

# Neutrino Mass from $d > 5$ Effective Operators in an SU(5) GUT with Discrete Symmetry

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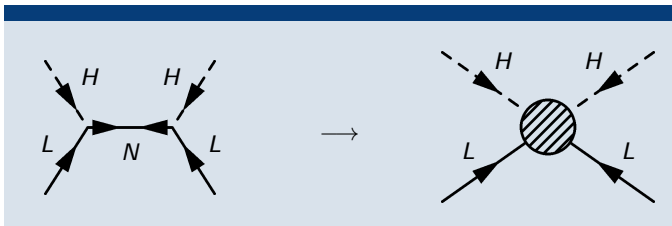
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Many new physics models come with an **extended Higgs sector** and additional **(discrete) symmetries**.

- Systematic study of neutrino mass generation by higher-dimensional effective operators
- New physics at the TeV scale and phenomenological implications at the LHC
- Embedding in SU(5) GUT and consequences for phenomenology

- The usual type I seesaw introduces new physics close to the GUT scale.

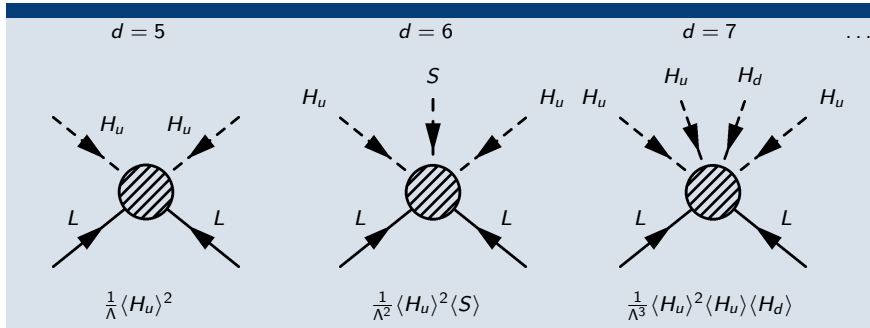


- At low energies the new physics effects can be described by the **Weinberg operator**  $\mathcal{O}_W = (\bar{L}^c i\tau^2 H) (H i\tau^2 L)$  of  $d = 5$ .
- After EWSB  $\frac{Y_N^2}{m_N} \langle H \rangle^2 \bar{\nu}^c \nu \rightarrow$  generates neutrino mass  $m_\nu^{\text{eff}} \propto \frac{v^2}{\Lambda}$ , with  $\Lambda = m_N$

**Not testable in experiments!**

In theories with additional scalars (THDM, MSSM, NMSSM, ...)

→ Operators with  $d > 5$  can have significant contribution to neutrino mass



- Theories with discrete symmetries → operator can be forbidden at  $d = 5$
- Operator with  $d > 5$  as leading contribution to neutrino mass
- New physics scale can be at **lower energy**

$$W_{\text{NMSSM}} = y_u u^c Q H_u + y_d d^c Q H_d + y_e e^c L H_d + \lambda S H_u H_d + \frac{1}{3} \kappa S^3$$

	Op.#	Effective interaction	Charge	Same as
$d = 5$	1	$LLH_u H_u$	$2q_L + 2q_{H_u}$	
$d = 6$	2	$LLH_u H_u S$	$2q_L + q_{H_u} - q_{H_d}$	
$d = 7$	3	$LLH_u H_u H_d H_u$	$2q_L + 3q_{H_u} + q_{H_d}$	
	4	$LLH_u H_u SS$	$2q_L - 2q_{H_d}$	
$d = 8$	5	$LLH_u H_u H_d H_u S$	$2q_L + 2q_{H_u}$	#1
	6	$LLH_u H_u SSS$	$2q_L + 2q_{H_u}$	#1
$d = 9$	7	$LLH_u H_u H_d H_u H_d H_u$	$2q_L + 4q_{H_u} + 2q_{H_d}$	
	8	$LLH_u H_u H_d H_u SS$	$2q_L + q_{H_u} - q_{H_d}$	#2
	9	$LLH_u H_u SSSS$	$2q_L + q_{H_u} - q_{H_d}$	#2

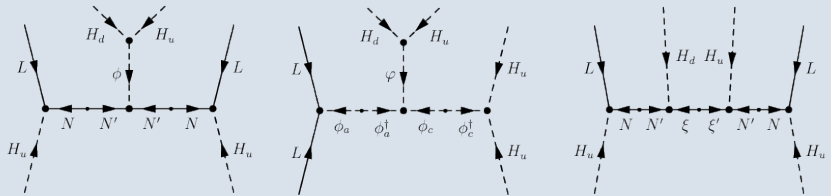
## Characteristics

- Condition for discrete charges of fields from neutrality of superpotential
- Rules out some operators as leading contribution to neutrino mass
- Several possible fundamental theories can lead to the same effective operator

