

Semileptonic B and D decays

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Flavor Physics and CP Violation

Outline

■ Motivations

■ Semileptonic B decays

♣ Exclusive measurement

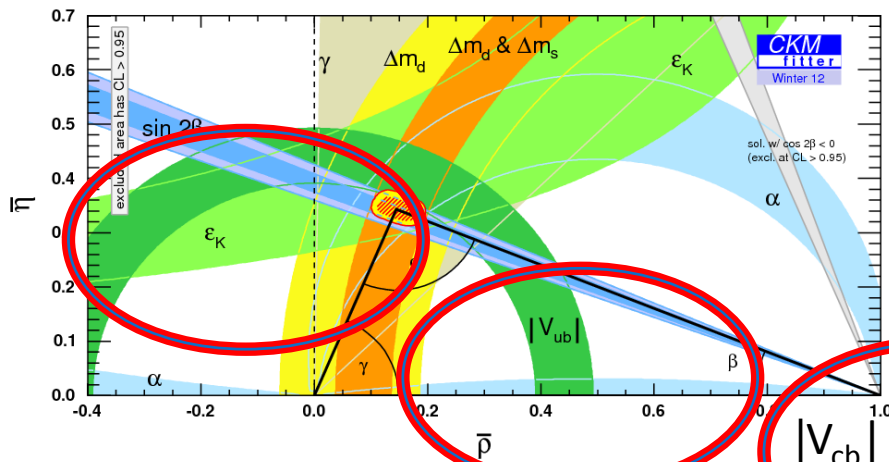
♣ $b \rightarrow c \ell \nu$ ($B \rightarrow D^{(*)} \ell \nu$)	CKM favoured
♣ $b \rightarrow u \ell \nu$ ($B \rightarrow \eta \ell \nu, B \rightarrow \pi \ell \nu$)	CKM suppressed
♣ $b \rightarrow s \ell^+ \ell^-$ ($B \rightarrow K^* \ell^+ \ell^-$)	FCNC, rare decays
♣ $b \rightarrow d \ell^+ \ell^-$ ($B \rightarrow \pi \mu^+ \mu^-$)	FCNC, the rarest decay

♣ Inclusive measurement $B \rightarrow X_{c(u)} \ell \nu$

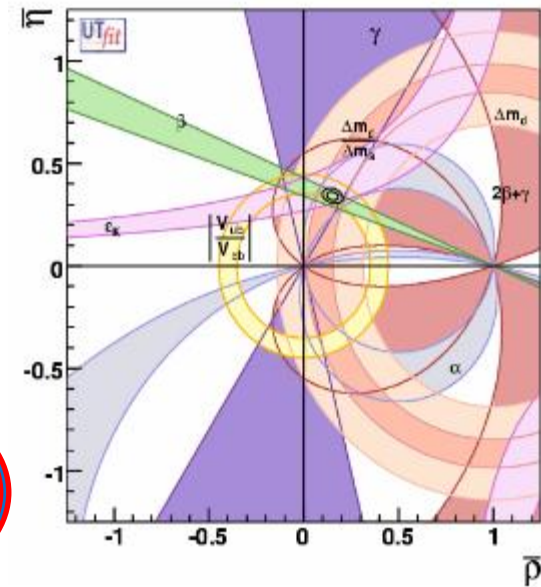
♣ $|V_{ub}| |V_{cb}|$ extraction

■ Semileptonic D decays

Motivations I



$|V_{cb}|$ normalizes the whole UT



Precise determination:

from semileptonic B decays:

$$|V_{ub}| \quad |V_{cb}|$$

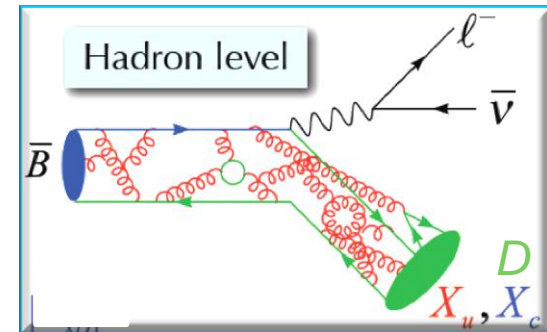
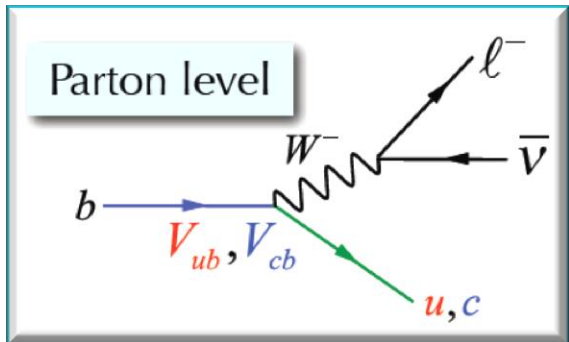
input for NP sensitive other estimates, e.g. $\epsilon_K = f(|V_{ub}|, A(|V_{cb}|))$

from semileptonic D decays:

$$|V_{cs}| \quad |V_{cd}|$$

hadronic form factors

Motivation II (Because we can...)



