

“Search for a Realistic Orbifold Grand Unification”

Shinshu University

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2007. 12. 18 @ GUT07

References

“Triplet-doublet splitting, Proton Stability and the Extra dimension S^1/Z_2 ”

Y.K. *Prog.Theor.Phys.* **105** (2001), 999 (hep-ph/0012125).

“Dynamical rearrangement of gauge symmetry on the orbifold S^1/Z_2 ”

M. Harada, N. Haba, Y. Hosotani and Y.K.

Nucl. Phys. **B657** (2003), 169 (hep-ph/0212035).

“Classification and Dynamics of Equivalence Classes in $SU(N)$ Gauge Theory on the Orbifold S^1/Z_2 ”

N. Haba, Y. Hosotani and Y.K.

Prog. Theor. Phys. **111** (2004), 265 (hep-ph/0309088).

“Orbifold family unification”

Y. K., T. Kinami and K. Oda

Phys. Rev. **D76** (2007), 035001 (hep-ph/0703195)

Contents

1. Introduction
2. Orbifold Grand Unified Theory (GUT)
3. Dynamical Rearrangement of Gauge Symmetry and Equivalence Classes of Boundary Conditions
4. Orbifold Family Unification
5. Summary

1. Introduction

- ☆ Problems in the Standard Model
- ☆ Problems in Supersymmetric
(SUSY) GUTs
- ☆ Standpoint and Goal

☆ Problems in the Standard Model

The Standard Model (SM)

→ Effective theory below the weak scale

“The SM cannot be an ultimate theory of nature.”

P1. Charge quantization

P2. Origin of anomaly free set

P3. Parameters

P4. Naturalness problem → Supersymmetry

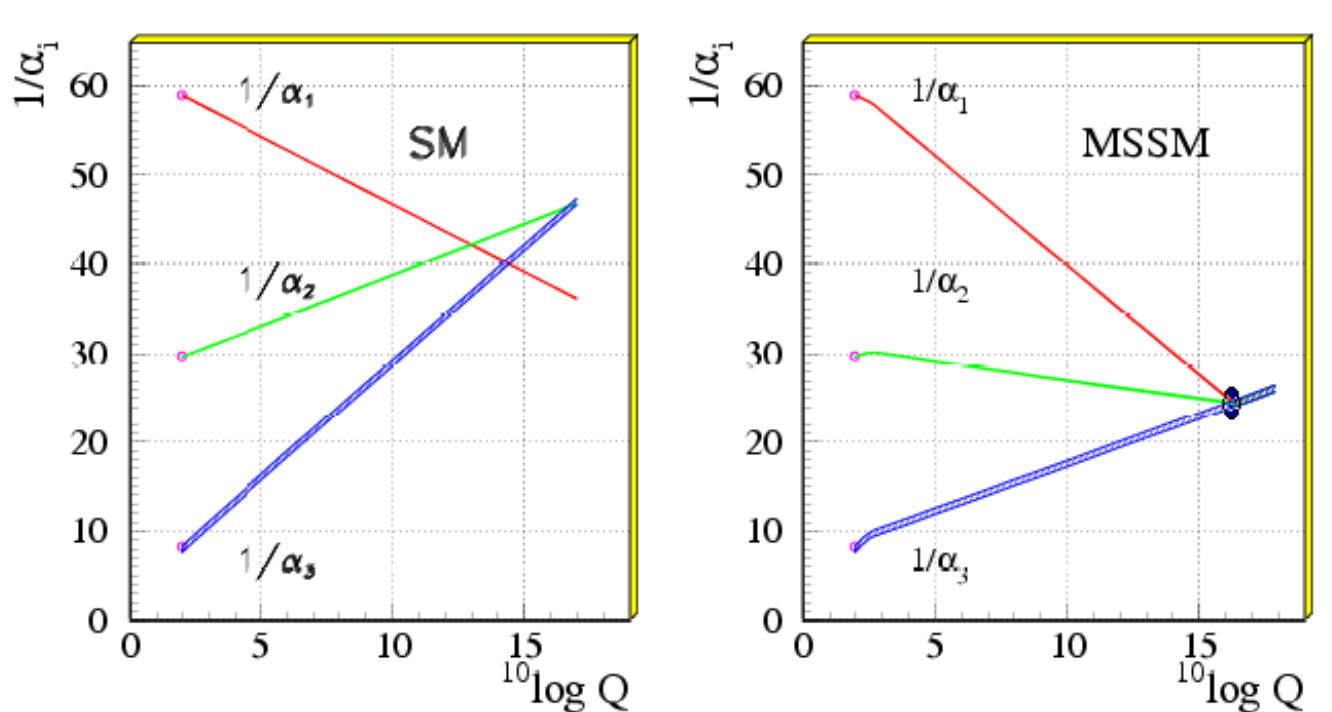
P5. No gravity

} Grand Unification

(SUSY)

Grand Unification and SUSY have been paid much attention to as physics beyond (the minimal) SUSY SM.

← Gauge couplings at M_Z and RGEs



From HP of PDG

☆ Problems in SUSY GUT

- P1. Breaking mechanism of GUT symmetry – Triplet-doublet Higgs mass splitting –
- P2. Proton stability
- P3. Origin of fermion mass hierarchy and mixing
 - Extension of Higgs sector
 - Extension of Space-time
- P4. Origin of family (generation)

☆ Standpoint and Goal

Standpoint : Grand Unification & SUSY

Goal : To construct a realistic grand unified theory (or underlying theory)

→ A long way to go there.

Strategy : To introduce Extra dimension

Goal of today's talk : To introduce orbifold GUT and to discuss topics related to boundary conditions on orbifold(s) and suggest an origin of family, which will help us in a realistic model building.

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1. Introduction
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2. Orbifold Grand Unified Theory

☆ What is Orbifold?

☆ Orbifold Breaking Mechanism

☆ Orbifold SUSY GUT

☆ What is Orbifold?

