

Experimental mini-review: inclusive $|V_{ub}|$

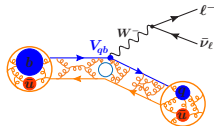
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Cincinnati
Ohio, USA



University
of Victoria

Overview

- I. Introduction
- II. + III. Tagging and Simulation techniques.
- IV. Inclusive Analyses from *BABAR* , *Belle*, and others.
- V. Summary and some thoughts on current results.

I.a Introduction

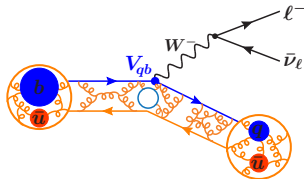
- * Measurements of the partial branching fractions of **charmless** inclusive semileptonic decays offer a way to measure $|V_{ub}|$ (which is independent from exclusive or leptonic channels)

- * Inclusive semileptonic $B \rightarrow X_u \ell \bar{\nu}_\ell$ decays characterized by

$$q^2 = (p_B - p_X)^2 = (p_\ell + p_{\bar{\nu}_\ell})^2: \text{4-momentum transfer}$$

m_X : hadronic mass

$P_+ = E_X - |\vec{p}_X|$: light-cone momentum



- * Abundant $B \rightarrow X_c \ell \bar{\nu}_\ell$ important Bkg.

- * Inclusive decay rate $d\Gamma_{\text{theory}} / (dE_\ell dm_X dq^2)$ can be predicted by QCD:

Calculations: ADFR [EPJC:59:831], BLNP [NPB:699:335], DGE [JHEP:0601097], GGOU [JHEP:0710:058], BLL [PRDD64:113004]

Differ significantly in their treatment of pert. corrections and the parameterization of non-pert. effects.

- * Large $B \rightarrow X_c \ell \bar{\nu}_\ell$ and other Bkgs present \leftrightarrow only **partial branching fraction $\Delta\mathcal{B}$** can be measured

$$\rightarrow |V_{ub}| = \sqrt{\frac{\Delta\mathcal{B}}{\tau_B \Delta\Gamma_{\text{theory}}}}$$

II.a Tag and Recoil

- * Desirable to measure $\Delta\mathcal{B}$ in B -rest frame
 - * Useful to reconstruct hadronic X_u system
- Full reconstruction of 2nd B meson
to separate hadronic $b \rightarrow u$ system from the rest of the event
- * Candidates for recoil and tag side
(→ Illustration)

If properly assigned one can reconstruct ...

1. $p_X = \sum_i p_i^{\text{track}} + \sum_i p_i^{\text{clust.}}$ (→ m_X)
2. $\vec{p}_{B^{\text{recoil}}} = -\vec{p}_{B^{\text{tag}}}$
3. $p_\nu = p_{B^{\text{recoil}}} - p_X - p_\ell$ (→ m_{miss}^2)
4. $q^2 = (p_{B^{\text{recoil}}} - p_X)^2 = (p_\ell + p_\nu)^2$

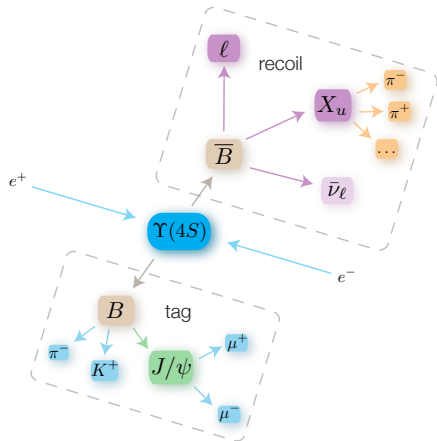
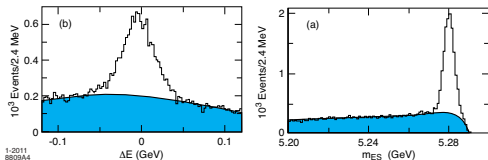


Illustration of tag & recoil side of $e^+e^- \rightarrow \Upsilon(4S) \rightarrow B\bar{B}$.

