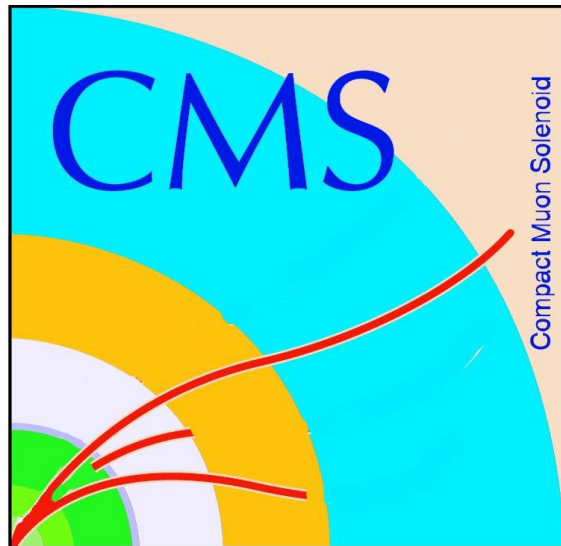




Pileup and Substructure



Philip Harris (CERN)
CMS collaboration



Bibliography

KEY : Public, Available this week, Available soon

Please consult CMS about our new papers

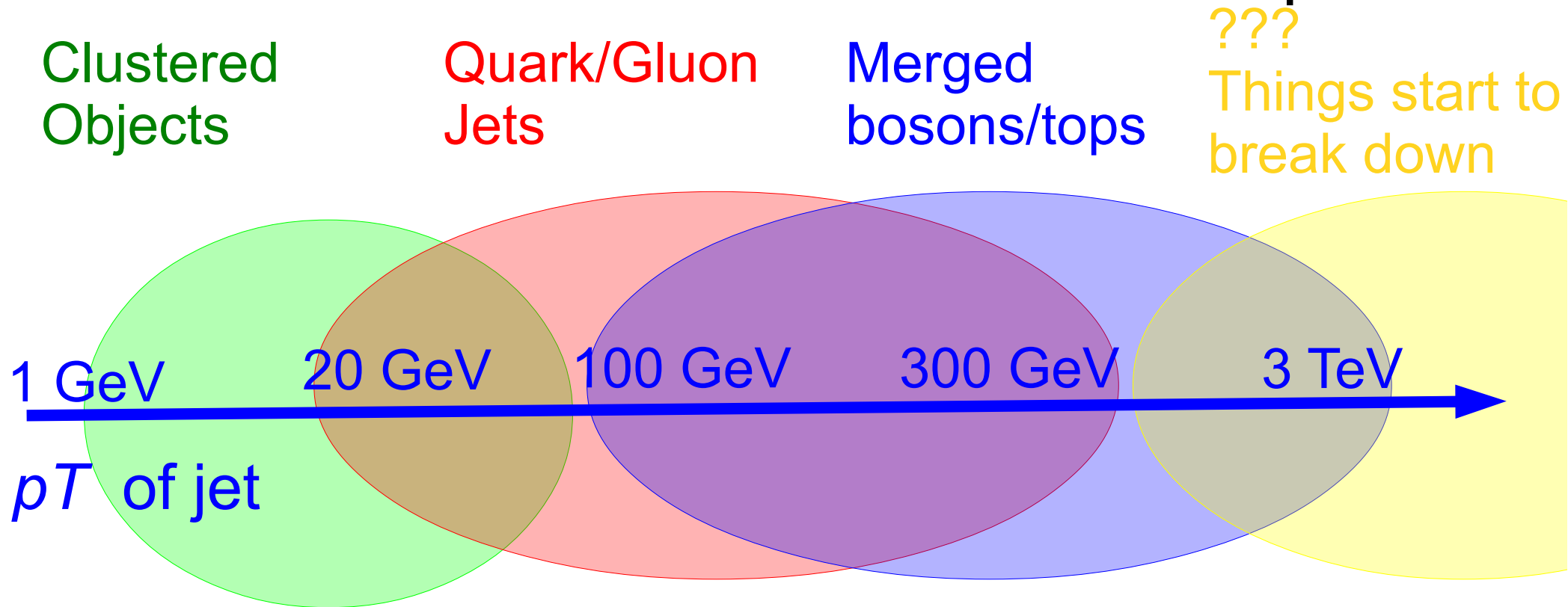
- JME-12-002 : *MET* performance in CMS
- JME-13-002 : Quark/Gluon Discrimination
- JME-13-005 : Pileup Jet Identification
- JME-13-006 : Boosted W Jet tagging
- JME-13-007 : Boosted Top tagging

Supporting documents

HIG-13-004, HIG-13-010, HIG-11-027, QCD-10-011

Spectrum of the Jet Toolbox

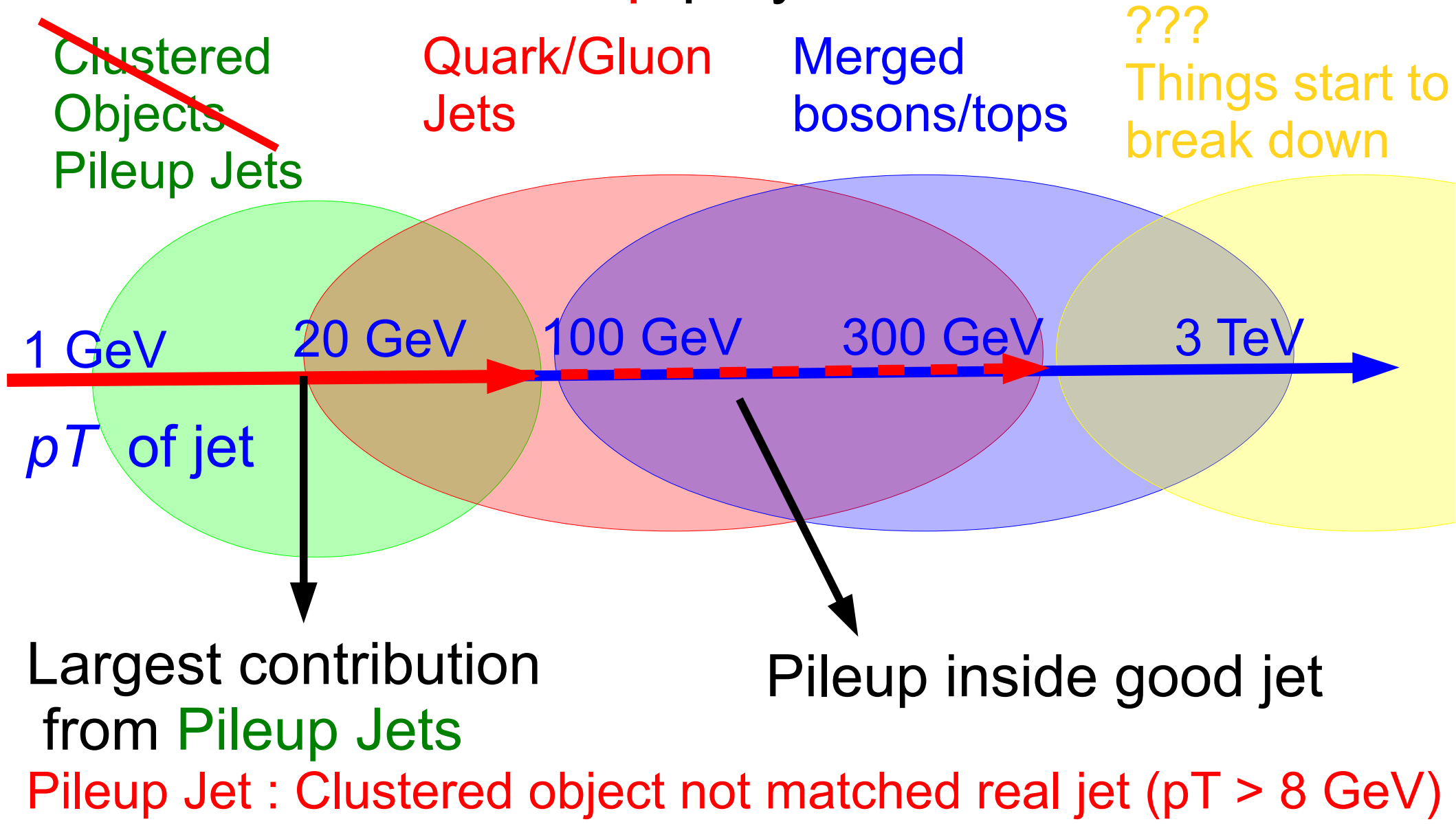
What are the dominant Jet effects vs p_T ?



This talk will address each region of Jets

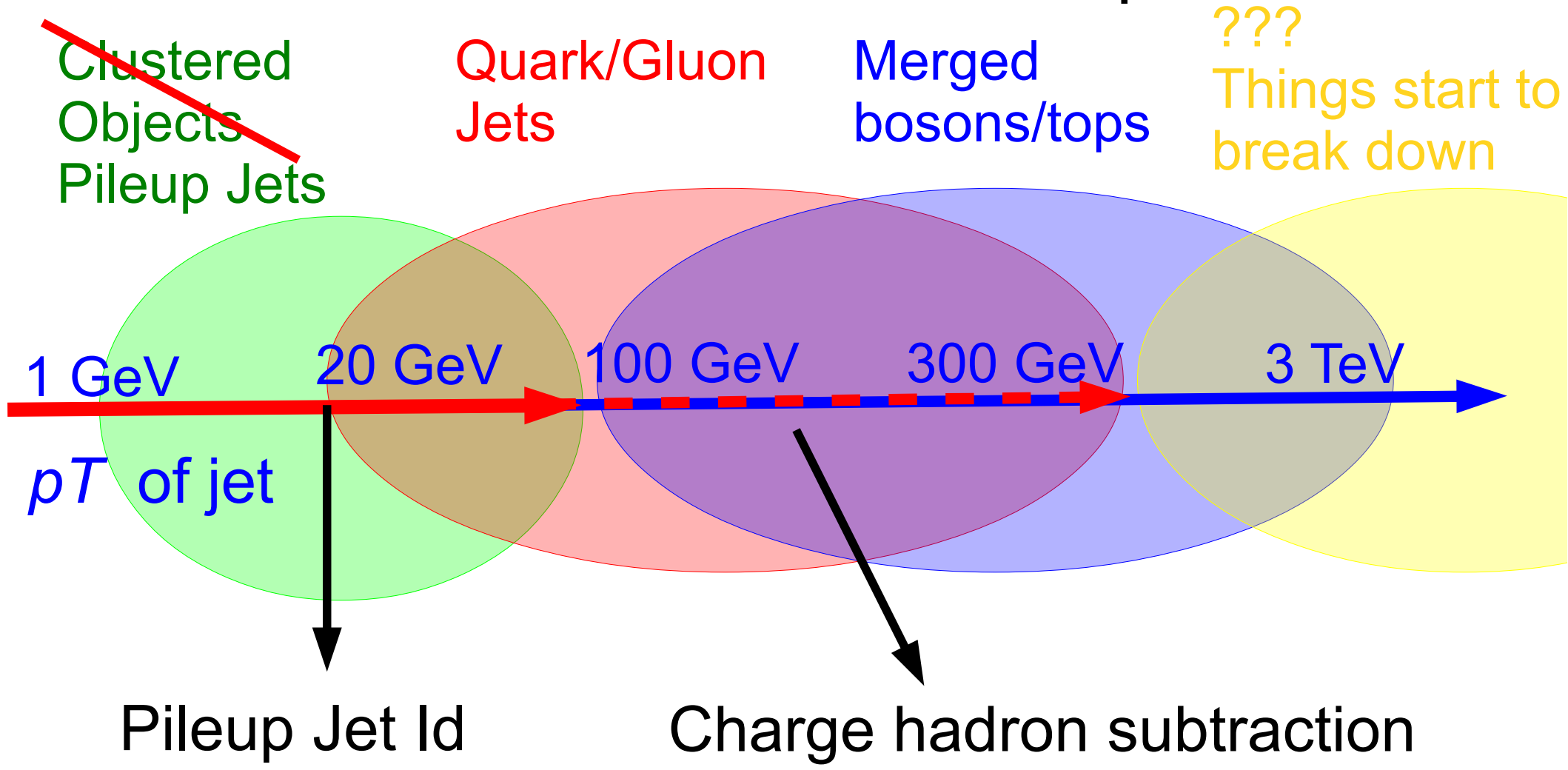
Spectrum of the Jet Toolbox

Where does **Pileup** play a role?



Spectrum of the Jet Toolbox

What do we do to address Pileup?

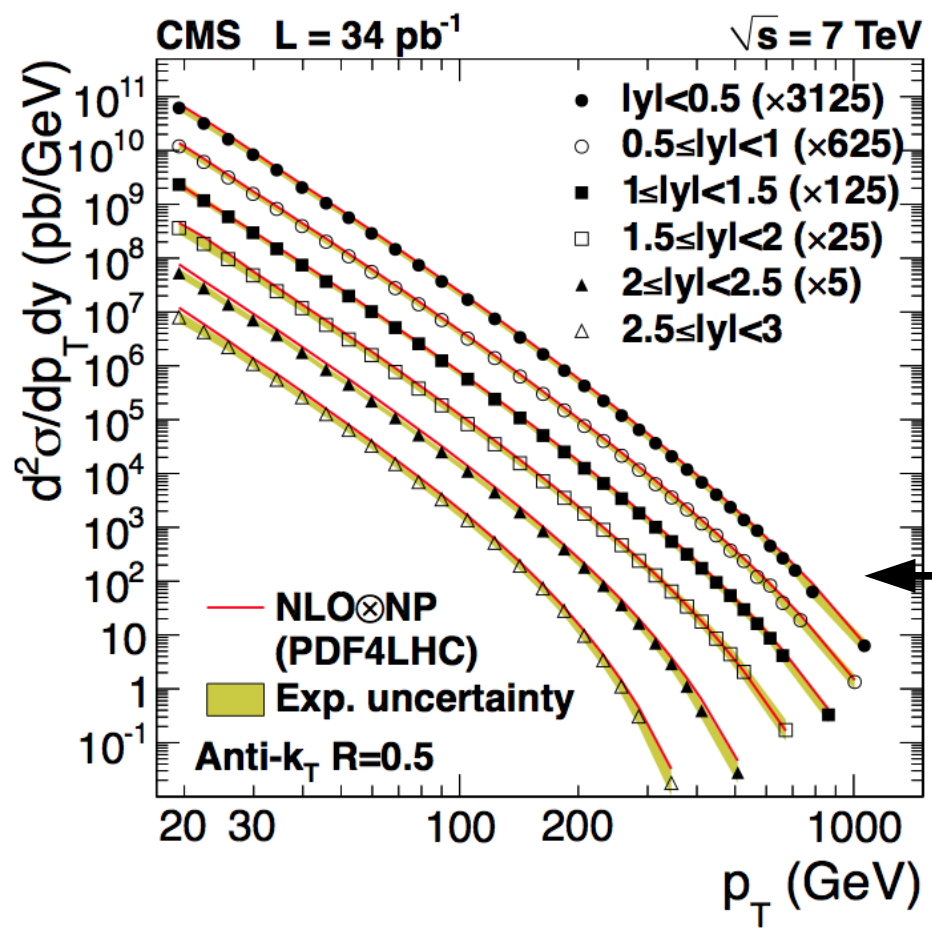


Nota bene: We care about in time and out of time pileup but mainly in time pileup

What is Pileup?

- Any object from another collision
 - Some resulting QCD from UE/jet production
 - Consider instance where **objects are clustered**

What is the rate of overlapping pileup?



Phys.Rev.Lett.107:132001,2011

Two jets w/Sum $pT = pT_1 + pT_2$

Consider a simplified model

$$P(\text{overlap}|pT) \approx N_{pu}^2 a_{jet}^2 \int A$$

$$\int A = \int dpT \frac{d\sigma}{dpT} \frac{d\sigma}{dpT} \delta(pT - pT_1 + pT_2)$$

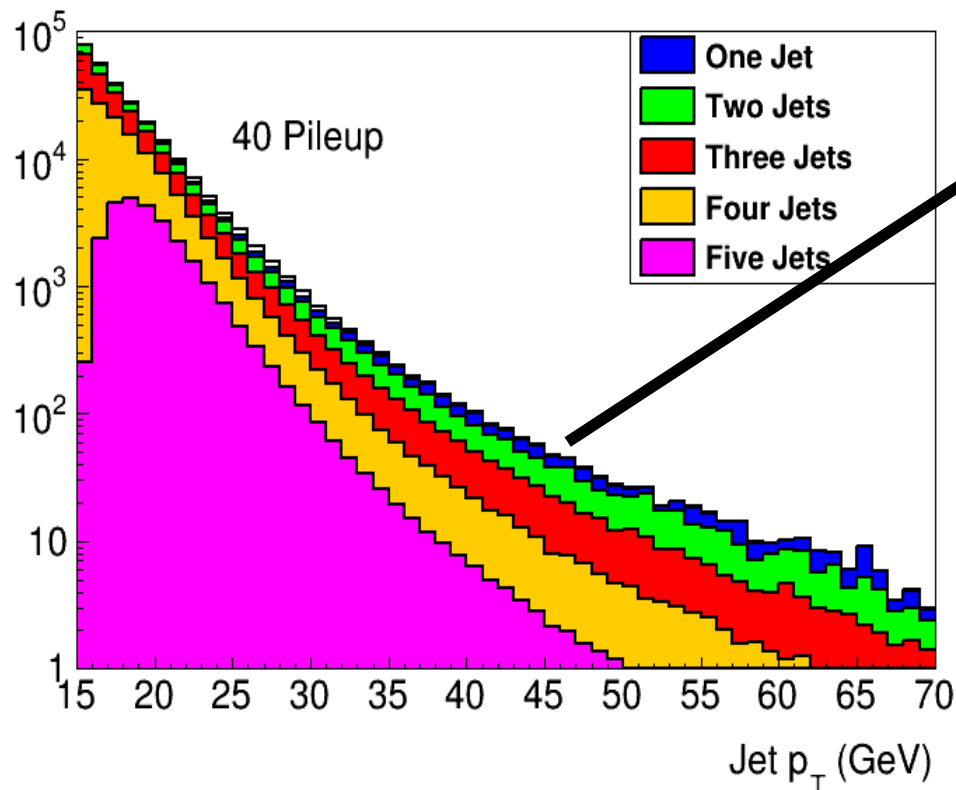
$$\frac{d\sigma}{dpT} = pT^{-5} \text{ (NLO/measurement)}$$

$$\int A = C pT^{-6.2} \text{ (C a constant)}$$

What is Pileup?

- Any object from another collision
 - Some resulting QCD from UE/jet production
 - Consider instance where **objects are clustered**

What is the rate of overlapping pileup?



