

A scenic view of a rocky coastline. The foreground shows a pebbly beach with waves washing onto it. The water is a vibrant turquoise color, and several large, dark brown rocks are scattered throughout the scene. The sky is a deep blue with some light, wispy clouds. The overall atmosphere is bright and clear.

# **CMS results on Soft and Hard Diffraction**

**Christina Mesropian  
The Rockefeller University**

# OUTLINE

□ Inclusive/soft diffraction at 0.9, 2.36, 7 TeV

*CMS PAS FWD-10-007, FWD-10-001*

□ W/Z with rapidity gaps

*Eur.Phys.J.C72:1839,2012*

□ Diffractive dijets

*CMS PAS FWD-10-004*

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## CMS talks at this workshop:

Recent CMS results on soft QCD and MPI  
Recent CMS results on exclusive production  
Recent CMS results on small-x QCD

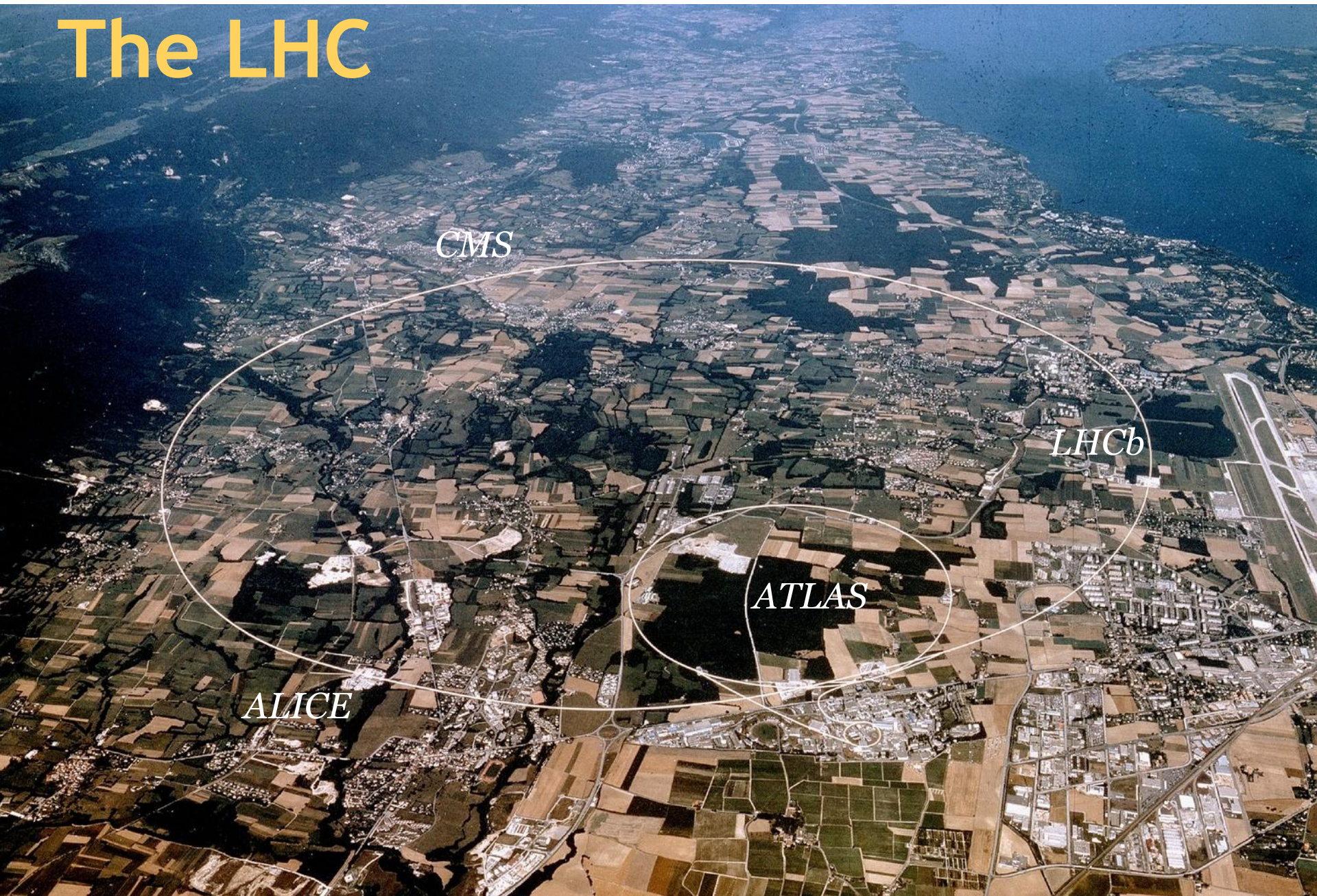
*Sunil Bansal*  
*Wenbo Li*  
*Grzegorz Brona*

