

# Diffractive final states at ZEUS

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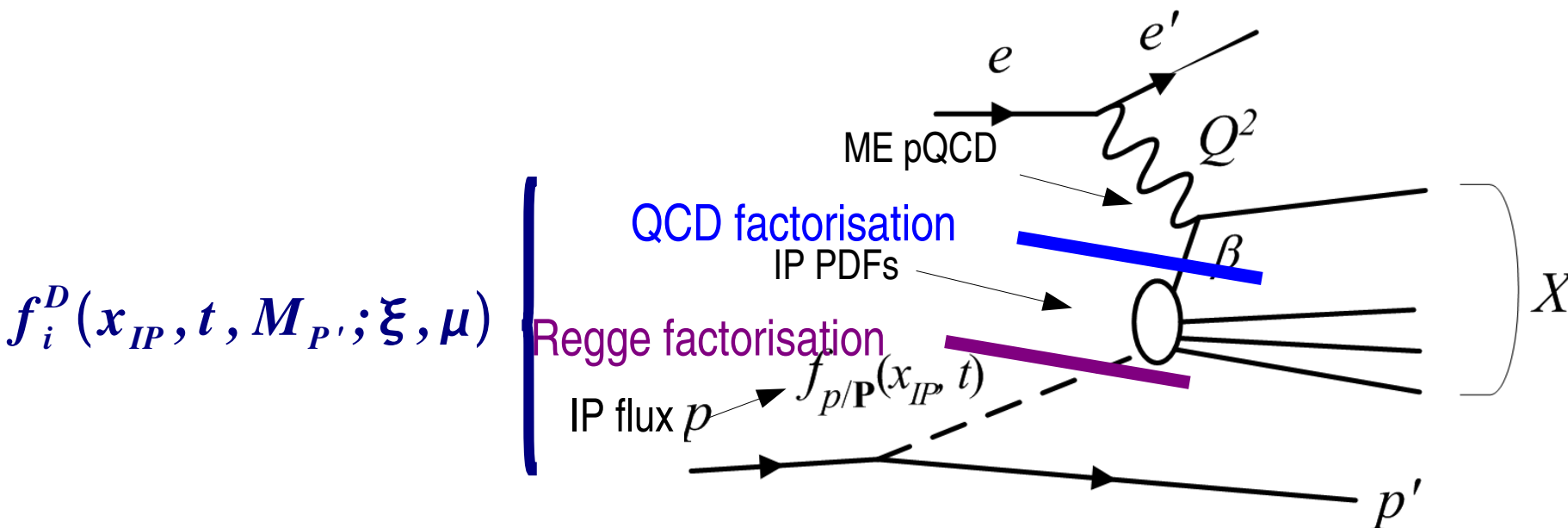


# Outlook

- Introduction
- Diffractive final states at ZEUS
  - Dijets in diffractive DIS
  - Dijets in diffractive  $\gamma p$
  - $D^*$  in diffractive  $\gamma p$
- Conclusions and plans

# QCD factorisation in diffraction

$$d\sigma = \sum_i \int d\xi f_i^D(x_{IP}, t, M_{P'}; \xi, \mu) \cdot d\hat{\sigma} \quad \text{+ higher-twist terms (non-leading powers of } 1/Q)$$

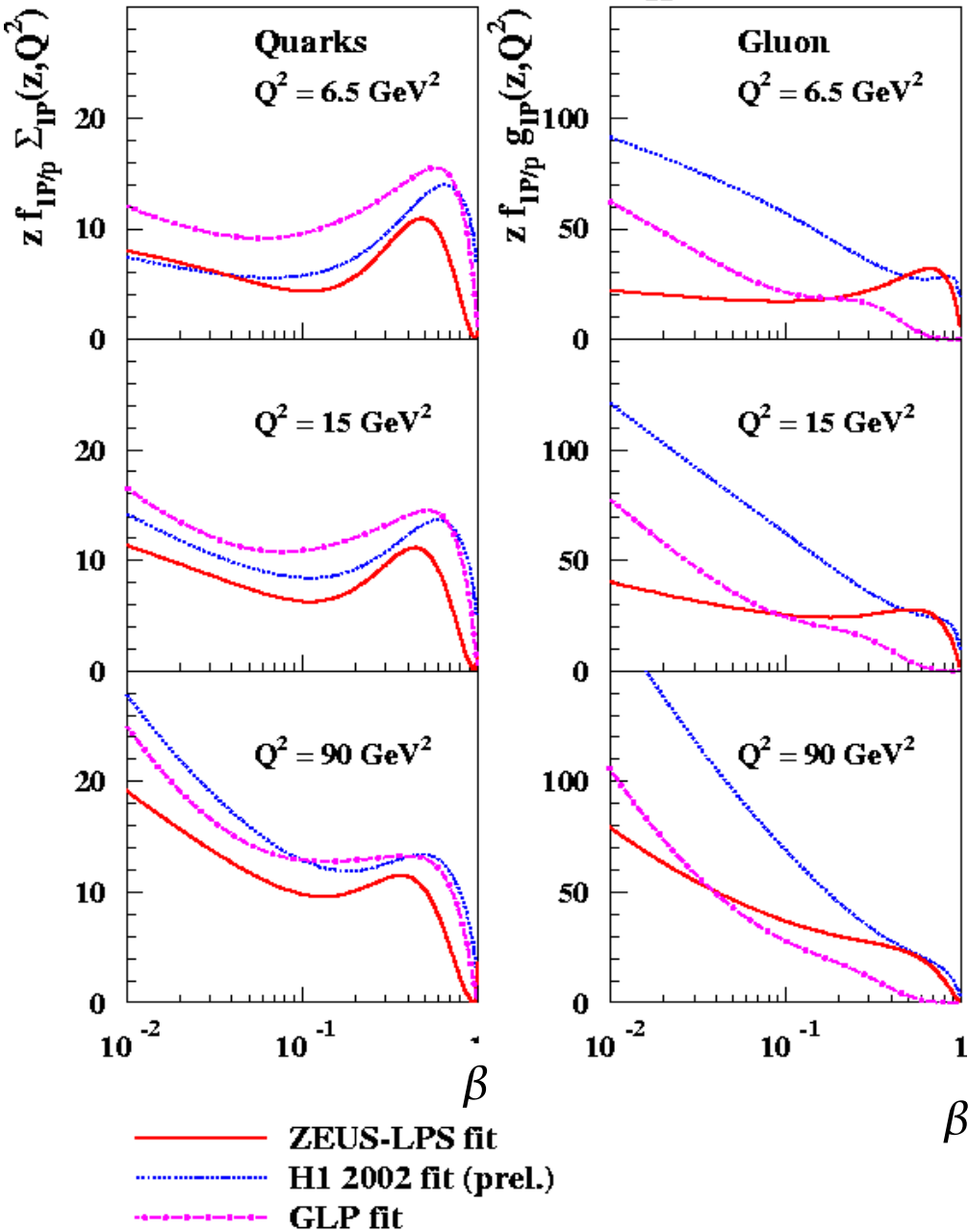


The cross section can be calculated as convolution of a process-dependent scattering amplitude and some process-independent parton densities (dPDFs) (*Phys.Rev. D57, 3051(1998); erratum-ibid. D61, 019902 (2000)*).

