SM single-top production at hadron colliders

Pietro Falgari



Institute for Theoretical Physics, Universiteit Utrecht

5th International Workshop on Top Quark Physics September 16-21, 2012, Winchester

TOP2012

1/28

Why single-top production?

Electroweak single-top production rate competitive with QCD-mediated $t\bar{t}$ cross section

LHC(7 TeV): $\sigma_{t\bar{t}} = 162.4 \text{ pb}$ $\sigma_t + \sigma_{\bar{t}} = 78.3 \text{ pb}$

\Rightarrow complementary informations on top-quark properties!

- sensitive to charged-current interactions of the top quark \Rightarrow test $V - \overline{A}$ nature of the *Wtb* vertex
- $\sigma \propto |V_{tb}|^2 \rightarrow$ direct extraction of <u>CKM matrix element</u> V_{tb}
- probes <u>bottom PDF</u> inside the proton
- beside being an important signal, single-t production is background to Higgs production
- generally relevant to <u>new physics</u> searches (top anomalous couplings, 4th generation searches, FCNC, charged-Higgs production,...)

Almost too good to be true! Unfortunately experimental extraction of the single-top signal much more challenging than top-pair measurements due to large background from Wj and $t\bar{t}$



イロト イポト イヨト イヨト



Single-top production in the SM





t-channel

s–channel

associated W-t production

In the SM three production modes:

- *t*-channel production $(k_W^2 < 0)$
- *s*-channel production $(k_W^2 > 0)$
- associated *tW* production $(k_W^2 \sim M_W^2)$

t-channel production is dominant channel at both Tevatron and LHC



P. Falgari (ITF Utrecht)

Different production channels?



Note that distinction into 3 production channels is somewhat ambiguous...

• *t*-channel and *s*-channel mix beyond LO (though no interf. at NLO due to colour)



t-channel

s-channel

• More seriously *tW* production mixes with (much bigger) $t\bar{t}$ production at NLO



associated tW production

tt production

 \Rightarrow from a theoretical point of view most satisfactory solution is fixing a specific **physical final** state (i.e. jets+leptons+ \not{E}_T) and include the full **gauge-invariant set of relevant contributions**

Single-top production at NLO

P. Falgari (ITF Utrecht)

TOP2012 5 / 28

NLO QCD



 $\mathcal{O}(\alpha_s)$ corrections to the tree-level **inclusive** cross sections have been known for a while:

- *t*-channel [Bordes, van Eijk '95; Stelzer, Sullivan, Willenbrock '97]: $\Delta \sigma^{\text{NLO}} / \sigma^{\text{LO}} \sim 10\%$
- *s*-channel [Smith, Willenbrock '96]: $\Delta \sigma^{\text{NLO}} / \sigma^{\text{LO}} \sim 40 50\%$
- *tW* production [Zhu '02; Cao '08]: $\Delta \sigma^{\rm NLO} / \sigma^{\rm LO} \sim 50\%$

and differential cross section computed by [Harris, Laenen, Phaf, Sullivan, Weinzierl '02; ZTOP '04]



big differences between NLO calc. and LO showers \Rightarrow shows necessity of full NLO result!

NLO EW/SUSY QCD



NLO EW corrections and SUSY QCD corrections also available for *t*-channel production and associated production [Beccaria, Carloni Calame, Mirabella, Piccinini, Renard, Verzegnassi '07/'08]

t-channel $[M_{inv}(t, j_1)]$



EW corrections small ($\lesssim 5\%$) and SUSY QCD corr. negligible (< 1% for mSUGRA SU1)

P. Falgari (ITF Utrecht)

5-flavour vs 4-flavour scheme



t-chan. NLO results first computed in the <u>5-flavour scheme</u> (5F). Recently NLO results in the <u>4-flavour scheme</u> (4F) have also become available [Campbell, Frederix, Maltoni, Tramontano '09]. What are the main differences between the two schemes?



