

The New ROD Complex (NRC)

For the ATLAS CSC Electronics

Conceptual Design Report

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Abstract

This document describes a joint SLAC National Accelerator Laboratory and UCI (University of *California at Irivine*) design proposal, which addresses the need for a replacement of the current set of ATLAS CSC RODs (Read-Out-Drivers). The current set is not simply a collection of VME boards, but is instead composed of a complex aggregate of *hardware* (PCB boards, VME crates, power supplies), *firmware*¹ and *software*. It is that aggregate, not just its 9U VME boards which is to be replaced. We reference that aggregate as the ROD *Complex*, its existing implementation as the Current ROD Complex and its replacement as the New ROD Complex or NRC. The replacement is driven solely by the need to address performance limitations of the current complex. Those limitations are described in [16] and it is those limitations that must be addressed over the LHC's first long shutdown. There are neither requirements to add to or subtract from the functionality of the current complex. Therefore, there is a strong desire to satisfy those performance limitations within the constraints imposed by the complex's current functionality as well as its external interfaces. In short, the proposal must satisfy performance requirements, do so within its external interfaces and without compromise of current functionality, and establish robust and reliable operation before data taking restarts after the long shutdown.

Intended audience

The major impetus for this document is the upcoming conceptual design review and subsequently, its principal audience is that review's committee. However, the document also serves as the initial blueprint for its corresponding design and may therefore, be profitably read by the audience responsible for its implementation.

Conventions used in this document

Certain special typographical conventions are used in this document. They are documented here for the convenience of the reader:

- Acronyms are shown in small caps (*e.g.*, SLAC or CSC).
- C++ coding statements are shown in Courier bold (*e.g.*, RIGHT_FIRST or LAYER_MASK)



^{1.} Where here the term *firmware* is meant to express the coding languages used in FPGAs.

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Table 2 Document Status Sheet



List of Tables

- Table 1p. 7Document Control Sheet
- Table 2p. 8Document Status Sheet





Table of Contents

Abstract	3
Intended audience	3
Conventions used in this document	3
References	4
Document Control Sheet.	7
Document Status Sheet	8
List of Tables.	9
List of Figures	.5
List of Listings	.7
Chapter 1	
Overview	.9
1.1 Introduction	.9
1.2 The CSC and its On-Detector Electronics	20
1.3 Input rates	21
1.4 The ROS Complex	21
1.5 Feature extraction & output rates	22
1.6 Power and footprint	22
1.7 Environmental Monitoring & Control	22
1.8 Trigger & Timing Control (TTC)	
1.9 Busy handling	
1.10 TDAQ Control and Monitoring	



Chapte																		
-	al Design																	25
2	.1 ATCA as the implementation	-																
	2.1.1 The Shelf																	
	2.1.2 Shelf Power																	
	2.1.3 The Front-Board		•	•	•	•	•	•		•		•	•	•	•		•	28
	2.1.4 The RTM			•	•	•	•	•	•	•	•	•	•	•	•		•	29
	2.1.5 IPMI and the Shelf Man	age	er.				•			•		•		•	•		•	30
2	2 Overview											•		•				31
2	3 Shelf choice							•				•		•	•			33
2	4 Shelf Power									•		•		•				34
2	.5 Shelf Manager & IPMI									•				•	•			34
2	.6 The COB						•			•		•		•	•			35
	2.6.1 The DTM Bay																	36
	2.6.2 The DPM bay																	37
	2.6.3 Fabric Interconnect .																	37
	2.6.4 Base Interconnect .																	38
	2.6.5 The ATLAS FTM																	39
	2.6.6 The ATLAS Base Board																	39
2	7 The RCE																	40
	2.7.1 The Protocol-Plug-In																	42
	2.7.2 The Cluster Element																	43
	2.7.3 CE Software Services																	44
	2.7.4 The Mezzanine board																	45
2	8 The CSC RTM																	47
2	9 The SFP RTM																	48
2	.10 The Control Processor																	49
2	.11 Networking																	49
	0																	
Chapte																		
	are and Software design																	
	1 Introduction																	
3	2 The Event Plane																	
	3.2.1 Input Plug-in																	54
	3.2.2 FEX Plug-in																	55
	3.2.3 S-Link Plug-in								•	•		•	•	•	•			55
	3.2.4 Usage									•		•	•	•	•			55
3	3 Trigger Plane			•		•		•	•	•		•	•	•	•	•		56
	3.3.1 SCA Controller									•				•	•			56
	3.3.2 TTC Receiver Plug-in		•				•			•		•	•	•	•			58
	3.3.3 TTC Transmitter Plug-i	n.								•		•		•	•			58
3	4 Busy Plane						•			•		•		•	•			58



3.4.1 Busy Source Plug-in			•		•				60
3.4.2 Busy Destination Plug-in									60
3.5 TDAQ Plane					•				60
3.6 Firmware and Software Maintenance							62		
3.7 Software tools					•				62
3.8 Test and Release plan					•				62
3.9 System monitoring					•				63
3.10 Calibration		•	•		•	•	•	•	63



List of Figures

Figure 1	p. 19	Interfaces for the The ROD Complex
Figure 2	p. 26	Front view of an ASIS 5-slot ATCA shelf
Figure 3	p. 27	Rear view of an ASIS 5-slot ATCA shelf
Figure 4	p. 28	Exposed view of an ASIS 5-slot ATCA shelf's backplane
Figure 5	p. 29	Representative ATCA Front-Board
Figure 6	p. 30	Representative ATCA RTM
Figure 7	p. 32	Block Diagram of the New ROD Complex
Figure 8	p. 35	Preproduction COB
Figure 9	p. 36	Block Diagram of the COB
Figure 10	p. 39	Prototype FTM
Figure 11	p. 41	Block Diagram of the RCE
Figure 12	p. 43	Block Diagram of the CE
Figure 13	p. 46	Preproduction COB-Mezzanine-Board (CMB)
Figure 14	p. 47	Block diagram of the CSC RTM
Figure 15	p. 48	An RTM containing SNAP-12s
Figure 16	p. 48	Block diagram of the SFP RTM
Figure 17	p. 49	An RTM containing SFPs
Figure 18	p. 51	NRC dataflow through it interfaces
Figure 19	p. 53	Event Flow
Figure 20	p. 56	Trigger Flow
Figure 21	p. 59	Busy Flow
Figure 22	p. 60	Run-Control Flow



List of Listings





Chapter 1 Overview

1.1 Introduction

The ROD Complex's principal responsibility is for the acquisition and extraction of data from the ATLAS muon system's *Cathode Strip Chambers* (CSC) along with their resulting transfer to the ATLAS TDAQ system. Acquisition is driven externally by the ATLAS central trigger system at its *L1A* rate. To support this activity the complex is also responsible for the setup, control and monitoring of the on-detector electronics associated with those chambers. Treating for the moment that complex as a black box, its potential replacement must, at a minimum, satisfy its *interfaces*. Those interfaces are illustrated in Figure 1:

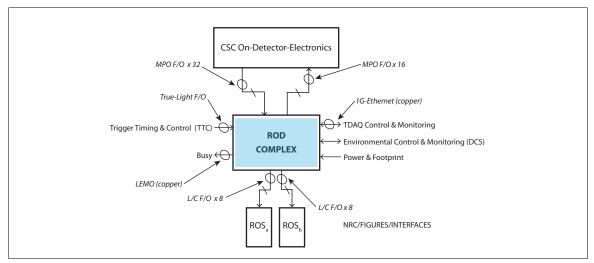


Figure 1 Interfaces for the The ROD Complex

The remainder of this chapter serves as an introduction to those interfaces as well as to the performance required of its replacement. Note that much of the identical information is contained, albeit with a different emphasis, in [16].

Chapter 2 introduces the *physical* design of its replacement. Two somewhat unique features of the replacement proposal are:

- i. The substitution of ATCA for VME as an implementation platform.
- ii. The usage of building blocks from SLAC'S DAQ tool-box (the RCE and COB).

Consequently, to fully communicate the proposal requires some rudimentary understanding of ATCA as well as the above mentioned building blocks. The second chapter is intended to provide background for both.

The usage of both ATCA and these blocks is the principal feature of this proposal. Within the constraints of an 18 month schedule this feature makes it practical to propose the design, fabrication, testing & integration of what logistically amounts to the production of an entirely new set of RODs.

Further, this feature turns its replacement from a hardware to software-centric design. The amount of hardware to be designed and fabricated as compared to what must be purchased and integrated is quite modest. Therefore, the bulk of engineering entailed by this proposal resides in its firmware and software. Chapter 3 introduces and describes that firmware and software design.

1.2 The CSC and its On-Detector Electronics

The CSC consists of *thirty-two* (32) chambers. Each chamber contains *four* (4) precision layers of 192 channels each and *four* (4) transverse layers of 48 channels each. The on-detector electronics are partitioned into units of *ASM-II Boards* [2]. One ASM-II board is designed to process 192 channels of data. Consequently, each chamber contains five *ASM-II* boards; four managing the chamber's precision layers and one its transverse layer. Chamber data are sampled every 50 *ns*. One sample from one channel produces *12-bits* of data. One sample from *all* 192 channels of a chamber is called a *Time-Slice*. In nominal data taking mode each *L1A* from the trigger generates *four* time-slices. Therefore, when configured for nominal operation, the amount of data emitted by one ASM-II is approximately *9.216 Kbits* (*1.15 Kbytes*), and for an entire chamber, five times that value or approximately *1.475 Mbits* (*1.84 Kbytes*). Note, the chambers emit data which is *not* sparse and therefore the amount of data transmitted by an ASM-II per event is *independent* of beam conditions as well as *L1A* rate.

Each ASM-II has *three* (3) fiber-optic links. Two of its three links operate as a pair and are used for transmission (downlink) of chamber data, while the third receives (uplinks) external control and timing. Independent of their direction all links are synchronized to the ATLAS system clock (40 MHZ) and operate at *640 Megabits/second*. However, as transmitters work in pairs the downlink capacity of one ASM-II is twice that value, or *1.28 Gigabits/second*¹.

The downlink for one chamber requires *ten* (10) fiber-optic links. Those ten links are bundled into one, *twelve* (12) strand fiber-optic MPO cable [10], leaving two of the twelve strands



^{1.} Roughly 160 Mbytes/second.

unused. The uplink for a single chamber requires *six* (6) fiber-optic links. Five of those links receive the ASM-II's timing and control, while the sixth is used to drive the chamber's pulser calibration board [11]. The uplinks for *two* chambers are bundled together into a *single* MPO cable. It is these existing MPO uplink and downlink cables which define the on-detector electronics interface to the complex. As the CSC contains thirty-two chambers, there are in total *forty-eight* (48) cables, *thirty-two* (32) downlink and *sixteen* (16) uplink.

1.3 Input rates

To satisfy its primary performance requirement the complex must process chamber data up to the maximum *L1A rate*, which is defined as 100 KHZ *Poisson* averaged. This implies the maximum data rate produced by a single ASM-II board is approximately 115 *MBytes/second* and for a single chamber five times that value or approximately *576 Mbytes/second*. With *thirty-two* (32) chambers the entire complex must absorb thirty-two times that value, or a value somewhat greater than *18 GBytes/second*.

1.4 The ROS Complex

Feature extracted event data are the product of the complex. Those data are sent in parallel from the ROD complex to the TDAQ system. That unit of parallelism is the ROL (*Read-Out Link*). The ROL is a single, full-duplex, fiber-optic link operating at *1.28 Gigabits/second* [21]. Data are sent on one duplex and flow-control received on its other. Physically, the ROL uses single mode fiber and is terminated at both ends with L/C connectors [11].