Orbifold

= {Manifold/Discrete transformation group; with Fixed Point}

Fixed Points (y_{fp}) are points that transform into themselves under the discrete transformation,

$$y \rightarrow y' = k(y), \quad k(y_{fp}) = y_{fp}, \quad (k \neq I)$$

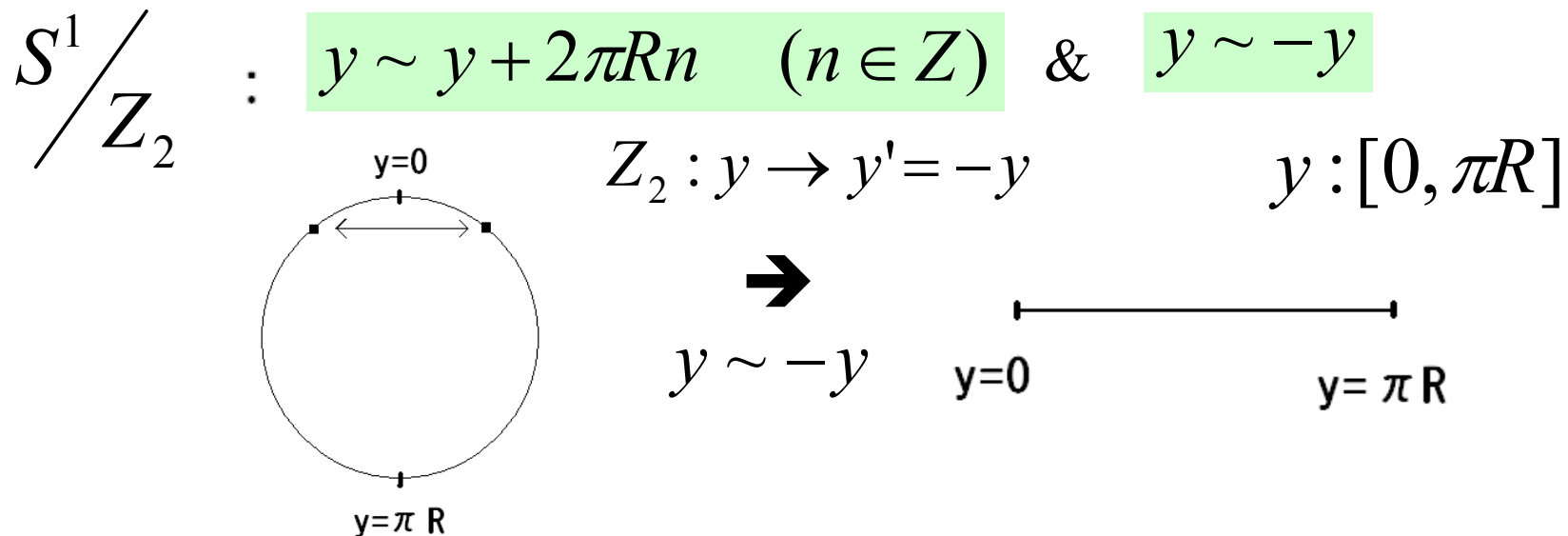
For 4D string model building using 6D orbifold, see Ref. L. Dixon, J. Harvey, C. Vafa and E. Witten, *Nucl. Phys.* B261 (1985), 678; B274 (1986) 285.

For 4D string model building and phenomenology, see the excellent textbook “Quark and Leptons From Orbifolded Superstring” (Springer, 2006) by K.-S. Choi and J. E. Kim.

Orbifold S^1/Z_2

S^1 : Circle with radius R

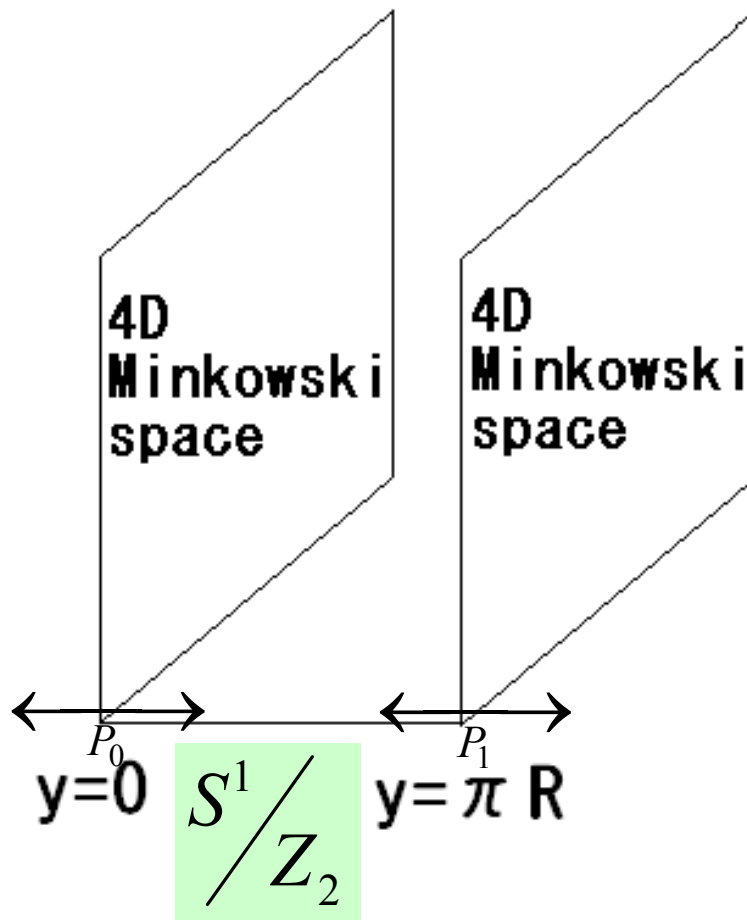
$$y \sim y + 2\pi Rn \quad (n \in \mathbb{Z}) \quad y: [0, 2\pi R]$$



Fixed Points are $y = 0$ and $y = \pi R$.

$$(\because 0 \rightarrow -0 = 0, \pi R \rightarrow -\pi R \sim -\pi R + 2\pi R = \pi R)$$

Brane world scenario with the help of orbifold fixed points



$$x^\mu (= x) \quad x^5 = y$$

$$(\mu = 0, 1, 2, 3)$$

$$U : y \rightarrow y + 2\pi R$$

$$P_0 : y \rightarrow -y$$

$$P_1 : y \rightarrow 2\pi R - y$$

$$U = P_1 P_0$$

Feature on S^1/Z_2

On the orbifold, $y \sim -y \sim 2\pi R - y$,

but a value of field does not necessarily take an identical value at these points.

