



Status of the CMS Phase 1 Pixel Upgrade

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on behalf of the CMS Tracker Collaboration

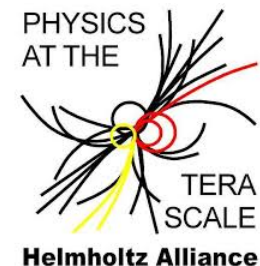
Technology and Instrumentation in Particle Physics (TIPP'14)

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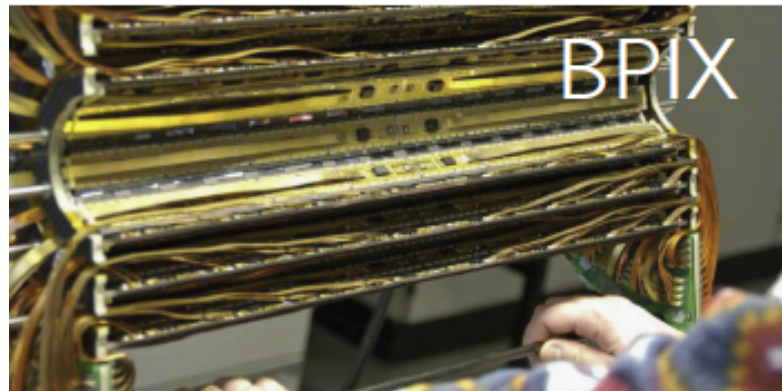
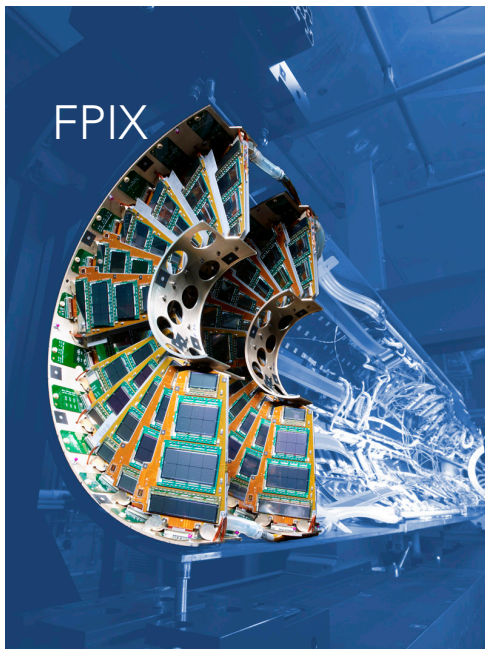
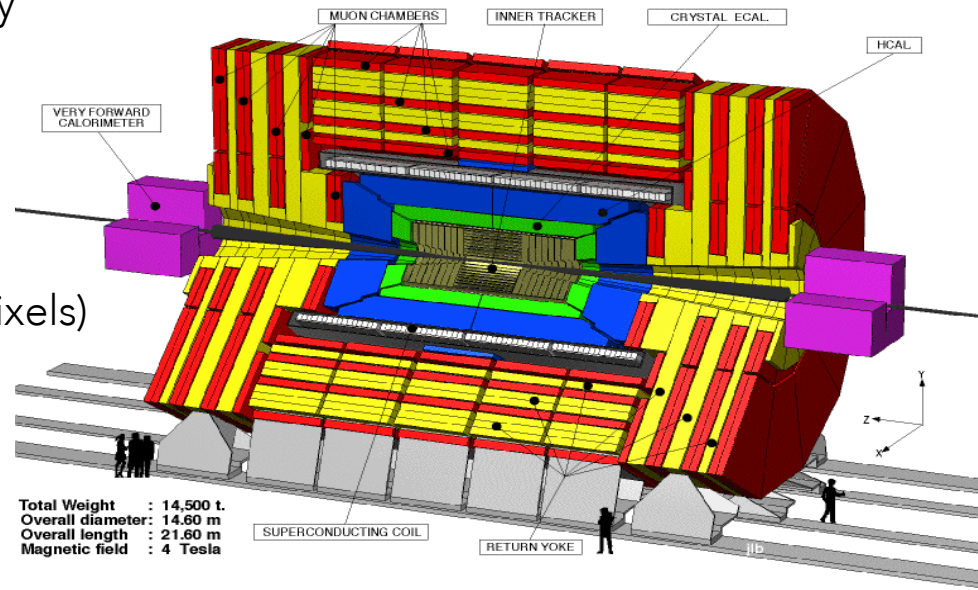
- The CMS Pixel Detector & Motivations for the Upgrade
- Module Production Steps (in Hamburg)
- Qualification of Single-Chip Modules
 - DESY Test Beam & X-ray Studies
- General Status and Outlook

CMS Pixel Detector

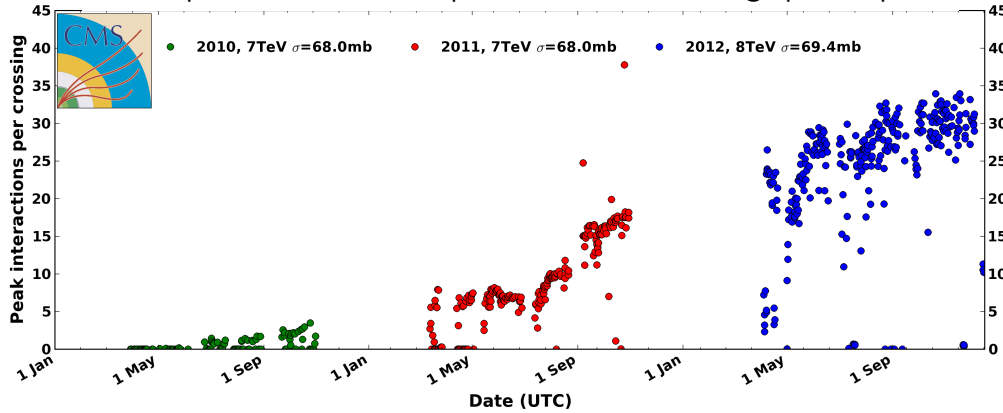
- Innermost component of the CMS tracking system
- Crucial role in full tracking, primary/secondary vertexing, b-tagging, e/ γ separation,...

Presently installed system:

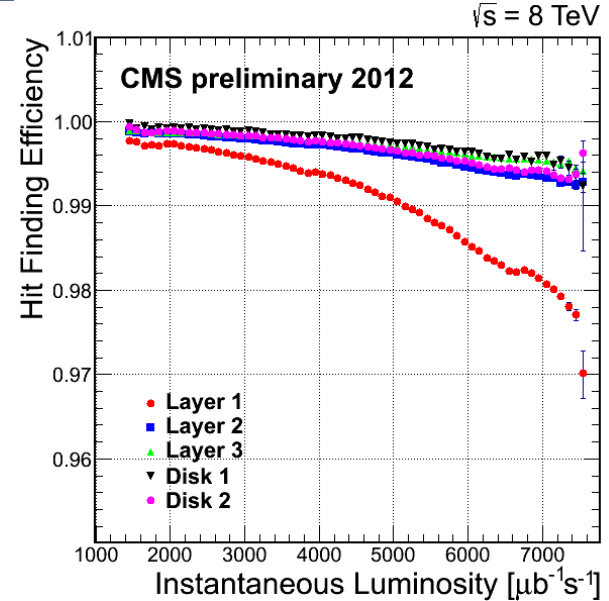
- 3 layers in the barrel (BPIX, 48 M pixels)
- 2+2 disks in the forward region (FPIC, 18 M pixels)
- Resolution in $r\phi \sim 9 \mu\text{m}$ and in $z \sim 20 \mu\text{m}$



Multiple interactions per bunch crossing (pile-up)



- Present pixel tracker designed for maximum $L_{inst}=1 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$
 → expected in 2015!



- Likely to reach $L_{inst}=2 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$ before 2018 → Expected inefficiency for Layer 1:
 bunch crossing frequency 25 ns: **16%**
 bunch crossing frequency 50 ns: **50%**

Data loss mainly due to:

- Overflows of buffers in the Readout Chips (ROC)
- Saturation of data links

Degradation of spatial resolution due to radiation damage in the sensor

Replace entire pixel detector in winter-shutdown 2016/17

The Upgrades

One layer more with respect to the current detector (BPIX and FPIX)

→ Improved efficiency and resolution

Increased buffers on the readout chip

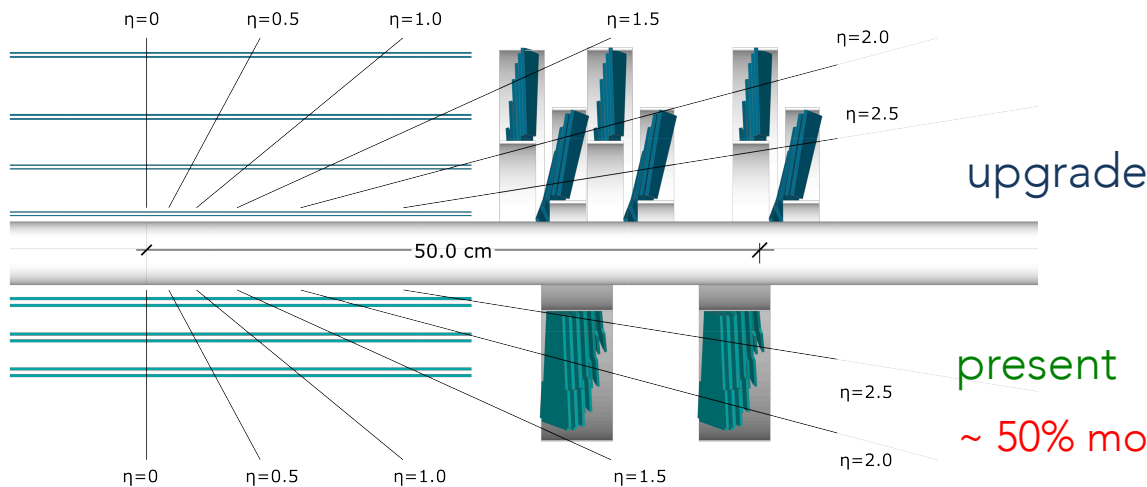
→ Improved efficiency at high fluxes

Digital output from the readout chip

→ Gain in communication speed

CO2 cooling, new cabling and powering scheme (DC-DC)

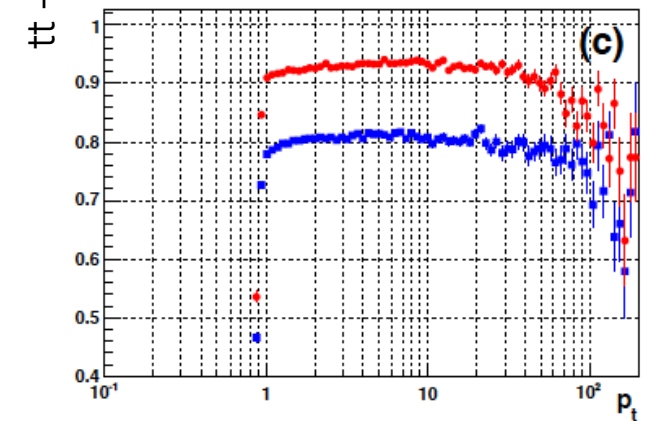
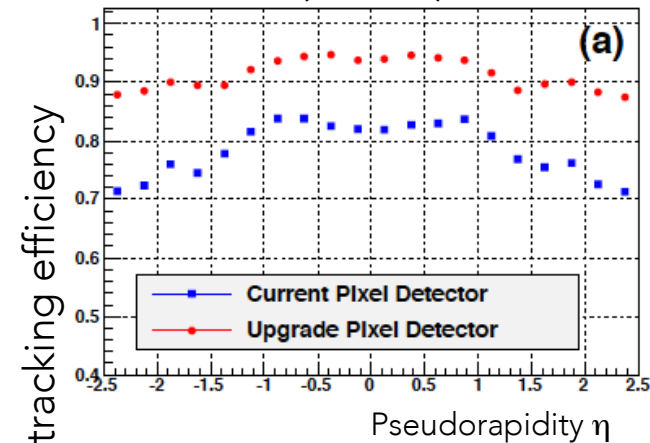
→ Less material