Data transmitted by the ROL must conform to the envelope dictated by the protocol specified in [13]. That protocol specifies that one event produces one frame. For the CSC, the event data produced from two (2) chambers is carried on *one* (1) ROL. The structure of one frame is described in [14].

Data for the entire complex is sent on *sixteen* (16) ROLs. The component of the TDAQ system that receives and manages output from ROLS is the ROS (*Read-Out-System*). The CSC requires *two* (2), one allocated to each of its two endcaps. That is, each ROS services the CSC data from *eight* (8) ROLs.

In short, it is those existing sixteen ROLs which define the TDAQ *event* or ROS interface to the complex.



1.5 Feature extraction & output rates

The data volume numbers described in Section 1.3 represent the *input* rate to the complex. However, it's important to note, unlike most ATLAS subsystems the amount of data *into* the CSC's complex is *not* equal to the amount of data *out of* its complex. For any given event, the CSC's chambers emit their *entire* response, presenting a somewhat significant data volume. However, as chamber occupancy for any given event is quite modest, the amount of corresponding signal emitted by the CSC is also quite modest. It remains the complex's responsibility as well as one of its principal requirements to extract that signal and forward only those data representing hit channels in the CSC chambers. The process of identifying that signal will be referred to as *Feature Extraction* (FEX). Although described in detail in [4], feature extraction involves a threshold cut, out-of-time rejection as well as cluster finding. Its implementation for the NRC is described in Section 3.2.

Output size is then, of course, a function of chamber occupancy, which in turn varies with luminosity, pile-up and background. These effects can be parameterized by the single number *mu*, which is the average number of interactions per bunch crossing. For example, at a *mu* of *thirty* (30), representative of today's typical operating conditions, the size of an output event is on the order of *150 bytes* per chamber.

To insure a healthy safety margin the requirements on the NRC are set at a *mu* expected after Phase-1 turn-on. That *mu* is *eighty* (80), resulting in an expected output event size of approximately *570 bytes* per chamber, per event [16].

Recall (see above, Section 1.4) that data from two chambers are carried on one ROL, giving at a *mu* of 80, *1140 bytes* per ROL, per event.

At an *L1A* rate of 100 KHZ, this leads to an output data rate of about *57 Mbytes/second* per chamber or for a single ROL double that value or *114 Mbytes/second* per ROL. Assuming a normal distribution between the CSC's two endcaps this corresponds to somewhat less than *one* (1) *Gbyte/second* per ROS.

1.6 Power and footprint

See [16].

1.7 Environmental Monitoring & Control

The Detector Control System (DCS) [46] has the responsibility to monitor and control detector infrastructure such as power supplies and ventilation.



1.8 Trigger & Timing Control (TTC)

The Trigger and Timing Control system is described in [42] and [43]. It consists of a Central Trigger Processor (CTP) [44] and a distributed set of Local Trigger Processors (LTPs) [40].

1.9 Busy handling

The 'BUSY' signal generated by the ROD Complex is consumed by the Busy Module [39]. This module aggregates the BUSY signals on its inputs into a single output signal that is ultimately, possibly via other Busy Modules, forwarded to the Central Trigger Processor (CTP) [44]. When BUSY is assert to the CTP, triggers are inhibited from being propagated to the subsystems via their individual Local Trigger Processors (LTP) [40].

1.10 TDAQ Control and Monitoring

The TDAQ Control system is based on a Finite State Machine (FSM) model, as described in [45]. Besides controlling the operation of the ATLAS experiment for taking data, the system monitors various aspects of the operation.

The TDAQ software suite is comprised of a variety of packages such as the Configuration Package [47], the Error Reporting System [48], the Log Manager [49][50], Histogramming [51][52], etc. Through the use of these packages, the CSC subsystem can be put through its paces, in both stand-alone situations as well as combined ATLAS running.



Chapter 2 Physical Design

2.1 ATCA as the implementation platform

The *New ROD Complex* (NRC) is designed as a plug compatible replacement for the current complex. The interfaces necessary to satisfy that plug compatibility were described in Chapter 1. At one level of abstraction the physical implementation of the NRC could be simply represented as an arbitrary aggregate of PCB boards. But, of course, because these boards operate to a single purpose, they will also necessarily require connections between them. Typically, for reasons of understanding, modularity and maintenance, those connections follow predefined, accepted mechanical and electrical standards. In this document any such usage which employs a specific standard will be referenced as a *Platform*. For example, VME would constitute one such platform. For the NRC that platform is based on an existing standard developed by the *PCI Industrial Computer Manufactures Group* (PICMG) commonly referred to as the *Advanced Tele-Communication Architecture*, or ATCA, whose current revision is referred to within that consortium as *PICMG 3.0*. As a platform ATCA is now quite mature, having been in existence for more than ten years, with a broad design base and a wealth of equipment deployed in the field as well as a burgeoning eco-structure within the telecommunication and defence industries.

ATCA usage by the NRC will be entirely compliant with the PICMG 3.0 specification. That specification is described in [6] with an introduction available from [5]. However, the remainder of this section is intended to provide sufficient background to gain a thorough understanding of the physical design description.

2.1.1 The Shelf

The ATCA shelf is known historically as the *chassis* and is by analogy, equivalent to a VME *crate*. Shelves house the Front-Boards and RTMs described below (see Sections 2.1.3 and 2.1.4). They contain, from front to rear, pairs of slots with each pair housing a Front-Board in the front and the Front-Boards's corresponding RTM in the rear. The shelf allows for *hot-swap* of any board in any slot. Depending on form factor the number of its slot pairs varies from *two* (2) to *sixteen*



(16). The orientation of those slots also varies, as shelves are offered with either *horizontal* or *vertical* orientation. In turn, that orientation affects the flow of air; from either left to right (*horizontal*), or top to bottom (*vertical*).

Broadly, the shelf is composed of a *subrack, backplane, filters* and cooling devices (*fans*). The subrack provides the infrastructure to contain the Front-Boards and RTMs described below. This includes guide rails, ESD discharge, alignment, keying, and backplane interface. Backplanes are passive circuit boards which carry the connections between slots. Although somewhat more complicated in detail, for this document, those connections can be partitioned into three logical groups: power, control and differential data pairs. The topology for both power and control connections is invariant of backplane. However, in order to accommodate different applications the connection topology of data pairs can vary. Two commonly used topologies are the dual star and full mesh. The backplane (and ATCA) is protocol *agnostic* with respect to the usage of these differential pairs with the choice delegated to the shelf's specific Front-Boards.

Figure 2 provides a front view of a representative ATCA shelf as used for development by SLAC's *Detector R & D* program:

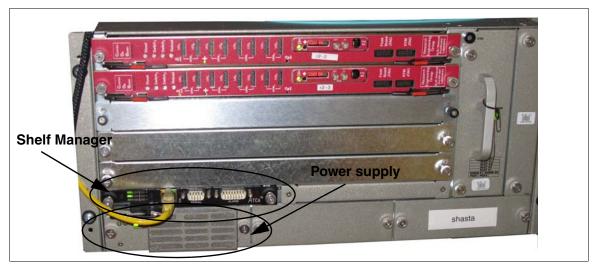


Figure 2 Front view of an ASIS 5-slot ATCA shelf

This photograph is of a COTS¹ shelf purchased from ASIS [7]. It has a horizontal orientation within its corresponding rack with airflow from left to right. It contains a replicated, full mesh backplane. Two of its five *front* slots are populated with Front-Boards, while its unused slots are populated with dummy air baffles. Note the RJ45 connector located on the front-panel of its *Shelf-Manager* (ShMC). This provides the shelf manager access to the *Ethernet* from which control and monitoring (through IPMI) of the shelf would be accomplished. Further, note the integral power supplies. These supplies are *not* required by the ATCA standard, but are provided by ASIS as a convenient feature for bench-top usage. The same shelf viewed from the rear is illustrated in Figure 3:



^{1.} Commercial-Off-The-Shelf.

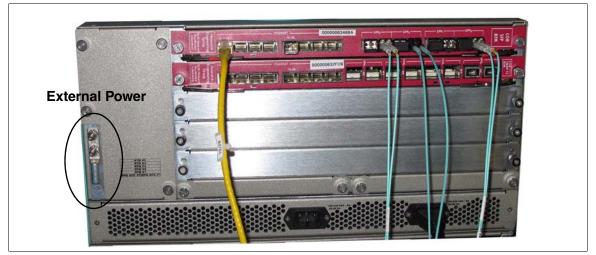


Figure 3 Rear view of an ASIS 5-slot ATCA shelf

As was the case for the front, two of its five *rear* slots are also populated, however, with RTMs (see Section 2.1.4) rather than Front-boards. Note, as was the case for the front slots, unused slots are populated with dummy air baffles. Further, note the power pins provided for external input of shelf power (+48 VDC). Last, Figure 4 provides an identical view, although now unpopulated, offering an unobstructed view of its backplane. Note the open area on the right allowing access to the P3 zones between Front-boards and corresponding RTM.



Figure 4 Exposed view of an ASIS 5-slot ATCA shelf's backplane

The choice of shelf for the NRC is discussed in Section 2.1.1.

2.1.2 Shelf Power

An ATCA shelf does not have any requirement for provision of its own power. Further, a shelf also has no explicit requirement for the control and monitoring of that power *independent* of source. Instead, its minimum requirement is to simply support external connections for both primary and redundant supplies. Those supplies must provide +48 VDC. In a large scale installation this "feature" allows for rack aggregation of power over many shelves.

Power for the NRC shelf is discussed in Section 2.4.

2.1.3 The Front-Board

The *Front-Board* constitutes the heart of the ATCA eco-system. From a shelf's perspective that board is simply a PCB board, 8U wide *x* 280 *mm* deep and which plugs into one of its front slots. That board, although following ATCA mechanical and electrical interface standards, contains logic which is application specific. And from that logic's perspective the shelf exists simply to provide a platform to serve its application specific *content*.

On its near side the board's front-panel contains a hot-swap handle as well as four ATCA defined LEDs to help direct an operator in board insertion and removal. The remainder of the panel is considered application specific. The board's rear side contains three logical "Zones". Zones 1 and 2 connect directly to a shelf's backplane. Zone 1 provides access to shelf power (+48 VDC) as well as the I²C communication channels which the board uses to communicate with its shelf manager. Zone 2 provides access to the high-speed, differential pairs connecting boards together. The area encompassed by Zone 3 is application defined, but reserved for connections to the board's RTM. PICMG defines an extension to the standard which allocates



that area. This standard is PICMG 3.8 ("ATCA for physics", [23]), which follows the convention of Zone 1 and 2 and partitions its area into two zones, one for power/control and the other for signals. The connector used for signals allows for allocation of up to 120 differential pairs between board and RTM.

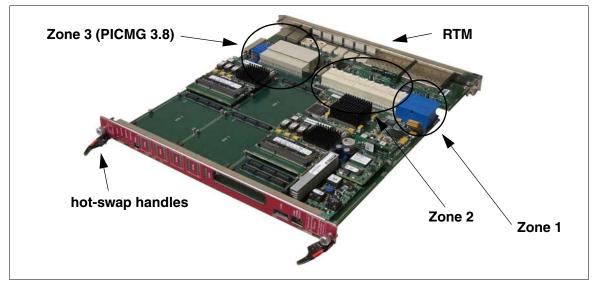
Any and all boards used by the NRC adhere to PICMG 3.8.

Note, that independent of any allocation scheme for Zone 3 the power for an RTM, if defined, must go *through* the Front-Board.