II.b m_{bc}/m_{ES} and ΔE

- Beam constraint mass (m_{bc}/m_{ES}) and energy difference (ΔE) help to distinguish random assignments from true **tag** candidates.



$$\Delta E = E_B^* - E_{\text{beam}}^*/2$$

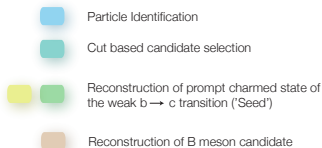
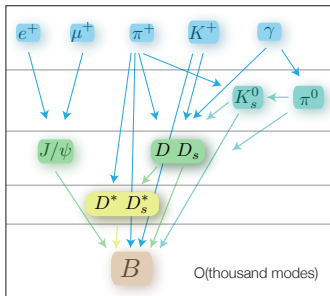
$$m_{ES}/m_{bc} = \sqrt{E_{\text{beam}}^{*2}/4 - \vec{p}_B^{*2}}$$

Formulae in CM frame: E_{beam}^* denotes the beam energy, and (E_B^*, \vec{p}_B^*) the 4-momentum of the B meson.

- # of true B mesons on **tag** side evaluated via binned or unbinned LH fits using these variables.
- Slight difference between the B-Factories in what reference frame they are calculated: **Lab** ($BABAR$) vs **CM** ($Belle$)

II.c Tagging Algorithms at the B-Factories

Cut Based approach



ϵ^{tag} (in %)	BABAR cut based	Belle cut based	Belle multivariate
B_{tag}^+	0.40 [†]	0.14	0.28
B_{tag}^0	0.21 [†]	0.10	0.18

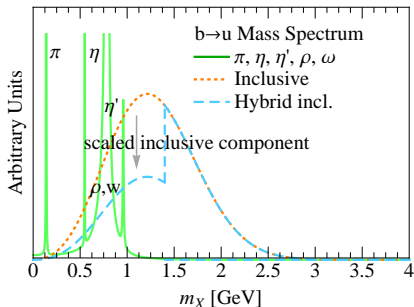
recently *Belle* showed [multi-variate tagging](#) *More about this later.*

[†] = contributions from all modes, including low purity ones.

III.a Simulation of $B \rightarrow X_u \ell \bar{\nu}_\ell$

→ Crucial to simulate $B \rightarrow X_u \ell \bar{\nu}_\ell$ as accurate as possible.

- * Need MC for efficiencies (e.g. $q^2 - E_\ell$ type analyses).
- * Need actual MC shape for fits (e.g. inclusive analyses).



Sketch of the mix of exclusive and inclusive $B \rightarrow X_u \ell \bar{\nu}_\ell$ in m_X to create 'Hybrid' signal Monte Carlo.

→ Simulation done in a four step procedure:

- 1 Simulate inclusive $b \rightarrow u \ell \bar{\nu}_\ell$; Hadronization via JETSET.
- 2 Reweight in (m_X, q^2, E_ℓ) to match the inclusive $B \rightarrow X_u \ell \bar{\nu}_\ell$ QCD calculations (cf. slide 3)
- 3 Simulate exclusive $B \rightarrow h \ell \bar{\nu}_\ell$ for $h = \pi, \rho, \omega, \eta, \eta'$;
- 4 Mix the two to create 'Hybrid MC'. In the resonant region ($m_X < 1.4$ GeV) the **inclusive prediction** is scaled down in (m_X, q^2, E_ℓ) so that $\mathcal{B}(B \rightarrow X_u \ell \bar{\nu}_\ell)$ is conserved.

