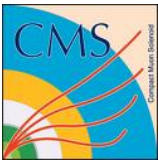


# Central Exclusive Production in CMS

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**Peking University  
(for the CMS Collaboration)**

**Low-X 2012, June 28, Cyprus**



# Outline

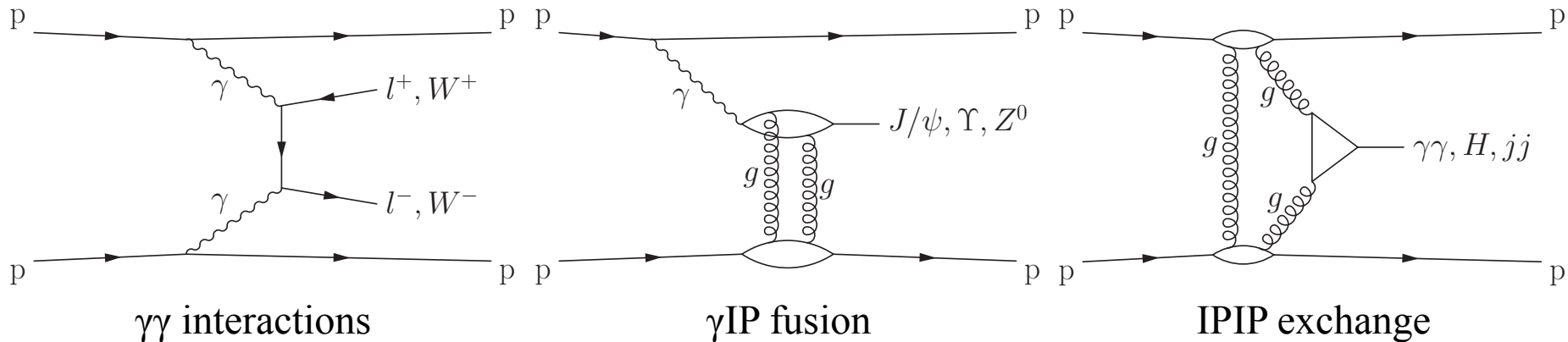


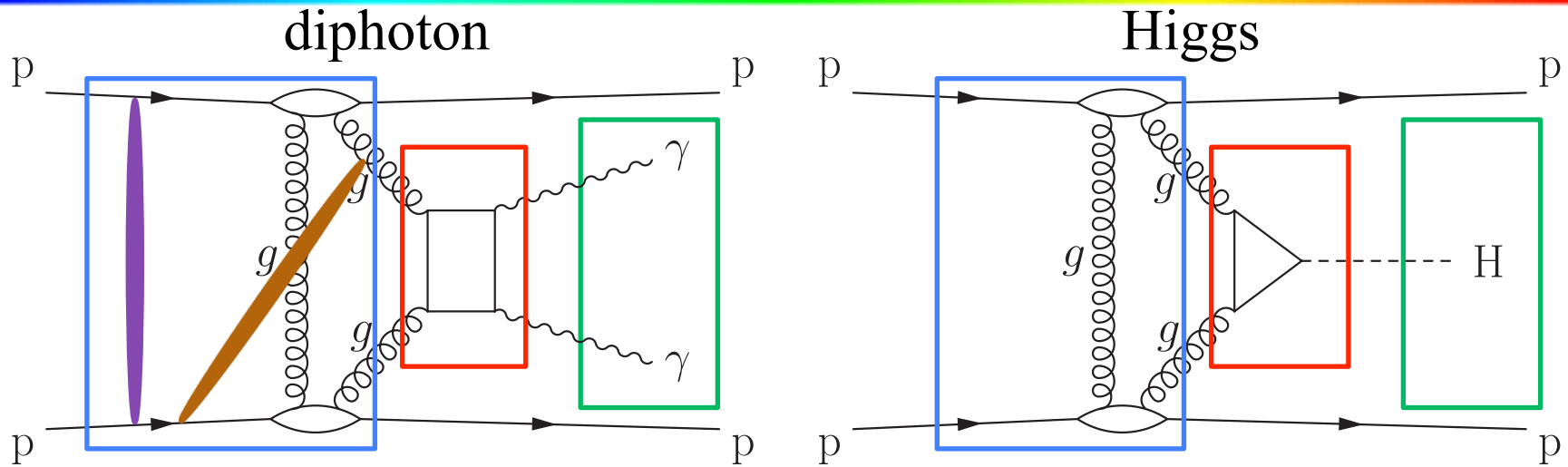
- Introduction
- The CMS Detector
- Exclusive diphoton and dielectron production
  - Event selection
  - Result
- Exclusive dimuon production
  - Event selection
  - Signal extraction
  - Result
- Summary & Outlook

- Central exclusive production:

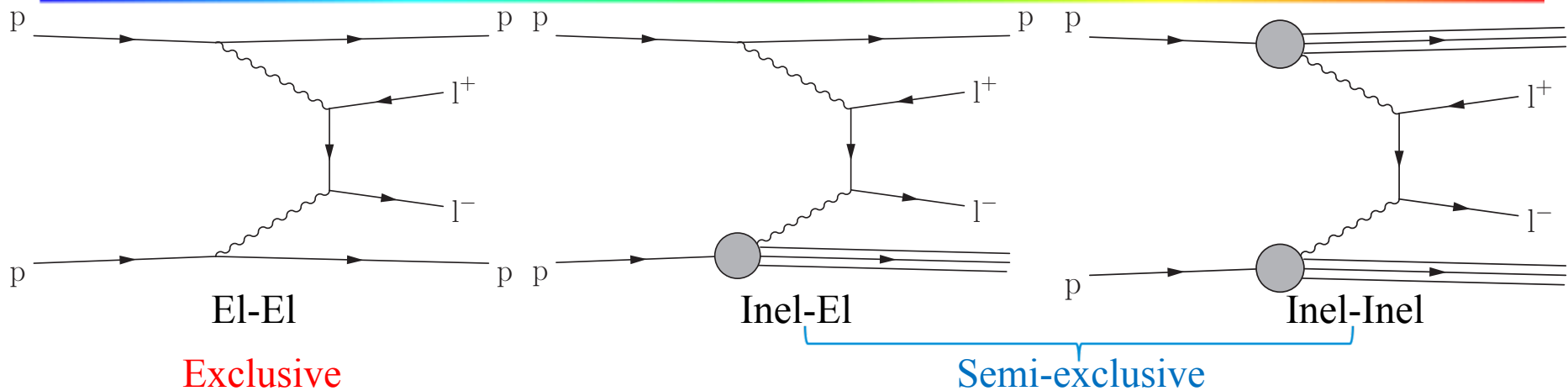
$$pp \rightarrow p + X + p$$

- Both protons emerge intact from the interaction
- $X$ : a simple fully measured system
- Exclusive: no other particles produced & large rapidity gap
- Cleanest and simplest inelastic pp collision
- Physics processes involved:  $\gamma\gamma$  interactions,  $\gamma$ IP fusion, and IPIP exchange





- Double pomeron exchange:
  - $gg$  fusion through a quark loop to produce the central system
  - with a soft low- $Q^2$  screening gluon to cancel the color flow
  - **Sudakov factor** (no partons emitted by the fusing gluons)
  - **Rapidity-gap survival probability** (no additional inelastic pp scattering)
- Shed light on diffraction and double pomeron exchange
  - Low- $x$  gluon density ( $\sigma \sim (xg)^4$ )
  - Rapidity-gap survival probability
- Provide excellent test of theoretical predictions of exclusive Higgs production
  - QCD calculation (**blue box**) is same, where most uncertainties come from
  - Only the calculable matrix elements (**red box**) are different for H and  $\gamma\gamma$  cases
  - $\frac{d\sigma(M_{\gamma\gamma})}{dM_{\gamma\gamma}} : \sigma_H$  should be well determined theoretically



