

PhD Winter School 2013 Grindelwald, Switzerland January 21-25, 2013



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Your Lecturer

- born in Bremen, Germany
- studied physics in Bonn
- PhD work at CERN
 - on a small experiment you will never have heard of
- 1st PostDoc at Saclay
 - working on the construction of the NA48 detector
 - observation of direct CP violation in neutral kaon decays
- 2nd PostDoc at NIKHEF
 - working on the construction of the HERA-B detector
 - (failed) attempt to search for CP violation in the $B^{\circ}\overline{B}^{\circ}$ system
- "Wissenschaftlicher Mitarbeiter" at Universität Zürich
 - working on the LHCb experiment
 - indirect search for "New Physics" (= physics beyond the Standard Model) via precision measurements of CP violation and rare heavy quark decays















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90:00





Outline

• Part I: Introduction

- what is (quark) flavour physics and why is it so exciting?
- how we got here: brief history of flavour physics in the 20th century
- Part II: Particle-Antiparticle Mixing
 - a short summary of the formalism (don't worry, I'm an experimentalist ...)
 - introduce experimental facilities and techniques
- Part III: Precision tests of the Standard Model
 - CP violating observables: sin 2β , CKM angle γ , $B^{o}_{s}\overline{B}^{o}_{s}$ mixing phase ϕ_{s}
 - rare decays: search for $B^{0}_{(s)} \rightarrow \mu^{+} \mu^{-}$, angular observables in $B^{0} \rightarrow K^{*0} \mu^{+} \mu^{-}$

[selected topics, no attempt at giving a comprehensive overview of the field !]

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Flavour Physics

- study properties of the three lepton families and their interactions
 - masses, lifetimes, spins, ...
 - couplings, amplitudes, phases, ...
- it's all about the weak interaction



- flavour conserved in strong and electromagnetic interactions
- three distinct sectors (theoretical questions and experimental approaches)
 - quarks: measure mixing parameters, test Standard Model predictions
 - charged leptons: test lepton number conservation
 - neutrinos: measure oscillation parameters, masses, Dirac ↔ Majorana ?
- guiding principle: symmetries and their violation
 - Parity (P), Charge Conjugation (C), Time reversal (T),

combined CP symmetry, all violated in weak interactions



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this course



CKM Matrix

Observe mixing between quark families in charged-current interactions

- e.g. kaons and B mesons would otherwise be stable particles
- described by quark mixing matrix V_{ij}
 (Cabibbo-Kobayashi-Maskawa = CKM)
 in the charged current Lagrangian

$$-L_{cc} = \frac{g}{\sqrt{2}} \overline{u}_{i} \gamma^{\mu} \left(1 - \gamma_{5}\right) V_{ij} d_{j} W_{\mu}^{+} + h.c.$$

- studying the parameters of the CKM matrix is one of the main goals of quark flavour physics
- 3 quark families: 4 free parameters = 3 rotation angles + complex phase
- this complex phase is the only source of CP violation in the Standard Model







Wolfenstein Parametrization

<u>Values of the CKM matrix elements not predicted by theory</u>

• measured magnitudes show clear hierarchy (PDG 2012)

• measured magnitudes show creating a sine of the end of the end

• is there some deeper meaning hidden in this?

This hierarchy reflected in Wolfenstein parametrisation

- expand all CKM elements in terms of $\lambda = \sin \theta_c \approx 0.23$
- approximate to order λ^3
- assign the complex phase to the smallest elements, V_{td} and V_{ub}

$$V_{CKM} \approx \begin{pmatrix} 1 - \lambda^2/2 & \lambda & A \cdot \lambda^3 (\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A \cdot \lambda^2 \\ A \cdot \lambda^3 (1 - \rho - i\eta) & -A \cdot \lambda^2 & 1 \end{pmatrix} + O(\lambda^4)$$



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Unitarity Triangles

Unitarity of CKM matrix \rightarrow 6 orthogonality relations



- can be visualized as triangles in the complex plane
 - all six triangles have the same surface area $\,\propto\,$ CP violation
 - but four of them are "squashed"
- the two non-squashed triangles are identical in Wolfenstein approximation
 - differences appear at higher orders of $\lambda \rightarrow$ become relevant at LHCb

angles and sides of these triangles are related to measurable quantities



"The" Unitarity Triangle

<u>Use $V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$ and normalize to $V_{cd}V_{cb}^*$ </u>



- measure the lengths of the two sides: CP conserving quantities
- measure all three angles: CP violating quantities (angles = phases !)
- many observables \rightarrow overconstraint determination of triangle

consistency check of Standard Model !

