

# Observation of Electron-Antineutrino Disappearance at Daya Bay

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Institute of High Energy Physics

on behalf of the

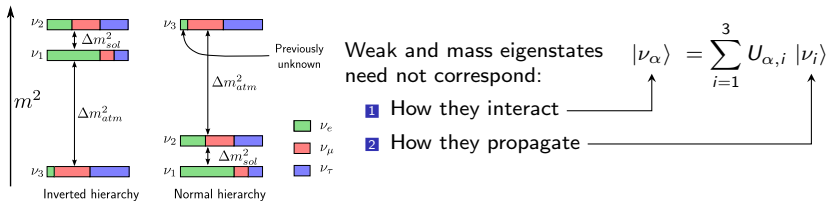
Daya Bay Collaboration

1st International Conference on New Frontiers in Physics

June 15, 2012

Chania, Greece

## Three Neutrino Oscillation: PMNS Matrix



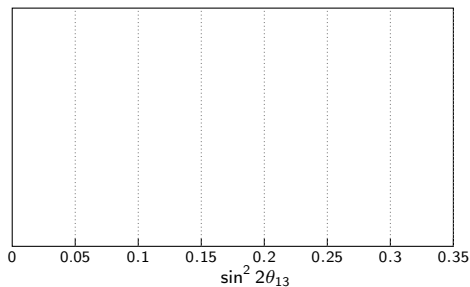
$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta} & 0 & \cos \theta_{13} \end{pmatrix} \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

- $\theta_{23} \sim 45^\circ$  established through atmospheric accelerators: possibly maximal
- $\theta_{12} \sim 34^\circ$  established through solar experiments and KamLAND: large but not maximal

$\theta_{13}$  only mixing angle not previously well established: Small? Zero?

## Recent Indication for a Non-Zero $\theta_{13}$

- Tensions between solar, reactor oscillations suggest  $\theta_{13} > 0$
- Appearance of  $\nu_e$  in  $\nu_\mu$  accelerator beam
- Double Chooz reported improved single detector measurement

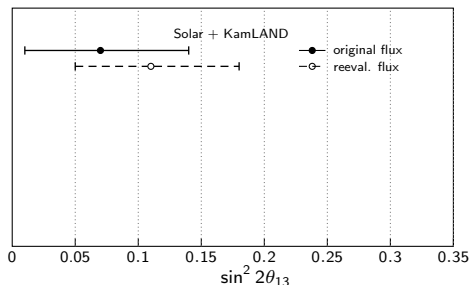


2011 has given many hints but no results  $> 2.5 \sigma$  from  $\theta_{13} = 0$

- Solar + KamLAND: G.L. Fogli *et al.*, Phys. Rev. D 84, 053007 (2011)
- MINOS: P. Adamson *et al.*, Phys. Rev. Lett. 107, 181802 (2011)
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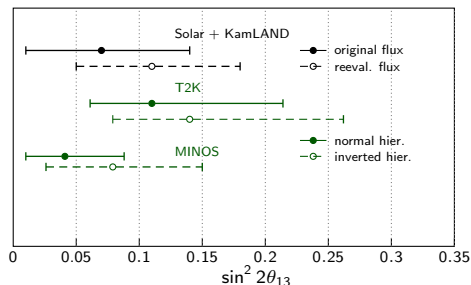


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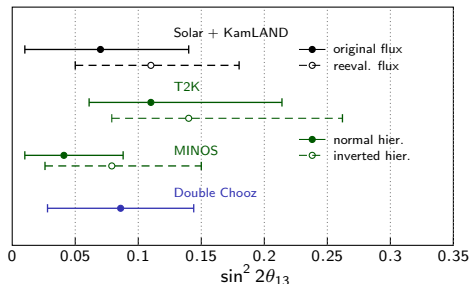


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## Reactor Neutrino Oscillation

Benefits of reactor neutrinos:

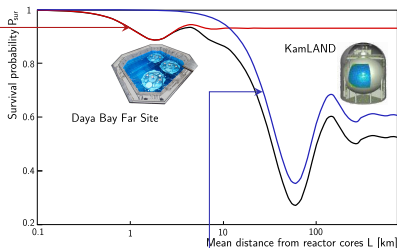
- Pure  $\nu_e$  source
- Large statistics of antineutrinos
- Clean detection signal
- No cross-talk with  $\delta$  phase and matter effects

$$\Delta m_{32}^2 \approx \Delta m_{31}^2 \approx \Delta m_{\text{atm}}^2$$

$$P_{\text{sur}} \approx 1 -$$

$$\underbrace{\sin^2 2\theta_{13} \sin^2 \left( \Delta m_{32}^2 \frac{L}{4E} \right)}_{\text{Previously unknown}}$$

$$+ \underbrace{\sin^2 2\theta_{12} \cos^4 2\theta_{13} \sin^2 \left( \Delta m_{21}^2 \frac{L}{4E} \right)}_{\text{Measured by KamLAND}}$$

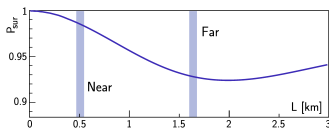


$\theta_{13}$  can be revealed by a deficit of reactor antineutrinos at  $\sim 2$  km.

## The Daya Bay Strategy

### Baseline optimization

- Optimized to known parameter space of  $\Delta m_{32}^2$
- Far site maximizes term dependent on  $\sin^2 2\theta_{13}$



### Relative measurement with multiple detectors

- Absolute reactor flux single largest uncertainty in previous measurements

Cancels in near/far ratio: 
$$\frac{N_f}{N_n} = \left( \frac{N_{p,f}}{N_{p,n}} \right) \left( \frac{L_n}{L_f} \right)^2 \left( \frac{\epsilon_f}{\epsilon_n} \right) \left( \frac{P_{\text{sur}}(E, L_f)}{P_{\text{sur}}(E, L_n)} \right)$$

- Reduction of systematic errors

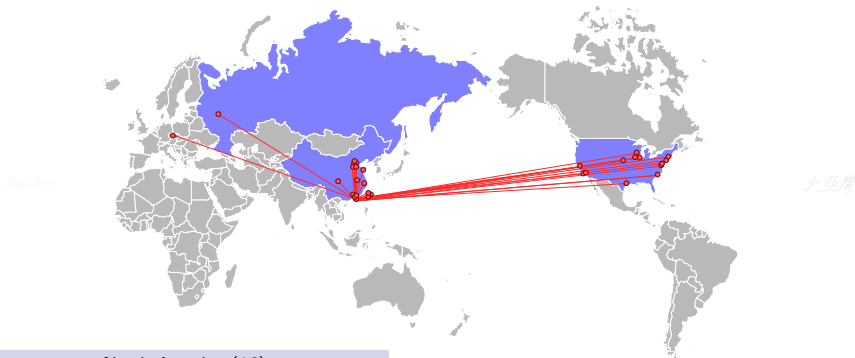
- Detector target masses:  
Load cells measure masses to 0.015%
- Reactor-detector baselines:  
Negligible reactor flux uncertainty from precise survey (<0.02%)
- Detector efficiencies:  
8 identically designed detectors, side-by-side comparison, calibration



