

# Hot and Dense QCD Matter

Unraveling the Mysteries of the Strongly Interacting Quark-Gluon-Plasma

A Community White Paper on the Future of Relativistic Heavy-Ion Physics in the US

# <sup>2</sup> Executive Summary

 $_{\scriptscriptstyle 3}$  This document presents the response of the US relativistic heavy-ion community to the NSAC Sub-

committee, chaired by Robert Tribble, that is tasked to recommend optimizations to the US Nuclear
 Science Program over the next five years.

The study of the properties of hot and dense QCD matter is one of the four main areas of nuclear 6 physics research described in the 2007 NSAC Long Range Plan. The US nuclear physics community 7 plays a leading role in this research area and has been instrumental in its most important discovery made 8 over the past decade, namely that hot and dense QCD matter acts as a strongly interacting system with 9 unique and previously unexpected properties. The US relativistic heavy ion program has now entered a 10 crucial phase, where many of the fundamental properties of the strongly interacting QCD plasma are 11 approaching precision measurements ( $\sim 10\%$ ), sufficient to determine whether the conjectured lower 12 bound of viscosity to entropy is achieved and to identify the primary energy loss mechanisms for hard 13 partons traversing the plasma. And yet there are still important discoveries to be made in the search for 14 a tri-critical point in the phase diagram and in seeking to understand the mysterious behavior of heavy 15 quarks in the plasma. This document lays out the quantifiable deliverables and open questions the US 16 relativistic heavy-ion program is going to address over the next several years, with the goal of gaining a 17 comprehensive understanding of the dynamics and properties of this strongly interacting QCD matter, 18 the long sought after Quark Gluon Plasma. 19 The execution of this scientific program will require a number of detector and accelerator upgrades as 20

<sup>21</sup> well as a significant amount of data taking, that have all been outlined in *The Case for Continued RHIC* 

22 Operations by Steve Vigdor. This document should be regarded as complementary to The Case for

<sup>23</sup> Continued RHIC Operations and focuses on the science goals of the US Heavy-Ion community.

The US relativistic heavy-ion program, including the research program outlined in this document, fully 24 utilizes the complimentarity of the Relativistic Heavy-Ion Collider (RHIC) and Large Hadron Collider 25 (LHC) accelerator facilities: the LHC provides access to high energy probes such as jets and high 26 momentum leptons in the baryon free regime beyond the reach of RHIC. However, RHIC provides 27 unique access to high energy probes in kinematic regions at lower energy, given sufficient luminosity, and 28 with leveraging longer heavy-ion operation times in its favor. Jets of similar energy and characteristics 29 produced at RHIC and LHC are sensitive to different aspects of the system evolution. Most importantly, 30 however, RHIC can explore a much wider region of the QCD phase diagram (critical point, phase 31 structure, baryon density) than is possible at the LHC. 32

<sup>33</sup> The next 5–10 years of the US relativistic heavy-ion program will deliver:

- a beam-energy scan program to establish the properties and location of the QCD critical point.
- the quantitive determination of the transport coefficients of the Quark Gluon Plasma, such as the temperature dependent shear-viscosity to entropy-density ratio  $\eta/s(T)$ , and the energy loss transport coefficients  $\hat{q}$  and  $\hat{e}$ .
- a jet physics program to study parton energy loss and the quasi-particle nature of the QGP.
- a heavy-flavor physics program to probe the nature of the surprisingly strong interactions of heavy quarks with the surrounding medium

• a systematic forward physics program to study the nature of gluon saturation and establish the foundation for the future Electron Ion Collider research program and facility.

This research program will ensure the continuing leadership of the US in relativistic heavy-ion physics and will optimally leverage the significant scientific investment the US government has made over the past two decades in this field of research.

# 46 **1** Introduction

The study of the properties of hot and dense QCD matter, in particular its deconfined Quark Gluon Plasma (QGP) state, is one of the four main areas of nuclear physics research described in the 2007 NSAC Long Range Plan. The most important discovery made in this area over the past decade is that the QGP acts as a strongly interacting system with unique and previously unexpected properties.

<sup>51</sup> We know of four systems in nature which permit a study of the bulk properties of strongly interacting <sup>52</sup> matter: the interior of the atomic nucleus as well as the nucleon, the interior of a neutron star, and the <sup>53</sup> Quark Gluon Plasma created in heavy-ion collisions. The US nuclear physics community plays a leading <sup>54</sup> role in this research area through experiments at Thomas Jefferson National Laboratory (studying the <sup>55</sup> interior of the nucleus as well as the nucleon) and Brookhaven National Laboratory (discovery and <sup>56</sup> study of the Quark Gluon Plasma as well as the RHIC-Spin program<sup>1</sup> for studying the structure of the

<sup>57</sup> nucleon). In recent years, this leading role has extended to the heavy-ion program at the Large Hadron

<sup>58</sup> Collider. The study of the strong interaction in bulk is at the cutting edge of human understanding <sup>59</sup> and is also a natural extension of the interests, talents, and traditions of classical nuclear physics and

<sup>60</sup> physicists. The US leadership role in this arena serves to advance related fields including particle physics,

<sup>61</sup> condensed matter physics, and ultra-cold atomic physics.

This document will describe the quantifiable deliverables and open questions the US relativistic heavy-ion 62 program will address within the next 5-10 years. All of these are geared towards gaining a comprehensive 63 understanding of the dynamics and properties of strongly interacting QCD matter, in particular the 64 long sought after Quark Gluon Plasma, which was created well above the transition temperature for 65 the first time at RHIC in 2000. RHIC is the only machine that can systematically probe the plasma in 66 the vicinity of the transition by varying both temperature and baryon density. Without the continued 67 operation of RHIC, the characterization of the fundamental properties of the Quark Gluon Plasma will 68 be incomplete, and the full promise of the heavy-ion program will remain unfulfilled. The execution of 69 this scientific program will require a number of detector and accelerator upgrades as well as a significant 70 amount of data taking, that have all been outlined in The Case for Continued RHIC Operations by 71 Steve Vigdor [1]. The timelines for the scientific program described here have been set up to take 72 the projected detector and accelerator upgrades and data-taking schedules outlined in that document 73 into account. Improvement of the RHIC facilities and detectors are already in full swing, others such 74 as the sPHENIX upgrade proposal, have just undergone a successful internal review at BNL prior to 75 submission to DOE, and the new EBIS source and luminosity upgrade to the accelerator have delivered 76 huge improvements to the quality and versatility of the beam. 77

<sup>78</sup> The next several years of the US relativistic heavy-ion program will deliver:

a beam-energy scan program with unparalleled discovery potential to establish the properties
 and location of the QCD critical point and to chart out the transition region from hadronic to
 deconfined matter.

- the quantitive determination of the transport coefficients of the Quark Gluon Plasma, such as the temperature dependence of the shear-viscosity to entropy-density ratio  $\eta/s$  (including an assessment of whether the conjectured lower bound has been reached to within a precision of 10%), and that of the energy loss transport coefficients  $\hat{q}$  and  $\hat{e}$ .
- a jet physics program to study the nature of parton energy loss and the quasi-particle nature of the QGP.

 $<sup>^{1}\</sup>mbox{this}$  document does not address the RHIC-Spin program, which is topic of a separate White Paper

a heavy-flavor physics program to probe the nature of the surprisingly strong interactions of
 heavy quarks with the surrounding medium (i.e. the "heavy-flavor puzzle"), as well as quarkonia
 measurements that will provide standard candles for the temperatures obtained in the early stages
 of a heavy-ion reaction.

• a systematic forward physics program to study the nature of gluon saturation. This program will build the foundation for the future Electron Ion Collider research program and facility.

RHIC and LHC facilities are complementary when it comes to successfully executing the outlined research 94 program: the LHC provides access to high energy probes (quarkonia, high energy jets,  $W/Z/\gamma$ ) beyond 95 the reach of RHIC and the continuing participation of the US in the LHC heavy-ion program is crucial 96 for the success of the program outlined here. RHIC has complementary access to high energy probes 97 in kinematic regions at lower energy, given sufficient luminosity, and can leverage longer heavy-ion 98 operation time with beams in its favor. Jets of similar energy and characteristics produced at RHIC and 99 LHC will be sensitive to different aspects of the system evolution. Most importantly, however, RHIC 100 can explore a much wider region of the QCD phase diagram (critical point, phase structure, baryon 101 density) than is possible at the LHC. Without the benefit of RHIC, many of the goals of the field, such 102 as the discovery of the critical point, the temperature dependence and minimum value for  $\eta/s$ , and the 103 solution to the heavy-flavor puzzle will be difficult, if not impossible to achieve. 104

The document is organized in three main sections, detailing the success of the US relativistic heavy-ion 105 program, the current Standard Model of relativistic heavy ion collisions that has emerged from these 106 discoveries, and finally laying out a program for the next several years to quantify the properties of the 107 Quark Gluon Plasma and gain insight into the physics driving the discoveries made previously. Clearly, 108 the primary physics goal for the next decade of the US program is to characterize the properties of the 109 Quark Gluon Plasma via the quantitative extraction of important medium parameters from precision 110 measurements of sensitive observables. In addition, the discoveries over the past decade have led to 111 compelling new questions, and without answers to these questions, our understanding of the strongly 112 coupled QGP will be left incomplete: 113

- What is the nature of QCD matter at low temperature but high gluon density, and how does it affect plasma formation?
- How does the plasma thermalize so rapidly?
- The QCD plasma is strongly coupled, but at what scales? Does it contain quasiparticles, or does the strong coupling completely wipe out long-lived collective excitations?
- What impact does the coupling have on color screening? Is there a characteristic screening length, and if so, what is it?
- What is the mechanism for parton-plasma interactions, and how does the plasma respond to energy deposited in it?

