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Oscillation and flavor conversion are consequence of

- the lepton mixing and
- production of mixed (flavor) states









flavor Normal mass hierarchy

$$\Delta m_{31}^2 = m_3^2 - m_1^2$$

 $\Delta m_{21}^2 = m_2^2 - m_1^2$

Mixing parameters

 $\begin{aligned} & \tan^2 \theta_{12} = |U_{e2}|^2 / |U_{e1}|^2 \\ & \sin^2 \theta_{13} = |U_{e3}|^2 \\ & \tan^2 \theta_{23} = |U_{\mu3}|^2 / |U_{\tau3}|^2 \end{aligned}$

Mass states can be enumerated by amount of electron flavor

Mixing matrix:

$$\begin{pmatrix} v_{e} \\ v_{\mu} \\ v_{\tau} \end{pmatrix} = U_{PMNS} \begin{pmatrix} v_{1} \\ v_{2} \\ v_{3} \end{pmatrix}$$

 $\mathbf{U}_{\mathsf{PMNS}} = \mathbf{U}_{23}\mathbf{I}_{\delta}\mathbf{U}_{13}\mathbf{I}_{-\delta}\mathbf{U}_{12}$

Who mixes neutrinos?

Mixing in CC \rightarrow mixing in produced states



What about neutral currents?

Can NC interactions prepare mixed state? Z is flavor blind

What is the neutrino state produced in the Z-decay in the presence of mixing?

 $|\mathbf{f}\rangle = \frac{1}{\sqrt{3}} [|\overline{\mathbf{v}}_1 \mathbf{v}_1\rangle + |\overline{\mathbf{v}}_2 \mathbf{v}_2\rangle + |\overline{\mathbf{v}}_3 \mathbf{v}_3\rangle]$

$$\langle \mathbf{f} | \mathbf{H} | \mathbf{Z} \rangle |^2 = 3 | \langle \overline{\mathbf{v}}_1 \mathbf{v}_1 | \mathbf{H} | \mathbf{Z} \rangle |^2$$

Do neutrinos from Z⁰- decay oscillate?

Two detectors experiment: detection of both neutrinos

If the flavor of one of the neutrino is fixed, another neutrino oscillates







Simple and straightforward and still correct Challenging theory of neutrino oscillations New aspects

New experimental setups

- LBL long tunnels
- Long leaved parents



 [1] Neutrino production coherence and oscillation experiments.
 E. Akhmedov, D. Hernandez, A. Smirnov, JHEP 1204 (2012) 052, arXiv:1201.4128 [hep-ph]

- [2] Neutrino oscillations: Entanglement, energy-momentum conservation and QFT. E.Kh. Akhmedov, A.Yu. Smirnov, Found. Phys. 41 (2011) 1279-1306 arXiv:1008.2077 [hep-ph]
- [3] Paradoxes of neutrino oscillations. E. Kh. Akhmedov, A. Yu. Smirnov Phys. Atom. Nucl. 72 (2009) 1363-1381 arXiv:0905.1903 [hep-ph]
- [4] Active to sterile neutrino oscillations: Coherence and MINOS results. D. Hernandez, A.Yu. Smirnov, Phys.Lett. B706 (2012) 360-366 arXiv:1105.5946 [hep-ph]
- [5] Neutrino oscillations: Quantum mechanics vs. quantum field theory.
 E. Kh. Akhmedov, J. Kopp, JHEP 1004 (2010) 008 arXiv:1001.4815 [hep-ph]



Pisa, 1913



B. Pontecorvo

``Mesonium and antimesonium"

Zh. Eksp.Teor. Fiz. 33, 549 (1957) [Sov. Phys. JETP 6, 429 (1957)] translation

mentioned a possibility of neutrino mixing and oscillations

Oscillations imply non-zero masses (mass squared differences) and mixing

Proposal of neutrino oscillations by B Pontecorvo was motivated by rumor that Davis sees effect in Cl-Ar detector from atomic reactor

Computing oscillation effects

Lagrangian

$$\frac{g}{2\sqrt{2}} \overline{I} \gamma^{\mu} (1 - \gamma_5) v_{I} W^{+}_{\mu}$$
$$- \frac{1}{2} m_{L} v_{L}^{T} C v_{L}$$
$$- \overline{I}_{I} m_{I} I_{R} + h.c.$$

Starting from the first principles



What is the problem?



