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### Workshop on The Physics Reach of Rare and Exclusive B Decays 10-11 September 2012, University of Sussex

### Outline

### Naively Factorizing Contributions ( $\rightarrow$ Form Factors)

advantage of helicity-based form factors

### QCD Factorization of Hadronic Operators

- charm-loop effects
- light-quark loops

### QCDF at NLO

- vertex corrections
- specator scattering and annihilation
- power corrections

### Conclusions

Guide-line: Search for potential sources of theoretical uncertainties ....

# Naively Factorizing Contributions $(\rightarrow \text{ Form Factors})$

# Semi-leptonic and electromagnetic Operators

$$\mathcal{O}_7 = -rac{g_{
m em}m_b}{8\pi^2}(ar{s}\sigma^{\mu
u}(1+\gamma_5)b)F_{\mu
u}\,, \quad \mathcal{O}_{9,10} = rac{lpha_{
m em}}{2\pi}(ar{s}\gamma_\mu(1-\gamma_5)b)(ar{\ell}\ell)_{V,A}$$

#### ("trivial") Factorization:

$$\begin{aligned} &\langle \bar{K}^{(*)} \,\ell\ell \,|\, (\bar{s} \,b)_{V-A} \,(\bar{\ell} \,\ell)_{V,A} \,|\bar{B}\rangle \\ &= \langle \bar{K}^{(*)} |\bar{s}\gamma_{\mu} (1-\gamma_{5}) b |\bar{B}\rangle \times \langle \ell\ell | (\bar{\ell} \,\ell)_{V,A} |\mathbf{0}\rangle + \mathcal{O}(\alpha_{\rm em}) \end{aligned}$$

and similar for  $\mathcal{O}_7$ .

- Non-perturbative Input: Hadronic Form Factors
- At which level of precision do (non-factorizable) electromagnetic effects become important ?

### Form-Factor Parametrizations

 $(B \rightarrow K)$ 

#### Convenient Definition ("helicity-based"):

[e.g. Boyd/Savage 97, Bharucha/TF/Wick 10]

$$\mathcal{A}_{V,\sigma}(\boldsymbol{q}^{2}) = \sqrt{\frac{\boldsymbol{q}^{2}}{\lambda}} \varepsilon_{\sigma}^{*\mu}(\boldsymbol{q}) \langle \bar{\boldsymbol{K}} | \bar{\boldsymbol{s}} \gamma_{\mu} \boldsymbol{b} | \bar{\boldsymbol{B}} \rangle$$
$$\mathcal{A}_{T,\sigma}(\boldsymbol{q}^{2}) = (-i) \sqrt{\frac{1}{\lambda}} \varepsilon_{\sigma}^{*\mu}(\boldsymbol{q}) \langle \bar{\boldsymbol{K}} | \bar{\boldsymbol{s}} \sigma_{\mu\nu} \boldsymbol{q}^{\nu} \boldsymbol{b} | \bar{\boldsymbol{B}}$$

•  $\varepsilon_{\sigma}(q)$ : transverse, longitudinal, or time-like polarization vectors,  $\sigma = \{\pm; 0; t\}$  or  $\{1, 2; 0; t\}$ 

• normalization:  $\lambda = \left( (M - m)^2 - q^2 \right) \left( (M - m)^2 - q^2 \right)$ 

$$A_{V,0} \equiv f_+ \,, \qquad A_{V,t} \equiv \frac{M^2 - m^2}{\sqrt{\lambda}} \, f_0 \,, \qquad A_{T,0} \equiv \frac{\sqrt{q^2}}{M + m} \, f_T$$