The research program outlined in this document will ensure that the above questions about the nature of hot and dense QCD will be addressed quantitatively over the next 5–10 years, yielding another important milestone in the US relativistic heavy-ion program. Due to the abbreviated and general nature of this document, technical details have been mostly omitted – we refer the reader to the extensive list of references provided in order to follow-up on the pertinent details of the described measurements and theoretical calculations.

# <sup>129</sup> 2 Major Discoveries and Scientific Advances

The physics program at the Relativistic Heavy Ion Collider began in the summer of 2000 and has yielded 130 a series of fascinating discoveries [2, 3, 4, 5] that have intrigued nuclear physicists and captured the 131 imagination of the public. Starting in 2010, the range of collision energies has been extended even 132 higher energies in Pb+Pb collisions at the CERN Large Hadron Collider [6, 7, 8, 9, 9, 10, 11, 12]. The 133 measurements by the four original experimental collaborations at RHIC have established, and the recent 134 data from the LHC have confirmed, a novel quantitative framework for the theoretical description of 135 QCD matter at energy densities in excess of 1 GeV/fm<sup>3</sup> (more than six times normal nuclear energy 136 density) as a strongly coupled plasma of quarks and gluons, which behaves as a nearly inviscid liquid 137 and is highly opaque to energetic colored probes [13, 14]. 138

This research has had broad impact across multiple physics disciplines and can be rightly identified as 139 the source of several new sub-fields of physics research, such as relativistic viscous fluid dynamics or the 140 application of gauge-gravity duality to strongly coupled Quantum Field Theories. The RHIC physics 141 program has successfully measured or bracketed parameters that characterize the initial state of the 142 reaction (such as the initial energy-density  $\epsilon_{init}$ , its initial temperature  $T_{init}$ , etc.) and also properties of 143 fundamental physical importance to QCD (specific shear-viscosity  $\eta/s$ , momentum broadening transport 144 coefficient  $\hat{q}$ , etc.). The measurements of these fundamental properties of the plasma are in various 145 stages, but as will be described in Sec. 4, all require additional data from RHIC and LHC combined with 146 advances in theory to achieve significant advances in our understanding of the Quark Gluon Plasma. 147

In this section we review the discoveries made, the theoretical and phenomenological advances motivated
 by these discoveries, and the plasma properties quantified during the first 12 years of the RHIC program
 and the first few years of the LHC program.

# 151 2.1 Discoveries

### • High-Momentum Hadron Suppression

A long-anticipated signature of a color-opaque medium, suppression by a factor-of-five for highmomentum hadron production in Au+Au collisions compared to a proton-proton collision base-line ("jet quenching") [15, 16], was observed following the first RHIC run [17, 18]. It was later uniquely identified as a final-state effect via control measurements including prompt photon production [19] and the absence of suppression in *d*+Au collisions [20, 21].

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### Away-Side Jet Modification (Tomography)

The azimuthally back-to-back character of di-jet production allowed experiments to tag the production of an "away-side-jet" by the coincident observation of the "near-side-jet" [21, 22, 23, 24, 6]. Such studies allowed the jet's trajectory through the medium to be controlled, thereby furthering jets as a tomographic probe of the medium [25, 26, 27, 28].

• Elliptic Flow at the Hydrodynamic Limit

Ideal hydrodynamics had long been proposed as a tentative, but rarely quantitatively accurate
 description of nuclear collisions. Measurements of elliptic (second Fourier moment) flow at
 RHIC [29, 30, 31, 32, 7], matched the maximally achievable collective flow predicted by ideal
 hydrodynamics (i.e. the hydrodynamic limit) [33] and provided the first indication that the medium
 (later dubbed the strongly interacting QGP acknowledging its strongly-interacting character)
 behaved as a fluid with a shear viscosity to entropy density ratio at or near the quantum lower
 bound.

# • Valence Quark Scaling of Elliptic Flow

The varied elliptic flow patterns of identified hadrons were discovered to have a universal underlying scaling character driven by the valence quark count of the final state hadron [34, 35, 36]. This scaling identified the collective sQGP behavior as being established during the partonic phase of the system evolution and serves as a direct signature for deconfinement [37, 38, 39].

# • Density-Fluctuation-Driven High Order Flow Moments

Odd Fourier flow moments must vanish on average for central-rapidity particle production in a symmetric colliding system. In contrast, they were discovered to persist to the final state via two-particle correlation measurements [40, 7]. Driven by the non-uniformity of the initial-state, these unanticipated observations of minute variations imposed onto the final-state momentum distribution of produced particles provide not only tight constraints on the transport properties of the medium, but also information about the quantum fluctuations of the initial state at the nucleon and sub-nucleon scale [41].

# • Suppression & Flow of Heavy Quarks

Kinematic modifications to heavy quark (charm & bottom) projectiles were anticipated to be limited both by their mass and the "dead-cone effect" [42]. Startling results demonstrated heavy quark spectral modification at a level comparable to light quarks [43, 44, 45, 46], indicating near perfect color-opacity of the medium.

# • Sequential Melting of Heavy Quarkonia

Heavy quarkonia  $(c\bar{c}, b\bar{b})$ , exhibiting well understood energy(mass) levels and physical size comparable to the sQGP Debye screening length, were observed to sequentially dissociate ordered by their physical size [9, 47].

# • Charge Correlations Suggesting Chiral-Magnetic Effect

Instanton (tunneling) and sphaleron (hopping) transitions between QCD vacuum states of differing
 Chern-Simons winding number generate local imbalances of chirality. The "Chiral-Magnetic Effect"
 reveals this underlying topology as a finite electric dipole moment induced in any color-deconfined
 state exposed to a strong external magnetic field. Measurements of charge sign correlations
 [48, 49] are qualitatively consistent with expectations of the Chiral-Magnetic Effect [50, 51],
 disappearing with either the absence of deconfinement (low collision energy) or a magnetic field
 (central U+U collisions).

# • Suppression of particle production in the low-*x* coherent regime

Contrary to the naive extrapolation of the mid-rapidity Cronin enhancement in d+Au collisions, RHIC experiments conclusively established large suppression of particle production at forward rapidities [52, 53, 54]. Measurements of low Bjorken  $x_{Au}$  di-jet production in the d+Au system exhibit both a suppression by a factor of ten and back-to-back decorrelation [55]. Such modifications are anticipated in a very high gluon density "saturation regime", where the low-x nuclear structure consists of a Color-Glass Condensate (CGC) [56, 57, 58].

# • New Anti-Nuclei and Hyper-Nuclei created

The detection of the first ever observed anti-hypernucleus, a  $\bar{p}\bar{n}\bar{\Lambda}$  bound state, and eighteen of the heaviest anti-particles ever identified, antihelium-4, opened a new direction of exploration in the nuclear chart. Confirmation that antimatter is produced at a rate consistent with statistical coalescence expectations provides an important benchmark for possible future cosmic radiation observations [59].

# 214 2.2 Theoretical and Phenomenological Advances

Discoveries made at RHIC and more recently at LHC have necessitated broad advances in theory and phenomenology to aid in our understanding and interpretation of the data. In some cases entire new areas of theoretical research have been created to address the RHIC data.

• Statistical Hadronization

Yields of all hadronic states created from a decaying quark-gluon-plasma follow a statistical distribution. The Statistical Model of hadro-chemistry describes the hadronic species distribution via thermodynamic variables (T,  $\mu_B$ ,  $\mu_{isospin}$ ) remarkably well over all accessible beam energies [60, 61, 62, 63, 64].

• Parton Recombination

Hadronization can be understood as a statistical process of assembling constituent quarks into hadronic bound states of these quarks. The parton recombination model successfully explains the anomalously large baryon/meson ratios at intermediate transverse momentum and the observed quark number scaling law of elliptic flow [37, 38, 65, 39, 66].

# • Relativistic Viscous Hydrodynamics

RHIC data has driven the development of a mature and reliable theory for Event-by-Event Three Dimensional Viscous Relativistic Hydrodynamics [67, 68, 69, 70, 71, 72].

# • AdS/CFT Modeling of Strongly-Coupled Media

The duality between N=4 supersymmetric Yang-Mills theory (serving as a model for QCD) and 5D Anti de Sitter space superstring theory, allows for the calculation of various QCD-like transport coefficients and properties (including heavy quark energy loss and shock waves) in the strong-coupling limit that are otherwise computationally not accessible [73, 74, 75, 76, 77, 78]. It has made the study of strongly coupled gauge plasma dynamics increasingly important in the string theory community.

# • Transport of pQCD Probes Through Strongly Interacting Matter

Propagation of partons and hadrons of various masses and initial energies through the stronglyinteracting medium has stimulated the development of innovative many-body perturbative QCD approaches [79, 80, 81, 82, 83, 84, 85, 86, 87]. Theoretical "jet tomography" tools, driven by precise experimental data, have emerged to quantitatively measure the complex sQGP properties [16, 88, 89, 90, 91, 92, 93].