- Valid under certain assumptions (e.g., sufficiently large  $Q^2$  at fixed  $x_{IP}, \beta, t$ )
- Additional factorisation (**Regge factorisation**) not needed (either justified) by theory but useful for the calculation.

# The diffractive PDFs

Diffractive PDFs ( $x_{IP}=0.01$ )



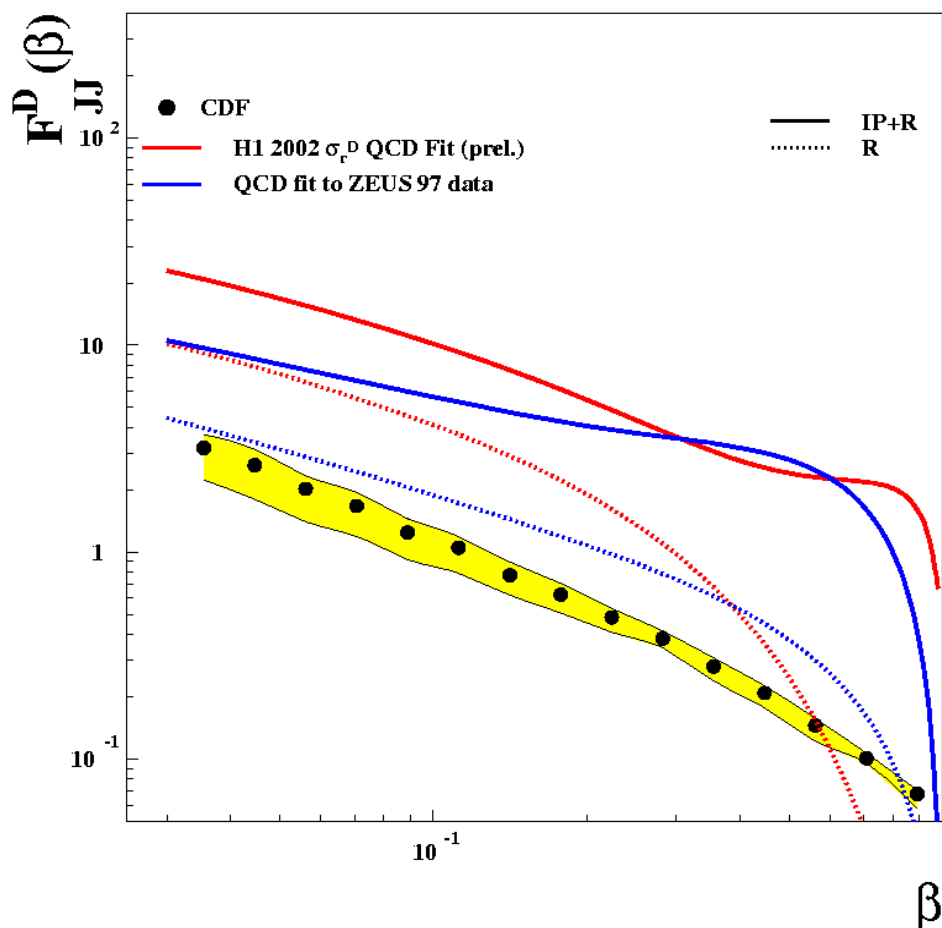
H1 fit 2002 (prelim.) -> H1 1997 data, LRG  
 ZEUS LPS -> ZEUS 1997 data, LPS  
 (+diffr. charm)  
 GLP fit -> ZEUS 1998-99 data, Mx

Differences in the dPDFs associated to:

- ◆ Differences in the data sets
- ◆ Poor constraint of the inclusive data sets on the gluon density (especially at high  $\beta$ )

# QCD factorisation breaking

Diffractive dijet production at CDF



Trying to use these dPDF for diffractive final states at TeVatron. NLO calculation using the HERA-dPDFs

**QCD factorisation theorem is violated.**

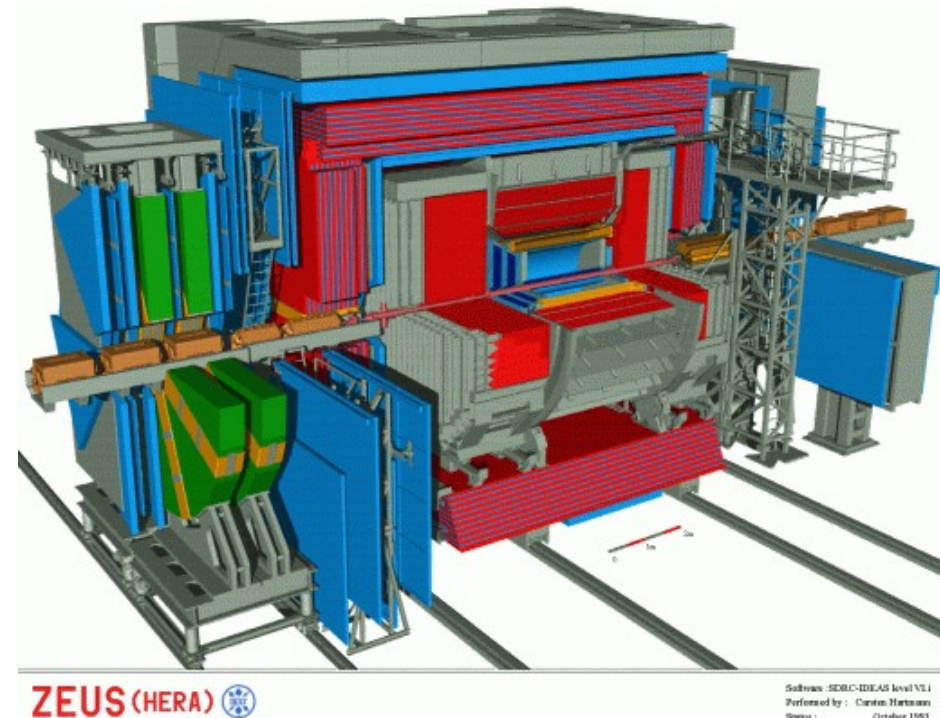
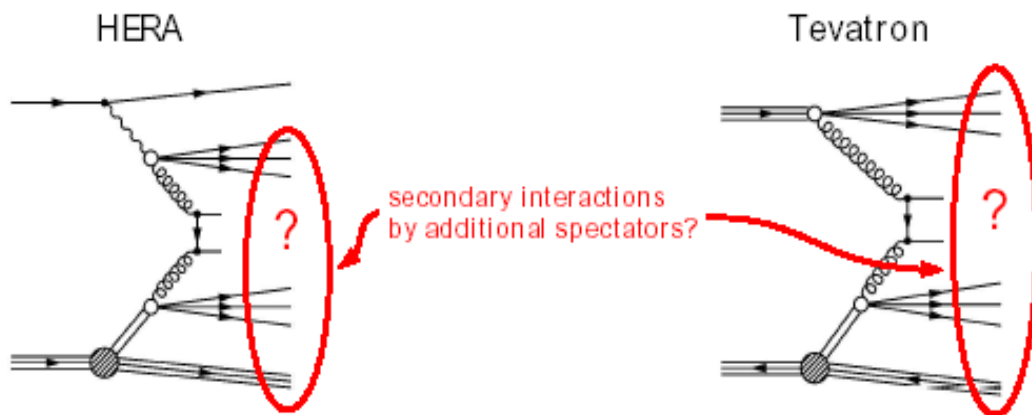
Data compared to the predictions are suppressed of a factor  $7 \div 10$

