

Seventh International Workshop on the CKM Unitarity Triangle Cincinnati, OH – 30 September 2012

V_{us} from kaon decays

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V_{us} , CKM unitarity, gauge universality

Standard-model coupling of quarks and leptons to *W*:

$$\begin{array}{cccc} \frac{g}{\sqrt{2}}W_{\alpha}^{+} \left(\overline{\mathbf{U}}_{L}\mathbf{V}_{\mathrm{CKM}}\gamma^{\alpha}\mathbf{D}_{L}+\overline{e}_{L}\gamma^{\alpha}\nu_{e\,L}+\overline{\mu}_{L}\gamma^{\alpha}\nu_{\mu\,L}+\overline{\tau}_{L}\gamma^{\alpha}\nu_{\tau\,L}\right) &+ \mathrm{h.c.} \\ \uparrow & \uparrow & |V_{ud}|^{2}+|V_{us}|^{2}+|V_{ub}|^{2}= 1 \\ \begin{array}{c} \text{Single gauge} & \text{Unitary} \\ \text{coupling} & \text{matrix} & \text{Most precise test of CKM unitarity} \end{array}$$

Universality: Is G_F from μ decay equal to G_F from π , K, nuclear β decay?

$$G_{\mu}^{2} = (g_{\mu}g_{e})^{2}/M_{W}^{4} \quad \stackrel{?}{=} \quad G_{\rm CKM}^{2} = (g_{q}g_{\ell})^{2} (|V_{ud}|^{2} + |V_{us}|^{2})/M_{W}^{4}$$

Physics beyond the Standard Model can break gauge universality:



V_{us} from kaon decays: A modern history

→ 2002 (2004 PDG)	Old $K_{\ell 3}$ data give 1 – $ V_{ud} ^2 - V_{us} ^2 = 0.0035(15)$ A 2.3 σ hint of unitarity violation?
2003	BNL 865 measures BR ($K^+ \rightarrow \pi^0 e^+ v$) = 5.13(10)% Value for V_{us} consistent with unitarity
2004- present	 Many new measurements from KTeV, ISTRA+, KLOE, NA48 BRs, lifetimes, form-factor slopes Much higher statistics than older measurements Importance of radiative corrections Proper reporting of correlations between measurements
2008- beyond	Much progress on hadronic constants from lattice QCD Value of V_{us} used in precision tests of the Standard Model

Experiment, theory, and evaluation

 V_{us} from $K_{\ell 3} \& K_{\ell 2}$ ~100 measurements of ~10 experimental parameters ~20 lattice evaluations of 2 hadronic matrix elements Radiative and SU(2)-breaking corrections, ChPT results, etc.



Experimental averages, fits, etc Selection of results (lattice, ChPT, experiments) Evaluation, discussion and intepretation Final report: EPJC 69 (2010) 399 This talk is my attempt at a comprehensive update

Corresponding efforts to synthesize results from lattice QCD (beyond V_{us})

FLAG http://itpwiki.unibe.ch/flag Active since 2008 (Europe)

LLVdW www.latticeaverages.org Active since 2009 (USA) FlaviaNet Lattice Averaging Group LECs, quark masses, Vus EPJC 69 (2010) 399

Includes hadronic constants for B physics, CKM fits, etc. PRD 81 (2010) 034503

FLAG-2

Active since May 2012

- Europe + USA + Japan
- Participation by all major lattice collaborations Expanded physics scope

Determination of $V_{\mu s}$ from $K_{\ell 3}$ data

$$\begin{split} \Gamma(K_{\ell 3(\gamma)}) &= \frac{C_K^2 G_F^2 m_K^5}{192\pi^3} S_{\rm EW} |V_{us}|^2 |f_+^{K^0 \pi^-}(0)|^2 \\ & \times I_{K\ell}(\lambda_{K\ell}) \left(1 + 2\Delta_K^{SU(2)} + 2\Delta_{K\ell}^{\rm EM}\right) \\ \text{with } K &\in \{K^+, K^0\}; \ \ell \in \{e, \mu\}, \text{ and:} \\ C_K^2 & 1/2 \text{ for } K^+, 1 \text{ for } K^0 \end{split}$$