■ Theory

♣ $b \rightarrow c (u) \ell \bar{\nu}$ tree level processes: assumed NP free quantities

♣ Leptonic and hadronic contributions factorize

■ Experiment

♣ Not helicity suppressed, as leptonic decays (τ not suppressed but exp challenging)

Exclusive measurement $B \rightarrow D^{(*)} l \bar{\nu}$

$$\frac{d\Gamma}{d\omega}(\bar{B} \rightarrow D l \bar{\nu}) = \frac{G_F^2}{48\pi^3} (m_B + m_D)^2 m_D^3 (\omega^2 - 1)^{3/2} |V_{cb}|^2 (\mathcal{G}(\omega))^2$$
$$\frac{d\Gamma}{d\omega}(\bar{B} \rightarrow D^* l \bar{\nu}) = \frac{G_F^2}{48\pi^3} (m_B - m_{D^*})^2 m_{D^*}^3 (\omega^2 - 1)^{1/2} |V_{cb}|^2 \chi(\omega) (\mathcal{F}(\omega))^2$$

$$\omega = v_B \cdot v_{D^{(*)}}$$

1) Data for $|V_{cb}| |\mathcal{G}(\omega)|$ and $|V_{cb}| |\mathcal{F}(\omega)|$ taken at $\omega \neq 1$ due to kinematics

2) Results extrapolated at non-recoil point $\omega=1$

Constraints by HQEF

3) Nonperturbative th evaluation of $|\mathcal{G}(1)|$ and $|\mathcal{F}(1)|$

lattice, QCD sum rules

4) $|V_{cb}|$ extraction

lattice

Recent 2+1 evaluation
Fermilab/MILC 2010

$$\mathcal{F}(1) = 0.902 \pm 0.17$$

Including ew corrections to the four-fermion operator and adding errors in quadrature

Data from HFAG (end of 2009) = PDG (fit 2012)

$$|V_{cb}| |\mathcal{F}(1)| \times 10^3 = 36.0 \pm 0.5$$

$$|V_{cb}|_{B \rightarrow D^* l \nu} = (39.6 \pm 0.6_{\text{(exp)}} \pm 0.8_{\text{(th)}}) \times 10^{-3}$$

Unquenched evaluation
Okamoto et al (Fermilab/MILC) 2005

Data from HFAG (end of 2011) (more recent Babar 2008/09)

$$\mathcal{G}(1) = 1.074 \pm 0.018_{\text{stat}} \pm 0.016_{\text{sys}}$$

$$|V_{cb}| \mathcal{G}(1) = (42.6 \pm 0.7 \pm 1.4) \times 10^{-3}$$

$$|V_{cb}|_{B \rightarrow D l \nu} = (39.4 \pm 1.4_{\text{exp}} \pm 1.3_{\text{th}}) \times 10^{-3}$$

form factor normalization at values $\omega > 1$ may allow more precise determinations; currently available only in the quenched approximation

higher $|V_{cb}| \approx 41.6$

De Divitiis et al 2007

More precision than in general form factor evaluation since possible to connect to ratio (or double ratios) where most uncertainties cancel e.g.

$$\frac{\langle D^* | \bar{c} \gamma_j \gamma_5 b | \bar{B} \rangle \langle \bar{B} | \bar{b} \gamma_j \gamma_5 c | D^* \rangle}{\langle D^* | \bar{c} \gamma_0 c | D^* \rangle \langle \bar{B} | \bar{b} \gamma_0 b | \bar{B} \rangle}$$

Non lattice estimates

One or two σ lower than lattice estimates $\rightarrow V_{cb}$ relatively higher

Zero recoil sum rules
Gambino et al 2010

$$\mathcal{F}(1) = 0.86 \pm 0.04$$

Including full α_s and up to $1/m_b^5$

$$|V_{cb}|_{B \rightarrow D^* l \nu} = (41.6 \pm 0.6_{(\text{exp})} \pm 1.9_{(\text{th})}) \times 10^{-3}$$

“BPS” expansion: limit $\mu_\pi^2 = \mu_G^2$ HQE
Uraltsev 2004

$$\mathcal{G}(1) = 1.04 \pm 0.02$$

$$|V_{cb}|_{B \rightarrow D l \nu} = (40.7 \pm 1.5_{\text{exp}} \pm 0.8_{\text{th}}) \times 10^{-3}$$

→ Motivations: rather close values obtained from experiment in inclusive B decay

COMPARE AVERAGES

$$|V_{cb}|_{\text{non-lattice}} = (41.0 \pm 1.5) \times 10^{-3}$$

Non lattice PDG 2012

$$|V_{cb}|_{\text{lattice}} = (39.6 \pm 0.9) \times 10^{-3}$$

two unquenched MILC/Fermilab
PDG 2012

Routes to lattice improvement: examination of the extrapolation to zero recoil, unquenched lattice-QCD calculations at $\omega \neq 1$, larger lattice sizes....

