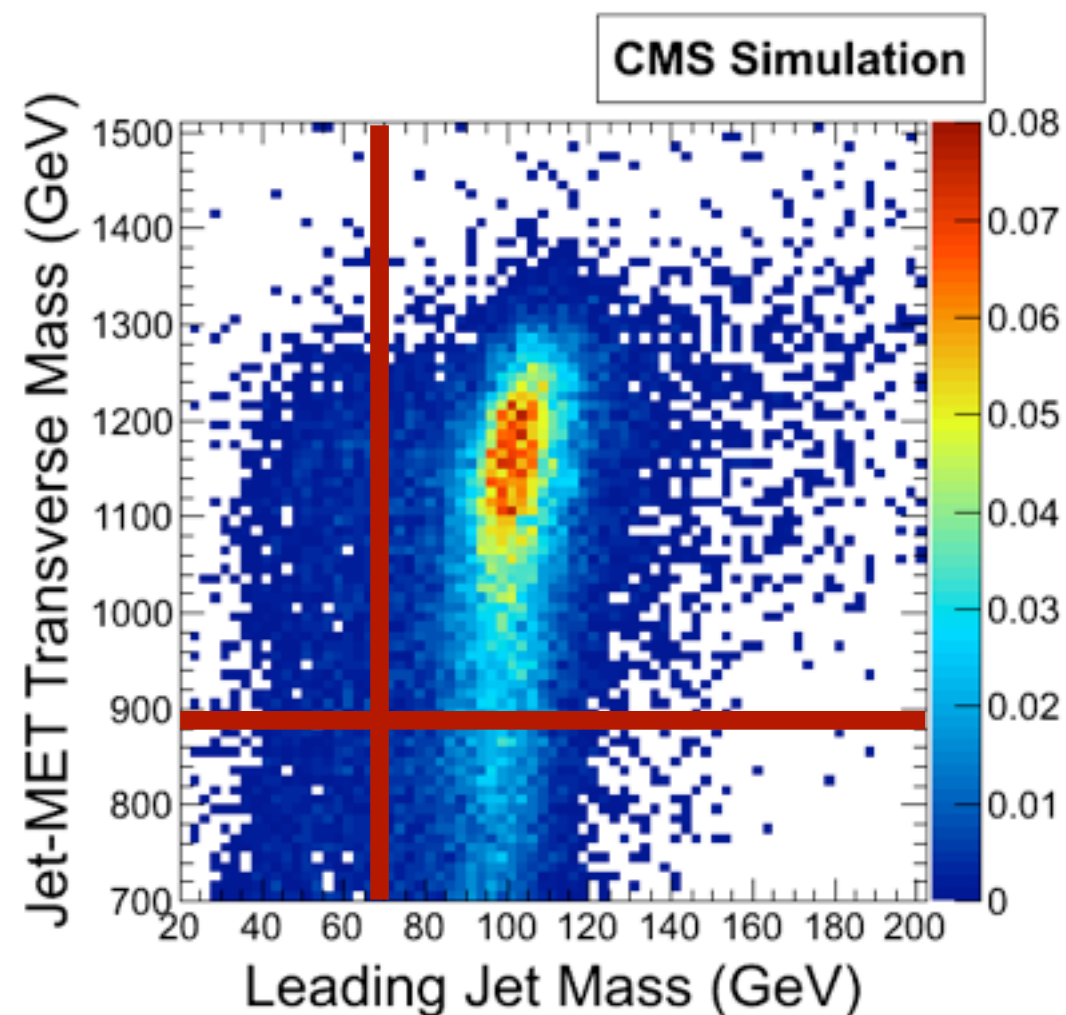
# Boosted V+MET

- Use simpler V-tagging :
  - anti-kT jets,  $D = 0.7$ , no jet grooming
  - $m_{\text{jet}} > 70 \text{ GeV}$
- Use data-driven background (ABCD method)

SM backgrounds

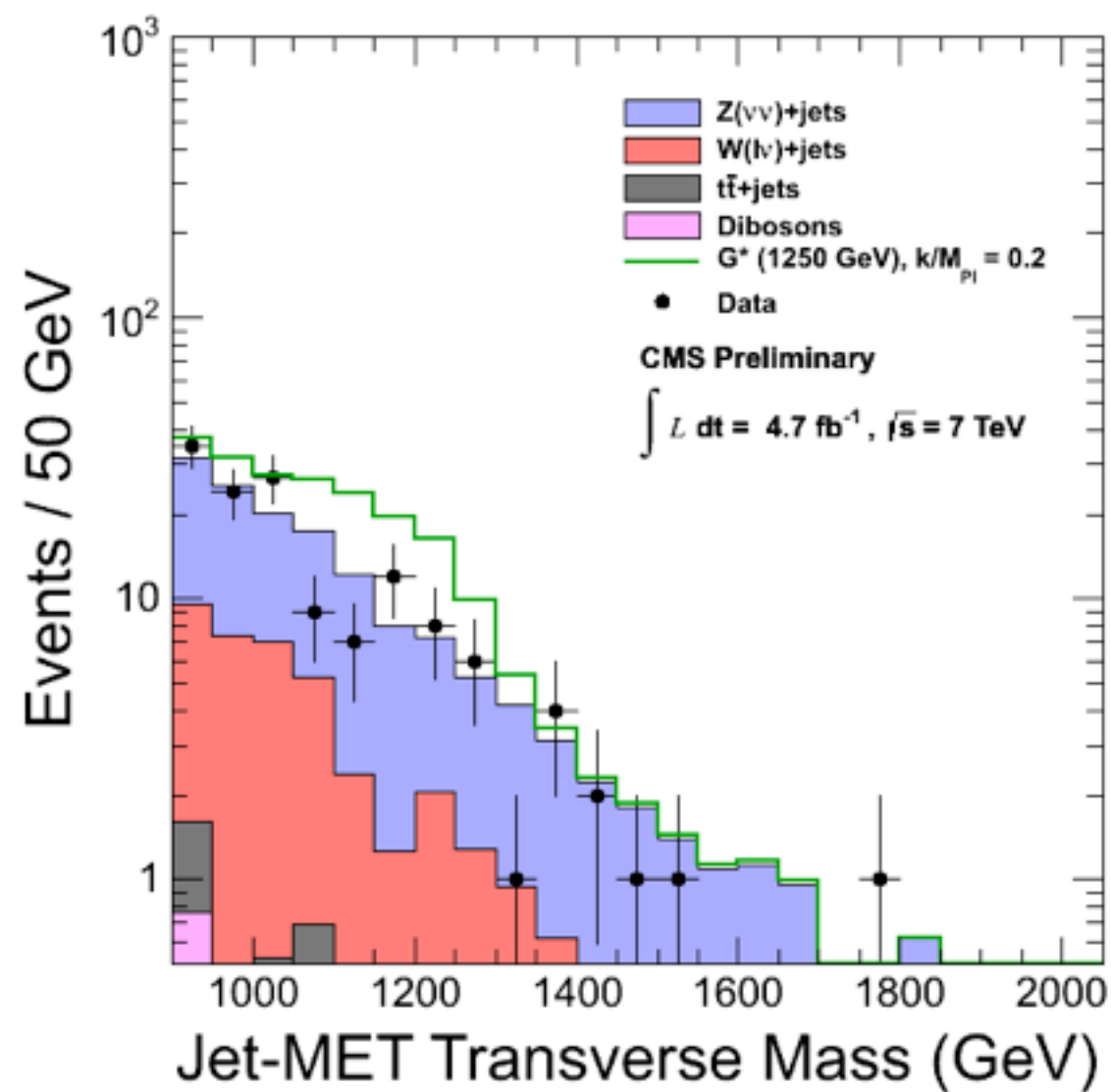
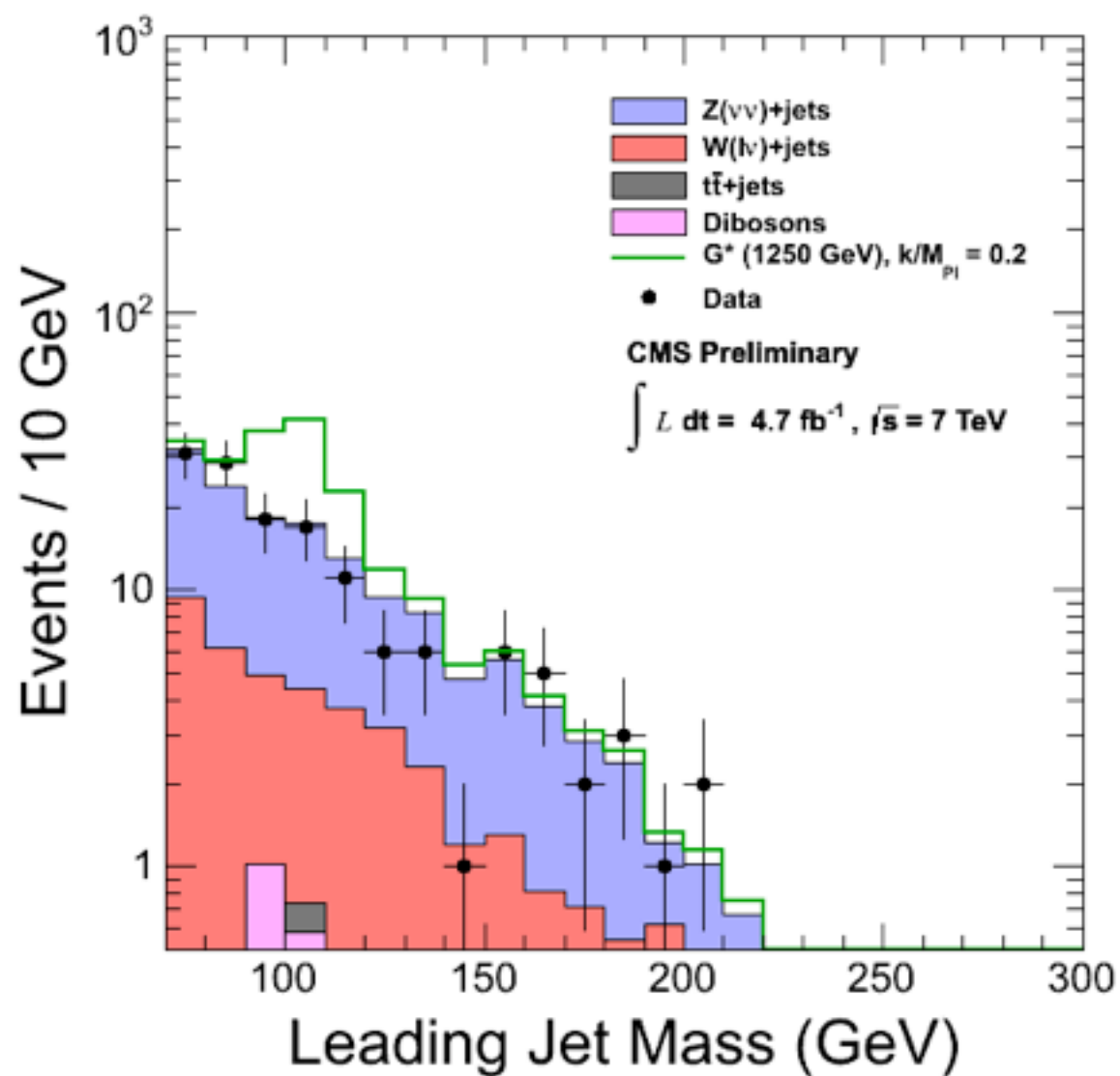