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"The" Unitarity Triangle 2012



- so far a huge success story for the Standard Model
- current measurement precision permits ~20% contribution from New Physics

need more precise measurements: this is the goal of LHCb !

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Loops !

Why do we expect New Physics to show up in these observables?

- many processes involve loop diagrams:
 - box diagrams (mixing)
 - Penguin diagrams (decays)
- New Physics models usually predict new, heavy particles (e.g. SUSY)
- these particles can appear in the loops and affect magnitudes and phases
- searches are sensitive to the appearance of <u>virtual</u> particles in loops
 - test much higher mass scales than direct searches for new particles (limited by center-of-mass energy) \bar{b}
- another promising hunting ground: rare heavy quark decays



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 B^0_s

W

 γ, Z^0

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 H^0, A^0, h^0

 B^0_{\circ} u, c, t



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Isospin

<u>Observe similar behaviour of proton/neutron and of $\pi^{+}/\pi^{0}/\pi^{-}$ </u>

- different charge but similar masses, same couplings in nuclear interactions Heisenberg (1932): Isospin multiplets

$$p$$
 : $(I, I_z) = (1/2, +1/2)$

n :
$$(I, I_z) = (1/2, -1/2)$$

• p/n form an Isospin doublet • $\pi^+/\pi^0/\pi^-$ form an Isospin triplet

$$\pi^{+} : (\mathbf{I}, \mathbf{I}_{z}) = (\mathbf{1}, +\mathbf{1})$$

$$\pi^{0} : (\mathbf{I}, \mathbf{I}_{z}) = (\mathbf{1}, \mathbf{0})$$

$$\pi^{-} : (\mathbf{I}, \mathbf{I}_{z}) = (\mathbf{1}, -\mathbf{1})$$

Hamiltonian of strong interaction is invariant under global SU(2) rotation in Isospin space \rightarrow strong interaction identical for the members of a multiplet In today's language: $I_{-} = +1/2 \rightarrow u$ quark, $I_{-} = -1/2 \rightarrow d$ quark $\mathbf{p} = (\mathbf{u}\mathbf{u}\mathbf{d})$, $\mathbf{n} = (\mathbf{u}\mathbf{d}\mathbf{d})$, $\pi^{+} = (\mathbf{u}\overline{\mathbf{d}})$, $\pi^{0} = 1/\sqrt{2}(\mathbf{u}\overline{\mathbf{u}} + \mathbf{d}\overline{\mathbf{d}})$, $\pi^{-} = (\overline{\mathbf{u}}\mathbf{d})$ • Isospin is not an exact symmetry but rather successful as a concept

• works so well because $m_u \sim m_d$ and m_u , $m_d \ll \Lambda_{QCD} \approx 200$ MeV

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Strangeness

Observe "strangely behaved" particles

- large production cross sections
 - typical for strong interaction
- but long lifetimes of order 10^{-10} s
 - typical for weak decays
- always produced in pairs:
 "associated production"

Pais (1947): "strangeness" quantum number

- conserved in strong interactions (production)
- not conserved in weak interactions (decay)



associated production: creation of an ss-pair in strong interaction







Cabibbo Angle

Observe different coupling strengths of weak interaction

- weak coupling constant should be universal if weak interactions are a fundamental force, but:
 - coupling in decays of strange particles seems about a factor 20 smaller than in muon decay
 - coupling in neutron decay about 4% smaller than in muon decay



S

Cabibbo (1963): weak interaction couples to a linear combination
[PRL 10 (1963) 531]

$$d' = \cos \theta_c \cdot d + \sin \theta_c \cdot s$$
 with $\lambda = \sin \theta_c \approx 0.22$

• coupling strengths in hadronic decays are then (using today's language)

$$\frac{d \to u W^{-}}{\mu^{-} \to \nu_{\mu} W^{-}} = \cos^{2} \theta_{c} \approx 0.96 \qquad \qquad \frac{s \to u W^{-}}{d \to u W^{-}} = \frac{\sin^{2} \theta_{c}}{\cos^{2} \theta_{c}} \approx \frac{1}{20}$$