Decays to excited D Meson States

1) BR for inclusive $B \rightarrow X_c l \nu$ **not saturated** by sum of exclusive BR

$$\begin{aligned} \mathcal{B}(B^+ \rightarrow X_c l^+ \nu) & - \mathcal{B}(B^+ \rightarrow D l^+ \nu) - \mathcal{B}(B^+ \rightarrow D^{(*)} l^+ \nu) \\ & - \mathcal{B}(B^+ \rightarrow D^{(*)} \pi l^+ \nu) = (1.45 \pm 0.67)\% \end{aligned}$$

Bernlochner
et al 2012

2) "1/2 vs 3/2" puzzle

- j_ℓ total angular momentum of the light degrees of freedom in HQ limit
- D^{**} generically denotes two pairs ($j_\ell=1/2$ and $j_\ell=3/2$) of 1P ($L=1$) positive parity states in the non-relativistic constituent quarkmodel

Theory expected (Leibovich et al 98, Uraltsev 01...) **not confirmed** by exp

$$\Gamma(B \rightarrow D^{**}(j_\ell = 3/2) l \bar{\nu}) \gg \Gamma(B \rightarrow D^{**}(j_\ell = 1/2) l \bar{\nu})$$

- Exp situation (Babar, Belle 08) not clear
- non resonant additions ($D^* n\pi$, $D n\pi$, $D^{**} n\pi$)
- cross-feed between the different 1P states, due to strong interaction
- decays to radially excited charm mesons (2S) (Babar 2010) Bernlochner et al 2012

Semileptonic Bs

Independent determination of $|V_{cb}|$ and $|V_{ub}|$

1. Inclusive:

$$B_s \rightarrow X \ell \nu$$

Comparing with semileptonic B: check of quark-hadron duality, evaluation of heavy quark expansion parameters...

Gronau, Rosner 10
Bigi, Mannel, Uraltsev 12, ...

2. Exclusive:

$$B_s \rightarrow D^{(*)} \ell \nu, \quad B_s \rightarrow K^{(*)} \ell \nu, \quad B_s \rightarrow B e \nu \text{ (b spectator)}$$

check (expected sizable) SU(3) breaking

Inclusive vs sum of exclusive

form factors evaluation

Melic, Duplancic 08

Light Flavour Spectroscopy in Semileptonic Decays

$$D_s^+ \rightarrow \eta^{(\prime)} l^+ \nu$$

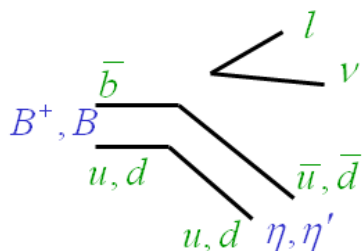
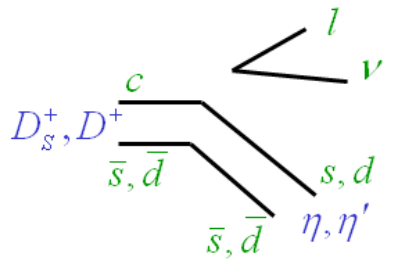
$$D^+ \rightarrow \eta^{(\prime)} l^+ \nu$$

$$B^+ \rightarrow \eta^{(\prime)} l^+ \nu$$

- Spectator diagram dominance**

- Cabibbo allowed $c \rightarrow s$, suppressed $c \rightarrow d$ and CKM suppressed $b \rightarrow u$

Spectator



Gives information on mixing angle and gluonic content of η - η' system

Di Donato, Bigi, GR 2012,...

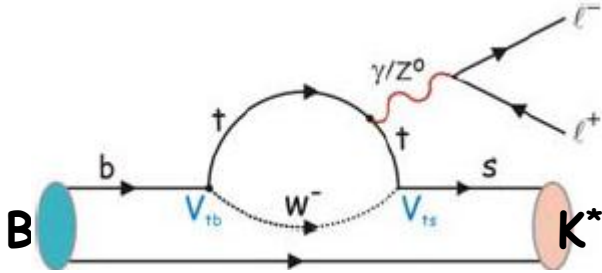
e.g.

CLEO9

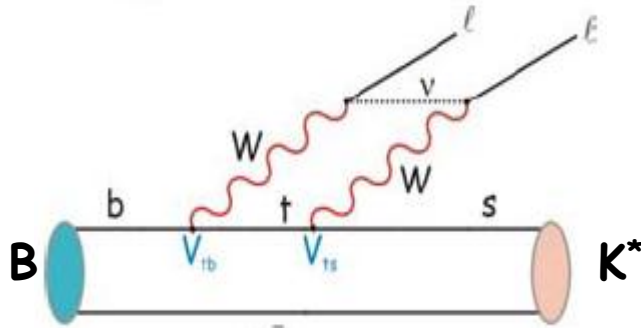
$$\phi_P \approx \frac{\Gamma(D_s^+ \rightarrow \eta' l^+ \nu) / \Gamma(D_s^+ \rightarrow \eta l^+ \nu)}{\Gamma(D^+ \rightarrow \eta' l^+ \nu) / \Gamma(D^+ \rightarrow \eta l^+ \nu)} \simeq \cot^4 \phi_P$$

BESIII expects errors on ϕ_P going down to 2%
lattice FF calculation QCDSF Ds (in progress)

FCNC decays $B \rightarrow K^{(*)} \ell \ell$



transition form factors: leading source of the uncertainty: may be as large as $\sim 30\%$ on some branching ratios
 Ali et al 2000/02,...



Large energy of the emitted meson in the B meson rest frame (low q^2) \Rightarrow light cone QCD sum rules
 Ball, Braun 1998,...

small energy (high q^2) \Rightarrow lattice preliminary unquenched results
 Liu et al 2011

Long list of interesting observables:

1. branching ratio
2. lepton forward-backward asymmetry A_{FB}
3. longitudinal K^* -polarization fraction F_L
4. Transverse asymmetry S_3
5. T-odd CP asymmetry $A_{im} \dots$

Investigated by ($l = \mu$) by Belle 09, CDF 2011, Babar (see talk by L. Sun) & LHCb (see talk by N. Serra) 2012
 Some tension with SM in some observables

Stay tuned!