Each board must also contain a local controller called its *IPM Controller*, or IPMC. The IPMC manages the board's activation/deactivation policy as well as monitors its health and safety. It serves as a proxy to the board's shelf manager and communicates using the I²C channels on Zone 1. The IPMC, as was the case for the board itself, must satisfy ATCA interface standards, but its implementation is also, necessarily, application specific.

The standard specifies that the sum of the power drawn from a Front-Board and its corresponding (if any) RTM must not exceed *300 Watts*.

The NRC requires one application specific Front-Board. That board is described in Section 2.6.



A photograph of a representative Front-Board, showing connectivity to an RTM (using PICMG 3.8) is illustrated in Figure 5:

Figure 5 Representative ATCA Front-Board

2.1.4 The RTM

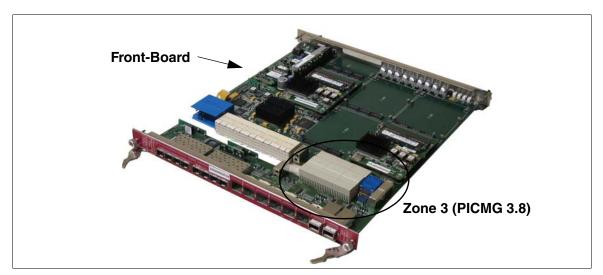
The RTM (*Rear-Transition-Module*) is simply a PCB board, 8U wide *x* 70 *mm* deep which is used to *extend* a Front-Board (see Section 2.1.3). Although not required, that extension is typically



found necessary for two reasons: First, to increase the useful footprint of the Front-Board and second, to house a board's external, I/O interface. The RTM shares the same hot-swap model as the Front-Board and specifies an identical pitch (1.2"). This allows the RTM to reuse the same panel, handle switches, and LEDs as its Front-board. The RTM connects to its Front-board through Zone 3. The form of that connection is application specific. However, if power for the RTM is necessary, it must be provided by the Front-board and must be brought through Zone 3. The ATCA specification is somewhat ambiguous with respect to the maximum power drawn by an RTM. A shelf is required to provide at a minimum 15 watts of cooling, but is, however, free to provide more. This is typically the case for all shelf manufacturers with maximum numbers more in the 40 to 70 watt range.

The RTMs employed by the NRC standardize the usage of Zone 3 by application of PICMG 3.8 [17]. That standardization allows such an RTM to "plug and play" with the NRC's Front-Board (see Section 2.6). PICMG 3.8 populates Zone 3 with two connectors, one for power and one for signal. Power provided through the power connector is +12 VDC and that connector also contains pins for JTAG as well as I²C support. The I²C channel is expected to be used by the Front-Board for control of the RTM's hot-swap switch as well as its front panel LEDs.

The signal connector provides up to 120 differential pairs. How those pairs are assigned between Front-Board and RTM is considered application specific. However, for the NRC's Front-Board, each one of its four DPM bays is assigned 1/4 of those pins or *thirty* (30) pairs (see Section 2.6).



The two types of RTMs contained in the NRC are described in Sections 2.8 and 2.9. Figure 6 illustrates a representative RTM showing its PICMG 3.8 interface connected to a Front-Board:

Figure 6 Representative ATCA RTM

2.1.5 IPMI and the Shelf Manager

ATCA adapts a somewhat locally autonomous philosophy with respect to environmental control and monitoring. As part of this model, each shelf has associated with it a single entity



responsible for maintaining the health and safety of its infrastructure. That entity is called the *Shelf Manager* (ShMC). Front-Boards, through their own local controller (or IPMC) negotiate both individually and independently with their shelf manager for their own activation or deactivation. They do so by publishing changes to their state through dedicated I²C channels on the backplane.

The shelf manager determines, based on hot-swap interface, when a board requires activation or deactivation. Power levels are negotiated based on both a board's request and the shelf's total available power. Shelf temperatures are maintained at safe levels autonomously by the shelf manager using information published by each board and adjusting power levels and fan-speeds accordingly.

In short, once a shelf's power is applied and while its shelf manager is active, no external monitoring or control is necessary to maintain the shelf's health and safety.

Although the health and safety of its shelf is maintained autonomously, the shelf manager still has provision for an external interface. Through this interface any information published to the shelf manager can be exported and the shelf manager can itself be configured. That physical interface is *Ethernet* and the shelf manager contains a TCP/IP Stack through which external communication is maintained. The logical interface for control and monitoring of the shelf is IPMI [18] and a wealth of tools exist, which interact with this interface.

2.2 Overview

A block diagram of the NRC illustrating its major components as well as the connections to its interfaces, is shown in Figure 7:

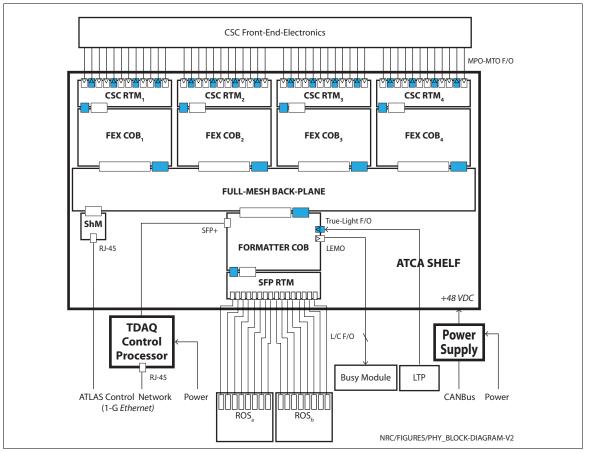


Figure 7 Block Diagram of the New ROD Complex

There are *three* components:

- 1. A single, COTS¹ ATCA Shelf and its components.
- 2. An external *Power Supply* used to energize that shelf.
- 3. A COTS *Processor* hosting TDAQ software interfaces to control and monitor the NRC.

Each of these three components is separately described below, in sections 2.3, 2.4 and 2.10. However, the bulk of the design is contained within the components of the shelf. Those components are described in Section 2.6 and Section 2.7.

The NRC's shelf contains *five* (5) ATCA Front-Boards each paired with its corresponding RTM. The shelf's backplane's *fabric* interface is organized as a full mesh. That interface is used to provide transport of 10-Gigabit *Ethernet* between front boards. The backplane's *base* interface is organized as a dual-star and is used for two different functions:² First, it fans *out* TTC information from the NRC's LTP to each of the shelf's front boards. Second, it fans *in* busy information from each of the shelf's front boards to the NRC's Busy Module.



^{1.} Commercial-Off-The-Shelf.

^{2.} As long as *Ethernet* is available through the fabric interface, reuse of the base interface's is permitted.

Front-Board and RTM are custom designs. However, although custom, their design is entirely PICMG 3.0 compliant, including hot-swap features, IPMI monitoring & control as well as E-keying. Both Front-Board and RTM are *independently* hot-swapable. The interface between Front-Board and RTM is PICMG 3.8 [23].

The five Front-Boards are all instances of a single design called the COB (*Cluster-On-Board*). This board is *not* specific to the NRC, but was instead designed from its inception to serve as a generic tool for the construction of massively parallel, high rate, high volume DAQ systems. The function of any one board is intended to be application specific and is dictated solely by the firmware and software programmed into it. This board, including its design, fabrication and production is a deliverable of SLAC's *Detector R & D* program on DAQ. A photograph of a preproduction COB is found in Figure 8 and a more detailed description of its functionality in Section 2.6.

For the case of the NRC, four of its five COBs contain application specific firmware and software to acquire and feature extract event data. These are the *FEX* COBs. Those feature extracted data are transferred (through a combination of *Ethernet* switching and mesh backplane) to the *Formatter* COB. In turn, that boards's application specific firmware and software receive and format those data for transmission to the ROS complex. Chapter 3 contains a description of each board's firmware as well as the software used to manage the NRC.

The NRC employs two RTM designs: one is purpose built for the NRC while the other is delivered by the same program providing the COB. While differing in implementation, both share the same principal function: Conversion of light to copper and copper to light. One RTM is designed to interface the fiber-optics connecting the NRC to the CSC's detector electronics, while the other interfaces the fiber-optics connecting the NRC to the ROS complex.

The RTM connecting the NRC to its on detector electronics is called the *CSC RTM* and the RTM connecting the NRC to its ROS complex, the *SFP RTM*. The NRC contains *four* (4) instances of the CSC RTM, one for each *FEX* COB, while there is only a single instance of the SFP RTM. That RTM is paired with the *Formatter* COB. The CSC RTM is described in Section 2.8, while the SFP RTM is described in Section 2.9.

2.3 Shelf choice

The shelf will be purchased from a commercial vendor. As all boards installed in the NRC's shelf are PICMG 3.0 compliant the NRC makes no demands on shelf choice and only three modest demands regarding the choice of its back-plane: A full *mesh* backplane containing at least *five* (5) slots, using *Ethernet* as its fabric protocol. Those demands can be satisfied by any commercial ATCA shelf manufacturer.

Any further demands on that choice will be determined by constraints imposed by the requirement to operate safely and reliably in USA-15 under the control and monitoring of ATLAS operations. This could, for example, include shelf orientation, redundancy, air flow, etc.



We are aware that ATLAS has constituted a"VME Bus Replacement" committee [19] to study, for the upgrade era, a suitable replacement platform for VME. Further, that committee has selected ATCA as its candidate replacement platform. However, at this point its recommendations with respect to the ATCA standard remain unpublished. Nonetheless it is our intent to closely follow that committee's deliberations as they evolve and mature and wherever necessary apply its recommendations.

2.4 Shelf Power

As discussed in Section 2.1.2 an ATCA shelf does not have any requirement for provision of its own power. Neither does it have any explicit requirement for the control and monitoring of that power *independent* of its source. Therefore, in order to minimize further demands on shelf choice, the NRC specifies *external* power supplies for its shelf. Its principal requirements are as follows:

- An input line voltage and frequency as determined by ATLAS standards
- An output voltage of +48 VDC
- A minimum power rating of 1500 *Watts*
- Provision for monitoring and control as determined by ATLAS DCS standards
- Must be mountable within the existing ATLAS rack infrastructure

For purposes of robustness and reliability we intend to provide *redundant* power supplies. Any further requirements will be driven by the need to satisfy the standards established by ATLAS for power supplies installed in USA-15.

2.5 Shelf Manager & IPMI

At this point we do not believe, given the shelf manager's autonomous behavior, that any internal access to the shelf is required by ATLAS systems [15]. This includes, for example, any need for DCS to interface directly with IPMI. However, should that access be required, there is no fundamental feature of ATCA that would prevent it. This remains an area of active discussion and the specifics of the usage of IPMI by ATLAS still remain to be worked out.

For purposes of robustness and reliability we intend to provide *redundant* shelf managers. For internal development we plan to connect both shelf managers to the ATLAS control network. The networking requirements imposed by those shelf managers are described in Section 2.11.



2.6 The COB

The COB (*Cluster-On-Board*) is an 8U, ATCA compliant Front-Board (see Section 2.1.3) with a PICMG 3.8 Zone 3. Functionally, the COB serves as a carrier board for the RCEs hosting the firmware and software developed for the NRC (see Section 2.7). Those RCEs are mounted on mezzanine boards (see Section 2.7.4), which in turn plug into *Bays* on the COB. Bays are connected to the COB's two separate, independent *Interconnects* as well as its Zone 3 connectors. Interconnects provide arbitrary, high speed communication paths between the elements contained on the bay's mezzanine boards, both (it is important to note), inter *and* intra COB.