 $S_{\rm EW}$ Universal SD EW correction (1.0232)

Inputs from experiment:

 $\Gamma(K_{\ell^{3}(\gamma)})$

- Rates with well-determined treatment of radiative decays:
 - Branching ratios
 - Kaon lifetimes

 $I_{K\ell}(\{\lambda\}_{K\ell})$ Integral of form factor over phase space: λ s parameterize evolution in t

- K_{e3} : Only λ_+ (or λ_+', λ_+'')
- $K_{\mu3}$: Need λ_+ and λ_0

Inputs from theory:

 $\Delta_{K}^{SU(2)}$

 $\Delta_{K\ell}^{EM}$

- $f_{+}^{K^{0}\pi^{-}}(0)$ Hadronic matrix element (form factor) at zero momentum transfer (t = 0)
 - Form-factor correction for SU(2) breaking

Form-factor correction for long-distance EM effects

Modern experimental data for V_{us} from $K_{\ell 3}$

Experiment	Measurement	Year
BNL865	$BR(K^+ \to \pi^0_{\ \mathrm{D}} e^+ \nu) / BR(K^+ \to \pi^0_{\ \mathrm{D}} X^+)$	2003
KTeV	$ au(K_S)$ BR(K_{Le3}), BR($K_{L\mu3}$), $\lambda_+(K_{Le3})$, $\lambda_{+,0}(K_{L\mu3})$	2003 2004
ISTRA+	$\lambda_{+}(K_{e3}^{-}), \lambda_{+,0}(K_{e3}^{-})$	2004
KLOE	$\tau(K_L)$	2005
	$BR(K_{Le3}), BR(K_{L\mu3}), BR(K_{Se3}), \lambda_+(K_{Le3})$	2006
	$\lambda_{+,0}(K_{L\mu3})$	2007
	$\tau(K^{\pm}), \ BR(K_{Le3}), \ BR(K_{L\mu3})$	2008
NA48	$\tau(K_S)$	2002
	BR(K_{Le3} /2 tracks), $\lambda_+(K_{Le3})$	2004
	$BR(K_{Se3}/K_{Le3}), \lambda_{+,0}(K_{L\mu3})$	2007
NA48/2	$BR(K^{+}_{e3}/\pi^{+}\pi^{0}), \ BR(K^{+}_{\mu3}/\pi^{+}\pi^{0})$	2007

Above data set used for official FlaviaNet fits, averages, etc.



Updates: K_L and K_S BRs and lifetimes

FlaviaNet WG

KLOE EPJC 71 (2011)

τ_{S} = 89.59(6) ps

τ_{S} = 89.562(29)(43) ps

- $2 \times 10^7 K_s \rightarrow \pi^+ \pi^-$ decays from 0.4 fb⁻¹ '04 data
- Tight track quality cuts & geometric fit
- $\sigma(L_K) \sim 0.22-0.27 \lambda_S$ (1.3-1.6 mm) Measured for 180 bins in (θ_K, ϕ_K)

KTeV PRD 83 (2011) $\tau_S = 89.589(42)(56) \text{ ps}$ Re $\epsilon'/\epsilon = (21.10 \pm 3.43) \times 10^{-6}$

- New analysis of Re ε'/ε with improved Monte Carlo
- From fit to z_{vertex} distribution for regenerator beam without assuming CPT
- Result for Re ε'/ε averaged with NA48 '02 and used to constrain BR($K_L \rightarrow \pi^0 \pi^0$)/BR($K_L \rightarrow \pi^+ \pi^-$) in K_L fit



τ_{S} = 89.58(4) ps

$K_{\ell 3}$ form factors

K(P)

 $t = (P - p)^2$

 $\pi(p)$

Hadronic matrix element:

 $\langle \pi | J_{\alpha} | K \rangle = f(0) \times \left[\tilde{f}_{+}(t) (P+p)_{\alpha} + \tilde{f}_{-}(t) (P-p)_{\alpha} \right]$