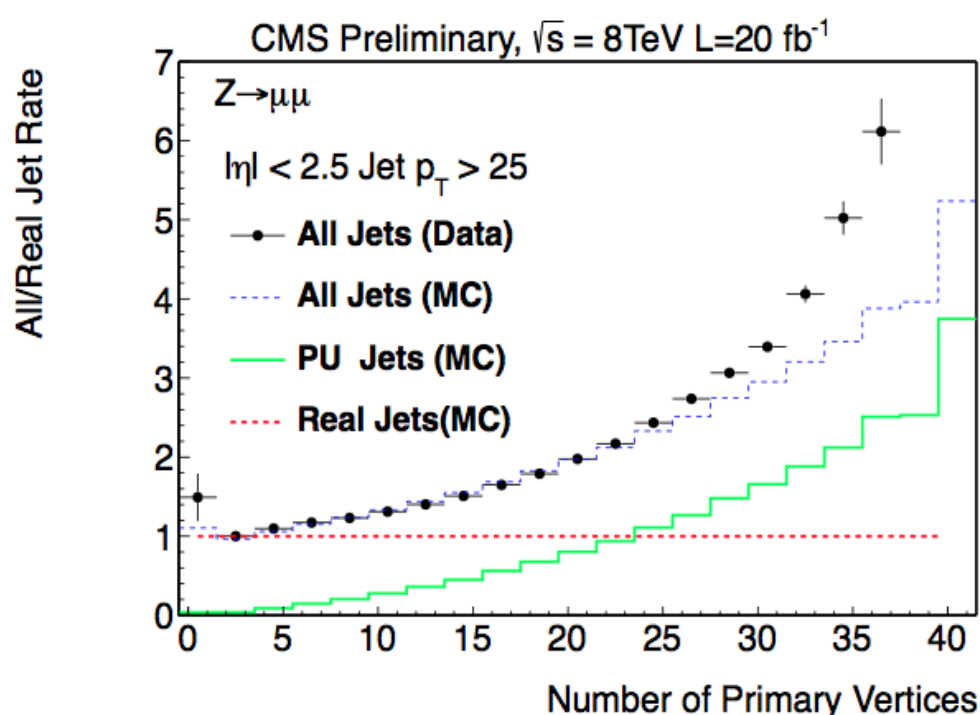
Contribution of overlapping jets
To pileup jet spectrum

Two jets are dominant
contribution

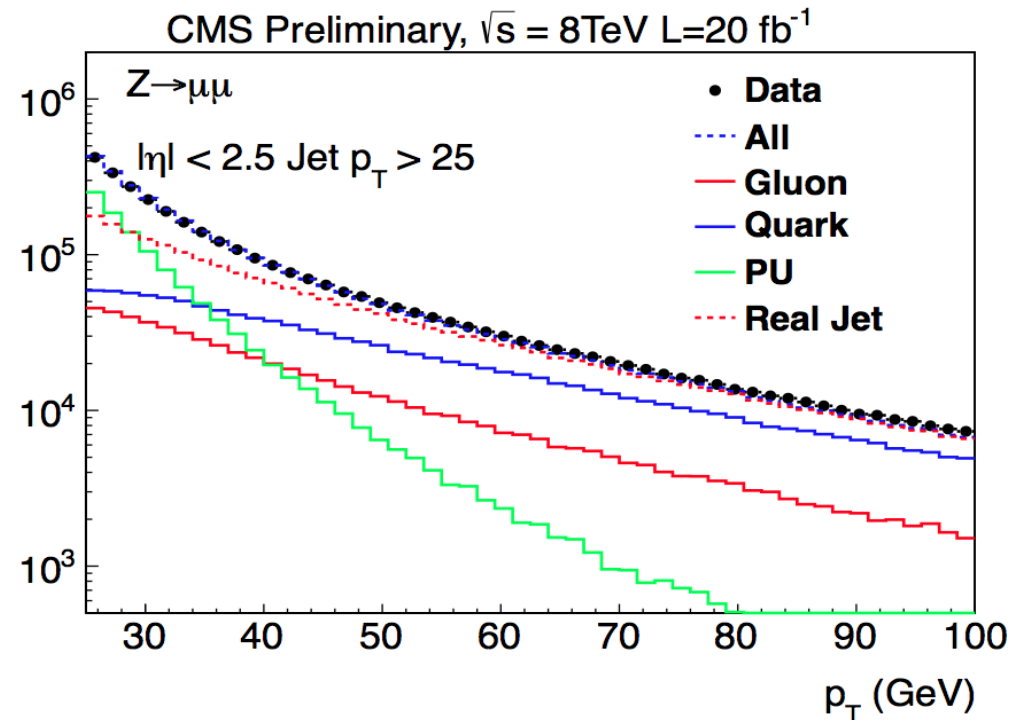
What does it look like in Data?

$$P(\text{overlap}|pT) \approx C N_{\text{pu}}^2 a_{\text{jet}}^2 pT^{-6.2}$$

- Expect pileup to grow quadratically
- Expect pileup jets to fall off more rapidly
 - Pileup jets remain a few% level problem up to 70 GeV

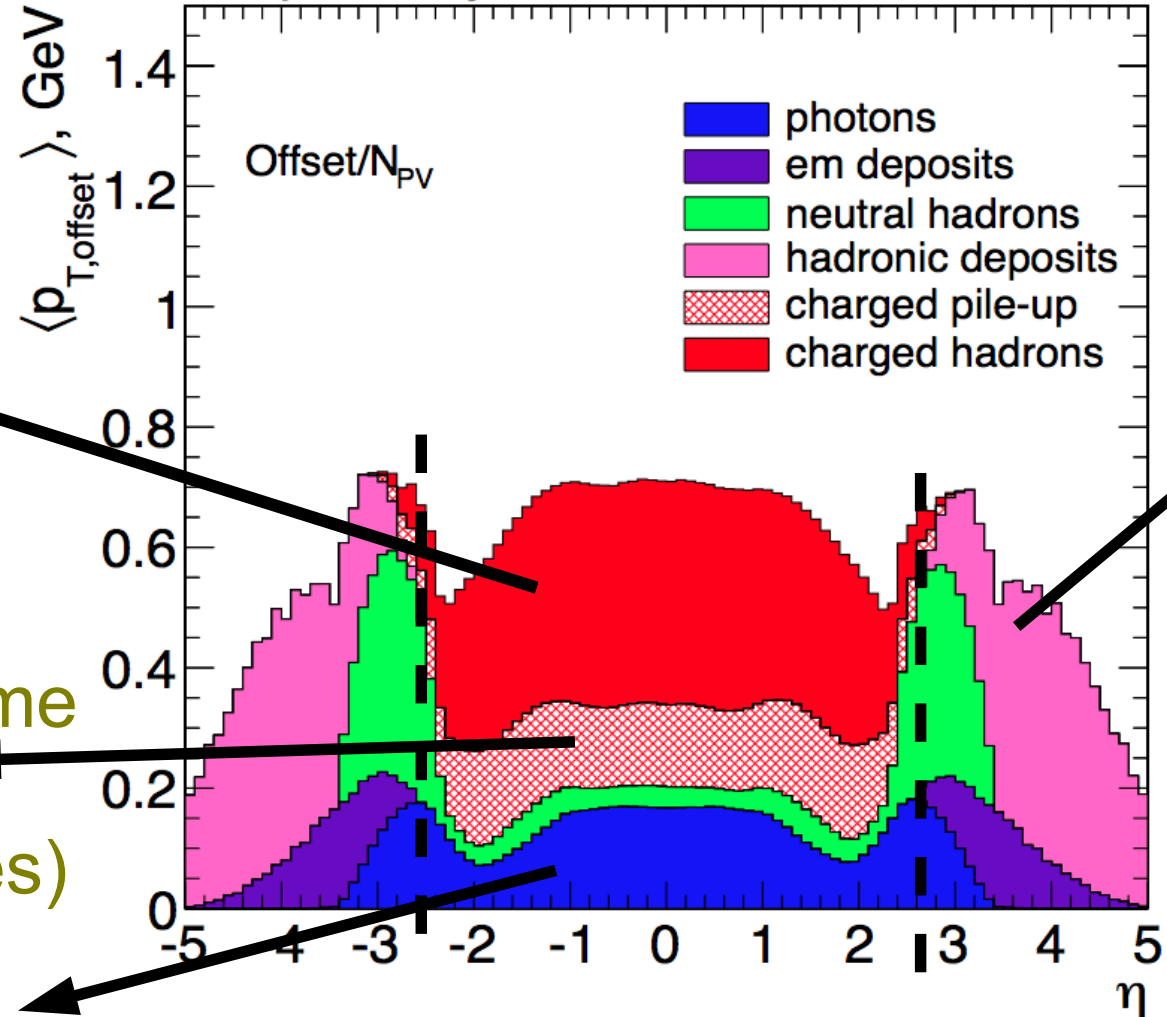


$$\text{Number of PV} = 0.7 \times N_{\text{pu}}$$



Pileup Composition in CMS

CMS preliminary $\sqrt{s} = 8 \text{ TeV}$



Flag with Vertexing



No tracker
Must rely
on shapes
to identify



Flag with some uncertainty
(vtx + shapes)



Guilty by Association
(rely on clustering)



Charge Hadron Subtraction:
Flag from another vertex and remove it

Pileup Removal in CMS

- PF Jet reconstruction
 - Take all particle flow (PF) candidates
 - Cluster
 - Apply ρ correction
- Charged Hadron Subtraction(CHS) Jet reconstruction
 - Remove PF candidates assigned to another vertex
 - Cluster
 - Apply modified ρ correction (modified in TK volume)
 - Baseline for substructure and shape variables

Pileup Removal in CMS

- PF Jet reconstruction
 - Take all particle flow (PF) candidates
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 - Apply ρ correction
- Charged Hadron Subtraction(CHS) Jet reconstruction
 - Remove PF candidates assigned to another vertex
 - Cluster
 - Apply modified ρ correction (modified in TK volume)
 - Baseline for substructure and shape variables

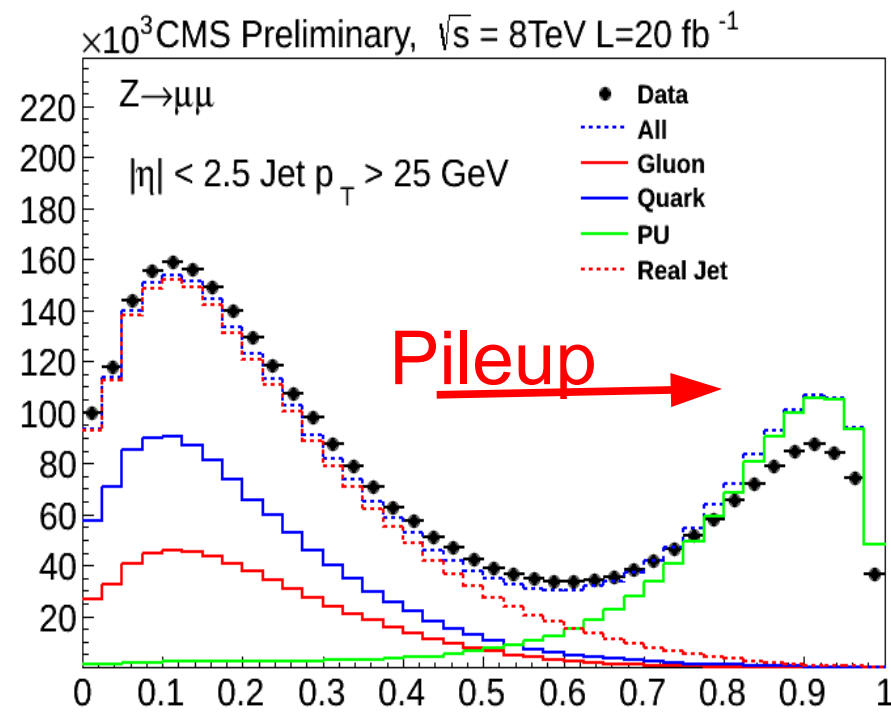
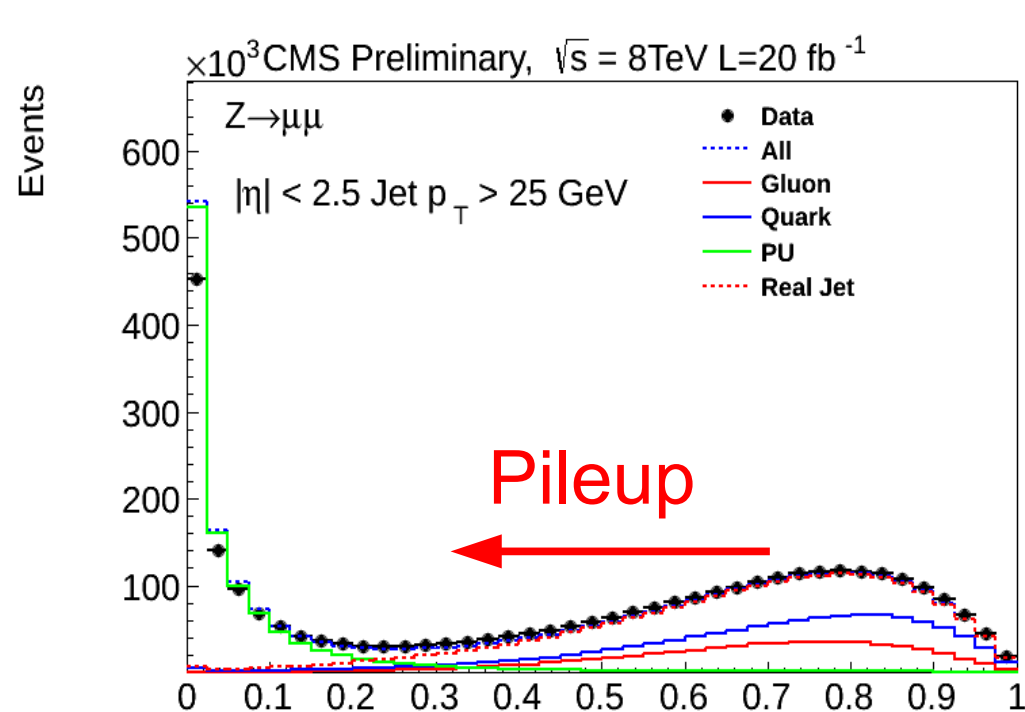
Apply Pileup jet Id on either (separate for each algo)

Pileup Jet Id Algorithm: Tracking

- 13 variables for the full discrimination
 - 4 Vertexing related variables (2 most impnt shown):
#vertices, dZ of leading track in jet +

$$\beta = \frac{\sum_{i \in PV} p_{Ti}}{\sum_i p_{Ti}}$$

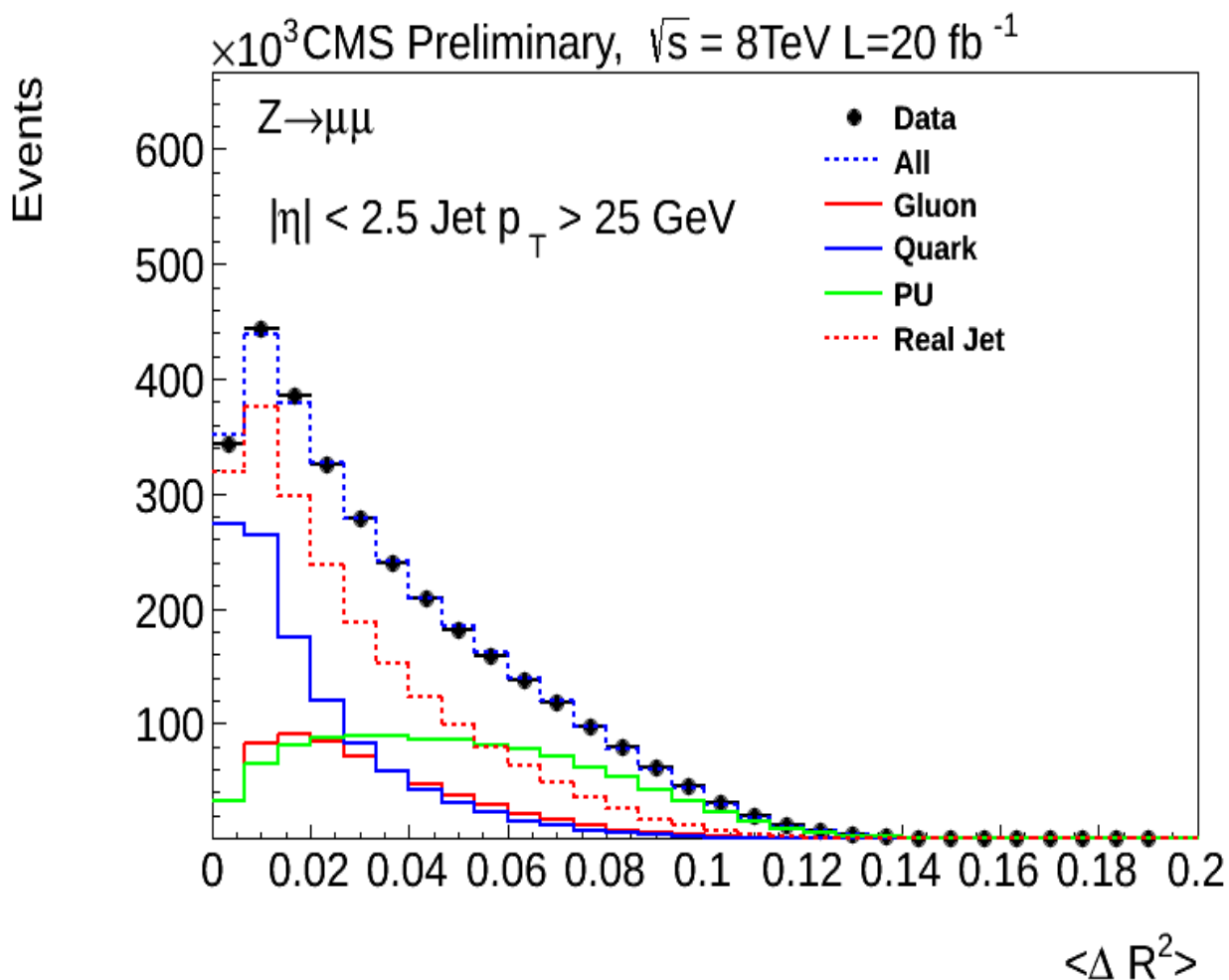
$$\beta^* = \frac{\sum_{i \in otherPV} p_{Ti}}{\sum_i p_{Ti}}$$



Pileup tends to degrade performance of these variables β β^*

Pileup Jet Id Algorithm: Shapes

- 13 variables for the full discrimination
 - QG disc: pTD , #Charged particles, #Neutral particles
 - 6 Shape variables

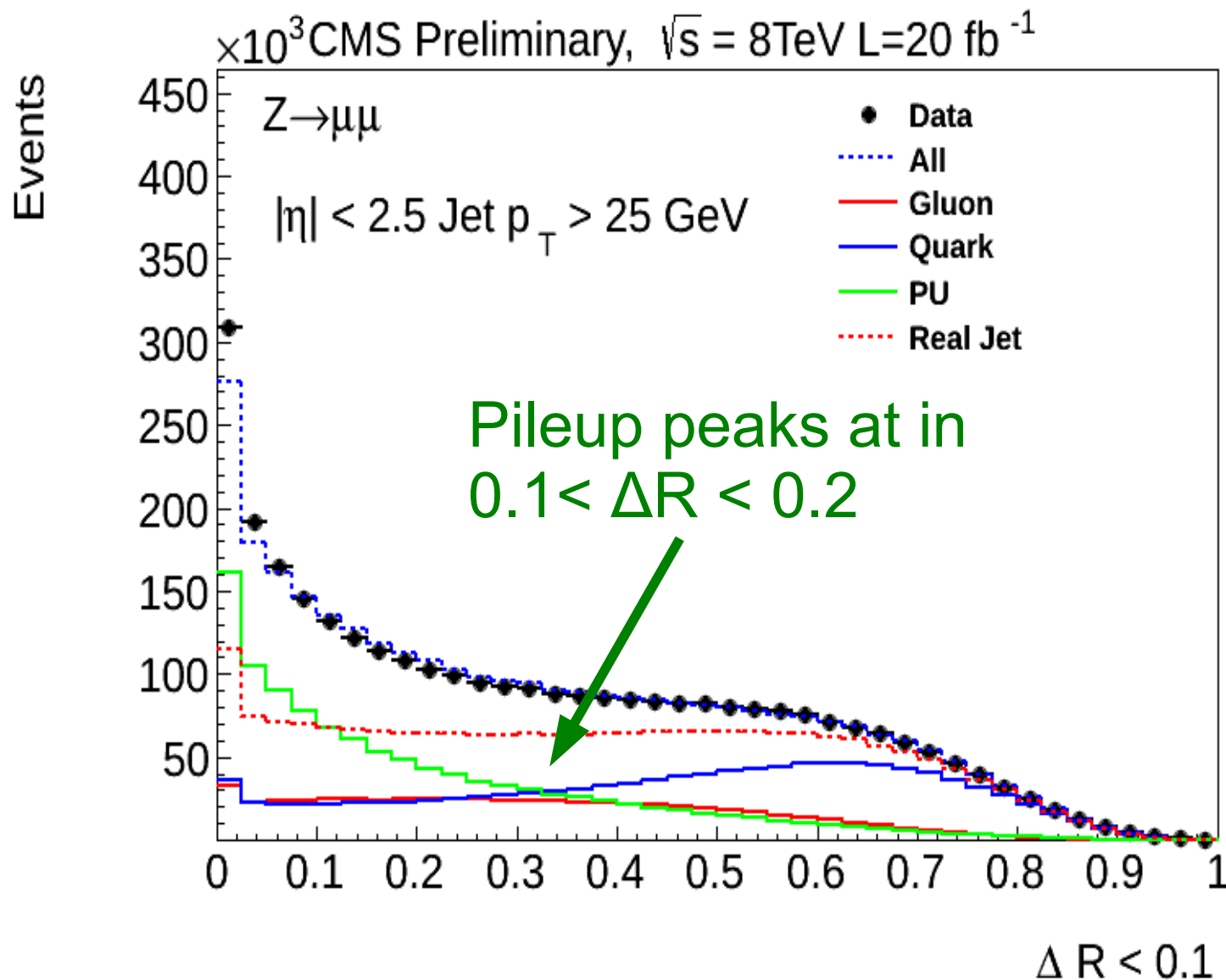


Most Effective
Jet shape variable

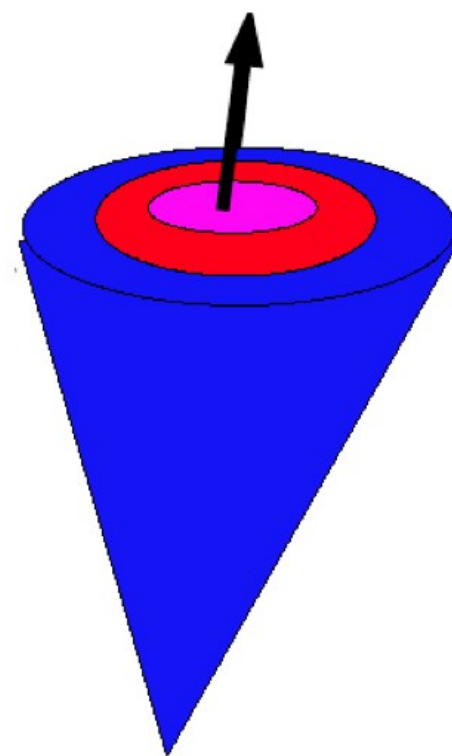
$$\langle \Delta R^2 \rangle = \frac{\sum_i \Delta R_i^2 p_{Ti}^2}{\sum_i p_{Ti}^2}$$