Although rated up to 300 watts, when fully populated with five mezzanine boards, a COB draws closer to 120 watts. This board is one deliverable from SLAC's R & D program on high-speed DAQ. As such, the NRC simply purchases this board and from its perspective, that board consequently requires neither design nor development. A photograph of that COB (in preproduction form) with its five bays occupied is shown in Figure 8:

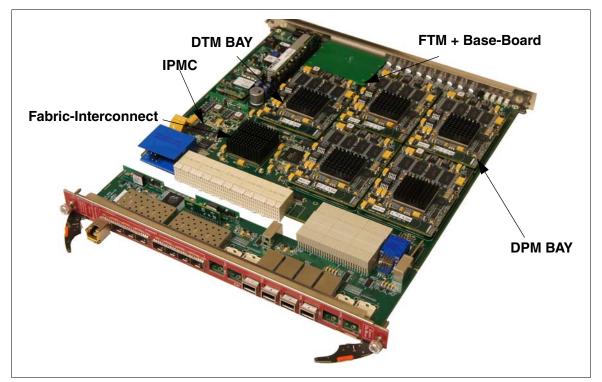


Figure 8 Preproduction COB

And a block diagram of the COB is shown in Figure 9:

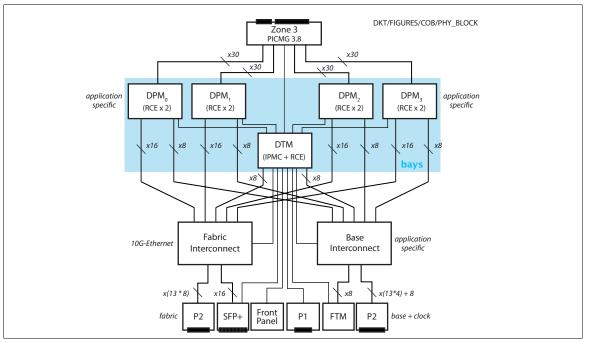


Figure 9 Block Diagram of the COB

The COB contains *five* (5) bays; *one* (1) DTM bay (see Section 2.6.1) and *four* (4) DPM (see Section 2.6.2) bays. Although all bays share identical form factors and connectors (see Section 2.7.4), they can be differentiated, primarily by how they connect to Zone 3, with the DTM connecting only to its *power* connector and the DPM only to its *signal* connectors. In turn, those connections determine the function of their corresponding mezzanine boards. The DTM, interacting with its shelf manager, manages the health and safety of both COB and RTM, while DPMs acquire and process data originating from the RTM. Those data, their interface, acquisition and processing are all intended to be application specific.

2.6.1 The DTM Bay

The mezzanine board plugged into the DTM (*Data-Transport-Module*) bay contains one RCE as well as the COB's *IPM Controller* (IPMC). The IPMC is the element responsible for monitoring the underlying health and safety of the COB as well as its corresponding RTM. It is also responsible, in conjunction with its corresponding shelf manager, for board and RTM activation/deactivation. It performs all these activities by interacting with various components on the COB, specifically with the RCEs contained within the COB's five bays. That interaction is accomplished through dedicated, local I^2C busses. The IPMC is a SOC (*System-On-Chip*), containing a dedicated ARM based (M3) processor. That processor runs de-facto, industry standard *Pigeon-Point* IPMC firmware and software [41], suitably modified to control and monitor the specific functionality of the COB.

Although in capability and form no different than any other RCE, the DTM's RCE has the fixed, dedicated responsibility for managing both of the board's interconnects. For this purpose it contains specific firmware and software. For example, as one responsibility, it must maintain



the configuration and supervise the *10G-Ethernet* switch contained within the fabric interconnect. That switch's management interface is a single lane PCIe. To communicate with this switch, the RCE contains a PCIe *Protocol-Plug-In (firmware,* see Section 2.7.1) as well as the tools (*software*) to configure and monitor that switch. Note, however, that while the DCM's RCE has predefined, base responsibilities it also remains accessible for user applications. For example, the NRC uses this RCE as a trigger simulation and that RCE has the capability to drive TTC protocol to not only the elements of its own board, but also to the elements of the entire shelf (see Section 3.3.3).

For the NRC, the RCE on the DTM is connected to eight (8) differential pairs of the fabric interconnect and four (4) pairs on the base interconnect. For the fabric interconnect, although those eight pairs can be configured a variety of ways, they will for the NRC, be configured as one (1) channel of 10G-Ethernet (XAUI). For the base interconnect two pairs receive <u>TTC</u> (one primary and one redundant) and two pairs transmit <u>BUSY</u> (one primary and one redundant). The four remaining pairs are unallocated.

2.6.2 The DPM bay

The mezzanine board plugged into a DPM (*Data-Processing-Module*) bay contains two (2) RCEs. Each DPM provides connections to *thirty* (30) differential pairs originating from the RTM, but carried through the COB's Zone 3 signal connector. The mapping of those thirty pairs to the mezzanine board's two RCEs is arbitrary and determined by application. The function of either RCE is determined not only by the mapping of those thirty pairs, but by the firmware and software it contains.

For the NRC, that function will be either as a *Feature Extractor* or as a *Formatter* (see Section 2.2).

For the NRC, each RCE on the DPM is connected to eight (8) differential pairs of the fabric interconnect and four (4) pairs on the base interconnect. For the fabric interconnect, although those eight pairs can be configured a variety of ways, they will, for the NRC be configured as one (1) channel of 10G-Ethernet (XAUI). For the base interconnect two pairs receive <u>TTC</u> (one primary and one redundant) and two pairs transmit <u>BUSY</u> (one primary and one redundant).

2.6.3 Fabric Interconnect

The *Fabric* interconnect contains, as its principal feature, a local, *10-Gigabit Ethernet* (10-GE). Packets are switched on that network using a commercial, 1163 ball ASIC [38]. That ASIC is a fully compliant *Layer-2*, *10G-Ethernet* switch. Although fully provisioned for buffered transfer, switch operation is, by default, *cut-through* with an ingress/egress latency of less than 200 *Nanoseconds*. It is also a fully managed switch with a PCI*e* interface connected to the DTM's RCE. Through its interconnect the COB's RCEs appear as *nodes* on that *Ethernet*. The interconnect allows its physical network to be extended to both nodes and networks *external* to the COB. Those networks could be, for example, other COBs residing in the same shelf, or even nodes physically disjoint from both COB and its shelf.



Internal to its shelf, the interconnect extends its network through its connections to Zone 2 of its backplane, specifically those connections to that backplane's *fabric* interface. The interconnect has individual connections to each of the thirteen slots of the shelf's backplane. With a full mesh backplane, this allows each network of every COB to be connected to each network of every other COB. *External* to its shelf the interconnect extends its network through its connections to the COB's fiber-optic transceiver bay. That bay can contain up to *eight* (8) SFP+ transceivers [20].

The interconnect's switch is organized in units of *Ports*. Each port is composed of four *lanes* and each lane is constructed from two differential pairs. Each lane forms a full-duplex channel with one pair allocated for transmission and one pair for reception. Each lane of each port is capable of operating independently at a fixed set of speeds ranging from *1.0 Gigabits/second* up to *12.5 Gigabits/second*. Lanes may also be bound together to form a single *Ethernet* channel which operates at four times the speed of any one lane. For the NRC, which carries 10-GE, the switch is configured to run XAUI, requiring four lanes, each operating at *3.125 Gigabits/second*. The switch contains *twenty-four* (24) ports. Those twenty-four ports are allocated to the fabric interconnect as follows:

- One (1) port connected to the DTM bay (one RCE).
- *Eight* (8) ports connected to the four DPM bays (two per bay, one for each RCE).
- *Two* (2) ports are connected to the SFP+ transceiver cage.
- *Thirteen* (13) ports are connected to the fabric interface (P2).

In short, within a shelf, the fabric interconnect allows for the formation of a uniform *Ethernet* populated with a flat space of RCE nodes.

2.6.4 Base Interconnect

The base interconnect's principal function is to manage and distribute *synchronous* timing to the COB's five bays. Note that unlike the fabric interconnect the protocol distributed over this interconnect is *application specific*. In further contrast to the fabric interconnect which functions identically *independent* of the shelf slot it occupies, the base interconnect has slot dependent responsibilities. This is a consequence of the fact that while the fabric interconnect uses ATCA's *fabric* interface, the base interconnect uses its *base* interface. That interface employs a backplane topology that is fixed by the standard at *dual-star*. ATCA refers to slots at its *roots* as *Hub* slots and slots at its *leaves* as *Node* slots. Necessarily, the behavior of a board, specifically its base interconnect, must vary depending on whether it occupies either a hub or a node slot. While boards in node slots need only distribute timing locally, boards occupying node slots must distribute timing not only locally, but also to other boards occupying its shelf. In short, while occupying a hub slot the base interconnect *drives* its base interface, but while occupying a node slot *receives* timing.

The distribution model for the base interconnect allows timing to originate from one of three potential sources:

- *Internal*, where the source is the *base* interface.
- *External,* where the source is the COB's *Front-Transition-Module* (FTM).



— *Local*, where the source is the COB's DTM.

Internal timing was described above. *External* timing allows the timing source to originate off the shelf. The FTM is a bay which contains an application specific, small "PMC-like" daughter board. Logically, the FTM serves the same role on the front of the COB as the RTM does on its rear, that of media adaptation. *Eight* (8) differential pairs from this daughter board connect directly to the base interconnect and *eight* (8) differential pairs connect to the DTM's RCE. Those eight pairs are intended to allow that RCE supervision of the FTM. *Local* timing allows the board to operate either stand-alone or perhaps more usefully provide a simulation of timing which would normally be sourced either internally or externally.

The NRC has purpose built versions of both FTM and base board. Those version are described in Sections 2.6.5 and 2.6.6:

2.6.5 The ATLAS FTM

The ATLAS FTM is quite straightforward and consists almost entirely of connectors exposed on the FTM's front panel. One is a fiber-optic transceiver receiving from the NRC's LTP necessary TTC information [40] and the second is a LEMO connector which carries the *BUSY* generated by the NRC to its corresponding *Busy Module* [39]. A photograph of that FTM (in prototype form) is shown in Figure 10:



Figure 10 Prototype FTM

2.6.6 The ATLAS Base Board

The ATLAS baseboard has two functions: To *fan-out* TTC and *fan-in* BUSY to and from its five bays. It consists entirely of passive components and includes no programmable logic. The fan-out must select TTC information from one of three sources: Either the COB's FTM (see



Section 2.6.5), its backplane, or through a local simulation on its DTM's RCE (see Section 3.3.3). Its implementation consists simply of an mux and clock fan-out buffer (see, for example [9]). The control of this mux is the responsibility of the DTM's RCE. The *BUSY* fan-in is essentially a set of logical ORs coupled with suitable masking. As was the case for the mux, the control of this masking is also the responsibility of the DTM's RCE.

2.7 The RCE

The RCE (*Reconfigurable-Cluster-Element*) is a bundled set of hardware, firmware and software components. Together, those components form a generic *computational* element targeted to process efficiently, with low latency, those kinds of data found passing through HEP DAQ systems. Those data have in common *three* features which make specific, somewhat, competing demands on the functionality of any such element. Those features are:

- **Highly parallel:** Data which are massively *parallel* are most naturally also processed in parallel, requiring computational elements which scale in cost, footprint and power. Those elements, in order to manage the flow of their data both efficiently and coherently, communicate together. This necessitates a communication mesh which shares the same scaling properties as the elements themselves.
- **Inhomogeneous:** As those data typically originate with their corresponding detector they are carried necessarily over a variety of media employing various *inhomogeneous* protocols. The element's I/O structure, must support, naturally, without sacrifice of performance that diversity.
- **Transient:** *Transient* data arrive at an element *once*, to be either transformed or reduced before immediately exiting the element. Such data are not typically amenable to caching strategies and require elements whose optimal computational model emphasises a performanent efficient I/O structure, coupled strongly to a large, low latency memory system over raw processor speed.