 K_{e3} decays: Only vector form factor: $\tilde{f}_{+}(t)$

 $K_{\mu 3}$ decays: Also need scalar form factor: $\tilde{f}_0(t) = \tilde{f}_+ + \tilde{f}_- \frac{t}{m_K^2 - m_\pi^2}$

For V_{us} , need integral over phase space of squared matrix element Parameterize form factors and fit distributions in *t* (or related variables)

2010 form-factor parameter
measurements (K_{e3} and $K_{\mu3}$)NA48/2
2012 preliminary K^+ and K^- simultaneously
Dedicated min-bias run K_L KTeV, KLOE, NA48
 $K^ 2.6 \times 10^6 K^{\pm}_{\ \mu3}$
 $4.0 \times 10^6 K^{\pm}_{\ e3}$ Taylor and pole fits
No dispersive fits (yet)

$K_{\ell 3}$ form-factor parameterizations

Parameterizations based on systematic expansions

Taylor expansion:

$$\begin{split} \tilde{f}_{+,0}(t) &= 1 + \lambda_{+,0} \left(\frac{t}{m_{\pi^+}^2} \right) \\ \tilde{f}_{+,0}(t) &= 1 + \lambda_{+,0}' \left(\frac{t}{m_{\pi^+}^2} \right) + \lambda_{+,0}'' \left(\frac{t}{m_{\pi^+}^2} \right)^2 \end{split}$$

Notes:

Many parameters: $\lambda_{+}', \lambda_{+}'', \lambda_{0}', \lambda_{0}''$ Large correlations, unstable fits

Parameterizations incorporating physical constraints

Pole dominance:
$$ilde{f}_{+,0}(t) = rac{M_{V,S}^2}{M_{V,S}^2 - t}$$

Dispersion relations:

$$\tilde{f}_{+}(t) = \exp\left[\frac{t}{m_{\pi}^{2}}(\Lambda_{+} - H(t))\right]$$

$$\tilde{f}_0(t) = \exp\left[\frac{\iota}{m_K^2 - m_\pi^2} (\ln C - G(t))\right]$$

Notes:

What does M_S correspond to?

Notes:

Allows tests of ChPT & lowenergy dynamics

H(t), G(t) evaluated from $K\pi$ scattering data and given as polynomials

Bernard et al., PRD 80 (2009)

Fit to K_{e3} form-factor slopes: 2010



Fit to K_{e3} form-factor slopes: Update



Fits to $K_{e3} + K_{\mu3}$ form-factor slopes: 2010 **KTeV KLOE ISTRA+ NA48 2010 fit** (all) **2010 fit** (no $K_{\mu3}$ NA48)



All data: $\chi^2 = 48/9 \ (P = 3 \times 10^{-7})$ No NA48 $K_{\mu3}$: $\chi^2 = 12.1/8 \ (P = 14.5\%)$

Fits to $K_{e3} + K_{\mu3}$ form-factor slopes: Update

KTeV KLOE ISTRA+ NA48/2 '12 prel 2010 fit Update



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Dispersive parameters for $K_{\ell 3}$ form-factors



$\Lambda_+ imes$ 10 ³	=	25.75 ± 0.36
ln C	=	0.1985(70)
$\rho(\Lambda_+, \ln C)$	=	-0.202
χ^2 /ndf	=	5.9/7 (55%)

Integrals

Update	2010
0.15481(14)	0.15476(18)
0.15927(14)	0.15922(18)
0.10253(13)	0.10253(16)
0.10558(14)	0.10559(17)
	Update 0.15481(14) 0.15927(14) 0.10253(13) 0.10558(14)

Only tiny changes in central values

Form factors & the Callan-Treiman relation

Callan-Treiman relation:

$$\tilde{f}_0(t_{\rm CT}) = \frac{f_K}{f_\pi} \frac{1}{f_+(0)} + \Delta_{\rm CT}$$

Test ChPT vs lattice:



 $t_{\rm CT} = m_K^2 - m_\pi^2$ $\Delta_{\rm CT} = (-3.5 \pm 0.8) \times 10^{-3} \sim O(m_u, m_d)$ Gasser, Leutwyler '85 Dispersive representation: $f_0(t_{CT}) \equiv C$ FLAG averages $N_f = 2+1$ Use $f_K / f_\pi = 1.193(5)$ Compare $f_{+}(0) = 0.959(5)$ - OR -Obtain experimental value of $R_f \equiv rac{f_K}{f_\pi} rac{1}{f_+(0)}$ No lattice input ln C R_{f} 0.2004(91) 2010 1.225(14) Update 0.1985(70)1.223(12)

0.2211(91)

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1.244(8)

 $|V_{\mu s}| f_{+}(0)$ from world data: 2010



 $|V_{\mu s}| f_{+}(0)$ from world data: Update



Accuracy of SU(2)-breaking correction

Strong isospin breaking

$$= \frac{1}{f_{+}(0)^{K^{0}\pi^{-}}} \quad \text{Quark mass differences, } \eta - \pi^{0} \text{ mixing in } K^{+}\pi^{0} \text{ channel}$$
$$= \frac{3}{4} \left(\frac{m_{d}^{2} - m_{u}^{2}}{m_{s}^{2} - \hat{m}^{2}} \right) \left[\frac{m_{K}^{2}}{m_{\pi}^{2}} + \frac{\chi_{p^{4}}}{2} \left(1 + \frac{m_{s}}{\hat{m}} \right) \right]$$

 $\Delta^{SU(2)} \equiv \frac{f_{+}(0)^{K^{+}\pi^{0}}}{f_{+}(0)^{K^{0}\pi^{-}}} - 1$

= +2.9(4)% Kastner & Neufeld '08, used to calculate $|V_{us}| f_{+}(0)$ Quark mass ratio from Ananthanaryan & Moussalam '04

Equality of V_{us} values from K^{\pm} and K^{0} data would require $\Delta^{SU(2)} = 2.73(41)\%$

Uncertainty on $\Delta^{SU(2)}$ a major contributor to uncertainties for determination of V_{us} for K^{\pm} decays

Observed value of $\Delta^{SU(2)}$ can be related to quark-mass ratios

$K_{\ell 3}$ data and lepton universality

For each state of kaon charge, evaluate:

r —	$(R_{\mu e})_{\rm obs}$	$\Gamma_{\mu 3}$	$I_{e3}\left(1+\delta_{e3}\right)$		$[V_{us} f_+(0)]^2_{\mu 3, \text{obs}}$	_	g^2_μ
$\mu e -$	$(R_{\mu e})_{\rm SM}$	$-\frac{\Gamma_{e3}}{\Gamma_{e3}}$	$\overline{I_{\mu3}\left(1+\delta_{\mu3}\right)}$	_	$[V_{us} f_+(0)]^2_{e3,\text{obs}}$		g_e^2

Modes	2004 BRs ^{*,†}	World data [†]
K_L	1.054(14)	1.003(5)
K [±]	1.014(17)	0.999(9)
Avg	1.038(11)	1.002(5)

*Assuming current values for form-factor parameters and Δ^{EM} † K_S not included

As statement on lepton universality

Compare to results from world data:

 $\pi \rightarrow \ell v$ $(r_{\mu e}) = 1.0042(33)$ Ramsey-Musolf, Su & Tulin '07

 $\tau \rightarrow \ell v v$ $(r_{\mu e}) = 1.000(4)$ Davier, Hoecker & Zhang '06

As statement on calculation of $\Delta^{\rm EM}$

Cirigliano et al. '08 Calculation at fixed order e^2p^2 Fully inclusive for real photons Updated LECs for SD terms **Confirmed at per-mil level**

