

# Particle Therapy using Proton and Ion Beams – From Basic Principles to Daily Operations and Future Concepts

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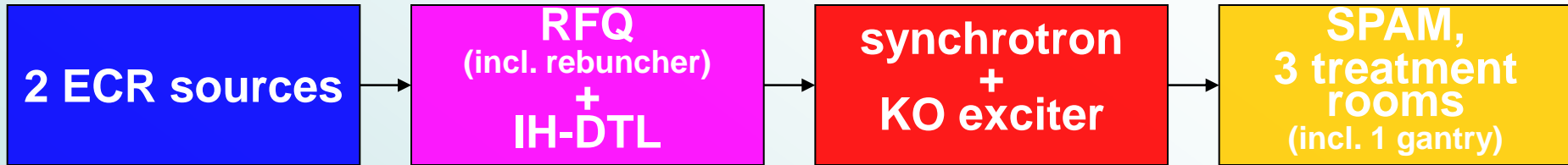
Academic Training Lectures

CERN, 11<sup>th</sup> – 13<sup>th</sup> September 2012

# Outline – Part 2

- A proton and ion beam facility in detail: ion sources, linear pre-accelerator, synchrotron and transfer lines
- Aspects of a control system and the linked diagnostic equipment for beam tuning and daily quality checks
- Movable transfer lines: Gantry concepts and realizations for proton and ion beams

# Design Considerations



- **Ion Sources:**

- long service periods
- stable operating conditions
- ion species: p, He, C and O
- fast switching between ion species

- **Circular Accelerator:**

- variable extraction energy
- beam accumulation
- multiple extraction

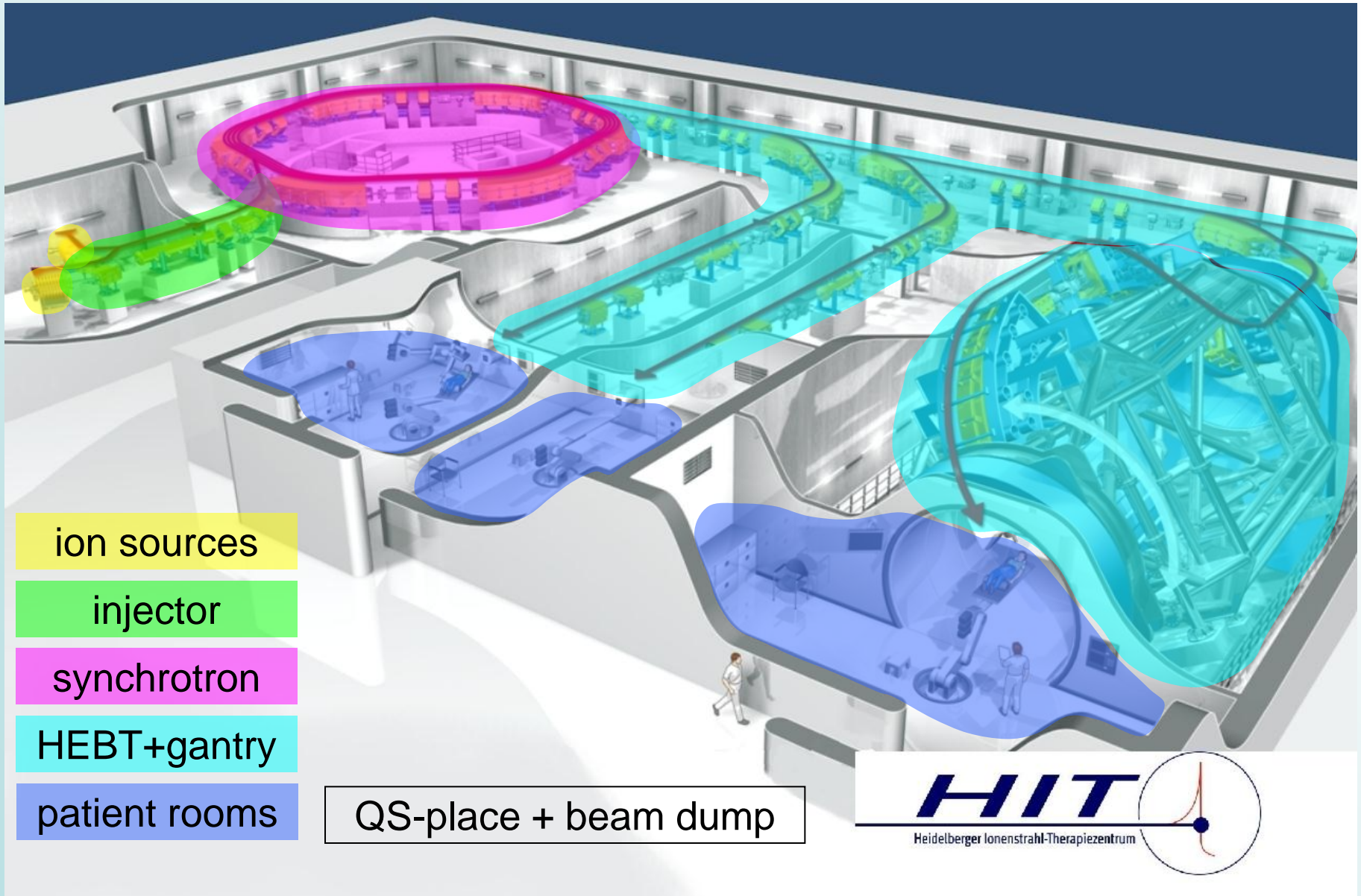
- **Injector:**

- compact
- efficient
- application of established techniques

- **HEBT:**

- capacity of 1000 patients/a
- reliable spill abort system
- optimum dose application by arbitrary beam direction

# Realisation





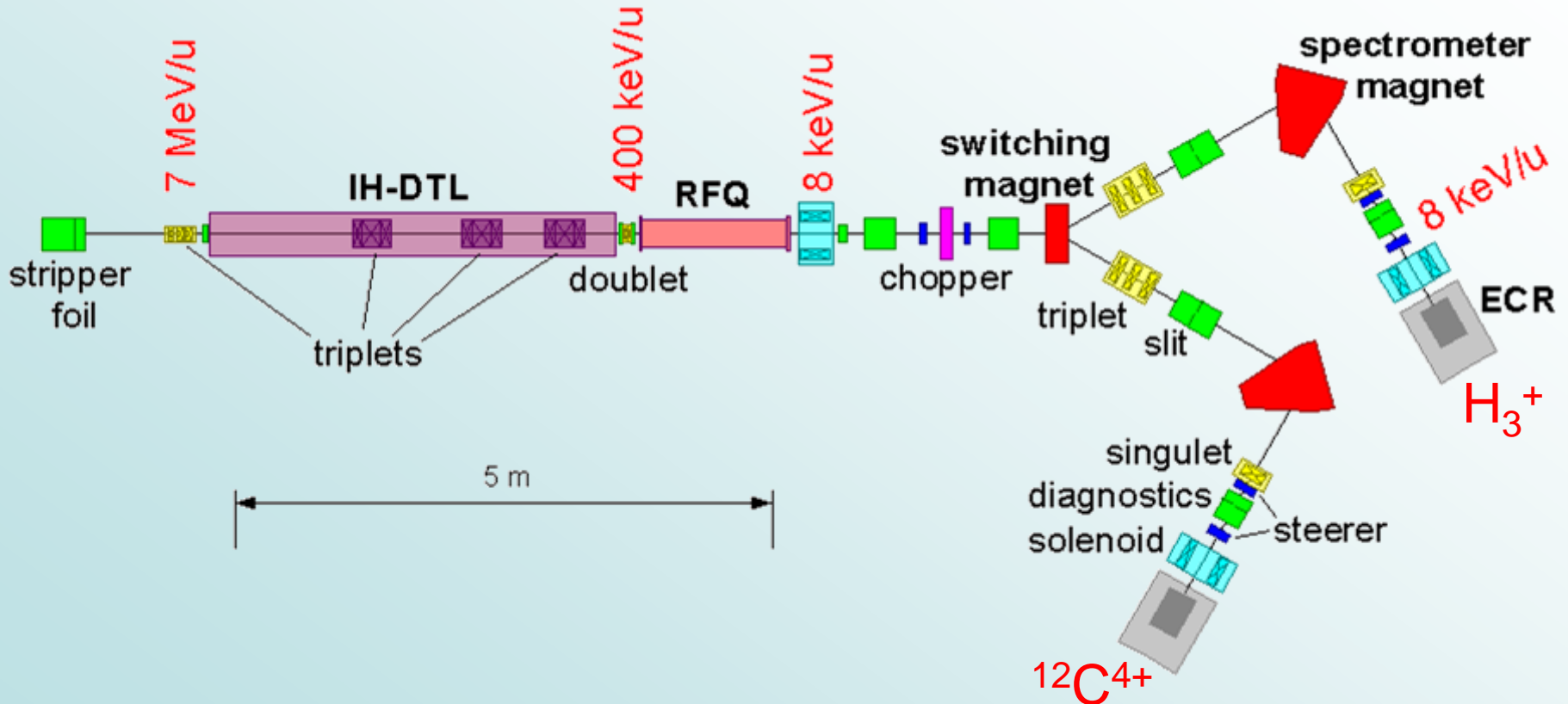
# HIT Irradiation Parameters

- **4 Ion Species:**  
protons, helium-, carbon- and oxygen-ions
- **255 Energy Steps:**
  - protons: 48... 221 MeV / u
  - carbon: 89... 430 MeV / u
- **6 Focus Steps (currently 4):**
  - protons (@ $E_{\max}$ ): 7.8... 20,0 mm
  - carbon (@ $E_{\max}$ ): 3.4... 20,0 mm
- **15 Intensity Steps (currently 10):**
  - protons:  $8.0 \cdot 10^7$  ...  $3.2 \cdot 10^9$  ...  $2.0 \cdot 10^{10}$  ions / s
  - carbon:  $2.0 \cdot 10^6$  ...  $8.0 \cdot 10^7$  ...  $5.0 \cdot 10^8$  ions / s

⇒ **91.800 combinations**

(not taking into account beam source, beam target and gantry angle)

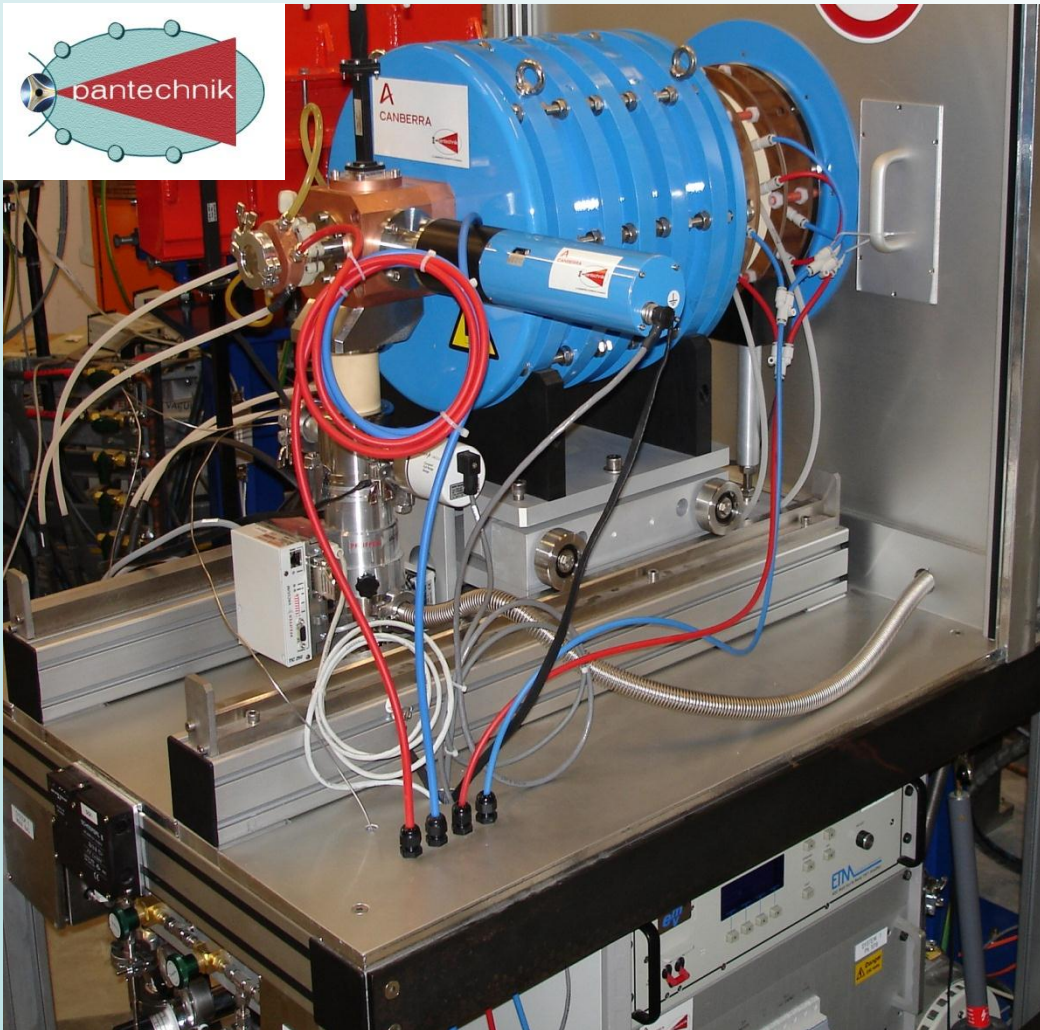
# 7 MeV/u Injector LINAC (216.816 MHz)



# LINAC Design Parameters

- injector length  $\approx 13$  m
- design ion  $^{12}\text{C}^{4+}$  ( $A/q = 3$ )
- beam energy at exit 7 MeV/u
- operating frequency 216.816 MHz
- macro pulse length behind chopper  $\leq 300 \mu\text{s}$  @  $\leq 5$  Hz
- RF duty cycle  $\leq 0.5$  %
- transverse beam exit emittance
  - normalised (95 %)  $\approx 0.8 \pi$  mm mrad
  - unnormalised (95 %)  $\approx 6.5 \pi$  mm mrad
- momentum width at exit  $\pm 0.16$  %
- pulse currents behind stripper  $\approx 100$  e $\mu\text{A}$   $^{12}\text{C}^{6+}$

# 14.5 GHz SUPERNANOCHAN

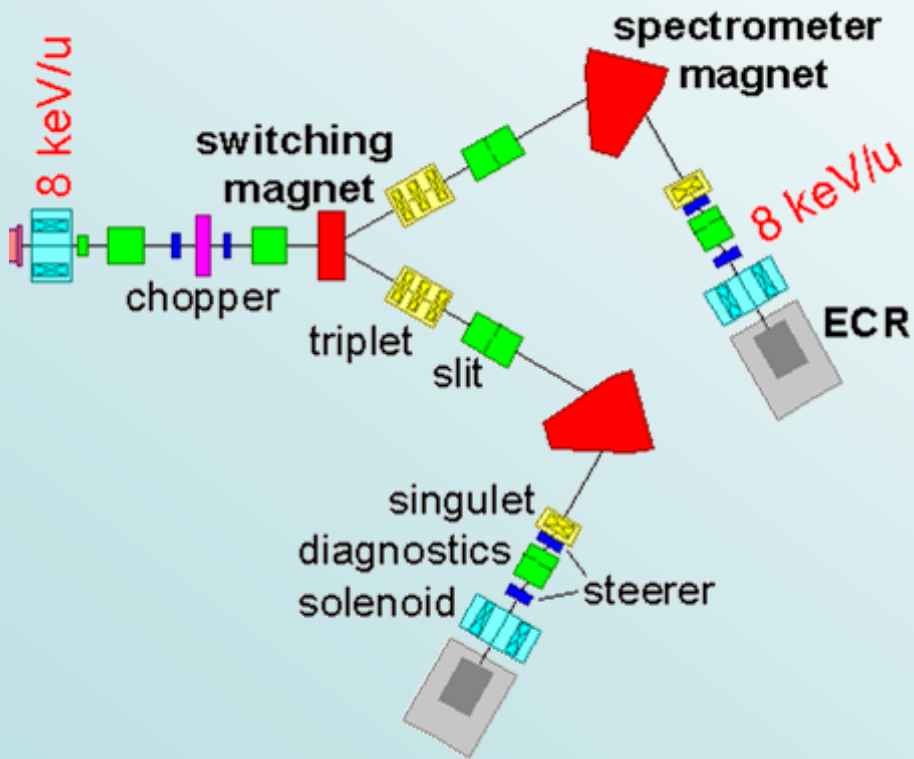


dimensions	L = 324 mm
	$\varnothing = 380$ mm
plasma chamber	$\varnothing = 44$ mm
total weight	400 kg
thereof permanent magnets	120 kg
<b>B</b> injection	1.2 T
<b>B</b> min	0.45 T
<b>B</b> extraction	0.9 T
<b>B</b> hexapole	1.1 T
length of magnetic mirror	145 mm
max. extraction voltage	30 kV

**Solenoids implemented  
as permanent magnets!**



# LEBT (Low Energy Beam Transport)

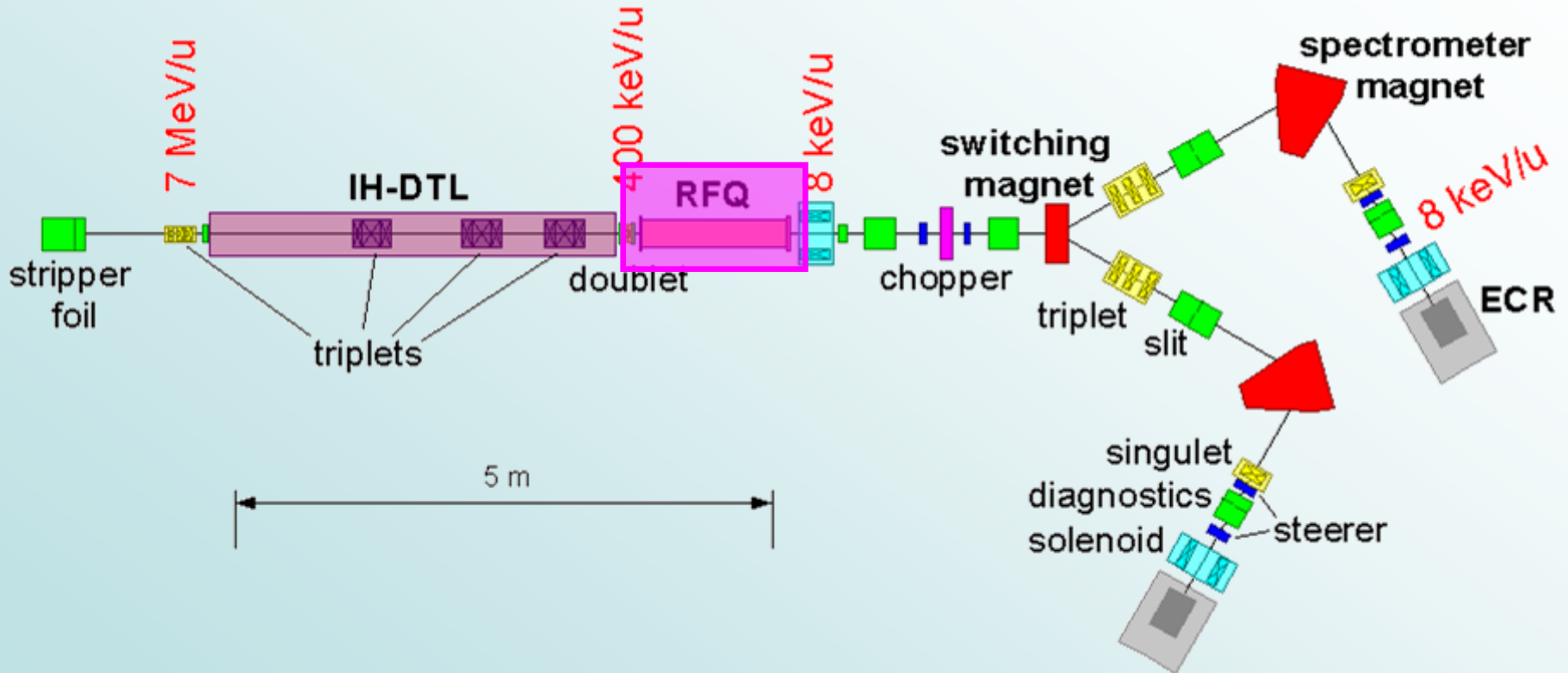


## Tasks:

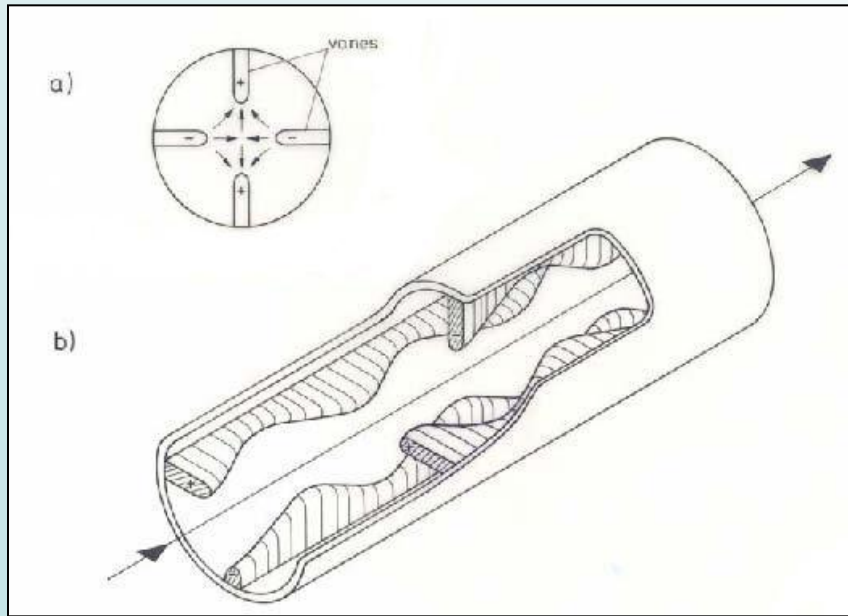
- beam transport from source to RFQ
- selection of ion species (spectrometer)
- [beam dilution](#)
- switching between ion sources
- macro pulsing
- beam matching to RFQ-acceptance