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GIM Mechanism

Observe strong suppression of Flavour-Changing Neutral Currents

- for example: BF (K⁺ $\rightarrow \mu^+ \nu_{\mu}$) ≈ 63.5% but BF (K⁰_L $\rightarrow \mu^+ \mu^-$) ≈ 7 × 10⁻⁹
- but would expect sizeable amplitude if weak interaction couples to u and d'

<u>Glashow, Ilioupolis, Maiani (1970): quark doublets</u>

[PRD 2 (1970) 1285]

$$\begin{pmatrix} \mathbf{u} \\ \mathbf{d'} \end{pmatrix} \begin{pmatrix} \mathbf{c} \\ \mathbf{s'} \end{pmatrix} \quad \text{with} \quad \begin{pmatrix} \mathbf{d'} \\ \mathbf{s'} \end{pmatrix} = \begin{pmatrix} \cos \theta_c & \sin \theta_c \\ -\sin \theta_c & \cos \theta_c \end{pmatrix} \cdot \begin{pmatrix} \mathbf{d} \\ \mathbf{s} \end{pmatrix}$$

- leads to cancellation of FCNC amplitudes at tree level (ightarrow next slide)
- requires an additional, not yet observed quark (c quark discovered in 1974)



GIM Mechanism

<u>Quark doublets \rightarrow suppression of FCNC at tree level</u>



$$\begin{aligned} \mathbf{u}\overline{\mathbf{u}} + \mathbf{c}\overline{\mathbf{c}} + (\mathbf{d}\overline{\mathbf{d}} + \mathbf{s}\overline{\mathbf{s}}) \cdot \mathbf{cos}^2 \theta_c + (\mathbf{d}\overline{\mathbf{d}} + \mathbf{s}\overline{\mathbf{s}}) \cdot \mathbf{sin}^2 \theta_c \\ \dots + (\mathbf{d}\overline{\mathbf{s}} + \overline{\mathbf{d}}\mathbf{s}) \cdot \mathbf{cos}\theta_c \mathbf{sin}\theta_c - (\mathbf{d}\overline{\mathbf{s}} + \overline{\mathbf{d}}\mathbf{s}) \cdot \mathbf{sin}\theta_c \mathbf{cos}\theta_c &= \mathbf{u}\overline{\mathbf{u}} + \mathbf{c}\overline{\mathbf{c}} + \mathbf{d}\overline{\mathbf{d}} + \mathbf{s}\overline{\mathbf{s}} \end{aligned}$$

- cancellation only exact if all quark masses are the same
 - valid to very good approximation, because quark masses « Z^0 mass
- FCNC can proceed through 2nd order processes (e.g. double W-exchange)
 - but strongly suppressed because of smallness of weak coupling constant



Parity Violation

<u>" Θ/τ -puzzle": observe two charged, strange, spin-0 mesons</u>

- same mass (~ 500 MeV) and same lifetime, but:
- one (" Θ ") decays into $\pi^{+}\pi^{0}$ (even parity)
- the other (" τ ") decays into $\pi^{+}\pi^{-}$ (odd parity) <u>Yang,Lee (1956): V-A theory of weak interactions</u> [PR 104 (1956) 254]
- parity is not conserved in weak interactions
- " Θ'' and " τ'' are in fact the same particle (K^)

<u>Wu et al. (1957): experimental proof of parity violation</u>

- measure angular distribution of electrons from β -decay of polarized ⁶⁰Co (spin=5⁺) to ⁶⁰Ni* (spin=4⁺)
- must be up-down symmetric if parity is conserved
- observation: electrons are emitted predominantly
 <u>opposite to ⁶⁰Co-spin</u> → parity is maximally violated !
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BETA RAYS (ELECTRONS) BETA RAYS

[PR 105 (1957) 1413]



Parity violation in semi-leptonic pion decays

- muons from $\pi^{\scriptscriptstyle\pm}$ decays are polarized:
 - μ^{-} from π^{-} decays are left-handed
 - μ^+ from π^+ -decays are right-handed
- parity is maximally violated, as expected
- charge conjugation is also maximally violated
- but: decay rates for π^- to left-handed μ^- and for π^+ to right-handed μ^+ are the same !