The Lagrangian density takes a single-value.

$$\rightarrow \left\{ \begin{array}{l} \Phi(x, -y) = T_\Phi[P_0]\Phi(x, y), \quad (T_\Phi[P_a]^2 = I) \\ \Phi(x, 2\pi R - y) = T_\Phi[P_1]\Phi(x, y), \quad (a = 0, 1) \\ \Phi(x, y + 2\pi R) = T_\Phi[U]\Phi(x, y) \end{array} \right.$$

$T_\Phi[P_a]$

$\rightarrow Z_2$ parities on the 5-th coordinate

☆ Mode Expansion

Z_2 Parities $P_0^2 = P_1^2 = 1 \rightarrow$ Eigenvalue = +1 or -1

$$\phi^{(P_0 P_1)}(x, y) \rightarrow \phi^{(P_0 P_1)}(x, -y) = P_0 \phi^{(P_0 P_1)}(x, y)$$

$$\phi^{(P_0 P_1)}(x, y) \rightarrow \phi^{(P_0 P_1)}(x, 2\pi R - y) = P_1 \phi^{(P_0 P_1)}(x, y)$$

Fourier Expansions

+1 \rightarrow + , -1 \rightarrow -

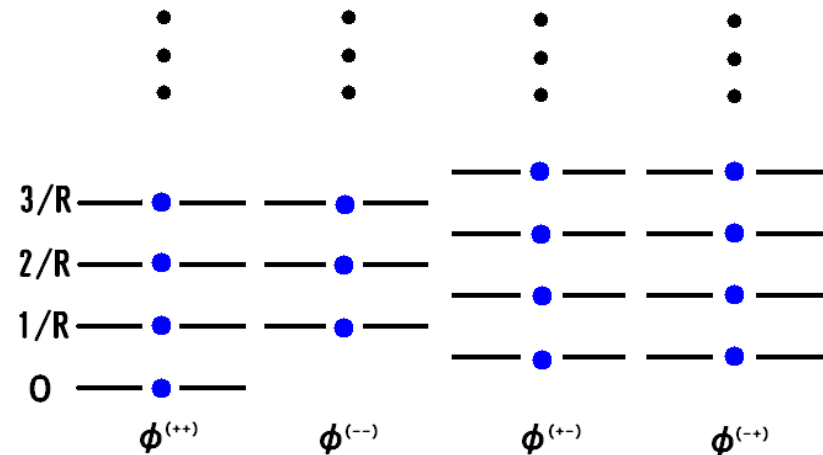
zero mode

$$\phi^{(++)}(x, y) = \frac{1}{\sqrt{\pi R}} \phi_0^{(++)}(x) + \sqrt{\frac{2}{\pi R}} \sum_{n=1}^{\infty} \phi_n^{(++)}(x) \cos \frac{ny}{R}$$

$$\phi^{(--)}(x, y) = \sqrt{\frac{2}{\pi R}} \sum_{n=1}^{\infty} \phi_n^{(--)}(x) \sin \frac{ny}{R}$$

$$\phi^{(+-)}(x, y) = \sqrt{\frac{2}{\pi R}} \sum_{n=1}^{\infty} \phi_n^{(+-)}(x) \cos \frac{(2n-1)y}{2R}$$

$$\phi^{(-+)}(x, y) = \sqrt{\frac{2}{\pi R}} \sum_{n=1}^{\infty} \phi_n^{(-+)}(x) \sin \frac{(2n-1)y}{2R}$$



Mass spectrum in 4-dimension

☆ Orbifold Breaking

For N -plet

$$\Phi(x, y) = \begin{pmatrix} \phi_1(x, y) \\ \phi_2(x, y) \\ \vdots \\ \phi_N(x, y) \end{pmatrix}$$

$$\Phi(x, -y) = T_\Phi[P_0]\Phi(x, y)$$

$T_\Phi[P_a]: N \times N$ **matrix**

$$\Phi(x, 2\pi R - y) = T_\Phi[P_1]\Phi(x, y)$$

$$T_\Phi[P_a]^2 = I$$

Unless all components have common Z_2 parities, a symmetry reduction occurs upon compactification! Because zero modes are absent in fields with an odd parity. (Orbifold Breaking Mechanism)

In the framework of field theory, for the breakdown of SUSY, see E.A. Mirabelli and M.E. Peskin, *Phys. Rev. D* 58 (1998), 065002. For the reduction of gauge symmetry, Y. K., *Prog. Theor. Phys.* 103 (2000), 613 (hep-ph/9902423).

☆ Orbifold SUSY GUT

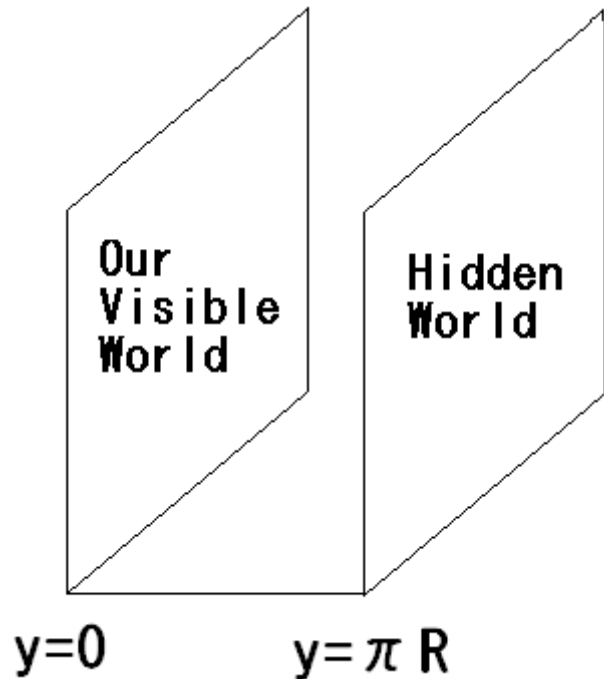
Y.K. *Prog. Theor. Phys.* **105** (2001), 999 (hep-ph/0012125)

☆ Framework (Assumptions)

$$M^4 \times S^1 / Z_2 \times Z'_2$$

Space-time

$$M^4 \times S^1 / Z_2$$



Bulk fields consist of

$SU(5)$ Gauge supermultiplet
& 2 Higgs Hypermultiplets
with fundamental rep.