IV.a Overview: $|V_{ub}|$ measurements

Measurement	Variable	Cut	Reference	Year
CLEO	E_ℓ	$2.1 \text{ GeV} < E_\ell < 2.6 \text{ GeV}$	[Phys.Rev.Lett.88:231803]	2002
Belle	(m_X, q^2)	$m_X < 1.7 \text{ GeV}, q^2 > 8 \text{ GeV}^2$	[Phys.Rev.Lett.92:101801]	2004
Belle	E_ℓ	$1.9 \text{ GeV} < E_\ell < 2.6 \text{ GeV}$	[Phys.Lett.B621:28-40]	2005
BABAR	E_ℓ	$2.0 \text{ GeV} < E_\ell < 2.6 \text{ GeV}$	[Phys.Rev.D73:012006]	2006
BABAR	(E_ℓ, s_h^{\max})	$2.0 \text{ GeV} < E_\ell, s_h^{\max} < 3.5 \text{ GeV}^2$	[Phys.Rev.Lett.95:111801]	2005
Belle	(m_X, q^2)	$E_\ell > 1.0 \text{ GeV}$	[Phys.Rev.Lett.104:021801]	2010
BABAR	(m_X, q^2)	$E_\ell > 1.0 \text{ GeV}$	[Phys.Rev.D86,032004]	2012
	E_ℓ	$E_\ell > 1.0 \text{ GeV} \ \& \ E_\ell > 1.3$		
	m_X	$m_X < 1.55 \text{ GeV} \ \& \ m_X < 1.7 \text{ GeV}$		
	q^2	$m_X < 1.7 \text{ GeV} \ \& \ q^2 > 8 \text{ GeV}^2$		
	P_+	$P_+ < 0.66 \text{ GeV}$		

List of measurements considered by HFAG for $|V_{ub}|$ averages.

- Clear trend to increase the acceptance and measure larger fractions of the accessible phase space
(E.g. first measurement covered $\approx 19\%$, the latest $\approx 89\%$ of the available phase space)
- Will only cover [Phys.Rev.Lett.104:021801] & [Phys.Rev.D86,032004]

IV.b Analyses side-by-side

Belle [Phys.Rev.Lett.104:021801]:

- * 605 fb^{-1} analyzed using cut-based hadronic tag

tag side m_{bc} (calculated in CM frame)

- * Multivariate recoil selection using a Boosted Decision Tree (BDT) Purity $\sim 22\%$
- * Measures $\Delta\mathcal{B}$
i.e. normalize with # of tagged events
- * $p_{\ell}^{B^*} > 1.0 \text{ GeV}$; analyzed via 2D fit in (m_X, q^2)

BABAR [Phys.Rev.D86,032004]:

- * 426 fb^{-1} analyzed using cut-based hadronic tag

tag side m_{ES} (calculated in lab frame)

- * Cut based recoil selection
Purity $\sim 18\%$
- * Measures $\frac{\Delta\mathcal{B}}{\mathcal{B}(B \rightarrow X \ell \bar{\nu}_{\ell})}$
i.e. through normalization mode
- * $p_{\ell}^{B^*} > 1.0 \text{ GeV}$; analyzed via 2D fit in (m_X, q^2)
- * Further phase-space regions considered using 1D fits:
 p_{ℓ}, m_X, q^2, P_+

IV.c Belle [Phys.Rev.Lett.104:021801]

- * **BDT** trained to separate $B \rightarrow X_u \ell \bar{\nu}_\ell$ from other $B\bar{B}$ Bkg decays.

Selection of highest discriminative variables:

Variable	Comment
$ \sum_i Q_i $	Net charge correlated with track multiplicity
# of K	$b \rightarrow u + s\bar{s}$ popping vs $b \rightarrow c \rightarrow s$
m_{miss}^2	Peaks at zero for SL event, missing particles create a tail towards pos. values
$m_{\text{miss} D^*}^2$	D^* momentum inferred from slow pions in event.

- * Cut on **BDT** classifier optimized with respect to total uncertainty (stat+sys+theo) and lower threshold of $p_\ell^{B^*} > 1.0$ GeV imposed

Tag Fit to m_{bc} to subtract non- $B\bar{B}$ Bkg (comb. + continuum)

Recoil χ^2 fit in (m_X, q^2) which floats

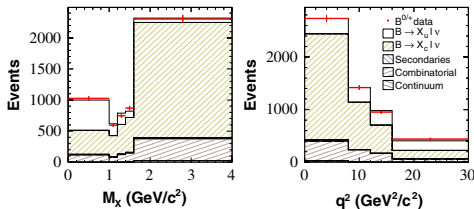
1. $B \rightarrow X_u \ell \bar{\nu}_\ell$
2. $B \rightarrow X_c \ell \bar{\nu}_\ell$
3. Secondary and Fake leptons

$$\chi^2 / \text{ndf} = 24 / 17; \text{P-Value} = 12\%$$

- *
$$\Delta\mathcal{B} = \frac{N_{b \rightarrow u}^\Delta}{2\epsilon_{b \rightarrow u}^\Delta N_{\text{tag}}}$$

$N_{b \rightarrow u}^\Delta$ #: $\epsilon_{b \rightarrow u}^\Delta$: signal yields + eff.

