# **Theory of Flavor**

#### R. N. Mohapatra



#### Discrete 2012, Lisbon



### Definitions

#### Masses and mixings- two aspects of flavor

Def. 
$$L_{mass} = \overline{Q}_L M_{q=u,d} Q_R + \overline{l}_L M_l l_R + v^T m_v v + h.c.$$

• Mass basis:  $U_L M_{q,l} U_R^+ = M_{q,l}^{diag}$ ;  $U_\nu^* m_\nu U_\nu^\dagger = m_\nu^{diag}$  $V_{CKM} = U_u U_d^+$   $U_{PMNS} = U_l U_\nu^+$ 

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \qquad \qquad \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}$$

#### **Flavor Puzzle** $m_u/m_c \sim m_c/m_t \sim 1/200$ Up quarks: $m_d: m_s: m_b = 0.002: 0.03: 1$ Downs : $V_{us} \approx 0.22; V_{cb} \approx 0.037; V_{ub} \approx 0.003$ Mixings: $m_e: m_\mu: m_\tau = 0.0005: 0.093: 1.58$ Leptons: • $\nu$ Masses: weak hierarchy $m_2:m_3\sim 0.2:1\;( heta_C:1)$ • Mixings: $\sin^2 \theta_{12} \approx .312$ ; $\sin^2 \theta_{23} \approx .466 \sin^2 \theta_{13} \sim 0.02$

• Strong CP problem: why is  $\theta_{QCD} \leq 10^{-10}$  ?

# From data to theory via mass matrices

Diagonal charged lepton mass matrix +

$$M_{\nu} \cong \begin{pmatrix} \varepsilon_1 & \varepsilon_3 & \varepsilon_3 \\ \varepsilon_3 & 1+\varepsilon_1 & -1+\varepsilon_3 \\ \varepsilon_3 & -1+\varepsilon_3 & 1+\varepsilon_1 \end{pmatrix}$$

$${\cal E}_i \sim \lambda$$
Cabibbo angle

Compare with

$$M_{d} \approx m_{b} \begin{pmatrix} \lambda^{4} & \lambda^{3} & \lambda^{3} \\ \lambda^{3} & \lambda^{2} & \lambda^{2} \\ \lambda^{3} & \lambda^{2} & 1 \end{pmatrix}$$

## **Towards a theory of flavor**

Standard model: flavor resides in Yukawa couplings:

$$\mathcal{L}_Y = h_u \bar{Q}_L H u_R + h_d \bar{Q}_L \tilde{H} d_R + h_\ell \bar{L} \tilde{H} e_R + \mathcal{L}_\nu^{mass} + h.c.$$

- <H> leads to fermion masses and mixings.
- # of parameters: Majorana v : 22
   Dirac v : 20
- How do we understand these parameters ?

## Symmetry approach

Zero fermion masses (zero Yukawas)→ SM + RH nu
 → flavor symmetry group:

 $G_f = U(3)_Q \times U(3)_u \times U(3)_d \times U(3)_\ell \times U(3)_e \times U(3)_N$ 

- Flavor: a consequence of breaking  $G_f$
- Questions: G<sub>f</sub> Gauge or global symmetry ?
  - a) If global, should be discrete to avoid massless

states: scale  $M_f \geq M_{infl.}$  to avoid domain walls

b) If local, no such restriction except phenomenology

# This talk: Two classes of models-

- (i) Gauged flavor approach
- (ii) Discrete global flavor and GUTs

## Gauged flavor approach with vector-like quarks, leptons

- Add vectorlike fermions  $\rightarrow U_{2/3}, D_{-1/3}, E_{-1}, N_0$
- Flavor is a high scale (multi-TeV) phenomenon but "trickles" down to low scales  $Q_R = Q_R$

$$\begin{array}{ll} q_L \begin{pmatrix} 0 & \lambda_u v_L \\ Q_L \begin{pmatrix} \lambda_u v_R & < Y_u \end{pmatrix} & \text{unit matrix; flavor flat} \\ h = \frac{\lambda v_R}{\langle Y \rangle} \\ h = \frac{\chi v_R}{\langle Y \rangle} \end{array}$$

 Gauged flavor guarantees this (SM:Greenstein,Redi,Villadoro'10; LR:Guadagnoli, Mohapatra, Sung'11) Cosmology OK.