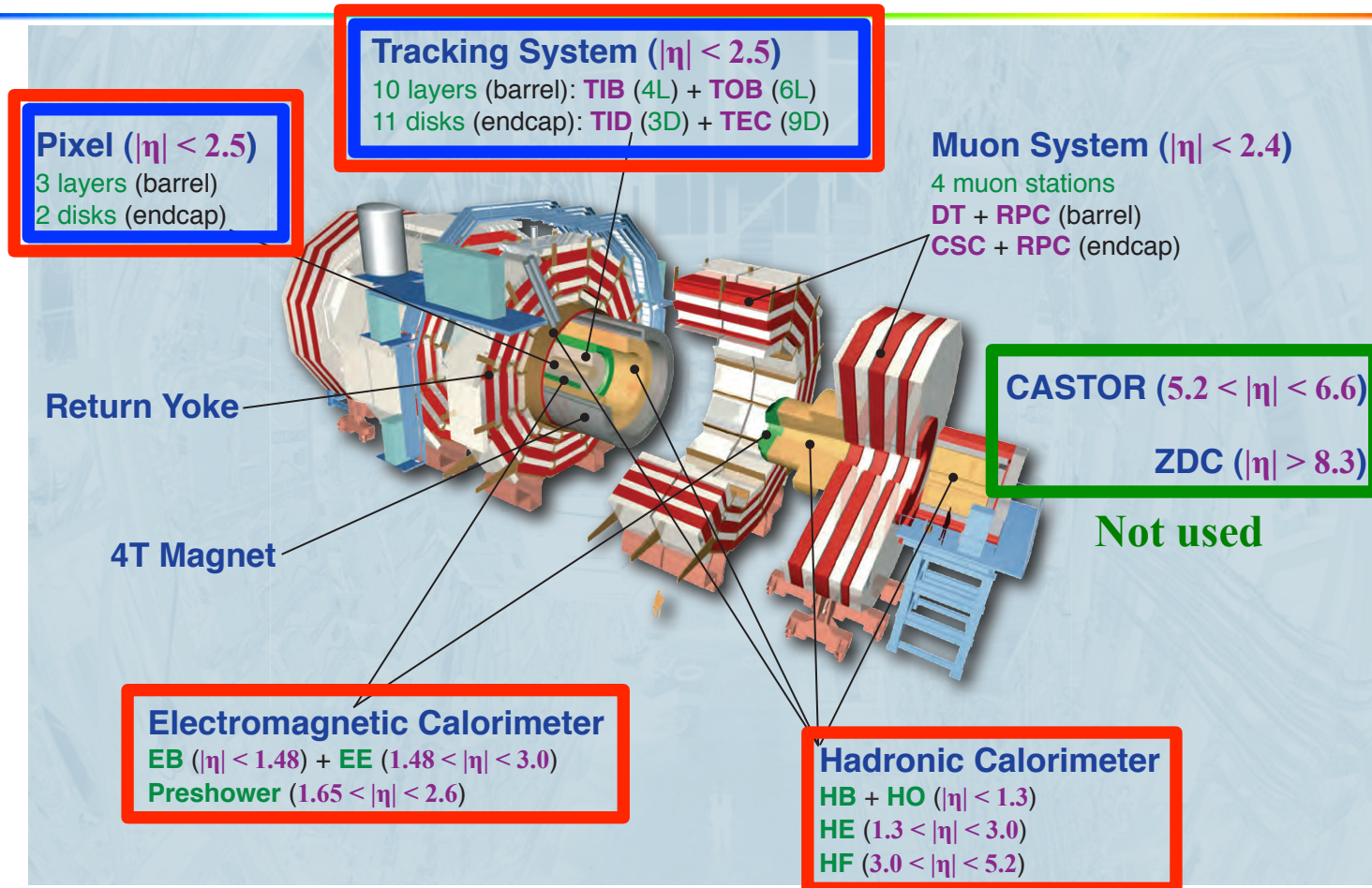
## Exclusive production:

- QED process, cross section known with high accuracy at theoretical level (<1%)
- Control process for other exclusive processes
- Potentially interesting for integrated luminosity measurement (provided that semi-exclusive production is well understood or well suppressed)

## Semi-exclusive production:

- Either or both protons excited and diffractively dissociated.
- Much less theoretically determined
- Suppression of semi-exclusive events depends on performance of the forward detectors (In CMS, this process contributes more than half of the candidates)

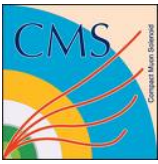
# The CMS Detector



Subdetectors used to define the **exclusivity condition** (rapidity gap):

- Diphoton and dielectron analyses: Tracker (**blue box**) + Calo (**red box**) ( $|\eta_{\max}| = \mathbf{5.2}$ )
- Dimuon analysis: Tracker only (**blue box**) ( $|\eta_{\max}| = \mathbf{2.5}$ )

**Exclusivity condition:** no other particles detected besides the two photons/electrons/muons



# Exclusive $\gamma\gamma$ production & Exclusive $e^+e^-$ production

## FWD-11-004



# Event Selection



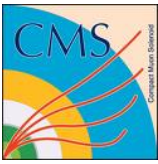
- Any other inelastic interaction overlapping with an exclusive interaction would spoil the exclusivity condition and make the exclusive interaction unobservable
- Only 2010 data sample used (low pileup) ( $36\text{pb}^{-1}$ )
- Trigger: 2 EM showers with  $E_T > 5\text{GeV}$
- Photon (electron) selection:
  - Exactly two identified photons (electrons) with  $E_T > 5.5\text{GeV}$  and  $|\eta| < 2.5$
- Cosmic ray rejection criteria:
  - EM timing of the two photons (electrons)
    - $|t_1| < 2\text{ns}$  and  $|t_2| < 2\text{ns}$
    - $|t_1 - t_2| < 2\text{ns}$
  - $\Delta\phi > 2.5$  rad
  - No track segments in the DTs and CSCs
- **Exclusivity selection criteria** (overriding part):
  - **No additional tracks** ( $|\eta| < 2.5$ )
  - **No additional towers above noise thresholds** in EB, EE, HB, HE and HF ( $|\eta| < 5.2$ )

Additional: not associated to the two central photons (electrons)

Noise threshold: determined using unpaired events and zerobias events

Exclusivity efficiency (fraction of events with single interaction): **14.5%**





# Result



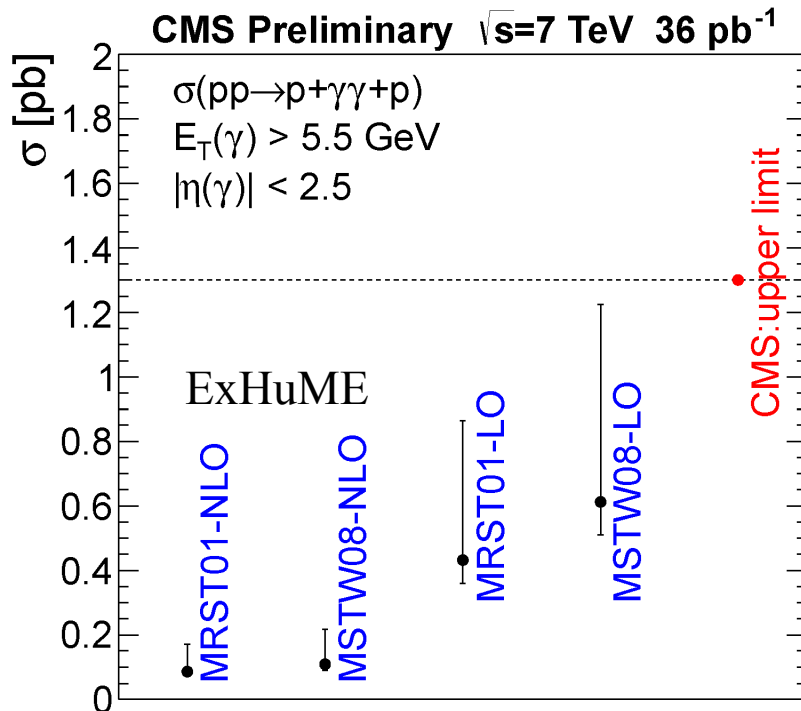
Number of events remaining after each selection:

| exclusive diphoton analysis |                  | exclusive dielectron analysis |                  |
|-----------------------------|------------------|-------------------------------|------------------|
| selection criterion         | events remaining | selection criterion           | events remaining |
| Trigger                     | 3 023 496        | Trigger                       | 3 023 496        |
| Photon reconstruction       | 1 683 526        | Electron reconstruction       | 132 271          |
| Photon identification       | 40 692           | Electron identification       | 2 648            |
| Cosmic ray rejection        | 32 775           | Cosmic ray rejection          | 2 023            |
| Exclusivity requirement     | 0                | Exclusivity requirement       | 17               |