Formalism should be adjusted to specific physics situation

Initial conditions

Approximations

Approximations, if one does not want to consider whole history of the Universe to compute signal in Daya Bay

Truncating the process

Recall, the usual set-up

asymptotic states described by plane waves

 enormous simplification



single interaction region

Oscillation set-up



E. Akhmedov, A.S.

QFT but formalism should be adjusted to these condition

Finite space and time phenomenon

Two interaction regions in contrast to usual scattering problem

Neutrinos: propagator



Finite space-time integration limits

wave packets for external particles encode info about finite production and detection regions

Wave packets & oscillations



B. Kayser, Phys. Rev D 48 (1981) 110

Wave packet formalism. Consistent description of oscillations requires consideration of wave packets of neutrino mass states.

31 years later, GGI lectures:

The highest level of sophistication: to use proper time for neutrino mass and get correct result! Key point: phases of mass eigenstates should be compared in the same space-time point If not - factor of 2 in the oscillations phase



How external particles Should be described?





wave packets for external particles



Describe by plane waves but introduce finite integration



Unique process,

neutrinos with definite masses are described by propagators, Oscillation pattern – result of interference of amplitudes due to exchange of different mass eigenstates

Very quickly converge to mass shell

Real particles - described by wave packets



If oscillation effect in Production/detection regions can be neglected

 $r_{D}, r_{S} \ll l_{v}$



factorization

Production propagation and Detection can be considered as three independent processes

In terms of mass eigenstates Without flavor states



Wave packets and oscillations

Suppose v_{α} be produced in the source centered at x = 0, t = 0 After formation of the wave packet (outside the production region)

$$|v_{\alpha}(\mathbf{x},\mathbf{t})\rangle = \Sigma_{\mathbf{k}} U_{\alpha\mathbf{k}} \Psi_{\mathbf{k}}(\mathbf{x},\mathbf{t})|v_{\mathbf{k}}\rangle$$

$$\Psi_{k} \sim \int dp f_{k}(p - p_{k}) e^{ipx - iE_{k}(p)t}$$

 $E_k(p) = \sqrt{p^2 + m_k^2}$ - dispersion relation

 $f_k(p - p_k)$ - the momentum distribution function peaked at p_k - the mean momentum

Expanding around mean momentun $E_{k}(p) = E_{k}(p_{k}) + (dE_{k}/dp) |(p - p_{k}) + (dE_{k}^{2}/dp^{2})|(p - p_{k})^{2} + ...$ $v_k = (dE_k/dp) = (p/E_k) = -group velocity of v_k$

describes spread of the wave packets

Shape factor and phase factor

$$E_{k}(p) = E_{k}(p_{k}) + v_{k}(p - p_{k})$$
(neglecting spread of
the wave packets)
Inserting into $\Psi_{k} \sim \int dp f_{k}(p - p_{k}) e^{ipx - iE_{k}(p)t}$
($\Psi_{k} \sim e^{ip_{k}x - iE_{k}(p_{k})t} g_{k}(x - v_{k}t)$
Phase factor
 $e^{i\phi_{k}}$
 $\Phi_{k} = p_{k}x - E_{k}t$
Depends on mean
characteristics p_{k} and
corresponding energy:
 $E_{k}(p_{k}) = \sqrt{p_{k}^{2} + m_{k}^{2}}$
Shape factor



 $|v(x,t)\rangle = \cos\theta g_1(x - v_1 t)e^{i\phi_1}|v_1\rangle + \sin\theta g_2(x - v_2 t)e^{i\phi_2}|v_2\rangle$

 $= \phi_2 - \phi_1$ Oscillation phase



One needs to compute the state which is produced i.e. compute



- Fundamental interactions
- Kinematics
- characteristics of parent and accompanying particles

Process dependent

If heavy neutrinos are present but can not be produced for kinematical reasons, flavor states in Lagrangian differe from the produced states, etc..

Propagation of wave packets What happens?