5-flavour scheme

5F: initial b from PDF inside proton

- large logs $\ln \mu^2 / m_b^2$ resummed by bottom PDF evolution
- <u>exact factorization</u> of QCD corrections to heavy/light currents at NLO and simpler calculation
- *m_b* dependence and spectator *b*-jet only described from NLO onwards

4F: initial *b*-quark from gluon splitting

4-flavour scheme

- potentially large logs not resummed
- almost exact heavy/light currect factorization. More complicated calculation due to additional mass and additional external leg.
- $\frac{\text{spectator } b\text{-jet} \text{ observables and } m_b}{\text{dependence already at LO}}$

NLO calculation in 4F scheme



[Campbell, Frederix, Maltoni, Tramontano '09]



- small differences between 4F and 5F results for total cross section (~ 6%, compatible with theory uncertainty)
- larger differences in distributions (10 20%), especially for <u>spectator b-jet</u> (expected, since effectively LO in 5F calculation)

P. Falgari (ITF Utrecht)

< ロト < 同ト < 三ト < 三ト

Sac

Top-quark decay



Precise description of the single top production requires consistent inclusion of top quark decay (theoretically a delicate business due to gauge-invariance issues...)



Decay commonly treated in Narrow-Width Approximation (NWA)

$$\frac{1}{|p^2 - m_t^2 + im_t\Gamma_t|^2} = \frac{\pi}{\Gamma_t}\delta(p_t^2 - m_t^2) + \mathcal{O}\left(\frac{\Gamma_t}{m_t}\right)$$

- matrix element factorizes into production AND decay of an **on-shell** top $(p_t^2 = m_t^2)$
- preserves top-quark spin correlations between production and decay
- includes NLO corrections to production AND decay, but no production/decay interferences (expect effect ~ $\Gamma_t/m_t \sim 1\%$ on total cross section)
- off-shell effects are completely lost (again expect effect $\sim \Gamma_t/m_t$).

nan

Single-top production and decay at NLO

[Campbell, Ellis, Tramontano '04; MCFM; Cao, Schwienhorst, Yuan '04+Benitez, Brock '05]

 H_T

 $Q_l\eta(j_1)$



Decay corrections modify significantly the normalization (though shape is preserved...)

P. Falgari (ITF Utrecht)

Beyond fixed-order <u>on-shell</u> NLO

inclusion of off-shell effects and production/decay interferences

resummation of threshold logarithms

matching of fixed-order NLO results and MC parton showers

Production-decay interferences in single-top production



NWA only includes <u>factorizable</u> corrections to <u>on-shell</u> production and decay! \Rightarrow no information on <u>off-shell effects</u> $(p_t^2 \neq m_t^2)$, <u>non-factorizable corrections</u> and <u>non-resonant</u> (background) diagrams



These effects are expected to be of order $\Gamma_t/m_t \sim 1\%$ (true for the **total cross section**).

However: small effect is partly due to **large cancellations** between virtual and real non-factorizable corrections \Rightarrow off-shell and non-factorizable effects could be larger for arbitrary kinematical distributions



[PF, Giannuzzi, Mellor, Signer '10, '11]

Consider a <u>resonant</u> unstable top (rather than on-shell) and use the <u>small virtuality</u> of t as an expansion parameter, $\delta \equiv (p_t^2 - m_t^2)/m_t^2 \ll 1$



Effective-theory expansion resums **finite-width effects**, includes leading **non-factorizable corrections** and preserves **gauge invariance**

+ much simpler than full 1-loop calculation in the Complex Mass Scheme!