The RCE is optimized for those three features. Physically, one element can be contained in a footprint of less than 32 *cm*², typically draws less than *eight* (8) *watts*, costs (in small quantities) around \$750 and contains a native 10-Gigabit Ethernet interface. Elements are connected through a commercial, commodity ASIC containing a 64 channel, *Layer-2*, cut-through¹, *Ethernet switch* [38]. The combination of elements and switch define a *Cluster* and the nature of ethernet as well as functionality within that switch allows for the composition of arbitrary numbers of cluster *hierarchies*. For example, from the RCE perspective, the COB (see Section 2.6) represents a single cluster of *nine* (9) RCEs and its ATCA shelf is simply a container for a single level hierarchy of up to *fourteen* (14) nine node clusters. A block diagram of the major physical features of the RCE is illustrated in Figure 11:



^{1.} Less than 200 nanoseconds.

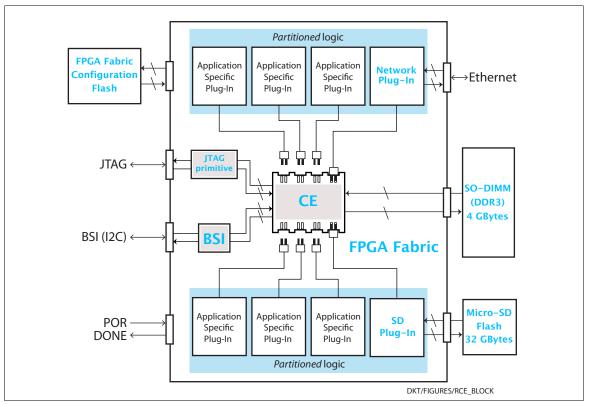


Figure 11 Block Diagram of the RCE

The principal implementation feature of the RCE is in its reuse of *System-On-Chip* (SOC) technology, specifically, member's of *Xilinx Virtex-5 FX family* [55]¹. As such, the RCE is neither processor, FPGA or DSP. Instead, it can be simultaneously any combination of the three. Within its fabric the FPGA contains both *soft* (user defined) and *hardened* (manufacture defined) silicon. That fabric is configured automatically on POR (*Power-On-Reset*) and is either downloaded directly from images previously stored on the FPGA's configuration (platform) flash, or indirectly through the RCE's JTAG interface. Note also that the platform flash is itself programmed through the RCE's JTAG interface. The RCE employs standard *Xilinx* tools and software to program the FPGA.

Xilinx refers generically to its set of different, hardened silicon as *resources*. Among the more important of those resources are high speed serializers/deserializers, I/O adapters, DSP tiles, dual-port RAM and of course, its processor. The RCE allocates the processor as well as a modest number of additional resources and soft silicon for its CE (*Cluster-Element*). The CE has exclusive use of, but interfaces indirectly with (see Section 2.7.2) its external *DDR3* memory



^{1.} The proposal in the paper assumes the usage of current generation RCEs (*GEN-II*). However, if schedule allows *GEN-III* will be deployed for production. Note, however, that GEN-III is both firmware and software backwardly compatible. GEN-III RCEs use *Zynq* [54] as a SOC along with its corresponding ARM processors.

and *micro-SD* flash system. Memory is packaged as SO-DIMM and the *micro-SD* flash is removable, allowing its capacity to be determined by user application.

The BSI's (*Boot-Strap-Interface*) principal function is to *reset* the CE. However, it also contains the initial configuration information necessary for the CE's bootstrap loader to boot its processor. The BSI is *outside* the CE so that its configuration may be retained over resets of the CE. External to the FPGA the BSI appears as a standard I^2C device and receives *its* command and control through that interface. Note, for the COB, that device is controlled and monitored through its IPMC (see Section 2.1.3).

To provide isolation between system and user firmware and insure reproducible behavior, system firmware is *partitioned* [53] away from application specific logic. System firmware is defined as the CE, the BSI, JTAG support and both *Network* and SD Plug-Ins.

The CE, which is both at the heart of the entire RCE and contains a significant fraction of the user's intellectual investment is described in Section 2.7.2. The remainder of the fabric, both hardened and soft silicon is reserved for application specific logic. That logic and its relationship with the CE is described below in Section 2.7.1.

2.7.1 The Protocol-Plug-In

Although both user defined and implemented, any application specific logic, does of course require information exchange between it and its CE¹. The interface model which allows such exchanges is the *plug* and *socket*. To follow that model, the user *wraps* their implementation specific logic with a thin veneer of system provided firmware². That wrapper is the *plug* and the combination of user logic and its plug is called a *Protocol-Plug-In* or PPI. When wrapped, that logic is now capable of being *plugged* into any of the eight predefined *sockets* on the CE. And once plugged in, both PPI and CE are now able to exchange information.

Although bundled with its base system the RCE itself takes advantage of this model to "glue" its *Ethernet* and SD interfaces to the CE. Both are good examples of one class of PPIs which must interface *outside* their FPGA. The plug-Ins required by the NRC to receive data from the CSC (see Section 3.2.1) and service its ROLs (see Section 3.2.3) are other such examples. Such PPIs when plugged into their CE have as their closest analogy the classic I/O device and processor model. However, unlike that model the PPI model coupled with the resources offered by the FPGA fabric provides an essentially unlimited way to either customize or mold the CE to arbitrary devices and protocols. Of course, the user is not limited to using the fabric and its resources solely for I/O. One can define PPI whose sole purpose is to take advantage of the DSP tiles and combinatoric logic of the FPGA to *process* rather than transfer data. The NRC uses this functionality to its advantage in performing its feature extraction (see Section 3.2.2).

^{1.} Otherwise, why use the RCE at all?

^{2.} Although system provided that firmware becomes part of the user's partition.

2.7.2 The Cluster Element

The essential function of the CE is as a platform which serves as an application specific *nexus* for the data both received and transmitted through the RCE's application specific PPIs (see Section 2.7.1). As such, the CE can be considered as both a hardware¹ and software platform. As a hardware platform its principal blocks are illustrated in Figure 12. As a software platform its corresponding services are described in Section 2.7.3.

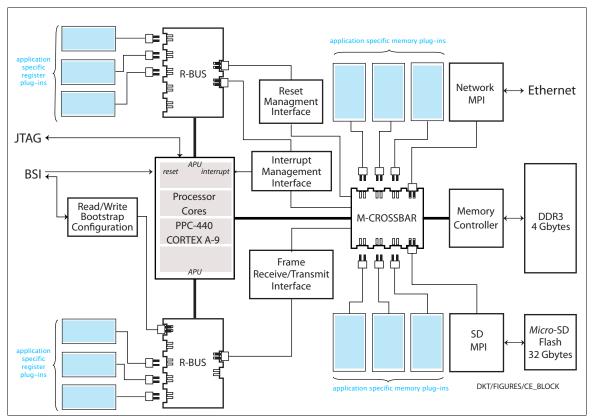


Figure 12 Block Diagram of the CE

Its principal implementation blocks are its *Memory Controller*, *Crossbar* and *Processor*:

The Memory Controller: Interfaces the RCE's external memory with the CE's Crossbar. It is a *soft* controller, derived from an existing *Xilinx* DDR2 design, but tailored for usage of low latency, DDR3 memory. The controller allows addressing of up to *four* (4) *Gbytes* of memory. It is clocked at 320 MHZ, has separate, internal, 64-bit, read and write datapaths providing roughly 5 *Gbytes/second* of either read or write bandwidth.



^{1.} Where here *hardware* is meant in the sense of both hard and soft silicon of the FPGA fabric.

The Crossbar: The Crossbar interconnects memory controller, processor¹, and up to *eight* (8) PPI sockets allowing for autonomous, concurrent transfers between all three types of entities and providing arbitration for when those transfers might collide. The crossbar is clocked at the same rate as its memory controller (320 MHZ) and contains internal, separate, 128-bit, read and write datapaths. Its core is hardened silicon [56], but suitable enhanced with purpose built firmware which *glues* the eight PPI sockets to that core.

The Processor: A 32-bit, PowerPC-440, superscaler, single core, RISC processor with separate 32 Kbyte data and instruction caches [56]. It is clocked at 475 MHZ. In addition to the three busses connected to the crossbar, the processor contains another, separate, independent, 128-bit wide bus called its APU bus [56]. One side is connected to the processor and its other side is an interface to the FPGA's fabric. This bus is unique in that it interacts *directly* with the processor's registers and data cache, bypassing its memory completely. Essentially, it allows the user to extend the processor's instruction set with application specific logic implemented in its fabric. Taking advantage of this feature, the CE uses the APU to control and manage its PPI sockets through a set of instructions which transfer data into and out of a socket directly from either registers or cache. This provides a very effective, low latency, performanent mechanism to transfer small amounts of data between processor and PPI. A similar mechanism is used for large data transfers, where data, rather than passed to and from the socket by value, are now passed to and from by reference. The socket autonomously takes care of transferring the data pointed to by that reference either to or from the PPI. Arbitrary transactions which interleave data by both value and reference are supported.

2.7.3 CE Software Services

The RCE includes bundled software to accelerate and leverage the development of application specific code for the CE. Some set of this software is linked to and executes with those applications (system resident software), while a subset is in the form of *tools* that operate cross-platform. Any and all system resident software is distributed with each RCE and if used, is *dynamically* linked to its corresponding applications. Remote tools and any software updates have a well defined release and distribution mechanism. JIRA is used for a bug-tracking and reporting system. Here is a summary of the software services bundled with the RCE:

Bootstrapping: A generic bootstrap *loader* which allows, on reset, transfer to arbitrary code based on an externally controlled configuration parameter called its current *vector* (contained within the BSI, see Section 2.7). The code loaded and executed by the loader is assumed stored in the RCE's *micro-SD* device. The code pointed to by any specific vector is called a *bootstrap*. Bootstraps may be either standalone code or



^{1.} Actually its three internal buses (instruction fetch, data cache read and write). Each bus is 128 bits wide.

code which loads and transfers control to other code (a secondary loader). The CE may contain and transfer control to an arbitrary number of different bootstraps. For the NRC, on reset, control is transferred to a secondary bootstrap which starts up RTEMS (see below).

- **Operating/System:** Although the CE is itself O/S agnostic, its system resident software is not and depends on functionality best provided by the services of an underlying O/S. In order to not compromise the RCE's innate performance a *Real/Time* (R/T) kernel offered the best compromise in satisfying that functionality. That kernel is RTEMS. RTEMS has a fully provisioned set of multi-tasking services as well as being both compact and efficient. It also maintains POSIX compliant interfaces, easing the burden of porting third-party software. However, perhaps most importantly, it is an *Open-Source* product with no licensing issues. RTEMS is described in additional detail in [31].
- **Persistency:** Access to *micro*-SD based media using its bundled PPI. That media is formatted as FAT-16 and is used by the CE for storage of system code and configuration (see **bootstrapping** above). However, that media is available directly to applications for storage of their own application specific code and configuration.
- **Networking:** Includes a complete TCP/IP stack. The stack's MAC layer is satisfied by the RCE's bundled *10G-Ethernet* PPI. The user interfaces to that stack are POSIX compliant.
- **Linking:** The same dynamic linker used to bridge system and user code.
- **PPI support:** Interrupt and reset support for an application's PPI.
- **Debugging:** Support for both local and remote debugging. Local debugging (SMD) interfaces to JTAG through standard *Xilinx* tools. Remote, network based, debugging uses the GNU interface.
- **Diagnostics:** Built-in self-tests as well as diagnostics. These are included on the CE as an alternate boot image providing the ability to "rescue" or repair inadvertent burns of the *micro*-SD media.