MBK, Ota, Porod, Winter (2011); *PRD* 84, 115023

(c.f. Bonnet, Hernandez, Ota, Winter (2009); *JHEP* 0910, 076 for a study in the THDM)

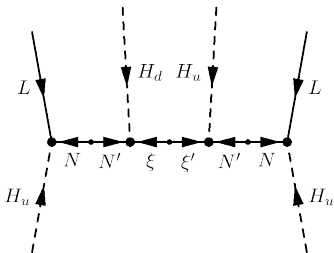
# Possible Decompositions for $d = 7$



- Same external fields, different mediators
- Scalar mediators potentially problematic:  
VEV of scalar  $\rightarrow$  induces  $d = 6$  operator

## Superpotential

$$W = W_{(N)MSSM} + Y_N \hat{N} \hat{L} \cdot \hat{H}_u - \kappa_1 \hat{N}' \hat{\xi} \cdot \hat{H}_d + \kappa_2 \hat{N}' \hat{\xi}' \cdot \hat{H}_u + m_N \hat{N} \hat{N}' + m_\xi \hat{\xi} \cdot \hat{\xi}'$$



### New fields:

- SM singlets  $N, N'$
- $SU(2)_L$  doublets  $\xi, \xi'$

- In the basis  $f^0 = (\nu, N, N', \xi^0, \xi'^0)$  we obtain the mass matrix

$$M_f^0 = \begin{pmatrix} 0 & Y_N v_u & 0 & 0 & 0 \\ Y_N v_u & 0 & m_N & 0 & 0 \\ 0 & m_N & 0 & \kappa_1 v_d & \kappa_2 v_u \\ 0 & 0 & \kappa_1 v_d & 0 & m_\xi \\ 0 & 0 & \kappa_2 v_u & m_\xi & 0 \end{pmatrix}.$$

- By **integrating out** the heavy fields we obtain an **effective mass matrix** for the three SM neutrinos at **low energies**

$$m_\nu = v_u^3 v_d Y_N^2 \frac{\kappa_1 \kappa_2}{m_\xi m_N^2}$$

Masses at TeV scale for couplings  $\mathcal{O}(10^{-3})$



## Production of the new particles

- Rare production of  $\hat{N}$  and  $\hat{N}'$  due to small Yukawa couplings
- $SU(2)_L$  doublets can be produced in Drell-Yan processes ( $\sigma \sim 10^2$  fb)

## Characteristic Signals

- Displaced vertices due to small mixing between heavy and light neutrinos
- Lepton number violating processes
  - LNC cross-section for  $pp \rightarrow W\ell\ell$  of  $\mathcal{O}(10^2)$  fb  
LNV processes suppressed due to pseudo-Dirac pairs ( $< \mathcal{O}(10^{-9})$  fb)
  - For  $pp \rightarrow W\ell W\ell$  LNV processes larger than naively expected ( $\mathcal{O}(10^{-2})$  fb)

MBK, Ota, Porod, Winter (2011); *PRD* 84, 115023

- Additional particles modify running of the gauge couplings
- Spoils unification
- Add complete SU(5) multiplets to avoid this
  - Singlets:  $N, N', (S)$
  - 5-plets:

$$\bar{5}_M = \begin{pmatrix} d_1^c \\ d_2^c \\ d_3^c \\ e^- \\ -\nu_e \end{pmatrix}_L \quad \bar{5}_{\xi'} = \begin{pmatrix} d_1'^c \\ d_2'^c \\ d_3'^c \\ \xi'^- \\ -\xi'^0 \end{pmatrix}_L \quad 5_\xi = \begin{pmatrix} d_1'' \\ d_2'' \\ d_3'' \\ \xi^+ \\ -\xi^0 \end{pmatrix}_R$$

$$H_5 = \begin{pmatrix} H_1 \\ H_2 \\ H_3 \\ H_u^+ \\ H_u^0 \end{pmatrix} \quad H_{\bar{5}} = \begin{pmatrix} H_1' \\ H_2' \\ H_3' \\ H_d^- \\ H_d^0 \end{pmatrix}$$