Integrated cross section ($m_t = 172 \text{ GeV}, \mu_{F/R} = m_t/2$)



$pp \rightarrow J_b J_l e^+ E_T + X$	$pp \rightarrow J_b J_{\overline{b}} e^+ \not\!$
$p_T(J_b) > 20 \text{ GeV}$	$p_T(J_b) > 20 \text{GeV}$
$p_T(\text{hardest } J_l) > 20 \text{ GeV}$	$p_T(J_{\overline{b}}) > 30 \text{ GeV}$
$p_T(\text{extra } J_{\overline{b}}) < 15 \text{ GeV}$	$p_T(\text{extra }J_l) < 15 \text{ GeV}$
$\not\!$	$\not\!$

LHC@7TeV

$pp \to J_b J_l e^+ \not\!\!\!E_T + X$ (~ t-channel)		Eff. Theory	NWA
	LO[pb]	$3.460^{+0.278}_{-0.403}$	3.505
	NLO[pb]	$1.609\substack{+0.303 \\ -0.240}$	1.642
$pp \to J_b J_{\bar{b}} e^+ \not\!$		Eff. Theory	NWA
	LO[pb]	$0.1654\substack{+0.0001\\-0.0010}$	0.1677
	NLO[pb]	$0.1618\substack{+0.0021\\-0.0005}$	0.1635

Differences between effective-theory calculation and NWA $\sim 2\%$

 \Rightarrow consistent with expectation $\sim \Gamma_t/m_t...$

Similar effects found in tt production [Bevilacqua et al. '10, Denner et al. '10]

200





- large off-shell effects (up to 50%) close to the peak
- non-factorizable corrections change sign around the peak
 ⇔ explains small effect on the total cross section

P. Falgari (ITF Utrecht)

TOP2012 16 / 28

∃ ► < ∃ ►</p>

Sac

$pp \rightarrow J_b J_l e^+ \not\!\!E_T + X (LHC @ 7 TeV)$







- off-shell and non-factorizable effects generally small ($\sim 2\%$) due to averaging effect over m_{inv}
- **sizeable corrections** (up to 40%) close to kinematics edges, e.g. $M_T \sim m_t$ (relevant for m_t extraction)

イロト イポト イヨト イヨト

- NNLL resummation performed recently by two independent groups with different formalims (Mellin space VS SCET) [Kidonakis '10, '11; Li, Wang, Zhang, Zhu '10]
- NNLL resummed results usually re-expanded to obtain approximated fixed-order NNLO cross section (more convenient for numerical implementation...)

Threshold resummation

Single-top cross sections affected by potentially large corrections related to suppression of soft emission near kinematical thresholds

 $p_1p_2 \rightarrow t(p_t)q(k) + X(p_X)$

$$\sigma^{N^n LO} \sim \alpha_s^n \left[\frac{\ln^m s_4}{s_4} \right]_+ \qquad m \le 2n-1 \qquad s_4 = (p_t + p_X)^2 - m_t^2$$

partonic cross section kinematically enhanced when $s_4 \rightarrow 0$ \Rightarrow all-order resummation of the enhanced terms is desirable!

(leads to accurate normalization of the cross section and reduced theoretical uncertainties)

State of the art for single-top is NNLL resummation (i.e. m = 2n - 1, 2n - 2, 2n - 3)



TOP2012

18/28



Resummation based on <u>factorization</u> of different relevant scales [Kidonakis, Sterman '97]. **In Mellin space:**

$$\hat{\sigma}^{res}(N) = \underbrace{\exp\left[\sum_{i=1,2} E(N_i) + E'(N')\right]}_{\text{hard scatt.}} \underbrace{\exp\left[\sum_{i=1,2} 2\int_{\mu_F}^{\sqrt{s}} \frac{d\mu}{\mu} \gamma_{q/q} \left(\tilde{N}_i, \alpha_s(\mu)\right)\right]}_{\text{large-angle soft radiation}} \left\{ \underbrace{H\left(\alpha_s(\sqrt{s})\right)}_{\text{large-angle soft radiation}} \underbrace{\exp\left[\int_{\sqrt{s}}^{\sqrt{s}/\tilde{N}'} \frac{d\mu}{\mu} \Gamma_S\left(\alpha_s(\mu)\right)\right]}_{\text{large-angle soft radiation}} \right\}$$

- H, S, Γ_S are matrices in colour-state space!
- NNLL resummation requires recently-computed two-loop Γ_s [Neubert, Becher '09; Kidonakis '10]

Analogous factorization in SCET: $\sigma \sim f \otimes f \otimes Tr[H \times S] \otimes J$ [Li, Wang, Zhang, Zhu '10] + resummation in momentum space via RG evolution equations.