## Daya Bay: A Powerful Neutrino Source at an Ideal Location



## An International Effort: 228 Collaborators from 28 Institutions



### North America (16)

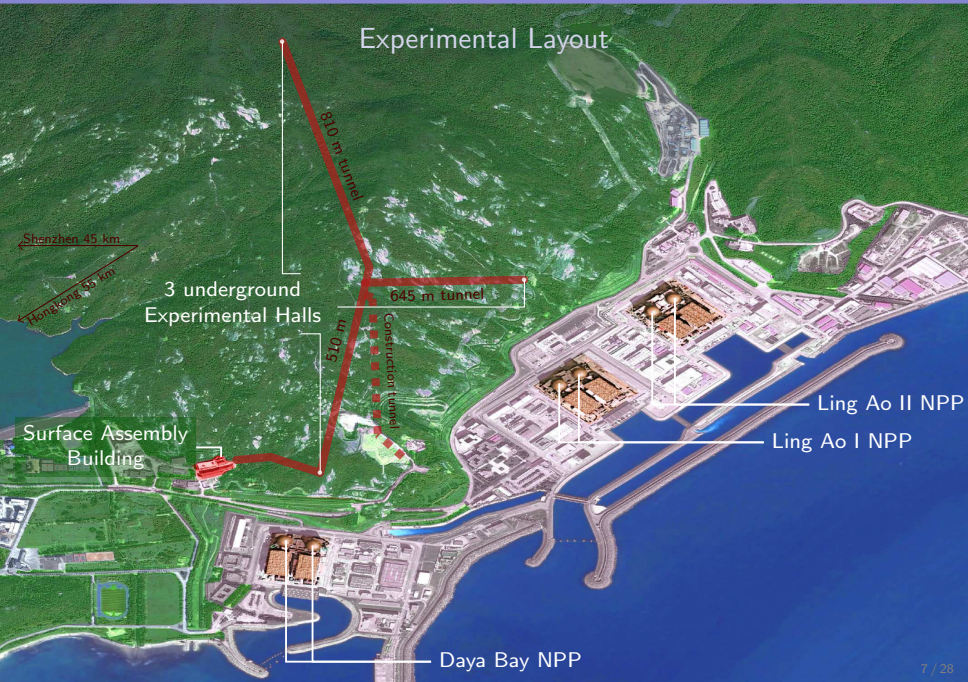
Brookhaven Natl Lab, Cal Tech, Cincinnati, Houston, Illinois  
 Institute of Technology, Iowa State, Lawrence Berkeley Natl Lab,  
 Princeton, Rensselaer Polytech, UC Berkeley, UCLA, Wisconsin,  
 William & Mary, Virginia Tech, Illinois, Siena College

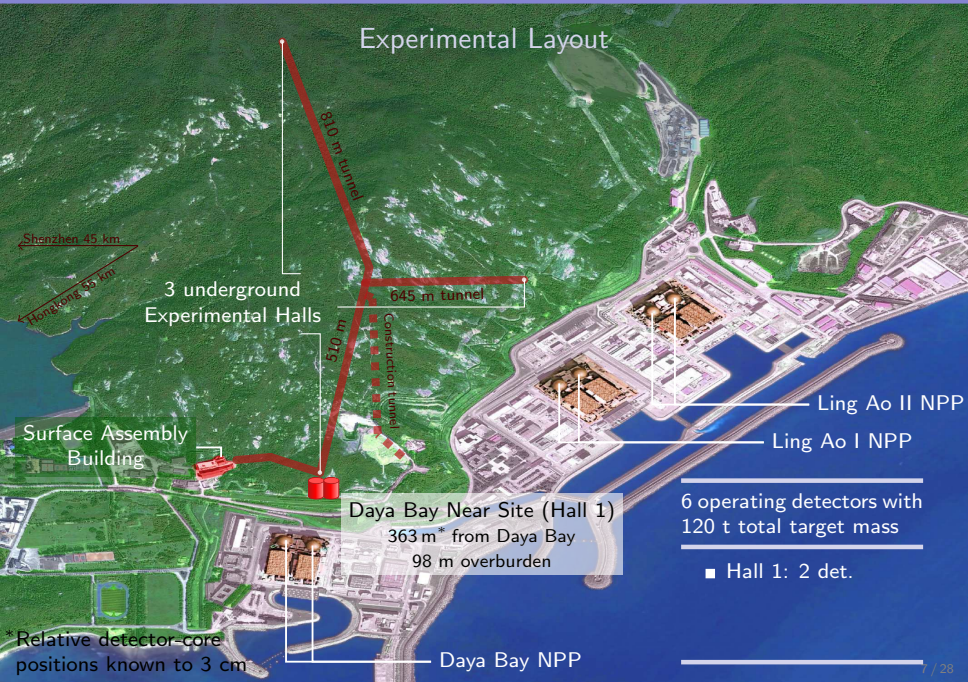
### Europe (2)

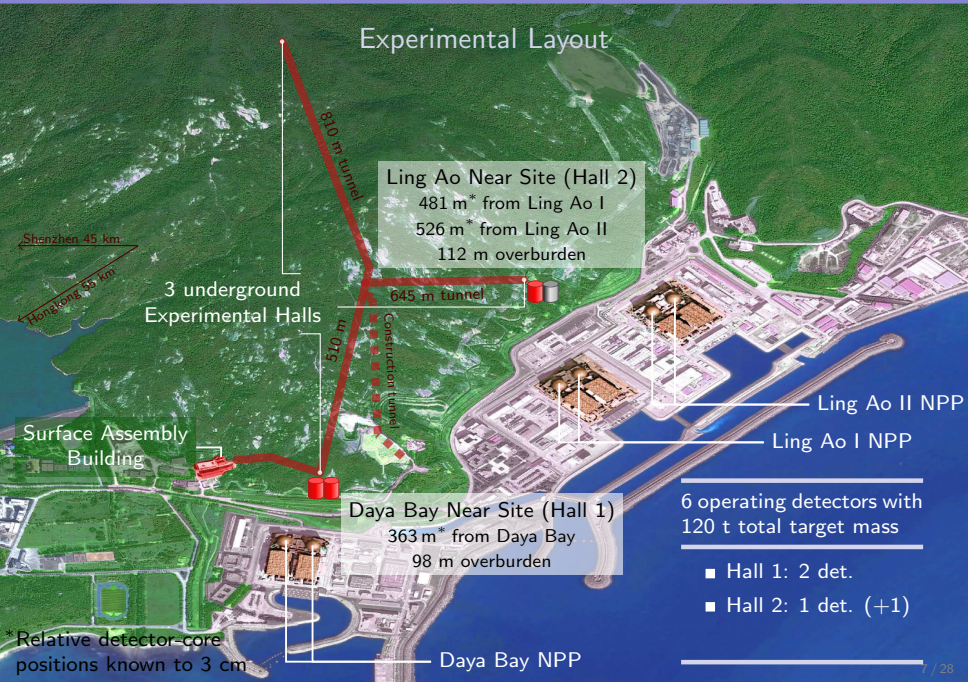
Charles University, Dubna

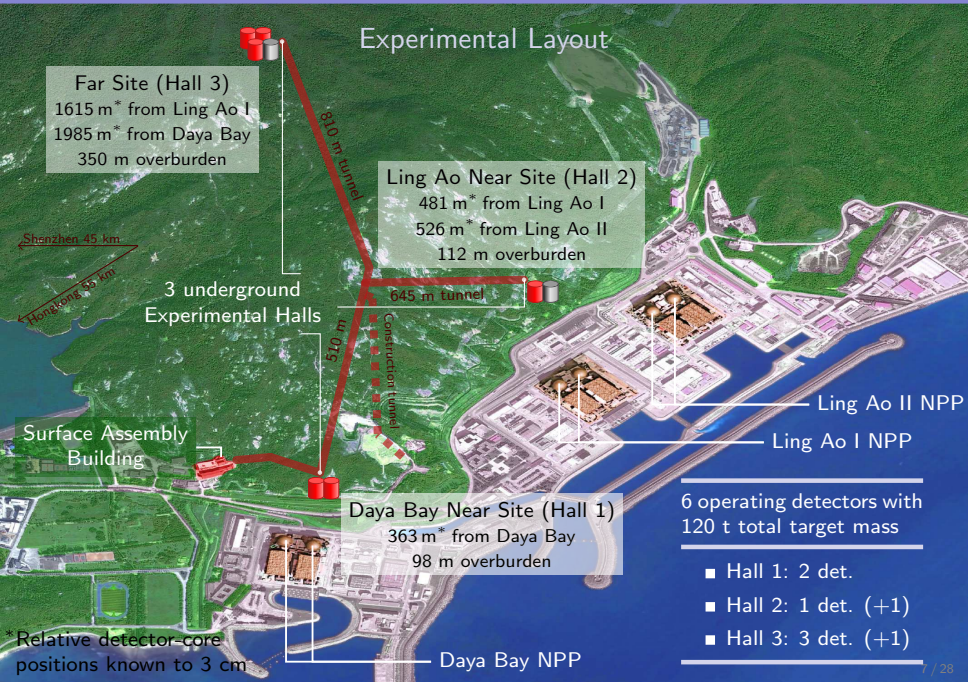
### Asia (20)