Pileup Jet Id Algorithm: Cones

- Additional shape variables : ΔR annuli



$\Delta R 0.1 \Rightarrow 0.5$



Algorithm Construction

- Construct a Boosted decision tree real vs PU Jets
 - Train in four separate regions of η

$|\eta| < 2.5$
tracking

Shape variables

$2.5 < |\eta| < 2.75$
Weak tracking

(tracking ends at 2.5)

Shape variables

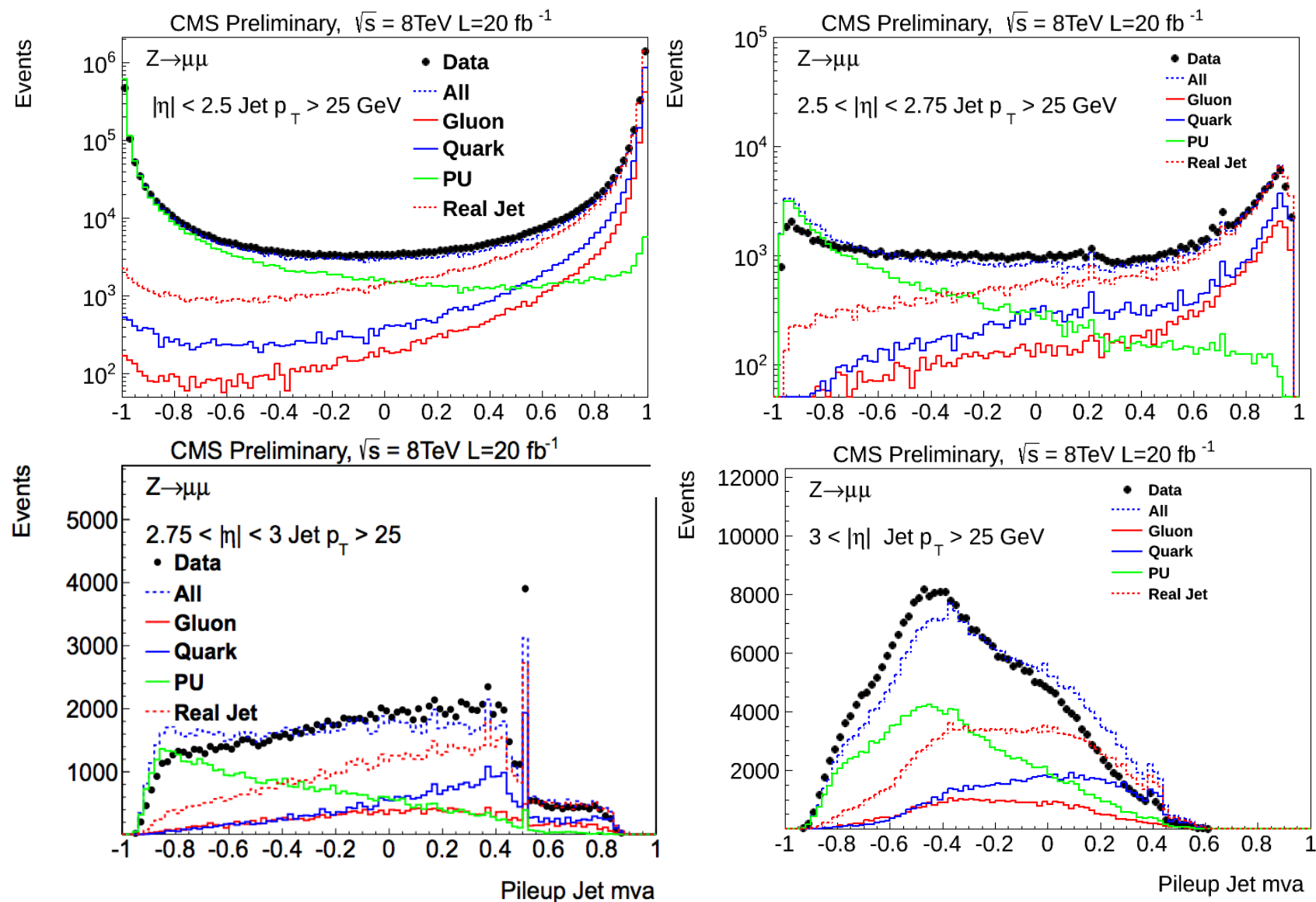
$2.75 < |\eta| < 3.0$
Shape variables

$3.0 < |\eta| < 5.0$
Forward HCAL
Shape variables

Construct a Boosted decision tree (trained on Z+jets for each)

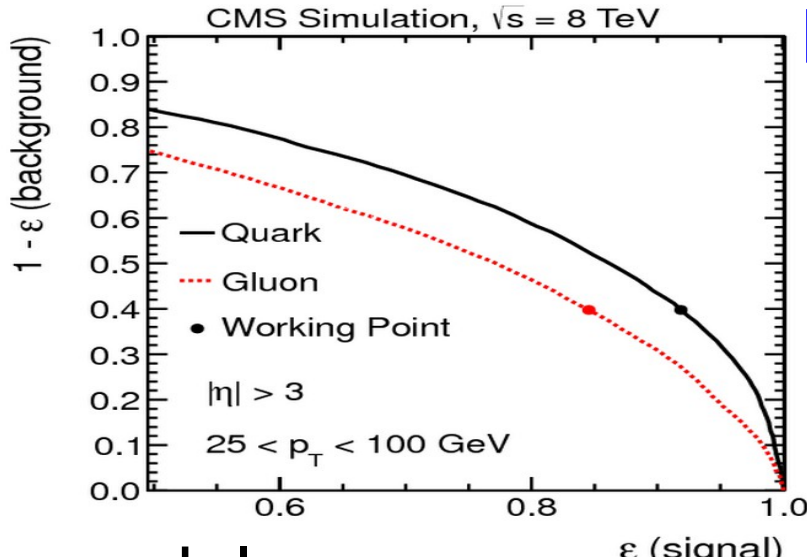
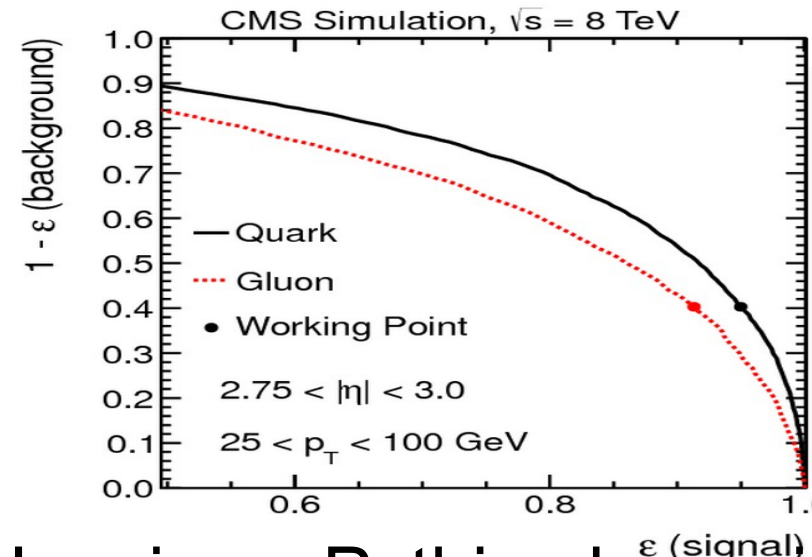
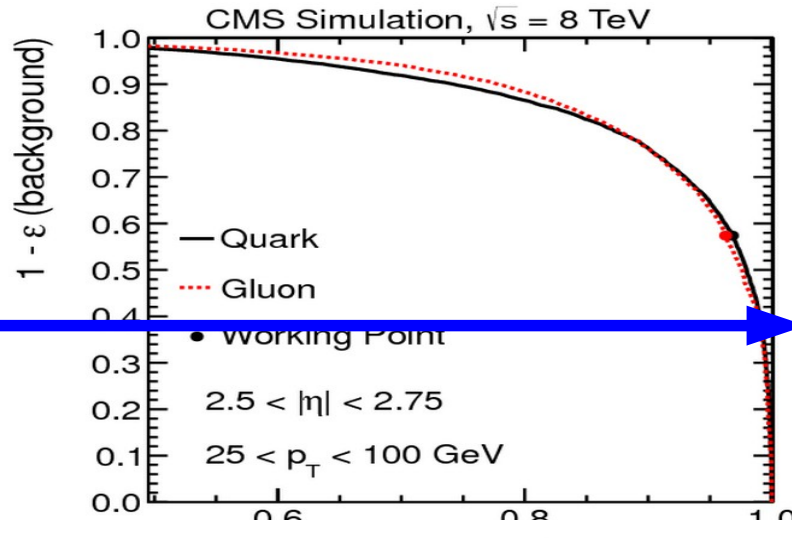
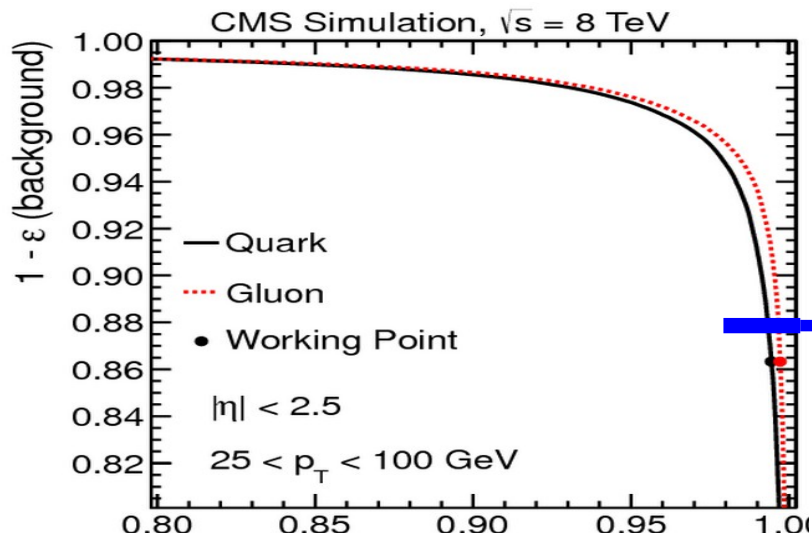
Pileup Jet Id in Data

- Fraction of pileup grows with higher $|\eta|$



Pileup Jet Id Performance

- Largest systematic : from quark/gluon variation

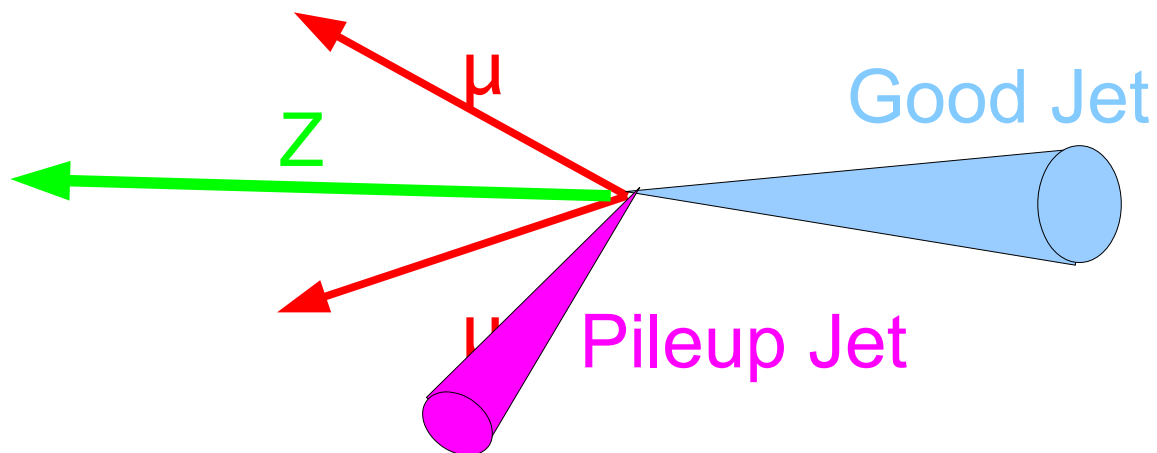


CHS results consistent w/specific working point

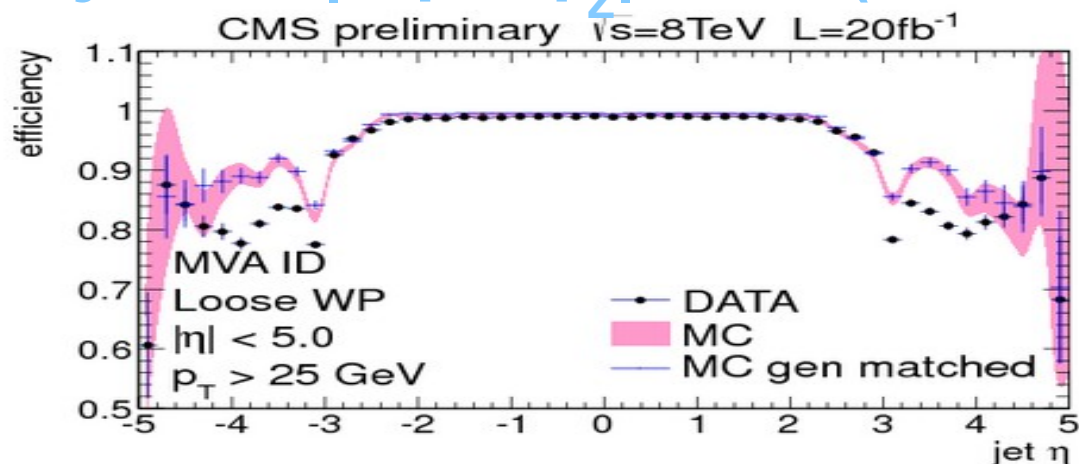
Herwig vs Pythia showering models bounded by quark/gluon variation

Pileup Jet Id: Efficiency in Data

- Use Z+Jet balance to measure efficiency in data



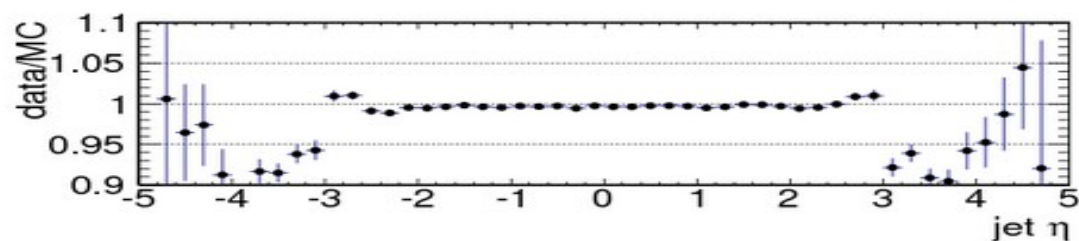
Good jet eff: $|\Delta\phi - \phi_z| > 3.0$ (subtract scaled PU)



Example VBF:

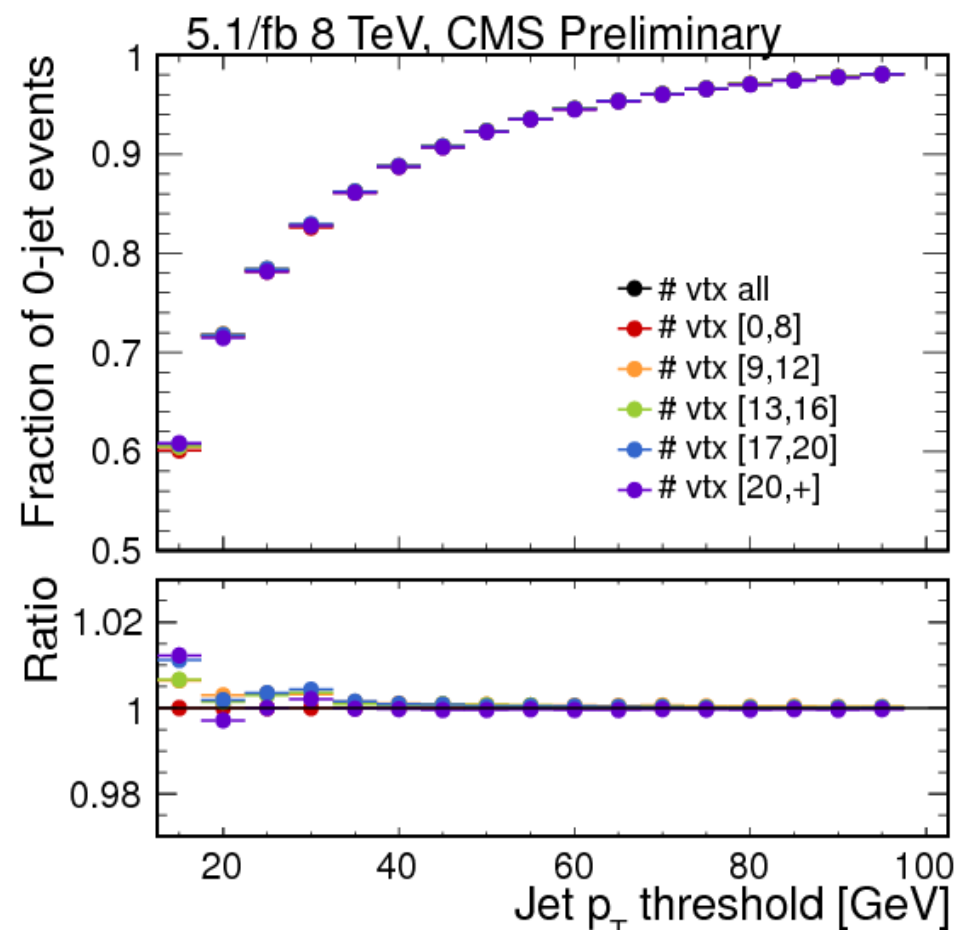
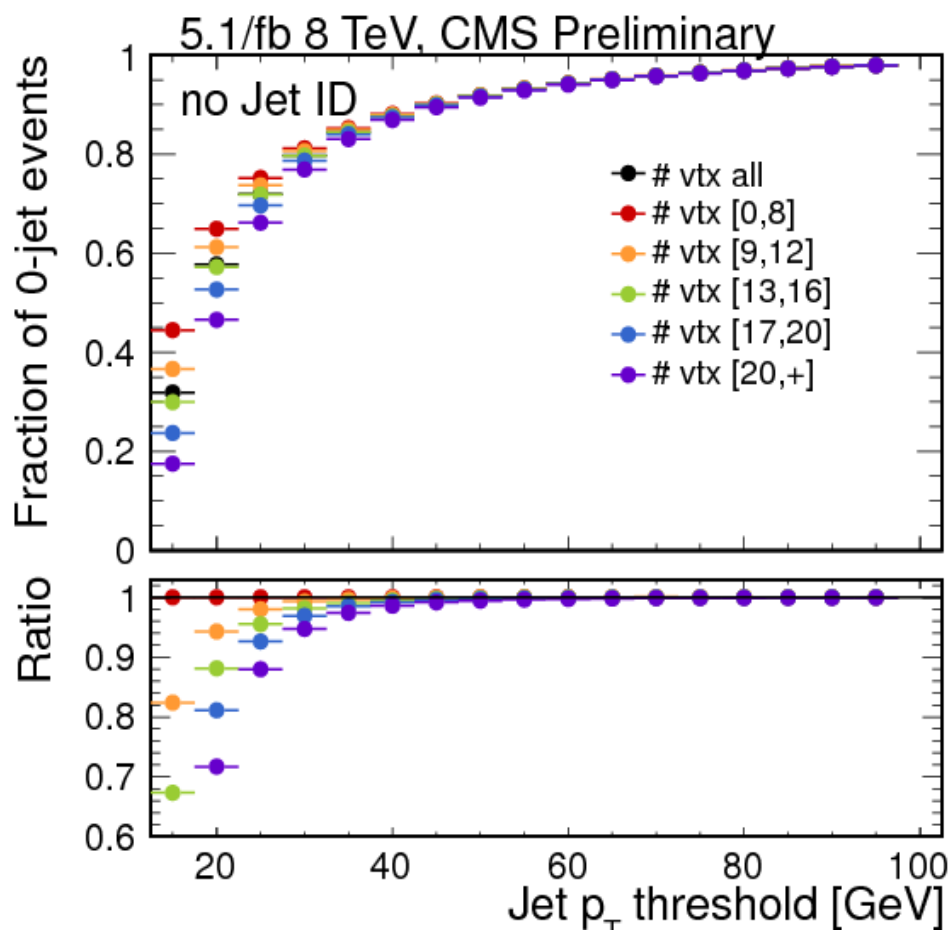
VBF Eff: 90%