# Lattice QCD

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Lattice calculations in QCD Thermodynamics are closely coupled to the RHIC experimental 245 program, receiving guidance from and providing theoretical input to the experimental community. 246 Past accomplishments include the determination location and nature of the chiral transition, now 247 well established as a crossover, calculations of the Equation of State with sufficient precision to be 248 used in hydrodynamic calculations, and the determination of melting temperatures for charmonium 249 bound states within the plasma. Future lattice calculations combined with experiment will be 250 used to establish the existence and location of a QCD critical point, and to understand the origin 251 of fluctuations in the final state particle distributions [94, 95, 96, 97, 98, 99]. 252

• Small-x Physics and the Color Glass Condensate

Hadron multiplicities and initial attempts at alternative explanations of high momentum hadron suppression include the recognition that nature must exhibit a "Saturation Scale" which can influence RHIC initial state gluon density and must influence parton distribution functions at sufficiently low Bjorken-x [100, 56, 101, 57, 102, 103, 58, 104],.

# 258 2.3 Quantitative Estimates of QGP Properties:

A rigorous phenomenological analysis in conjunction with precision data and theoretical advances has lead to quantitative estimates for some of the most important quantities characterizing the formation and transport properties of the QGP:

• Initial State Characterization:

The initial energy density  $\epsilon_{\text{init}}$ , initial temperature  $T_{\text{init}}$ , and formation time of the Quark-Gluon-Plasma  $\tau_{\text{init}}$  have been determined to lie within the following ranges: 300 MeV  $\lesssim T_{\text{init}} \lesssim 600$  MeV, 0.2 fm/c  $\lesssim \tau_{\text{init}} \lesssim 1.2$  fm/c (the ranges are correlated – a higher initial temperature goes hand in hand with an earlier formation time:  $\epsilon_{\text{init}}^{1/4} \tau_{\text{init}} = const.$ ).

# • Shear-Viscosity / Entropy-Density ( $\eta/s$ )

Expressed in dimensionless units, the effective value for the shear viscosity to entropy density ratio in the QGP phase near  $T_C$  has been found to be  $\eta/s = (1-2)\frac{1}{4\pi}$  [70, 105] Figure 1 shows how the availability of precision data and advances in theory have resulted in increasingly better constraints on that quantity.

• Momentum & Energy Transport coefficients

The medium-induced part of the energy-loss transport coefficient  $\hat{q}$  at the very early stage in the evolution ( $\tau = 0.6$  fm) of Au+Au collisions has been determined to  $\hat{q} = 2-10$  GeV<sup>2</sup>/fm [16, 106]. Figure 2 shows the availability of precision data and advances in theory have resulted in increasingly better constraints on  $\hat{q}$  and projects the anticipated improvement due to further measurements by the end of this decade.

# **3** A "Standard Model" of Heavy Ion Collisions

Prior to the first collisions at RHIC in 2000, expectations for the properties of the system formed in high 279 energy nuclear collisions were varied. One widely-held view was that the extremely high temperatures 280 reached in a RHIC collision would lead to a weakly coupled system of partons (due to asymptotic 281 freedom) that would thermalize and then behave like an ideal gas and expand isotropically. The very first 282 experimental results from RHIC showed that this view was wrong: the particles emerging from heavy ion 283 collisions showed an unmistakable azimuthal anisotropy. This first result led to much more extensive and 284 precise measurements as well as to striking new theoretical developments. Within a handful of years this 285 process resulted in what could be called a "Standard Model": a view of the key elements of the physics 286 of high energy heavy ion collisions that is well supported by experimental evidence and widely accepted. 287 It provides a foundation for relating the many observables relevant to the physics. The example of the 288 anisotropy of particle emission provides a case study of the evolution of our understanding, involving 289 new concepts, quantitative measurement, and theoretical modeling that is underway in many areas of 290 heavy ion physics. 291

The measurement of "elliptic flow", an event-by-event azimuthal modulation in the emission of hadrons from the collision, characterized by the second Fourier coefficient  $v_2$ , was one of the earliest results from RHIC. It was found to be nearly 50% larger than that measured at the SPS ( $\sqrt{s_{NN}}$ =17.3 GeV), which provided the highest energy heavy ion collisions before RHIC. More importantly, the elliptic

flow, averaged over transverse momentum, was found to agree with ideal hydrodynamic calculations 296 initialized to account for the measured multiplicity and transverse momentum spectra [33, 107, 108]. 297 Ideal hydrodynamic calculations are only possible in a purely classical continuum limit where no 298 particle degrees of freedom are evident. Already, this measurement implied a strongly-coupled system 299 far different than naive expectations before RHIC. Follow-up measurements from the other RHIC 300 experiments confirmed the STAR results and catalyzed enormous efforts from the theory community 301 to develop both, more sophisticated hydrodynamic calculations, as well as to explore the microscopic 302 conditions for hydrodynamics to be valid. 303

One area of particular interest at RHIC was the measurement of  $v_2$  for identified hadrons, over a wide range of masses. Ideal hydrodynamics predicted a characteristic dependence of  $v_2$  on the transverse momentum of the emitted particles, since the presence of the different particles sharing a velocity field would lead to a clear mass splitting. The observation of this "fine structure" in the flow data, which was straightforwardly incorporated into theoretical calculations, provided further evidence that the hydrodynamic paradigm was the most efficient way to understand the wide range of soft hadron measurements emerging from RHIC.

Despite these successes, early on it was noticed that at higher transverse momenta  $p_T$ , above 2 GeV, 311 the different hadrons failed to show as much  $v_2$  as predicted from the calculations. Moreover, it was 312 observed that the heavier baryons (protons, lambdas) had a much larger  $v_2$  at the same  $p_T$  than was 313 seen for mesons like pions and kaons. A striking scaling was discovered in 2002 when it was proposed 314 by several groups to consider the possibility that the hot, dense medium did not form hadrons directly 315 but rather via a gas of dressed "constituent quarks" which carry their parent quark's quantum numbers 316 [37, 65, 39]. Constituent quark scaling provided a simple way to unify an even larger range of data, 317 from low  $p_T$  to high  $p_T$ , although alternate descriptions have been proposed, and early data from the 318 LHC suggest that some scaling violations occur at higher energies. 319

The importance of incorporating viscosity into the theoretical description of heavy ion collisions was 320 never completely ignored but had been neglected in the early years of RHIC both by the apparent 321 successes of ideal hydrodynamics in a large phase space regime, and by the lack of a straightforward 322 formalism to incorporate it into practical relativistic hydrodynamic calculations. However, it was the 323 realization in late 2003 that AdS/CFT calculations could be used to calculate the ratio of viscosity over 324 entropy density in strongly-coupled systems, in a regime where standard kinetic theory was known to 325 break down, that brought a real sense of urgency to the community. These calculations predicted that 326 the viscosity of a strongly-coupled quantum system could never be zero, but were bounded below by 327  $\eta/s = 1/4\pi$ , a value that can be rationalized by the argument that excitations can't be localized with a 328 precision smaller than their thermal wavelength, but which had not been reliably calculated previously. 329

While the theoretical community wrestled with how to systematically incorporate viscous corrections 330 into hydrodynamics, experiments discovered the importance of fluctuations in the initial geometric 331 configuration of nucleons in the colliding nuclei. The motivation for colliding copper ions at RHIC 332 in 2005 was to provide a small system even in central events, one in which many of the interesting 333 physics effects at RHIC might be found to turn off. Instead it was found that the  $v_2$  measured in 334 central events was quite large, a surprising finding if the initial eccentricity of the overlap region was 335 assumed to arise from the convolution of two smooth average densities. The puzzle was resolved by the 336 invention of "participant eccentricity" where the shape of the overlap region was not calculated relative 337 to the classical impact parameter, but relative to an axis determined by the participants themselves. 338 This was the first indication that the fluctuations in the initial state survived the dynamical evolution 339 before freeze-out, itself suggestive of a small viscosity. Subsequent measurements of flow fluctuations 340 reinforced this viewpoint, that the initial state of a nuclear collision was not a smooth density, but 341

varied event to event. RHIC's capability of colliding different nuclear systems was instrumental to the
 discovery of the role of these fluctuations.

