

# Unitary Violation in a Model with A4 Flavor Symmetry

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**DISCRETE 2012: The Third Symposium on Prospects in the Physics of Discrete Symmetries**

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# WHAT IS THE MODEL?

## Defining the Model

The Babu-Ma-Valle model (**BMV**) ([Phys.Lett.B 552 207 \(2003\)](#)) is based on the leptonic **Higgs doublet model of  $\nu$ -masses with an  $A_4$  flavor symmetry**, with two improvements:

- $A_4$  is broken at very high scale.
- **Supersymmetry is added** with explicit soft breaking terms which also breaks  $A_4$ .

where  $A_4$  is a discrete non-Abelian group of even permutations of 4 objects, it has  $\underline{1}$ ,  $\underline{1}'$ ,  $\underline{1}''$  and  $\underline{3}$  irreducible representation (irrep) and it is the smallest finite group with triplet irrep.

The Decomposition property of the product is:

$$\underline{3} \times \underline{3} = \underline{1} + \underline{1}' + \underline{1}'' + \underline{3} + \underline{3}$$

# Introduction to the BMV model

## Field content

The usual quark  $\hat{Q}_i = (\hat{u}_i, \hat{d}_i)$ , lepton  $\hat{L}_i = (\hat{\nu}_i, \hat{e}_i)$ , and Higgs  $\hat{\phi}_i$  transforms under  $A_4$  as follows:

	$\hat{Q}$	$\hat{L}$	$\hat{u}_1^c, \hat{d}_1^c, \hat{e}_1^c$	$\hat{u}_2^c, \hat{d}_2^c, \hat{e}_2^c$	$\hat{u}_3^c, \hat{d}_3^c, \hat{e}_3^c$	$\hat{\phi}_{1,2}$
$A_4$	3	3	1	1'	1''	1
$Z_3$	1	1	$\omega^2$	$\omega^2$	$\omega^2$	1

Then the following heavy quark, lepton, and Higgs **superfields** are added:

	$\hat{U}$	$\hat{U}^c$	$\hat{D}$	$\hat{D}^c$	$\hat{E}$	$\hat{E}^c$	$\hat{N}^c$	$\hat{\chi}$
$A_4$	3	3	3	3	3	3	3	3
$Z_3$	1	1	1	1	1	1	1	$\omega$

which are all  $SU(2)$  singlets and with  $\omega = \exp i2\pi/3$ .

# Introduction to the BMV model

## Superpotential

$$\begin{aligned}\hat{W} = & M_U \hat{U}_i \hat{U}_i^c + f_u \hat{Q}_i \hat{U}_i^c \hat{\phi}_2 + h_{ijk}^u \hat{U}_i \hat{U}_i^c \hat{\chi}_k \\ & + M_D \hat{D}_i \hat{D}_i^c + f_d \hat{Q}_i \hat{D}_i^c \hat{\phi}_2 + h_{ijk}^d \hat{D}_i \hat{D}_i^c \hat{\chi}_k \\ & + M_E \hat{E}_i \hat{E}_i^c + f_e \hat{L}_i \hat{E}_i^c \hat{\phi}_1 + h_{ijk}^e \hat{E}_i \hat{e}_j^c \hat{\chi}_k \\ & + \frac{1}{2} M_N \hat{N}_i \hat{N}_i^c + f_N \hat{L}_i \hat{N}_i^c \hat{\phi}_2 + \mu \hat{\phi}_1 \hat{\phi}_2 \\ & + \frac{1}{2} M_\chi \hat{\chi}_i \hat{\chi}_i + h_\chi \hat{\chi}_1 \hat{\chi}_2 \hat{\chi}_3\end{aligned}$$

$$\mathcal{M}_{eE} = \begin{bmatrix} 0 & f_e v_1 \\ U_w \text{Diag}\{\sqrt{3} h_i^e u\} & M_E \end{bmatrix}$$