RS graviton,  $m=1250 \text{ GeV}$



# Boosted V+MET

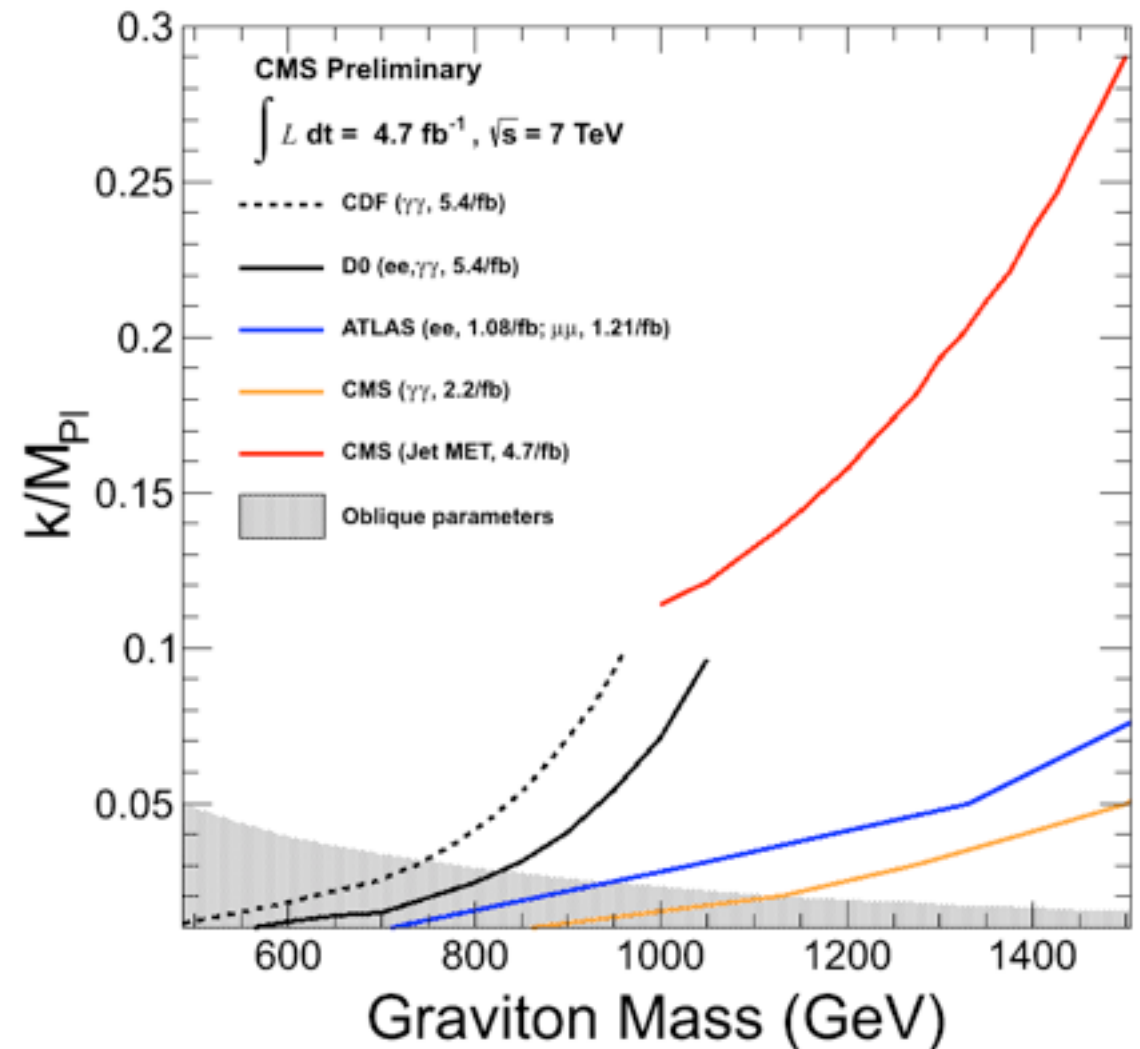
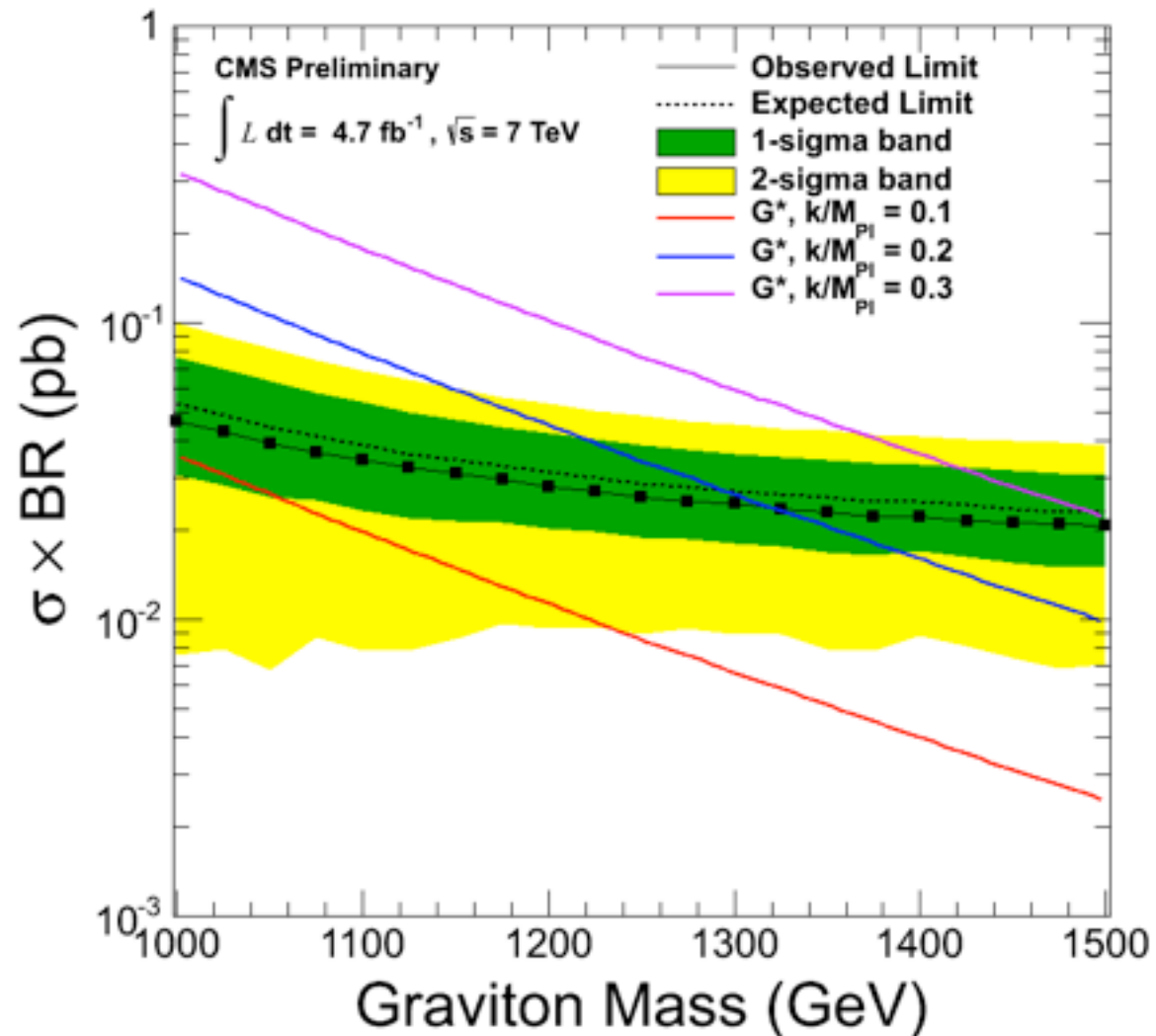
- Good agreement with MC expectations
- No signal observed





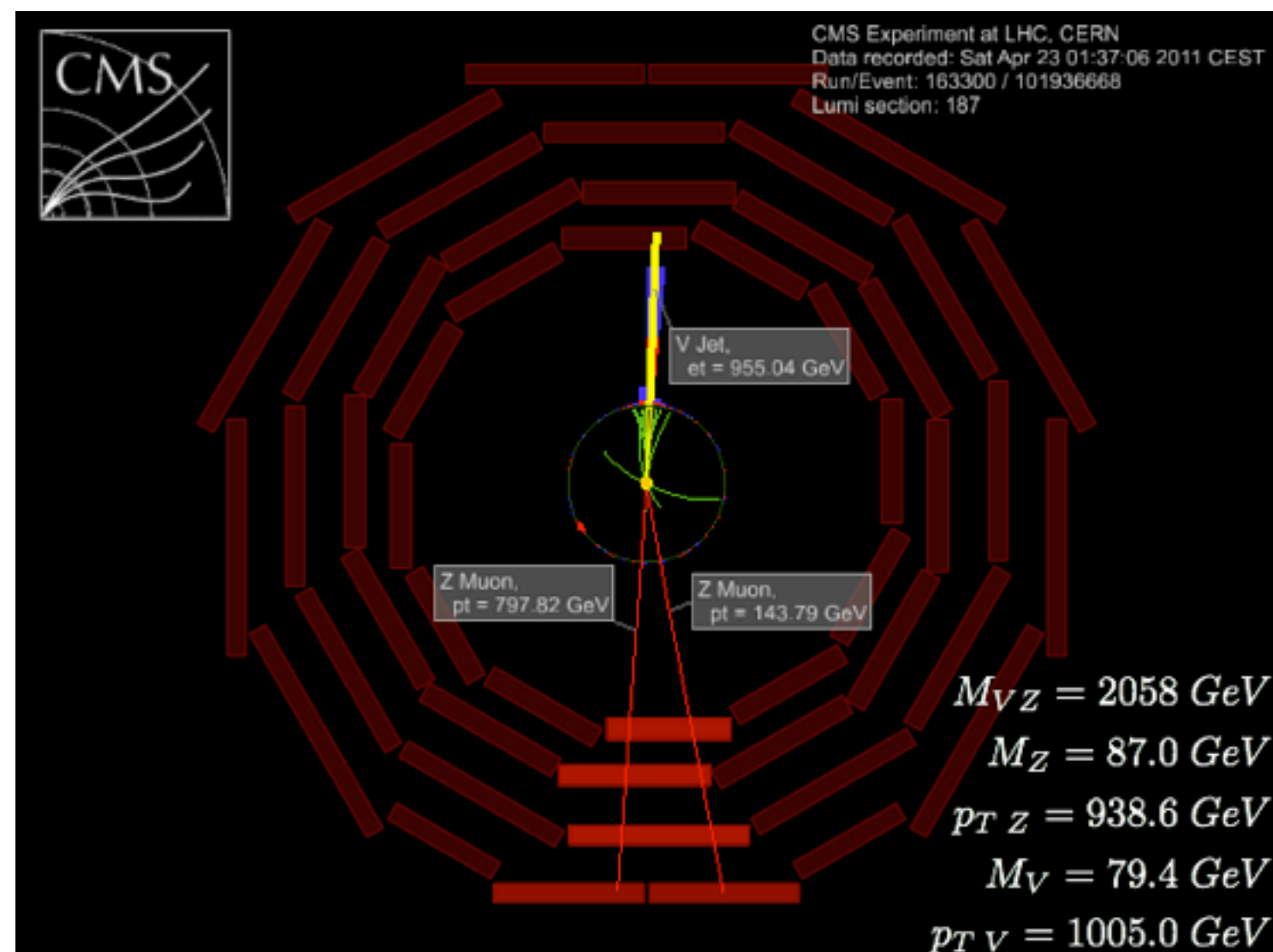
# Boosted V+MET

- Limits set on cross section, and also in  $k/M_{Pl}$  vs  $m$  (graviton) interpretation



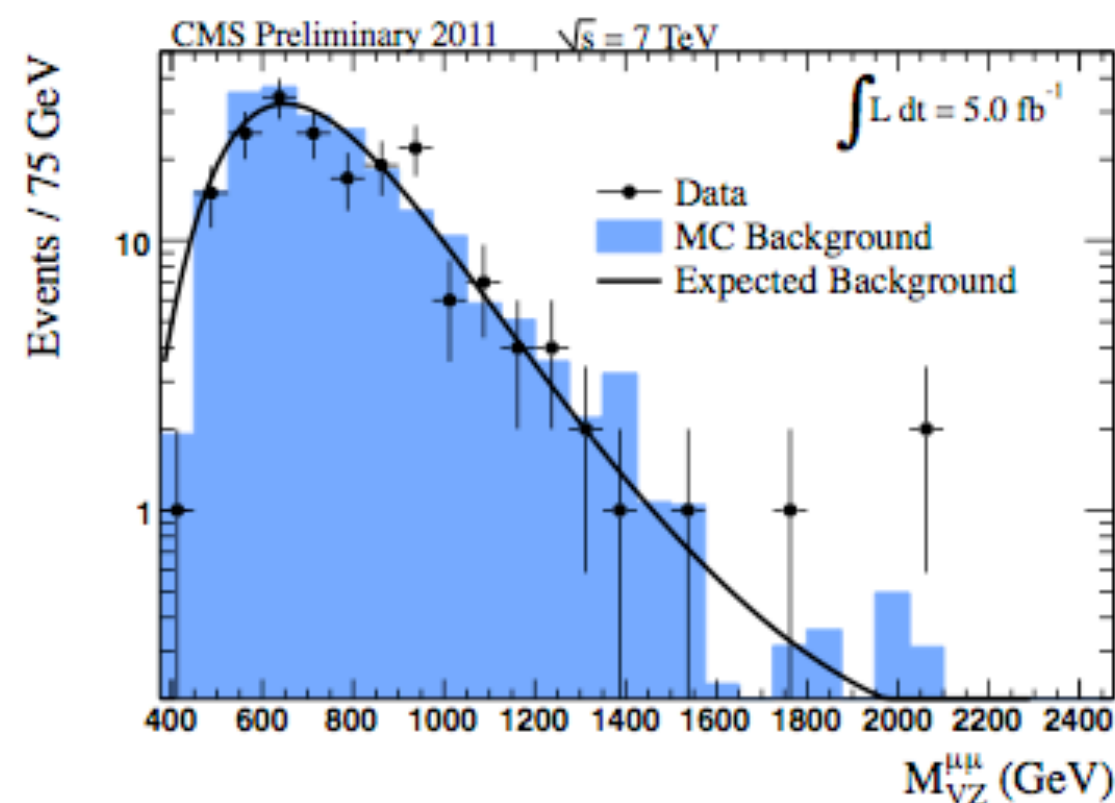
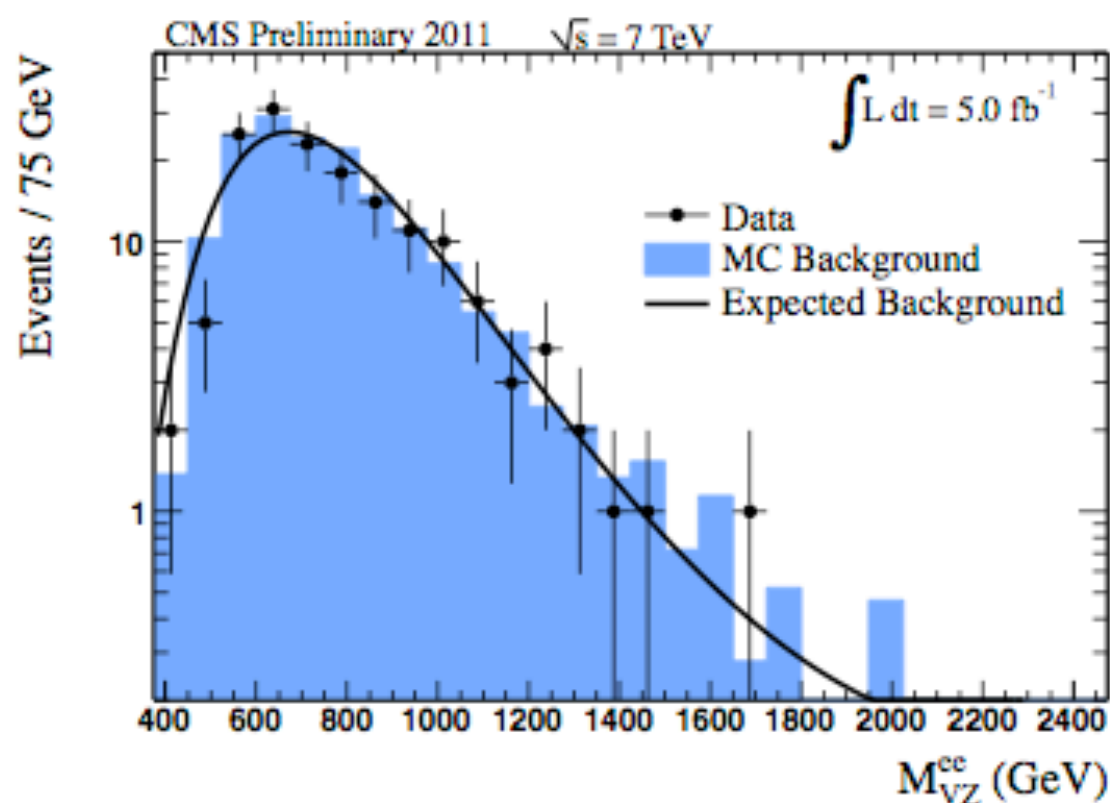