## Flavor from flavon vevs and solution to strong CP

- Understanding flavor in this approach:
- Write potential  $V(Y_u, Y_d)$  at  $M_f$  and minimize to get SM Yukawas:
- For MFV (SM) case problematic: (Alonso, Gavela, Merlo, Rigolin)
- -Not for LR Gauged flavor case (preliminary)
- -LR Advantage:  $\theta_{QCD} = 0$ tree and 1-loop;  $\rightarrow$  2-loop small

(Babu, RNM'90)



## **New TeV scale Physics**

- Sub-TeV vector-like fermions (top partner)  $\psi_t$ LHC:  $M_{\psi_t}$ > 760 GeV (ATLAS); > 475 GeV (CMS)
- $3^{rd}$  gen mixing:  $\theta_{t\psi_t} \le 5\%$ •  $t \rightarrow cg$   $\kappa \approx 10^{-3} \left(\frac{TeV}{Y_{u,33}}\right)^3 (TeV)^{-1}$ ;100 times SM
- Striking LHC signal 6b+2W  $pp \rightarrow \psi_t \overline{\psi_t} + X$  $t + H \rightarrow bWb\overline{b}$
- 1-2% level CKM unitarity violation: (Branco, Botella, Nebot'12)

# Strategy with discrete global flavor symmetry:

- Add SM singlet  $G_f$  non-singlet flavon fields  $\phi_a$ For  $\mu \ll M_f$ ,  $\mathcal{L}_{eff} = \frac{1}{M_f^n} \bar{Q}_L \phi_a^n H u^c + ..$
- Minimize flavon potential  $\rightarrow$  determines the Yukawa couplings i.e.  $h_{u,d} = \frac{\langle \phi_a^n \rangle}{M_f^n}$

Berezhiani, King, Ross; M-Varzielas; Altarelli, Feruglio, Hagedorn, Luhn, Merlo, Smirnov, Schmidt, ...

Used extensively for neutrinos

 $\rightarrow$  Our example GUT model unifying quarks and leptons

### **GUT theory of flavor**

- Many reasons to consider GUTs :
- GUTs unify couplings at very high scale:
  Interesting flavor relations at GUT scale: e.q.

$$m_b \approx m_{\tau}; m_{\mu} \approx 3m_s$$
  $\frac{m_{sol}}{m_{atm}} \sim \theta_C; \theta_{13} \sim \theta_C$ 

Seesaw for neutrinos points to GUT scale:

Type I:

$$= -\frac{h_v^2 v_{wk}^2}{M_R} M_R \approx 10^{14} GeV; \text{ similar for Type II:}$$

• Desirable to avoid domain wall problem  $M_f \gg M_U$ 

## Underlying structure in quarklepton flavor for model building

Suppose

$$M_{u} = M_{0} + \delta_{u}$$
$$M_{d} = rM_{0} + \delta_{d}$$
$$M_{l} = rM_{0} + \delta_{l}$$

 $\delta_{u,d,l} << M_0$ 

• Choose basis so  $m_{
u}$  diagonal.

Small quark mixings and large lepton mixings

(Dutta, Mimura, RNM' PRD-09)

# How to see that ? **Suppose:** $U_0 M_0 U_0^+ = M^{diag}$ • Then $VU_0(rM_0 + \delta_d)U_0^+V^+ = M_d^{diag}$ • Since $\delta_{u,d,l} << M_0$ off-diagonal elements of V are small. $V_{CKM} = U_0 U_0^+ V^+ = V^+$

• On the other hand,  $U_{PMNS} = U_0$  whose matrix elements are large for anarchic M<sub>0</sub>