VBF Fake rate 15%



Usage Examples: Jet Vetos

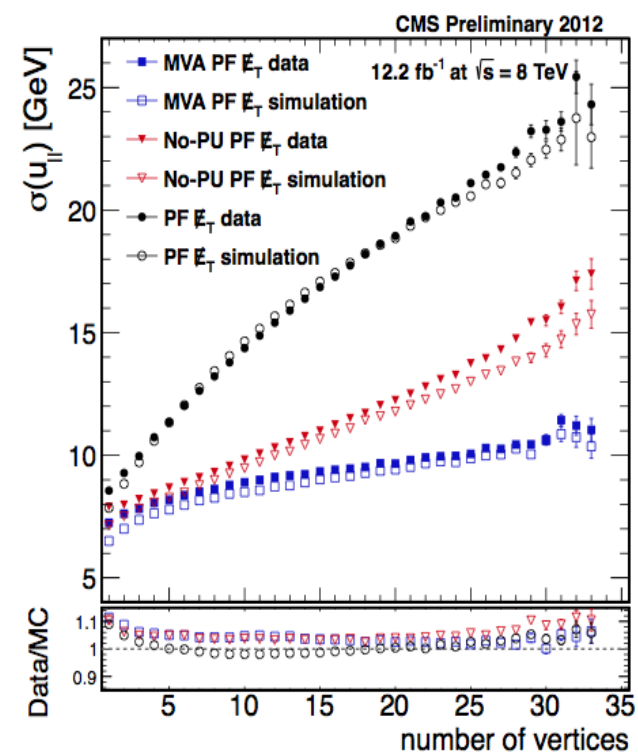
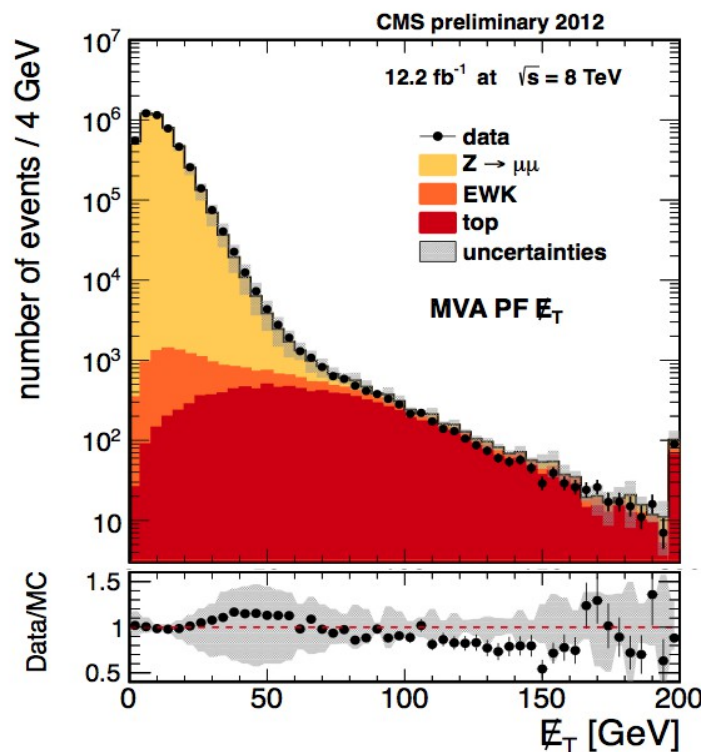
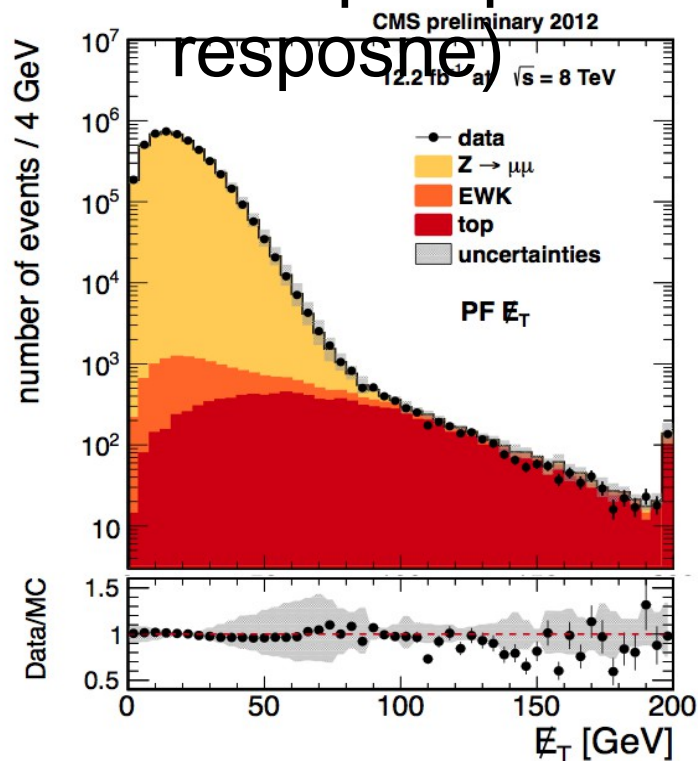
- Pileup jet id allows extension of jet vetos to low p_T
 - Critical for b-tag veto (requires jets with $p_T > 10$ GeV)



Vector boson fusion background reduced by a factor of 2
(90% good jet eff)

MVA MET Performance

- Consider separating out hadronic portion of event
 - Use the pileup jet id to classify neutrals (in jets $p_T > 5$ GeV)
 - Use vertex information to classify charged hadrons
- Recombine sub-components through BDT/Algo
 - Pileup dependence reduced by factor of 4 (~same



Quark/Gluon Discrimination

- Available for all regions in jet $\eta + p_T > 30$ GeV
- Construction of id
 - Require tracks to point from PV + neutrals > 1 GeV
 - Construct likelihood built on 3 variables
 - Binned in both ρ and p_T

$$p_T D = \frac{\sqrt{\sum_i p_{T,i}^2}}{\sum_i p_{T,i}}$$

$$W_{ij} = \frac{1}{\sum_i p_T^2} \sum_i \begin{pmatrix} (\Delta\phi_i)^2 p_T^2 & (\Delta\eta_i \Delta\phi_i) p_T^2 \\ (\Delta\phi_i \Delta\eta) p_T^2 & (\Delta\eta_i)^2 p_T^2 \end{pmatrix}$$

Take the minor axis from diagonalized W_{ij}

Number of total constituents

Quark/Gluon Discrimination

- Available for all regions in jet $\eta + p_T > 30$ GeV
- Construction of id
 - Require tracks to point from PV + neutrals > 1 GeV
 - Construct likelihood built on 3 variables + binned in ρ
 - Binned in both ρ and p_T

Best variable in the Central region $\sim |\eta| < 3$

$$p_T D = \frac{\sqrt{\sum_i p_{T,i}^2}}{\sum_i p_{T,i}}$$

$$W_{ij} = \frac{1}{\sum_i p_T^2} \sum_i \begin{pmatrix} (\Delta\phi_i)^2 p_T^2 & (\Delta\eta_i \Delta\phi_i) p_T^2 \\ (\Delta\phi_i \Delta\eta) p_T^2 & (\Delta\eta_i)^2 p_T^2 \end{pmatrix}$$

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- Available for all regions in jet $\eta + p_T > 30$ GeV
- Construction of id
 - Require tracks to point from PV + neutrals > 1 GeV
 - Construct likelihood built on 3 variables + binned in ρ
 - Binned in both ρ and p_T

Best variable in the forward region $\sim |\eta| > 3$

$$p_T D = \frac{\sqrt{\sum_i p_{T,i}^2}}{\sum_i p_{T,i}}$$

$$W_{ij} = \frac{1}{\sum_i p_T^2} \sum_i \begin{pmatrix} (\Delta\phi_i)^2 p_T^2 & (\Delta\eta_i \Delta\phi_i) p_T^2 \\ (\Delta\phi_i \Delta\eta) p_T^2 & (\Delta\eta_i)^2 p_T^2 \end{pmatrix}$$

Take the minor axis from diagonalized W_{ij}

Number of total constituents

Quark/Gluon Discrimination

- Available for all regions in jet $\eta + p_T > 30$ GeV
- Construction of id
 - Require tracks to point from PV + neutrals > 1 GeV
 - Construct likelihood built on 3 variables + binned in ρ
 - Binned in both ρ and p_T

Best variable at high p_T

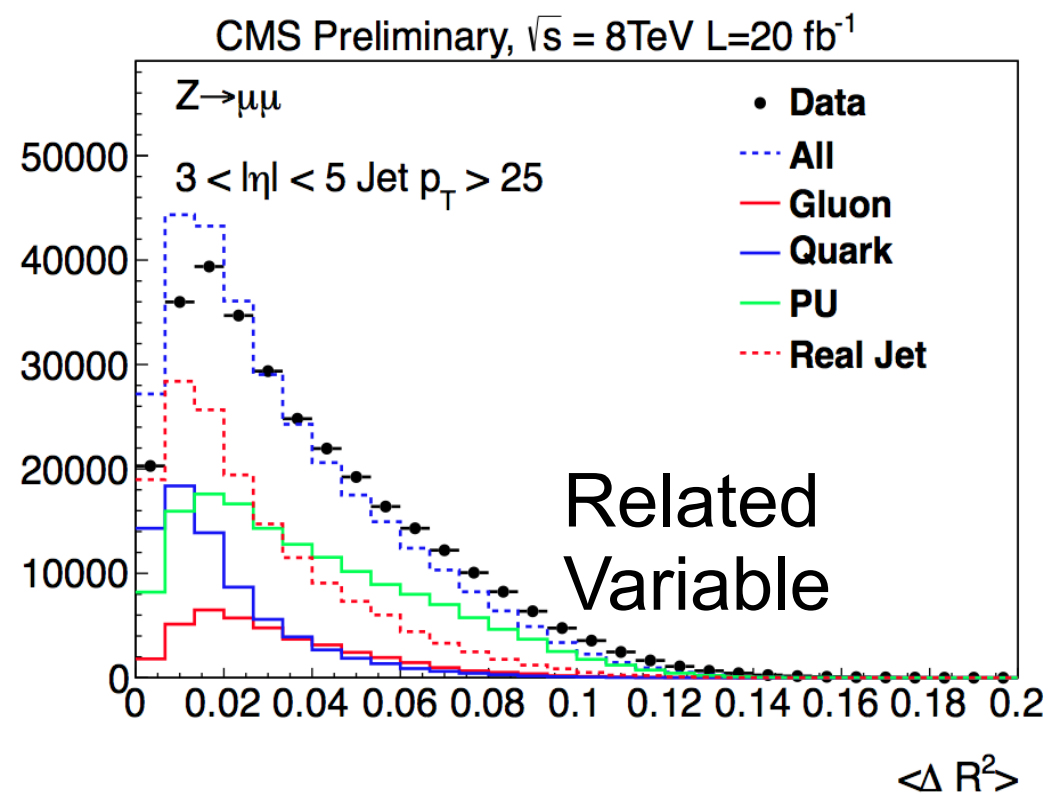
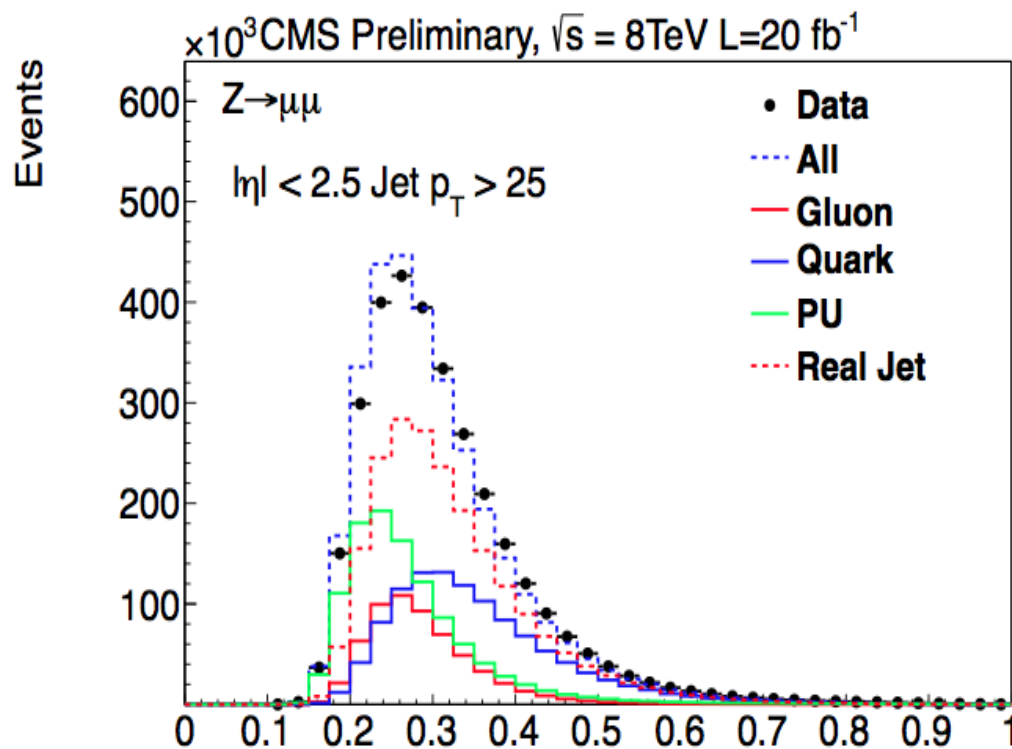
$$p_T D = \frac{\sqrt{\sum_i p_{T,i}^2}}{\sum_i p_{T,i}} \quad W_{ij} = \frac{1}{\sum_i p_T^2} \sum_i \begin{pmatrix} (\Delta\phi_i)^2 p_T^2 & (\Delta\eta_i \Delta\phi_i) p_T^2 \\ (\Delta\phi_i \Delta\eta) p_T^2 & (\Delta\eta_i)^2 p_T^2 \end{pmatrix}$$

Take the minor axis from diagonalized W_{ij}

Number of total constituents

Quark/Gluon Disc. Concept

- Similar concept to the pileup jet id
 - Must rely on the shower shape to discriminate
- Concept
 - Several of these variables overlap with PU Jet id

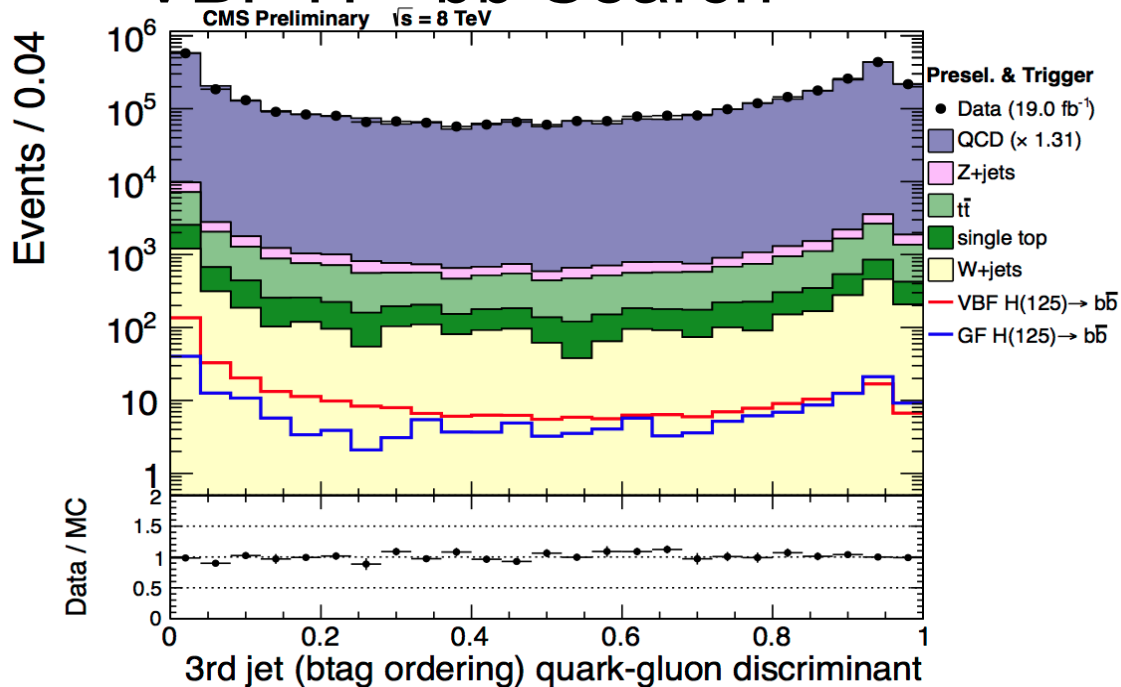


Not shown is # particles (in the backup)

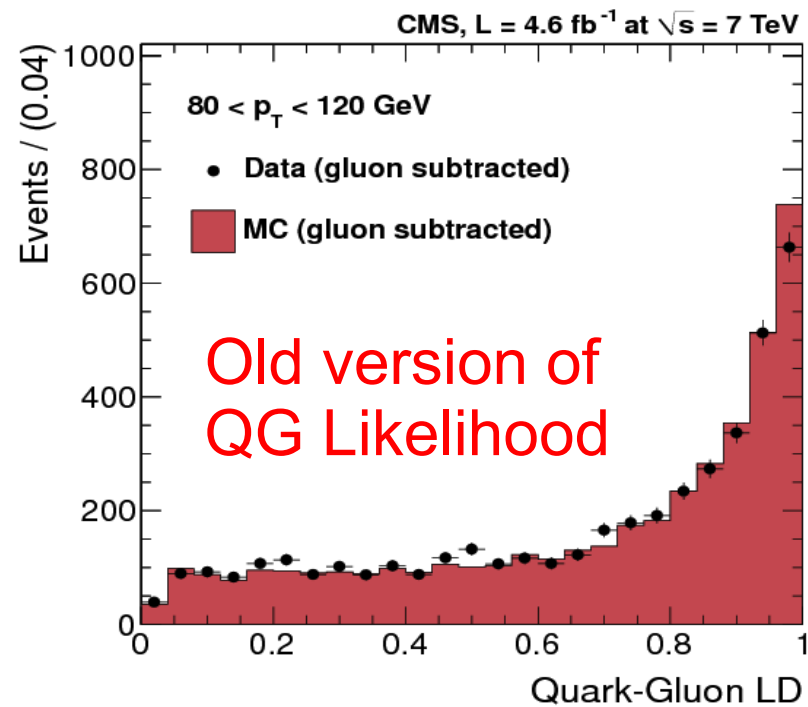
QG Performance + Usage

- QG discrimination used in VBF selection
 - Reduces the QCD/Pileup bkg for forward jets
- QG discrimination used in Z boson tagging
- Reduction of 60% gluon for 80% quark eff