IHEP, Beijing Normal Univ., Chengdu Univ. of Sci and Tech,  
 CGNPG, CIAE, Dongguan Polytech, Nanjing Univ., Nankai Univ.,  
 NCEPU, Shandong Univ., Shanghai Jiao Tong Univ., Shenzhen  
 Univ., Tsinghua Univ., USTC, Zhongshan Univ., Univ. of Hong  
 Kong, Chinese Univ. of Hong Kong, National Taiwan Univ., National  
 Chiao Tung Univ., National United Univ.

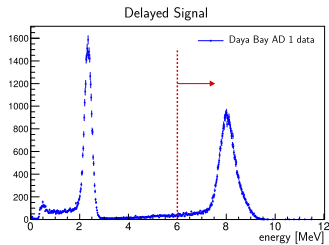
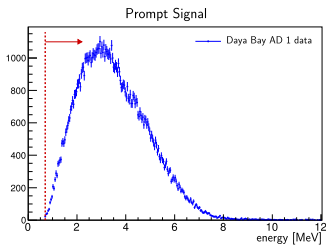




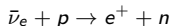




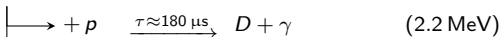
## Antineutrino Detection via Inverse Beta Decay



Prompt+delayed coincidence provides distinctive signature



*prompt*



*delayed*

- Neutrino energy:  $E_{\bar{\nu}_e} \approx T_{e^+} + T_n + (m_n - m_p) + m_{e^+} \approx T_{e^+} + 1.8 \text{ MeV}$
- Higher energy and shorter capture time on Gd improve background rejection

## Antineutrino Detector (AD) Design

6 functionally identical detectors  
reduce systematic uncertainties

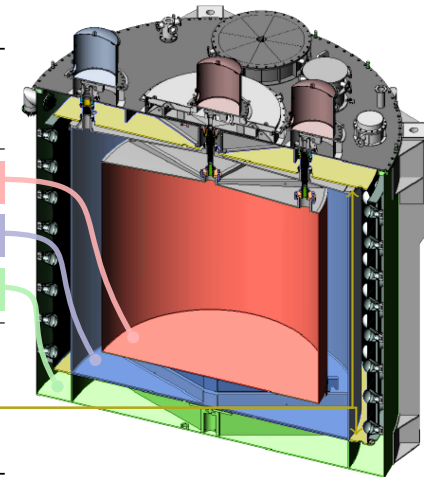
### 3 zone cylindrical vessels

	Liquid	Mass	Function
Inner acrylic	Gd-doped liquid scint.	20 t	Antineutrino target
Outer acrylic	Liquid scintillator	20 t	Gamma catcher
Stainless steel	Mineral oil	40 t	Radiation shielding

192 8 inch PMTs in each detector

Top and bottom reflectors increase light yield  
and flatten detector response

$$\left(\frac{7.5}{\sqrt{E}} + 0.9\right)\% \text{ energy resolution}$$





## Calibration: Key to Reduction of Detector-Related Systematics

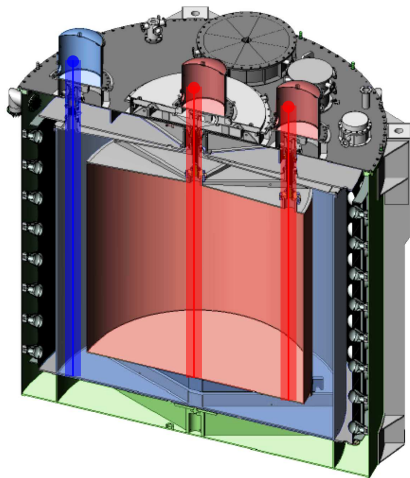
3 fully automated units per detector  
deploy sources along z-axis

- 1 Center: time evol., energy scale, non-linearity
- 2 Edge: efficiency, space response
- 3  $\gamma$  catcher: efficiency, space response

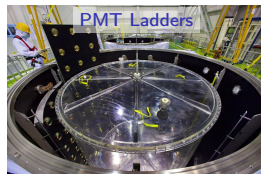
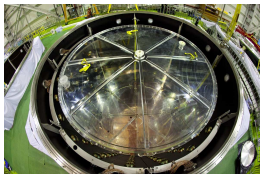
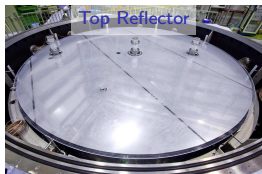
4 calibration sources in each unit

- 1  $^{68}\text{Ge}$  (2  $\times$  511 keV  $\gamma$  source)  
positron threshold, non-linearity
- 2  $^{60}\text{Co}$  (1.17 + 1.33 MeV  $\gamma$  source)  
energy scale, response function
- 3  $^{241}\text{Am}^{13}\text{C}$  (neutron source)  
neutron capture time
- 4 LED diffuser ball  
PMT timing, gain and relative QE

$r = 1.775\text{ m}$     $r = 0$     $r = 1.35\text{ m}$



## Antineutrino Detector Assembly



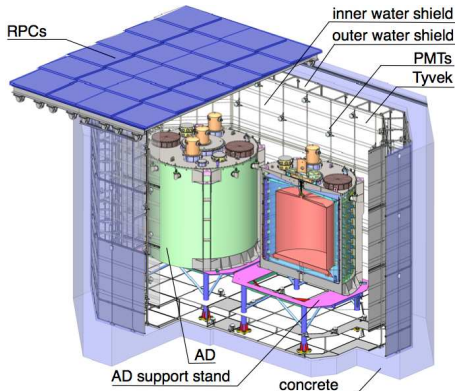
# Interior of an Antineutrino Detector



## Muon Tagging System

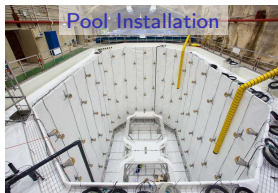
Complementary systems: 2.5 meter thick two-section water shield and RPC cover

- 1 Dual-purpose ultra pure water pool
  - Shields natural and cosmogenic background and attenuates rock radioactivity and fast neutrons
  - Serves as Cherenkov detector to observe the presence of cosmic ray muons
  - 1 m outer layer of water veto
  - >2.5 m inner layer of water veto
  - 288 8" PMTs in each near hall
  - 384 8" PMTs in the Far Hall
- 2 4-layer RPC modules above pool
  - 54 modules in each near hall
  - 81 modules in Far Hall



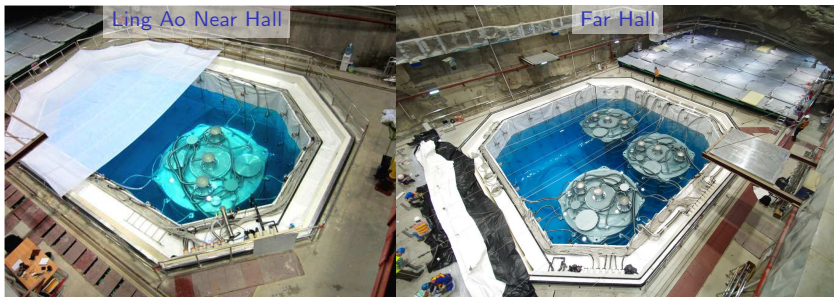
Goal efficiency:  $\epsilon_{\mu} > 99.5\%$  with uncertainty  $\sigma_{\epsilon} < 0.25\%$