### Form-Factor Parametrizations

 $(B \rightarrow K^*)$ 

#### Similar for $B \rightarrow K^*$ :

$$B_{V,0} \propto A_2 - rac{(M+m)^2(M^2-m^2-q^2)}{\lambda} A_1$$
  
 $B_{T,0} \propto T_3 - rac{(M^2-m^2)(M^2+3m^2-q^2)}{\lambda} T_2$ 

$$B_{V,t} \propto A_0 \,, \quad B_{V,1} \propto V \,, \quad B_{V,2} \propto A_1 \,, \quad B_{T,1} \propto T_1 \,, \quad B_{T,2} \propto T_2$$

Non-perturbative calculations (lattice, sum rules) should directly determine the linear combinations in

 $B_{V,0}$  and  $B_{T,0}$  instead of  $A_2$  and  $T_3$ 

### Advantage of Helicity Form Factors

- definite spin-parity / diagonalization of unitarity relations (→ simpler expressions for unitarity bounds)
- simple form of HQET/SCET symmetry relations for small/large recoil
- relatively simple expressions for observables in factorization approximation, e.g.

$$\frac{d\Gamma[B \to K\ell^+\ell^-]}{dq^2} \propto |C_{10}|^2 (A_{V,0})^2 + \left| C_9 A_{V,0} + \frac{2m_b C_7^{\text{eff}}}{\sqrt{q^2}} A_{T,0} \right|^2$$
$$\stackrel{\text{SCET}}{\longrightarrow} \left( |C_{10}|^2 + \left| C_9 + \frac{2m_b C_7^{\text{eff}}}{M} \right|^2 \right) (A_{V,0})^2$$

similar for transverse/longitudinal rate and FB asymmetry

• in  $B \to K^* \ell^+ \ell^-$  [Bharucha/TF/Wick 10] • in  $\Lambda_b \to \Lambda \ell^+ \ell^-$  [TF/Yip 2011] ~

# Form-Factor Relations in QCDF/SCET

 $(m_b, E_K \to \infty)$ 

- QCDF to  $\mathcal{O}(\alpha_s)$  [Beneke/TF 00]
- Radiative corrections to O(α<sup>2</sup><sub>s</sub>) and SCET resummation

[Beneke/Kiyo/Yang 04, Becher/Hill et al. 04, Beneke/Yang 05]



- Two FF-relations do *not* receive radiative corrections.

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- Relation between B<sub>T,0</sub> and B<sub>V,0</sub> receives large spectator-scattering corrections, up to 40% (depending on B-meson LCDA)
  - ( --- : without NLO+LL corrections to spectator term )
  - ( - : without any spectator corrections )
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- QCD-SR estimate rather uncertain (power corrections, cancellations?)
- Relevant FF ratio for FB-Asymmetry receives O(10%) corrections (!) (dependent on LCDAs for heavy and light meson) [Beneke/Yang 05]

# Lessons from Leading Approximation

### Basis for Global SM Fit or NP Constraints:

Normalization and shape of differential decay rates proportional to

 $|V_{ts}V_{tb}^*|^2$  and (SCET form factors)<sup>2</sup>

Certain decay asymmetries are sensitive to

 $\frac{2m_b C_7^{\rm eff}}{M}, \quad C_9, \quad C_{10} \qquad \text{and/or} \qquad \text{form-factor RATIOS}$ 

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How well do we know the hadronic form factors, in particular for decays into unstable particles like K\* or ρ
 → Also use experimental data to find best-fitting values for FFs
 Precision of SCET symmetry relations and estimates for its corrections ?

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How well do we know the hadronic form factors, in particular for decays into unstable particles like K\* or ρ
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- How do (non-factorizable) effects from hadronic operators affect
  - Theoretical predictions for decay rates and asymmetries
  - Modelling of  $B \to (K\pi) \, \ell \ell$  (non-resonant) background

??

