# $69^{\text {th }}$ Scottish Universities Summer School in Physics 

St.Andrews

## 31/08 and 1/09/2012: Lecture 3 and 4, notes and exercises.

BSM phenomenology and EWSB (BSM)
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## 1 Extra dimensions: warm up

The idea behind extra dimensional theories is to extend space time to include more than 3 spacial dimensions. As we can see with our own eyes, the world has only 3 space dimensions, thus the extra ones must be hidden: this can be done either by compactifying them (making them smaller than our microscope's resolution), or assume that the particles we are made of are bounded to live on a 4-dimensional subspace (brane).

Another choice is the metric of the space: while the 4 visible dimensions are basically flat (Minkowski metric), the extra ones may be curved. Let's start with the simplest case of a compact flat extra dimension: what are the physical implications of its existence?

### 1.1 A 5D scalar field

The action is simply extended to

$$
\begin{equation*}
\mathcal{S}_{s}=\int d^{5} x\left(\partial^{M} \Phi\right)^{\dagger} \partial_{M} \Phi-M^{2} \Phi^{\dagger} \phi \tag{1.1}
\end{equation*}
$$

where $M=\mu, 5$ labels the 5 directions in space-time, and

$$
\begin{equation*}
\Phi=\Phi\left(x^{\mu}, x_{5}\right) . \tag{1.2}
\end{equation*}
$$

From the above action we can derive the usual Klein-Gordon equation of motion:

$$
\begin{equation*}
-\partial^{M} \partial_{M} \Phi-M \Phi=-\partial^{\mu} \partial_{\mu} \Phi+\partial_{5}^{2} \Phi-M \Phi=0 \tag{1.3}
\end{equation*}
$$

The simplest compact space is a circle, i.e. a space where we impose periodic conditions on the fields:

$$
\begin{equation*}
\Phi\left(x^{\mu}, x_{5}+2 \pi R\right)=e^{i \alpha_{\Phi}} \Phi\left(x^{\mu}, x_{5}\right) ; \tag{1.4}
\end{equation*}
$$

in general a non-zero phase $\alpha_{\Phi}$ (Scherk-Schwarz phase) may be imposed, for simplicity here we will only consider periodic fields and we will set $\alpha_{\Phi}=0$. If we want to go to momentum space, along the visible directions the usual Fourier transform applies; on the other hand, along $x_{5}$ we need to Fourier expand in a series of functions (the domain of the function of $x_{5}$ is finite!):

$$
\begin{equation*}
\Phi\left(x^{\mu}, x_{5}\right)=\int \frac{d^{4} p}{(2 \pi)^{4}} \sum_{n} f_{n}\left(x_{5}\right) \varphi_{n}\left(p^{\mu}\right) \tag{1.5}
\end{equation*}
$$

where $p^{\mu}$ is the usual 4 D momentum, $f_{n}$ is a complete set of functions on the compact extra space (wave functions), and the "coefficients" $\varphi_{n}\left(p^{\mu}\right)$ can be interpreted as 4D fields (Kaluza Klein modes). Plugging this expansion in the equation of motion, we obtain a set of equations for $f_{n}$ :

$$
\begin{equation*}
\left(p^{2}-M^{2}\right) f_{n}-\partial_{5}^{2} f_{n}=0 \tag{1.6}
\end{equation*}
$$

whose solutions are

$$
\begin{equation*}
\sin \sqrt{p^{2}-M^{2}} x_{5}, \quad \cos \sqrt{p^{2}-M^{2}} x_{5} \tag{1.7}
\end{equation*}
$$

The periodicity implies that

$$
\begin{equation*}
\sqrt{p^{2}-M^{2}}=n / R \tag{1.8}
\end{equation*}
$$

where $n$ is positive integer. If we interpret $p^{2}$ as the 4 D mass of the 4 D field

$$
\begin{equation*}
p^{2}=m_{n}^{2}=\frac{n^{2}}{R^{2}}+M^{2}=n^{2} m_{K K}^{2}+M^{2}, \quad m_{K K}=1 / R \tag{1.9}
\end{equation*}
$$

The complete expansion of the field is then (where we have properly normalised the wave functions $f_{n}$ )

$$
\begin{equation*}
\Phi\left(p^{\mu}, x^{5}\right)=\frac{1}{2 \pi R} \varphi_{0}+\sum_{n=1}^{\infty} \frac{\cos n x_{5} / R}{\pi R} \varphi_{n, c}+\sum_{n=1}^{\infty} \frac{\sin n x_{5} / R}{\pi R} \varphi_{n, s} \tag{1.10}
\end{equation*}
$$

with effective 4D action

$$
\begin{equation*}
\mathcal{S}_{s}=\int d^{4} x\left(\partial^{\mu} \varphi_{0}\right)^{\dagger} \partial_{\mu} \varphi_{0}+\sum_{n}\left(\partial^{\mu} \varphi_{n, c / s}\right)^{\dagger} \partial_{\mu} \varphi_{n, c / s}-\left(M^{2}+n^{2} m_{K K}^{2}\right) \varphi_{n, c / s}^{\dagger} \varphi_{n, c / s} \tag{1.11}
\end{equation*}
$$

