

$B \rightarrow D^{(*)}\tau\nu$ and $B \rightarrow \tau\nu$ in BaBar

Fabrizio Bianchi
University of Torino and INFN-Torino

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Introduction

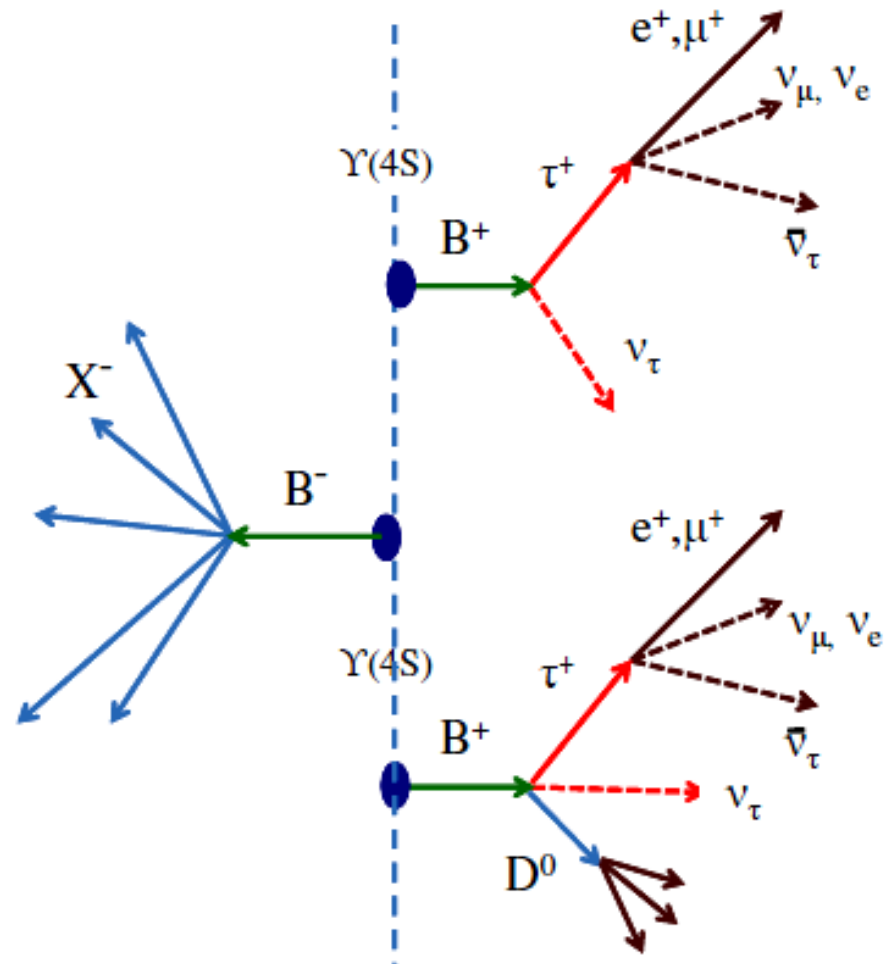
- $B \rightarrow D^{(*)} \tau \nu$ and $B \rightarrow \tau \nu$ decays are of particular interest to test the predictions of the Standard Model and to search for New Physics effects.
- The analyses presented in this talk use the full BaBar data set of 4.7×10^6 BB pairs.
- Both analyses take advantage of the B tagging method.

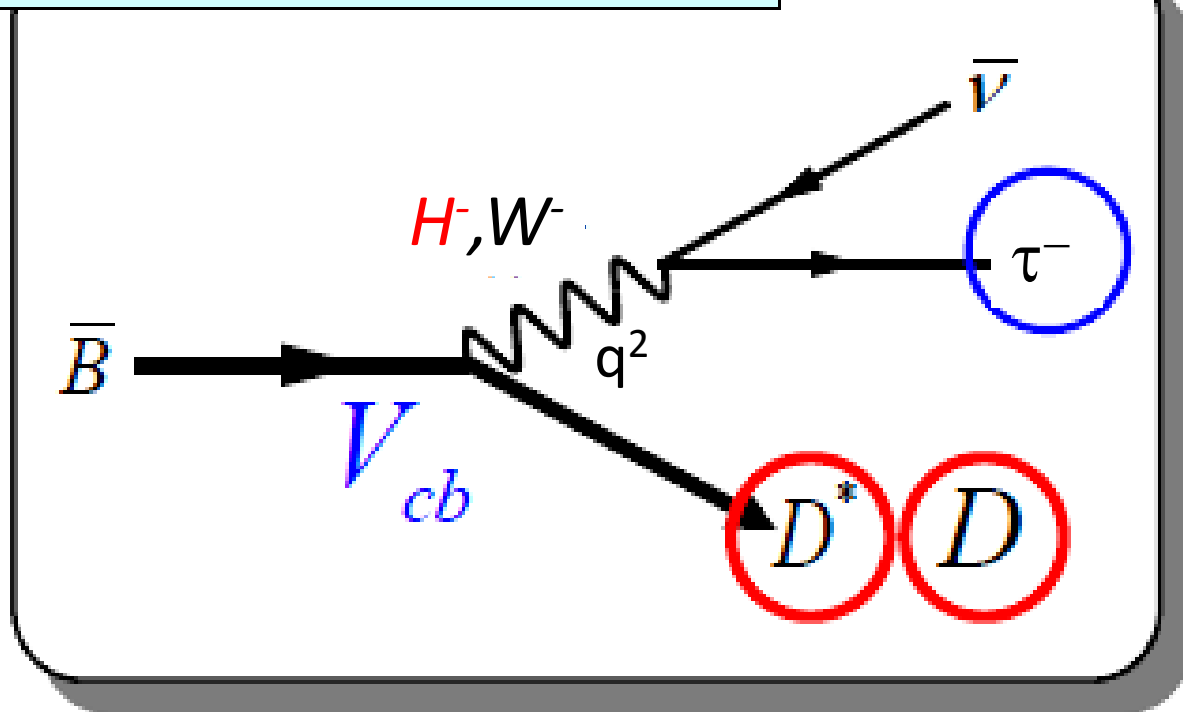
B Tagging Method

- Difficult signal signature:
 - Many neutrinos in final state.
 - Lack of kinematical constraints.
- Reconstruction of companion B in semileptonic or hadronic decay modes improve background rejection.
- Look for signal in the rest of the event
 - Expect to find nothing more than visible signal decay products.
 - No additional track or activity in the calorimeter.