Before 2010, it had been assumed that odd harmonics of the Fourier expansion should not be present 344 in the collision of symmetric nuclei, from the two-fold x-y reflection symmetry of the overlap of two 345 spherically symmetric densities. However, these symmetries are not present event-by-event, as was 346 realized in the work of Alver and Roland in 2010 [109]. Those authors proposed the existence of triangular 347 flow based on the presence of a finite  $\cos(3\phi)$  modulation observed in the two-particle correlation 348 functions measured by the RHIC experiments. This term provided a succinct, elegant explanation 349 for two phenomena previously though to be unrelated, a long range rapidity correlation leading to an 350 enhanced distribution in the near-side azimuthal distribution of particles relative to a trigger, referred to 351 as "the ridge", and opposite-side enhancement in the azimuth referred to as "the cone" First results 352 on higher-order harmonic flow, beyond elliptic flow, by the RHIC and LHC experiments appeared just 353 before and at the Quark Matter 2011 conference, where significant contributions from  $\cos(\phi)$  to  $\cos(6\phi)$ . 354 each with their own amplitudes and reaction plane angles, were found to exist. Most importantly, the 355 higher order harmonics were shown to have a weak centrality dependence, characteristic of initial state 356 geometric fluctuations. However they have a very strong dependence on the order n, as expected from 357 the presence of viscosity during the system evolution, which more efficiently damps out higher order 358 (smaller wavelength) fluctuations. 359

The contribution of initial state fluctuations to the average values of the various Fourier coefficients 360 naturally suggests that the coefficients should vary strongly event to event. The fluctuations of 361 elliptic flow had been inferred by the measurements of flow cumulants, which combined the event-wise 362 measurement of multiple particles (2,4 and 6) into estimates of  $v_2$  which also contained contributions 363 from flow fluctuations. It had also been directly measured by the PHOBOS experiment using its 364 large charged particle acceptance. Subsequently, the LHC provided the large acceptance spectrometers 365 missing at RHIC to provide the first direct measurements of  $v_2 - v_4$  with large acceptance in both 366 pseudorapidity and transverse momentum, which could be compared to theoretical predictions tuned 367 on the previous-available event-averaged data. At Quark Matter 2012 these predictions, from the 368 BNL/McGill group incorporating subhadronic quantum fluctuations, were compared to new data from 369 ATLAS with remarkable success. 370

After twelve years of steady progress, a "Standard Model" for heavy ion collisions has emerged, which provides a generally adopted framework, in which detailed dynamical questions can be phrased and addressed.

- The initial state is understood to fluctuate event by event, with contributions from the nucleons themselves, as well as the energy deposit per nucleon-nucleon collisions, which fluctuates according to classical color dynamics relevant at subhadronic size scales (resulting from gluon saturation).
  These are usually of negative binomial form.
- There is a rapid changeover from the glue-field dominated initial off-equilibrium stage of the reaction to its hydrodynamic evolution at a "thermalization" time, estimated between 0.15 fm/c and 1fm/c after the nuclei cross. There is debate whether transverse velocity fields can develop before this time and whether the system fully thermalizes or merely becomes isotropic in the transverse direction. The shorter the thermalization time, the higher the initial temperature at thermalization time. The actual mechanism of thermalization is still unknown and represents one of the open questions to be addressed in the coming years.
- The dynamical evolution of the liquid proceeds using second order viscous hydrodynamic equations. Currently, 2+1 boost-invariant hydrodynamics codes are common but the state-of-the-art is rapidly

- progressing towards a consistent use of 3+1 dimensional codes.
- The equation of state is taken from lattice QCD calculations. At temperatures below the deconfinement transition temperature, the lattice QCD equation of state resembles that of a hadron gas. Partial chemical equilibrium needs to be implemented in the equation of state for the hadronic phase to account for the cessation of inelastic flavor-changing reactions prior to the kinetic break-up of the system.
- The kinetic break-up of the system (freeze-out) occurs at temperatures well below the deconfinement transition temperature. From the transition temperature to freeze-out the system evolves as an expanding hadron gas that is optimally and reliably described with a microscopic transport calculation based on the Boltzmann equation.

# <sup>397</sup> 4 Discovery Potential, Quantifiable Deliverables and Open Questions

After little more than a decade of operation, many of the initial discoveries at RHIC have lead to 398 precision measurements of Quark Gluon Plasma properties. Yet, due to advances spurred by these 399 initial discoveries and new measurements at higher  $\sqrt{s_{NN}}$  provided by the LHC, there are aspects of the 400 RHIC program that are still in a discovery phase. The RHIC beam energy scan serves as prime example 401 that RHIC, even with the LHC operational, remains the only facility in the world capable of providing 402 the necessary collision energies in order to execute a program with unparalleled discovery potential to 403 establish the properties and location of the QCD critical point and to chart out the transition region 404 from hadronic to deconfined matter. 405

The significant progress towards precision physics of strongly interacting QCD matter under extreme 406 conditions (QGP), as outlined for the case of  $\eta/s$  in the previous chapter, is a success in its own 407 right of the experimental and theoretical community, but it is only a stepping stone in the overall 408 goal of characterizing the QGP via its transport properties  $(\eta/s, \hat{q}, \hat{e}, ...)$ , including their temperature 409 dependence. These transport coefficients  $\eta/s$  and  $\hat{q}$  and their temperature dependence serve as examples 410 of precision measurements of the RHIC program for the next 5-10 years. To facilitate such a program, 411 the unique collision energy and system size coverage of RHIC is essential and will be discussed in more 412 detail in the following sections. 413

Another important aspect of the outlined programs is that it is not only confined to increase the precision to which we determine certain QGP properties, but that it will also add fundamental knowledge to our understanding of QCD matter, such as the precise nature of quasi-particles in the QGP phase and the determination of the stopping power -dE/dx of a hot and dense QGP for colored partons. This determination of the stopping power will provide us with information that is analogous to our precise knowledge of the stopping power of ordinary matter for electrically charged particles [110, 111, 112].

The previously discussed Standard Model of heavy-ion physics based on the hydrodynamic paradigm is still incomplete at present. It requires additional new insights, such as the detailed nature of the initial state, in particular how to describe the nuclear wave function at low Bjorken *x*, as well as the determination of the fundamental process which allows fast thermalization at early times to occur in heavy-ion collisions. In the following sections we will discuss in more detail additional important aspects of the discovery potential, quantifiable deliverables, and open questions of the future US heavy-ion physics program in the coming years.

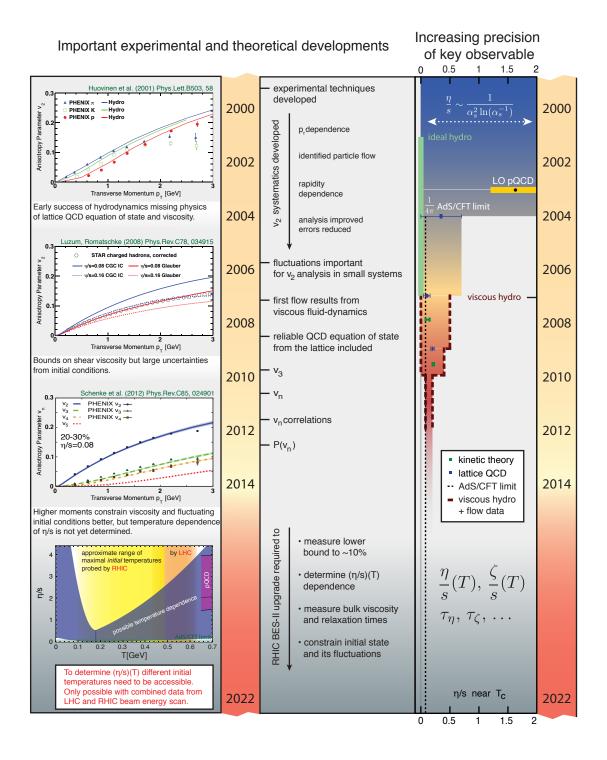


Figure 1: Timeline of important experimental and theoretical developments leading towards increasingly precise understanding of flow, transport properties of the quark-gluon plasma, and the initial state and its fluctuations. The three key figures are taken from [113, 70, 114]. On the right, the increasing precision in one key observable, the shear viscosity to entropy density ratio  $\eta/s$  near its minimal value, is illustrated. Shown results were obtained in [115] (pQCD) [73] (AdS/CFT limit) [116, 117, 118] (lattice QCD - pure glue at ~ 1.6  $T_c$ , 1.24  $T_c$ , and 1.58  $T_c$ , respectively) [119, 120] (ideal hydrodynamics) [121, 122] (perturbative QCD/kinetic theory) [123, 70, 124, 105] (viscous hydrodynamics constrained by flow measurements).

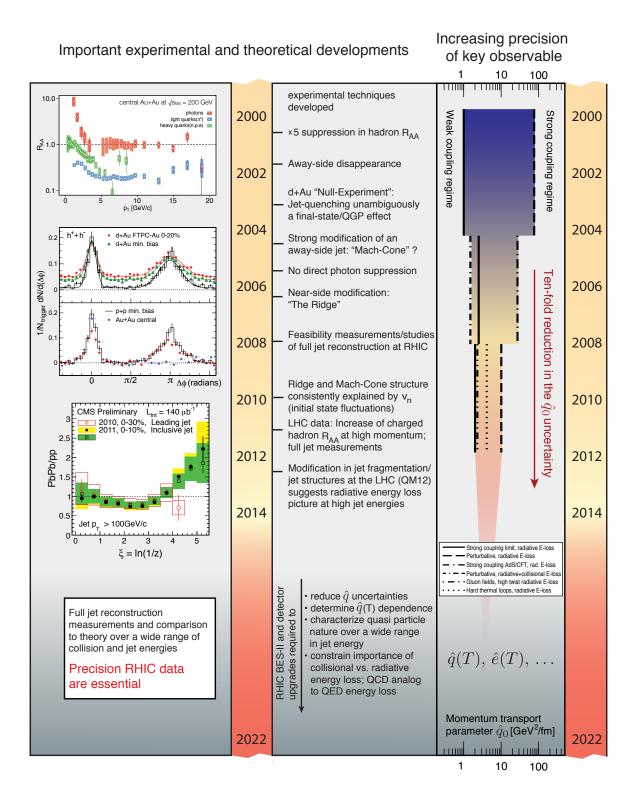


Figure 2: Timeline of important experimental and theoretical developments leading towards the increasingly precise understanding of jet energy-loss mechanisms and its related transport coefficients  $(\hat{q})$  as illustrative example on the r.h.s.). The future determination of the temperature dependence of  $\hat{q}(T)$  and  $\hat{e}(T)$  relies on the proposed detector upgrades to STAR and PHENIX as well as the BES-II program and future LHC measurements.