Does not however explain mass hierarchies

# Rank mechanism and mass hierarchy

• Assumption (II): Mo rank one i.e.  $M_0 = \begin{pmatrix} a \\ b \\ c \end{pmatrix} (a \ b \ c)$ 

• mass for third gen fermions: t, b,  $\tau$  with  $m_b \simeq m_{\tau}$ 

• Turn on 
$$\delta_{u,d,l} << M_0$$
,  $M_{c,s,\mu} << M_{t,b,\tau}$ 

#### Relates mixings to masses;

(Rank idea: Balakrishna, Kagan, RNM; Babu, RNM'88-90; Berezhiani, Rattazi '95 Dobrescu, Fox, Ferreti, King, Romanino'2000+;...)

# **Illustration for 2-Gen. case** Suppose $M_0 = \begin{pmatrix} c \\ s \end{pmatrix} (c \ s)$ and $m_{\nu} = diag(\epsilon_1, \epsilon_2) \propto \delta_{u,d}$

- $c=\cos \theta$ ;  $s=\sin \theta$
- $\theta = Atm$ . angle; chosen large.

Predictions:

$$\frac{m_{\tau}}{m_{b}} \cong m_{b}$$

$$\frac{m_{s}}{m_{b}} \approx -V_{cb} \tan \theta$$

consistent with observations

### Rest of talk :Realization in GUT theories

## Rank idea in flavon approach

Leading order charged fermion mass matrix:

 $M_0 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \equiv \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \begin{pmatrix} 0 & 0 & 1 \end{pmatrix}$  $\equiv <\phi_f><\phi_f''>$ Neutrinos:  $M_{\nu}^{0} \sim \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & -1 & 1 \end{pmatrix} \equiv \begin{pmatrix} 0 \\ 1 \\ -1 \end{pmatrix} \begin{pmatrix} 0 & 1 & -1 \\ \end{pmatrix}$ 

SO(10) as the right group  
Ansatz: 
$$M_u = M_0 + \delta_u$$
 as  $\delta_{u,d} \rightarrow 0, M_u \propto M_d$   
 $M_d = rM_0 + \delta_d$ 

- In SM, *u<sub>R</sub> d<sub>R</sub>* are singlets- so M<sub>u</sub>, M<sub>d</sub> unrelated.
   We need a theory where,  $\begin{pmatrix} u_R \\ d_R \end{pmatrix}$  are in a doublet.
- SU(5) cannot do:  $u_R$ ,  $d_R$  in separate multiplets

### SO(10) is precisely such a theory.

# RENORMALIZABLE SO(10)

### • $16_{m} \times 16_{m} = \{10\}_{H} + \{120\}_{H} + \{126\}_{H}$

Fermion masses from Yukawa couplings as in SM

$$L_Y = h16 \cdot 16 \cdot 10_H + f16 \cdot 16 \cdot \overline{126}_H + h'16 \cdot 16 \cdot [120,10]_H$$

#### Unifies quark and lepton flavors: (Babu, Mohapatra, 93)

 (Fukuyama,Okada'02; Bajc, Senjanovic, Vissani'02; Goh, Mohapatra, Ng'03; Babu, Macesanu'05; Aulakh,Bajc,Melfo,Senjanovic, Vissani;Fukuyama,Ilakovic,Meljanac,Kikuchi,Okada; Dutta,Mimura,RNM;Bertolini,Frigerio,Malinsky; Joshipura,Patel'11;Altarelli,Blankenburg'11; Dev, RNM, Severson'11)

# Fermion mass formulae in SO(10)<sub>126</sub>

• Define  $Y_f = M_f / v_{wk}$ 

(Babu, RNM'93)

SO(10) mass formulae: Compare with

$$Y_u = h + r_2 f + r_3 h' \qquad M_u = M_0 + \delta_u$$

$$Y_d = r_1(h + f + h') \qquad M_d = rM_0 + \delta_d$$

$$Y_e = r_1(h - 3f + c_e h') \quad M_l = r M_0 + \delta_l$$

• Type II seesaw for neutrino mass  $\rightarrow m_{\nu} \cong f \dot{\nu}_{\Delta}$ Anstaz realized if  $h \gg f, h'$ -model fits data, predictive