Landau, Okun (1957): relevant symmetry in weak interactions is CP

• CP = Charge conjugation × Parity

[Nucl Phys 3 (1957) 127] [Zh Eksp Teor Fiz 32 (1957) 1587]

• Richard Feynman in *Symmetries in Physical Laws*, 1963:

"it is really true that right and left symmetry is still maintained ... the right-handed matter behaves the same way as the left-handed antimatter"

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<u>Short excursion: K^oK^o mixing</u>

- strangeness is the only quantum number that distinguishes K^o from $\overline{K}{}^o$
- strangeness is not conserved in weak interactions: transitions $K^{o} \leftrightarrow \overline{K}^{o}$
 - in today's language: transitions via double W exchange ("box diagrams")



• pure state |K°> produced at time t=0 will evolve into a mixed state at t>0

$$|\psi(t)\rangle = \alpha(t) \cdot |\mathbf{K}^{o}\rangle + \mathbf{b}(t) \cdot |\bar{\mathbf{K}}^{o}\rangle$$

define Eigenstates of CP operator:

$$\begin{vmatrix} \mathsf{K}_{1} \rangle = \frac{1}{\sqrt{2}} \cdot \left\{ \begin{vmatrix} \mathsf{K}^{0} \rangle + \left| \bar{\mathsf{K}}^{0} \right\rangle \right\} \quad \Rightarrow \quad CP \begin{vmatrix} \mathsf{K}_{1} \rangle = + \begin{vmatrix} \mathsf{K}_{1} \rangle \\ \begin{vmatrix} \mathsf{K}_{2} \rangle = \frac{1}{\sqrt{2}} \cdot \left\{ \begin{vmatrix} \mathsf{K}^{0} \rangle - \left| \bar{\mathsf{K}}^{0} \right\rangle \right\} \quad \Rightarrow \quad CP \begin{vmatrix} \mathsf{K}_{2} \rangle = - \begin{vmatrix} \mathsf{K}_{2} \rangle$$

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Two K^o States

<u>Gell-Mann, Pais (1957): two K^o states with different lifetimes</u>

- if CP conserved in weak interactions, then
 - K_1 and K_2 are also eigenstates of weak interaction
 - $K_1 \operatorname{can}$ decay into 2 pions
 - K_2 <u>cannot</u> decay into 2 pions

$$J_{K} = J_{\pi} = 0 \implies L_{\pi\pi} = 0$$
$$\Rightarrow CP_{\pi\pi} = -1^{L_{\pi\pi}} = +1$$

- all possible decay channels for K₂ suppressed:
 - decays to 3 pions by phase space
 - semi-leptonic decays by parity violation
- K_2 must have much longer lifetime than K_1
 - measured lifetimes:

$$\tau \left(\mathsf{K}_{\mathsf{2}} \right) \ \approx \ \mathbf{500} \times \tau \left(\mathsf{K}_{\mathsf{1}} \right)$$



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CP Violation

<u>Christenson, Cronin, Fitch, Turlay (1964)</u>: observation of $K_2 \rightarrow \pi^+\pi^-$

- shoot protons into fixed target, produce K^o and K^o
 - let them propagate in a vacuum tube
 - K_1 component decays away \rightarrow obtain pure K_2 beam
- search for $\pi^+\pi^-$ decays in this K₂ beam
 - energy conservation: invariant mass of $\pi^+\pi^-$ pair
 - momentum conservation: momentum balance



[PRL 13 (1964) 138]

10

30

m(K^o)

 $m(\pi^+\pi^-)$

 $m(\pi^+\pi^-) \approx m(K^0)$



Sakharov Conditions

Sakharov (1967): CP violation required to create a matter/antimatter asymmetry in the Universe [JETP Lett 5 (1967) 24]

- Sakharov's three conditions:
 - Baryon-number violation
 - C violation and CP violation
 - thermal non-equilibrium
- but: baryon asymmetry observed in the universe is

$$\eta = \frac{n_{\rm B}^{} - n_{\bar{\rm B}}^{}}{n_{\rm y}^{}} \approx 6 \times 10^{-10}$$

