

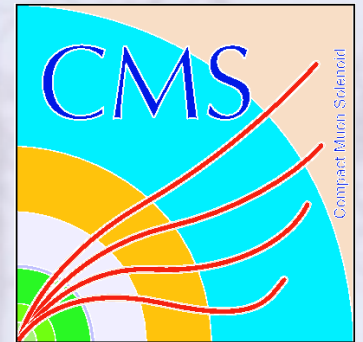
# Search for FCNC in Top Pair Events in pp Collisions



Yuan CHAO,

(National Taiwan University, Taipei, Taiwan)

On behalf of the CMS collaboration



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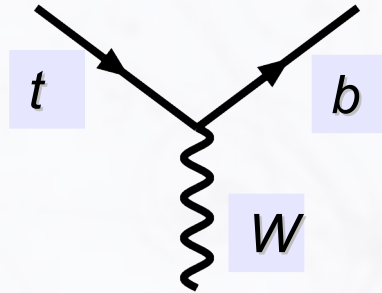




# Motivation

- Top quark decay channels:

T



Charged Current (CC)



Flavor-Changing  
Neutral- Current (FCNC)

P



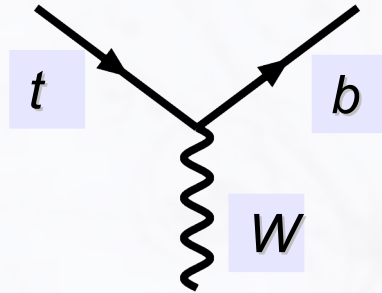
- Normal  $t \rightarrow bW$  is tree-process
- FCNC  $t \rightarrow cZ$  penguin-process (loop diagram)
  - Standard Model: FCNC suppressed by  $O(10^{-14})$
  - Could be enhanced through new physics at the loop level
  - Some theoretical models can give up to  $O(10^{-4})$ :
    - R-parity violated SUSY Phys. Lett. B502 (2001) 115-124
    - TopColor assistant technicolor models Phys. Rev. D68 (2003) 015002



# Motivation (cont.)

- Top quark decay channels:

T



Charged Current (CC)



Flavor-Changing  
Neutral- Current (FCNC)

P



- Recently from Tevatron experiment:

- CDF:  $\text{Br}(t \rightarrow cZ) < 3.7\%$  at 95% C.L.

Phy. Rev. Lett. 101 (2008) 192002

- DØ:  $\text{Br}(t \rightarrow cZ) < 3.2\%$  at 95% C.L.

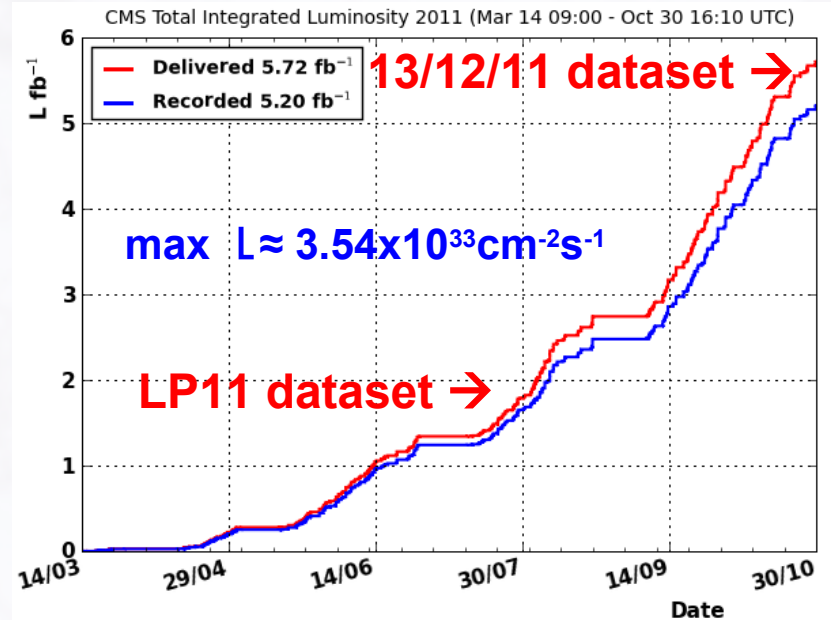
Phy. Lett. B 701 (2011) 313

- Results from Atlas: (previous speaker)