- Hot off the presses!
- Look for resonances to  $V+Z \rightarrow ll + \text{merged jet}$
- Prototype signals are  $W'$ , RS gravitons, technirho
- Data-driven background estimate
- Use same V-tagging as boosted V + MET search



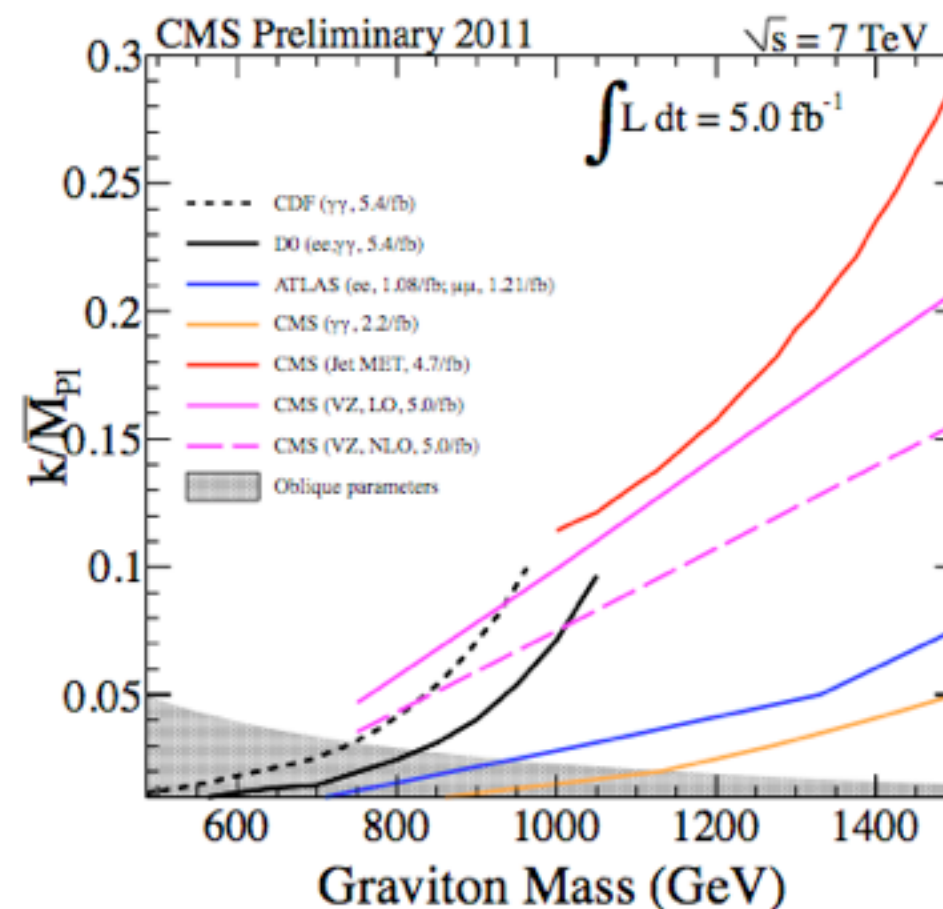
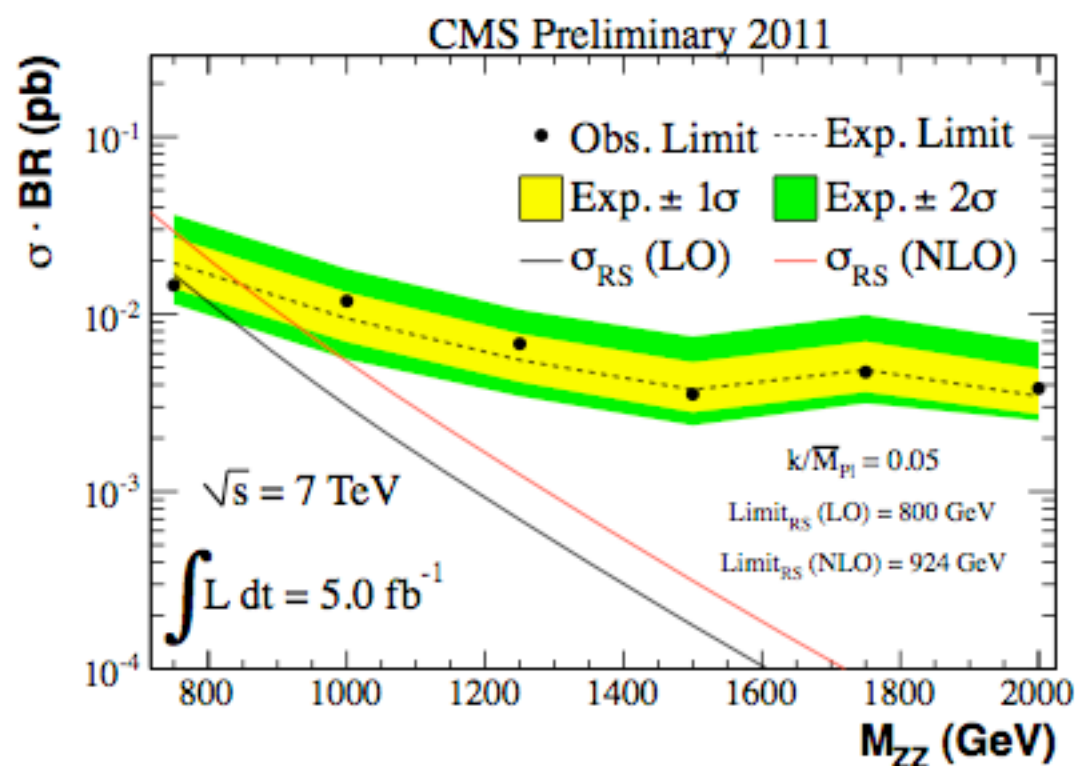
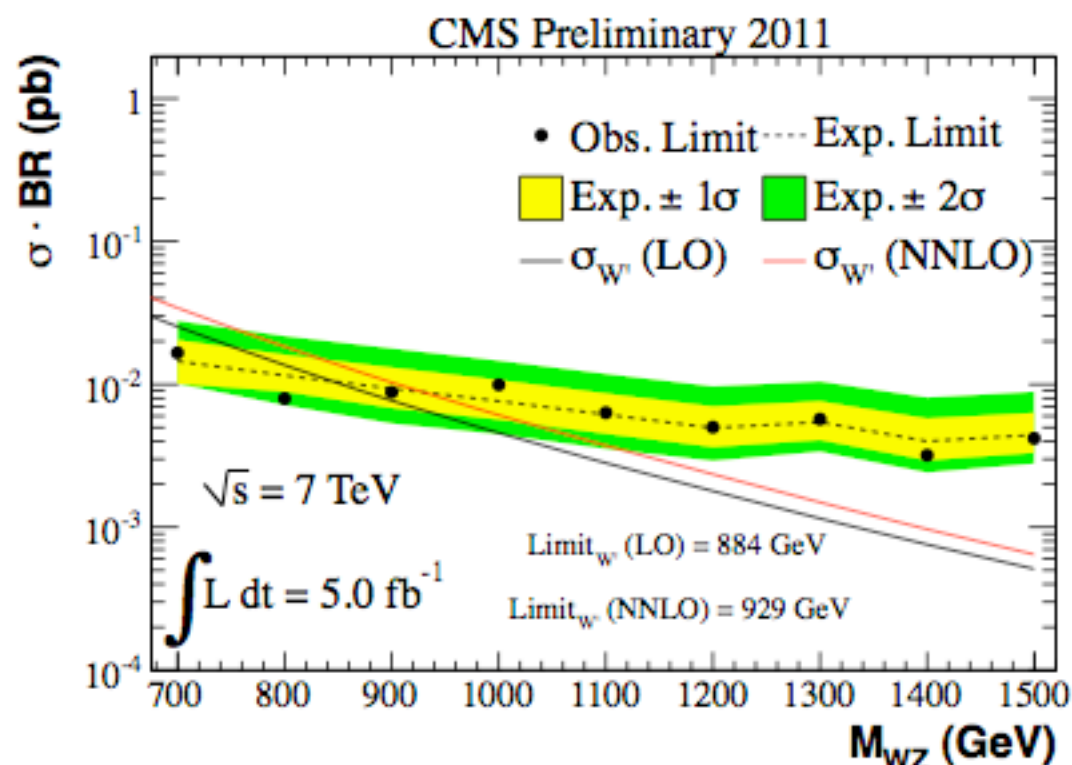
# Boosted V+ll

- Use low-jet-mass sideband as control region
- Extrapolate to signal region (accounting for kinematic effects taken from MC)
- Good agreement between data-driven background estimate, and MC expectation



# Boosted V+ll

- Limits set on cross section for  $W'$  and RS graviton models
- Also interpretation of RS graviton parameters (as in previous case)





# Coming soon to a conference near you...



# Summary and conclusions

- Jet substructure tools are becoming widely accepted at CMS
- “Out of the gate” the boosted channels are giving good performance relative to leptonic channels
- Already some publications and approved analyses, and many more on the way