Number of background events:

| exclusive $\gamma\gamma$ production   |                 | exclusive $e^+e^-$ production              |                 |
|---------------------------------------|-----------------|--|-----------------|
| Background                            | Events          | Background                                 | Events          |
| exclusive $e^+e^-$                    | $0.11 \pm 0.03$ | exclusive $Y(1S,2S,3S) \rightarrow e^+e^-$ | negligible      |
| cosmic ray                            | negligible      | cosmic ray                                 | $0.04 \pm 0.01$ |
| non-exclusive                         | $1.68 \pm 0.40$ | non-exclusive                              | $0.80 \pm 0.28$ |
| exclusive $\pi^0\pi^0$ and $\eta\eta$ | negligible      | exclusive $\pi^+\pi^-$                     | negligible      |
| Total                                 | $1.79 \pm 0.40$ | Total                                      | $0.84 \pm 0.28$ |

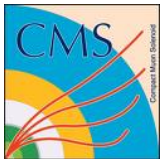
- 95% confidence level upper limit:  $\sigma_{\text{exclusive } \gamma\gamma \text{ production}}^{E_T(\gamma) > 5.5 \text{ GeV}, |\eta(\gamma)| < 2.5} < 1.30 \text{ pb}$
- This upper limit is actually on the cross section for the sum of
  - exclusive (el-el) production
  - semi-exclusive (inel-el and inel-inel) production with no particles from the proton dissociation having  $|\eta| < 5.2$ . (difficult to calculate its contribution precisely) (but is expected to be of similar magnitude)



- Predictions: exclusive (el-el) only
- LO vs NLO: different low- $x$  gluon distribution

|                  | MRST01 |       | MSTW08 |       |
|------------------|--------|-------|--------|-------|
|                  | LO     | NLO   | LO     | NLO   |
| ExHuME           | 0.624  | 0.124 | 0.885  | 0.157 |
| SuperCHIC        |        | 0.103 | 0.472  |       |
| New <sup>①</sup> |        | 0.039 | 0.18   |       |

①: see the talk from Valery



# Result ( $e^+e^-$ )



Number of candidates expected:

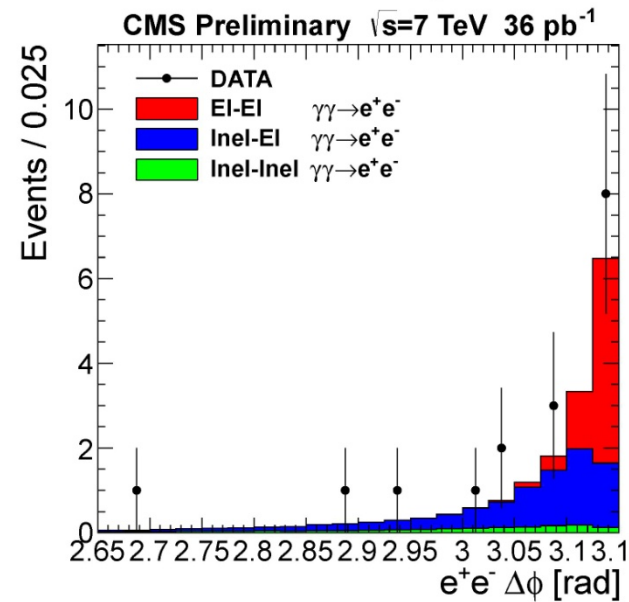
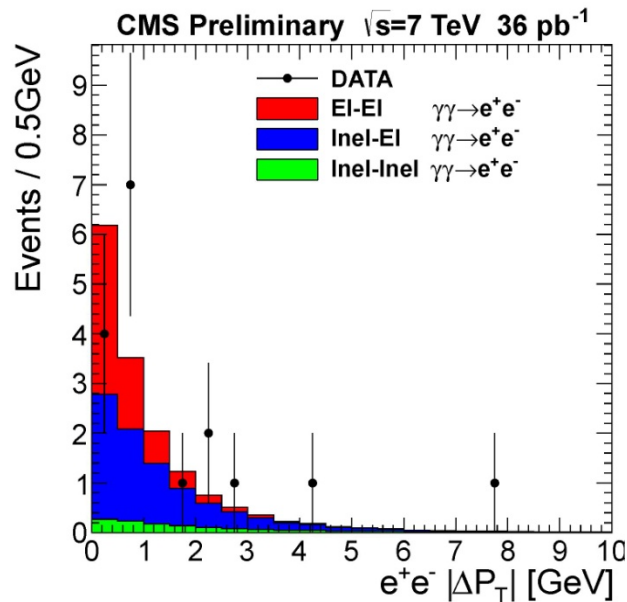
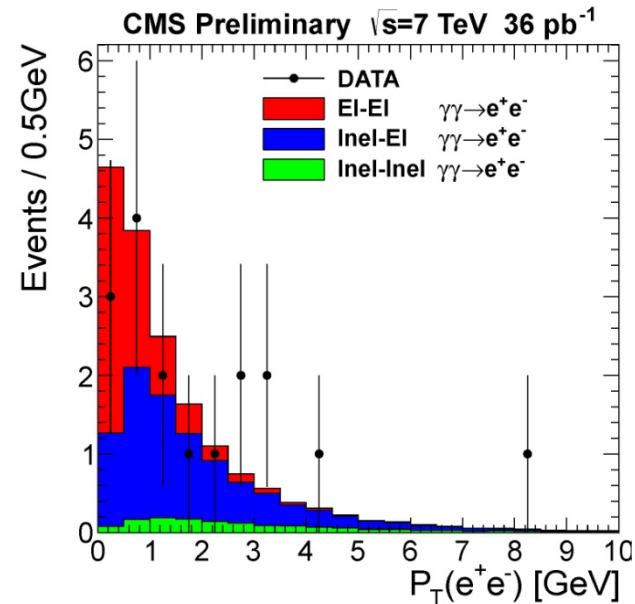
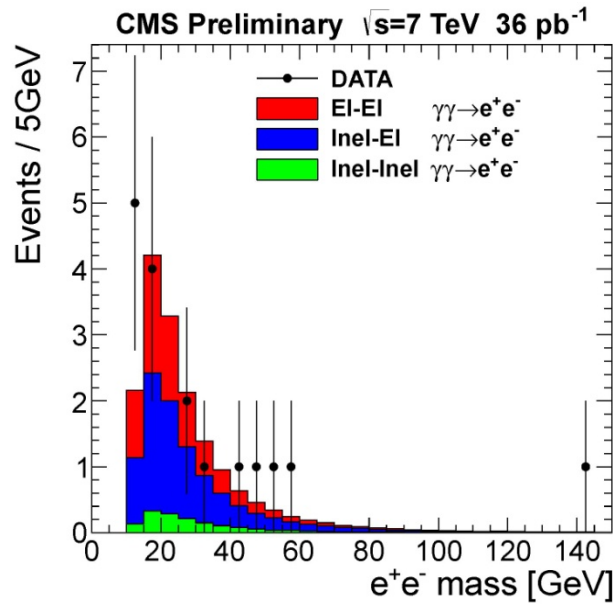
$S^2$  not included in  $\sigma$