# RFQ (Radio Frequency Quadrupole)



# Radio Frequency Quadrupole Principle

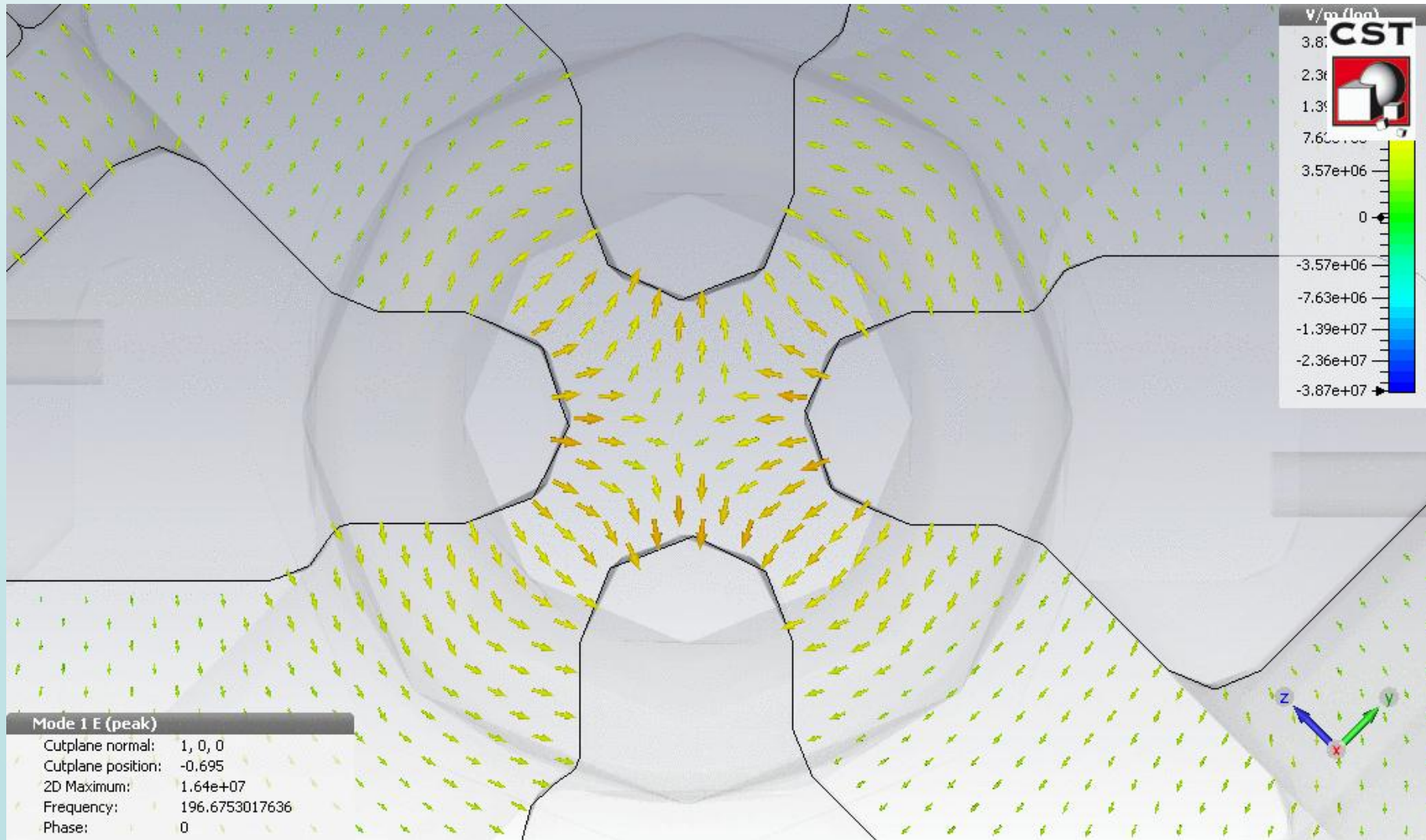


Schematic setup of an RFQ:

- a) Cross section with current charge- and field distribution
- b) 3D visualisation of the radial modulation of the poles (here „vanes“).

- Linear accelerator by *I.M. Kapchinsky* and *V.A. Teplyakov* (1970)
- RFQ consists of sinusoidally modulated, by  $\pi/2$  shifted **quadrupole electrodes**
- E-field-component in z-direction
- RFQ configuration causes beam **focusing** in both transverse planes, **bunching** of the beam and **acceleration** of the bunches in z-direction

# RFQ Quadrupole Field Simulation



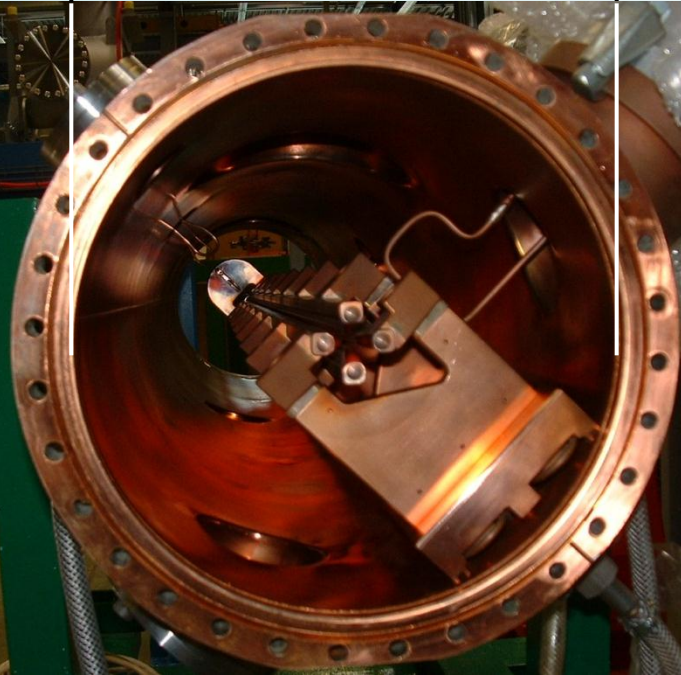


# 4-Rod RFQ Structure

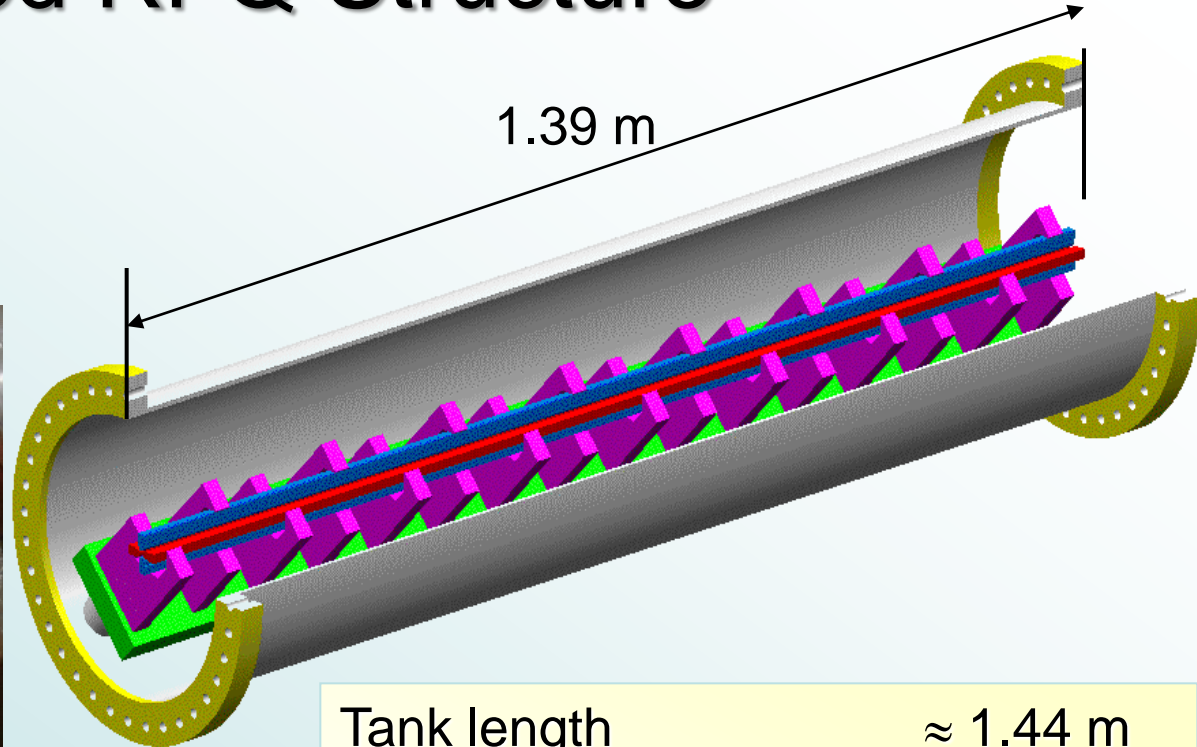
**HIT-RFQ:**

0.25 m

1.39 m



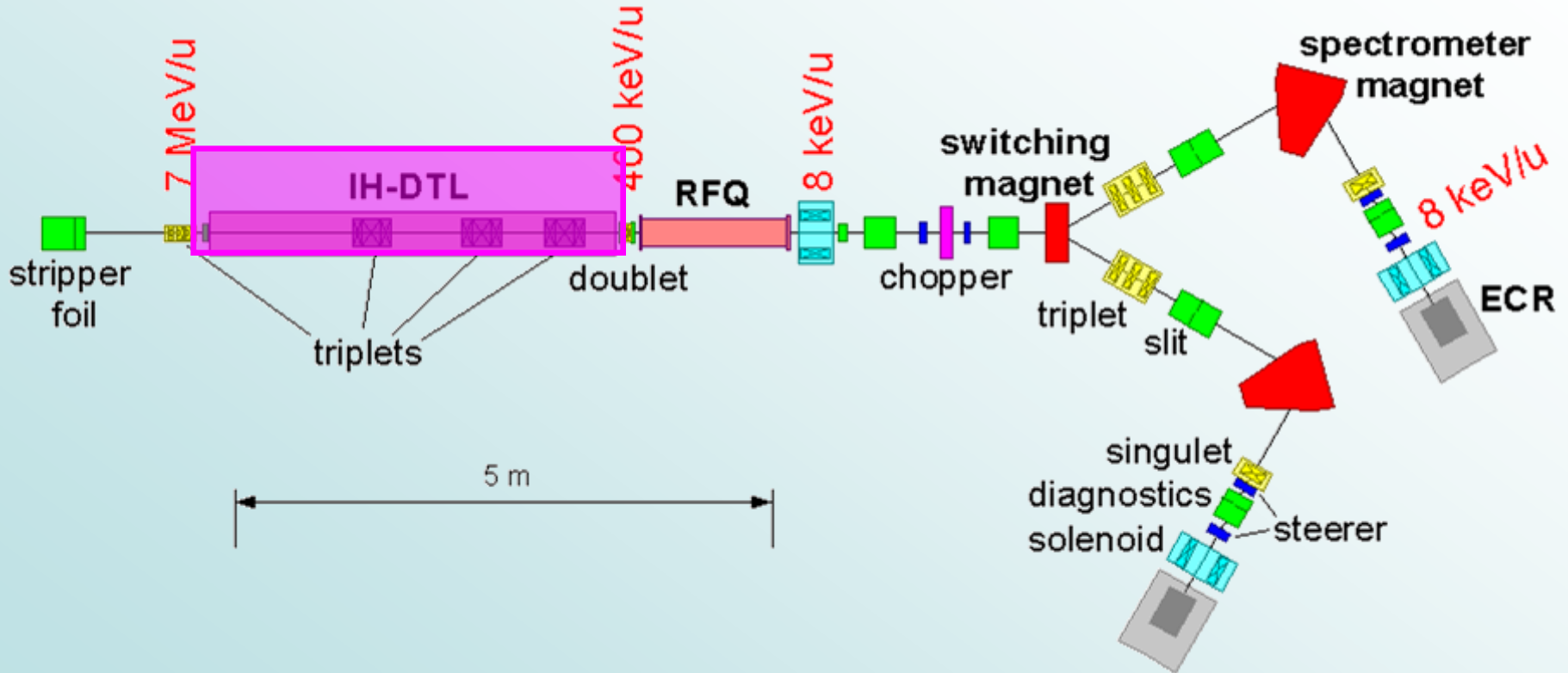
low energy end



Tank length	$\approx 1.44$ m
Tank diameter	0.25 m
Electrode length	1.28 m
Number of stems	16
Electrode voltage	70 kV
RF-power (pulsed)	$\approx 190$ kW
Exit energy	400 keV/u

**Integrated rebuncher!**

# IH-DTL (Interdigital H-Mode Drift Tube Linac)

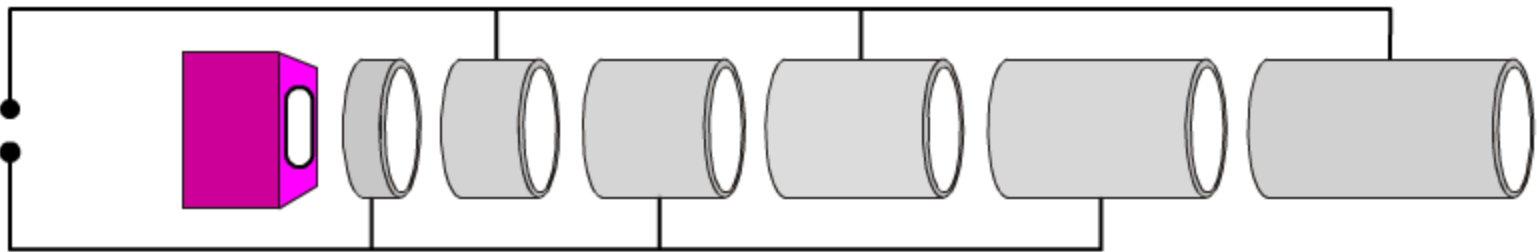




# Linear accelerator

Start 

Hochfrequenz - Linearbeschleuniger (LINAC)



Wideröe condition:

$$l_i = \beta_i \frac{\lambda_{HF}}{2} \Rightarrow l_i \propto \sqrt{i}$$

# IH Drift Tube Linac

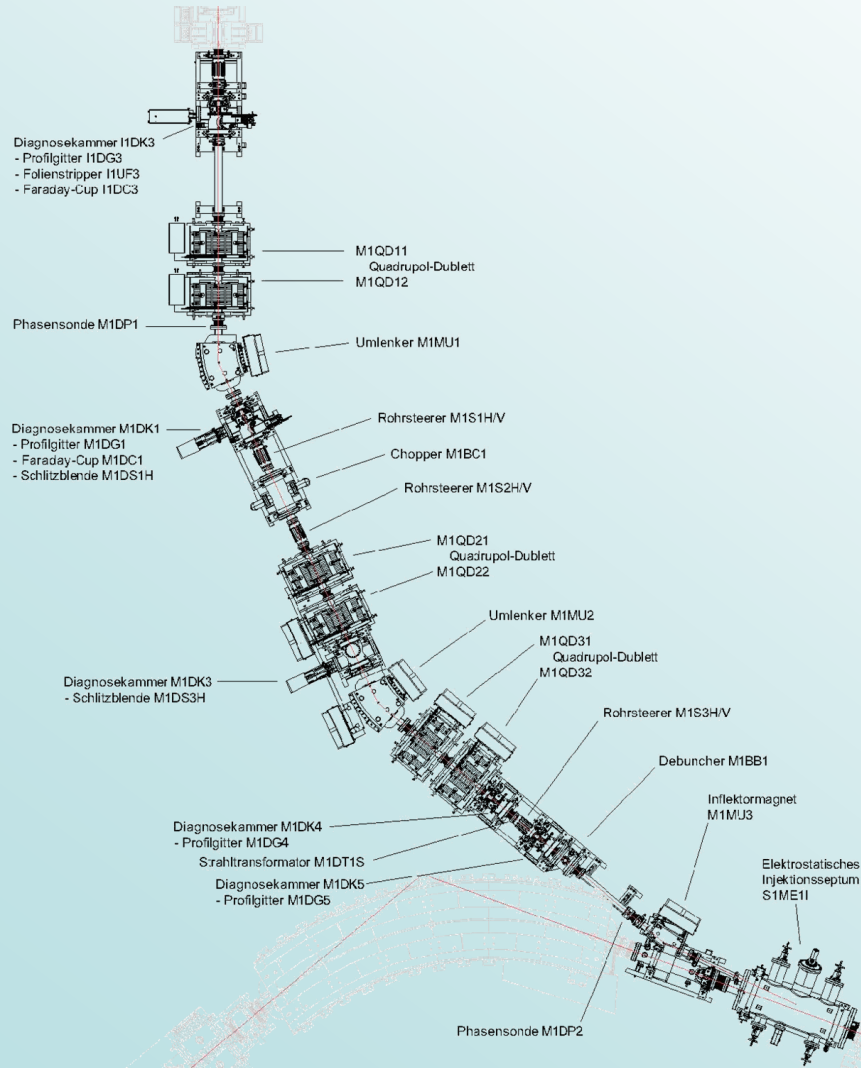
Exit energy	7 MeV/u
Number of gaps	56
Integrated magnetic quadrupole triplet lenses	3
Tank length	≈ 3.77 m
Tank height (inner)	≈ 0.34 m
RF pulse power	≈ 1 MW
Eff. total voltage	21 MV
Eff. average field gradient	5.7 MV/m
Drift tube aperture $\varnothing$	12–16 mm
Triplet lenses $\varnothing$	20 mm
Norm. transverse acceptance	$1.3 \pi$ mm mrad
RMS emittance growth (tr./long.)	10 / 10 %
Momentum width at exit	±0.16 %

high energy end



low energy end

# MEBT (Medium Energy Beam Transport)



## Tasks:

- Beam transport to synchrotron
- [Verification of LINAC beam properties](#)
- Charge separation behind foil stripper (“spectrum”)
- Beam pulse chopping (macro pulse → injection pulse)
- Optimisation of the LINAC beam energy sharpness (“debunching”)
- Optimisation of transverse beam properties for injection
- Injection of the LINAC beam into the synchrotron

# Foil Stripper in the MEBT

$H_2^+$ ,  $H_3^+$   
 $4He^{2+}$   
 $12C^{4+}$   
 $16O^{6+}$



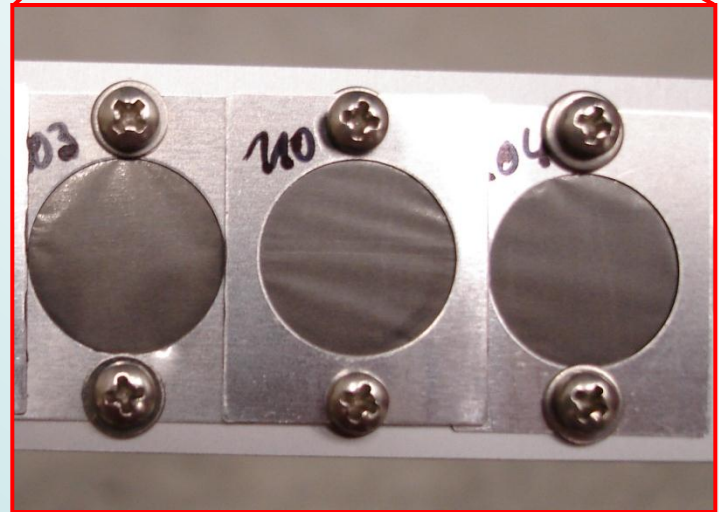
$p$   
 $4He^{2+}$   
 $12C^{6+}$   
 $16O^{8+}$

target support with hor. installation:



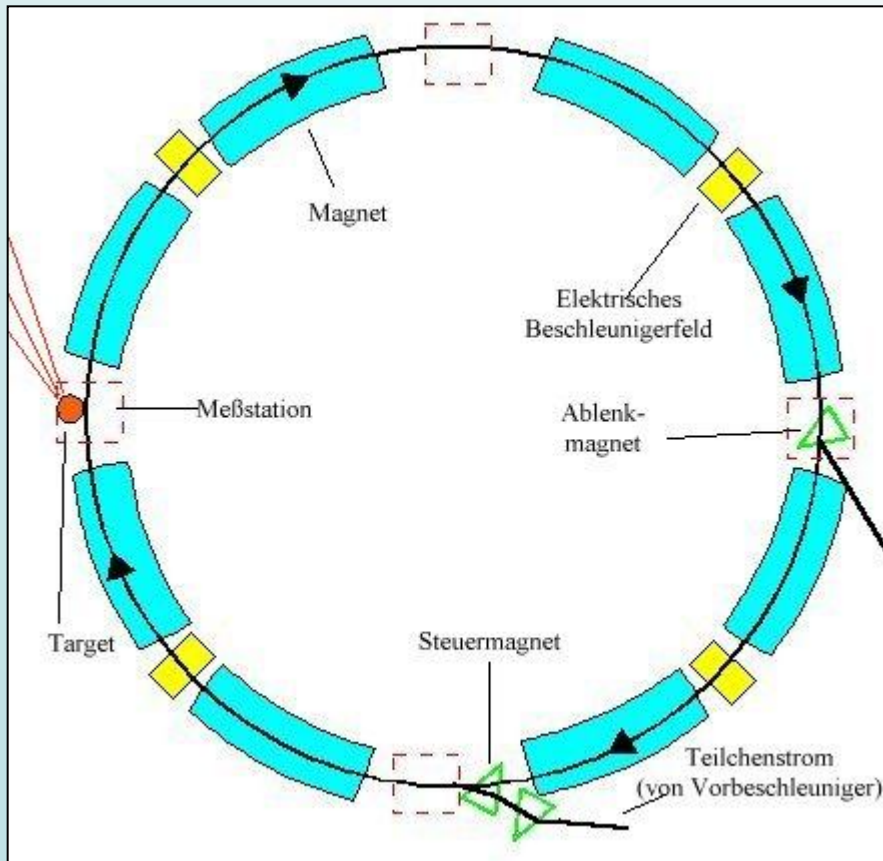
## Parameters:

Foil positions:	10
Support material:	aluminium
Foil material:	carbon ( $100 \mu\text{g}/\text{cm}^2$ )
Foil thickness:	$0.5 - 1 \mu\text{m}$
Foil diameter:	15 mm
Beam diameter:	5 mm
Positioning accuracy:	$\pm 0,5 \text{ mm}$





# Synchrotron



- **Circular accelerator** by *V.I. Veksler / E.M. McMillan* (1945) / *M. Oliphant* (1952)
- Constant radius, variable B-field
- **Frequency variable** RF cavity
- **Synchronous** ramping of magnetic fields and RF frequency (beam energy)
- Particles perform **betatron oscillation** around orbit

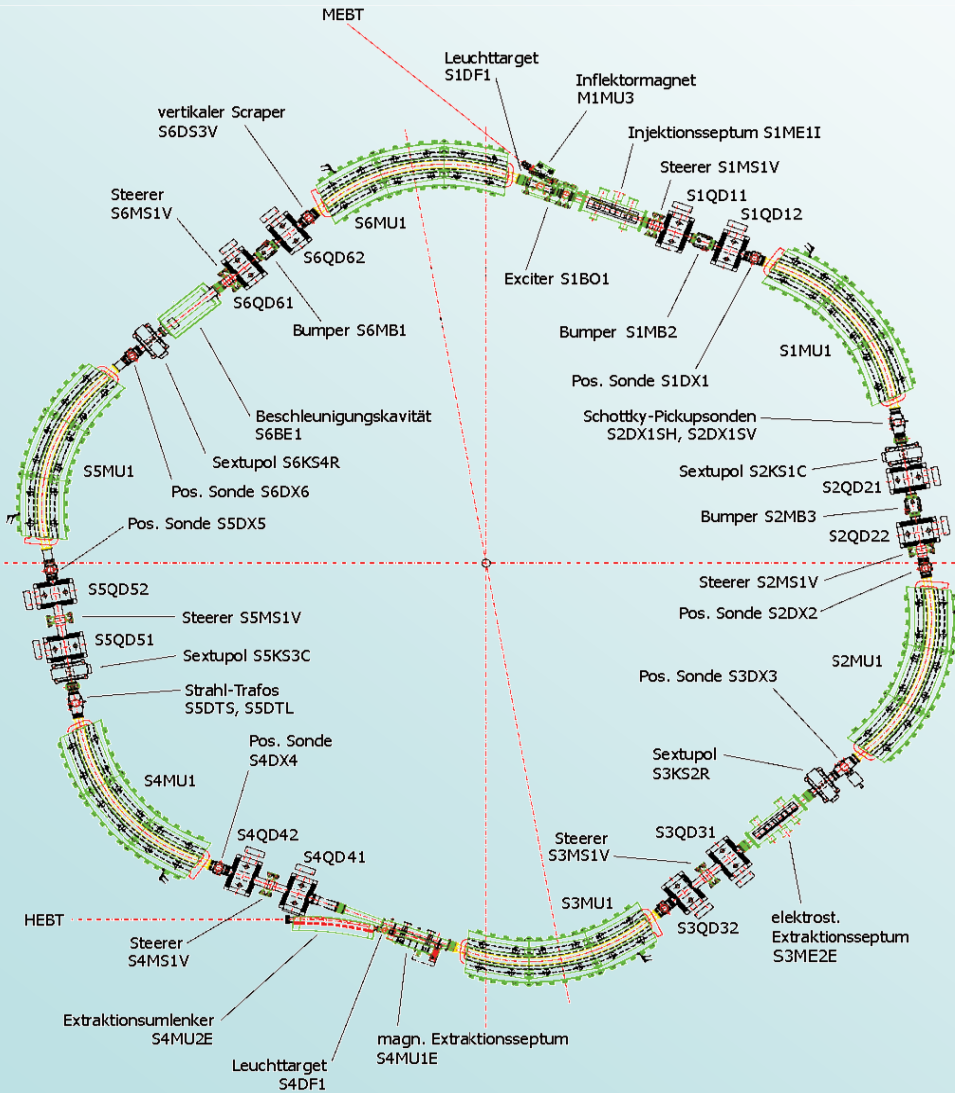
Tune  $Q$ :

$$Q \equiv \frac{\Delta\Psi}{2\pi}$$

$\Delta\Psi$  : phase advance



# HIT Synchrotron



- Circumference: 64.986 m
- Magnetic rigidity  $B\rho$ : 1.1 – 6.5 Tm

*A beam with a magnetic rigidity of 1 Tm passing through a magnetic field of 1 T experiences a deflection with a radius of 1 m!*

$$B\rho = \frac{p}{q} \quad p : \text{momentum}, q : \text{charge}$$

## Devices:

- 6 dipoles
- 12 quadrupoles
- 4 sextupoles
- ...

# Injection

## Basic Rule of Injection:

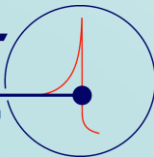
A phase space volume occupied by particles may not be refilled without losing the particles already held in the volume.

## Injection Methods:

- **Single turn injection**
  - Inflection by fast kicker magnet
  - Small emittance
  - No beam accumulation
- **Stripping injection**
  - Charge reversal by stripping foil
  - Same dipole for circulating ( $H^-$ ) and injected beam (p)
- **RF stacking**
  - Beam accumulation in the longitudinal phase space
- **Multi turn injection (transverse stacking)**
  - Dynamic local closed orbit perturbation (bumper magnets)
  - Beam accumulation
  - Large horizontal emittance (in plane of accumulation)



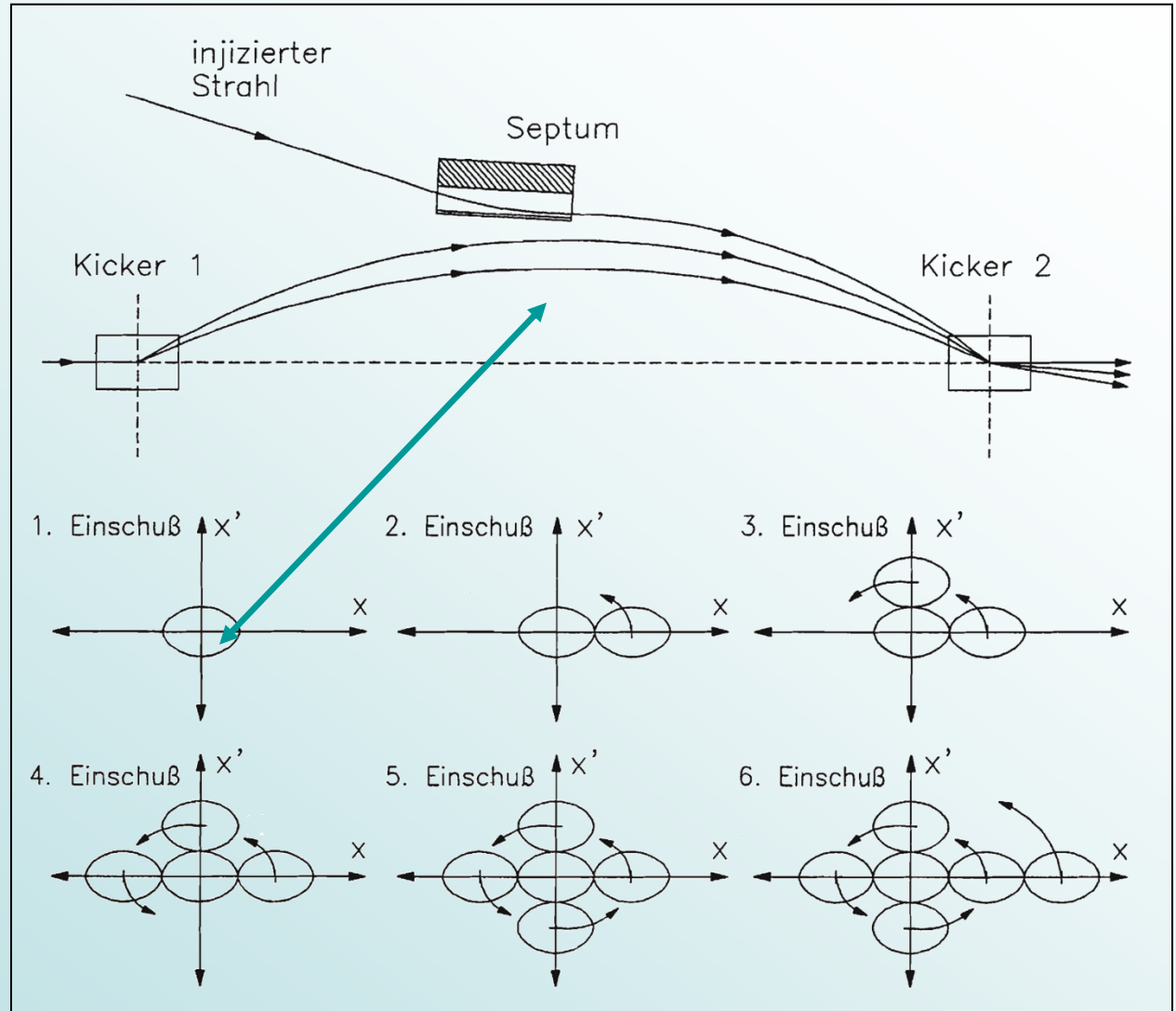
HIT



# Multiturn Injection

**Local orbit bump:**

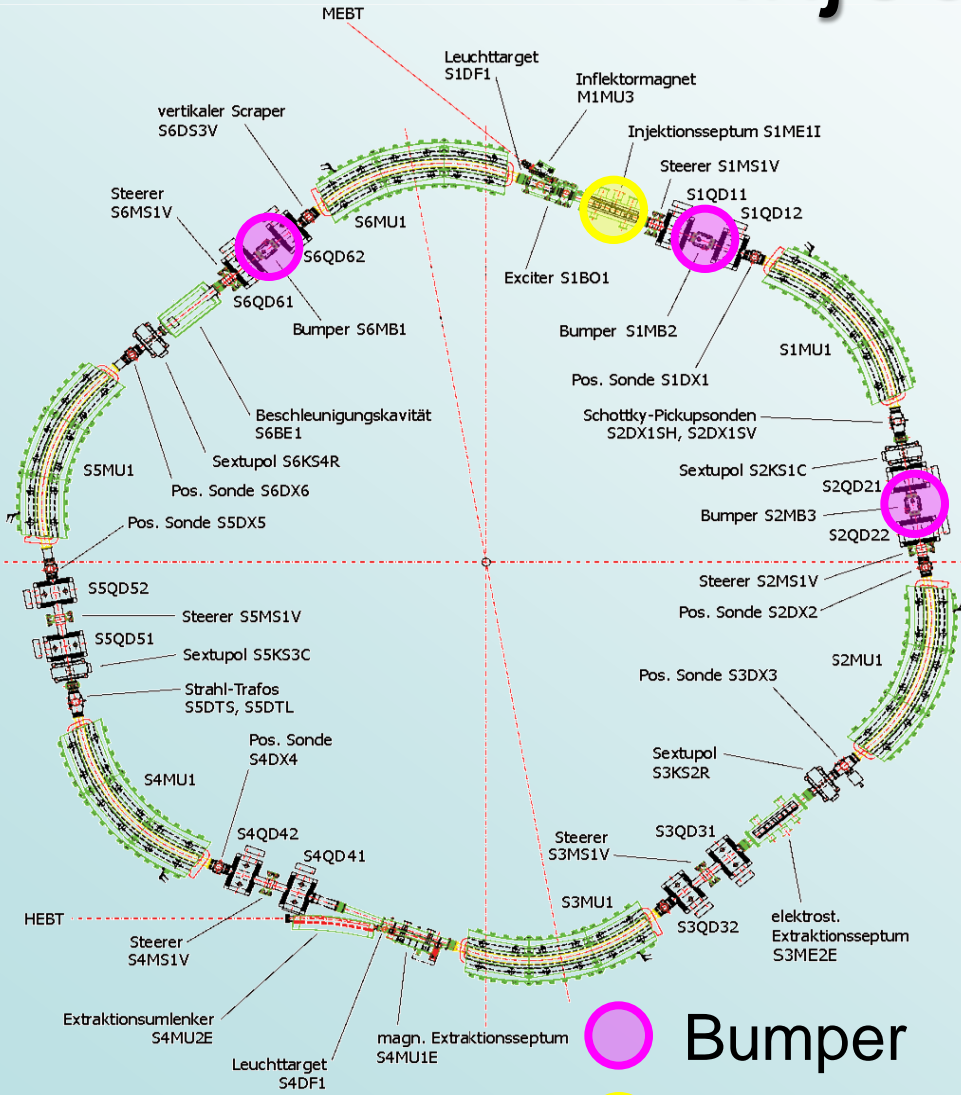
**Transverse stacking process in the phase space ( $Q = i+0,25$ ):**



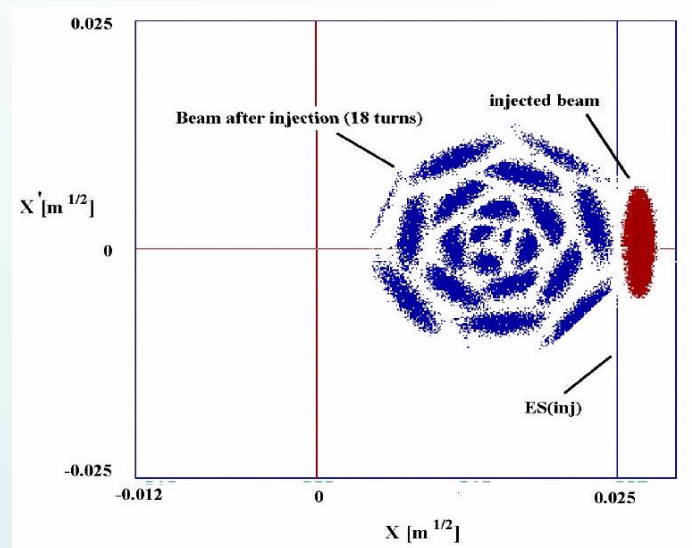
Source: K. Wille, *Physik der Teilchenbeschleuniger und Synchrotronstrahlungsquellen*

CERN, Academic Training Lectures 11<sup>th</sup> – 13<sup>th</sup> September 2012