VBF $H \rightarrow b\bar{b}$ Search

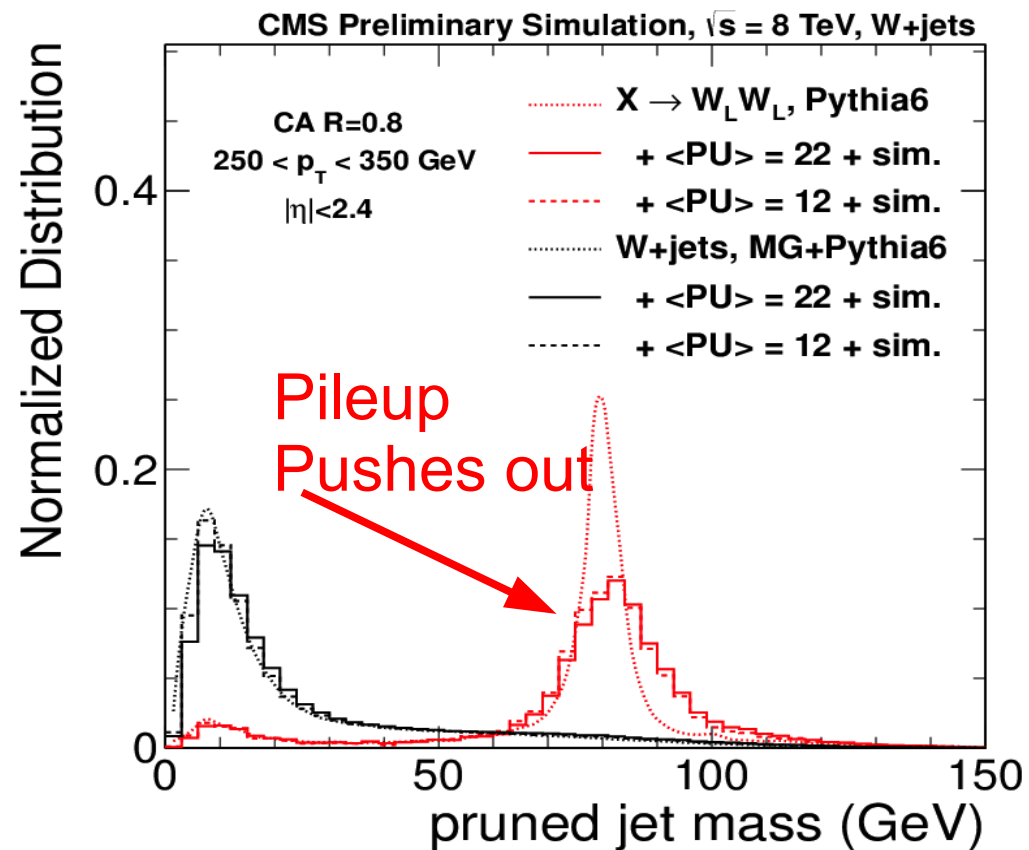
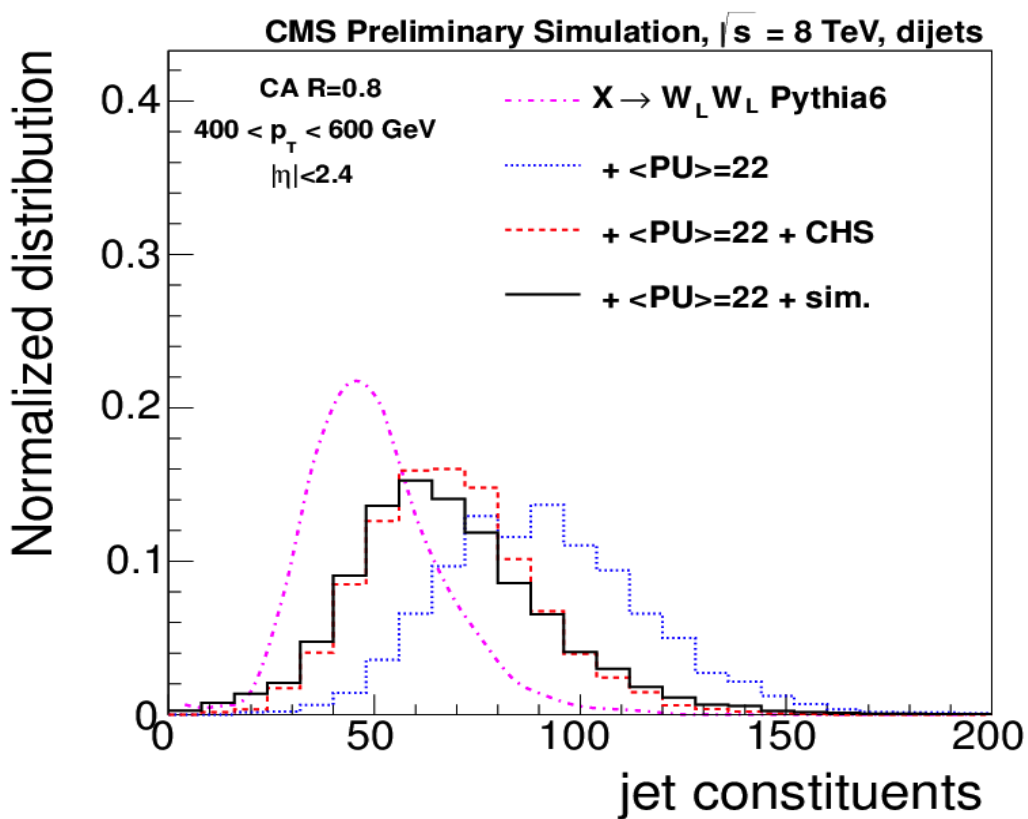


$H \rightarrow ZZ \rightarrow 2l2q$ Search



Adding Substructure

- Substructure starts with CHS
 - Build variables on top of CHS
- Cluster jets CA8 + apply ρ correction
- Targeting W reconstruction for now

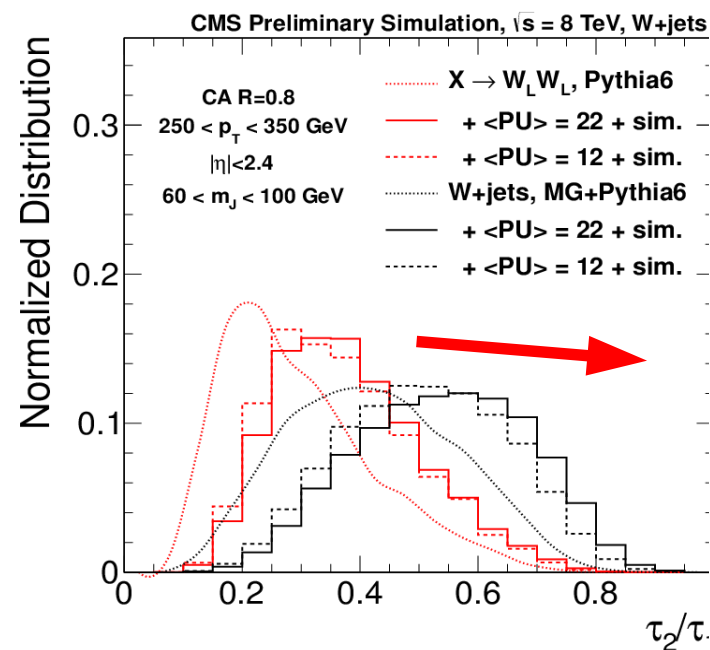
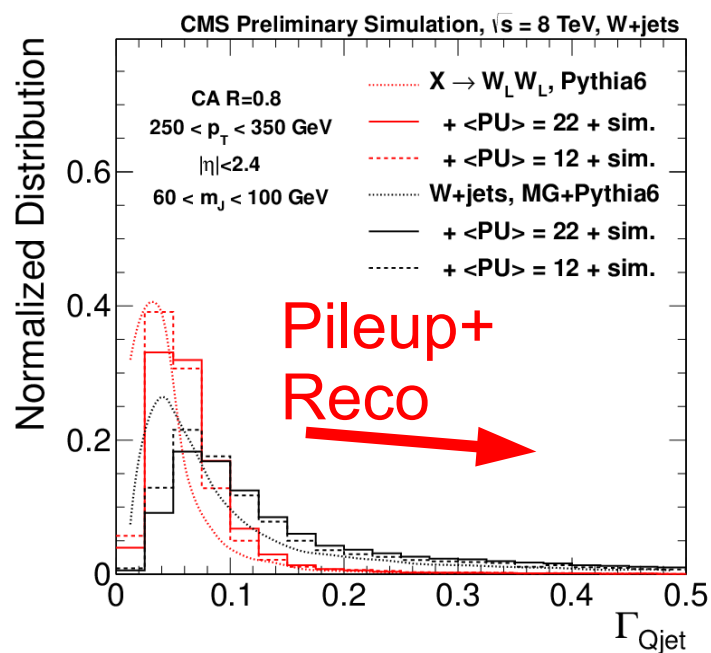
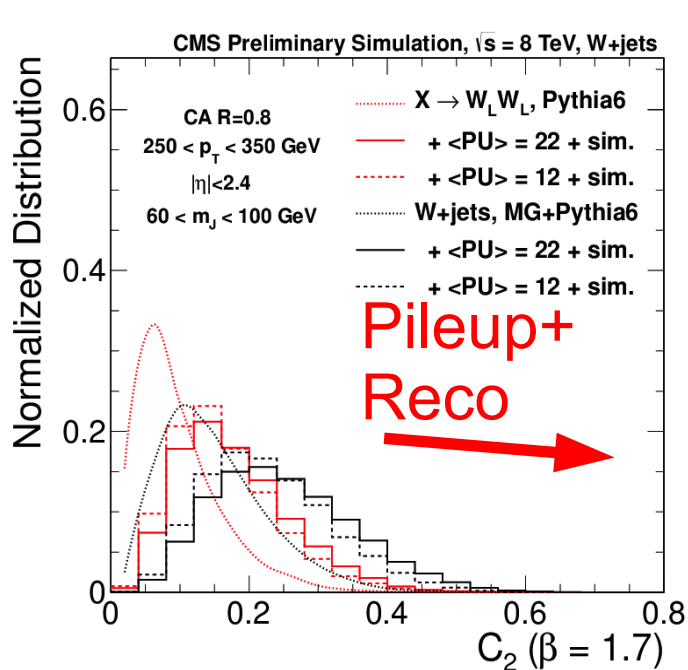


W Substructure tagging vs PU

- Scan performed over a number of observables
 - All proposed taggers start with a cut on pruned mass
 - General tendency to focus on τ_2/τ_1
 - See Emanuele/Nhan/Petar's talk for more info

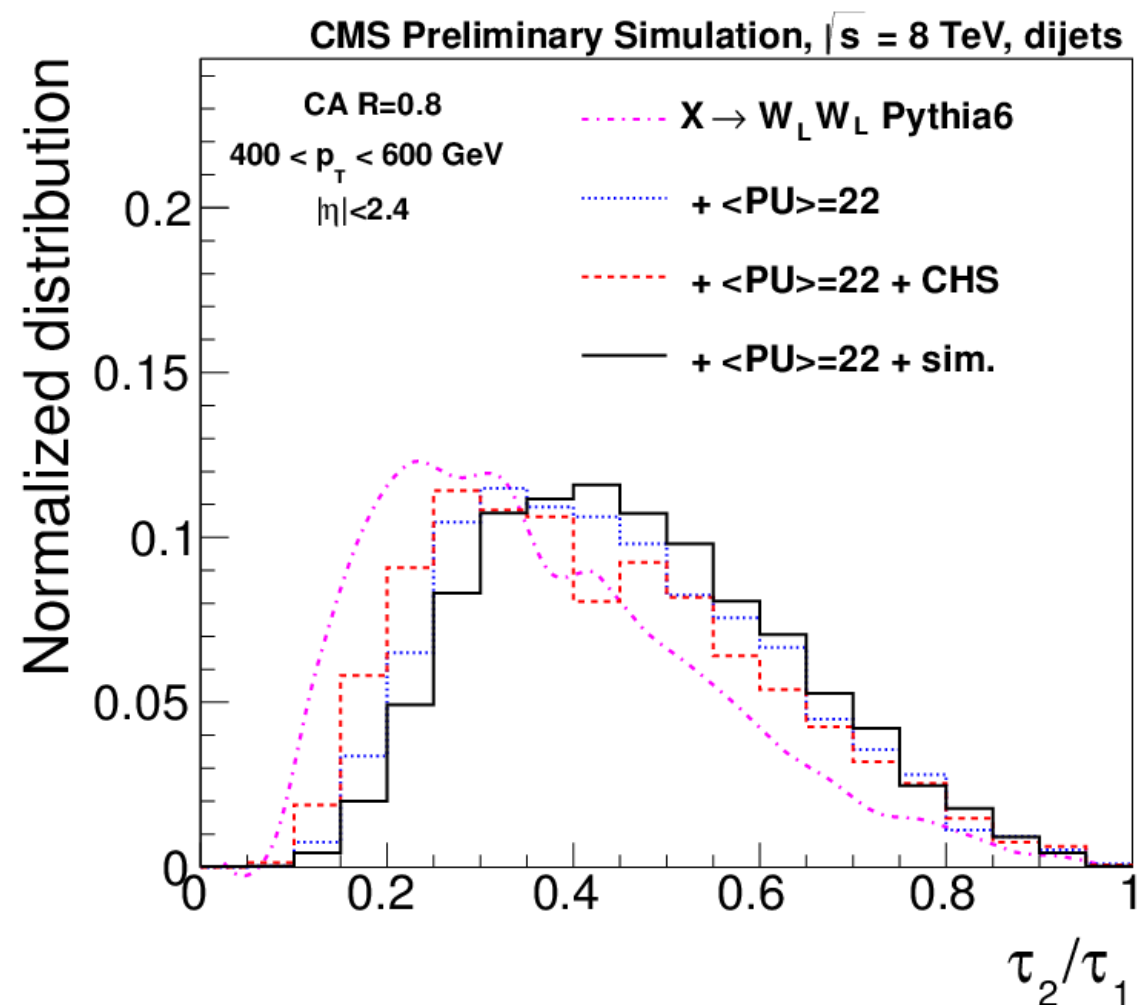
Performance of 3 most effective variables vs pileup

Trend vs Pileup similar in all => gradual degradation in perf.



W Substructure : CHS

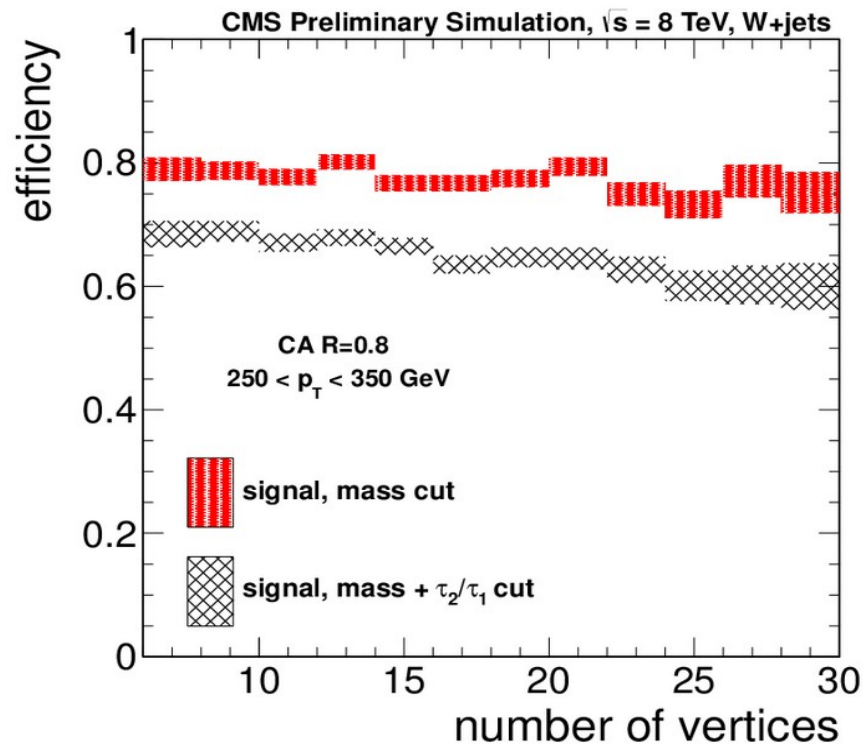
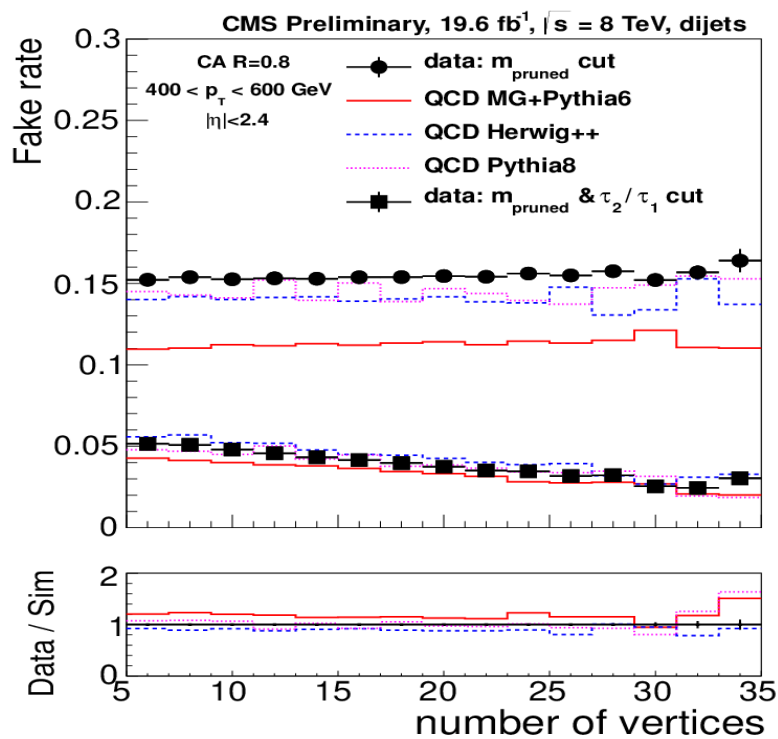
- Charge hadron subtraction clustering default
 - Jet shape effects minimized with the CHS



Charged hadron subtraction reduces the Pileup effect by 2

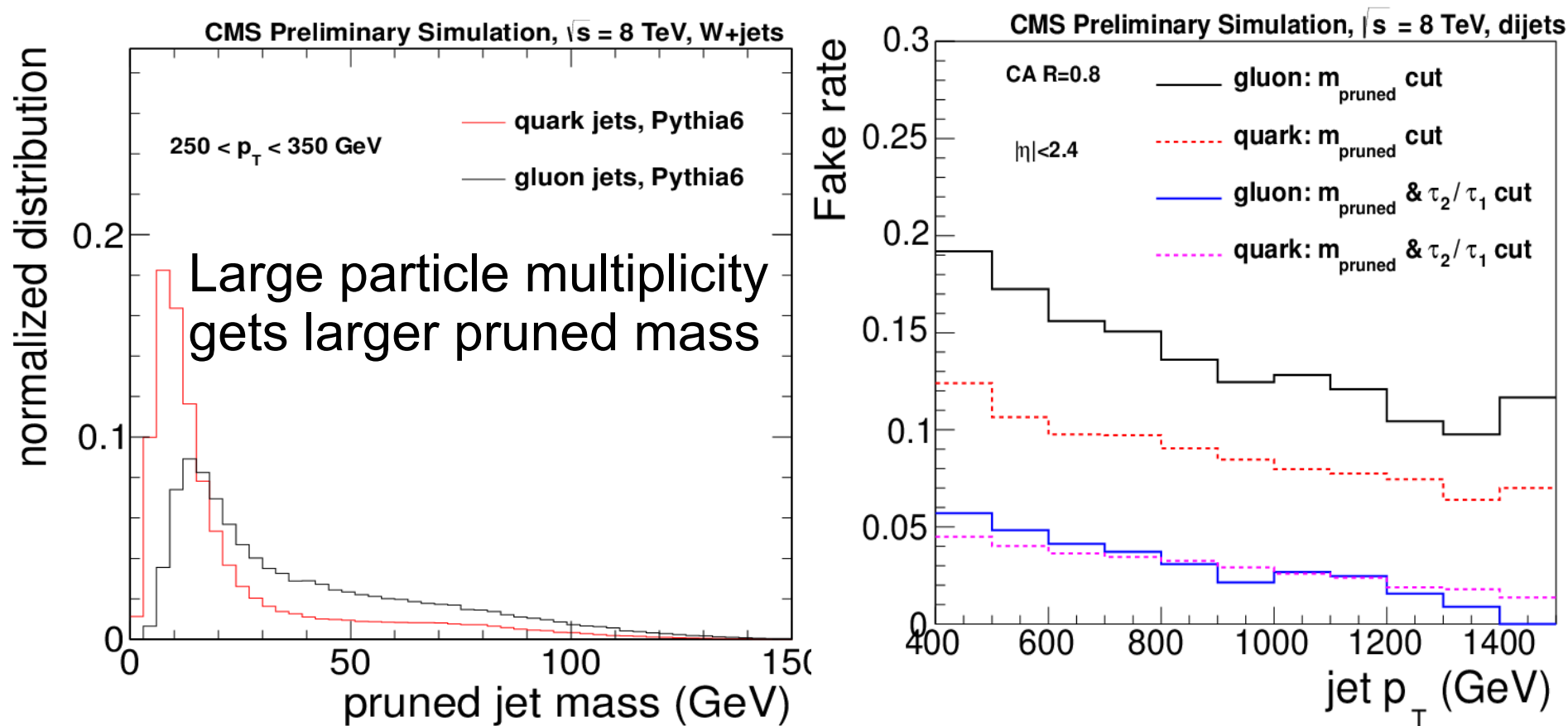
Substructure tagging in Data

- Fakes :
 - Mass cut does has pileup dependence (bkg is flat)
 - **N-subjettiness bkg reduced with pileup**
- Signal :
 - Drop in eff for both mass cut and n-subjettiness



