

Quy Nhon, Vietnam   July 15 - 21, 2012

# TOP QUARK POLARIZATION AND THE SEARCH FOR NEW PHYSICS

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Based on work in collaboration with:

Qing-Hong Cao, Chuan-Ren Chen, Jianghao Yu, and Hao Zhang  
arXiv: 1101.5625 Phys. Rev. Lett. 106, 201801 (2011);  
arXiv: 1111.3641, Phys. Rev. Lett. 108, 072002 (2012);  
arXiv: 1207.1101 (July 2012)

# OUTLINE

- **Semi-leptonic top quark decay. Methods to measure the top quark polarization**
- **New physics interpretations of the top quark rapidity asymmetry at the Tevatron -- what, in addition, do we learn from the decay lepton asymmetry**
- $$\mathcal{A}_{\text{FB}}^{\ell} = \frac{N(q_{\ell}y_{\ell} > 0) - N(q_{\ell}y_{\ell} < 0)}{N(q_{\ell}y_{\ell} > 0) + N(q_{\ell}y_{\ell} < 0)}$$
- **Models (axigluon model and right-handed W' model) and the decay lepton asymmetry**
- **Implications for the LHC: same sign top pairs; rapidity asymmetry**
- **Models with dark matter candidates -- added information from top quark polarization**

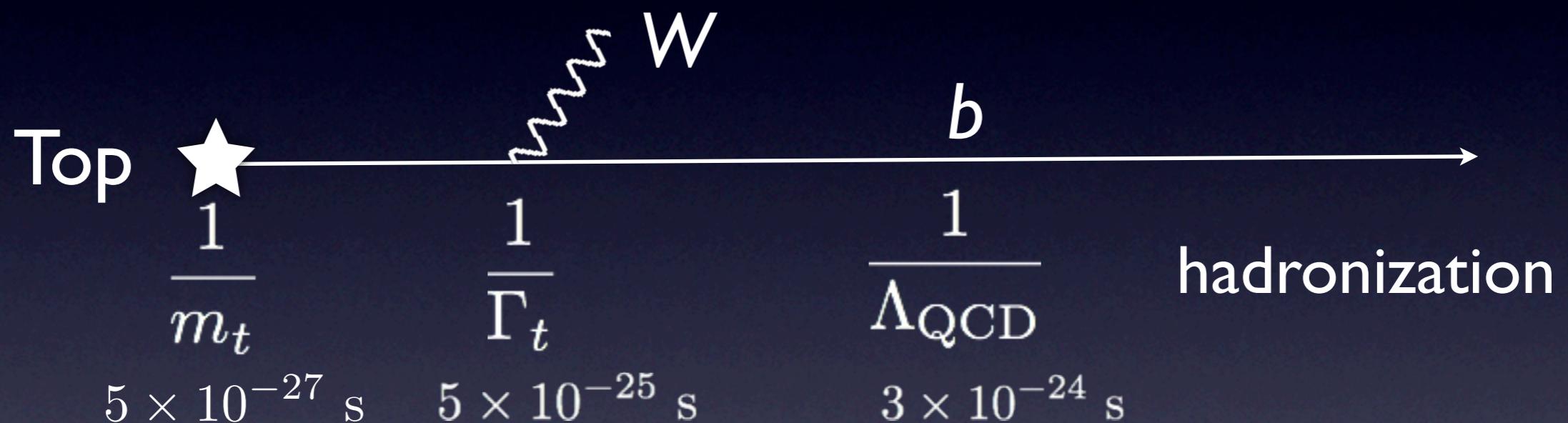
# Top quark: most massive of the SM

- Large mass :  $173 \text{ GeV} \sim \text{VEV} (246 \text{ GeV})$        $Y_t \sim \mathcal{O}(1)$
- Large mass suggests sensitivity to symmetry breaking and BSM effects
- Experiment -- Tevatron deviation from SM expectations of the F/B rapidity asymmetry for top
- Various models of new physics proposed to interpret the asymmetry data (e.g.,  $W'$ ,  $Z'$ , ...) invoke right-handed couplings of the top quark
- Other new physics schemes -- unrelated to the asymmetry -- also favor right-handed couplings; valuable to measure polarization of top quarks

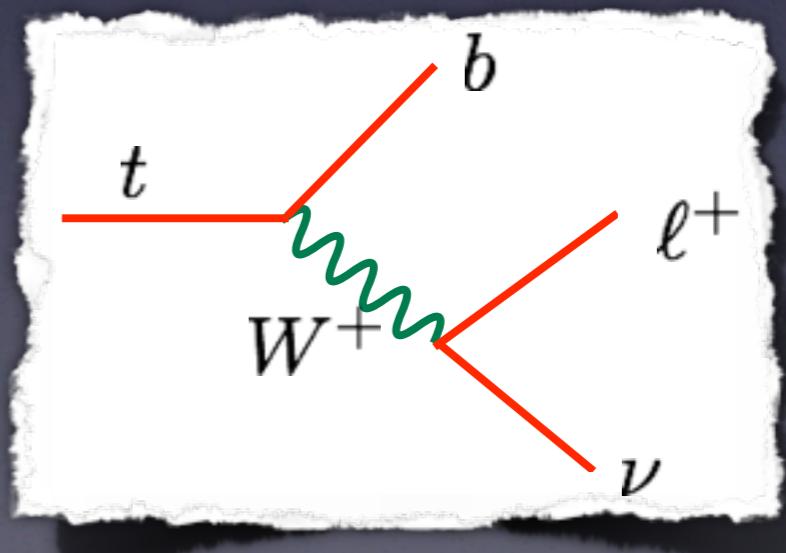
# Top quark

- Large mass :  $173 \text{ GeV} \sim \text{VEV}$  ( $246 \text{ GeV}$ )  $Y_t \sim \mathcal{O}(1)$

- Short lifetime:

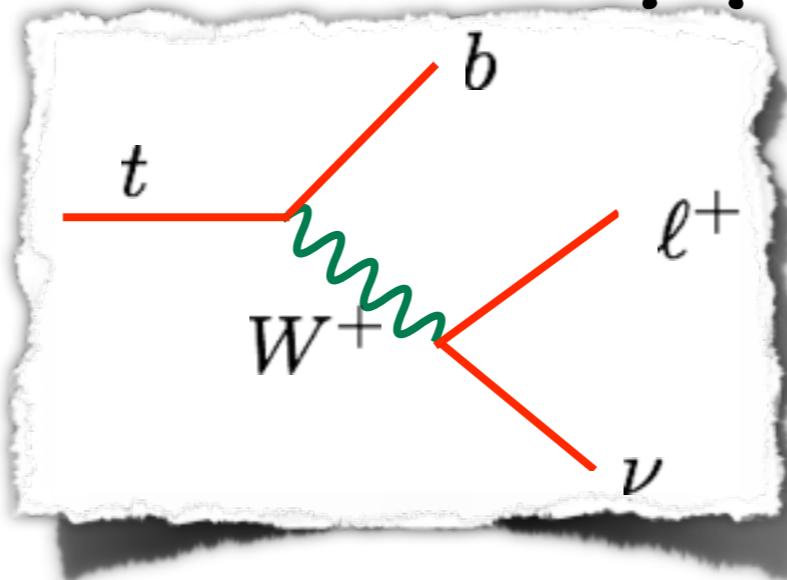


- “bare” quark : spin info retained among decay products in (V-A) interaction



# TWO METHODS TO MEASURE TOP POLARIZATION

- A. Lepton angular distribution in top quark rest frame -- maximally correlated with the top quark spin orientation.



- Method requires full reconstruction of the top quark kinematics, e.g., with MT2 method

- B. Lepton momentum distribution -- useful for more complex final states, e.g., when missing energy from dark matter candidates is present ([arXiv:1207.1101](https://arxiv.org/abs/1207.1101))

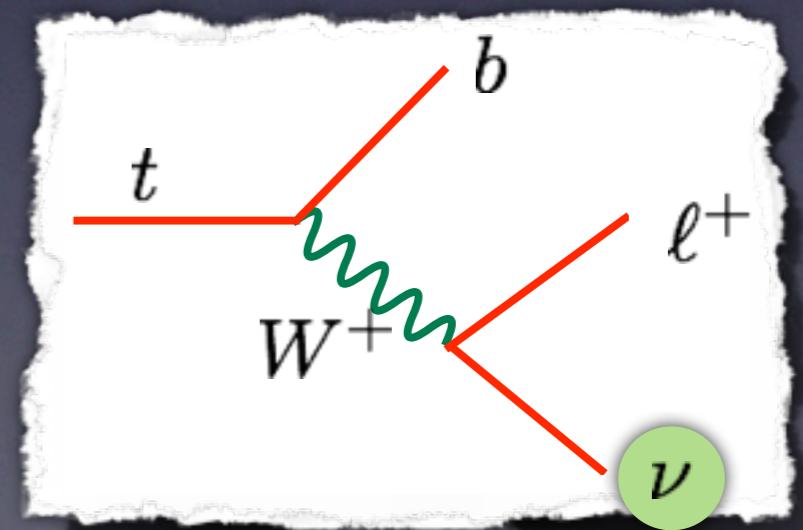
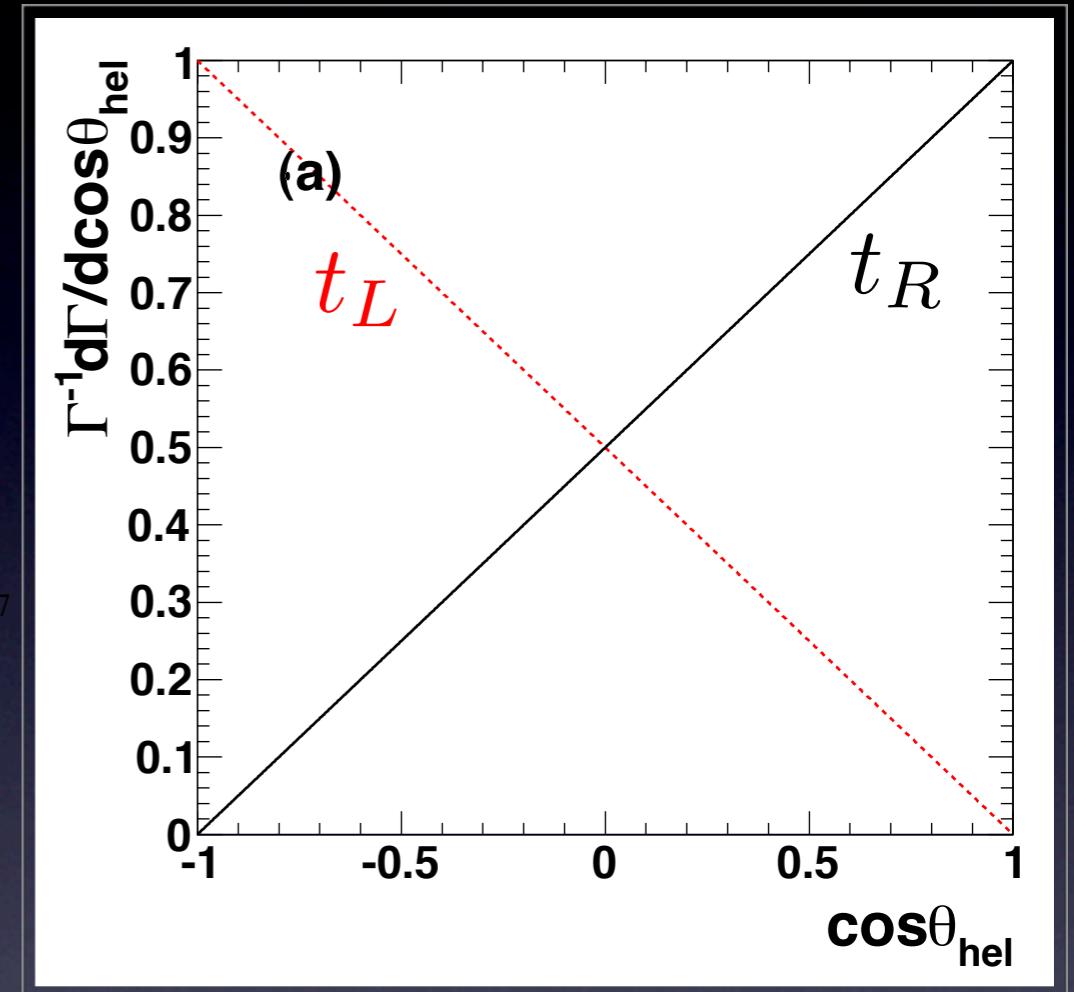
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# Angular distribution of decay lepton is a top quark spin analyzer

- In the top-quark rest frame

$$\frac{1}{\Gamma} \frac{d\Gamma}{d \cos \theta_{\text{hel}}} = \frac{1 + \lambda_t \cos \theta_{\text{hel}}}{2}$$