Brane fields consist of
3 families of matter chiral
supermultiplets.

(This assumption can be
relaxed.)

Z_2 parity assignment

$$P_0 = \text{diag}(+1, +1, +1, +1, +1), \quad P_1 = \text{diag}(-1, -1, -1, +1, +1)$$

$$\begin{cases} A_\mu^\alpha(x, -y)T^\alpha = P_0 A_\mu^\alpha(x, y)T^\alpha P_0^{-1} = A_\mu^\alpha(x, y)T^\alpha, \\ A_y^\alpha(x, -y)T^\alpha = -P_0 A_y^\alpha(x, y)T^\alpha P_0^{-1} = -A_y^\alpha(x, y)T^\alpha \end{cases}$$

$$\begin{cases} A_\mu^\alpha(x, 2\pi R - y)T^\alpha = P_1 A_\mu^\alpha(x, y)T^\alpha P_1^{-1}, \\ A_y^\alpha(x, 2\pi R - y)T^\alpha = -P_1 A_y^\alpha(x, y)T^\alpha P_1^{-1} \end{cases} \quad \begin{cases} T^a : \text{SM generators}, \\ T^{\hat{a}} : SU(5) / G_{SM} \text{ generators} \end{cases}$$

$$\underline{A_\mu^{a(++)}}(x, y), A_\mu^{\hat{a}(+-)}(x, y), A_y^{a(--)}(x, y), A_y^{\hat{a}(-+)}$$

$$\underline{\lambda_1^{a(++)}}(x, y), \lambda_1^{\hat{a}(+-)}(x, y), \lambda_2^{a(--)}(x, y), \lambda_2^{\hat{a}(-+)}(x, y) \quad (::) \quad i\lambda_2^{c\dagger} \partial_y \lambda_1$$

$$\sigma^{a(--)}(x, y), \sigma^{\hat{a}(-+)}$$

Zero modes are $N=1$ SM gauge supermultiplets.

Gauge symmetry reduction

$$SU(5) \rightarrow SU(3)_C \times SU(2)_L \times U(1)_Y$$

For Higgs multiplets $(H, H'; \bar{H}, \bar{H}')$

$$P_0 = \text{diag}(+1, +1, +1, +1, +1), \quad P_1 = \text{diag}(-1, -1, -1, +1, +1)$$

$$\begin{cases} H(x, -y) = \eta_{0H} P_0 H(x, y) = H(x, y), \\ H'(x, -y) = -\eta_{0H} P_0 H'(x, y) = -H'(x, y) \end{cases} \quad (\because) \quad i\tilde{H}' \partial_y \tilde{H}$$

$$\begin{cases} H(x, 2\pi R - y) = \eta_{1H} P_1 H(x, y), \\ H'(x, 2\pi R - y) = -\eta_{1H} P_1 H'(x, y) \end{cases} \quad H(x, y) = \begin{pmatrix} H_C(x, y) \\ H_W(x, y) \end{pmatrix}$$

‘Intrinsic Z_2 parity’ $\eta_{0H} = \eta_{1H} = +1$

$$H_C^{(+)}(x, y), \underline{H_W^{(++)}}(x, y), H_C^{(-)}(x, y), H_W^{(-)}(x, y),$$

$$\bar{H}_C^{(+)}(x, y), \underline{\bar{H}_W^{(++)}}(x, y), \bar{H}_C^{(-)}(x, y), \bar{H}_W^{(-)}(x, y),$$

Zero modes are Weak Higgs supermultiplets.

Triplet-doublet splitting!

For triplet-doublet splitting by Wilson line mechanism in 4D heterotic orbifold models, see Ref. L. E. Ibanez, J. E. Kim, H. P. Nilles and F. Quevedo, Dixon, *Phys. Lett.* B191 (1987), 282.

Z_2 parity assignment

$$P_0 = \text{diag}(+1,+1,+1,+1,+1), \quad P_1 = \text{diag}(-1,-1,-1,+1,+1)$$

Zero modes in Bulk fields
= SM gauge supermultiplet
& 2 weak Higgs chiral supermultiplets

Our 4D Brane fields
→ **The MSSM fields !**

- The Kaluza-Klein modes have heavy mass of $O(1/R)$.
- It is possible to derive some chiral matter fields as zero modes of bulk hypermultiplets.

☆ Problems in SUSY GUT

P1. Breaking mechanism of GUT
symmetry – Triplet-doublet Higgs
mass splitting → Orbifold breaking
→ Section. 3

P2. Proton stability

G. Altarelli & F. Feruglio, *Phys. Lett.* B511 (2001) 257 (hep-ph/0102301).

L. J. Hall & Y. Nomura, *Phys. Rev.* D64 (2001) 055003 (hep-ph/0103125), *Annals. Phys.* 306 (2003) 132 (hep-ph/0212134)

P3. Origin of fermion mass hierarchy
and mixing

Y. Nomura, *Phys. Rev.* D65 (2002) 085036 (hep-ph/0108170)

P4. Origin of family (generation)
→ Section. 4

☆ Problems in SUSY GUT

P1. Breaking mechanism of GUT

symmetry – Triplet-doublet Higgs
mass splitting → Orbifold breaking

$$P_0 = \text{diag}(1,1,1,1,1), \quad P_1 = \text{diag}(-1,-1,-1,1,1)$$

→ What is the origin of non-trivial Z_2
parities ?

= What is the principle to determine
BCs ?

“Arbitrariness Problem”

3. Dynamical Rearrangement of Gauge Symmetry and Equivalence Classes of Boundary Conditions

← What is the principle to determine BCs ?

“Dynamical rearrangement of gauge symmetry on the orbifold S^1/Z_2 ”

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“Classification and Dynamics of Equivalence Classes in $SU(N)$ Gauge Theory on the Orbifold S^1/Z_2 ”

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$$P_0^2 = P_1^2 = I$$

 P_0
 P_1
 $(+1,+1,+1,+1,+1)$
 $(+1,+1,+1,+1,+1)$
 $(+1,+1,+1,+1,+1)$
 $(+1,+1,+1,+1,-1)$
 $(+1,+1,+1,+1,+1)$
 $(+1,+1,+1,-1,-1)$
 $(+1,+1,+1,+1,+1)$
 $(+1,+1,-1,-1,-1)$

.....