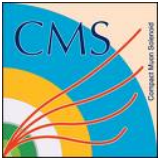
| Process   | $\mathcal{L}$                | $\sigma$                            | $\epsilon$          | nEvents  |
|-----------|------------------------------|-------------------------------------|---------------------|--|
| el-el     | $36 \pm 1.4 \text{ pb}^{-1}$ | $3.74 \pm 0.04 \text{ pb}$          | $0.0488 \pm 0.0056$ | $6.57 \pm 0.07 \text{ (theo.)} \pm 0.80 \text{ (syst.)}$ |
| inel-el   | $36 \pm 1.4 \text{ pb}^{-1}$ | $3.34 \pm 0.67 \text{ pb} \times 2$ | $0.0348 \pm 0.0035$ | $8.37 \pm 1.68 \text{ (theo.)} \pm 0.90 \text{ (syst.)}$ |
| inel-inel | $36 \pm 1.4 \text{ pb}^{-1}$ | $3.52 \pm 0.70 \text{ pb}$          | $0.0119 \pm 0.0011$ | $1.51 \pm 0.30 \text{ (theo.)} \pm 0.15 \text{ (syst.)}$ |
| Total     |                              |                                     |                     | $16.5 \pm 1.7 \text{ (theo.)} \pm 1.2 \text{ (syst.)}$   |

- **17** exclusive  $e^+e^-$  events on a background of  $0.84 \pm 0.28$  events are observed.
- The theoretical prediction is  $16.5 \pm 2.1$  events.
- Observation in good agreement with QED prediction (LPAIR generator).

- Rapidity-gap survival probability is not included in LPAIR.
- From Valery:

| Process   | State                                  | $S^2$           |
|-----------|--|-----------------|
| el-el     |  | 1               |
| inel-el   | low mass ( $M_X < 2-2.5 \text{ GeV}$ ) | $0.86 \pm 0.03$ |
|           | high mass                              | $0.81 \pm 0.03$ |
| inel-inel | low mass + low mass                    | 0.3–0.45        |
|           | low mass + high mass                   | 0.2–0.28        |
|           | high mass + high mass                  | 0.08–0.16       |

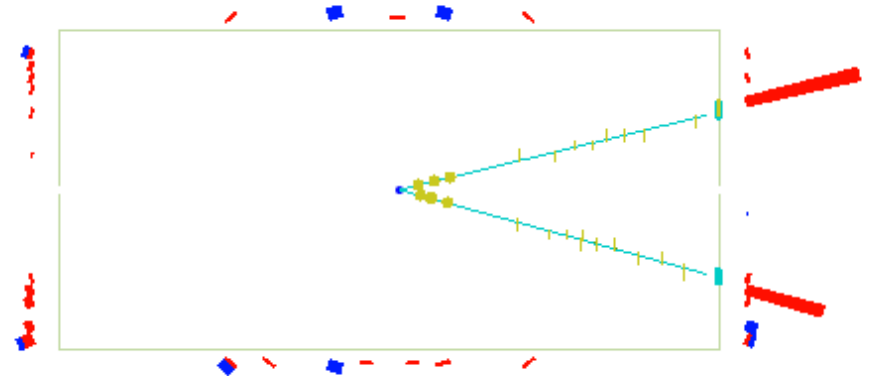
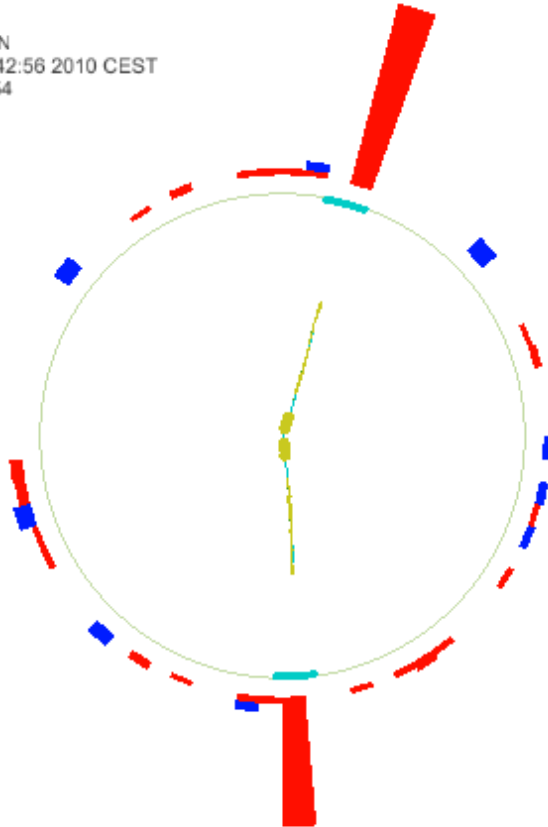


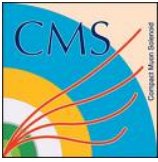


# Display of one exclusive event



CMS Experiment at LHC, CERN  
Data recorded: Thu Oct 14 06:42:56 2010 CEST  
Run/Event: 147926 / 585931554  
Lumi section: 545

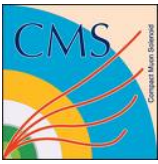




# Exclusive $\mu^+\mu^-$ production

FWD-10-005

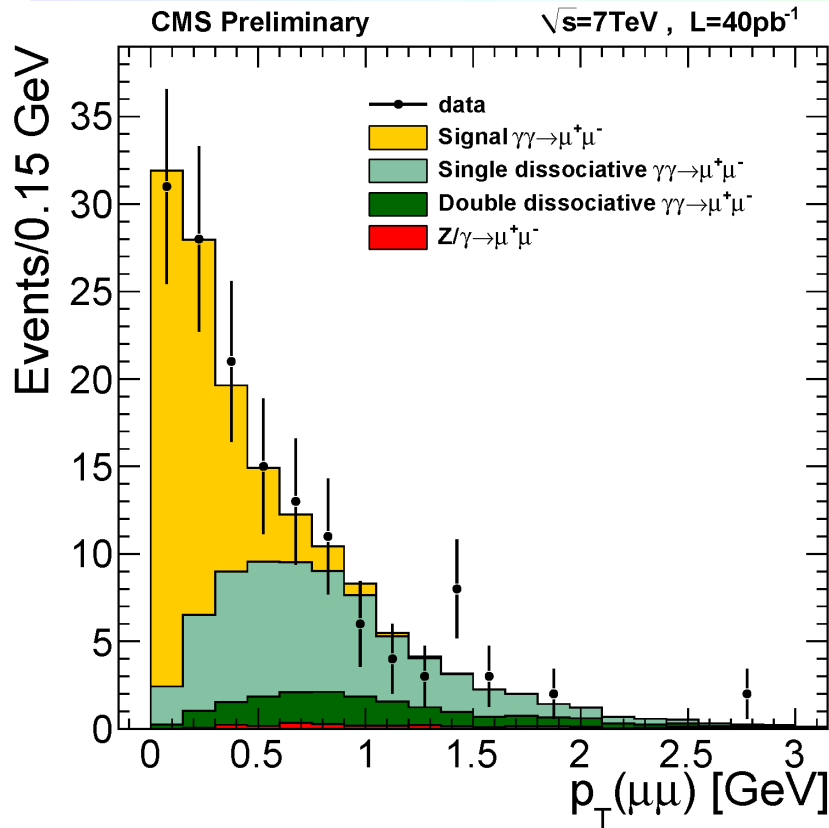
JHEP 01 (2012) 052



# Event Selection



- 2010 data sample (low pile-up) ( $40\text{pb}^{-1}$ )
- Both events with and without pileup are used (primary vertex exclusivity only)
- Unlike dielectron analysis, only exclusive (el-el) events are considered as signal here
- Trigger: 2 muons with  $p_T > 3\text{GeV}$
- Muon selection:
  - Two muons with  $p_T > 4\text{GeV}$  and  $|\eta| < 2.1$
  - Both pass tight identification cuts
  - **Coming from the same primary vertex**
- Muon pair kinematics:
  - $\Delta p_T(\mu\mu) < 1.0$  (balanced in  $p_T$ )
  - $1 - |\Delta\phi(\mu\mu)| < 0.1$  (back to back in  $\phi$ )
  - $m(\mu\mu) > 11.5\text{ GeV}$  (Reject  $Y(1S,2S,3S)$  photoproduction)
  - 3D opening angle  $> 0.95\pi$  (Reject cosmic ray events)
- **Exclusivity selection criteria** (vertex exclusivity only):
  - no additional tracks from the dimuon primary vertex
  - no other tracks within 2mm of the dimuon vertex
- Exclusivity efficiency: **92.3%**  
much higher than the case using ideal exclusivity requirements (Tracker + Calo) (15%)



