

Direct CP violation in SCS D meson decays

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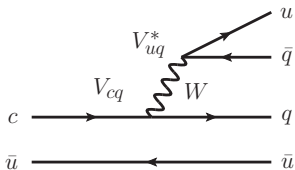
CKM workshop
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[Phys.Rev. D86 \(2012\) 014023 \[arXiv:1111.5000\[hep-ph\]\]](#);
[arXiv:1203.6659 \[hep-ph\]](#)

see also A. Kagan, talk at FPCP 2011, May 2011

Introduction

Singly Cabibbo-suppressed (SCS) D -meson decays $D^0 \rightarrow \pi^+ \pi^-$, $D^0 \rightarrow K^+ K^-$



CP violation in SCS D -meson decays is suppressed in the standard model (SM):

- two-generation dominance
- loop suppression (penguin amplitudes)
- GIM mechanism

Naively, expect effects of $\mathcal{O}\left(\frac{V_{ub}V_{cb}}{V_{us}V_{cs}}\frac{\alpha_s}{\pi}\right) \sim 0.01\%$.

Definitions

$$A_f \equiv A(D^0 \rightarrow f) = A_f^T [1 + r_f e^{i(\delta_f - \phi_f)}],$$
$$\bar{A}_f \equiv A(\bar{D}^0 \rightarrow f) = A_f^T [1 + r_f e^{i(\delta_f + \phi_f)}]$$

r_f relative magnitude of subleading (penguin) amplitude with relative strong phase δ_f , weak phase ϕ_f .

$$\mathcal{A}_f^{\text{dir}} := \frac{|A_f|^2 - |\bar{A}_f|^2}{|A_f|^2 + |\bar{A}_f|^2} = 2r_f \sin \phi_f \sin \delta_f$$

(Universal) indirect contribution $\mathcal{A}_f^{\text{ind}}$ cancels to good approximation in

$$\Delta \mathcal{A}_{CP} := \mathcal{A}_{K^+K^-}^{\text{dir}} - \mathcal{A}_{\pi^+\pi^-}^{\text{dir}}$$

Measurements

First significant measurements of CP violation in the up-quark sector

LHCb [[arXiv:1112.0938](#)]:

$$\Delta\mathcal{A}_{CP} = (-0.82 \pm 0.21 \pm 0.11)\%$$

CDF [[arXiv:1207.2158](#)]:

$$\Delta\mathcal{A}_{CP} = (-0.62 \pm 0.21 \pm 0.10)\%$$

leading to new world average [[HFAG 2012](#)]:

$$\Delta\mathcal{A}_{CP} = (-0.678 \pm 0.147)\%$$

Could it have been expected?

“There one typically finds asymmetries $\sim \mathcal{O}(10^{-4})$, i.e. somewhat smaller than the rough benchmark stated above. Yet 10^{-3} effects are conceivable, and even 1% effects cannot be ruled out completely.”

[D. Benson, S. Bianco, I. Bigi, F. L. Fabbri, hep-ex/0309021]

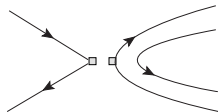
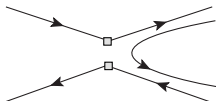
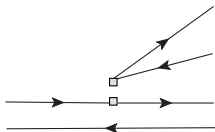
“This would lead to gigantic CP violations, an asymmetry of order 1. This is of course very unlikely [...]”

[M. Golden, B. Grinstein, Phys. Lett. B 222]

Can we be more specific?

SM weak effective Hamiltonian

$$H_{\text{eff}}^{\text{SCS}} = \frac{G_F}{\sqrt{2}} \left\{ (V_{cs}V_{us}^* - V_{cd}V_{ud}^*) \sum_{i=1,2} C_i (Q_i^{\bar{s}s} - Q_i^{\bar{d}d}) / 2 \right. \\ \left. - V_{cb}V_{ub}^* \left[\sum_{i=1,2} C_i (Q_i^{\bar{s}s} + Q_i^{\bar{d}d}) / 2 + \sum_{i=3}^6 C_i Q_i + C_{8g} Q_{8g} \right] \right\} + \text{h.c.}$$