### 1.2 Orbifold

Starting from the circle, more spaces can be defined by using the symmetries of the circle itself: one can in fact identify points mapped one into the other by such symmetry. For instance, the circle is invariant under a mirror symmetry with respect to any diameter:
$x_{5} \rightarrow-x_{5}$. If a circle is defined for $x_{5} \subset[-\pi R, \pi R)$, then the mirror symmetry identifies positive and negative points. The resulting space (the interval) is defined on $x_{5} \subset[0, \pi R]$.

On the fields, the orbifold projection means that each field must satisfy:

$$
\begin{equation*}
\Phi\left(p^{\mu},-x_{5}\right)= \pm \Phi\left(p^{\mu}, x_{5}\right) \tag{1.12}
\end{equation*}
$$

Each field is characterised by a sign choice; the wave functions that do not respect the transformation properties are then removed.

$$
\begin{align*}
\Phi^{+} & =\frac{1}{2 \pi R} \varphi_{0}+\sum_{n=1}^{\infty} \frac{\cos n x_{5} / R}{\pi R} \varphi_{n, c}  \tag{1.13}\\
\Phi^{-} & =\sum_{n=1}^{\infty} \frac{\sin n x_{5} / R}{\pi R} \varphi_{n, s} \tag{1.14}
\end{align*}
$$

Note that the massless $n=0$ mode is only present for $\Phi^{+}$; both choices have a tower of massive states with the same mass but different wave functions.

### 1.3 A 5D vector (gauge) field

The action can be written as (for an abelian gauge group):

$$
\begin{align*}
\mathcal{S}_{\text {gauge }} & =\int d^{5} x-\frac{1}{4} F_{M N} F^{M N}= \\
& =\int d^{5} x-\frac{1}{4} F_{\mu \nu} F^{\mu \nu}+\frac{1}{2} F_{\mu 5} F_{5}^{\mu}= \\
& =\int d^{5} x-\frac{1}{4} F_{\mu \nu} F^{\mu \nu}+\frac{1}{2} \partial_{\mu} A_{5} \partial^{\mu} A_{5}+\frac{1}{2} \partial_{5} A^{\mu} \partial_{5} A^{\mu}-\partial_{\mu} A_{5} \partial_{5} A^{\mu} \tag{1.15}
\end{align*}
$$

The $\mu 5$ term generate a mixing between the 4 D vector components $A_{\mu}$ and the 4 D scalar term $A_{5}$ : this is similar to the mixing we obtain in the SM between the massive vectors and the Goldstone components of the Higgs field. To simplify the equations, we can add a "gauge fixing" term to the action, which is a total derivative that can cancel out the mixing term and decouple the vector and the scalar. The extra dimensional $R_{\xi}$ gauge fixing term is then:

$$
\begin{gather*}
\mathcal{S}_{G F}=\int d^{5} x-\frac{1}{2 \xi}\left(\partial_{\mu} A^{\mu}-\xi \partial_{5} A^{5}\right)^{2} .  \tag{1.16}\\
\mathcal{S}_{\text {gauge }+G F}=\int d^{5} x-\frac{1}{4} F_{\mu \nu} F^{\mu \nu}-\frac{1}{2 \xi}\left(\partial_{\mu} A^{\mu}\right)^{2}+\frac{1}{2} \partial_{5} A^{\mu} \partial_{5} A^{\mu}+  \tag{1.17}\\
+\frac{1}{2} \partial_{\mu} A_{5} \partial^{\mu} A_{5}-\frac{\xi}{2}\left(\partial_{5} A^{5}\right)^{2} . \tag{1.18}
\end{gather*}
$$

## Vector

The equation of motion for the vector part is

$$
\begin{equation*}
\partial^{\mu} F_{\mu \nu}+\frac{1}{\xi} \partial_{\nu} \partial^{\mu} A_{\mu}-\partial_{5}^{2} A_{\nu}=0 \tag{1.19}
\end{equation*}
$$