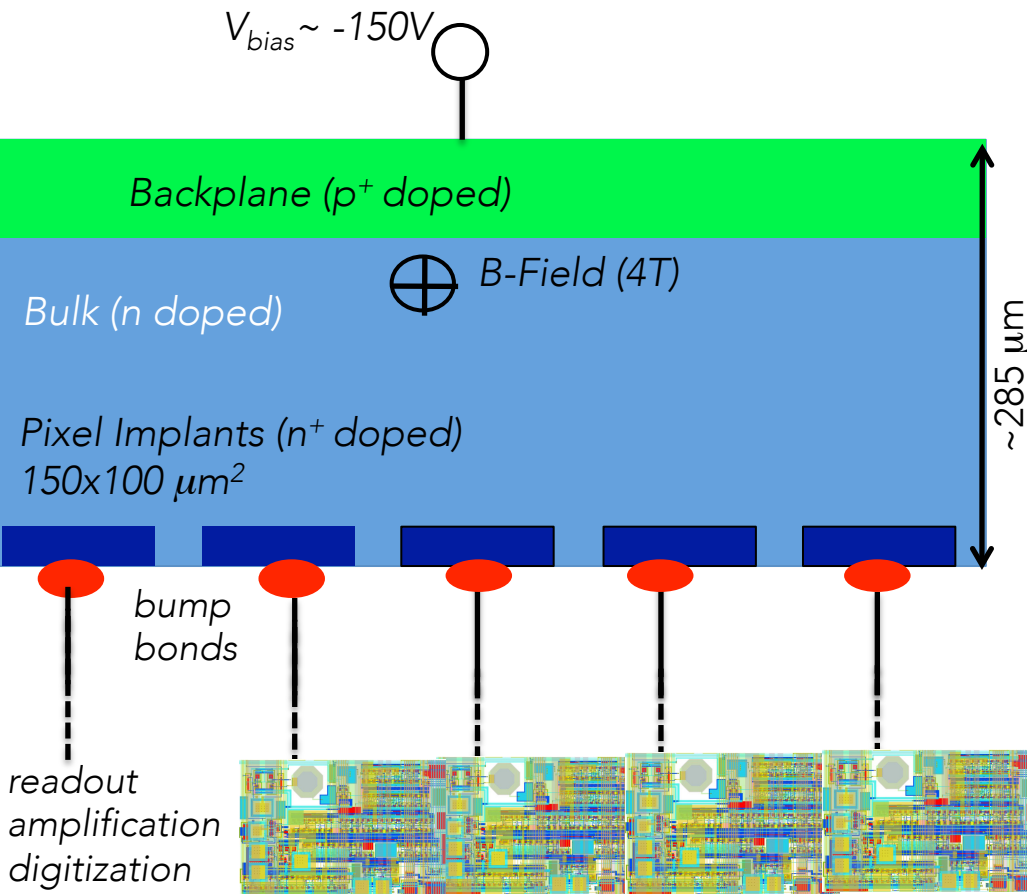


present

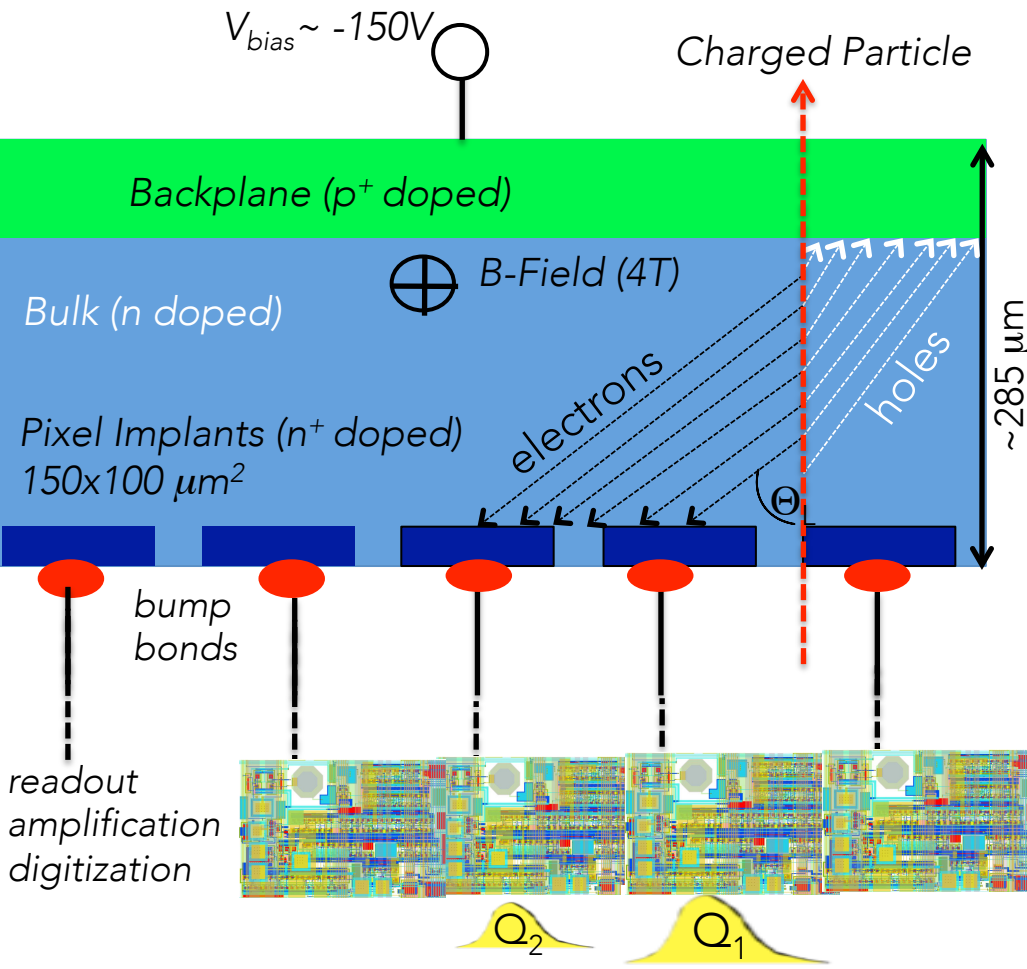
~ 50% more pixels!

$L=2 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$ @ 25ns
(PU=50)





- "n⁺ in n" design
- Pixel size 150x100 μm^2
 - 285 μm thick
 - Each pixel is bump bonded to it's readout

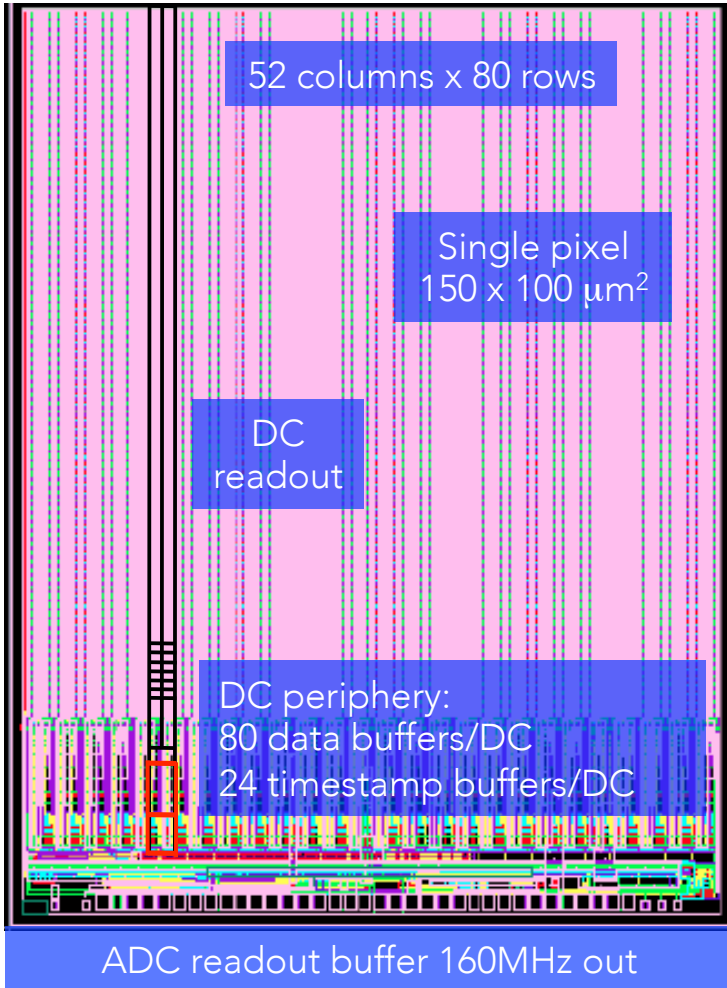


- Signal charge is deflected by magnetic field and shared among pixels $\sim \Theta_L = 18^\circ$
- Analog charge interpolation among pixels "center of gravity"
→ improves resolution
- Sensor shown to be sufficiently radiation hard → **design kept for upgrade**



ROC Upgrade

7.8mm



9.8mm

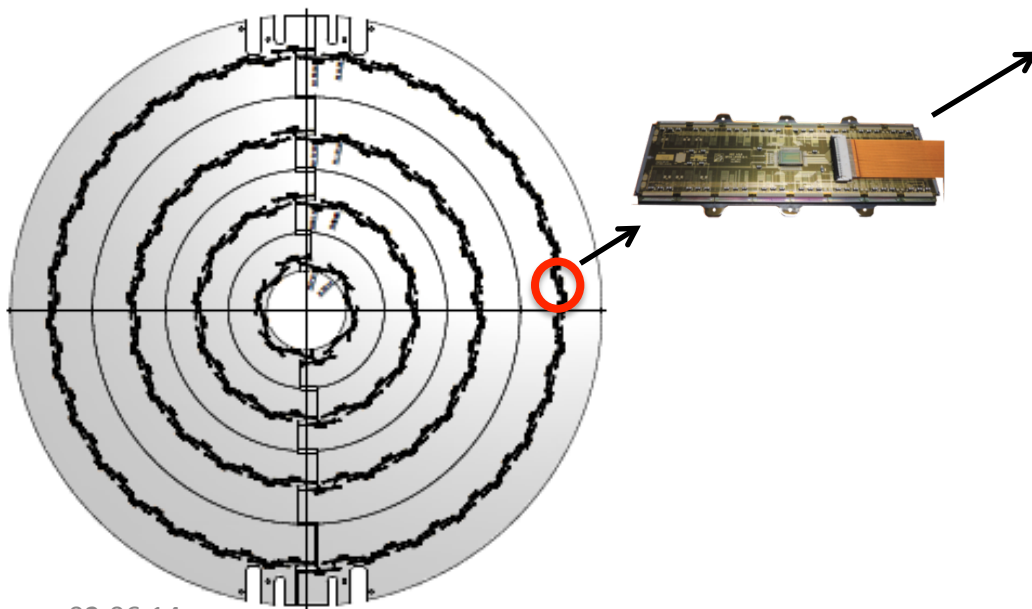
- 4160 pixels, bump-bonded to sensor
- Double columns (DC) operate independently
- Zero suppressed charge stored in periphery buffers
- Trigger verifies hits are readout after external token passage

Upgrade improvements:

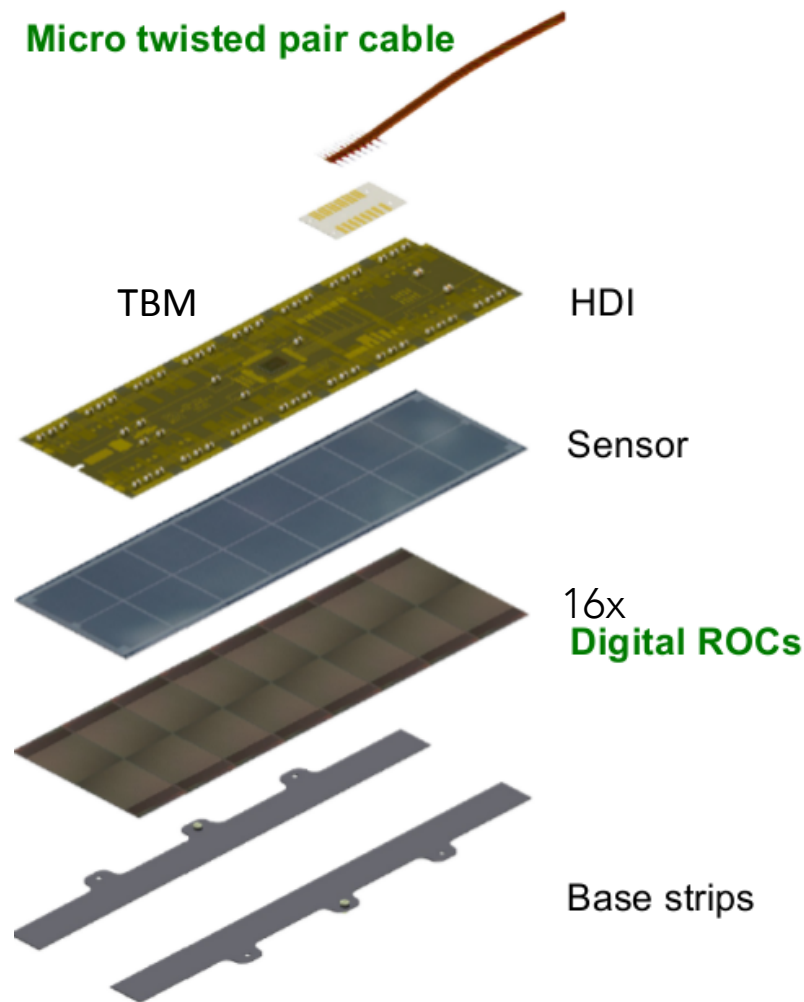
- Increased data and timestamp buffers
- Additional readout buffer stage
- Additional ADC, increased readout link speed
40MHz analog \rightarrow 160MHz digital
- Enhanced analog performance:
 \rightarrow Charge threshold reduced: 3.5 ke to 1.5 ke

CMS Barrel Pixel Modules

Layer	Radius [mm]	# Modules	Production Centers
1	29	96	CH (PSI)
2	68	224	CH (PSI)
3	109	352	CERN, IT, TW, FI
4	160	512	GER. KIT/Aachen + DESY/UHH

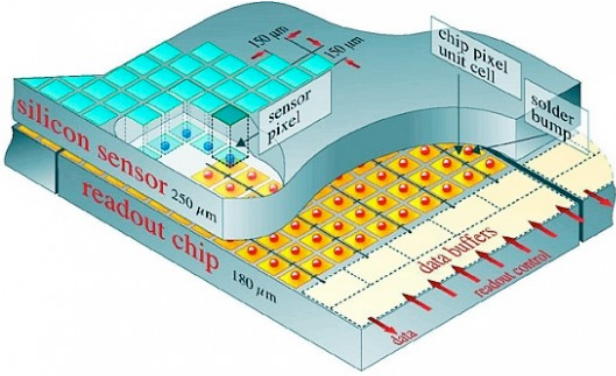


Micro twisted pair cable



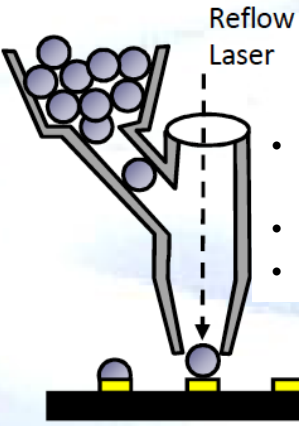
Sensors

Bump Deposition
"solder jetting"



ROCs

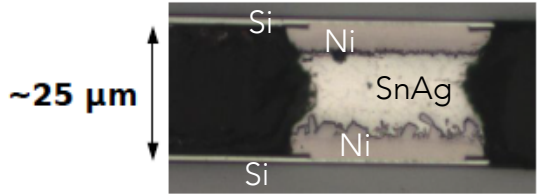
Solder balls
40μm SnAg



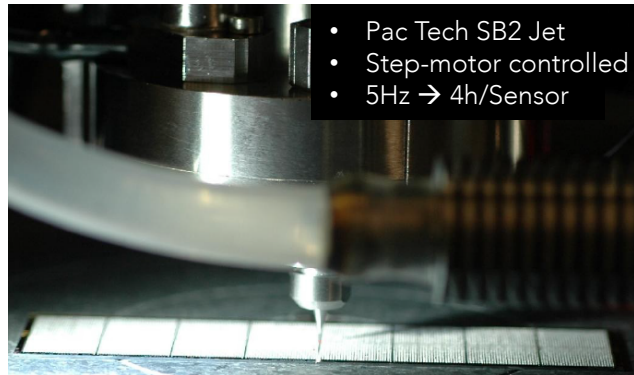
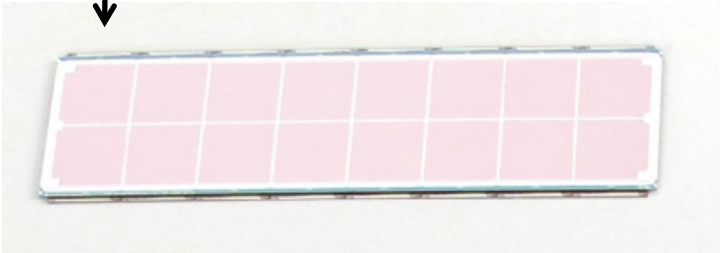
- Singulate and drop through capillary towards pad
- Melt by laser pulse during fall
- Solidify on pad



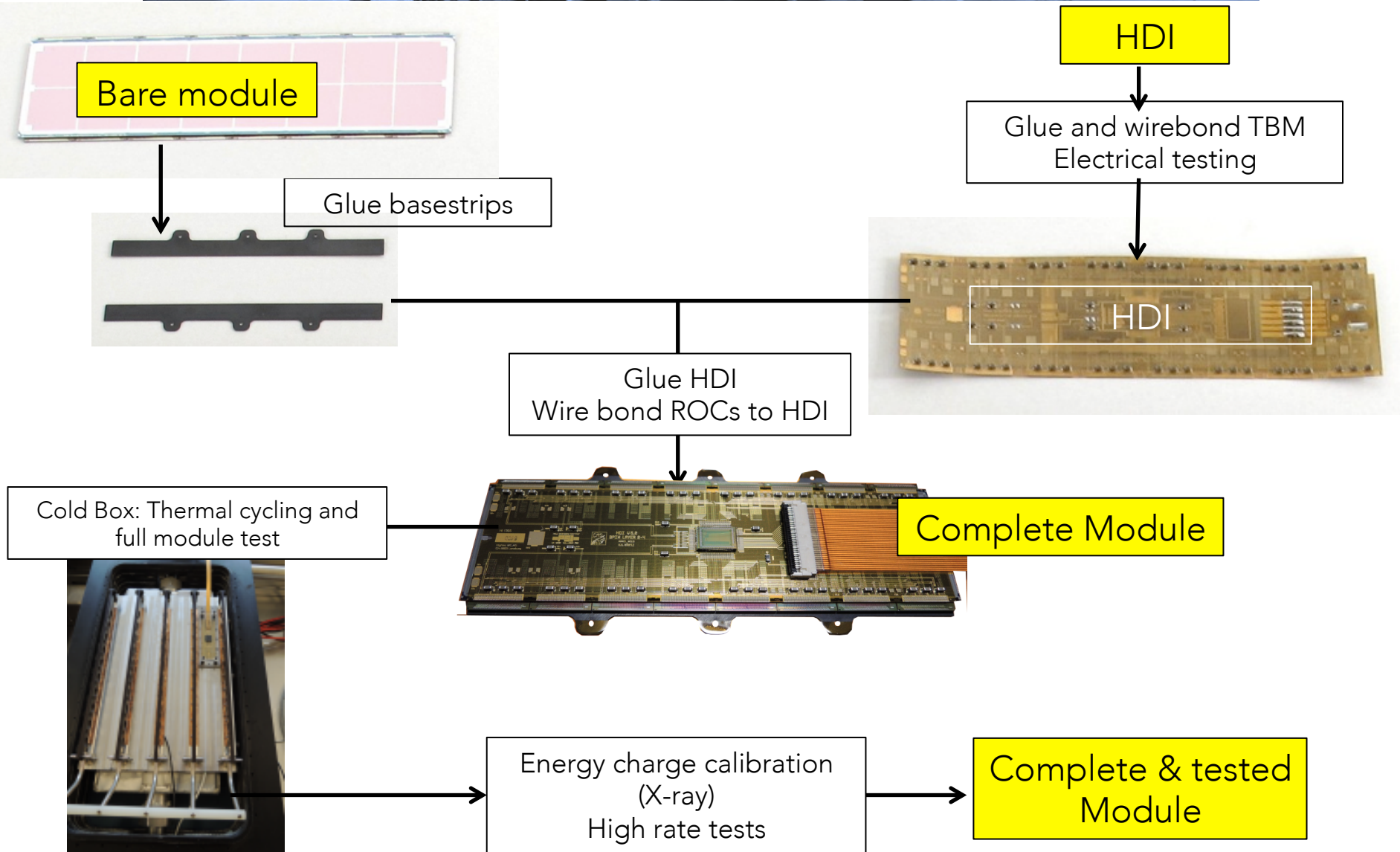
Flip chip bonding

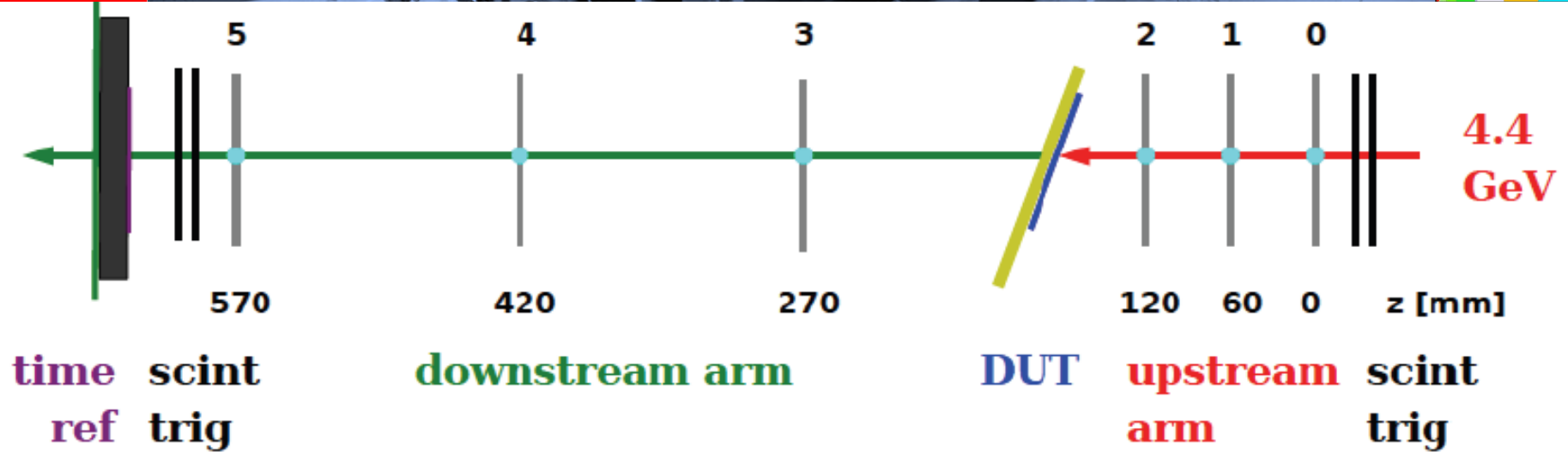


Bare module



- Pac Tech SB2 Jet
- Step-motor controlled
- 5Hz → 4h/Sensor





Qualification of module prototypes in the test beam

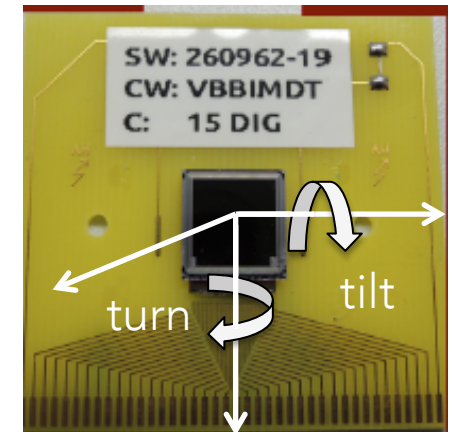
- Telescope[*]: 6 planes of Mimosas26 (MAPS) devices
- Beam: $\sim \mathcal{O}(\text{kHz}/\text{cm}^2)$ of 1–6 GeV e^\pm
- Device under test (DUT): Rotatable (tilt/turn)
- REF = single chip module for timing
- Trigger: 4-fold scintillator coincidence