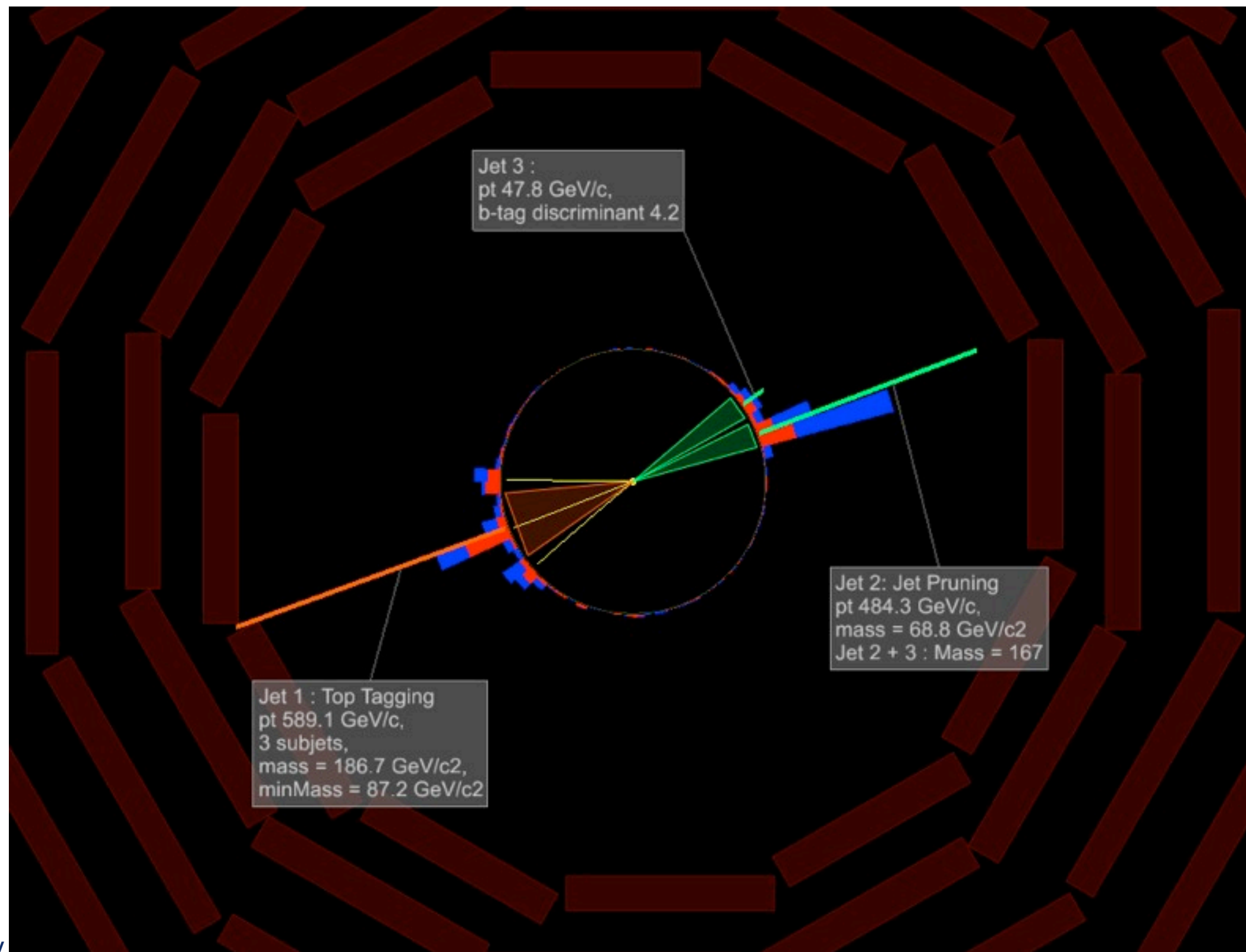




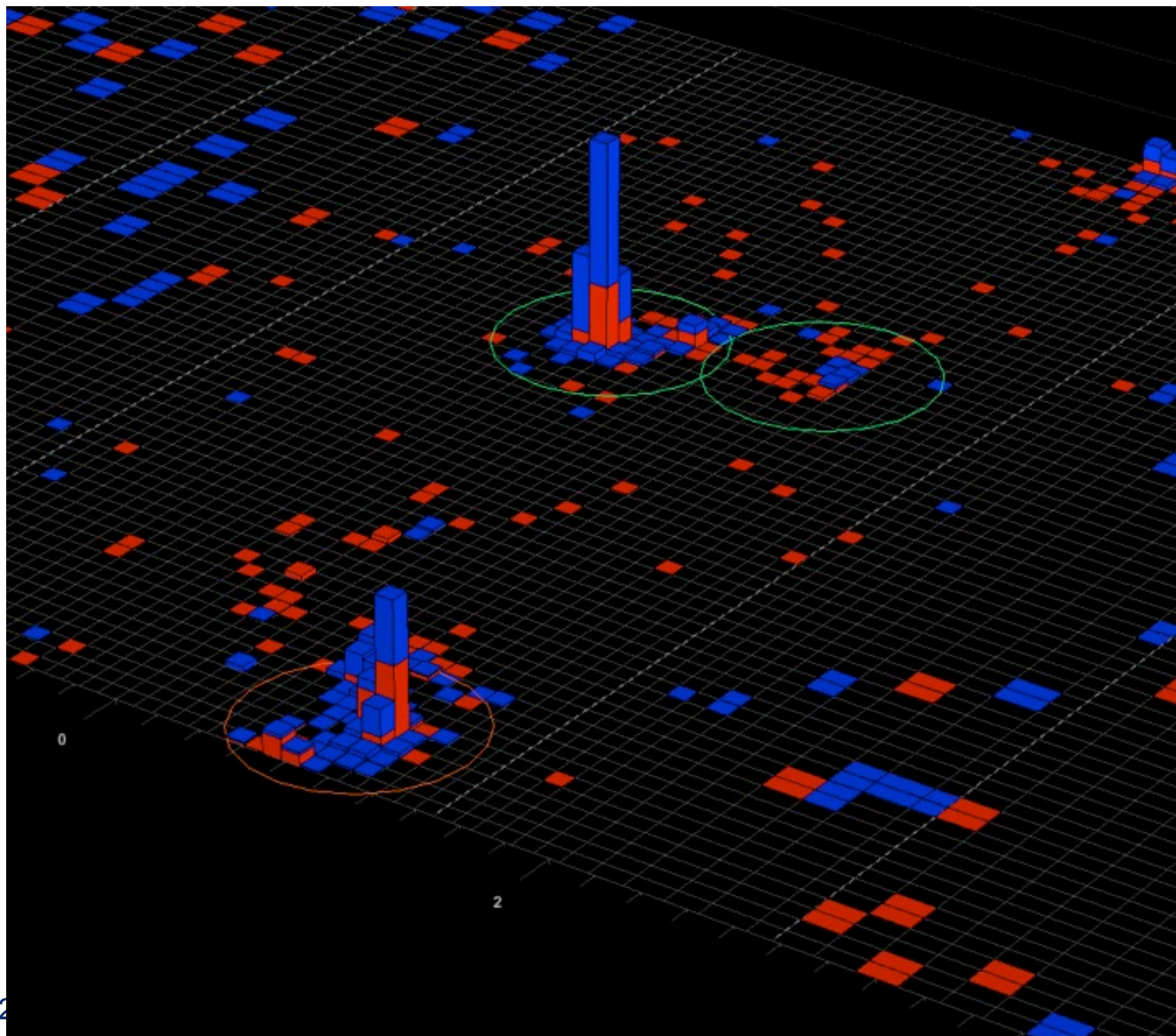
# Backups



# Rho/Phi : Subjet view

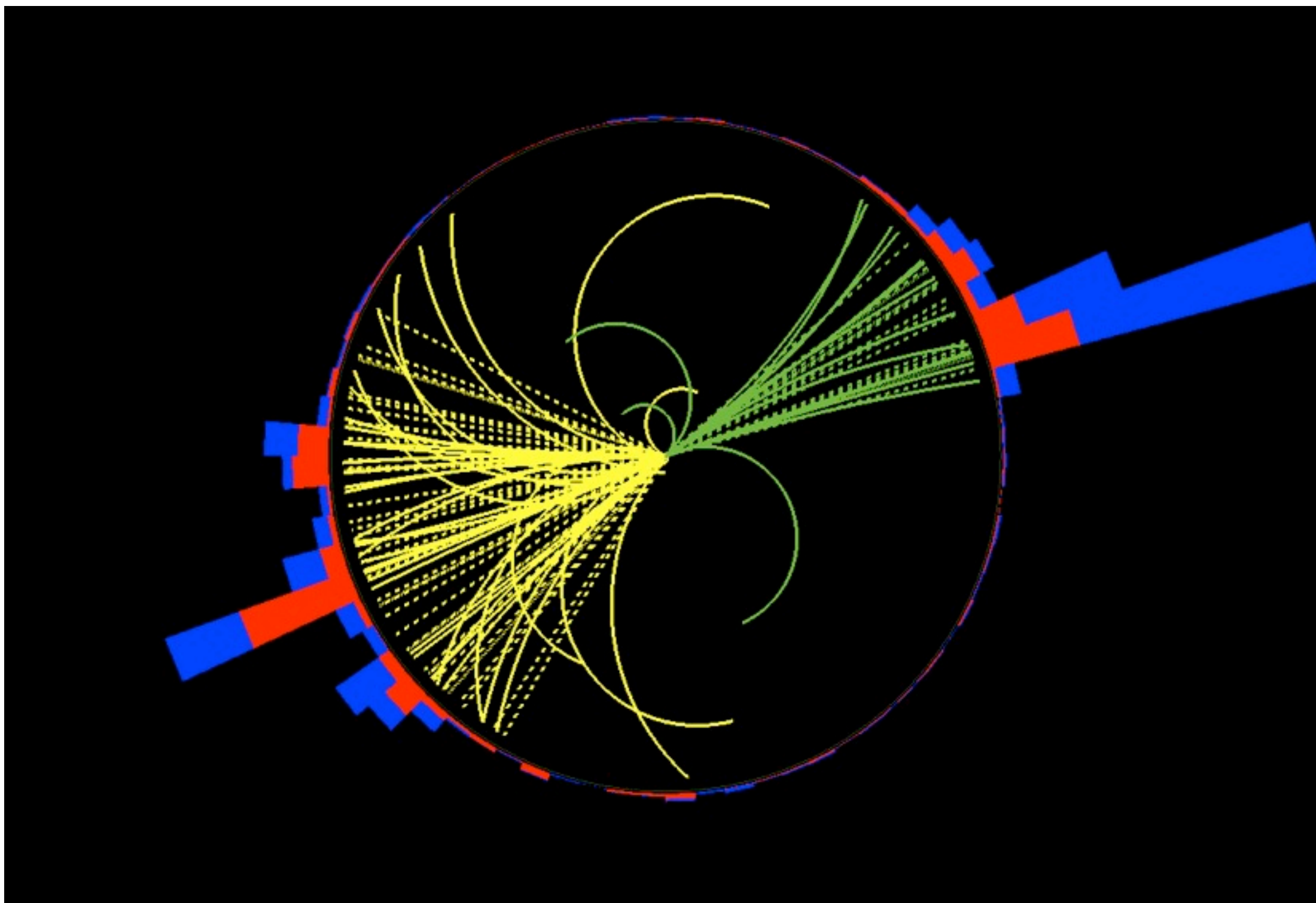


# Lego : Subjet view



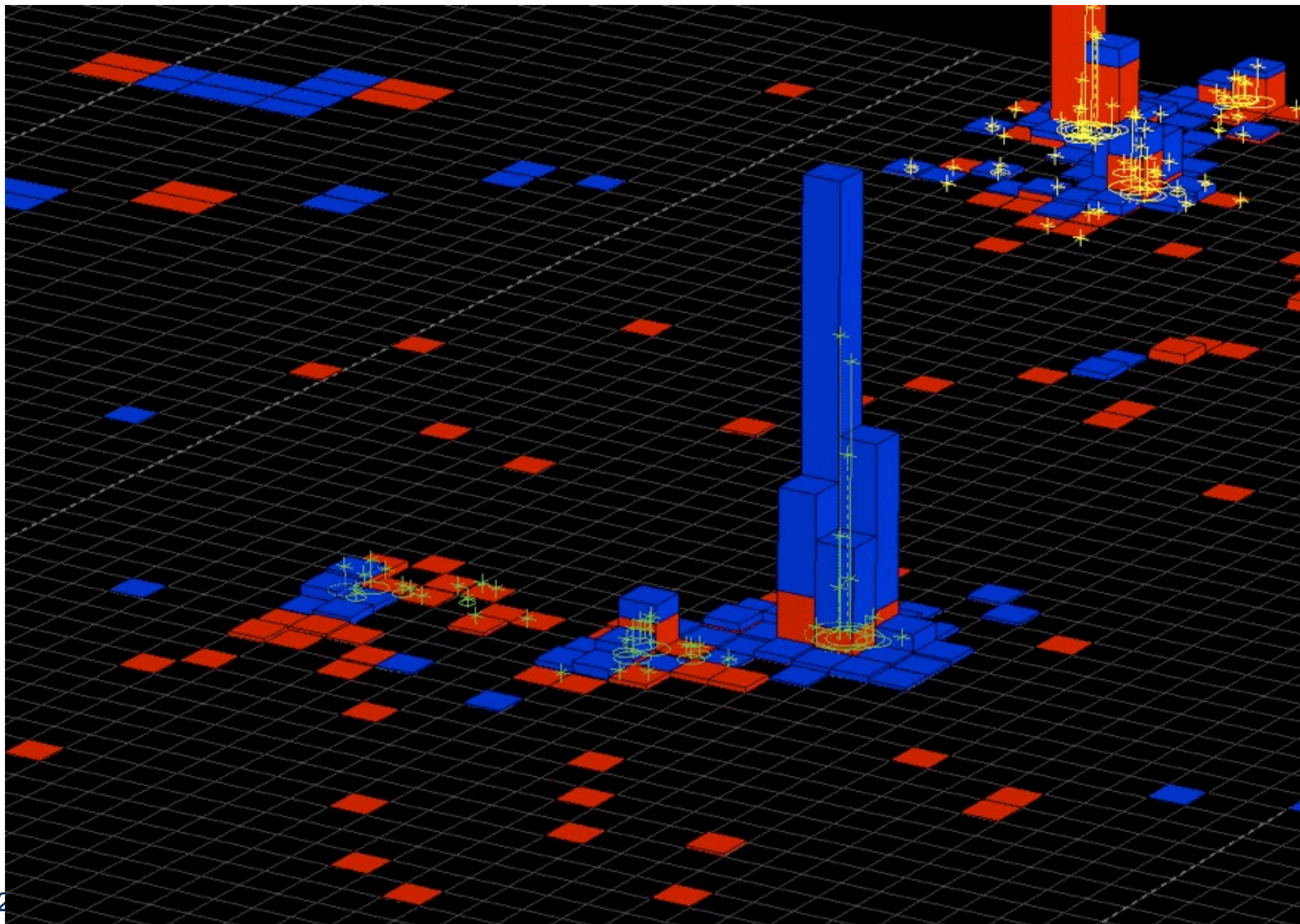


# Rho/Phi: PF View





# Lego : PF View



# Sequential Clustering Algorithms

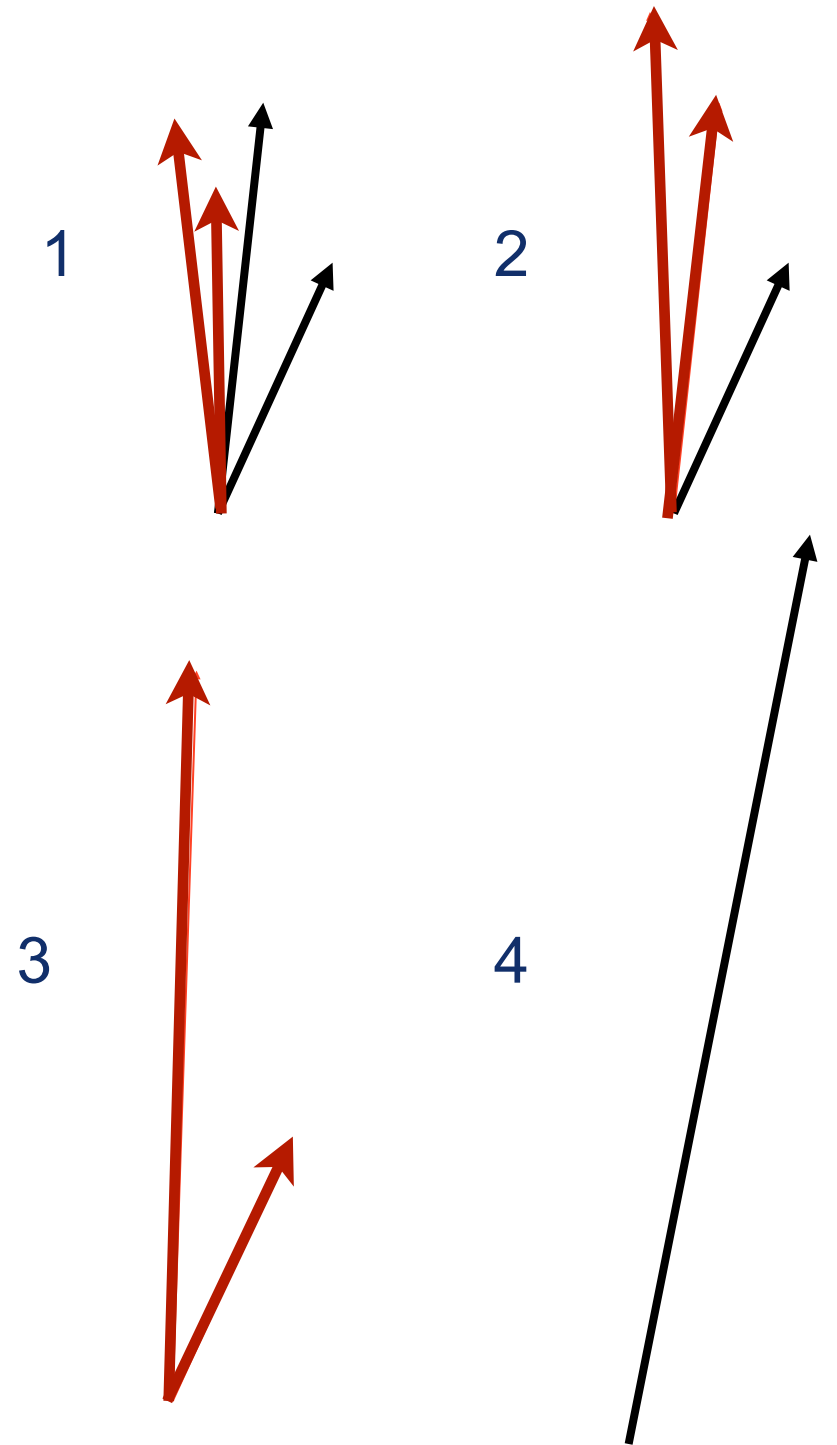
- Like “QCD played backwards!”
- Pairwise examination of input 4-vectors
- Calculate  $d_{ij}$

$$d_{ij} = \min(k_{ti}^n, k_{tj}^n) \Delta R_{ij}^2 / R^2$$

- Also find the “beam distance”

$$d_{iB} = k_{T,i}^n$$

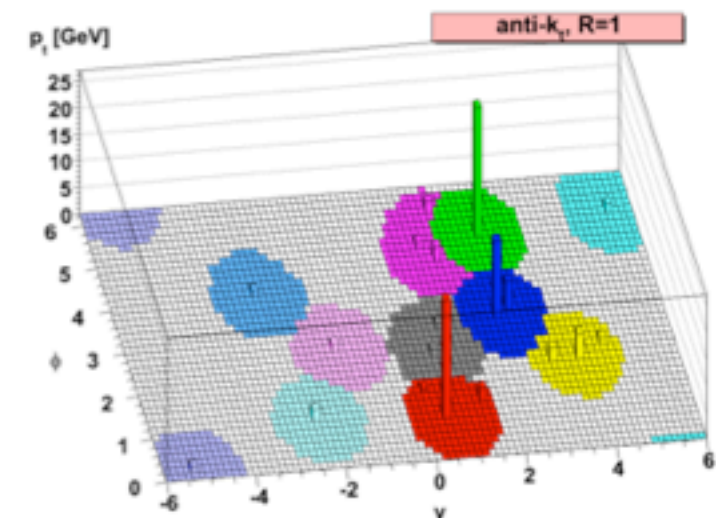
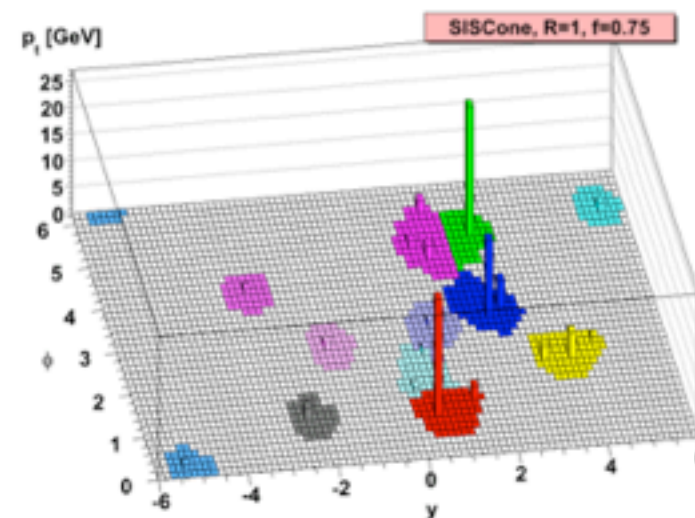
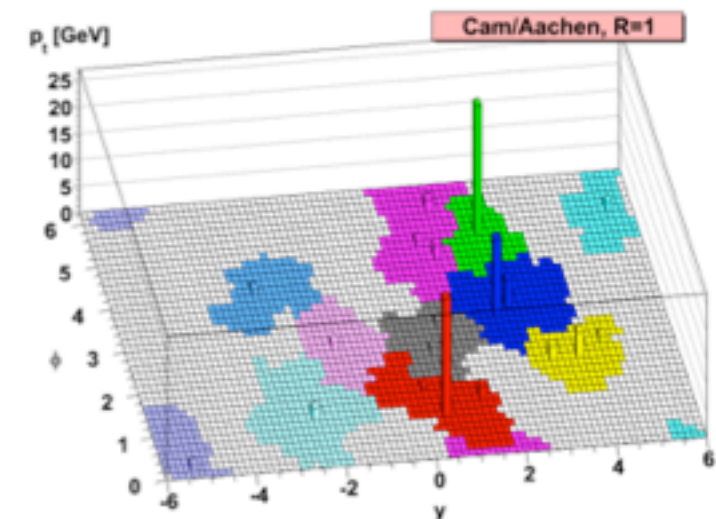
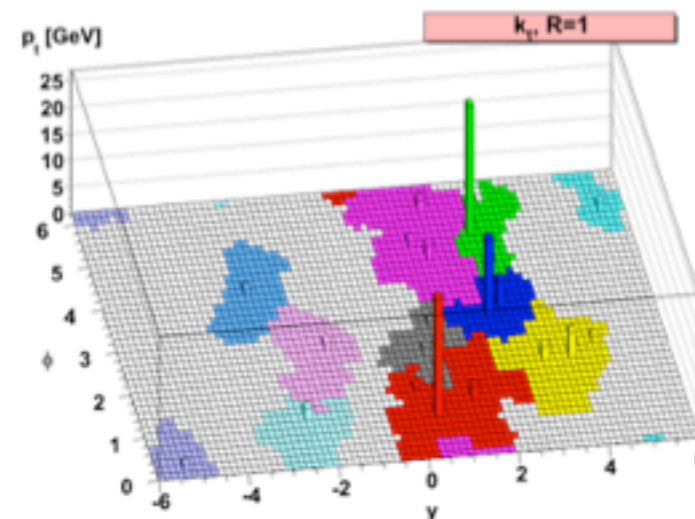
- Find min of all  $d_{ij}$  and  $d_{iB}$ 
  - If min is a  $d_{ij}$ , merge and iterate
  - If min is a  $d_{iB}$ , classify as a final jet