# Data & Analysis Strategy

- Data recorded with the CMS detector
  - Full data set collected in 2011:  
~4.6/fb at 7 TeV
- One of the top pair goes to the FCNC decay channel
- Event signature:  
 $tt \rightarrow (W \rightarrow l \nu) + (Z \rightarrow ll) + jj$ 
  - Full leptonic decays of W & Z (tri-lepton final state)  
→ very clean background
  - Dominant backgrounds:  $tt$ , Drell-Yan, WZ, ZZ
  - Suppress non- $ttbar$  bkg. with b-tagging requirement
- Two approaches
  - Without b-tag → similar to previous studies
  - With b-tag → even cleaner background

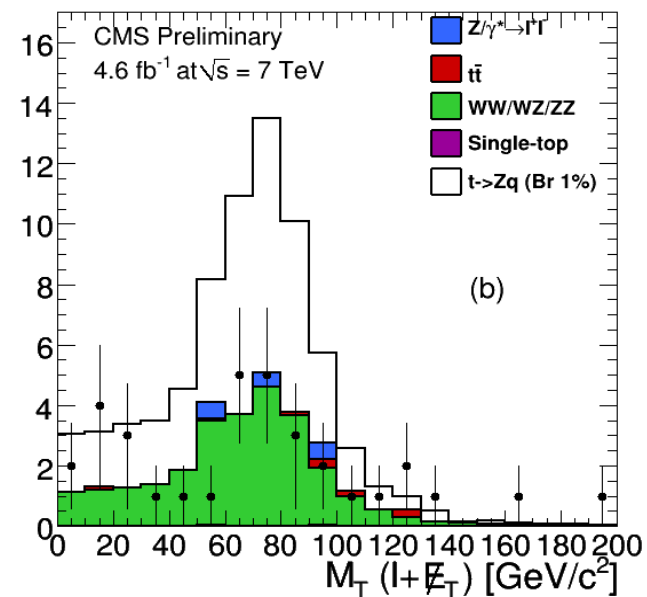
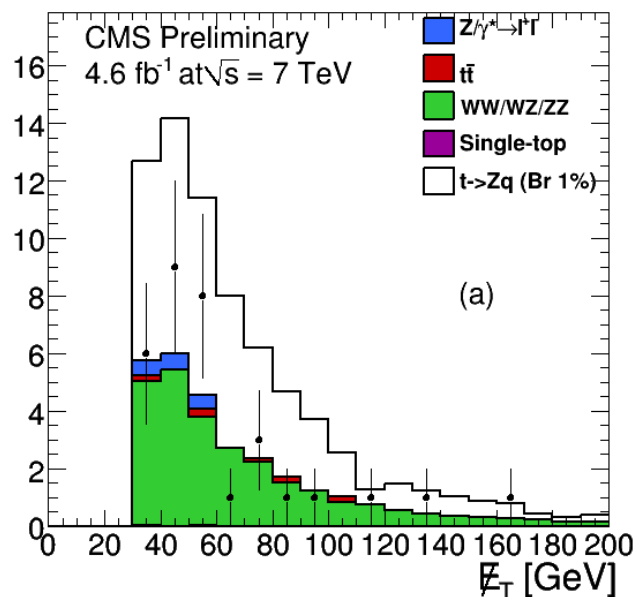






# Event Selection

- Common selection for both approaches
  - Basic Vertex selection
  - High Level Trigger (HLT): di-lepton paths
  - Three leptons with identification and isolation requirements
    - Min.  $p_T > 20$  GeV,
    - Pseudo rapidity: elec.  $|\eta| < 2.5$ , muon  $|\eta| < 2.4$
  - Z-boson selection: best within the mass window 60-120 GeV
  - W from isolated lepton plus neutrino
    - Missing ET  $> 40$  GeV and veto against 4<sup>th</sup> lepton





# Event Selection (cont.)

- SM background yields after common pre-selection:

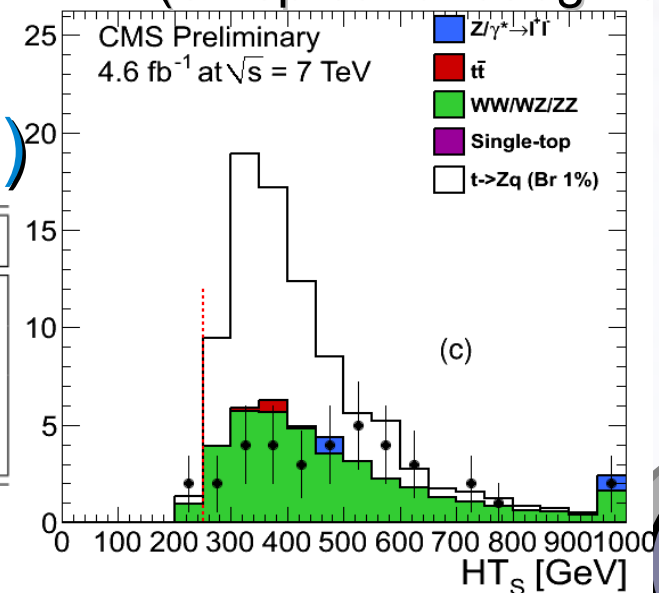
Channel	$\mu\mu e$	$\mu\mu\mu$	$eee$	$ee\mu$
Drell-Yan	$2.0 \pm 1.4 \pm 0.3$	$0.9 \pm 1.0 \pm 0.1$	$2.8 \pm 1.7 \pm 0.4$	$0.9 \pm 1.0 \pm 0.1$
WZ	$46.1 \pm 6.8 \pm 6.1$	$60.3 \pm 7.8 \pm 8.0$	$40.9 \pm 6.4 \pm 5.4$	$48.6 \pm 7.0 \pm 6.4$
ZZ	$17.7 \pm 4.2 \pm 2.3$	$21.7 \pm 4.7 \pm 2.9$	$15.1 \pm 3.9 \pm 2.0$	$18.2 \pm 4.3 \pm 2.4$
WW	$\leq 0.001$	$\leq 0.001$	$0.2 \pm 0.3 \pm 0.0$	$\leq 0.001$
$t\bar{t}$	$\leq 0.001$	$0.5 \pm 0.7 \pm 0.1$	$0.9 \pm 0.9 \pm 0.1$	$0.9 \pm 0.9 \pm 0.1$
Single-top	$\leq 0.001$	$0.1 \pm 0.4 \pm 0.0$	$0.0 \pm 0.2 \pm 0.0$	$\leq 0.05$
Total	$66 \pm 8 \pm 7$	$84 \pm 9 \pm 9$	$60 \pm 8 \pm 6$	$69 \pm 8 \pm 7$
Data	73	87	85	61

- Top quark reconstruction (two approaches):

- $HT_S = \sum p_T(\text{lepton}) + \sum E_T(\text{jets}) + \text{MET}(\text{of top cand. daughters})$
- b-tag method

- Signal efficiencies (final selection)