**Many more results at:**

**<https://twiki.cern.ch/twiki/bin/view/CMSPublic/PhysicsResultsFSQ>**

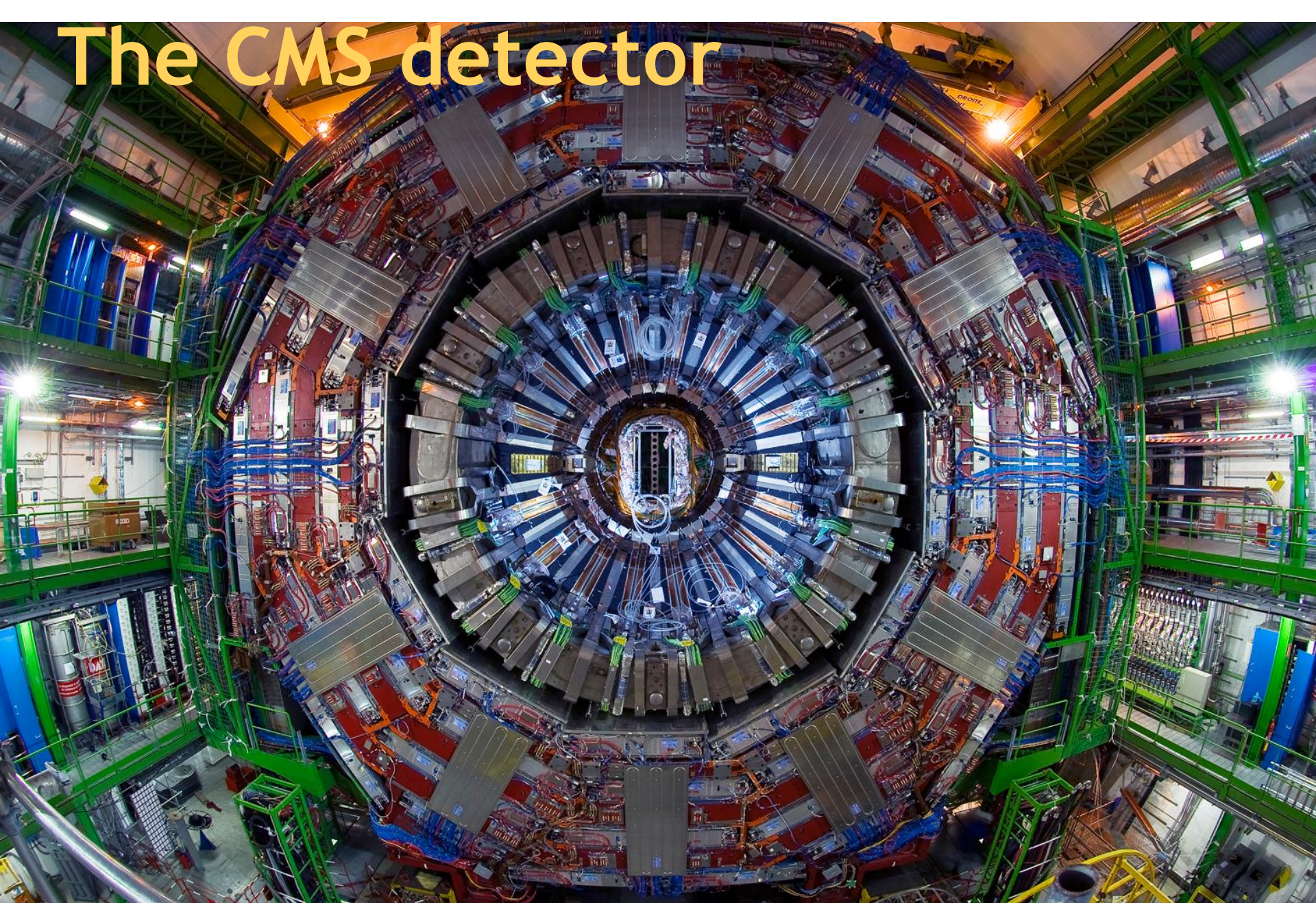


# The LHC

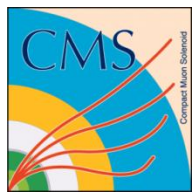




# The CMS detector

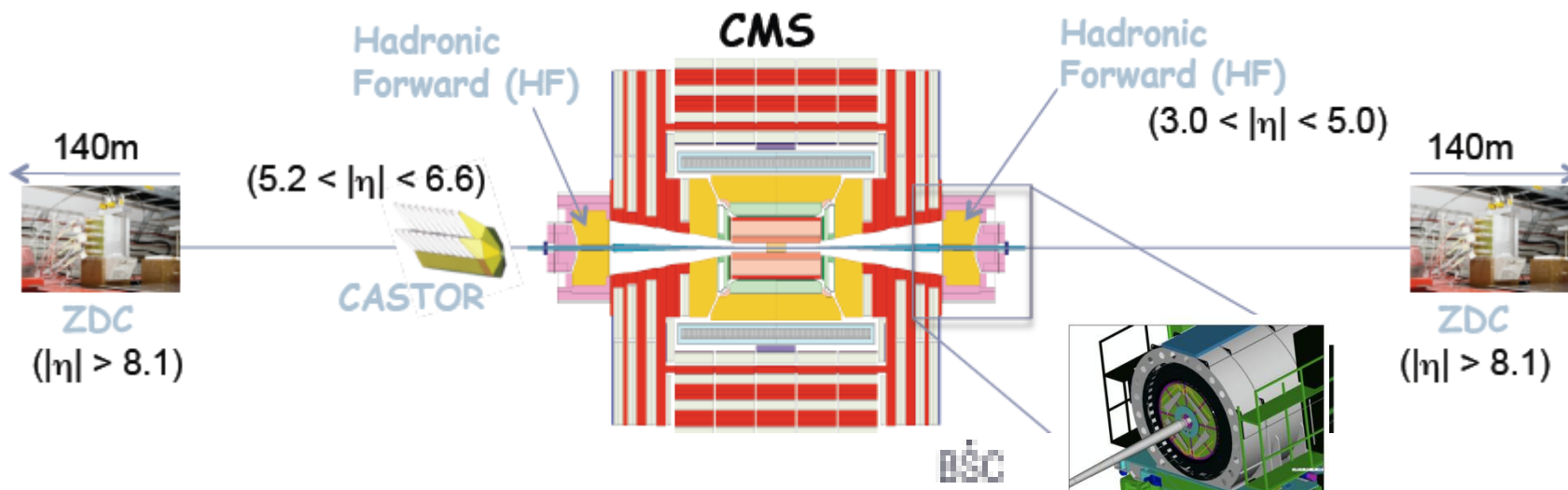






# CMS Detectors

*N.B. only detectors highlighted in blue are used in these analyses*



## Calorimetry

Hadronic Forward (HF)	$ \eta  < 5.2$
Electromagnetic calorimeter	$ \eta  < 3.0$

## Tracking

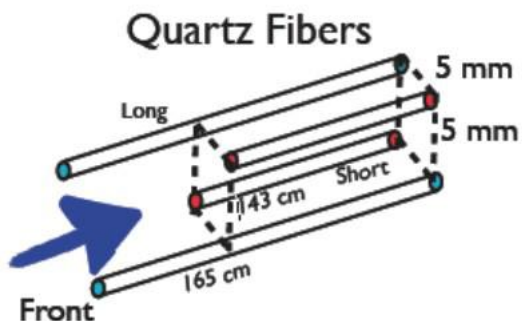
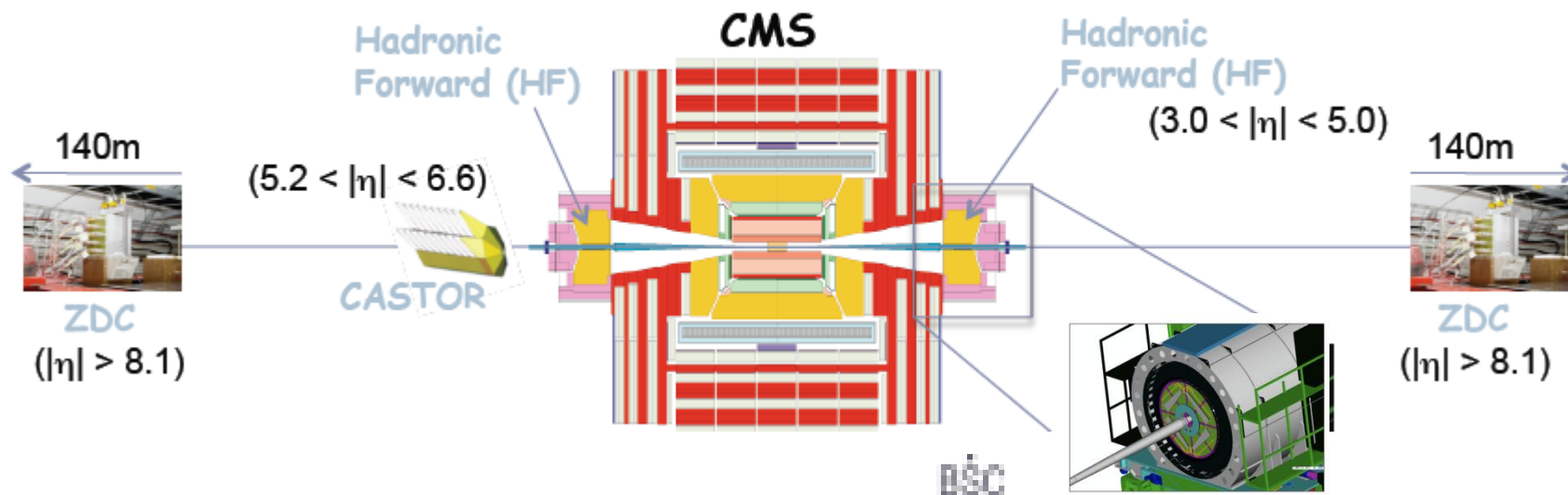
$|\eta| < 2.4$ ,  $p_T$  to  $\sim 100\text{MeV}$

## Muons

$|\eta| < 2.4$ ,  $p_T > 3\text{GeV}$  (barrel)

# CMS Detectors

*N.B. only detectors highlighted in blue are used in these analyses*



## Hadron Forward (HF):

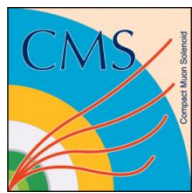
at 11.2m from interaction point

Rapidity coverage:  $3 < |\eta| < 5$

Steel absorbers/quartz fibers  
(Long+short fibers)

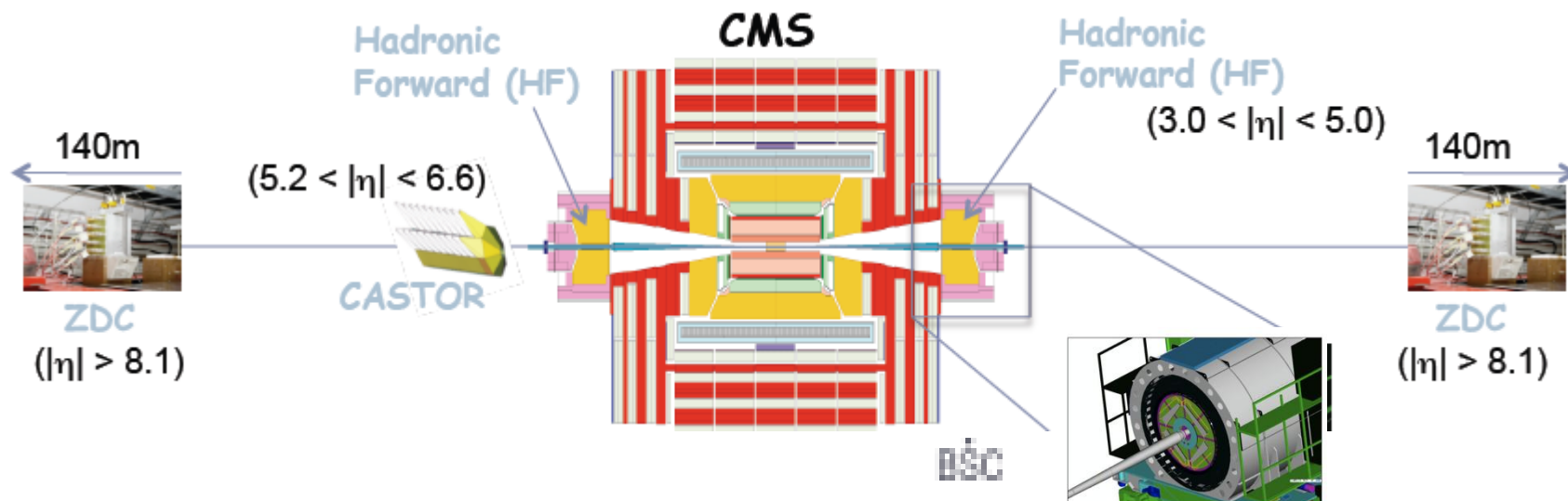
0.175x0.175  $\eta/\phi$  segmentation





# CMS Forward Detectors

*N.B. only detectors highlighted in blue are used in these analyses*



**CASTOR:**  $-5.2 > \eta > -6.6$  (one side only)

**Zero Degree Calorimeter (ZDC):**  $|\eta| > 8.1$

**Beam Scintillator Counters (BSC):**  
10.9 m from IP5, used for minimum bias triggers in 2010

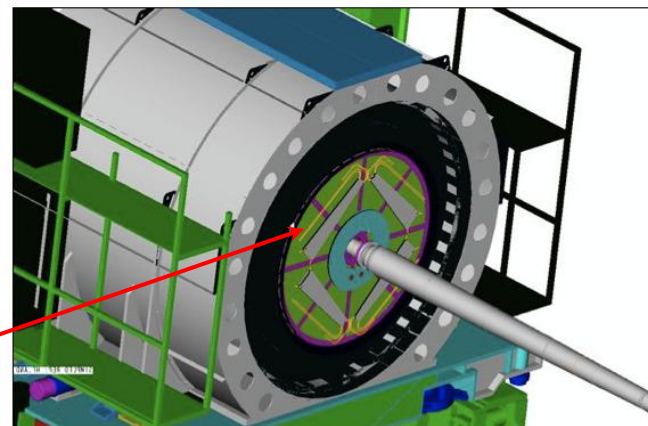
# Soft Diffraction at CMS

## Events are selected

by triggering on

- a signal in the beam pickups (BPTX), and
- a hit in either of the BSCs

*Beam Scintillator Counter*  
( $3.2 < |\eta| < 4.7$ )