## Daya Bay Experimental Hall 1 Installation



- Daya Bay Near Site began operation on 15 August 2011
- Stable data-taking started on 23 September 2011

## Experimental Hall 2+3 Installation



Christmas Eve 2011: Start of simultaneous 3-site data-taking

- Ling Ao Near Hall began operation with 1 AD on 5 November 2011
- Far Hall started data-taking with 3 ADs on 24 December 2011
- Last remaining pair of ADs in assembly, will be installed in 2012

## Analyzed Data Sets

### Two detector comparison

arXiv:1202.6181

- Sep. 23–Dec. 23, 2011 ( $\sim 90$  live days)
- Side-by-side comparison of two detectors
- Demonstrated detector systematics better than requirements
- Nucl. Inst. and Meth. **A 685** (2012), 78-97

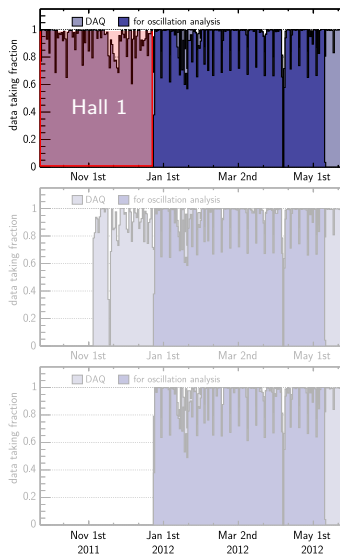
### First oscillation analysis

arXiv:1203.1669

- Dec. 24, 2011–Feb. 23, 2012 ( $\sim 50$  days)
- All 3 halls (6 ADs) operating
- DAQ uptime:  $>97\%$ , neutrino data:  $89\%$
- Phys. Rev. Lett. **108** (2012), 171803

### Improved osc. analysis: $\sim 2.5$ as much data

- Dec. 24, 2011–May 11, 2012 ( $\sim 126$  days)



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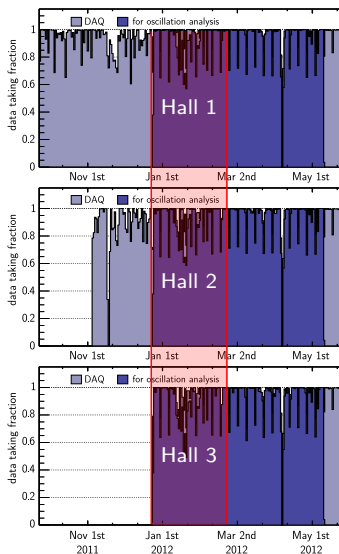
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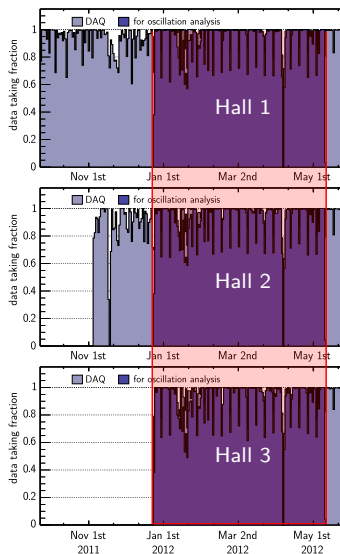
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## Data analysis approach

### Blind analysis

Nominal values for:

- 1 Reactor flux
- 2 Target mass
- 3 Reactor-detector baselines

$$\frac{N_f}{N_n} = \left( \frac{N_{p,f}}{N_{p,n}} \right) \left( \frac{L_n}{L_f} \right)^2 \left( \frac{\epsilon_f}{\epsilon_n} \right) \left( \frac{P_{\text{sur}}(E, L_f)}{P_{\text{sur}}(E, L_n)} \right)$$

### Multiple independent analyses

- Common data sets
- Redundant analyses use different approaches on:
  - Energy calibration and reconstruction
  - Antineutrino candidate selection
  - Background estimation
  - $\theta_{13}$  rate analysis
- Consistency checks of multiple analyses before unblinding

Results from  
one analysis  
shown in this talk

## Side-by-Side Detector Comparison

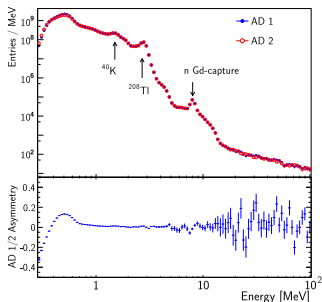


Figure: Side-by-side comparison of full spectrum after flasher and muon removal

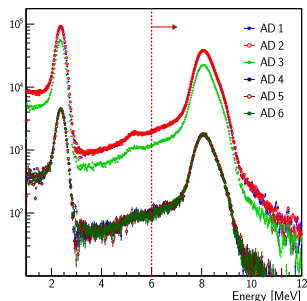


Figure: Energy spectrum of spallation neutrons for all six detectors

Multiple detectors allow detailed comparison and cross-checks

- Two ADs in Daya Bay Near Site Hall have functionally identical response
- Response of all detectors to neutrons constrains largest systematic uncertainty

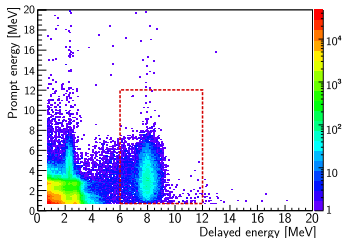
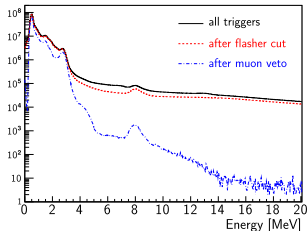
$$\text{AD1/2 Asymmetry} = 2(N_{\text{AD1}} - N_{\text{AD2}})/(N_{\text{AD1}} + N_{\text{AD2}})$$

## Antineutrino (IBD) Selection

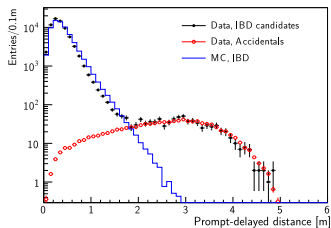
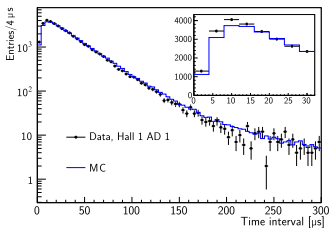
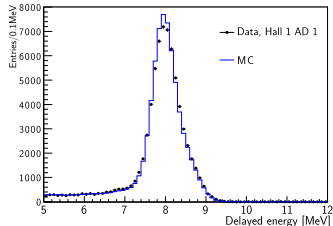
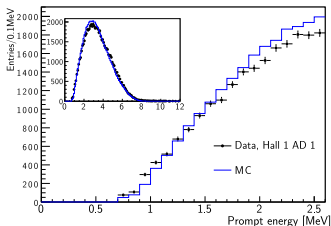
Use IBD prompt+delayed coincidence signal to select antineutrinos

Selection steps:

- 1 Reject spontaneous PMT light emission ("flashers")
- 2 Prompt positron:  
 $0.7 \text{ MeV} < E_p < 12 \text{ MeV}$
- 3 Delayed neutron:  
 $6.0 \text{ MeV} < E_d < 12 \text{ MeV}$
- 4 Neutron capture time:  
 $1 \mu\text{s} < \Delta t < 200 \mu\text{s}$
- 5 Muon veto:
  - Water pool muon (>12 hit PMTs):  
Reject 0.6 ms
  - AD muon (>20 MeV):  
Reject 1 ms
  - AD shower muon (>2.5 GeV):  
Reject 1 s
- 6 Multiplicity: No other signal  $> 0.7 \text{ MeV}$  in  $-200 \mu\text{s}$  to  $200 \mu\text{s}$  of IBD.