$$\langle \chi_1 \rangle = \langle \chi_2 \rangle = \langle \chi_3 \rangle = u, \quad v_1 = \langle \phi_1^0 \rangle$$

$$\mathcal{M}_{\nu N} = \begin{bmatrix} 0 & f_N v_2 U_w \\ f_N v_2 U_w^T & M_N \end{bmatrix}$$

$$v_2 = \langle \phi_2^0 \rangle$$

# Introduction to the BMV model

## Superpotential

$$\begin{aligned}
 \hat{W} = & M_U \hat{U}_i \hat{U}_i^c + f_u \hat{Q}_i \hat{U}_i^c \hat{\phi}_2 + h_{ijk}^u \hat{U}_i \hat{U}_i^c \hat{\chi}_k \\
 & + M_D \hat{D}_i \hat{D}_i^c + f_d \hat{Q}_i \hat{D}_i^c \hat{\phi}_2 + h_{ijk}^d \hat{D}_i \hat{D}_i^c \hat{\chi}_k \\
 & + M_E \hat{E}_i \hat{E}_i^c + f_e \hat{L}_i \hat{E}_i^c \hat{\phi}_1 + h_{ijk}^e \hat{E}_i \hat{E}_i^c \hat{\chi}_k \\
 & + \frac{1}{2} M_N \hat{N}_i \hat{N}_i^c + f_N \hat{L}_i \hat{N}_i^c \hat{\phi}_2 + \mu \hat{\phi}_1 \hat{\phi}_2 \\
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 \end{aligned}$$

$$\mathcal{M}_{eE} = \begin{bmatrix} 0 & f_e v_1 \\ U_\omega \text{Diag}\{\sqrt{3}h_i^e u\} & M_E \end{bmatrix}$$

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# Introduction to the BMV model

Charge Sector: Dirac mass matrix linking  $(e_i, E_i)$  to  $(e_i^c, E_i^c)$

$$\mathcal{M}_{eE} = \begin{bmatrix} 0 & 0 & 0 & f_e v_1 & 0 & 0 \\ 0 & 0 & 0 & 0 & f_e v_1 & 0 \\ 0 & 0 & 0 & 0 & 0 & f_e v_1 \\ h_1^e u & h_2^e u & h_3^e u & M_E & 0 & 0 \\ h_1^e u & h_2^e u \omega & h_3^e u \omega^2 & 0 & M_E & 0 \\ h_1^e u & h_2^e u \omega^2 & h_3^e u \omega & 0 & 0 & M_E \end{bmatrix} \equiv \begin{bmatrix} 0 & X_1^D \\ X_2 & Y^D \end{bmatrix}$$

After block diagonalization:

$$\mathcal{M}_e \approx U_\omega \text{Diag}\{m_i\} U_\omega^\dagger$$

where, for  $f_e v_1 \ll h_i u \ll M_E$ :

$$\tilde{m}_i^2 \simeq \frac{3f_e^2 v_1^2}{M_E^2} \frac{h_i^{e2} u^2}{1 + 3(h_i^e u)^2 / M_E^2} \quad U_\omega = \frac{1}{\sqrt{3}} \begin{bmatrix} 1 & 1 & 1 \\ 1 & \omega & \omega^2 \\ 1 & \omega^2 & \omega \end{bmatrix}$$

# Introduction to the BMV model

## Neutrino Sector

As coming from the  $d = 5$  Weinberg operator, the see-saw masses are:

$$\frac{f_N^2}{M_N} \lambda_{ij} \nu_i \nu_j \phi_2^0 \phi_2^0 \Rightarrow m_{ij} = \lambda_{ij} m_0$$

where  $\lambda_{ee} = \lambda_{\mu\tau} = \lambda_{\tau\mu} = 1$  and all the others  $\lambda$ 's zero, which is valid at some *large scale*.