 $(-1,-1,-1,-1,-1)$
 $(-1,-1,-1,-1,-1)$

& Non-diagonal ones

Ex.

$$\begin{pmatrix} -\cos \pi p & 0 & 0 & -i \sin \pi p & 0 \\ 0 & -\cos \pi q & 0 & 0 & -i \sin \pi q \\ 0 & 0 & -1 & 0 & 0 \\ i \sin \pi p & 0 & 0 & \cos \pi p & 0 \\ 0 & i \sin \pi q & 0 & 0 & \cos \pi q \end{pmatrix}$$

Some of them are gauge equivalent because they are related to by BCs-changing gauge transformations.

→ Equivalence classes of BCs

Under the gauge transformation,

$$\Phi(x, y) \rightarrow \Phi'(x, y) = T_{\Phi}[\Omega]\Phi(x, y)$$

BCs of $\Phi'(x, y)$ are

$$\left\{ \begin{array}{l} \Phi'(x, -y) = T_{\Phi}[P'_0]\Phi'(x, y) \\ \Phi'(x, 2\pi R - y) = T_{\Phi}[P'_1]\Phi'(x, y) \\ \Phi'(x, y + 2\pi R) = T_{\Phi}[U']\Phi'(x, y) \end{array} \right.$$

$$\left\{ \begin{array}{l} P'_0 = \Omega(x, -y)P_0\Omega^{-1}(x, y) \\ P'_1 = \Omega(x, 2\pi R - y)P_1\Omega^{-1}(x, y) \\ U' = \Omega(x, y + 2\pi R)U\Omega^{-1}(x, y) \end{array} \right.$$

$(P_0, P_1, U) \neq (P'_0, P'_1, U')$ for a singular $\Omega(x, y)$

Ex. $SU(2)$

$$\Omega = \exp\left(-i \frac{\tau_2}{2R} y\right)$$

$$P_0 = P_1 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \rightarrow P_0' = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, P_1' = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} = -P_1$$

(\because)

$$\begin{aligned} P_0' &= \Omega(x, -y) P_0 \Omega^\dagger(x, y) = e^{-i \frac{\tau_2}{2R} (-y)} \tau_3 e^{i \frac{\tau_2}{2R} y} \\ &= e^{i \frac{\tau_2}{2R} y} e^{-i \frac{\tau_2}{2R} y} \tau_3 = \tau_3 \end{aligned} \quad (\tau_3 \tau_2 = -\tau_2 \tau_3)$$

$$\begin{aligned} P_1' &= \Omega(x, 2\pi R - y) P_1 \Omega^\dagger(x, y) = e^{-i \frac{\tau_2}{2R} (2\pi R - y)} \tau_3 e^{i \frac{\tau_2}{2R} y} \\ &= e^{-i \frac{\tau_2}{2R} (2\pi R - y)} e^{-i \frac{\tau_2}{2R} y} \tau_3 = e^{-i \pi \tau_2} \tau_3 = -\tau_3 \end{aligned}$$

Ex. $SU(2)$

$$\Omega = \exp\left(-i \frac{\tau_2}{2R} y\right)$$

$$P_0 = P_1 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \rightarrow P_0' = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, P_1' = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} = -P_1$$

$$\Rightarrow (P_0 = \tau_3, P_1 = \tau_3, U = I) \sim (P_0' = \tau_3, P_1' = -\tau_3, U' = -I)$$

$(U = P_1 P_0)$

For $SU(5)$

$$\begin{array}{cc} P_0 & P_1 \\ (+1, \underline{+1}, -1, -1, -1) & (+1, \underline{+1}, -1, -1, -1) \\ \tau_3 & \tau_3 \\ \sim (+1, \underline{+1}, -1, -1, -1) & (+1, \underline{-1}, +1, -1, -1) \\ \tau_3 & -\tau_3 \\ \sim (+1, +1, -1, -1, -1) & (-1, -1, +1, +1, -1) \end{array}$$

Let us check whether this equivalence holds.

a. The theories should be equivalent if they are related to by gauge transformation.

$$\begin{array}{cc} P_0 & P_1 \\ (+1,+1,-1,-1,-1) & (+1,+1,-1,-1,-1) \\ \sim & (+1,+1,-1,-1,-1)(+1,-1,+1,-1,-1) \end{array}$$

b. The symmetry of BCs differs from each other, with different mode expansions.

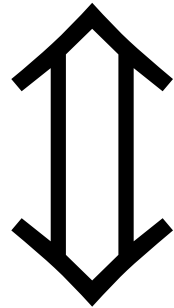
The equivalence is guaranteed by the *Hosotani mechanism* or understood as the gauge invariance of effective potential.

Y. Hosotani, *Phys. Lett.* B126 (1983), 309; *Ann. of Phys.* 190 (1989), 233.

(Ex.1) (1, 1, -1, -1, -1) (1, 1, -1, -1, -1)

$$\int dy |\partial_y h|^2 \Rightarrow \sum_{n=0}^{\infty} \left(\frac{n}{R}\right)^2 \left(|h_n^{(++)1}|^2 + |h_n^{(++)2}|^2 \right)$$

$$\int dy |(\partial_y - igA_y)h|^2 + \sum_{n=1}^{\infty} \left(\frac{n}{R}\right)^2 \left(|h_n^{(-)3}|^2 + |h_n^{(-)4}|^2 + |h_n^{(-)5}|^2 \right)$$



Min. of effective potential $\rightarrow \langle A_y \rangle$

\rightarrow Same mass spectrum!

(Hosotani mechanism)

(Ex.2) (1, 1, -1, -1, -1) (1, -1, 1, -1, -1)

$$\int dy |\partial_y h|^2 \Rightarrow \sum_{n=0}^{\infty} \left(\frac{n}{R}\right)^2 |h_n^{(++)1}|^2$$

$$\int dy |(\partial_y - igA_y)h|^2 + \sum_{n=1}^{\infty} \left(\frac{n}{2R}\right)^2 \left(|h_n^{(+)2}|^2 + |h_n^{(+)3}|^2 \right) + \sum_{n=1}^{\infty} \left(\frac{n}{R}\right)^2 \left(|h_n^{(-)4}|^2 + |h_n^{(-)5}|^2 \right)$$

“Dynamical rearrangement of gauge symmetry!”