Same effect for inclusive diffraction or other diffr. final states at  $p \bar{p}$  collider.

**Secondary Interactions spoil the LRG**

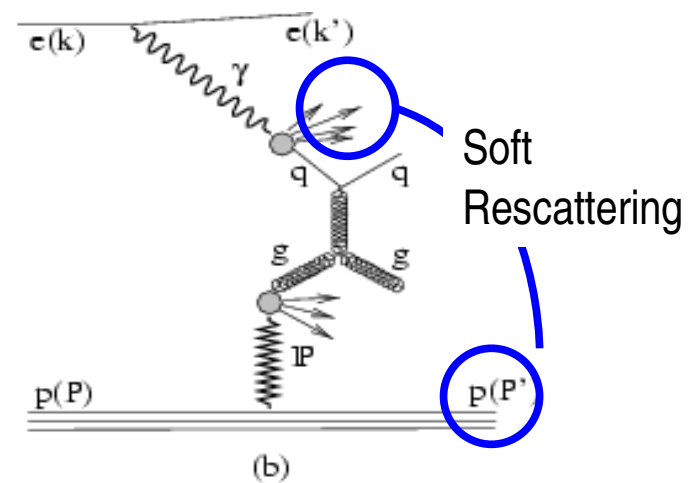
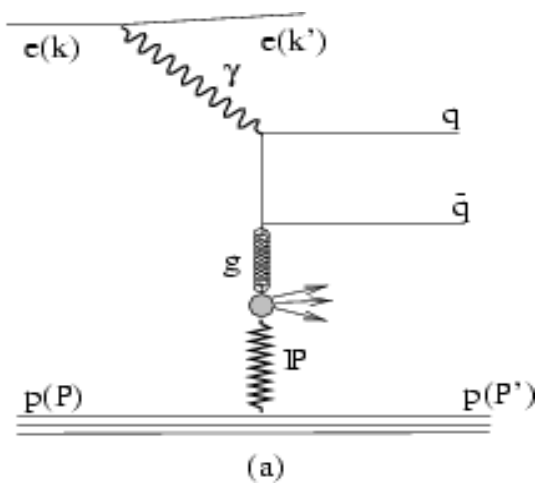
Understanding them and defining a precise framework in which QCD factorisation theorem is valid is fundamental for diffractive studies at TeVatron and LHC

Same effect should be visible at HERA in  $\gamma P$   
(large size  $\gamma^* \sim$  hadron)



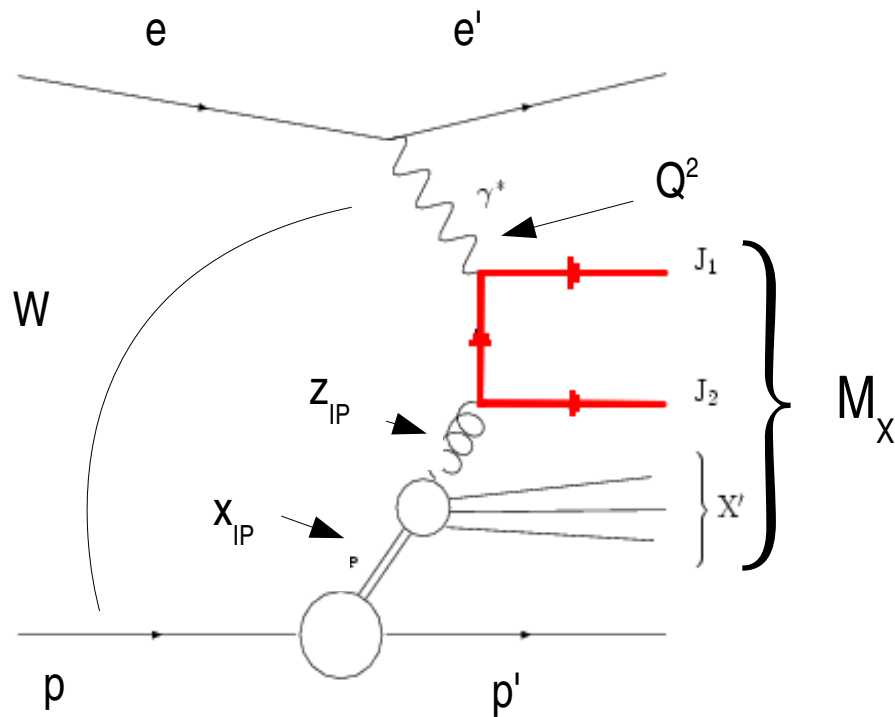
**Direct**  $\gamma^*$  (small  $\gamma^*$ ) couples directly to parton  
QCD factorisation is expected to **hold**

**Resolved**  $\gamma^*$  (large  $\gamma^*$ ) behaves like a hadron  
QCD factorisation is expected to **break**



Soft Rescattering

# Dijets in dDIS



$$Q^2 = -q^2 = -(e-e')^2, \quad W^2 = (p+q)^2$$

$$x_{IP} = \frac{Q^2 + M_X^2}{Q^2 + W^2}, \quad \beta = \frac{x}{x_{IP}} = \frac{Q^2}{Q^2 + M_X^2}$$

$$z_{IP} = \frac{Q^2 + M_{12}^2}{Q^2 + M_X^2}, \quad x_\gamma = \frac{\sum_{\text{dijets}} E - p_z}{\sum_X E - p_z}$$

This process provides:

- ✓ Hard scale ( $Q^2$  &  $E_T$ )  $\longrightarrow$  perturbative QCD,  $Q^2$  evolution of PDF
- ✓ Strong sensitivity to gluon content of dPDFs