We can Fourier transform and expand the field as before

$$
\begin{equation*}
A_{\mu}\left(p^{\mu}, x_{5}\right)=\sum_{n} f_{n} A_{\mu}^{n} \tag{1.20}
\end{equation*}
$$

and, assuming that the 4D fields $A_{\mu}^{n}$ satisfy the usual 4D equation of motion in $\xi$ gauge for a massive state,

$$
\begin{equation*}
\partial^{\mu} F_{\mu \nu}+\frac{1}{\xi} \partial_{\nu} \partial^{\mu} A_{\mu}=-p^{2} A_{\nu} \tag{1.21}
\end{equation*}
$$

we have the following equation for the wave functions

$$
\begin{equation*}
\left(p^{2}+\partial_{5}^{2}\right) f_{n}=0 \tag{1.22}
\end{equation*}
$$

which is the same as in the scalar case (but with $M=0$ ). The final KK expansion is therefore analogous to the scalar one. The spectrum contain one massless gauge bosons (thus in 4D gauge symmetries are respected), and a tower of massive states. Where do the massive state get the longitudinal polarisation, as there is no Higgs field here?

## Scalar (and the extra dimension "Higgs" mechanism)

The equation of motion for the $A_{5}$ scalar reads:

$$
\begin{equation*}
\left(\partial_{\mu} \partial^{\mu}-\xi \partial_{5}^{2}\right) A_{5}=0 \tag{1.23}
\end{equation*}
$$

which is similar to the one for a 5D scalar, with the exception of the parameter $\xi$. After the usual Fourier expansion, the equation for the wave functions is:

$$
\begin{equation*}
\left(\frac{p^{2}}{\xi}+\partial_{5}^{2}\right) f_{n}=0 \tag{1.24}
\end{equation*}
$$

thus the expansion is the same as above, except for the substitution $p^{2} \rightarrow \frac{p^{2}}{\xi}$.
The masses will therefore be

$$
\begin{equation*}
m_{n}^{2}=\xi n^{2} m_{K K}^{2}, \tag{1.25}
\end{equation*}
$$

which look like the masses of a Goldstone boson in the "Higgs" mechanism. Note that the only mode whose mass is independent on $\xi$ is the zero mode $n=0$. What we learn, therefore, is that the massive modes of the scalar polarisation $A_{5}$ are the Goldstone bosons eaten up by the massive vectors! The only physical mode is the $n=0$ mode (that corresponds to the massless vector).

## Gauge invariance

The 5D action is invariant under a generalised gauge transformation:

$$
\begin{equation*}
A_{M} \rightarrow A_{M}+i g \partial_{M} \alpha\left(x^{\mu}, x_{5}\right) \tag{1.26}
\end{equation*}
$$

The local gauge parameter $\alpha$ must satisfy the same properties as the gauge field $A_{M}$, thus it also is a periodic function of $x_{5}$. We can therefore Fourier expand both $A_{\mu}$ and $\alpha$, and write down 4D gauge transformations for each KK mode:

$$
\begin{equation*}
A_{\mu}^{n} \rightarrow A_{\mu}^{n}+i g \partial_{\mu} \alpha^{n}\left(x^{\mu}\right) . \tag{1.27}
\end{equation*}
$$

Naively, we would expect the presence of an infinite number of gauge groups, however, as shown in the mass spectrum, the extra polarisation "spontaneously breaks" the gauge invariance associated with the massive modes; only the 4D gauge invariance of the massless mode is (explicitly) preserved.

Caveat: the Fourier expansion of the gauge transformation properties is a bit naive, one should really consider the gauge transformation on 5D fields!

## Orbifold

We can now extend the analysis to orbifolds. As before, the field must be associated with a parity under the orbifold symmetry. However, the parities of the $A_{\mu}$ and $A_{5}$ components are related to each other by the fact that they belong to a vector! So if the orbifold symmetry is $x_{5} \rightarrow-x_{5}$ (change sign to the 5th component of the vector but not to the other 4 ), the parity assignment for the 5 D vector must be

$$
\begin{equation*}
A_{\mu}\left(-x_{5}\right)= \pm A_{\mu}\left(x_{5}\right), \quad A_{5}\left(-x_{5}\right)=\mp A_{5}\left(x_{5}\right), \tag{1.28}
\end{equation*}
$$

in other words their parity must be opposite!
For a + vector, the scalar is -: in this case, the vector contains a massless zero mode and massive vectors (with cos wave function), while the scalars only contain a tower of Goldstone bosons (with wave function sin).

For a - vector, the scalar is -: now the vectors only contain a tower of massive states (sin), while the scalars contain a physical massless scalar and a tower of Goldstone bosons (cos).

Note also that for - vectors, the 4D gauge symmetry is broken, as signalled by the absence of a massless vector in the KK expansion! However, a massless scalar is present!