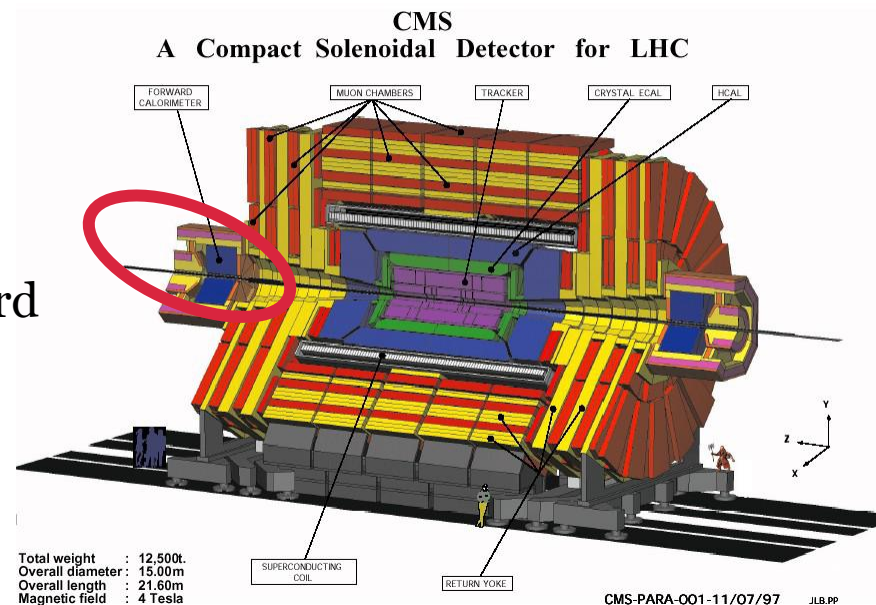
## Require:

- High quality primary vertex
- Beam halo and beam background reduction

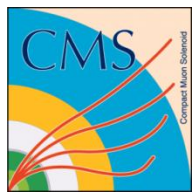
## Measure:

activity in one of the hadronic forward calorimeters (HF),  $2.9 < |\eta| < 5.2$ .

variables  $\Sigma(E \pm p_z)$  proportional to  $\xi$   
the momentum loss of the  $p$  using  
information from all final state  
particles





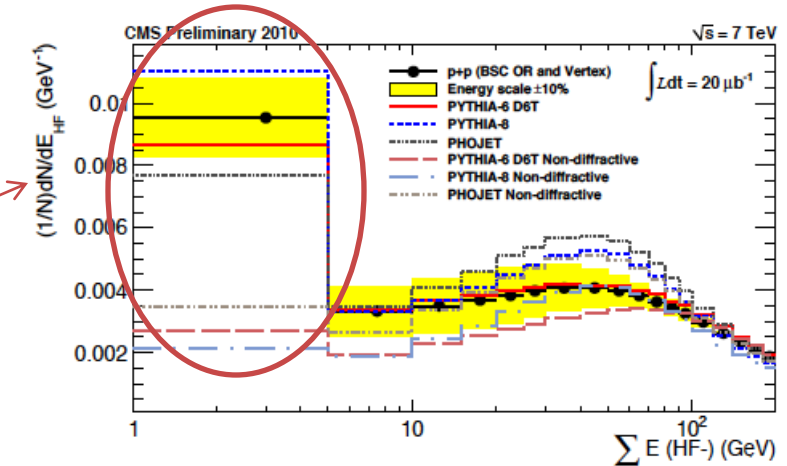


# Soft Diffraction

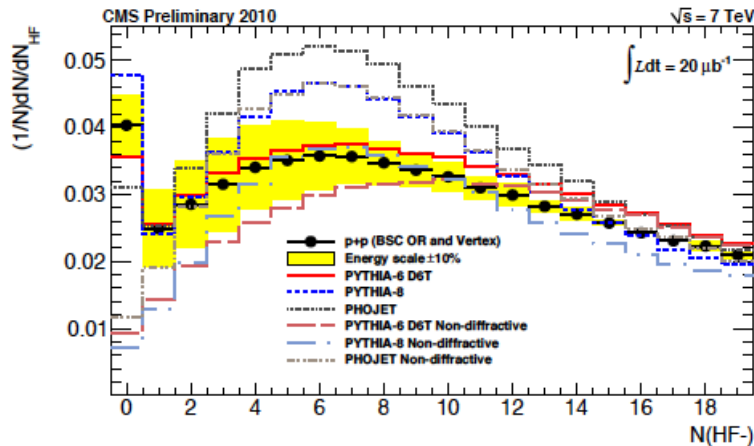
Data compared to Pythia 6, Pythia 8 and Phojet with and without diffraction

At low energy deposits (“LRG”) – ND predictions underestimate the data by a factor of 4-5.

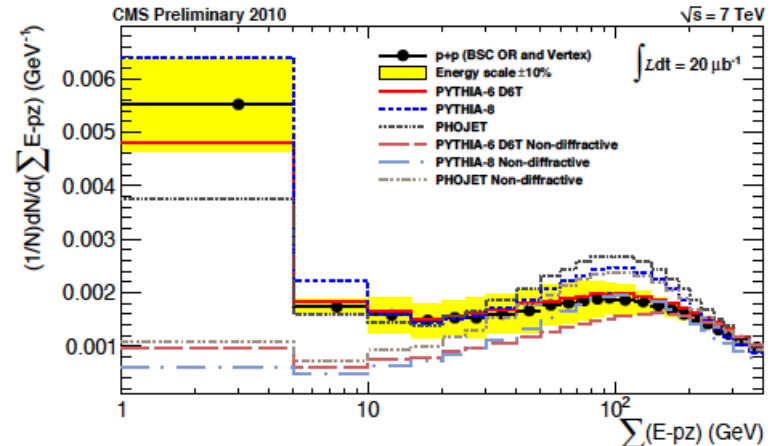
**None of the models describe all features of the data**