• CKM-induced CP violation gives

 $\eta \approx 10^{-18}$



need additional sources of CP violation

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CKM Mechanism

Kobayashi, Maskawa (1972): CP violation if three quark doublets

$$\begin{pmatrix} \mathbf{u} \\ \mathbf{d'} \end{pmatrix} \begin{pmatrix} \mathbf{c} \\ \mathbf{s'} \end{pmatrix} \begin{pmatrix} \mathbf{t} \\ \mathbf{b'} \end{pmatrix} \quad \text{with} \quad \begin{pmatrix} \mathbf{d'} \\ \mathbf{s'} \\ \mathbf{b'} \end{pmatrix} = \begin{pmatrix} \mathbf{V}_{ud} & \mathbf{V}_{us} & \mathbf{V}_{ub} \\ \mathbf{V}_{cd} & \mathbf{V}_{cs} & \mathbf{V}_{cb} \\ \mathbf{V}_{td} & \mathbf{V}_{ts} & \mathbf{V}_{tb} \end{pmatrix} \cdot \begin{pmatrix} \mathbf{d} \\ \mathbf{s} \\ \mathbf{s} \\ \mathbf{b'} \end{pmatrix}$$

- 9 complex numbers = 18 parameters
 - 9 unitarity constraints ($V^{\dagger}V = VV^{\dagger} = 1$)
 - 5 arbitrary ("unphysical") phases



[PTP 49 (1973) 652]

- = 4 free parameters: 3 rotation angles + 1 complex phase
- CP violation due to interference if diagrams with different weak phase contribute to the same process
- "prediction" of third quark family before even charm quark was discovered <u>Various other models proposed at the time to explain CP violation</u>
- most prominent: new "superweak" force that acts only in kaon mixing
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Charm Quark

"November revolution" (1974)

[PRL 33 (1974) 1404] [PRL 33 (1974) 1406]

J/Ψ

- observation of a narrow resonance at a mass of 3.1 GeV, simultaneously
 - in p + Be \rightarrow e⁺ e⁻ + X at BNL (Ting et al.) \rightarrow "J"
 - in $e^+ e^- \rightarrow e^+ e^-$, $\mu^+ \mu^-$, hadrons at SLAC (Richter et al.) \rightarrow " Ψ "
 - in both cases, measured width dominated by the detector resolution
- narrow width → long lifetime
 → cannot be an excited u,d,s state
- interpretation: bound cc state

m(c) ~ 1.5 GeV

soon confirmed by observation of other
 cc states and of open charm (D mesons)



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Bottom and Top Quarks

Lederman et al. (1977): search for $b\overline{b}$ resonances in $p + Cu \rightarrow \mu^+ \mu^- + X$

- observe excess of μ⁺μ⁻ pairs around an invariant mass of 9.4-10.4 GeV
- resolved into three resonances, interpreted as bound bb states

m(b) ~ 4.5 GeV



<u>CDF/D0 (1995): first observation of top quark</u>

[PRL 74 (1995) 2626] [PRL 74 (1995) 2632]

- existence of top quark taken for granted after discovery of b quark
- mass around 170 GeV predicted from fits to electroweak precision measurements at LEP and SLC
- production in 1.8 TeV pp collisions at Tevatron
- detection in t \rightarrow W b decays



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B°B° Mixing

Argus experiment at DESY (1987)

- e^+e^- collider operating at Y(4s) resonance
- produce $B^{0}\overline{B}^{0}$ pairs through

 $e^+e^- \rightarrow \Upsilon (4s) \rightarrow B^0 \overline{B}^0$

- $B^{\circ}\overline{B}^{\circ}$ mixing through box diagrams
- can be observed in semi-leptonic decays



- observe "like-sign event" with two μ^- or two μ^+ \to B^o or $\overline{B}{}^o$ must have mixed
- strong mixing observed \rightarrow predict large top quark mass

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[PLB192 (1987) 245]