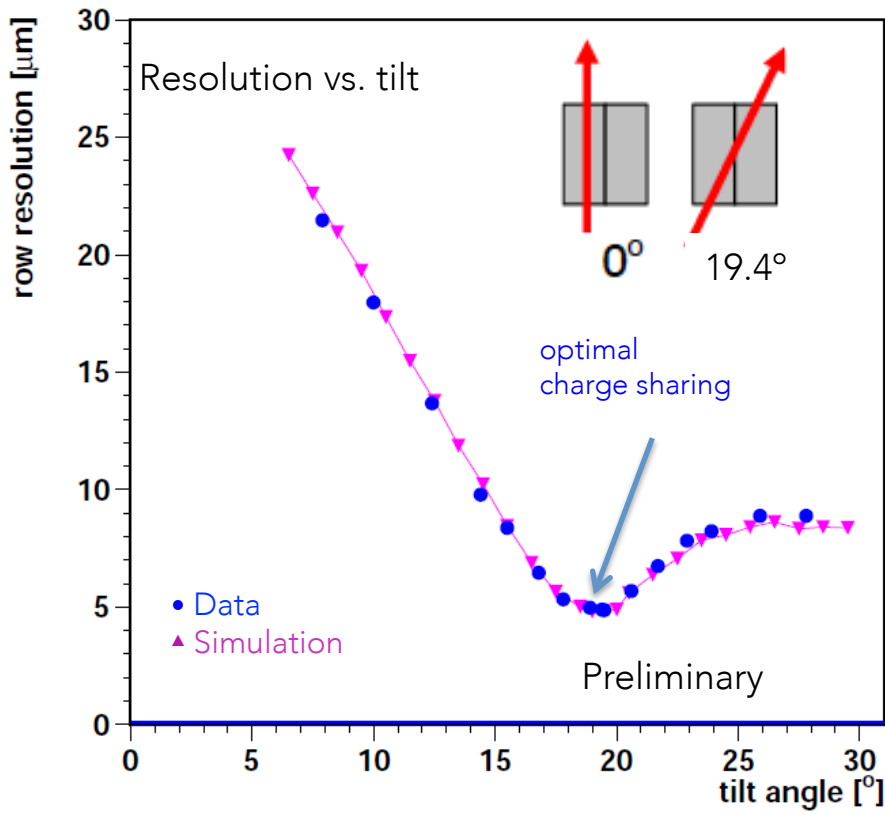
[*] See also at TIPP'14:

<http://indico.cern.ch/event/192695/session/7/contribution/347>

<http://indico.cern.ch/event/192695/contribution/345>

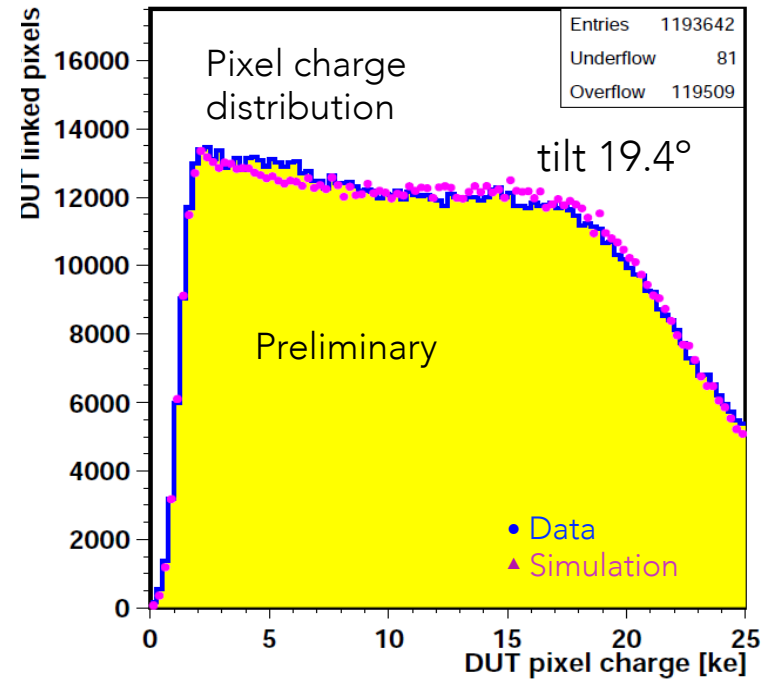
DUT: Single chip module (ROC+sensor)





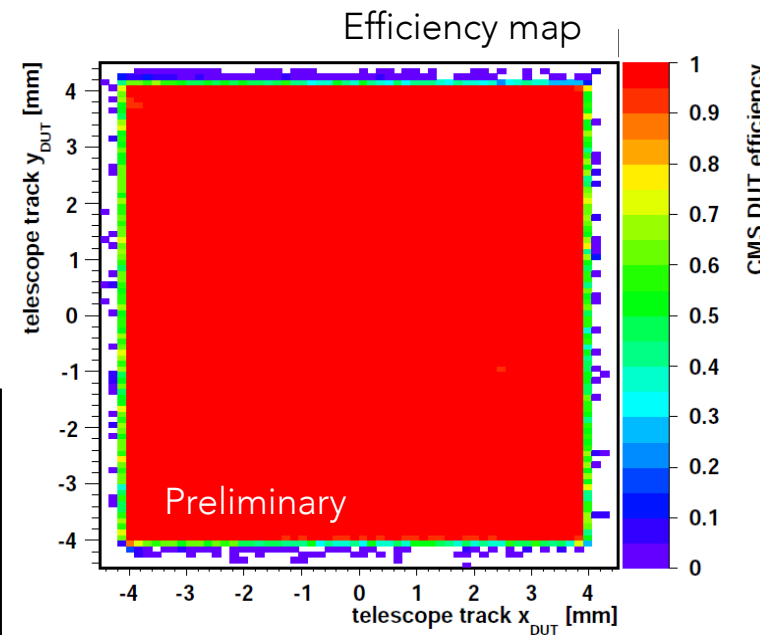
- Single chip module (sensor bump bonded to read out chip)
- Threshold $\sim 1.5\text{ke}$
- $V_{\text{bias}} -150\text{V}$

After subtracting the telescope resolution:
Spatial resolution $\sim 5.1 \mu\text{m}$

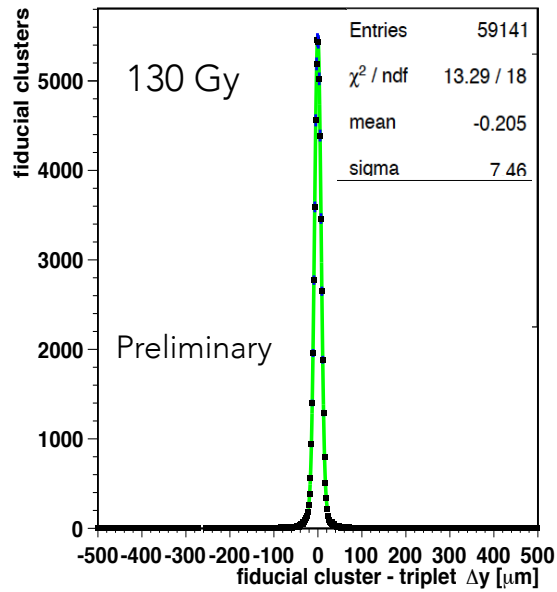
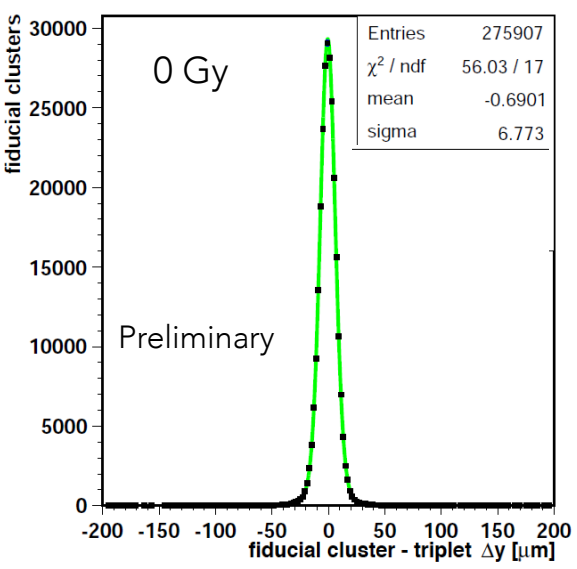


Irradiated at CERN PS with 24GeV protons
 $\phi = 3.77 \times 10^{14} \text{ p/cm}^{-2} \rightarrow 130 \text{ kGy}$
 (Full layer 4 lifetime dose)

Threshold: $\sim 1.5 \text{ ke}$
 Full depletion at $V_{\text{bias}} = -200 \text{ V}$



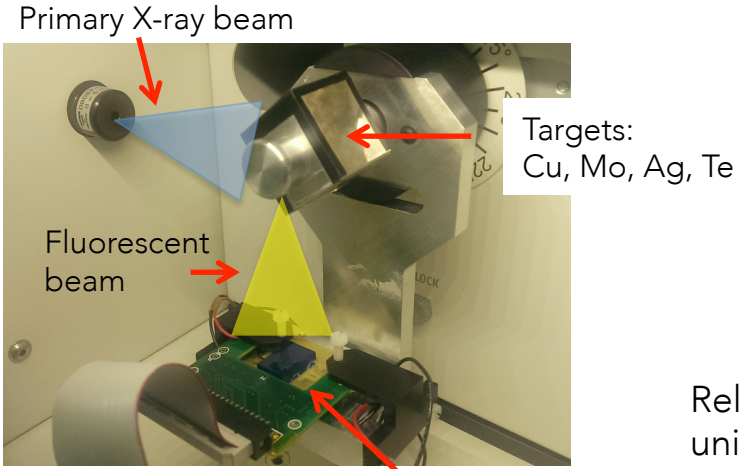
Remains 99.8% efficient
 in fiducial volume



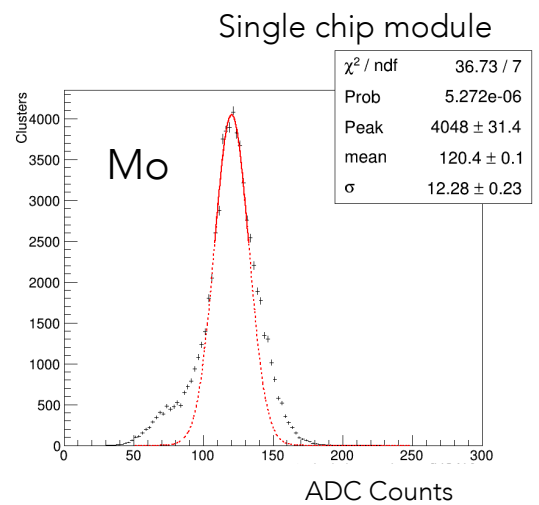
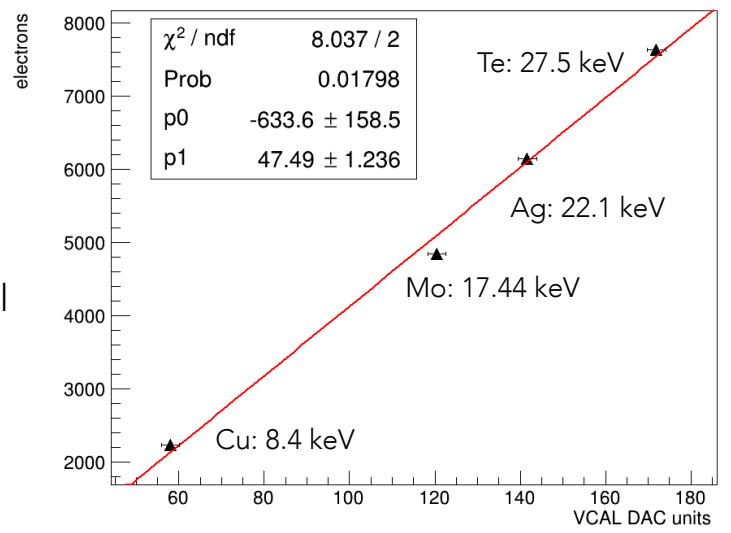
After Irradiation: Spatial resolution $\sim 6.2 \mu\text{m}$ (before $\sim 5.1 \mu\text{m}$)

X-Ray Calibration of Internal Test Pulse

- To perform tests of the readout chain, and for setting the thresholds, an internal calibration pulse (Vcal) is used
- Absolute energy calibration is performed using well defined x-ray fluorescence lines



Relate internal Vcal unit to well known charge deposition of X-Rays



Calibration line \rightarrow $\sim 50 e^-$ per Vcal



Summary & Outlook



- CMS will replace the pixel detector during the extended year end technical stop in 2016/2017:
 - 4 barrel layers and 3 forward disks
 - New digital read out chip
- Maintain/improve performance of current pixel detector at large pile-up
- ROC prototype works very well and with expected performance (also after irradiation)
- Sensors produced, ROC submissions at hand
 - Module production will start in fall!