FCNC $B \rightarrow \pi \mu^+ \mu^-$ the rarest

Same lowest order penguin and box diagrams as $B \rightarrow K l^+ l^-$, but $b \rightarrow d$

Suppressed by a factor $|V_{td}|^2/|V_{ts}|^2 \approx 0.04$

First observation at LHCb (1.0 fb^{-1})

$$BR(B^+ \rightarrow \pi^+ \mu \mu) = (2.4 \pm 0.6 \pm 0.2) \times 10^{-8}$$

LHCb-CONF-2012-006

Agrees with Standard Model,

within the large errors possible enhancement due to new physics

Aliiev, Savci 1999, Hai-Zhen, Lin-Xia, Gong-Ru 08, Wang et al 2008...

FCNC B_s as well: $B_s \rightarrow \phi \mu^+ \mu^-$

$$BR(B_s \rightarrow \phi \mu^+ \mu^-) = (0.78 \pm 0.10_{(stat)} \pm 0.06_{(syst)} \pm 0.28(B)) \times 10^{-8} \quad \text{LHCb-CONF-2012}$$

|V_{ub}| Exclusive determination

- The decay $B \rightarrow \pi \ell \nu$ is the simplest to interpret, as it is affected by a single form factor (FF)

$$\frac{d\Gamma(\bar{B}^0 \rightarrow \pi^+ \ell \bar{\nu})}{dq^2} = \frac{G_F^2 |\vec{p}_\pi|^3}{24\pi^3} |V_{ub}|^2 |f_+(q^2)|^2$$

- theoretical predictions for the FF $f_+(q^2)$ split into two parts: form factor normalization $f_+(0)$ & functional form of the q^2 dependence.

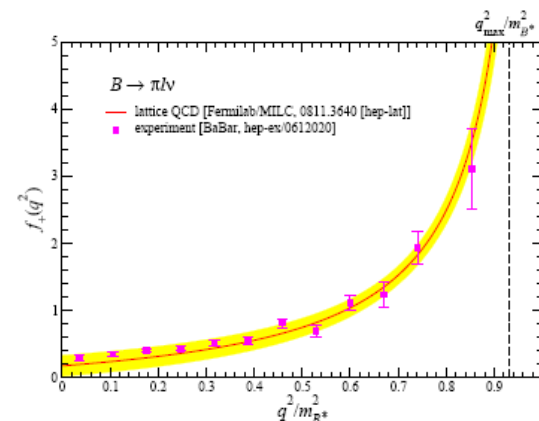
 - quark models, QCD sum rules, Lattice

- Progress in enlarging the region of accessible momentum transfers

- LCSR generally access low q^2 regions ($\leq 16 \text{ GeV}^2$)

 - analytically continue to higher values

- Complementary information from lattice: lattice simulations are restricted to large q^2 ($\geq 16 \text{ GeV}^2$) to avoid large discretization errors

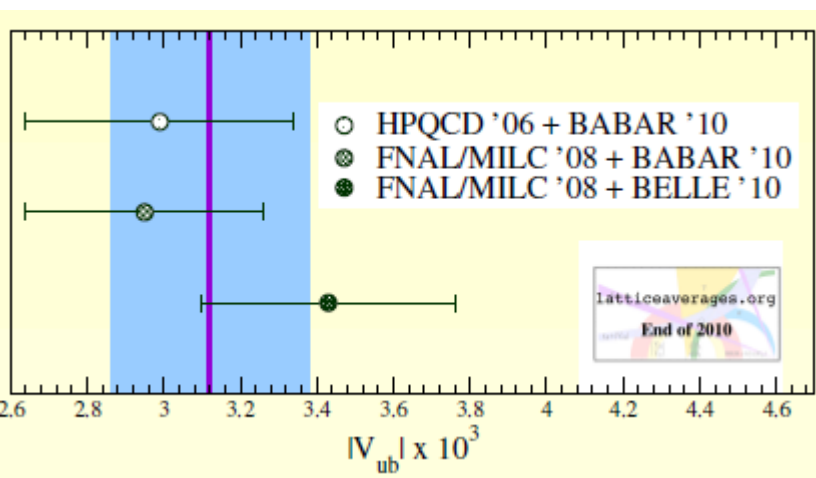


Fermilab/ML
LC 09

Lattice

Two parameterizations for FF shape in q^2 :

- z-expansion (Arnesen et al., Boyd, Grinstein, Lebed), based on analyticity, unitarity, and HQ symmetry (used with FNAL/MILC data)
- Becirevic Kaidalov (BK) parameterization, 3-parameters description given by the M_{B^*} pole (used with HPQCD data)