- Wilson coefficients: perturbative
- Matrix elements: leading power and power corrections in $1/m_c$

SM: Large penguin power corrections

Leading power (“Naive factorization” + $\mathcal{O}(\alpha_s)$ corrections):

For $\phi_f = \gamma \approx 67^\circ$ and $\mathcal{O}(1)$ strong phases

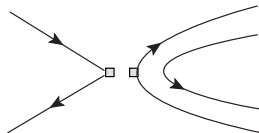
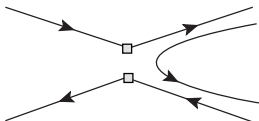
$$\Delta\mathcal{A}_{CP}(\text{leading power}) \sim 4r_f = \mathcal{O}(0.1\%).$$

Order of magnitude below measurement!

From $SU(3)_F$ fits [Cheng, Chiang, 1001.0987, 1201.0785; Bhattacharya, Gronau, Rosner, 1201.2351; Pirtskhalava, Uttayarat, 1112.5451] we know that power corrections are large.

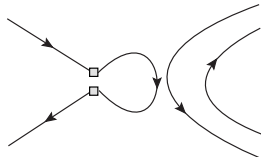
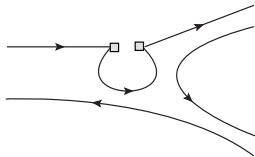
Signals breakdown of $1/m_c$ expansion

Power corrections: look at two specific contributions - insertions of Q_4 , Q_6



SM: Large penguin power corrections

Associated penguin contractions of Q_1 cancel scheme and scale dependence



- Extract annihilation amplitudes E_f from data
- N_c counting
- modeling penguin contraction matrix elements

$$\Delta\mathcal{A}_{CP}(P_{f,1}) = \mathcal{O}(0.3\%), \quad \Delta\mathcal{A}_{CP}(P_{f,2}) = \mathcal{O}(0.2\%)$$

\Rightarrow a SM explanation is plausible.

Cumulative uncertainty of a factor of a few; much larger effects are unlikely.

Decay rate difference

From $\text{Br}(D^0 \rightarrow K^+K^-) \approx 2.8 \times \text{Br}(D^0 \rightarrow \pi^+\pi^-)$ we infer

$$|A(D^0 \rightarrow K^+K^-)| = 1.8 \times |A(D^0 \rightarrow \pi^+\pi^-)|.$$

- Should be the same in $SU(3)_F$ limit
- Usually interpreted as a sign of large $\mathcal{O}(1)$ $SU(3)_F$ breaking

But note that

$$|A(D^0 \rightarrow K^-\pi^+)| = 1.15 \times |A(D^0 \rightarrow K^+\pi^-)|$$

for Cabibbo-favored (CF) decay $D^0 \rightarrow K^-\pi^+$ and doubly Cabibbo-suppressed (DCS) decay $D^0 \rightarrow K^+\pi^-$.

- Analogous to “ $\Delta I = 1/2$ rule” in K decays?

U -spin decomposition of Hamiltonian and states

- D^0 is U -spin ($s \leftrightarrow d$ symmetry) singlet
- triplet ($\langle K^+ \pi^- |$, $(\langle K^+ K^- | - \langle \pi^+ \pi^- |)/\sqrt{2}$, $\langle K^- \pi^+ |$),
singlet ($\langle K^+ K^- | + \langle \pi^+ \pi^- |$)/ $\sqrt{2}$
- triplet ($Q_i^{\bar{d}s}$, $(Q_i^{\bar{s}s} - Q_i^{\bar{d}d})/\sqrt{2}$, $Q_i^{\bar{s}d}$), singlet $(Q_i^{\bar{s}s} + Q_i^{\bar{d}d})/\sqrt{2}$

$$H_{\text{eff}}^{\text{SCS}} = \frac{G_F}{\sqrt{2}} \left\{ V_{cs} V_{us}^* \sum_{i=1,2} C_i (Q_i^{\bar{s}s} - Q_i^{\bar{d}d}) - V_{cb} V_{ub}^* \left[\sum_{i=1,2} C_i (Q_i^{\bar{s}s} + Q_i^{\bar{d}d}) / 2 + \sum_{i=3}^6 C_i Q_i + C_{8g} Q_{8g} \right] \right\} + \text{h.c.}$$

$$H_{\text{eff}}^{\text{CF}} = \frac{G_F}{\sqrt{2}} V_{cs} V_{ud}^* \sum_{i=1,2} C_i Q_i^{\bar{d}s} + \text{h.c.}, \quad H_{\text{eff}}^{\text{DCS}} = \frac{G_F}{\sqrt{2}} V_{cd} V_{us}^* \sum_{i=1,2} C_i Q_i^{\bar{s}d} + \text{h.c.}$$

