

# Single Top Production in CMS

Outline:

- Single Top at the LHC
- t-channel analysis
- tW channel analysis
- Conclusions

Gabriele Benelli

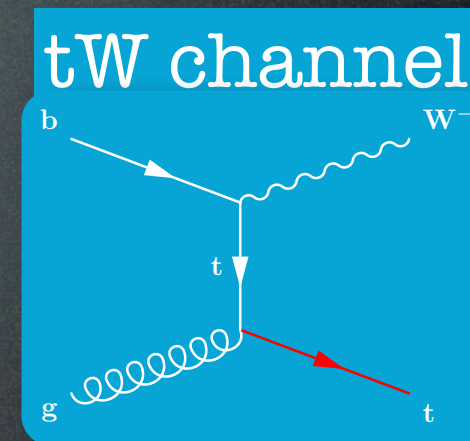
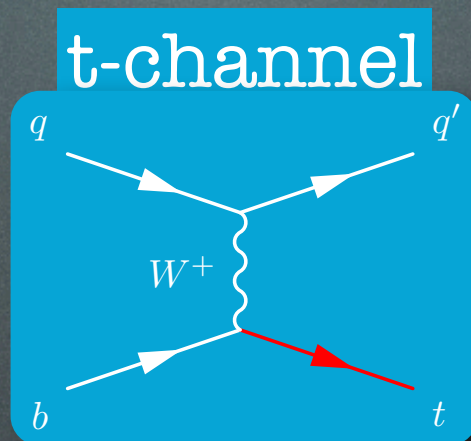
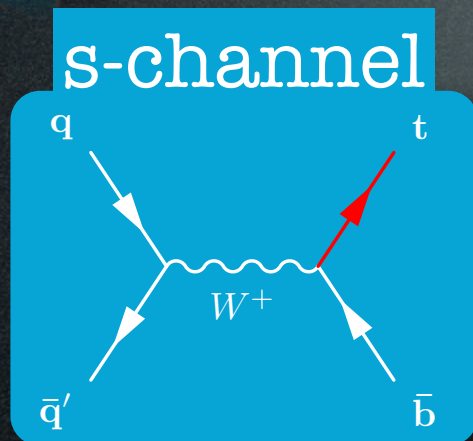
On behalf of the CMS Collaboration



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36th International Conference on High Energy Physics, July 5<sup>th</sup> 2012

- Three single top production channels:



- Weak interaction production ( $Wtb$ ,  $btW$ ) and decay vertices ( $tWb$ )
- Access to CKM matrix element  $V_{tb}$
- Sensitive to new physics
- Top cross-sections at the LHC (and Tevatron)

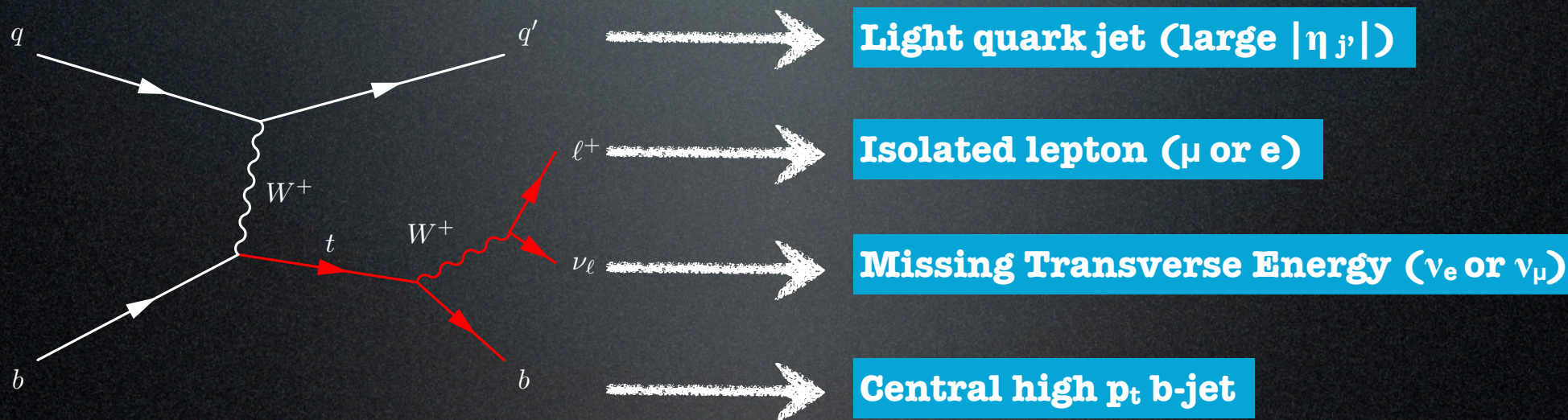
**Top mass = 173 GeV**

N. Kidonakis arxiv.org/pdf/ 1205.3453v1 (2012)	Cross sections (pb)	s-channel	t-channel	tW channel	top pairs
	<b>Tevatron: ppbar@1.96TeV</b>	1.05	2.08	0.22* <small>*arxiv.org/pdf/0909.0037</small>	7.08
	<b>LHC: pp @ 7 TeV</b>	4.56	65.9	15.6	163
	<b>LHC: pp @ 8 TeV</b>	5.55	87.2	22.2	234



# Single top t-channel

- Highest single top production cross-section at the LHC (and Tevatron)
- Systematics dominated measurement
- Only leptonic W decays considered (e,  $\mu$  final states):
  - **Lepton + jets** topology:



- Potential extra b-jet, broad  $|\eta|$  and low  $p_t$
- Main backgrounds:
  - $W$ +jets, top pairs, QCD multi-jets

t-channel Lumi  
 $1.2 \text{ fb}^{-1}(\mu)$   
 $1.6 \text{ fb}^{-1}(e)$





# t-channel Event Selection



- Selection:

- Exactly 1 isolated muon (electron)
- Exactly 2 jets
- Exactly 1 b-tagged jet

**2j1btag**

- Background estimation and control samples:

- QCD multi-jet

**Reversed Iso/ID**

- W+light flavor

**2j0btag**

- Top pairs

**3j2btag**

- W+heavy flavor

**2j1btag sideband**

- Reconstruction:

- W transverse mass  $m_{T,W}$
- Top invariant mass  $m_{lvb}$

**for  $\mu$ :  $m_{T,W} > 40 \text{ GeV}$   
for e:  $E_T^{\text{miss}} > 35 \text{ GeV}$**

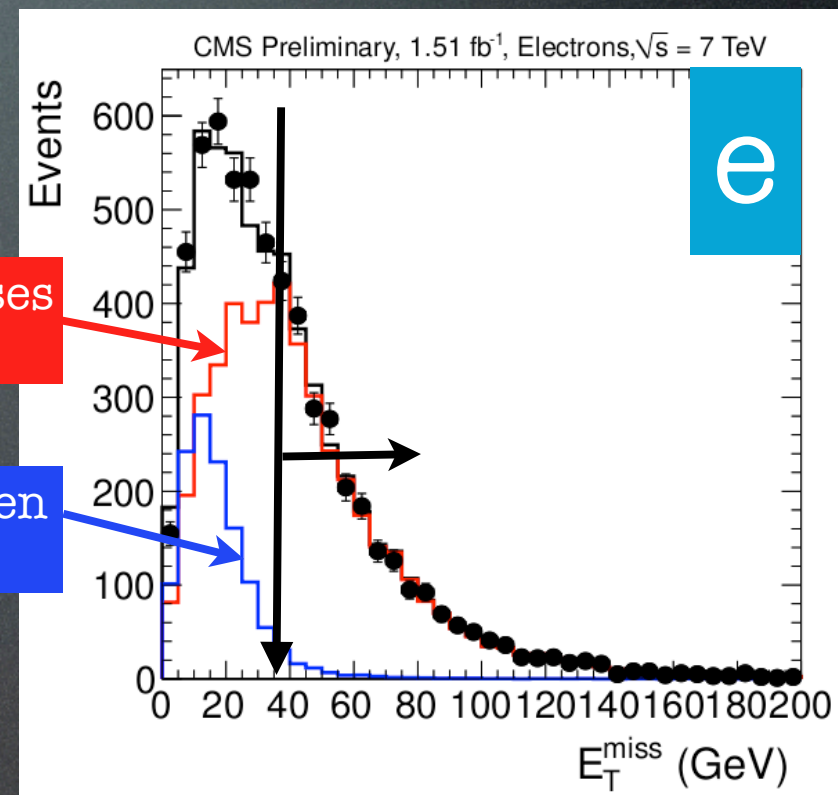
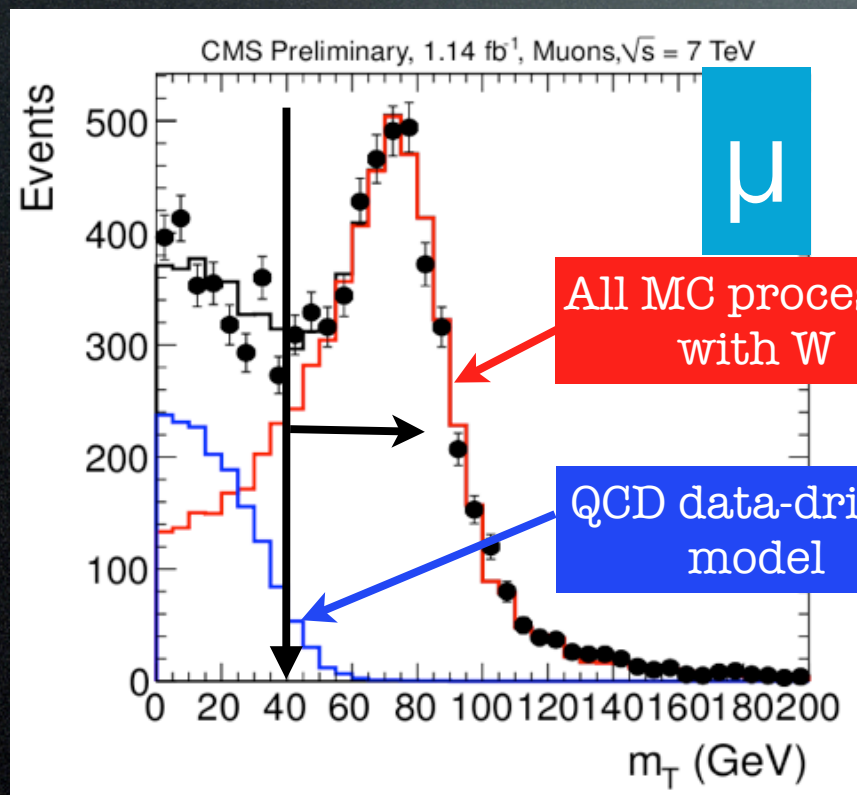
- Signal region (2j1btag):

**$130 \text{ GeV} < m_{lvb} < 220 \text{ GeV}$**



# t-channel QCD background

- Data-driven QCD multi-jet estimate:
  - QCD model from reversed iso/ID control region
  - QCD yield from 2j1btag fit of  $m_{T,W}$  for muons and  $E_T^{\text{miss}}$  for electrons



- Suppress fake W leptonic decay events with  $m_{T,W}$  and  $E_T^{\text{miss}}$  cut