Phase difference change

Due to different masses (dispersion relations) → phase velocities



Separation of wave packets

Due to different group velocities



Spread of individual wave packets

Due to presence of waves with different momenta and energy in the packet









 $|v(x,t)\rangle = \cos\theta g_1(x - v_1 t)|v_1\rangle + \sin\theta g_2(x - v_2 t)e^{i\phi} |v_2\rangle$

 $\phi = \phi_2 - \phi_1$ Oscillation phase





- Destructive interference of the muon parts
- Constructive interference of electron parts





- Destructive interference of the electron parts
- Constructive interference of muon parts

Detection:

As important as production Should be considered symmetrically with production

Detection effect can be included in the generalized shape factors

 $g_k(x - v_k^{\dagger}) \rightarrow G_k(L - v_k^{\dagger})$

 $x \rightarrow L$ - distance between central points of the production and detection regions

HOMEWORK

Oscillation probability Amplitude of (survival) probability

 $A(v_e) = \langle v_e | v(x,t) \rangle = \cos^2\theta g_1(x - v_1 t) + \sin^2\theta g_2(x - v_2 t) e^{i\phi}$

Probabilityinteference
$$P(v_e) = \int dx |\langle v_e | v(x,t) \rangle|^2 =$$
(integration over the detection o

If
$$g_1 = g_2$$

 $P(v_e) = 1 - 2 \sin^2\theta \cos^2\theta (1 - \cos \phi) = 1 - \sin^2 2\theta \sin^2 \frac{1}{2}\phi$
 $\phi = \frac{\Delta m^2 x}{2E} = \frac{2 \pi x}{l_v}$
depth of oscillations

 Δm^2



All complications – absorbed in normalization or reduced to partial averaging of oscillations or lead to negligible corrections of order m/E << 1

Oscillations - effect of the phase difference increase between mass eigenstates

Admixtures of the mass eigenstates $\nu_{\rm i}$ in a given neutrino state do not change during propagation

Flavors (flavor composition) of the eigenstates are fixed by the vacuum mixing angle



In the configuration space: separation of the wave packets due to difference of group velocities



 $\Delta E = 4\pi E^2 / (\Delta m^2 L)$

In the energy space: period of oscillations

Averaging (loss of coherence) if energy resolution σ_{E} is bad:

Coherence is determined by conditions of detection

If $\Delta E > \sigma_E$ - restoration of coherence even if the wave packets separated

Formation of the wave packet

Pion decay:

E. Akhmedov, D. Hernandez, A.S.



Pion wave function:

$$\psi_{\pi}(\mathbf{x}_{S}, \mathbf{t}_{S}) = \exp[-\frac{1}{2}\Gamma\mathbf{t}_{S}] g_{\pi}(\mathbf{x}_{S}, \mathbf{t}_{S}) \exp[-i\phi_{\pi}(\mathbf{x}_{S}, \mathbf{t}_{S})]$$

usually: $g_{\pi}(\mathbf{x}_{S}, \mathbf{t}_{S}) \sim \delta(\mathbf{x}_{S} - \mathbf{v}_{\pi}\mathbf{t}_{S})$

Muon wave function:

$$\psi_{\mu}(x_{s}, t_{s}) = g_{\mu}(x' - x_{s}, t' - t_{s}) \exp[i\phi_{\mu}(x' - x_{s}, t' - t_{s})]$$

determined by detection of muon





$$P = P + \frac{\sin^2 2\theta}{2(1 + \xi^2)} \frac{1}{1 - e^{-\Gamma I_p}} [\cos \phi_L + K]$$

K = ξ sin
$$\phi_L$$
 - e^{-Γl_p}[cos(ϕ_L - ϕ_p) - ξsin (ϕ_L - ϕ_p)]
 $\phi_L = \Delta m^2 L/2E \quad \phi_p = \Delta m^2 l_p/2E$

<mark>ξ = Δm²/2EΓ</mark> decoherence parameter

> MINOS: $\xi \sim 1$ β -beam ?