### 1.4 A 5D fermion

The Dirac Gamma matrices must be generalised to 5 D , i.e. we need to define a set of 5 (not 4) anticommuting matrices. The natural choice is to promote $\gamma^{5}$ to the role of the gamma
matrix for the 5th direction. The minimal spinor is now a 4 -component one, and it is not possible to define chiral projections. The action is

$$
\begin{equation*}
\mathcal{S}_{f}=\int d^{5} x i \bar{\Psi} \Gamma^{M} \partial_{M} \Psi-m \bar{\Psi} \Psi \tag{1.29}
\end{equation*}
$$

where the 5D fermion can be described in terms of 2 2-component Weyl fermions:

$$
\begin{equation*}
\Psi=\binom{\chi}{\bar{\eta}} . \tag{1.30}
\end{equation*}
$$

In terms of Weyl fermions, the action reads

$$
\begin{equation*}
\mathcal{S}_{f}=\int d^{5} x-i \bar{\chi} \bar{\sigma}^{\mu} \partial_{\mu} \chi-i \eta \sigma^{\mu} \partial_{\mu} \bar{\eta}-\bar{\chi} \partial_{5} \bar{\eta}+\eta \partial_{5} \chi+m(\bar{\chi} \bar{\eta}+\eta \chi) \tag{1.31}
\end{equation*}
$$

from which we can derive the following equations of motion

$$
\begin{align*}
& -i \bar{\sigma}^{\mu} \partial_{\mu} \chi-\partial_{5} \bar{\eta}+m \bar{\eta}=0  \tag{1.32}\\
& -i \sigma^{\mu} \partial_{\mu} \bar{\eta}+\partial_{5} \chi+m \chi=0 \tag{1.33}
\end{align*}
$$

The KK decomposition is in the form

$$
\begin{equation*}
\chi=\sum_{n} g_{n}\left(x_{5}\right) \chi_{n}\left(x^{\mu}\right), \quad \bar{\eta}=\sum_{n} f_{n}\left(x_{5}\right) \bar{\eta}\left(x^{\mu}\right), \tag{1.34}
\end{equation*}
$$

where $\chi_{n}$ and $\bar{\eta}_{n}$ are usual 4D Weyl spinors.
The usual procedure can be followed: we can plug the expansions in the equations of motion, use the 4 D equations of motion to replace derivatives with the 4 D momenta and combine the two equations. We obtain that both $f_{n}$ and $g_{n}$ must satisfy the same equations of motion as a massive scalar field!

Note that on a circle, both chiral fields $\eta$ and $\chi$ have a massless mode! In order to have a massless spectrum that corresponds to the SM fermions, we need to remove one or the other in order to have a chiral spectrum!

## Orbifold

The orbifold symmetry changes sign to $x_{5}$ : in order for the kinetic term to be invariant, the parities of $\chi$ and $\bar{\eta}$ must be opposite! This implies that only one of the two chiralities will have a zero model.

The massive modes of the two chiralities will be combined to form a massive Dirac fermion. The orbifold is thus an essential ingredient for Model Building!

Note also that the mass term is forbidden exactly for the same reason.

## Odd mass terms

Another possibility is to assume that the mass term is odd under the orbifold symmetry: this is not entirely inconsistent, because the fundamental domain of the orbifold is an interval where the mass is uniform. So, let's force the presence of a mass term!

The most obvious problem we encounter is that the mass term would like to couple the two zero modes to form a Dirac fermion of mass $m$, however one of the two chiralities is removed.

If we remove the $\eta$ chirality, the equations of motion for the zero mode reduce to:

$$
\begin{equation*}
\partial_{5} g_{0}+m g_{0}=0, \quad g_{0}\left(x_{5}\right) \sim e^{-m x_{5}} . \tag{1.35}
\end{equation*}
$$

The wave function of a left-handed mode, therefore, is exponentially localised toward the $x_{5}=0$ boundary of the space (for $m>0$ )

For right-handed zero modes

$$
\begin{equation*}
-\partial_{5} f_{0}+m f_{0}=0, \quad f_{0}\left(x_{5}\right) \sim e^{+m x_{5}} \tag{1.36}
\end{equation*}
$$

thus it is localised toward the other boundary.
This trick allows us to localise the massless modes toward one or the other boundary.