CMS Technical Design Report for the Pixel Detector Upgrade

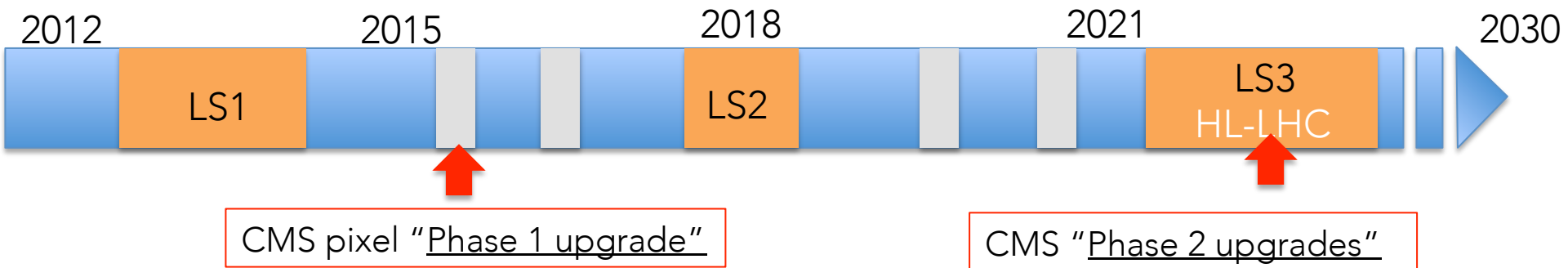
<http://cds.cern.ch/record/1481838?ln=de>



BACKUP



BACKUP



CMS Pixel in the DESY Telescope

**CMS Pixel
timing
reference**

**common
scintillator
trigger**

**CMS Pixel
tilted 20°**

**3 planes
downstream**

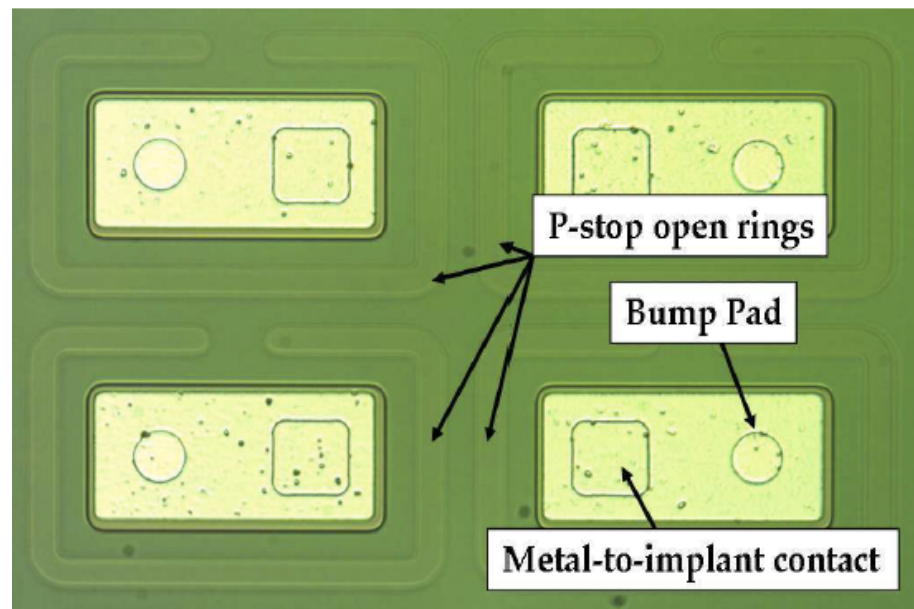
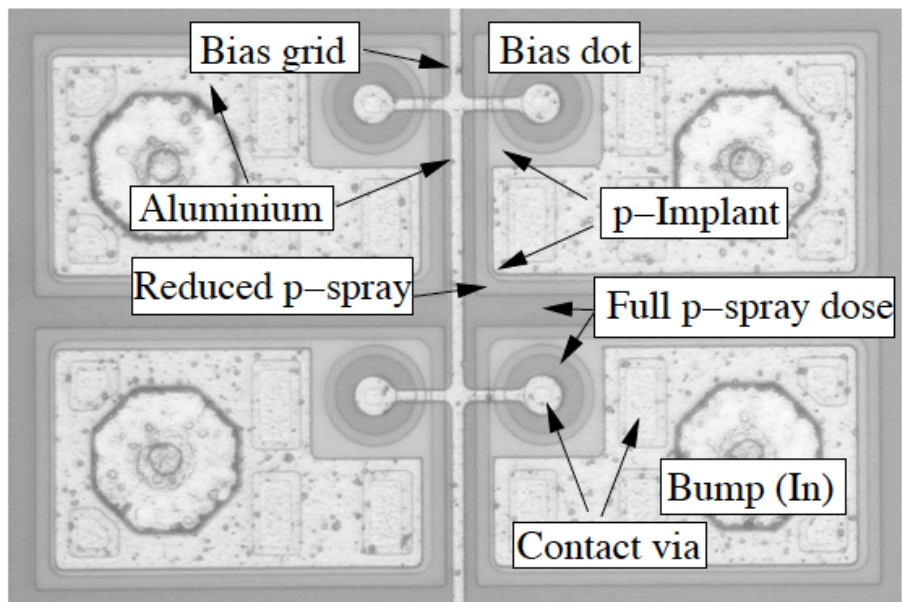
**3 planes
upstream
3.3 μm
resolution**

**5.5 GeV
positrons
700 Hz/cm²**



BACKUP

<u><i>Parameter of Pixel System</i></u>	<u><i>Present</i></u>	<u><i>Upgrade</i></u>
# layers (tracking points)	3	4
beam pipe radius (outer)	29.8 mm	22.5 mm (LS1)
innermost layer radius	44 mm	29.5 mm
outermost layer radius	102 mm	160 mm
pixel size (r-phi x z)	100 μ x 150 μ	100 μ x 150 μ
In-time pixel threshold	3400 e	1800 e
pixel resolution (r-phi x z)	13 μ x 25 μ	13 μ x 25 μ (or better)
cooling	C ₆ F ₁₄ (monophase)	CO ₂ (biphase)
material budget X/X ₀ ($\eta=0$)	6%	5.5%
material budget X/X ₀ ($\eta=1.6$)	40%	20%
pixel data readout speed	40MHz (analog coded)	400Mb/sec (digital)
1 st layer module link rate (100%)	13 M pixel/sec	52 M pixel/sec
ROC pixel rate capability	~120 MHz/cm ²	~580 MHz/cm ²
control & ROC programming	TTC & 40MHz I ² C	TTC & 40MHz I ² C

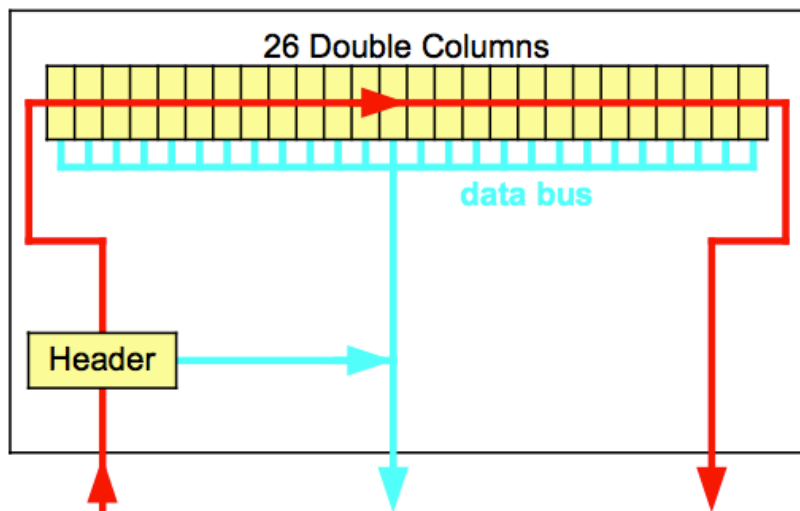


Increased buffers in the double column periphery:

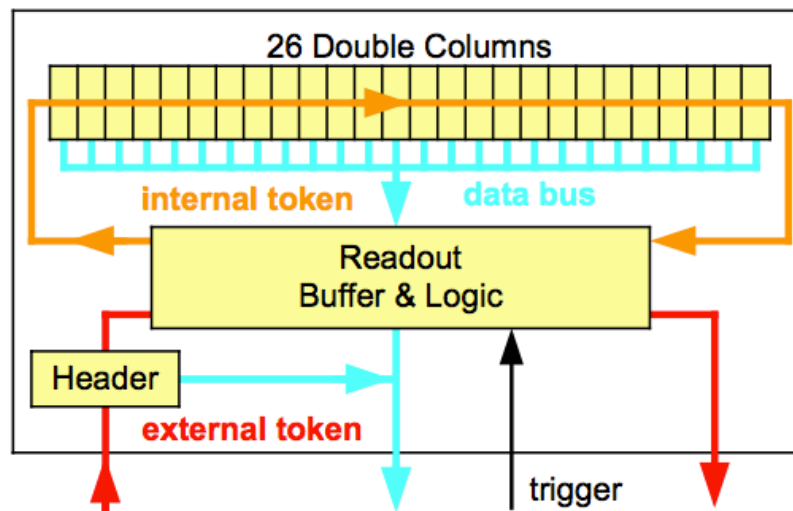
- time-stamp 12 → 24
- data-buffers 32 → 80