# t-channel W+heavy flavor

- Event yield in signal region after  $m_{l\nu b}$  cut:

Process	muon yield	electron yield
t-channel	$604.1 \pm 2.6$	$332.9 \pm 2.1$
tW channel	$107.0 \pm 1.0$	$70.13 \pm 0.89$
s-channel	$25.38 \pm 0.46$	$14.70 \pm 0.38$
t $\bar{t}$	$637.1 \pm 5.4$	$472.7 \pm 5.0$
W + light partons	$90.0 \pm 6.9$	$48.2 \pm 5.5$
Wc( $\bar{c}$ )	$437 \pm 14$	$213.8 \pm 9.9$
Wb( $\bar{b}$ )	$528 \pm 15$	$244 \pm 10$
Z + jets	$81.5 \pm 2.7$	$11.35 \pm 0.90$
Dibosons	$23.54 \pm 0.36$	$11.03 \pm 0.26$
QCD	$76.1 \pm 2.9$	$61.2 \pm 3.3$
Total	$2610 \pm 22$	$1480 \pm 17$
Data	3108	1581

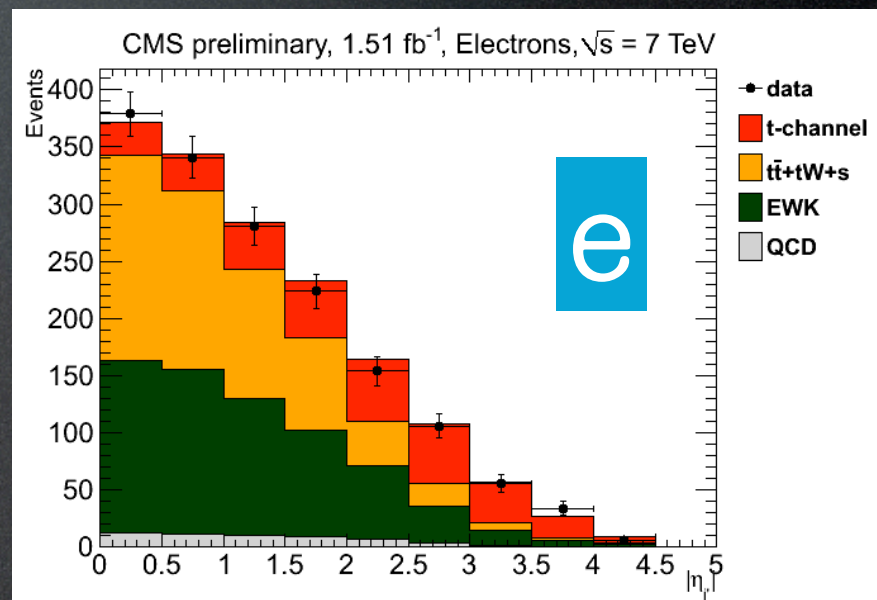
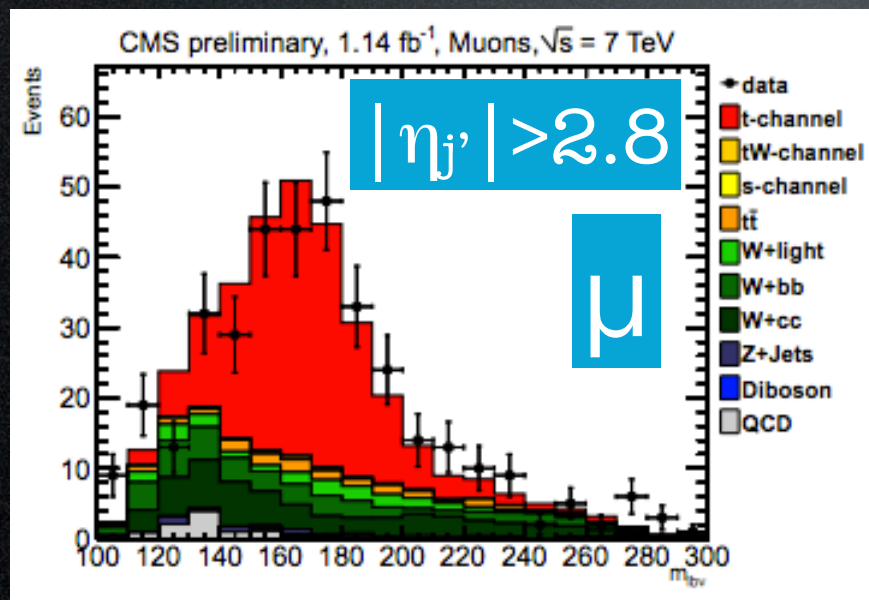
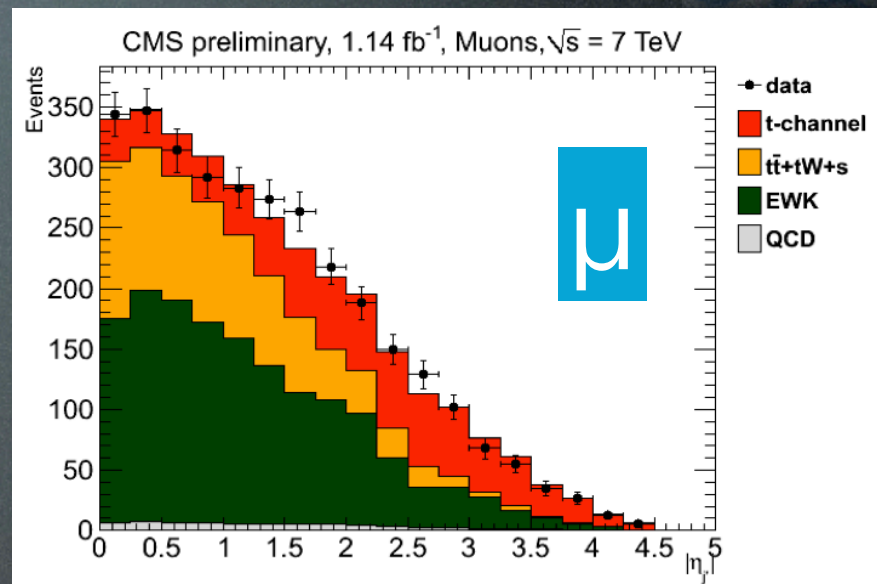
MC  
Simulation