Different BCs → Some of them are gauge equivalent because they are related to by BCs-changing gauge transformation.

→ Equivalence Classes of BCs

“What is a principle to select a realistic one?”

To obtain a hint

☆ Classification

☆ Vacuum energy density

☆ Classification

Each equivalence class has a diagonal representative. The diagonal representatives are specified by three non-negative integers (p, q, r) .

$$P_0 = \overbrace{(+1, \dots, +1, +1, \dots, +1, +1, \dots, +1, +1, \dots +1)}^N$$
$$P_1 = \underbrace{(+1, \dots, +1)}_p, \underbrace{-1, \dots, -1}_q, \underbrace{+1, \dots, +1}_r, \underbrace{-1, \dots, -1}_{s=N-p-q-r}$$

of equivalence classes of BCs

= # of diagonal representatives

– # of equivalence relations

= $(N+1)^2$ for $SU(N)$ **particle contents are fixed**

☆ Vacuum energy density

← The min. of potential

$$V_{\text{eff}} = V_{\text{eff}}(A_M^0; P_0, P_1, U) = V_{\text{eff}}(A_M^0; p, q, r, \beta)$$

$$\begin{pmatrix} \phi_1(x, y + 2\pi R) \\ \phi_2(x, y + 2\pi R) \end{pmatrix} = \begin{pmatrix} \cos 2\pi\beta & -\sin 2\pi\beta \\ \sin 2\pi\beta & \cos 2\pi\beta \end{pmatrix} \begin{pmatrix} \phi_1(x, y) \\ \phi_2(x, y) \end{pmatrix}$$

If Higgs bosons form the Z_2 doublet (ϕ_1, ϕ_2) ,
Scherk-Schwarz SUSY breaking occurs!

$$V_{\text{eff}} = \sum_{\mp} \mp i \int \frac{d^4 p}{(2\pi)^4} \frac{1}{2\pi R} \sum_{n \in \mathbb{Z}} \ln(-p^2 + M_n^2 - i\varepsilon)$$

– for bosons,

$M_n = M_n(n, \beta, R)$: Mass

+ for fermions and ghosts

The effective potential takes a finite value at the minima after soft SUSY breaking by Scherk-Schwarz mechanism.

→ Comparison of the vacuum energy density if it were allowed

- V_{eff} does not necessarily take the minimal value with the MSSM particles.

- Is the comparison among gauge-inequivalent theories meaningful?

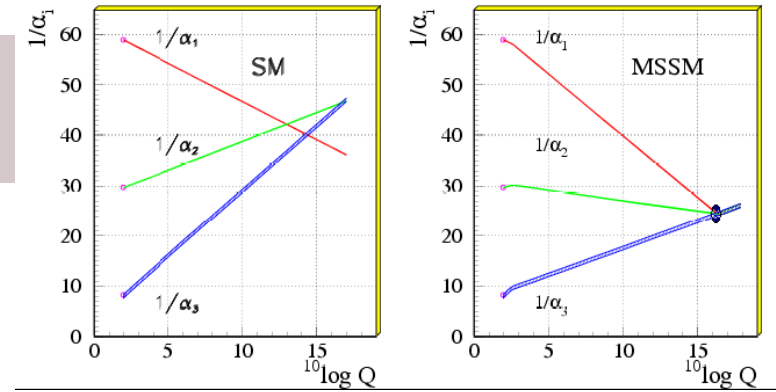
→ Bigger symmetry?

BCs are dynamically determined?

4. Orbifold Family Unification

Grand unification !

Grand unification
of forces



From HP of PDG

Grand unification of gauge particles

$$SU(5) \supset SU(3) \times SU(2) \times U(1)$$

$$24 = \underbrace{(8,1)_0 + (3,1)_0 + (1,1)_0}_{\text{Gauge particles in the SM}} + \underbrace{(3,2)_{-5} + (\bar{3},2)_5}_{\text{X, Y gauge bosons}}$$

Gauge particles in the SM

X, Y gauge bosons

$$SO(10) \supset SU(5) \times U(1)$$

$$45 = 24 + 10 + \bar{10} + 1$$

Partial unification of matters

$$SU(5) \supset SU(3) \times SU(2) \times U(1)$$

$$\left\{ \begin{array}{l} \bar{5} = (\bar{3}, 1)_2 + (1, 2)_{-3} \end{array} \right. \Rightarrow (d_R)^c + l_L$$

$$\left\{ \begin{array}{l} 10 = (3, 2)_1 + (\bar{3}, 1)_{-4} + (1, 1)_6 \end{array} \right. \Rightarrow q_L + (u_R)^c + (e_R)^c$$

C : Charge conjugation

$$SO(10) \supset SU(5) \times U(1)$$

$$16 = \bar{5} + 10 + 1$$

One multiplet for
One family !

Unification of families ?

Unification of families ?

$$SO(10) \supset SU(5) \times U(1) \quad 16_1 (= \bar{5} + 10 + 1), 16_2, 16_3$$

$$SO(16) \supset SO(10) \times SU(4) \quad 128 = (16, 4) + (\bar{16}, \bar{4})$$

Mirror particles ?

$$SU(8) \supset SU(5) \times SU(3) \times U(1)$$

$$[8, 3] (= {}_8 C_3) = 56 = (10, 3) + (5, \bar{3}) + (\bar{10}, 1) + (1, 1)$$

Extra particles? Gauge anomalies ?

$$\begin{cases} (\bar{3}, 1)_2 + (1, 2)_{-3} \\ (3, 2)_1 + (\bar{3}, 1)_{-4} + (1, 1)_6 \end{cases}$$

The SM matters



$$\begin{cases} (3, 1)_{-2} + (1, 2)_3 \\ (\bar{3}, 2)_{-1} + (3, 1)_4 + (1, 1)_{-6} \end{cases}$$

Mirror particles

☆ 4-dim. Quantum Field Theories

Anomaly free set of
representations under
a large gauge group

→ Extra particles ?

How about QFTs in a higher-
dimensional space-time ?

For complete family unification in orbifold GUT, K. S. Babu, S. M. Barr and B. Kyae, *Phys. Rev. D* 65 (2002), 115008.