In addition:

present ROC



new ROC

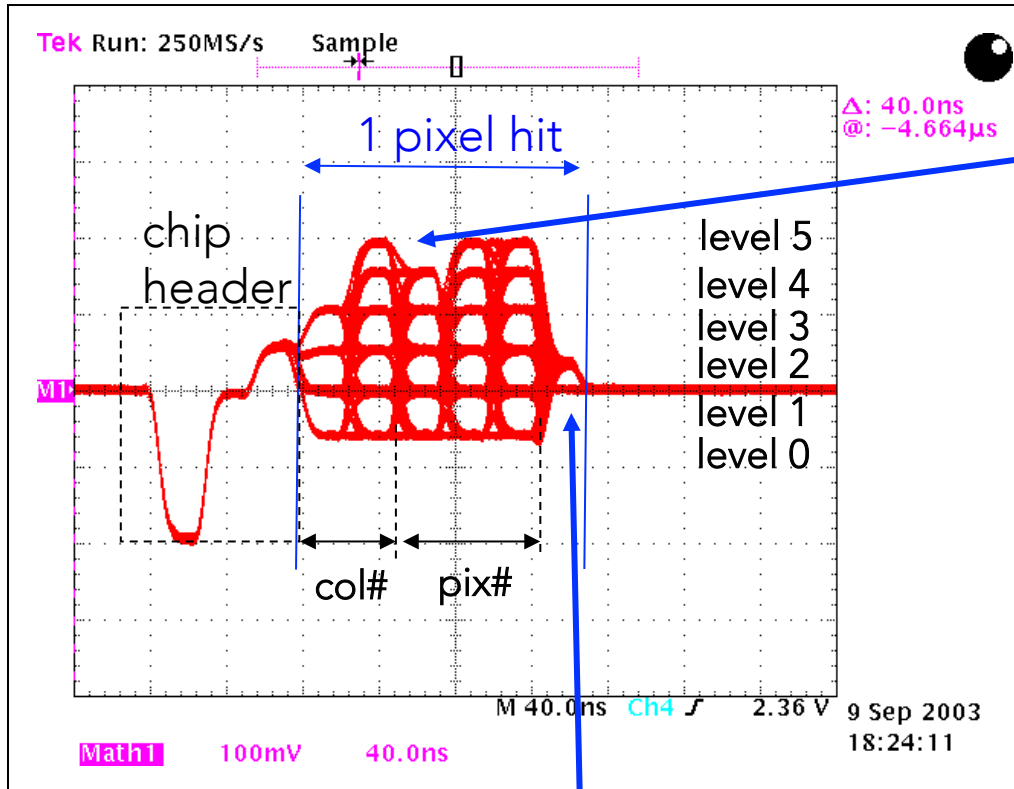


Trigger verified data has to wait for external token passing through all ROCs and Double Columns
 During this time Double Columns are blocked for data taking

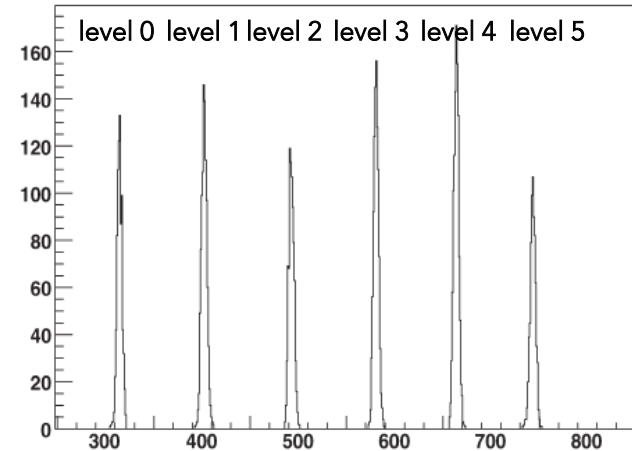
New readout buffer with 64 cells added:

If trigger arrives double column buffers can be copied to new FIFO and double column can resume data taking

Scope overlap of 4160 pixel readouts



5 clock cycles:
encode 13 bits of pixel
address information.

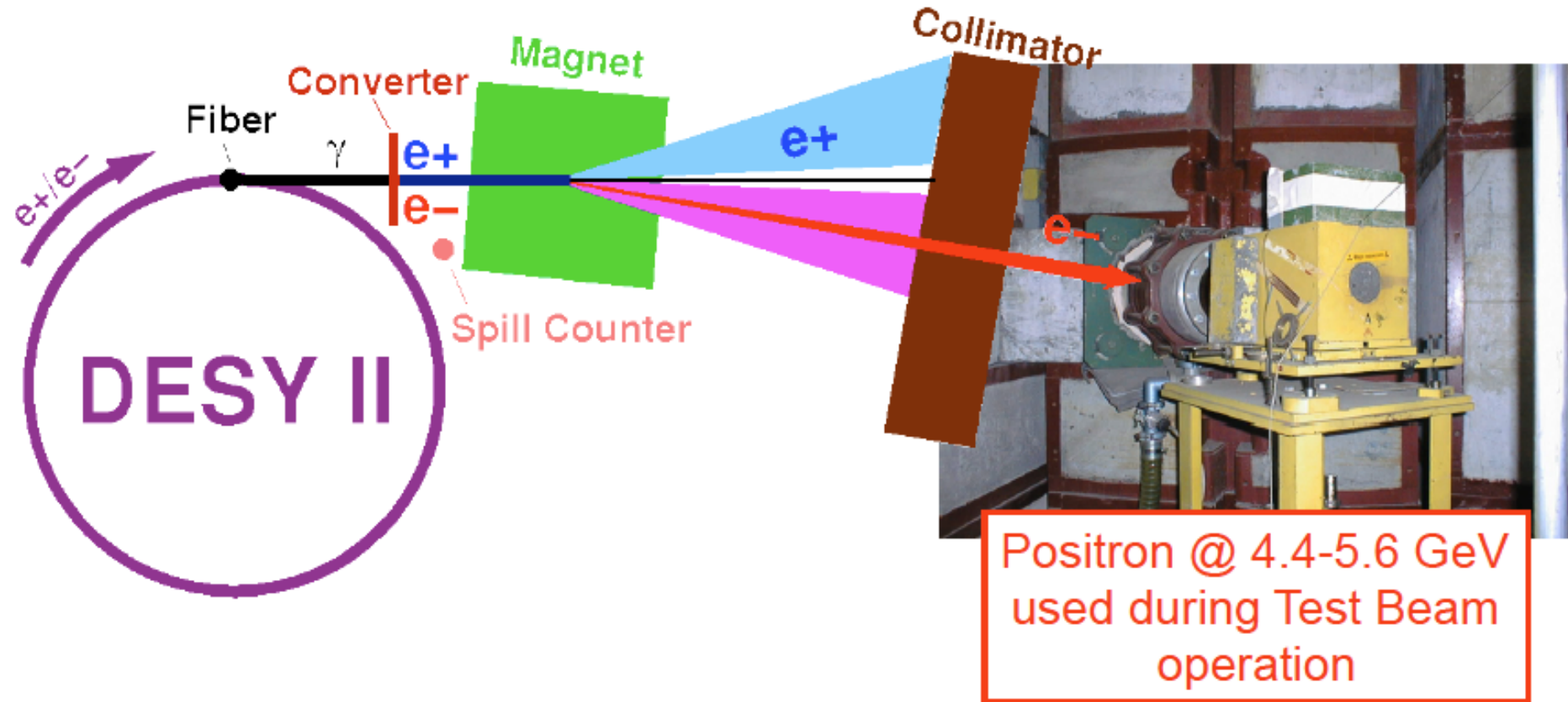


Address level histogram as received by the FED where it is digitized. Robust decoding is possible with the RMS to distance ratio of 26:1.

1 clock cycle:
analog pulse height

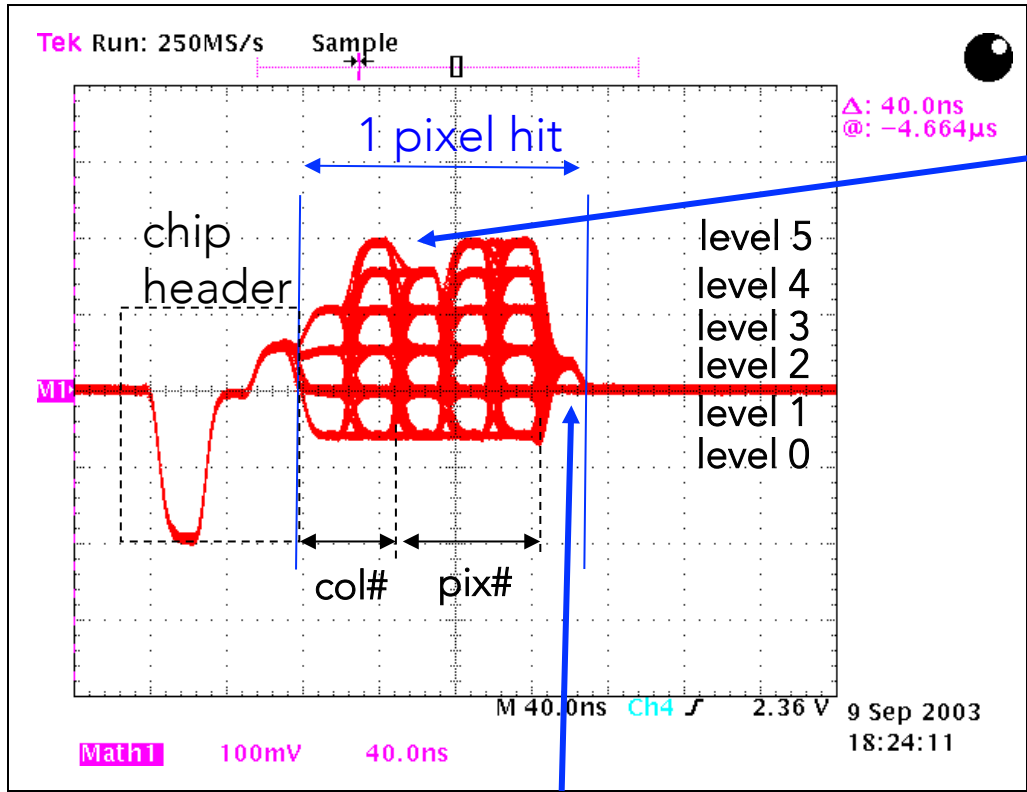
The output levels can be adjusted by DACs in each chip to reduce chip-to-chip variations. In addition, the level decoding by the FED is calibrated on a per chip basis.

DESY Testbeam Setup

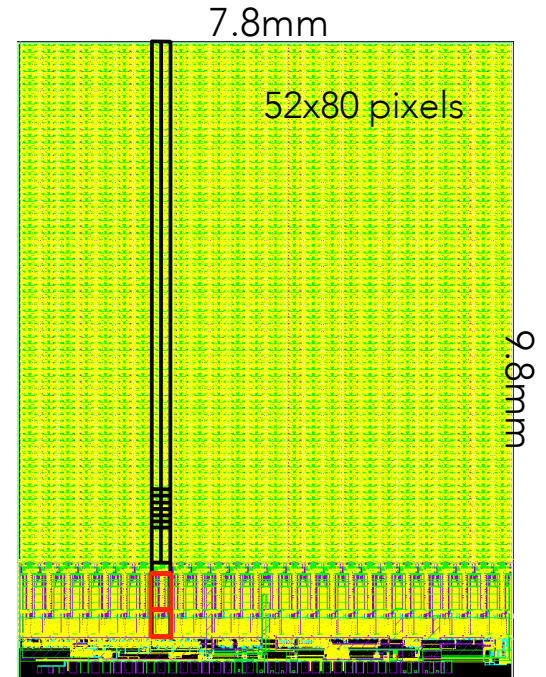


Positron @ 4.4-5.6 GeV
used during Test Beam
operation

Scope overlap of 4160 pixel readouts

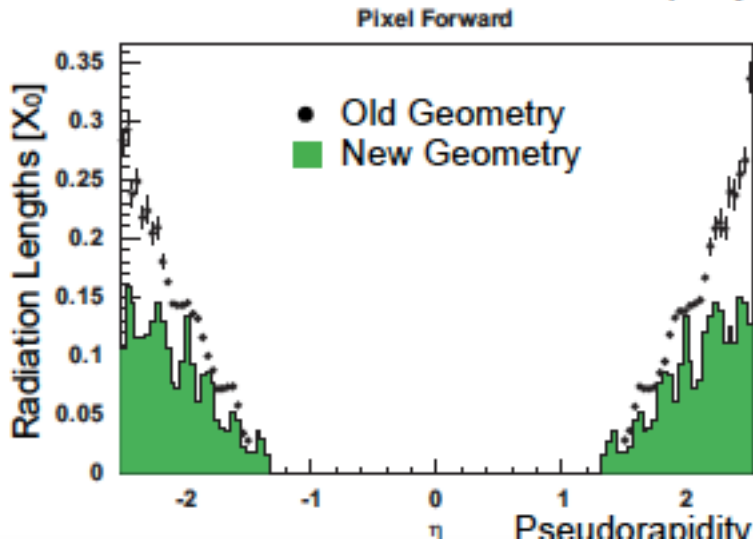
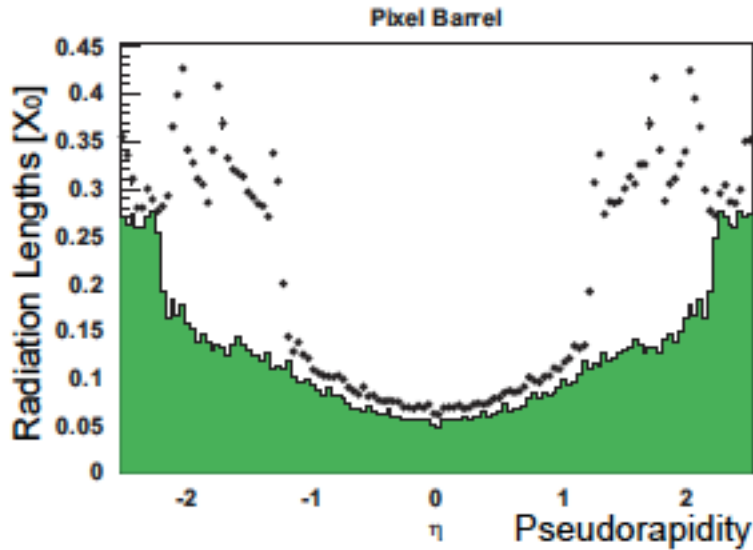