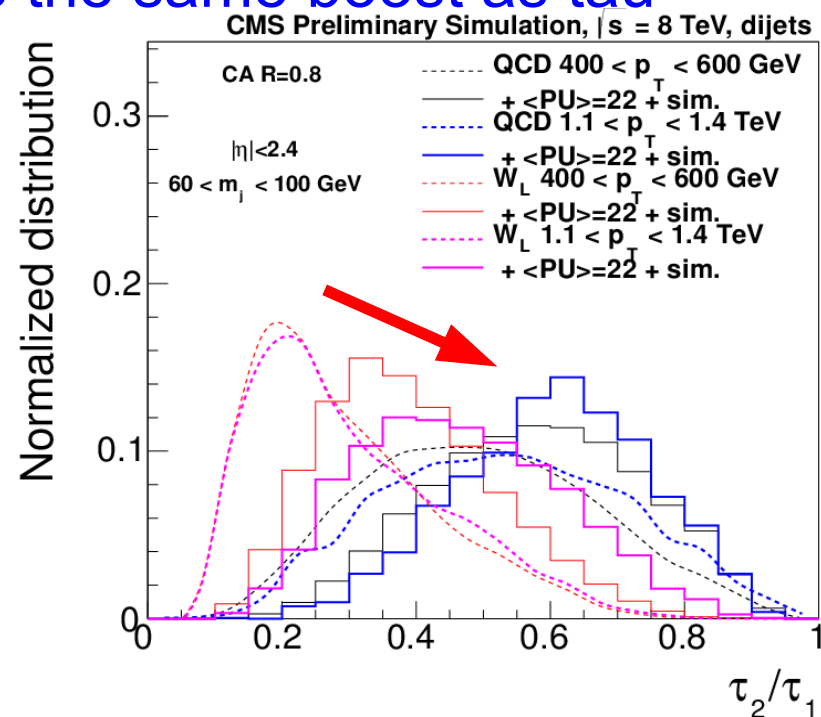
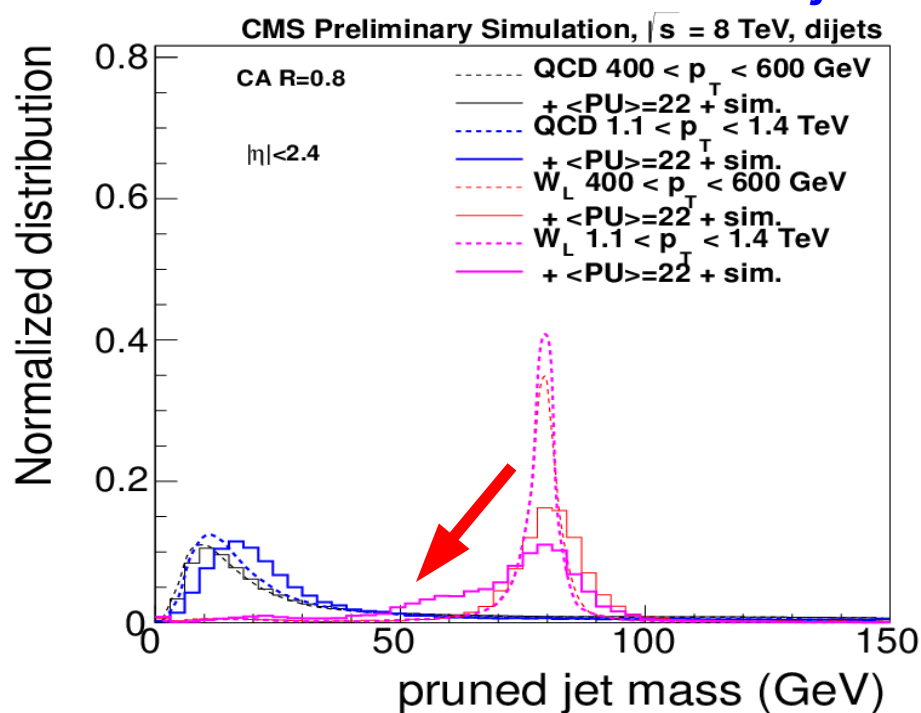
Quark and Gluons w/Substructure

- Quark/gluon separation vs W **same after cuts**
 - Mass cut more effective on quark separation
 - **N-subjettiness** more **effective on gluon** separation
 - Once mass the cut is applied



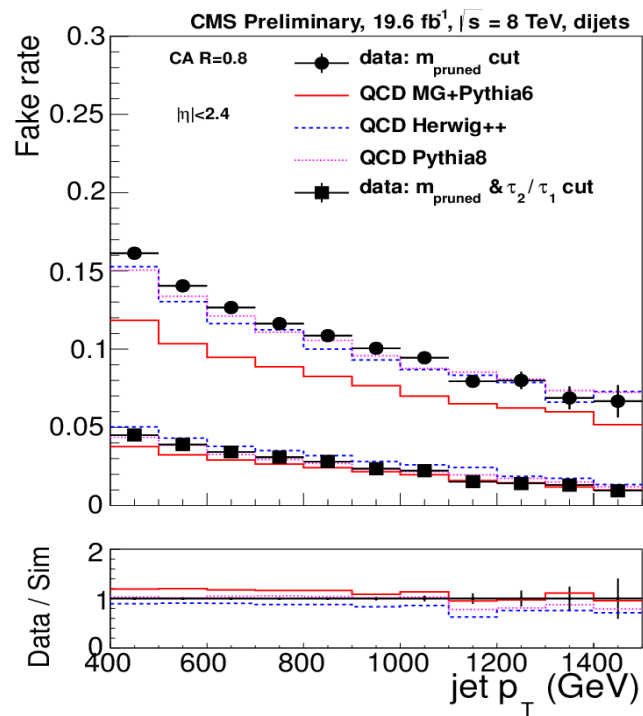
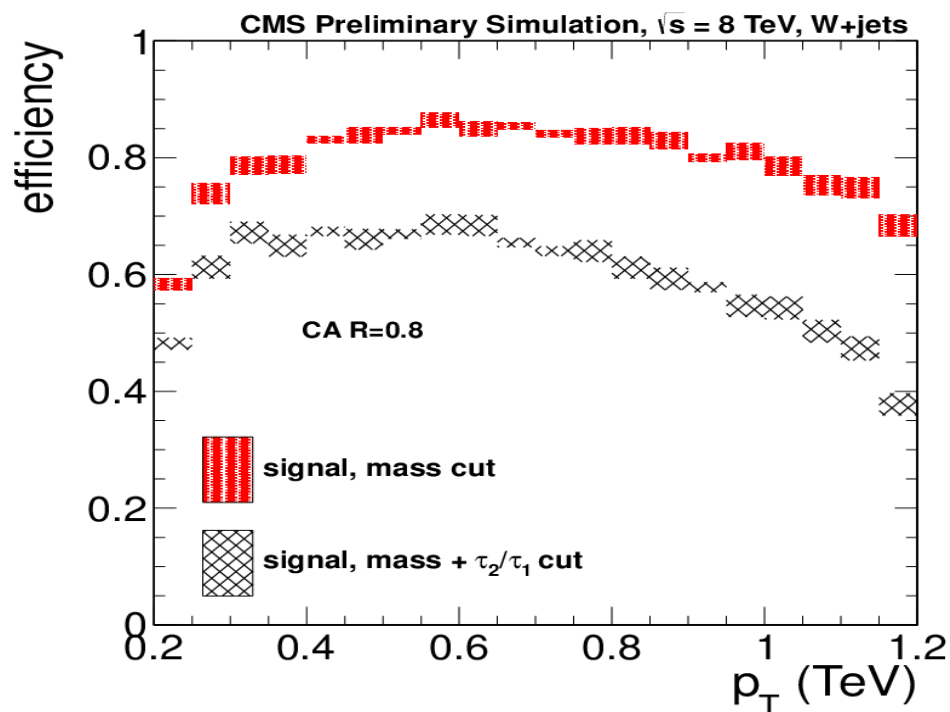
Jet Substructure at high p_T

- At high p_T (1.2 TeV) substructure starts to break
 - Things merge into one another
 - Detector cannot resolve the subjets (even w/tracking)
 - Shape variables are difficult to separate
 - **Such high p_T may call for alternative approaches**
 - At this scale a W jet has the same boost as tau

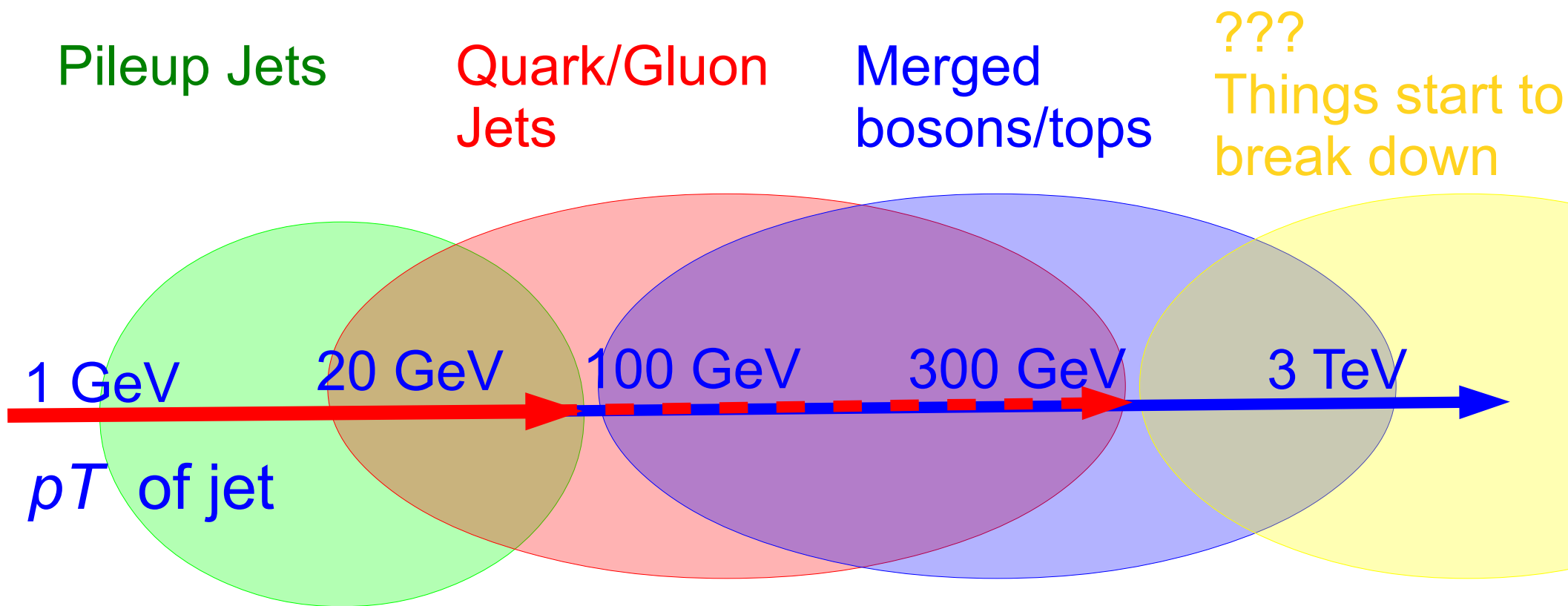


Jet Substructure at high p_T

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 - Things merge into one another
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 - Shape variables are difficult to separate
 - Such high p_T may call for alternative approaches
 - At this scale a W jet has the same boost as tau



Review of What we have Studied



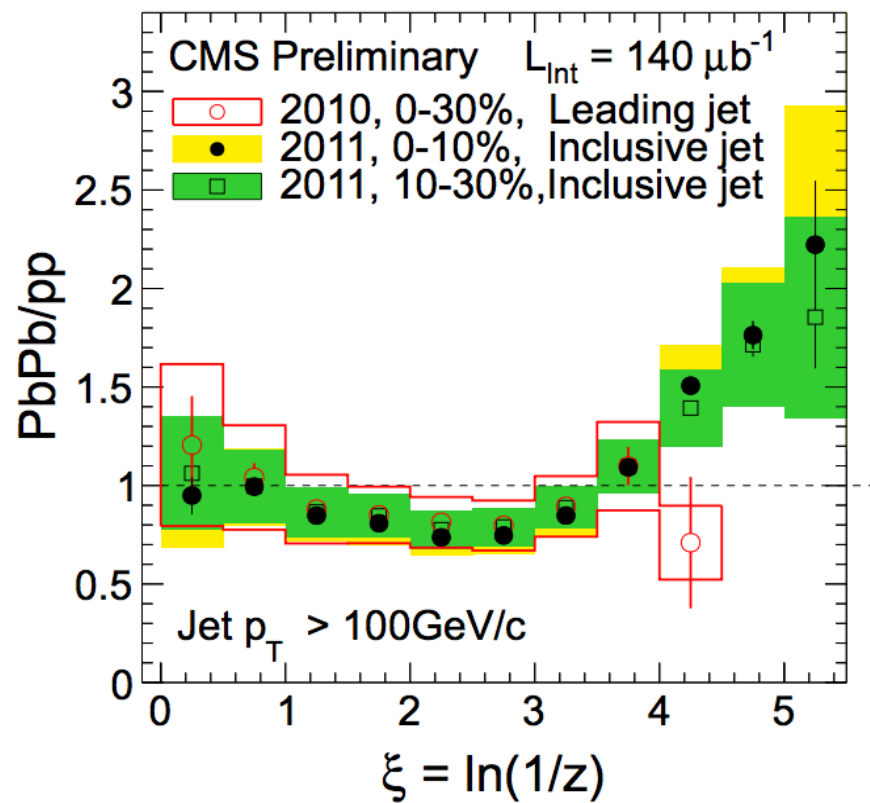
Pileup treated with Pileup Jet Id at low pT

At high pT use Charge Hadron Subtraction

At 1 TeV Reconstruction effects limit substructure

Outlook

- Substructure is a good approach against PU
 - There is still a lot more we can do
 - Effective for Jets, but also *MET*
- CHS: to enhance substructure against pileup
 - Charge assignment and/or p_T threshold on neutrals
 - Techniques effective for quark/gluon/W/Top/??
- Both of the above approach can be extended
- At high p_T substructure start to **break down**
 - This is really a **detector effect**
 - More work needs to be done to approach problem



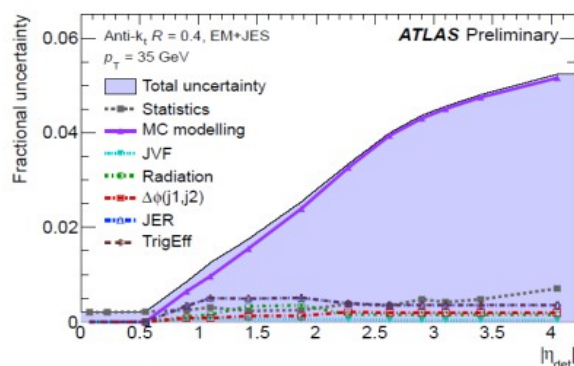
BACKUP

A Call for Consolidation

- Its time to think about consolidation btwn CMS/ATLAS
 - Not necessarily synchronization of Jet cone or Algos
 - Organization between CMS/ATLAS to make results robust
- CMS/ATLAS has had recent success organizing
 - <http://indico.cern.ch/getFile.py/access?contribId=7&sessionId=1&resId=0&materialId=slides&confId=245769>

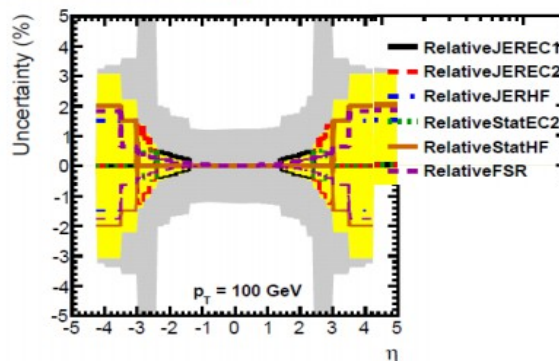
12

Relative calibration uncertainties



ATLAS

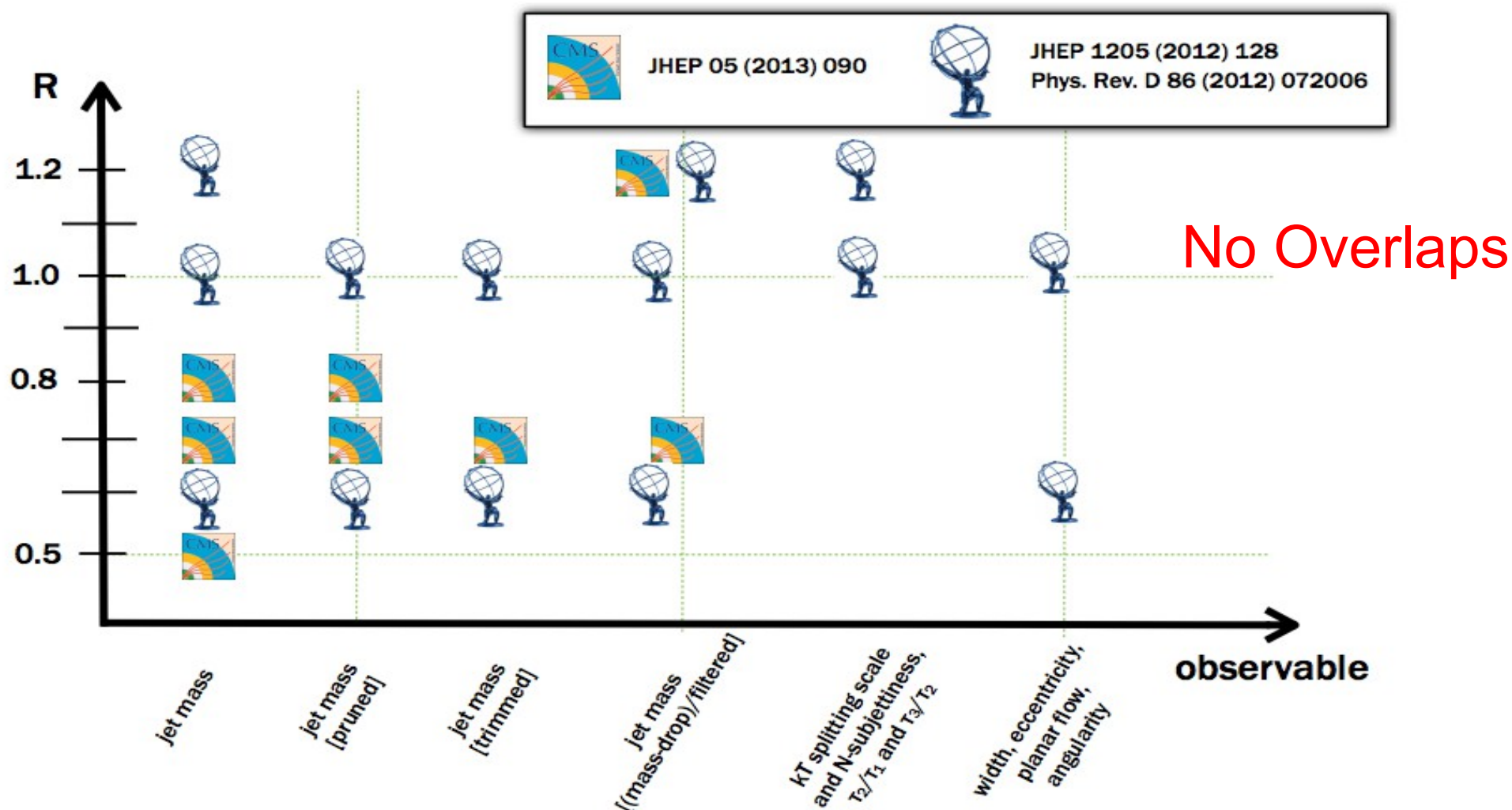
- Dominated by modelling
 - Herwig/Pythia difference, no extrapolation to zero radiation
- Small JER and statistics contribution



CMS

- Dominated by statistical component/JER at high eta
- Modelling/FSR uncertainty reduced due to extrapolation to zero rad.

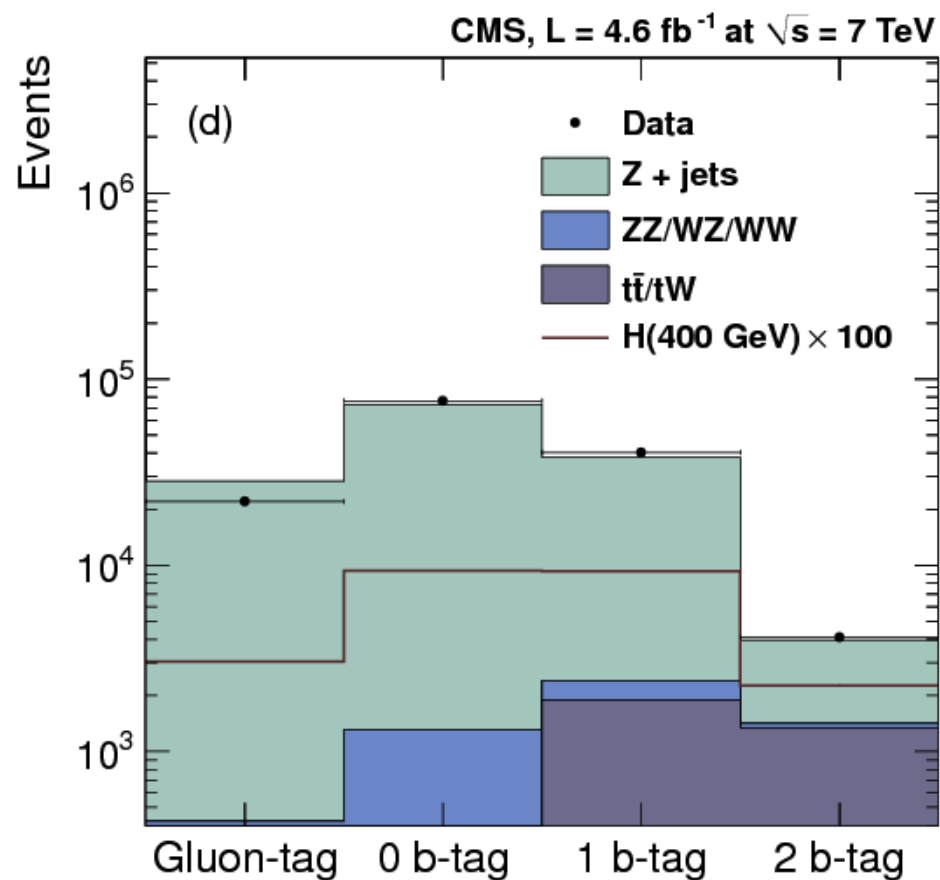
A Call for Consolidation



- Large coverage of the phase space
- Is there appropriate set Cones/Algos to synchronize?