Effects of threshold resummation



Results for *t***- and** *s***-channel available in both formalisms**

[Kidonakis '10, '11; Li, Wang, Zhang, Zhu '10] For *t*-channel small NNLO effects in both approaches (few percents at both Tevatron and LHC) but large discrepancies for *s*-channel production...

s-channel	SCET	Mellin sp.
Tevatron	$0.463^{+0.002}_{-0.004}(+5\%)$	$0.523^{+0.001}_{-0.005}(+15\%)$
LHC@7	$2.82^{+0.06}_{-0.07}(+4\%)$	$3.17^{+0.06}_{-0.06}(+13\%)$
LHC@14	$7.17^{+0.20}_{-0.25}(+4\%)$	$7.93^{+0.14}_{-0.14}(+13\%)$

What's the source of the discrepancy?

- two formalisms resum different logs

$$s_{4,\text{Mellin}} = (p_t + p_X)^2 - m_t^2$$
 $s_{4,\text{SCET}} = (k + p_X)^2$

formally equivalent for the total cross section, but power-suppressed terms can be large.

- is one parameterization better than the other? Not completely clear...
- estimate of theory uncertainty by scale variation only is probably too optimistic

200

+ 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0

NLO/parton shower matching

One of most recent developments in single-top physics is matching of <u>fixed-order NLO</u> cross section with Monte Carlo parton shower (MCPS)

• NLO:
$$d\sigma = d\Phi_n \left\{ \underbrace{\underline{B}(\Phi_n)}_{\text{LO}} + \frac{\alpha_s}{2\pi} \left[\underbrace{V(\Phi_n)}_{\text{virt.}} + \underbrace{\underline{R}(\Phi_{n+1})}_{\text{real}} d\Phi_r \right] \right\}$$

- <u>normalization</u> of the cross section correct to order $\mathcal{O}(\alpha_s)$
- reduced renormalization and factorization scale dependence
- correct description of wide-angle radiation

• **MCPS:**
$$d\sigma = d\Phi_n \underbrace{B(\Phi_n)}_{\text{LO}} \left\{ \underbrace{\Delta(t_m, t_0)}^{\text{Sudakov}} + \Delta(t_m, t) \underbrace{\frac{\alpha_s}{2\pi} \frac{1}{t} P(z) d\Phi_r}_{\text{coll.}} \right\}$$

-
$$\Delta(t_m, t) = \exp\left[-\frac{\alpha_s}{2\pi}\int_t^{t_m} d\Phi'_r \frac{P(z')}{t'}\right]$$

- correctly describes multiple collinear emission at low p_T
- can be used to generate events down to the hadronic level

...ideal solution is clearly to combine the two approaches!

Issue: how to avoid double-counting in the collinear region?

イロト イポト イヨト イヨト

POWHEG vs MC@NLO



Two different frameworks have been tested: **POWHEG and MC@NLO**

POWHEG: [Nason '04; Frixione, Nason, Oleari '07; ...] <u>Modifies Sudakov factor for hardest emission</u> such that collinear limit is preserved and expansion in α_s of matched result reproduces exact NLO

$$d\sigma_{\text{POWHEG}} = d\Phi_n \overline{B}(\Phi_n) \left\{ \overbrace{\Delta(\Phi_n, k_T^{\min})}^{\text{POWHEG Sudakov}} + \Delta(\Phi_n, k_T) \frac{\alpha_s}{2\pi} \frac{R(\Phi_{n+1})}{B(\Phi_n)} d\Phi_r \right\}$$
$$\overline{B}(\Phi_n) = B(\Phi_n) + \frac{\alpha_s}{2\pi} \left[V(\Phi_n) + \int R(\Phi_{n+1}) d\Phi_r \right]$$
$$\Delta(\Phi_n, k_T) = \exp\left[-\frac{\alpha_s}{2\pi} \int d\Phi_r \frac{R(\Phi_{n+1})}{B(\Phi_n)} \theta(k_T' - k_T) \right]$$