U -spin decomposition – amplitudes

- Assume **nominal U -spin breaking**, originating from $m_s \bar{s}s$, of order $\epsilon_U \sim 20\% \sim f_K/f_\pi - 1$
- Additional assumption**: $T = \mathcal{O}(1)$, $P = \mathcal{O}(1/\epsilon')$, where $\epsilon' \ll 1$

$$A(\bar{D}^0 \rightarrow K^+ \pi^-) = V_{cs} V_{ud}^* T (1 - \frac{1}{2} \epsilon'_{1T}),$$

$$A(\bar{D}^0 \rightarrow \pi^+ \pi^-) = -V_{cs} V_{us}^* [T (1 + \frac{1}{2} \epsilon'_{1T}) - P_{\text{break}} (1 - \frac{1}{2} \epsilon_{sd}^{(2)})] \\ - V_{cb}^* V_{ub} (T/2 (1 + \frac{1}{2} \epsilon'_{1T}) + P (1 - \frac{1}{2} \epsilon_P)),$$

$$A(\bar{D}^0 \rightarrow K^+ K^-) = V_{cs} V_{us}^* [T (1 - \frac{1}{2} \epsilon'_{1T}) + P_{\text{break}} (1 + \frac{1}{2} \epsilon_{sd}^{(2)})] \\ - V_{cb}^* V_{ub} (T/2 (1 - \frac{1}{2} \epsilon'_{1T}) + P (1 + \frac{1}{2} \epsilon_P)),$$

$$A(\bar{D}^0 \rightarrow \pi^+ K^-) = V_{cd} V_{us}^* T (1 + \frac{1}{2} \epsilon'_{1T}).$$

U-spin decomposition – $\mathcal{O}(1)$

$$A(\bar{D}^0 \rightarrow K^+ \pi^-) = V_{cs} V_{ud}^* T (1 - \frac{1}{2} \epsilon'_{1T}),$$

$$A(\bar{D}^0 \rightarrow \pi^+ \pi^-) = -V_{cs} V_{us}^* [T (1 + \frac{1}{2} \epsilon_{1T}) - P_{\text{break}} (1 - \frac{1}{2} \epsilon_{sd}^{(2)})] \\ - V_{cb}^* V_{ub} (T/2 (1 + \frac{1}{2} \epsilon_{1T}) + P (1 - \frac{1}{2} \epsilon_P)),$$

$$A(\bar{D}^0 \rightarrow K^+ K^-) = V_{cs} V_{us}^* [T (1 - \frac{1}{2} \epsilon_{1T}) + P_{\text{break}} (1 + \frac{1}{2} \epsilon_{sd}^{(2)})] \\ - V_{cb}^* V_{ub} (T/2 (1 - \frac{1}{2} \epsilon_{1T}) + P (1 + \frac{1}{2} \epsilon_P)),$$

$$A(\bar{D}^0 \rightarrow \pi^+ K^-) = V_{cd} V_{us}^* T (1 + \frac{1}{2} \epsilon'_{1T}).$$

$$T = -\frac{1}{2} (\langle K^+ K^- | C_i (Q_i^{\bar{s}s} - Q_i^{\bar{d}d}) | \bar{D}^0 \rangle - \langle \pi^+ \pi^- | C_i (Q_i^{\bar{s}s} - Q_i^{\bar{d}d}) | \bar{D}^0 \rangle) \\ = \langle K^+ \pi^- | C_i Q_i^{\bar{d}s} | \bar{D}^0 \rangle = \langle \pi^+ K^- | C_i Q_i^{\bar{s}d} | \bar{D}^0 \rangle \sim \mathcal{O}(1).$$

