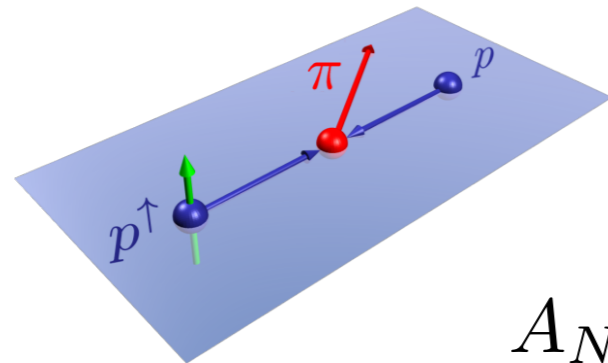


# Contribution of the Twist-3 Fragmentation Function to SSA in SIDIS

Koichi Kanazawa and Yuji Koike (Niigata-U)

# Single transverse-Spin Asymmetry (SSA)

$$p + p^\uparrow \rightarrow \pi + X$$



$$A_N \equiv \frac{\sigma^\uparrow - \sigma^\downarrow}{\sigma^\uparrow + \sigma^\downarrow} \sim 30\%$$

E704, STAR, PHENIX...

$$e + p^\uparrow \rightarrow e' + h + X$$

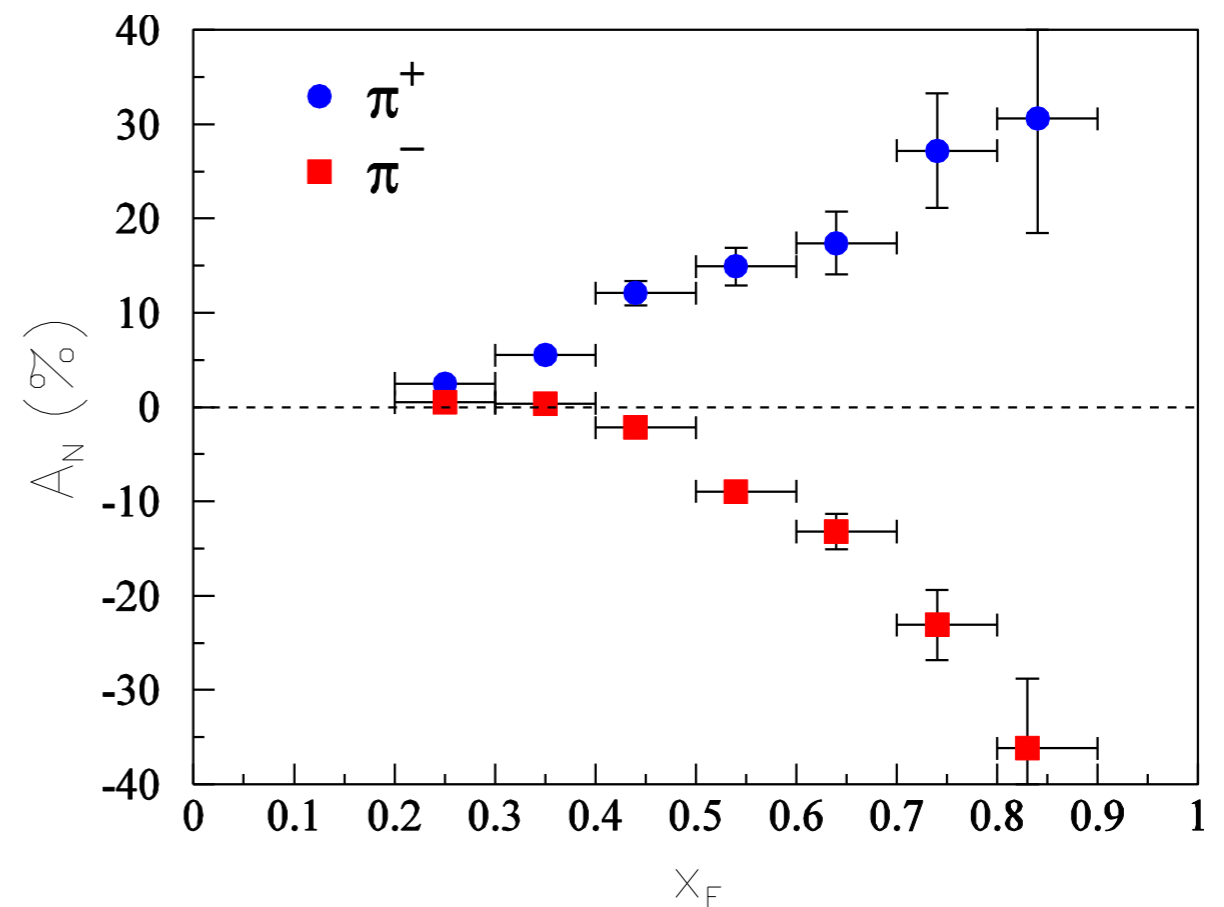
HERMES, COMPASS, ...

cannot be understood by the collinear parton model.