N_{tag} : number of tag B



Projections of m_X and q^2 after fit

IV.c Belle [Phys.Rev.Lett.104:021801]

- * Leading systematic uncertainty are due to $B \rightarrow X_u \ell \bar{\nu}_\ell$ modeling and due to PID and the BDT:

Source	$\Delta B/B$ (%)
$B \rightarrow X_u \ell \bar{\nu}_\ell$ (SF)	3.6
$B \rightarrow X_u \ell \bar{\nu}_\ell$ ($g \rightarrow s\bar{s}$)	1.5
$B \rightarrow X_u \ell \bar{\nu}_\ell$ exclusive	4.0
$B \rightarrow X_u \ell \bar{\nu}_\ell$ other	2.9
All $B \rightarrow X_u \ell \bar{\nu}_\ell$	5.8
$B \rightarrow X_c \ell \bar{\nu}_\ell$	1.7
PID and reconstruction	3.1
BDT	3.1
Other	2
Total	8.1

- * Determined values of $|V_{ub}|$ and the partial branching fraction:

$\Delta B(p_\ell^{B*} > 1.0\text{GeV}) [10^{-3}]$	BLNP $ V_{ub} [10^{-3}]$	GGOU $ V_{ub} [10^{-3}]$	DGE $ V_{ub} [10^{-3}]$
$1.96 \pm 0.17 \pm 0.16$	$4.47 \pm 0.27^{+0.19}_{-0.21}$	$4.54 \pm 0.27^{+0.10}_{-0.11}$	$4.60 \pm 0.27^{+0.11}_{-0.13}$

IV.c BABAR [Phys.Rev.D86,032004]

* Cut based $B \rightarrow X_u \ell \bar{\nu}_\ell$ selection.

Selection of cuts and the corresponding efficiencies for signal and background

Variable	$B \rightarrow X_u \ell \bar{\nu}_\ell$	$B \rightarrow X_c \ell \bar{\nu}_\ell$	Other
Only one lepton	99.3%	98.1%	95.8%
Total charge $Q = 0$	65.5 %	52.9 %	49.1%
m_{miss}^2	44.2 %	17.8 %	17.8 %
$m_{\text{miss}}^2 D^*$ veto	34.8 %	6.3 %	9.1 %
Kaon veto	33.8 %	2.2 %	4.7 %

Tag unbinned LH fit to m_{ES} to subtract non- $B\bar{B}$ Bkg (comb. + continuum)

Many regions of phase-space considered:

Recoil

- 1) $m_X < 1.55$ GeV
- 2) $m_X < 1.70$ GeV
- 3) $P_+ < 0.66$ GeV
- 4) $m_X < 1.70$ GeV & $q^2 > 8$ GeV²

- 5) $m_X - q^2, p_\ell^{B*} > 1.0$ GeV
- 6) $p_\ell^{B*} > 1.0$ GeV
- 7) $p_\ell^{B*} > 1.3$ GeV

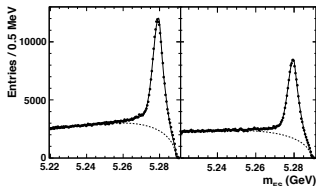
* χ^2 fit in (\rightarrow List) which floats

- 1) $B \rightarrow X_u \ell \bar{\nu}_\ell$
- 2) Bkg (mainly $B \rightarrow X_c \ell \bar{\nu}_\ell$)

Fit quality for e.g.