Reconstruct this B

Then look for signal



Exclusive $B \rightarrow D^{(*)}\tau\nu$ 

Ratio of $B \rightarrow D^{(*)} \tau \nu$ vs $B \rightarrow D^{(*)} \ell \nu$ Decays

- Semileptonic decays with a τ have an additional helicity amplitude.
- For $B \rightarrow D^* \tau \nu$:

$$\frac{d\Gamma_\tau}{dq^2} = \frac{G_F^2 |V_{cb}|^2 |\mathbf{P}| q^2}{96\pi^3 m_B^2} \left(1 - \frac{m_\tau^2}{q^2}\right)^2 \left[(|H_{++}|^2 + |H_{--}|^2 + |H_{00}|^2) \left(1 + \frac{m_\tau^2}{2q^2}\right) + \frac{3}{2} \frac{m_\tau^2}{q^2} |H_{0t}|^2 \right]$$

- Only H_{00} and H_{0t} contributes to $B \rightarrow D \tau \nu$
- To test standard model prediction we measure:

$$R(D) = \frac{\Gamma(\bar{B} \rightarrow D \tau \nu)}{\Gamma(\bar{B} \rightarrow D \ell \nu)} \quad R(D^*) = \frac{\Gamma(\bar{B} \rightarrow D^* \tau \nu)}{\Gamma(\bar{B} \rightarrow D^* \ell \nu)} \quad \ell=e,\mu$$

- Several experimental and theoretical uncertainties cancel in the ratio
- Sensitive to contribution from new physics
- For example to charged Higgs

Analysis Strategy

- Full reconstruction of B_{tag} in its hadronic decays.
 - B_{tag} efficiency improved and extended to low momentum.
- In the rest of the event:
 - Identify an e or a μ
 - from τ decay in the $B \rightarrow D^{(*)}\tau \nu$ signal sample
 - Directly from B decay in the $B \rightarrow D^{(*)}l \nu$ ($l=e,\mu$) normalization sample
 - Reconstruct a D candidate (D^{*0}, D^{*+}, D^0, D^+).
 - No additional reconstructed tracks
 - Kinematical requirement: $q^2 > 4 \text{ GeV}^2$
 - Use BDT to suppress background (combinatorial and $D^{**}l\nu$)
- Unbinned Maximum Likelihood Fit to extract event yields.

Extraction of Yields from M.L. Fit

- 2D Unbinned M.L. fit to:
 - Missing Mass sq: $m_{\text{miss}}^2 = (P_{\text{ec}} - P_{\text{Btag}} - P_{D^{(*)}} - P_{\ell})^2$
 - Lepton momentum in B rest frame: p_{ℓ}^*
 - 4 signal samples $D^0, D^{*0}, D^+, D^{*+}, (e^{\pm} \text{ or } \mu^{\pm})$
 - 4 $D^{(*)} \pi^0 l \nu$ Control samples

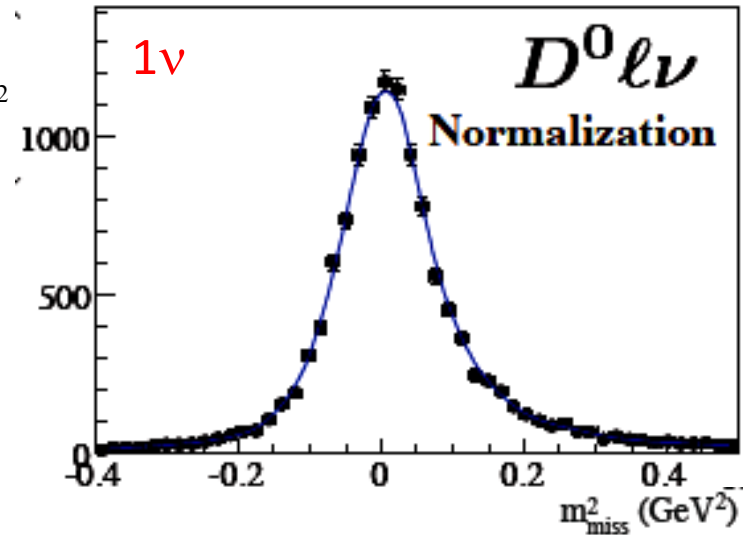
- PDFs from MC (approximated using Keys fct.)

Fitted Yields

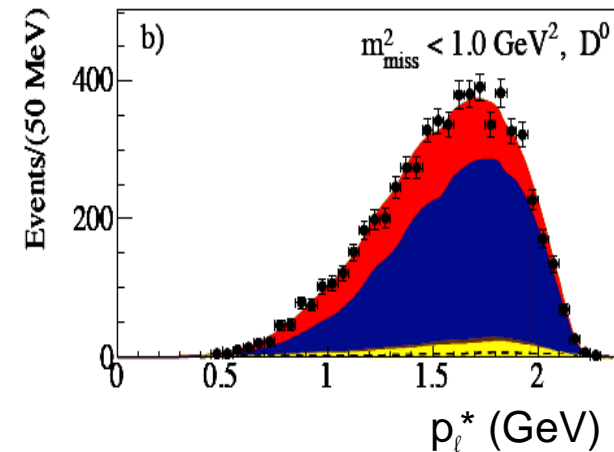
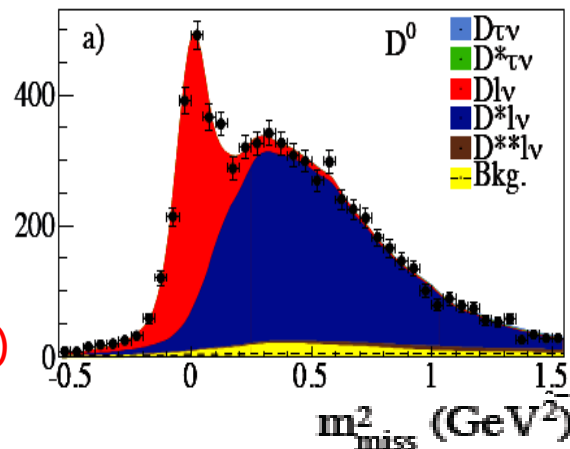
- 4 $D^{(*)} \tau \nu$ Signal
- 4 $D^{(*)} l \nu$ Normalization
- 4 $D^{**} l \nu$ Backgrounds

Fixed Backgrounds

- B^0 - B^+ cross feed
- BB combinatorial BG
- Continuum $e^+e^- \rightarrow f f(\gamma)$