⇒ Need extension of the framework for QCD hard process.

$\sqrt{S} = 20\text{GeV}$

E704 (1991)



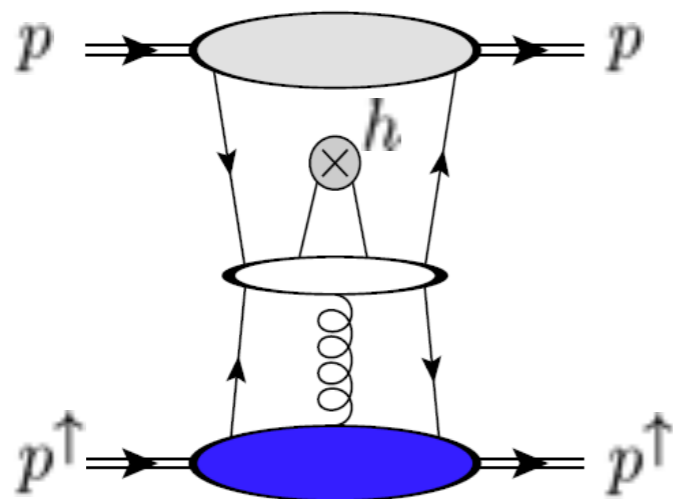
# Collinear twist-3 approach for SSA

- Multi-parton correlation in collinear factorization. ( $P_T \sim Q \gg \Lambda_{\text{QCD}}$ )

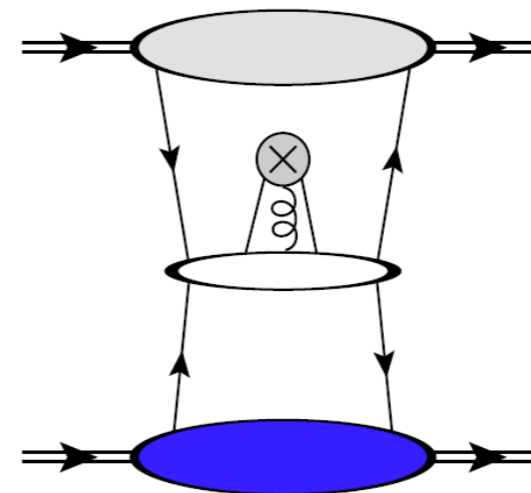
$$p + p^\uparrow \rightarrow \pi + X$$

Ex.

Efremov-Teryaev, Qiu-Sterman, Eguchi-Koike-Tanaka,  
and many works.



“twist-3 distribution”



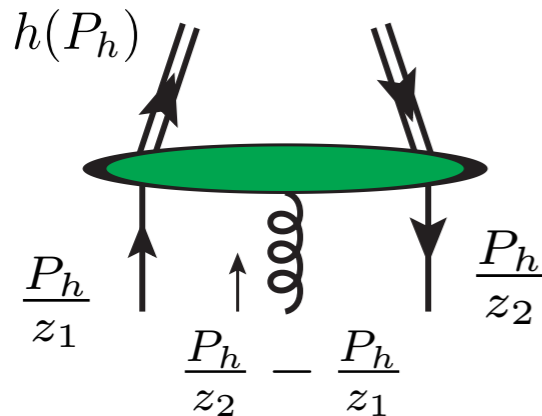
“twist-3 fragmentation”

This talk

▷ SSA can be described in term of these correlation effects.

# Twist-3 Fragmentation Function

No constraint from T-invariance



$$\hat{E}_F(z_1, z_2) \sim \sum_X \langle 0 | \psi | hX \rangle \langle hX | \bar{\psi} F | 0 \rangle$$

$$\hat{E}_F(z_1, z_2) = \text{Re}[\hat{E}_F(z_1, z_2)] + i \text{Im}[\hat{E}_F(z_1, z_2)]$$

⇒ “Pole” and “Non-pole” contributions.

“Pole” contribution to SSA

At poles:  $\frac{1}{z_1} = \frac{1}{z_2}$  or  $\frac{1}{z_{1,2}} = 0$

$$\hat{E}_F(z, z) = \hat{E}_F(0, z) = 0$$

⇒ No contribution from poles.

