



Measurement of $B \rightarrow D^{(*)} \tau \nu$ @ BaBar

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Outline



PRL 109, 101802 (2012)

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Event Reconstruction and Fit Strategy
Results
Conclusions



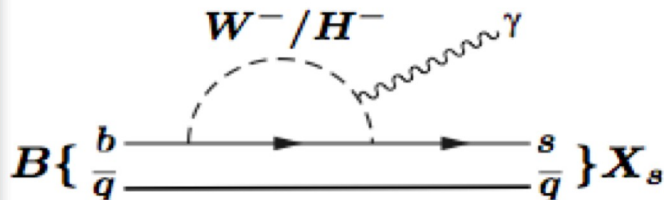
Motivations



- $B \rightarrow D^{(*)} \tau \nu$ typical example of B decay sensitive to New Physics:
- same Feynman diagrams as the light leptons, but the decay can also be mediated by a charged Higgs boson
 - Spin zero Higgs does not couple to all helicity states, affect D and D^* differently
 - Use of τ hadronic modes can give interesting information on charged Higgs couplings ([Phys. Rev. D78:015006, 2008](#))

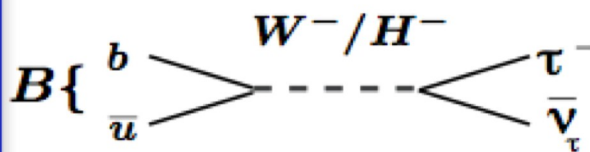
$$B \rightarrow X_s \gamma$$

- Small $\sigma_{SM} \sim 7\%$
- H^- enters in a **loop**
- BF $\sim 0.03\%$
- Inclusive** measurement difficult



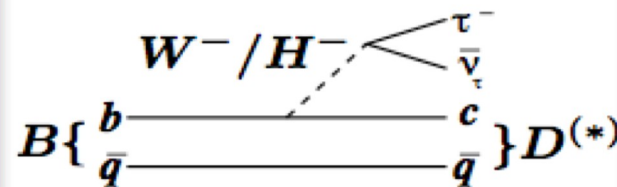
$$B^- \rightarrow \tau^- \bar{\nu}_\tau$$

- Large $\sigma_{SM} \sim 25\%$
- H^- enters at **tree level**
- BF $\sim 0.01\%$
- Helicity suppressed



$$\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau$$

- Small $\sigma_{SM} \sim 2-5\%$
- H^- enters at **tree level**
- BF $\sim 1-2\%$
- $D^{(*)}$ provides constraint



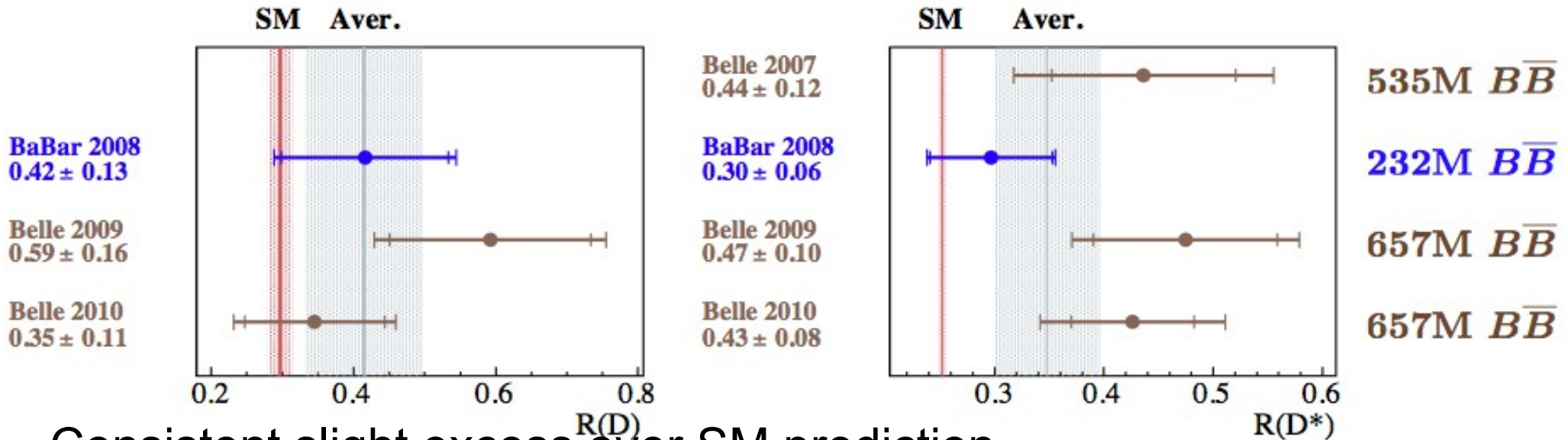


Previous Measurements



$$R(D^{(*)}) = \frac{\mathcal{B}(\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B} \rightarrow D^{(*)} \ell^- \bar{\nu}_\ell)}$$

Measure ratios of BF: several uncertainties cancel in the ratio
 Use only leptonic τ decays



Consistent slight excess over SM prediction
 Large uncertainties! Measurements statistically limited
 Update previous BaBar measurement (2008) to full dataset

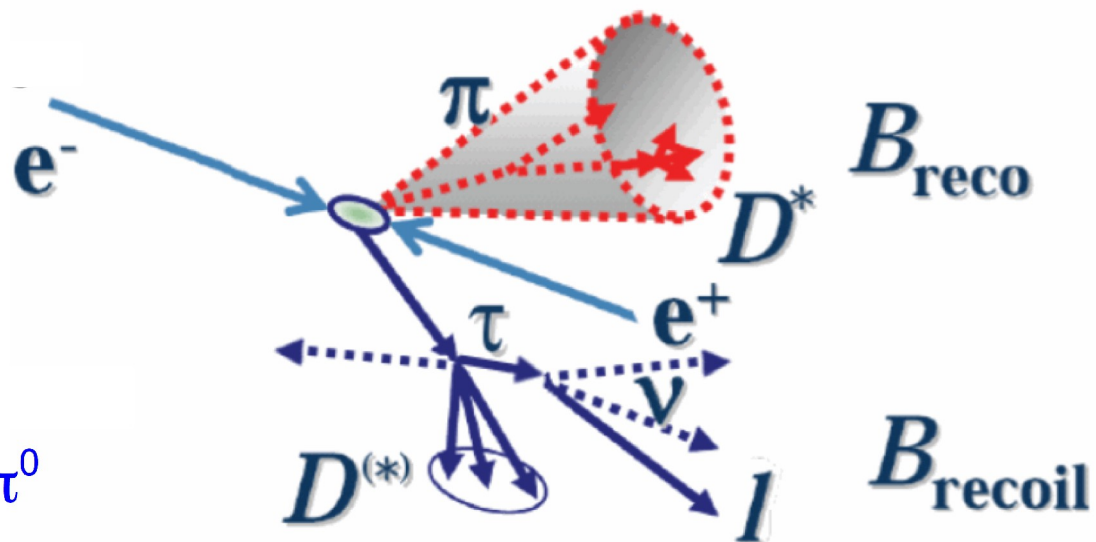


Experimental Technique: B Tagging

τ decays, multiple neutrinos in final state, very challenging
Fully reconstruct one B in the event

Reconstruct a $D^{(*)}$ meson in hadrons

Reconstruct $B \rightarrow X_c + \pi, K, K_S, \pi^0$

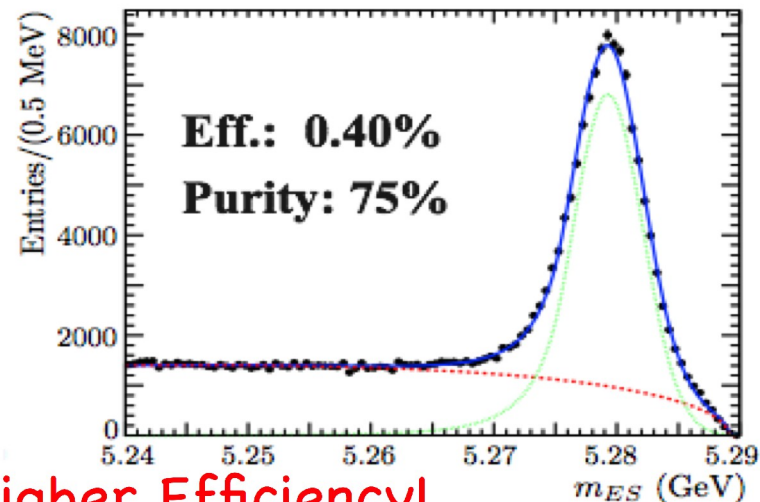
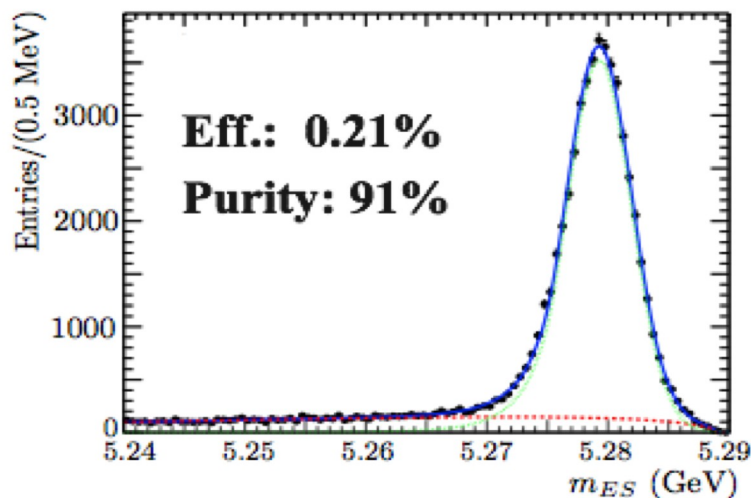