- Continue until list is exhausted





# Sequential Clustering Algorithms

- Properties depend on sequence
  - $N = 2$ :
    - “kT”
  - $N = 0$  :
    - “Cambridge-Aachen” (CA)
  - $N = -2$ :
    - “anti-kT”



[arXiv:0802.1189v2](https://arxiv.org/abs/0802.1189v2) [hep-ph]

Cacciari, Salam, Soyez



# Sequential Clustering Algorithms

- Properties depend on sequence

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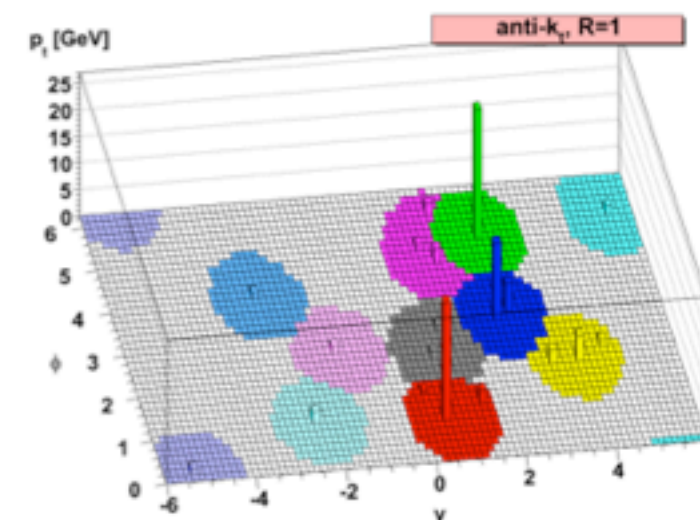
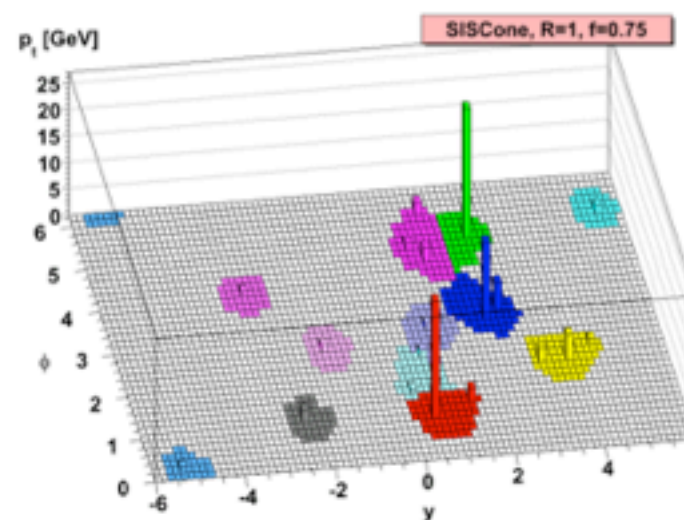
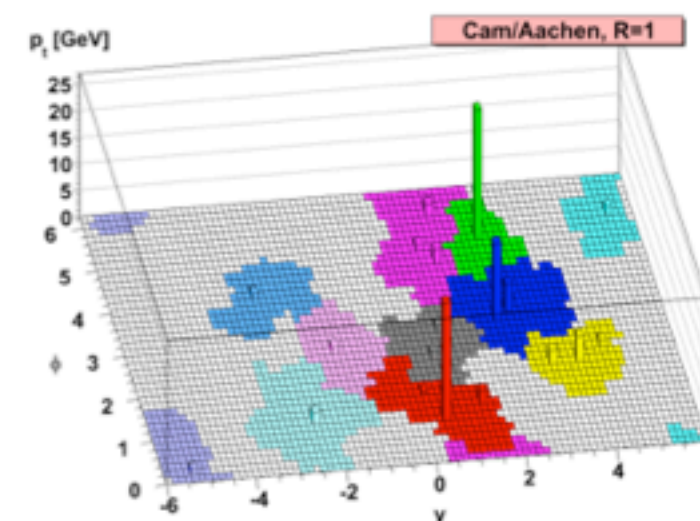
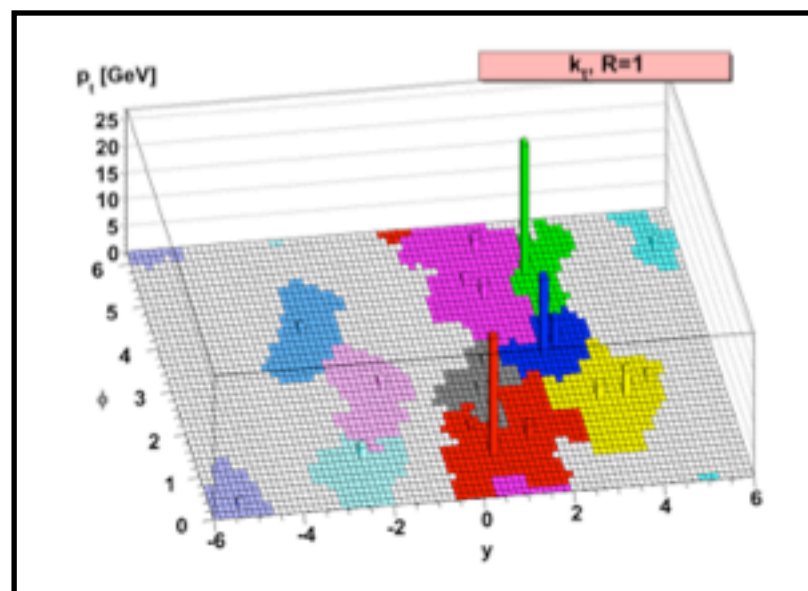
- $N = 0$ :

- “Cambridge-Aachen” (CA)

- $N = -2$ :

- “anti-kT”

- “QCD in reverse”
- Clusters soft particles first
- Good for low-pt jets
- Good for jet area computation



[arXiv:0802.1189v2](https://arxiv.org/abs/0802.1189v2) [hep-ph]