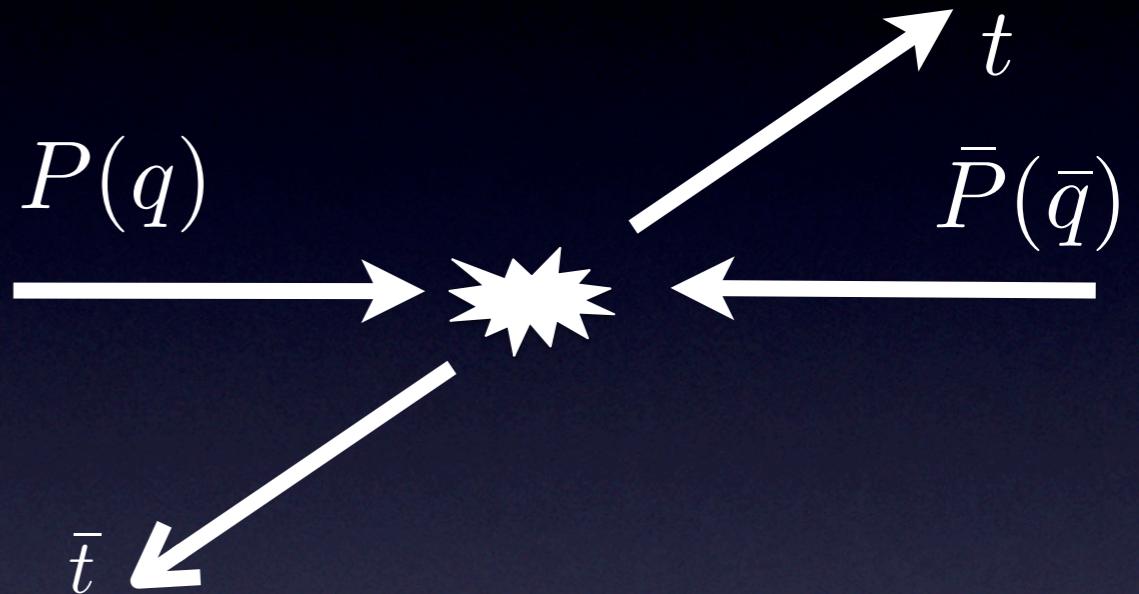
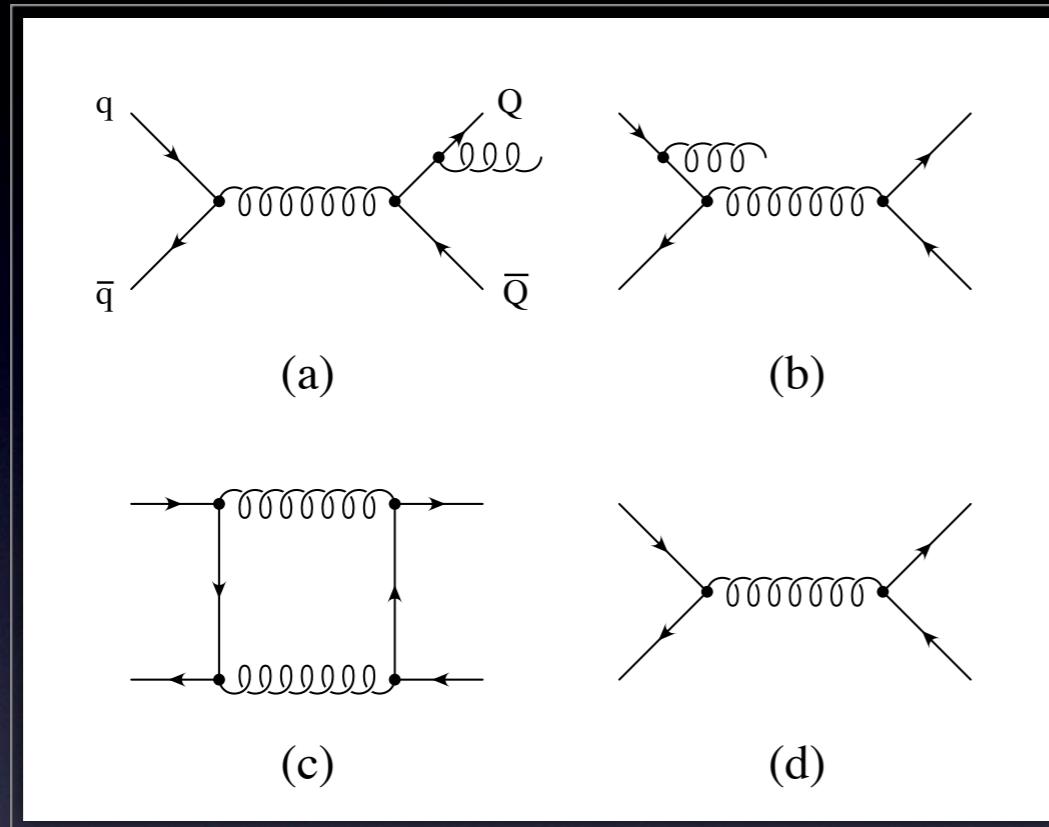
$\lambda_t = +$  right-handed  
 $\lambda_t = -$  left-handed



## II. Top quark forward-backward asymmetry

# Top-quark F-B asymmetry in the SM

- A charge asymmetry arises at NLO



Top quarks are produced along the direction of the incoming quark

$$A^{p\bar{p}} = \frac{N_t(y > 0) - N_{\bar{t}}(y > 0)}{N_t(y > 0) + N_{\bar{t}}(y > 0)} = 0.051(6)$$

$$A^{t\bar{t}} = \frac{N(\Delta y > 0) - N(\Delta y < 0)}{N(\Delta y > 0) + N(\Delta y < 0)} = 0.078(9) \quad \Delta y = y_t - y_{\bar{t}}$$

# TOP AFB FROM TEVATRON



## CDF collaboration (CDF Note 10807)

CDF Run II Preliminary L = 8.7 fb<sup>-1</sup>

Parton Level NLO (QCD+EW) $t\bar{t}$		5.3 fb <sup>-1</sup>	8.7 fb <sup>-1</sup>
$ \Delta y $	$A_{\text{FB}}$	$A_{\text{FB}} (\pm [\text{stat.} + \text{syst.}])$	$A_{\text{FB}} (\pm [\text{stat.} + \text{syst.}])$
Inclusive	0.066	$0.158 \pm 0.074$	$0.162 \pm 0.047$
$< 1.0$	0.043	$0.026 \pm 0.118$	$0.088 \pm 0.047$
$\geq 1.0$	0.139	$0.611 \pm 0.256$	$0.433 \pm 0.109$

Parton Level NLO (QCD+EW) $t\bar{t}$		5.3 fb <sup>-1</sup>	8.7 fb <sup>-1</sup>
$M_{t\bar{t}}$	$A_{\text{FB}}$	$A_{\text{FB}} (\pm [\text{stat.} + \text{syst.}])$	$A_{\text{FB}} (\pm [\text{stat.} + \text{syst.}])$
$< 450 \text{GeV}/c^2$	0.047	$-0.116 \pm 0.153$	$0.078 \pm 0.054$
$\geq 450 \text{GeV}/c^2$	0.100	$0.475 \pm 0.112$	$0.296 \pm 0.067$

After the increase in luminosity, the discrepancy from the SM prediction is still nearly  $3\sigma$

# $A_{FB}^t$ and Some NP models

s-channel

Ferrario, Rodrigo  
Axigluon  
0809.3353

Antunan, Kuhn, Rodrigo  
Axigluon  
0709.1652

Frampton, Shu, Wang  
Axigluon  
0911.2955

Ferrario, Rodrigo  
chiral G'  
0906.5541

Djouadi, Moreau, Richard, singh  
KK Gluon  
0906.0604

EFT

Q-H.Cao et al  
Effective coupling  
( $G'$ ,  $Z'$ ,  $W'$ ,  $H^0$ ,  $H^+$ )  
1003.3461

Jung, Ko, Lee, Nam  
EFT  
0912.1105

2007, 2008

2009

2010, 2011

t-channel

Jung, Murayama, Pierce, Wells  
FCNC Z-prime  
0907.4112

Cheung, Keung, Yuan  
FC W-prime  
0908.2589

Shu, Tait, Wang  
Color Sextet/triplet scalar  
0911.3237

Arhrib, Benbrik, Chen  
Color Sextet/triplet scalar  
0911.4875

J. Cao, Heng, Wu, Yang  
 $\mathcal{R}$ -SUSY and TC2  
0912.1447

NLO QCD

Xiao, Wang, Zhu,  
NLO QCD to Z-prime  
1006.2510

Yan, Wang, Shao, Li  
NLO QCD to W-prime  
1110.6684

Shao, Li, et al  
NLO QCD to EFT  
1107.4012

# MORE INSIGHT (INTO THESE MODELS) FROM TEVATRON?

■ D0 collaboration measured the lepton charge asymmetry

SM:  $A_{FB}^t = 0.051 \pm 0.001$

$$A_{FB}^\ell = 0.021 \pm 0.001$$

$$\frac{A_{FB}^\ell}{A_{FB}^t} \sim 40\%$$

D0:  $A_{FB}^t = 0.196 \pm 0.065$

$$A_{FB}^\ell = 0.152 \pm 0.040$$

$$\frac{A_{FB}^\ell}{A_{FB}^t} \sim 75\%$$

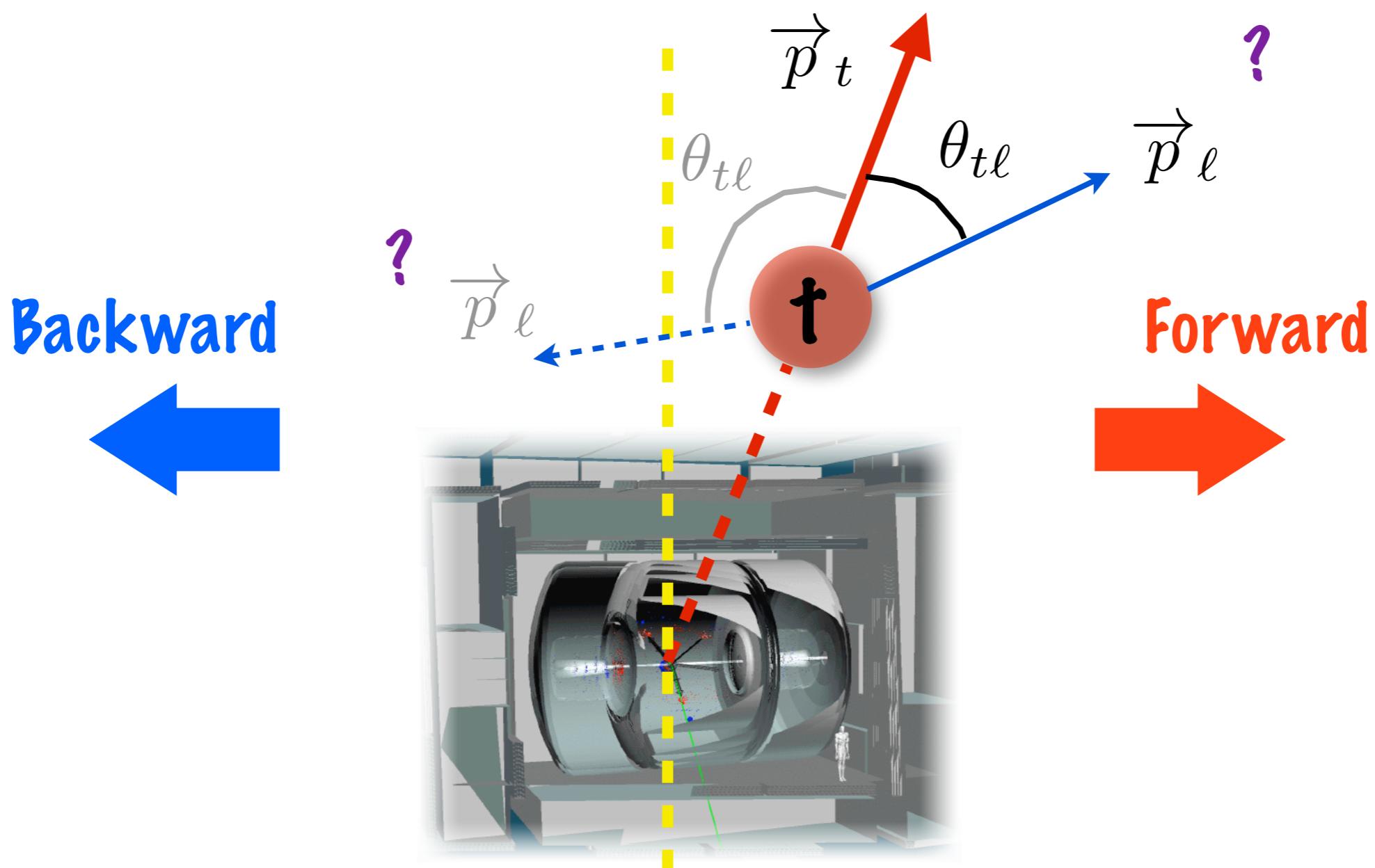
■ Theoretically - for the same top quark momentum distribution, a left-handed top quark and a right-handed top quark leads to a different lepton charge asymmetry

■ How can we relate AFB(lepton) and AFB(top)? Show here that AFB(lepton) provides independent insight into new physics models

# **From top AFB to lepton AFB**

# TOP LEPTONIC DECAY

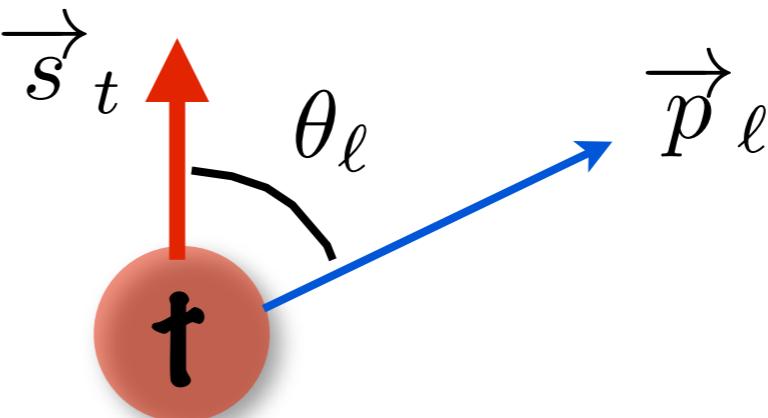
☐ If we know the momentum and spin direction of the top quark, what is the probability that the decay lepton is in the forward (backward) region in the laboratory frame?