From this analysis we can obtain

**Test of QCD factorisation**  
**Constraints on dPDFs**

## Kinematic region of definition of the cross section

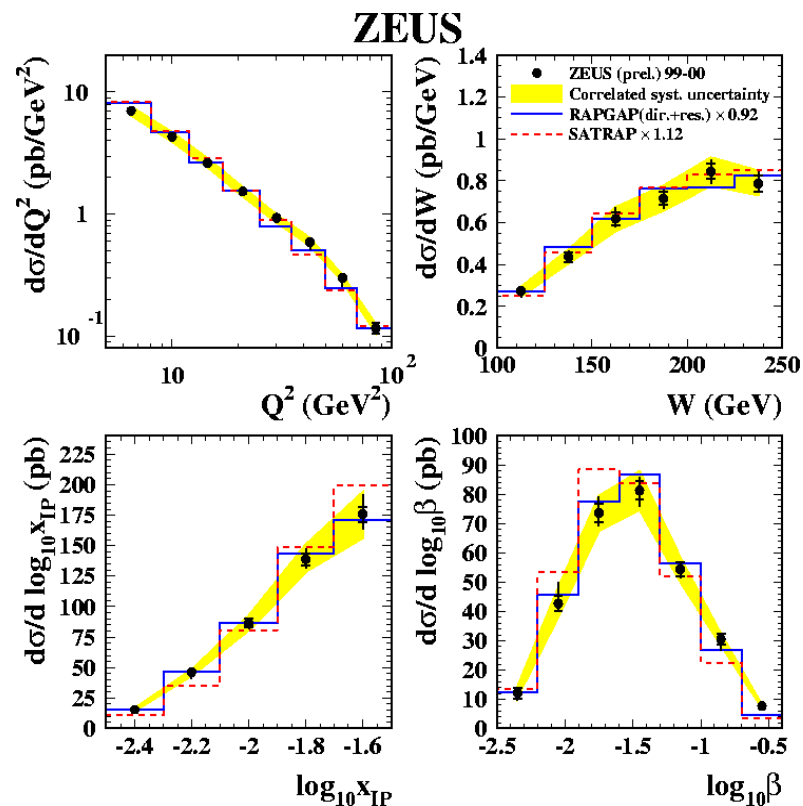
- $5 < Q^2 < 100 \text{ GeV}^2$
- $100 < W < 250 \text{ GeV}$
- $N_{\text{jets}} \geq 2$  ( $K_T$  algorithm run on Tracks+Clusters Objects

(\*  $\equiv \gamma$ -proton c.m.s))

- $E_{T,\text{jet1}}^* > 5 \text{ GeV}, E_{T,\text{jet2}}^* > 4 \text{ GeV}$
- $-3.5 < \eta_{\text{jets}}^* < 0.0$
- $x_{\text{IP}} < 0.03$

### Diffraction selections:

- $E_{\text{FPC}} < 1 \text{ GeV}$
- $\eta$  of most forward track/cluster with  $E > 400 \text{ MeV}$   $\eta_{\text{MAX}} < 2.8$
- $x_{\text{IP}} < 0.03$



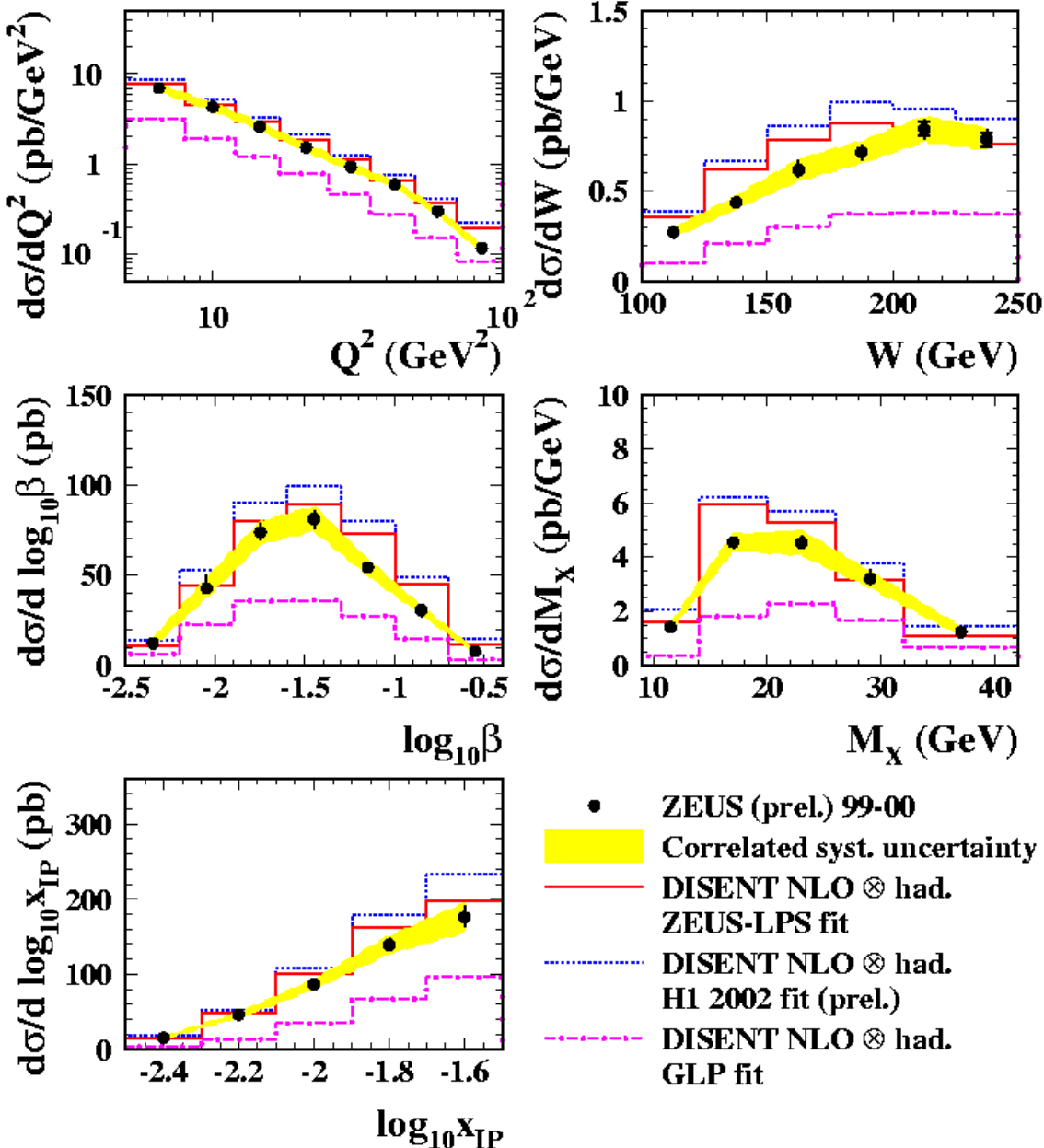
- Proton dissociation background subtracted ( $16 \pm 4\%$ )
- Good description provided by LO MC