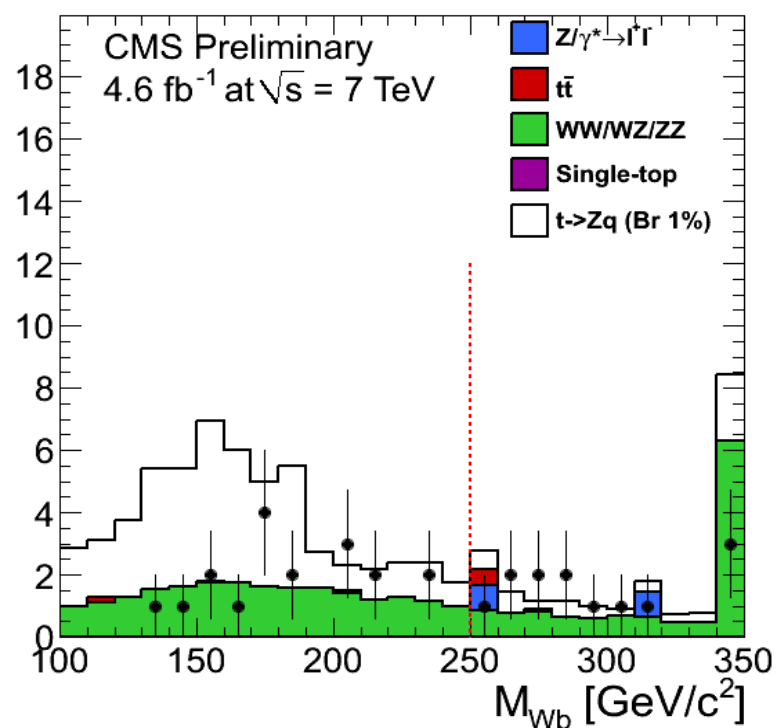
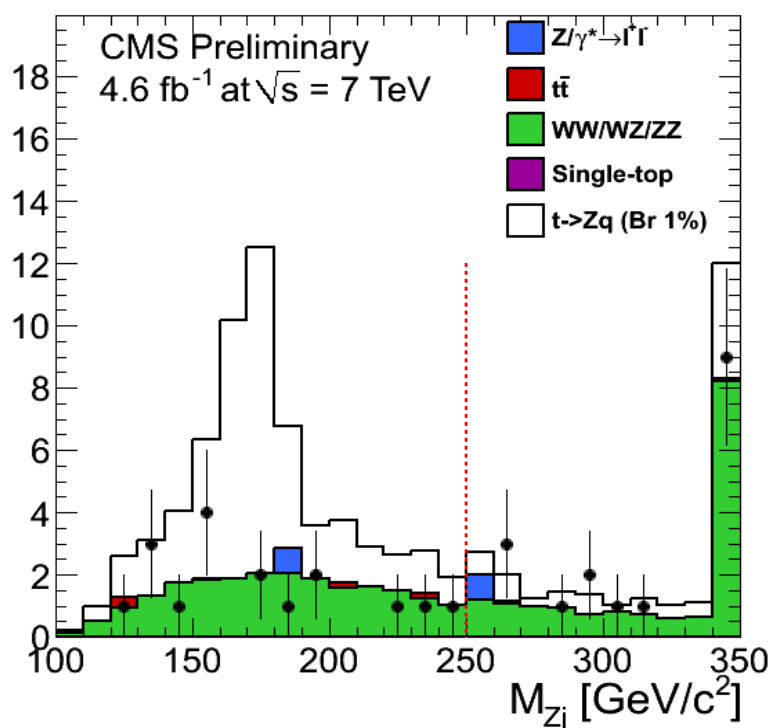
Channel	$HT_S$ -cut Based Selection	b-tag Based Selection
$eee$	$12.4 \pm 1.1$	$3.8 \pm 0.6$
$ee\mu$	$13.8 \pm 1.2$	$5.0 \pm 0.7$
$\mu\mu e$	$14.8 \pm 1.2$	$5.1 \pm 0.7$
$\mu\mu\mu$	$14.7 \pm 1.2$	$5.3 \pm 0.7$