# TOP LEPTONIC DECAY

Recall - in the top quark rest frame

$$\frac{d\Gamma}{\Gamma d \cos \theta_\ell} = \frac{1 + \cos \theta_\ell}{2}$$

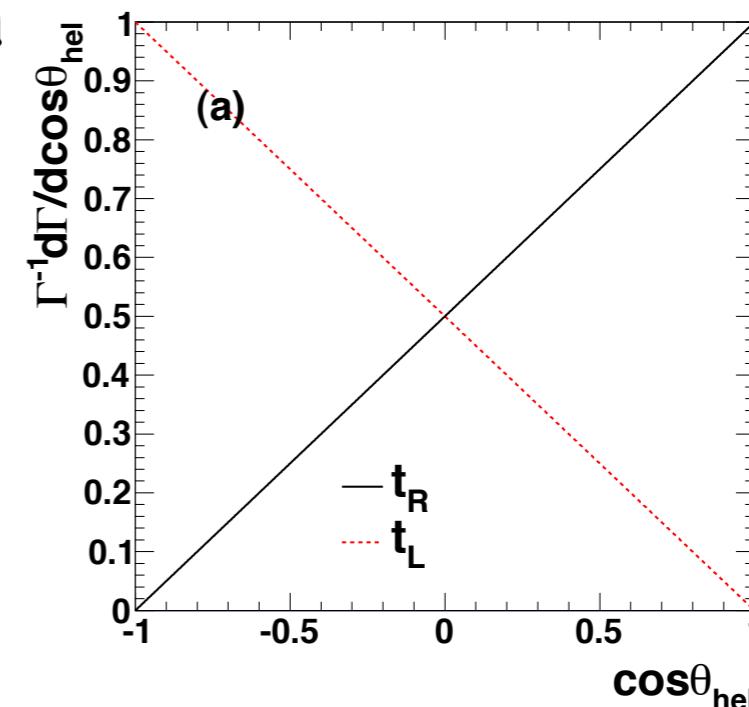


In the helicity basis, the momentum direction of the lepton from a left(right)-handed top quark is opposite (same) as the top quark spin direction

$$\frac{d\Gamma}{\Gamma d \cos \theta_{\text{hel}}} = \frac{1 + \lambda_t \cos \theta_{\text{hel}}}{2}$$

$\lambda_t = +1$  — positive helicity, right-handed

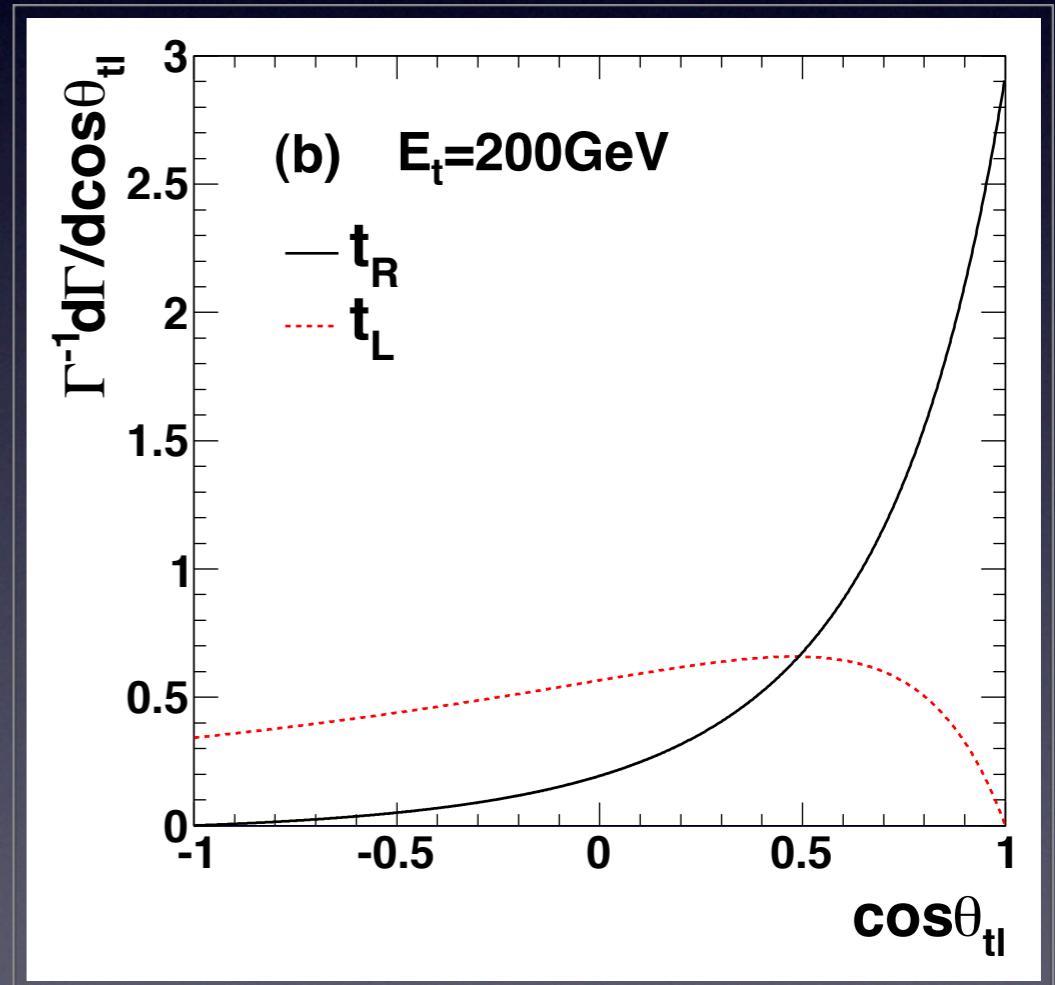
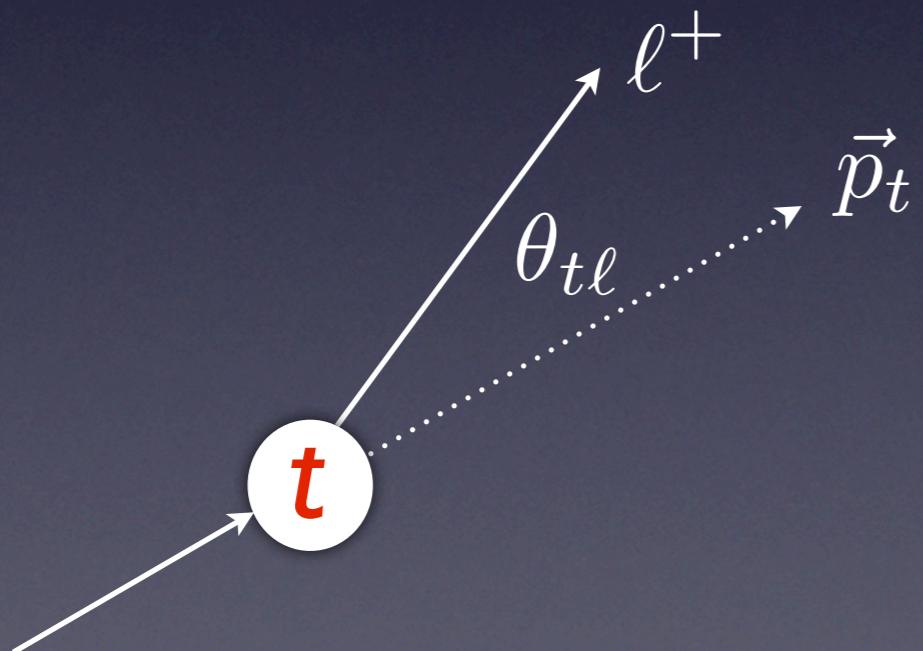
$\lambda_t = -1$  — negative helicity, left-handed



# Charged lepton distribution

- When the top quark is boosted along the spin direction, the angular distribution becomes

$$\frac{d\Gamma}{\Gamma d \cos \theta_{t\ell}} = \frac{1 - \beta \cos \theta_{t\ell} + \lambda_t (\cos \theta_{t\ell} - \beta)}{2\gamma^2 (1 - \beta \cos \theta_{t\ell})^3}$$