Old $B_{tag} : X_c = D, D^*$

630 decay chains

New $B_{tag} : X_c = D, D^*, D_s^+, D_s^{*+}, J/\Psi$

1,768 decay chains



2x Higher Efficiency!



Event Reconstruction



Require hadronic B_{tag}

Reconstruct $\tau \rightarrow \ell \nu_{\tau} \nu_{\ell}$ ($\ell = e, \mu$)

→ improved PID, increase acceptance at low momentum

Charge correlation between $B_{\text{tag}}, D^{(*)}, \ell$

Reject combinatoric Background:

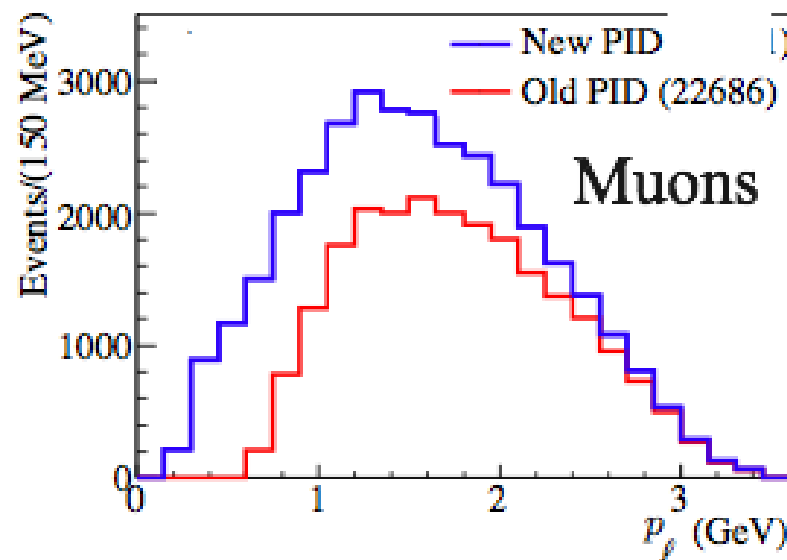
$$q^2 = (p_B - p_{D^{(*)}})^2 > 4 \text{ GeV}^2$$

$$P_{\text{miss}} > 200 \text{ MeV}$$

If multiple $B_{\text{tag}} + D^{(*)} + \ell$ combinations, select the one with smallest E_{extra}

Use Boosted Decision Tree to suppress background

Dedicated Data control region for BB/continuum background



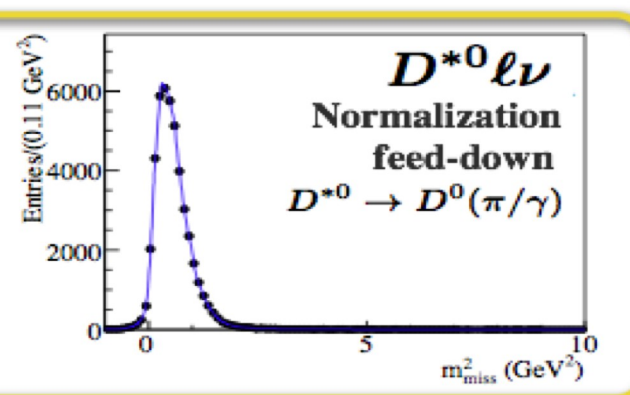
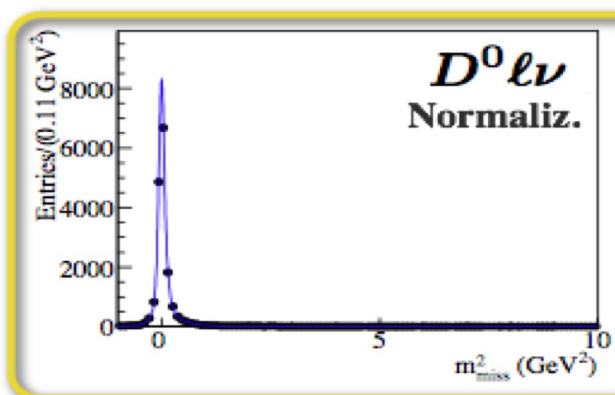
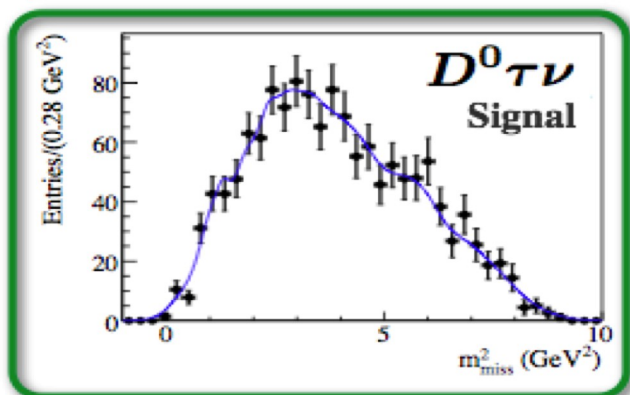


Missing Mass and Backgrounds

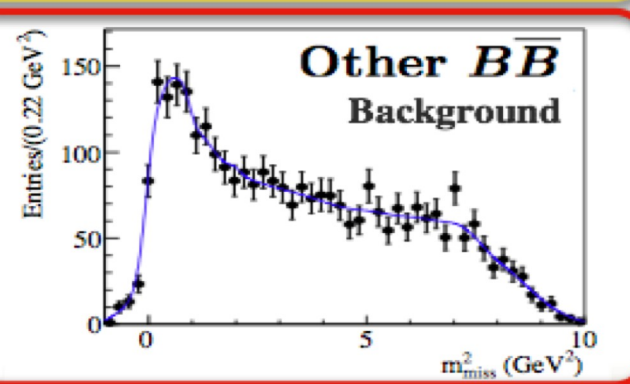
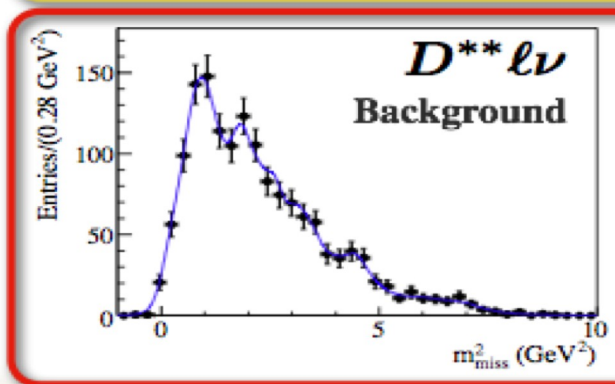
Use m_{miss}^2 to discriminate signal from background:

$$m_{miss, D^{(*)}}^2 = (p_{\Upsilon} - p_{B_{tag}} - p_{D^{(*)}} - p_{\ell})^2$$

Largest background from $B \rightarrow D^{(*)} \ell \nu$, either fully reco or feed-down ($B \rightarrow D^{(*,**) } \ell \nu$ reco as $B \rightarrow D^{(*)} \ell \nu$)



D^0 channel





Fit Structure



Unbinned ML fit

2D: m_{miss}^2, p_{ℓ}^*

→ 4 Signal channels

D^0, D^{*0}, D^+, D^{*+}

→ 4 $D^{(*)}\pi^0$ channels

Fitted Yields:

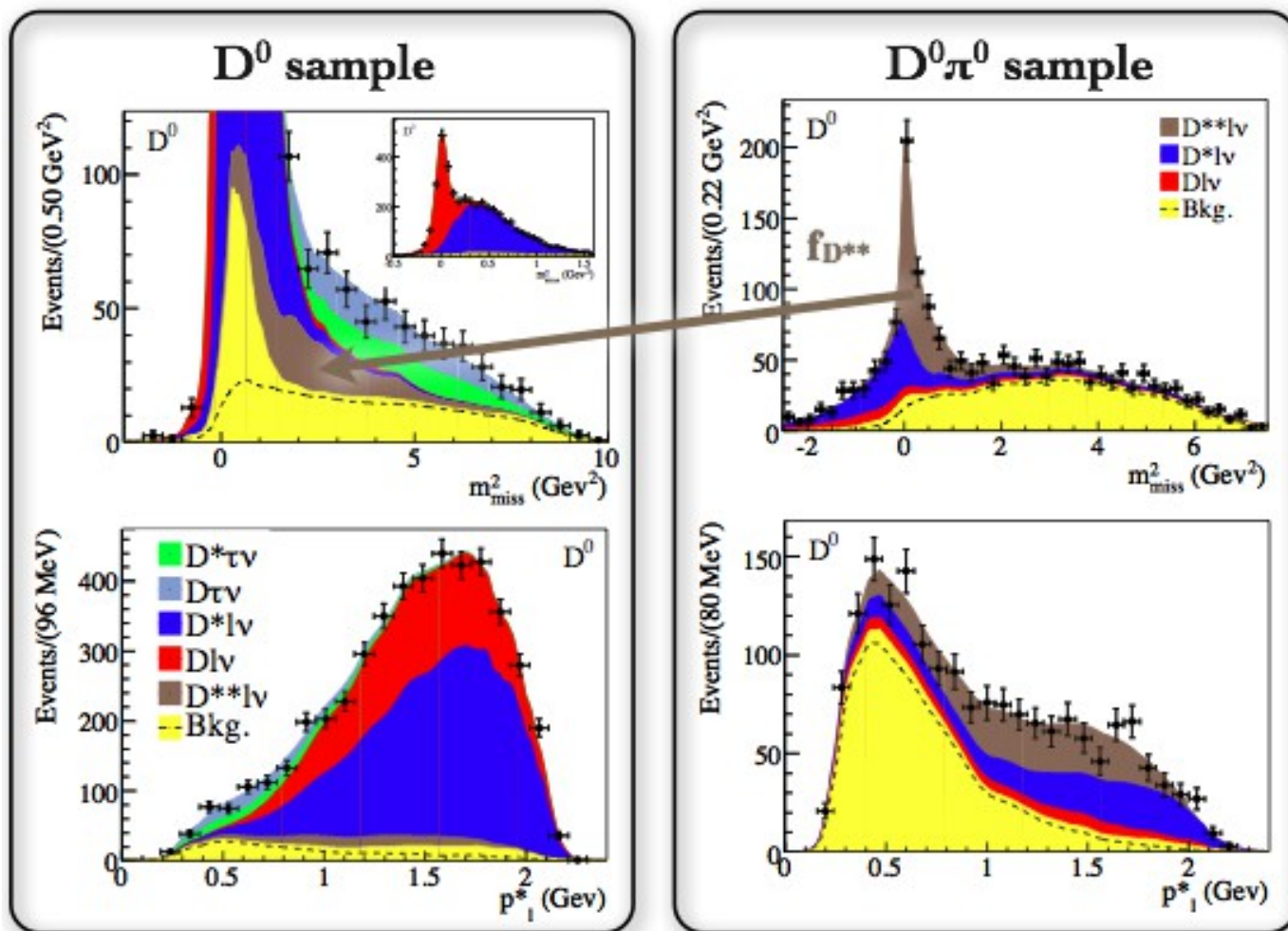
4 $D^*\tau\nu$

4 $D^*\ell\nu$

4 $D^{**}\ell\nu$

Fixed Yields (yellow):