- All four rates are equal to $\mathcal{O}(\epsilon_U^0)$

U -spin decomposition – $\mathcal{O}(1/\epsilon')$

$$A(\bar{D}^0 \rightarrow K^+ \pi^-) = V_{cs} V_{ud}^* T(1 - \frac{1}{2}\epsilon'_{1T}),$$

$$A(\bar{D}^0 \rightarrow \pi^+ \pi^-) = -V_{cs} V_{us}^* [T(1 + \frac{1}{2}\epsilon'_{1T}) - P_{\text{break}}(1 - \frac{1}{2}\epsilon'_{sd}{}^{(2)})] \\ - V_{cb}^* V_{ub}(T/2(1 + \frac{1}{2}\epsilon'_{1T}) + P(1 - \frac{1}{2}\epsilon'_{1P})),$$

$$A(\bar{D}^0 \rightarrow K^+ K^-) = V_{cs} V_{us}^* [T(1 - \frac{1}{2}\epsilon'_{1T}) + P_{\text{break}}(1 + \frac{1}{2}\epsilon'_{sd}{}^{(2)})] \\ - V_{cb}^* V_{ub}(T/2(1 - \frac{1}{2}\epsilon'_{1T}) + P(1 + \frac{1}{2}\epsilon'_{1P})),$$

$$A(\bar{D}^0 \rightarrow \pi^+ K^-) = V_{cd} V_{us}^* T(1 + \frac{1}{2}\epsilon'_{1T}).$$

$$P = \langle K^+ K^- | C_i Q_i^{\bar{d}d} | \bar{D}^0 \rangle = \langle \pi^+ \pi^- | C_i Q_i^{\bar{s}s} | \bar{D}^0 \rangle \sim \mathcal{O}(1/\epsilon'), \\ T + P = \langle K^+ K^- | C_i Q_i^{\bar{s}s} | \bar{D}^0 \rangle = \langle \pi^+ \pi^- | C_i Q_i^{\bar{d}d} | \bar{D}^0 \rangle \sim \mathcal{O}(1/\epsilon').$$

- separate CP asymmetries have opposite sign to $\mathcal{O}(\epsilon^0)$
- $\Delta\mathcal{A}_{CP}$ enhanced by U -spin invariant penguin $P \sim \mathcal{O}(1/\epsilon')$

U -spin decomposition – $\mathcal{O}(\epsilon_U/\epsilon')$

$$A(\bar{D}^0 \rightarrow K^+ \pi^-) = V_{cs} V_{ud}^* T(1 - \frac{1}{2}\epsilon'_{1T}),$$

$$A(\bar{D}^0 \rightarrow \pi^+ \pi^-) = -V_{cs} V_{us}^* [T(1 + \frac{1}{2}\epsilon_{1T}) - P_{\text{break}}(1 - \frac{1}{2}\epsilon_{sd}^{(2)})] \\ - V_{cb}^* V_{ub}(T/2(1 + \frac{1}{2}\epsilon_{1T}) + P(1 - \frac{1}{2}\epsilon_P)),$$

$$A(\bar{D}^0 \rightarrow K^+ K^-) = V_{cs} V_{us}^* [T(1 - \frac{1}{2}\epsilon_{1T}) + P_{\text{break}}(1 + \frac{1}{2}\epsilon_{sd}^{(2)})] \\ - V_{cb}^* V_{ub}(T/2(1 - \frac{1}{2}\epsilon_{1T}) + P(1 + \frac{1}{2}\epsilon_P)),$$

$$A(\bar{D}^0 \rightarrow \pi^+ K^-) = V_{cd} V_{us}^* T(1 + \frac{1}{2}\epsilon'_{1T}).$$

$$P_{\text{break}} = \frac{1}{2} (\langle K^+ K^- | C_i(Q_i^{\bar{s}s} - Q_i^{\bar{d}d}) | \bar{D}^0 \rangle + \langle \pi^+ \pi^- | C_i(Q_i^{\bar{s}s} - Q_i^{\bar{d}d}) | \bar{D}^0 \rangle) \\ \sim \mathcal{O}(\epsilon_U/\epsilon').$$