5.) $\chi^2/ndf = 31.0/29$, P-Value= 37%

6.) $\chi^2/ndf = 21.6/14$, P-Value= 9%

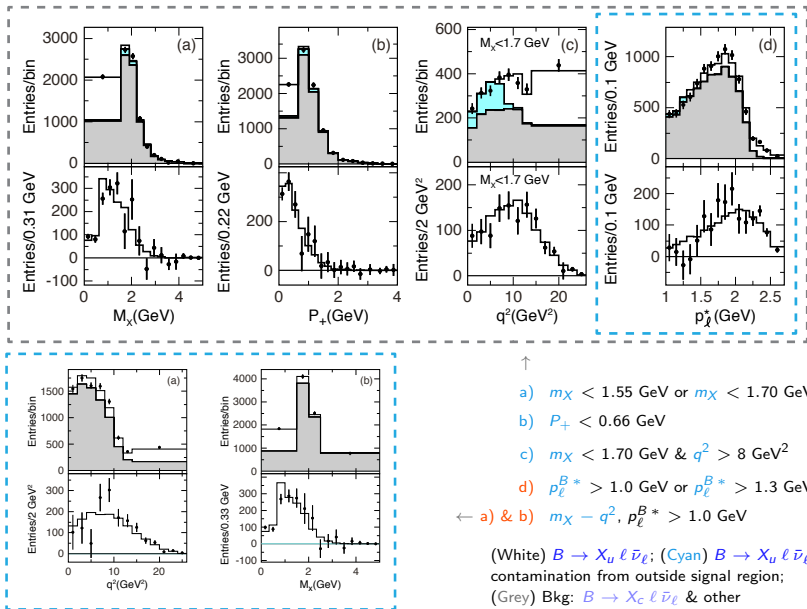


m_{ES} for B^+ and B^0 of normalization channel

Normalization mode:

$$\frac{\Delta\mathcal{B}(B \rightarrow X_u \ell \bar{\nu}_\ell)/\mathcal{B}(B \rightarrow X \ell \bar{\nu}_\ell)}{\Delta\mathcal{B}(B \rightarrow X_u \ell \bar{\nu}_\ell)/\mathcal{B}(B \rightarrow X \ell \bar{\nu}_\ell)} \quad (\rightarrow \text{many systematics cancel})$$

IV.c BABAR [Phys.Rev.D86,032004]



IV.c BABAR [Phys.Rev.D86,032004]

- * Leading systematic uncertainty are due to $B \rightarrow X_u \ell \bar{\nu}_\ell$, PID and tracking:

Source	$\Delta B/B$ (%)	Belle $\Delta B/B$ (%)
$B \rightarrow X_u \ell \bar{\nu}_\ell$ (SF)	5.6	3.6
$B \rightarrow X_u \ell \bar{\nu}_\ell$ ($g \rightarrow s\bar{s}$)	2.7	1.5
$B \rightarrow X_u \ell \bar{\nu}_\ell$ exclusive	1.9	4.0
$B \rightarrow X_u \ell \bar{\nu}_\ell$ unmeasured	-	2.9
All $B \rightarrow X_u \ell \bar{\nu}_\ell$	6.5	5.8
$B \rightarrow X_c \ell \bar{\nu}_\ell$	2.7	1.7
PID and reconstruction	3.4	3.1
BDT	-	3.1
Other	2.1	2
Total	8.4	8.1

- * Determined values of $|V_{ub}|$:

Measurement	BLNP $ V_{ub} $ [10^{-3}]	GGOU $ V_{ub} $ [10^{-3}]	DGE $ V_{ub} $ [10^{-3}]
$(m_X, q^2); p_\ell^{B*} > 1.0$ GeV	$4.28 \pm 0.23^{+0.18}_{-0.20}$	$4.35 \pm 0.24^{+0.09}_{-0.10}$	$4.40 \pm 0.24^{+0.12}_{-0.13}$
$p_\ell^{B*} > 1.0$ GeV	$4.30 \pm 0.28^{+0.18}_{-0.20}$	$4.36 \pm 0.30^{+0.09}_{-0.10}$	$4.42 \pm 0.30^{+0.13}_{-0.13}$
Belle [Phys.Rev.Lett.104:021801]	$4.47 \pm 0.27^{+0.19}_{-0.21}$	$4.54 \pm 0.27^{+0.10}_{-0.11}$	$4.60 \pm 0.27^{+0.11}_{-0.13}$

→ Good agreement with Belle [Phys.Rev.Lett.104:021801]:

IV.x Summary of inclusive $|V_{ub}|$

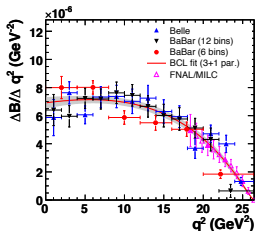
* Tagged and untagged world averages:

Measurement	BLNP $ V_{ub} $ [10^{-3}]	GGOU $ V_{ub} $ [10^{-3}]	DGE $ V_{ub} $ [10^{-3}]
BaBar [Phys.Rev.D86,032004]	$4.28 \pm 0.23^{+0.18}_{-0.20}$	$4.35 \pm 0.24^{+0.09}_{-0.10}$	$4.40 \pm 0.24^{+0.12}_{-0.13}$
Belle [Phys.Rev.Lett.104:021801]	$4.47 \pm 0.27^{+0.19}_{-0.21}$	$4.54 \pm 0.27^{+0.10}_{-0.11}$	$4.60 \pm 0.27^{+0.11}_{-0.13}$
Average Tagged [PBF]	$4.35 \pm 0.19^{+0.19}_{-0.20}$	$4.43 \pm 0.21^{+0.09}_{-0.11}$	$4.49 \pm 0.21^{+0.13}_{-0.13}$
Average Untagged [PBF]	$4.65 \pm 0.22^{+0.26}_{-0.29}$	$4.39 \pm 0.22^{+0.18}_{-0.24}$	$4.44 \pm 0.21^{+0.21}_{-0.25}$
Average All [PBF]	$4.40 \pm 0.15^{+0.19}_{-0.21}$	$4.39 \pm 0.15^{+0.12}_{-0.14}$	$4.45 \pm 0.15^{+0.15}_{-0.16}$

[Phys.Rev.D86,032004] is the (m_X, q^2) ; $p_{\ell}^B * > 1.0$ GeV result; average untagged calculated from untagged results on slide 9. All averages are from the Physics of the B-Factory Book.

→ Good agreement between different QCD calculations.

* But poor agreement with exclusive measurements from $B \rightarrow \pi \ell \bar{\nu}_\ell$



Fit result to the FNAL/MILC points, and untagged BaBar and Belle measurements:

[Phys.Rev.D.79.054507],[Phys.Rev.D.83.032007],

[Phys.Rev.D.83.052011],[Phys.Rev.D.83.071101]

$$|V_{ub}| \quad [10^{-3}] \quad 3.23 \pm 0.30$$

→ tension of about 2.2 - 2.9 σ

(BLNP average, with and w/o 100% core. of sys.)

Hint for new physics?

or

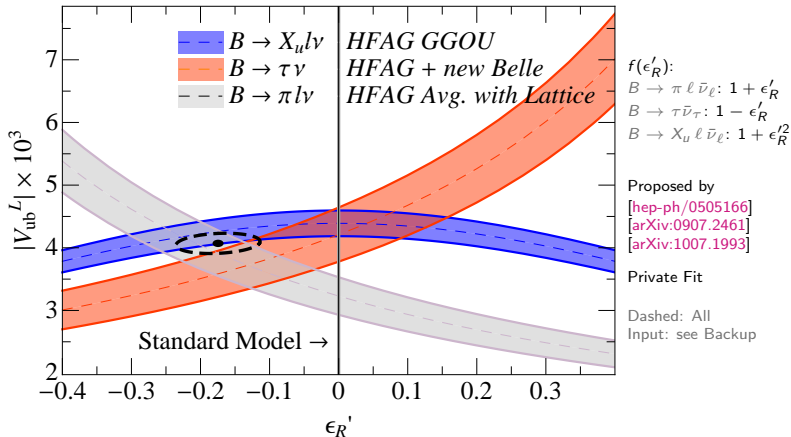
Are we underestimating our
uncertainties?

Three thoughts and [what's next](#) :

New physics, $b \rightarrow c$, the shape function, and multivariate B tagging

Thought #1: Is there new physics hiding in $|V_{ub}|$?