- matter 10-plet

**Most general SU(5) invariant superpotential**

$$\begin{aligned}
 W = & y_1 N 5_\xi H_5 + y_2 N \bar{5}_{\xi'} H_5 + y_3 N \bar{5}_M H_5 + \\
 & y'_1 N' 5_\xi H_5 + y'_2 N' \bar{5}_{\xi'} H_5 + y'_3 N' \bar{5}_M H_5 + \\
 & m_{\xi'} \bar{5}_M 5_\xi + m_\xi \bar{5}_{\xi'} 5_\xi + m_N N' N + m_{NN} NN + m_{N'N'} N' N' + \\
 & y_d \bar{5}_M 10 H_5 + y'_d \bar{5}_{\xi'} 10 H_5 + y_u 10 10 H_5 .
 \end{aligned}$$

If charged under a discrete symmetry,

Multiplet	$\bar{5}_M$	$H_5$	$H_5$	$N$	$N'$	$5_\xi$	$\bar{5}_{\xi'}$	10
$\mathbb{Z}_3$ charge	1	1	1	1	2	0	0	1

the superpotential reduces to

$$\begin{aligned}
 W = & y_3 N \bar{5}_M H_5 + y'_1 N' 5_\xi H_5 + y'_2 N' \bar{5}_{\xi'} H_5 + \\
 & m_\xi \bar{5}_{\xi'} 5_\xi + m_N N' N \\
 & y_d \bar{5}_M 10 H_5 + y_u 10 10 H_5 - \mu H_5 H_5 .
 \end{aligned}$$

$$\begin{pmatrix} d_1^{\prime c} \\ d_2^{\prime c} \\ d_3^{\prime c} \\ \xi^{\prime -} \\ -\xi^{\prime 0} \end{pmatrix}_L$$

## Interactions of $d'$

- Coloured components of mediator 5-plets
- Behave like heavy d-quarks
- RGE running leads to mass shift between quarks and lepton doublet
- Decay of  $d'$  protected by symmetry that forbids  $d = 5$  operator

## Cosmological constraints:

- From Big Bang Nucleosynthesis: Heavy nuclei  
→ altering BBN processes → affecting observed abundancies of light elements  
*e.g. locco et. al. (2009); Phys.Rept. 472*
- Search for heavy hadrons in water excludes stable heavy d-like quarks  
*Nardi, Roulet (1990); Phys. Lett. B 245, 105*
- Effective operator  $\epsilon^{ijklm}(5_\xi)_i(H_5)_j(H_5)_k(10)_{lm}$  leads to the decay

$$\bar{d}' \rightarrow H_u^+ \bar{u}$$

$$\begin{pmatrix} d_1^{\prime c} \\ d_2^{\prime c} \\ d_3^{\prime c} \\ \xi^{\prime -} \\ -\xi^{\prime 0} \end{pmatrix}_L$$

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$$\bar{d}' \rightarrow H_u^+ \bar{u}$$

- The term  $\mu H_u H_d$  explicitly breaks the discrete symmetry  
(Otherwise every operator of the type  $LLH_u H_u (H_u H_d)^n$   
has same charge as Weinberg operator)
- $\mu$ -problem of the MSSM  
( $\mu$  has to be set to 100 GeV to few TeV by hand)
- Same issue with TeV mediator masses

### Possible Alternative:

Use the NMSSM where  $\mu$  and the mediator masses are generated by VEV of an additional scalar field  $S$ .

## Most general SU(5) invariant superpotential

$$\begin{aligned}
 W = & y_1 N 5_\xi H_{\bar{5}} + y_2 N \bar{5}_{\xi'} H_5 + y_3 N \bar{5}_M H_5 + \\
 & y'_1 N' 5_\xi H_{\bar{5}} + y'_2 N' \bar{5}_{\xi'} H_5 + y'_3 N' \bar{5}_M H_5 + \\
 & \lambda_{\xi'} S \bar{5}_M 5_\xi + \lambda_\xi S \bar{5}_{\xi'} 5_\xi + \lambda_N S N' N + \lambda_{NN} S NN + \lambda_{N'N'} S N' N' + \\
 & y_d \bar{5}_M 10 H_{\bar{5}} + y'_d \bar{5}_{\xi'} 10 H_5 + y_u 10 10 H_5 .
 \end{aligned}$$

**BUT** if all masses generated by  $\langle S \rangle$  the effective operators become

$$\frac{1}{\langle S \rangle} LLH_u H_u, \quad \frac{1}{\langle S \rangle^3} (LLH_u H_d)(H_u H_d), \quad \dots$$

$\Rightarrow \langle S \rangle$  breaks discrete symmetry

*Superpotential constrains charges in a way that we always will have a  $d = 5$  contribution.*