# DATA vs NLO

## ZEUS

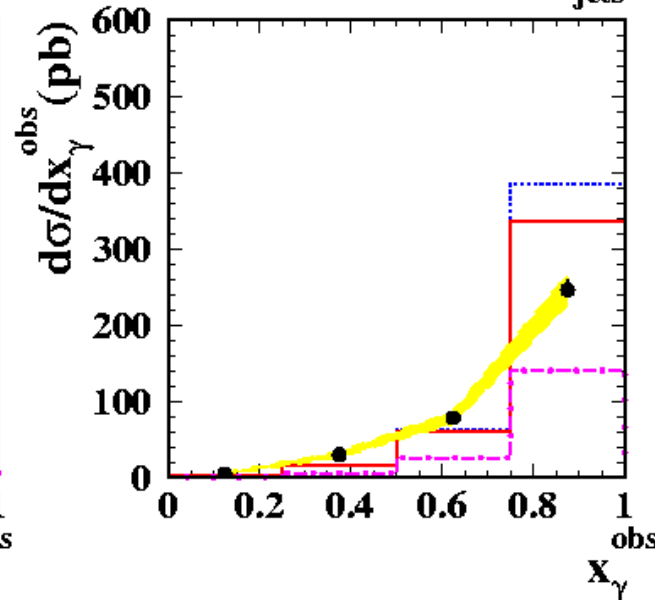
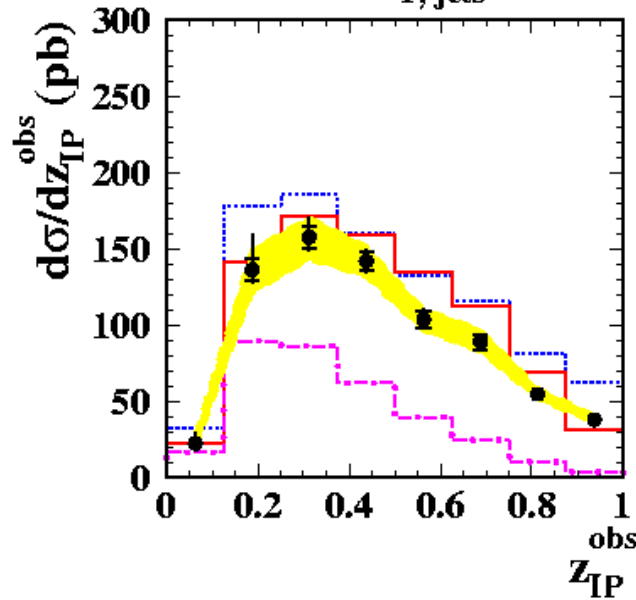
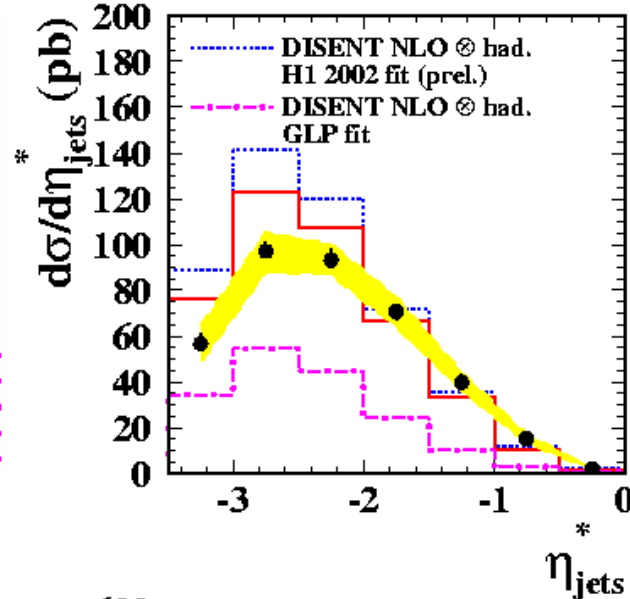
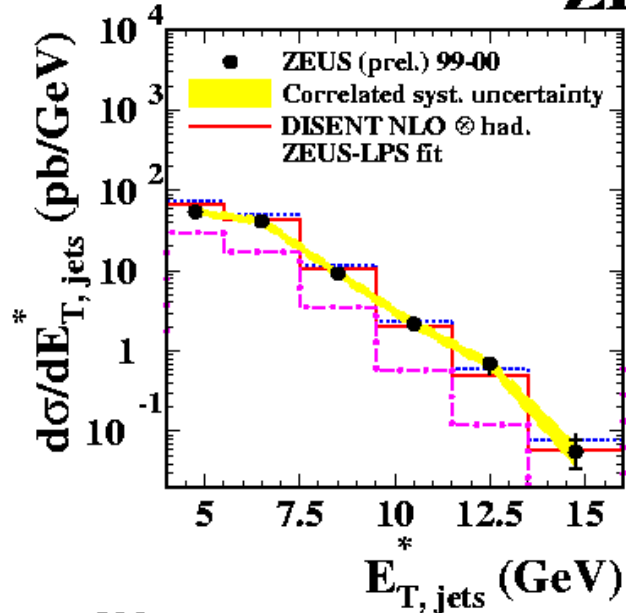


- Three different dPDFs used in the NLO calculations (DISENT program)
- $\alpha_S(M_z^2) = 0.1085$  for H1fit2002
- $\alpha_S(M_z^2) = 0.1180$  for ZEUS-LPS and GLP
- $\mu_R^2 = (E_{T,jet1}^*)^2$
- $\mu_F^2 = 40 \text{ GeV}^2$  (aver. jet  $p_T$ )
- IP-flux integrated up to  $|t| = 10 \text{ GeV}^2$

Scale uncertainties not displayed but large ( $\sim 20\%$ ).  
 Uncertainty due to dPDFs not evaluated



# ZEUS



Reasonable description of data (slightly overestimating) by H1 fit2002 and ZEUS-LPS calculations

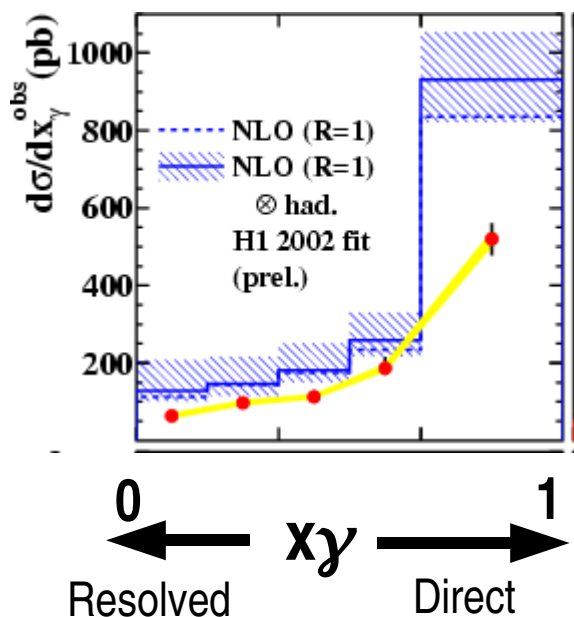
Significant underestimation by GLP fit

Scale uncertainties not displayed but large (~20%). Uncertainty due to dPDFs not evaluated