- After all selections, 148 events remain ( $\sim 50\%$  expected to be from proton dissociation)
- Signal (el-el) is extracted with a binned maximum likelihood fit to the  $p_T(\mu\mu)$  distribution with 3 free parameters:
  - Signal yield
  - Single proton dissociation (inel-el) yield
  - Correction factor to the shape of single proton dissociation events
- Shape and yield of double proton dissociation (inel-inel) and Drell-Yan production are fixed from simulation (Varied as systematic uncertainties)

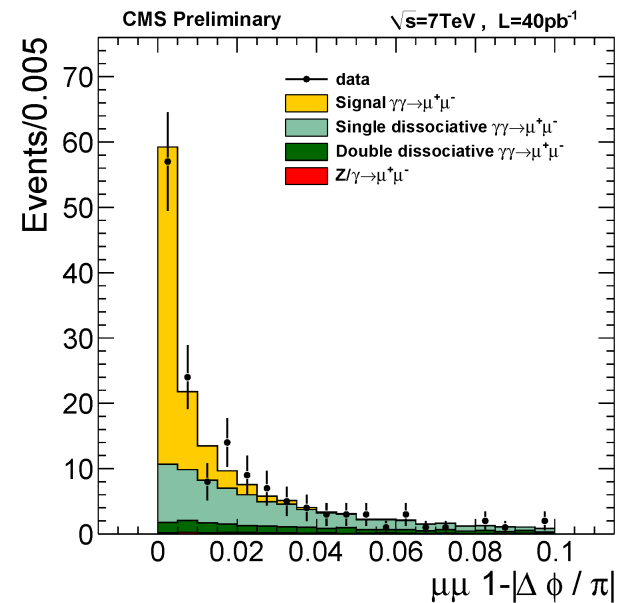
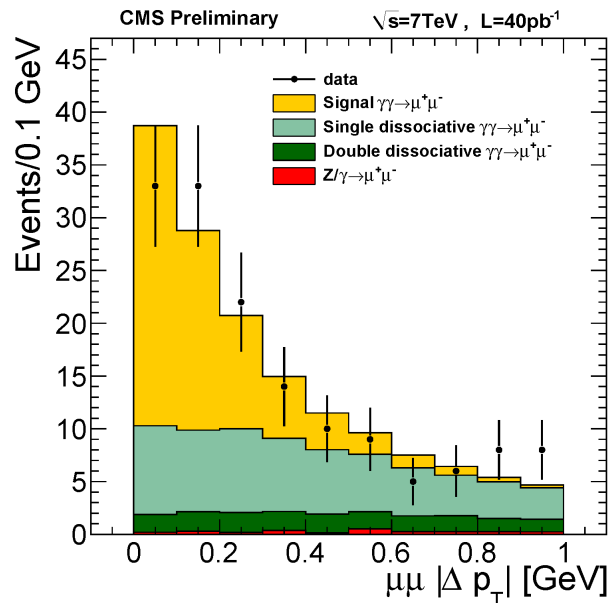
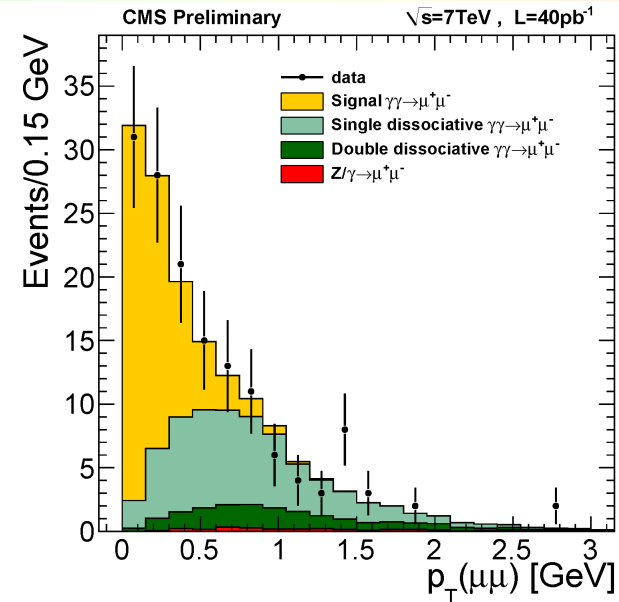
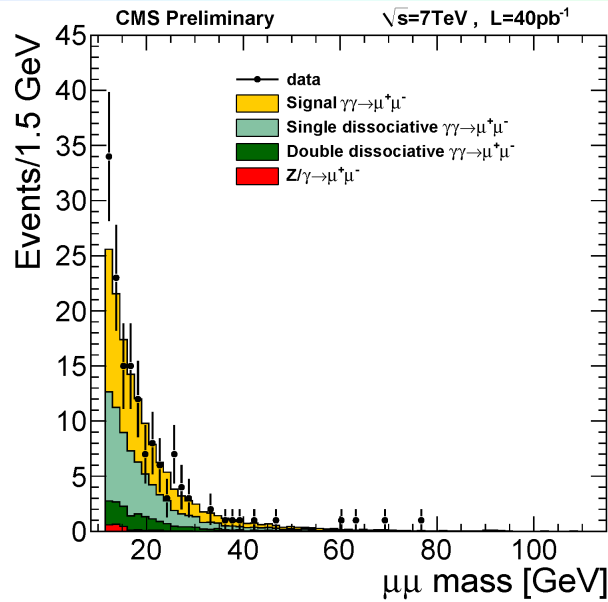
For  $p_T(\mu) > 4 \text{ GeV}$ ,  $|\eta(\mu)| < 2.1$  and  $m(\mu\mu) > 11.5 \text{ GeV}$ , the measured cross section and the ratio to the LPAIR prediction are:

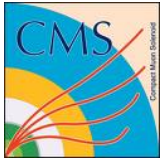
$$\sigma = 3.38_{-0.55}^{+0.58} \text{ (stat.)} \pm 0.16 \text{ (syst.)} \pm 0.14 \text{ (lumi.) pb}$$

$$R = 0.83_{-0.13}^{+0.14} \text{ (stat.)} \pm 0.04 \text{ (syst.)}$$



# Kinematic distributions





# Conclusion



- No diphoton candidate survived all the selection criteria.
- An upper limit on the cross section is set at 1.30 pb with 95% confidence level.
- 17 dielectron candidates on top of a background of 0.84 events are observed from both exclusive and semi-exclusive production, while the predicted number is  $16.5 \pm 2.1$ .
- Both the number of candidates and the kinematic distributions are in good agreement with QED predictions evaluated with LPAIR generator.
- For  $p_T(\mu) > 4\text{GeV}$ ,  $|\eta(\mu)| < 2.1$  and  $m(\mu\mu) > 11.5\text{GeV}$ , a cross section of exclusive dimuon production is measured:

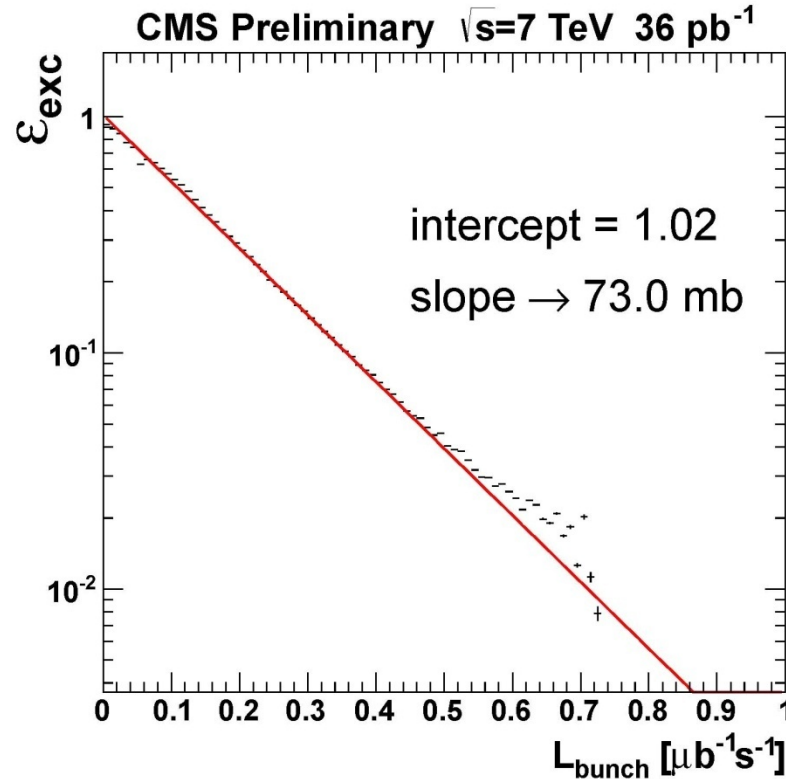
$$\sigma = 3.38_{-0.55}^{+0.58} \text{ (stat.)} \pm 0.16 \text{ (syst.)} \pm 0.14 \text{ (lumi.) pb}$$

## Outlook:

- Exclusive  $W^+W^-$  production via  $\gamma\gamma$  interactions
- Exclusive Z production via  $\gamma\text{IP}$  fusion
- Exclusive  $\pi^+\pi^-$  production