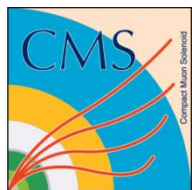
*Energy deposit in one of the HF's*



*Number of towers in one of the HF's*



*$\sum(E-p_z) \sim$  to momentum loss of the p*



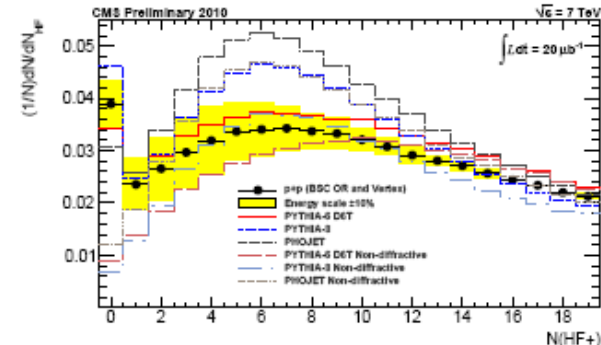
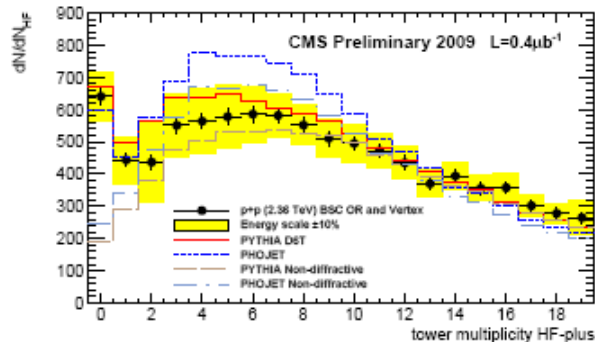
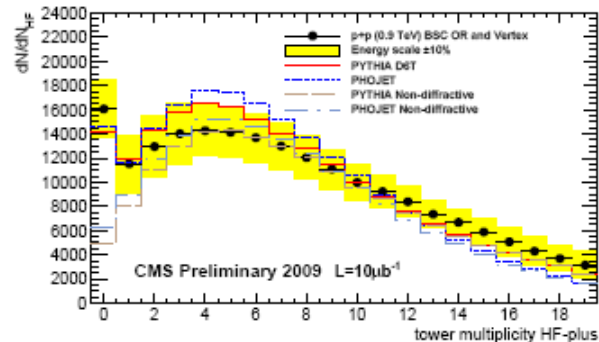
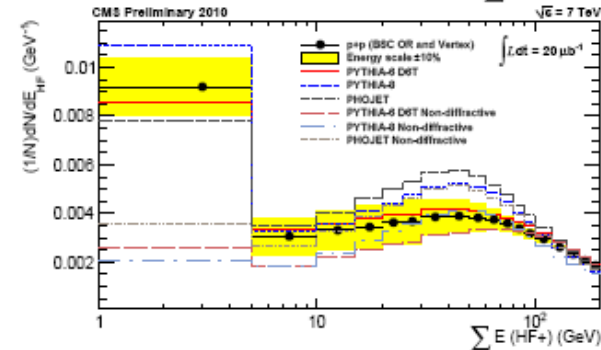
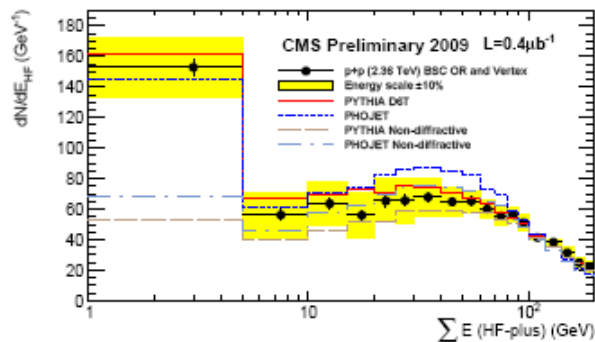
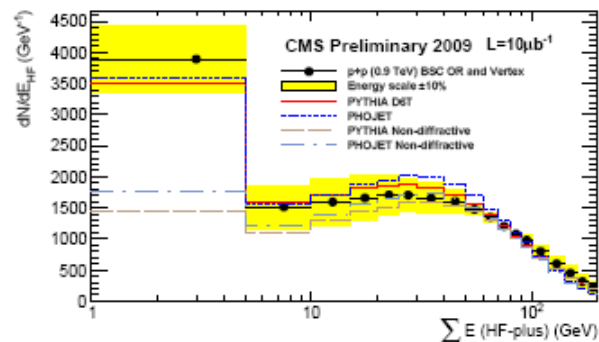
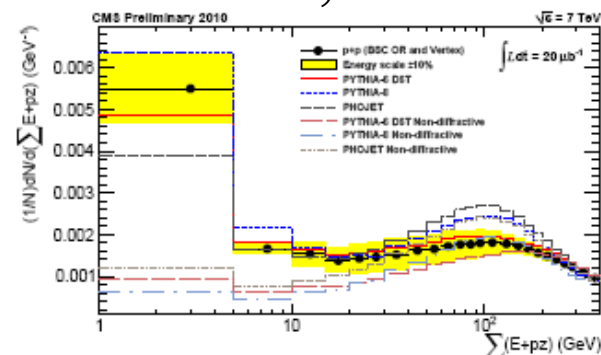
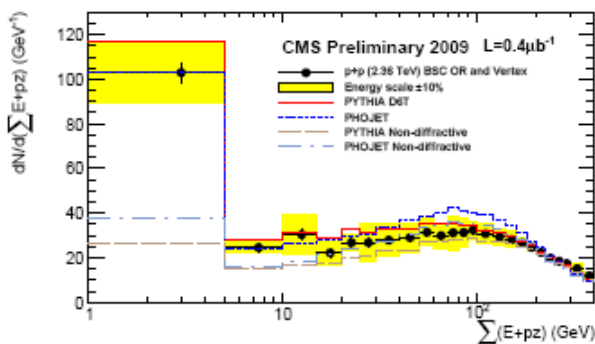
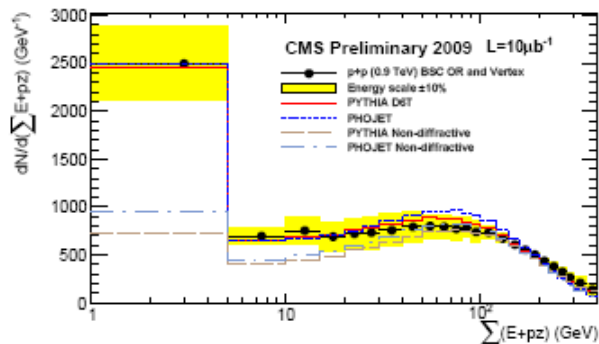
# Observation of Diffraction

$\sqrt{s}=0.9$  TeV

$\sqrt{s}=2.36$  TeV

$\sqrt{s}=7$  TeV

CMS PAS FWD-10-001, FWD-10-007







# Diffractive W/Z Production

*Eur.Phys.J.C72:1839,2012*

*Analysis based on full 2010 dataset ( $36\text{pb}^{-1}$ )*

## Events are selected

**by triggering on**

standard high- $p_T$  lepton triggers,  
W/Z selection based on inclusive  
cross-section measurements

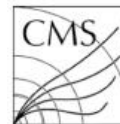
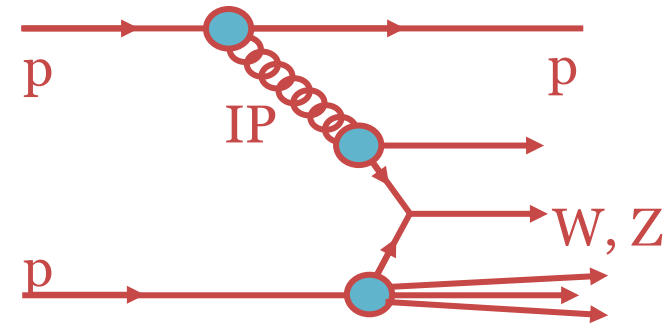
## Require:

**to suppress pile-up**

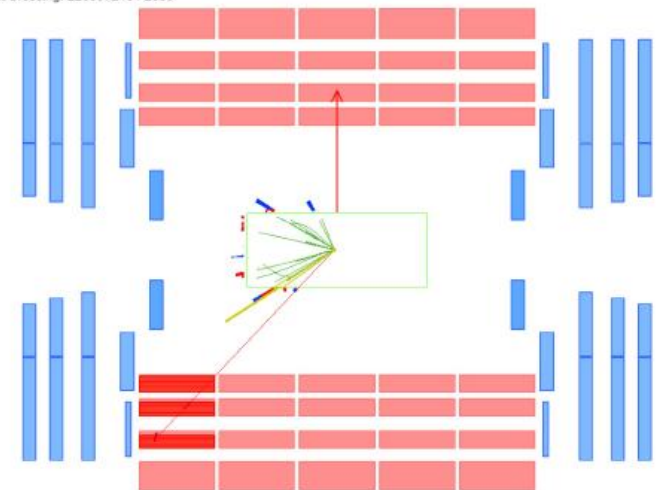
only W/Z events with a single-vertex are used  
Residual contamination from soft pileup  
events studied in MC, and in data as a  
function of average instantaneous  
luminosity

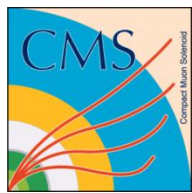
**for diffractive selection:**

no energy deposit in HF  
LRG, Calo. Tower Energy  $> 4$  GeV



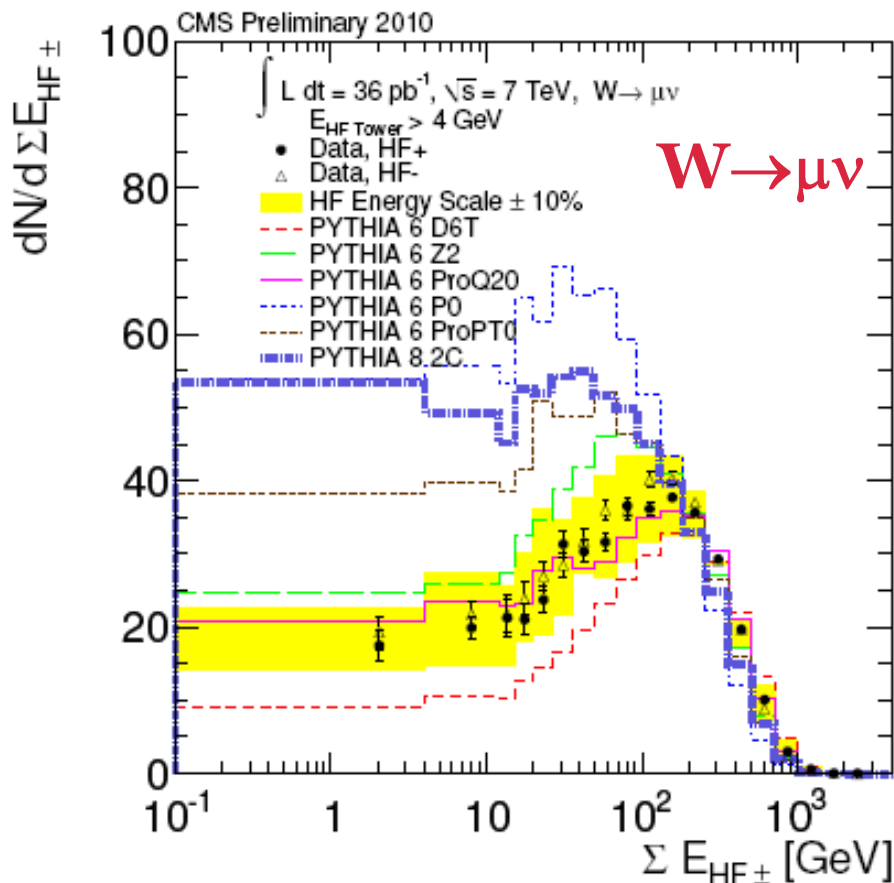
CMS Experiment at LHC, CERN  
Data recorded: Fri Sep 24 09:01:35 2010 CEST  
Run/Event: 146514 / 539240623  
Lumi section: 864  
Orbit/Crossing: 226397216 / 2689