> > X



Incoherent v-emission - short WP











If loss of coherence and other complications related to WP picture are irrelevant point-like picture

M is the mass matrix $V = diag (V_e, 0, 0) - effective potential$

Mixing matrix
in vacuum
$$M M^{+} = U M_{diag}^{2} U^{+}$$
$$M_{diag}^{2} = diag (m_{1}^{2}, m_{2}^{2}, m_{3}^{2})$$

Neutrino polarization vectors

 $\psi = \begin{pmatrix} v_e \\ v_\tau \end{pmatrix}$ Polarization vector: $\mathbf{P} = u^{\dagger} \sigma/2 u$

$$\mathbf{P} = \begin{pmatrix} \operatorname{Re} v_{e}^{+} v_{\tau}, \\ \operatorname{Im} v_{e}^{+} v_{\tau}, \\ v_{e}^{+} v_{e} - 1/2 \end{pmatrix}$$

Evolution equation: $i \frac{d\Psi}{dt} = H\Psi \implies i \frac{d\Psi}{dt} = (B\sigma)\Psi$ $\mathbf{B} = \frac{2\pi}{l_m} (\sin 2\theta_m, 0, \cos 2\theta_m)$

Differentiating P and using equation of motion

$$\frac{d P}{dt} = (B \times P)$$

Coincides with equation for the electron spin precession in the magnetic field



$$\vec{v} = \mathbf{P} = (\text{Re } v_e^+ v_\tau, \text{ Im } v_e^+ v_\tau, v_e^+ v_e - 1/2)$$

$$\mathbf{B} = \frac{2\pi}{I_m} (\sin 2\theta_m, 0, \cos 2\theta_m)$$

Evolution equation

$$\frac{\overrightarrow{dv}}{dt} = (\overrightarrow{B} \times \overrightarrow{v})$$

$$\phi = 2\pi t / I_m$$
 - phase of oscillations



 $P = v_e^+ v_e = v_Z + 1/2 = \cos^2 \theta_Z / 2$

probability to find v_e

Oscillations















Conclusion

Oscillations is effect of monotonous phase difference increase between eigenstates of propagation (mass eigenstates) In course of propagation in space-time



Oscillation probability - periodic function of

- Distance L and

- Inverse energy 1/E

Observation of oscillations

KamLAND







Distortion of the v_{μ} - wave packet due to oscillations leads to shift of the center of mass of the packet

The oscillation phase which describes distortion

Key point

$$\phi_{p} = \frac{2\pi \sigma}{I_{v} (v - v_{\pi})} = 2\pi I_{p} / I_{v}$$

equals the phase acquired over the region of formation of the wave packets





To the theory of oscillations

Theory of oscillations: Quantum mechanics at macroscopic distances



Search for new realizations of the oscillation setup Phenomenological consequences

> Collective effects

of neutrino mass

Interplay of oscillations with other non-standard effects



Generic feature of oscillation set-up

Nuclei decay



Energy-momentum is conserved in whole the system which includes also particles whose interactions localize neutrino parents (walls, etc).

E-p are not conserved in the sub-system: particles which Directly involved in the process of neutrino production

E-p can be conserved for individual components of the wave packets. Uncertainty is restored when the probability is convoluted with wave packets



Exact energy-momentum conservation: kinematic entanglement

$$\pi \rightarrow v_{\mu} + \mu \qquad \pi \rightarrow v_{\tau} + \mu \qquad i = 1,2$$

 $|\mathbf{f}\rangle = \Sigma_{i}|\mu_{i}\rangle|\nu_{i}\rangle$

Final state:

$$\mu_i$$
 is the state which (kinematically) corresponds mass eigenstate ν_i

Evolved:

$$|\mathbf{f}\rangle = \Sigma |\mu_i\rangle |\nu_i\rangle \ \mathbf{e}^{i\phi_i(\nu)} \ \mathbf{e}^{i\phi_i(\mu)}$$

Does recoil (muon) phase contribute to the oscillation phase?

For muon:
$$p^2 = E^2 - m^2$$

 $2p \Delta p = 2E\Delta E$
 $\Delta p = E/p \Delta E = 1/v_g \Delta E$
 $v_g = p/E$
 $\phi = \Delta Et - \Delta px = \Delta E(t - x/v_g) \sim \Delta E \sigma_x$

no mass difference between two muon components

$$\mathbf{x} = \mathbf{v}_g \mathbf{t}$$

Related to uncertainty of the phase due to localization

As it should be...

ν

production region Flavor of neutrino state is fixed at the production

This does not depend on where and how muon is detected and on what is the phase difference between the muon components

Neutrino phase difference is determined by neutrino parameters

Can NC interactions prepare minEntanglement & ZEPR

What is the neutrino state produced in the Z-decay in the presence of mixing?