# Dijets in diffractive PhP

- Same motivations as for DIS case: hard scale ( $E_T$ ), gluon sensitivity.
- Factorisation breaking expected due to soft interactions between resolved photon and proton.
- Event with quasi-real  $\gamma$  which couples directly to the parton should not be suppressed.
- Not a clear way to separate direct from resolved processes. Direct processes concentrate at high  $x\gamma$  ( $\sim 1$ ).

$$x_\gamma = \frac{\sum_{\text{dijets}} E - p_z}{\sum_X E - p_z}$$

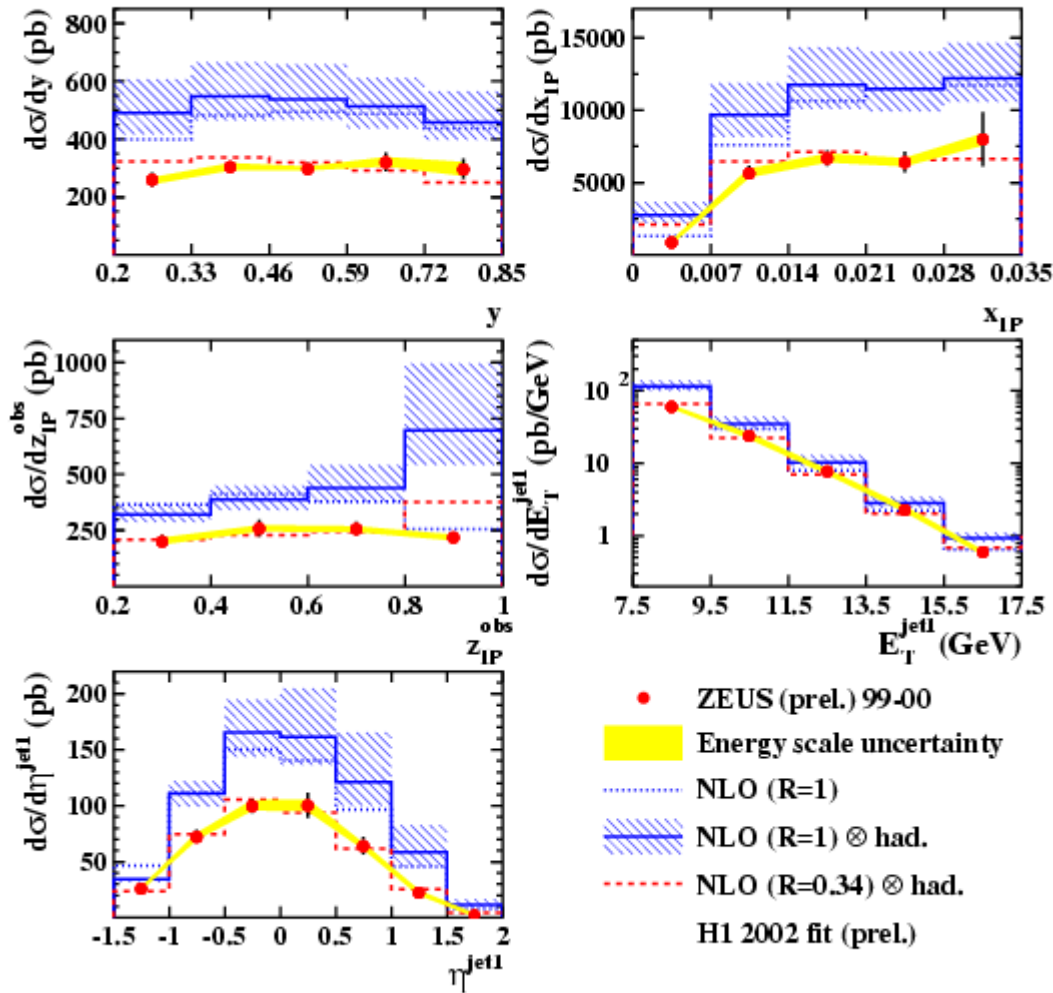


- $Q^2 < 1 \text{ GeV}^2$
- $0.2 < y < 0.85$
- $N_{\text{jets}} \geq 2$  (KT algorithm in LAB frame)
- $E_{T,\text{jet1}}^{\text{LAB}} > 7.5 \text{ GeV}, E_{T,\text{jet2}}^{\text{LAB}} > 6.5 \text{ GeV}$
- $-1.5 < \eta_{\text{jet}}^{\text{LAB}} < 2.0$
- $x_{\text{IP}} < 0.035$

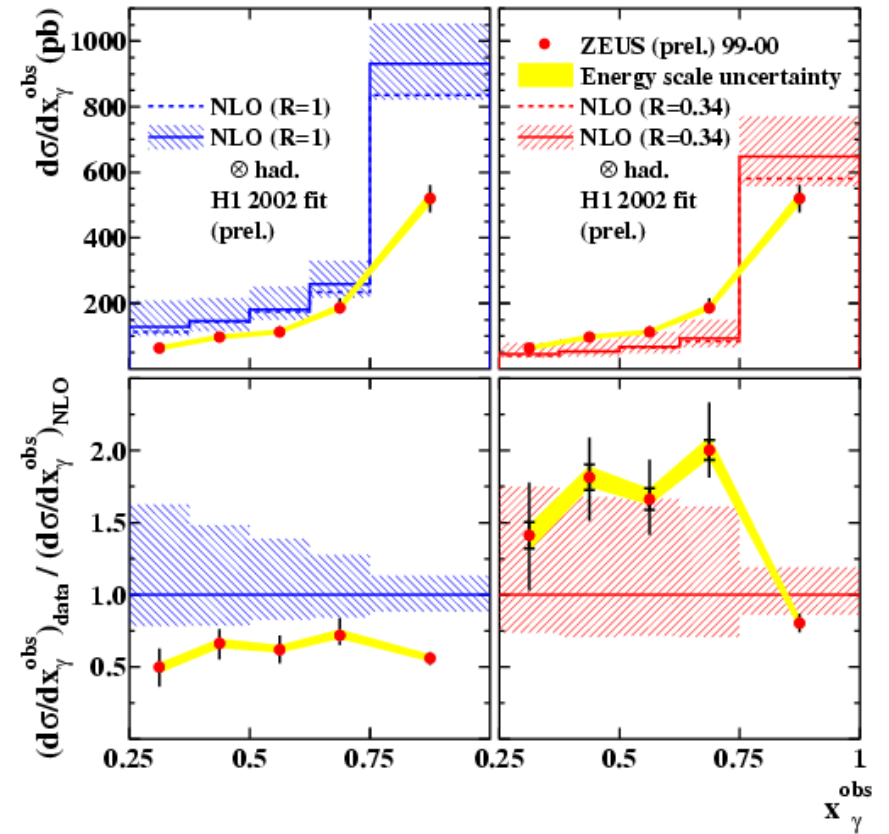
Diffractive selection carried out through LRG

$$\eta_{\text{MAX}} < 3.0$$

### ZEUS



### ZEUS



Resolved suppression factor estimated = 0.34 in  
*Kaidalov et al., Phys.Lett. B567 (2003),61*