5 clock cycles:
encode 13 bits of pixel
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1 clock cycle:
analog pulse height

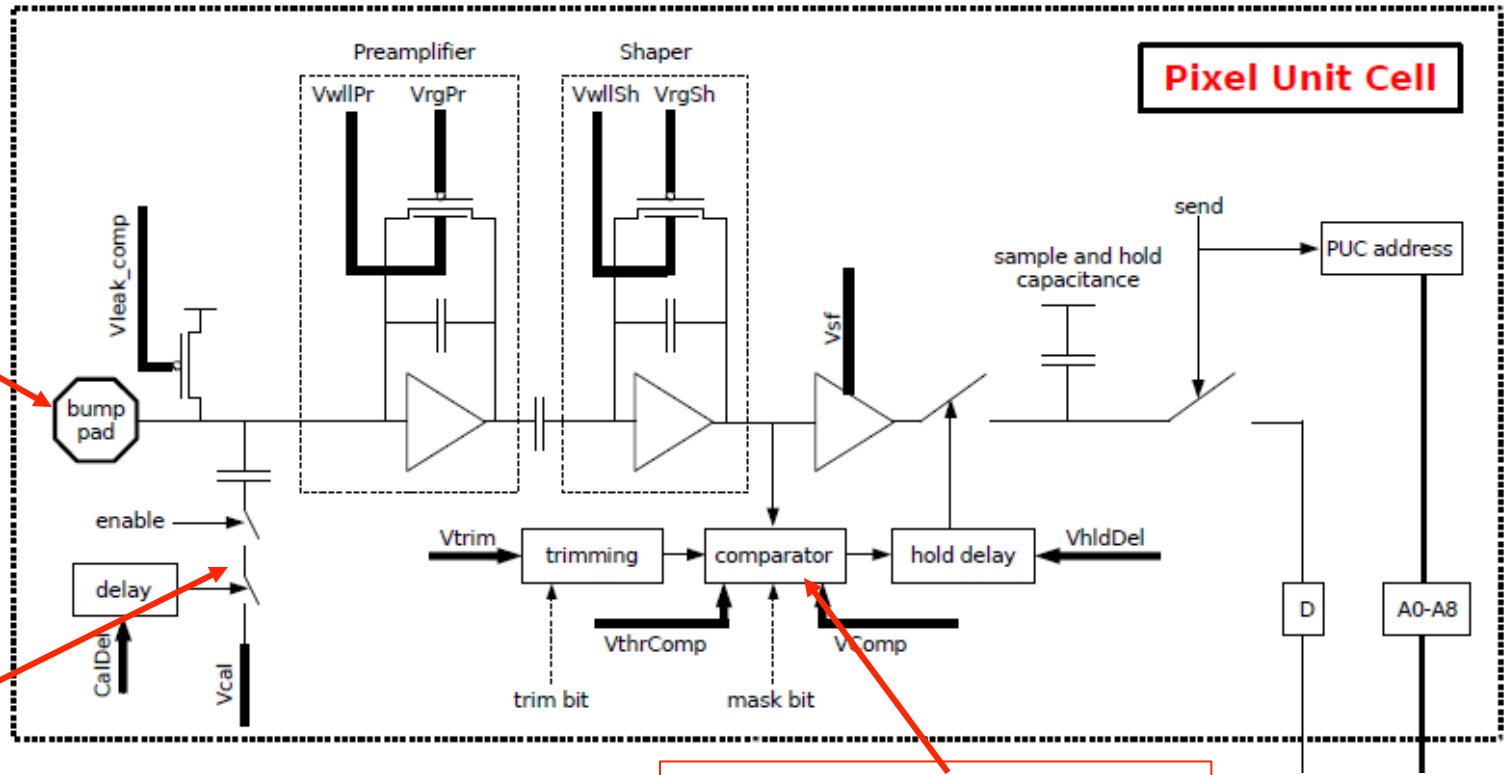
Material Budget



[A. Bean, NIM A650 (2011) 41]

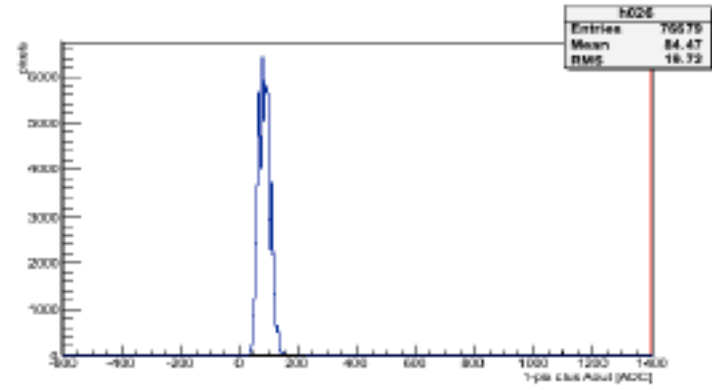
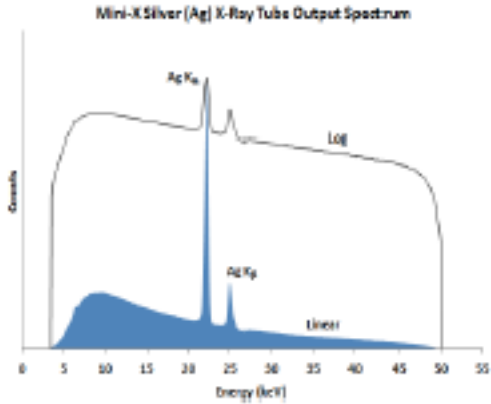
- Central part ($|\eta| < 1.1$): new four-layer detector with less material than old three-layer detector
- Forward part: shifting of services on support tube further outward

Significant reduction of material budget



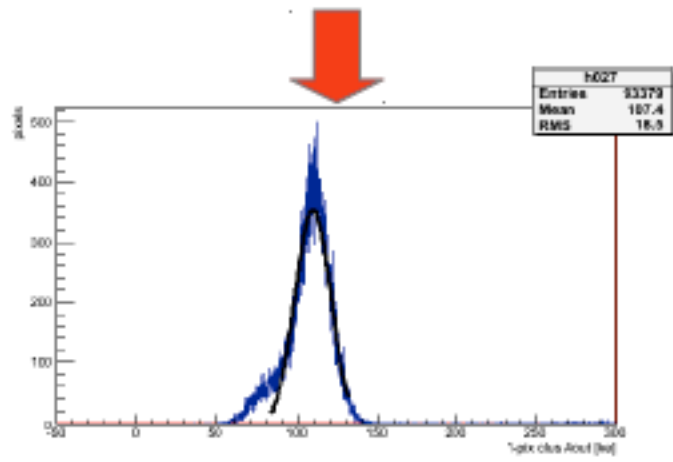
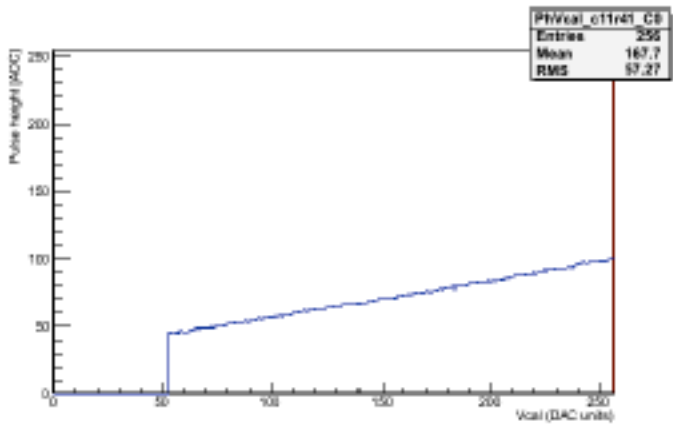
To how much deposited charge corresponds our internal unit "VCAL"?
In particular relevant for setting the thresholds properly