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# Sequential Clustering Algorithms

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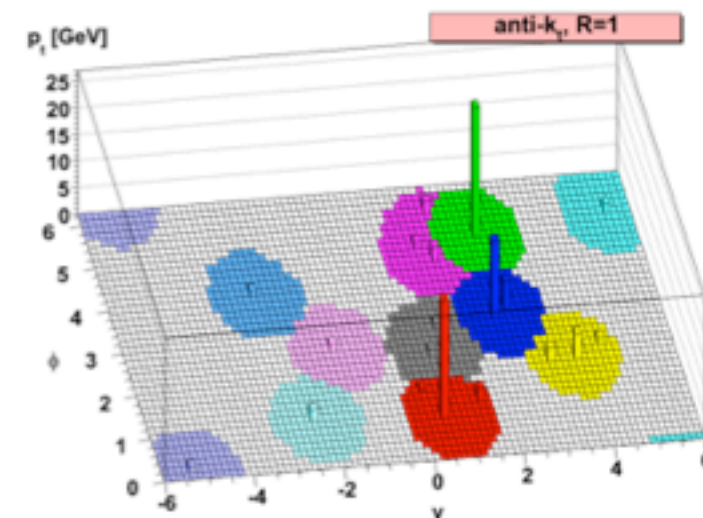
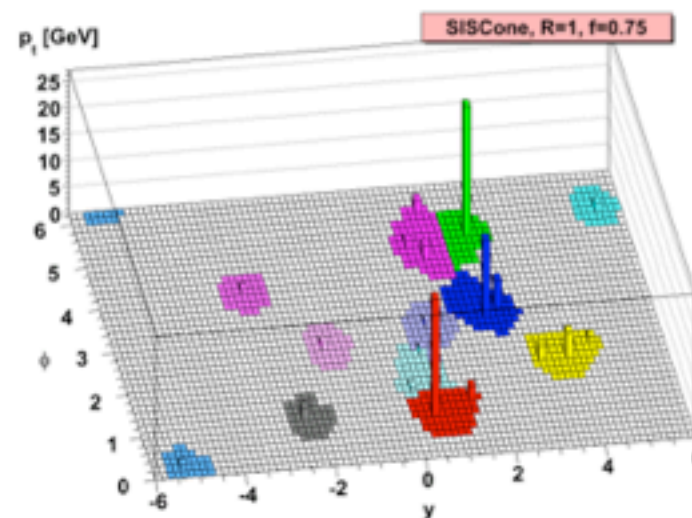
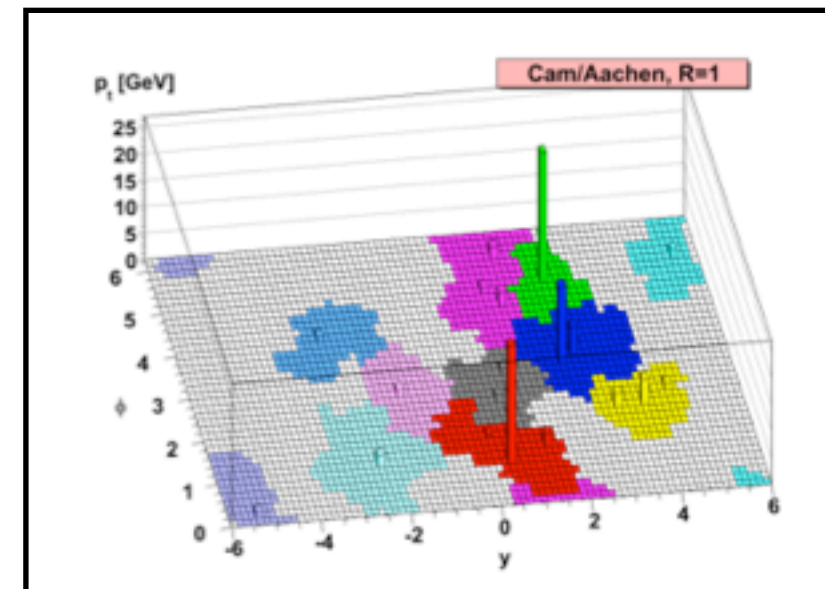
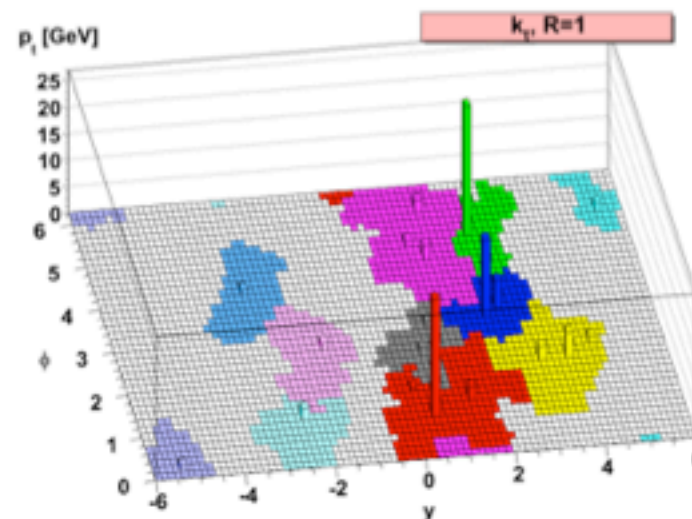
- $N = 0$ :

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- $N = -2$ :

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- Angular information only
- Good for finding substructure!



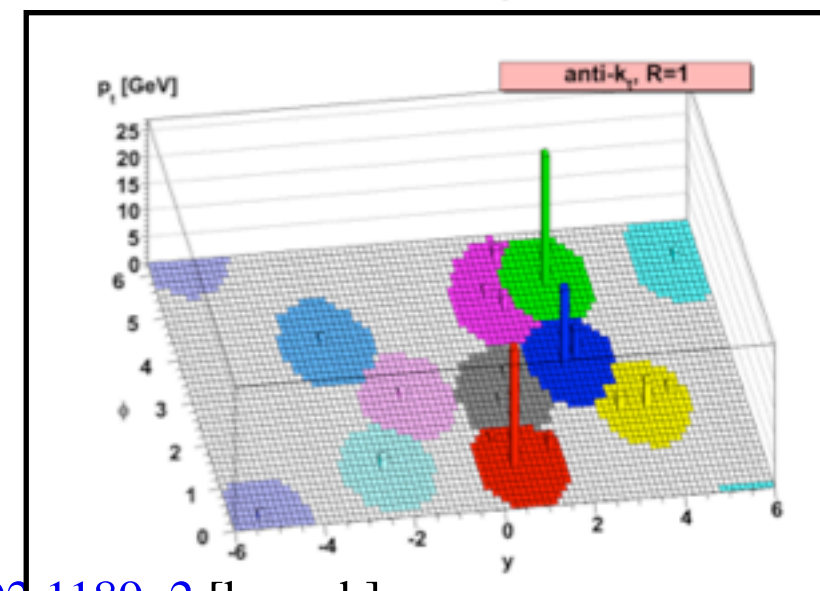
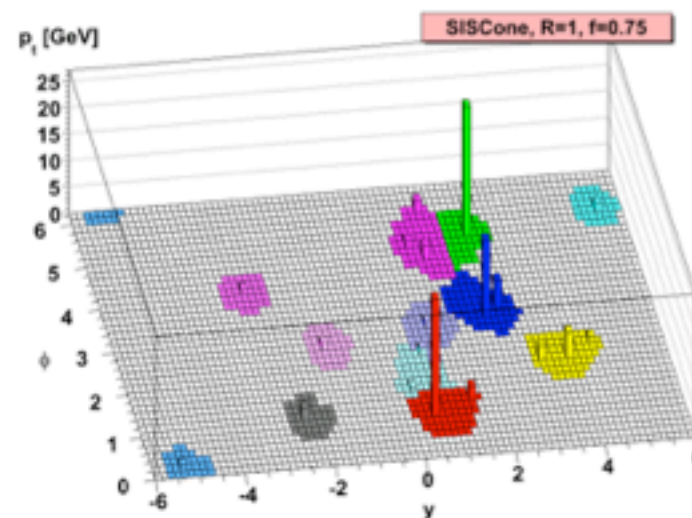
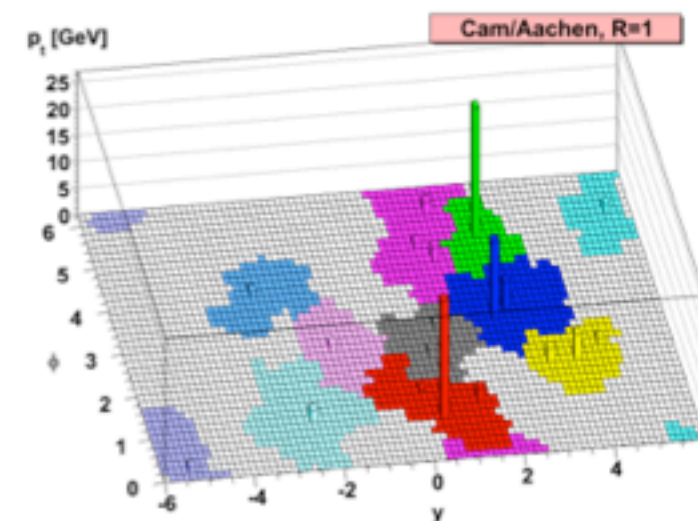
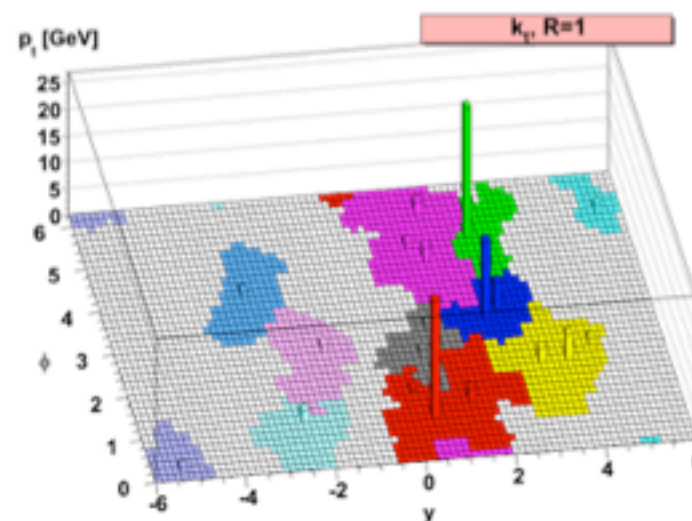
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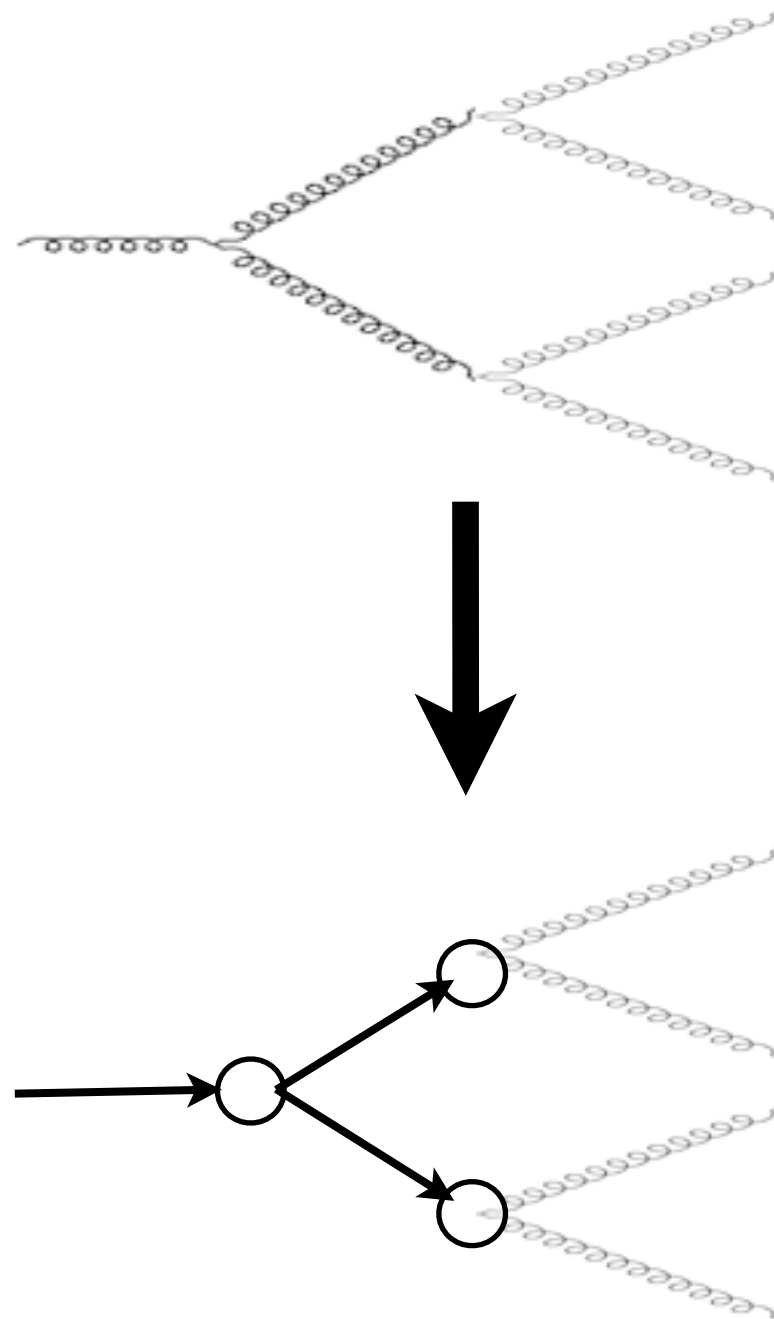
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- Clusters hard particles first
- Idealized cone algorithm
- Best for jet counting