Direct CP Violation

<u>CKM: CP violation from interference of diagrams with different phase</u>

- interference of box diagrams with different internal quarks: "indirect" CP violation in K mixing
- interference of tree and penguin decay diagrams with different phases: "direct" CP violation in decay







$$\eta_{+-} = \frac{\Gamma\left(\mathsf{K}_{\mathsf{L}}^{} \rightarrow \pi^{^{+}} \pi^{^{-}}\right)}{\Gamma\left(\mathsf{K}_{\mathsf{s}}^{} \rightarrow \pi^{^{+}} \pi^{^{-}}\right)} = \varepsilon + \varepsilon \text{ ' } \text{ ; } \eta_{00} = \frac{\Gamma\left(\mathsf{K}_{\mathsf{L}}^{} \rightarrow \pi^{^{0}} \pi^{^{0}}\right)}{\Gamma\left(\mathsf{K}_{\mathsf{s}}^{} \rightarrow \pi^{^{0}} \pi^{^{0}}\right)} = \varepsilon - 2\varepsilon \text{ '}$$

• in Standard Model expect $\varepsilon'/\varepsilon \approx 10^{-3}$



Direct CP Violation

Experimental approach: measure the "double ratio"

$$\mathbf{R} = \left| \frac{\eta_{oo}}{\eta_{\star -}} \right|^{z} = \frac{\Gamma \left(\mathbf{K}_{L} \rightarrow \pi^{o} \pi^{o} \right) / \Gamma \left(\mathbf{K}_{s} \rightarrow \pi^{o} \pi^{o} \right)}{\Gamma \left(\mathbf{K}_{L} \rightarrow \pi^{\star} \pi^{-} \right) / \Gamma \left(\mathbf{K}_{s} \rightarrow \pi^{\star} \pi^{-} \right)} \approx$$

 $\approx 1 - 6 \cdot \text{Re}\left(\frac{\varepsilon'}{\varepsilon}\right)$ same p beam on $K_{L} \text{ and } K_{S} \text{ targets}$ $K_{L} \text{ and } K_{S} \text{ targets}$ $K_{L} \text{ target} \text{ target$

simultaneously (same beam, same detector) <u>NA48/KTeV (2001): observation of $\varepsilon'/\varepsilon \neq 0$ </u>

order if all four decay rates are measured

challenge: control systematics to $O(10^{-4})$

many systematic effects cancel to first



verlapping in detector volur

• end of a decades long competition CERN \leftrightarrow FNAL NA48@CERN: KTeV@FNAL: Re $(\epsilon'/\epsilon) = (14.7 \pm 2.2) \times 10^{-4}$ Re $(\epsilon'/\epsilon) = (19.2 \pm 2.1) \times 10^{-4}$

[PLB 544 (2002) 97] [PRD 83 (2011) 092001]

- vindication of CKM model of CP violation
- but large hadronic uncertainties, do not learn much about CKM parameters
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CP Violation in The B^oB^o System

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 dedicated "B factories" constructed especially for CP measurement: BaBar at PEP-II, Belle at KEKB $\rightarrow \psi(2S)K$ BABAR 0.20 246 \overline{B}^0 tags $234 B^0$ tags

- 20
- 2001: both observe CP asymmetry in "golden decay channel" $B^0 \rightarrow J/\psi K^0_s$
 - measured values in good agreement with CKM prediction

need high-luminosity accelerators and very precise detectors

many decay channels and observables, large CP asymmetries, theoretically "clean" predictions, ...

But experimental challenges

<u>Many advantages over K^oK^o system</u>

- B mesons heavy \rightarrow small production cross section
- many decay channels \rightarrow small branching ratios
- short lifetime and fast oscillation frequency







2001 ++

Many more and much more precise results

- BaBar/Belle, CDF/D0 at Tevatron, now LHCb
- results so far in very good agreement with
 CKM predictions (2-3σ deviations came and went)

remainder of this lecture

- Babar and Belle stopped data taking, Belle collected ~ 1 ab^{-1}
- Tevatron stopped in autumn 2011 \rightarrow CDF/DO collected ~ 9 fb^-1
- LHCb collected ~1 fb⁻¹ at 7 TeV in 2011 and ~2 fb⁻¹ at 8 TeV in 2012
 - $b\overline{b}$ production cross section ~ 5 x Tevatron, ~ 500'000 x Babar/Belle
 - many analyses ongoing, already ~ 80 papers published
- LHC shutdown in 2013/2014, resume at ≥ 13 TeV in 2015
 - another factor two in $b\overline{b}$ production cross section
- "Belle II" under construction; goal: collect ~ 50 x Belle luminosity by 2022 21 Jan 2013 CHIPP PhD School - Flavour Physics (32) O. Steinkamp