# Injection

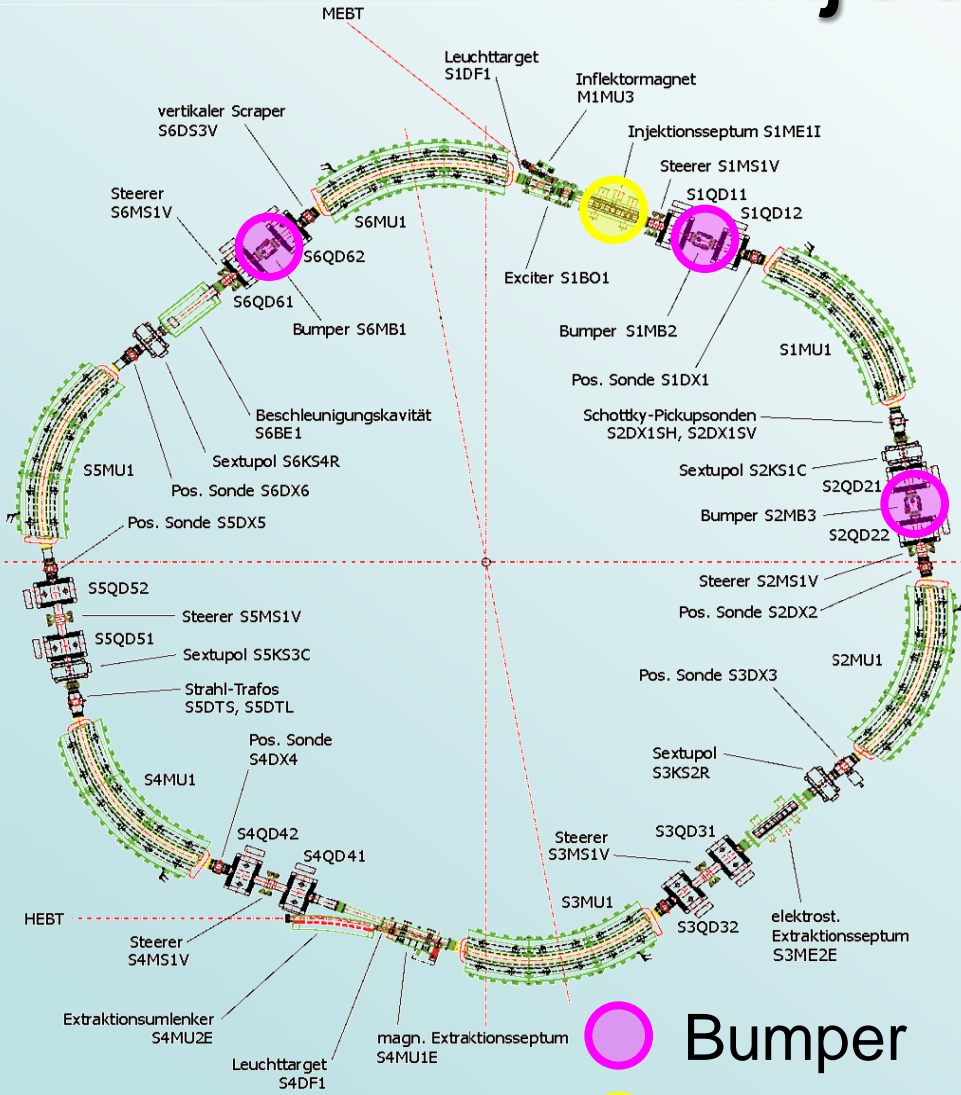


 Bumper  
 Septum



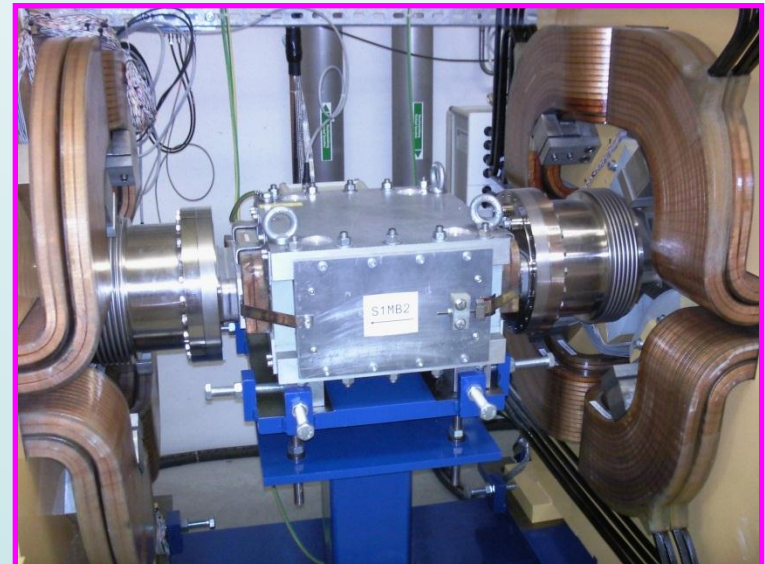


# Injection



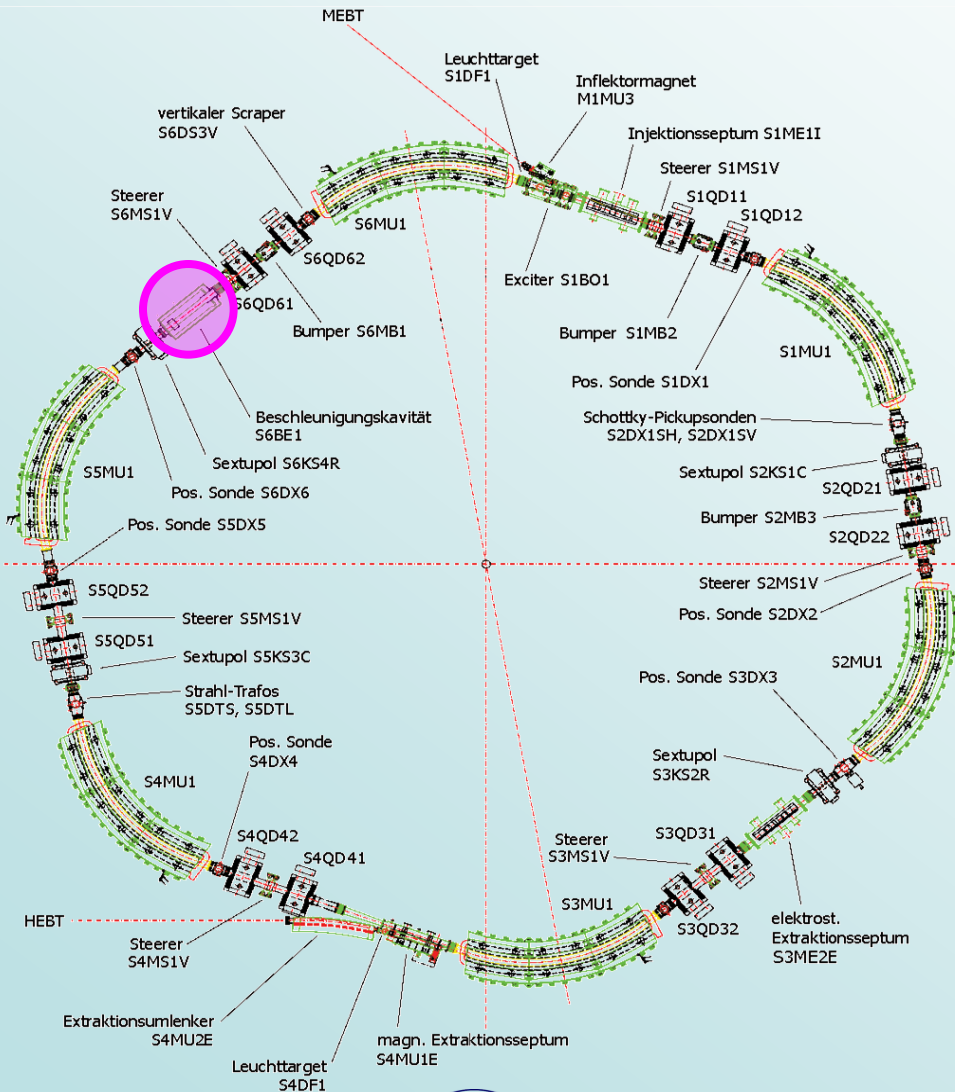
● Bumper

● Septum





# Acceleration



## 2-Step Process:

- RF capture (bunching) at 2<sup>nd</sup> harmonics
- Acceleration up to desired end energy

## Device:

- MA loaded cavity
- Working frequency: 1-7 MHz

$$f_{res} = f_{res}(L); L = L(\mu); \mu = \mu(H)$$

- Max. RF voltage: 2.5 kV
- Power: 6.4 kW
- Supplier: Hitachi

# Extraction

## Extraction Methods:

- **Fast extraction**

- Fast deflection within one revolution by fast kicker magnet (single turn extraction)
- RF synchronicity (variation of deflection field between 2 bunches)

- **Slow resonant extraction**

- Generation of an amplitude dependent instability (separatrix), tune shift close to 3<sup>rd</sup> order *resonance*
- Resonant excitation (systematic, slow transition into instability)

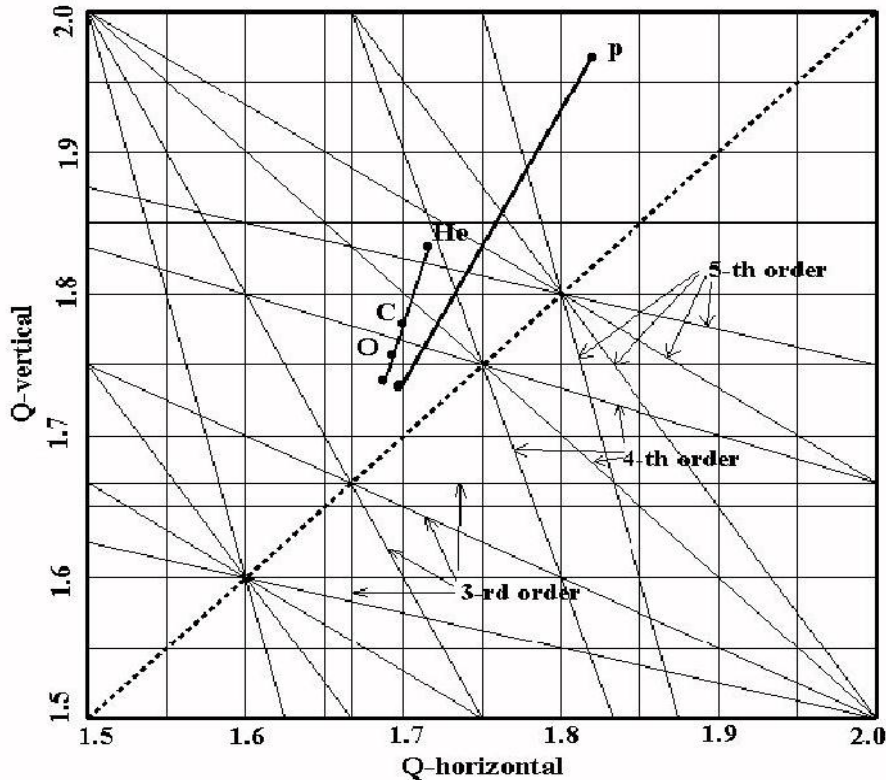


HIT

# Tune and Resonances

Resonances occur at  
1/1, 1/2, 1/3,... integral tunes:

## Tune Diagram:



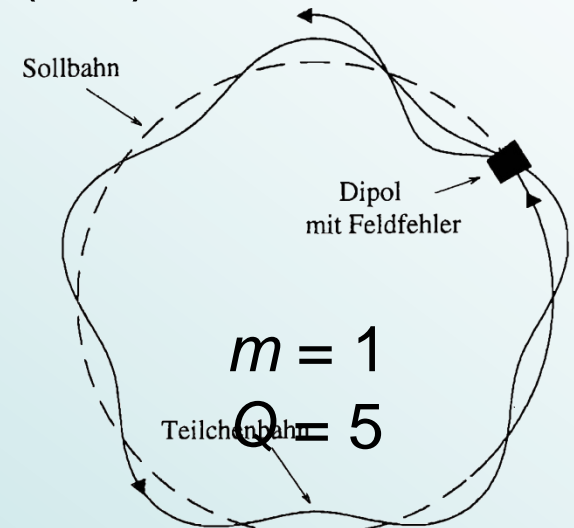
$$m Q_x + n Q_y = p$$

$$m, n, p = \text{integers}$$

$$m + n = \text{order}$$

Especially “dangerous” are  
low orders (1-5).

## Example:

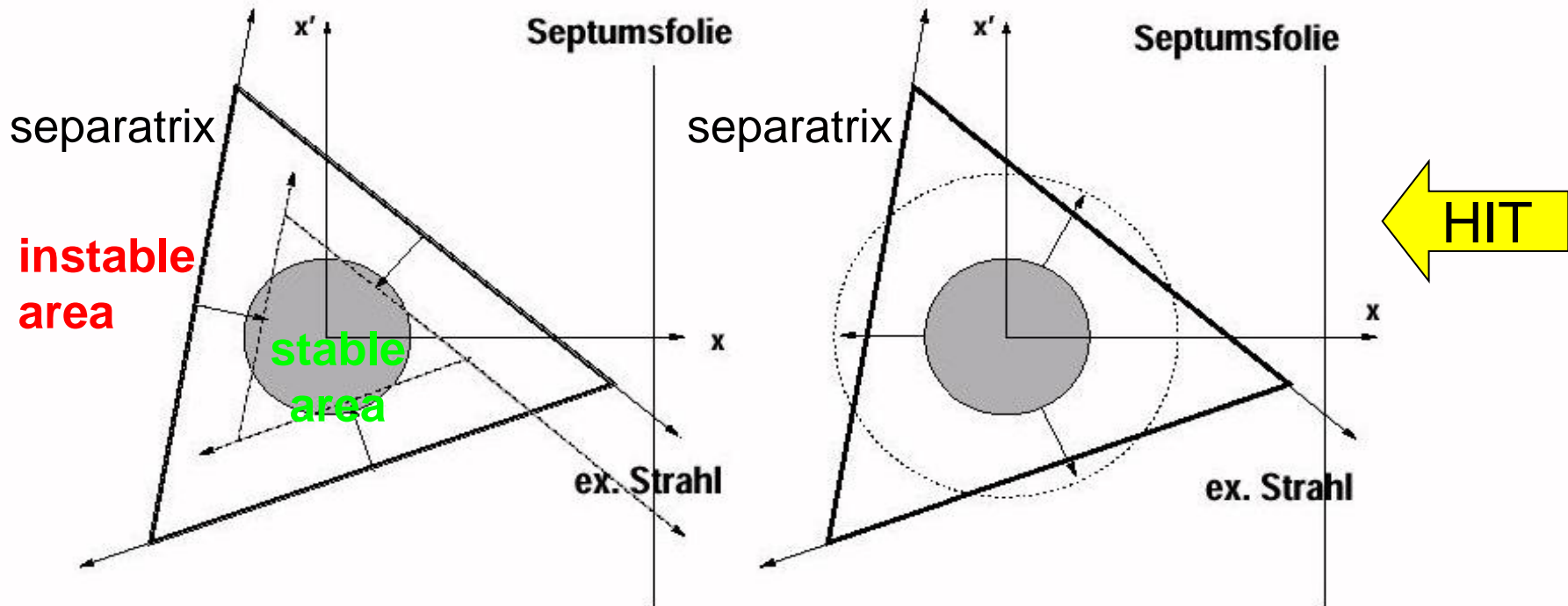


# Slow Extraction

## Two Types:

Diminishing of separatrix:

Blowing up emittance  
(knock out extraction):



Source: PhD thesis, *F. Albrecht*, 1996



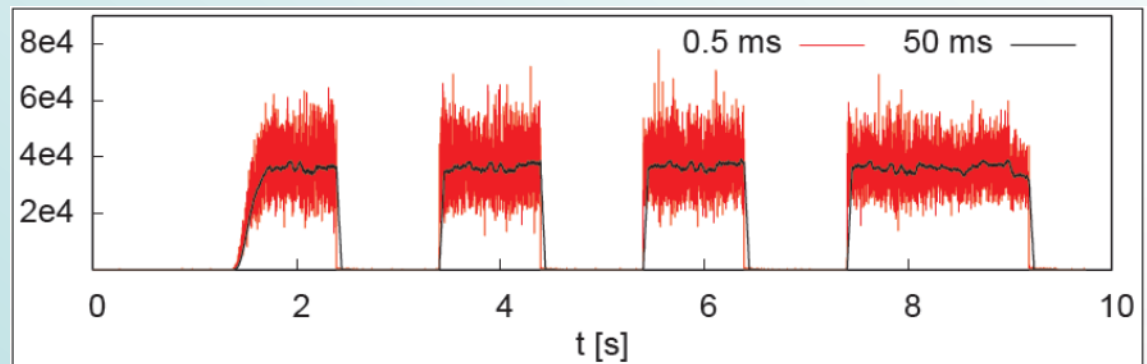
# KO Extraction

- **Principle**

- Resonant excitation of betatron frequency with RF exciter
- Constant separatrix

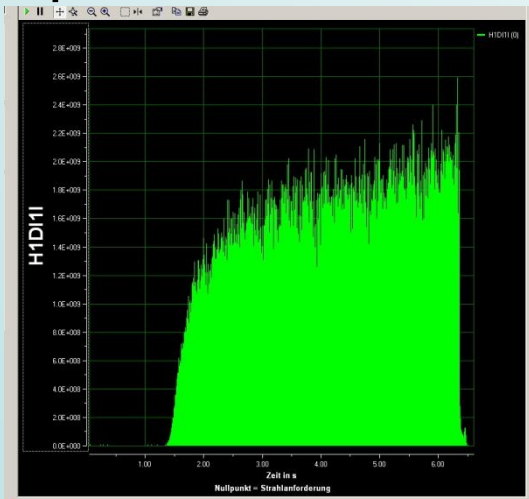
- **Advantages**

- Slow extraction (e.g. 100ms ... 10s)
- Constant ion optics during extraction
- Possibility of spill interruption and multiple extraction („spill pause“)



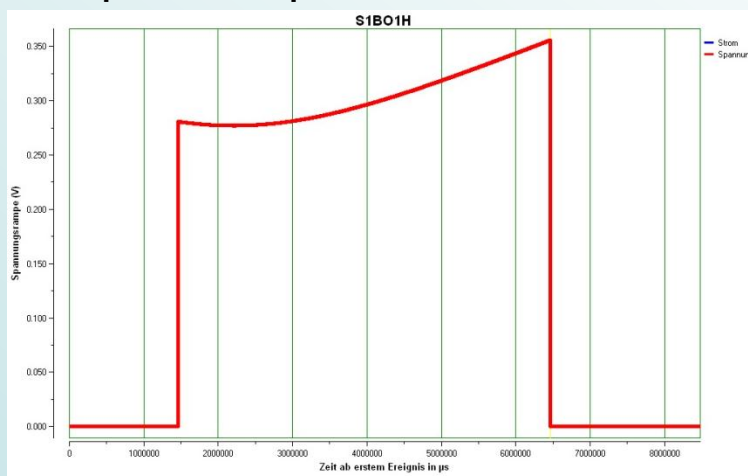
# Spill Shape Optimisation

**Before**  
optimisation:

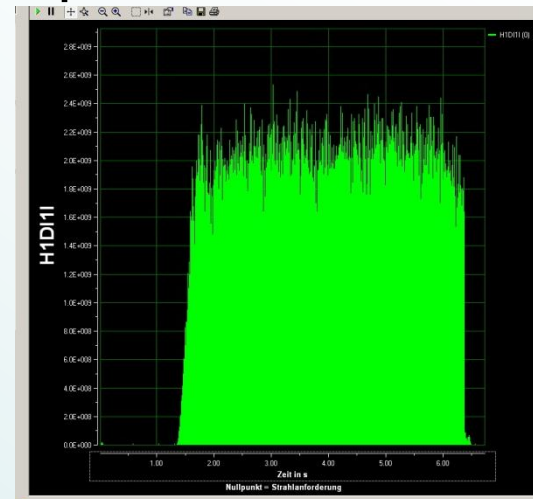


Ionisation chamber

Excitation function with 8 energy independent parameters:



**After**  
optimisation:

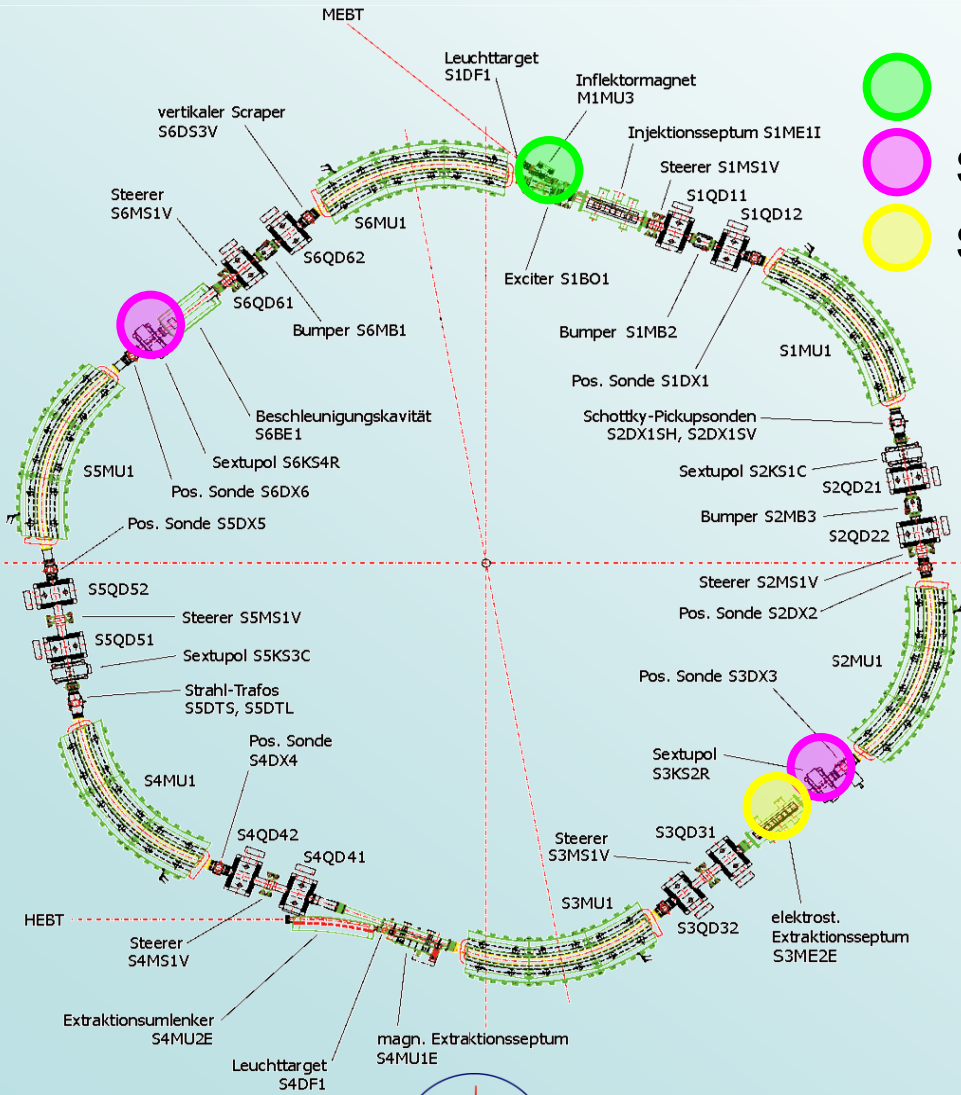


Ionisation chamber

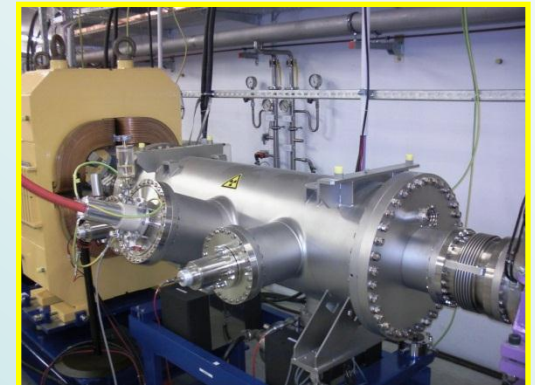
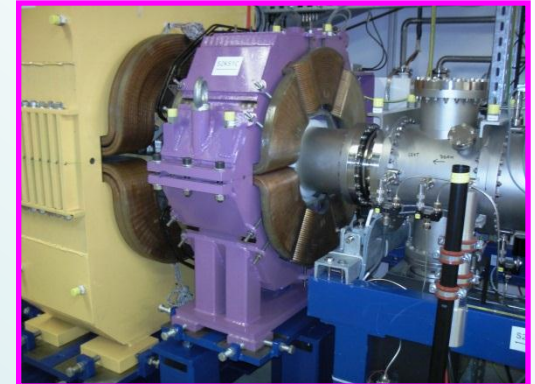
KO exciter amplitude vs. extraction time

Shaping of spill structure via active control of the excitation amplitude of the RF-exciter ("DIC") → see part 3