$$|V_{ub}^{exc.}|^{LLV} = (3.12 \pm 0.26) \times 10^{-3}$$

Laiho, Lunghi, Van de Water (LLV) 2010

www.latticeaverages.org

include only $N_f=2+1$

100% correlation is taken for the

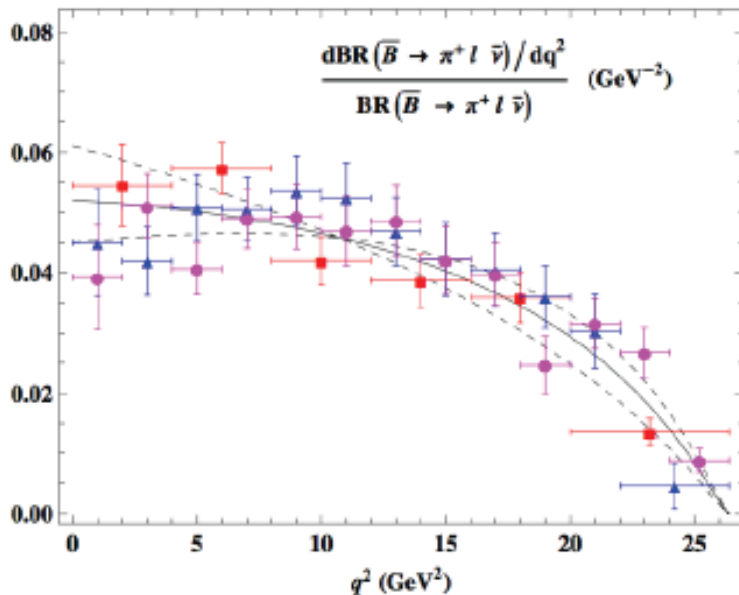
theory/experimental errors in calculations

using the same lattice/exp. data.

QCD Light Cone Sum Rules

latest update of estimates in the full kinematic regions (z-parameterization): error down to 10%

Khodjamirian, Mannell, Offen, Wang 2011



$$|V_{ub}| = (3.40 \pm 0.07_{\text{exp}}^{+0.37}_{-0.32} \text{theo}) \times 10^{-3}$$

PDG12 from HFAG11
 $q^2 < 12 \text{ GeV}^2$

agreement with lattice
 still lower than
 inclusive determination

$$|V_{ub}| = (3.23 \pm 0.31) \times 10^{-3}$$

PDG12 **exclusive** average

(colour online) The normalized q^2 -distribution in $B \rightarrow \pi l \nu$ obtained from LCSR and extrapolated with the z-series parameterization (central input- solid, uncertainties -dashed). The experimental data points are from BABAR: (red squares [1], (blue) triangles [2] and Belle [3]: (magenta) full circles.

Inclusive decays

- OPE factorization of short and long distance dynamics
 - Nonperturbative input given by matrix elements of local operators
 - Coefficients of the operators perturbatively calculated
- parameterization of heavy quark dynamics by means of HQET
 - double series in α_s and Λ/m_b
 - dependence on quark masses and HQET expansions parameters (2 parameters at $O(1/m_b^2)$, 2 more at $O(1/m_b^3)$...)
 - quark masses defined in a chosen scheme (1S, kinetic, etc.)

Inclusive $B \rightarrow X_c l \nu$

$$\Gamma(B \rightarrow X_c l \nu) = \frac{G_F^2 m_b^5}{192 \pi^3} |V_{cb}|^2 \left[f(\rho) + k(\rho) \frac{\mu_\pi^2}{m_b^2} + g(\rho) \frac{\mu_G^2}{m_b^2} + O\left(\frac{1}{m_b^3}\right) \right]$$

$$f(\rho) = f^0(\rho) + f^1(\rho) \frac{\alpha_s(\mu)}{\pi} + \dots \qquad \rho = \frac{m_c^2}{m_b^2}$$

f, k, g differ for different observables, e.g. total rate, moments...

- $O(\alpha_s^2)$ corrections to leading term (parton model)+BLM terms $\alpha_s^{n+1} \beta_0^n$
[Melnikov 08; Czarnecki, Pak 08, Biswas and K. Melnikov, 10, Gambino 11..]
- $O(\Lambda_{\text{QCD}}/m_b^5)$ at leading term for total rate [Dassinger, Turczyk, Mannel 07, Bigi, Uraltsev, Zwicky 07, Mannel, Turczyk, Uraltsev 10...]
- SD μ_π^2 at order $O(\alpha_s)$ (μ_G^2 still at tree level) [Becher, Boos, Lunghi07,...]
- Intrinsic charm estimates ($\log m_c, 1/m_c^2, 1/m_b^3 \dots$) [Breidenbach, Feldmann, Mannel, Turczyk08, Bigi, Mannel, Turczyk Uraltsev 10,...]