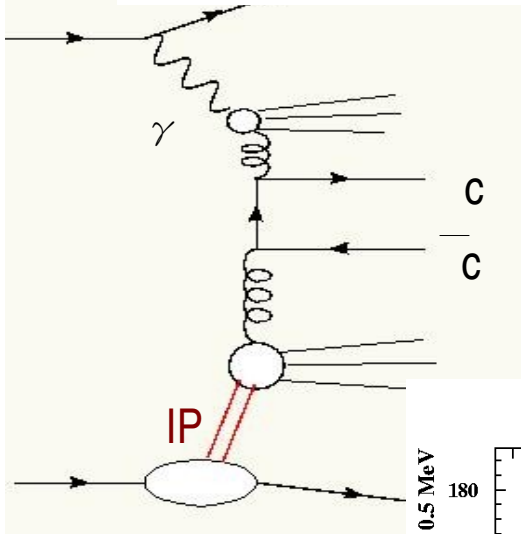
NLO calculation performed with procedure similar to dijets in diffractive DIS

H1 fit 2002 (prel.) used as input dPDFs

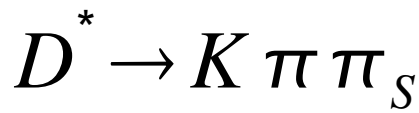
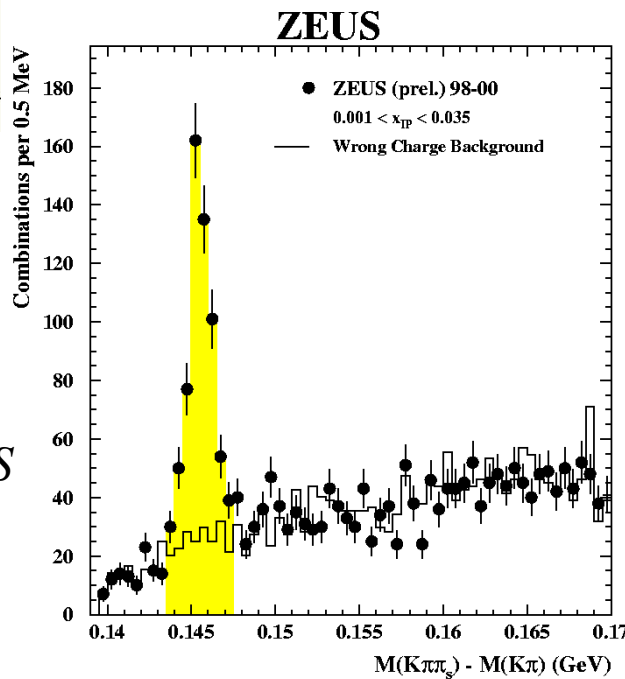
Apparent need for a global suppression of NLO prediction (both low and high  $x_\gamma$ )

Large uncertainties again

# D\*(2010) in diffractive PhP



Hard scale from charm mass



**454 +/- 30 diffr.D\* cand.**

Kinematic region of cross section definition:

- ◆  $Q^2 < 1 \text{ GeV}^2$
- ◆  $130 < W < 300 \text{ GeV}$
- ◆  $p_{\perp}(D^*) > 1.9 \text{ GeV}$
- ◆  $|\eta(D^*)| < 1.6$
- ◆  $x_{IP} < 0.035$

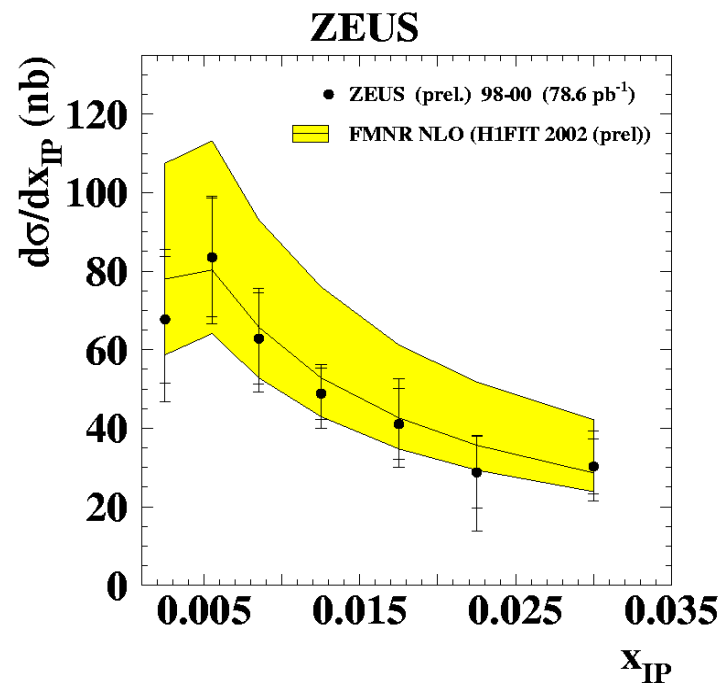
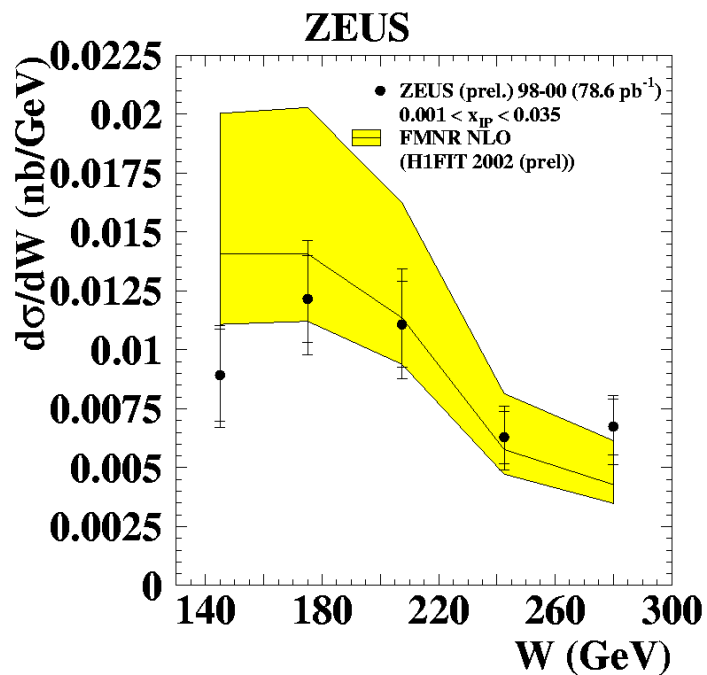
Diffractive selection