# Theta\_13 prediction of SO(10)<sub>126</sub> Models

Predicts  $\theta_{23}$ ,  $\theta_{12}$ , solar mass in the right range:

0.15

0.1

0.05

-0.05

-0.1

-0.15

0.8

0.82

0.84

0.86

 $\sin^2 2\theta_{\rm A}$ 

0.88

0.9

U<sub>e3</sub>

Type II seesaw case:  $\rightarrow$  Goh, RNM, Ng'03  $\theta_{13} \simeq 0.17$ 

- Babu and Macesanu'05:  $\theta_{13}\simeq 0.156$ 

Non-SUSY case (Type I)(Joshipura, Patel, '11; Severson'12)  $\theta_{13} \simeq 0.156$  (Daya Bay-RENO-DC expt ~0.15)

## Some SO(10) details:

Pre GUT superpotential with S<sub>4</sub> flavons,  $\phi_1, \phi_2, \phi_3$ 

# $W = (\phi_1 \psi)(\phi_1 \psi)H + (\phi_2 \psi)(\phi_2 \psi)\bar{\Delta} + (\phi_3 \psi \psi)\bar{\Delta} + (\phi_2 \psi \psi)H',$ $\Delta (126); H, H' (10)$

(Dutta, Mimura, RNM'09)

### Yukawa pattern from dynamics

Examples: S4 triplet flavon case: (Dutta, Mimura and RNM'09)

$$W = \frac{1}{2}m\phi^2 - \lambda\phi^3 = \frac{1}{2}m(x^2 + y^2 + z^2) - \lambda xyz.$$

• While for 
$$\begin{split} & \phi = \frac{m}{\lambda} \{ (1,1,1) \text{ or } (1,-1,-1) \text{ or } (-1,1,-1) \text{ or } (-1,-1,1) \}. \\ & W = \frac{1}{2} m \phi^2 - \frac{\kappa_1}{M} (\phi^4)_1 - \frac{\kappa_2}{M} (\phi^4)_2 \end{split}$$

 $\vec{a} \ = \ (0,0,\pm 1), \ (0,\pm 1,0), \ (\pm 1,0,0), \ \vec{b} \ = \ (\pm 1,\pm 1,\pm 1), \ \text{and} \ \vec{c} \ = \ (0,\pm 1,\pm 1),$ 

Slightly perturbed around this vacuum  $W + \delta \phi_2 \phi_3$ 

### Fits and Predictions

### Fernion masses:

	Predicted value	$3\sigma$ exp range
$\overline{\theta_{12}}$	33.77°	(30.6–36.8)°
$\theta_{23}$	44.82°	(35.7-53.1)°
$\theta_{13}$	9.02°	(1.8–12.1)°
		[(5.9–11.6)°]
$\delta_{\rm D}$	-165.28°	

(Severson, Dutta, Dev, RNM'12)

	-	-
	Best fit	Exp value
$m_e$ (MeV)	0.3585	$0.3585^{+0.0003}_{-0.003}$
$m_{\mu}$ (MeV)	75.6719	$75.6715\substack{+0.0578\\-0.0501}$
$m_{\tau}$ (GeV)	1.2922	$1.2922\substack{+0.0013\\-0.0012}$
$m_d$ (MeV)	0.8960	$1.5036^{+0.4235}_{-0.2304}$
$m_s$ (MeV)	21.9535	$29.9454^{+4.3001}_{-4.5444}$
$m_b$ (GeV)	1.0627	$1.0636\substack{+0.1414\\-0.0865}$
$m_u$ (MeV)	0.7284	$0.7238\substack{+0.1365\\-0.1467}$
$m_c$ (MeV)	209.8979	$210.3273^{+19.0036}_{-21.2264}$
$m_t$ (GeV)	84.1739	$82.4333^{+30.2676}_{-14.7686}$
$V_{us}$	0.2243	$0.2243 \pm 0.0016$
$V_{\mu b}$	0.0033	$0.0032 \pm 0.0005$
V <sub>cb</sub>	0.0351	$0.0351 \pm 0.0013$
J	$2.19 \times 10^{-5}$	$(2.2 \pm 0.6) \times 10^{-5}$
$\Delta m_{\odot}^2 / \Delta m_{\rm atm}^2$	0.0321	$0.0320 \pm 0.0025$
$\chi^2$	4.05	

## Neutrino predictions:



 Requires threshold corrections which make predictions for susy parameters.