data-driven

- W+heavy flavor mostly responsible for the data excess:
- In situ  $|\eta_j|$  distribution extracted from the  $m_{l\nu b}$  sidebands by subtracting all other processes from data
- W+heavy flavor + di-boson fit as EWK component of the signal region  $|\eta_j|$  fit

# t-channel Signal Extraction

- Maximum Likelihood Fit to  $|\eta_j|$
- Simultaneous fit in  $\mu$  and  $e$  channels
- Signal model is taken from simulation
- QCD fixed to the data-driven estimate,  $W+HF$  in situ
- Fit results cross-checked in signal and control regions





# t-channel Results

- All systematics are folded into the statistical evaluation
- Main systematic uncertainties:
  - JES (9%), W+HF extraction (7%), b-tagging (3%)
  - Theoretical uncertainties:  $Q^2$  scale (7% t-channel, 4% top pairs)
- Cross section results per channel and combined:

$$\begin{aligned} \sigma_{t\text{-ch.}} &= 76.9 \pm 6.6(\text{stat.}) \pm 11.4(\text{syst.}) \pm 3.7(\text{lumi.}) \text{ pb} && (\text{muons}) \\ \sigma_{t\text{-ch.}} &= 59.3 \pm 8.2(\text{stat.}) \pm 11.9(\text{syst.}) \pm 2.8(\text{lumi.}) \text{ pb} && (\text{electrons}) \\ \sigma_{t\text{-ch.}} &= 70.2 \pm 5.2(\text{stat.}) \pm 10.4(\text{syst.}) \pm 3.4(\text{lumi.}) \text{ pb} && (\text{combined}) \end{aligned}$$

- Theoretical value:

$$\sigma_{t\text{-ch.}}^{\text{th}} = 64.57_{-0.71}^{+2.09} (\text{scale})_{-1.74}^{+1.51} (\text{PDF}) \text{ pb}$$

N. Kidonakis  
Phys. Rev. D83 (2011) 091503

- CKM matrix element  $|V_{tb}|$  in the assumption  $|V_{td}|, |V_{ts}| \ll |V_{tb}|$

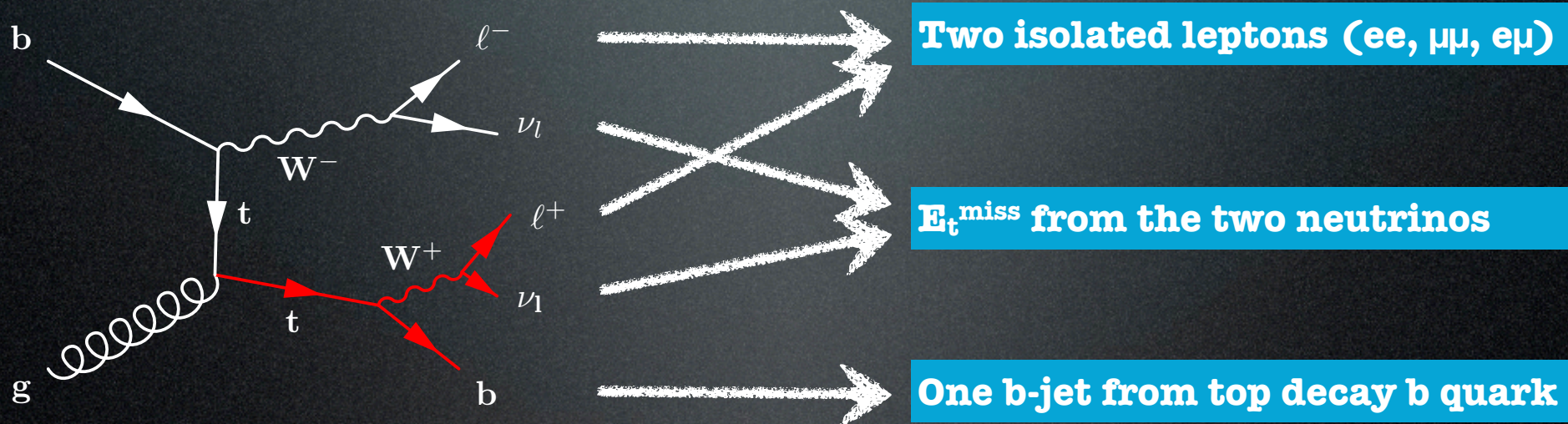
$$|V_{tb}| = \sqrt{\frac{\sigma_{t\text{-ch.}}}{\sigma_{t\text{-ch.}}^{\text{th}}}} = 1.04 \pm 0.09 (\text{exp.}) \pm 0.02 (\text{th.})$$





# Single top tW channel

- tW associated production observable at LHC for the first time!
- Interesting topology (background to Higgs->WW searches)
- Only leptonic (e,  $\mu$ ) decays of W considered:
  - **Di-lepton** topology:



- No chance to fully reconstruct W or top
- Main backgrounds:
  - Top pairs, Z+jets, all other processes very small

tW Lumi  
2.1 fb<sup>-1</sup>(all)





# tW channel Event Selection



Selection (3 final states ee, μμ, eμ):