Charge cross-feed
combinatorial BB
continuum



MC Simulation



Continuum and BB bkg

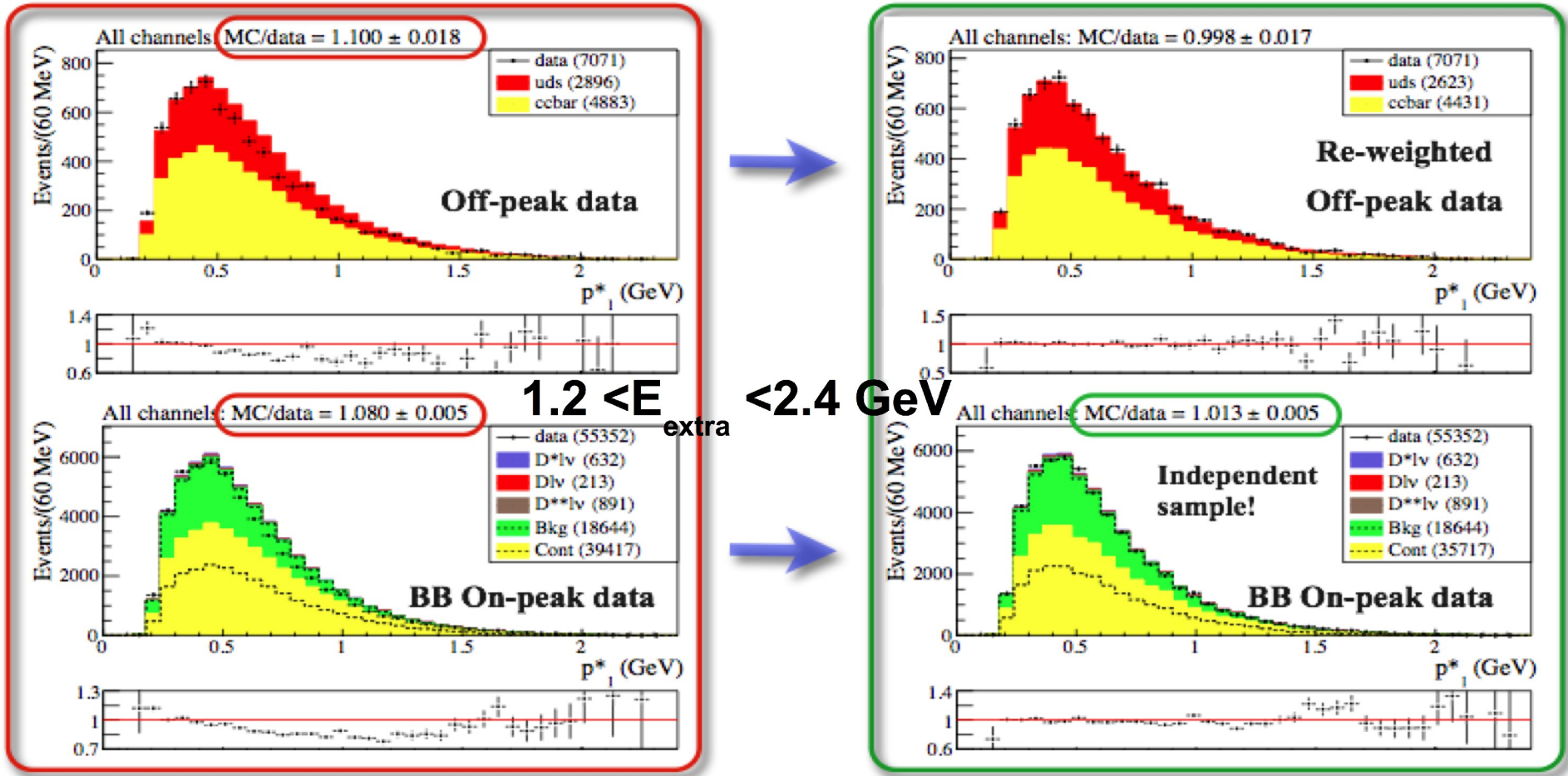


Poor Data/MC agreement for p_1^* shape and normalization for

continuum events

Use off-peak data to re-weight continuum events

Build Data Control Regions (see next slide) to estimate BB background

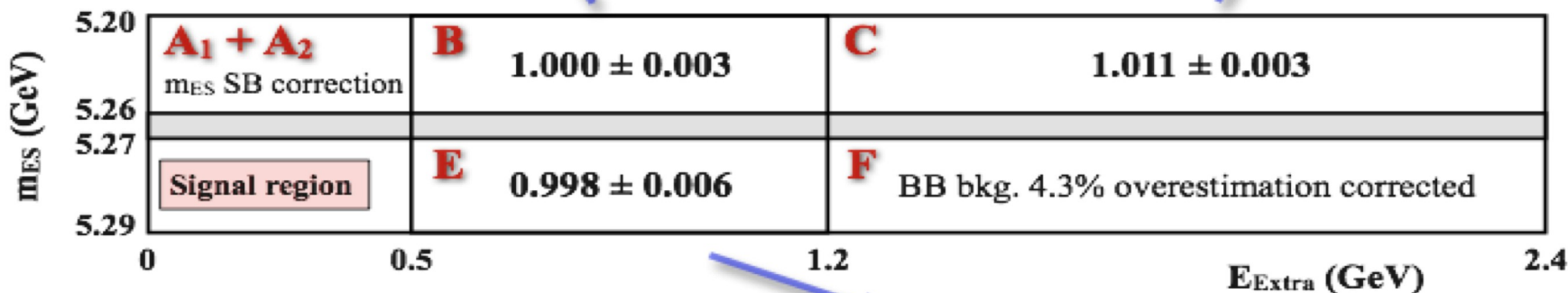
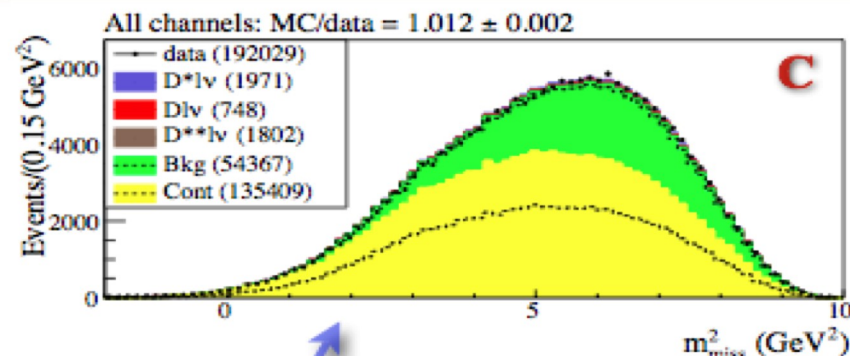
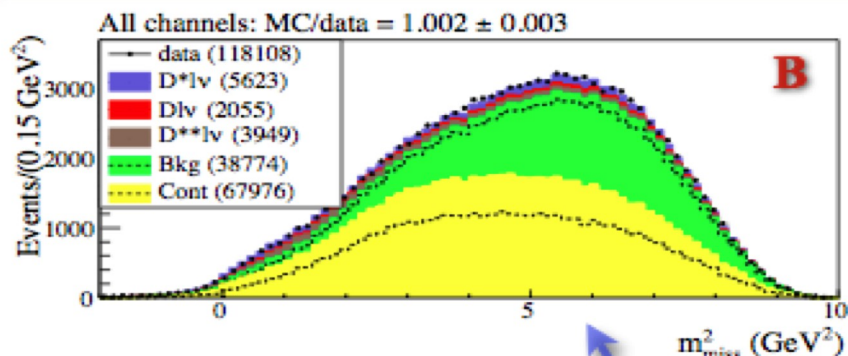




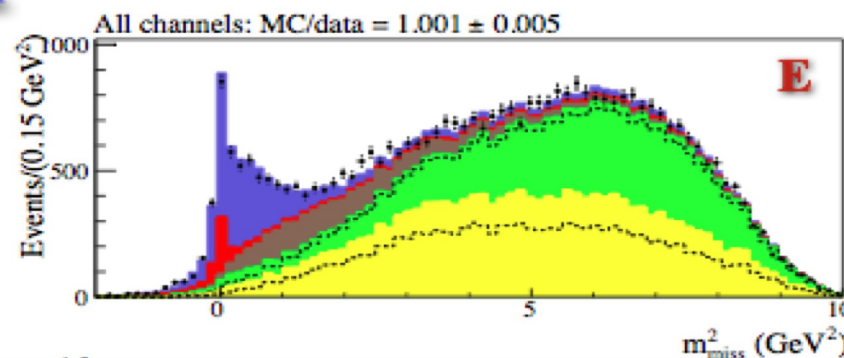
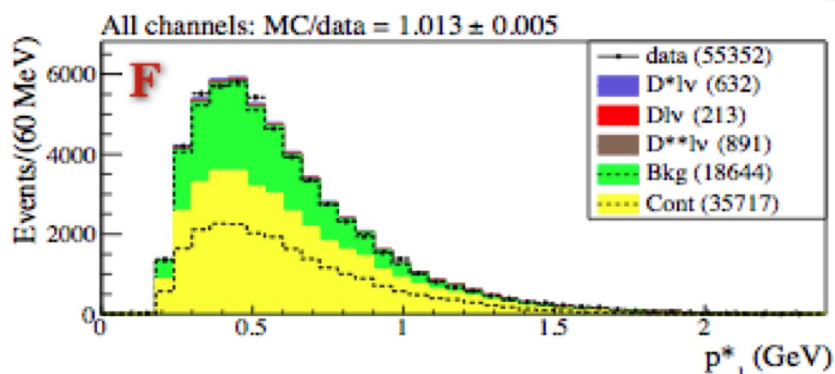
BB bkg Control Region



Build data control regions in mES sideband and large Eextra region
→ Background very well described for large Eextra



MC/data for $m^2_{miss} > 1.5 \text{ GeV}^2$





$B \rightarrow D^* \tau \nu$ Fit Results



- Good fit agreement
- Uncertainties statistical

Free in the fit

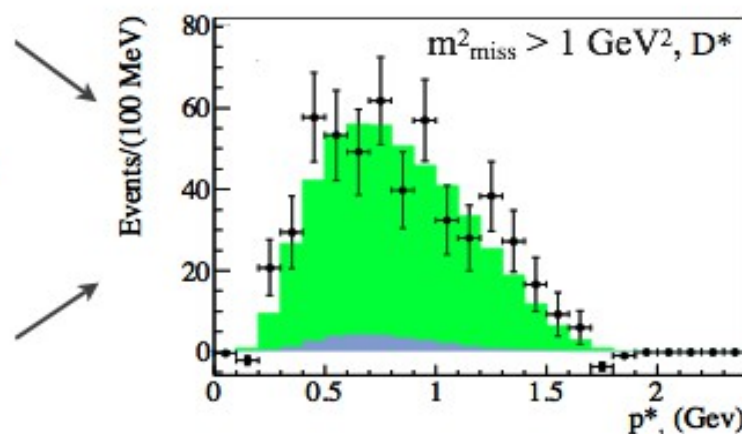
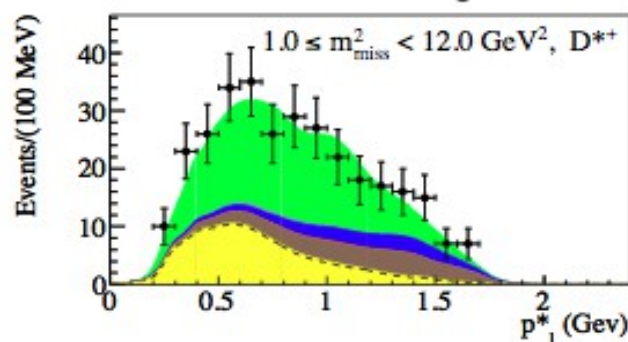
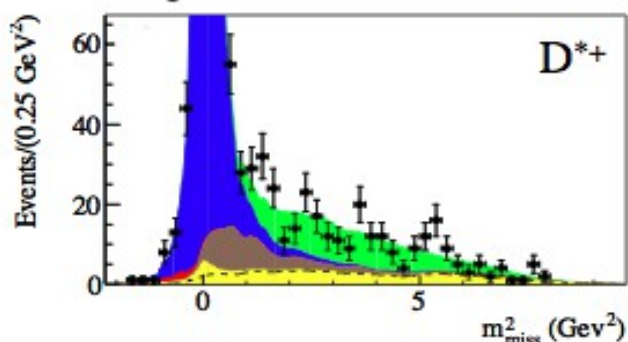
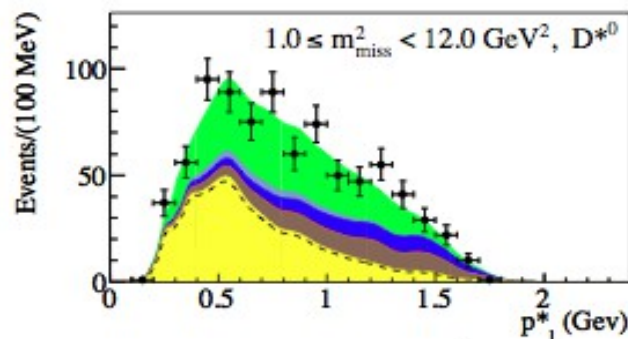
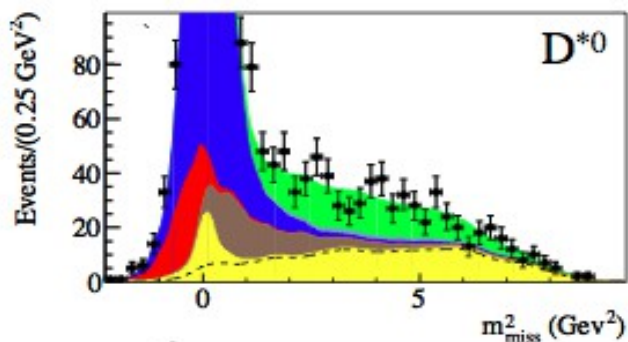
- $D^* \tau \nu$
- $D \tau \nu$
- $D^* l \nu$
- $D l \nu$

Fixed

- $D^{**} l \nu$
- Bkg.