# Top Reconstruction ( $HT_s$ )

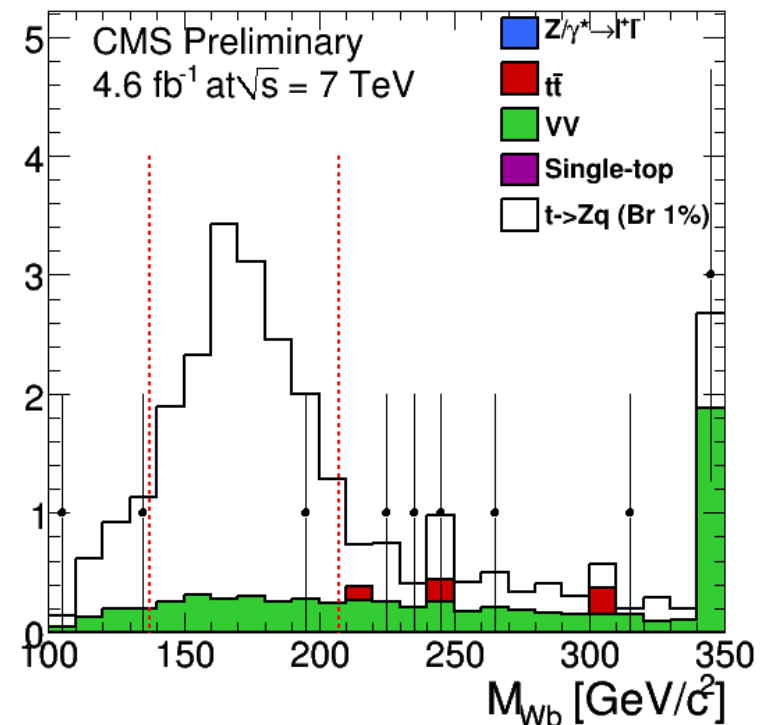
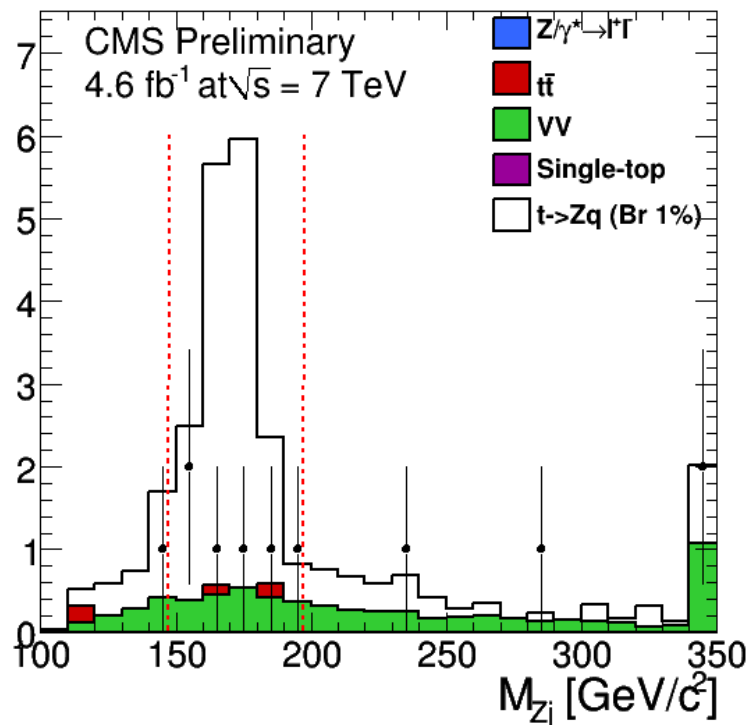
- Top reconstruction: assume nominal W-mass
- Find most **back-to-back** top cand. with jet  $p_T > 30$  GeV
  - Applying  $HT_s$  cut to reduce combinatorics
- Estimation of backgrounds
  - WZ & ZZ from MC, normalized to  $N_{\text{jet}}=0$  bin in data
  - $t\bar{t}$  + DY from data: matrix method (lepton isolation control region)





# Top Reconstruction (b-tagged)

- Exactly one b-tagged jet is required ( $p_T > 30$  GeV)
  - No ambiguity to combine with W boson
  - Best non-b-jet on reconstructed top mass
- Background yields estimated from data
  - Using  $HT_s$  estimation plus **b-tag** efficiency and fake rate
  - Extrapolate to top mass window







# Final Result

Selection	HT_S	b-tag
Background yield	16.2 +/- 2.6*	0.6 +/- 0.1*
Data yield	11	0
Expected BR U.L. (1-sigma bound)	< 0.42% [0.30% - 0.64%]	< 0.34% [0.34% - 0.48%]
Observed Upper Limit	< 0.39%	< 0.34%

- No significant signal
  - Both methods see smaller yields than expected
- Upper limit calculated
  - Counting method
  - BR upper limits determined at 95% confidence level (CL)
  - Expected BR limits and 1-sig. bounds based on MC study

\* Errors contain statistics from MC study and extrapolation plus systematics uncertainties



# Systematics

- Luminosity uncertainty: 4.5%
- Dominant syst. from  $t\bar{t}b\bar{b}$  x.-sec.  
→ not included in the background yields of previous table
- Numbers are in percentage of the event yields

Source	HT_S (%)	b-tag (%)
Trigger Efficiency	4	4
PDF & cross section	6	6
Lepton selection	7	7
Pile-up events	7	7
Missing energy resolution	8	8
Cross sections (normalization)	11 (7)	11
B-tagging	---	9
Jet energy scale	10	10
Total	21	23



# Summary

- Search for “Flavor changing neutral currents” in  $t\bar{t}$  performed using 2011 CMS data
  - Data ( $\sim 5/\text{fb}$ ) taken at 7 TeV
  - Using events with **three isolated leptons** (e or  $\mu$ ) in final state
  - Two analyses: with and w/o b-tagging
- Analysis yields shows no indication of significant FCNC
  - Upper limit  $\text{Br}(t \rightarrow cZ) < 0.3\%$  at 95% C.L. given
- **2012 data at 8 TeV:**
  - More integrated luminosity and higher energy
  - Stay tuned!