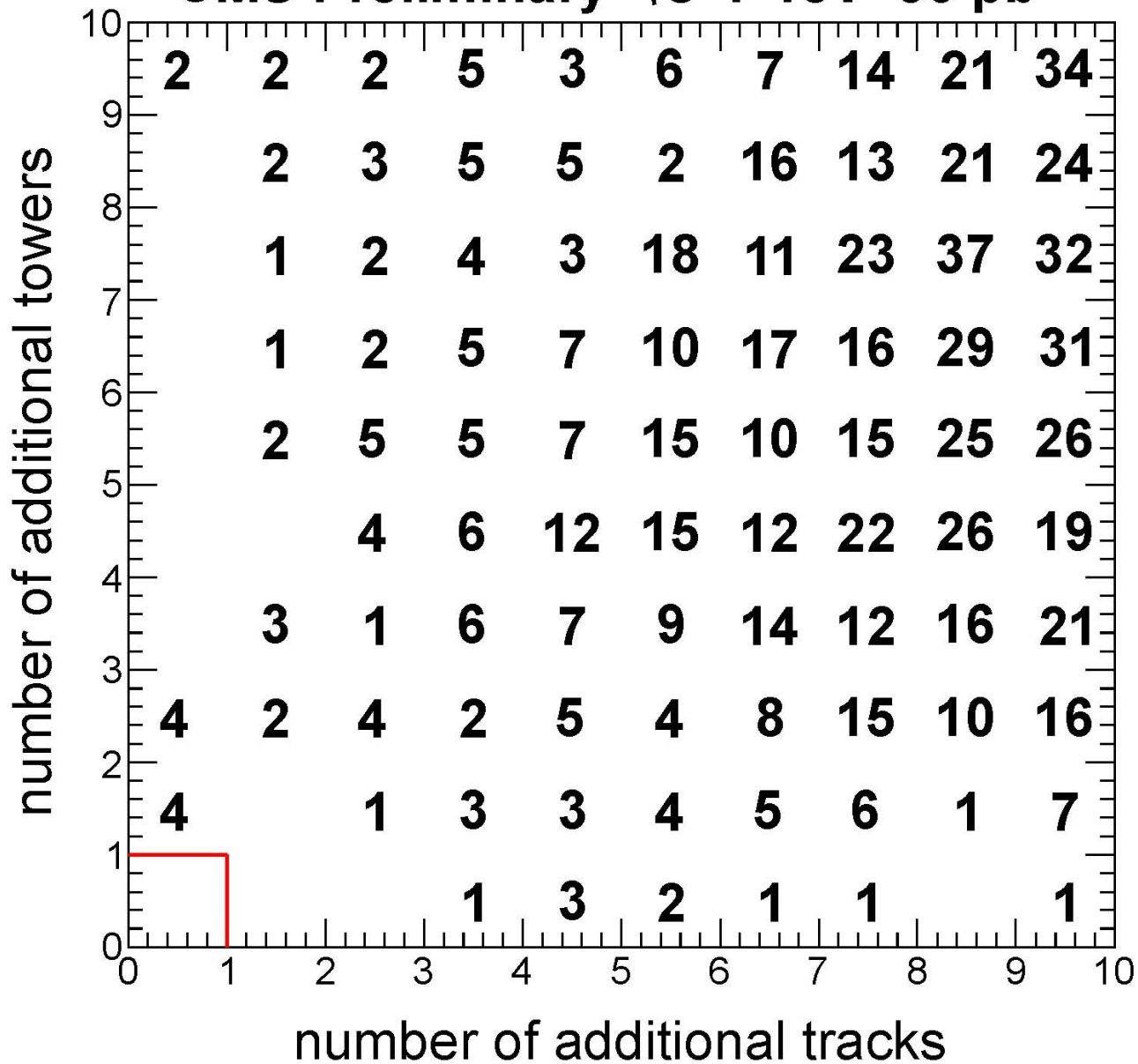
***Thank you !***

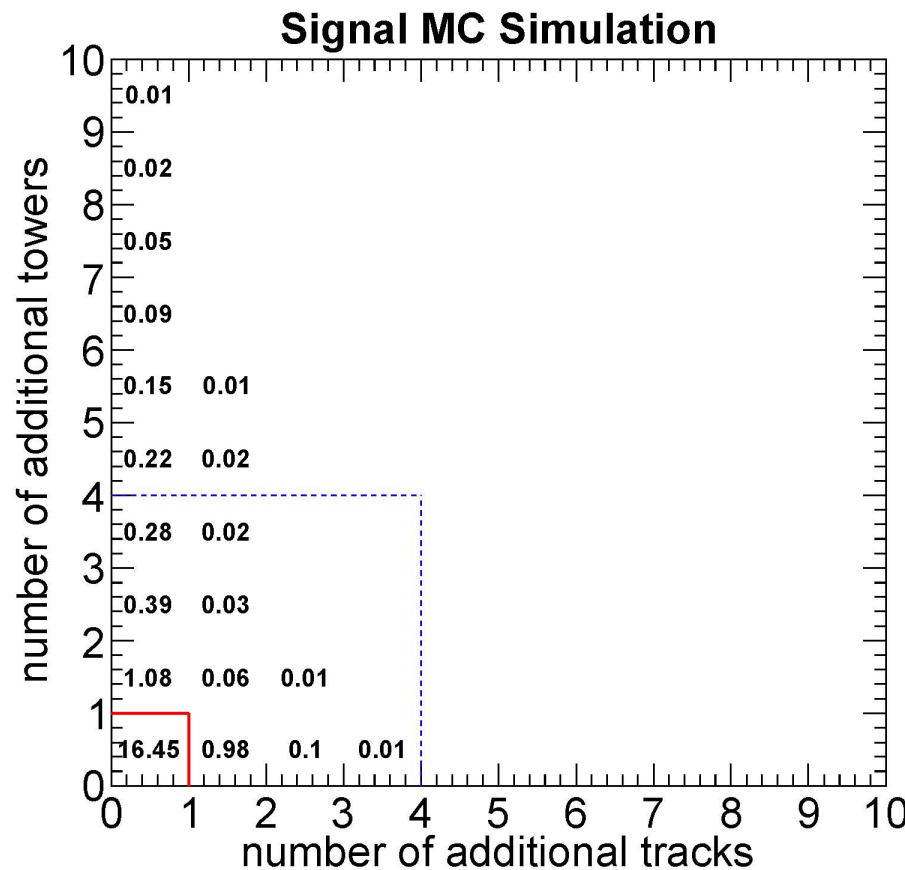
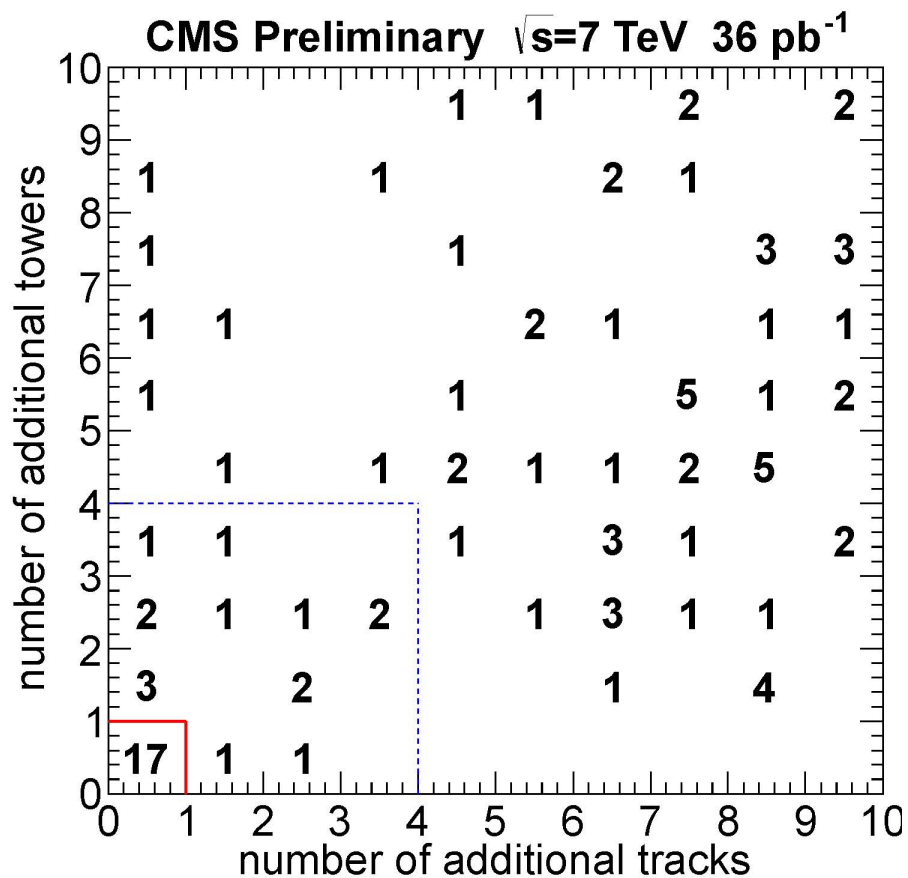
$$\varepsilon_{\text{exc}}(\mathcal{L}_{\text{bunch}}) = \frac{N_{\text{zerobias}}^{\text{exc}}(\mathcal{L}_{\text{bunch}})}{N_{\text{zerobias}}(\mathcal{L}_{\text{bunch}})} \approx e^{-\bar{n}} = e^{-\mathcal{L}_{\text{bunch}} \cdot \sigma_{\text{inelastic}} / f}$$

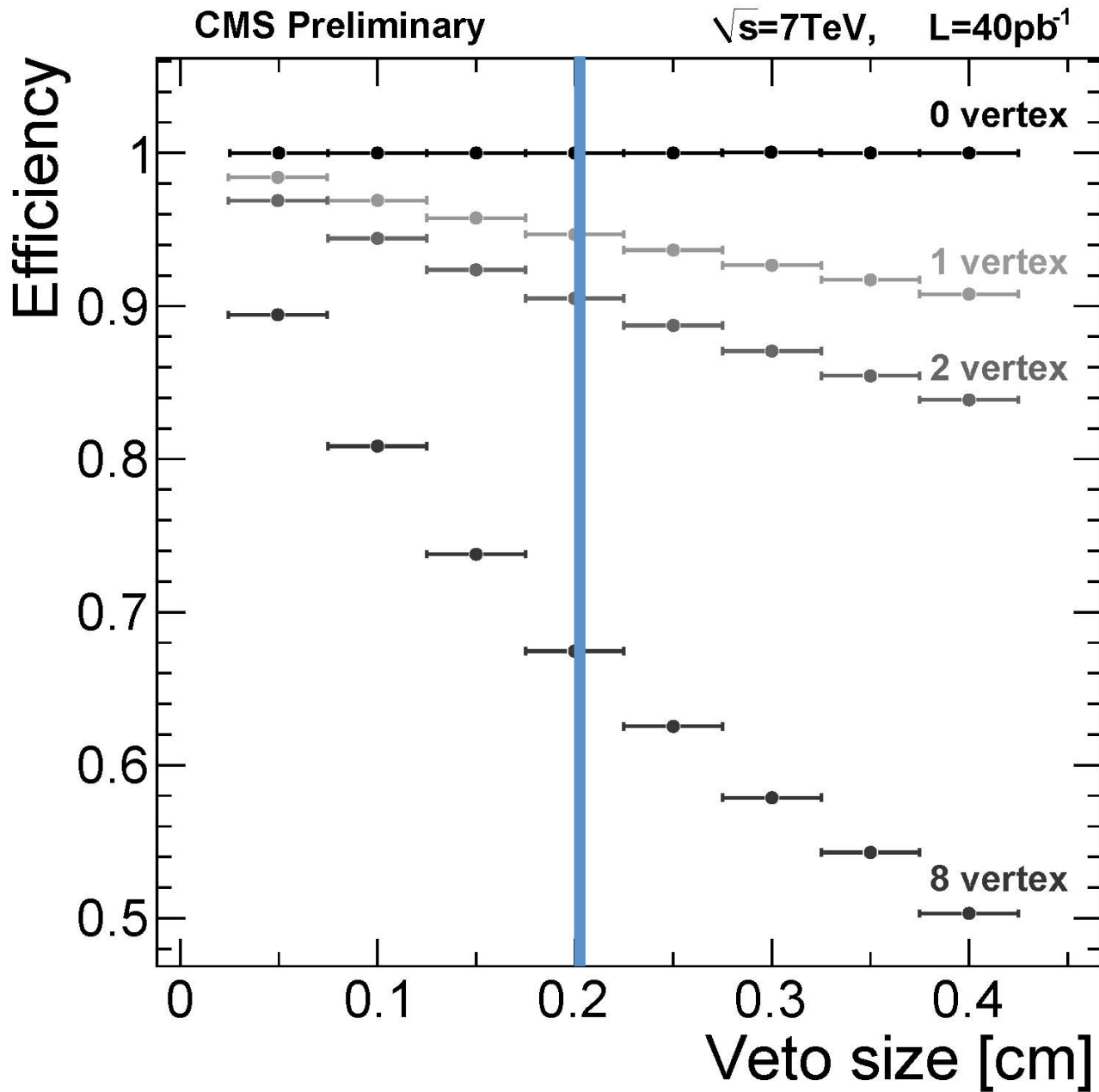


$$\varepsilon_{\text{exc}} = \frac{\int \frac{dN_{\text{zerobias}}}{d\mathcal{L}_{\text{bunch}}} \cdot \mathcal{L}_{\text{bunch}} \cdot \varepsilon_{\text{exc}}(\mathcal{L}_{\text{bunch}}) \cdot d\mathcal{L}_{\text{bunch}}}{\int \frac{dN_{\text{zerobias}}}{d\mathcal{L}_{\text{bunch}}} \cdot \mathcal{L}_{\text{bunch}} \cdot d\mathcal{L}_{\text{bunch}}} = 0.145 \pm 0.008$$