	$D^{*0} \tau \nu$	$D^{*+} \tau \nu$	$D^* \tau \nu$
N_{sig}	639 ± 62	245 ± 27	888 ± 63
Signif.	11.3σ	11.6σ	16.4σ
$R(D^*)$	0.322 ± 0.032	0.355 ± 0.039	0.332 ± 0.024

Isospin constrained



D^{*0} and D^{*+} channels combined. Background subtracted



$B \rightarrow D\tau\nu$ Fit Results

- First 5σ observation
- Uncertainties statistical

Free in the fit

- $D^*\tau\nu$
- $D\tau\nu$
- $D^*l\nu$
- $Dl\nu$

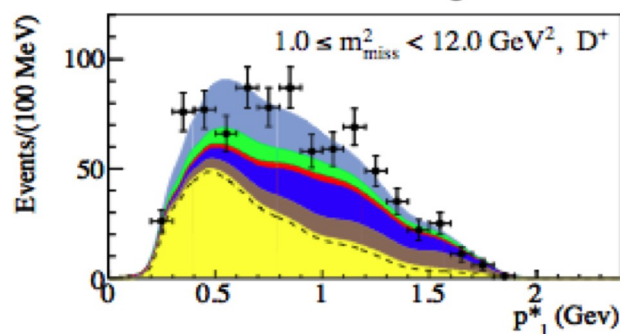
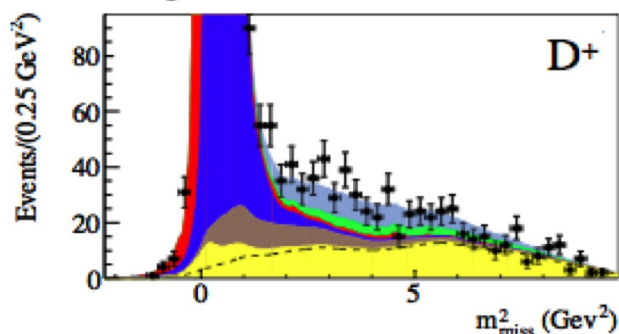
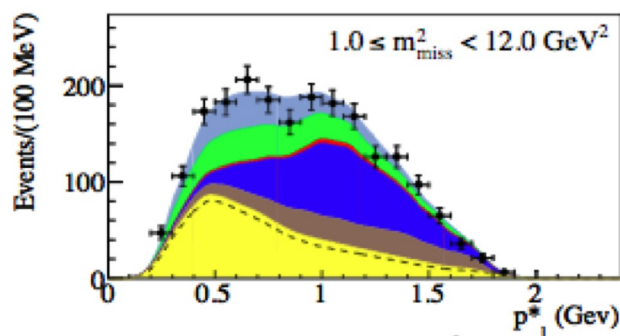
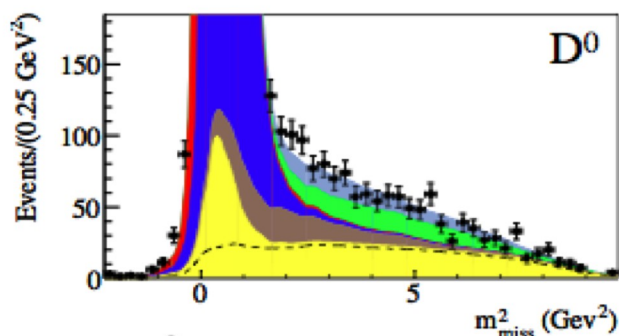
Fixed

- $D^{**}l\nu$
- Bkg.

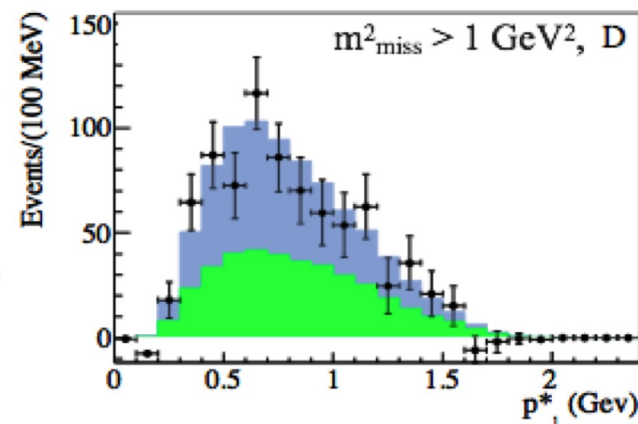
	$D^0\tau\nu$	$D^+\tau\nu$	$D\tau\nu$
N_{sig}	314 ± 60	177 ± 31	489 ± 63
Signif.	5.5σ	6.1σ	8.4σ
$R(D)$	0.429 ± 0.082	0.469 ± 0.084	0.440 ± 0.058

First observation!

Isospin constrained



-45% correlation D-D*



D^0 and D^+ channels combined. Background subtracted



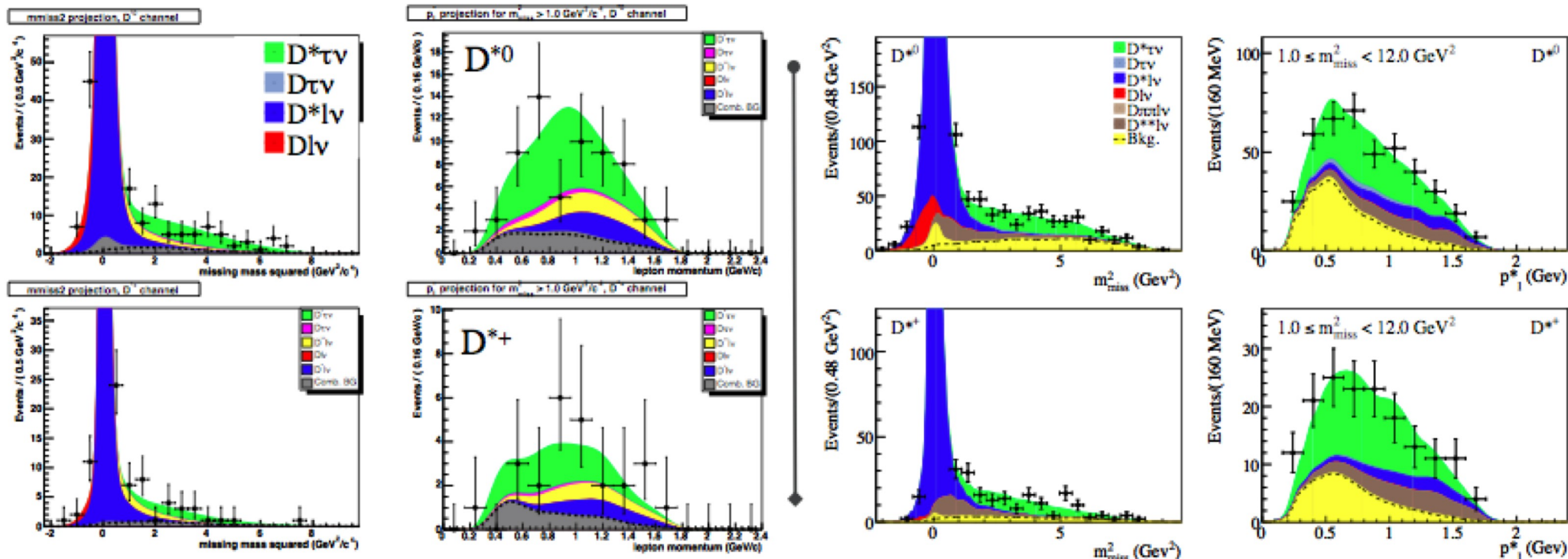
Comparison with 2008 analysis

Efficiency 3x w.r.t. 2008 analysis
 Good agreement on the overlapping dataset

	2008	
	$D^{*0}\tau\nu$	$D^{*+}\tau\nu$
N_{sig}	92 ± 20	16 ± 7
Signif.	5.8σ	2.7σ
$R(D^*)$	0.35 ± 0.07	0.21 ± 0.17