At *low scale*, fixed to first order, we have:

$$\lambda_{ij} = \begin{bmatrix} 1 + 2\delta_{ee} & \delta_{e\mu} + \delta_{e\tau} & \delta_{e\mu} + \delta_{e\tau} \\ \delta_{e\mu} + \delta_{e\tau} & 2\delta_{\mu\tau} & 1 + \delta_{\mu\mu} + \delta_{\tau\tau} \\ \delta_{e\mu} + \delta_{e\tau} & 1 + \delta_{\mu\mu} + \delta_{\tau\tau} & 2\delta_{\mu\tau} \end{bmatrix}$$



# Introduction to the BMV model

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At *low scale*, fixed to first order, we have:

$$\lambda_{ij} = \begin{bmatrix} 1 + \delta_0 + 2\delta + 2\delta' & \delta'' & \delta'' \\ \delta'' & \delta & 1 + \delta_0 + \delta \\ \delta'' & 1 + \delta_0 + \delta & \delta \end{bmatrix}$$

# Introduction to the BMV model

## Neutrino Sector

$\mu - \tau$  invariant matrix is diagonalized by:

$$U_\nu(\theta) = \begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta/\sqrt{2} & \cos \theta/\sqrt{2} & -1/\sqrt{2} \\ \sin \theta/\sqrt{2} & \cos \theta/\sqrt{2} & 1/\sqrt{2} \end{bmatrix},$$

with the eigenvalues:

$$\lambda_1 = 1 + \delta_0 + 2\delta + \delta' - \sqrt{\delta'^2 + 2\delta''^2}$$

$$\lambda_2 = 1 + \delta_0 + 2\delta + \delta' + \sqrt{\delta'^2 + 2\delta''^2}$$

$$\lambda_3 = -1 - \delta_0$$

Lepton mixing:  $K = U_\omega^\dagger \cdot U_\nu(\theta)$

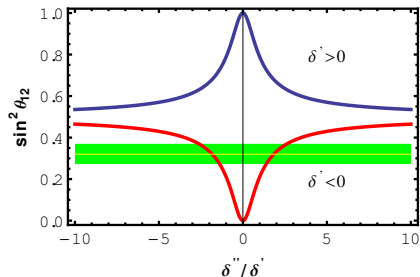
# Neutrino prediction in the BMV

From the lepton mixing, we obtain:

$$\tan^2 \theta_{12} = \frac{\delta''^2}{\delta''^2 + \delta'^2 - \delta' \sqrt{\delta'^2 + 2\delta''^2}}$$
$$\sin^2 \theta_{13} = 0 \quad \tan^2 \theta_{23} = 1 \Rightarrow \text{maximal}$$

and for the masses:

$$\Delta m_{31}^2 \simeq \Delta m_{32}^2 \simeq 4\delta m_0^2$$
$$\Delta m_{21}^2 \simeq 4\sqrt{\delta'^2 + 2\delta''^2} m_0^2$$



# Current neutrino parameters values

The  $3 \times 3$  mixing matrix:

$$V_1 = \omega(\eta_{23}) \omega(\eta_{13}) \omega(\eta_{12}) \text{ with } \eta_{ab} = |\eta_{ab}| \exp(i\phi_{ab}),$$

Which is equivalent to PDG parameterization:

$$V_1(\theta_{ij}) = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{-i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \times \text{diag} \left( 1, \exp\left(i\frac{\alpha_{21}}{2}\right), \exp\left(i\frac{\alpha_{31}}{2}\right) \right)$$

used in oscillation analysis.

The oscillation parameters at  $3\sigma$  C.L are:

D. V. Forero et al. (PRD 86 (2012))

Parameter	$\Delta m_{21}^2 [10^{-5} eV^2]$	$\Delta m_{31}^2 [10^{-3} eV^2]$	$\sin^2 \theta_{12}$	$\sin^2 \theta_{23}$	$\sin^2 \theta_{13}$
NH	7.12 – 8.20	2.31 – 2.74	0.27 – 0.37	0.36 – 0.68	0.017 – 0.033
IH		–(2.21 – 2.64)		0.37 – 0.67	

# HOW ACOMODATE THE $\nu$ DATA?

# UNITARITY VIOLATION IDEA

Relaxing some conditions

We know  $K = U_\omega^\dagger U_\nu(\theta)$

where  $U_\omega^\dagger U_\omega = I$ .