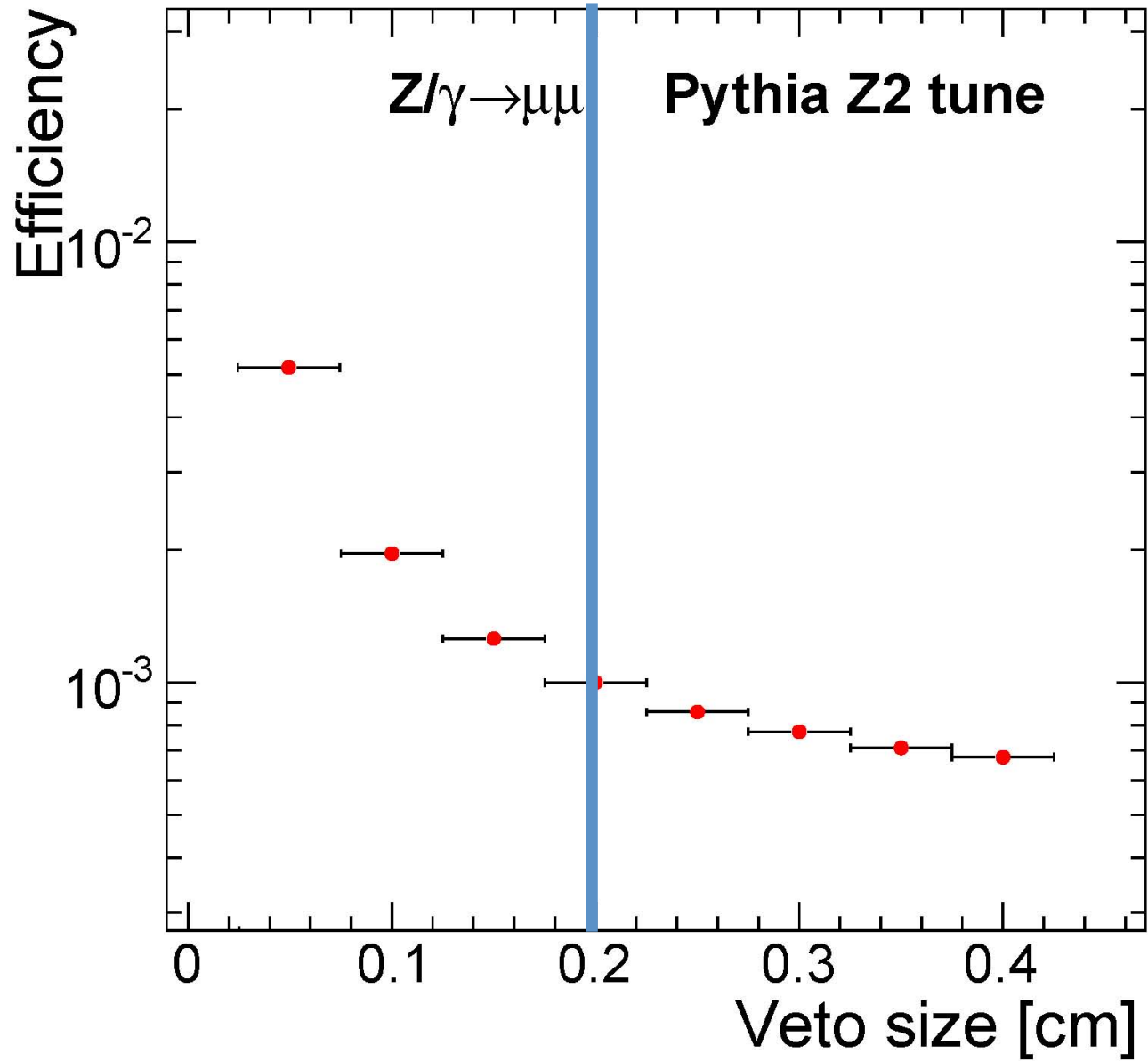
**CMS Preliminary  $\sqrt{s}=7$  TeV  $36 \text{ pb}^{-1}$**







CMS Preliminary





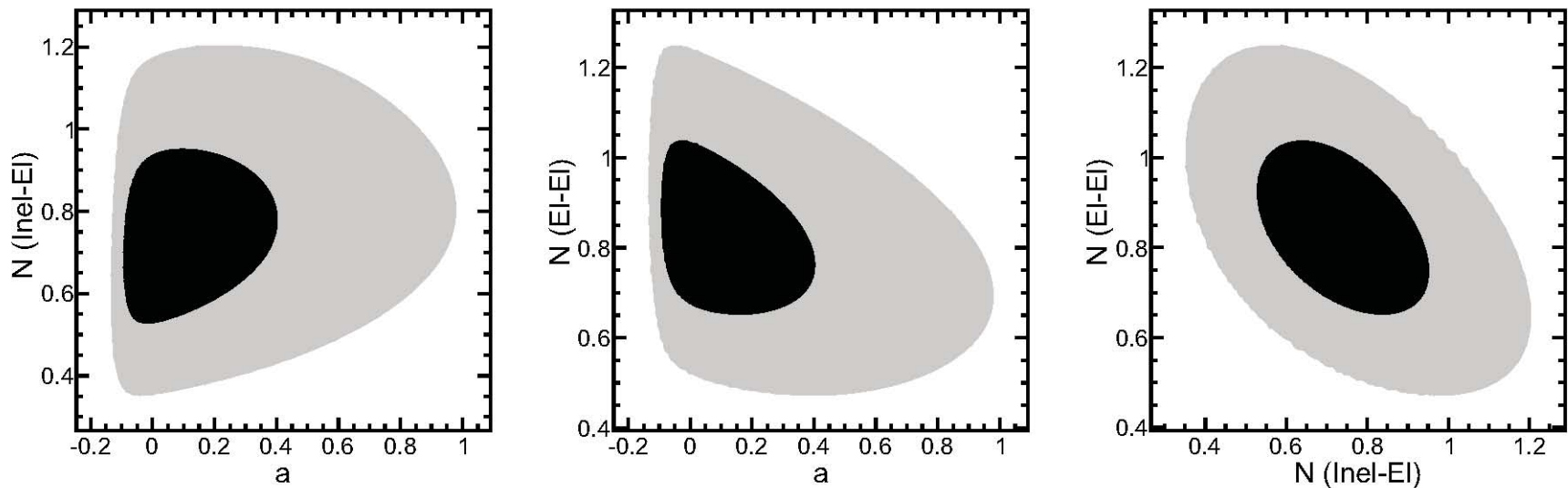


Figure 6: 1 and 2 sigma contours in the plane of fitted parameters for the p dissociation yield vs slope (left), slope vs. signal yield ratio (center), and signal yield ratio vs. p dissociation yield ratio (right).

| Selection                                   | $N_{El-El}$            | $N_{Inel-El}$          |
|---|------------------------|------------------------|
| All selection criteria applied              | $0.83^{+0.14}_{-0.13}$ | $0.73^{+0.16}_{-0.14}$ |
| No $ \Delta p_T $                           | $0.82^{+0.13}_{-0.13}$ | $0.63^{+0.11}_{-0.10}$ |
| No $ \Delta p_T $ or $1 -  \Delta\phi/\pi $ | $0.81^{+0.13}_{-0.13}$ | $0.45^{+0.08}_{-0.07}$ |

Table 2: Best fit values of  $N_{El-El}$  and  $N_{Inel-El}$  for the nominal selection, and with the requirements on  $|\Delta p_T|$  and  $1 - |\Delta\phi/\pi|$  removed.

| Selection  | Variation from nominal yield |
|--|------------------------------|
| track veto size                                    | 3.6%                         |
| track quality                                      | 2.5%                         |
| Drell-Yan background                               | 0.4%                         |
| double $p$ -dissociation background                | 0.9%                         |
| Crossing-angle                                     | 1.0%                         |
| Tracking efficiency                                | 0.1%                         |
| Vertexing efficiency                               | 0.1%                         |
| Momentum scale                                     | 0.1%                         |
| Efficiency correlations in $J/\psi$ control sample | 0.7%                         |
| Muon and trigger efficiency statistical error      | 0.8%                         |
| <b>Total</b>                                       | <b>4.8%</b>                  |

Table 3: Relative systematic uncertainties.