Development employes the GNU cross-development environment [34].

2.7.4 The Mezzanine board

The mezzanine board is one physical implementation of the abstract RCE described above in Section 2.7. It is a PCB board (100 mm x 80 mm) which hosts either one *or* two elements of RCE. A mezzanine board plugs into any one of the five bays contained on a COB (see Sections 2.6.1 and 2.6.2).

Power (+6 VDC) to this board is applied using two separate, but identical connectors. One connector is assigned to each element of the board. Those connectors provide, in addition to power, a presence sense pin as well as an enable pin for that power. The board's two, internal PDS (*Power-Distribution-Systems*) takes that input voltage, divides it down and distributes the necessary, well regulated voltages to each element. Each PDS can source 25 Watts.



A high-speed, high density, differential connector carries signals between the COB and the elements of the mezzanine board. Those signals include:

- To and from RTM (*thirty* pairs). See Section 2.6.2.
- To and from the *Fabric* interconnect (*sixteen* pairs) See Section 2.6.3.
- To and from the *Base* interconnects (*eight* pairs). See Section 2.6.4.
- JTAG.
- To and from the IPMC (I^2C); one per element.

On each of its two I^2C channels the board contains, in addition to the element's BSI (see Section 2.7) various I^2C devices which provide the following information:

- PDS status.
- Board and die temperatures.
- Element serial number (64 bit).
- Persistent, configuration information (MAC addresses, element wiring, etc.)

The COB's IPMC uses that information to "plug and play" with its bays, including their activation as well as in the monitoring of their health and safety.

To illustrate both mezzanine concept and its relationship to the RCE, a photograph of the prototype (single element) *GEN-II* RCE, mounted in a mezzanine board is shown in Figure 13:

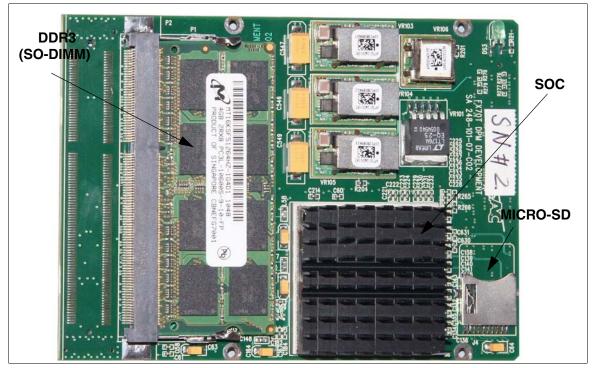


Figure 13 Preproduction COB-Mezzanine-Board (CMB)



2.8 The CSC RTM

The CSC *Rear Transition Module* (RTM) connects *eight* (8) chambers to a *FEX* COB (see Section 2.6). The *payload* power required for this RTM is estimated at 15 *Watts* or less.

Externally, this RTM connects to the CSC's detector electronics through its existing Fiber-Optic MPO cable plant [10]. Internally, the chambers are connected to their corresponding COB through the RTM's PICMG 3.8 interface [23]. The block diagram for this RTM is illustrated in Figure 14:

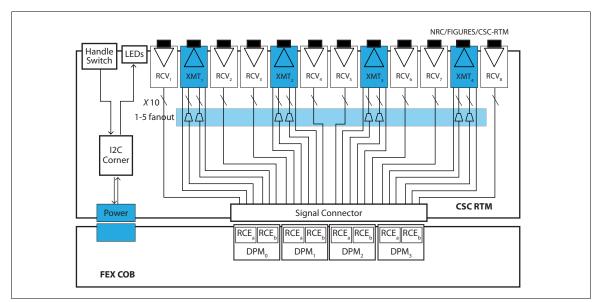


Figure 14 Block diagram of the CSC RTM

The RTM contains *eight* (8) SNAP-12 Fiber-Optic *Receivers* and *four* (4) SNAP-12 Fiber-Optic *Transmitters*. Independent of either transmit or receive function any one transceiver manages *twelve* (12) channels of fiber data [8]. The physical interface for these transceivers is MPO [10] with one strand of each cable mapped to one of the transceiver's twelve channels.

Externally, units of two receivers and one transmitter are used to service *two* chambers. Each receiver connects to a single chamber. As each chamber contains five ASM-II boards and each ASM-II requires two channels for transmitted data, one chamber allocates *ten* (10) out of the twelve channels of that receiver. However, unlike the receiver, the transmitter's twelve channels are shared equally between two chambers. Each ASM-II requires one channel of control. Therefore, for each side of each transmitter, five of the side's six channels drive one chamber. Note that the five ASM-IIs of each chamber are operated *synchronously*. Therefore, its five control channels are driven by one *common* source from the COB. Once received, that control is *fanned* out five times by the RTM to the transmitter. The remaining sixth channel of a side drives the chamber's corresponding pulser calibration board [11].

Figure 15 illustrates one usage of the SNAP-12 MSA within an RTM:

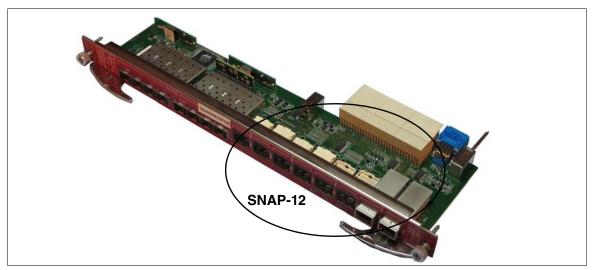


Figure 15 An RTM containing SNAP-12s

2.9 The SFP RTM

The SFP *Rear Transition Module* (RTM) connects the *sixteen* (16) *Read-Out Links* from the NRC's ROS complex to the NRC's *Formatter* COB (see Section 2.6). The *payload* power required for this RTM is estimated at 10 *Watts* or less.

This RTM houses up to *sixteen* (16) SFP transceivers [20]. Externally, each transceiver connects to one ROBIN [21]. Those ROBINS are contained within the NRC's corresponding ROS complex. Internally, through its PICMG 3.8 interface, the RTM connects to a *Formatter* COB (see Section 2.6). The block diagram for this RTM is illustrated in Figure 16:

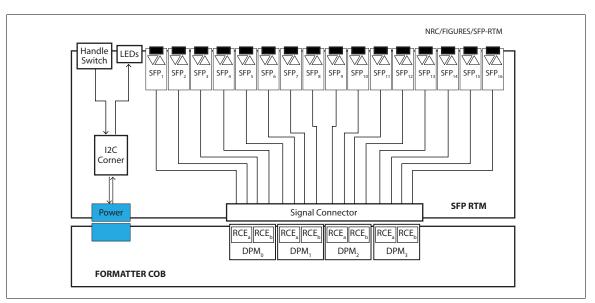
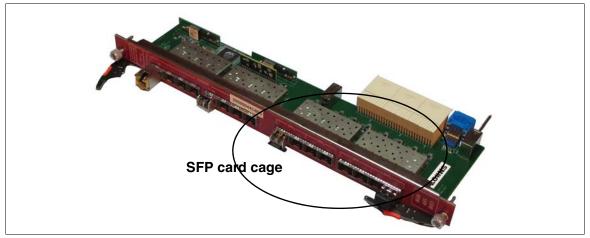


Figure 16 Block diagram of the SFP RTM





A photograph of a preproduction SFP RTM is illustrated in Figure 17:

Figure 17 An RTM containing SFPs

2.10 The Control Processor

The *Control Processor* is a COTS, 1U, 19" rack mount, blade server. It will be purchased following standards set by ATLAS, including hosting ATLAS standard LINUX. This machine would be managed by the ATLAS system administration group.

It is physically installed in USA-15 in the same rack occupied by the NRC's shelf. Its principal function is to simply host the TDAQ software base and NRC specific software which interfaces to that TDAQ software. Its secondary function is to mount a DHCP server to provide the IP addresses for the forty-five RCEs contained in the NRC.

That blade will be *dual-homed*. Its first NIC¹ plugs into one of the SFP+'s of one of the COBs in the NRC's shelf. Its second NIC is a standard 1G-E, with a RJ45 connector which plugs into the ATLAS control network (see Section 2.11).

2.11 Networking

The NRC exposes two nodes to the ATLAS control network: its *Control Processor* and *Shelf Manager*. Physically, both connections are 1G-*Ethernet* copper through RJ45 jacks. The IP address for the Shelf Manager must be statically allocated, while the Control Processor's address can be allocated through any appropriate mechanism.



^{1.} Preferably 10G-E.

With five COBs each containing nine RCEs, the ATCA shelf contains its own IP network of *forty-five* (45) nodes. That network is accessible *only* through the Control Processor. The Control Processor is dual-homed with its first interface connected to the ATLAS control network while its second interface is connected to one of the eight SFP+ transceivers contained on the *Formatter* COB. Nominally, that interface will be 10G-*Ethernet*. IP packets are *not* routed between the two interfaces. It is anticipated that the Control Processor will mount its own DHCP server for RCE address allocation.



Chapter 3 Firmware and Software design

3.1 Introduction

The ROD Complex can be viewed from several different functional aspects, as illustrated in Figure 18. These largely inter-independent planes of the diagram are detailed in the following subsections. This is followed by some subsections on aspects and features that are properties of the system in common.

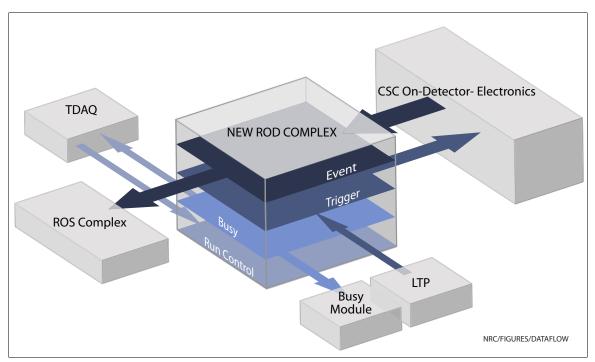


Figure 18 NRC dataflow through it interfaces

As described in Section 2.7.1, an RCE obtains its personality through its firmware and software. Some combinations of firmware and software that perform an isolated function can



be arranged into a construct called a Protocol Plug-in (PPI). In some cases PPI's interface an RCE's processor to the outside world, and in other cases PPIs are created to take advantage of one or more features available on the FPGA. Since PPIs stand alone, a *library* of PPIs is being accumulated by the Detector R&D DAQ group at SLAC that allows a systems designer to select those PPIs that, in aggregation, bring about a solution to the problem at hand. The task that then remains is to build a software framework to manage the flow of, and possibly manipulate, data between PPIs to provide the overall solution.

In the case of the CSC, the problem can be broken down into two concrete blocks, that of the feature extraction (FEX) and that of formatting the data for downstream consumption. Each of these functions is handled by a separate kind of RCE, termed FEX and Formatter RCEs, respectively. These are identical pieces of hardware loaded with different firmware and software.

3.2 The Event Plane

Viewed from the event data flow aspect, the ROD Complex is depicted in Figure 19. The ROD Complex must orchestrate the flow of data from the front end electronics to the ReadOut System (ROS). This is done with a variety of Protocol Plug-ins as described in the following subsections.