- $P_{\text{break}} = \epsilon_{sd}^{(1)} P$ violates U spin, explains $\text{Br}(K^+ K^-) = 2.8 \times \text{Br}(\pi^+ \pi^-)$

U -spin decomposition – $\mathcal{O}(\epsilon_U)$

$$A(\bar{D}^0 \rightarrow K^+ \pi^-) = V_{cs} V_{ud}^* T \left(1 - \frac{1}{2} \epsilon'_{1T}\right),$$

$$A(\bar{D}^0 \rightarrow \pi^+ \pi^-) = -V_{cs} V_{us}^* \left[T \left(1 + \frac{1}{2} \epsilon_{1T}\right) - P_{\text{break}} \left(1 - \frac{1}{2} \epsilon_{sd}^{(2)}\right) \right] \\ - V_{cb}^* V_{ub} \left(T/2 \left(1 + \frac{1}{2} \epsilon_{1T}\right) + P \left(1 - \frac{1}{2} \epsilon_P\right) \right),$$

$$A(\bar{D}^0 \rightarrow K^+ K^-) = V_{cs} V_{us}^* \left[T \left(1 - \frac{1}{2} \epsilon_{1T}\right) + P_{\text{break}} \left(1 + \frac{1}{2} \epsilon_{sd}^{(2)}\right) \right] \\ - V_{cb}^* V_{ub} \left(T/2 \left(1 - \frac{1}{2} \epsilon_{1T}\right) + P \left(1 + \frac{1}{2} \epsilon_P\right) \right),$$

$$A(\bar{D}^0 \rightarrow \pi^+ K^-) = V_{cd} V_{us}^* T \left(1 + \frac{1}{2} \epsilon'_{1T}\right).$$

- Difference of $K^+ \pi^-$ and $\pi^+ K^-$ decay rates arises at $\mathcal{O}(\epsilon_U)$

U-spin sum rule

- U -spin decomposition implies one linear relation of amplitudes
 $A_{K^-\pi^+} + A_{K^+\pi^-} = A_{K^+K^-} + A_{\pi^+\pi^-}$
- We have the following experimental relation:

$$\frac{|A(D^0 \rightarrow K^+K^-)| + |A(D^0 \rightarrow \pi^+\pi^-)|}{|A(D^0 \rightarrow K^+\pi^-)| + |A(D^0 \rightarrow K^-\pi^+)|} - 1 = (4.0 \pm 1.6)\%$$

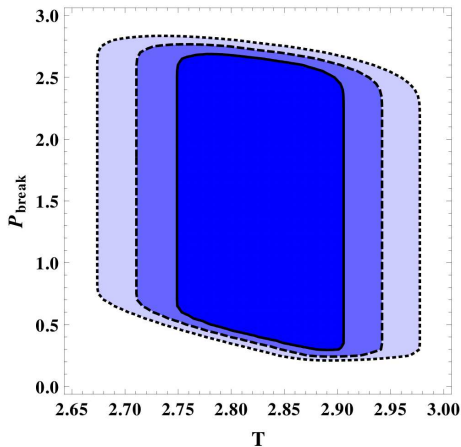
- Gets corrections linear in U -spin breaking
- Solutions with **small tuning** of strong phases only for **nominal U -spin breaking!**

Consistent picture

The following consistent and natural picture arises:

- $D \rightarrow K\pi$ rates and sum rule hint at nominal U -spin breaking.
- Thus need large penguin contractions to explain the $KK, \pi\pi$ rate difference.
- The large penguins account for large $\Delta\mathcal{A}_{CP}$.

Fit to branching ratios

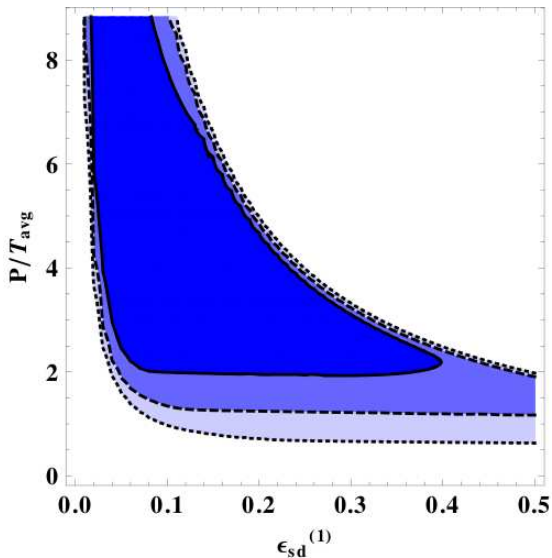


- Fit shows $P_{\text{break}} \sim T/2$
- Recall $P_{\text{break}} = \epsilon_U P$
- For $\epsilon_U = 0.2$:

$$r_f = \frac{|V_{cb} V_{ub}|}{|V_{cs} V_{us}|} \frac{P}{|T \pm P_{\text{break}}|}$$
$$\sim \frac{|V_{cb} V_{ub}|}{|V_{cs} V_{us}|} \frac{1}{2\epsilon_U} \sim 0.2\%$$

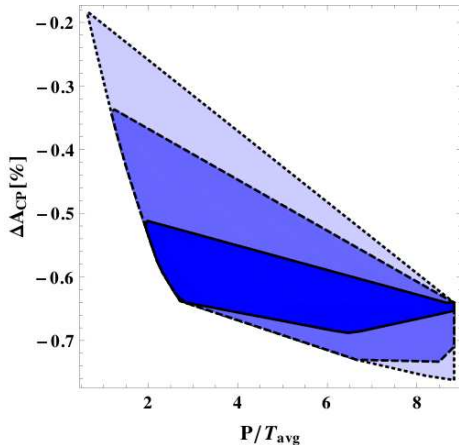
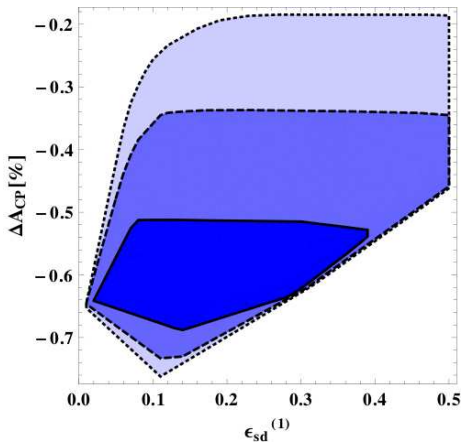
- Right order of magnitude to explain $\Delta\mathcal{A}_{CP}$!

Fit including CP asymmetries



• $P_{\text{break}} = \epsilon_{sd}^{(1)} P$

$\Delta\mathcal{A}_{CP}$ from fit



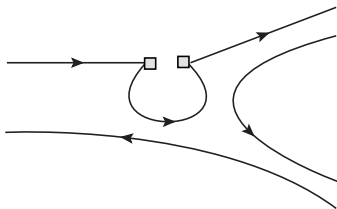
Relations to other modes

By exchanging the spectator quark,

- $D^+ \rightarrow K^+ \bar{K}^0$

- $D_s^+ \rightarrow \pi^+ K^0$

receive contributions from



\Rightarrow expect direct CP asymmetries of same order

Conclusion

- Penguin matrix elements can plausibly be large in the SM
- Nominal U -spin breaking is natural, explains rate difference in $D \rightarrow K\pi, \pi K$
- “Broken penguin” then explains rate difference in $D \rightarrow KK, \pi\pi$
- Related large penguin contractions imply large $\Delta\mathcal{A}_{CP}$

Backup slides

Penguin matrix elements

$$P_{f,1} = \frac{G_F}{\sqrt{2}} V_{cb} V_{ub}^* C_6 \times \langle f | -2(\bar{u}u)_{S+P} \otimes^A (\bar{u}c)_{S-P} | D^0 \rangle$$