# HIT Extraction Devices



- exciter
- sextupole
- septum

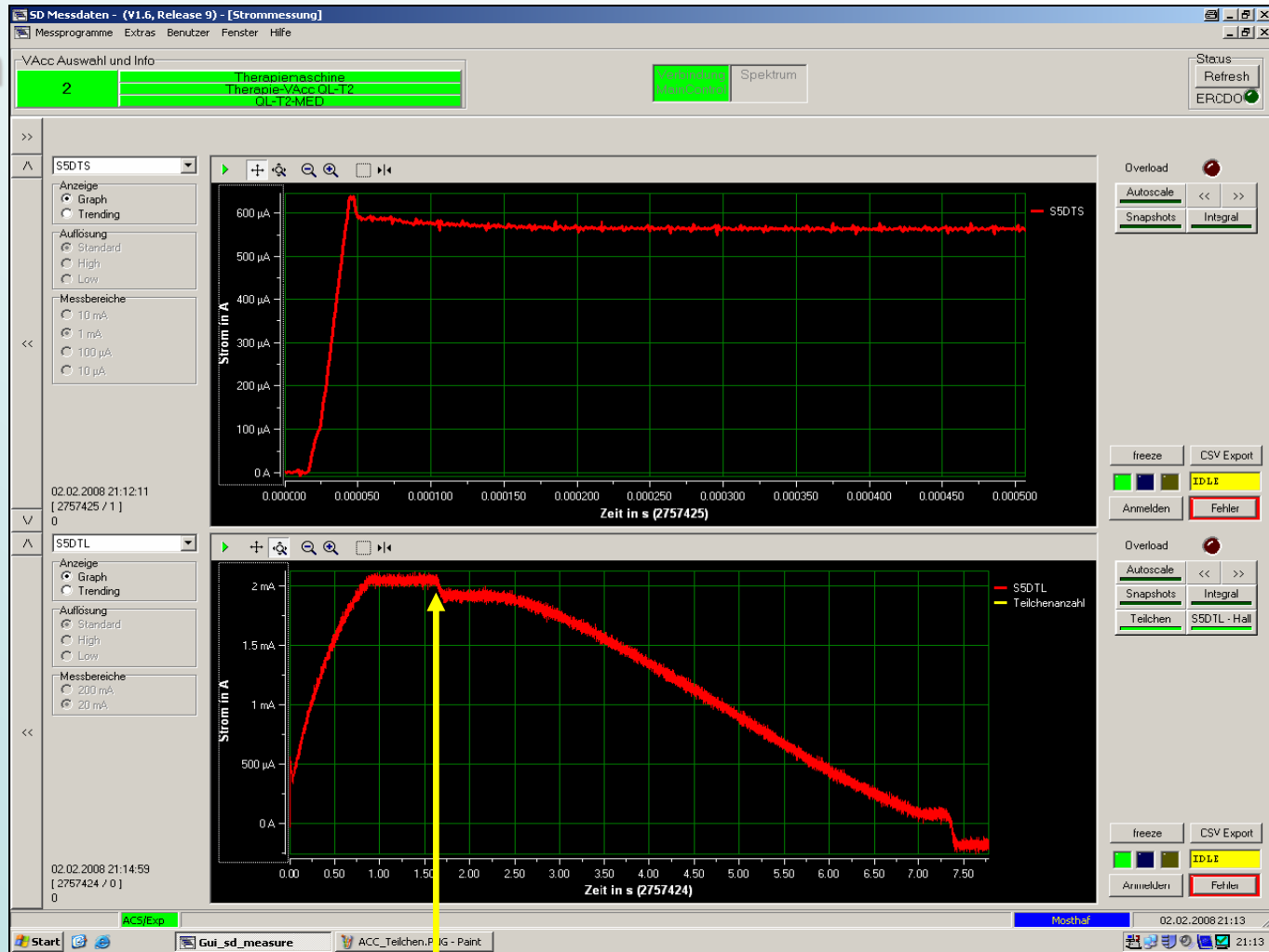


# HIT Synchrotron Cycle

Current Variation  
in Time:

Injection  
(S5DTS, 0...500  $\mu$ s)

RF capture,  
acceleration  
and extraction  
(S5DTL, 0...7.5 s)

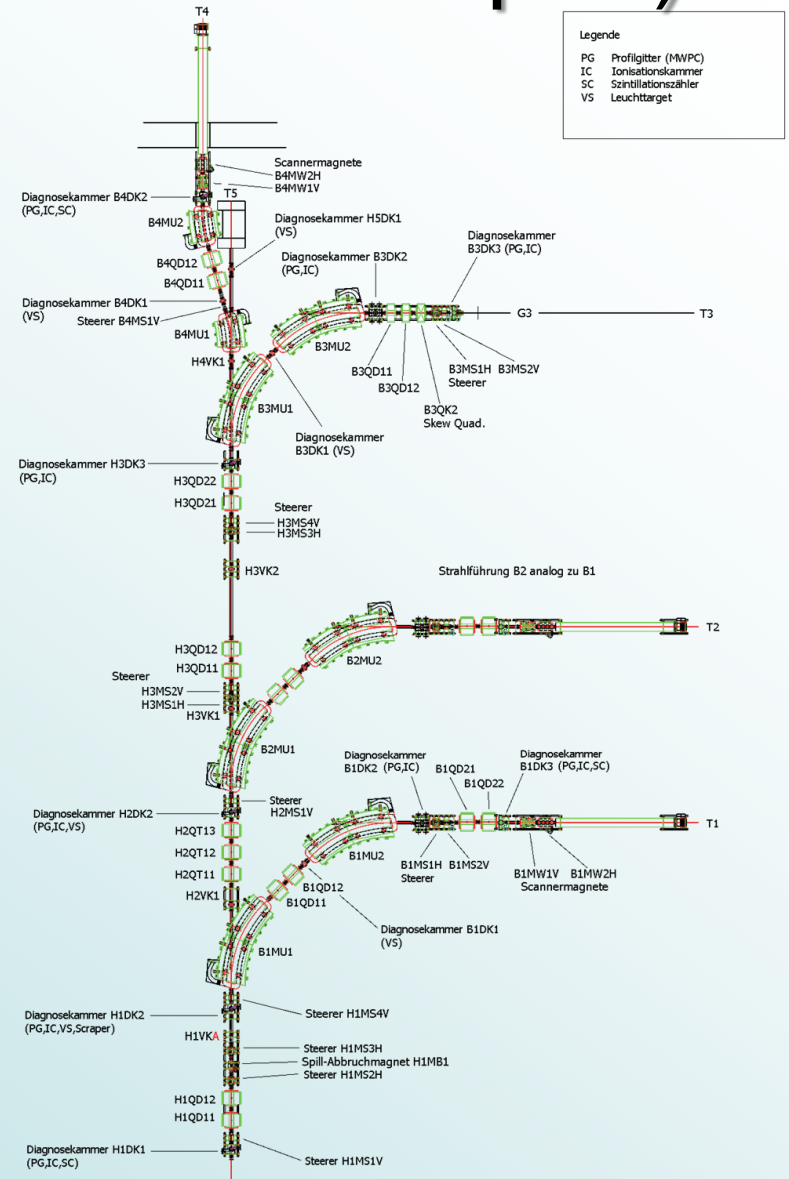




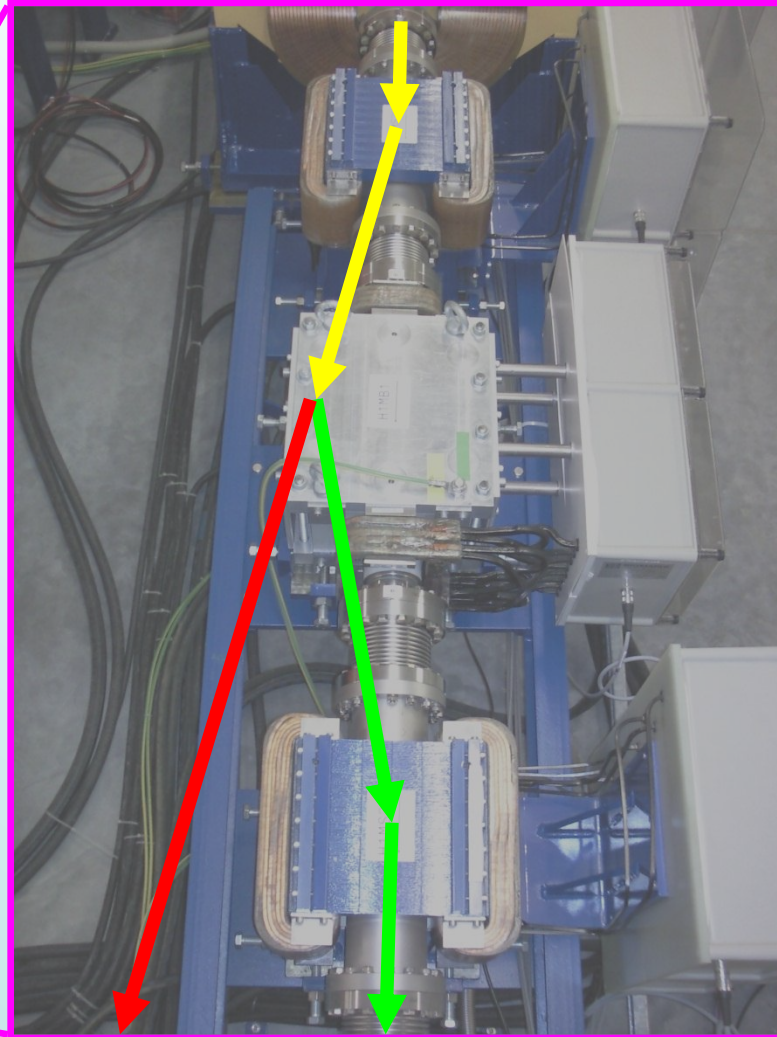
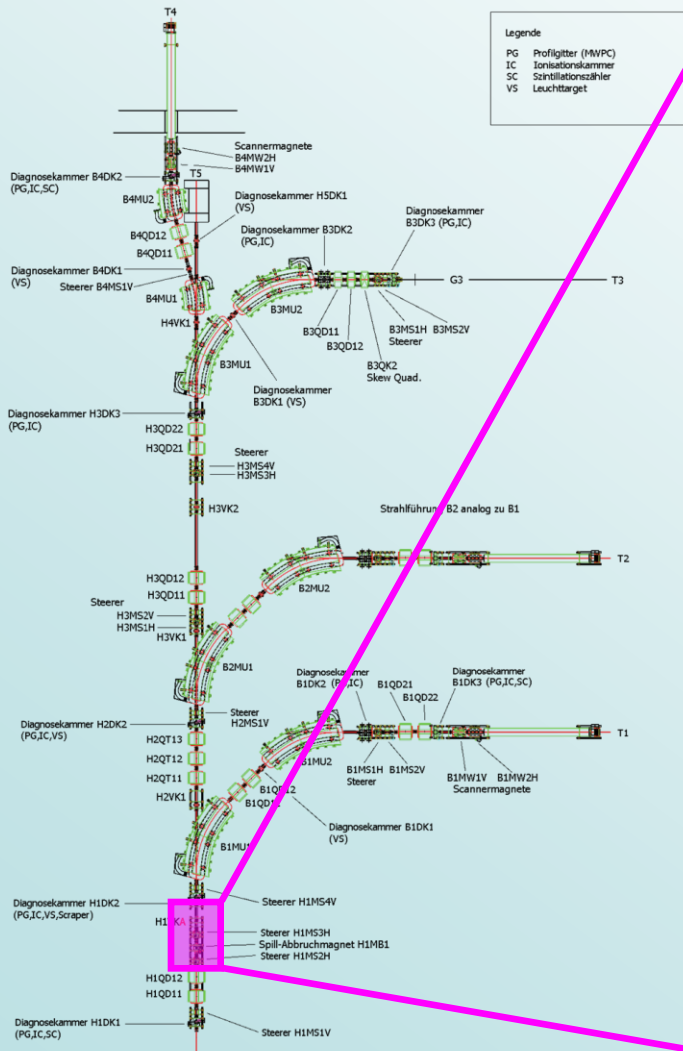
# HEBT (High Energy Beam Transport)

## Tasks:

- Beam transport to the beam targets
- Safety function “spill abort” by spill abort magnet chicane (SPAM)
- Verification of beam properties of extracted beam
- Beam width and position setting at isocentre
- Deflection of beam for transverse scanning (under TCS control)
- Beam dumping (30 to of iron)



# Spill Abort Magnet (SPAM)



Steerer

SPAM  
(copy of a bumper magnet)

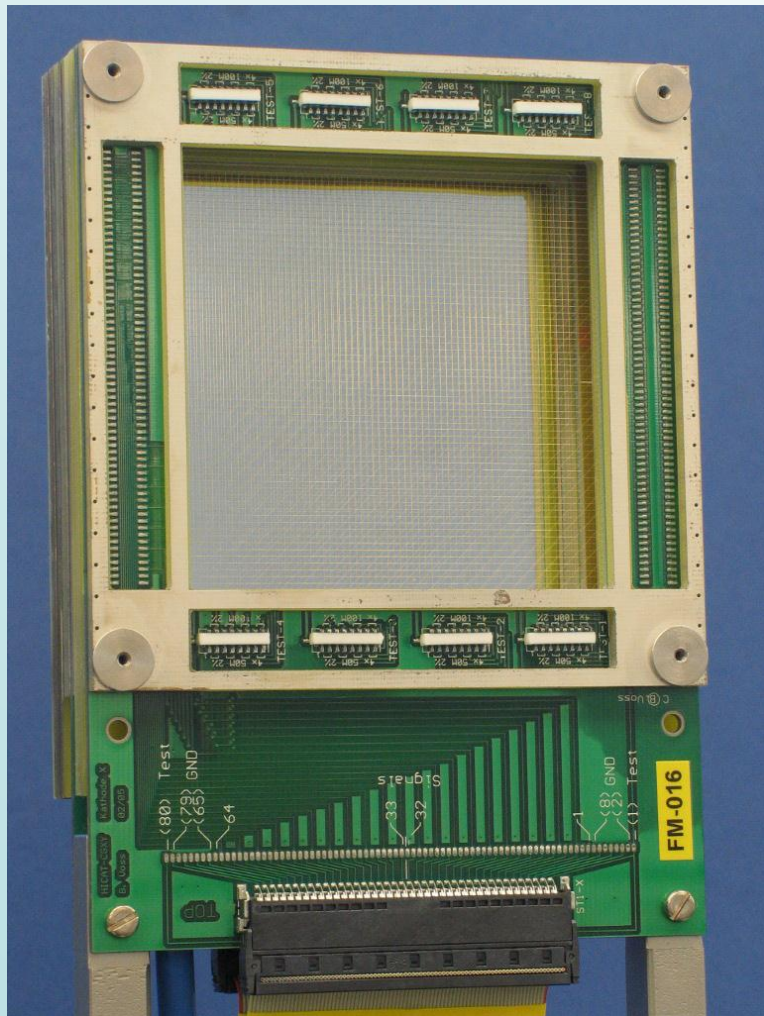
Steerer

Scraper Treatment rooms

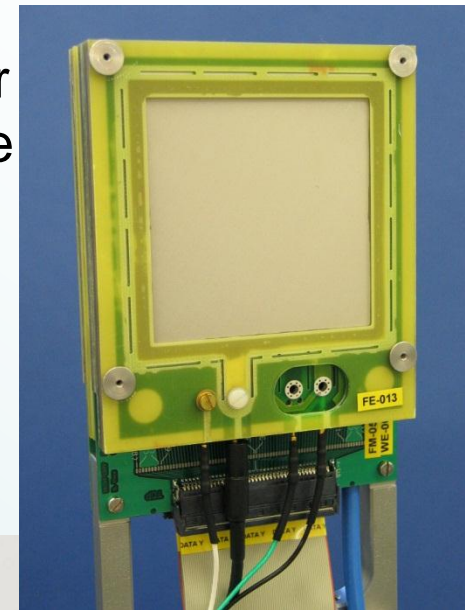


# Detectors for beam monitoring

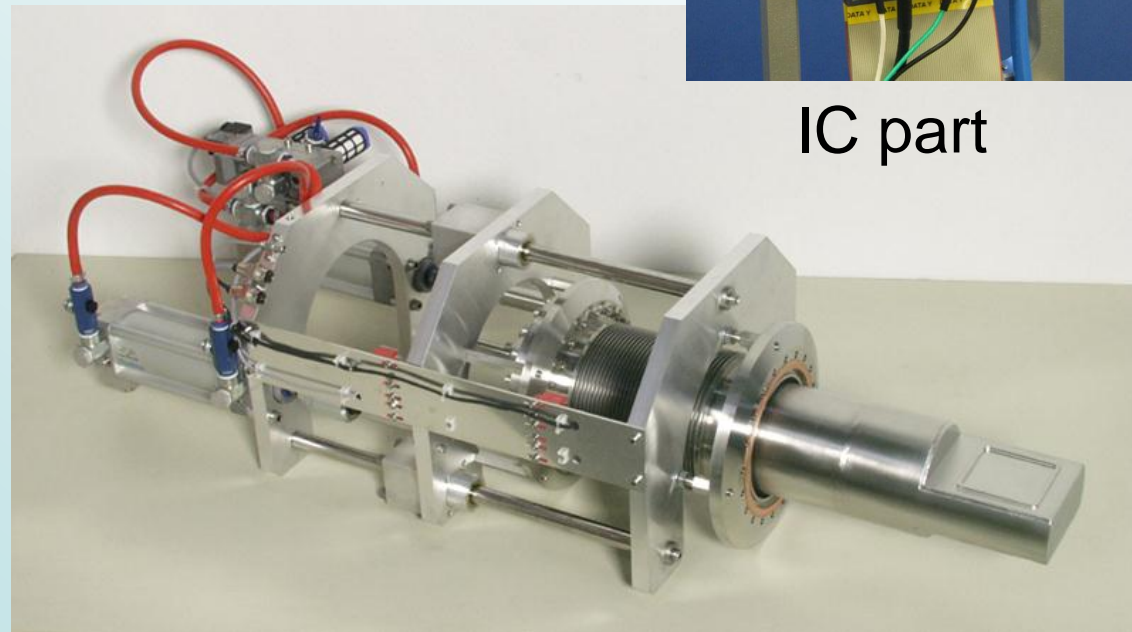
Compact combined detector system working at HIT in the HEBT; feed-through with detector bag – windows to vacuum consist of 50  $\mu\text{m}$  stainless steel; Gas: Ar/CO<sub>2</sub>