# W/Z events with rapidity gaps

*Eur.Phys.J.C72:1839,2012*



Measure the fraction of gap events, and compare to various models

Wide range of predictions

Excess of gap events compared to Pythia 6 D6T tune

Deficit compared to Pythia 6 Z2, Pythia 8

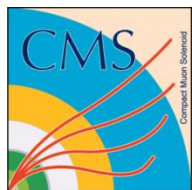
Monte Carlo generators cannot describe the data

**Fraction of W/Z events with a forward gap:**

**$W \rightarrow \nu\ell$ :  $1.46 \pm 0.09(\text{stat.}) \pm 0.38(\text{syst.}) \%$**

**$Z \rightarrow \ell\ell$ :  $1.60 \pm 0.25(\text{stat.}) \pm 0.42(\text{syst.}) \%$**





# W/Z events with rapidity gaps

*Eur.Phys.J.C72:1839,2012*

## Alternative approach –

exploit asymmetry between signed lepton and the gap side

Gap and lepton on **same side**

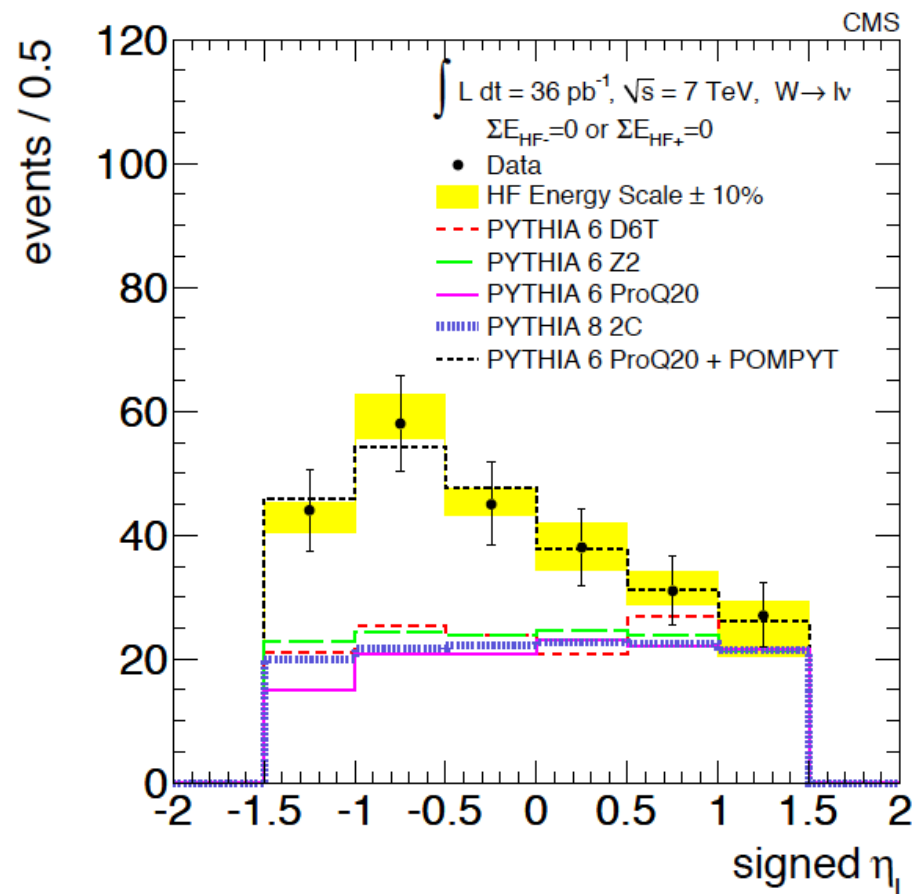
→  $\eta_1$  **positive**

Gap and lepton on **opposite sides**

→  $\eta_1$  **negative**

Large asymmetry in models including hard diffraction (POMPYT)

No significant asymmetry in non-diffractive PYTHIA W/Z samples  
~independent of the tune



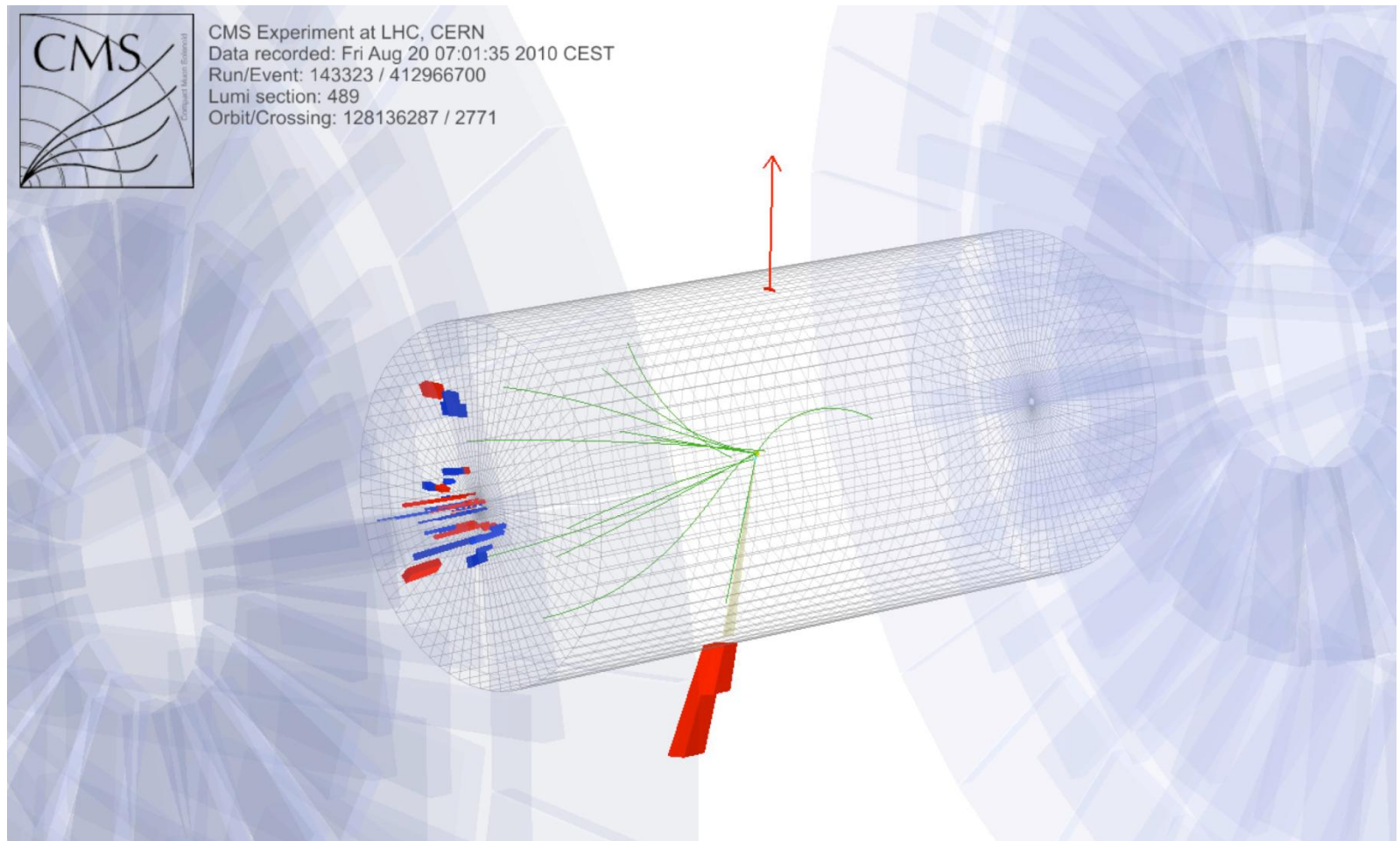
**Diffractive component in LRG W/Z sample**  
 **$50.0 \pm 9.3(\text{stat.}) \pm 5.2(\text{syst.}) \%$**



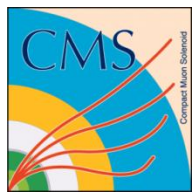
*fitted value from MC mix of POMPYT and ND PYTHIA*