Meissner-Metz (2009)

Gamberg-Mukherjee-Mulders (2011)

(⇔ Twist-3 distributions)

“Non-pole” contribution to SSA

SIDIS : Collins azimuthal asymmetry

Yuan-Zhou (2009)

PP→hX : Derivative term

Kang-Yuan-Zhou (2010)

All contribution in LC gauge

Metz-Pitonyak (2013)

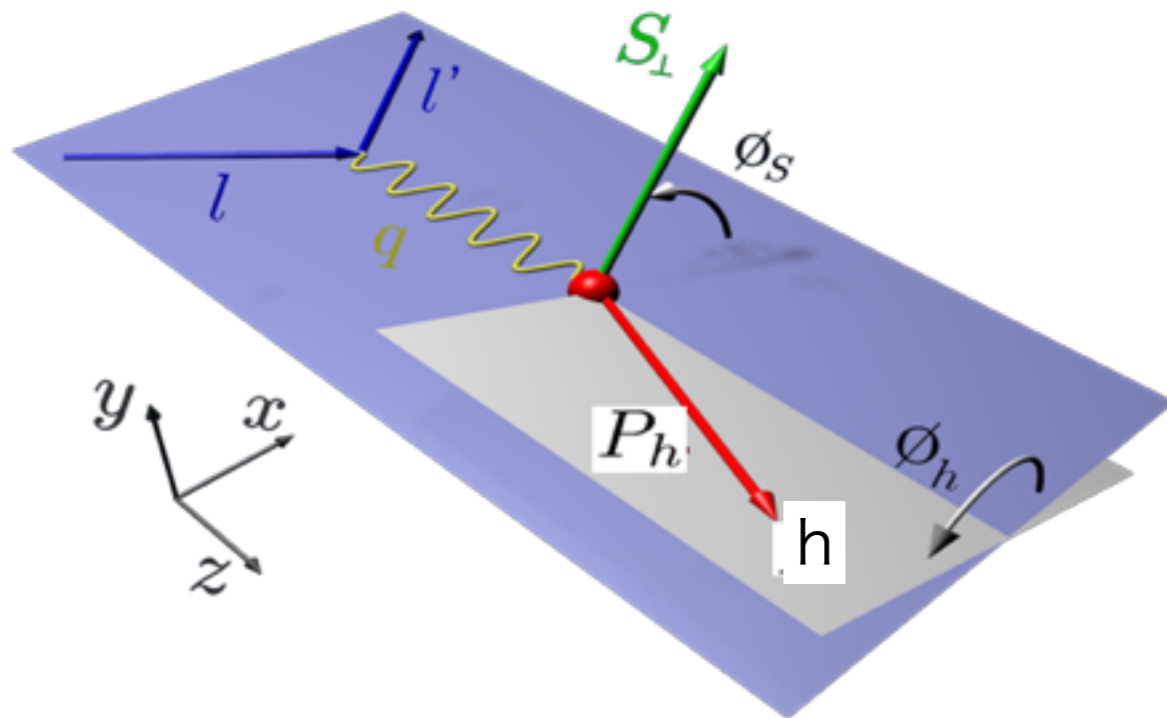
# Contents of this talk

## “Non-pole” Contribution of Twist-3 Fragmentation Function

- Collinear twist-3 formalism in Feynman gauge.
- Cross section formula in SIDIS.

# Kinematics for SIDIS

$$e + p^\uparrow \rightarrow e' + h + X$$



kinematical variables

$$S_{ep} = (p + l)^2,$$

$$x_{bj} = \frac{Q^2}{2p \cdot q},$$

$$Q^2 = -q^2 = -(l - l')^2,$$

$$z_f = \frac{p \cdot P_h}{p \cdot q},$$

$$q_T = \sqrt{-q_t^2},$$

$\phi, \chi$ : azimuthal angles

$$d\sigma = \frac{1}{2S_{ep}} \frac{d^3 \vec{P}_h}{(2\pi)^3 2P_h^0} \frac{d^3 \vec{l}'}{(2\pi)^3 2l'^0} \frac{e^4}{q^4} L^{\mu\nu}(l, l') W_{\mu\nu}(p, q, P_h)$$

$$\frac{d^6 \Delta\sigma}{dx_{bj} dQ^2 dz_f dq_T^2 d\phi d\chi} = \frac{\alpha_{em}^2}{128\pi^4 x_{bj}^2 S_{ep}^2 Q^2} z_f L^{\mu\nu}(l, l') W_{\mu\nu}(p, q, P_h)$$



Let's focus on Twist-3 FF effect in hadronic tensor

# Analysis of hadronic tensor

Factorize transversity  $h(x)$

$$W_{\mu\nu}(p, q, P_h) = \int \frac{dx}{x} h(x) w_{\mu\nu}(xp, q, P_h)$$

⇐

$$\int \frac{d^4k}{(2\pi)^4} \text{Tr} [\Delta^{(0)}(k) S(k)]$$

$$+ \int \frac{d^4k_1}{(2\pi)^4} \frac{d^4k_2}{(2\pi)^4} \left\{ \text{Tr} [\Delta_A^{(1)\alpha}(k_1, k_2) S_\alpha^L(k_1, k_2)] + (\mu \leftrightarrow \nu)^* \right\}$$

$$+ \int \frac{d^4k_1}{(2\pi)^4} \frac{d^4k_2}{(2\pi)^4} \left\{ \text{Tr} [\tilde{\Delta}_A^{(1)\alpha}(k_1, k_2) \tilde{S}_\alpha^L(k_1, k_2)] + (\mu \leftrightarrow \nu)^* \right\}$$