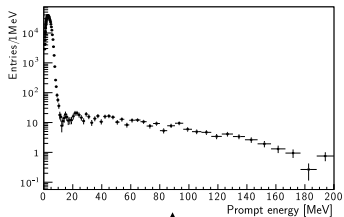
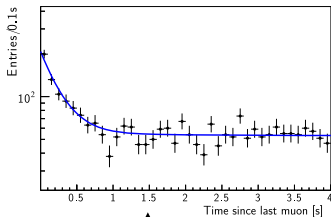


## Spectra of Antineutrino Candidates



Except for prompt-delayed distance, all spectra are background-subtracted

## Backgrounds



All backgrounds estimated using data-driven methods

- $^9\text{Li}$  rate evaluated using time-correlation with muon
- Fast neutron rate constrained using IBD-like signals in 10–50 MeV energy range

Low rates of total background

- $5\% \pm 0.3\%$  background/signal ratio for Far Hall,  $2\% \pm 0.2\%$  for both near halls
- Accidental coincidences single largest contributor to total background rate
- $^{241}\text{Am}$ / $^{13}\text{C}$  source and  $^9\text{Li}/^8\text{He}$  from muon spallation largest sources of uncertainty

## Signal and Background Summary

	Near Sites			Far Site		
	AD 1	AD 2	AD 3	AD 4	AD 5	AD 6
IBD candidates	69121	69714	66473	9788	9669	9452
DAQ live time (days)	127.3763		127.3763		126.2646	
Muon veto time (days)	22.5656	22.9901	18.1426	2.3619	2.3638	2.4040
$\epsilon_{\mu} \cdot \epsilon_m$	0.8015	0.7986	0.8364	0.9555	0.9552	0.9547
Accidentals (per day)	$9.73 \pm 0.10$	$9.61 \pm 0.10$	$7.55 \pm 0.08$	$3.05 \pm 0.04$	$3.04 \pm 0.04$	$2.93 \pm 0.03$
Fast-neutron (per day)	$0.77 \pm 0.24$	$0.77 \pm 0.24$	$0.58 \pm 0.33$	$0.05 \pm 0.02$	$0.05 \pm 0.02$	$0.05 \pm 0.02$
${}^9\text{Li}/{}^8\text{He}$ (per AD per day)	2.9 $\pm$ 2.0		2.0 $\pm$ 1.1		0.22 $\pm$ 0.12	
Am-C corr. (per AD per day)			0.2 $\pm$ 0.2			
${}^{13}\text{C}{}^{16}\text{O}$ backgr. (per day)	$0.08 \pm 0.04$	$0.07 \pm 0.04$	$0.05 \pm 0.03$	$0.04 \pm 0.02$	$0.04 \pm 0.02$	$0.04 \pm 0.02$
IBD rate (per day)	$662.47 \pm 3.00$	$670.87 \pm 3.01$	$613.53 \pm 2.69$	$77.57 \pm 0.85$	$76.62 \pm 0.85$	$74.97 \pm 0.84$

**Table:** The background and IBD rates were corrected for the  $\epsilon_{\mu} \cdot \epsilon_m$  efficiency.

Collected more than 200k antineutrino interactions

- Consistent rates for side-by-side detectors
- Uncertainties dominated by statistics

## Summary of Uncertainties

<b>Detector</b>				
-----				
	Efficiency	Correlated	Uncorrelated	
-----				
Target Protons		0.47%	0.03%	
Flasher cut	99.98%	0.01%	0.01%	
Delayed energy cut	90.9%	0.6%	0.12%	■ Only uncorrelated uncertainties relevant to near/far oscillation analysis
Prompt energy cut	99.88%	0.10%	0.01%	
Multiplicity cut		0.02%	<0.01%	
Capture time cut	98.6%	0.12%	0.01%	
Gd capture ratio	83.8%	0.8%	<0.1%	■ Largest systematics smaller than far site statistics (~ 1%)
Spill-in	105.0%	1.5%	0.02%	
Livetime	100.0%	0.002%	<0.01%	
<b>Combined</b>	<b>78.8%</b>	<b>1.9%</b>	<b>0.2%</b>	
-----				
<b>Reactor</b>				
-----				
Correlated		Uncorrelated		
-----				
Energy/fission	0.2%	Power	0.5%	■ Impact of uncorrelated reactor systematics reduced by relative measurement
IBD/fission	3%	Fission fraction	0.6%	
		Spent fuel	0.3%	
Combined	3%	<b>Combined</b>	<b>0.8%</b>	
-----				



## Summary of Uncertainties

	Detector		
	Efficiency	Correlated	Uncorrelated
Target Protons		0.47%	0.03%
Flasher cut	99.98%	0.01%	0.01%
Delayed energy cut	90.9%	0.6%	0.12%
Prompt energy cut	99.88%	0.10%	0.01%
Multiplicity cut		0.02%	<0.01%
Capture time cut	98.6%	0.12%	0.01%
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<b>Combined</b>	<b>78.8%</b>	<b>1.9%</b>	<b>0.2%</b>

- Only uncorrelated uncertainties relevant to near/far oscillation analysis

- Largest systematics smaller than far site statistics ( $\sim 1\%$ )

Reactor			
Correlated		Uncorrelated	
Energy/fission	0.2%	Power	0.5%
IBD/fission	3%	Fission fraction	0.6%
		Spent fuel	0.3%
<b>Combined</b>	<b>3%</b>	<b>Combined</b>	<b>0.8%</b>

- Impact of uncorrelated reactor systematics reduced by relative measurement

## Summary of Uncertainties

Detector				
	Efficiency	Correlated	Uncorrelated	
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<b>Combined</b>	<b>3%</b>	<b>Combined</b>	<b>0.8%</b>	

## Summary of Uncertainties

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Combined	3%	<b>Combined</b>	<b>0.8%</b>	

## Antineutrino Rate vs. Time

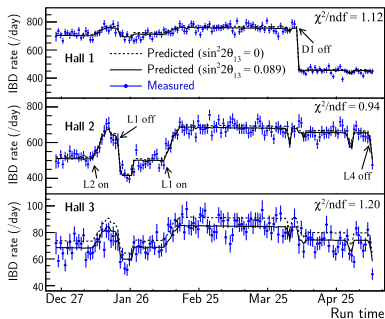


Figure: Expected vs. measured IBD rate

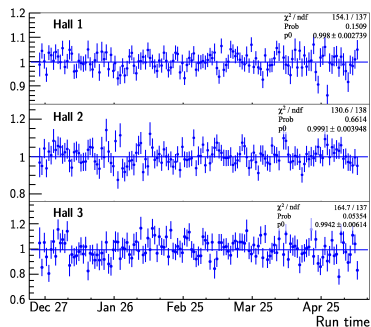
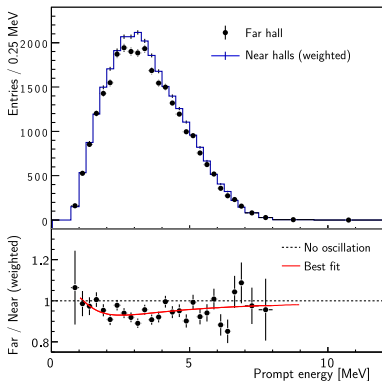


Figure: IBD rate normalized to expectation

Detected rate strongly correlated with reactor flux expectations

- Normalization determined by fit to data
- Absolute normalization is within a few percent of expectations