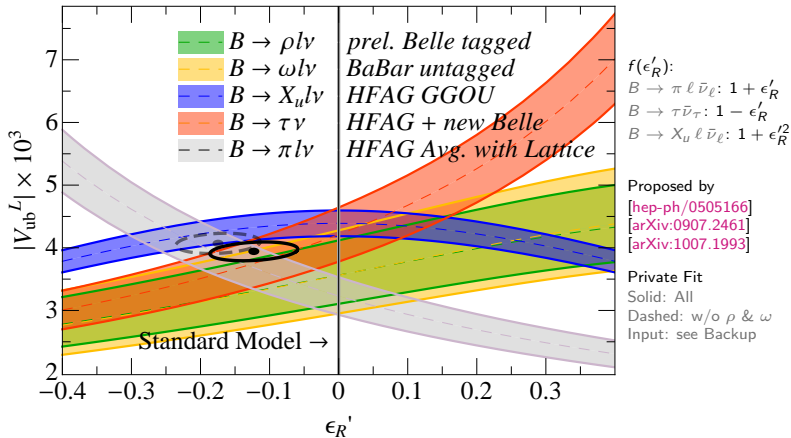
New physics observable via right-handed currents? $|V_{ub}| = |V_{ub}^L| f(\epsilon'_R = \epsilon_R \Re \frac{V_{ub}^R}{V_{ub}^L})$



Fit Scenario	$ V_{ub}^L $	ϵ'_R	Tension wrt SM	χ^2/ndf	P-Value
$B \rightarrow \pi, X_u, \tau$ (dashed)	4.07 ± 0.16	-0.17 ± 0.06	2.8σ	2.8/1	0.09

Thought #1: Is there new physics hiding in $|V_{ub}|$?

New physics observable via right-handed currents? $|V_{ub}| = |V_{ub}^L| f(\epsilon'_R = \epsilon_R \Re \frac{V_{ub}^R}{V_{ub}^L})$



Fit Scenario	$ V_{ub}^L $	ϵ'_R	Tension wrt SM	χ^2/ndf	P-Value
$B \rightarrow \pi, X_u, \tau$ (dashed)	4.07 ± 0.16	-0.17 ± 0.06	2.8σ	2.8/1	0.09
$B \rightarrow \pi, X_u, \tau + \omega, \rho$ (solid)	3.94 ± 0.15	-0.12 ± 0.06	1.9σ	9.0/3	0.03

Thought #2: The problem with $B \rightarrow X_c \ell \bar{\nu}_\ell \dots$

* Inclusive vs exclusive 'Gap':

$$\mathcal{B}(B \rightarrow X_c \ell \bar{\nu}_\ell) - \mathcal{B}(B \rightarrow D^{(*)} \ell \bar{\nu}_\ell) - \mathcal{B}(B \rightarrow D^{(*)} \pi \ell \bar{\nu}_\ell) = (1.61 \pm 0.25) \% \quad [\text{private} + \text{HFAG11}]$$

Often 'gap' is filled with NR and $B \rightarrow D^{**} \ell \bar{\nu}_\ell$; [Phys.Rev.D86,032004] applies a further correction of:

$$\lambda_{D^{**}} = \frac{\mathcal{B}(B \rightarrow D^{**} \ell \bar{\nu}_\ell) + \mathcal{B}^{NR}(B \rightarrow D^{(*)} \ell \bar{\nu}_\ell)}{\mathcal{B}(B \rightarrow D^{(*)} \ell \bar{\nu}_\ell) + \mathcal{B}(B \rightarrow \text{other})} = 0.73 \pm 0.08$$

→ Not the culprit for $|V_{ub}|$ tension, but could contribute to the difference:

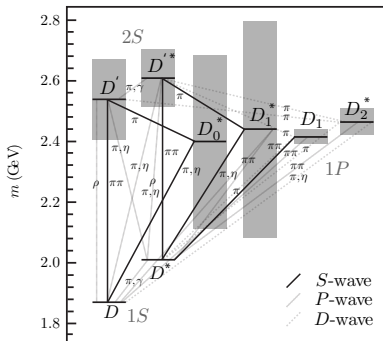
need an ad-hoc increase of this systematic by a factor of 5 eases the tension to $\approx 2\sigma$

But need to study this 'gap' for further progress in $|V_{ub}|$

* Which are the missing D^{**} modes?

- * 3-body D^{**} (Observed for $D_1 \rightarrow D \pi \pi$ by Belle)
 - * radial excit.
- [Phys.Rev.D.85.094033]?