But if  $M_E$  scale tends to  $TeV$  scale then, in principle,  $U_\omega$  could be no longer unitary therefore, LFV would be enhanced.

So, the new strategy could be:

Diagonalize the Charged lepton sector  $U_{eE}^\dagger \left( \mathcal{M}_{eE} \mathcal{M}_{eE}^\dagger \right) U_{eE}$  adding NLO terms, using the Schechter-Valle procedure

Schechter, Valle (PRD 25 (1982))

At the end,  $U_\omega^c = (U_{eE})_{3 \times 3}$  where c means adding NLO terms.

# Introduction to the BMV model

Charge Sector: Dirac mass matrix linking  $(e_i, E_i)$  to  $(e_i^c, E_i^c)$

$$\mathcal{M}_{eE} = \begin{bmatrix} 0 & 0 & 0 & f_e v_1 & 0 & 0 \\ 0 & 0 & 0 & 0 & f_e v_1 & 0 \\ 0 & 0 & 0 & 0 & 0 & f_e v_1 \\ h_1^e u & h_2^e u & h_3^e u & M_E & 0 & 0 \\ h_1^e u & h_2^e u \omega & h_3^e u \omega^2 & 0 & M_E & 0 \\ h_1^e u & h_2^e u \omega^2 & h_3^e u \omega & 0 & 0 & M_E \end{bmatrix} \equiv \begin{bmatrix} 0 & X_1^D \\ X_2 & Y^D \end{bmatrix}$$

$$f_e v_1 \ll h_i u \ll M_E: \rightarrow f_e v_1 \ll h_i u < M_E$$

$$\mathcal{M}_e \approx U_\omega \text{Diag}\{m_i\} U_\omega^\dagger \rightarrow U_\omega^c \text{Diag}\{m_i\} U_\omega^{c\dagger}$$

$$\text{and, } U_\omega \rightarrow U_\omega^c = \delta \cdot U_\omega$$

$$\tilde{m}_i^2 \simeq \frac{3f_e^2 v_1^2}{M_E^2} \frac{h_i^{e2} u^2}{1 + 3(h_i^e u)^2 / M_E^2} + \text{NLO}$$

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$$\mathcal{M}_{eE} = \begin{bmatrix} 0 & 0 & 0 & f_e v_1 & 0 & 0 \\ 0 & 0 & 0 & 0 & f_e v_1 & 0 \\ 0 & 0 & 0 & 0 & 0 & f_e v_1 \\ h_1^e u & h_2^e u & h_3^e u & M_E & 0 & 0 \\ h_1^e u & h_2^e u \omega & h_3^e u \omega^2 & 0 & M_E & 0 \\ h_1^e u & h_2^e u \omega^2 & h_3^e u \omega & 0 & 0 & M_E \end{bmatrix} \equiv \begin{bmatrix} 0 & X_1^D \\ X_2 & Y^D \end{bmatrix}$$

$$f_e v_1 \ll h_i u \ll M_E \rightarrow f_e v_1 \ll h_i u < M_E$$

$$\mathcal{M}_e \approx U_\omega \text{Diag}\{m_i\} U_\omega^\dagger \rightarrow U_\omega^c \text{Diag}\{m_i\} U_\omega^{c\dagger}$$

$$\text{and, } U_\omega \rightarrow U_\omega^c = \delta \cdot U_\omega$$

$$\tilde{m}_i^2 \simeq \frac{3f_e^2 v_1^2}{M_E^2} \frac{h_i^{e2} u^2}{1 + 3(h_i^e u)^2 / M_E^2} + \text{NLO}$$