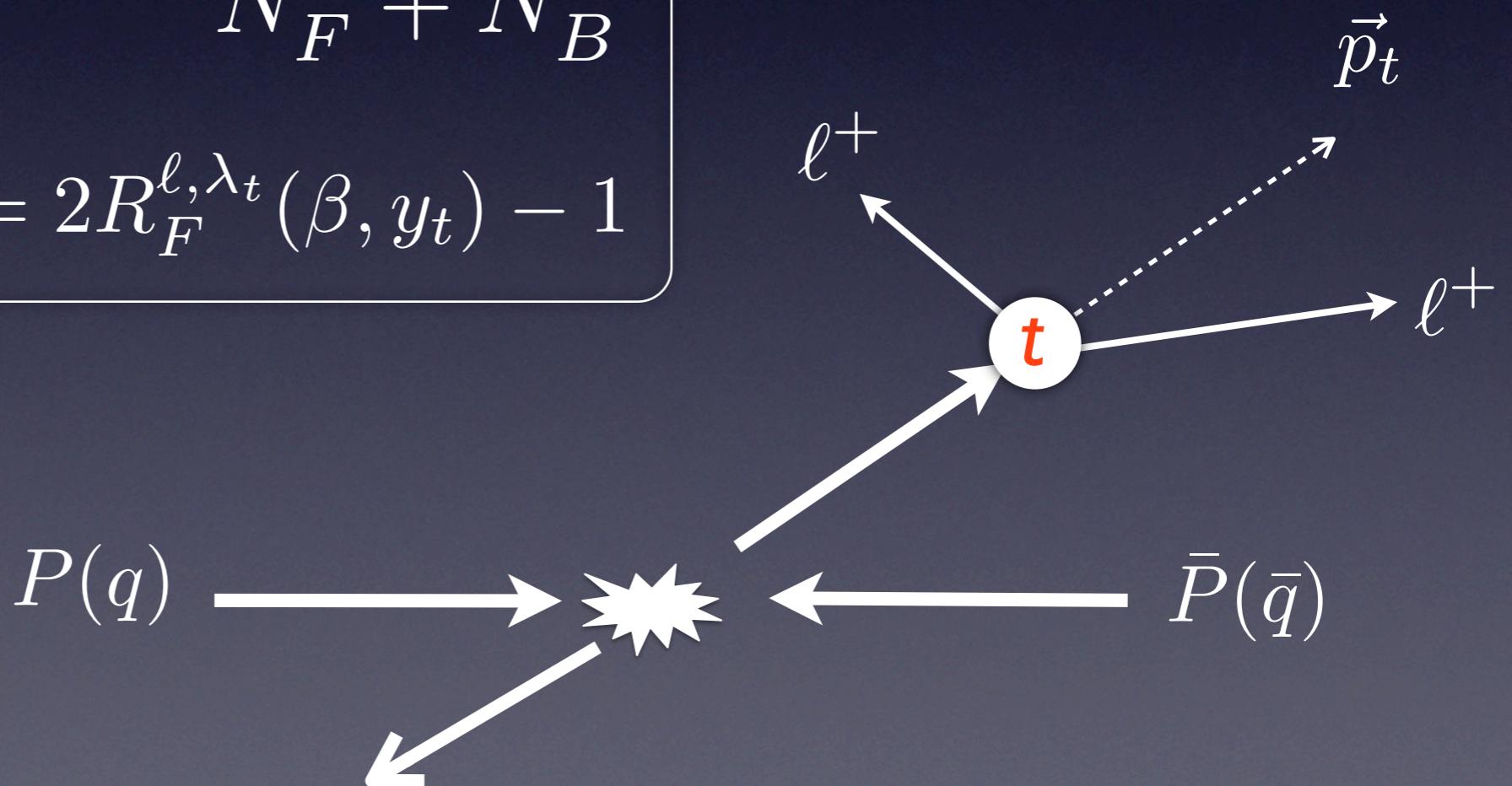


Next: rotate to the laboratory frame

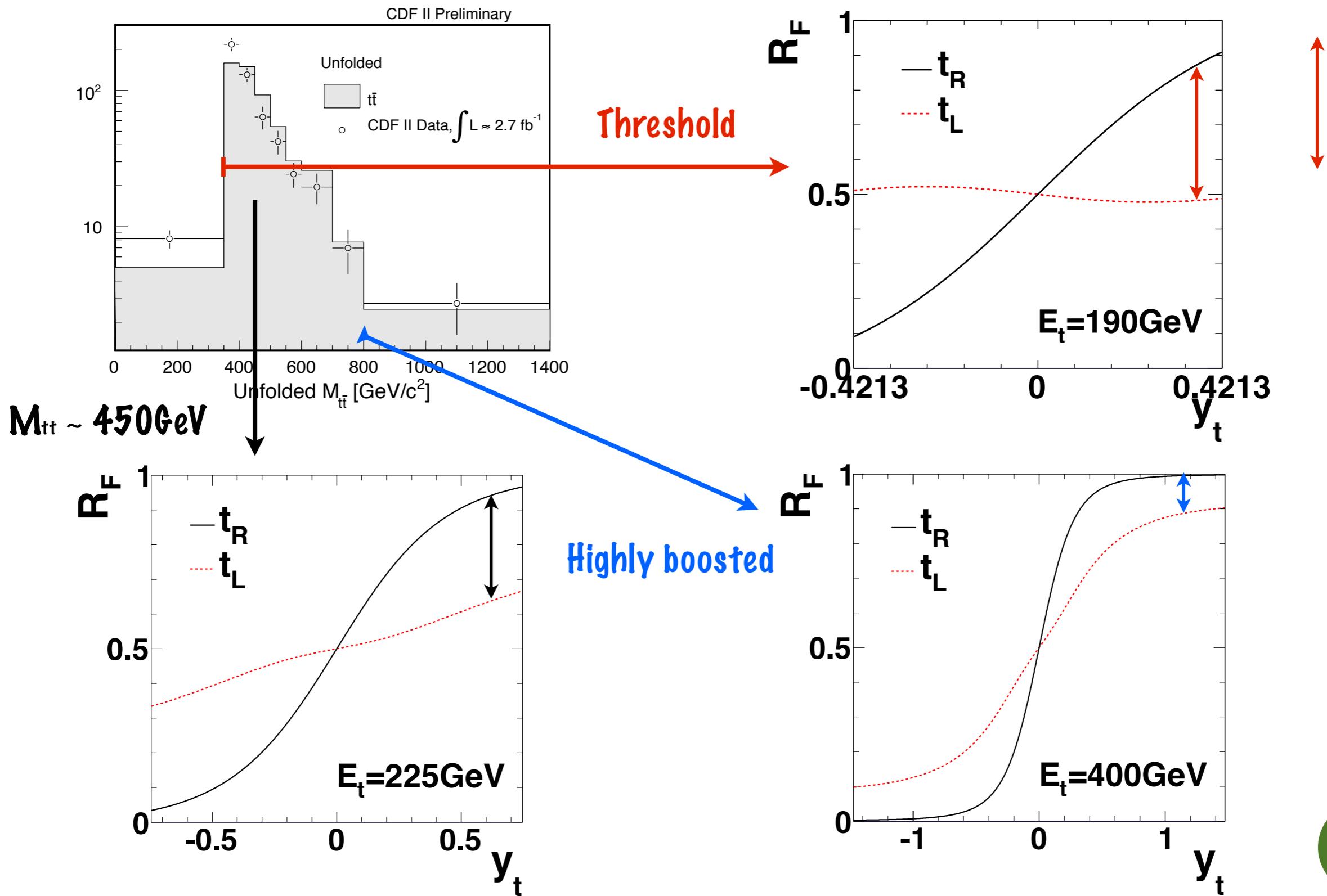
# $A_{FB}^\ell$ dependence on top kinematics

- Probability that the decay lepton is in the forward region of detector for a top-quark ( $\beta$ ,  $y_t$ ,  $\lambda_t$ ) is encoded in an analytic expression [arXiv:1201.1790](#)

$$R_F^{\ell, \lambda_t}(\beta, y_t) = \frac{N_F^\ell}{N_F^\ell + N_B^\ell}$$
$$A_{FB}^{\ell, \lambda_t}(\beta, y_t) = 2R_F^{\ell, \lambda_t}(\beta, y_t) - 1$$



# TOP LEPTONIC DECAY



# FROM TOP AFB TO LEPTON AFB

When we know the top quark AFB and the final state ttbar distribution, how to estimate the lepton AFB?  
Convolution of the function R with the top quark momentum spectrum is required

$$n_\ell^F = \frac{1}{\sigma} \sum_{\lambda=+,-} \int R_F^\lambda(\beta, y_t) \frac{d^2\sigma|_{\lambda_t=\lambda}}{d\beta dy_t} d\beta \wedge dy_t$$

$$n_\ell^B = \frac{1}{\sigma} \sum_{\lambda=+,-} \int [1 - R_F^\lambda(\beta, y_t)] \frac{d^2\sigma|_{\lambda_t=\lambda}}{d\beta dy_t} d\beta \wedge dy_t$$

$$A_{FB}^\ell = n_\ell^F - n_\ell^B$$

$$= \frac{1}{\sigma} \sum_{\lambda=+,-} \int [2R_F^\lambda(\beta, y_t) - 1] \frac{d^2\sigma|_{\lambda_t=\lambda}}{d\beta dy_t} d\beta \wedge dy_t$$

# FROM TOP AFB TO LEPTON AFB

- For the SM, we have equal number of left-handed and right-handed top quarks in the final state.
- The dominant contribution is from the top quarks with energy around 200GeV,
  - left-handed:  $2R_F - 1 \approx 0$
  - right-handed:  $2R_F - 1 \approx 0.8$

$$\frac{A_{FB}^\ell}{A_{FB}^t} \approx \frac{0 + 0.8}{2} = 40\%$$

# WHAT DO WE LEARN FROM D0 LEPTON AFB DATA?

## The D0 result

$$\text{D0: } A_{FB}^t = 0.196 \pm 0.065$$

$$A_{FB}^\ell = 0.152 \pm 0.040$$

$$\frac{A_{FB}^\ell}{A_{FB}^t} \sim 75\%$$

Data require larger  $2R_F - 1$  than the SM

## Two possibilities:

(1) If the contribution to AFB is dominated by the threshold region, the new physics must produce more right-handed top quarks

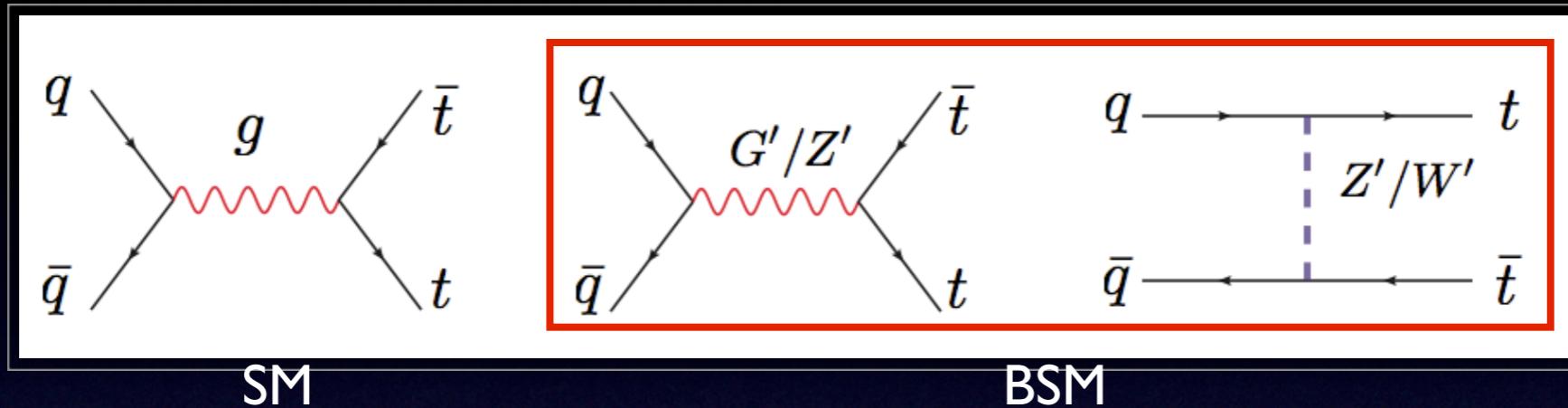
(2) Or the contribution to AFB must be from highly boosted region. But...

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### **III. Two examples of New Physics Models**

# New physics models

NP models are divided into two classes



- **s-channel: extra octet vector gluon (axigluon is an example)**
  - Small couplings to the first two generations: dijet constraints at 7 TeV
  - Large couplings to third generation: to generate large  $A_{FB}$
  - Heavy resonances: ttbar invariant mass spectrum
  - Very broad width: to interfere with the SM channel
- **t-channel: flavor changing interaction**
  - color singlet:  $Z'$ -u-t ( $\phi$ -u-t)  
 $W^+$ -d-t ( $\phi^+$ -d-t)
  - color sextet or triplet

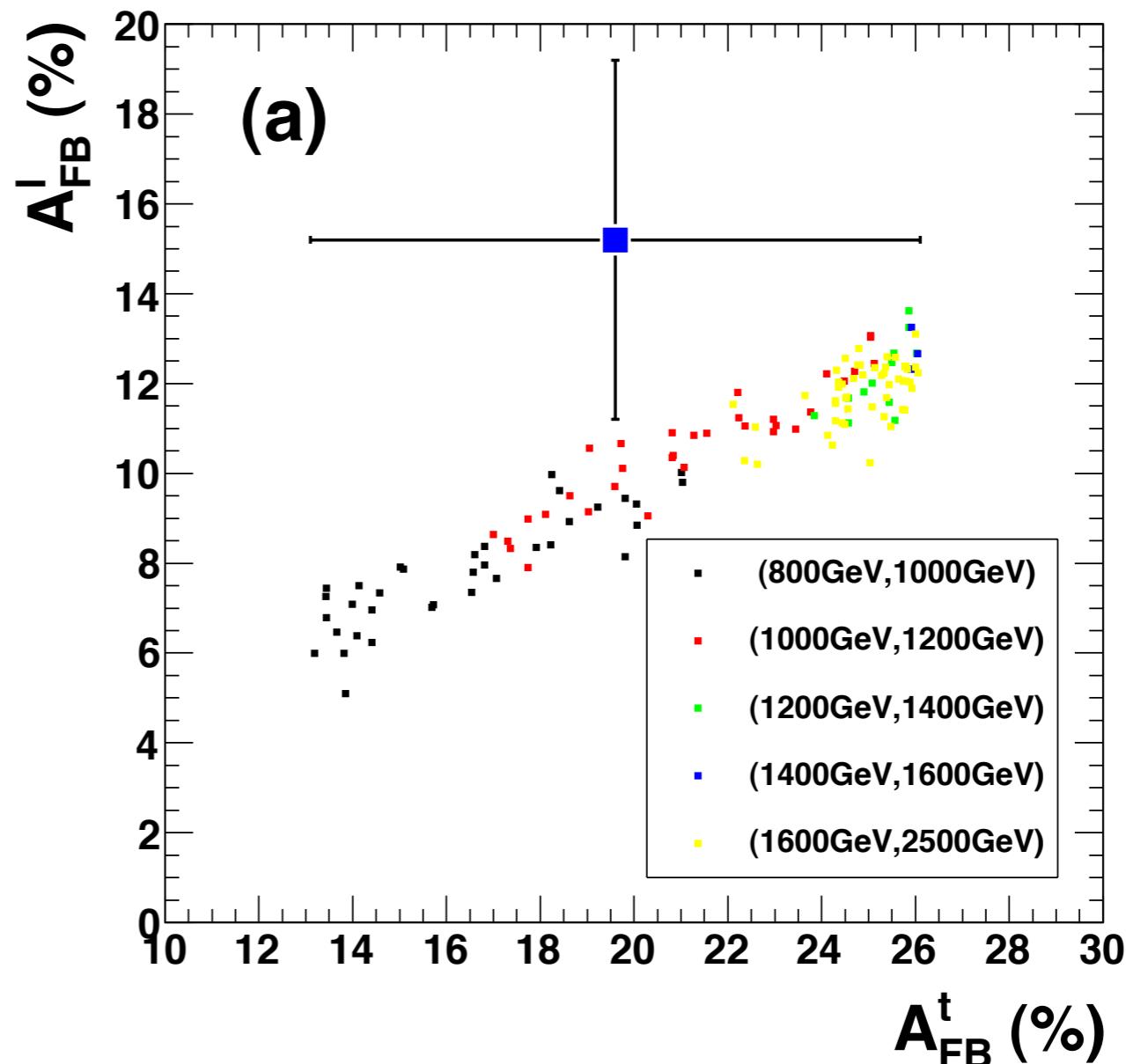
## (I). AXIGLUON

■ To determine parameters, require top AFB and the total cross section to fit within  $1\sigma$ . Compute the correlation between top and lepton AFB:

■ Pure pseudo-vector interaction (equal left and right tops)

■ An axigluon produces a small increase in the

$$A_{FB}^{\ell} \simeq 0.47 \times A_{FB}^t + 0.25$$



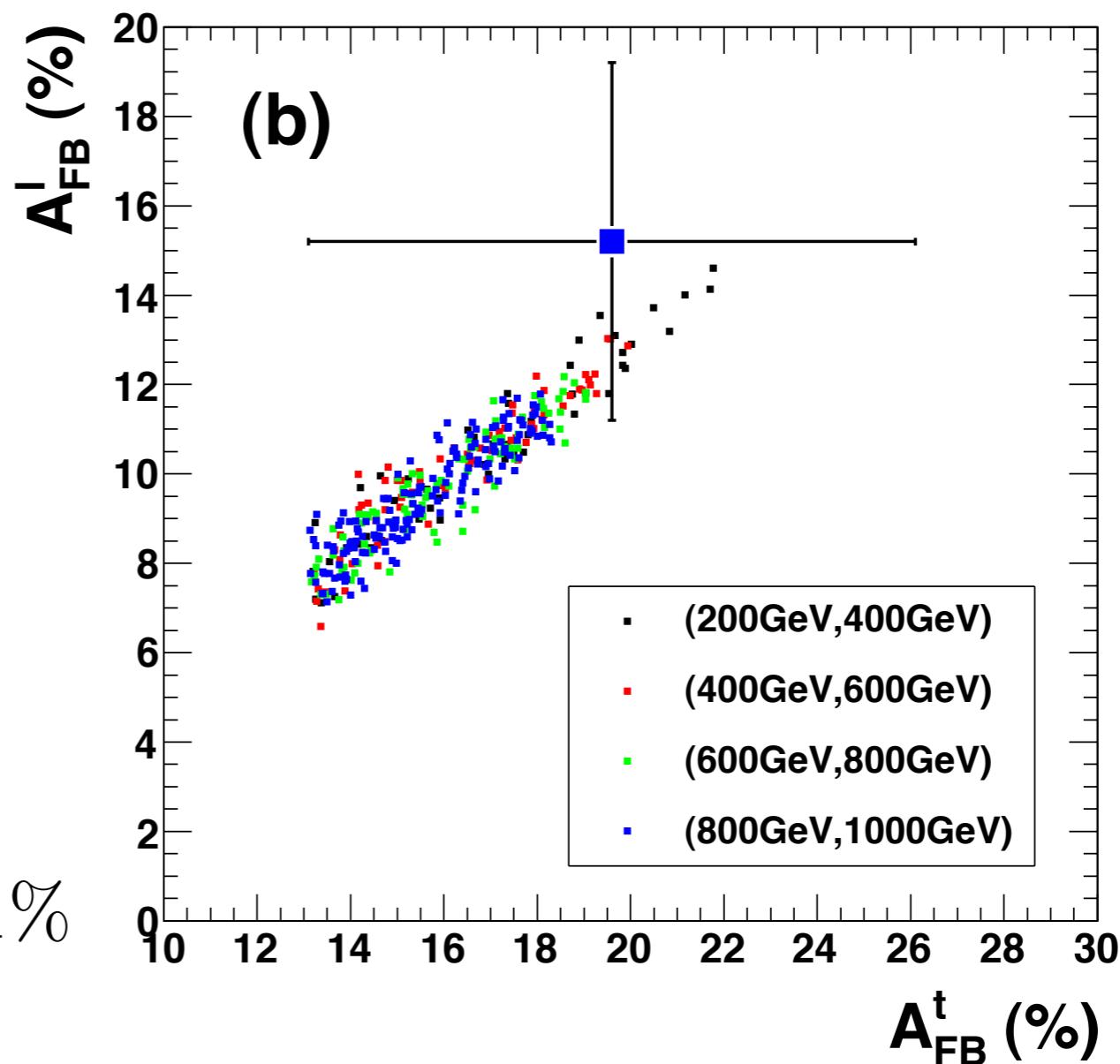
## (II). W'

■ To determine parameters, require top AFB and the total cross section to fit within  $1\sigma$ . Compute the correlation between top and lepton AFB:

■ Purely right-handed FC interaction

■ W' model produces a large increase in the lepton AFB.

$$A_{FB}^{\ell} \simeq 0.75 \times A_{FB}^t - 2.1\%$$



# SUMMARY ON top AFB and lepton AFB

- Owing to the spin correlation in top quark decay, the top AFB and lepton AFB are strongly positively correlated for right-handed top quarks.
- For left-handed top quarks, the correlation depends on the energy of the top quark.
- Data from the D0 collaboration show a relatively large positive correlation.
- A model of new physics that predicts more right-handed top quarks is favored
- \* Important to measure both top and lepton AFB \*

Phys. Rev. Lett. 108, 072002 (2012)

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# OUTLOOK

- The D0 experimental uncertainty is large

$$\left. \frac{A_{FB}^\ell}{A_{FB}^t} \right|_{D0} = 78 \pm 33\%$$

- Analysis of more data from the Tevatron could reduce this uncertainty

What about the LHC?



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# IV. LHC Implications

# IMPLICATIONS OF MODELS AT THE LHC?

PUBLIC RELEASE  
PRIORITY USE  
PRIORITY USE

Same sign top pair production

PUBLIC RELEASE  
PRIORITY USE  
PRIORITY USE

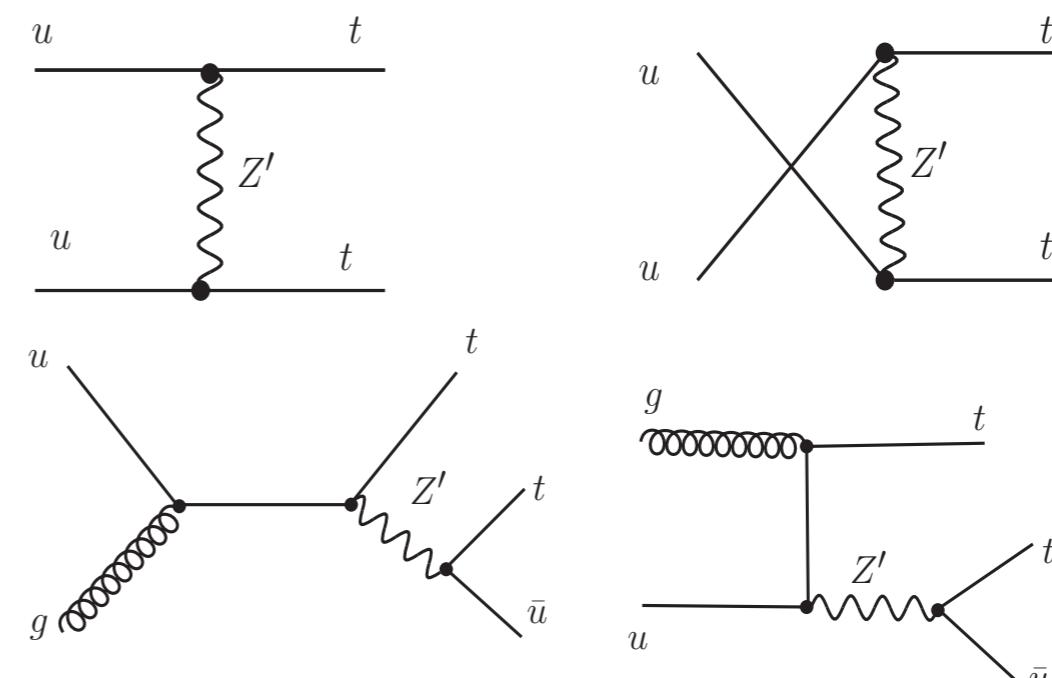
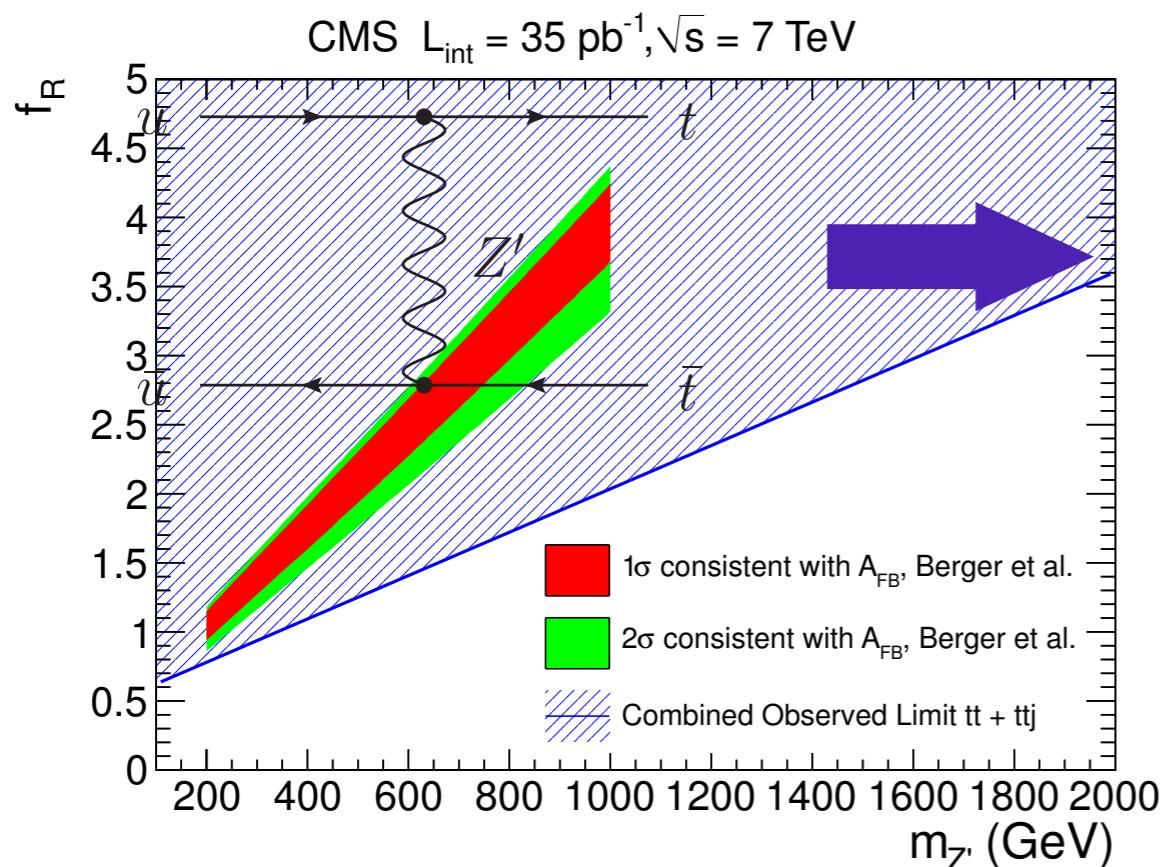
Prediction based on Tevatron analysis; checked by CMS

PUBLIC RELEASE  
PRIORITY USE  
PRIORITY USE

Minimal FCNC Z' model is disfavored

(PRL 106, 201801,

E Berger, Q-H Cao, C-R Chen, CS Li and Hao Zhang; JHEP08(2011)  
005, CMS collaboration)



# LHC RAPIDITY ASYMMETRIES



It is more difficult to measure AFB at the LHC

(1) pp collider

(2) gg initial state dominant -- yields no asymmetry

(3) for the qqbar initial state process, an asymmetry persists, but its effect is diluted by the large gg contribution



Define LHC asymmetries:

$$A_C^{t\bar{t}} \equiv \frac{N(|y_t| - |y_{\bar{t}}| > 0) - N(|y_t| - |y_{\bar{t}}| < 0)}{N(|y_t| - |y_{\bar{t}}| > 0) + N(|y_t| - |y_{\bar{t}}| < 0)}$$

$$A_C^{\ell\ell} \equiv \frac{N(|y_{\ell^+}| - |y_{\ell^-}| > 0) - N(|y_{\ell^+}| - |y_{\ell^-}| < 0)}{N(|y_{\ell^+}| - |y_{\ell^-}| > 0) + N(|y_{\ell^+}| - |y_{\ell^-}| < 0)}$$

# LHC RAPIDITY ASYMMETRY DATA

The results from the two collaborations are different, even if they are consistent within the quoted uncertainties

$$A_C^{t\bar{t}} = 0.029 \pm 0.018(\text{stat.}) \pm 0.014(\text{syst.})$$

$$A_C^{\ell\ell} = 0.023 \pm 0.012(\text{stat.}) \pm 0.008(\text{syst.})$$

ATLAS-CONF-2012-057

$$A_C^{t\bar{t}} = 0.004 \pm 0.010(\text{stat.}) \pm 0.011(\text{syst.})$$

CMS Collaboration, arXiv: 1207.0065

$$A_C^{t\bar{t}} \text{ SM} = 0.006, \quad A_C^{\ell\ell} \text{ SM} = 0.004 \quad \text{MC@NLO}$$