For a recent work on family unification, I. Gogoladze, C. A. Lee, Y. Mimura and Q. Shafi, *Phys. Lett. B* 649 (2007), 212.

★ 5-dim. $(M^4 \times S^1 / Z_2)$ QFTs

Anomaly free in 5-dim. Bulk

→ Bulk field with arbitrary representation

→ Elimination of extra particles

by orbifolding ! → 3 families ?

Anomalous in 4-dim. brane

→ brane fields with suitable representations

For anomalies on the orbifold, H. D. Kim, J. E. Kim and H. M. Lee, *J. High Energy Phys.* 06 (2002), 48.

3 families including brane fields ?

Today's Theme

From 5-dim. ($M^4 \times S^1 / Z_2$) QFTs

A fermion (a hypermultiplet in SUSY) with a large representation of $SU(N)$

→ Elimination of extra particles by orbifolding & addition of suitable brane fields !

→ 3 families ?

Cf. Derivation from gauge multiplet

Gauge Unification

$$V^\alpha(x, y) \Rightarrow G_\mu^a(x), W_\mu^i(x), B_\mu(x), \tilde{G}^a(x), \tilde{W}^i(x), \tilde{B}(x)$$

Gauge-Higgs Unification

$$V^\alpha(x, y) \Rightarrow \begin{cases} G_\mu^a(x), W_\mu^i(x), B_\mu(x), \tilde{G}^a(x), \tilde{W}^i(x), \tilde{B}(x) \\ H_W(x), \bar{H}_W(x), \tilde{H}_W(x), \tilde{\bar{H}}_W(x) \end{cases}$$

Gauge-Matter(Family) Unification

$$V^\alpha(x, y) \Rightarrow \begin{cases} G_\mu^a(x), W_\mu^i(x), B_\mu(x), \tilde{G}^a(x), \tilde{W}^i(x), \tilde{B}(x) \\ q_L(x), \tilde{q}_L(x), \dots \end{cases}$$

Gauge-Higgs-Matter Unification

Two Questions

Orbifold Breaking

A large representation \rightarrow 3 families

$$\text{Q1. } SU(N) \xrightarrow{Z_2} SU(5) \times \dots,$$
$$[N, k] =_N C_k \rightarrow 3 \times (\bar{5} + 10)?$$

$$\text{Q2. } SU(N) \xrightarrow{Z_2} SU(3)_C \times SU(2)_L \times U(1)_Y \times \dots,$$
$$[N, k] =_N C_k \rightarrow 3 \times \left(\begin{array}{l} (\bar{3}, 1)_2 + (1, 2)_{-3} \\ + (3, 2)_1 + (\bar{3}, 1)_{-4} + (1, 1)_6 \end{array} \right)?$$

Z_2 Orbifold Breaking

$$P_0 = \text{diag}(\overbrace{+1, \dots, +1}^p, \overbrace{+1, \dots, +1}^q, \overbrace{-1, \dots, -1}^r, \overbrace{-1, \dots, -1}^{s=N-p-q-r})$$

$$P_1 = \text{diag}(\overbrace{+1, \dots, +1}^p, \overbrace{-1, \dots, -1}^q, \overbrace{+1, \dots, +1}^r, \overbrace{-1, \dots, -1}^{s=N-p-q-r})$$

Rep. Matrix for fundamental rep. up to intrinsic Z_2 parity

$$SU(N) \rightarrow SU(p) \times SU(q) \times SU(r) \times SU(s) \times U(1)^n$$

$$(SU(1) \Rightarrow U(1), SU(0) \Rightarrow \text{Nothing})$$

$$[N, k] =_N C_k \Rightarrow \sum_{l_1=0}^k \sum_{l_2=0}^{k-l_1} \sum_{l_3=0}^{k-l_1-l_2} ({}_p C_{l_1}, {}_q C_{l_2}, {}_r C_{l_3}, {}_s C_{k-l_1-l_2-l_3})$$

(Formula) ${}_{n+m} C_k = \sum_{l=0}^k {}_n C_l \cdot {}_m C_{k-l}$

Z_2 Orbifold Breaking

$$P_0 = \text{diag}(\overbrace{+1, \dots, +1}^p, \overbrace{+1, \dots, +1}^q, \overbrace{-1, \dots, -1}^r, \overbrace{-1, \dots, -1}^{s=N-p-q-r})$$

$$P_1 = \text{diag}(\overbrace{+1, \dots, +1}^p, \overbrace{-1, \dots, -1}^q, \overbrace{+1, \dots, +1}^r, \overbrace{-1, \dots, -1}^{s=N-p-q-r})$$

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$$[N, k] =_N C_k \Rightarrow \sum_{l_1=0}^k \sum_{l_2=0}^{k-l_1} \sum_{l_3=0}^{k-l_1-l_2} ({}_p C_{l_1}, {}_q C_{l_2}, {}_r C_{l_3}, {}_s C_{k-l_1-l_2-l_3})$$

Values of Z_2 parity for $({}_p C_{l_1}, {}_q C_{l_2}, {}_r C_{l_3}, {}_s C_{l_4})$?

$$l_4 \equiv k - l_1 - l_2 - l_3$$

Z_2 parity assignment

$$Z_2 : N = [N,1] \rightarrow \eta_{[N,1]} P_0 N, \quad N = [N,1] \rightarrow \eta'_{[N,1]} P_1 N$$

$$\text{For } [N,k] =_N C_k = (N \times \cdots \times N)_a$$

$$\Rightarrow \sum_{l_1=0}^k \sum_{l_2=0}^{k-l_1} \sum_{l_3=0}^{k-l_1-l_2} ({}_p C_{l_1}, {}_q C_{l_2}, {}_r C_{l_3}, {}_s C_{k-l_1-l_2-l_3}),$$

$$(N \times \cdots \times N)_a \rightarrow \eta_{[N,k]} (P_0 N \times \cdots \times P_0 N)_a$$

$$(N \times \cdots \times N)_a \rightarrow \eta'_{[N,k]} (P_1 N \times \cdots \times P_1 N)_a$$

$\eta_{[N,k]}, \eta'_{[N,k]}$: intrinsic Z_2 parity, +1 or -1

$$Z_2 \text{ parity of } ({}_p C_{l_1}, {}_q C_{l_2}, {}_r C_{l_3}, {}_s C_{l_4}) \quad l_4 \equiv k - l_1 - l_2 - l_3$$

$$\rho_0 = (-1)^{l_1+l_2} (-1)^k \eta_{[N,k]}, \quad \rho_1 = (-1)^{l_1+l_3} (-1)^k \eta'_{[N,k]}$$

Spin 1/2 fermion on 5-dim.