 $|\mathbf{f}\rangle = \frac{1}{\sqrt{3}} \left[|\overline{\mathbf{v}}_1 \mathbf{v}_1 \rangle + |\overline{\mathbf{v}}_2 \mathbf{v}_2 \rangle + |\overline{\mathbf{v}}_3 \mathbf{v}_3 \rangle \right]$

$$\langle \mathbf{f} | \mathbf{H} | \mathbf{Z} \rangle |^2 = 3 | \langle \overline{\mathbf{v}}_1 \mathbf{v}_1 | \mathbf{H} | \mathbf{Z} \rangle |^2$$

Do neutrinos from Z⁰- decay oscillate?

Two detectors experiment: detection of both neutrinos

If the flavor of one of the neutrino is fixed, another neutrino oscillates





Flavors of mass eigenstates do not change

Admixtures of mass eigenstates do not change: no n₁ <-> n₂ transitions









Due to difference of masses n₁ and n₂ have different phase velocities



effects of the phase difference increase which changes the interference pattern

$$\frac{Oscillation}{Oscillation} \frac{probability}{probability}$$

$$P(n_m) = \frac{A_p}{2} \left(1 - \cos \frac{2px}{l_n}\right) = \sin^2 2q \sin^2 \frac{px}{l_n}$$

Features of neutrino oscillations in vacuum:

Oscillations -- effect of the phase difference increase between mass eigenstates

Admixtures of the mass eigenstates n_i in a given neutrino state do not change during propagation

Flavors (flavor composition) of the eigenstates are fixed by the vacuum mixing angle

At one glance Sources:

Sun Atmosphere The Earth Geo-nu SN1987A

Universe (indirectly)

Accelerators

Reactors Rad. Sources

All well established/confirmed results are described by

ass and

of three neutrinos with

rather peculiar pattern and nothing more?

Introduction of neutrino mass and mixing may have negligible impact on the rest of SM mass is generated by $\frac{1}{\Lambda}$ LLHH other effects ~ Λ^{-2}





Origin of mixing: off diagonal mass matrices

 $M_1 \neq M_v$

Diagonalization:



$$M_{I} = U_{IL}m_{I}^{diag}U_{IR}^{+} \qquad M_{v} = U_{vL}m_{v}^{diag}U_{vL}^{\top} \quad (Majorana \ neutrinos)$$
$$m_{v}^{diag} = (m_{1}, \ m_{2}, m_{3})$$

CC in terms of mass eigenstates: $I \gamma^{\mu} (1 - \gamma_5) U_{PMNS} v_{mass}$

$$U_{PMNS} = U_{IL} + U_{v}$$

Flavor basis: $M_{I} = m_{I}^{diag}$ $U_{PMNS} = U_{VL}$

Parameterization

 $U_{PMNS} = U_{23} I_{\delta} U_{13} I_{-\delta} U_{12}$ $I_{\delta} = \text{diag} (1, 1, e^{i\delta})$

$$U_{PMNS} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ s_{12}c_{23} + c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & -s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & c_{12}s_{23} + s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

 $c_{12} = \cos \theta_{12}$, etc.

δ is the Dirac CP violating phase $θ_{12}$ is the ``solar" mixing angle $θ_{23}$ is the ``atmospheric" mixing angle $θ_{13}$ is the mixing angle determined by T2K, Daya Bay, DC, RENO ...

Challenging the theory of oscillations

Why the standard oscillation formula is so robust? Corrections required ?

New oscillation setups Higher precision ... LBL experiments:

- Long life-time parents: pions, muons, nuclei;
- large decay pipes, straight lines of storage rings

Neutrino anomalies as consequences of modified description of oscillations

Nature of neutrino mass differs from nature of electron or top quark...

$$\begin{array}{l} \textbf{B} \quad \textbf{A} \quad \textbf{B} \quad \textbf{A} \quad \textbf{$$



How external particles Should be described?





wave packets for external particles



Describe by plane waves But introduce finite integration

Wave packets



Neutrinos real particles described by the wave packets Which encode information about production and detection as well on oscillations in the production region



Flavor neutrino states:

Mass eigenstates



Entanglement & EPR