## Antineutrino Near/Far Comparison



### 1 Near/Far rate comparison:

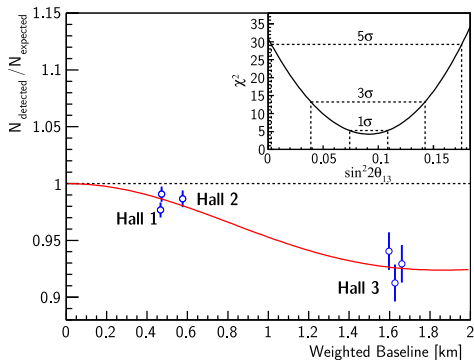
$$\begin{aligned}
 R &= \frac{N_{\text{meas}}}{N_{\text{pred}}} \\
 &= \frac{M_4 + M_5 + M_6}{\sum_{i=4}^6 \alpha_i (M_1 + M_2) + \beta_i M_3} \\
 &= 0.944 \pm 0.007(\text{stat}) \pm 0.003(\text{syst})
 \end{aligned}$$

$M_j$ : measured rates in each AD  
 $\alpha_i, \beta_i$ : weights determined from baselines and reactor fluxes

### 2 Near/Far spectral distortion consistent with oscillation\*

\* Spectral systematics not fully studied,  $\theta_{13}$  shape analysis not recommended

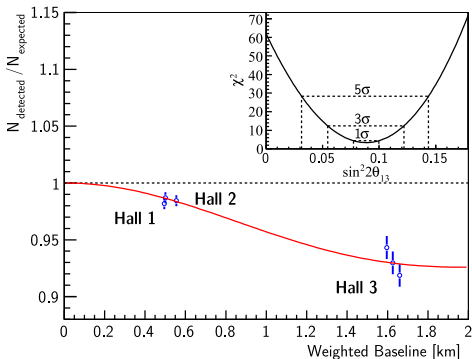
Clear observation of an antineutrino deficit at the Far Site:  $R = 0.944 \pm 0.008$

Rate-only  $\theta_{13}$  analysis

- Estimates  $\theta_{13}$  using measured rates in each detector
- Uses standard  $\chi^2$  approach
- Far vs. near relative measurement, absolute rate is not constrained
- Consistent results obtained by independent analyses, different reactor flux models

First measurement of  $\sin^2 2\theta_{13}$  in March 2012

- $\sin^2 2\theta_{13} = 0.092 \pm 0.016(\text{stat}) \pm 0.005(\text{syst})$
- Excludes  $\sin^2 2\theta_{13} = 0$  at  $5.2\sigma$
- Details in PRL **108**, 171803 (2012)

Rate-only  $\theta_{13}$  analysis

- Estimates  $\theta_{13}$  using measured rates in each detector
- Uses standard  $\chi^2$  approach
- Far vs. near relative measurement, absolute rate is not constrained
- Consistent results obtained by independent analyses, different reactor flux models

Improved result June 2012: Most precise measurement of  $\sin^2 2\theta_{13}$  to date

- $\sin^2 2\theta_{13} = 0.089 \pm 0.010(\text{stat}) \pm 0.005(\text{syst})$
- Excludes  $\sin^2 2\theta_{13} = 0$  at  $7.7\sigma$
- To be submitted to Chinese Physics C

## Global Situation

### Before March 2012

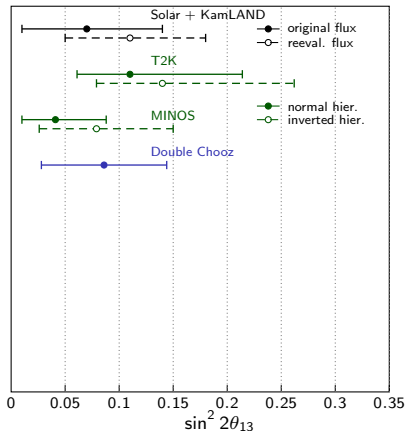
Only  $\sigma < 2.5$  indication for non-zero  $\theta_{13}$

### First Daya Bay result

- $R = 0.940 \pm 0.011(\text{stat}) \pm 0.004(\text{syst})$
- $\sin^2 2\theta_{13} = 0.092 \pm 0.017$

### Updated 126 day analysis

- $R = 0.944 \pm 0.007(\text{stat}) \pm 0.003(\text{syst})$
- $\sin^2 2\theta_{13} = 0.089 \pm 0.011$



All experiments paint a consistent picture



## Global Situation

Before March 2012

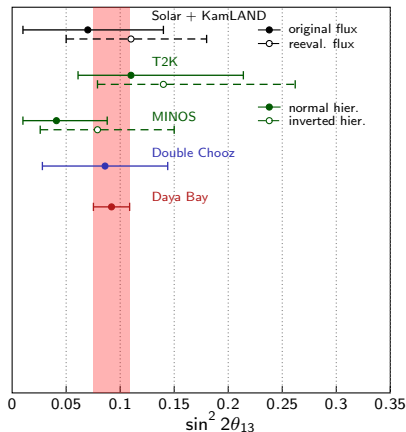
Only  $\sigma < 2.5$  indication for non-zero  $\theta_{13}$

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Before March 2012

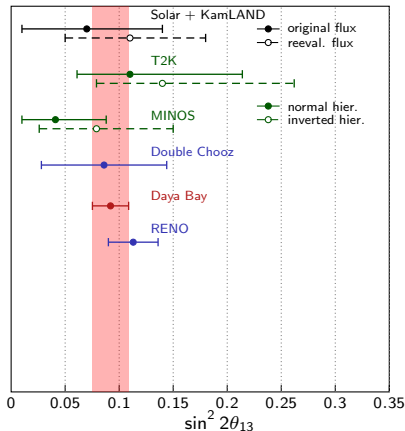
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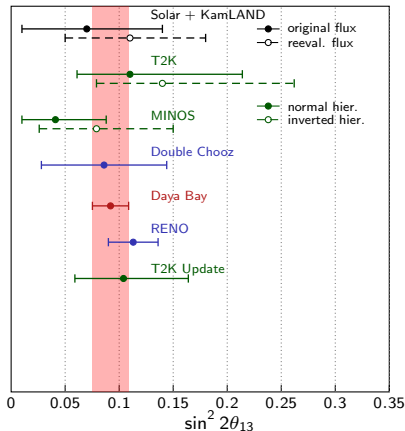
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All experiments paint a consistent picture

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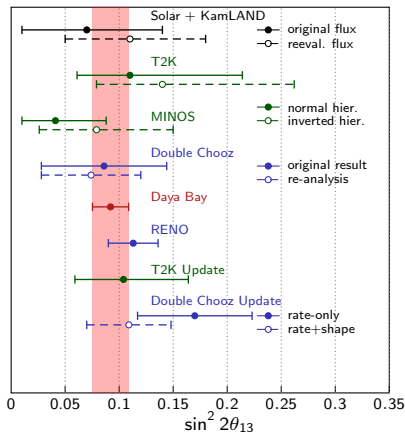
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All experiments paint a consistent picture

## Global Situation

Before March 2012

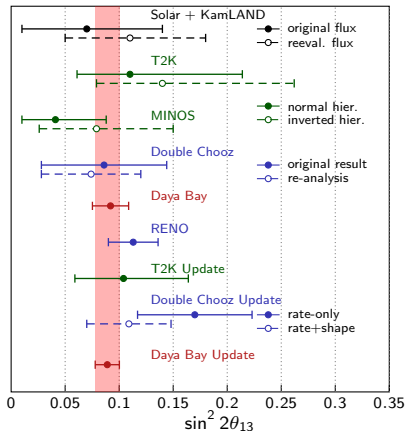
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- $\sin^2 2\theta_{13} = 0.089 \pm 0.011$