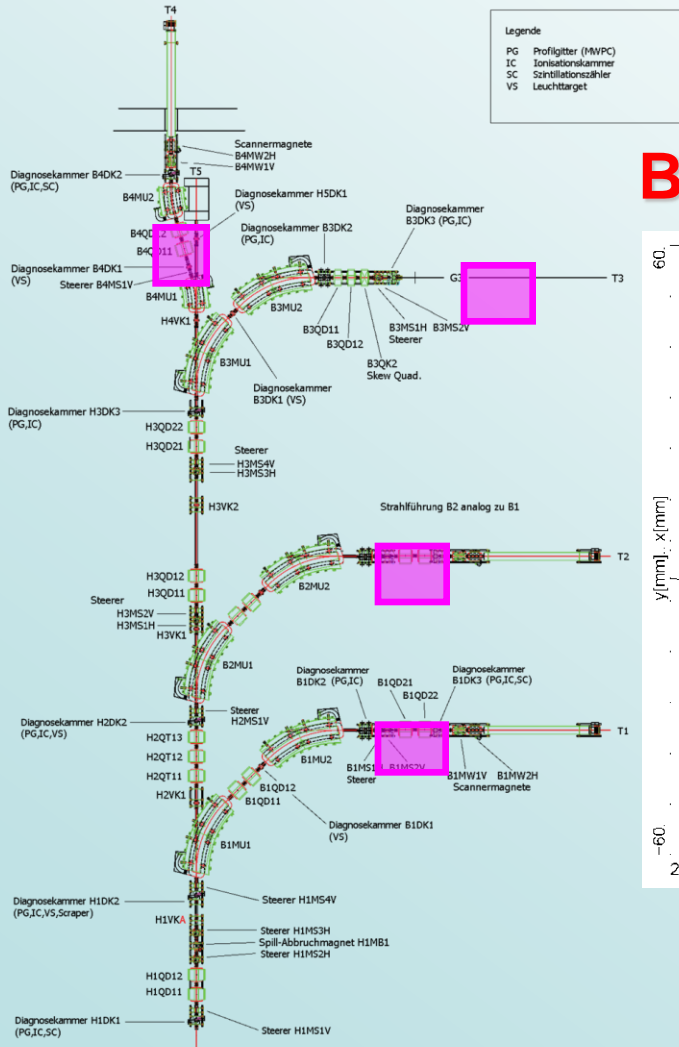
MWPC part



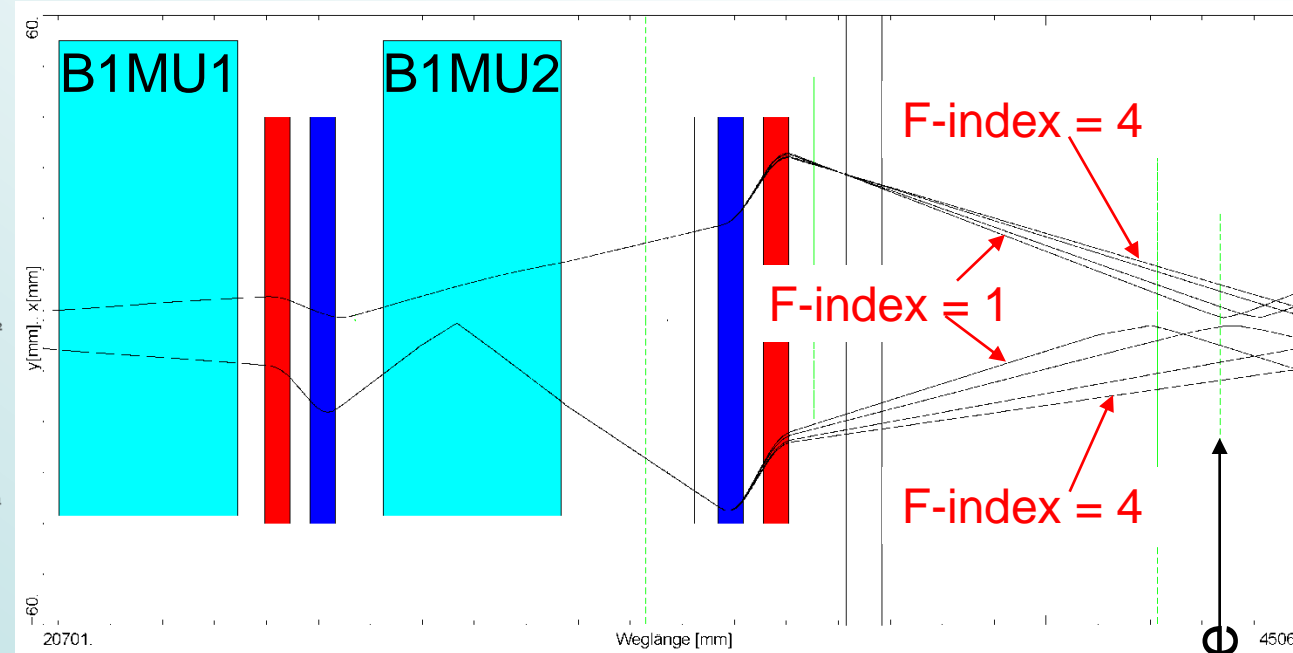
IC part



# Focus Setting



## Beam Envelopes (MIRKO Simulation):

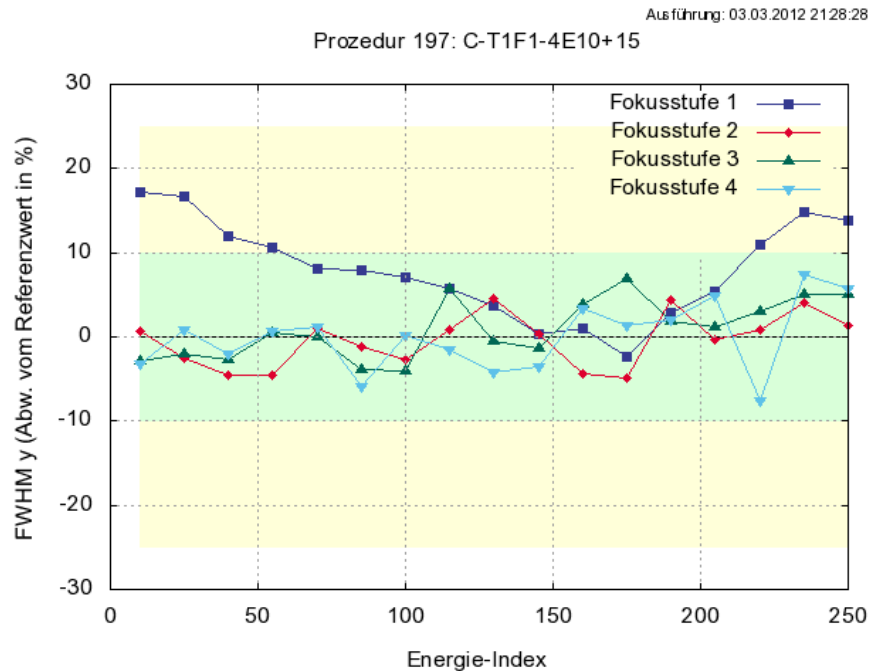


Isocentre

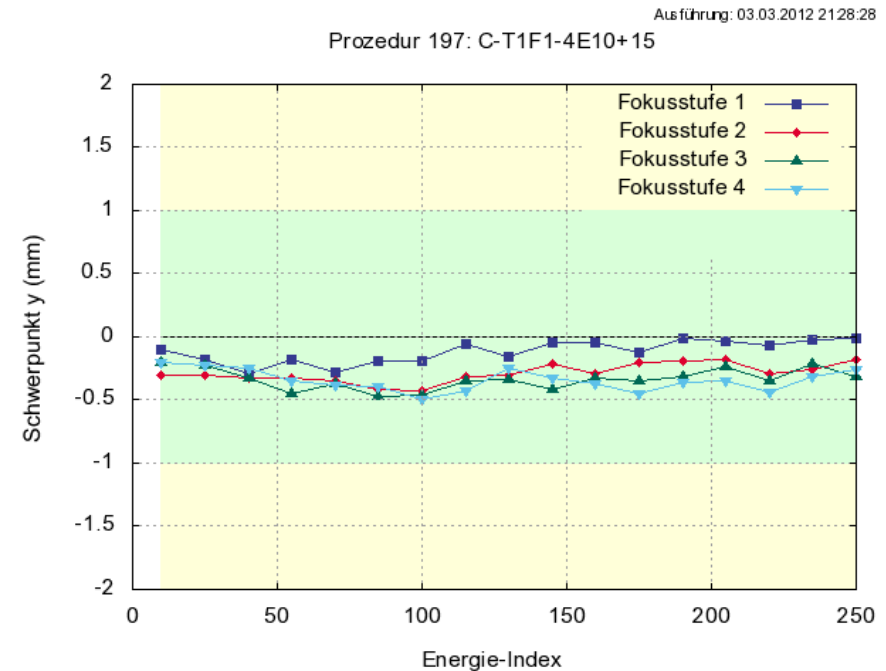


# Focus at Isocentre (H1) for C

## Beam Widths: (March 2012)



## Beam Positions: (March 2012)

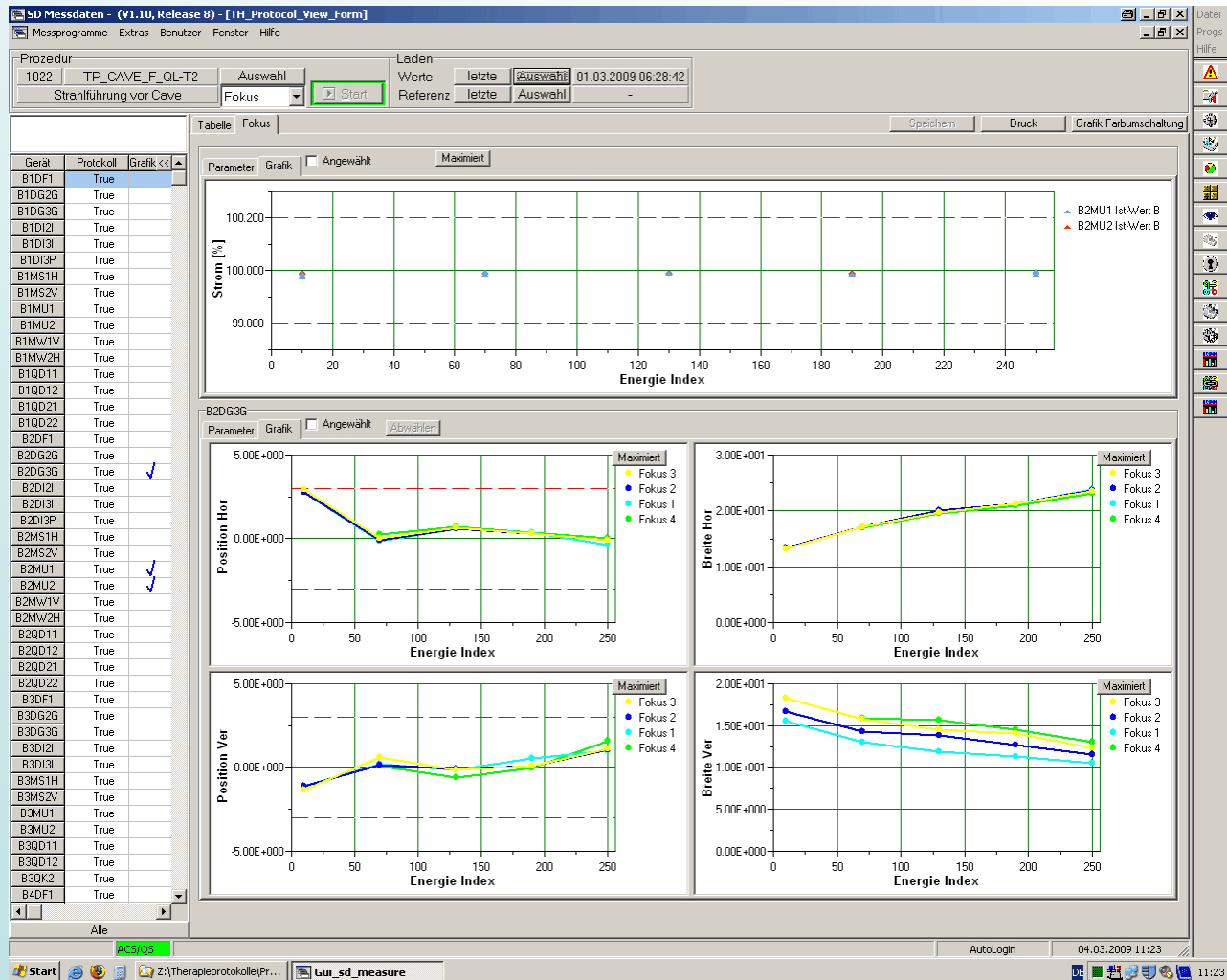


# Focus Stability for Carbon beams (H2)

March 2009:

horizontal:

vertical:



position

width



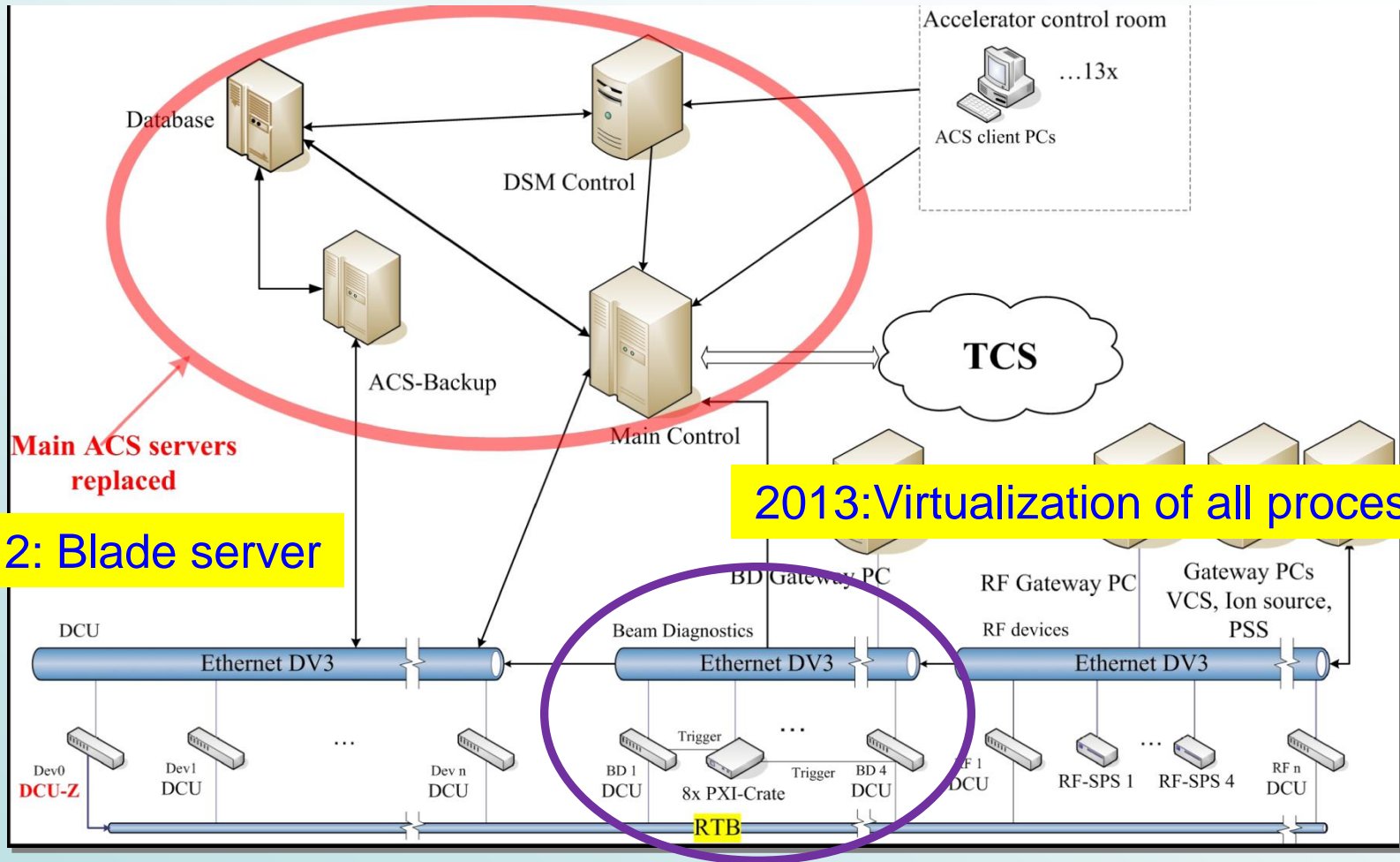
# Aspects of a Control System for Particle Therapy

# Aspects of a Control System for Particle Therapy

- Conceptional design decision necessary due to the fact that an irradiation facility is a medical product.
- The accelerator itself as the “beam deliverer” could be a “normal” CE level product with a dedicated interface to the irradiation technique around the patient treatment, which has to fulfill the MDD (Medical Device Directive, EC rules)
- A software for a medical product needs a complete documentation of the development process including testing, etc. (e.g. V-model in Germany) – the effort is 50 -100 % higher than for a “normal” scientific-technical software package. Later changes are much more complicated in a medical product because of extended regression tests, documentation, etc. (~ factor of 3).
- Therefore HIT decided to implement the ACS as a “normal” CE level product, whereas the irradiation technique was ordered from a certified medical product supplier.



# Accelerator Control System for HIT



Solution: Proprietary 2-Level CS with interface to TCS

# Aspects of a Control System for Particle Therapy

The core of the HIT CS is an Oracle database for storing:

- Device parameters
- Devices of virtual accelerators (functional subgroups from source to target)
- The optical model and its parameters, spline coefficients
- Cycle data
- Measured values (power supplies, beam instrumentation, vacuum pressure, ...)
- Alarm & error logs
- Users & access rights
- Computers & applications
- ... and much more!

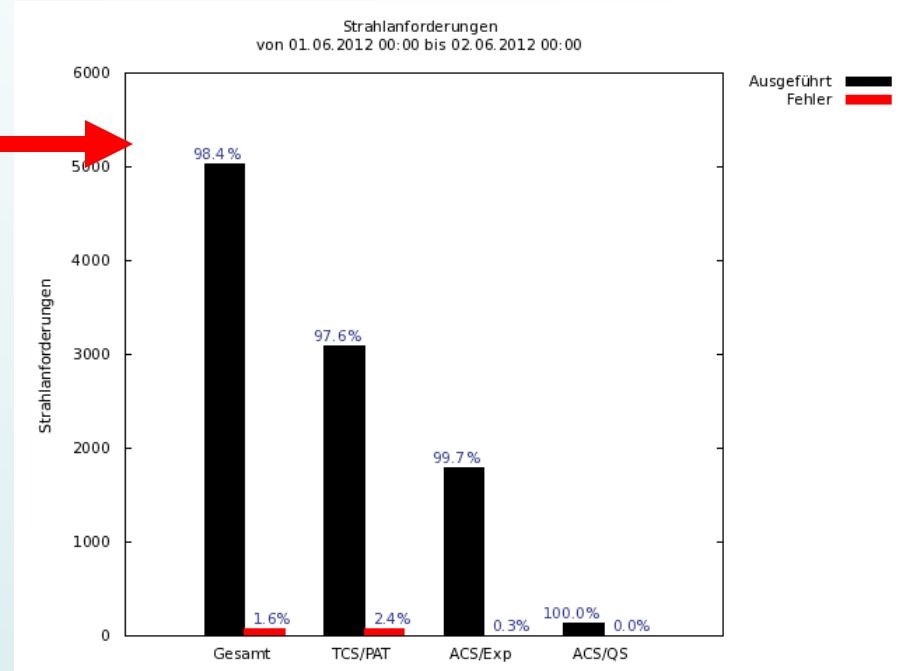
# Aspects of a Control System for Particle Therapy

A lot of reports can be retrieved from such a database:

- Beam statistics:
  - Performance,
  - Number of particles accelerated,
  - Beam request modes (therapy, accelerator physics, experiment),
  - Used sources, destinations, ion types,
  - Distribution of E, F, I parameters.
- Error analysis:
  - Number of beam request failures, dependent on system mode
  - Identify usual error sources or problems of devices.

# Example of an ACS report

Cycle (Id)	VAcc	Typ	Startzeit	Status	Status-Details	Betriebsart	Details	Kommentar	Archiv	Ende Zeit
7086490	8	Therapie	31.07.2012 00:58:53	Ausgeführt	(fehlerfrei)	ACS/Exp	E1 F1 H0 G19 S3 Z3 Q2		Nein	31.07.2012 00:58:53
7086489	8	Therapie	31.07.2012 00:58:45	Ausgeführt	(fehlerfrei)	ACS/Exp	E1 F1 H0 G19 S3 Z3 Q2		Nein	31.07.2012 00:58:53
7086488	8	Therapie	31.07.2012 00:58:37	Ausgeführt	(fehlerfrei)	ACS/Exp	E1 F1 H0 G19 S3 Z3 Q2		Nein	31.07.2012 00:58:45
7086487	8	Therapie	31.07.2012 00:58:28	Ausgeführt	(fehlerfrei)	ACS/Exp	E1 F1 H0 G19 S3 Z3 Q2		Nein	31.07.2012 00:58:37
7086486	8	Therapie	31.07.2012 00:58:20	Ausgeführt	(fehlerfrei)	ACS/Exp	E1 F1 H0 G19 S3 Z3 Q2		Nein	31.07.2012 00:58:28
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7086484	8	Therapie	31.07.2012 00:58:00	Ausgeführt	(fehlerfrei)	ACS/Exp	E1 F1 H0 G19 S3 Z3 Q2		Nein	31.07.2012 00:58:08
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7086457	8	Therapie	31.07.2012 00:53:52	Ausgeführt	(fehlerfrei)	ACS/Exp	E255 F1 H0 G19 S3 Z3 Q2		Nein	31.07.2012 00:54:01



- Automatic report
- DB reading
- Daily, weekly
- Part of E-Log(book)

K. Höppner et al., PCaPAC

	Strahlanforderungen nach Betriebsart							
	Alle		TCS/PAT		ACS/Exp		ACS/QS	
	Zyklen	%	Zyklen	%	Zyklen	%	Zyklen	%
Gesamt:	5113		3172		1804		137	
Ausgeführt:	5029	98.36 %	3095	97.57 %	1797	99.61 %	137	100.00 %
Fehler:	82	1.60 %	77	2.43 %	5	0.28 %	0	0.00 %
Min. ein Gerät nicht geantwortet	2	0.04 %	1	0.03 %	1	0.06 %	0	0.00 %
VAcc nicht ausführbar	80	1.56 %	76	2.40 %	4	0.22 %	0	0.00 %