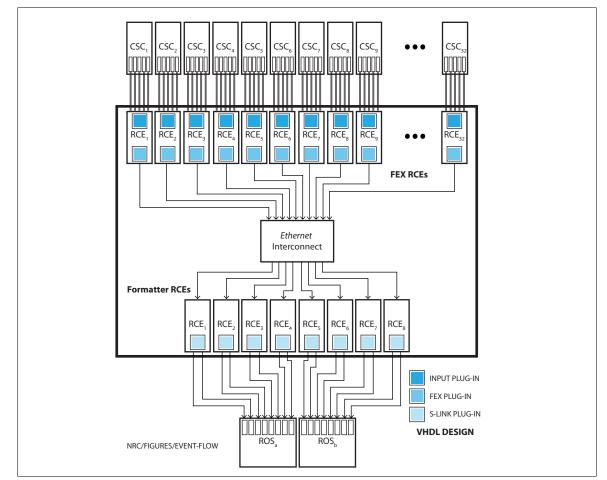


Figure 19 Event Flow

The data arriving from the on-detector electronics must undergo feature extraction and formatting before being passed to the corresponding ROS. Besides performing checks of the integrity of the data, the feature extraction consists of operations dependent processes. For example, for nominal physics data taking purposes, the data is examined for clusters and out-of-time hits after pedestals are subtracted. For pedestal runs, a pass-through process is used.

References for the CSC's Feature Extraction algorithms are the DPU Documentation [4] and Sparsification Algorithm [22]

Briefly, the characteristic cathode strip waveform evolution time is approximately 140 *ns*. A minimum of 4 samples of this waveform are needed to reliably recognize it with software. A 20 MHZ clock is available to provide sampling every 50 *ns*.

3.2.1 Input Plug-in

References for this section are the CTM Reference Manual [23] and the ROD/ASM-II Interface document [24].

Each FEX RCE receives 5 lanes of raw data from the front end electronics (ASM-IIs) of a chamber. Two fiber strands correspond to one lane. Thus, an MPO cable having 12 strands is used, of which 10 total strands carry the data. These fiber links are implemented using the G-Link chip-set to transfer 16 bit words at 40 MHZ, or 640 Megabits/second. Each pair of fibers from one ASM-II is funneled into one 1.28 Gigabits/second data stream, resulting in the 5 streams handled by the PPI.

The data is organized on the links as 40 MHZ streams of 16 bit words. This implies that the time to transfer one time slice of 192 channels with 12 bits per channel is 1.8 *microseconds*, or 7.2 *microseconds* for the nominal 4 time slice event. Note that there are no framing bits, CRC bits, etc., and so there is no means of detecting bit errors in the data.

It is important to realize that all the data from the front end electronics is brought into the ROD Complex on each trigger. This means that this portion of the read out process is not dependent on the detector occupancy.

The Input PPI also takes care of establishing and reporting the state of G-Link lock. To avoid possible safety hazards, the PPI disables the link if lock is not (re)established with-in a reasonable amount of time.

After the conversion from light to copper is done on the RTM, the signals are guided to the RCEs. The Input PPI is responsible for de-convolving the data stream and transferring the data into the processor's main memory. This transfer is carried out without involving the processor itself, as the Input PPI uses a DMA engine to execute the transfer. The net result is the raw front end data organized in memory (not necessarily in this order) as 4 time slices by 192 channels by 5 layers by 12 bits, zero extended into the processor-convenient 16 bit words, or about 8 Kilobytes. This can be done at a rate of 2.5 Gigabytes/second due to the 450 MHZ FPGA clock rate and high memory interface bandwidth.

Once the transfer is complete, the processor receives an interrupt to indicate that the data is available for handling. The interrupt message contains a pointer to the data. The processor reacts to this interrupt by passing a message containing this pointer to the FEX PPI, described next.

Meanwhile, the processor examines data error information reported by the PPI. Depending on the severity of any encountered errors, an error record is inserted into the data stream, potentially along with the offending data for possible further off-line analysis.

As described in more detail in Section 3.4 on the Busy Plane, should the process of extracting data from the Input PPI fall behind the request (i.e. *L1A*) rate for any reason, front end data will accumulate in the Input PPI FIFO until its capacity approaches full. Once the almost full level is exceeded, the system will apply back-pressure to the overall ATLAS TDAQ by asserting the BUSY signal. It is a software option to detect whether this situation is about to occur and to respond by either allowing back-pressure to be asserted or to short circuit the data processing by throwing the data away and forwarding 'BUSY' records into the data stream.



3.2.2 FEX Plug-in

The Feature Extraction PPI takes advantage of the large amount of programmable logic of the FPGA to execute operations on multiple data words in parallel. The primary object is to do a pedestal comparison with an Out-Of-Time (OOT) cut, resulting in a bit array of channels that exceed the thresholds. Similar to the raw data described above, the resulting bit array is organized (not necessarily in this order) as 4 time slices by 192 channels by 5 layers by one bit. The pedestal array is one quarter (four time slices) the size of the data array. This result can be computed in the FPGA logic in a few 450 MHZ clock cycles.

The OOT cut looks at the slope of the channel values of successive time slices to determine whether the data represents a fully fledged pulse or one that is decaying away. If the latter, the data is rejected. Details are given in [4].

Through this same plug-in, a bad channel mask can be applied.

The net result is a subset of the raw data that is selected for packaging into CSC contribution [14] to the ATLAS event [13]. For this, the selected data is sent to a Formatter RCE, which, as the name implies, takes care of the formatting. The result of this process is the Formatter sending the data out the S-Link Plug-in, described next, to its associated ROS.

3.2.3 S-Link Plug-in

The S-Link PPI is used to transmit the data out of the ROD Complex on the ReadOut Link (ROL). In practice, it is used by the For matter RCEs to perform the same functions that the HOLA [25] cards did in previous incarnations of the ROD Complex. This is a generic PPI, with nothing CSC or even ATLAS specific about it.

The S-Link specification [26] is solely one of an interface. The PPI forms part of a physical implementation, the other part being the SFP transceiver with LC connector on the RTM (see Section 2.9). The implementation fully conforms to the duplex version of the specification. Its throughput is 160 MB/s. The S-Link flow control information is made available to software by the PPI so that it can determine whether more data can be posted to the interface.

3.2.4 Usage

In addition to the FEX PPI, there is additional feature extraction that can be carried out on the FEX RCEs. In normal data-taking operation, for example, a so-called cluster finding algorithm is run. This is a software process that takes the 192 bit outputs from the FEX PPI and looks for consecutive set bits. This process can be executed in a few instructions (475 MHZ CPU cycles). When a cluster is found, its corresponding raw data is formatted into an output buffer, in preparation for posting to the Formatter RCE.



Potentially, other algorithms can also be applied. For example, given that the data for all layers of the chamber is available in each FEX RCE, a neutron rejection algorithm could be applied. Such an algorithm was developed for the previous ROD Complex, but was not used.

3.3 Trigger Plane

Viewed from the event trigger aspect, the ROD Complex is depicted in Figure 20:

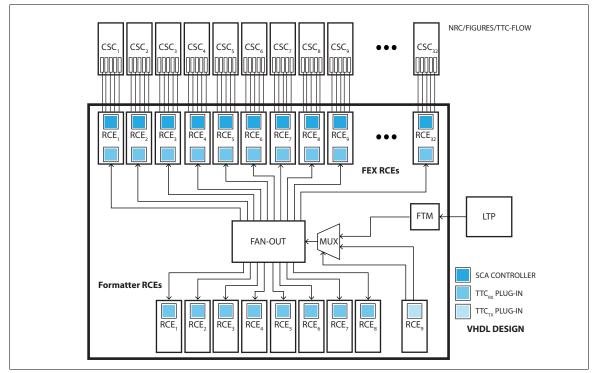


Figure 20 Trigger Flow

3.3.1 SCA Controller

The SCA Controller is responsible for getting the data transferred out of the on-detector electronics (the ASM-IIs) and into the ROD Complex. It does this by constructing control messages that are emitted onto the control fiber cable. There is one fiber strand per control cable for each ASM-II. These links are also implemented with the G-Link chip-set configured to transfer 16 bit words at 40 MHZ, or 640 Megabits/second.

The control message consists of a string of 17 bit words that are used to operate the front end. The message contains information to generate the various clocks needed by the ASM-II, and addresses to read the data out from the 144 location analog circular memories.



The SCA Write Clock is generated from one bit in the control message. With a configuration parameter, the write clock can be made to toggle at 20 or 40 MHZ, which leads to the 144 location analog memory being able to hold 7.2 *microseconds* or 3.6 *microseconds* of consecutive samples of data, respectively.

There is one ADC per SCA module, and each SCA module services 12 channels (strips). 16 SCAs serve one ASM-II board. The ADC Conversion Clock rate is configuration selectable at 5 or 6.67 MHZ. This rate (with different phase) is also used for the Read Clock that clocks data onto the uplink.

Since there is a (measurable and fixed) latency between the decision to read out a sample and the time that the corresponding instruction arrives in the on-detector electronics, the SCA Controller must construct the messages to read out data some number of locations ahead of the current write pointer. This latency is a configuration constant that is determined off-line.

The number of time slices to read per trigger (e.g. *L1A*) is also a configurable item. The value determines the number and type of control messages that are sent to the front end. The SCA Controller keeps track of what data corresponds to which control message, and thus what trigger.

There is no error detection or correction applied to the control path, but since each message stands alone and the fact that no state is held by the on-detector electronics, the system self recovers after a corrupted message. Also, note that if it is determined that a particular analog memory is bad, the control messages can be constructed so as to avoid that location in the 144 location array.

The bottom line is that of the 144 samples/channel extant in the on-detector electronics at any given time only at most (144+N-1) samples/f_{Write_Clock} = N/f_{Read_Clock} samples can be brought into the ROD Complex before the write pointer passes the read pointer. For the nominal configuration of the Write Clock running at 20 MHZ and the Read Clock running at 6.67 MHZ, N works out to 71. These N samples can be randomly accessed, i.e., arbitrarily distributed in time, or as in the nominal situation, as successive time slices for given *L1As*. For nominal running with 4 time-slices per *L1A*, this means that in the worst case, the CSC on-detector electronics can take at most around 18 *L1As*, where the triggers are separated by 4 time-slices of time (4 * 50 *ns* = 200 *ns*). *L1As* closer together than that result in time-slices that shared between different events, which is easier to handle, from the bandwidth point of view.

The saturation point of the down-links occurs when every word on the down-link is occupied with data. Thus, (2 fibers * 16 bits/Word * 40 MW/second) / (192 samples/time-slice * 12 bits/sample = 556 K time-slices/second can maximally be retrieved, giving a maximum *L1A* rate of about 140 KHz for the nominal 4 time-slice per trigger running situation.

3.3.2 TTC Receiver Plug-in

The TTC Receiver (TTC_{RX}) Plug-in receives trigger information from the Trigger & Timing Control (TTC) subsystem on the COB, described in Section 2.6. It uses this information to construct a Trigger Information Structure (TIS) that is used by the FEX RCEs to tag the data, and by Formatter RCEs to assemble the ATLAS Event Header for the CSC data contributions. The TIS contains values like the Level 1 Accept number, the Beam Crossing number, the trigger type, the orbit number, etc.

On the FEX RCEs, this plug-in causes the SCA Controller plug-in to go through its sequence of reading out data from the front end. This trigger is passed to the SCA Controller plug-in via firmware.