2008

2012





E_{Extra} After the Fit



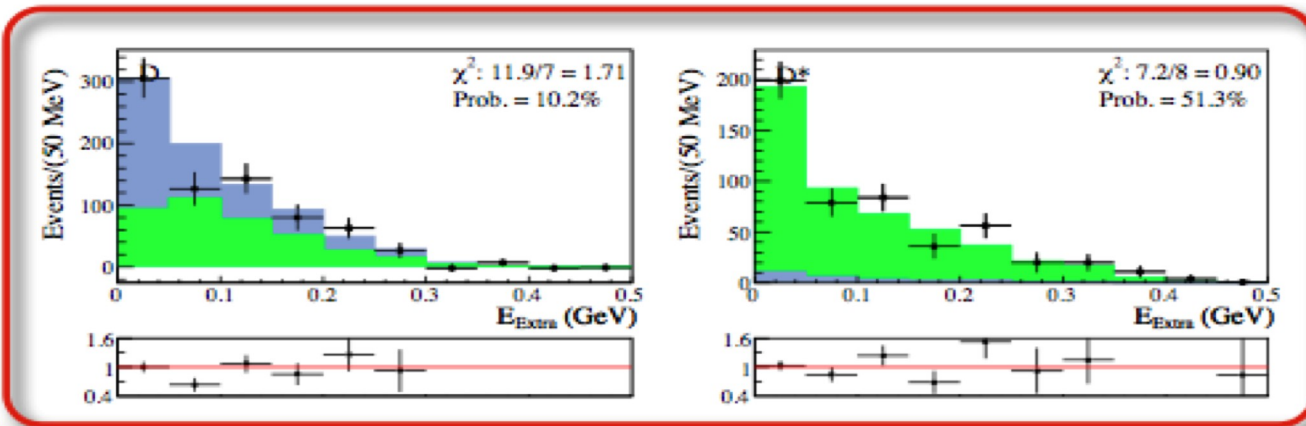
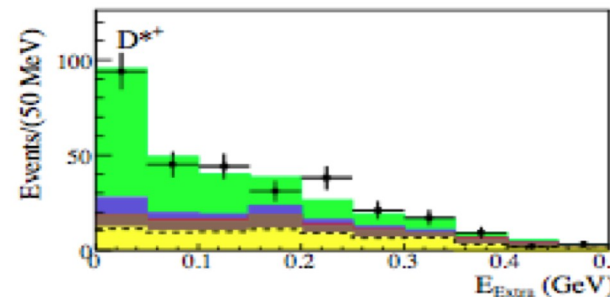
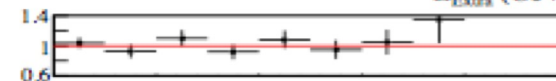
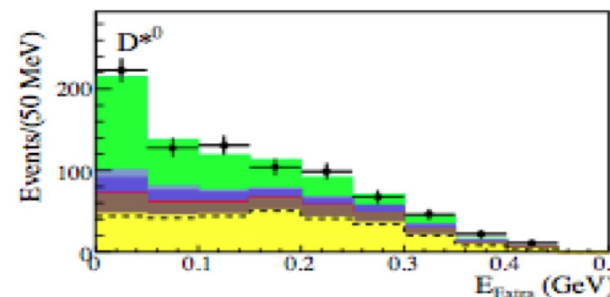
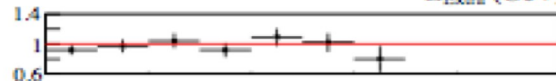
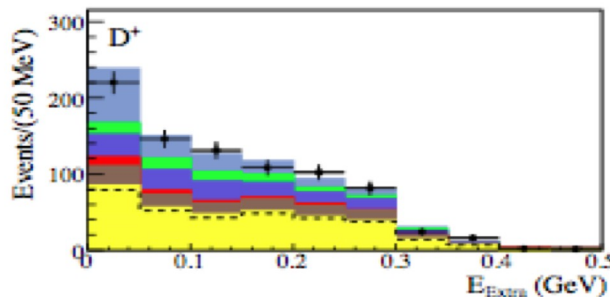
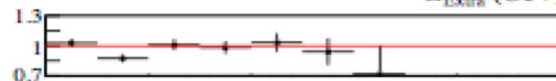
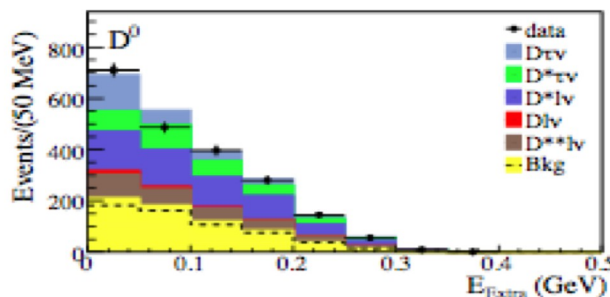
Unused ECAL energy most important variable in the BDT

$$E_{Extra} = \sum_{\text{unused } \gamma} E_{\gamma}$$

Signal peaks in E_{Extra}

Plots show E_{Extra} in $m^2_{miss} > 1.5 \text{ GeV}$ rescaling different contributions to fit results

D and D* channels combined and bkg subtracted





Systematic Uncertainties



Source	Uncertainty (%)		ρ
	$R(D)$	$R(D^*)$	
$D^{**}l\nu$ background	5.8	3.7	0.62
MC statistics	5.0	2.5	-0.48
Cont. and $B\bar{B}$ bkg.	4.9	2.7	-0.30
$\epsilon_{\text{sig}}/\epsilon_{\text{norm}}$	2.6	1.6	0.22
Systematic uncertainty	9.5	5.3	0.05
Statistical uncertainty	13.1	7.1	-0.45
Total uncertainty	16.2	9.0	-0.27

Correlation between
 $R(D)$ and $R(D^*)$

Largest systematic from backgrounds

Small uncertainty on efficiency ratio $\epsilon_{\text{sig}}/\epsilon_{\text{norm}}$

Statistical uncertainty still dominates → next generation B factories!



$B \rightarrow D^{(*)} \tau \nu$: Results

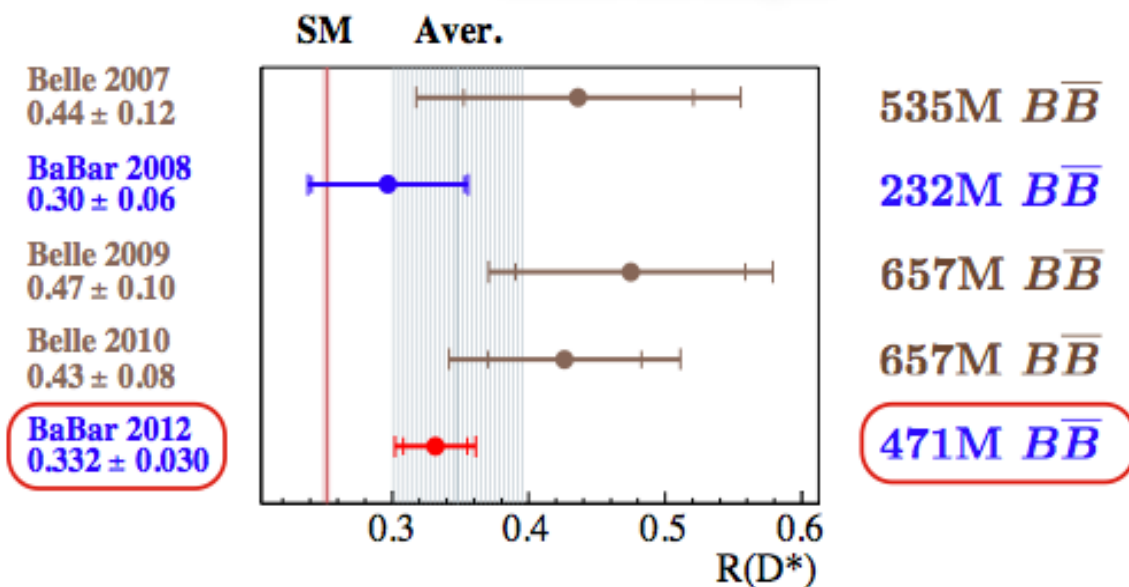
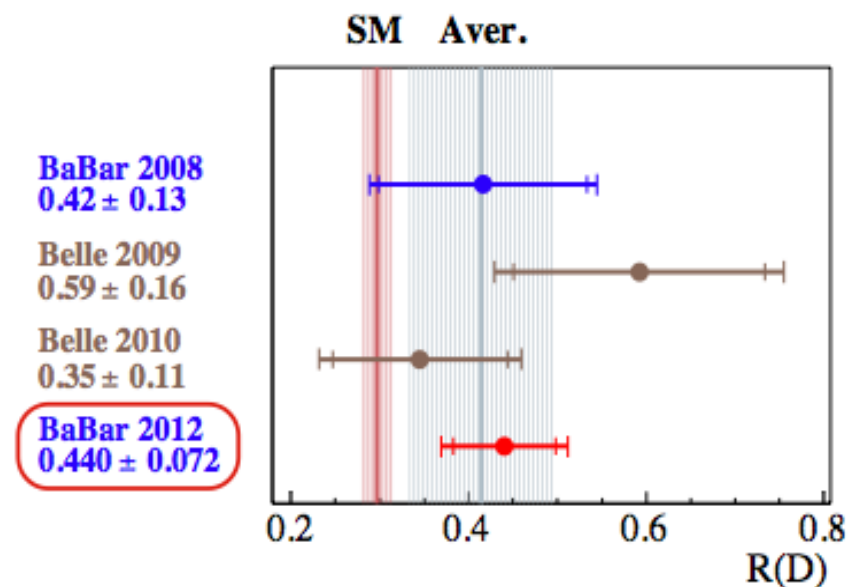