- Exactly 2 isolated leptons
- Exactly 1 b-tagged jet

**All states**

- Z mass veto ( $m_{ll} < 81 \text{ GeV}, m_{ll} > 101 \text{ GeV}$ )
- $E_T^{\text{miss}} > 30 \text{ GeV}$

**ee/μμ**

• Two variables inspired by LO tW process description:

- $\vec{p}_T^{\text{system}} = \vec{p}_{T,l1} + \vec{p}_{T,l2} + \vec{p}_{T,jet} + \vec{E}_T^{\text{miss}}$
- $H_T = p_{T,l1} + p_{T,l2} + p_{T,jet} + E_T^{\text{miss}}$

• Final cuts:

- $H_T > 160 \text{ GeV}$

**eμ**

- $p_T^{\text{system}} < 60 \text{ GeV}$

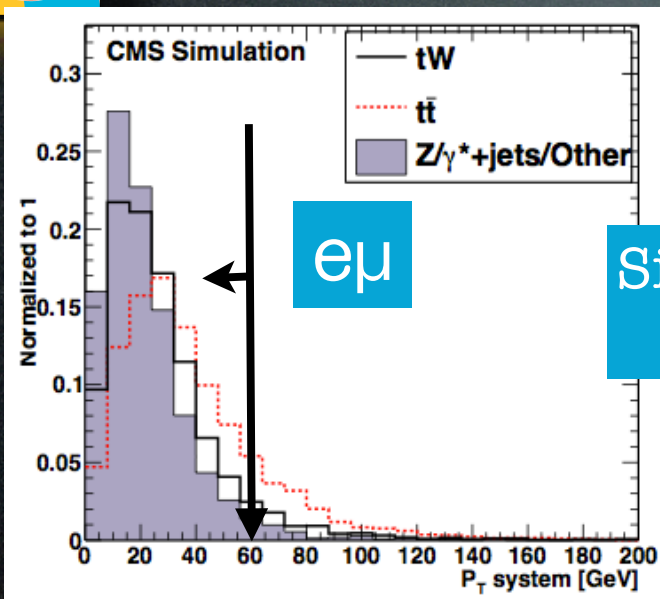
**All states**

• Signal region defined by 1j1btag, other jet/b-tag multiplicities used as control regions to constrain top pair cross-section

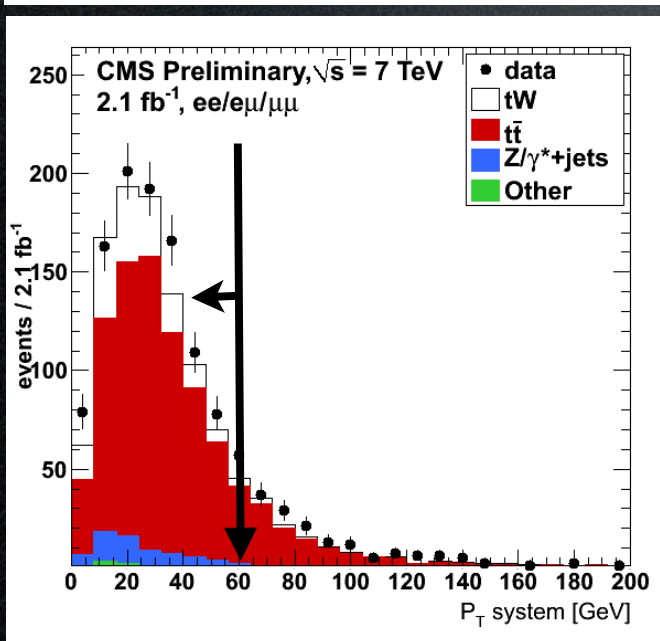
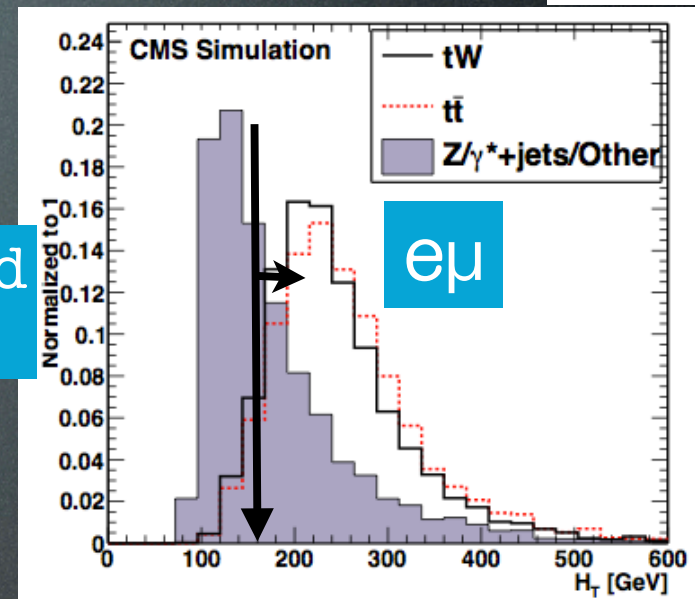
• Z+jets (reverse Z mass veto) control region to get data-driven estimate