# UNITARITY VIOLATION IDEA

Using the Schechter-Valle procedure [Schechter, Valle \(PRD 25 \(1982\)\)](#)

$$U = \mathcal{U} \cdot V = \exp(iH) \cdot V \quad H = \begin{pmatrix} 0 & S \\ S^\dagger & 0 \end{pmatrix}, \quad V = \begin{pmatrix} V_1 & 0 \\ 0 & V_2 \end{pmatrix}.$$

for a general Hermitian matrix:

$$M = \begin{bmatrix} m_1 & m_2 \\ m_2^\dagger & m_3 \end{bmatrix} \Rightarrow \begin{bmatrix} (f_e v_1)^2 I & M_E f_e v_1 I \\ M_E f_e v_1 I & U_\omega (\text{Diag}\{3(h_i^e u)^2 + M_E^2\}) U_\omega^\dagger \end{bmatrix}$$

and

$$iS = -m_2(m_1 - m_3)^{-1} \Rightarrow U_\omega \text{diag}\{-M_E f_e v_1 [(f_e v_1)^2 - 3(h_i^e u)^2 - M_E^2]^{-1}\} U_\omega^\dagger$$

$$S \sim U_\omega D U_\omega^\dagger \Rightarrow SS^\dagger = S^\dagger S = U_\omega D^2 U_\omega^\dagger$$

$$m_{\text{eff}}^{\text{NLO}} \sim \sum_i m_i C\{S, S^\dagger, SS^\dagger\}_i$$

So, at all orders  $U_\omega$  diagonalizes the effective charge lepton matrix.  
Therefore **the lepton mixing does not change!**  $A_4$  protect it to all orders

# A SECOND TRY

# Modifying the BMV model

## Adding a scale singlet

Then we are going to include a singlet ( $1'$  of  $A_4$ ) extra scalar  $\zeta$  because its vev breaks the residual  $Z_2$  symmetry ( $\mu - \tau$  origin of  $U_\nu$ ):

$$+ \zeta_{ii} E_i E_i^c$$

where we will parametrize the flavon scale as  $\langle \zeta \rangle = \beta M_E$ .

The new mass matrix structure:

$$\hat{Y}_D = M_E \times I + \beta M_E \times \text{Diag}\{1, \omega, \omega^2\}$$

$$M = \begin{bmatrix} m_1 & m_2 \\ m_2^\dagger & m_3 \end{bmatrix} \Rightarrow \begin{bmatrix} (f_e v_1)^2 I & f_e v_1 \hat{Y}_D^\dagger \\ f_e v_1 \hat{Y}_D & U_\omega (\text{Diag}\{3(h_i^e u)^2\}) U_\omega^\dagger + \hat{Y}_D \hat{Y}_D^\dagger \end{bmatrix}$$

where  $U_\omega^\dagger \hat{Y}_D \hat{Y}_D^\dagger U_\omega \neq \text{Diag}\{y_i^2\}$ :

$$\hat{Y}_D \hat{Y}_D^\dagger = M_E^2 \times$$

$$\times \text{Diag}\{(1 + \beta)(1 + \beta^*), (1 + \beta\omega)(1 + (\beta\omega)^*), (1 + \beta\omega^2)(1 + \beta^*(\omega^*)^2)\}$$

# New neutrino prediction

Re-writing the lepton mixing  $K = (U_\omega^c)^\dagger \cdot U_\nu(\theta)$ :

$$U_\omega^c = \delta \cdot U_\omega \Rightarrow K = (U_\omega^\dagger \cdot \delta^\dagger) \cdot U_\nu(\theta) = U_\omega^\dagger [\delta^\dagger \cdot U_\nu(\theta)]$$

$$\tan \theta_{12} = |K_{1,2}(\theta)| / |K_{1,1}(\theta)|$$

$$\sin \theta_{13} = |K_{1,3}(\theta)|$$

$$\tan \theta_{23} = |K_{2,3}(\theta)| / |K_{3,3}(\theta)|$$

What we expect is:

$$\sin^2 \theta_{13} \neq 0$$

$$\tan^2 \theta_{23} \sim 1 \Rightarrow \text{slightly non-maximal}$$

and for the masses:

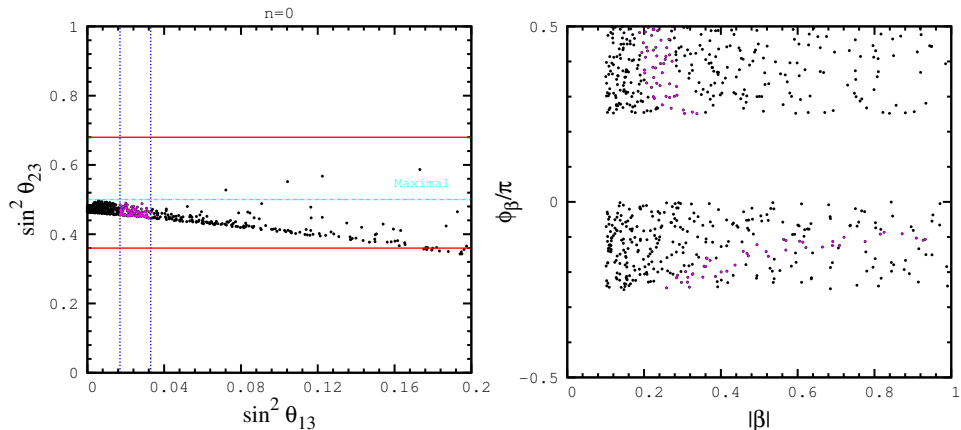
$$\Delta m_{31}^2 \simeq \Delta m_{32}^2 \simeq 4\delta m_0^2$$

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# PUTTING SOME NUMBERS

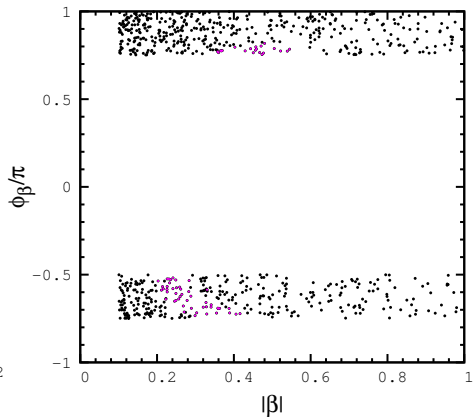
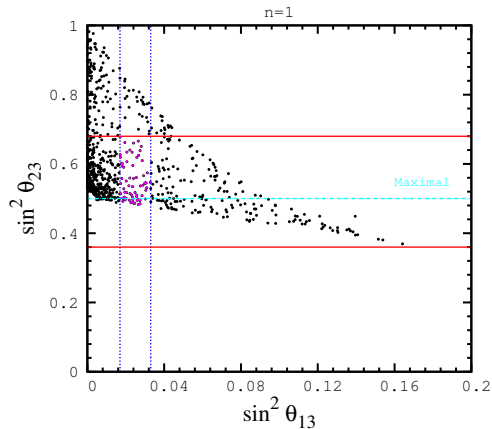
# Numerical results (preliminary)

With  $0 \leq \sin^2 \theta \leq 1$  and  $\sin^2 \theta_{12}$  at  $3\sigma$  range, we obtained:



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With  $0 \leq \sin^2 \theta \leq 1$  and  $\sin^2 \theta_{12}$  at  $3\sigma$  range, we obtained:



# Conclusions

- We have showed adding NLO terms in the diagonalization of charged sector does not change the lepton mixing pattern.
- To break the remnant symmetry, we have introduced a scalar singlet. That result in a good description of the current neutrino mixing angles at  $3\sigma$  for some values of  $\theta$  and  $\beta$ .
- We still have to check if the corrections in the neutrino sector ( $\delta'$  and  $\delta''$ ) are possible of being obtained in some specific model..
- These results are preliminary. We still have to check if they are compatible with lepton flavor violating bounds (**work in progress**).



**THANKS!!!**