All experiments paint a consistent picture

## Summary

First unambiguous observation of electron-antineutrino disappearance at  $\sim 2$  km

$$R = 0.944 \pm 0.007(\text{stat}) \pm 0.003(\text{syst})$$

Interpretation in terms of neutrino oscillation excludes  $\theta_{13} = 0$  at more than  $7\sigma$ ,  
shuts door wide open to CP violation searches in the neutrino sector

$$\sin^2 2\theta_{13} = 0.089 \pm 0.010(\text{stat}) \pm 0.005(\text{syst})$$

Expect more from Daya Bay:

- Last pair of detectors to be installed this year
- Best sensitivity to  $\theta_{13}$  among all experiments in operation or under construction
- More results to come soon: reactor flux and shape analysis,  $\Delta m_{32}^2$  measurement



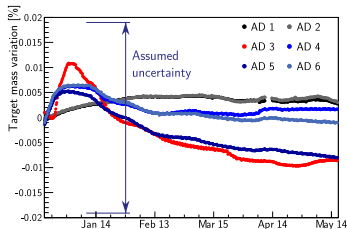
Backup

# Gd-Doped Liquid Scintillator

## Daya Bay liquid scintillator cocktail

- LAB + Gd (0.1%) + PPO (3 g/L) + bis-MSB (15mg/L)
- 185-ton Gd-LS production + 196-ton LS production
- 1-year 1-ton prototype monitoring on Gd-LS stability

## Target mass measurement



- Load cells measure 20 ton target mass to 3kg (0.015%)
- Cross-checked by coriolis mass flow meters
- Target mass = total mass - overflow mass



## Identicalness of Liquids

### Control possible sources of non-identicalness

- |   |                                      |   |  |
|---|--------------------------------------|---|--|
| 1 | Batch-to-batch production variations | ⇒ | Storage tanks mix and hold 8 batches                     |
| 2 | Tank-to-tank variations              | ⇒ | Fill each AD evenly from all 5 storage tanks             |
| 3 | Storage tank vertical stratification | ⇒ | Recirculate storage tanks                                |
| 4 | Time-dependent optical properties    | ⇒ | No evidence for this, but fill detectors in pairs anyway |

### Ensure identical properties between all detectors

- 1 H/C ratio
- 2 H/Gd ratio
- 3 Optical properties

# View of the Scintillator Hall



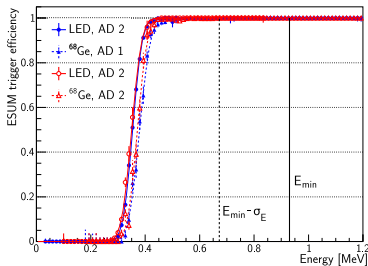
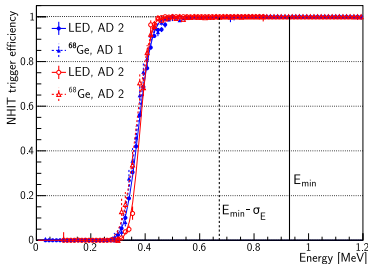
# Trigger Performance

## Trigger thresholds

- Antineutrino detectors:
  - 1 PMT multiplicity  $> 45$  (digital trigger)
  - 2 Visible energy  $> 0.4$  MeV (analog trigger)
- Inner waterpool veto:  $> 6$  PMT
- Outer waterpool veto:  $> 7$  PMT

## Trigger efficiency

- Measurement from LED light and  $^{68}\text{Ge}$  source
- No measurable inefficiency  $> 0.7$  MeV
- Minimum expected energy for prompt neutrino signal  $\sim 0.95$  MeV



## Calibration: PMT Gain

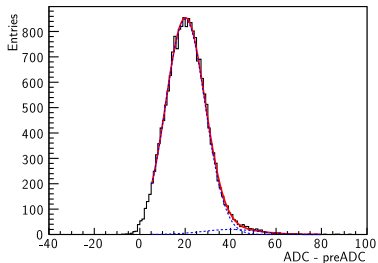


Figure: ADC charge from single photons

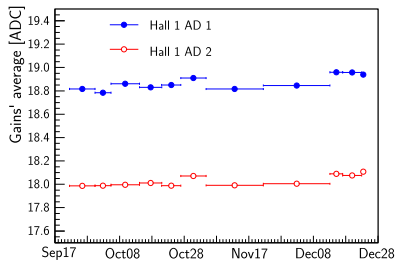
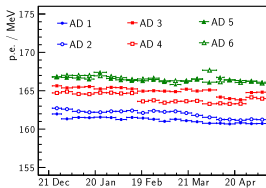
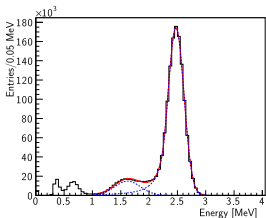


Figure: Variation of PMT gain with time

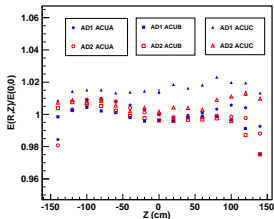
Weekly LED deployments measure charge due to single photons

# Calibration: Energy

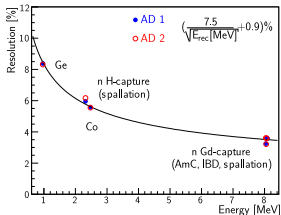
## Energy vs time



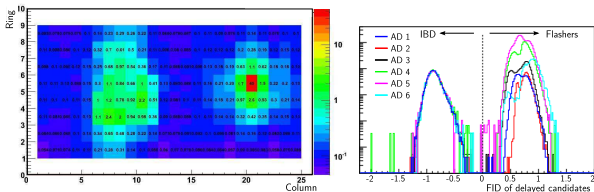
## Energy vs position



## Energy resolution



## Spontaneous PMT Light Emission (Flashing)



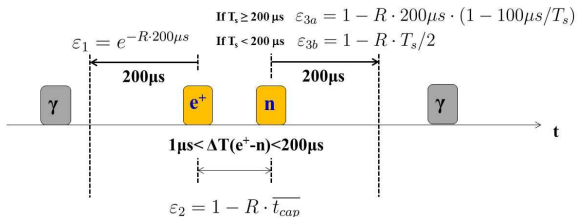
Two discriminators based on common features of AD flashers

- Flashing PMT has the largest charge:  $d_{\max} = \frac{Q_{\max}}{Q_{\text{sum}}}$
- "Shines" light to opposite side of detector:  $d_{\text{quad}} = \frac{Q_{\text{quad1}}}{Q_{\text{quad2}} + Q_{\text{quad4}}}$

Efficient rejection criterion

$$FID = \log \left( \left( \frac{d_{\max}}{0.45} \right)^2 + \left( \frac{d_{\text{quad}}}{1} \right)^2 \right) < 0$$

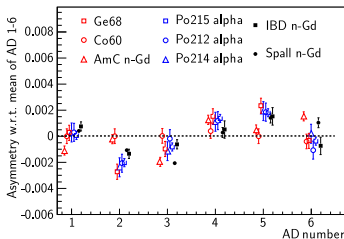
# Multiplicity



Ensure exactly one prompt-delayed coincidence

- Uncorrelated backgrounds and IBD events results in ambiguous prompt-delayed signals
- Reject all IBD candidates with  $> 2$  triggers above 7 MeV in in  $-200 \mu s$  to  $200 \mu s$  window
- Introduces  $\sim 2.5\%$  inefficiency, with negligible uncertainty

## Delayed Energy Cut



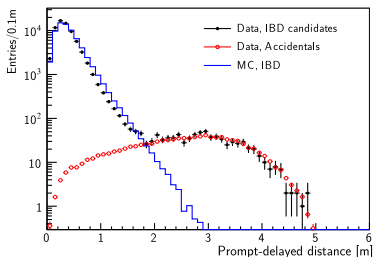
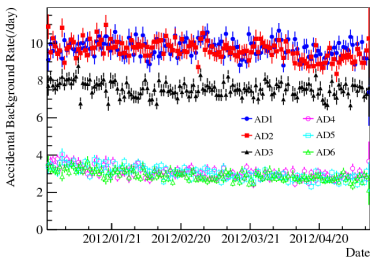
**Figure:** Intrinsic energy variation: all sources in all detectors are within a band of  $\sim 0.5\%$