Matrix elements for fragmentation:

$$\Delta^{(0)}(k) \sim \sum_X \langle 0 | \psi | hX \rangle \langle hX | \bar{\psi} | 0 \rangle$$

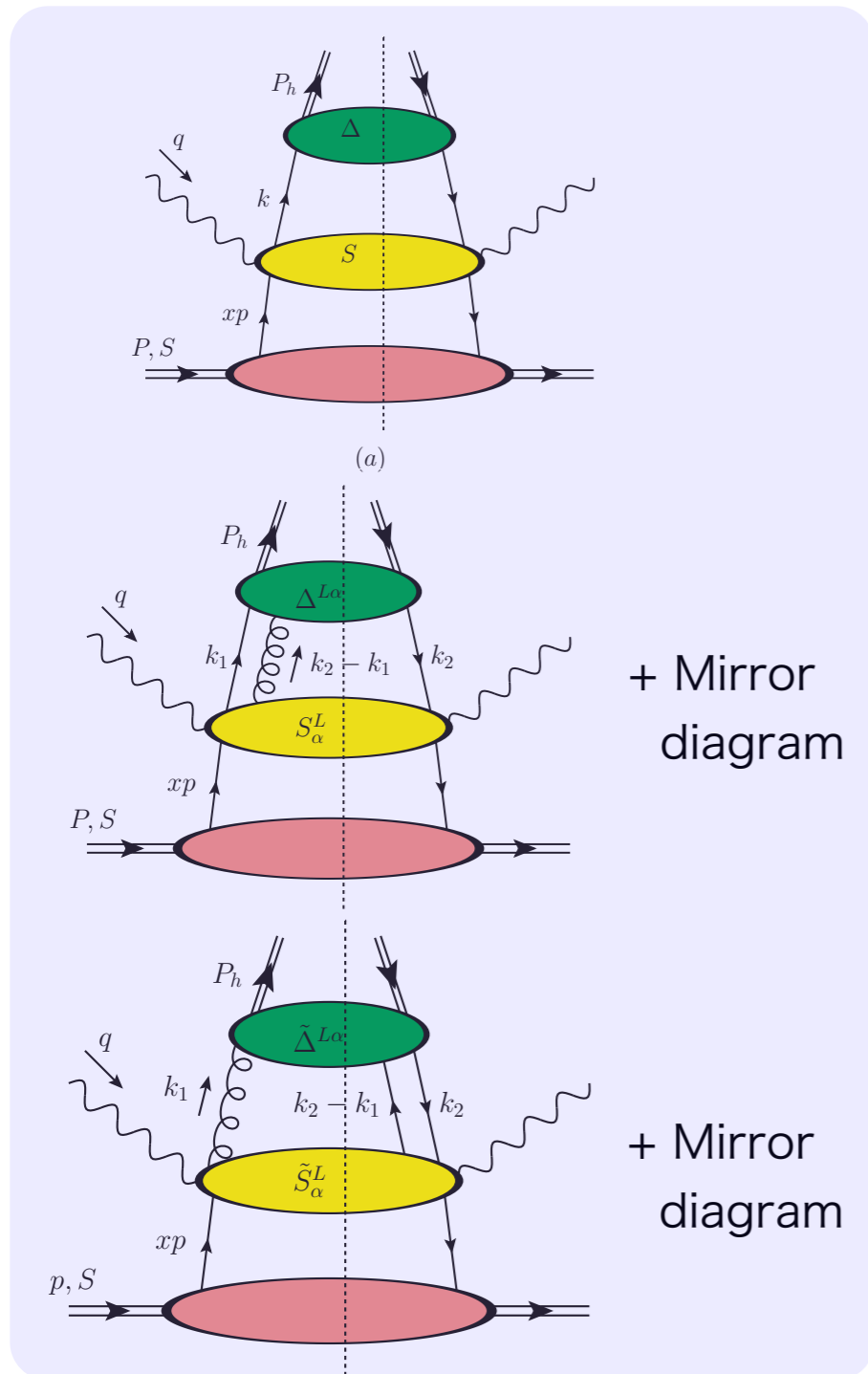
$$\Delta_A^{(1)\alpha}(k_1, k_2) \sim \sum_X \langle 0 | \psi | hX \rangle \langle hX | \bar{\psi} g A^\alpha | 0 \rangle$$

$$\tilde{\Delta}_A^{(1)\alpha}(k_1, k_2) \sim \sum_X \langle 0 | \bar{\psi} \psi | hX \rangle \langle hX | g A^\alpha | 0 \rangle$$

Hard parts:

$$S(k), S^{L\alpha}(k_1, k_2), \tilde{S}^{L\alpha}(k_1, k_2)$$

⇒ These diagrams contain twist-3 FF effects.