Decay	N_{sig}	N_{norm}	$R(D^{(*)})$	$\mathcal{B}(B \rightarrow D^{(*)} \tau \nu)$ (%)	$\Sigma_{\text{tot}}(\sigma)$
$D\tau^- \bar{\nu}_\tau$	489 ± 63	2981 ± 65	$0.440 \pm 0.058 \pm 0.042$	$1.02 \pm 0.13 \pm 0.11$	6.8
$D^* \tau^- \bar{\nu}_\tau$	888 ± 63	11953 ± 122	$0.332 \pm 0.024 \pm 0.018$	$1.76 \pm 0.13 \pm 0.12$	13.2

- First 5σ observation of $B \rightarrow D\tau\nu$
- Agreement with previous measurements

SM prediction from
Phys. Rev. D 85, 094025 (2012)

Average does not
include this analysis

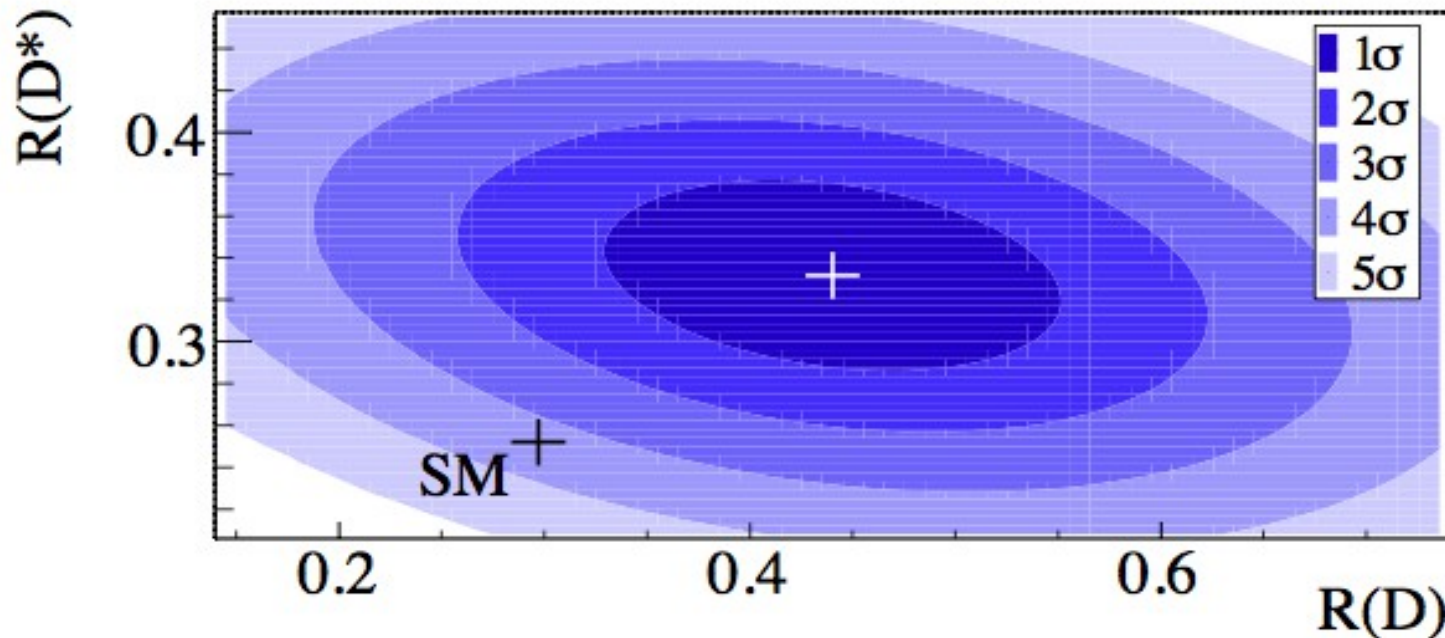




SM (in)consistency



$$R(D) = \left\{ \begin{array}{ll} 0.440 \pm 0.072 & \text{BABAR} \\ 0.297 \pm 0.017 & \text{SM} \end{array} \right\} \left. \begin{array}{l} 2.0\sigma \\ \\ \end{array} \right\} 3.4\sigma$$
$$R(D^*) = \left\{ \begin{array}{ll} 0.332 \pm 0.030 & \text{BABAR} \\ 0.252 \pm 0.003 & \text{SM} \end{array} \right\} \left. \begin{array}{l} 2.7\sigma \\ \\ \end{array} \right\}$$