Evaluations of $f_+(0)$



ChPT evaluations generally higher than lattice evaluations

Preliminary FLAG-2 value for $N_f = 2+1$ RBC/UKQCD '10

$$f_{+}(0) = 0.9599(34)_{\text{st}}(^{+31}_{-47})_{mud}(14)_{\text{FV}}$$

FlaviaNet Kaon WG '10 symmetrization of above



0 7 0						
Collaboration	N _f	<i>iqna</i>	Rud	e de la construcción de la const	2	<i>f</i> ₊ (0)
JLQCD 11	2+1	С	0		*	0.964(6)
RBC/UKQCD 10	2+1	Α	0		*	$0.9599(34)(^{+31}_{-47})(14)$
RBC/UKQCD 07	2+1	Α	0		*	0.9644(33)(34)(14)
ETM 10D	2	С	0	*	0	0.9544(68) _{stat}
ETM 09A	2	Α	0	0	0	0.9560(57)(62)
QCDSF 07	2	С			*	0.9647(15) _{stat}
RBC 06	2	Α			*	0.968(9)(6)
JLQCD 05	2	С			*	0.967(6), 0.952(6)

 $|V_{\mu s}|(K_{\ell 3}) \text{ and } |V_{\mu d}|(0^+ \rightarrow 0^+)$



$$\Delta_{\text{CKM}} = V_{ud}^{2} + V_{us}^{2} - 1 = +0.0000(8)$$

Exact compatibility with unitarity

 V_{us}/V_{ud} and $K_{\ell 2}$ decays

$$\frac{|V_{us}|}{|V_{ud}|}\frac{f_K}{f_{\pi}} = \left(\frac{\Gamma_{K_{\mu^2(\gamma)}}m_{\pi^{\pm}}}{\Gamma_{\pi_{\mu^2(\gamma)}}m_{K^{\pm}}}\right)^{1/2}\frac{1-m_{\mu}^2/m_{\pi^{\pm}}^2}{1-m_{\mu}^2/m_{K^{\pm}}^2}\left(1-\frac{1}{2}\delta_{\rm EM}-\frac{1}{2}\delta_{SU(2)}\right)$$

Inputs from theory:

FLAG-2 preliminary average

- $f_K / f_\pi =$ **1.193(5)**
 - $N_f = 2 + 1$

Cancellation of lattice-scale uncertainties

Cirigliano, Neufeld '11

$$\begin{split} \boldsymbol{\delta}_{\text{EM}} &= -0.0069(17) \\ \text{Long-distance EM corrections} \\ \boldsymbol{\delta}_{SU(2)} &= -0.0043(5)(11) \\ \text{Strong isospin breaking} \\ f_K / f_{\pi} &\to f_{K\pm} / f_{\pi\pm} \end{split}$$

Inputs from experiment:

FlaviaNet fit:

$$\mathsf{BR}(K^{\pm}_{\mu^{2}(\gamma)}) = 0.6347(18)$$

 $\tau_{K^{\pm}} = 12.384(15) \text{ ns}$

PDG:

BR(
$$\pi^{\pm}_{\mu^{2}(\gamma)}$$
) = 0.9999
 $\tau_{\pi^{\pm}}$ = 26.033(5) ns

 $|V_{us}|/|V_{ud}| = 0.2317(11)$

New radiative corrections reduce uncertainty by 30%

Lattice evaluations of f_K/f_{π}



Must be calculated on lattice

Preliminary FLAG-2 value for $N_f = 2+1$ $f_K/f_{\pi} = 1.193(5)$

FlaviaNet Kaon WG '10 used $f_K/f_{\pi} = 1.193(6)$

	14	Q	E.s	ø	R R	f_K/f_{π}
ETM 10E	2+1+1	С	0	0	0	1.224(13) _{stat}
MILC 11	2+1+1	С	0	0	0	1.1872(42) [†] _{stat.}
Laiho 11	2+1	С	0	0	0	$1.202(11)_{stat}(9)_{\chi PT}(2)_{scale}(5)_{m_{cl}}$
MILC 10	2+1	С	0	*	*	$1.197(2)(^{+3}_{-7})$
JLQCD/TWQCD 10	2+1	С	0		*	1.230(19)
RBC/UKQCD 10A	2+1	А	0	0	🔶 🔶	1.204(7)(25)
PACS-CS 09	2+1	Α	*			1.333(72)
BMW 10	2+1	Α	*	*	*	1.192(7)(6)
JLQCD/TWQCD 09A	2+1	С	0			1.210(12) _{stat}
MILC 09A	2+1	С	0	*	*	1.198(2)(+6)
MILC 09	2+1	А	0	*	*	1.197(3)(+6)(-13)
Aubin 08	2+1	С	0	0	0	1.191(16)(17)
PACS-CS 08,	2+1	Α	*			1.189(20)
RBC/UKQCD 08	2+1	Α	0		*	1.205(18)(62)
HPQCD/UKQCD 07	2+1	Α	0	*	0	1.189(2)(7)
NPLQCD 06	2+1	Α	0			$1.218(2)(^{+11}_{-24})$
MILC 04	2+1	А	0	0	0	1.210(4)(13)
ETM 10D	2	С	0	*	0	1.190(8) _{stat}
ETM 09	2	Α	0	*	0	1.210(6)(15)(9)
QCDSF/UKQCD 07	2	С	0	0	*	1.21(3)