# Identification of twist-3 contributions

Collinear expansion:

$$S(k) = S(z) + \left. \frac{\partial S(k)}{\partial k^\alpha} \right|_{\text{c.l.}} \Omega^\alpha{}_\beta k^\beta + \dots$$

$$S^L(k_1, k_2) = S^L(z_1, z_2) + \left. \frac{\partial S^L(k_1, k_2)}{\partial k_1^\alpha} \right|_{\text{c.l.}} \Omega^\alpha{}_\beta k_1^\beta + \left. \frac{\partial S^L(k_1, k_2)}{\partial k_2^\alpha} \right|_{\text{c.l.}} \Omega^\alpha{}_\beta k_2^\beta + \dots$$

$$\Omega^\alpha{}_\beta = g^\alpha{}_\beta - P_h^\alpha w_\beta \quad : \text{Projection operator to extract twist-3 effects}$$

$$k^\alpha = \frac{P_h^\alpha}{z} + \Omega^\alpha{}_\beta k^\beta$$

$$P_h \cdot w = 1$$

c.l. (collinear limit)

$$k \rightarrow \frac{P_h}{z}$$

etc.

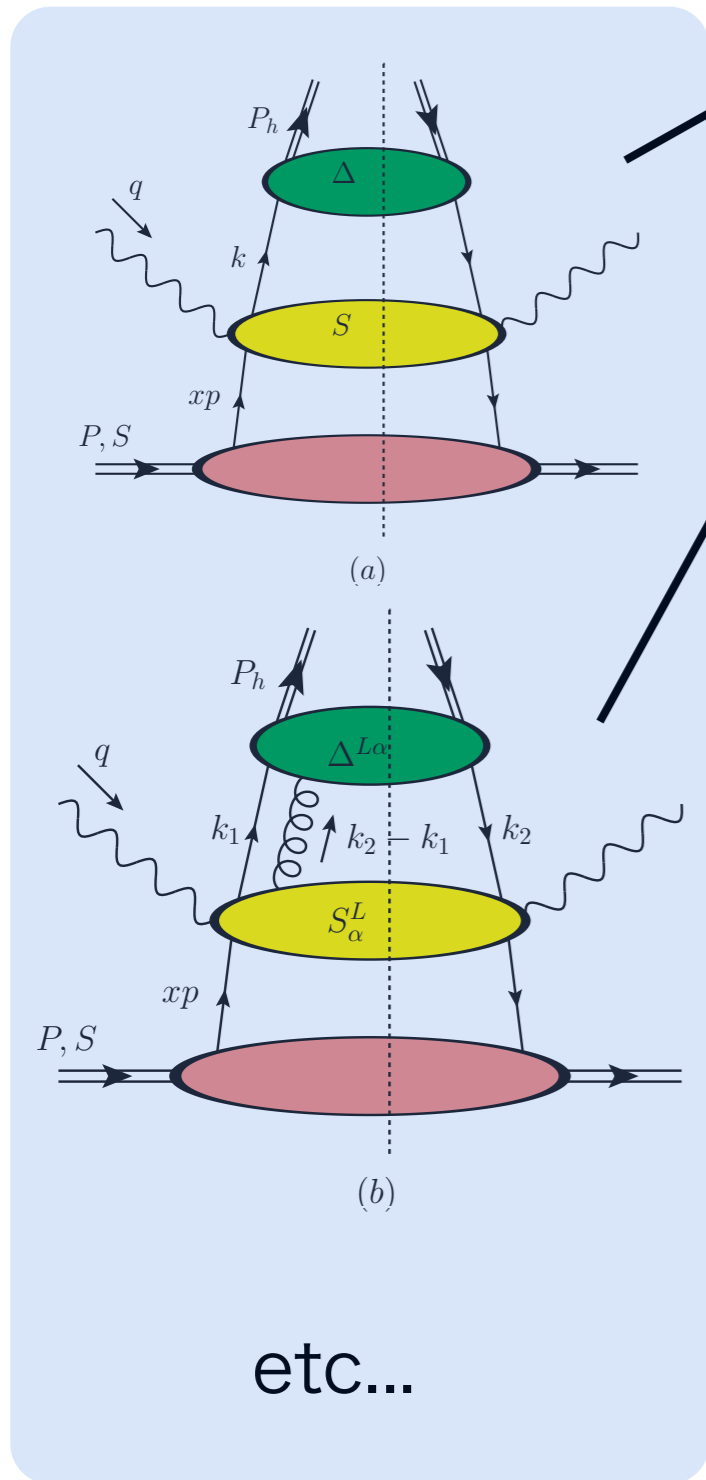
By partial integration:  $k^\beta \rightarrow -i\partial^\beta$