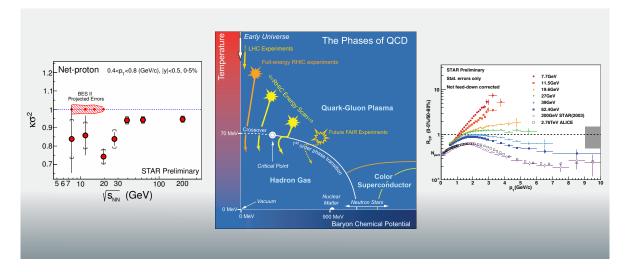


Figure 3: Left: current and projected uncertainties on the net-proton kurtosis  $\times$  variance, a measure of the shape of the event-by-event distribution of net protons. Center: illustration of the phase-diagram of QCD matter, including the area of the phase diagram probed by the beam energy scan. Right: nuclear modification factor  $R_{AA}$  in central Au+Au collisions measured for different collision energies during phase #1 of the beam energy scan demonstrating the transition from confined to deconfined matter.

## 427 4.1 Search for the QCD Critical Point: Beam Energy Scan Phase II

Bulk matter in which the interactions are governed by QCD has a rich phase structure, as shown in the 428 center frame of Figure 3, which can be explored by varying the collision energy between heavy nuclei. In 429 collisions of two nuclei, versus collisions of nuclei with their antimatter partner, the matter is formed 430 with a net baryon density, or baryochemical potential  $(\mu_b)$ , which decreases with increasing collision 431 energy. At zero baryochemical potential, lattice gauge calculations have firmly established that the 432 transition from normal nuclear matter to the Quark Gluon Plasma is of the crossover type, in which no 433 thermodynamic quantity diverges even in the infinite volume limit. At high baryochemical potential 434 and low temperature, the transition is strongly first order, which leads to the conjecture that there 435 must be a critical endpoint in the QCD phase diagram. In recent years lattice calculations have been 436 extended to finite baryochemical potential, with many of these calculations finding a critical endpoint, 437 though its location (and even its existence) are highly uncertain due to the difficulty of performing 438 lattice calculations in this regime. The identification of the QCD critical point is therefore presently an 439 experimental question: should it be found, its location and existence would provide a unique landmark 440 in the understanding of the QCD phase diagram from first principles. 441

The collision energies currently available at heavy ion colliders span almost three orders of magnitude, 442 from the lowest center of mass energy per nucleon  $\sqrt{s_{NN}}$  of 7.7 GeV first performed at RHIC in 2010, 443 to 5.5 TeV eventually available at the LHC. A first-phase scan over the lower end of this range was 444 performed in 2010 and 2011. This scan indicates that RHIC sits at a "sweet spot" in energy, in which 445 rapid changes occur in a number of signatures for energies up to approximately 30 GeV, while remaining 446 surprisingly stable beyond that over the two orders of magnitude to the LHC. As an illustrative example, 447 the right frame of Figure 3 shows the hadron suppression  $R_{CP}$  in central collisions for  $\sqrt{s_{NN}}$  from 7.7 448 GeV to 2.76 TeV, in which it is clear that the strongest changes occur at the lowest energies. Combined, 449 these measurements provide a substantial hint that collisions at energies at the lower range available at 450

RHIC probe a region of non-trivial structure in the QCD phase diagram. The disappearance of many key
 signatures of deconfined matter as the collision energy is lowered hints that the matter is moving from
 one with partonic degrees of freedom to one with hadronic degrees of freedom as the initial temperature
 decreases.

However, many of these measurements are of limited statistical power. In order to convert these into 455 conclusive statements, more luminosity is needed. A cooling upgrade to RHIC can provide an order 456 of magnitude higher luminosity for  $\sqrt{s_{NN}}$  < 20 GeV, on the timescale of 2017. Figure 3, shows the 457 current and projected uncertainties on the net-proton kurtosis  $\times$  variance, a measure of the shape of 458 the event-by-event distribution of net protons. As one passes through a critical point from high to low 459 energies, this quantity is expected to first go below unity, and then become large as the correlation 460 length diverges near the critical point. The uncertainties in the current measurements do not allow for 461 an identification of this behavior, clearly more precise measurements are needed, especially at the lower 462 energies. 463

## 464 4.2 Parity-Violating Fluctuations

QCD matter created in relativistic heavy-ion collisions may possess a very rich set of features, reflecting 465 the fundamental symmetries (and violations thereof) of QCD. Among the more intriguing features which 466 are currently being searched for is the presence of the Chiral Magnetic Effect (CME) [50, 51, 125, 126]: 467 the QCD Lagrangian in principle permits the existence of a so-called  $\theta$ -term which violates time-reversal 468 and thus CP symmetry. While precision measurements of the electric dipole moment have not found any 469 indications of CP violation, the presence of a strong external magnetic field can be used to probe the 470 CP-odd sector of QCD which otherwise may not be accessible. As was pointed out in [50], non-central 471 heavy-ion collisions create a coherent magnetic field that may convert topological charge fluctuations in 472 the QCD vacuum into global electric charge fluctuations with respect to the reaction plane. 473

While initial measurements of charge sign correlations [48, 49] are qualitatively consistent with 474 expectations of the Chiral-Magnetic Effect [50, 51], the uncertainties associated with these measurements 475 remain large and preclude any definitive assessment. Since the CME should disappear with either the 476 absence of deconfinement (i.e. at low collision energy) or the absence of a magnetic field (as in very 477 central U+U collisions), the planned Beam Energy Scan Phase II as well as extended runs with U+U 478 provide a unique discovery potential for this effect. We should note that the search for the CME has 479 the virtue of being the only known means of testing in the laboratory the gauge theory dynamics that 480 might (in its SU(2) incarnation) be responsible for the matter/antimatter excess in the universe and is 481 thus of highly significant theoretical importance [51]. 482

# 483 4.3 Differential Measurements of Transport Properties of the sQGP

# 484 **4.3.1** Precision Measurement: Temperature dependence of $\eta/s$ and other bulk transport 485 parameters

Given the outlined success of hydrodynamics and the *Standard Model of heavy ion physics* the next natural step is to systematically measure the temperature dependent values of bulk transport parameters. In addition to  $(\eta/s)(T)$  these include the temperature dependent bulk viscosity over entropy density ratio  $(\zeta/s)(T)$  as well as corresponding relaxation times. With the development of comprehensive eventby-event viscous relativistic hydrodynamic simulations coupled to hadronic cascade models, theoretical simulations are just reaching the necessary maturity to undertake such investigations. At the same time, a differential measurement of  $(\eta/s)(T)$  requires experimental control over the initial temperature. Thus, high precision measurements of higher harmonic flow coefficients  $v_n$ , that are sensitive to  $(\eta/s)(T)$ , at both LHC and varying RHIC energies are absolutely essential. The behavior of  $(\eta/s)(T)$  near the critical temperature  $T_c$ , where typical liquids (e.g. <sup>4</sup>He and even water) show rapid changes in  $\eta/s$ , is particularly useful to establish a deeper understanding of the nature of QCD matter. Furthermore, to establish effects of non-zero bulk viscosity, one has to utilize the strength of RHIC by exploring a broad variety of both collision energies and system sizes. The phase II of the RHIC Beam Energy Scan is crucial for these measurements.

#### 500 4.3.2 Precision Measurement: Jets – The Physics of Partonic Energy Loss

The emission of hadrons with large transverse momentum is observed to be strongly suppressed in 501 central collisions of heavy nuclei [17, 18] compared to proton-proton interactions. The origin of this 502 phenomenon, commonly referred to as *jet-quenching*, can be understood in the following way: during 503 the early pre-equilibrium stage of the relativistic heavy-ion collision, scattering of partons which leads 504 to the formation of deconfined quark-gluon matter often engenders large momentum transfers which 505 leads to the formation of two back-to-back hard partons. The interaction of these partons with the 506 surrounding medium leads to significant energy loss, and is sensitive to the structure of the QGP and 507 its transport properties. 508

Compared to the bulk medium dynamics described in the previous sections, the physics of partonic energy loss in the QGP [79, 127, 128, 80, 81, 82, 83, 84, 85, 86, 77, 78, 87] is not yet in a similarly advanced state. Nevertheless, significant progress in constraining the underlying microscopic processes has been made, with RHIC pioneering this field [17, 18, 21, 22, 23, 24].