- Largest uncertainty between detectors: Some gammas escape scintillating volume, visible as tail of Gadolinium capture peak
- Use variations in energy peaks to constrain relative efficiency

Efficiency variations estimated at 0.12%



## Background: Accidental



Two single signals can accidentally mimic an antineutrino (IBD) signal

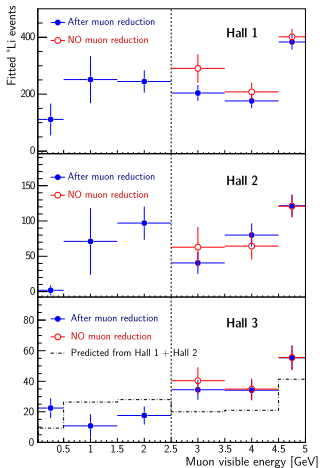
- Rate and spectrum can be accurately calculated from singles data:

$$N_{acc} = \sum_i N_{n\text{-like singles}}^i \cdot (1 - e^{-R_{e^+\text{-like triggers}}^i \cdot 199 \mu s}) \pm \frac{N_{acc}}{\sqrt{\sum_i N_{n\text{-like singles}}^i}}$$

- Complementary approaches estimate consistent rates:

- 1 Prompt-delayed distance distribution
- 2 Off-window coincidence

## Background: $\beta - n$ Decay



Generated by cosmic rays

### ■ Long-lived

- ${}^9\text{Li}$ :  $\tau = 178$  ms,  $Q = 13.6$  MeV
- ${}^8\text{He}$ :  $\tau = 119$  ms,  $Q = 10.6$  MeV

### ■ Mimic antineutrino signal

- 1 Prompt:  $\beta$ -decay
- 2 Delayed: neutron capture

Measure rate and subtract statistically

- Rate evaluated from the distribution of the time since last muon based on the known decay times
- Compare results with and without requirement of detected co-production of neutrons to estimate uncertainty

## Background: Fast Neutrons

Fast neutrons produced by cosmic muons external to the AD

May enter the AD  
and mimic IBD signal:

- 1 Prompt: Recoil proton(s) produced by slowing neutron
- 2 Delayed: Capture of the neutron

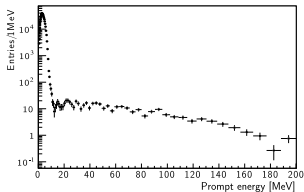


Figure: IBD spectrum with relaxed upper limit on prompt energy

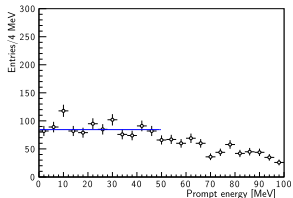


Figure: Spectrum of fast neutron tagged by water pool muons

Estimate contribution to selected IBD candidates

- Extrapolate from prompt energy distribution in 15-50 MeV range
- Check extrapolation by tagging fast neutrons using the water pool and RPCs

## Background: $^{241}\text{Am}^{13}\text{C}$ neutron source

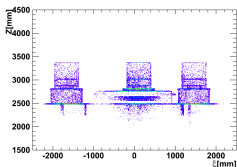


Figure: Position of neutron capture from simulation

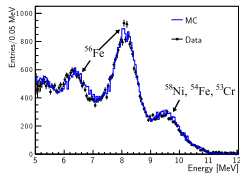


Figure: MC/data comparison of single delayed-type candidates from the source

### Correlated background

- Neutrons emitted from the  $\sim 0.5 \text{ Hz } ^{241}\text{Am}^{13}\text{C}$  neutron source parked on top of AD
- Produce fake prompt-delayed coincidence:
  - 1  $\gamma$  via inelast. scattering with  $^{56}\text{Fe}$
  - 2 Neutron capture on Fe-Cr-Mn-Ni

### Estimation based on MC

- Normalization in MC constrained by the measured rate of single delayed-type candidates from this source
- Simulation predicts a 0.2/day/detector correlated background

## Reactor Flux Expectation

### Antineutrino flux estimated for each core

$$S(E_\nu) = \frac{W_{th}}{\sum_i (f_i/F)e_i} \sum_i^{\text{isotopes}} (f_i/F)S_i(E_\nu)$$

- Provided by reactor operators:
  - Thermal power data:  $W_{th}$
  - Relative isotope fission fractions:  $f_i$
- Energy released per fission:  $e_i$ 
  - V. Kopekin et al., Ph. Atom. Nucl. 67, 1892 (2004)
- Antineutrino spectra per fission:  $S_i(E_\nu)$ 
  - K. Schreckenb. et al., Phys. Lett. B160, 325 (1985)
  - A. A. Hahn et al., Phys. Lett. B218, 365 (1989)
  - P. Vogel et al., Phys. Rev. C24, 1543 (1981)
  - T. Mueller et al., Phys. Rev. C83, 054615 (2011)
  - P. Huber, Phys. Rev. C84, 024617 (2011)

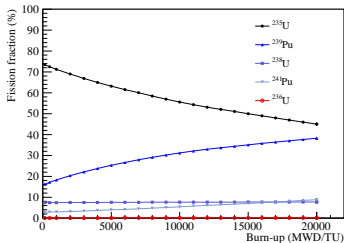


Figure: Fission fractions of reactor isotopes as a function of burn-up from a Monte Carlo simulation of reactor core D1

Impact of flux model on far vs. near oscillation measurement negligible

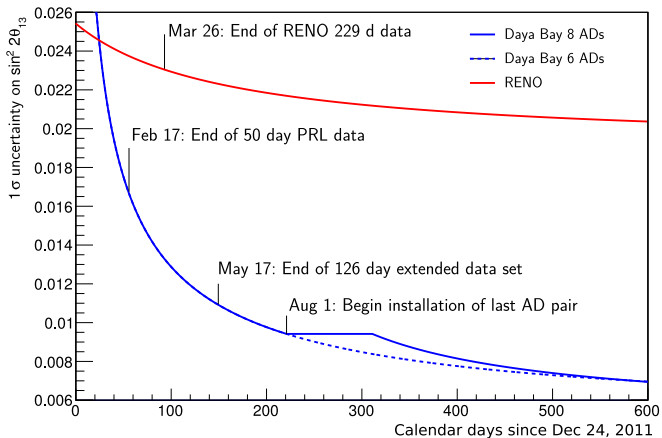
## Full Definition of $\chi^2$

The value of  $\sin^2 2\theta_{13}$  was determined with a  $\chi^2$  constructed with pull terms accounting for the correlation of the systematic errors,

$$\begin{aligned}\chi^2 &= \sum_{d=1}^6 \frac{[M_d - T_d (1 + \varepsilon + \sum_r \omega_r^d \alpha_r + \varepsilon_d) + \eta_d]^2}{M_d + B_d} \\ &+ \sum_r \frac{\alpha_r^2}{\sigma_r^2} + \sum_{d=1}^6 \left( \frac{\varepsilon_d^2}{\sigma_d^2} + \frac{\eta_d^2}{\sigma_B^2} \right),\end{aligned}$$

where  $M_d$  are the measured IBD events of the  $d$ -th AD with backgrounds subtracted,  $B_d$  is the corresponding backgrounds,  $T_d$  is the prediction from neutrino flux, MC, and neutrino oscillations,  $\omega_r^d$  is the fraction of IBD contribution of the  $r$ -th reactor to the  $d$ -th AD determined by baselines and reactor fluxes.

## Projected Sensitivity



Assuming no improvement on systematic uncertainties