- We can obtain an estimate of AFB ( $t\bar{t}$ bar) at the LHC by extrapolating from the Tevatron and applying the gg dilution
- The LHC value should be about 10% of the Tevatron
- ATLAS value agrees with the Tevatron asymmetry

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# LHC RAPIDITY ASYMMETRY DATA

CMS result on AFB ( $t\bar{t}$ ) agrees with SM; no need for NP.  
ATLAS data exceed SM

$$A_C^{t\bar{t}} = 0.029 \pm 0.018(\text{stat.}) \pm 0.014(\text{syst.})$$

$$A_C^{\ell\ell} = 0.023 \pm 0.012(\text{stat.}) \pm 0.008(\text{syst.})$$

ATLAS-CONF-2012-057

$$A_C^{t\bar{t}} = 0.004 \pm 0.010(\text{stat.}) \pm 0.011(\text{syst.})$$

CMS Collaboration, arXiv: 1207.0065

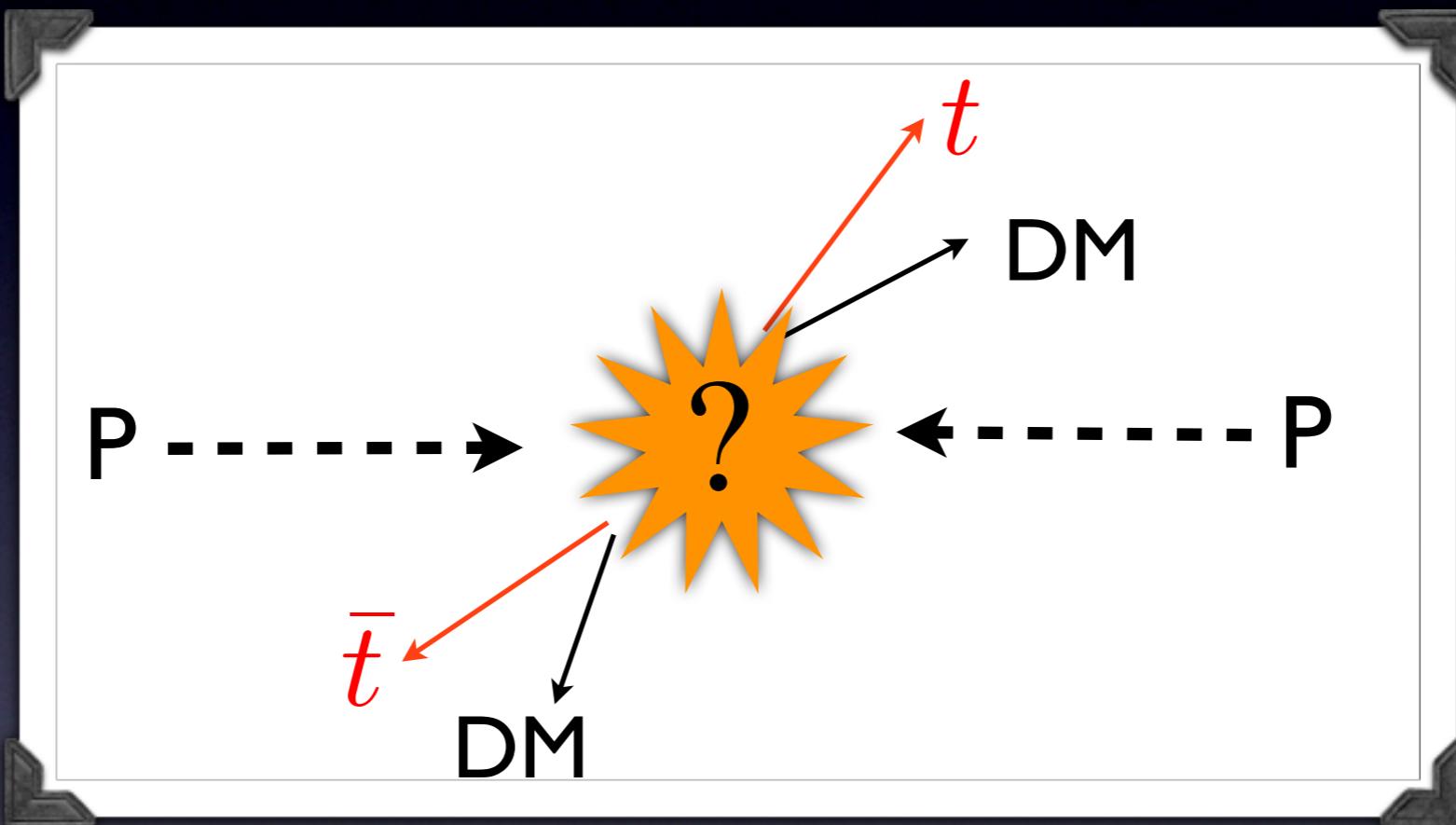
$$A_C^{t\bar{t}} \text{ SM} = 0.006, \quad A_C^{\ell\ell} \text{ SM} = 0.004 \quad \text{MC@NLO}$$

- We can obtain an estimate of AFB ( $t\bar{t}$ ) at the LHC by extrapolating from the Tevatron and applying the gg dilution
- The LHC value should be about 10% of the Tevatron
- ATLAS value agrees with the Tevatron asymmetry

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# V. New Physics Models with DM candidates

Berger, Qing-Hong Cao, Jianghao Yu, and Hao Zhang,  
arXiv:1207.1101

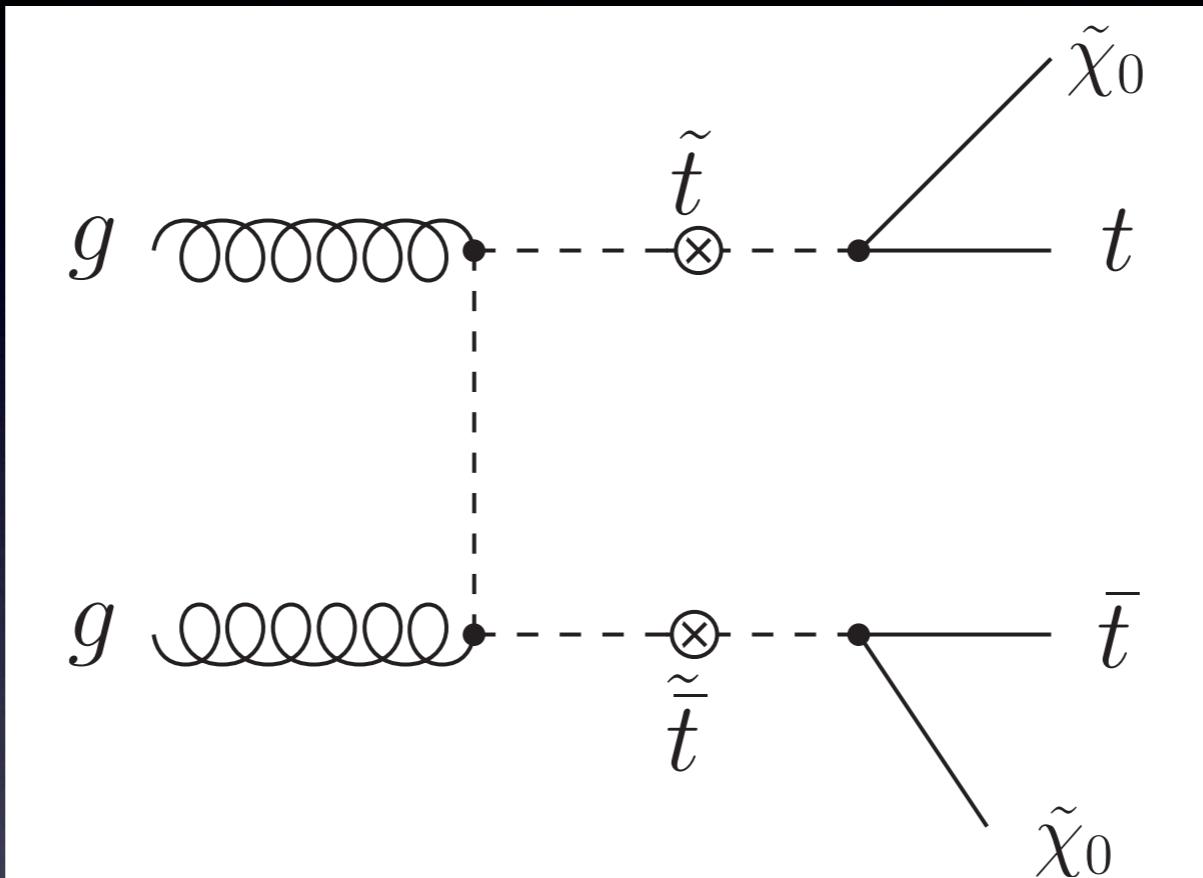


Measuring top-quark polarization *without*  
reconstructing top-quark kinematics

# NP signature: Top antitop pair plus MET

- top squark pair production in the MSSM

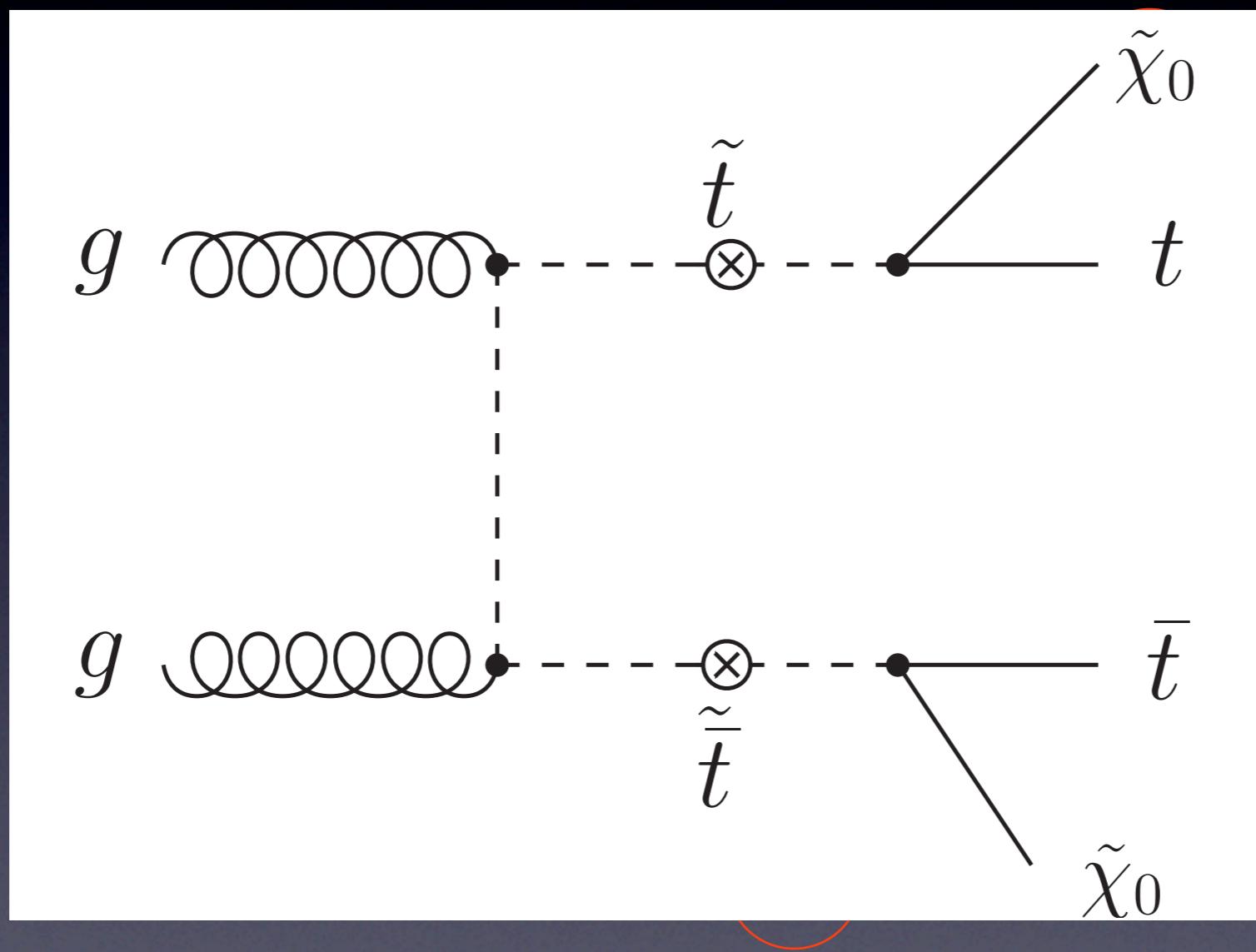
Hall, Pinner and Ruderman, 1112.2703



- ▶ Light top squark is “preferred” to raise  $m_h$  to 125 GeV in the maximal mixing scenario.
- ▶ Top quark polarization could shed light on the top squark mixing matrix.