- $E_{FPC} < 1.5 \text{ GeV}$
- $\eta_{\text{max}} < 3.0$
- $x_{IP} < 0.035$

## NLO calculation performed with the program FMNR using H1fit2002 (prel.) dPDFs :

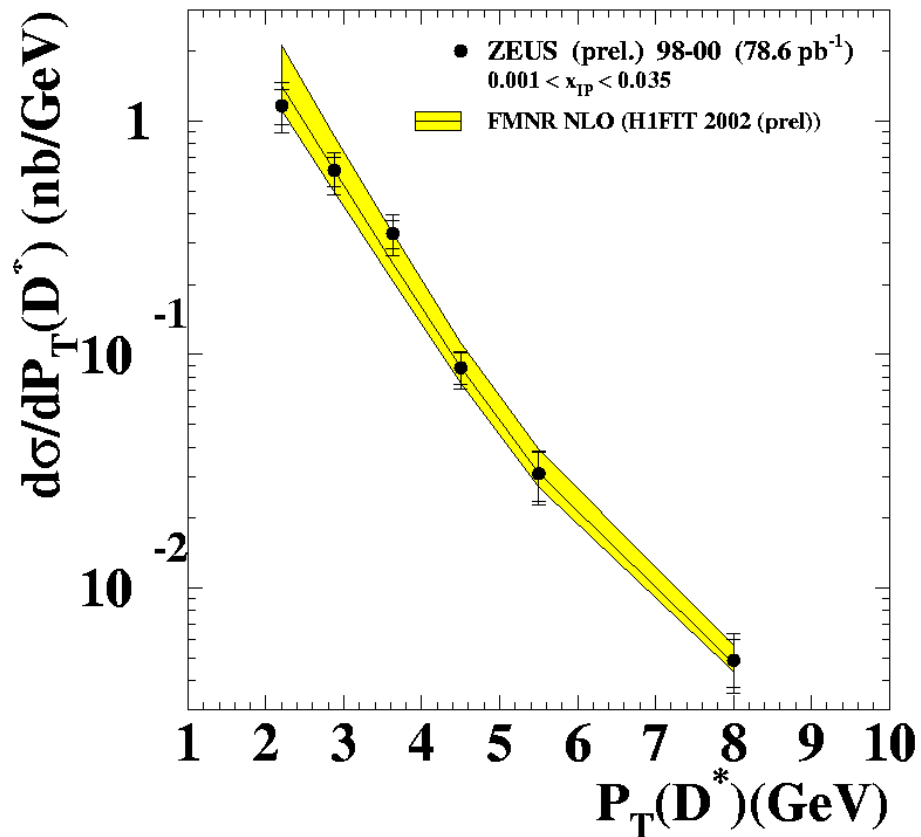
### Settings:

- $m_c = 1.5 \text{ GeV} (\pm 0.2 \text{ GeV})$
- $\Lambda_5 = 0.2 \text{ GeV}$
- dPDFs: H1fit2002 (prel.)
- $\gamma$  PDFs: AFG
- Renormalisation and factorisation scale:  $\mu_r = \mu_f = \sqrt{(m_c^2 + p_T^2)}$
- Petersen fragmentation function with  $\varepsilon = 0.035$
- Fragmentation fraction: 0.235

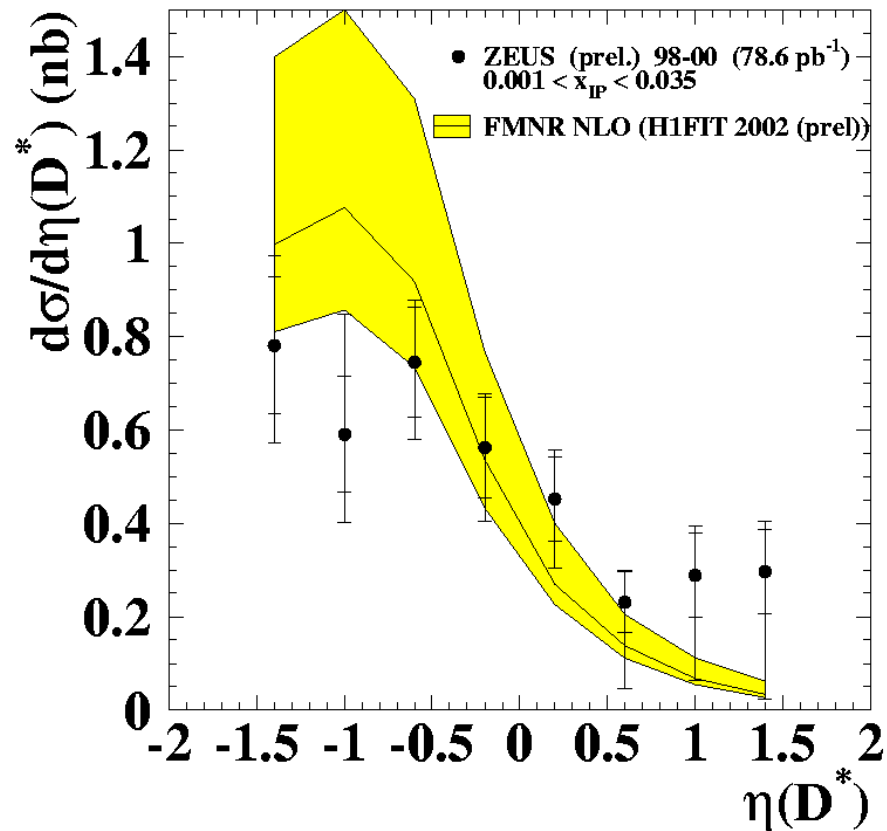




ZEUS



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- Data and NLO are consistent within the errors
- Uncertainties are too large for strong any strong statement

# Summary

- ★ Understanding diffractive PDFs and soft rescattering are necessary steps for any diffractive study at LHC.
- ★ Big steps forward in the last years: dPDFs extracted and NLO predictions performed.
- ➔ Big differences in data sets and large uncertainties in theoretical calculations affect these predictions.
- ➔ Need for a better understanding of data sets and for more precise calculations before clearing definitely the issue of QCD factorisation in diffraction.





# Plans

- Efforts on understanding the differences between the data sets extracted with different experimental methods are ongoing at ZEUS.
- Brand new dPDFs from H1 available, they will be tested on ZEUS data in the near future.