MC Simulation

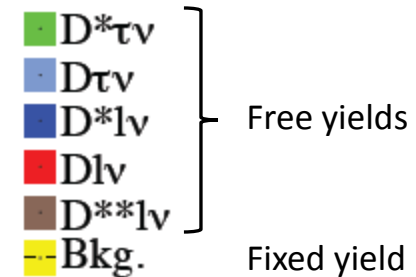
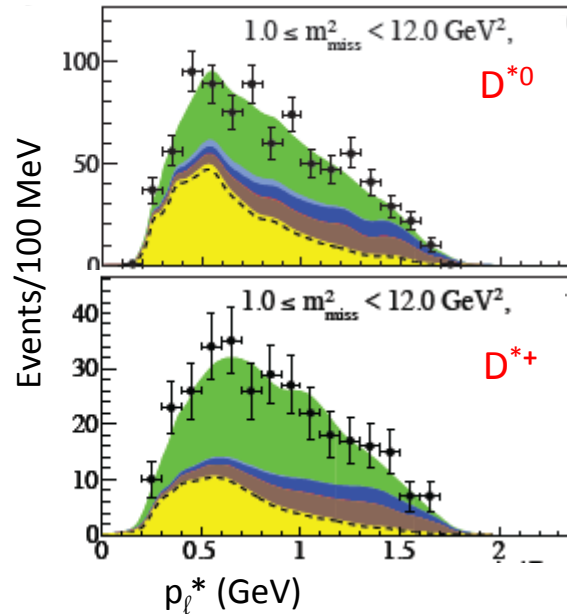
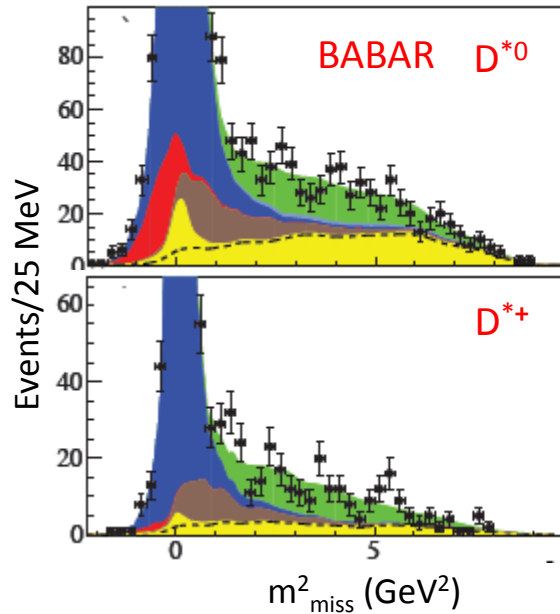
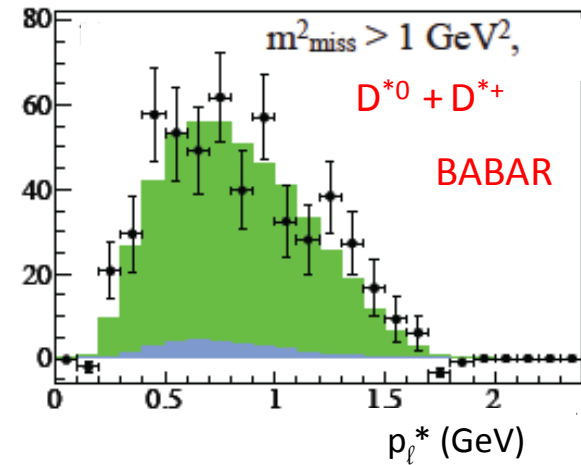


Results of Fit: $B \rightarrow D^* \tau \nu$

Isospin constrained

	$D^{*0} \tau \nu$	$D^{*+} \tau \nu$	$D^* \tau \nu$
N_{sig}	639 ± 62	245 ± 27	888 ± 63
Significance (σ)	11.3	11.6	16.4
$R(D^*)$	0.322 ± 0.032	0.355 ± 0.039	0.332 ± 0.024

Statistical errors only

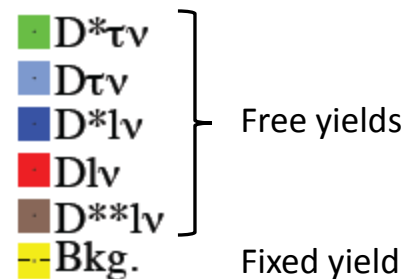
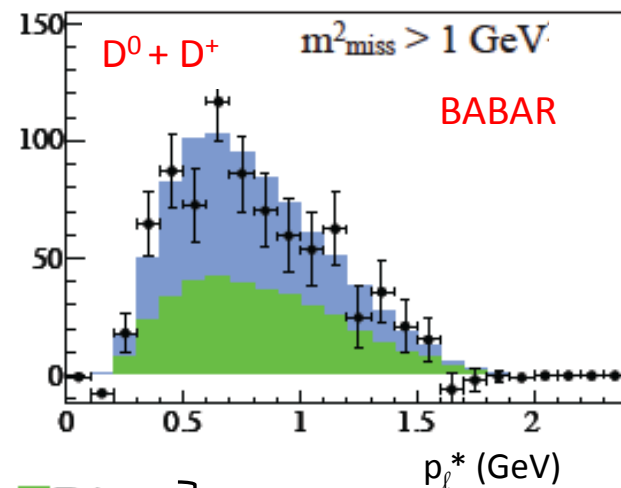
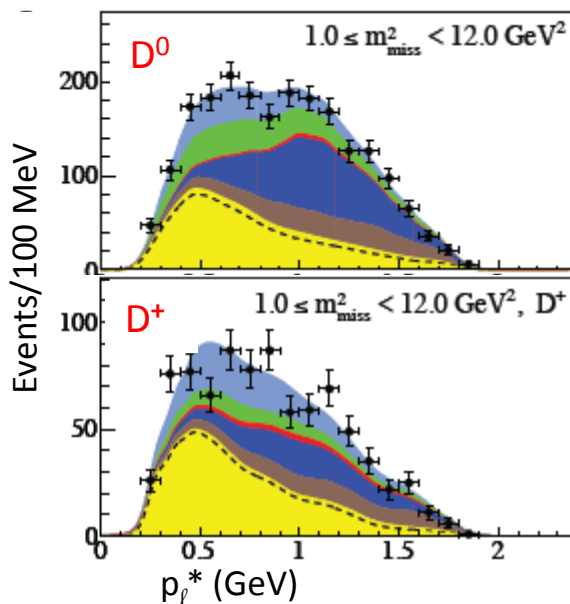
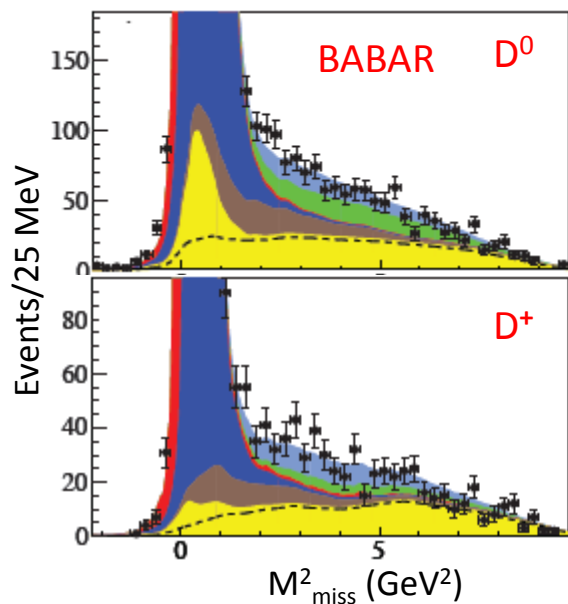


Results of Fit: $B \rightarrow D\tau\nu$

Isospin constrained

	$D^0\tau\nu$	$D^+\tau\nu$	$D\tau\nu$
N_{sig}	314 ± 60	177 ± 31	489 ± 63
Significance (σ)	5.5	6.1	8.4
$R(D)$	0.429 ± 0.082	0.469 ± 0.084	0.440 ± 0.058

Statistical errors only



Systematic Uncertainties

ρ Correlation between $R(D)$ and $R(D^*)$

Principal Uncertainties:

- $D^{**}l\nu$: conservative 15% constraints and fit to $D\pi$ sample,
- Limited MC signal samples
2-dim PDFs with ~ 2000 events per bin
- Continuum and BB background
Corrections and MC statistics

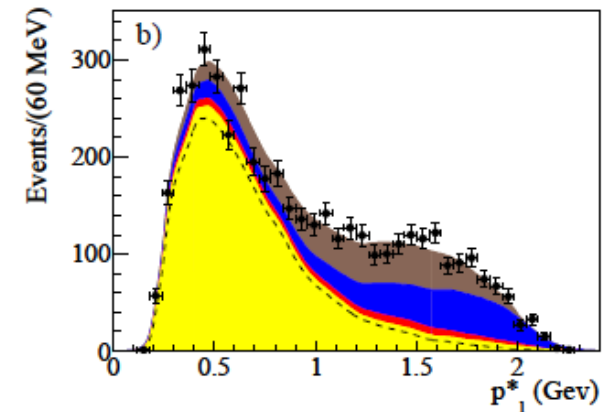
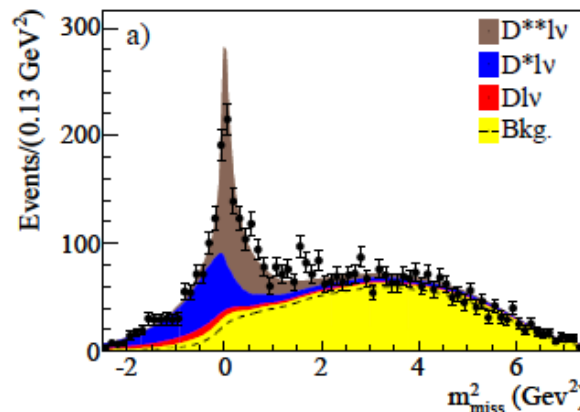
Source	Uncertainty (%)		ρ
	$R(D)$	$R(D^*)$	
$D^{**}l\nu$ background	5.8	3.7	0.62
MC statistics	5.0	2.5	-0.48
Cont. and $B\bar{B}$ bkg.	4.9	2.7	-0.30
$\epsilon_{\text{sig}}/\epsilon_{\text{norm}}$	2.6	1.6	0.22
Systematic uncertainty	9.5	5.3	0.05
Statistical uncertainty	13.1	7.1	-0.45
Total uncertainty	16.2	9.0	-0.27

Largest systematic errors are Gaussian distributed!

Results of fit to $D^{**}l\nu$ control sample for the sum of the 4 channels:

$D^0\pi^0l\nu$, $D^{*0}\pi^0l\nu$,
 $D^+\pi^0l\nu$, $D^{*+}\pi^+l\nu$

$D\pi^0 l\nu$ Control Sample



Summary of $R(D)$ and $R(D^*)$ Measurements

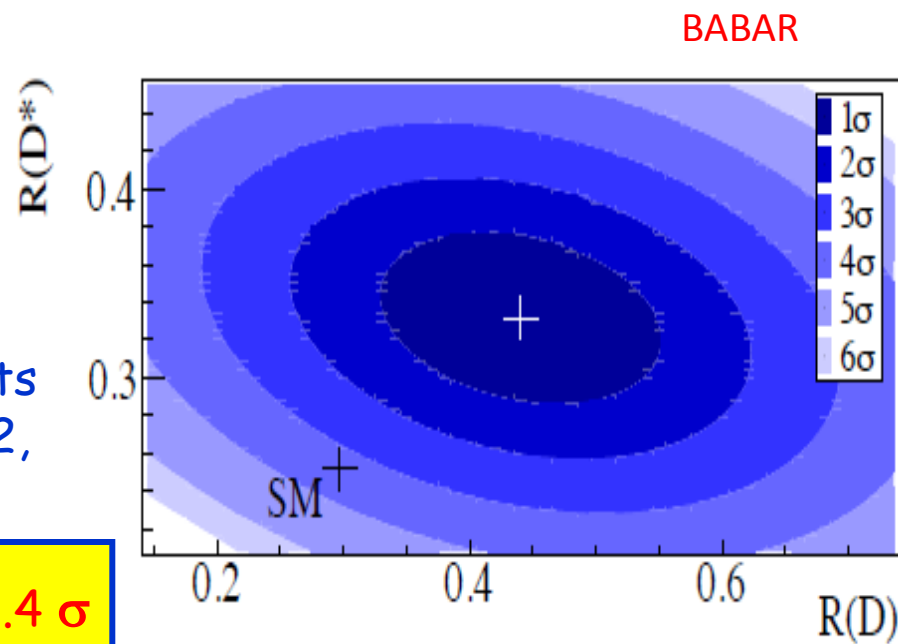
Decay	N_{sig}	N_{norm}	$R(D^{(*)})$	$\mathcal{B}(B \rightarrow D^{(*)}\tau\nu)$ (%)	$\Sigma_{\text{tot}}(\sigma)$
$D^0\tau^-\bar{\nu}_\tau$	314 ± 60	1995 ± 55	$0.429 \pm 0.082 \pm 0.052$	$0.99 \pm 0.19 \pm 0.13$	4.7
$D^{*0}\tau^-\bar{\nu}_\tau$	639 ± 62	8766 ± 104	$0.322 \pm 0.032 \pm 0.022$	$1.71 \pm 0.17 \pm 0.13$	9.4
$D^+\tau^-\bar{\nu}_\tau$	177 ± 31	986 ± 35	$0.469 \pm 0.084 \pm 0.053$	$1.01 \pm 0.18 \pm 0.12$	5.2
$D^{*+}\tau^-\bar{\nu}_\tau$	245 ± 27	3186 ± 61	$0.355 \pm 0.039 \pm 0.021$	$1.74 \pm 0.19 \pm 0.12$	10.4
$D\tau^-\bar{\nu}_\tau$	489 ± 63	2981 ± 65	$0.440 \pm 0.058 \pm 0.042$	$1.02 \pm 0.13 \pm 0.11$	6.8
$D^*\tau^-\bar{\nu}_\tau$	888 ± 63	11953 ± 122	$0.332 \pm 0.024 \pm 0.018$	$1.76 \pm 0.13 \pm 0.12$	13.2

Comparison with SM calculation:

	$R(D)$	$R(D^*)$
BABAR	0.440 ± 0.071	0.332 ± 0.029
SM	0.297 ± 0.017	0.252 ± 0.003
Difference	2.0σ	2.7σ

The combination of the two measurements (-0.27 correlation) yields $\chi^2/\text{NDF}=14.6/2$, i.e. Prob. = 6.9×10^{-4} !!