Spectrum Method



Choose specific energy with different targets

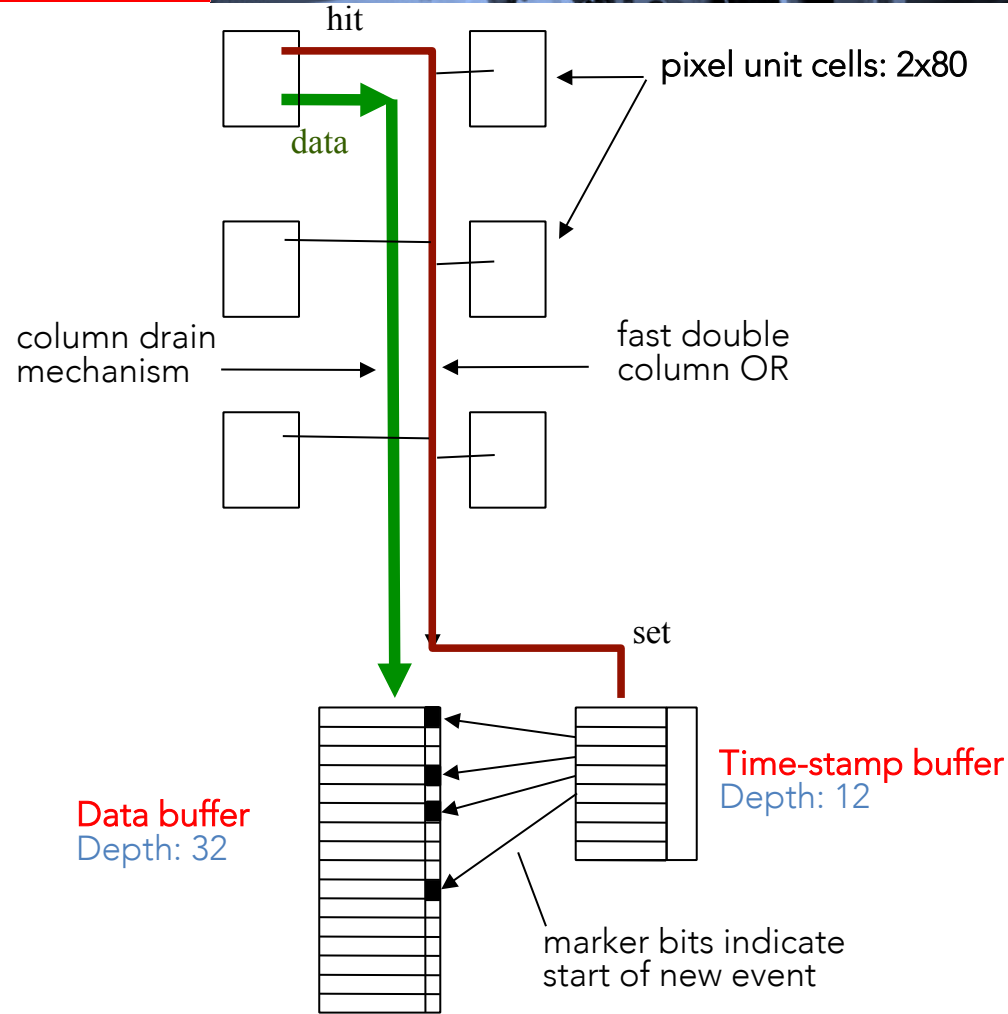
Measure ph-spectrum in adc units



Do ph-calibration

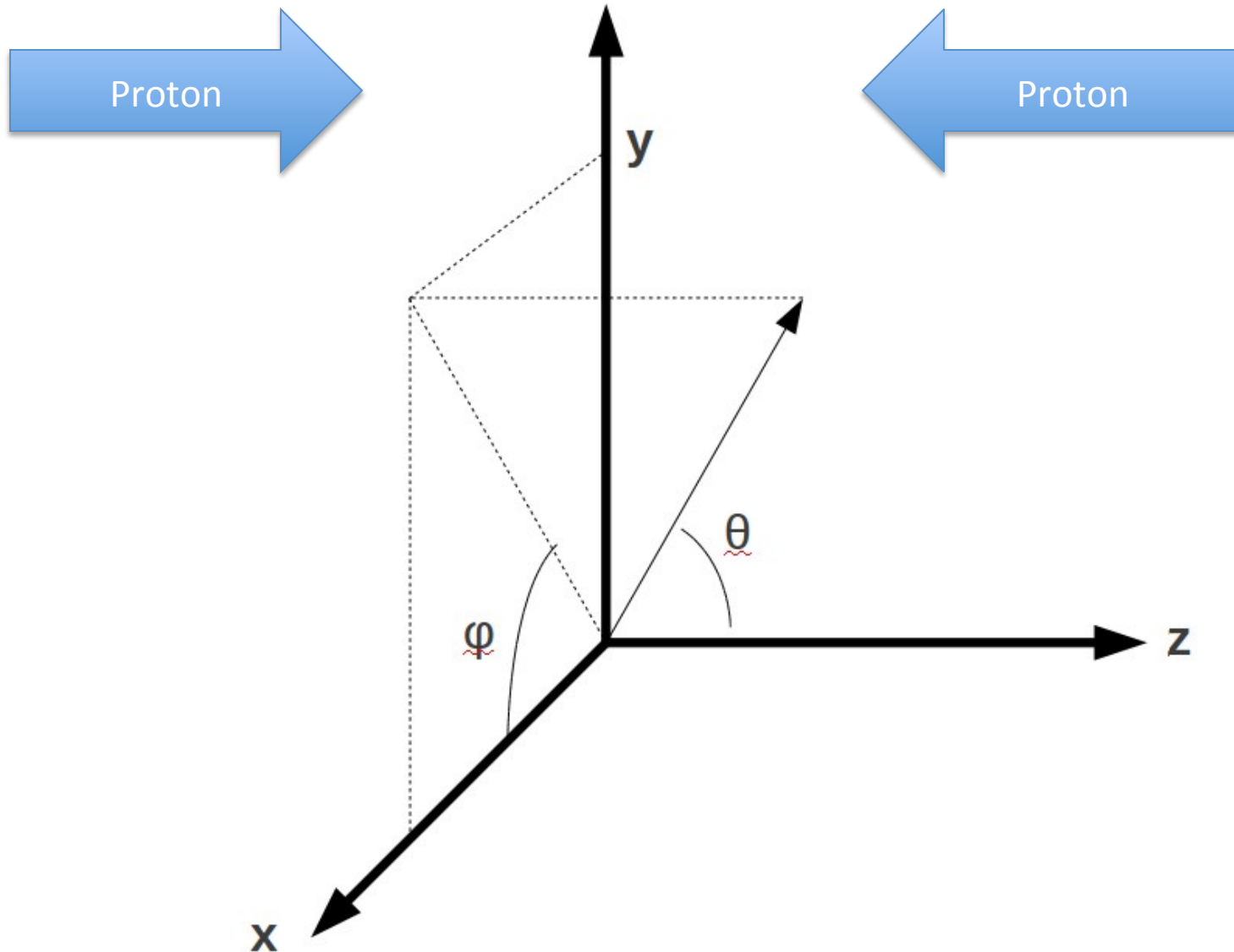
Get ph-distribution in vcal units

Double Column Readout



- Hit pixels send "OR" to the periphery
- Pixels are "frozen" and their hits are collected with a token that passes from pixel to pixel
- Hit-Pixel Addresses, pulse height and timestamps stored in DCol Buffers during L1 latency ($\sim 4\mu\text{s} = 150 \text{ BC}$)
- If trigger validates a timestamp double column stops data taking and waits for readout token

CMS Coordinate System



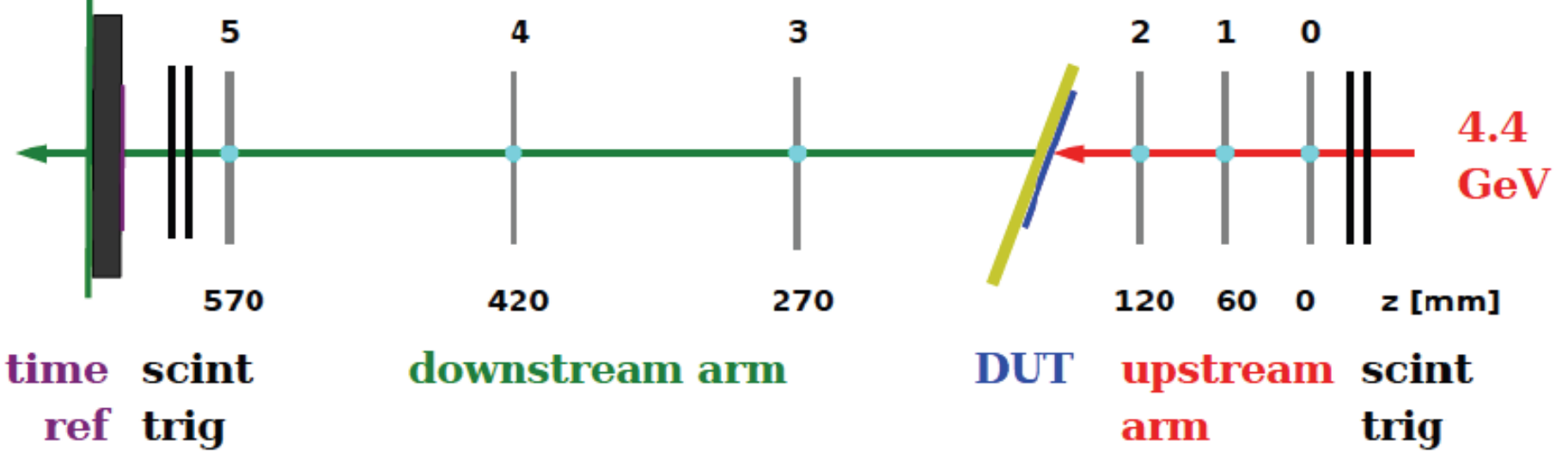


Data Rate Estimations

- Simulation with Pythia Z2 tune and GEANT4
- Assuming 24 bits per hit, 100 kHz level 1 trigger rate
- Peak luminosity = $2 \times 10^{34} \text{cm}^{-2} \text{s}^{-1}$, $\sigma_{\text{tot}} = 80 \text{mb}$, $\sigma_{\text{signal}} = 1.5 \text{mb}$, 25 ns BC

Layer radius	3 cm	7 cm	11 cm	16 cm
Pixel fluence [MHz/cm ²]	520	119	52	27
Hits / trigger / module	190	40	18	8.4
MBit/link/sec	435	118	66	45

$$1 \text{ ROC} = 0.65 \text{ cm}^2$$



$$\text{eff} = \frac{\text{isolated telescope track with link to REF hit}}{\text{DUT hit linked to isolated telescope track with link to REF hit}}$$

- telescope track: tight matching cut 0.1 mm in x and y
- isolation: no other telescope track within 0.3 mm at REF
- REF link: tight cut 0.15 mm in x and y
- DUT link: loose cut 0.5 mm in x and y

Tracker Radiation Dose

1 Gy = 100 Rad

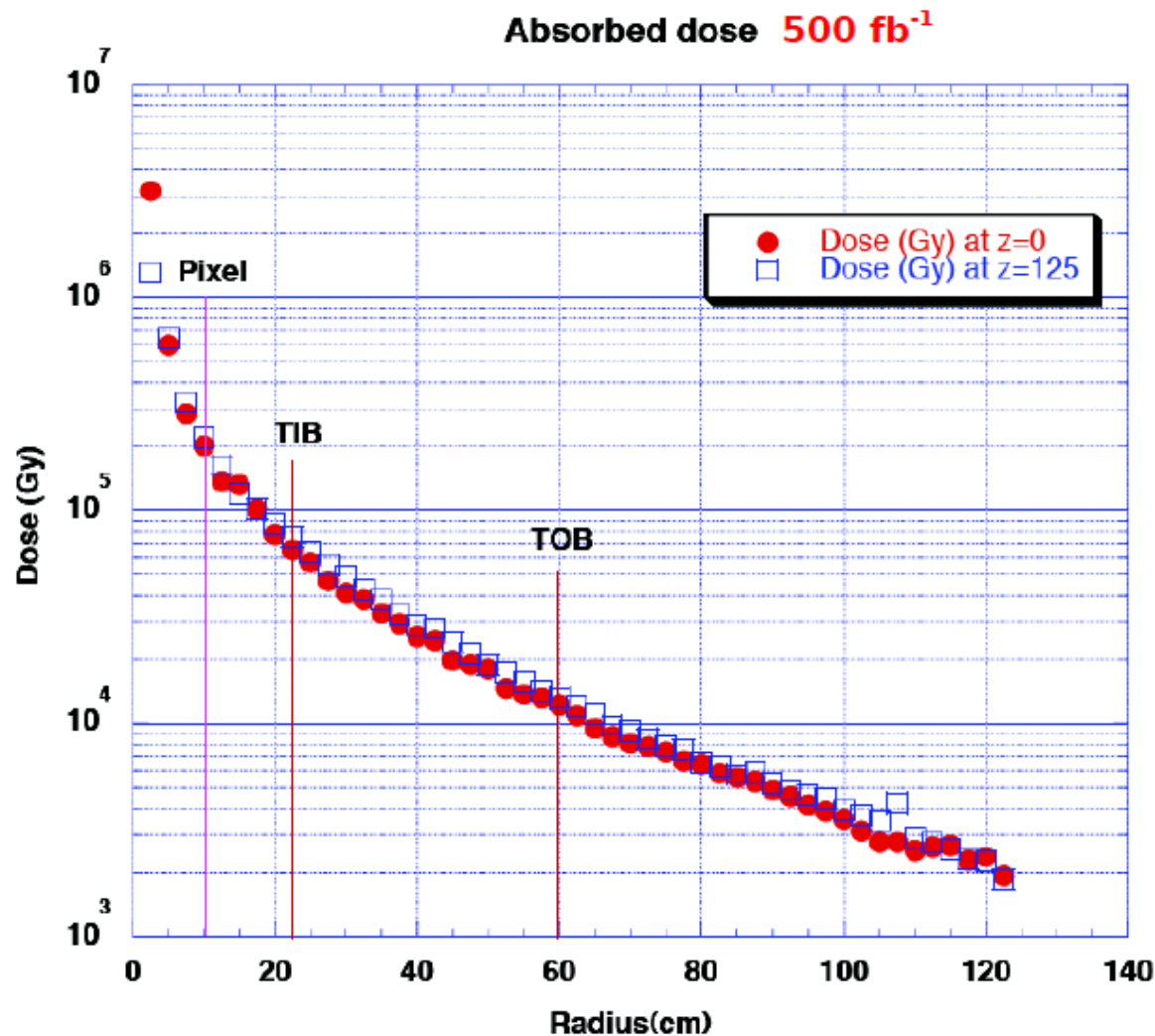
500 fb⁻¹

Layer 1: 200 MRad

Layer 2: 50 MRad

Layer 3: 20 MRad

Layer 4: 13 MRad



Fluence:

1 MRad \approx 3 10^{13} /cm² pions

Pixel upgrade TDR 2012