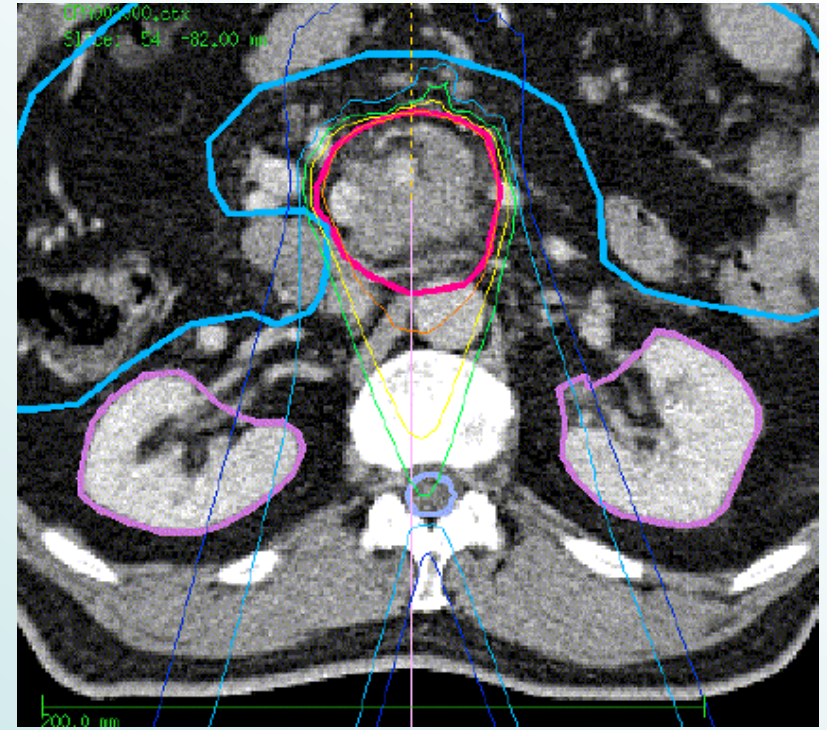
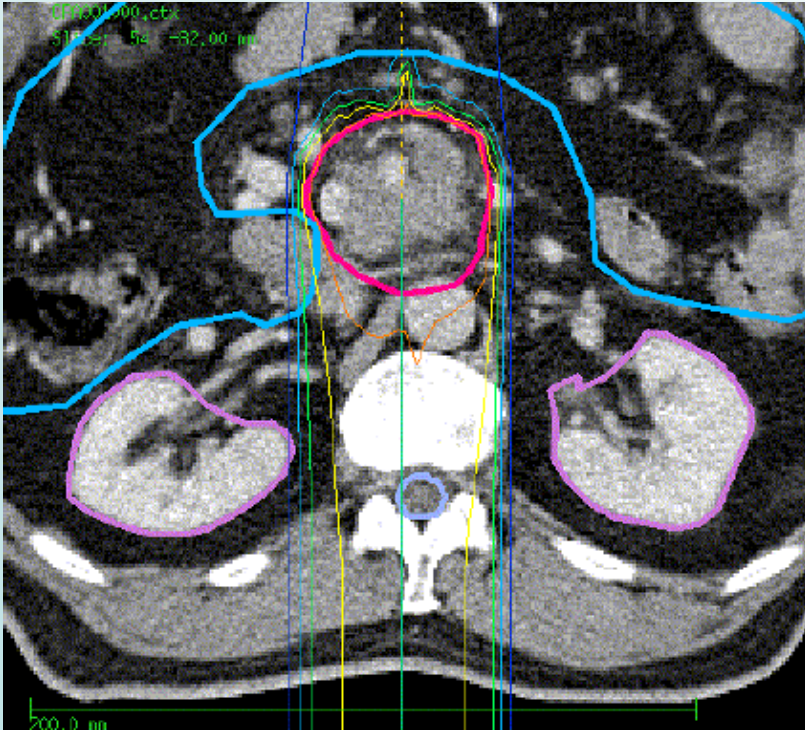




# Gantries: Motivation, Geometries and Examples

# Motivation for a Gantry

Example: Pancreas → supine position via gantry advantageous

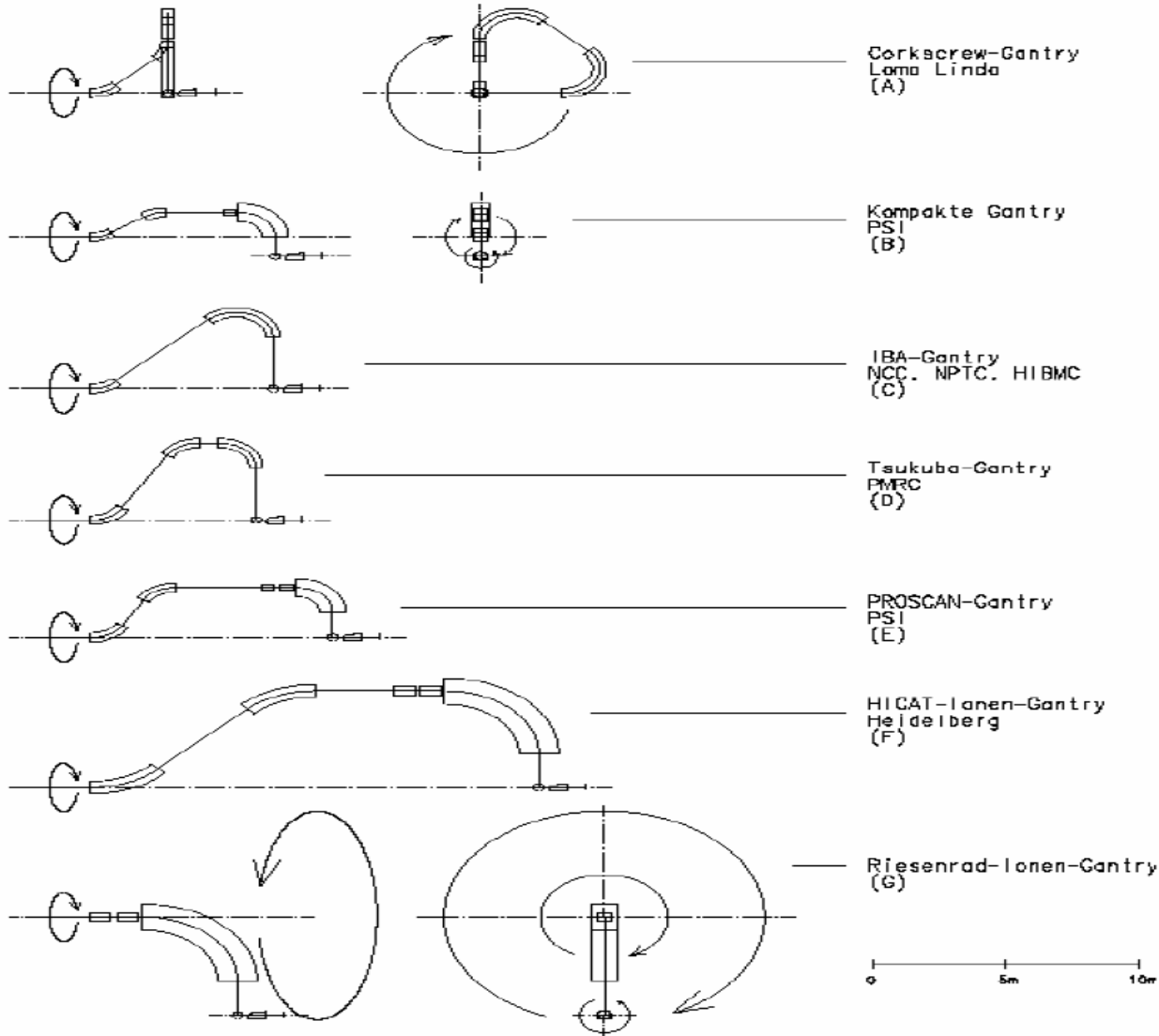


*Individual irradiation angle for each patient necessary!*

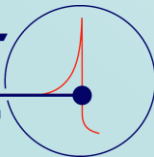
# Gantry geometries

Proton gantries

Ion gantries

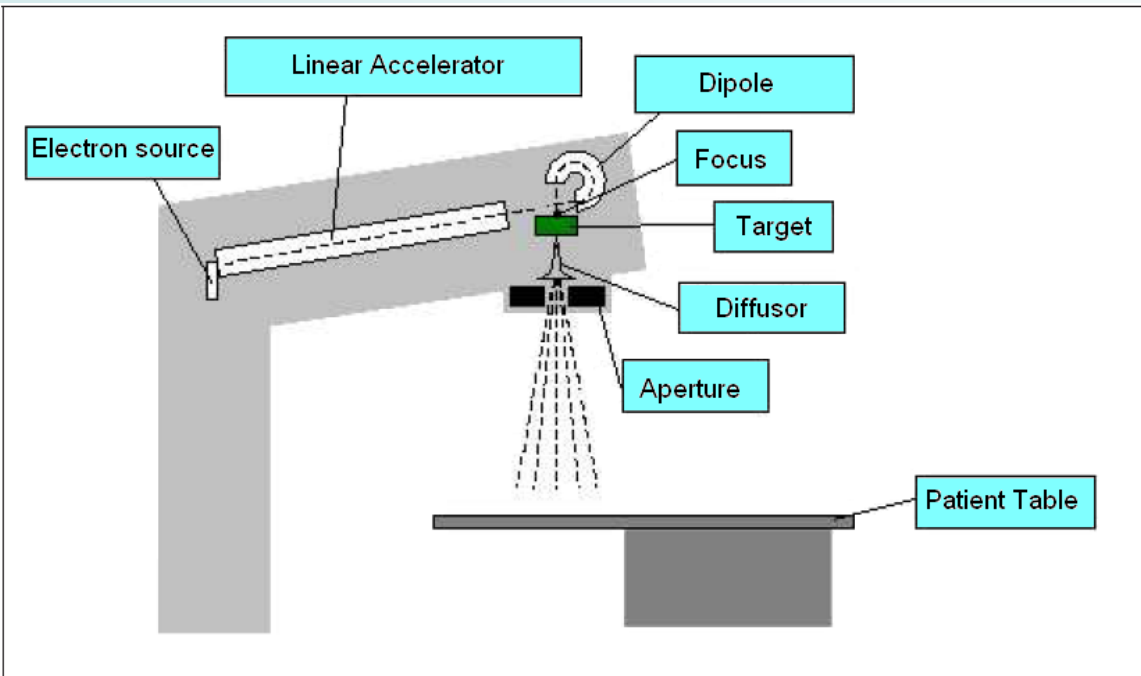


*Central question:  
Isocentric  
or  
excentric  
geometry?*



# Which Gantry geometry to choose?

***“The patient is in the focus, the technique should group around!”***  
***Medical personal is accustomed to use isocentric gantries like in photon therapy!***



Example:ONCOR from Siemens

Additional arguments → Safety reasons, e.g.

***“Only 20 – 30 s may elapse from stopping the irradiation till the physician may help the patient in case of respiratory problems or vomiting!”***

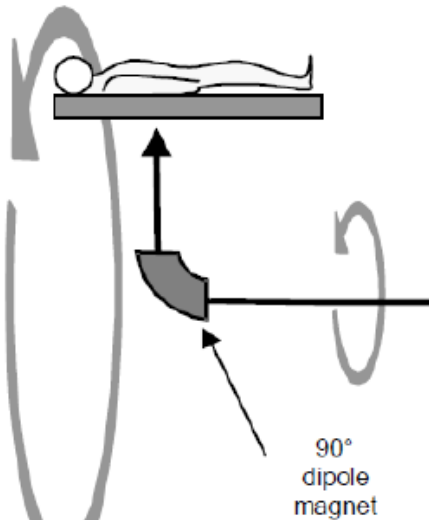


# Which Gantry geometry to choose?

Reimoser, Thesis, PMMS

## Exocentric Riesenrad Gantry

→ Patient is placed along a circle around the incoming beam axis.

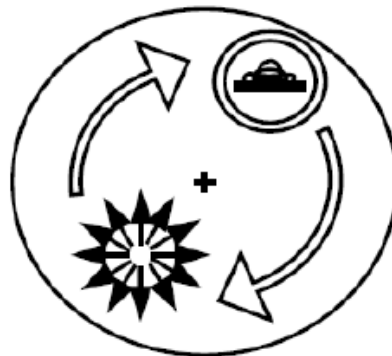


90°  
dipole  
magnet

Has not been built yet

## Beam & patient eccentric

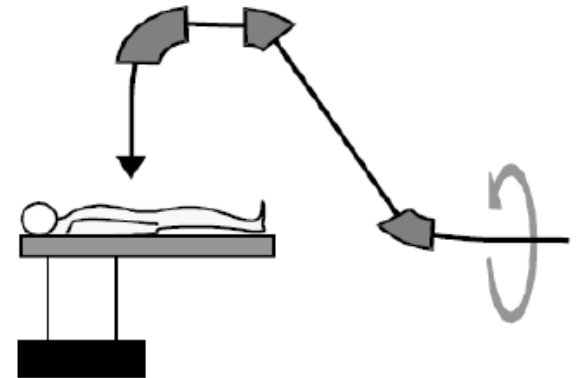
→ Smallest possible gantry radius



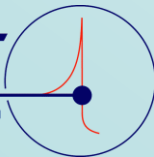
PSI Gantry 1

## Isocentric gantry

→ Beam delivery system rotates around the patient  
→ Patient is positioned centrally (isocentre) and is not moved.



Most Gantries in the world

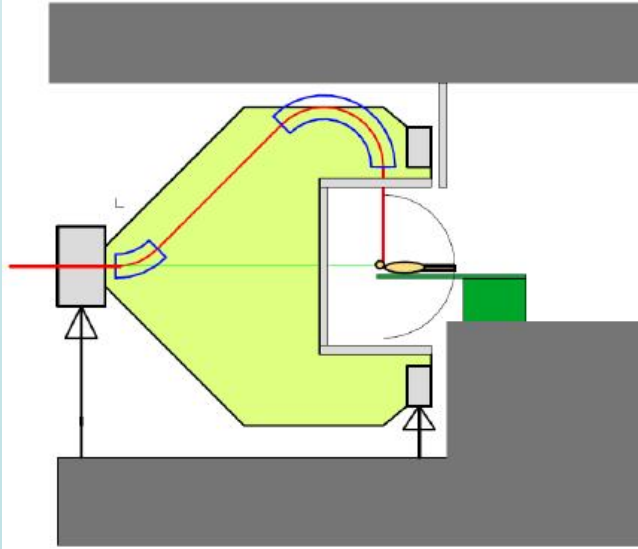


# Examples: Commercial Solutions

Mitsubishi



IBA

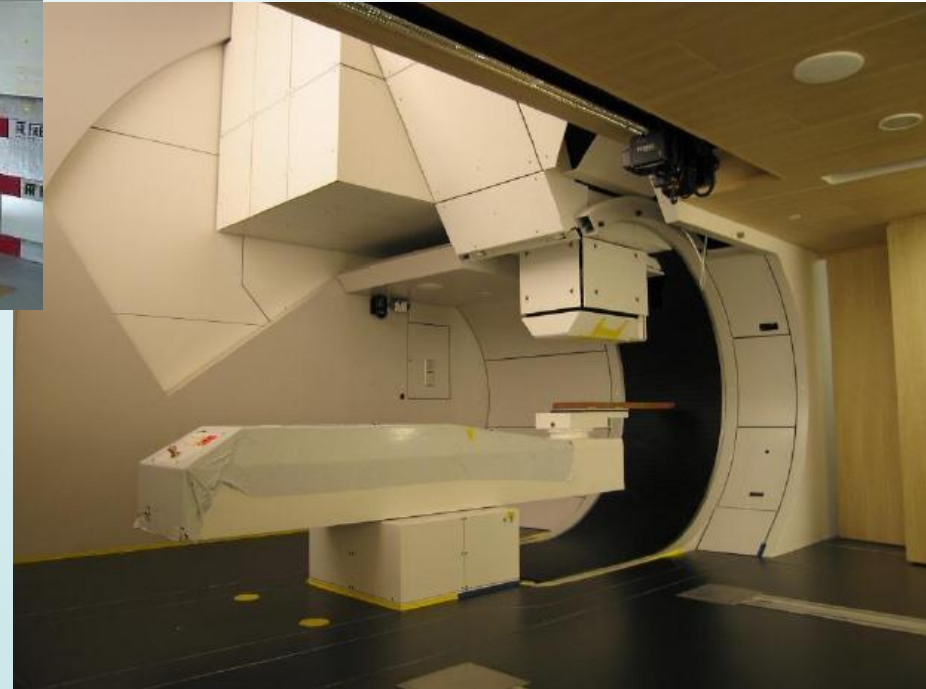


# Example: PSI – Gantry II



During installation

Installation finished,  
commissioning under way

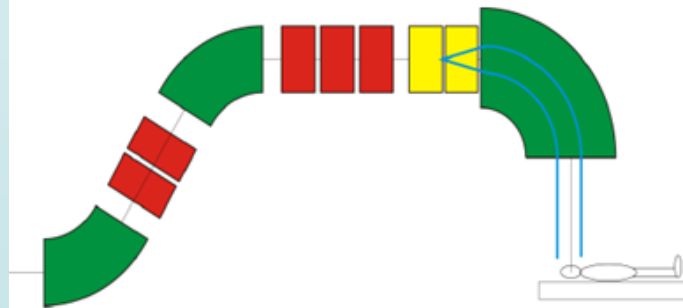




# Gantry / Active Pencil beam scanning

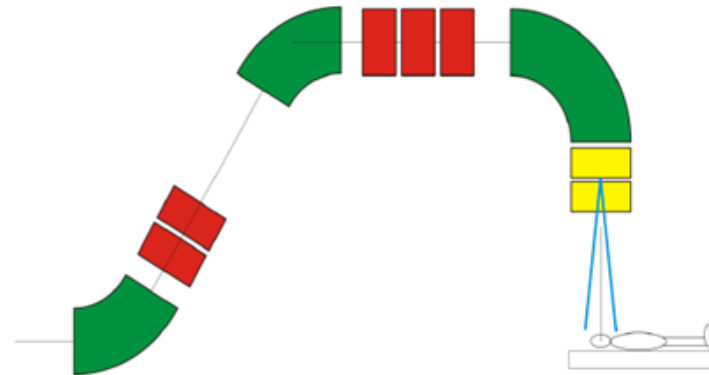
## Upstream scanners

- Large aperture last bend
- **Heavier**
- **Higher (magnet, mechanical support) costs**



## Downstream scanners

- Large fields possible with large SAD (increase diameter)
- **Larger diameter**
- **Larger room needed (costs?)**

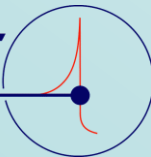


SAD – source-to-axis distance

## Air Gap (nozzle to iso-center)

- Important for *low-energy protons* ( $\sim 60\text{-}80\text{MeV}$ ,  $p^+$ )
- Nozzle elements (monitors, pre-absorber) and air *scatter* the beam, a (large) *air gap after nozzle* causes significantly *increased beam size* at iso-center

→ Bring vacuum as close as possible to nozzle monitors and the nozzle as close as possible to the target volume!