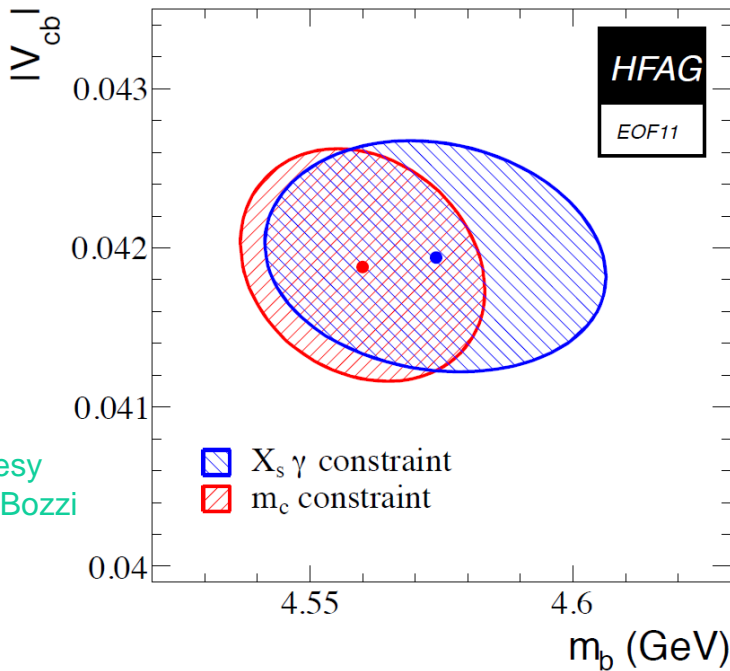
|V_{cb}| determination

- measure spectrum + as many moments as possible (Babar, Belle, CDF, CLEO, Delphi)
- Fit to HQE parameters, quark masses and $|V_{cb}|$
- Mass and HQE parameters depend on the renormalization scale and schemes:
 - Pole scheme: calculationaly most convenient, but plagued by large misbehaved
 - MSbar: Setting the scale: order of the b quark mass unnaturally high, due to the presence of typical scales significantly below
 - low subtracted mass schemes: non perturbative contribution to the heavy quark pole mass can be subtracted by making contact to some physical observable , e.g. **kinetic scheme or the 1S scheme** [Bigi et al.95, Hoang et al 99]
- OPE-treatable HF decays in two separate steps: heavy quark decay + final hadron composition second step not determine gross characteristic like total rates, etc. (duality)
 - Duality violation effects are hard to classify; in practice they would appear as unnaturally large coefficients of higher order terms in the expansion.

duality assumed
- Threshold resummation (vs **fixed order**) of large scale [Aglietti et al 07, Di Giustino et al 2011]

$|V_{cb}|$ results

global fit to lepton energy and hadronic mass moments in the kinetic mass scheme (full order α_s^2)



Courtesy of C. Bozzi

The fit constrains only a linear combination of m_b and m_c .
To precisely determine m_b , two (alternative) constraints

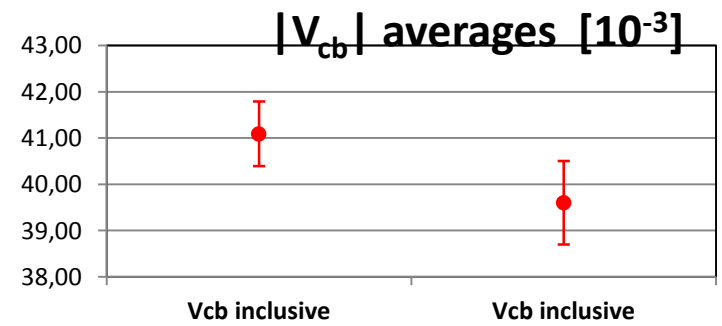
- 1) photon energy moments in $B \rightarrow X_s \gamma$ [Benson, Bigi, Uraltsev 05]
- 2) precise c-quark mass in the \overline{MS} scheme, $m_c(3 \text{ GeV}) = 0.998(29) \text{ GeV}$ [Dehnadi et al 2011]

$$|V_{cb}|_{\text{incl}} = (41.9 \pm 0.7) \times 10^{-3}$$

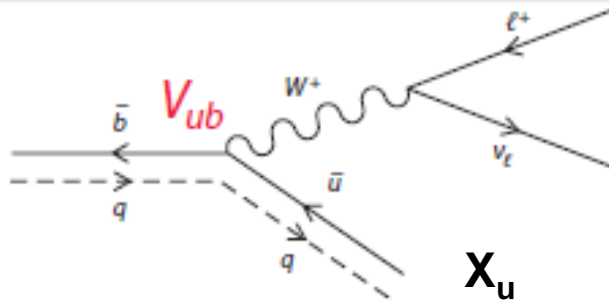
COMPARE AVERAGES

$$|V_{cb}| = (40.0 \pm 1.1) \times 10^{-3}$$

scaled incl-excl average (PDG 12)



Inclusive $|V_{ub}|$



large $b \rightarrow c$ background ($|V_{cb}/V_{ub}|^2 \approx 100$)

Need experimental phase space cuts to reduce background;
in general

$$m_X \ll E_X$$

Phase space regions where OPE fails become dominant; new
unwelcome effects (with respect to semileptonic $b \rightarrow c$):