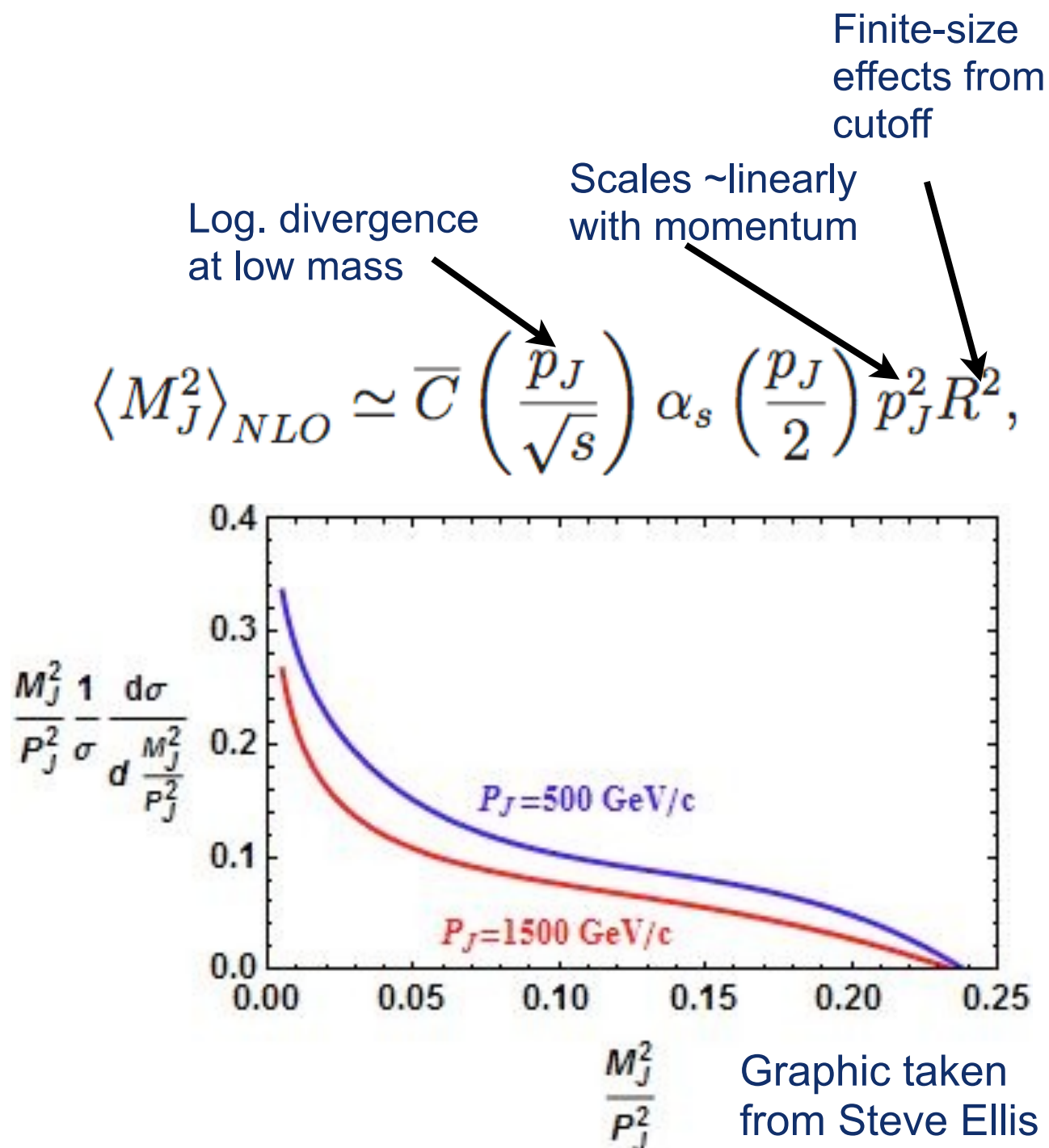
# Jet Substructure

- Now that we understand how to construct a jet, we're ready to take a look at massive ones



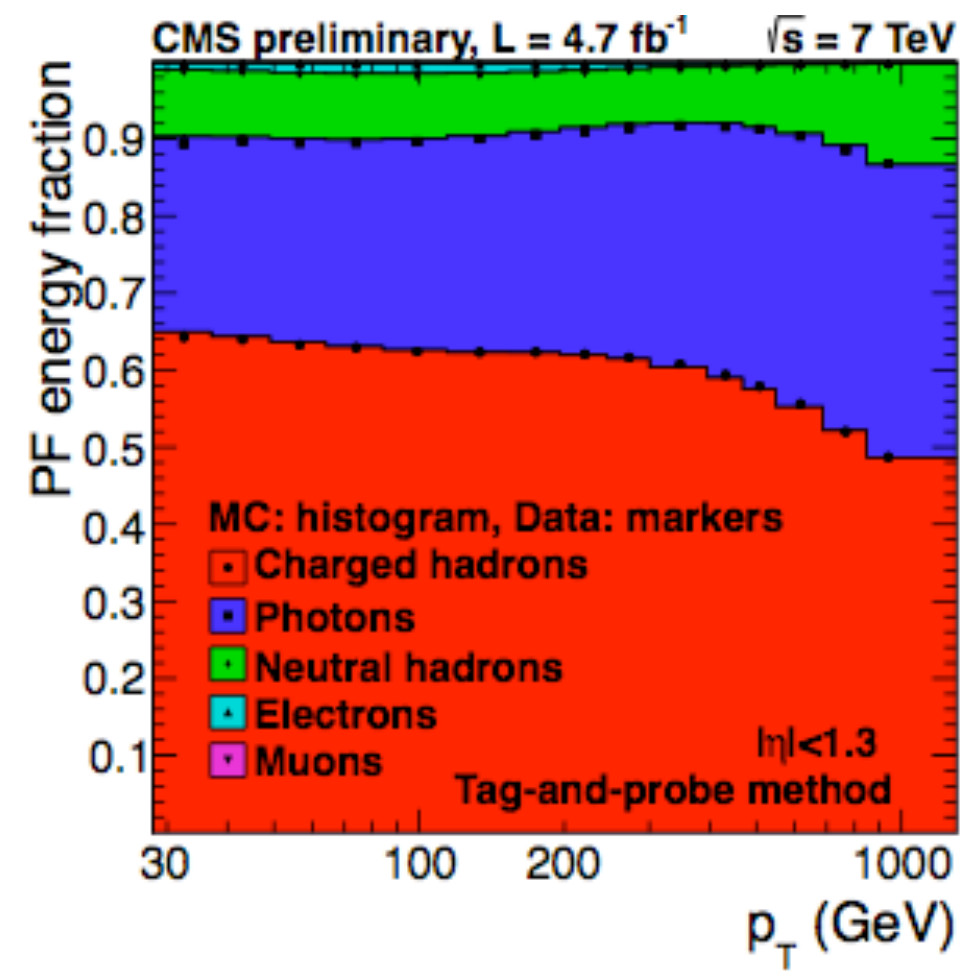
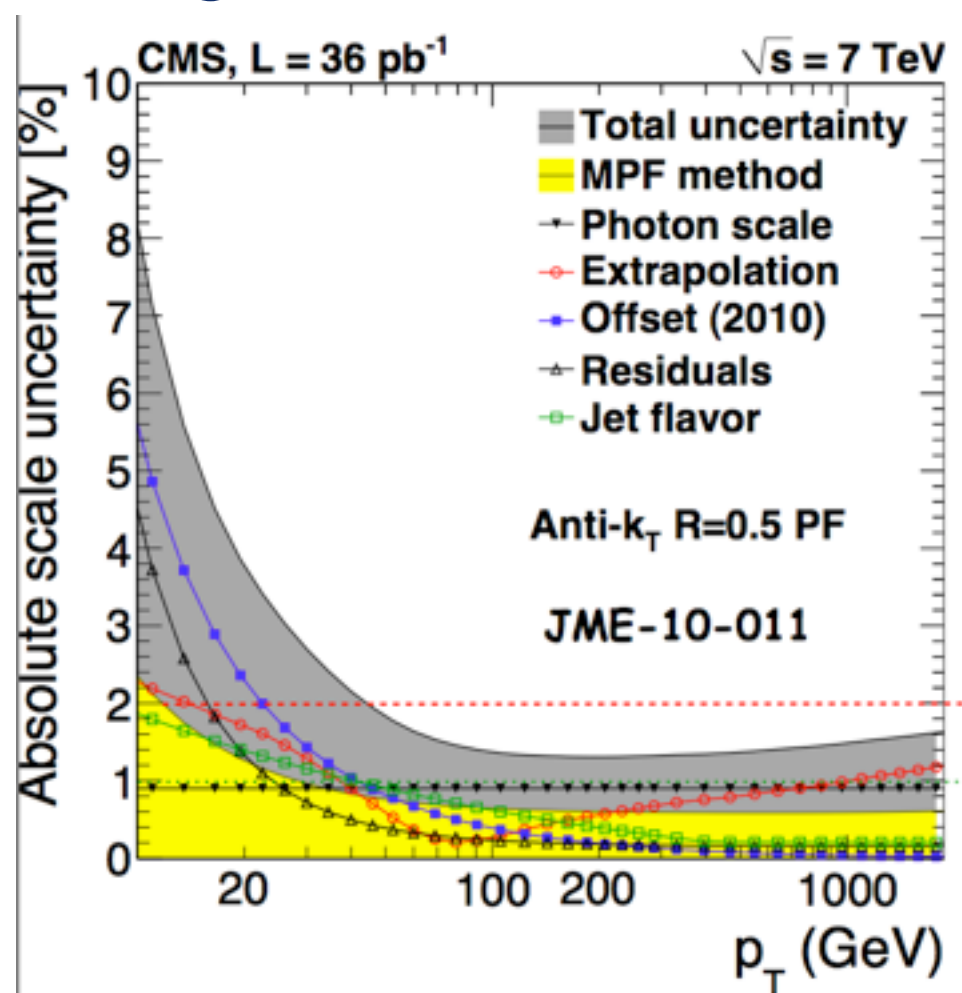
# Jet Substructure

- What's the typical mass scale of QCD?
  - See e.g. Ellis et al (arXiv:0712.2447v1)



# Experimental Overview

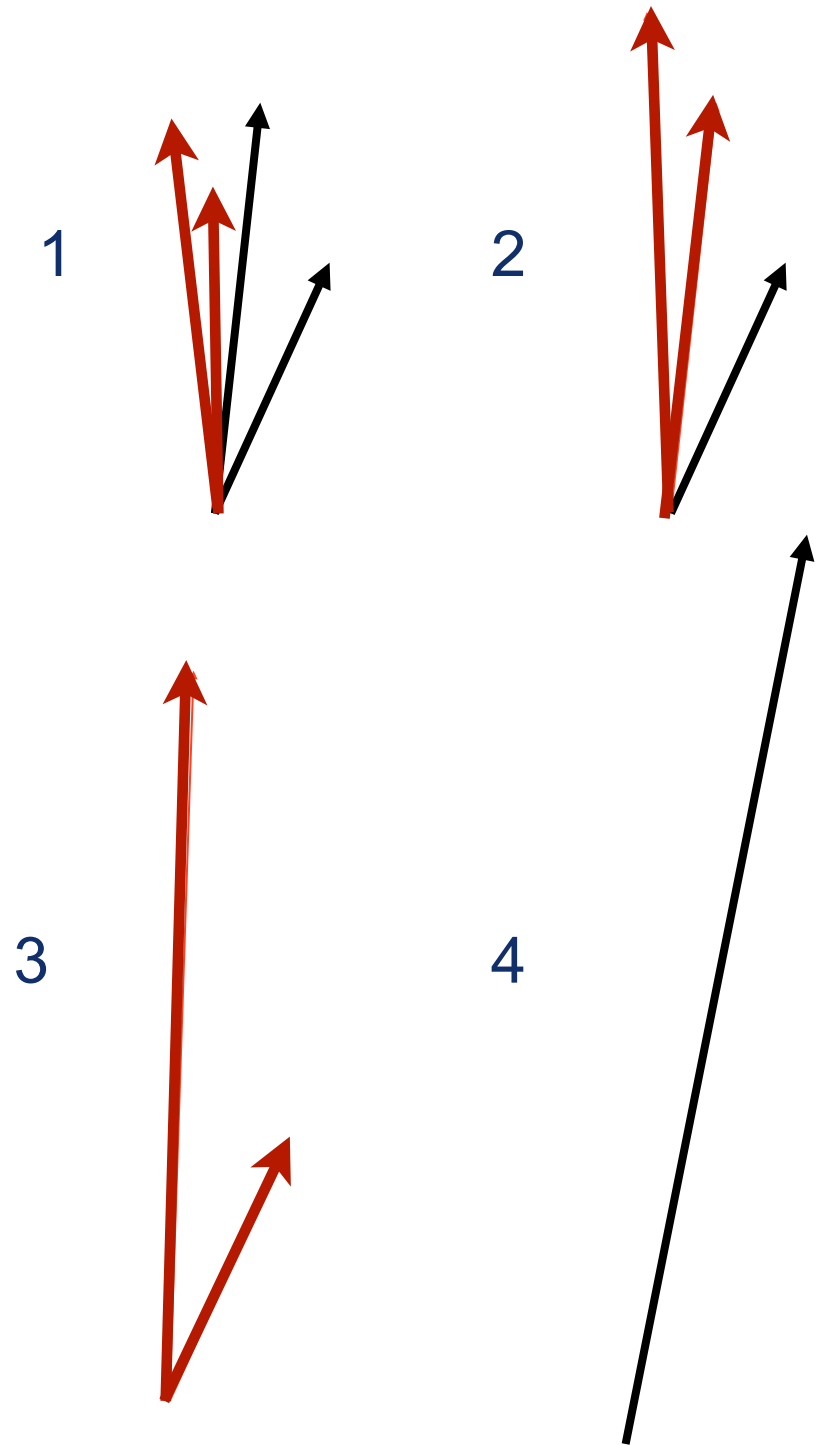
- Simulation of PF composition of jets is astonishingly good!
- Uncertainty on JES is  $\sim 1-4\%$  depending on  $p_T$