# HIT Ion Beam Gantry

45° dipoles

Scanner magnets

90° dipole

Position accuracy:

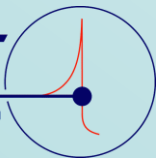
Dipoles +/- 2mm

Quadrupoles +/- 0.5 mm

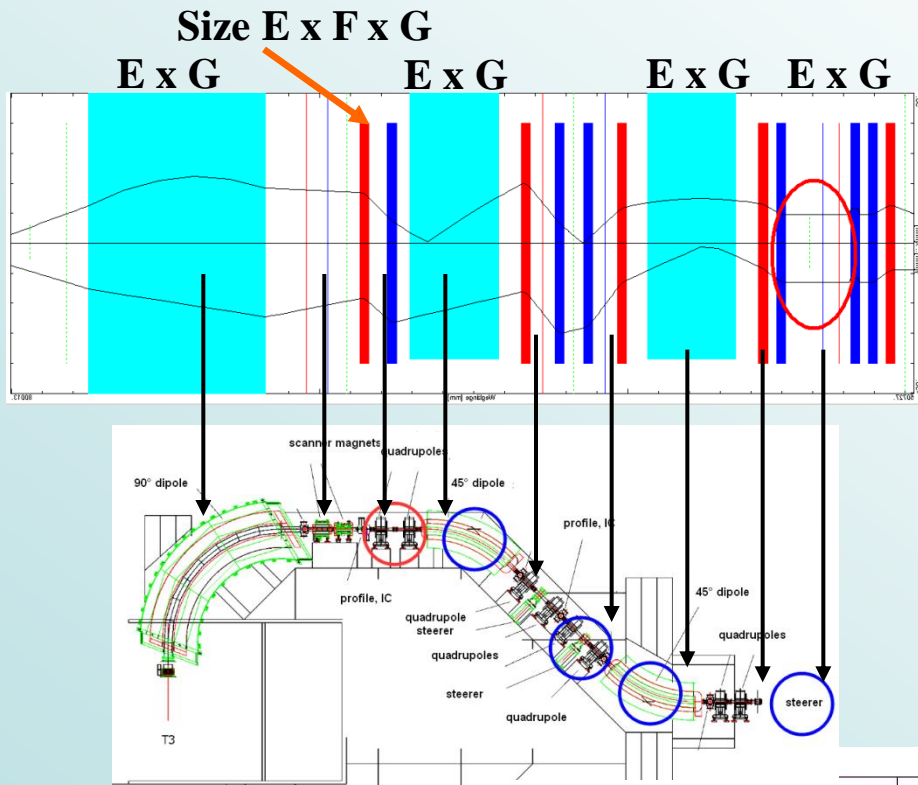
beam

Treatment room

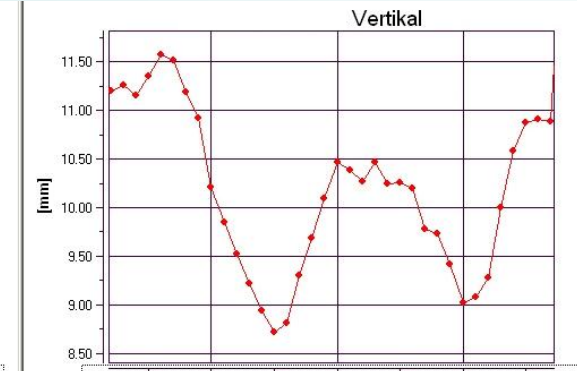
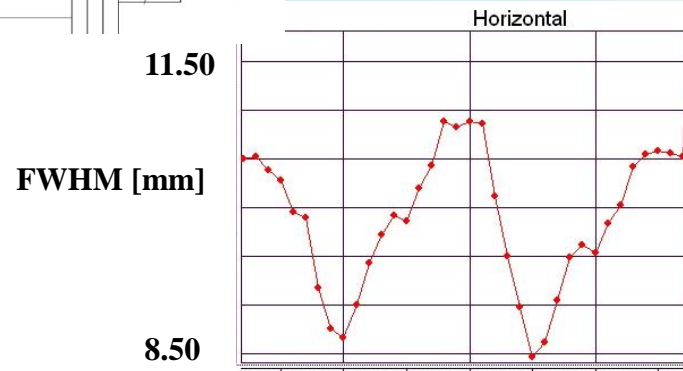
**GSI**  
MT Mechatronics



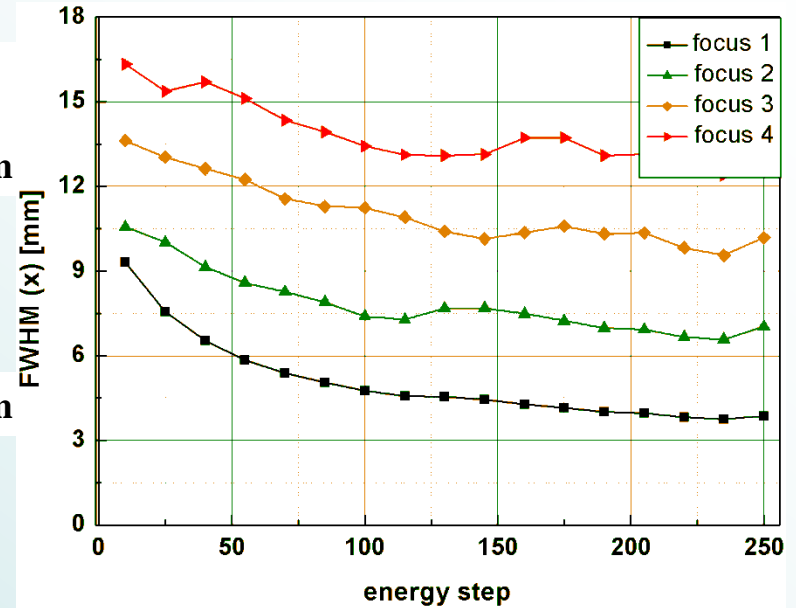
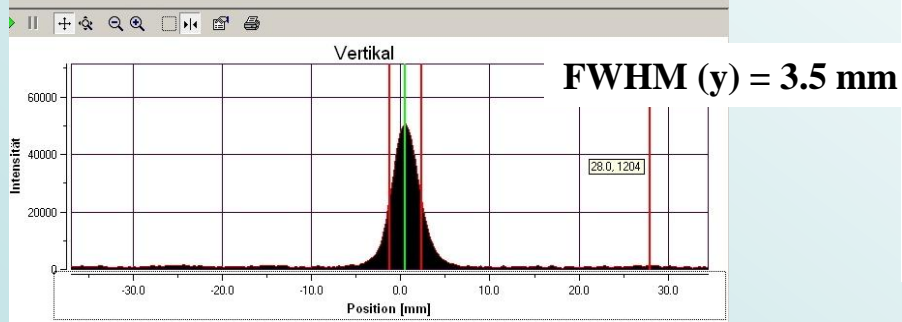
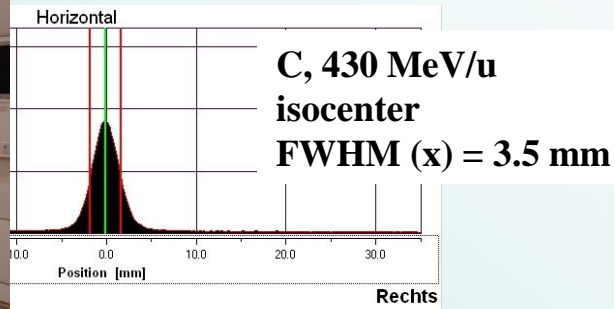
# HIT Gantry: Setting Concept



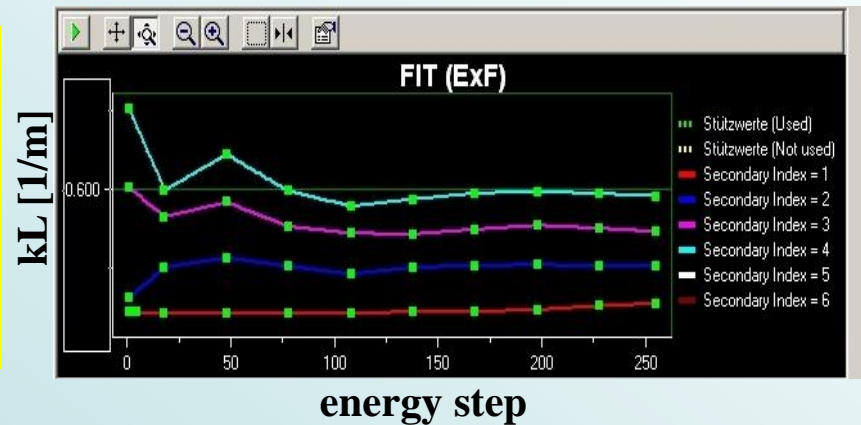
- Full transmission (beta function within limits)
- Basic setting (gantry and gantry injection!) for all angles keeping variation of beam focus and width independent of gantry angle
- Compensating effect of coupling of hor. and vert. phase space under gantry rotation
- Beam size adjustment with last quadrupole doublet



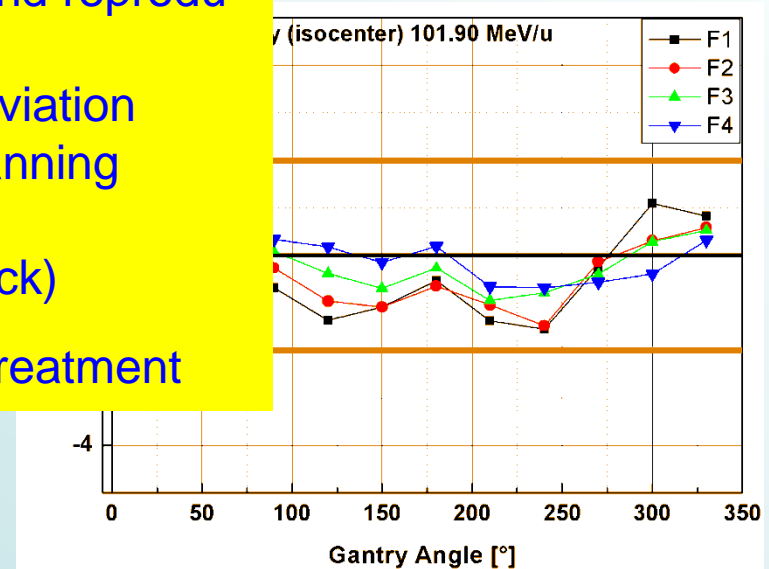
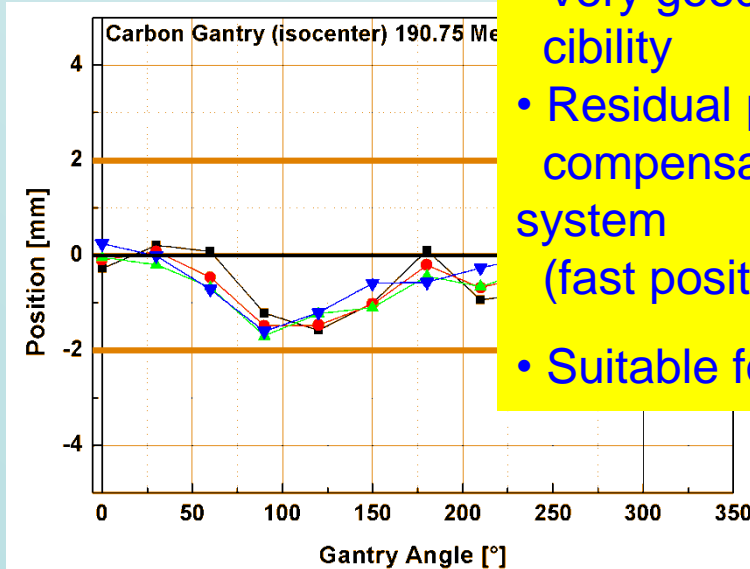
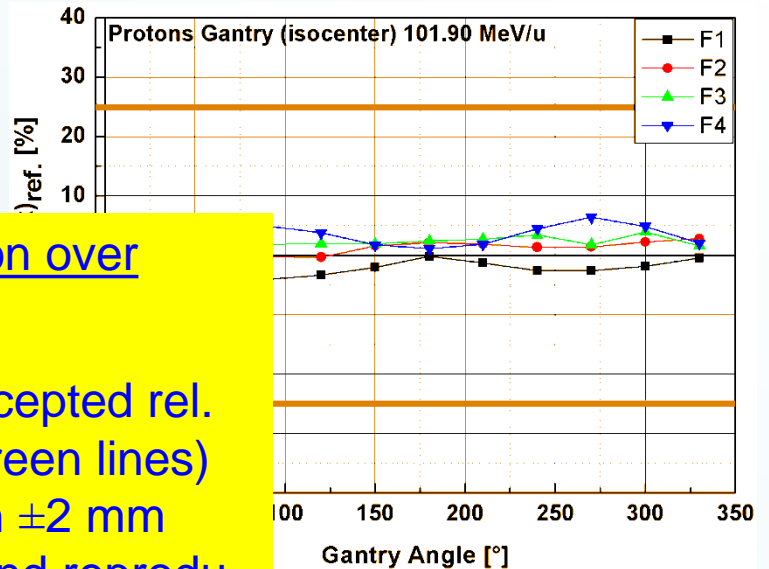
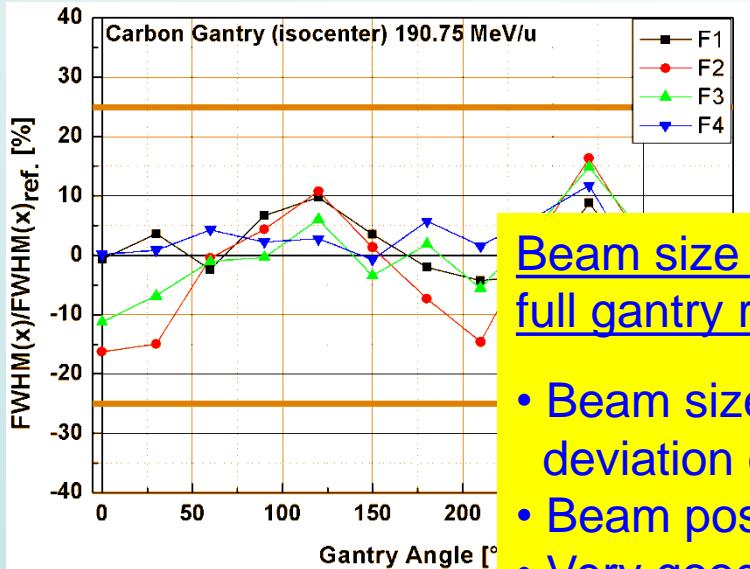
# HIT Gantry: Beam Size Control



- Energy and angle dependent settings of focusing quadrupoles (beam width)
- Energy and angle dependent settings of dipoles/steerer (beam position)
- Spline interpolation over base points



# HIT Gantry: Beam Size and Position



Beam size and position over full gantry rotation:

- Beam size within accepted rel. deviation of 25 % (green lines)
- Beam position within  $\pm 2$  mm
- Very good stability and reproducibility
- Residual position deviation compensated by scanning system (fast position feedback)
- Suitable for patient treatment

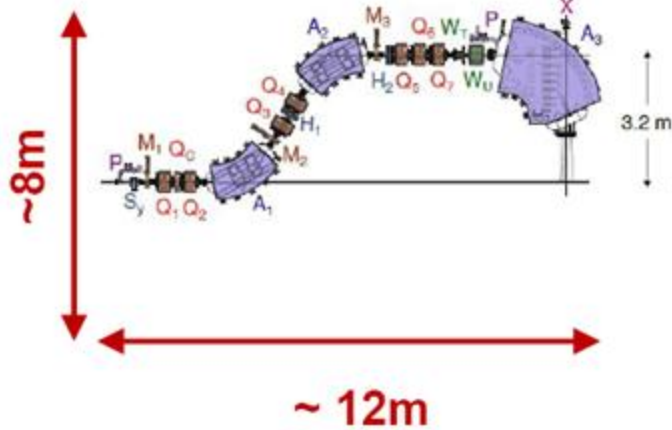


# The HIT Ion Gantry rotating...

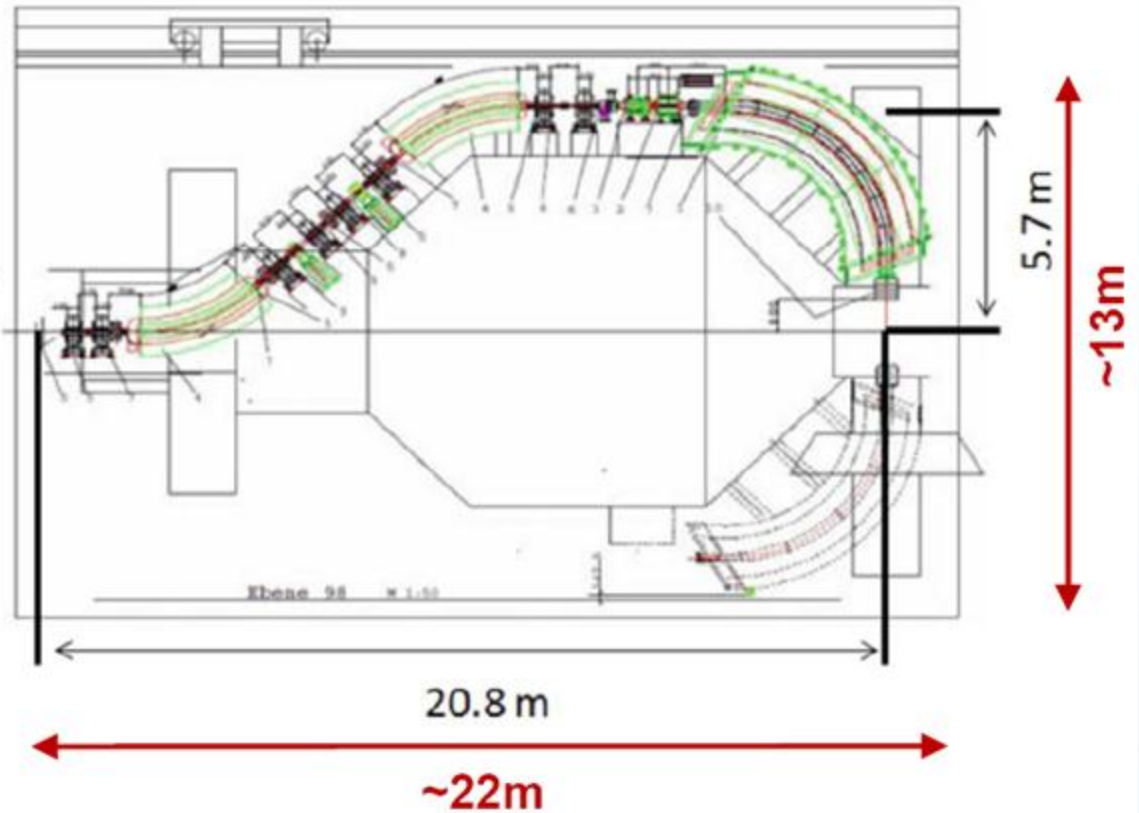


# Comparison: Proton vs. Ion Gantry

PSI Gantry 2  
(Proton)



HIT  
(Carbon)



Total Weights:

PSI Gantry II ~ 220 to

HIT Gantry ~ 670 to

# Outline – Part 3

- Daily operations of a particle therapy centre: Experiences from the first five years at HIT in Heidelberg, from commissioning to the treatment of the first 1000 patients so far
- Future enhancements for synchrotron-driven particle therapy facilities: Magnetic field control and feedback, dynamic spill shaping and multi-energy operation within one synchrotron cycle
- Outlook to new accelerator concepts proposed for particle therapy: FFAGs, laser plasma accelerators, dielectric wall accelerators, s.c. gantries and others

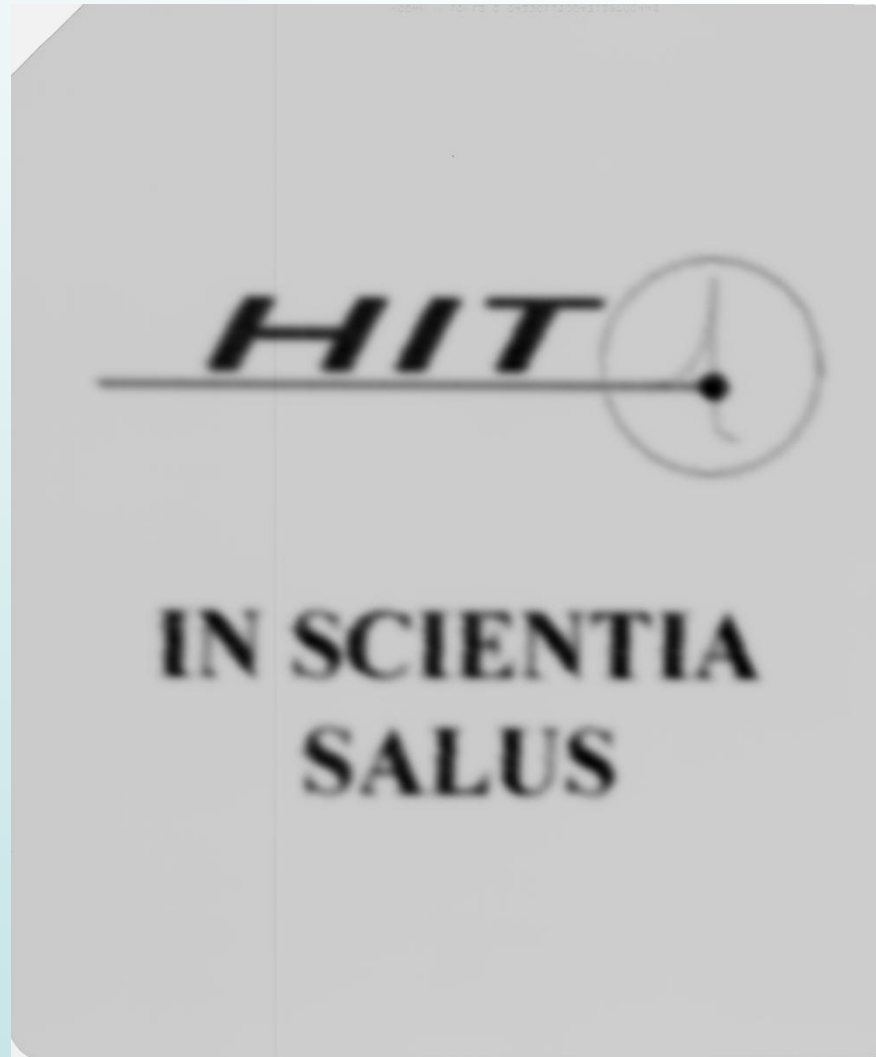
# Acknowledgement

Thanks to all people providing me their material: HIT (T. Haberer, R. Cee, M. Galonska, S. Scheloske, E. Feldmeier, J. Naumann, C. Schömers, K. Höppner et al.), GSI (U. Weinrich, H. Eickhoff, B. Schlitt, P. Forck, M. Schwickert, B. Voss et al.), CNAO (S. Rossi, E. Bressi, M. Pullia et al.), PSI (M. Schippers, E. Pedroni et al.), MGH (J. Flanz et al.), NIRS/HIMAC (K. Noda, Y. Iwata et al.), MedAustron (A. Koschik et al.), CEA (F. Kircher et al.) ...

... and also the companies: Siemens PT, VARIAN Medical, IBA, Mitsubishi, Hitachi, Danfysik, SigmaPhi and some more not listed here.



***Thank  
you for  
your  
attention  
!***



**(Intensity  
modulated  
raster scan,  
 $^{12}\text{C}$  at 430  
MeV/u),  
recorded on a  
film**