We introduce an additional scalar  $S'$  and obtain the superpotential

$$W = y_3 N \bar{5}_M H_5 + y'_1 N' 5_\xi H_{\bar{5}} + y'_2 N' \bar{5}_{\xi'} H_5 + \lambda_\xi S' \bar{5}_{\xi'} 5_\xi + \lambda_N S' N' N + y_d \bar{5}_M 10 H_{\bar{5}} + y_u 10 10 H_5 + \lambda_S S H_{\bar{5}} H_5 + \kappa S^3 + \lambda'_S S' H_{\bar{5}} H_5 + \kappa' S'^3.$$

we can choose the charges

Multiplet	$\bar{5}_M$	$H_5$	$H_{\bar{5}}$	$N$	$N'$	$5_\xi$	$\bar{5}_{\xi'}$	10	S	$S'$
$\mathbb{Z}_3$ charge	1	1	1	1	2	0	0	1	1	0

- The term  $\lambda'_S$  breaks the symmetry softly.



- Couplings breaking the symmetry must be small!  
(Suppression of the Weinberg operator)
- Soft breaking term  $y'_3 N' \bar{5}_M H_5 \rightarrow d = 5$  contribution to  $m_\nu$

$$m_\nu^{d=5} = \frac{y_3 y'_3 v_u^2}{\langle S' \rangle}.$$

- We require

$$m_\nu^{d=5} < m_\nu^{d=7} = \frac{y_1 y_2 y_3^2 v_u^3 v_d}{\langle S' \rangle^3}$$

$$\Rightarrow y'_3 < \frac{y_1 y_2 y_3 v_u v_d}{\langle S' \rangle^2}$$

- $d'$  decay via the soft breaking operator  $\bar{5}_\xi H_{\bar{5}10}$

- Possible to use effective operators with  $d > 5$  to generate neutrino masses
- New physics at TeV scale, phenomenological implications at LHC
- Full SU(5) multiplets necessary to not spoil unification
- Additional d-quarks  $\rightarrow$  consider cosmological constraints, decay via effective operator or by soft symmetry breaking
- NMSSM realization with softly broken symmetry