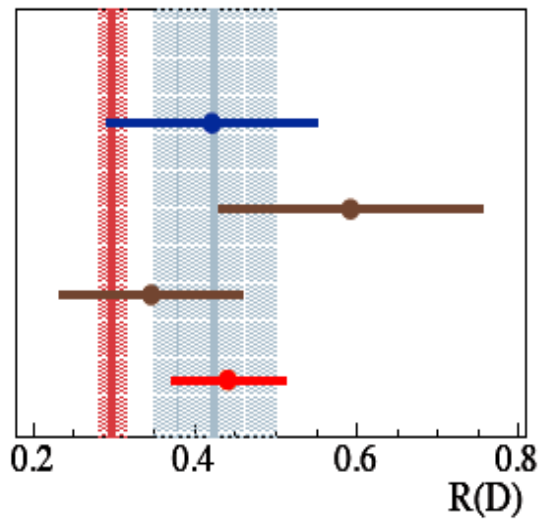
Thus the SM prediction is excluded at 3.4σ



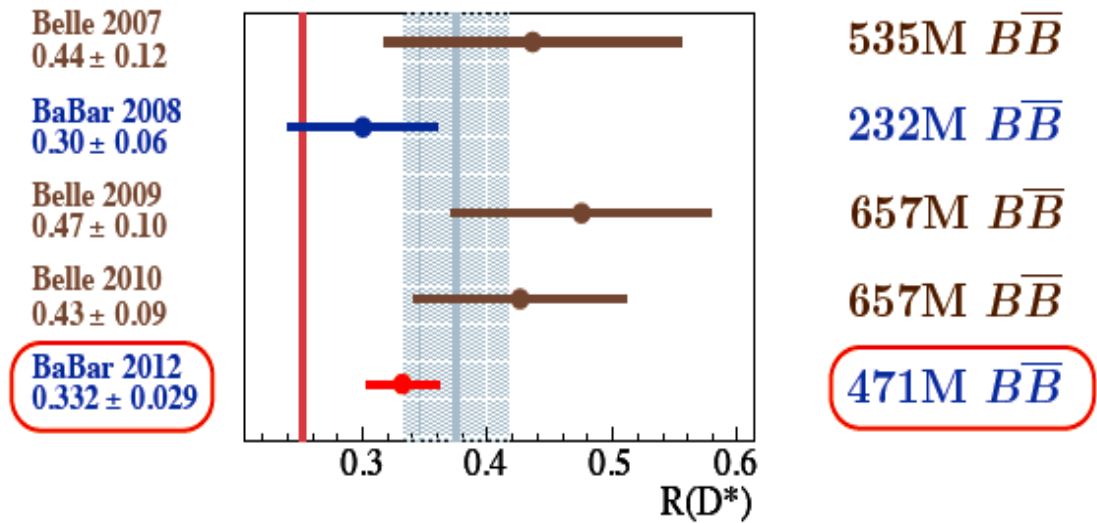
Comparison to Previous Measurements

NB: Average does not include this measurement

SM Average



SM Average



535M $B\bar{B}$

232M $B\bar{B}$

657M $B\bar{B}$

657M $B\bar{B}$

471M $B\bar{B}$

The new measurements are fully compatible with earlier results!

Can we explain the excess events?

- A charged Higgs (2HDM type II) of spin 0 coupled to the τ will only affect H_t

$$H_t^{2\text{HDM}} = H_t^{\text{SM}} \times \left(1 - \frac{\tan^2\beta}{m_{H^\pm}^2} \frac{q^2}{1 \mp m_c/m_b} \right)$$

- for $D\tau\nu$
+ for $D^*\tau\nu$

This could enhance or decrease the ratios $R(D^*)$ depending on $\tan\beta/m_H$

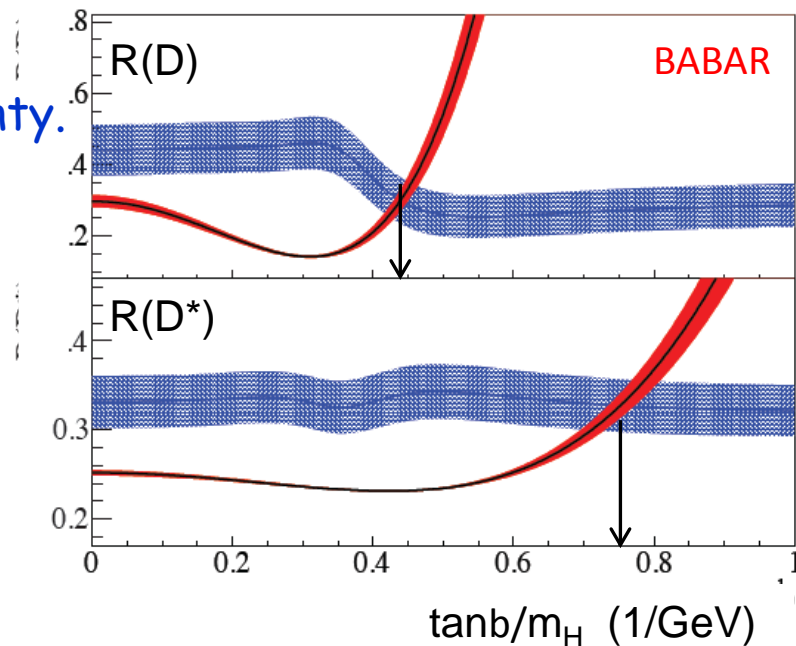
- We estimate the effect of 2DHM, accounting for difference in efficiency, and its uncertainty.

- The data match 2DHM Type II at

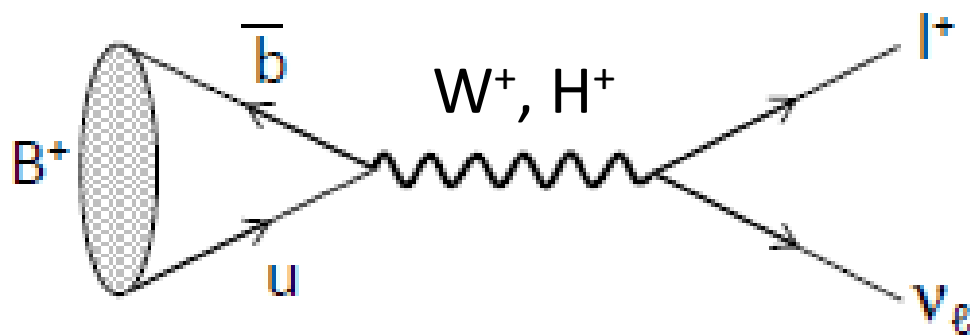
$$\tan\beta/m_H = 0.44 \pm 0.02 \quad \text{for } R(D)$$

$$\tan\beta/m_H = 0.75 \pm 0.04 \quad \text{for } R(D^*)$$

- However, the combination of $R(D)$ and $R(D^*)$ excludes the Type II 2HDM in the full $\tan\beta$ - m_H parameter space with a probability of $>99.8\%$, provided $M_H > 10\text{GeV}$!