$\Rightarrow$  produce derivative of field operators:

$$\partial^\beta \bar{\psi}, \partial^\beta A^-, \text{ etc.}$$



# Twist-3 parts after collinear expansion



$$w^{(a)} = -i\Omega^\alpha_\beta \int \frac{dz}{z^2} \text{Tr} \left[ \Delta_\partial^{(0)\beta}(z) \frac{\partial S(k)}{\partial k^\alpha} \Big|_{\text{c.l.}} \right]$$

$$\Delta_F^{(1)\alpha} \sim \sum_X \langle p|\psi|hX\rangle \langle hX|\bar{\psi}gF^{\alpha-}|0\rangle$$

gauge-invariant

$$w^{(b)} = -i\Omega^\alpha_\beta \int \frac{dz_1}{z_1^2} \frac{dz_2}{z_2^2} \text{Tr} \left[ \Delta_F^{(1)\beta}(z_1, z_2) \frac{\partial S^L(k_1, k_2)}{\partial k_2^\alpha} \Big|_{\text{c.l.}} \right]$$

$$+ \Omega^\alpha_\beta \int \frac{dz_1}{z_1^2} \frac{dz_2}{z_2^2} \text{Tr} \left[ \Delta_A^{(1)\beta}(z_1, z_2) \left( \left( \frac{1}{z_2} - \frac{1}{z_1} \right) \frac{\partial S^L(k_1, k_2)}{\partial k_2^\alpha} \Big|_{\text{c.l.}} + S_\alpha^L(z_1, z_2) \right) \right]$$

$$- i\Omega^\alpha_\beta \int \frac{dz_1}{z_1^2} \frac{dz_2}{z_2^2} \text{Tr} \left[ \Delta_{\partial 1}^{(1)\beta}(z_1, z_2) \left( \frac{\partial S^L(k_1, k_2)}{\partial k_1^\alpha} \Big|_{\text{c.l.}} + \frac{\partial S^L(k_1, k_2)}{\partial k_2^\alpha} \Big|_{\text{c.l.}} \right) \right]$$

- Some matrix elements are gauge-dependent.

$$\Delta_\partial^{(0)\alpha} \sim \sum_X \langle p|\psi|hX\rangle \langle hX|(\partial^\alpha \bar{\psi})|0\rangle$$

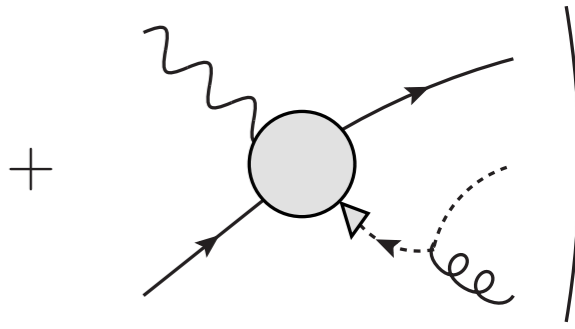
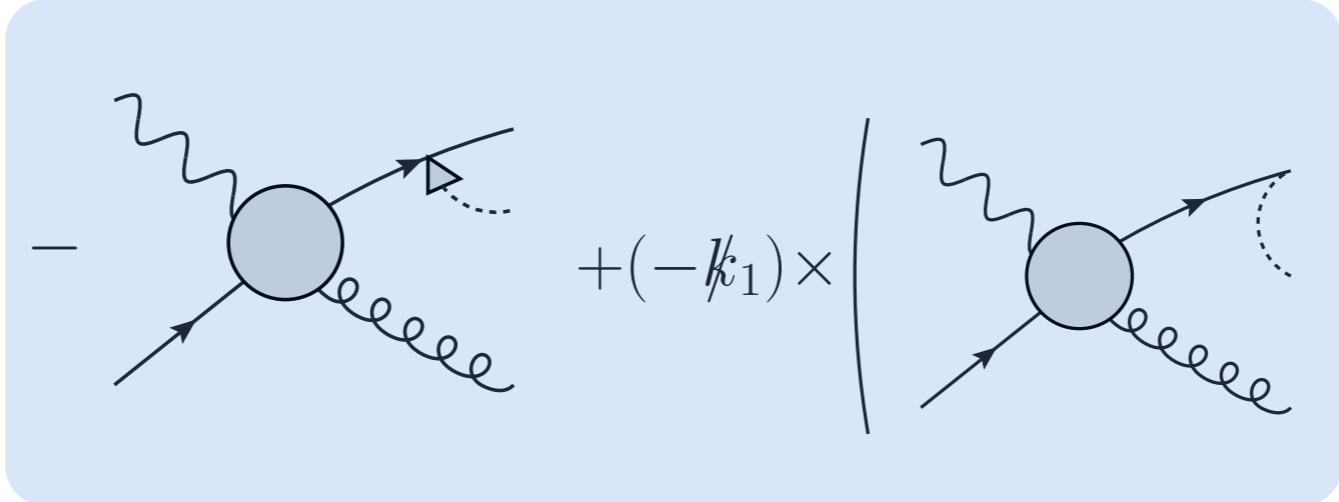
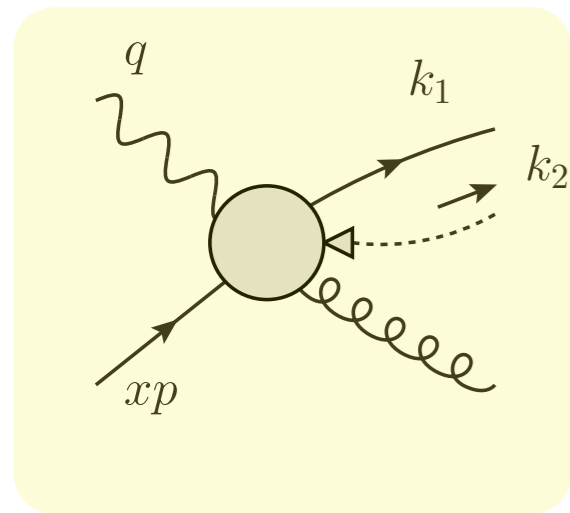
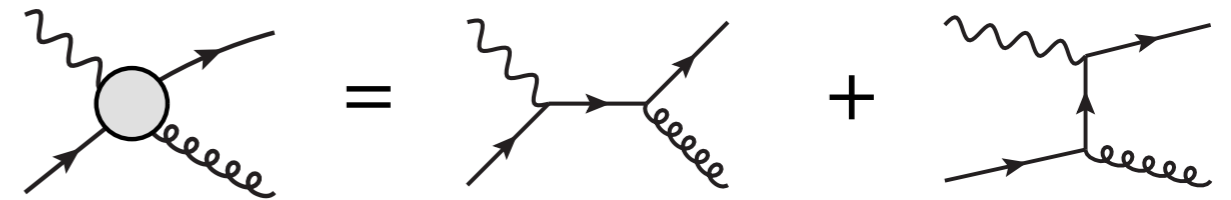
$$\Delta_A^{(1)\alpha} \sim \sum_X \langle p|\psi|hX\rangle \langle hX|\bar{\psi}gA^\alpha|0\rangle$$