MC@NLO:[Frixione, Webber '02; Frixione, Nason, Webber '03;] Subtract hardest collinear emission from exact NLO matrix element and then shower

$$d\sigma_{\text{MC@NLO}} = d\Phi_n \overline{B}(\Phi_n) \left\{ \Delta(t_m, t_0) + \Delta(t_m, t) \frac{\alpha_s}{2\pi} \frac{P(z)}{t} d\Phi_r \right\} + d\Phi_n d\Phi_r \left[R(\Phi_{n+1}) - R_{\text{MCS}}(\Phi_{n+1}) \right] \overline{B}(\Phi_n) = B(\Phi_n) + \frac{\alpha_s}{2\pi} \left[V(\Phi_n) + \int R_{\text{MCS}}(\Phi_{n+1}) d\Phi_r \right]$$

◆ロ ▶ ◆昼 ▶ ◆臣 ▶ ◆臣 ▶ ○臣 ○ のへで



Similarities and differences between MC@NLO and POWHEG:

- in both frameworks double-counting is avoided
- <u>exact NLO result</u> reproduced upon expansion in α_s
- in MC@NLO matching depends on the MCPS used
- <u>positive weights</u> in POWHEG, while small number of <u>negative-weighted</u> events appear in MC@NLO (theoretically not a problem...)

How do POWHEG and MC@NLO numerically compare to each other (and to NLO)?

POWHEG vs MC@NLO: t-channel production



5F:[Alioli, Nason, Oleari, Re '09]; 4F:[Frederix, Re, Torrielli '12]



12 24/28

POWHEG vs MC@NLO: s-channel production

[Alioli, Nason, Oleari, Re '09]



P. Falgari (ITF Utrecht)

TOP2012 25 / 28

POWHEG vs MC@NLO: tW production



Unambiguous theoretical definition of tW production is difficult due to interference with $t\bar{t}$ production at NLO

$$\mathcal{A}_{WWbb} = \overbrace{\mathcal{A}_{WWbb,tW}}^{\text{NLO single t prod.}} + \overbrace{\mathcal{A}_{WWbb,t\bar{t}}}^{\text{LO top-pair prod.}}$$

One can still try to define the tW signal subject to a certain set of kinematical cuts. Two schemes implemented in MC@NLO and POWHEG [Frixione, Laenen, Motylinski, Webber, White '08; White, Frixione, Laenen, Maltoni '09]

- **Diagram removal** (DR): $d\sigma_{tW}^{\text{NLO}} = d\phi_n |\mathcal{A}_{WWbb.tW}|^2$ 0
- **Diagram subtraction** (DS): $d\sigma_{tW}^{\text{NLO}} = d\phi_n \Big(|\mathcal{A}_{WWbb,tW} + \mathcal{A}_{WWbb,t\bar{t}}|^2 \mathcal{M}_{subt.} \Big)$ $\mathcal{M}_{subt.} \rightarrow |\mathcal{A}_{WWbb,t\bar{t}}|^2$ when $m_{bW} \rightarrow m_t$



POWHEG vs MC@NLO: tW production



[Re '10]



P. Falgari (ITF Utrecht)

TOP2012 27 / 28

Conclusions



Theoretical understanding and modelling of single-top production in the SM has progressed significantly in the last few years!

- ⇒ NLO results for the 3 production channels matched to MC parton shower (MC@NLO and POWHEG)
- $\Rightarrow (matched) results in the 4F scheme have also become available$ $<math display="block">\rightarrow precise (NLO) modelling of spectator-b jet observables$
- ⇒ contribution of off-shell and non-factorizable corrections has been assessed \rightarrow generally small, but can be locally large (up to 40%) near kinematical edges
- ⇒ some additional information on higher-order corrections might be inferred from soft resummation, though some discrepancies have to be clarified first...

In this talk we focused only on the SM. However beyond-SM single-top production has also been studied

- \Rightarrow anomalous couplings
- \Rightarrow associated H^-t production

⇒ ...