One of the parameters that characterizes the interaction of an energetic jet with the QGP medium is the 513 momentum transport parameter  $\hat{q}$ , defined as the typical momentum transfer squared per unit length 514 incurred by the hard parton in the strongly interacting medium [127, 128]. It depends on the coupling 515 regime (strong versus weak) at the scale of the interaction, the nature of the plasma (quasi-particle-516 dominated versus quasi-particle-less) and the micro-physics of many-body QCD in strongly-interacting 517 matter. The measurement of  $\hat{q}$  relies on an interplay between experiment and theory, since it is not 518 a directly measurable quantity.  $\hat{q}$  is commonly extracted via a comparison between data, e.g. on the 519 nuclear modification factor  $R_{AA}$  or two particle correlation functions, and theoretical calculations of 520 the same quantities with  $\hat{q}$  as parameter of the calculation. The extraction of  $\hat{q}$  thus inherently is not 521 only dependent on experimental uncertainties, but also on the model assumptions that go into the 522 respective theoretical calculation. A significant fraction of the  $\hat{q}$  uncertainties quoted here stem from 523 these theoretical uncertainties. The measurement of correlation observables at high precision will not 524 only reduce experimental uncertainties, but also significantly constrain the theory calculations, thus 525 reducing their systematic uncertainties as well. 526

Over the past decade considerable progress has been made at RHIC in constraining the value of  $\hat{q}_0$ , 527 the value of  $\hat{q}$  at the formation time of the QGP  $\tau_0$ , as illustrated in Figure 2. Shown in the right 528 hand side is the value of the momentum transfer parameter at time  $au_0 = 0.6$  fm, typical of the 529 formation time of the QGP at RHIC. Most of the constraints are based on (single particle) light hadron 530 measurements [129, 130]. Initial  $\hat{q}_0$  estimates covered a staggering two orders of magnitude, ranging 531 from the weak coupling [16, 88] to the strong coupling limit, where nuclear matter created in RHIC 532 collisions transitions from a completely opaque core to a fully transparent corona [131, 132]. This 533 significant uncertainty in the value of  $\hat{q}_0$  was due in part to the large experimental uncertainties in the 534 early days of RHIC. 535

Initial theoretical attempts to constrain  $\hat{q}_0$  concentrated mainly on radiative energy loss. Subsequent

efforts have included both radiative and collisional energy loss in the QGP [133, 134] resulting in a new 537 definition of the lower bound. On the strong coupling side, the AdS/CFT correspondence has been used 538 to calculate  $\hat{q}_0$  as well [77] and can be interpreted as an upper limit for that quantity. This resulted in a 539 reduction in the uncertainties of  $\hat{q}$  by a factor of three. The latest analysis of increasingly more precise 540 RHIC measurements decreased the allowed range of  $\hat{q}_0$  from 2 GeV<sup>2</sup>/fm to 10 GeV<sup>2</sup>/fm [130, 135]. 541

Concurrent developments in theory and experiment have allowed to reduce the uncertainty of the 542 momentum transport parameter at RHIC by an overall factor of twenty. The continuing improvement in 543 the precision determination of  $\hat{q}$  is a remarkable progress and success of the field, but the remaining 544 uncertainty of roughly of a factor of five still hinders the precise determination of the medium transport 545 properties. In particular, the QGP response to different jet energies and the temperature dependence of 546 the transport parameter cannot be constrained at this stage with sufficient precision. A program of 547 RHIC upgrades with optimal kinematic coverage is expected to reduce these uncertainties to a factor of 548 two in 2020 and key aspects will be discussed in the following: 549

#### Study of low energy jets in medium: 550

The interactions of the full parton shower (i.e. the full jet) with the medium probes the transport 551 properties of the QGP at scales that range from the bulk scale set by the temperature  $\sim T$ , to a 552 scale  $\sim \sqrt{E_T T}$  (with  $E_T$  being the transverse energy of the shower). The measurement of jets 553 at relatively small jet energies around 40-50 GeV will allow for the mapping of the energy and 554 momentum transport coefficients in the most interesting and least understood region between the 555 weak and strong coupling limits. Reconstruction of these jets of relatively small energies can be 556 achieved at RHIC with higher efficiency than at the LHC, due to the underlying background at 557 RHIC being smaller than at LHC. 558

#### • Temperature dependence of $\hat{q}$ : 559

The measurement of the temperature dependence of  $\hat{q}$  is of analogous importance to to the future 560 studies of temperature dependence of  $\eta/s$ . Since the expected scaling of  $\hat{q}$  with temperature is a 561 strong function of T [16, 77, 136, 137], jet quenching measurements are sensitive to the earliest 562 times and highest temperatures. In order to achieve sensitivity to temperatures around 1 - 2 563  $T_{C}$ , measurements at RHIC are needed for different colliding systems and smaller center of mass 564 energies as opposed to LHC energies, where larger initial temperatures are produced. 565

#### • Probing the coupling strength of the medium: 566

Both the soft  $\eta/s$  bulk transport parameter and the hard partonic energy loss parameters such 567 as  $\hat{q}$  (and analogously  $\hat{e}$ , defined as the longitudinal momentum transfer per unit length) are 568 sensitive to the underlying coupling of the matter, but in different ways. If precise measurements 569 of bulk and jet observables are accessible, one can utilize the relationship between the energy and 570 momentum transfer parameters (for example in weak coupling  $\hat{q} = 1.25T^3/(\eta/s)$  [137]). to test 571 the nature and coupling strength of the QGP medium. 572

573

# Testing the quasi-particle nature of the QGP:

It is expected that at some sufficiently large momentum scale a guasiparticle picture of the QGP 574 must be valid, even though on its natural length scale it is a strongly coupled fluid. To determine 575 this scale and the detailed nature of the quasi particles, jet measurements over a wide range of 576 energies and with different medium temperatures (RHIC complementary to LHC) are essential. 577 Even though recent measurements at the LHC suggest that a strongly coupled AdS/CFT like 578 picture at the scales currently accessible is not favored [138], precision jet measurements at RHIC 579 will allow to map out the currently unexplored regime closer to the strongly coupled limit. 580

## • Radiative vs. elastic energy-loss:

The exact nature of what the parton is scattering off in the medium is tied directly to the balance 582 between radiative energy loss and inelastic collisional energy loss in the medium and will allow to 583 measure the relative importance of these processes. In QED, the stopping power of matter for 584 electrically charged particles is known to within a few percent. At the LHC, in the  $E_T > 50$  GeV 585 regime, jet modification appears to be dominated by radiative energy loss. RHIC can provide 586 the necessary kinematic coverage to study the relative significance of collisional and radiative 587 processes, thereby advancing our understanding of dense QCD matter at high energies. Therefore 588 RHIC and LHC combined will allow to map out the stopping power -dE/dx of hot and dense 589 QGP for colored patrons [139] in analogy to our precise knowledge of the stopping power of 590 ordinary matter for electrically charged particles [110, 111, 112]. 591

### 592 4.3.3 Heavy Flavor and Quarkonia

Heavy guark observables promise enormous potential for insight into the dynamics of the QGP. Because 593 charm and bottom quarks are so massive, they must be produced in the very earliest stages of the 594 collision. Once produced, heavy quarks act as identifiable test particles, navigating the entire evolution 595 of the medium, participating in and being affected by its dynamics. Produced as  $q\bar{q}$  pairs, the heavy 596 flavor may emerge together as quarkonia (closed heavy flavor) or in separate hadrons (open heavy 597 flavor). As tomographic probes of strongly-interacting matter, heavy flavors provide a well-defined 598 physical scale against which the temperature of the medium can be gauged via the pattern of quark 599 diffusion. Due to their different Lorentz boost factor at a given momentum transfer, heavy quarks shed 600 light on the mechanisms of collisional and radiative energy loss in the QGP. Furthermore, the large D 601 and B meson masses suggest early hadronization and have stimulated a fresh look in the in-medium 602 fragmentation and dissociation of open and closed heavy flavor. Charm and beauty guarks are also 603 sensitive to resonant states just above the QCD chiral transition temperature. 604

The small production cross sections involved mean that heavy flavor measurements require high luminosity and extremely capable detectors. Interestingly, now that RHIC is effectively operating at the luminosities forseen for the RHIC II project, the statistics expected for produced heavy flavor at RHIC and at LHC are comparable [140]. The higher *b* and *c* production cross sections at the LHC are largely compensated by the higher heavy ion luminosity and longer per year running time of RHIC. In any case, a complete program to investigate the QGP using heavy flavor probes will be a multi-year program of integrating luminosity and performing necessary reference measurements.

Measurements of heavy flavor at RHIC already present an intriguing puzzle to the high-energy nuclear physics community. Early theoretical expectation was that heavy quarks would lose considerably less energy in their passage through the medium than light quarks do, but PHENIX and STAR measurements of the suppression of non-photonic electrons coming from the decays of D and B mesons indicate that heavy quarks do, in fact, lose a considerable amount of energy in the QGP. Furthermore, the elliptic flow of non-photonic electrons suggests heavy quarks have largely thermalized in the medium. This surprising behavior of heavy mesons has recently been observed to be even more pronounced at the LHC.