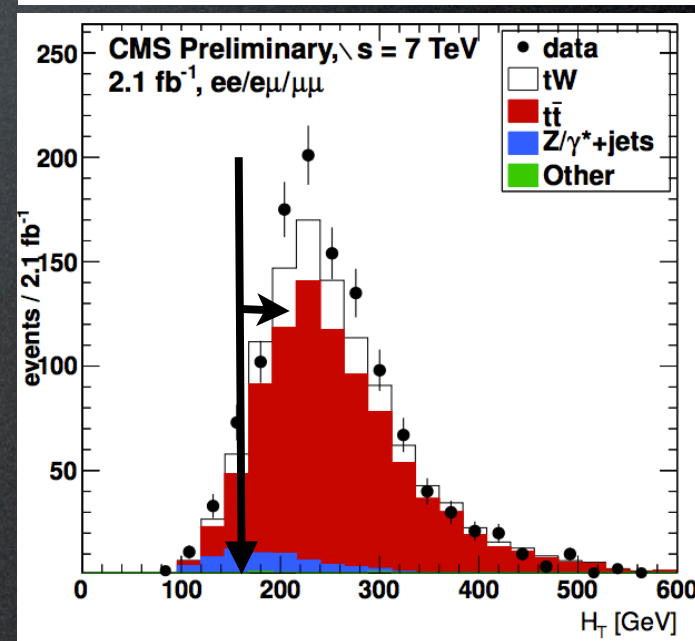
# tW Reconstructed Variables



Signal/Background Separation

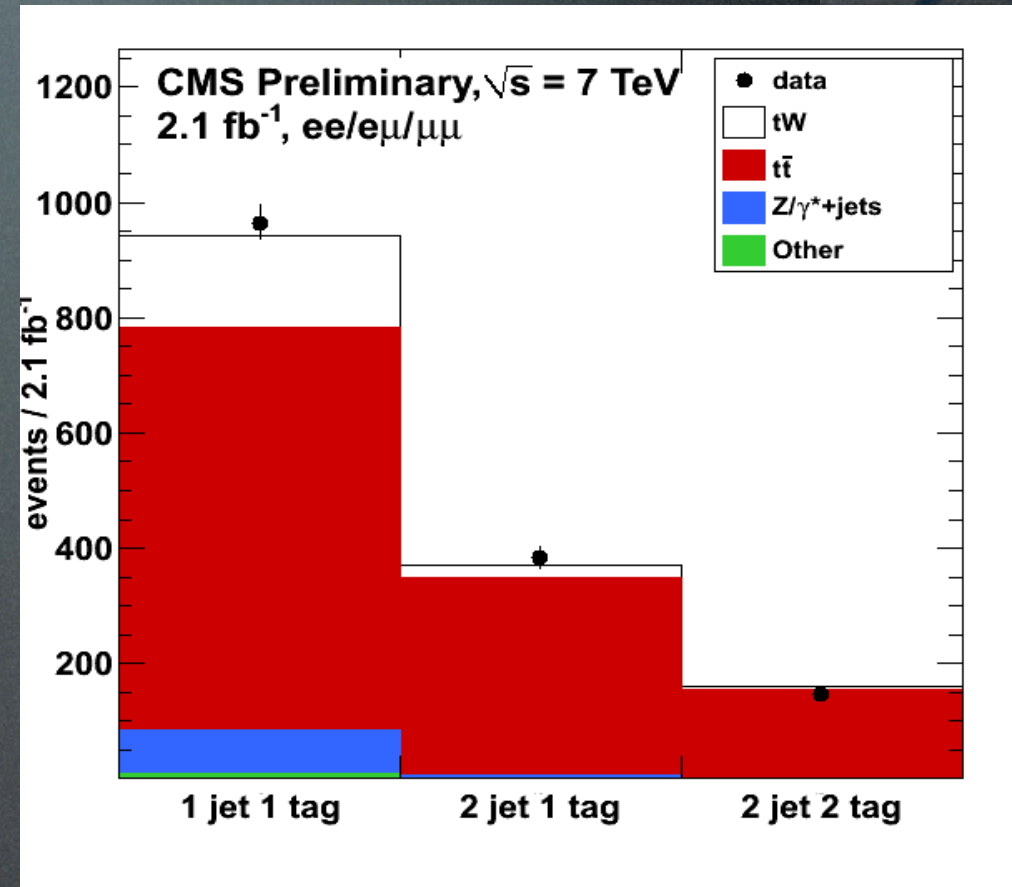


Data/MC distributions (all other cuts)



# tW channel Analysis

- Data-driven estimate of Z+jets:
  - Invert Z mass veto and extract normalization for signal region
- Top pairs background estimate:
  - Two top pairs enriched control regions (2j1btag, 2j2btag)
  - Yield in these control regions to constrain top pair contamination in signal region
- Signal extracted using simultaneous fit to signal and control regions





# tW Analysis Results



- Main systematic uncertainties:
  - b-tagging (10%), JES (2.5% tW signal, 6% top pairs)
  - Theoretical:  $Q^2$  scale (10% tW signal, 12% top pairs)
- With Poisson statistical model, combining all three channels and taking into account all systematic uncertainties:
  - Expected significance **1.8 +/- 0.9  $\sigma$**  away from null-hypothesis
  - Observed significance **2.7  $\sigma$**
  - Measured value of the cross-section and 68% C.L. interval:
    - **$\sigma_{tW} = 22^{+9}_{-7}$  (stat $\oplus$ sys) pb**
  - Theoretical value ( $m_{top}=173\text{GeV}$ ):
    - **$\sigma_{tW} = 15.6 \pm 0.4(\text{scale})^{+1.0}_{-1.2}(\text{PDF})$**

**N. Kidonakis**  
[arxiv.org/pdf/1205.3453v1](https://arxiv.org/pdf/1205.3453v1) (2012)





# Conclusions



- Single top production has been studied in t- and tW channels at CMS
- First measurement of tW cross-section:
  - $\sigma_{tW} = 22^{+9}_{-7} \text{ (stat} \oplus \text{sys) pb}$
  - Expected significance:  $1.8 \pm 0.9 \sigma$  Observed:  $2.7\sigma$
- Improved t-channel measurement, already dominated by systematics:

$$\begin{aligned}\sigma_{t\text{-ch.}} &= 76.9 \pm 6.6(\text{stat.}) \pm 11.4(\text{syst.}) \pm 3.7(\text{lumi.}) \text{ pb} && \text{(muons)} \\ \sigma_{t\text{-ch.}} &= 59.3 \pm 8.2(\text{stat.}) \pm 11.9(\text{syst.}) \pm 2.8(\text{lumi.}) \text{ pb} && \text{(electrons)} \\ \sigma_{t\text{-ch.}} &= 70.2 \pm 5.2(\text{stat.}) \pm 10.4(\text{syst.}) \pm 3.4(\text{lumi.}) \text{ pb} && \text{(combined)}\end{aligned}$$