QG Performance (Limited view)

- QG performance in the old tagger

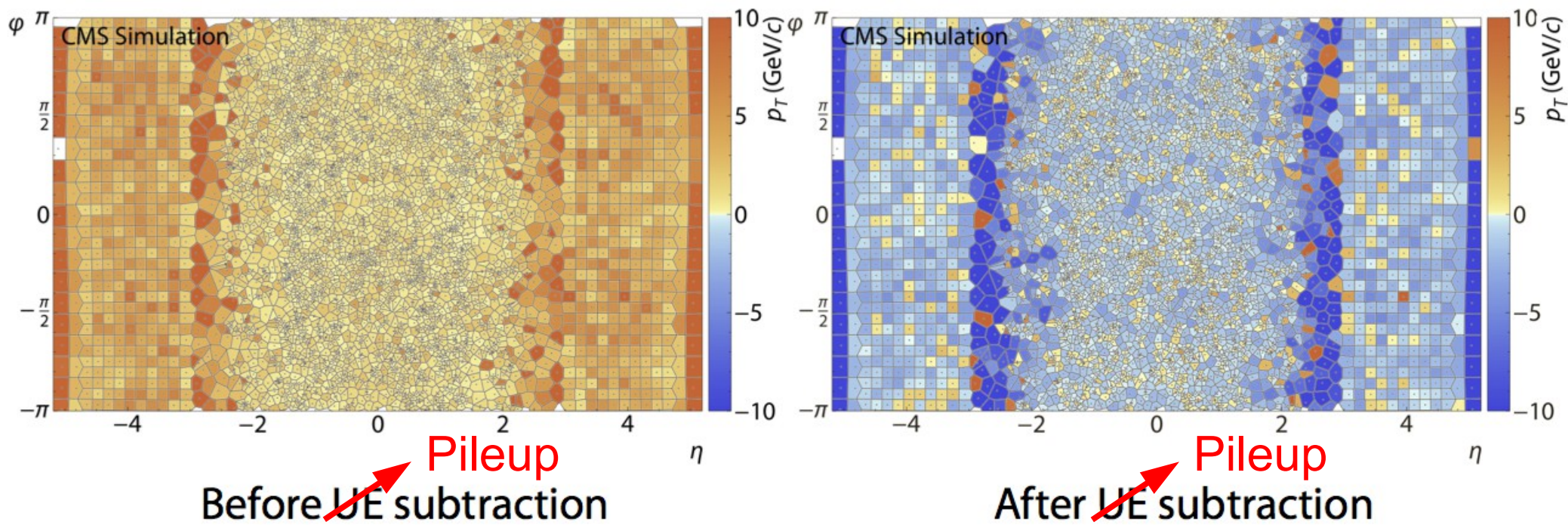


Recap of Talk

- 4+ kinds of jets : All are very much related
 - Pileup Jets, Quark Jets, Gluon Jets, W-tag jets, ...
- For the low p_T region pileup starts to form jets
 - Pileup Jets can be resolved from real jets
 - Using both shape and vertex information
 - Can be used effectively (MET , jet veto, VBF)
- Quark/Gluon discrimination being used in CMS
 - CHS effective medium for to minimize pileup
- W-tagging effectively used in CMS
 - CHS reduces W-tagging pileup dependence by 50%
 - W-tagging reconstruction starts breaks down at high p_T

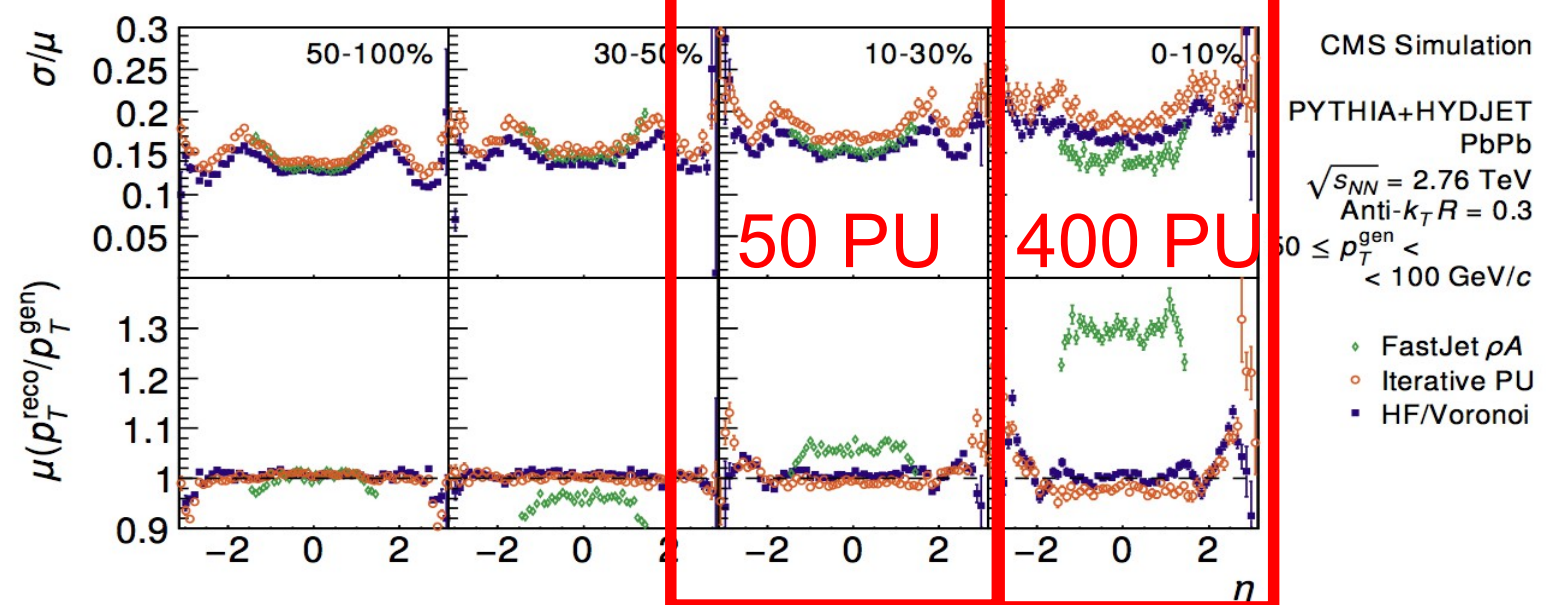
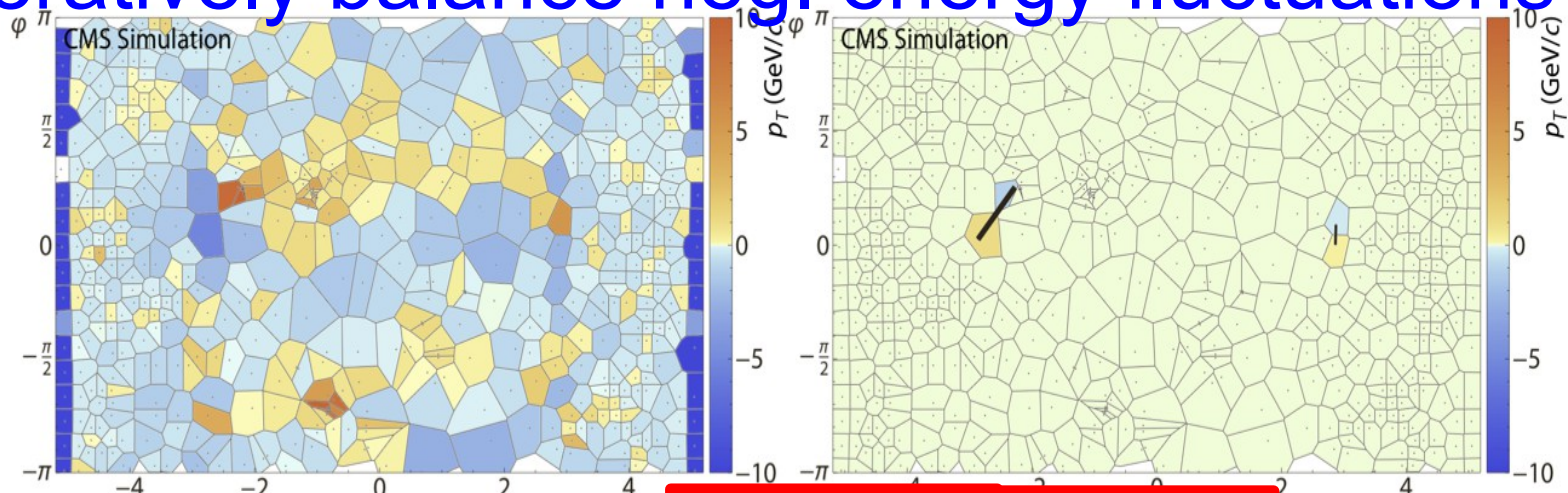
Clusters and New Technology

- Where can we go in cluster calibration?
 - We are looking at a MVA regression to calibrate
 - Not yet successful => we are just starting
 - May benefit from new techniques see discussion later on
- Can we incorporate pileup into this calibration?
 - Looks like it => Learning from Heavy Ion group



Removing PU Cand by Cand

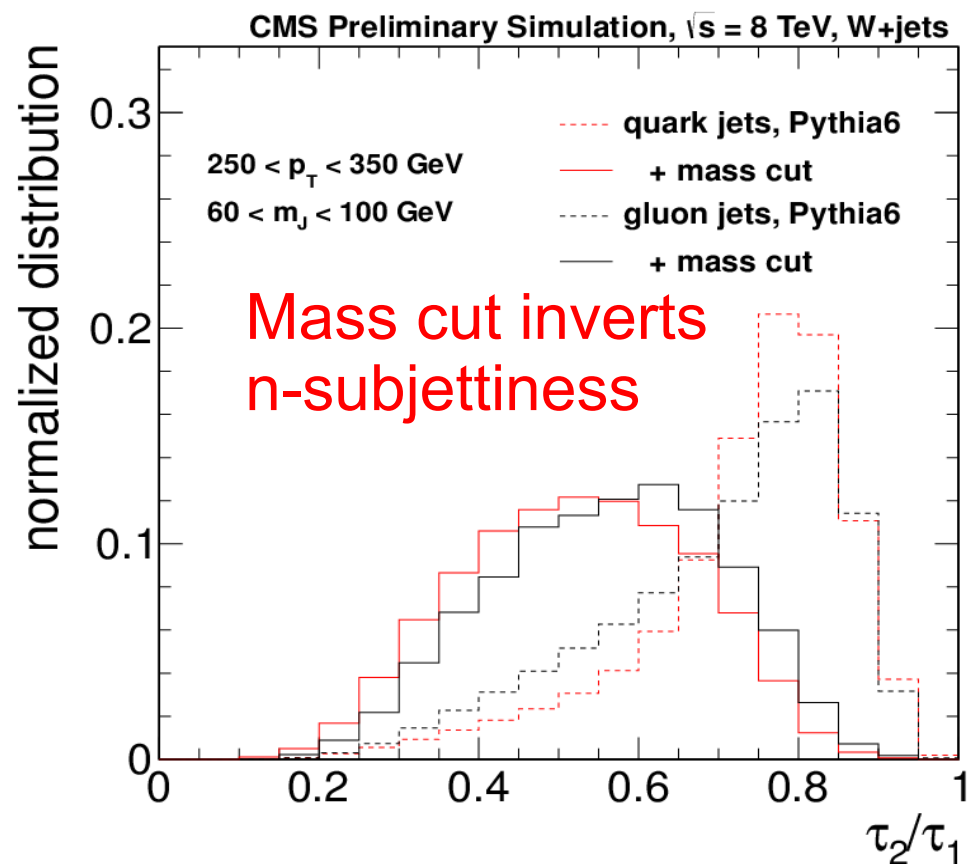
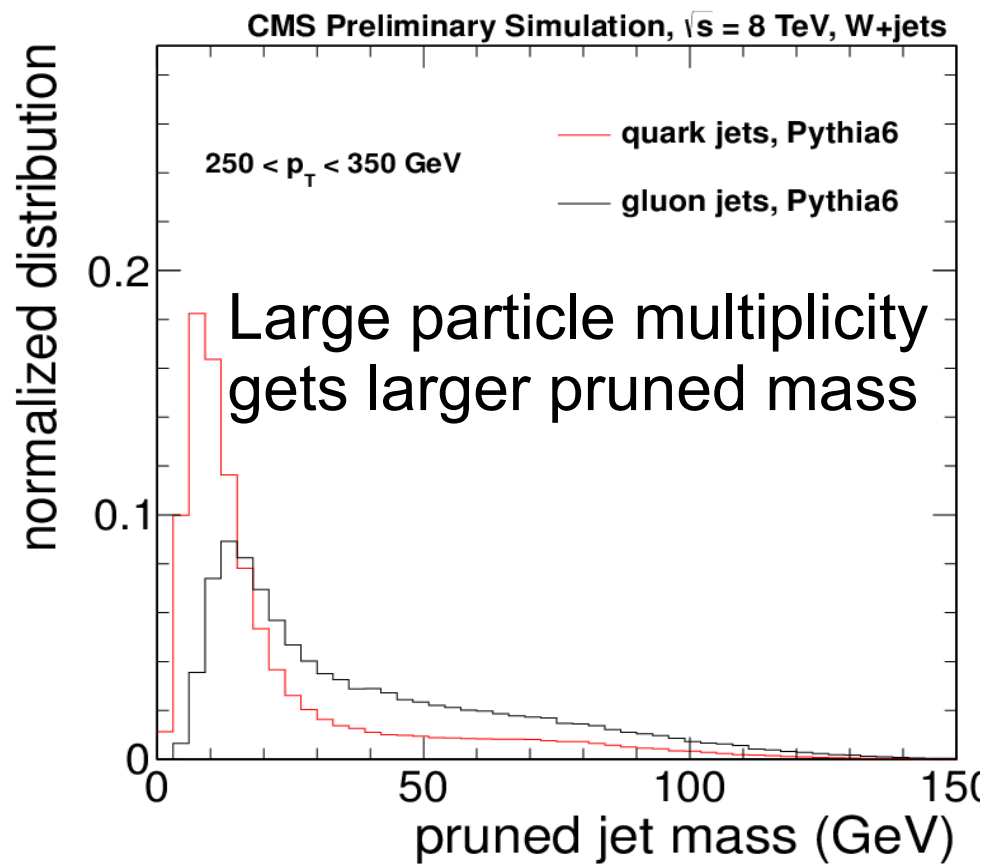
- Divide the volume into candidate cells
 - Use the voronoi tessellation algorithm
- Iteratively balance neg. energy fluctuations w/pos.



Impact on jet resolution

Quark and Gluons w/Substructure

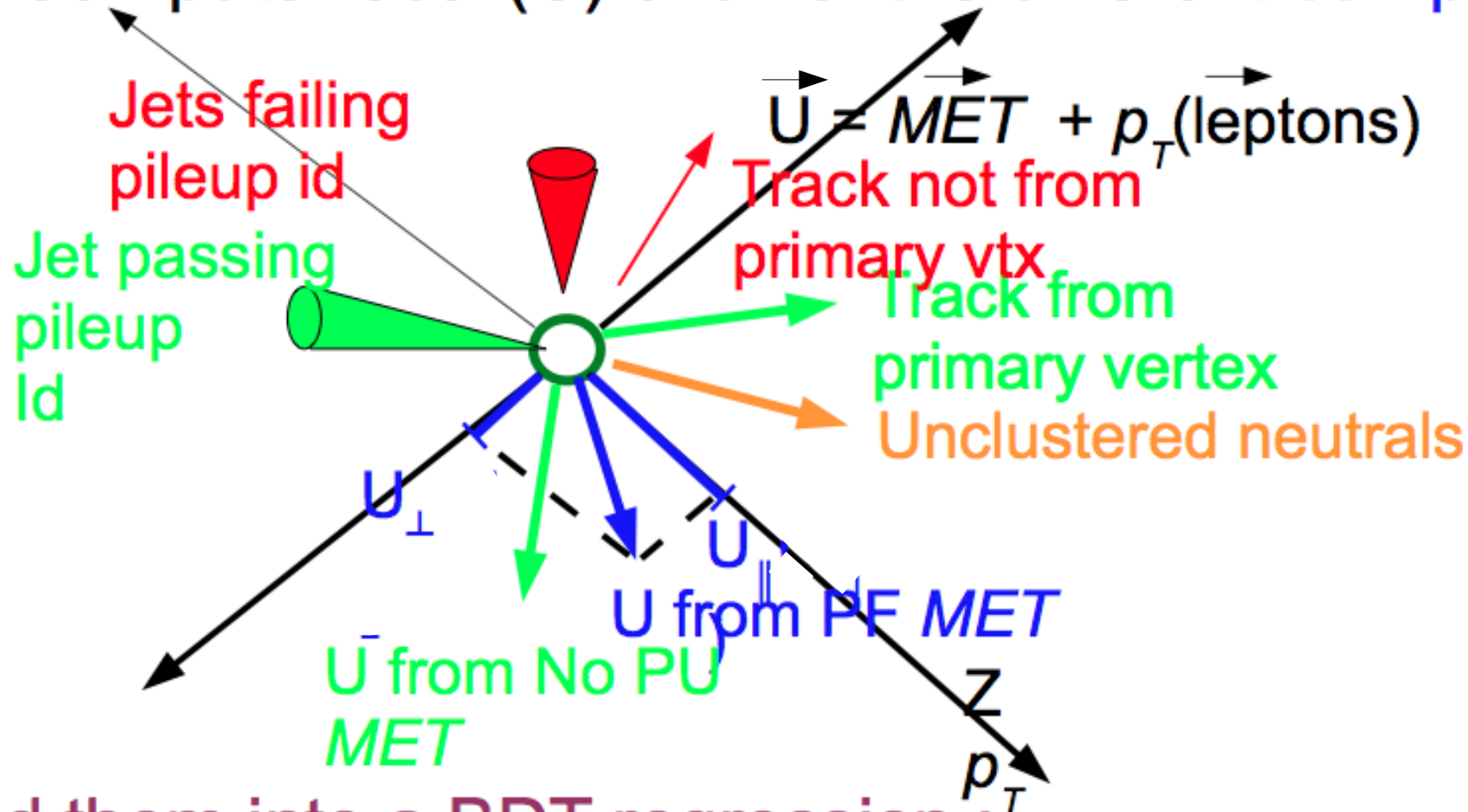
- Quark/gluon separation vs W **same after cuts**
 - Mass cut more effective on quark separation
 - **N-subjettiness** more **effective on gluon** separation
 - Once mass the cut is applied



Combining Jets: *MET*

- Concept:

- Compute recoil(U) of all of the different **components**

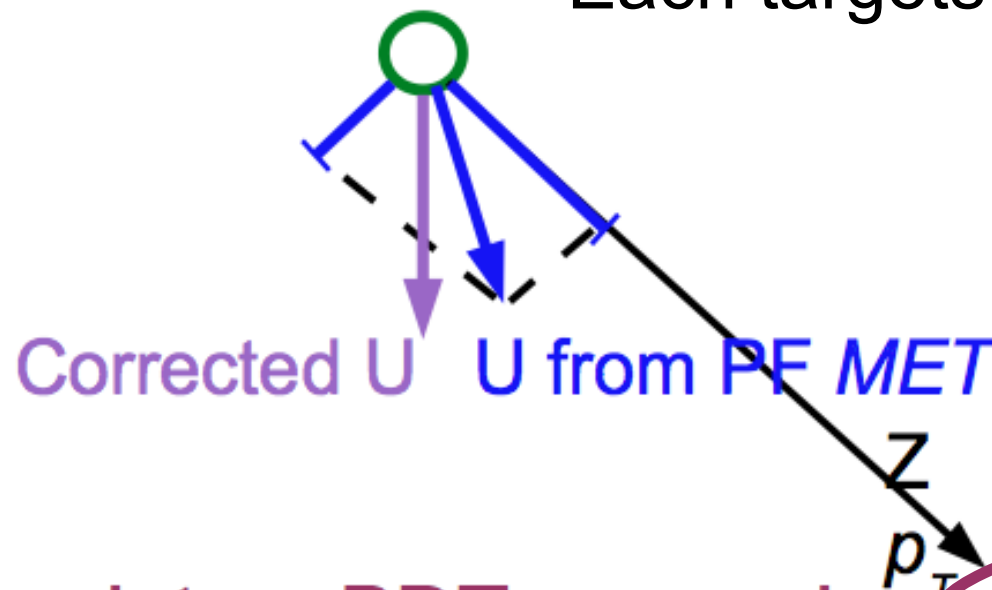


Feed them into a BDT regression :