The heavy quark diffusion coefficient is a quantity that the heavy flavor program at RHIC will determine over the next several years to a precision of  $\sim 10-15\%$ : In the quasi-particle picture, heavy quarks lose energy in the QGP medium through both elastic collisions and gluon emission. The first process dominates at the low to intermediate heavy quark momenta predominantly accessible at RHIC while the latter dominates at the large heavy quark momenta mostly accessible at the LHC. In the domain dominated by collisional energy loss, heavy quark evolution can be described as a diffusion process with

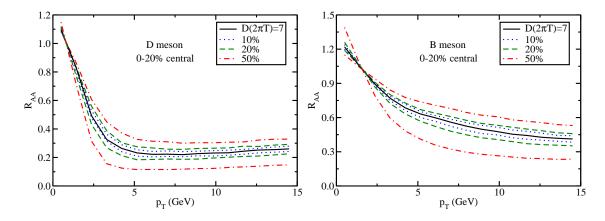


Figure 4: Diffusion calculation of the nuclear modification factor  $R_{AA}$  for D-mesons (left) and B-mesons (right) in central Au+Au collisions at RHIC. Shown is how a  $\pm 10\%, \pm 20\%$  and  $\pm 50\%$  variation in the diffusion coefficient translates into a respective change of the nuclear modification factor. For a determination of the diffusion coefficient within  $\pm 10\%$ , the nuclear modification factor needs to measured with similar precision.

the heavy quark diffusion coefficient as the governing transport coefficient [141, 142]. The precision with which the diffusion coefficient can be determined scales directly with the uncertainties of the experimental measurements for the nuclear modification factor  $R_{AA}$  and elliptic flow coefficient  $v_2$  of D and B mesons; if these quantities can be measured with an uncertainty of ~10% this will translate into a determination of the diffusion coefficient to similar precision (Fig. 4).

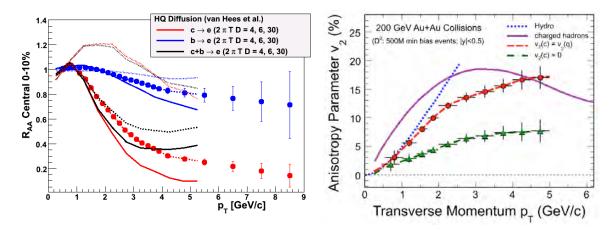


Figure 5: Projected uncertainties for the determination of flavor separated  $R_{AA}$  for the most central 10% of 4.3  $nb^{-1}$  of Au+Au collisions and 14.8  $pb^{-1}$  of p+p collisions (left). Also shown are diffusion calculations by van Hees et al Projected uncertainties for  $D^0 v_2$  measurement using the STAR detector in a 10 week 200 GeV Au+Au RHIC run (right).

<sup>630</sup> Measuring heavy quarks by their semi-leptonic decay alone does not distinguish between the decays of

<sup>631</sup> D and B mesons [43] and represents and admixture of information about charm and bottom quarks.

<sup>632</sup> Since bottom quarks are more than three times as massive as charm quarks, making them much less

abundant than charm quarks, but also leading to significant differences in their dynamical behavior [143],

separating the two signals has clear significance. One can employ various techniques to decompose the

separate contributions of charm and bottom [144], but to make direct measurements both STAR and PHENIX have developed a physics program for the next several years centered around sophisticated vertex detectors that are able to distinguish the different decay lengths of the D and B mesons. The PHENIX VTX and STAR HFT are barrel detectors near mid-rapidity; the PHENIX FVTX is an endcap detector. The PHENIX VTX has recently demonstrated first results for c/b separation in heavy ion collisions. The left panel of Figure 5 shows the projected uncertainties one would obtain on the nuclear modification factor,  $R_{AA}$ , with a high statistics Au+Au run and two years of accumulated p+p data.

The identification of heavy flavor through its semi-leptonic decay is well complemented by a direct 642 measurement of topologically reconstructed D mesons. This technique has some very positive features, 643 especially at low transverse momentum, as one does not need to unfold the spectrum of a decay 644 electron to obtain the momentum of the parent meson. The right panel of Figure 5 shows the projected 645 uncertainties one would obtain for the  $v_2$  of charm quarks under two different assumptions in a ten 646 week Au+Au run. The  $v_2$  for charm flow from reconstructed D mesons has also been measured by 647 ALICE [145], and a measurement at RHIC would enable a statement about the temperature dependence 648 of the coupling of charm to the flowing medium. 649

Measurements of quarkonia provide different information about the propoerties of the QGP. At high 650 temperatures one expects the emergence of Debye screening of the interaction between quarks and 651 gluons. This leads to the dissolution of hadronic bound states [146]. A particularly interesting subset of 652 hadronic states consists of those comprised of heavy quarks since the spectrum of low lying states can be 653 found using potential-based non-relativistic treatments. Based on such potential models there were early 654 predictions [147, 148] that  $J/\psi$  production would be suppressed in heavy ion collisions relative to the 655 corresponding production in proton-proton collisions scaled by the number of nucleons participating in the 656 collision. In recent years there have been important theoretical advances in the understanding of heavy 657 quark states at finite temperature using analytic techniques [149, 150, 151, 152, 153, 154, 155, 156, 157] 658 and lattice QCD [158, 159, 160, 161, 162, 163, 164]. 659

Practitioners are, for the first time, using realistic viscous hydrodynamical models to describe the 660 evolution of the matter in which the heavy quark bound states are embedded [165, 166, 167]. With 661 these advances, the study of heavy quarkonium suppression has moved into a new quantitative era, in 662 which precise comparison of experimental data and theoretical predictions is vitally important. The 663 measurement of the suppression of the ground and excited states of heavy quarkonium will enable the 664 determination of key plasma properties such as the initial temperature, degree of momentum space 665 anisotropy, and the shear viscosity to entropy ratio. RHIC's ability to perform beam energy and system 666 size scan are essential for these measurements. 667

Already there are results from CMS showing that the higher mass states of the upsilon are relatively more suppressed in Pb+Pb collisions at 2.76 TeV than in control p+p collisions [9]. STAR's muon telescope detector (MTD) will enable measurements at RHIC energies of the upsilon family of states, and the right panel of Figure 6 shows the uncertainties one could achieve within a few years.

 $_{672}$  Quarkonia can be produced directly, but there is also the possibility of producing a  $q \overline{q}$  state through

recombination of a quark and an anti-quark from different initially produced pairs. The degree to which recombination plays a role can be controlled by studies of the  $c\bar{c}$  and  $b\bar{b}$  systems at RHIC and LHC.

<sup>675</sup> ALICE  $J/\psi$  measurement [168] compared to PHENIX data is shown in the left panel of Figure 6. The

 $J/\psi$  in central Pb+Pb at 2.76 TeV are relatively less suppressed that is the case in central Au+Au at 200 GeV. Charm is so abundant at the higher collision energy of LHC that recombination of independently

produced charm quarks into quarkonia may be responsible for the difference. This is a particular example

where complementary measurements at the LHC and RHIC illuminate the physics of the QGP.

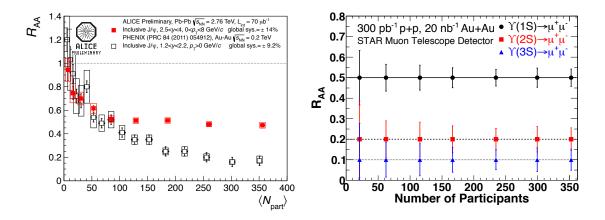


Figure 6: left) A comparison of ALICE results for  $J/\psi$  suppression versus centrality compared to the results obtained by PHENIX. The relatively weaker suppression in central collisons may be due to recombination of charm from abundantly produced  $c\overline{c}$  pairs at the LHC. (right) Projected uncertainties for the suppression of the different mass states of the upsilon family.

#### **4.4** Pre-Equilibrium Physics

One of the fundamental open questions in the study of the Quark Gluon Plasma is to what extent the 681 system that is produced in relativistic heavy ion collisions achieves local isotropic thermal equilibrium 682 and at what timescale this may occur. Immediately after the initial nuclear impact, the Quark Gluon 683 Plasma is not a thermal isotropic plasma. The constituents must interact for some period of time in 684 order to reach a (quasi)-thermal state. In the high-energy limit the incoming nuclei are dominated by 685 small-x gluons whose occupation numbers are large,  $n \sim 1/\alpha_s$  [169]. The question then becomes, how 686 does one connect such a coherent nuclear state to an incoherent plasma of quarks and gluons on the 687 fm/c timescale. The answer to this question is highly relevant for Quark Gluon Plasma phenomenology 688 since a key component of the Standard Model of heavy-ion collisions described in section 3 is the 689 viscous hydrodynamic evolution of the QGP phase, which requires local isotropization of the Quark 690 Gluon Plasma. Standard hydrodynamical fits to RHIC elliptic flow data suggested that the Quark Gluon 691 Plasma has a thermalization and isotropization time on the order of 0.2 - 1.0 fm/c. However, some 692 recent viscous hydrodynamic analyses have shown that the pre-equilibrium phase of the Quark Gluon 693 Plasma evolution can last for up to 2 - 3 fm/c after the initial nuclear impact [70, 170]. 694