# Backup-Slides

## Decompositions

#	Operator	Mediators	SU(5) multiplets
1	$(H_u i\tau^2 \bar{L}^c)(H_u i\tau^2 L)(H_d i\tau^2 H_u)$	$1_0^R, 1_0^L, 1_0^S$	1, 1, 1
2	$(H_u i\tau^2 \bar{\tau}^c L^c)(H_u i\tau^2 L)(H_d i\tau^2 \bar{\tau} H_u)$	$3_0^R, 3_0^L, 1_0^R, 1_0^L, 3_0^S$	24, 24, (1), (1), 24
3	$(H_u i\tau^2 \bar{\tau}^c L^c)(H_u i\tau^2 \bar{\tau} L)(H_d i\tau^2 H_u)$	$3_0^R, 3_0^L, 1_0^S$	24, 24, 1
4	$(-i\epsilon^{abc})(H_u i\tau^2 \tau^a \bar{L}^c)(H_u i\tau^2 \tau^b L)(H_d i\tau^2 \tau^c H_u)$	$3_0^R, 3_0^L, 3_0^S$	24, 24, 24
5	$(\bar{L}^c i\tau^2 \bar{\tau} L)(H_d i\tau^2 H_u)(H_u i\tau^2 \bar{\tau} H_u)$	$3_{+1}^S, 3_{+1}^S, 1_0^S$	15, 15, 1
6	$(-i\epsilon_{abc})(\bar{L}^c i\tau^2 \tau_a L)(H_d i\tau^2 \tau_b H_u)(H_u i\tau^2 \tau_c H_u)$	$3_{+1}^S, 3_{+1}^S, 3_0^S$	15, 15, 24
7	$(H_u i\tau^2 \bar{L}^c)(L i\tau^2 \bar{\tau} H_d)(H_u i\tau^2 \bar{\tau} H_u)$	$1_0^R, 1_0^L, 3_{-1}^R, 3_{-1}^L, 3_{+1}^S$	1, 1, 15, $\bar{15}$ , 15
8	$(-i\epsilon^{abc})(H_u i\tau^2 \tau^a \bar{L}^c)(L i\tau^2 \tau^b H_d)(H_u i\tau^2 \tau^c H_u)$	$3_0^R, 3_0^L, 3_{-1}^R, 3_{-1}^L, 3_{+1}^S$	24, 24, 15, $\bar{15}$ , 15
9	$(H_u i\tau^2 \bar{L}^c)(i\tau^2 H_u)(L)(H_d i\tau^2 H_u)$	$1_0^R, 1_0^L, 2_{-1/2}^R, 2_{-1/2}^L, 1_0^S$	1, 1, 5, $\bar{5}$ , 1
10	$(H_u i\tau^2 \bar{\tau}^c L^c)(i\tau^2 \bar{\tau} H_u)(L)(H_d i\tau^2 H_u)$	$3_0^R, 3_0^L, 2_{-1/2}^R, 2_{-1/2}^L, 1_0^S$	24, 24, 5, $\bar{5}$ , 1
11	$(H_u i\tau^2 \bar{L}^c)(i\tau^2 H_u)(\bar{\tau} L)(H_d i\tau^2 \bar{\tau} H_u)$	$1_0^R, 1_0^L, 2_{-1/2}^R, 2_{-1/2}^L, 3_0^S$	1, 1, 5, $\bar{5}$ , 24
12	$(H_u i\tau^2 \tau^a \bar{L}^c)(i\tau^2 \tau^a H_u)(\tau^b L)(H_d i\tau^2 \tau^b H_u)$	$3_0^R, 3_0^L, 2_{-1/2}^R, 2_{-1/2}^L, 3_0^S$	24, 24, 5, $\bar{5}$ , 24
13	$(H_u i\tau^2 \bar{L}^c)(L)(i\tau^2 H_u)(H_d i\tau^2 H_u)$	$1_0^R, 1_0^L, 2_{+1/2}^S, 1_0^S$	1, 1, 5, 1
14	$(H_u i\tau^2 \bar{\tau}^c L^c)(\bar{\tau} L)(i\tau^2 H_u)(H_d i\tau^2 H_u)$	$3_0^R, 3_0^L, 2_{+1/2}^S, 1_0^S$	24, 24, 5, 1
15	$(H_u i\tau^2 \bar{L}^c)(L)(i\tau^2 \bar{\tau} H_u)(H_d i\tau^2 \bar{\tau} H_u)$	$1_0^R, 1_0^L, 2_{+1/2}^S, 3_0^S$	1, 1, 5, 24
16	$(H_u i\tau^2 \tau^a \bar{L}^c)(\tau^a L)(i\tau^2 \tau^b H_u)(H_d i\tau^2 \tau^b H_u)$	$3_0^R, 3_0^L, 2_{+1/2}^S, 3_0^S$	24, 24, 5, 24
17	$(H_u i\tau^2 \bar{L}^c)(H_d)(i\tau^2 H_u)(H_u i\tau^2 L)$	$1_0^R, 1_0^L, 2_{-1/2}^R, 2_{-1/2}^L$	1, 1, 5, $\bar{5}$
18	$(H_u i\tau^2 \bar{\tau}^c L^c)(\bar{\tau} H_d)(i\tau^2 H_u)(H_u i\tau^2 L)$	$3_0^R, 3_0^L, 2_{-1/2}^R, 2_{-1/2}^L, 1_0^R, 1_0^L$	24, 24, 5, $\bar{5}$ , (1), (1)
19	$(H_u i\tau^2 \bar{L}^c)(H_d)(i\tau^2 \bar{\tau} H_u)(H_u i\tau^2 \bar{\tau} L)$	$1_0^R, 1_0^L, 2_{-1/2}^R, 2_{-1/2}^L, 3_0^R, 3_0^L$	(1), (1), 5, $\bar{5}$ , 24, 24
20	$(H_u i\tau^2 \tau^a \bar{L}^c)(\tau^a H_d)(i\tau^2 \tau^b H_u)(H_u i\tau^2 \tau^b L)$	$3_0^R, 3_0^L, 2_{-1/2}^R, 2_{-1/2}^L$	24, 24, 5, $\bar{5}$
21	$(\bar{L}^c i\tau^2 \tau^a L)(H_u i\tau^2 \tau^a)(\tau^b H_d)(H_u i\tau^2 \tau^b H_u)$	$3_{+1}^S, 2_{+1/2}^S, 3_{+1}^S$	15, 5, 15
22	$(\bar{L}^c i\tau^2 \tau^a L)(H_d i\tau^2 \tau^a)(\tau^b H_u)(H_u i\tau^2 \tau^b H_u)$	$3_{+1}^S, 2_{+3/2}^S, 3_{+1}^S$	15, 40, 15
23	$(\bar{L}^c i\tau^2 \tau^a L)(H_u i\tau^2 \tau^a)(\tau^b H_u)(H_u i\tau^2 \tau^b H_u)$	$3^S, 2^S, 1^S$	15, 5, 1