- $|V_{tb}|$  measurement with 10% uncertainty from t-channel analysis:

$$|V_{tb}| = \sqrt{\frac{\sigma_{t\text{-ch.}}}{\sigma_{t\text{-ch.}}^{\text{th}}}} = 1.04 \pm 0.09 \text{ (exp.)} \pm 0.02 \text{ (th.)}$$

- Both results are in agreement with Standard Model predictions
- Stay tuned for new results!

CMS PAS TOP-11-021

<https://cdsweb.cern.ch/record/1430743>

CMS PAS TOP-11-022

<https://cdsweb.cern.ch/record/1385552>





# Back-up





# Cross-section table



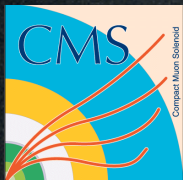
- With all uncertainties (first due to renormalization/factorization scale, second due to the parton distribution function)

Cross sections (pb) [ $m_{\text{top}} = 173 \text{ GeV}$ ]	s-channel	t-channel	tW channel	top pair
Tevatron: ppbar@1.96TeV	$1.046^{+0.002}_{-0.01}^{+0.06}_{-0.056}$	$2.08^{+0.00}_{-0.04} \pm 0.12$	$0.22 \pm 0.08$	$7.08^{+0.00}_{-0.24}^{+0.36}_{-0.27}$
LHC: pp @ 7 TeV	$4.56 \pm 0.07^{+0.18}_{-0.17}$	$65.9^{+2.1}_{-0.7}^{+1.5}_{-1.7}$	$15.6 \pm 0.4^{+1.0}_{-1.2}$	$163^{+7}_{-5} \pm 9$
LHC: pp @ 8 TeV	$5.55 \pm 0.08 \pm 0.21$	$87.2^{+2.8}_{-1.0}^{+2.0}_{-2.2}$	$22.2 \pm 0.6 \pm 1.4$	$234^{+10}_{-7} \pm 12$