# Jet Substructure

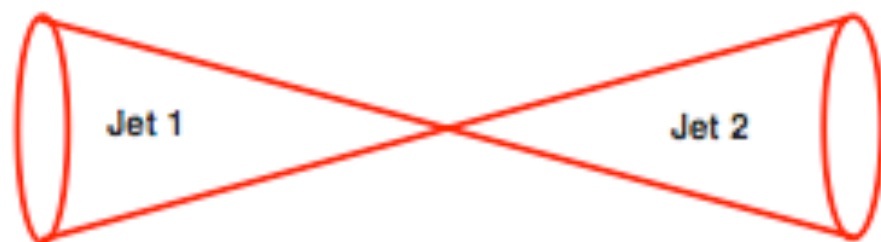
- Examine the cluster sequence “in reverse!”
  - 4  $\rightarrow$  3  $\rightarrow$  2  $\rightarrow$  1
- Around massive particles, clustering will behave differently than general QCD
- Groom away unwanted bits
- You’re left with the interesting parts



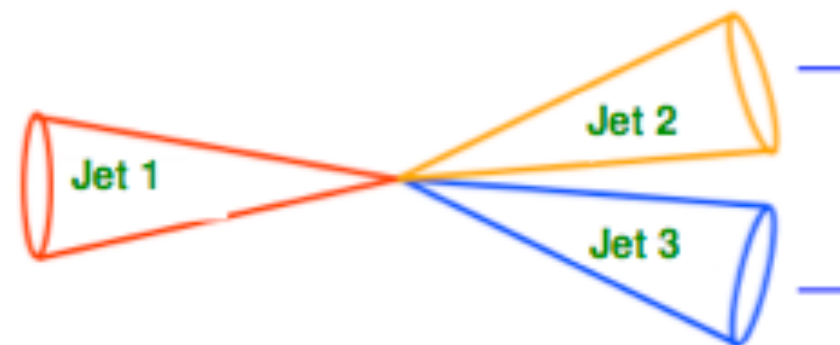
# Analysis Strategy

- Assume  $m_{t\bar{t}} > 4 \times m_{\text{top}}$ 
  - Creates “boosted” final state
  - Hemispheric topology
- Classify hemispheres by number of “total” jets
  - “Type 1” : 1 fully merged jet
  - “Type 2” : 1 partially merged jet, 1 non-merged jet
- Look at “Type 1 + 1” and “Type 1 + 2” events
- Apply “cascading selection”
  - No event gets left behind!

**Type 1 + Type 1**



**Type 1 + Type 2**

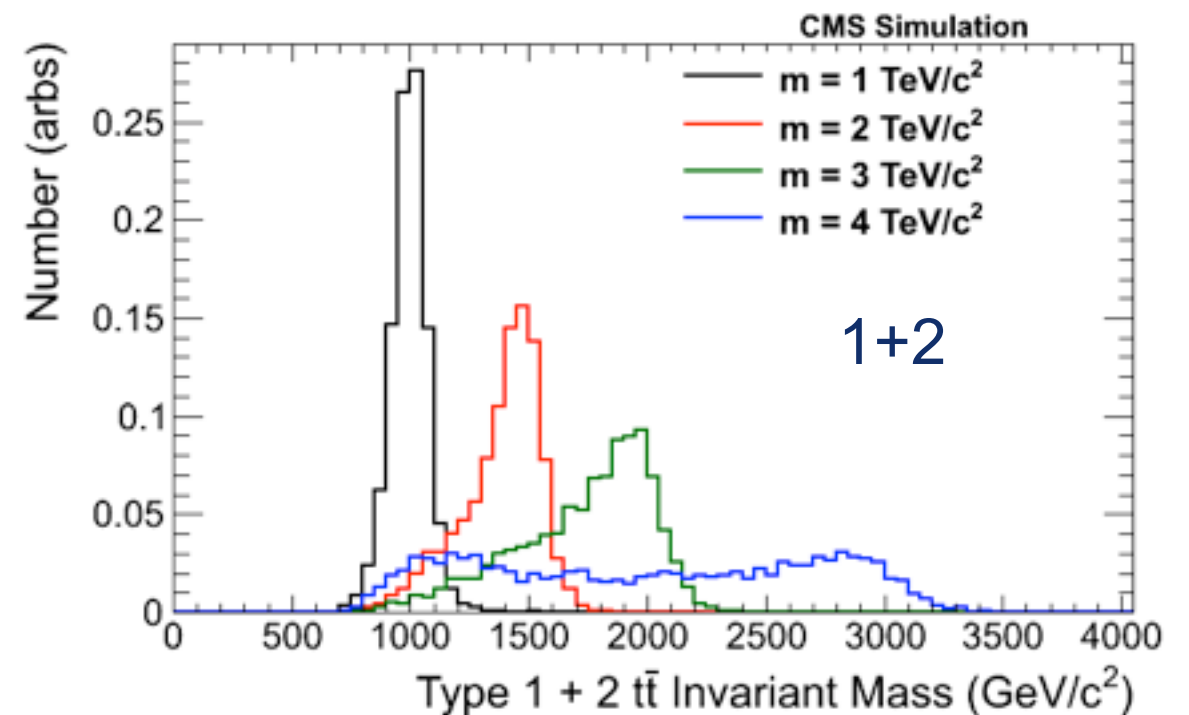
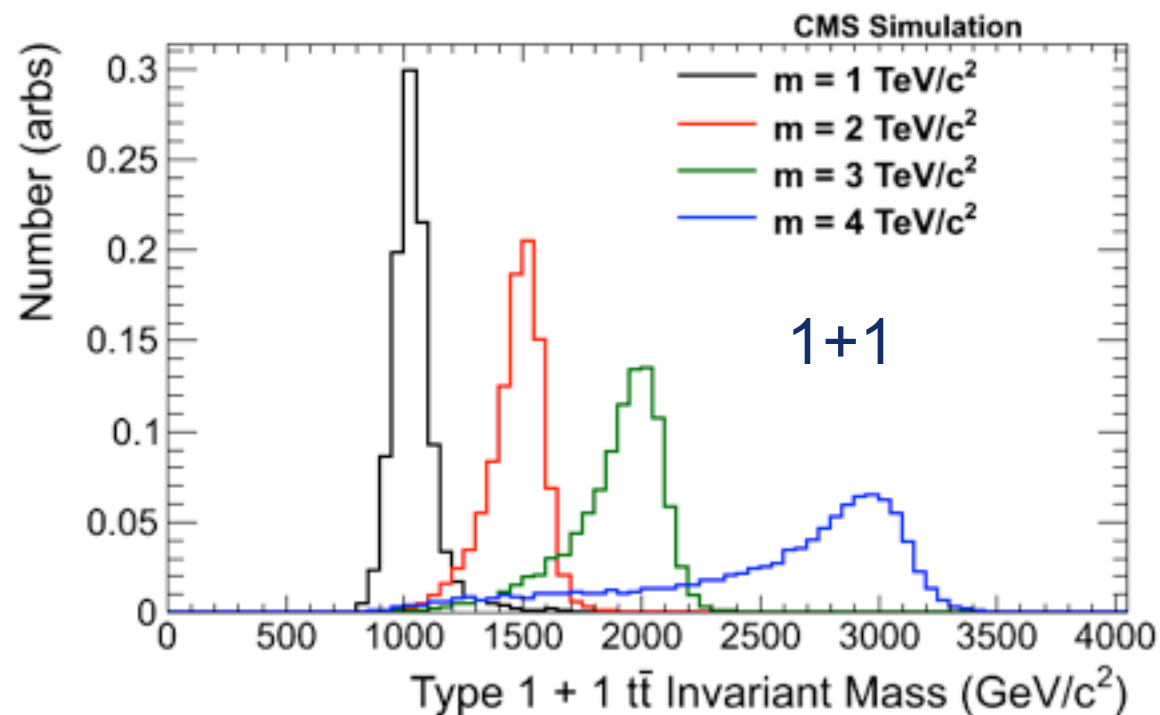




# Statistical Treatment

- Shape uncertainties:
  - JES
    - 4% (standard JES), 3% (substructure jets), 1% (subject JES relative to full JES)
  - Trigger
    - Half-difference between trigger-weighted MC and unweighted MC
  - Background
    - Half-difference between mass-modified-mistags and non-mass-modified
- Rate uncertainties:
  - Data-to-MC efficiency scale factor (6% in double-tagged sample)
  - Luminosity (2.2%)
  - $T\bar{T}$  background theoretical uncertainties (50% renormalization/factorization scale)





- Use samples of  $Z'$  from Madgraph with width = 1% of mass
- Also check width=10%, and a Pythia8 KK gluon sample (width  $\sim 20\%$ )
- Weight by trigger efficiency (plateaus around 1.5 TeV)
- Correct for efficiency with data-to-MC scale factor ( $97 \pm 3\%$ )

# Signal

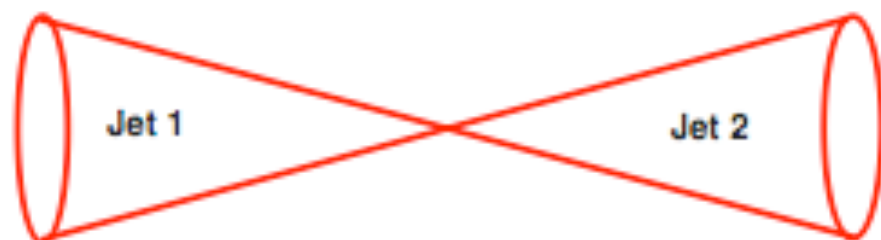
| Z' mass    | Signal window | $\epsilon_{MC}$ | $\epsilon_{trig}$ | $\epsilon_{total}$ | $\sigma_{\epsilon_{total}}$ | $B$    | $\sigma_B$ | $N_{obs}$ |
|------------|---------------|-----------------|-------------------|--------------------|-----------------------------|--------|------------|-----------|
| Type 1 + 1 |               |                 |                   |                    |                             |        |            |           |
| 1000       | 900-1100      | 1.2%            | 79.2%             | 0.8%               | 0.2%                        | 501.2  | 26.2       | 506       |
| 1500       | 1200-1600     | 7.1%            | 98.6%             | 5.7%               | 0.6%                        | 766.1  | 36.8       | 774       |
| 2000       | 1300-2400     | 10.8%           | 99.6%             | 8.7%               | 0.8%                        | 808.7  | 39.8       | 809       |
| 2500       | 1700-2800     | 8.5%            | 99.7%             | 6.9%               | 0.6%                        | 238.6  | 9.7        | 222       |
| 3000       | 2000-3300     | 6.9%            | 99.8%             | 5.6%               | 0.6%                        | 97.0   | 4.2        | 79        |
| Type 1 + 2 |               |                 |                   |                    |                             |        |            |           |
| 1000       | 900-1100      | 2.1%            | 71.9%             | 1.2%               | 0.4%                        | 1334.5 | 40.6       | 1383      |
| 1500       | 1200-1600     | 2.6%            | 91.3%             | 1.9%               | 0.2%                        | 911.8  | 51.2       | 900       |
| 2000       | 1300-2400     | 2.2%            | 94.4%             | 1.7%               | 0.2%                        | 841.9  | 39.4       | 841       |

- Shown in mass windows for indication of relative importance of systematic effects
- Overall efficiencies in the 3-12% range for signal

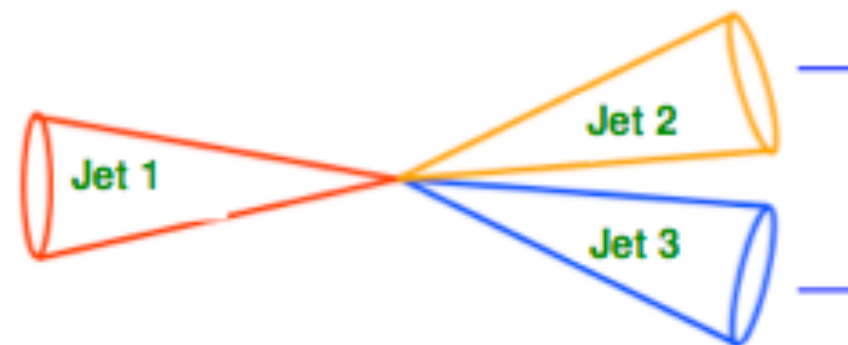
# Event Selection

- Type 1 + 1
  - Jet  $p_t > 350$  GeV/c
  - Both jets satisfy “top tagger” requirements
- Type 1 + 2
  - Veto 1 + 1 (<1% overlap)
  - Jet  $p_t > 350, 200, 30$  GeV/c
  - Jet 1 (type 1 jet) satisfies “top tagger” requirements
  - Jet 2 (type 2 hemisphere) satisfies “W tagger” requirements
  - Jet 3 (type 2 hemisphere) has no requirements

**Type 1 + Type 1**



**Type 1 + Type 2**





# Motivation