# Diffractive W Event Candidate

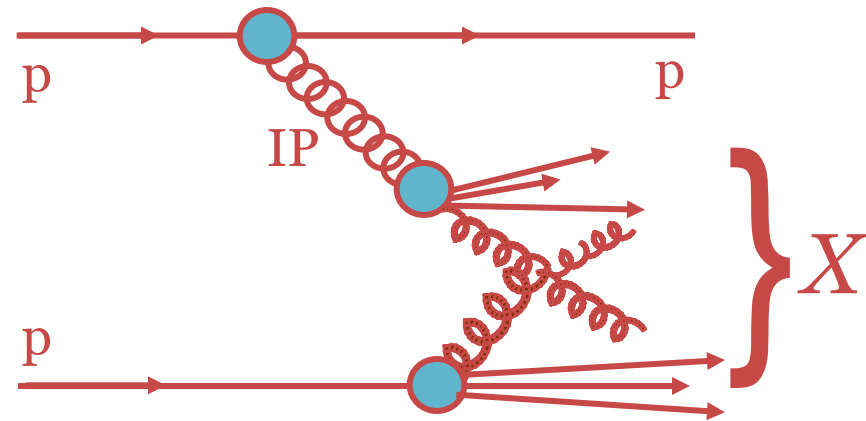
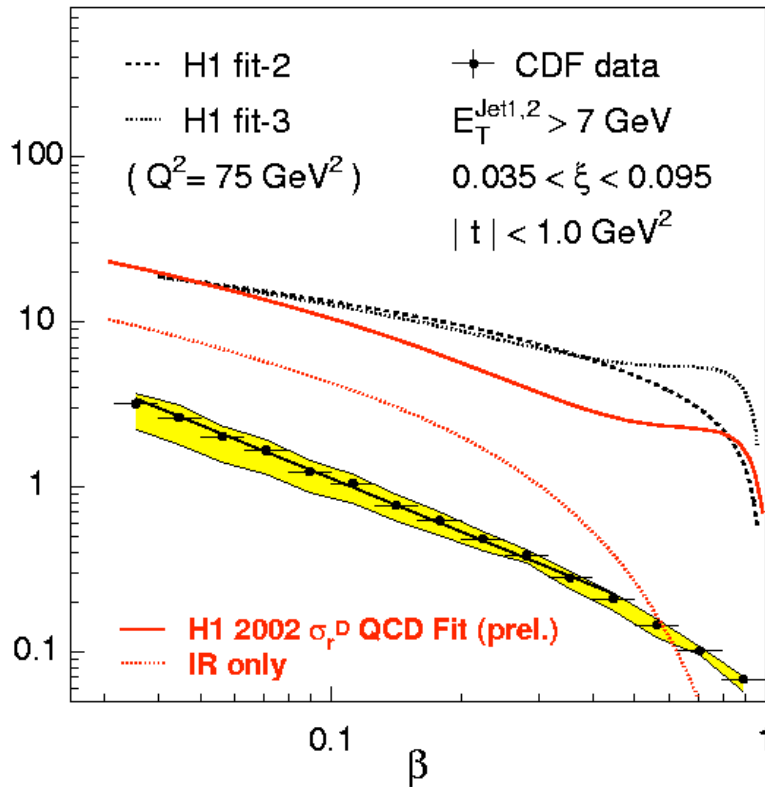






# Diffractive Dijet Production

Previous measurements of hard diffractive processes in pp (Tevatron), ep (HERA)

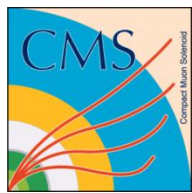


$\xi = M_X^2/s \sim$  fractional momentum loss of the scattered  $p$

$$f_{diff}(\xi, t, x_1, \mu) = f(\xi, t) f_P(x_1, \mu)$$

$f(\xi, t)$  is labeled as *dPDF*  
 $f_P(x_1, \mu)$  is labeled as *Pomeron str. function*  
 $f_p(x_2, \mu)$  is labeled as *PDF*

$$d^2\sigma/d\xi dt = \sum x_1 x_2 f(\xi, t) f_P(x_1, \mu) f_p(x_2, \mu) \hat{\sigma}$$



# Diffractive Dijet Production

*Analysis based on low pile-up 2010 data ( $2.7 \text{ nb}^{-1}$ )*

**Events are selected**

**by triggering on**

single jets with  $p_T > 6 \text{ GeV}$   
anti- $k_T$  algorithm  $R=0.5$

**Require:**

**off-line cuts**

at least 2 jets with  
 $E_T > 20 \text{ GeV}$  and  $|\eta| < 4.4$

Standard vertex and track quality selections

**for  $\xi$  reconstruction:**

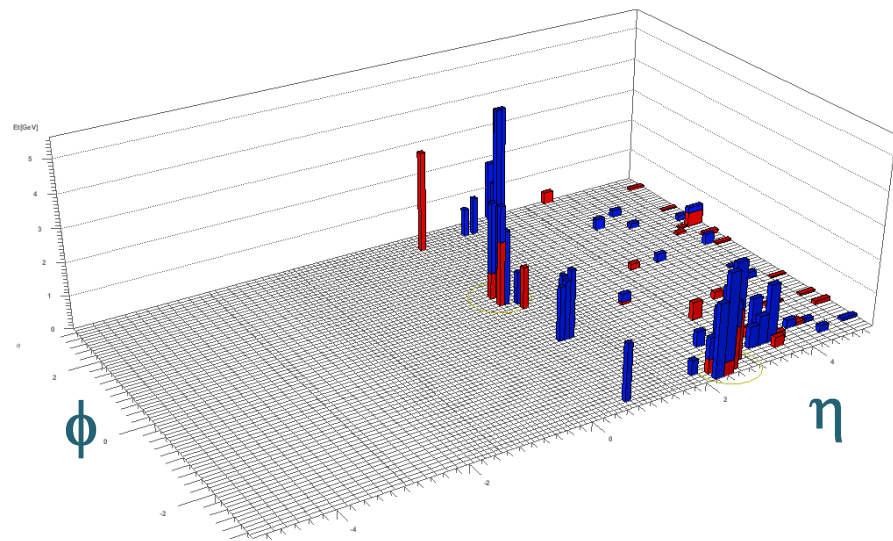
Based on Particle Flow (PF) objects above  
noise threshold

global event reconstruction, combining  
charged tracking and calorimetry



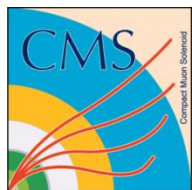
CMS Experiment at LHC, CERN  
Data recorded: Sat Apr 24 05:25:36 2010 CEST  
Run/Event: 133874 / 22902855  
Lumi section: 317

*CMS PAS FWD-10-004*



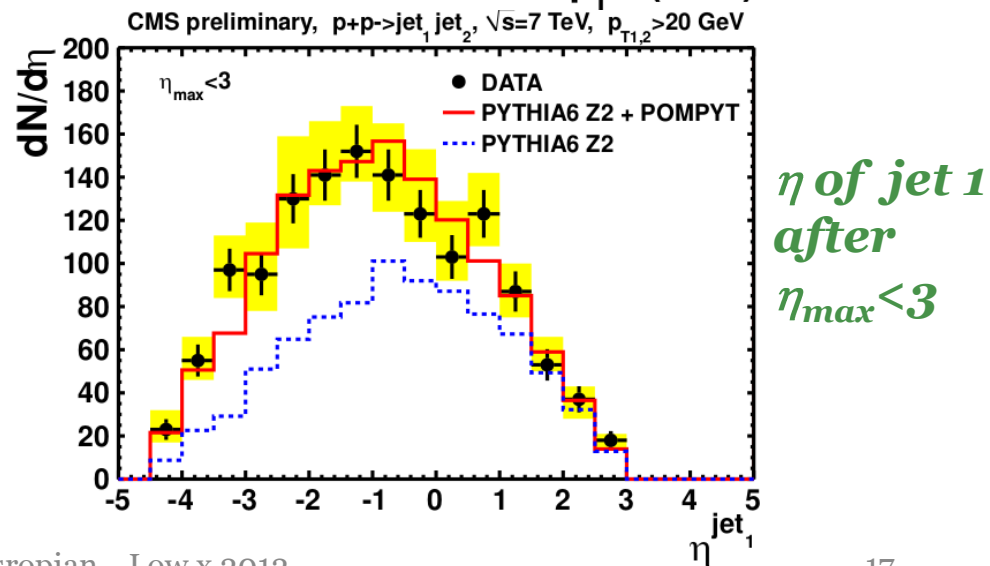
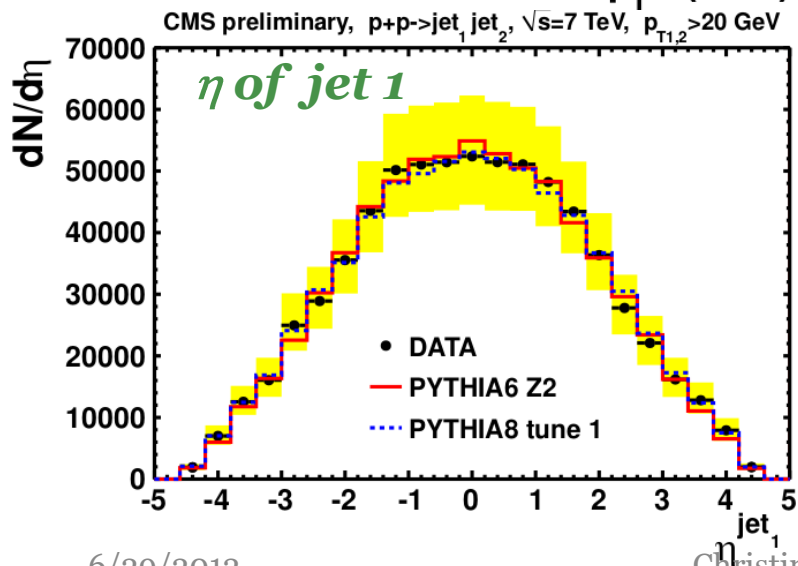
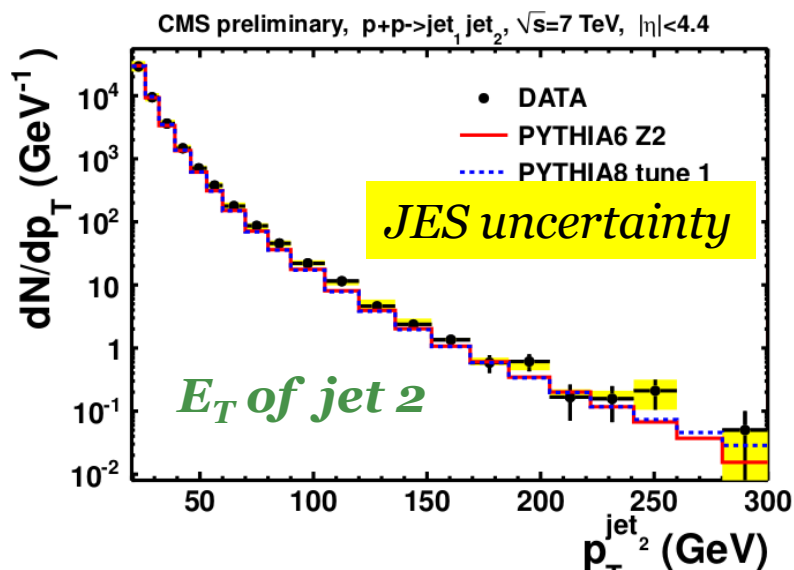
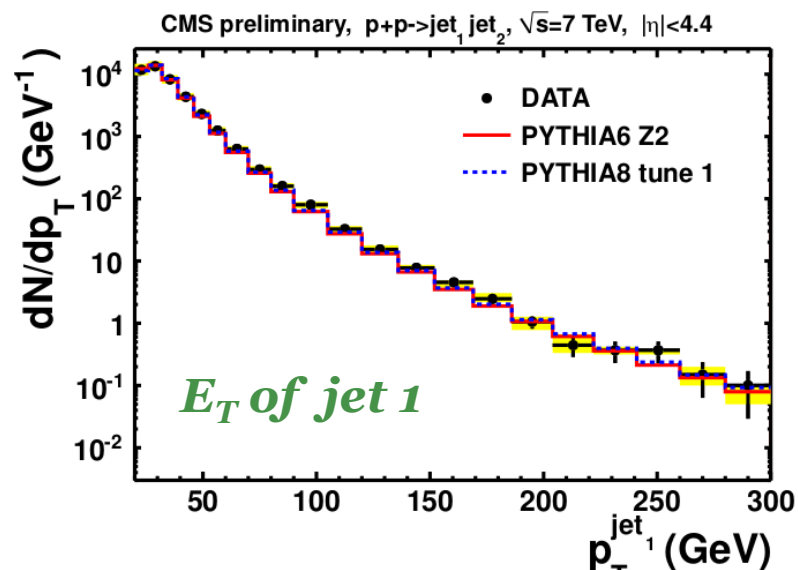
$p_T(\text{jet1}) = 43.5 \text{ GeV}$ ,  $p_T(\text{jet2}) = 36.9 \text{ GeV}$   
 $\eta(\text{jet1}) = 0.83$ ,  $\eta(\text{jet2}) = 2.55$