**N. Kidonakis**  
[arxiv.org/pdf/0909.0037](http://arxiv.org/pdf/0909.0037)  
 (2009)

**N. Kidonakis**  
[arxiv.org/pdf/1205.3453v1](http://arxiv.org/pdf/1205.3453v1)  
 (2012)

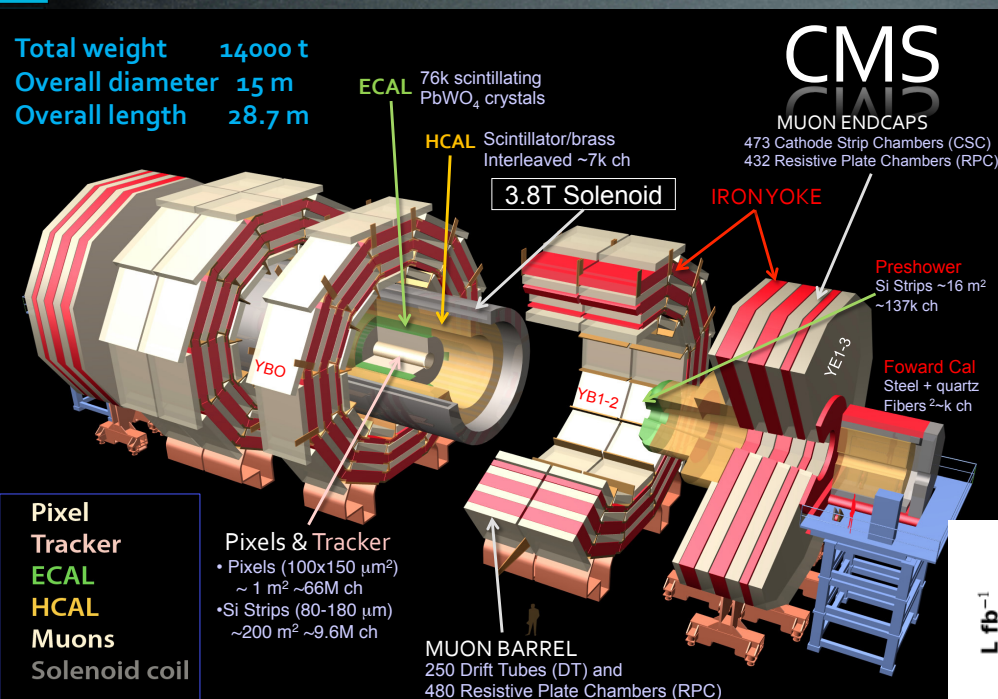




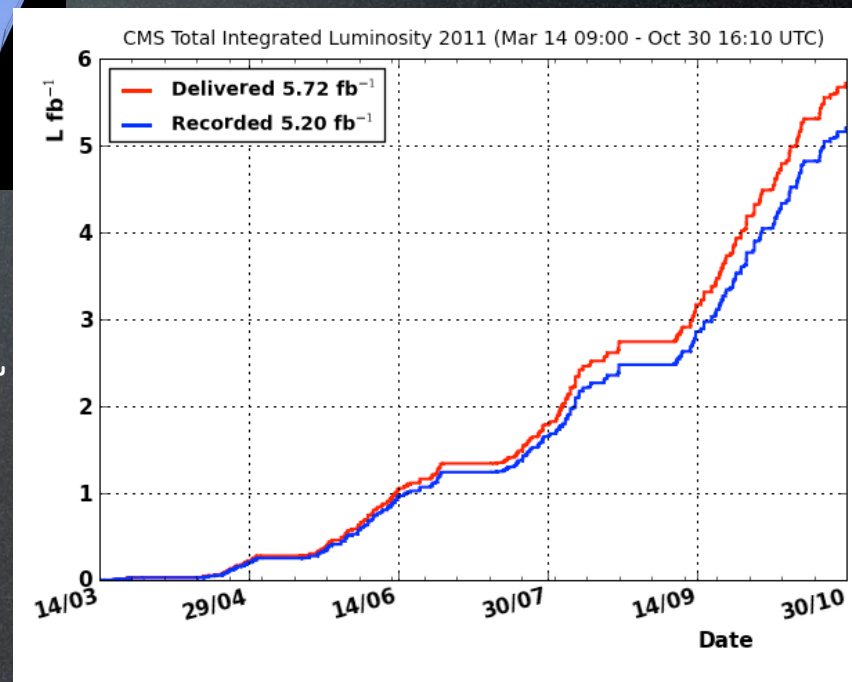
# CMS detector and data sample



Total weight 14000 t  
 Overall diameter 15 m  
 Overall length 28.7 m



- Data taking at 7 TeV (2011): 5.2 fb<sup>-1</sup>
- Analyses presented today based on a fraction of the data:
  - t-channel: 1.2fb<sup>-1</sup>(μ)/1.6 fb<sup>-1</sup>(e)
  - tW-channel: 2.1 fb<sup>-1</sup>





# t-channel systematics



Uncertainty source	in pb	in %
Statistical	$\pm 5.2$	$\pm 7.4\%$
W+heavy flavours extraction	$\pm 5.0$	$\pm 7.1\%$
Jet energy scale	$-4.4/+6.5$	$-6.2/+9.2\%$
Jet energy res.	$-0.48/+0.87$	$-0.69/+1.2\%$
Unclustered $E_T$	$\pm 0.37$	$\pm 0.53\%$
$t\bar{t}$ rate	$-2.4/+2.8$	$-3.5/+4.0\%$
$Q^2, t\bar{t}$	$-2.8/+1.5$	$-4.0/+2.1\%$
$Q^2, t$ -channel	$\pm 4.9$	$\pm 7.0\%$
$t$ -channel generator	$\pm 3.5$	$\pm 5.0\%$
Muon trigger + reco.	$-1.1/+1.2$	$-1.5/+1.7\%$
Electron trigger + reco.	$-0.53/+0.66$	$-0.76/+0.94\%$
Pile up	$-0.23/+0.13$	$-0.33/+0.18\%$
QCD, muon	$-0.67/+0.63$	$-0.95/+0.89\%$
QCD, electron	$-0.26/+0.21$	$-0.37/+0.29\%$
$s$ -, $tW$ -channel, dibosons	$\pm 0.38$	$\pm 0.54\%$
b-tagging	$\pm 2.2$	$\pm 3.1\%$
Hadronic trigger	$\pm 0.95$	$\pm 1.4\%$
PDF	$\pm 1.8$	$\pm 2.5\%$
Total syst.	$\pm 10$	$\pm 15\%$
Total	$\pm 12$	$\pm 17\%$





# tW Z+jets estimate



- Using MC and data event counts in the Z mass veto region and MC event counts in the signal region:

$$N_{\ell\ell,out}^{estimated} = \frac{N_{\ell\ell,out}^{MC}}{N_{\ell\ell,in}^{MC}} \cdot (N_{\ell\ell,in}^{observed} - \frac{1}{2}k \cdot N_{e\mu,in}^{observed})$$

- Result and cross-check using MC instead of observed data counts:

	ee channel	$\mu\mu$ channel
Data-driven estimate	$20.7 \pm 3.9$	$45.7 \pm 6.1$
Estimated from simulation	$12 \pm 2$	$26 \pm 3$





# tW systematics



Systematic uncertainty ( $ee/e\mu/\mu\mu$ ) [%]	signal tW	$t\bar{t}$	$Z/\gamma^*$	other
Luminosity	4.5	4.5	-	4.5
Pile-up multiplicity	0.48/0.55/0.73	*	-	*
Trigger Efficiency	1.5	1.5	-	1.5
Muon reconstruction and identification	- /1/1	- /1/1	-	- /1/1
Electron reconstruction and identification	2/2/ -	2/2/ -	-	2/2/ -
JES	-2.5 / -2.4 / -0.6 +1.6 / +0.1 / +1.0	-5.6 / -6.0 / -5.9 +4.4 / +4.7 / +2.3	-	*
JER	1.1/0.5/0.4	3.1/3.9/4.4	-	*
B-tagging	-9.5 / -9.8 / -9.5 +10 / +9.8 / +10	-8.5 / -11 / -9.1 +10 / +10 / +11	-	*
Factorization/Normalization Scale ( $Q^2$ )	7.7/6/10	7.7/11/12	-	*
ME/PS matching thresholds	-	5.7/0.7/2.3	-	*
ISR/FSR	-	8.9/7.3/7.3	-	*
DR/DS scheme	8.2/9.1/6.6	-	-	*
$E_T^{\text{miss}}$ modeling	2.3/0.9/0.9	*	-	*
PDF uncertainties	4.5/4.5/4.5	*	-	*
Background Normalization	-	15/15/15	50/ 50/ 50	*
Simulation statistics	3.5/1.9/2.7	-	-	17/21/11



# Cross-section vs. sqrt(s)