# **QCDF of Hadronic Operators**

#### Perturbative Analysis, α<sub>s</sub> ≪ 1

- hard momentum modes  $\mu \sim m_b$  in vertex corrections
- hard-collinear modes  $\mu \sim \sqrt{m_b \Lambda}$  in spectator scattering
- soft and collinear modes in B-meson and kaon LCDAs.
- Dominated (?) by leading power in  $1/m_b$  and  $1/E_K$ 
  - non-factorizable contributions (at sub-leading power)

[à la Beneke/TF/Seidel 01]

Additional Contributions from  $\mathcal{O}_{1-6}$ ,  $\mathcal{O}_8^g$  + Photon Radiation (in the SM)

$$C_{9} + \frac{2m_{b} C_{7}^{\text{eff}}}{\sqrt{q^{2}}} \frac{A_{T,0}}{A_{V,0}} + \dots \longrightarrow C_{9}^{(K)}(q^{2}) \equiv C_{9} + \frac{2m_{b}}{M} \frac{\mathcal{T}^{(K)}(q^{2})}{A_{V,0}(q^{2})}$$

• All information contained in  $q^2$ -dependent functions  $\mathcal{T}(q^2)$ , encoding the hadronic matrix element  $\langle \bar{K}^{(*)}\gamma^* | \mathcal{H}_{\text{eff}} | \bar{B} \rangle$ .

[à la Beneke/TF/Seidel 01]

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- All information contained in  $q^2$ -dependent functions  $\mathcal{T}(q^2)$ , encoding the hadronic matrix element  $\langle \bar{K}^{(*)}\gamma^* | H_{\text{eff}} | \bar{B} \rangle$ .
- In the large recoil limit,  $\mathcal{T}_{\perp,1} = \mathcal{T}_{\perp,2} \equiv \mathcal{T}_{\perp}$  .
- For  $B \to K^* \gamma$  and FBA, only  $\mathcal{T}_{\perp}$  contributes.

# Contributions to $\mathcal{T}_X(q^2)$ at zero<sup>th</sup> order in QCDF



(a) Photon from  $\mathcal{O}_7$ , (spectator quark not drawn) included in Naive Factorization  $\sqrt{}$ 

(see above)

# Contributions to $T_X(q^2)$ at zero<sup>th</sup> order in QCDF



(b) Photon from Quark Loops with hadronic operators O<sub>1-6</sub> (spectator quark not drawn)

$$C_7 
ightarrow C_7^{
m eff}, \qquad C_9 
ightarrow C_9 + Y(q^2) \qquad (\sqrt{)}$$

• Generates imaginary part for  $q^2 \ge 4m_q^2$ 

Perturbative description valid near resonances

(see below)

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# Contributions to $T_X(q^2)$ at zero<sup>th</sup> order in QCDF



(c) Photon from Annihilation Topologies with  $\mathcal{O}_{1-6}$ 

- enters with small penguin coefficients C<sub>3,4</sub> (or with C<sub>1,2</sub> and small CKM factor)
- leading contribution if photon radiated from light quark in B-meson

proportional to 
$$\int_{0}^{\infty} \frac{d\omega}{\omega - q^2/M - i\epsilon} \phi_B^-(\omega) \rightarrow \text{imaginary part}(!)$$

sub-leading terms important for isospin asymmetries

(see below)

# Threshold-dependence in function $Y(q^2)$

$$Y(s) = h(s, m_c) \left( 3\bar{C}_1 + \bar{C}_2 + 3\bar{C}_3 + \bar{C}_4 + 3\bar{C}_5 + \bar{C}_6 \right) - \frac{1}{2} h(s, 0) \left( \bar{C}_3 + 3\bar{C}_4 \right) \\ - \frac{1}{2} h(s, m_b) \left( 4 \left( \bar{C}_3 + \bar{C}_4 \right) + 3\bar{C}_5 + \bar{C}_6 \right) + \frac{2}{9} \left( \frac{2}{3}\bar{C}_3 + 2\bar{C}_4 + \frac{16}{3}\bar{C}_5 \right)$$

### Charm Loop – Function $h(s = q^2, m_c)$



enters with large Wilson coefficients  $C_{1,2}$ 

- Sensitivity to  $m_c = \{1.25, 1.35, 1.45\}$  GeV
- Perturbatively stable, **IF**  $q^2 \ll 4m_c^2$
- Irreducible error around  $q^2 \gtrsim 6 \text{ GeV}^2$
- → Estimate of systematic error in QCDF due to charm resonances *below* threshold !