(Severson, Dutta, Dev, RNM'12)

# Prediction for $\mu \rightarrow e + \gamma$



# **Predictions for stop and gluion masses**

 Large threshold corrections require large A terms and gluino masses which are required to fit Higgs mass 125 GeV.

$$M_{\tilde{G}} \ge 1.5 TeV; M_{\tilde{t}} \ge 700 GeV$$

Testable in colliders

### **GUTs and Proton decay**

 $\widetilde{W}^+$ 

#### Beware of Proton decay problem !!

- SUSY GUTs two generic sources:
- (i) Gauge exchange:

$$p \to e^+ \pi^0, \ \tau_p^{-1} \approx \left[\frac{g^2}{M_X^2}\right]^2 m_p^5 \approx [10^{36 \pm 1} yr]^{-1}$$

(ii) Higgsino exchange: (dangerous one)  $p \rightarrow \overline{\nu}K^+$ 

$$\tau_p^{-1} \approx \left[\frac{f^2}{M_{H_c}M_{SUSY}}\right]^2 (\frac{\alpha}{4\pi})^2 m_p^5 \approx \left[10^{28} - 10^{32} yr\right]^{-1} / 10^{10} m_p^{-1}$$

**Present limit:**  $\tau_{\overline{vK^+}} > 2.3 \times 10^{33} yrs$ 

## **Present experimental limits**

#### Super-K, Soudan, IMB, Frejus



#### ; $\tau_{n\bar{n}} \geq 2 \times 10^8 \ sec.$

## Rank one keeps susy proton decay mode small

Proton decay problem in SU(5): one Higgs pair s

 In SO(10), there are more Higgs fields and if flavor structure is such that triplet Higgs do not connect, no p-decay problem:

 $3_H$   $\overline{3}_H$ 

 $\rightarrow A_p \propto Y_u Y_d$ 



 $\tau_{p \to K\nu} \le 10^{34} yrs$ 

Choice flavor structure that does it ( Dutta, Mimura, RNM' 05)

$$h_{10} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}; h_{126} = \begin{pmatrix} 0 & 0 & \lambda^3 \\ 0 & \lambda^2 & \lambda^2 \\ \lambda^3 & \lambda^2 & \lambda^2 \end{pmatrix};$$
$$h_{120} = \begin{pmatrix} 0 & \lambda^3 & \lambda^3 \\ -\lambda^3 & 0 & \lambda^2 \\ -\lambda^3 & -\lambda^2 & 0 \end{pmatrix};$$

# **Predicts seesaw linked B-L violating proton decays**

- Seesaw breaks B-L by two units:
- Leads to B-L =2 proton decay modes via dim 6 operators e.g.  $u^c d^c d^c L H_u d^c d^c d^c L H_d$

(Babu, Mohapatra, Phys. Rev. Lett. 109 (2012) 091803 )



### **Conclusion:**

(i) pre-GUT scale Flavon approach promising to unify diverse profiles of quark and lepton flavor patterns using LR symmetric GUTs

(ii) SO(10) GUT with type II seesaw :S<sub>4</sub> example that realizes ansatz.