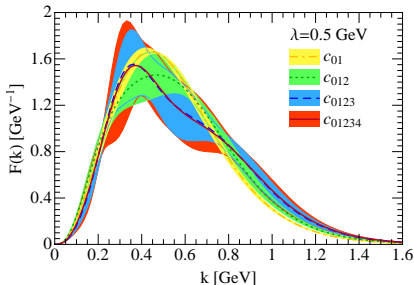
→ Sascha Turczyk's talk on Sunday.



Selection of strong fragmentations of excited charm

Thought #3: The shape function

- * So far the **shape-function** forces us to measure the partial branching fraction $\Delta\mathcal{B}$ where experimental uncertainties are large.
- Most discriminating regions with low systematic uncertainties are not being used.
- * Complementary to the increase in acceptance, we should make sure to push for having a global fit that combines all available information to determine $|V_{ub}|$

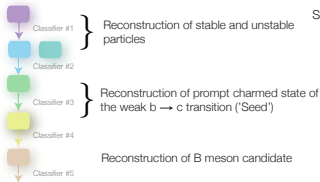
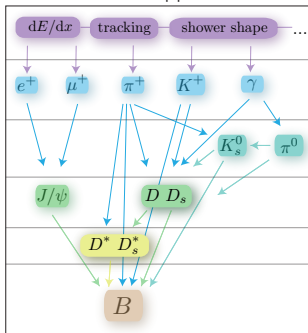


The shape function with absorbed Λ_{QCD}/m_b corrections from fits to selected $B \rightarrow X_s \gamma$ spectra is shown.

- Talk on Sunday about **SIMBA** and the status of global fits for $|V_{ub}|$

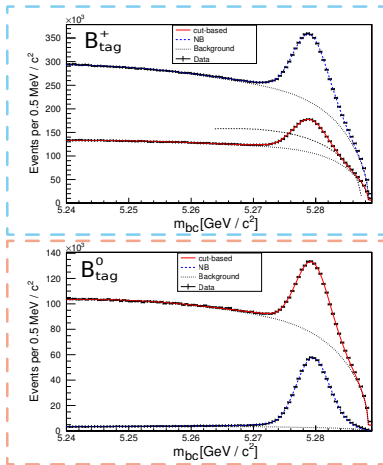
What's next? Multivariate Tagging (with NeuroBayes)

Multivariate approach



Same Purity

Same Efficiency



⇒ Impressive performance demonstrated by *Belle* at the summer conferences.

V. Summary and Conclusions

- * Presented a review of the two latest inclusive $|V_{ub}|$ measurements.
- * Persisting gap between inclusive and exclusive $|V_{ub}|$ remains an issue.
- * Are right-handed currents playing a role?
- * Are we underestimating some uncertainties? The treatment of $B \rightarrow X_c \ell \bar{\nu}_\ell$ is not satisfying, granted. Could it cause trouble?
- * New multivariate tagging looks like a very promising tool for tagged inclusive analyses. We are looking forward to see new results from *Belle*.

Backup

a. Input for ϵ'_R and $|V_{ub}^L|$ fit

- * $B \rightarrow \tau \bar{\nu}_\tau$: Private HFAG + Belle average from Phillip Urquijo ICHEP12 talk

$$V_{ub} = (4.21 \pm 0.43) \times 10^{-3}$$

- * $B \rightarrow X_u \ell \bar{\nu}_\ell$: HFAG End of 2011 GGOU result

$$V_{ub} = (4.39 \pm 0.21) \times 10^{-3}$$

- * $B \rightarrow \pi \ell \bar{\nu}_\ell$: HFAG End of 2011 combined Lattice+Data result

$$V_{ub} = (3.23 \pm 0.30) \times 10^{-3}$$

- * $B \rightarrow \omega \ell \bar{\nu}_\ell$: untagged BaBar result shown at ICHEP12

$$\mathcal{B}(\text{full } q^2 \text{ range}) = (1.15 \pm 0.19) \times 10^{-4}$$

- * $B \rightarrow \rho \ell \bar{\nu}_\ell$: uncertainty weighted average of ρ^0 and ρ^+ Belle results shown at ICHEP12

$$\mathcal{B}(\text{full } q^2 \text{ range}) = (3.3 \pm 0.3) \times 10^{-4}$$