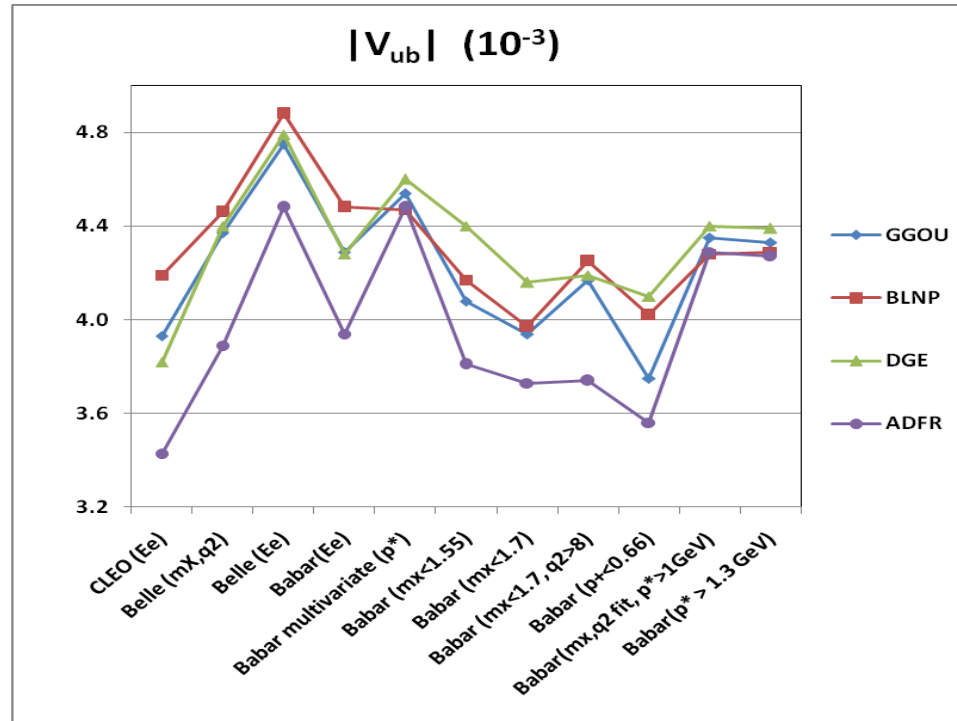
- Final gluon radiation strongly inhibited: soft and collinear singularities
- perturbative expansion of spectra affected by large logarithms

$$a_s^n \log^{2n}(2 E_X/m_X)$$
 to be resummed at all orders in PT
- non-perturbative effects related to a small vibration of the b quark in the B meson (Fermi motion) enhanced at $m_X^2 \approx \Lambda_{\text{QCD}} E_X$

Extraction of $|V_{ub}|$: routes to progress

- Enlarge experimental range
 - Belle results 09 access 90% data, claimed overall uncertainty of 7% on $|V_{ub}|$ (actually low ($<1/10$) signal-to-background ratio in the threshold region)
- Enlarge theoretical prospective
from HFAG
 - predictions based on parameterizations of shape function, and OPE constraints
 - BLNP Bosch, Lange, Neubert, Paz
 - GGOU Gambino, Giordano, Ossola, Uraltsev
 - predictions based on resummed pQCD
 - DGE Dressed Gluon Exponentiation Andersen, Gardi
 - ADFR Aglietti, Di Lodovico, Ferrera, GR
 - global fit of shape function, $|V_{ub}|$ and m_b (also data on $B \rightarrow Xs \gamma$)
 - SIMBA Tackmann, Lacker, Ligeti, Stewart...

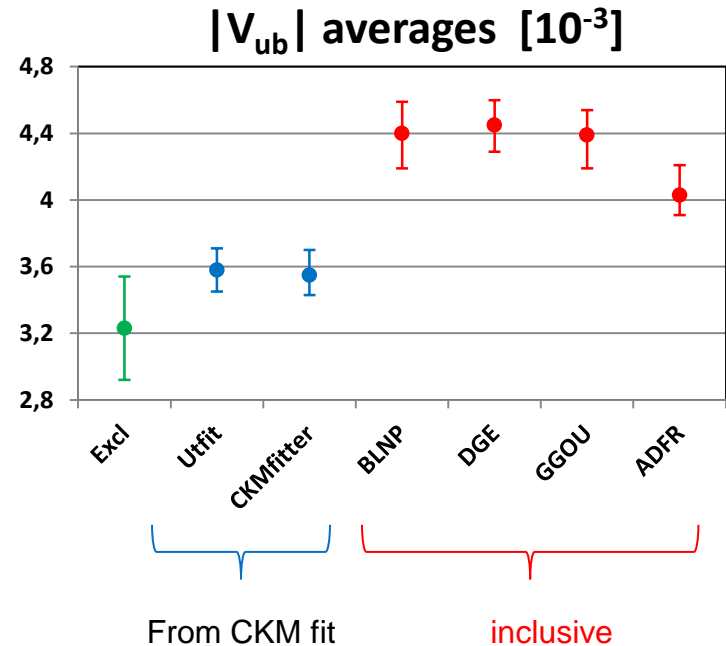
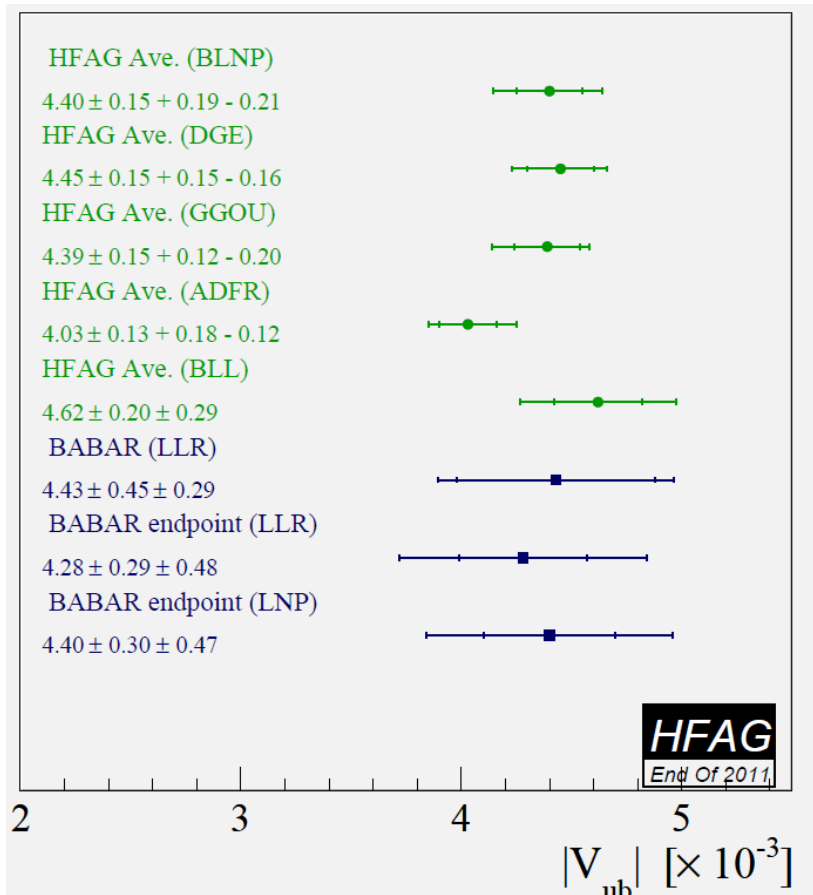
Fits to updated data