- need for model-dependent "improvement" ? -- [Khodjamirian et al. 2010]

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### Light-Quark Loop – Function $h(s = q^2, m_q \rightarrow 0)$



enters with small penguin coefficients  $C_{3,4}$ (or with  $C_{1,2}$  and small CKM factor)

- Sensitivity to  $m_q = \{0.003, 0.3, 0.4\}$  GeV
- Perturbatively stable, **IF**  $q^2 \gg 1 \text{ GeV}^2$
- Irreducible error around  $q^2 \lesssim 2 \text{ GeV}^2$
- (?) Estimate of systematic error in QCDF due to light resonances *above* threshold (?)

— in any case sub-leading for  $B 
ightarrow {\cal K}^{(*)}$  — error not included in [Beneke/TF/Seidel]

# Threshold-dependence in function $Y(q^2)$

$$Y(s) = h(s, m_c) \left( 3\bar{C}_1 + \bar{C}_2 + 3\bar{C}_3 + \bar{C}_4 + 3\bar{C}_5 + \bar{C}_6 \right) - \frac{1}{2} h(s, 0) \left( \bar{C}_3 + 3\bar{C}_4 \right) \\ - \frac{1}{2} h(s, m_b) \left( 4 \left( \bar{C}_3 + \bar{C}_4 \right) + 3\bar{C}_5 + \bar{C}_6 \right) + \frac{2}{9} \left( \frac{2}{3}\bar{C}_3 + 2\bar{C}_4 + \frac{16}{3}\bar{C}_5 \right)$$

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- Perturbatively stable, IF  $q^2 \gg 1 \text{ GeV}^2$
- Irreducible around  $q^2 \lesssim 2 \text{ GeV}^2$
- (?) Estimate of systematic error in QCDF vs. Shifman-like model for light resonances

- for illustration only -

### IR-Sensitivity from B-Meson LCDA

$$f(q^2) \equiv \int_0^\infty d\omega \, \frac{\phi_B^{(-)}(\omega)}{\omega - q^2/M + m_q^2/M - i\epsilon} \,, \qquad \phi_B^{(-)}(\omega) = \frac{e^{-\omega/\omega_c}}{\omega_0}$$

#### f(s) enters annihilation and hard-scattering diagrams



- Sensitivity to  $m_q = \{0.003, 0.3, 0.4\}$  GeV
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- Small uncertainty for  $q^2 < 2 \text{ GeV}^2$
- $\rightarrow$  Systematic error in QCDF

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- Small uncertainty for  $q^2 < 2 \text{ GeV}^2$
- $\rightarrow$  Systematic error in QCDF



- Sensitivity to  $\omega_0 = \{0.2, 0.35, 0.5\}$  GeV
- Affects region where  $q^2 \sim \omega_0 M$ .
- $\rightarrow$  Parametric error in QCDF

# QCDF at NLO





### Non-factorizable Vertex Corrections

(Factorizable vertex corrections included in form-factor ratios.)



- Independent of spectator quark Same as for inclusive spectra.
- Essential to reduce renormalization-scale ambiguities in LO result.
- $\alpha_s$  and  $m_c$ -dependence contribute to error budget

Uncertainties mainly a perturbative issue (away from resonances).

# Non-factorizable Spectator Scattering

(Factorizable spectator scattering included in form-factor ratios.)





#### Deserves further studies ...

### Annihilation at NLO



- Not included in [Beneke/TF/Seidel]
  - $\rightarrow$  Scale ambiguity from penguin coefficients  $C_{3-6}$  not fully resolved.

Potentially relevant for (accurate) isospin asymmetries ....