## 2 First model: Gauge-Higgs Unification in flat space

Because of the chiral SM fermions, we need to use an orbifold. Our goal is to build a model where the Higgs is the $A_{5}$ of a bulk gauge boson; in order to have couplings between the Higgs and the electroweak gauge bosons, the $\mathrm{SU}(2) \times \mathrm{U}(1)$ gauge bosons and the Higgs should be unified into a single gauge group $G$, with the following features:

- $G$ must contain at least $3_{S U(2)}+1_{U(1)}+4_{H}=8$ generators;
- at the level of zero modes, only $\mathrm{SU}(2) \times \mathrm{U}(1)$ must survive, i.e. the orbifold must break $G \rightarrow \mathrm{SU}(2) \times \mathrm{U}(1)$;
- breaking a gauge group corresponds to assign a parity + for the unbroken generators, and - for the broken ones. This must be done in a consistent way, i.e. a gauge boson can be mapped into itself up to a gauge transformation:

$$
A_{\mu}\left(-x_{5}\right)=U A_{\mu}\left(x_{5}\right) U^{\dagger}
$$

where $U$ is a gauge transformation of $G$. In particular, this preserves the rank of the original group $G$ and the rank of the preserved gauge group;

- at zero mode level, a doublet of $\mathrm{SU}(2)$ with non-zero hypercharge should survive.

An attractive possibility is to use $\mathrm{SU}(3)$ : it has rank 2 (like $\mathrm{SU}(2) \times \mathrm{U}(1)$ ), 8 generators and it can be broken to $\mathrm{SU}(2) \times \mathrm{U}(1)$ with

$$
U=\left(\begin{array}{ccc}
-1 & 0 & 0  \tag{2.1}\\
0 & -1 & 0 \\
0 & 0 & 1
\end{array}\right)
$$

The parity assignments of the gauge components will therefore be:

$$
\left(\begin{array}{lll}
+ & + & -  \tag{2.2}\\
+ & + & - \\
- & - & +
\end{array}\right)
$$

The $2 \times 2$ block corresponds to $\mathrm{SU}(2)$ generators, the + in the lower corner to a $\mathrm{U}(1)$ generators, finally the 4 components with parity - will provide the Higgs candidate, as they transform like a doublet under $\mathrm{SU}(2)$. The extra polarisation will have opposite parities.

## Spectrum

The spectrum of vector bosons will contain $\mathrm{SU}(2)$ gauge bosons $W^{ \pm}$and $W^{3}$, which contain a zero mode and a tower of massive modes; a $\mathrm{U}(1)$ gauge boson $B \mathrm{~B}$ with same spectrum as the $\mathrm{SU}(2)$ ones; two charged gauge bosons, with the same quantum numbers as the Higgs, $C^{ \pm}$and $D^{ \pm}$, that have no zero mode and just a tower of massive modes. They are embedded in the $\mathrm{SU}(3)$ structure as:

$$
A_{\mu}=\left(\begin{array}{ccc}
\frac{1}{2} W_{\mu}^{3}-\frac{1}{\sqrt{12}} B_{\mu} & \frac{1}{\sqrt{2}} W^{+} & \frac{1}{\sqrt{2}} C^{+}  \tag{2.3}\\
\frac{1}{\sqrt{2}} W^{-} & -\frac{1}{2} W_{\mu}^{3}-\frac{1}{\sqrt{12}} B_{\mu} & \frac{1}{\sqrt{2}} D^{+} \\
\frac{1}{\sqrt{2}} C^{-} & \frac{1}{\sqrt{2}} D^{-} & \frac{2}{\sqrt{12}} B_{\mu}
\end{array}\right)
$$

The scalar sector will only contain a massless doublet of $\mathrm{SU}(2)$, that well play the role of the Higgs, embedded in $\mathrm{SU}(3)$ as:

$$
A_{5}=\left(\begin{array}{ccc}
0 & 0 & \frac{1}{\sqrt{2}} \phi^{+}  \tag{2.4}\\
0 & 0 & \frac{1}{\sqrt{2}} \phi_{0} \\
\frac{1}{\sqrt{2}} \phi^{-} & \frac{1}{\sqrt{2}} \phi_{0}^{*} & 0
\end{array}\right)
$$

At three level, the Higgs will not have any potential, because it can only come from the gauge boson action:

$$
\begin{equation*}
\mathcal{S}=\int d^{5} x-\frac{1}{2} \operatorname{Tr} F_{M N} F^{M N}, \quad F_{M N}=\partial_{M} A_{N}-\partial_{N} A_{M}+g\left(A_{M} A_{N}-A_{N} A_{M}\right) \tag{2.5}
\end{equation*}
$$

No $A_{5}^{2}$ nor $A_{5}^{4}$ terms are present in this action! So, the potential for the Higgs is generated at one loop. We expect it to be finite, because the tree level action does not contain a counterterm either for the mass or quartic coupling! Note that this is true at all perturbation orders!