Data from HFAG (end of 2011)

- Spread among calculations comparable to quoted theoretical (non-parametric) errors

Resulting averages



BLL= Bauer, Ligeti, Luke,
LLR= Leibovich, Low, Rothstein
LNP= Lange, Neubert, Paz

SF Model independent
extraction (only Babar data)

**At SuperFlavour factories
(75 ab⁻¹) errors expected to
reduce to 3 % (excl) 2%
(incl)**

Semileptonic D meson decays: (the same, only different)

$$b \rightarrow c(u) \ell \nu \quad \longrightarrow \quad c \rightarrow s(d) \ell \nu$$

Extraction of $|V_{cd}|$ $|V_{cs}|$

More precise measurements using leptonic decays which depends on $f_{D(s)}$ (see talk of Bozek):

$$D_{(s)}^+ \rightarrow \ell^+ \nu$$

$$\Gamma(D_{(s)}^+ \rightarrow \ell^+ \nu) = \frac{G_F^2}{8\pi} f_{D(s)}^2 m_\ell^2 M_{D(s)}^+ \left(1 - \frac{m_\ell^2}{M_{D(s)}^2}\right)^2 |V_{cq}|^2$$

present lattice and exp uncertainties reflect on $|V_{cd}|$ $|V_{cs}|$ up to $\approx 6\%$

PDG12

(recent QCD sum rules for form factor general agreement, larger errors)

(Lucha et al 11....)

Complementary role of semileptonic decays

$$D \rightarrow (K, \pi) \ell^+ \nu$$

$$\frac{d\Gamma}{dq^2} = \frac{G_F^2 P_h^3}{24\pi^3} |V_{cs,cd}|^2 |f_+(q^2)|^2$$

Only one form factor neglecting lepton masses

Uncertainty not too different than leptonic ($\approx 10\%$)

By using unquenched lattice+ average recent exp results

$$|V_{cs}| = 0.98 \pm 0.01 \pm 0.10 \quad |V_{cd}| = 0.229 \pm 0.006 \pm 0.024$$

PDG12

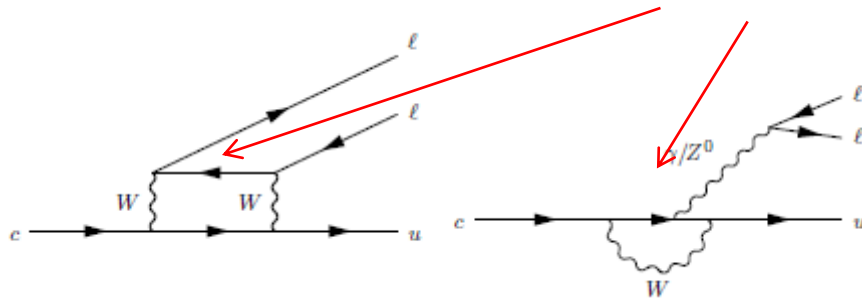
First error is exp and the second from th uncertainty on form factors

Improvements to be expected:

lattice FNAL/MILC & ETMC calculation in progress

Rare Decays

FCNC in charm sector more suppressed than in the B sector, due to more efficient GIM mechanism (internal down-type quarks-not so different masses)



SM contribution is dominated by long distance effects

$$D \rightarrow X_u \ell^+ \ell^- \quad \text{order } (10^{-8})$$

(can be reached at SuperFlavour machines)

Fajfer 01, Burdman 02...
Asner 07...

Intermediate resonances (of larger branching ratios) separated from those due to short-range processes by applying selection criteria on the invariant mass of the leptonic pair

New physics enhancements not completely ruled out

Paul et al 11, ...

Conclusions

Semileptonic B and D decays on a well deserved podium to extract CKM matrix elements and to validate theoretical tools

Long standing $|V_{ub}|$ discrepancy between exclusive, inclusive and UT fits determinations; tensions with SM in some observables (e.g. isospin asymmetry in $B \rightarrow K \mu^+ \mu^-$ at LHCb...)

CKM determinations in charm sectors consequential for other processes as well

Encouraging and impressive recent experimental progresses (notably LHCb and BES III joining the arena)

SO...

**Stay tuned for progress in 2012,
year of the dragon!**

Thank you