### **Power Corrections**

 Power corrections (generally) not well-defined in QCDF sensitivity to endpoint configurations:

$$\int_0^1 \frac{du}{1-u} \frac{\phi_{\kappa}(u)}{1-u} = ?, \qquad \int_0^\infty \frac{d\omega}{\omega} \frac{\phi_B^{(+)}(\omega)}{\omega} = ?$$

Ad-hoc regularization
 → poor man's error estimate in QCDF

$$\frac{du}{1-u} \longrightarrow \left(1+\rho e^{i\phi}\right) \frac{du}{1-u} \theta \left[1-\frac{\Lambda}{M}-u\right]$$

Alternative approaches (sum rules, k<sub>⊥</sub>-factorization, ...)
 → hadronic modeling (spectral functions, wave functions, ...)

### (Still) awaits resolution within SCET ...

?

!(?)

# Conclusions

#### Theoretically Sound:

- QFT Formalism: Heavy-quark/large-recoil expansion QCDF SCET.
- Transparent predictions for LO contributions: Wilson coefficients & helicity-based FFs.
- Reasonable accuracy for factorizable and non-factorizable NLO corrections.
- Reasonable control on (factorizable) quark-loop contributions.

#### **Open Issues:**

- Theoretical and experimental treatment of unstable particles ( $K^*, \rho, \ldots$ ).
- Ultimate accuracy for form factors and form-factor ratios.

[see also discussion by Matthew Wingate]

- Better control on spectator scattering, power corrections, annihilation.
- Significant duality violation through non-factorizable quark loops (?)
- Non-factorizable electromagnetic corrections.
- Θ ...

#### Some issues may actually be resolved from experimental data !

# **Backup Slides**

Annihilation:

$$\begin{split} \Delta \mathcal{T}_{\perp}^{(K^*)} \Big|_{ann} &= -e_q \, \frac{4\pi^2}{3} \, \frac{f_B f_{\perp}^{(K^*)}}{m_b M} \, \left( C_3 + \frac{4}{3} (C_4 + 3C_5 + 4C_6) \right) \, \int_0^1 du \, \frac{\phi_{\perp}^{(K^*)}(u)}{\bar{u} + u \hat{s}} \\ &+ e_q \, \frac{2\pi^2}{3} \, \frac{f_B f_{\parallel}^{(K^*)}}{m_b M} \left( C_3 + \frac{4}{3} (C_4 + 12C_5 + 16C_6) \right) \frac{m_{K^*}}{(1 - \hat{s}) \, \lambda_{B,+}(q^2)} \end{split}$$

Hard-Scattering:

$$\begin{split} \Delta \mathcal{T}_{\perp}^{(K^{*})} \Big|_{\text{hsa}} &= e_{q} \frac{\alpha_{s} C_{F}}{4\pi} \frac{\pi^{2} f_{B}}{N_{c} m_{b} M} \Biggl\{ 12 C_{8}^{\text{eff}} \frac{m_{b}}{M} f_{\perp}^{(K^{*})} X_{\perp}(\hat{s}) \\ &+ 8 f_{\perp}^{(K^{*})} \int_{0}^{1} du \frac{\phi_{\perp}^{(K^{*})}(u)}{\bar{u} + u \hat{s}} F_{V}(\bar{u} + u \hat{s}) \\ &- \frac{4 m_{K^{*}} f_{\parallel}^{(K^{*})}}{(1 - \hat{s}) \lambda_{B,+}(q^{2})} \int_{0}^{1} du \int_{0}^{u} dv \frac{\phi_{\parallel}^{(K^{*})}(v)}{\bar{v}} F_{V}(\bar{u} + u \hat{s}) \Biggr\} \end{split}$$

- threshold dependence of quark-loop function  $F_V(\bar{u} + u\hat{s})$
- endpoint divergence in function  $X_{\perp}(\hat{s})$

• ...