## Potential issues

- the Higgs field is a gauge boson, so it couples to all particles with strength $g$. What about fermion masses? To obtain masses below $m_{W}$, we can use the mass trick to localise the light quarks towards the two boundaries of the space, in order to reduce the overlap to the Higgs.
- How about the top mass? This is a crucial issue, as the localisation can only suppress the couplings with respect to $g$. One may use gauge group factors to enhance the coupling.
- how about the Higgs mass? The potential is one-loop generated, so the mass should be rather small. The precise value depends on the details.


### 2.1 The Higgs potential

The Higgs potential is generated completely at one loop. Only the zero mode will be sensitive to the eventual negative mass, thus the vacuum solution must be independent on the extra coordinate $x_{5}$. This implies that no tree level mixing with the heavy gauge bosons will be generated! The reason is that modes with different mass have orthogonal wave functions.

## The Hosotani mechanism

Let's assume that the Higgs does develop a VEV that breaks $\mathrm{SU}(2) \times \mathrm{U}(1) \rightarrow \mathrm{U}(1)$ : the vacuum will have the $\mathrm{SU}(3)$ embedding

$$
\left\langle A_{5}\right\rangle=\left(\begin{array}{ccc}
0 & 0 & 0  \tag{2.6}\\
0 & 0 & \frac{1}{\sqrt{2}}\left\langle\phi_{0}\right\rangle \\
0 & \frac{1}{\sqrt{2}}\left\langle\phi_{0}\right\rangle & 0
\end{array}\right)
$$

It is always possible to find a gauge transformation $\Omega\left(x_{5}\right)$ such that

$$
\begin{equation*}
\left\langle A_{5}^{\prime}\right\rangle=\Omega\left(x_{5}\right)\left\langle A_{5}\right\rangle \Omega^{\dagger}\left(x_{5}\right)=0 ; \tag{2.7}
\end{equation*}
$$

so that the Higgs VEV disappears from the action. Expanding at leading order in the gauge transformation parameter:

$$
\left\langle A_{5}\right\rangle+i \partial_{5}\left(\alpha x_{5}\right)\left(\begin{array}{ccc}
0 & 0 & 0  \tag{2.8}\\
0 & 0 & i \\
0 & i & 0
\end{array}\right)=0, \quad \alpha=\frac{1}{\sqrt{2}}\left\langle\phi_{0}\right\rangle .
$$

The same transformation must be applied to the gauge vectors:

$$
\begin{equation*}
A_{\mu}^{\prime}=\Omega\left(x_{5}\right) A_{\mu} \Omega^{\dagger}\left(x_{5}\right) \tag{2.9}
\end{equation*}
$$



Figure 1: One-loop Higgs potential in two variations of the model, distinguished by the representations of $\mathrm{SU}(3)$ the SM fermions are embedded in. [hep-ph/0510366]

What does it change in the theory? The action is invariant, however the periodicity condition on the field $A_{\mu}^{\prime}$ is different from before:

$$
\begin{align*}
A_{\mu}^{\prime}\left(x_{5}+2 \pi R\right) & =\Omega\left(x_{5}+2 \pi R\right) A_{\mu}\left(x_{5}\right) \Omega^{\dagger}\left(x_{5}+2 \pi R\right) \\
& =\Omega\left(x_{5}+2 \pi R\right) \Omega^{\dagger}\left(x_{5}\right) A_{\mu}^{\prime}\left(x_{5}\right) \Omega\left(x_{5}\right) \Omega^{\dagger}\left(x_{5}+2 \pi R\right) . \tag{2.10}
\end{align*}
$$

Working out the algebra, we find that this is equivalent to imposing different Scherk-Schwarz phases on the different components of the $\mathrm{SU}(3)$ adjoint. Note that the gauge transformation is equivalent to a SS phase only in the orbifolds that do allow for SS phases.

The spectrum for the $W^{ \pm}$bosons will not be modified by the gauge transformation, and it will depend on the parameter $\alpha$, which is in turn related to the Higgs VEV:

$$
\begin{equation*}
m_{n}^{W^{ \pm}}=\frac{n+\alpha}{R}, \quad m_{W^{ \pm}}=\frac{\alpha}{R} \tag{2.11}
\end{equation*}
$$

The numerical value of $\alpha$ will therefore determine the relation between the SM $W$ mass and the KK mass $m_{K K}=1 / R$.