# Difficulty in NP signature of ttbar plus MET

- Not possible to reconstruct top quark in the leptonic-decay mode.  
Angular distribution of the charged-lepton cannot be used.



assume  $p_{\bar{t}}$   
is known

10 unknowns  
(neutrino from  
top decay, 2 DM)  
-2 from MET

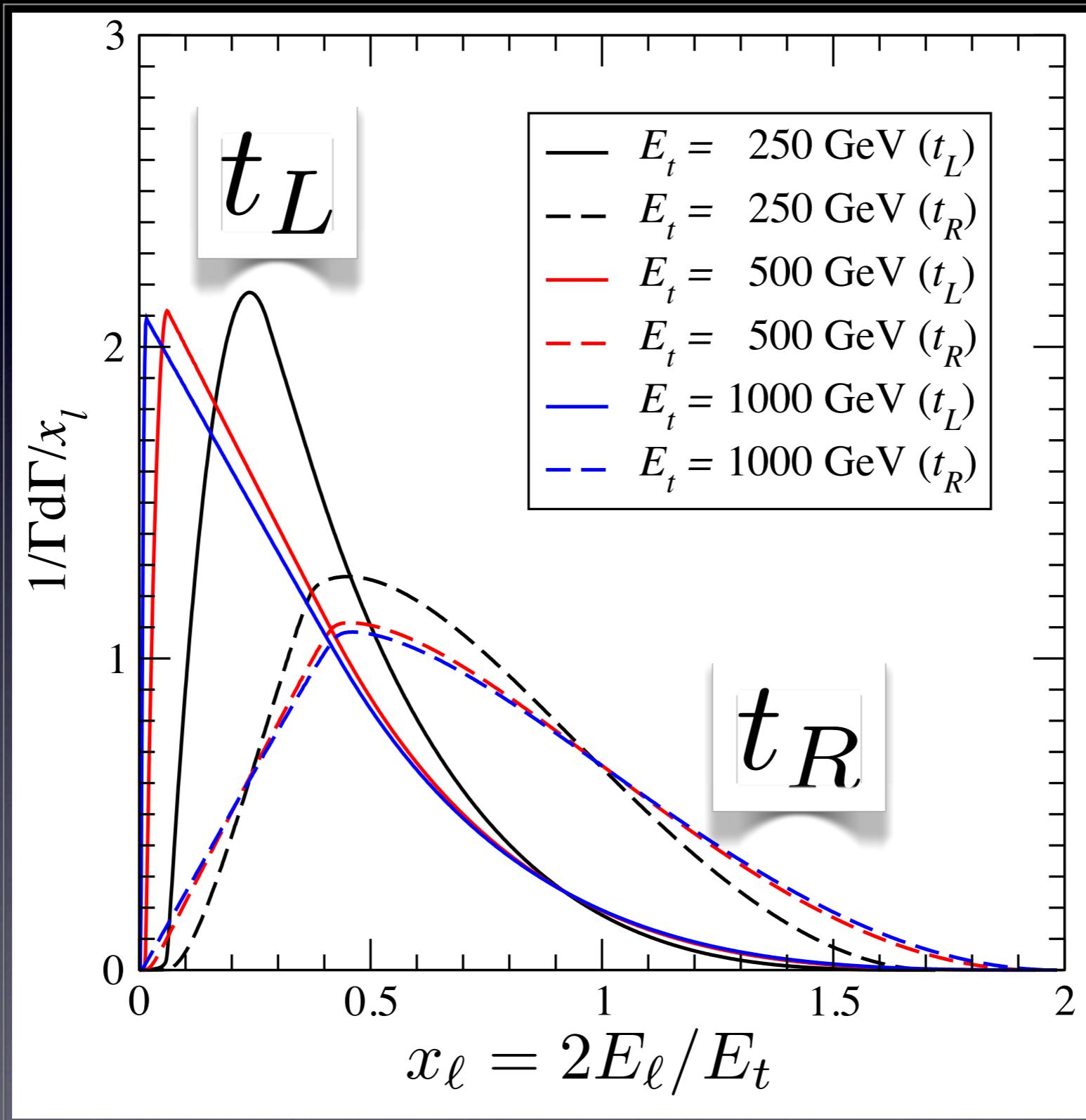


Not enough  
constraints

Still possible to measure the top quark polarization

# Lepton energy and top quark polarization

★ Lepton energy distribution is sensitive to top quark polarization.

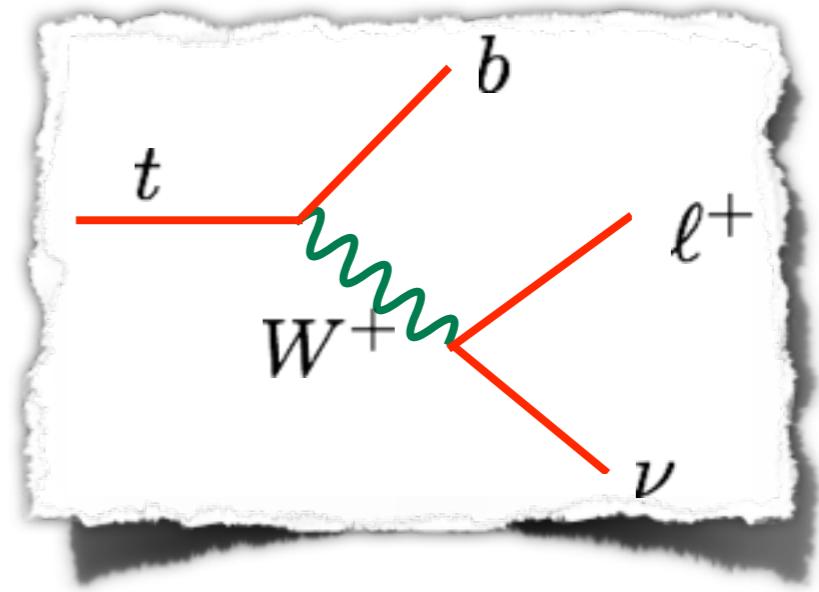


# Conclusion

# Summary

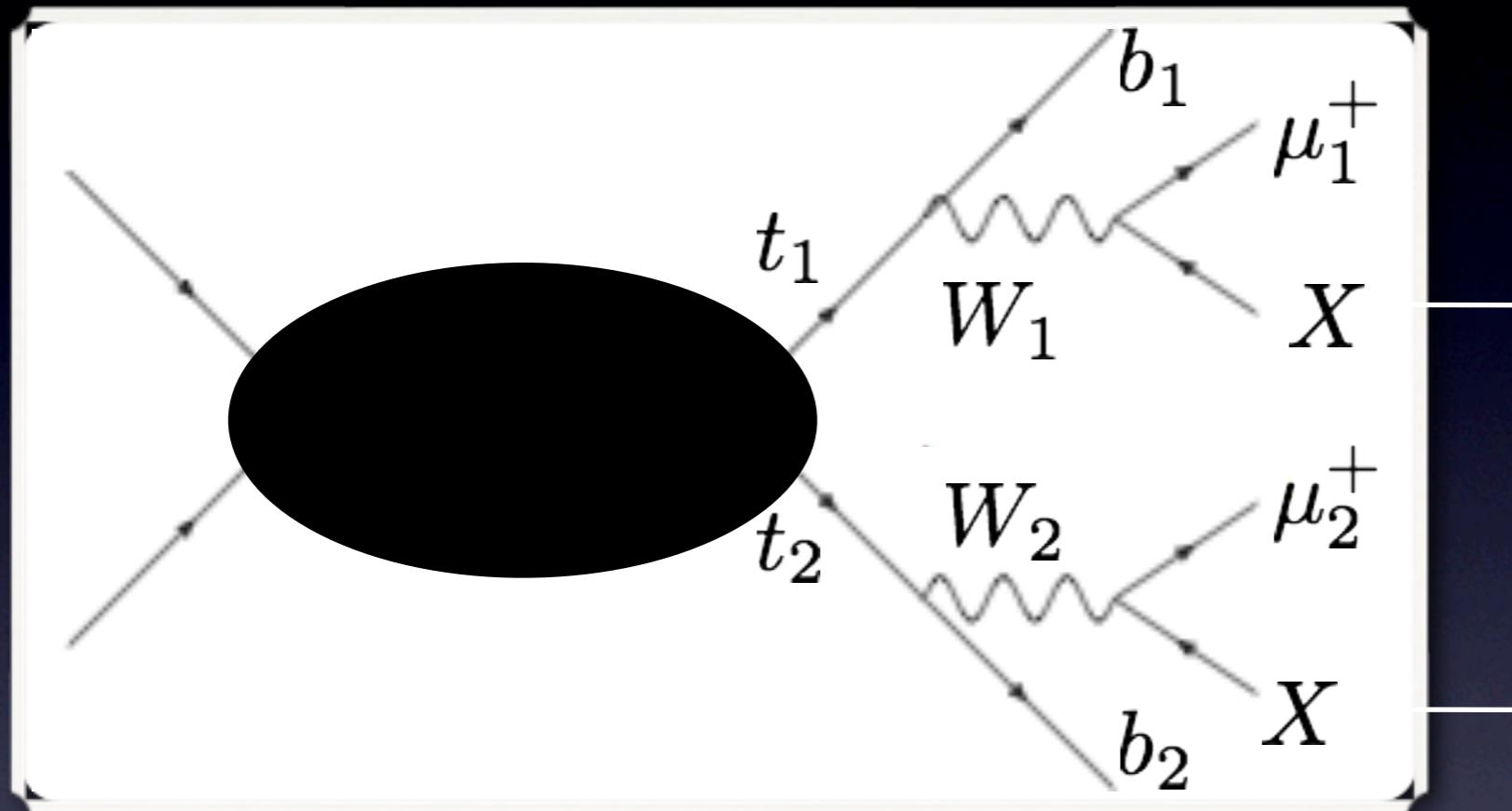
- Top-quark polarization provides richer insight into BSM physics
- Lepton AFB and top AFB are connected by the top quark and charged lepton spin correlation; D0 data suggest BSM with right-handed couplings. Data need confirmation
- LHC CMS data on the asymmetry show evidence of tension with the Tevatron, independent of models, but ATLAS data are consistent with the Tevatron
- Lepton energy distribution can be exploited to measure top quark polarization, especially in new physics models with dark matter candidates

# Back up



# Full kinematic reconstruction

- ★ Four unknowns and four on-shell conditions



6 unknowns  
-2 from MET

$$\begin{aligned} m_{W_1}^2 &= (p_{\mu_1} + p_{\nu_1})^2 \\ m_{W_2}^2 &= (p_{\mu_2} + p_{\nu_2})^2 \\ m_{t_1}^2 &= (p_{W_1} + p_{b_1})^2 \\ m_{t_2}^2 &= (p_{W_2} + p_{b_2})^2 \end{aligned}$$

Quartic equation  
(correct l-b pairing is necessary)  
 $p_x^4(\nu_1) + a p_x^3(\nu_1) + b p_x^2(\nu_1) + c p_x(\nu_1) + d = 0$   
~~Two complex, two real solutions~~