$B^- \rightarrow \tau \nu$



Leptonic B Decays

- $B \rightarrow l\nu$ decays are very clean theoretically. The only uncertainties are in the B decay constant f_B and in $|V_{ub}|$.

$$\mathcal{B}(B \rightarrow l\nu) = \frac{G_F^2 m_B}{8\pi} m_l^2 \left(1 - \frac{m_l^2}{m_B^2}\right)^2 f_B^2 |V_{ub}|^2 \tau_B$$

- Interesting probe of physics beyond the SM, since also a charged Higgs can mediate the decay.

$$\mathcal{B}(B \rightarrow l\nu)_{2HDM} = \mathcal{B}(B \rightarrow l\nu)_{SM} \times \left(1 - \tan^2\beta \frac{m_B^2}{m_H^2}\right)^2$$

- $B \rightarrow \mu\nu$ and $B \rightarrow e\nu$ are out of reach at B Factories.
- $B \rightarrow \tau\nu$ measurements are already excluding regions of the $m_H - \tan\beta$ plane.

Analysis Strategy

- Full reconstruction of B_{tag} in its hadronic decays.
- In the rest of the event:
 - “Reconstruct” τ from $\tau \rightarrow e, \mu, \pi, \rho \nu$ decays (72% of τ BF).
 - Two or more neutrinos in the event.
 - Only a single charged track.
 - No residual energy in the calorimeter.
- E_{extra} is the most powerful discriminating variable.
 - Defined as the total energy of clusters passing a minimum energy requirement.
 - E_{extra} distribution validated with the use of double-tagged events.
- Maximum Likelihood Fit to E_{extra} distribution to extract branching fraction.

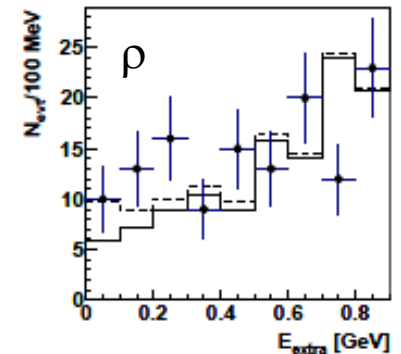
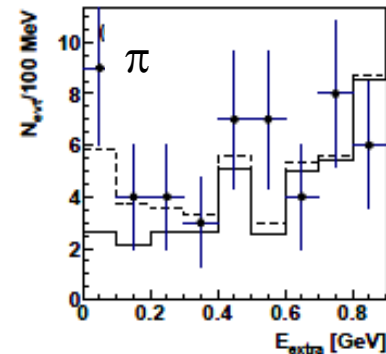
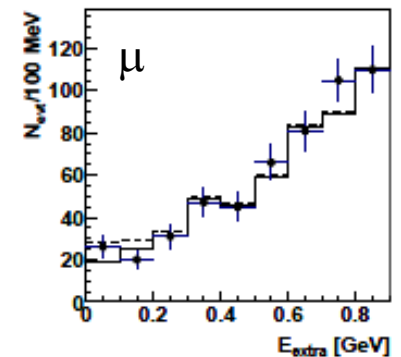
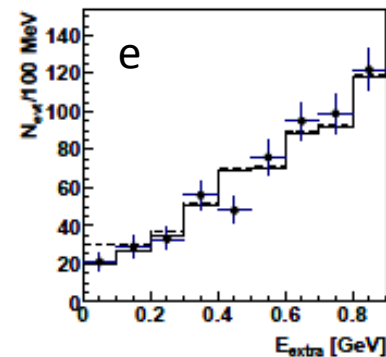
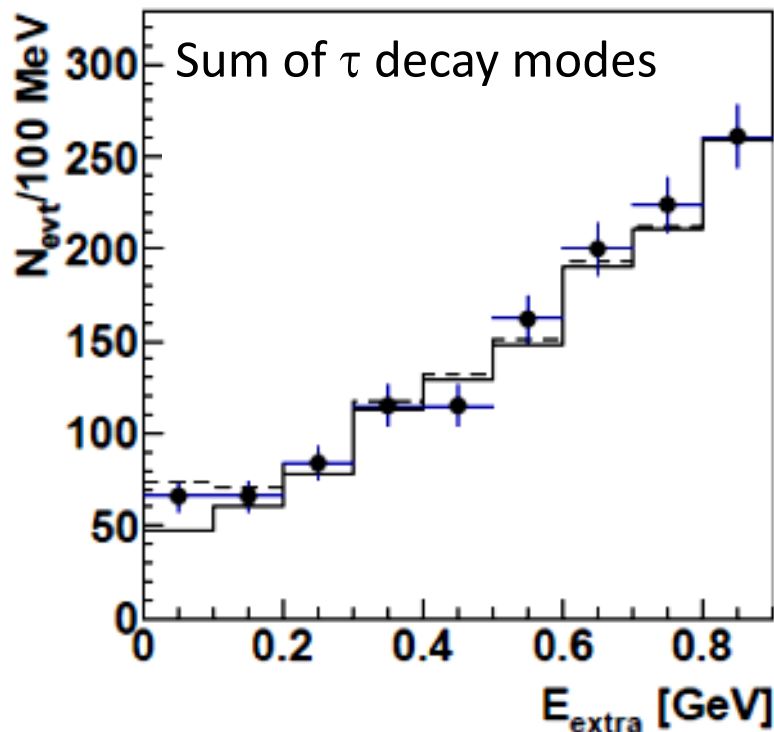
Extraction of BF from M.L. Fit

- Simultaneous fit to E_{extra} of the four τ modes, constrained to the same BF.
- Signal PDF taken from signal MC and corrected for data/MC disagreement
 - Correction for mismodeling of detector effect on E_{extra} evaluated by comparing data sidebands and background MC.
- Background PDF from
 - data sidebands (combinatory/ background)
 - B^+B^- MC (peak. component only)

Result of the Fit: $B \rightarrow \tau \nu$

- Fit to E_{extra} distribution show an excess of events consistent with null hypothesis at 3.3σ only.

$$\mathcal{B}(B^{\pm} \rightarrow \tau^{\pm} \nu) = (1.83^{+0.53}_{-0.49}(\text{stat.}) \pm 0.24(\text{syst.})) \times 10^{-4}$$



Systematic Uncertainties

Source of systematics	B uncertainty (%)
Additive	
Background PDF	10
Signal PDF	2.6
Multiplicative	
Tag- B efficiency	5.0
B counting	1.1
Electron identification	2.6
Muon identification	4.7
Kaon identification	0.4
Tracking	0.5
MC statistics	0.6
Total	13

- Dominant systematics :
 - Background E_{extra} PDF
 - Tagged B efficiency
 - μ identification

Comparison with Previous Measurements

Experiment	Tag	Branching Fraction ($\times 10^{-4}$)
<i>BABAR</i>	hadronic	$1.8_{-0.8}^{+0.9} \pm 0.4 \pm 0.2$
<i>BABAR</i>	semileptonic	$1.7 \pm 0.8 \pm 0.2$
Belle	hadronic	$1.79_{-0.49}^{+0.56} +0.46$
Belle	semileptonic	$1.54_{-0.37}^{+0.38} +0.29$

$$\mathcal{B}(B^+ \rightarrow \tau^+ \nu) = (1.83_{-0.49}^{+0.53} (\text{stat.}) \pm 0.24 (\text{syst.})) \times 10^{-4}$$