## Numerical results

The calculation of the potential is rather complex: as we know the spectrum as a function of the Higgs VEV $\alpha$, we can use the Weinberg-Coleman potential:

$$
\begin{equation*}
V_{e f f}(\alpha)= \pm \frac{1}{2} \sum_{i} \int \frac{d^{4} p}{(2 \pi)^{4}} \log \left[p^{2}+M_{i}^{2}(\alpha)\right] \tag{2.12}
\end{equation*}
$$

The results, in two variations of the model, are shown in Figure 1: it's interesting that the contribution of the gauge bosons (red/dashed) and of light fermions (green/dot-dashed)


Figure 2: Higgs mass as a function of the Higgs VEV $\alpha$ for two variations of the model. [hep-ph/0510366]
have minima at $\alpha \sim\left\langle\varphi_{0}\right\rangle=0$, while it is the contribution of the top loops (blue/solid) that generates a non trivial vacuum. From the potential we can also calculate the Higgs mass, which is proportional to the second derivative of the potential. The results are shown in Figure 2. The two curved correspond to two versions of the model, and they are obtained by scanning over some free parameters of the model (the masses that control the fermion localisation). Like in supersymmetry, a fairly light Higgs is preferred; furthermore, $m_{h}=125$ GeV can be easily obtain for small values of $\alpha=m_{W} / m_{K K}$.

## 3 Second model: Gauge-Higgs Unification in warped space, or a composite Higgs

A warped extra dimension (or Randall-Sundrum space) has been widely studied, because it can fairly easily generate hierarchies between mass scales. In models of Gauge-Higgs, it offers two main advantages: it automatically enhances both the Higgs and the top mass.

The difference between flat and warped space is the metric: the simple Minkowsky metric in flat space is replaced by

$$
\begin{equation*}
d s^{2}=e^{-2 x_{5} / R} d x^{\mu} d x_{\mu}-d x_{5}^{2}, \quad x_{5} \subset[0, L] . \tag{3.1}
\end{equation*}
$$

This metric has an interesting property, conformal invariance, which is more evident if we rewrite it in terms of $z=R e^{x_{5} / R}$ :

$$
\begin{equation*}
d s^{2}=\left(\frac{R}{z}\right)^{2}\left(d x^{\mu} d x_{\mu}-d z^{2}\right), \quad z \subset\left[R, R^{\prime}=R e^{L / R}\right] \tag{3.2}
\end{equation*}
$$

An increase in the value of $z \rightarrow \xi z$ can be compensated by an analogous rescaling of $x_{\mu} \rightarrow \xi x_{\mu}$ to leave $d s^{2}$ invariant: thus moving along the extra co-ordinate corresponds to a rescaling of the size (and therefore of the energy) of physical systems. One can chose the two scales in the metric so that $R^{-1} \sim M_{P l}$ and $\left(R^{\prime}\right)^{-1} \sim 1 \mathrm{TeV}$ : moving from the boundary at $z=R$
(Planck scale) to the $z=R^{\prime}$ one ( TeV brane) will rescale energy scales from the Planck scale down to the TeV . Note that the length of the interval is $L=R \log R^{\prime} / R$.

A gauge boson in the warped space will have an action

$$
\begin{equation*}
\mathcal{S}_{\text {gauge }}=-\frac{1}{4} \int d^{4} x d z\left(\frac{R}{z}\right)^{5} F_{M N} F^{M N}=-\frac{1}{4} \int d^{4} x d z\left(\frac{R}{z}\right)\left(F_{\mu \nu}^{\mu \nu}-2 F_{\mu z} F_{z}^{\mu}\right) . \tag{3.3}
\end{equation*}
$$

The factors of $R / z$ come from the metric. As in the flat case, a gauge fixing term is added to remove $A_{\mu}-A_{5}$ mixing:

$$
\begin{equation*}
\mathcal{S}_{G F}=-\frac{1}{2 \xi} \int d^{4} x d z\left(\frac{R}{z}\right)\left(\partial^{\mu} A_{\mu}-\xi z \partial_{z}\left(A_{5} / z\right)\right)^{2} \tag{3.4}
\end{equation*}
$$

The equation of motion for the wave function of a vector are

$$
\begin{equation*}
z \partial_{z}\left(\frac{1}{z} \partial_{z} f_{n}\right)+m_{n}^{2} f_{n}=0 \tag{3.5}
\end{equation*}
$$

whose solutions can be expressed in terms of Bessel functions of the first and second kind:

$$
\begin{equation*}
f_{n}=z\left(A J_{1}\left(m_{n} z\right)+B Y_{1}\left(m_{n} z\right)\right) \tag{3.6}
\end{equation*}
$$

For the scalars, the equation of motion reads:

$$
\begin{equation*}
\partial_{z}\left(z \partial_{z}\left(\frac{A_{5}}{z}\right)\right)+\frac{m_{n}^{2}}{\xi} A_{5}=0 . \tag{3.7}
\end{equation*}
$$