# It is “easy” to show ...

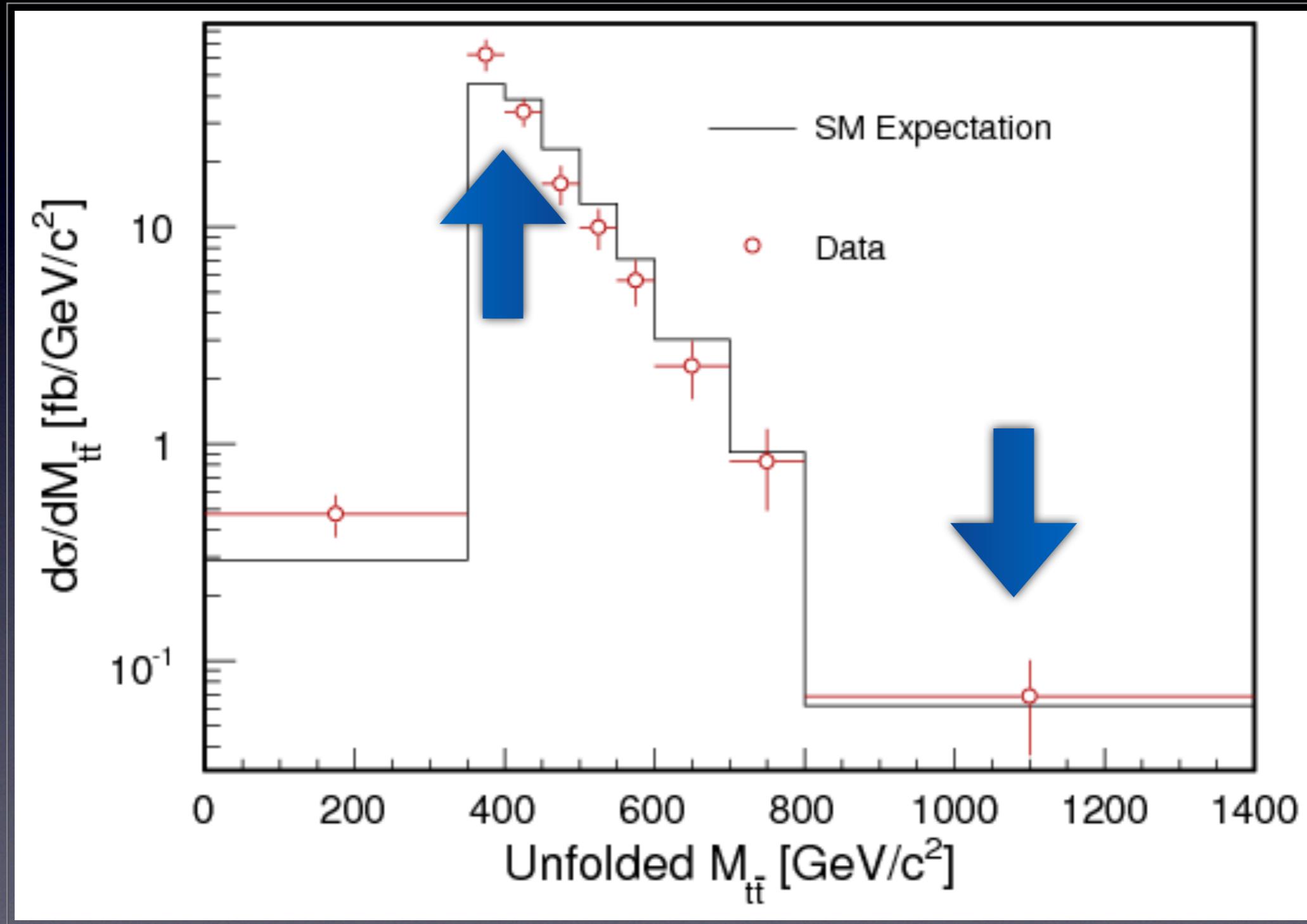
- After rotation of frames, derive the probability that lepton is in the forward region of the detector for a top quark top quark with  $(\beta, y_t, \lambda_t)$  is

$$R_F^{\ell, \lambda_t}(\beta, y_t)$$

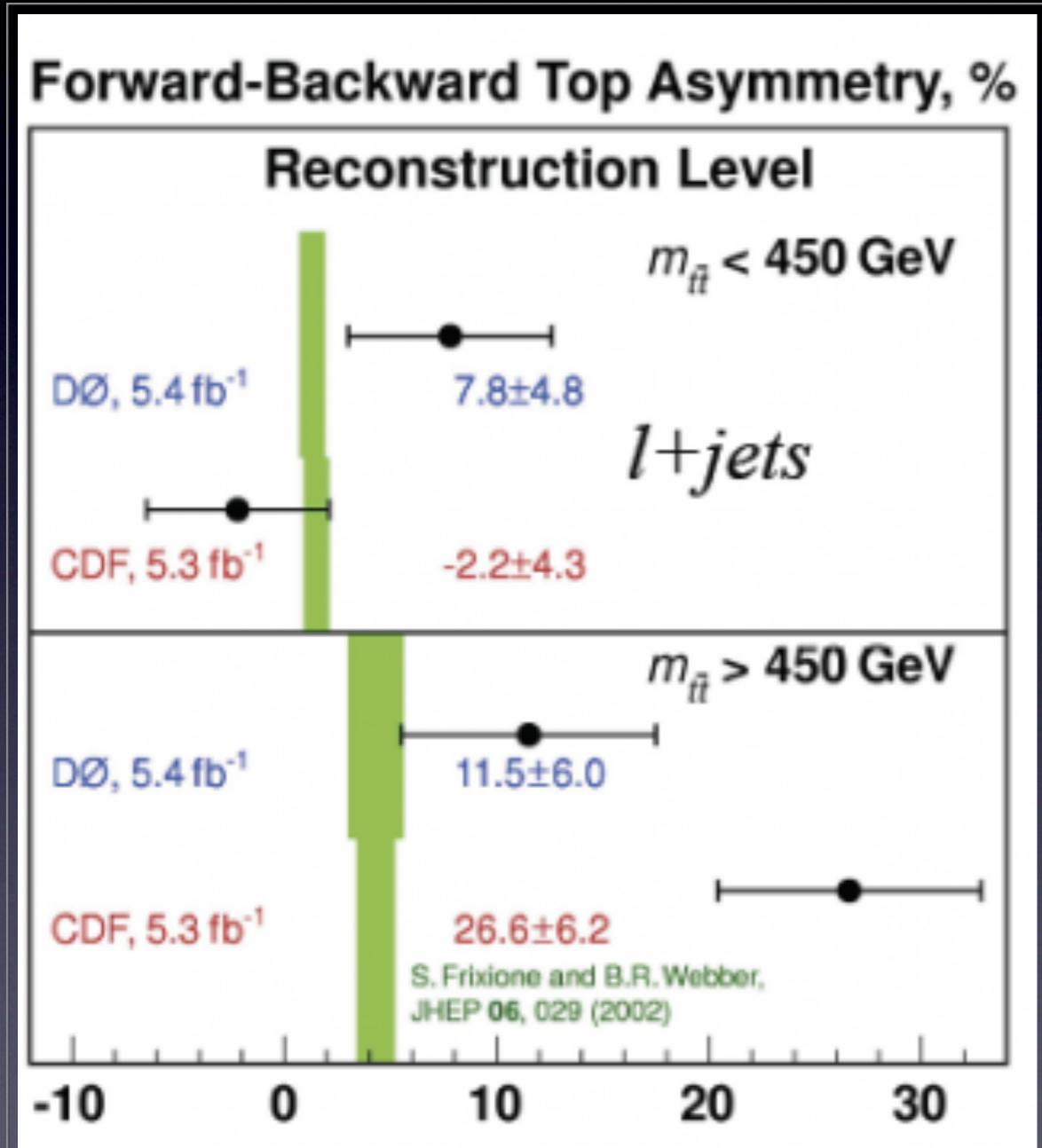
$$= \begin{cases} \frac{1}{2} + \frac{1}{2(1 + \gamma^{-2} \coth^2 y_t)^{1/2}} + \frac{\lambda_t \coth^2 y_t}{4\beta\gamma^2 (1 + \gamma^{-2} \coth^2 y_t)^{3/2}}, & (y_t > 0) \\ \frac{1}{2} - \frac{1}{2(1 + \gamma^{-2} \coth^2 y_t)^{1/2}} - \frac{\lambda_t \coth^2 y_t}{4\beta\gamma^2 (1 + \gamma^{-2} \coth^2 y_t)^{3/2}}, & (y_t < 0) \end{cases}$$

# Invariant mass spectrum of top quark pair

CDF, Phys.Rev.Lett. 102 (2009) 222003



# $A_{FB}^\ell$ versus $A_{FB}^t$



D0:  $A_{FB}^t = 0.196 \pm 0.065$

$A_{FB}^\ell = 0.152 \pm 0.040$

$$\left. \frac{A_{FB}^\ell}{A_{FB}^t} \right|_{D0} \sim \frac{3}{4}$$

SM:  $A_{FB}^t = 0.051 \pm 0.001$

$A_{FB}^\ell = 0.021 \pm 0.001$

$$\left. \frac{A_{FB}^\ell}{A_{FB}^t} \right|_{SM} \sim \frac{1}{2}$$

## TWO EXAMPLES (I). AXIGLUON

■ The interaction of the axigluon is chosen to be

$$\mathcal{L} = g_s (g_l \bar{q} \gamma^\mu \gamma_5 q + g_h \bar{Q} \gamma^\mu \gamma_5 Q) G'_\mu$$

■ Some properties of axigluon model

- (1) Interference term (INT) gives top AFB  $\propto -g_l g_h$ , does not change the total xsec
- (2) Should be heavy to satisfy the ttbar invariant mass spectrum and total cross section
- (3) Pure new physics contribution (NP) is suppressed by the propagator
- (4) Equal number of left-handed and right-handed top quarks in final state from this new physics

## TWO EXAMPLES (II). W'

- The interaction of the pure right-handed W' is chosen to be

$$\mathcal{L} = g_2 g_R \bar{d} \gamma^\mu P_R t W'_\mu + h.c.$$

- Some properties of W' model

- (1) Both interference term and pure new physics term contribute to the AFB
- (2) There is a cancellation between the contribution to the ttbar total cross section from interference term and pure new physics term
- (3) More right-handed top quarks in final state

# Axigluon: s-channel

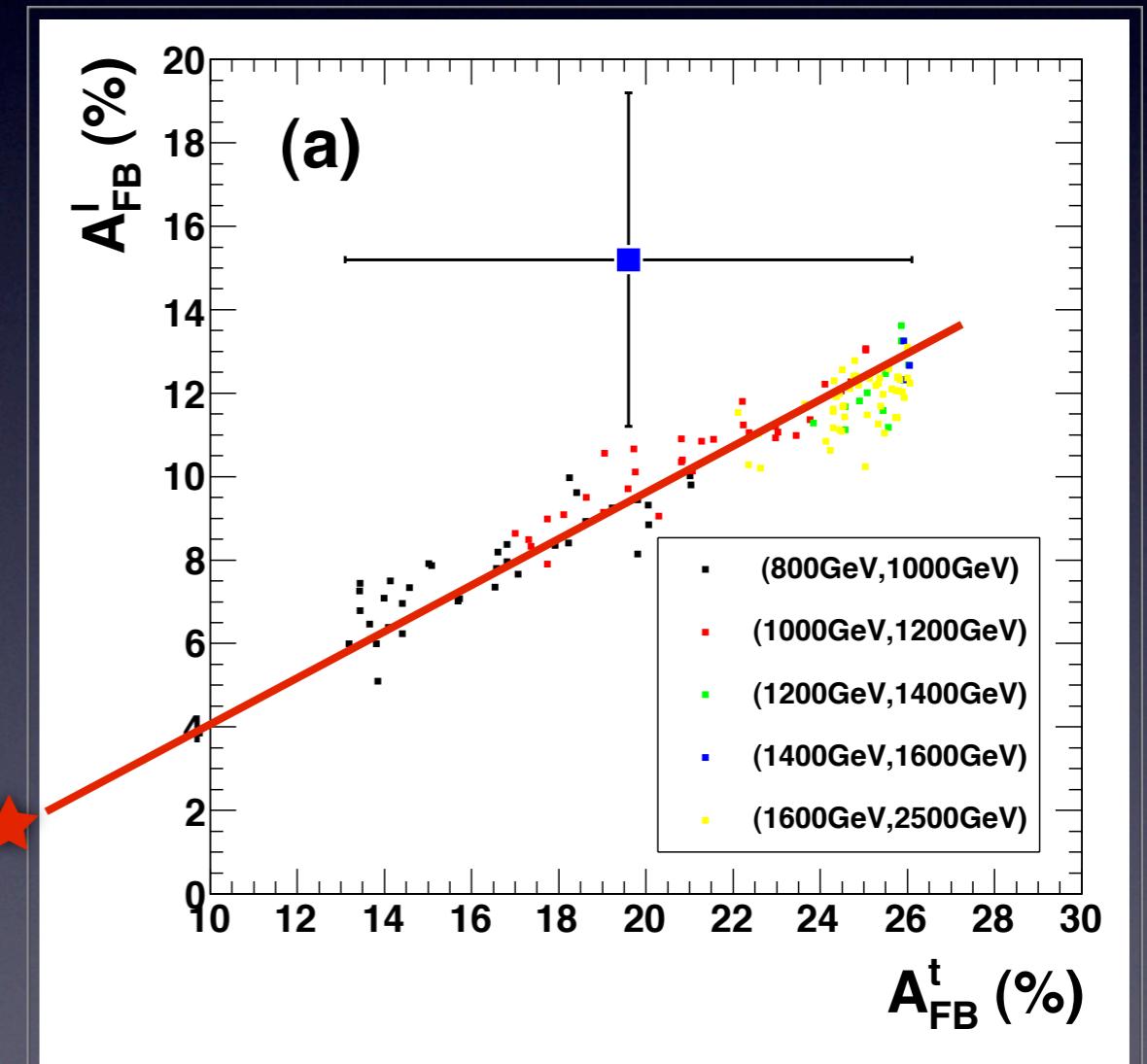
- Purely pesudo-vector coupling

$$\mathcal{L} = g_s \left( g_l \bar{q} \gamma^\mu \gamma_5 q + g_h \bar{Q} \gamma^\mu \gamma_5 Q \right) G'_\mu$$

- Best-fit

$$A_{FB}^\ell \simeq 0.47 \times A_{FB}^t + 0.25\%$$

SM ★



# FC W-prime: $t$ -channel

- Purely right-handed flavor changing interaction

$$\mathcal{L} = g_2 g_R \bar{d} \gamma^\mu P_R t W'_\mu + h.c.$$

$$\rho_{t_R} > \rho_{t_L}$$

- Best-fit

$$A_{FB}^\ell \simeq 0.75 \times A_{FB}^t - 2.1\%$$

SM ★