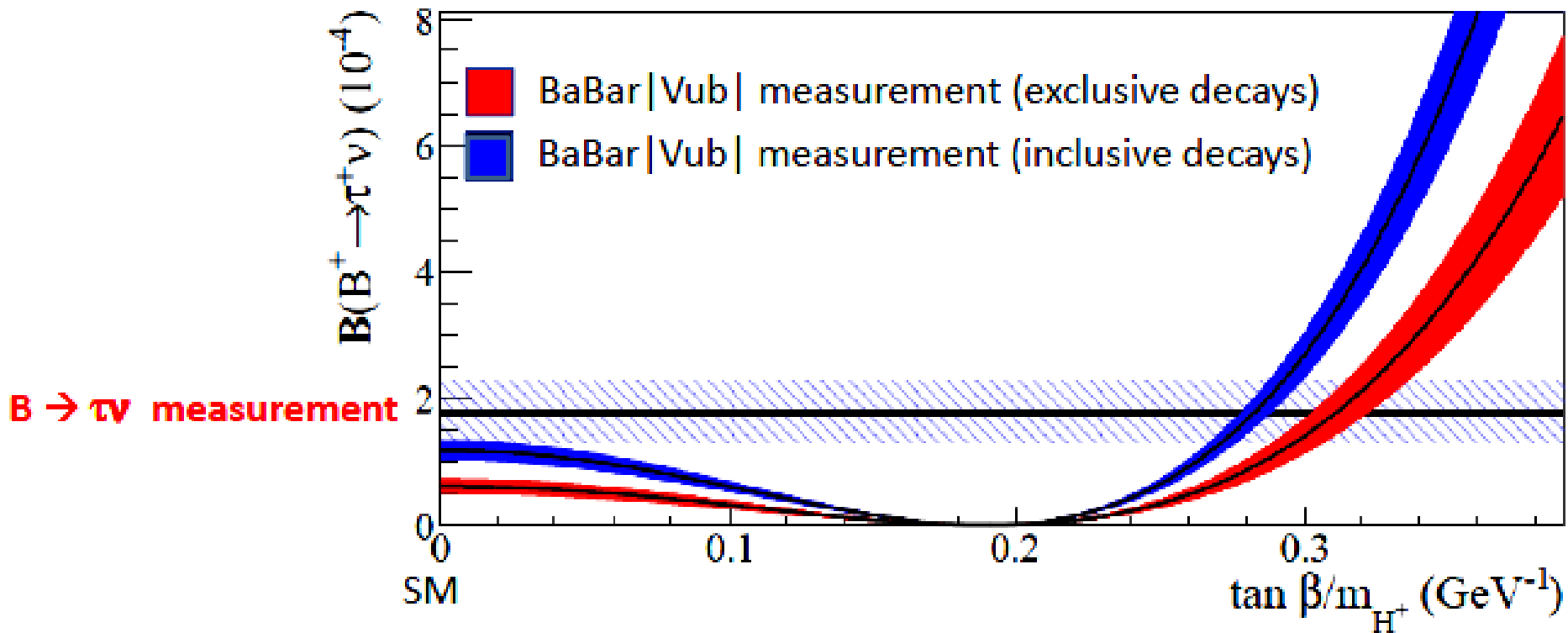
This measurement exceeds SM predictions determined using exclusive (inclusive) $|V_{ub}|$ measurement by 2.4 (1.6) σ .

Breaking News from Belle at ICHEP:

$$\mathcal{B}(B \rightarrow \tau \nu) = (0.72_{-0.25}^{+0.27} (\text{stat.}) \pm 0.11 (\text{syst.})) \times 10^{-4}$$

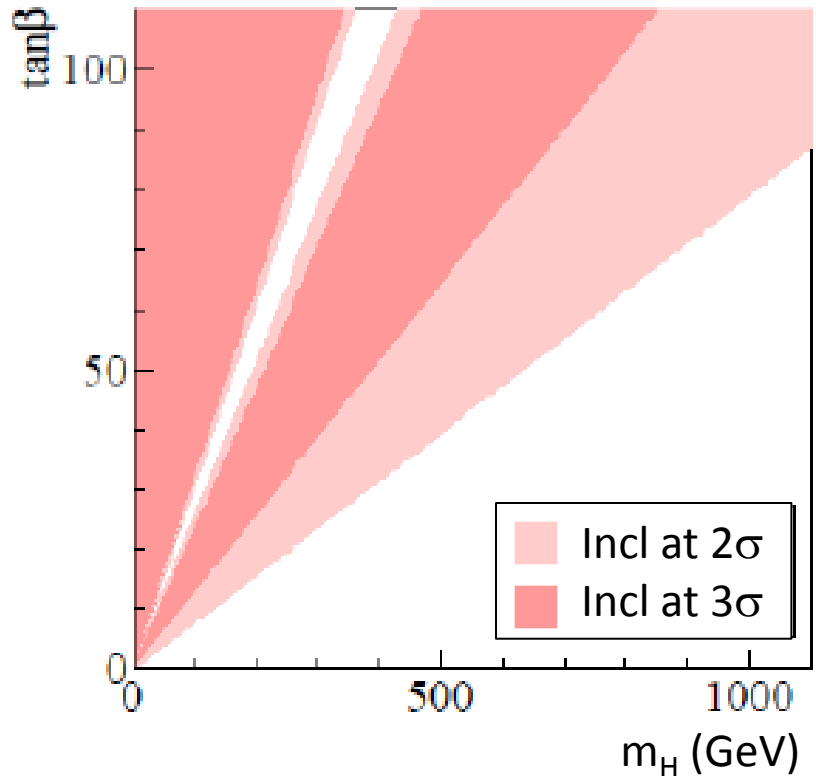
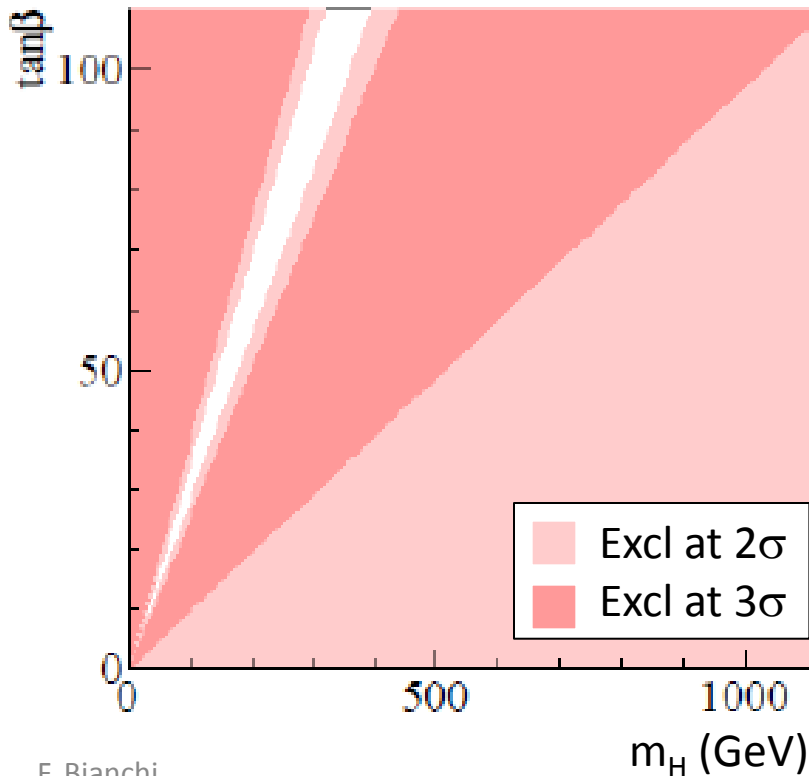
Comparison with 2HDM type II

$$\mathcal{B}(B \rightarrow l\nu)_{2HDM} = \mathcal{B}(B \rightarrow l\nu)_{SM} \times \left(1 - \tan^2 \beta \frac{m_B^2}{m_H^2}\right)^2$$