(iii) Predicts  $\theta_{13}$  in agreement with observations and solves proton decay problem, predicts new modes



They follow:

# **Possible solution to strong CP problem in SO(10)**

Slightly different version: 10+126+120 with CP

■ Under CP,  $10 \rightarrow 10^*$ ,  $126 \rightarrow 126^*$ ;  $120 \rightarrow -120^*$ 

■ Makes 10, 126 Yukawas real, 120 Yukawa imaginary: <120> breaks CP → hermitean mass matrices ---Non-trivial CKM but ---Arg Det M<sub>u</sub> M<sub>d</sub> =0→  $\theta_{OCD}$  =0 tree



# A specific realization with predictive textures:

- Group: SO(10)xS<sub>4</sub>  $\supset 3_1 + 3_2 + 2 + 1_1 + 1_2$
- Consider flavons  $\phi_{1,2,3} \subset 3_{1,2}$ ; matter {16} $\subset 3_2$
- Inv effective superpotential at GUT scale:

 $W = (\phi_1 \psi)(\phi_1 \psi)H + (\phi_2 \psi)(\phi_2 \psi)\bar{\Delta} + \phi_3 \psi \psi \bar{\Delta} + \phi_2 \psi \psi H'$ 

The flavon vevs align as:  $\phi_1 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}, \quad \phi_2 = \begin{pmatrix} 0 \\ -1 \\ 1 \end{pmatrix}, \quad \phi_3 = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}.$ Leading to  $f = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & -1 & 1 \end{pmatrix} + \lambda \begin{pmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{pmatrix} \text{ and h'} = \begin{pmatrix} 0 & 1 & -1 \\ 1 & 0 & 0 \\ -1 & 0 & 0 \end{pmatrix}.$ 

#### Gives realistic model for fermion masses and mixings

## Naturally large neutrino mixings

### Diagonalize M<sub>0;</sub>



• In the mass basis  $\theta_a$  =atmos  $\theta_s$  =solar angles and are generically large.



### **Other consequences**

- Reduction of top width
  - $t \rightarrow cg$  probe
  - Parameterize:  $L_{eff} = \kappa \bar{t}_R \sigma^{\mu\nu} c_L G_{\mu\nu}$
  - SM prediction  $\kappa \sim 10^{-5} (TeV)^{-1}$  D0: <.018  $(TeV)^{-1}$

Our model: intermediate top partner mediated graph  $\rightarrow \qquad \kappa \approx 10^{-3} \left( \frac{TeV}{Y_{\mu,33}} \right)^3 (TeV)^{-1}$  **Detailed flavon approach in SUSY SO(10): example Rank one in an effective theory using** vector like matter  $\Psi_{V}\{16\} + \overline{\Psi}_{V}\{\overline{1}\overline{6}\}$ 



Replace Yukawa couplings by flavon fields  $\phi_i$ whose value is determined by the minimum of the theory via family discrete sym constraints.

## Perturbed flavon vacuum and Phenomenology

 $\bar{h}' = \delta \begin{pmatrix} 0 & b & a \\ b & 0 & \epsilon \\ c & c & 0 \end{pmatrix},$ 

Perturb: vev alignment: (Dev, Dutta, RNM, Severson'12)

$$\phi_1 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}, \qquad \phi_2 = \begin{pmatrix} \epsilon \\ a \\ b \end{pmatrix}, \qquad \phi_3 = \begin{pmatrix} x \\ y \\ z \end{pmatrix}.$$

 $(\epsilon, a, b) = (-0.080, -0.752, 0.692), \text{ and } (x, y, z) = (0.937, 0.928, 0.936)$   $W = \frac{1}{2}m\phi^2 - \frac{\kappa_1}{M}(\phi^4)_1 - \frac{\kappa_2}{M}(\phi^4)_2 + \delta \phi_2 \phi_3$ Predicts Yukawa matrices:  $\bar{f} = m_0 \begin{pmatrix} \epsilon^2 & \epsilon a & \epsilon b \\ a\epsilon & a^2 & ab \\ b\epsilon & ba & b^2 \end{pmatrix} + m_1 \begin{pmatrix} 0 & z & y \\ z & 0 & x \\ y & x & 0 \end{pmatrix},$ 

$$h = \begin{pmatrix} 0 & & \\ & 0 & \\ & & 1.946 \end{pmatrix}$$