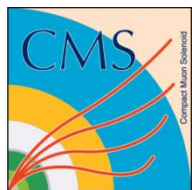




# Dijets: Kinematic distributions

CMS PAS FWD-10-004





# Diffractive Dijets

## To enhance diffractive contribution:

Require the most forward(backward) PF particle in the event satisfy  $\eta_{\max} < 3$  ( $\eta_{\min} > -3$ )

Corresponds to a gap of 1.9 units no Particle Flow objects in HF with energy deposit  $> 4$  GeV

$$\tilde{\xi}^{\pm} = C \sum (E \pm p_Z) / \sqrt{s}$$

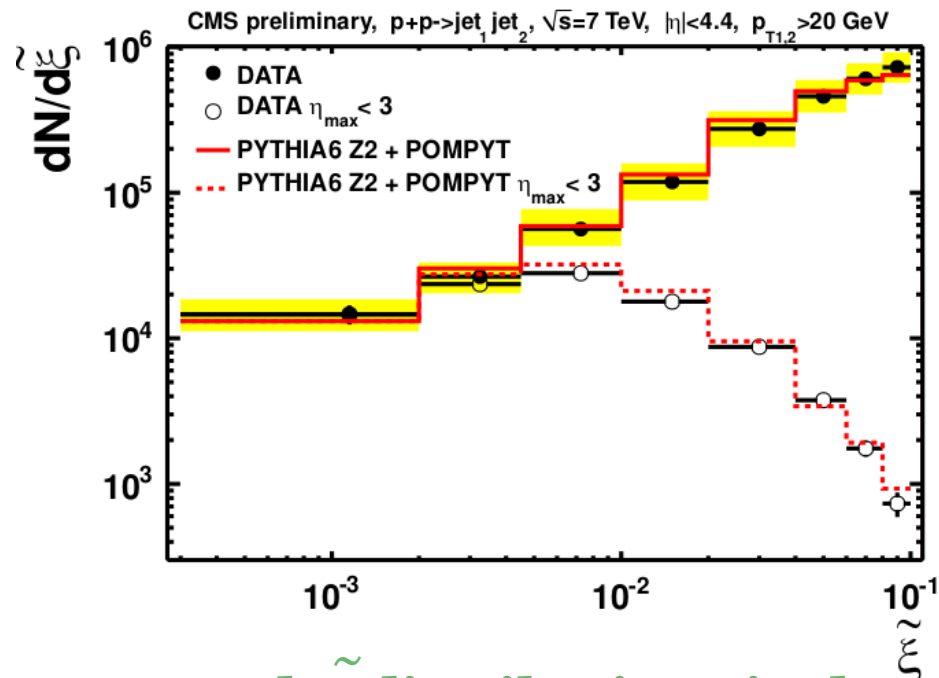
summed over all final state particles with  $\eta < 4.9$  ( $\xi^+$ ) or  $\eta > -4.9$  ( $\xi^-$ ):

$C$  – correction factor determined from MC by comparing generated and reconstructed values of  $\xi$

Definition converges to “true”

$$\xi = M_X^2 / S \text{ for SD events with low-}\xi$$

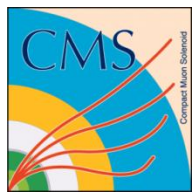
CMS PAS FWD-10-004



*Reconstructed  $\tilde{\xi}$  distributions in data and MC after  $\eta_{\max} < 3$  ( $\eta_{\min} > -3$ ) cuts*

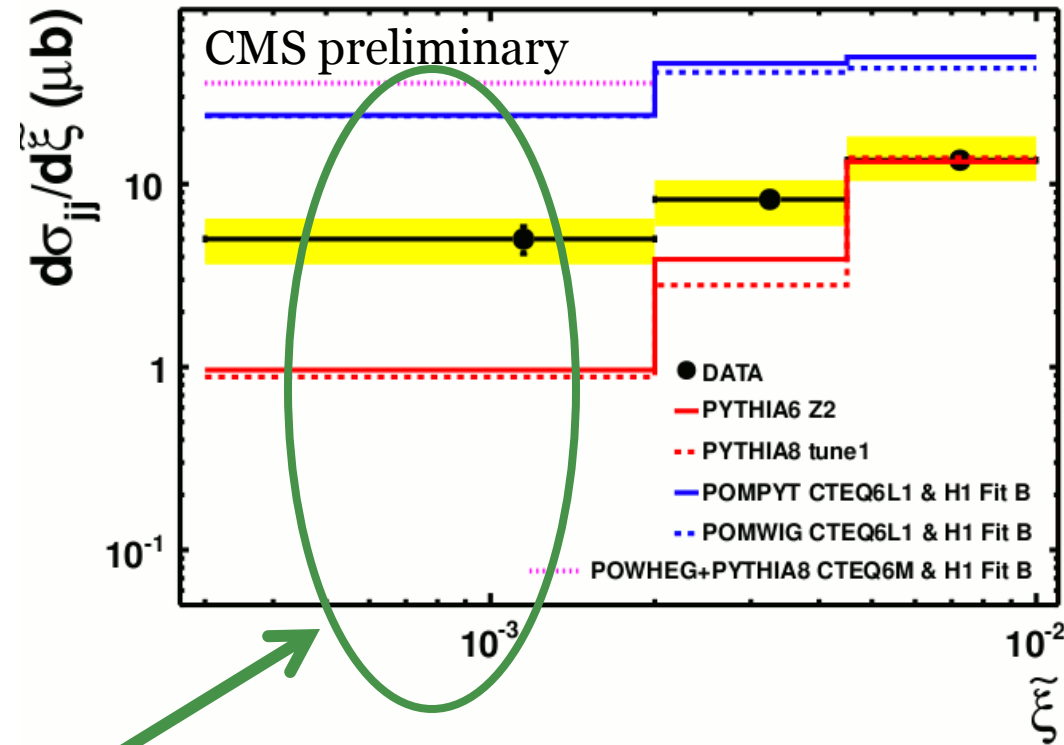
Distributions are described by combination of POMPYT and PYTHIA6 Z2, the relative contributions determined from the fit to  $\xi$  before  $\eta_{\max/\min}$  cuts





# Differential Cross Section for Dijet Production as a function of $\tilde{\xi}$

CMS PAS FWD-10-004



low- $\tilde{\xi}$  events dominantly diffractive

$\tilde{\xi}$ bin	$\Delta\sigma_{jj}/\Delta\tilde{\xi}$ ( $\mu\text{b}$ )
$0.0003 < \tilde{\xi} < 0.002$	$5.0 \pm 0.9(\text{stat.})_{-1.4}^{+1.5}(\text{syst.})$
$0.002 < \tilde{\xi} < 0.0045$	$8.2 \pm 0.9(\text{stat.})_{-2.3}^{+2.3}(\text{syst.})$
$0.0045 < \tilde{\xi} < 0.01$	$13.5 \pm 0.9(\text{stat.})_{-3.1}^{+4.7}(\text{syst.})$

$$\frac{d\sigma_{JJ}}{d\tilde{\xi}} = \frac{N_{jj}^i}{L \cdot \epsilon \cdot A^i \cdot \Delta\tilde{\xi}^i}$$