$$\Delta_{\partial 1}^{(1)\alpha} \sim \sum_X \langle p|\psi|hX\rangle \langle hX|(\partial^\alpha \bar{\psi})gA^-|0\rangle$$

- Can we recast the MEs into gauge-invariant form?  
 $\Rightarrow$  Need relations among hard parts.

# Ward-Takahashi (Slavnov-Taylor) identity

Relation between amplitudes:



$k_1, (k_2 - k_1)$ : off-shell before collinear expansion

No contribution from ghost-like term in tw3 accuracy.

Relation between hard parts:

$$(k_2 - k_1)^\sigma S_\sigma^{La}(k_1, k_2) = T^a S(k_2)$$

$$\left. \frac{\partial S^{La}(k_1, k_2)}{\partial k_{1\perp}^\alpha} \right|_{\text{c.l.}} = \frac{1}{1/z_2 - 1/z_1 + i\epsilon} S_\alpha^{La}(z_1, z_2)$$

⇒ Let's recast matrix elements.

$$\left. \frac{\partial S^{La}(k_1, k_2)}{\partial k_{2\perp}^\alpha} \right|_{\text{c.l.}} = \frac{1}{1/z_2 - 1/z_1 + i\epsilon} \left[ T^a \left. \frac{\partial S(k_2)}{\partial k_{2\perp}^\alpha} \right|_{\text{c.l.}} - S_\alpha^{La}(z_1, z_2) \right]$$

etc.

# Factorization property

Resultant formula

$$w^{(a)} + w^{(b)} + w^{(c)} = 2\Omega^\alpha{}_\beta \int \frac{dz}{z^2} \text{ImTr} \left[ \Delta_{\partial}^\beta(z) \frac{\partial S(k)}{\partial k^\alpha} \Big|_{\text{c.l.}} \right]$$

$$- 2\Omega^\alpha{}_\beta \int \frac{dz_1}{z_1^2} \frac{dz_2}{z_2^2} P \left( \frac{1}{1/z_2 - 1/z_1} \right) \text{ImTr} \left[ \Delta_F^\beta(z_1, z_2) S_\alpha^L(z_1, z_2) \right]$$

gauge-dependent terms construct  
(derivative of) gauge-links:

$$\left[ D^\alpha(\lambda w) + ig \int_{-\infty}^{\lambda} d\mu F^{\alpha-}(\mu w) \right] = \partial^\alpha[\lambda w, -\infty w] + \mathcal{O}(g^2)$$