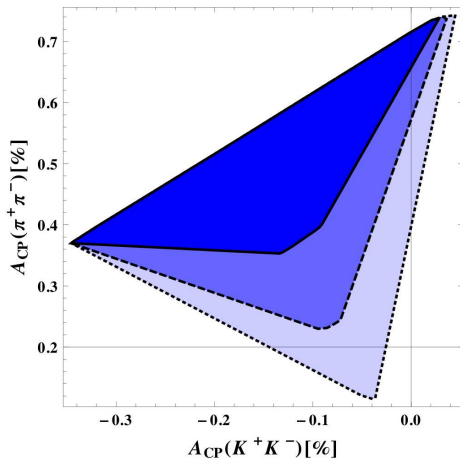
$$P_{f,2} = \frac{G_F}{\sqrt{2}} V_{cb} V_{ub}^* 2(C_4 + C_6) \times \langle f | (\bar{q}_\alpha q_\beta)_{V\pm A} \otimes^A (\bar{u}_\beta c_\alpha)_{V-A} | D^0 \rangle$$

$$C_{4(6)}^{\text{eff}}(\mu, q^2) = C_{4(6)}(\mu) + C_1(\mu) \frac{\alpha_s}{2\pi} \left[\frac{1}{6} + \frac{1}{3} \log \left(\frac{m_c}{\mu} \right) - \frac{1}{8} G \left(\frac{m_s^2}{m_c^2}, \frac{m_d^2}{m_c^2}, \frac{q^2}{m_c^2} \right) \right]$$

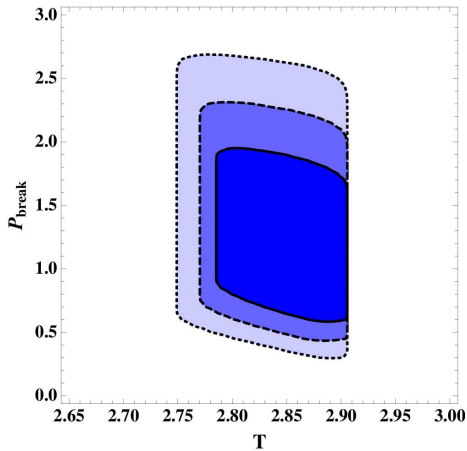
$$\frac{\langle f | (\bar{u}u)_{S+P} \otimes^A (\bar{u}c)_{S-P} | D^0 \rangle}{\langle f | (\bar{s}_\alpha s_\beta - \bar{d}_\alpha d_\beta)_{V-A} \otimes^A (\bar{u}_\beta c_\alpha)_{V-A} | D^0 \rangle} = \mathcal{O}(N_c),$$

$$\frac{\langle f | (\bar{u}_\alpha u_\beta)_{V\pm A} \otimes^A (\bar{u}_\beta c_\alpha)_{V-A} | D^0 \rangle}{\langle f | (\bar{s}_\alpha s_\beta - \bar{d}_\alpha d_\beta)_{V-A} \otimes^A (\bar{u}_\beta c_\alpha)_{V-A} | D^0 \rangle} = \mathcal{O}(1).$$

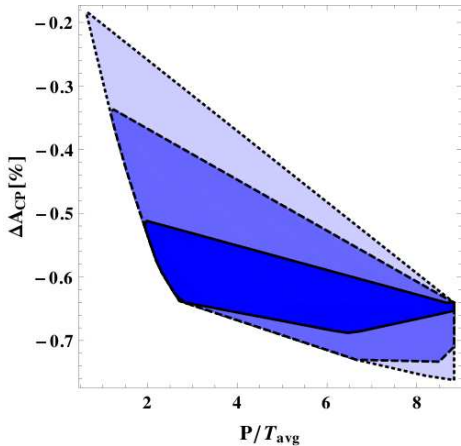
CP asymmetries from fit



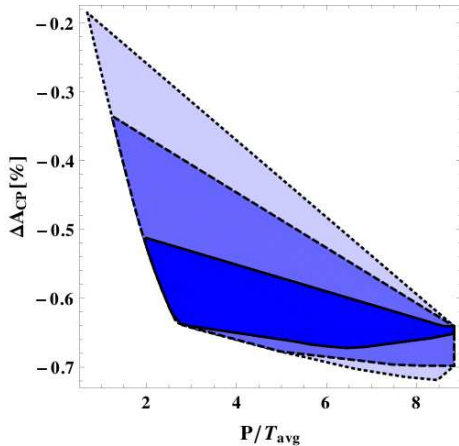
Different ranges of ϵ_U



Restricted range of ϵ_U



● $\epsilon_U \leq 0.4$



● $\epsilon_U \leq 0.3$