As before, massive mode are Goldstone bosons eaten by the massive vectors, while for the zero mode

$$
\begin{equation*}
A_{5} \sim z \tag{3.8}
\end{equation*}
$$

### 3.1 Custodial symmetry?

We may want to try constructing a $\mathrm{SU}(3)$ model: however this is not acceptable in warped space. The difference with respect to the flat case is that the Higgs vev depends linearly on the extra co-ordinate, thus mixing between various KK modes is possibly generated by it. In the flat case:

$$
\begin{equation*}
\left\langle A_{5}\right\rangle W_{n}^{+} W_{m}^{0} \sim v \int f_{n}\left(x_{5}\right) f_{m}\left(x_{5}\right)=0 \tag{3.9}
\end{equation*}
$$

because the two wave functions are orthogonal. In the warped case, this is not true, therefore tree level corrections to the electroweak precision measurements are usually generated, in particular to the $\rho$ parameter. In order to protect it, we need to use a gauge group that includes a custodial symmetry: $\mathrm{SO}(5)$.

- on the TeV brane, we can break $\mathrm{SO}(5) \rightarrow \mathrm{SO}(4)$ : the $\mathrm{SO}(4) \sim \mathrm{SU}(2) \times \mathrm{SU}(2)$ contains the desired custodial symmetry (the breaking of this symmetry will be achieved via the Higgs VEV).
- the generators of $\mathrm{SO}(5)$ that do not belong to the unbroken subgroup $\mathrm{SO}(4)$ form a 4 of $\mathrm{SO}(4)$, like the Higgs field in the SM! These fields will play the role of the Higgs.
- on the Planck brane, we break $\mathrm{SO}(5) \rightarrow \mathrm{SU}(2) \times \mathrm{U}(1)$, so that only the SM invariance is preserved. As it is a subgroup of the unbroken $\mathrm{SO}(4)$, only the $\mathrm{SU}(2) \times \mathrm{U}(1)$ gauge bosons have zero modes, as desired.
- for the scalars, only the Higgs has a zero mode.

This structure of the symmetry breaking is enough to ensure that the values of the $W$ and $Z$ mass respect the SM relations at tree level (thus $\rho=1$ at tree level).

### 3.2 AdS/CFT

The presence of a conformal symmetry in the metric suggests a correspondence between models in warped space (anti de Sitter) and strongly interacting conformal theories in 4 dimensions. The correspondence goes as follows:

- fields and symmetries on the Planck brane correspond to the elementary sector of the theory (like the photon in QCD);
- fields in the bulk correspond to operators (bound states) of the conformal sector, the TeV brane breaks the conformal invariance and generates a mass gap (tower of meson resonances);
- symmetries in the bulk and on the TeV brane correspond to global symmetries of the strong sector (so, our strong sector is invariant under $\mathrm{SO}(5)$ which is spontaneoulsy broken to $\mathrm{SO}(4)$ ).
Thus our model can be seen as the SM (the Planck brane is invariant under the SM gauge group) coupled to a conformal sector which is invariant under a global $\mathrm{O}(4)$ (that generated the custodial symmetry!).

The properties of all the fields depend on their localisation in the extra space: the cartoon in Figure 3 shows the typical scenario. Gauge bosons have a flat profile (due to gauge invariance), while the Higgs is moderately localised toward the TeV brane. Light fermions, like leptons, light quarks and the right-handed bottom, are localised toward the Planck brane: they correspond to mostly elementary fields, and the localisation suppresses their overlap with the Higgs. The top is localised toward the TeV brane, thus it is a mostly composite state: its localisation enhances the overlap with the Higgs, thus it makes possible to achieve masses larger than $m_{W}$, even though the coupling is of the order of the gauge couplings. The Higgs, being localised toward the TeV brane, is also a composite state! All the massive resonances are also strongly localised to the TeV brane, thus showing their composite nature.


Figure 3: Wave functions in the warped model for gauge bosons, light fermions, tops and KK modes, showing their localisation: the Planck brane is on the right, the TeV brane on the left. The Higgs is also moderately localised towards the TeV brane.

### 3.3 Higgs potential and mass

The calculation of the Higgs potential proceeds as in the flat space, however the calculations are complicated by the presence of Bessel functions. In Figure 4 we show the predicted mass for the Higgs and the first KK resonance of various particles. The points correspond to different choices for the parameters of the model. While the KK resonances are naturally fairly heavy, above 1 TeV for fermions and above $3 \div 4 \mathrm{TeV}$ for vectors, the Higgs mass is predicted to be light $m_{H}<140 \mathrm{GeV}$.


Figure 4: Masses of the first KK states for the gauge bosons $m_{\rho}$ and third generation quarks: doublet $q_{L}$, singlet top $t_{R}$ and singlet bottom $b_{R}$ [from hep-ph/0412089]. The round green dots are preferred by electroweak precision measurements.