In order to address the question of the precise thermalization and isotropization times of the Quark 695 Gluon Plasma there are two prevailing approaches in the literature. The first is to take the high-energy 696 limit in which the plasma is weakly-coupled and extrapolate the resulting perturbative series to the 697 couplings relevant ( $\alpha_s = 0.3$ ) for phenomenological applications [171, 172, 173, 174]. The second is 698 to study the problem using the conjectured anti de Sitter space / conformal field theory (AdS/CFT) 699 formalism in the infinitely strong coupling limit [175, 176, 177, 178, 179, 180, 181]. On the perturbative 700 side we have learned in the last ten years that there exist non-Abelian plasma instabilities which help to 701 accelerate the isotropization of the Quark Gluon Plasma. Such predictions began over two decades ago 702 [182, 183, 184] but in the last decade we have seen tremendous advances in our ability to simulate the 703 complicated dynamics of non-Abelian plasma instabilities [185, 186, 187, 188, 189, 190, 191, 192, 193]. 704 Such studies are of key importance since it can be shown that plasma instabilities induce an anomalous 705 shear viscosity in the plasma which is lower than what one obtains in a static thermally equilibrated 706 Quark Gluon Plasma [194, 195]. The other important conceptual change due to the existence of 707 non-Abelian plasma instabilities is that their dynamics naturally drives the system to a state which has 708

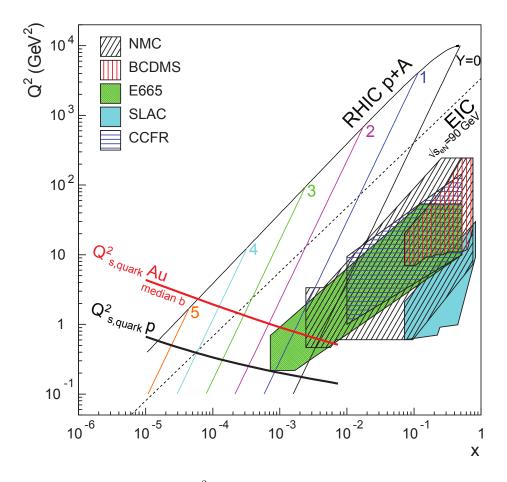


Figure 7: Kinematic coverage in the  $x - Q^2$  plane for p+A collisions at RHIC, along with previous e+A measurements, the kinematic reach of an electron-ion collider (EIC), and estimates for the saturation scale  $Q_s$  in Au nuclei and protons. Lines are illustrative of the range in x and  $Q^2$  covered with hadrons at various rapidities.

parametrically large field occupation numbers. Such large occupation numbers cause the system to
 interact strongly even though the coupling constant itself may not be large.

The cleanest and most sensitive probes to the pre-equilibrium phase of the heavy-ion collision are 711 photons and leptons, since they do not interact with the concurrently forming QGP medium after their 712 production [196, 197]. However, a very high precision is required for these measurements in order to 713 de-convolute the pre-equilibrium photon and lepton emission from the subsequent thermal emission 714 of these probes during the Quark Gluon Plasma evolution. The planned upgrades to the STAR and 715 PHENIX detectors are designed to deliver the required precision in the measurement of leptons and 716 photons that are crucial for the unraveling of the thermalization mechanism. These measurements have 717 to be augmented by data from the LHC, since thermalization times are expected to be shorter at higher 718 temperatures and energy-densities. 719

# 720 4.5 The Nature of the Initial State / Gluon Saturation

The nature of the initial state, in particular how to describe the nuclear wave function at low Bjorken 721  $x_{\rm r}$  is still an area with large experimental and theoretical uncertainties at the current stage of our 722 understanding. Recent theoretical developments combine the color-glass-condensate framework to 723 describe fluctuating gluon fields in highly energetic nuclei with a dynamic pre-equilibrium glasma stage 724 immediately after the collision and viscous hydrodynamics to describe further evolution in heavy-ion 725 collisions. This provides a promising framework for the study of the initial state and transport parameters 726 of the quark-gluon plasma by analyzing higher harmonic flow coefficients  $v_n$  and their fluctuations 727 [198, 199]. First comparisons to flow measurements at RHIC and LHC have been remarkably successful 728 [41]. However, to access in more detail the subhadronic correlations and dynamics governed by saturation 729 physics requires further measurements at small x, and therefore forward rapidities, with the cleanest 730 measurements possible in p+A and e+A collisions. 731

Experiments at HERA have shown conclusively that as one probes to lower fractional momentum x732 in the wave function, the gluon density rises rapidly. This rise cannot continue forever, since it would 733 eventually lead to a violation of unitarity in high-energy scattering processes. At some point, the 734 nonlinear nature of QCD will enter to tame this rise, entering into a regime where occupation numbers 735 are high enough that the process of gluon recombination competes with gluon splitting. The scale at 736 which this happens is known as the saturation scale. Reaching this scale would open up a new and 737 unique regime of tractable QCD calculations, in which weak coupling is combined with extremely intense 738 gluon fields. There is no question that this regime exists somewhere in nature; the main question is 739 whether it is experimentally accessible at the energies available at current colliders. 740

Current measurements at RHIC of the suppression of single hadrons [52, 54] and back-to-back di-hadron correlations [55] in d+Au collisions have been interpreted as strong hints that the saturation scale, and the onset of saturation effects, are accessible at forward rapidities at RHIC [200]. At this point, though, these interpretations are not unique, for two main reasons.

First, as shown in Figure 7, for the kinematic reach of RHIC energies the saturation scale is moderate, 745 on the order of a few  $GeV^2$ , so measurements sensitive to the saturation scale are by necessity limited 746 to semi-hard processes, and effects due to kinematic limits must be fully addressed. To some level 747 this can be addressed at the LHC, where the larger energies allow for measurements deeper into the 748 saturation regime, especially at forward rapidities. First measurements have been made at mid-rapidity 749 by ALICE [201], which correspond approximately to y=3-4 at RHIC. This measurement shows no 750 suppression of single hadrons for  $p_T > 2 \,\text{GeV}$ , as predicted by saturation models [202, 203], however, 751 alternative models also predict this feature of the data [204]. Key tests at the LHC will come at more 752 forward rapidities, where saturation effects are stronger and distinct from other descriptions [203, 204]. 753

Second, and more importantly, in measurements to date in p+A collisions both the entrance and exit 754 channels have components that interact strongly, leading to severe complications in the theoretical 755 treatment. In p+A collisions, these complications can be ameliorated by removing the strong interaction 756 from the final state, using photons and Drell-Yan electrons. Both PHENIX and STAR have upgrade plans 757 to make these difficult measurements, which are planned to be in place for high precision towards the 758 end of this decade. Beyond this, the possibility of using polarized protons at RHIC to probe saturation 759 phenomena is just beginning to be explored [205], utilizing the large transverse single-spin asymmetries 760 seen in p+p collisions at forward rapidity (which do not require a polarized ion beam) to explore the 761 onset of saturation. In addition, measurements of direct photons at forward rapidities over a large  $Q^2$ 762 regime at the LHC could be used, with appropriate upgrades, to probe deeper into the saturation regime. 763

The ultimate level of precision can be obtained using an electron-ion collider, in which strong interactions

are removed from the initial scattering, and in which the full kinematics can be reconstructed in the final state. The rich program available at an electron-ion collider is detailed in a separate White Paper. Besides the close match in instrumental capability necessary for both p+A and e+A collisions, the combination of a strong p+A and e+A program allows for detailed tests of universality within the saturation approach.

# **5** The Future of Relativistic Heavy Ion Physics

In this document we have outlined a research program that will address the most relevant open questions in the physics of strongly interacting hot and dense QCD matter. It will lead to the quantitative determination of the most important Quark Gluon Plasma properties, such as the temperature dependence of its transport coefficients, while also enabling new discoveries, such as the existence and location of the QCD critical point. This program relies on a number of detector and accelerator upgrades as specified in *The Case for Continued RHIC Operations* by Steve Vigdor [1].

The key pillars of this 5–10 year program are:

a beam-energy scan program with unparalleled discovery potential to establish the properties
 and location of the QCD critical point and to chart out the transition region from hadronic to
 deconfined matter.

• the quantitive determination of the transport coefficients of the Quark Gluon Plasma, such as the temperature dependence of the shear-viscosity to entropy-density ratio  $\eta/s$  (including an assessment of whether the conjectured lower bound has been reached to within a precision of 10%), and that of the energy loss transport coefficients  $\hat{q}$  and  $\hat{e}$ .

- a jet physics program to study the nature of parton energy loss and the quasi-particle nature of the QGP.
- a heavy-flavor physics program to probe the nature of the surprisingly strong interactions of
  heavy quarks with the surrounding medium, as well as quarkonia measurements that will provide
  standard candles for the temperatures obtained in the early stages of a heavy-ion reaction.
- a systematic forward physics program to study the nature of gluon saturation.

As noted earlier, the last bullet leads naturally to the physics program for the Electron Ion Collider. It is 791 also important to note that this physics program cannot be pursued with data from the Large Hadron 792 Collider. RHIC provides essential measurements that span the range above and below the transition 793 region in temperature as well as regions of the phase diagram at higher baryon density. The science 794 objectives presented in this document can only be achieved in a heavy ion program that includes the 795 continued operation of the Relativistic Heavy Ion Collider in addition to continued participation in the 796 LHC heavy ion program. The heavy ion program described herein will ensure continued leadership in 797 the field and will complete the scientific investment the US government has made in seeking to discover 798 and understand the bulk properties of strongly interacting matter. 799

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