Significant excess over PYTHIA6 D6T/PYTHIA8 at low  $\tilde{\xi}$

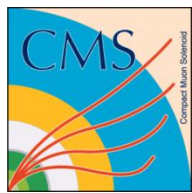
Deficit compared to diffractive POMWIG/POMPYT MC's without gap survival effects

Interpret in terms of "gap survival" under different model assumptions

upper limit

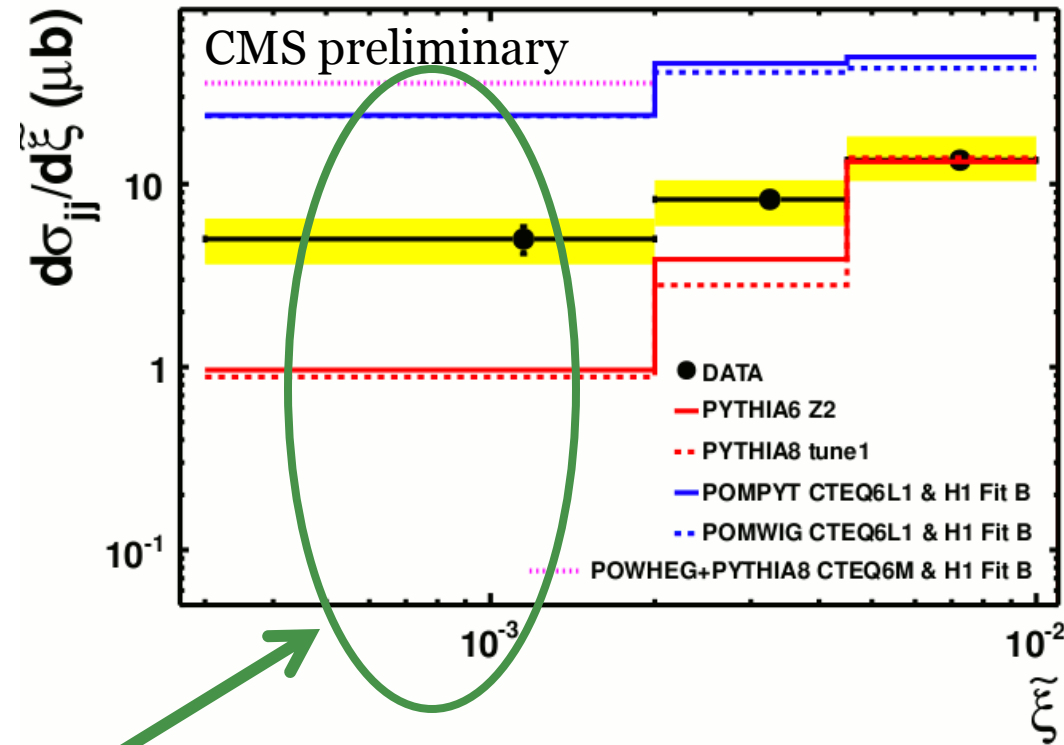
$$S_{\text{data/MC}}^2 = 0.21 \pm 0.07 \text{ (LO MC)}$$

$$S_{\text{data/MC}}^2 = 0.14 \pm 0.05 \text{ (NLO MC)}$$



# Differential Cross Section for Dijet Production as a function of $\tilde{\xi}$

CMS PAS FWD-10-004



**low- $\tilde{\xi}$  events dominantly diffractive**

$\tilde{\xi}$ bin	$\Delta\sigma_{jj}/\Delta\tilde{\xi}$ ( $\mu\text{b}$ )
$0.0003 < \tilde{\xi} < 0.002$	$5.0 \pm 0.9(\text{stat.})_{-1.4}^{+1.5}(\text{syst.})$
$0.002 < \tilde{\xi} < 0.0045$	$8.2 \pm 0.9(\text{stat.})_{-2.3}^{+2.3}(\text{syst.})$
$0.0045 < \tilde{\xi} < 0.01$	$13.5 \pm 0.9(\text{stat.})_{-3.1}^{+4.7}(\text{syst.})$

$$\frac{d\sigma_{JJ}}{d\tilde{\xi}} = \frac{N_{jj}^i}{L \cdot \epsilon \cdot A^i \cdot \Delta\tilde{\xi}^i}$$

Significant excess over PYTHIA6 D6T/PYTHIA8 at low  $\tilde{\xi}$

Deficit compared to diffractive POMWIG/POMPYT MC's without gap survival effects

Interpret in terms of “gap survival” under different model assumptions

*after taking into account proton dissociation*

$$S^2_{\text{data/MC}} = 0.12 \pm 0.05 \text{ (LO MC)}$$

$$S^2_{\text{data/MC}} = 0.08 \pm 0.04 \text{ (NLO MC)}$$

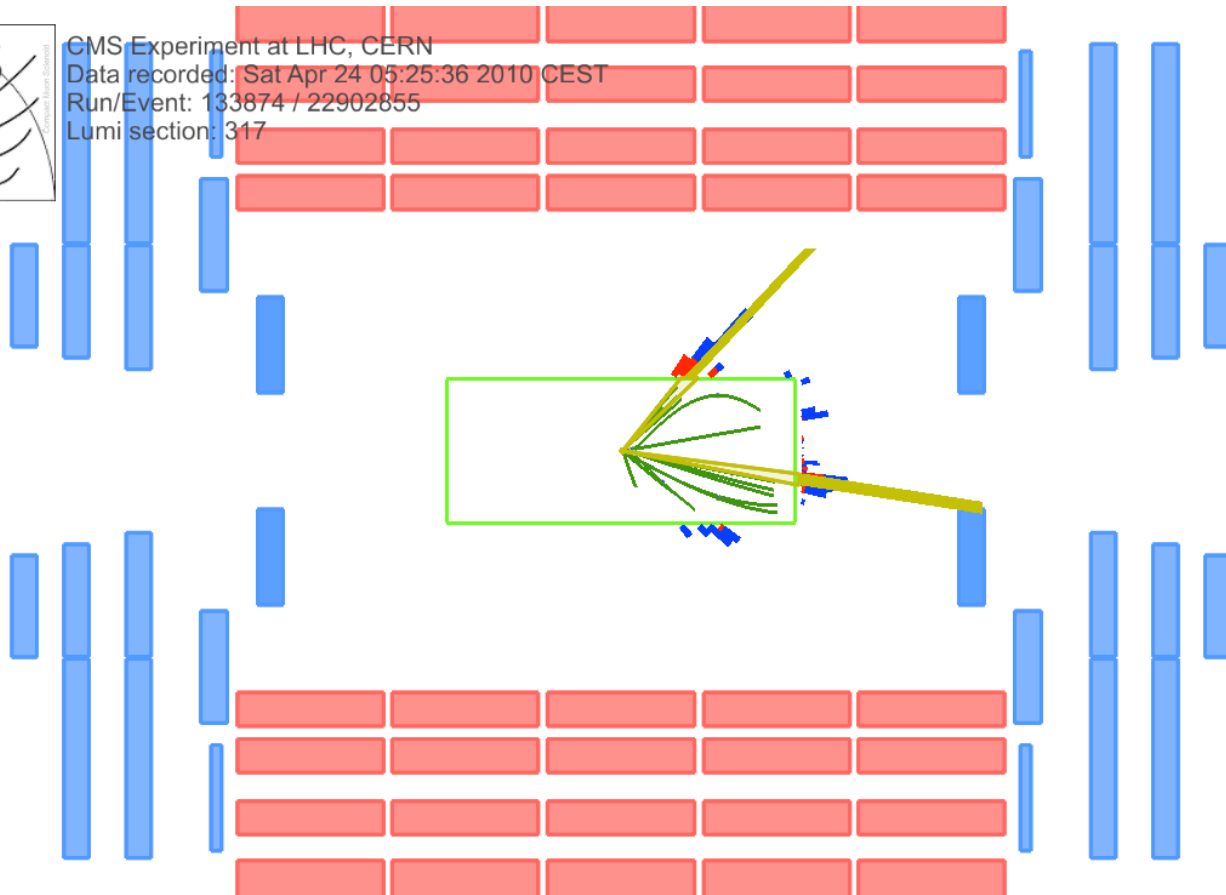


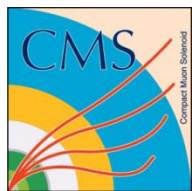


# Diffractive Dijet Event Candidate



CMS Experiment at LHC, CERN  
Data recorded: Sat Apr 24 05:25:36 2010 CEST  
Run/Event: 133874 / 22902855  
Lumi section: 317





# Conclusions

**Observation of diffraction in pp collisions at .9, 2.36 and 7 TeV**

**First measurements of hard diffraction at the LHC, associated with high- $p_T$  jets and W/Z bosons**

No models reproduce all aspects of forward energy flow/multiplicities in inclusive diffraction or *W/Z analyses*

Constraints on survival probabilities at 7 TeV from diffractive dijet cross-section

## **Prospects**

Many analyses still to be done with 2010/early 2011 data

Low-pileup runs in 2012

Only beginning to exploit the potential of forward detectors (CASTOR, ZDC, FSC, CMS+TOTEM combination) for physics analysis

***Stay tuned !***