THE TTC_{RX} PPI has the ability to affect back-pressure, just as the Input PPI does. Back-pressure is asserted when the trigger information is not drained sufficiently quickly from the Plug-in's FIFO.

3.3.3 TTC Transmitter Plug-in

The TTC Transmitter (TTC_{TX}) Plug-in determines the source of the trigger for the system under software control. Possible sources are the FTM (i.e., the LTP), the backplane, and the RCE itself.

In normal operation, one TTC_{TX} PPI in the shelf is set up to be a master and receive central trigger information via the FTM and to broadcast it all RCEs on the COB. It is also set up to broadcast it to the backplane. The other TTC_{TX} PPIs are slaves to the signals from the backplane.

The TTC_{TX} PPI is typically installed only on the DTM RCE (see Section 2.6.1), of which there is just one per COB. This RCE can be used to generate trigger messages under software control. The TTC_{TX} PPI allows the system to be partitioned into independent trigger domains for concurrent development capability, potentially by multiple users.

The TTC_{TX} PPI is invaluable during system development and testing. Arbitrary trigger patterns can be generated and emitted with it, allowing the exploration of various corner case scenarios.

3.4 Busy Plane

Viewed from the 'BUSY' aspect, the ROD Complex is depicted in Figure 21:



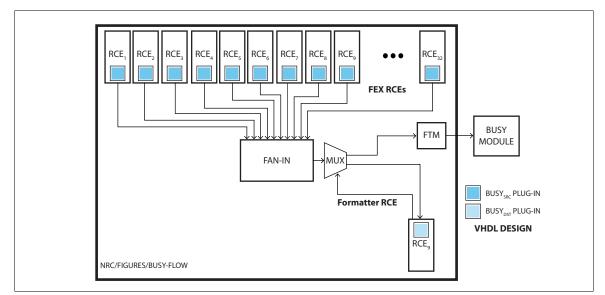


Figure 21 Busy Flow

The **back-pressure** model is represented by a chain of tasks which each have some buffering associated with them. The job of the tasks is to process data in their buffer and send the result forward to the next task, thus striving to keep their input buffer empty. Each can stall for one reason or another. When such a stall occurs, the data being sent to that task starts to accumulate in its input buffer. When that buffer approaches capacity, a signal is provided to let the upstream task know that it should not send any more data downstream. Not paying attention to that signal would cause the data to be lost. When the foremost buffer in the chain can no longer absorb data, the system is said to be in the **busy** state.

In a parallel system with multiple chains of these tasks, the BUSY information must be combined, as shown in the diagram. The sum total of all the BUSY signals is fed to the Busy Module and the Local Trigger Processor (LTP), which forwards it to the Central Trigger Processor (CTP) to halt the triggers that cause data to be injected into the task chains. Since there is a latency due to the time it takes the signal to get to the CTP and for the CTP to react to it, the buffer full signal must be asserted before the buffers are truly full. The slowest link in the chain, i.e., the task that causes back-pressure to be asserted the most amount of time, dictates the performance of the whole system, so great effort is spent on designing each link to have sufficient headroom so that no one link becomes a bottleneck.

The Busy Module monitors the length of time that BUSY is continuously asserted on its inputs. When that duration exceeds some amount of time, the module interacts with the Run Control shifter to determine whether to mask the source of the offending BUSY out of the system. This is generally an anomalous situation indicating that something in the system is mis-configured or broken.

The job of the two BUSY plug-ins described below is to implement this model.

3.4.1 Busy Source Plug-in

The Busy Source Plug-in provides its RCE's contribution to the back-pressure signal of the ROD Complex. Sources of back-pressure are the almost full signals of FIFOs such as those in the Input PPI and TTC Receiver PPI. Software can also assert back-pressure by commanding the plug-in. After reset, for example, the PPIs come up with back-pressure asserted. The last step that software does before going into its loop waiting for events is to command the plug-in to de-assert back-pressure, signalling to the rest of the system that it is ready to take data.

The plug-in also maintains statistics to allow analysis of hot spots of busy for debugging and system understanding purposes.

3.4.2 Busy Destination Plug-in

The Busy Destination Plug-in is similar in idea to the TTC_{TX} PPI but for the converse case. Again this PPI is typically resident only on DTM RCEs and there is only one master and multiple slaves. The PPI gathers up the back-pressure signals from all the RCEs on the COB and, under configuration control, also from the backplane. Optionally, it can vector the resulting sum of all these signals to the FTM for consumption by external the LTP and Busy Module. Similarly optionally, it can vector the back-pressure sum from the board to the backplane.

3.5 TDAQ Plane

Viewed from the Run Control aspect, the ROD Complex is depicted in Figure 22:

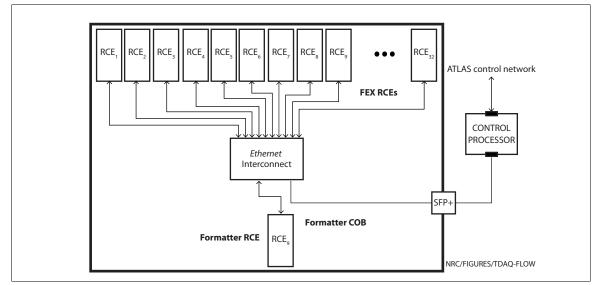


Figure 22 Run-Control Flow



The ROD Complex contains a Control processor, not necessarily co-located with the ATCA shelf. This machine is a Linux-class computer that is dual homed on the ATLAS TDAQ Control network and a private network shared only with the shelf. It takes the role of the RCC SBC in the VME based systems, and could even be the SBC in the VME crate housing the LTP and Busy Module. However, it could also be a stand-alone blade server mounted either in the shelf, or in a rack. Not much processing power is needed, so the choice can be delayed. There is not an *a priori* reason for this machine to be different from standard issue CERN/ATLAS machines that are capable of running the non-VME portions of the TDAQ distribution.

One might think to confer the Control Processor functions onto the Shelf Manager. The Shelf Manager's role (see Section 2.5) is to monitor and control the shelf. It has limited resources and is required to be robust due to its safety and health functions. The conservative approach would be to leave it as designed in order not to affect its stability.

RCEs are network devices that need to learn their network identities from some source. We intend to install a DHCP server somewhere for this purpose. The Control Processor is a logical place to do this. The DHCP server will dole out IP addresses to the RCEs.

In the development phase, it is often convenient to have an isolated test stand that relies on as little as possible from the full installation at Point 1. This reduces the barrier to setting up such test stands at locations that potentially don't have access to resources at CERN. More activities can thus proceed in parallel. Stand-alone methods for interacting with the ROD Complex are thus made available. These methods used the same underlying software that the TDAQ interface uses.

ROD - VME operations of the previous incarnation of the ROD Complex are replaced with RCE - TCP/IP network operations. The system can thus take advantage of message broadcast ability to allow activities to be executed in parallel on all nodes in the system.

The TDAQ interfaces will be proxied by the Control Processor to the RCE plant. In the case of Run Control, each of the FSM states and transitions will be provided with some code to instruct the RCEs to carry out the appropriate local commands. There is not necessarily a one to one correspondence between the TDAQ state machine and the one used by the RCEs as additional richness in the RCE API may be exploitable by introducing additional states. However, the mapping will be parallel enough that a TDAQ state transition will correspond to one or more RCE transitions.

A similar proxy interface can be used for other subsystems like the Error Reporting System (ERS) and the Online Histogram Package (OHP).

As described in Section 2.7, RCEs have TCP/IP network interfaces that operate at 10 Gbits/seconds. The network interfaces are interconnected via a high bandwidth, parallel switch on each COB. The switch also has ports that are connected to the backplane and the RTM. Communication can thus take place between all RCEs in the system and their outside world. Through these paths the RCEs receive their commands from the Run Control system. These paths are also used by the FEX RCEs to pass data to the Formatter RCEs.



3.6 Firmware and Software Maintenance

A subversion [27] code repository is maintained at CERN and is accessible through a web interface [28]. CSC online code has been and will continue to be kept in this repository. Included in this is the firmware source code. ATLAS maintains wiki pages at CERN. Various sources of information about the CSC are found here [29]. Additional information can be found in the wiki pages at SLAC [30]. However, these cover more implementations than the one for the CSC.

3.7 Software tools

Wherever possible, the C++ language will be used to implement the software. However, in some areas it will be necessary to resort to assembler to optimize functionality for performance or to gain access to hardware features not available in the higher level languages.

RTEMS is an open source real time operating system available from OAR, Corp [31]. It is mostly written in the C language.

The firmware design tools are provided by and available from Xilinx Inc [32]. The firmware [33] language has been selected to be the standard for implementing the firmware of the RCE components.

The GNU [34] tool chains are used for building software products. In some cases, the GNU tool chain provided with the RTEMS distribution are used, and in other cases those provided with the Xilinx tools are used, depending on some desired feature. In no event are the tool chains mixed. A custom build system has been developed to manage this.

Versions of all these packages change with time. We endeavor to maintain concurrency with the latest released set of the tools from the various vendors to take advantage of all bug fixes and developments of their respective owners. This also minimizes the difficulties incurred due to version changes. Where applicable we submit bug fixes and widely useful contributions back to the respective communities.

3.8 Test and Release plan

The SLAC Detector R&D Group maintains a policy of providing unit tests for each component of its software. The ones relevant to the NRC will be described in the twiki [29] and in confluence [30].

The steps for deploying a release of the previous generation ROD Complex are documented in the twiki [35]. We will follow similar steps for the New ROD Complex. We will augment the plan to cover all aspects of the system.



A set of regression tests will be formulated to aid in testing and releasing the system to Point 1. The regression tests include tests that flow recorded or generated data through the system using specialized, or repurposed hardware. For some situations the Data Injector developed for the Version 3 ROD may be used. In others, NRC components with specialized firmware and software may be used. Sorting out data dependent issues are greatly assisted with this technique. Further, a library of "troublesome" events will be accumulated as part of the regression test suit. Each time a release is planned, it will be verified that previous problems haven't been reintroduced by ensuring the new release can process the troublesome events.

A variety of trigger patterns can be used to verify performance. Besides any pattern that the LTP and CTP can generate, the NRC has the capability to provide its own triggers. A combination of these will be used to produce performance plots typically included with the release notes.

Release notes will be kept in the twiki [36] as with the previous incarnation of the ROD Complex. These document the changes between releases, as well as showing the testing that the release has been subject to and the performance that can be expected from it. Both firmware and software version numbers will be documented.

3.9 System monitoring

It is desirable to monitor system operation to prevent the collection of bad data. This will be done through a combination of both prompt and on-line statistics collection. The prompt data follows the normal event data path (via the ROL), while the on-line statistics are accumulated by the Control Processor. Generally the former is for Muon Shifter consumption while the latter is for CSC expert consumption. Where appropriate, these data will be stored and presentable with standard TDAQ tools. This information not only shows that data is being correctly acquired but also gives indications of the performance, and bottlenecks, of the system.

3.10 Calibration

Two forms of calibration are used by the CSC: Periodic measurement of the pedestals, and a pulser based measurement to assess the performance of the data channels.

Pedestal measurement is carried out with a data run using the pass-through FEX enabled. This will proceed as in the previous incarnation of the ROD Complex. Presumably, given the anticipated increase in performance of the NRC, the rate at which pedestal data can be acquired will increase, allowing a quicker run or more data.

The pulser calibration requires an implementation to inject charge into the on-detector electronics channels simulating a particle interacting with the Cathode Strips. Data is then



read out and compared to the amount of charge injected. The infrastructure for this is in place and suitable interfaces will be developed for the NRC to interact with it.