謝謝

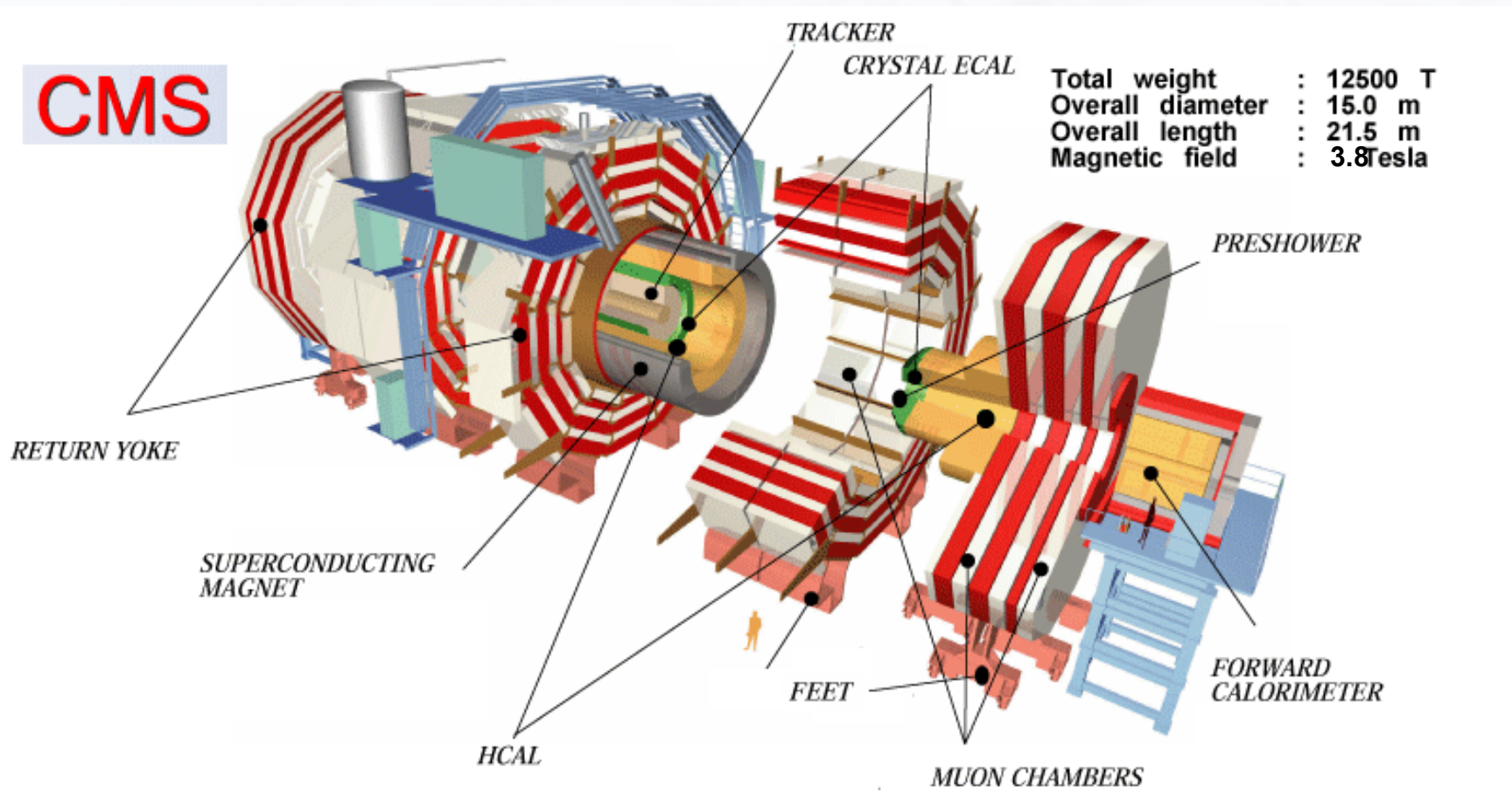
**Thank YOU!**





# CMS Detector

- Compact Muon Solenoid
  - A general purposed detector





# Event Selection

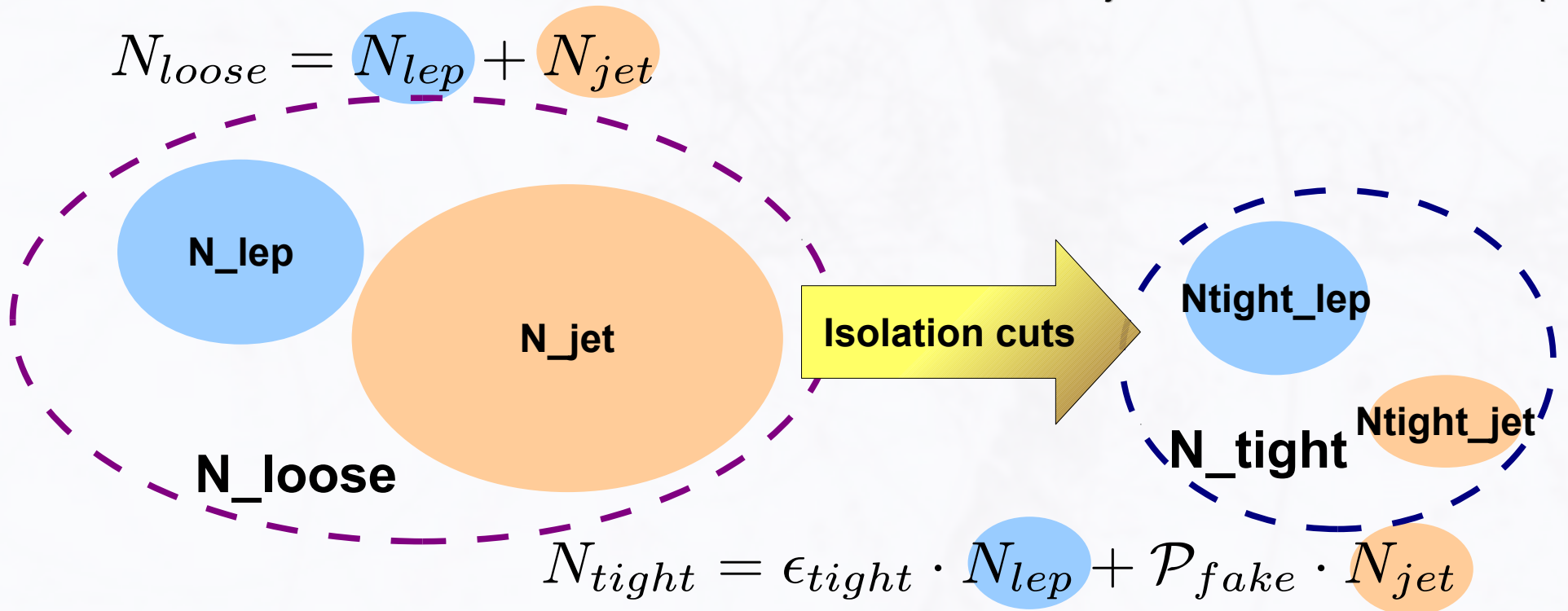
- **Vertex:**
  - At least one valid (good+non\_fake) vertex
  - Number of DOF > 4,  $|Z| < 24$  mm,  $Rho < 2$
- **Lepton: (di-lepton)**
  - **PF Electron**
    - Gsf,  $p_T > 20$  GeV,  $|\eta| < 2.1$ , Avoid ECL crack:  $\eta$  1.442-1.566
    - No near-by "clean" muon within  $|dR| < 0.1$
    - Loose: CiC Tight with ID + conversion veto + Rellso 0.125
    - Tight: CiC SuperTight with ID + conv. veto + Rellso 0.1
  - **Muon**
    - $p_T > 20$  GeV,  $|\eta| < 2.4$ , Muon ID
    - Loose: relative combined PF isolation < 0.125
    - Tight: relative combined PF isolation < 0.1
- **Z boson:**
  - mass 60 – 120 GeV from loose leptons, min  $dR > 0.05$
  - Best Z chosen by mass difference, 2<sup>nd</sup> Z veto
- **W boson:**
  - PF Missing ET > 30 GeV with one-and-only-one tight lepton
- **Jet: Particle-flow AK5 jets with L1,2,3 JEC**
  - $p_T > 30$  GeV,  $|\eta| < 2.4$
  - JetID Loose selection, constitute tracks associate to Z Tight



# Data driven analysis

- To estimate the  $t\bar{t}$  and DY contribution
- WZ is irreducible for the only difference is # of jets
- Estimating background from  $2lep+X(N_{jet})$  &  $3lep+X(N_{lep})$

$$N_{loose} = N_{lep} + N_{jet}$$



- Extrapolation to b-tag selection:
  - Efficiencies and fake rates for b-jet and light-jets
  - Top mass window from MC