**$R(D)$ and $R(D^*)$
not independent**

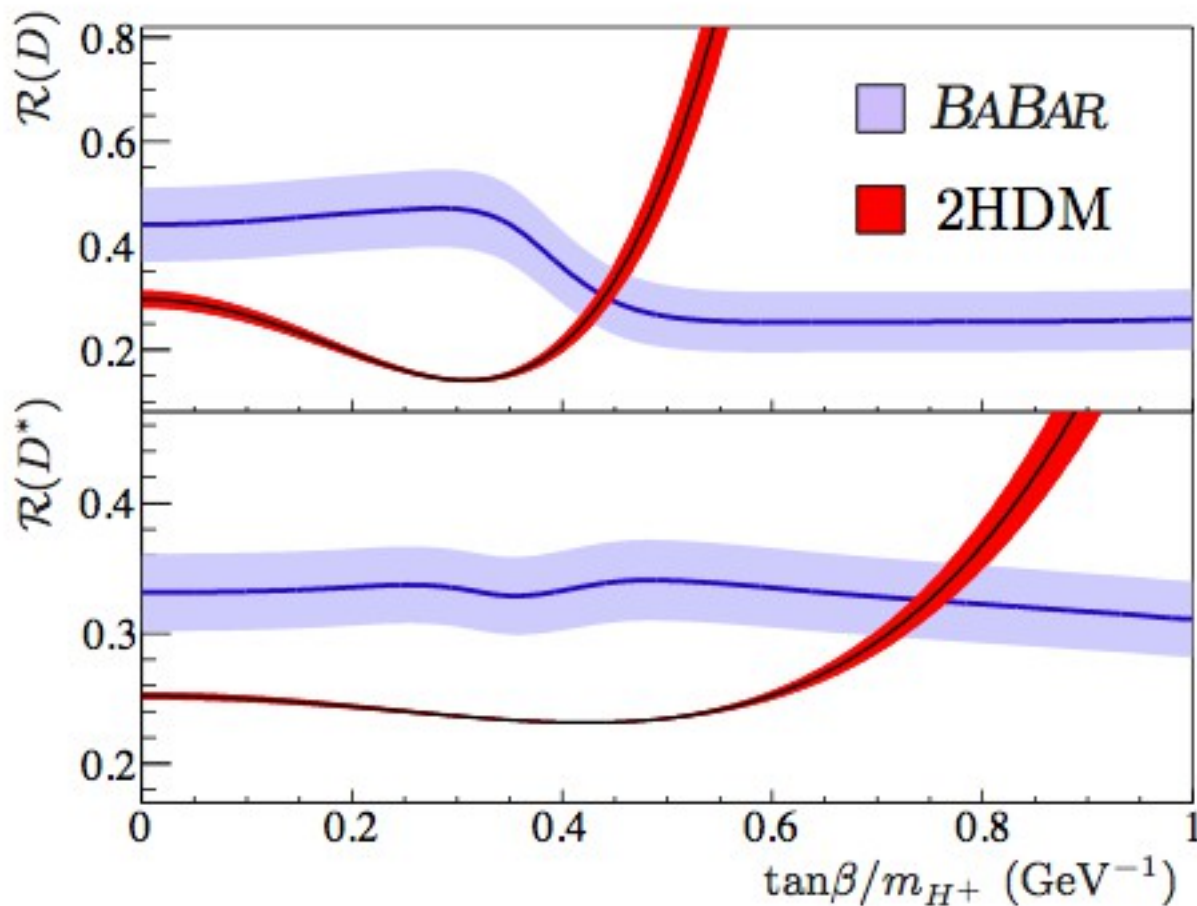
-27% correlation



2HDM Scan



Measure $R(D^*)$ in full 2HDM parameter space



$$\tan\beta/m_{H^+} = 0.44 \pm 0.02 \text{ GeV}^{-1}$$

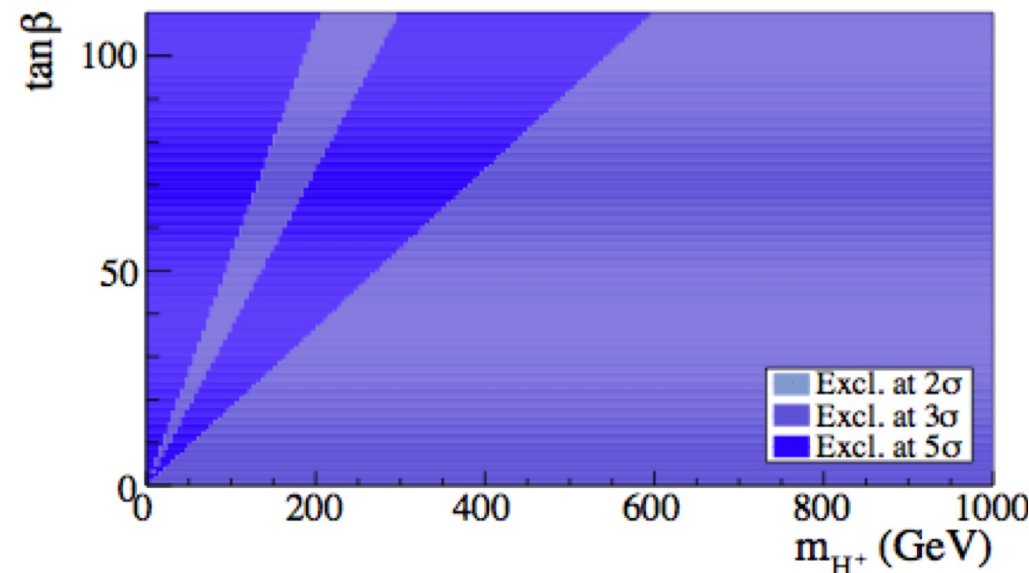
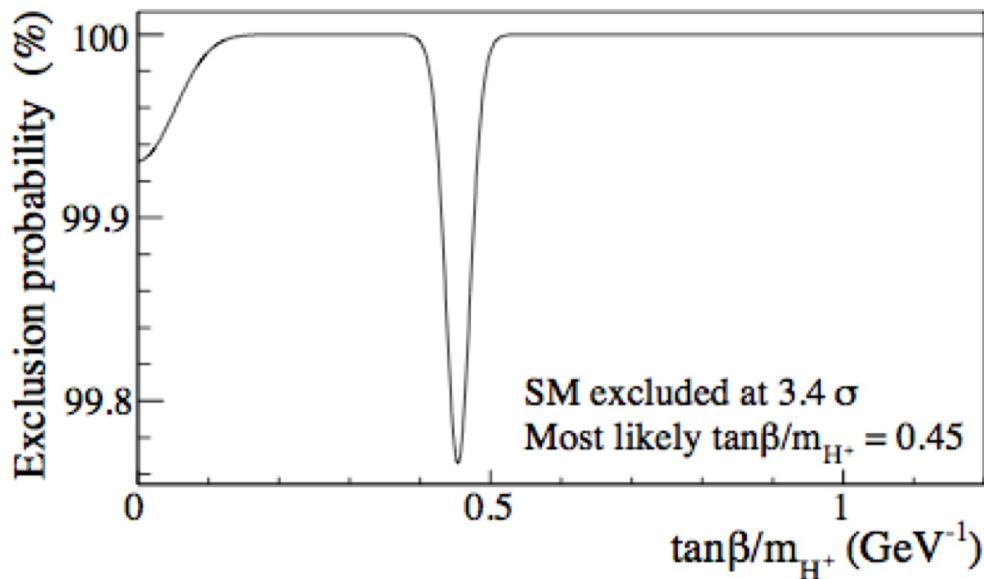
$$\tan\beta/m_{H^+} = 0.75 \pm 0.04 \text{ GeV}^{-1}$$



2HDM M_H - $\tan \beta$ Exclusion Regions

Compatibility of $\Delta(D^{(*)}) = R(D^{(*)})_{\text{exp}} - R(D^{(*)})_{2\text{HDM}}$ given by a χ^2 for each 2HDM point

$$\chi^2(\tan\beta/m_{H^+}) = (\Delta(D), \Delta(D^*)) \begin{pmatrix} \sigma_{\text{exp}}^2 + \sigma_{\text{th}}^2 & \rho \sigma_{\text{exp}} \sigma_{\text{exp}}^* \\ \rho \sigma_{\text{exp}} \sigma_{\text{exp}}^* & \sigma_{\text{exp}}^{*2} + \sigma_{\text{th}}^{*2} \end{pmatrix}^{-1} \begin{pmatrix} \Delta(D) \\ \Delta(D^*) \end{pmatrix}$$



Type II 2HMD excluded at 99.8%, or equivalently, 3.1σ

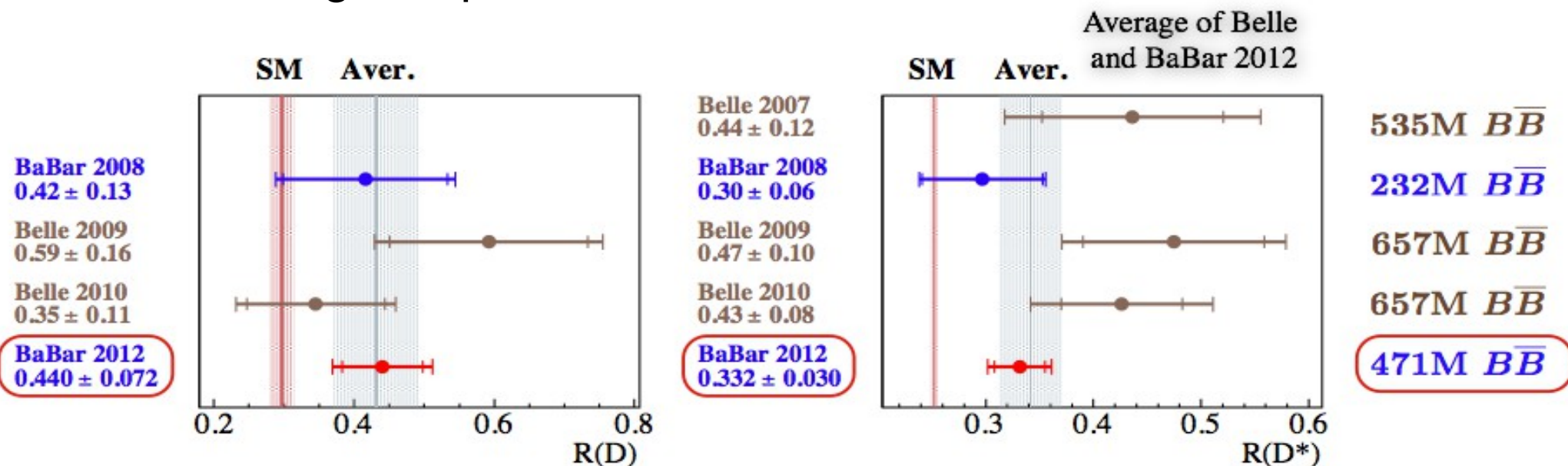


Conclusions



Measurement of $B \rightarrow D^{(*)} \tau \nu$ on full BaBar dataset

→ Much larger improvement than \sqrt{L}



Scorecard:

- First Observation of $B \rightarrow D\tau\nu$ with 6.8σ
- 3.4σ excess over SM prediction (3.2σ w.r.t. PRL 109, 071802 (2012))
- Not compatible with Type II 2HDM model

Backup Slides