Constraints on the $\tan\beta$ vs m_{H^+} plane in 2HDM type II

- Most of the parameter space of 2HDM is excluded at 90% C.L., if we use exclusive $|V_{ub}|$ determination.
- 90% C.L. exclusion for m_{H^+} up to 1 TeV at very high $\tan\beta$ (>70) using inclusive $|V_{ub}|$



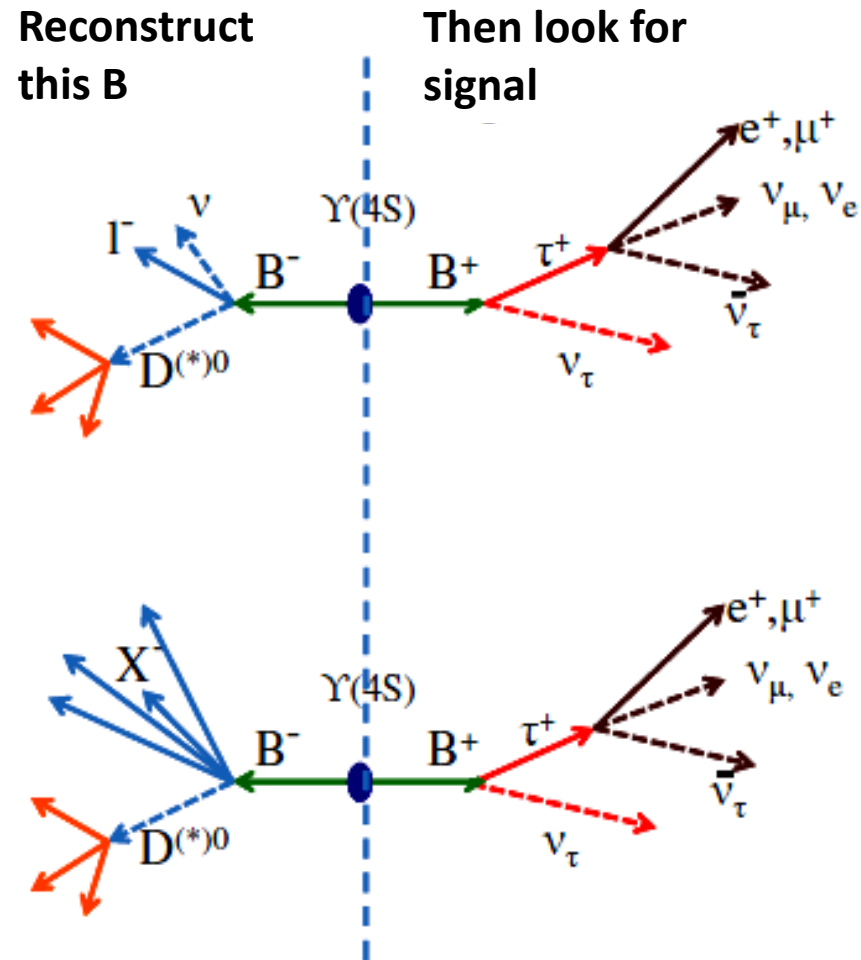
Conclusions

- Improved measurement of the ratio of the $B \rightarrow D^{(*)} \tau \nu$ branching fraction to $B \rightarrow D^{(*)} l \nu$ branching fraction.
 - There is a significant excess (3.4σ) of events in $B \rightarrow D \tau \nu$ and $B \rightarrow D^* \tau \nu$ compared to the SM prediction.
 - The combination of $R(D)$ and $R(D^*)$ excludes the Type II 2HDM in the full $\tan\beta$ - m_H parameter space.
- Measurement of $B \rightarrow \tau \nu$ with hadronic tag using the full BaBar dataset.
 - Some tension with the SM (2.4σ) when using exclusive $|V_{ub}|$ determination.
- More statistics is necessary to further investigate these decay modes.
 - We need a Super B Factory !!!

Backup

Hadronic and Semileptonic Tags

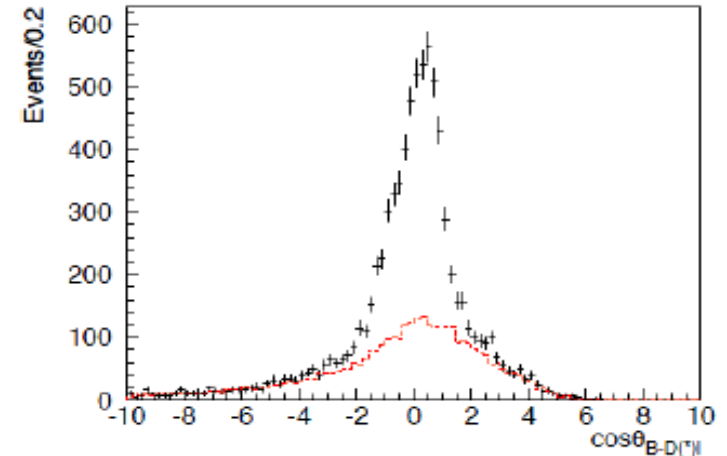
- Semileptonic B decays
 - $B \rightarrow D^* l \nu$
 - PRO: Higher efficiency
 $\epsilon_{\text{tag}} \sim 1.5\%$
 - CON: more backgrounds, B momentum unmeasured
- Hadronic B decays with charm
 - $B^+ \rightarrow D^{(*)0} X^+$ or $B^0 \rightarrow D^{(*)} X^-$
 - X is a charged system of hadrons among (π, K, π^0, K_s) up to 5 charged particles and 2 neutrals
 - PRO: cleaner events, B momentum reconstructed
 - CON: smaller efficiency
 $\epsilon_{\text{tag}} \sim 0.15\%$



Hadronic and Semileptonic Tags

- Semileptonic B decays
 - Reconstruct the D-l pair (Y)
 - Kinematics and known B meson energy determine the angle between B and Y.
- Hadronic B decays with charm
 - Full reconstruction of the B decay chain.
 - Requirements on the quality of the tag are analysis dependent
 - Separate the mis-reconstructed tags from correct (peaking) tags in data

$$\cos\theta_{B,Y} = \frac{2E_B E_Y - m_B^2 - m_Y^2}{2|\vec{p}_B||\vec{p}_Y|}$$



$$m_{ES} = \sqrt{s/4 - p_B^2}$$