→ 4-dim. Dirac fermion or
a pair of 4-dim. Weyl fermion

$$\psi(x, y) = \begin{pmatrix} \psi_R(x, y) \\ \psi_L(x, y) \end{pmatrix}$$

$$\bar{\psi} i \gamma^M \partial_M \psi = \bar{\psi} i \gamma^\mu \partial_\mu \psi + \bar{\psi} i \gamma^5 \partial_y \psi$$

$$\bar{\psi} i \gamma^5 \partial_y \psi = i \left(\psi_L^\dagger \partial_y \psi_R - \psi_R^\dagger \partial_y \psi_L \right)$$

$$\partial_y \xrightarrow{Z_2} -\partial_y$$

$\psi_R(x, y)$ has a different Z_2 parity of $\psi_L(x, y)$.

Results

“Orbifold family unification”
Y. K., T. Kinami and K. Oda,
Phys. Rev. D **76** (2007), 035001
(hep-ph/0703195)

$$\text{Q1. } SU(N) \xrightarrow{Z_2} SU(5) \times \cdots,$$
$$[N, k] = {}_N C_k \rightarrow 3 \times (\bar{5} + 10)?$$

$[N, k]$	(p, q, r, s)	$(-1)^k \eta_{[N, k]}$	$(-1)^k \eta'_{[N, k]}$
$[9, 3]$	$(5, 0, 3, 1)$	+1	-1
$[9, 3]$	$(5, 3, 0, 1)$	-1	+1
$[9, 6]$	$(5, 0, 3, 1)$	+1	+1
\vdots			

$$\text{Q1. } SU(N) \xrightarrow{Z_2} SU(5) \times \dots,$$

$$[N, k] =_N C_k \rightarrow 3 \times (\bar{5} + 10)?$$

(Ex. 1) $SU(9)$ (SUSY) GUT

$$P_0 = \text{diag}(\overbrace{+1, +1, +1, +1, +1}^{p=5}, \overbrace{-1, -1, -1}^{q=0}, \overbrace{-1}^{r=3}, \overbrace{-1}^{s=1})$$

$$P_1 = \text{diag}(+1, +1, +1, +1, +1, +1, +1, +1, -1)$$

$$SU(9) \rightarrow SU(5) \times SU(3) \times U(1)^2$$

$$[9, 6] =_9 C_6 \Rightarrow \sum_{l_1=0}^6 \sum_{l_3=0}^{6-l_1} ({}_5 C_{l_1}, {}_3 C_{l_3}, {}_1 C_{6-l_1-l_3})$$

$$\text{Q2. } SU(N) \xrightarrow{Z_2} SU(3)_C \times SU(2)_L \times U(1)_Y \times \dots, \\
 [N, k] = {}_N C_k \rightarrow 3 \times \left(\begin{array}{l} (\bar{3}, 1)_2 + (1, 2)_{-3} \\ + (3, 2)_1 + (\bar{3}, 1)_{-4} + (1, 1)_6 \end{array} \right) ?$$

No solution satisfying $n_{\bar{d}} = n_l = n_{\bar{u}} = n_{\bar{e}} = n_q = 3$

Table: Flavor number of each chiral fermion from [9, 6]

Representation	$\eta_{[9,6]}$	$\eta'_{[9,6]}$	$n_{\bar{d}}$	n_l	$n_{\bar{u}}$	$n_{\bar{e}}$	n_q	$n_{\bar{\nu}}$
[9,6]	+1	+1	3	3	3	3	2	1
[9,6]	+1	-1	3	3	2	2	3	3

$$P_0 = \text{diag}(\overbrace{+1, +1, +1}^{p=3}, \overbrace{+1, +1}^{q=2}, \overbrace{-1, -1, -1}^{r=3}, \overbrace{-1}^{s=1})$$

$$P_1 = \text{diag}(+1, +1, +1, -1, -1, +1, +1, +1, -1)$$

Subject 1 : Derive it from more fundamental theory such as superstring theory ?

Subject 2 : Construct a realistic model

$$[N,1] \Rightarrow H_W, [N,1] \Rightarrow \bar{H}_W$$

Breakdown of extra gauge group, weak Higgs doublets

Realistic fermion mass matrices, CKM matrix, MNS matrix

Model-dependent predictions

Realistic fermion mass matrices, CKM matrix, MNS matrix

Extra $U(1) \rightarrow$ Froggatt-Nielsen
mechanism

Bulk field \rightarrow Volume suppression

K. Yoshioka "On fermion mass hierarchy with extra dimensions" *Mod. Phys. Lett. A*15 (2000), 29

$$f_{ij} \left(\frac{\phi_\alpha}{\Lambda} \right)^{q_{i\alpha} + u_{j\alpha} + h_{u\alpha}} \left(\frac{1}{\sqrt{M_* R}} \right)^{n_{ij}} Q_i U_j^c H_u$$

Model-dependent predictions

Sum rules among sparticles masses can be useful to select high-energy physics including orbifold family unification model.

“More about Superparticle Sum Rules ...” 0705.1014 [hep-ph], *Int. J. Mod. Phys. A*22 (2007), 4671 (with T. Kinami)

“Sfermion Mass Relations in Orbifold Family Unification” 0709.1524 [hep-ph], (with T. Kinami)

5. Summary

Orbifold SUSY GUTs

→ Reduction of Gauge symmetry

Triplet-doublet Higgs mass splitting

“Arbitrariness Problem”

What is an origin of non-trivial Z_2 parities?

→ Theories are classified into equivalent classes of boundary conditions.

→ What is a principle to select a realistic one?

An underlying theory must tell something.

“Orbifold family unification”

What is an origin of three families?

Many models with three families
of $SU(5)$ GUT

No model with only three families
of the SM as zero modes from a
unique representation

An underlying theory must tell something.

Thank you for your attention!