Matrix elements

$$\Delta_{\partial ij}^\alpha(z) = \frac{1}{N} \sum_X \int \frac{d\lambda}{2\pi} e^{-i\frac{\lambda}{z}} \langle 0 | [-\infty w, 0] \psi_i(0) | hX \rangle \langle hX | \bar{\psi}_j(\lambda w) [\lambda w, -\infty w] | 0 \rangle \overleftarrow{\partial}^\alpha$$

$$= \frac{M_N}{2z} (\gamma_5 \not{P} \not{h} \gamma_\lambda) \epsilon^{\lambda\alpha w P h} \tilde{e}(z)$$

$$\Delta_{Fij}^\alpha(z_1, z_2) = \frac{1}{N} \sum_X \int \frac{d\lambda}{2\pi} \frac{d\mu}{2\pi} e^{-i\frac{\lambda}{z_1}} e^{-i\mu(\frac{1}{z_2} - \frac{1}{z_1})} \langle 0 | [-\infty w, 0] \psi_i(0) | hX \rangle$$

$$\times \langle hX | \bar{\psi}_j(\lambda w) [\lambda w, \mu w] g F^{\alpha\beta}(\mu w) w_\beta [\mu w, -\infty w] | 0 \rangle$$

$$= \frac{M_N}{2z_2} (\gamma_5 \not{P} \not{h} \gamma_\lambda) \epsilon^{\lambda\alpha w P h} \hat{E}_F(z_1, z_2)$$

$\tilde{e}(z), \hat{E}_F(z_1, z_2), \dots$  : color gauge-invariant tw3 FFs.

# Cross section formula

$$\frac{d^6 \Delta\sigma}{dx_{bj} dQ^2 dz_f dq_T^2 d\phi d\chi} = \frac{\alpha_{em}^2 \alpha_S M_N}{16\pi^2 x_{bj}^2 S_{ep}^2 Q^2} \sum_{k=1, \dots, 4, 8, 9} \mathcal{A}_k \mathcal{S}_k \int_{x_{min}}^1 \frac{dx}{x} \int_{z_{min}}^1 \frac{dz}{z} \delta \left( \frac{q_T^2}{Q^2} - \left(1 - \frac{1}{\hat{x}}\right) \left(1 - \frac{1}{\hat{z}}\right) \right)$$

$$\times \sum_a e_a^2 \left[ \frac{d}{d(1/z)} \left\{ \frac{\text{Im}\tilde{e}(z)}{z} \right\} \Delta\hat{\sigma}_k^1 + \text{Im}\tilde{e}(z) \Delta\hat{\sigma}_k^2 \right.$$

$$\left. + 2 \int_z^\infty dz' \left\{ \text{Im}\hat{E}_F(z', z) \Delta\hat{\sigma}_k^3 + \text{Im}\tilde{E}_F(-z', (1/z - 1/z')^{-1}) \Delta\hat{\sigma}_k^4 \right\} \right]$$

$$\cosh \psi = \frac{2x_{bj} S_{ep}}{Q^2} - 1$$

$$\hat{x} \equiv \frac{x_{bj}}{x}$$

$$\hat{z} \equiv \frac{z_f}{z}$$

$$\mathcal{A}_k \equiv \mathcal{A}_k(\phi - \chi)$$

$$\mathcal{S}_k \equiv \sin(\Phi_S - \chi) \text{ for } k = 1, \dots, 4$$

$$\mathcal{S}_k \equiv \cos(\Phi_S - \chi) \text{ for } k = 8, 9$$

$$\mathcal{A}_1(\phi) = 1 + \cosh^2 \psi,$$

$$\mathcal{A}_2(\phi) = -2,$$

$$\mathcal{A}_3(\phi) = -\cos \phi \sinh 2\psi$$

$$\mathcal{A}_4(\phi) = \cos 2\phi \sinh^2 \psi,$$

$$\mathcal{A}_8(\phi) = -\sin \phi \sinh 2\psi$$

$$\mathcal{A}_9(\phi) = \sin 2\phi \sinh^2 \psi.$$

Five structure functions with different azimuthal dependence:

$$= \sin \Phi_S (\mathcal{F}_1 + \mathcal{F}_2 \cos \phi + \mathcal{F}_3 \cos 2\phi) + \cos \Phi_S (\mathcal{F}_4 \sin \phi + \mathcal{F}_5 \sin 2\phi).$$

$$= \sin(\phi_h - \phi_S) F^{\sin(\phi_h - \phi_S)} + \sin(2\phi_h - \phi_S) F^{\sin(2\phi_h - \phi_S)} + \sin \phi_S F^{\sin \phi_S}$$

$$+ \sin(3\phi_h - \phi_S) F^{\sin(3\phi_h - \phi_S)} + \sin(\phi_h + \phi_S) F^{\sin(\phi_h + \phi_S)},$$

: The same as  
TMD approach.

# Summary

## “Non-pole” Contribution of Twist-3 Fragmentation Functions to SSA

- We have established collinear twist-3 formalism in Feynman gauge.

Ward-Takahashi identity  $\Rightarrow$  MEs are combined into CGI one .

- Derivation of the cross section for SSA in SIDIS.

Contribution to five azimuthal asymmetries.

EIC would give an opportunity to determine these functions.