V_{us} and CKM unitarity: World data



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New physics implications of $\Delta_{\rm CKM}$

Model independent effective-theory approach

Effective Lagrangian for $\mu \sim 1$ GeV with general set of dim-6 operators giving rise to (semi)leptonic transitions

Cirigliano, González-Alonso, Jenkins, 2010

$$\mathscr{L}_{d^{j} \to u^{i} \ell \bar{\nu}}^{\text{eff}, \text{SM}} = \mathscr{L}_{d^{j} \to u^{i} \ell \bar{\nu}}^{\text{eff}, \text{SM}} + \frac{\nu^{2}}{\Lambda^{2}} \mathscr{L}_{d^{j} \to u^{i} \ell \bar{\nu}}^{\text{eff}, \text{NP}}$$

Consider the **flavor-blind** limit (or similar: minimal flavor violation, etc.) New physics appears as a small difference between G_{CKM} and G_{μ}

From comparison of operators for $d \rightarrow ulv$ and $\mu \rightarrow evv$

$$\Delta_{\rm CKM} = 4(-\hat{\alpha}_{\phi\ell}^{(3)} + \hat{\alpha}_{\phi q}^{(3)} - \hat{\alpha}_{\ell q}^{(3)} + \hat{\alpha}_{\ell \ell}^{(3)}) = \frac{G_{\rm CKM}}{G_{\mu}} - 1$$

Strong constraints from precision EW data Weak constraint from LEP-II $e^+e^- \rightarrow qq$

EW fit:
$$\Delta_{CKM} = (-4.7 \pm 2.9) \times 10^{-3}$$

Exp. V_{ud} , V_{us} : $\Delta_{CKM} = (-0.1 \pm 0.6) \times 10^{-3}$ (90% CL)

From 2010 FlaviaNet analysis. Now $\Delta_{CKM} = (+0.1 \pm 0.6) \times 10^{-3}$

New physics in K_{ℓ^2} decays?



Normally helicity suppressed

Possible contributions from right-handed quark currents Possible tree-level contribution from H⁺ in 2HDM

$$R_{\mu 23}^2 \equiv \frac{\Gamma(K^+ \to \ell \nu)}{\Gamma_{\rm SM}(K^+ \to \ell \nu)} = \left[1 - \left(\frac{m_{K^+}^2}{m_{H^+}^2}\right) \frac{\tan^2 \beta}{1 + \varepsilon_0 \tan \beta}\right]^2$$



500

 m_{H+} [GeV]

68% CL

95% CL

400

Summary and conclusions

$$V_{ud} = 0.97425(22) \pm 0.02\%$$

 $V_{us} = 0.2256(8) \pm 0.36\%$
 $\Delta_{CKM} = +0.0001(6)$

Plus ça change, plus c'est la même chose!

Hard to improve on 0.23% experimental precision

Most recent progress has been on the theory side

Lattice results for $f_+(0)$ and f_K/f_{π} to 0.5% SU(2) corrections to $K_{\ell 2}, \pi_{\ell 2}$

Parameters for $|V_{us}| f_+(0)$ with large uncertainties: Experiment: **BR**(K_{e3}) and **BR**($K_{\mu3}$) for K_S and K^+ Theory: $\Delta^{SU(2)}$ for K^+ modes