MVA MET

- Concept:
 - Recoil here \rightarrow defined as $MET - \text{leptons}$

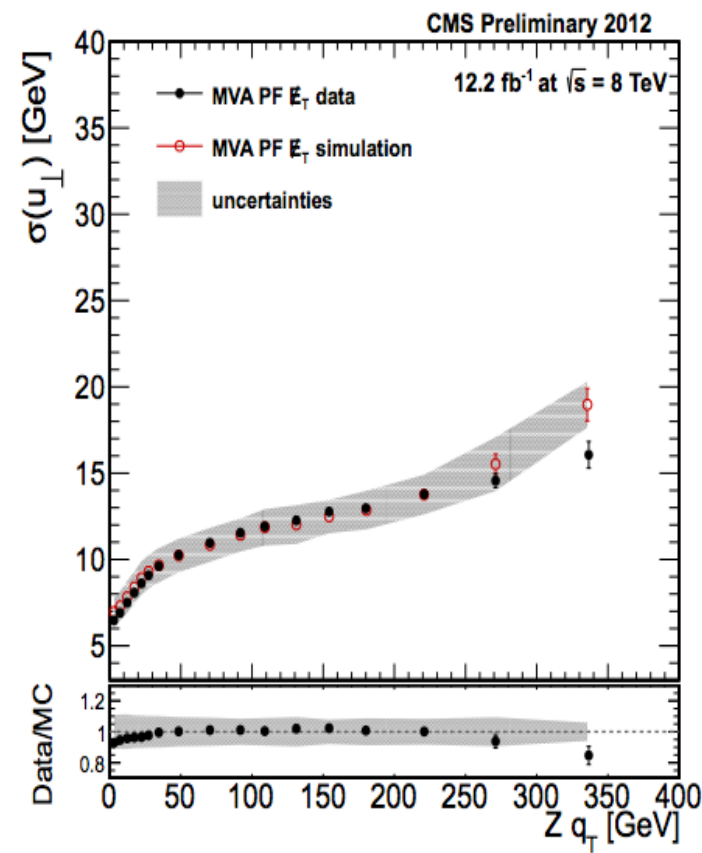
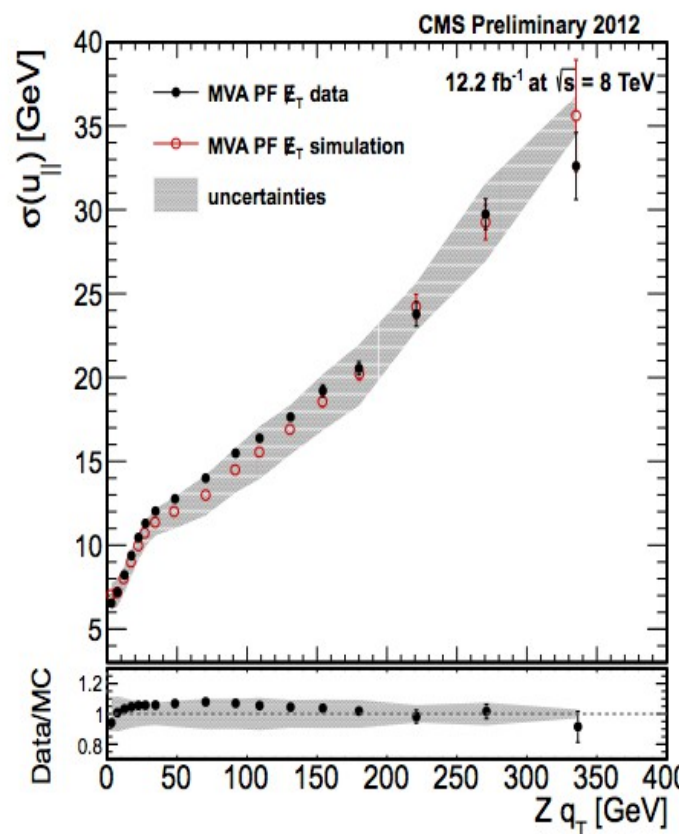
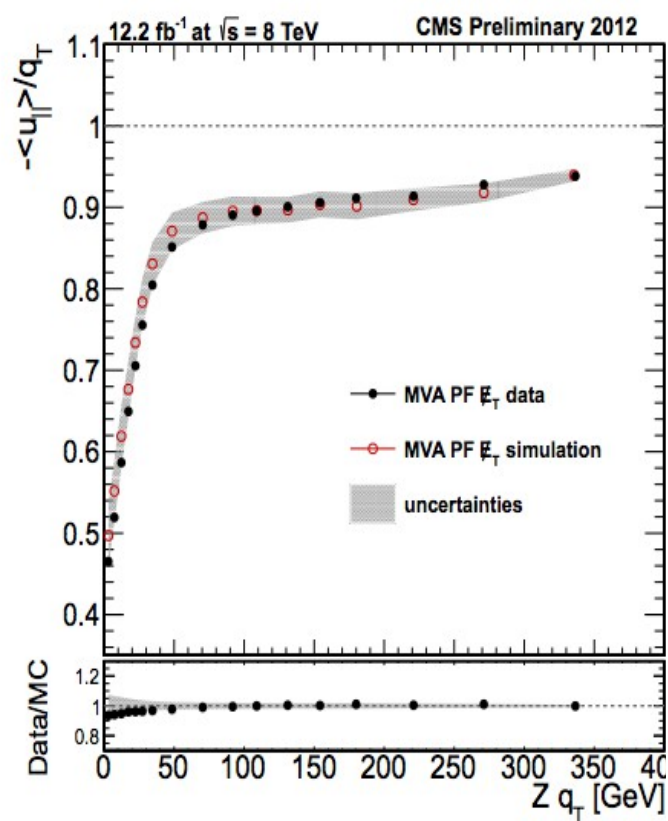
5 Separate recoils calculated
Each targets different component



Feed them into a BDT regression: Correct Recoil

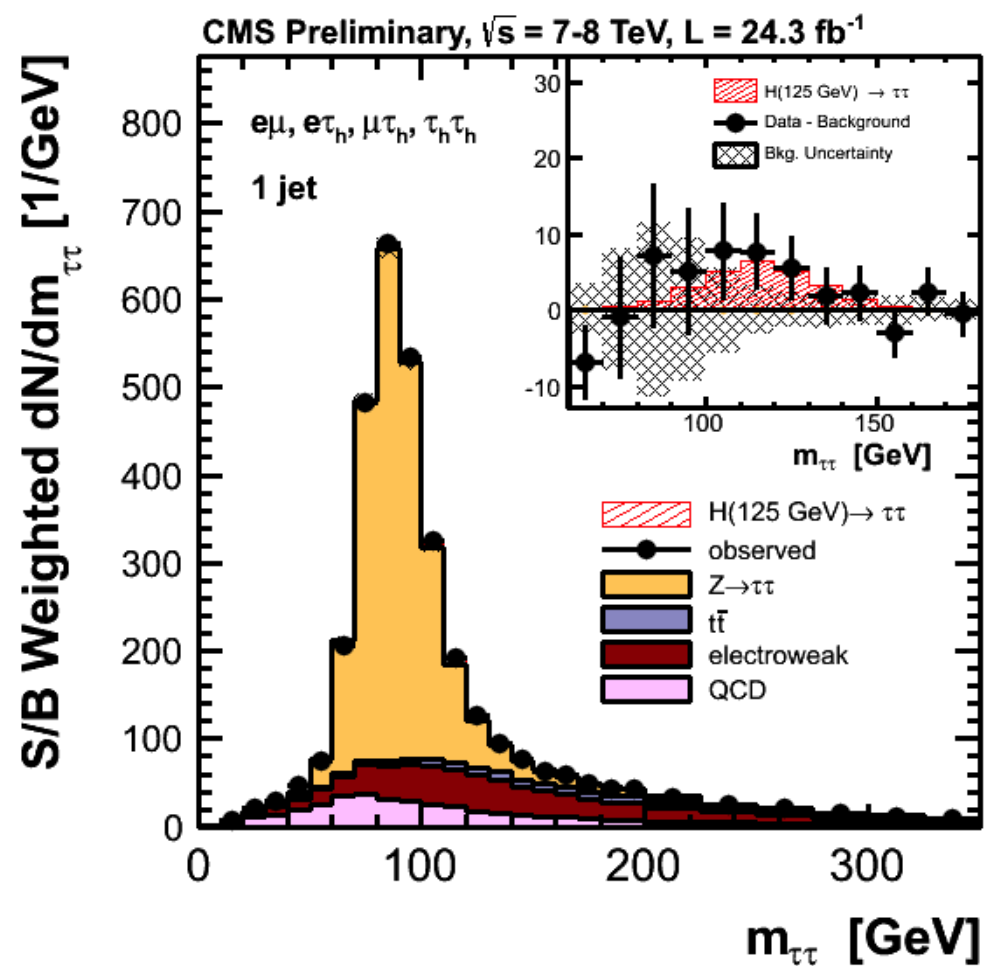
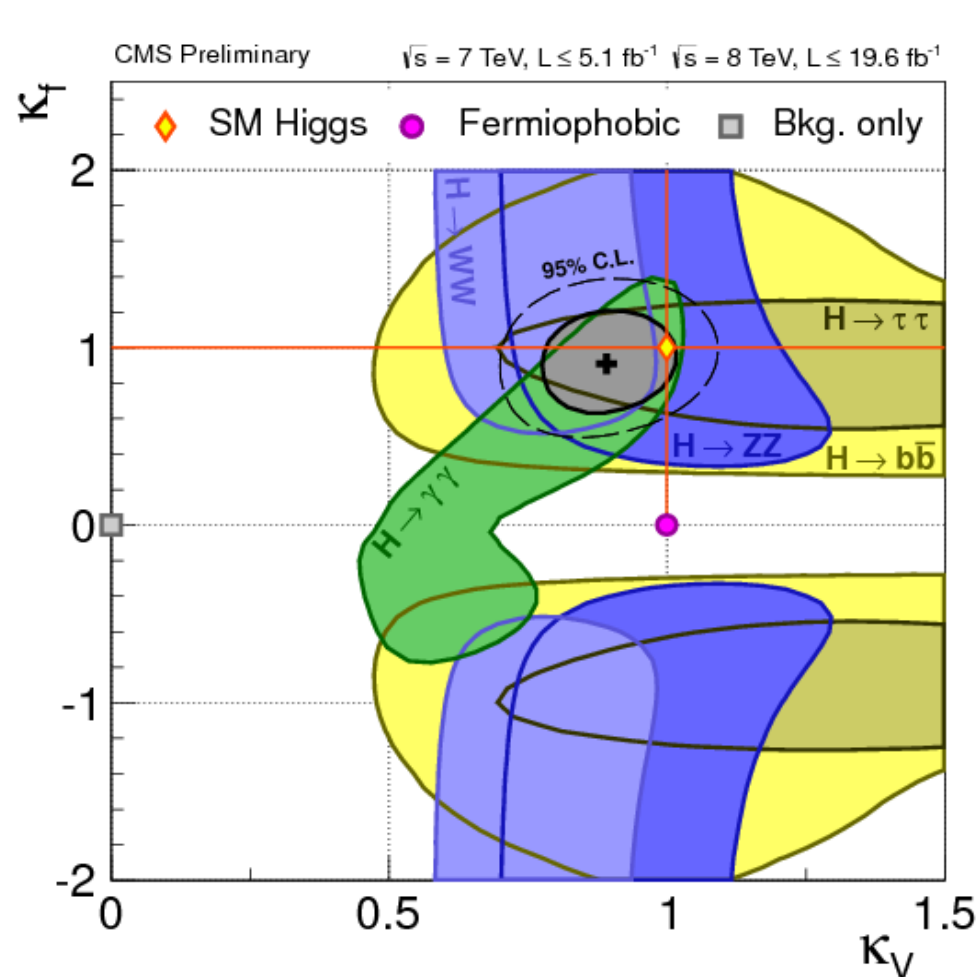
MET Performance

- Response plateaus at 0.92
 - Feature of the training (unity response also available)
 - Performance is comparable



MET Performance

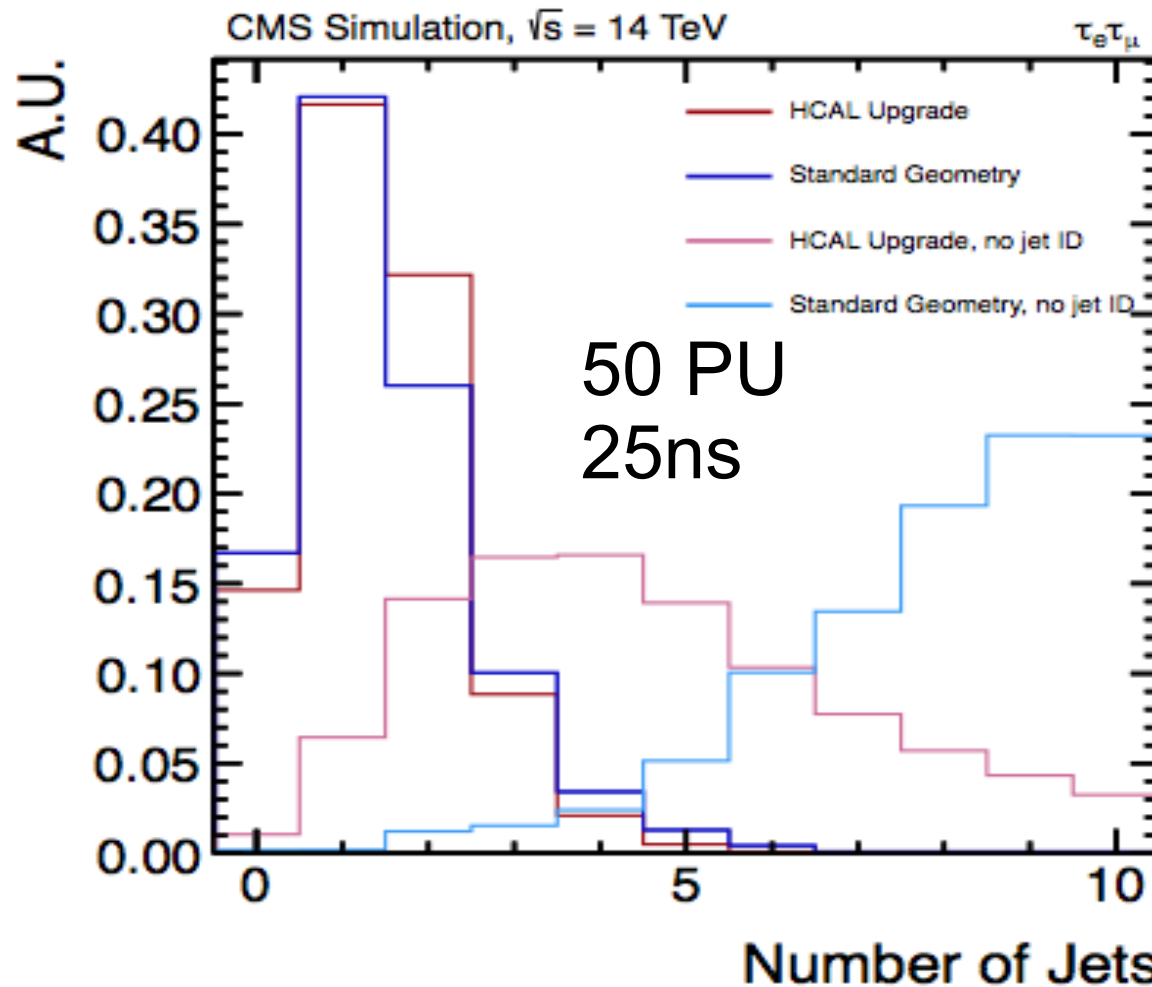
- Reduced *MET* resolution critical for $H \rightarrow \tau\tau$
 - Most important in the $ggH \rightarrow \tau\tau$ (cF^2)



Embedding yields **extreme precision** in *MET* model of Drell-Yan

What about Out of Time PU

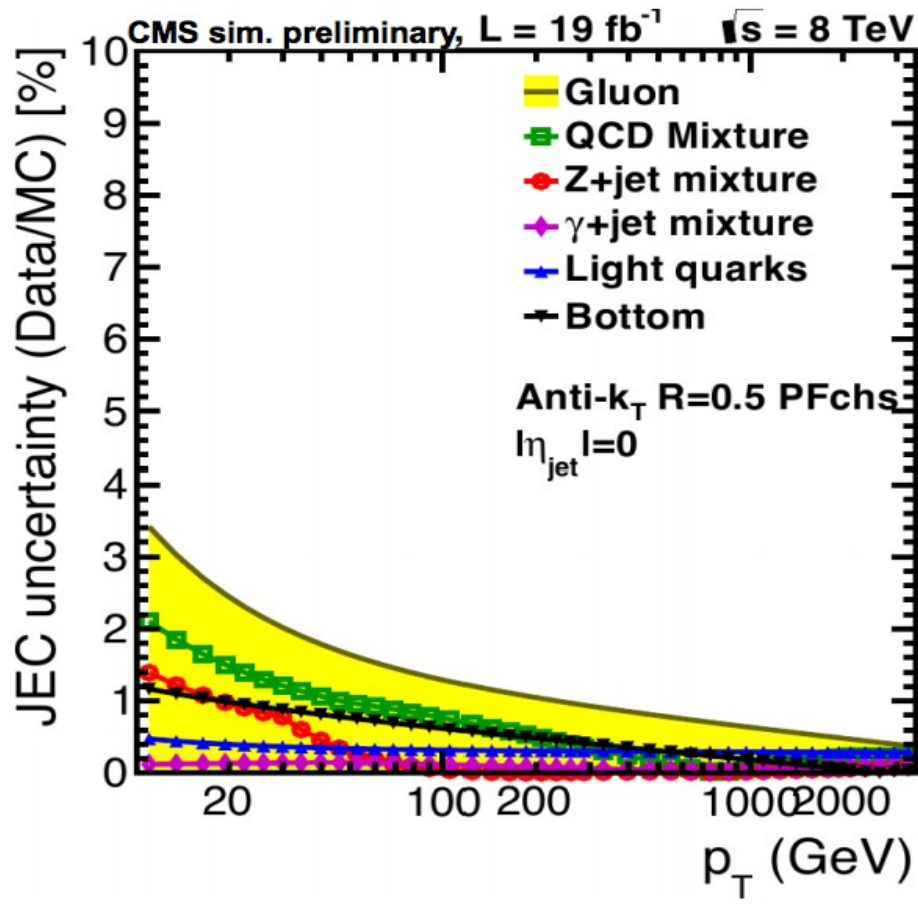
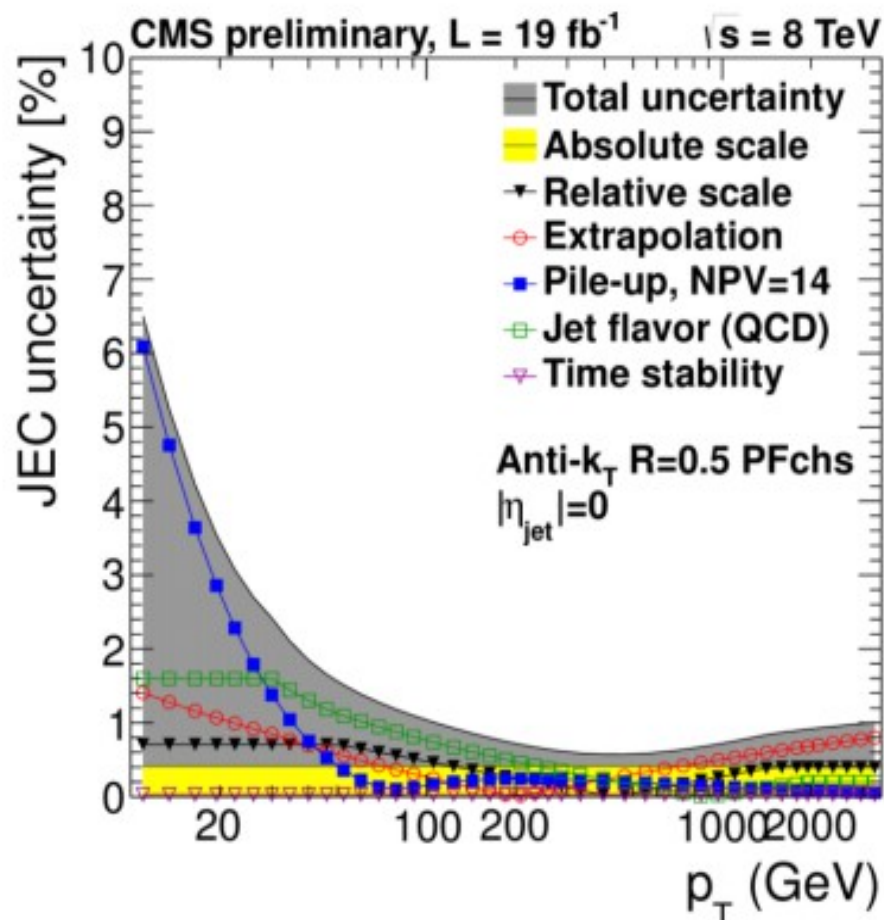
- Turns out the Pileup Jet Id resolves this effect



VBF selection (expect 1-2 jets)

Quarks/Gluons and JEC (JES)

- Jet energy corrections (scale) change w/ flavor
- New approach in CMS : propagate flav ratio
 - Assume di-jet flavor ratio propagate herwig-pythia difference to JEC



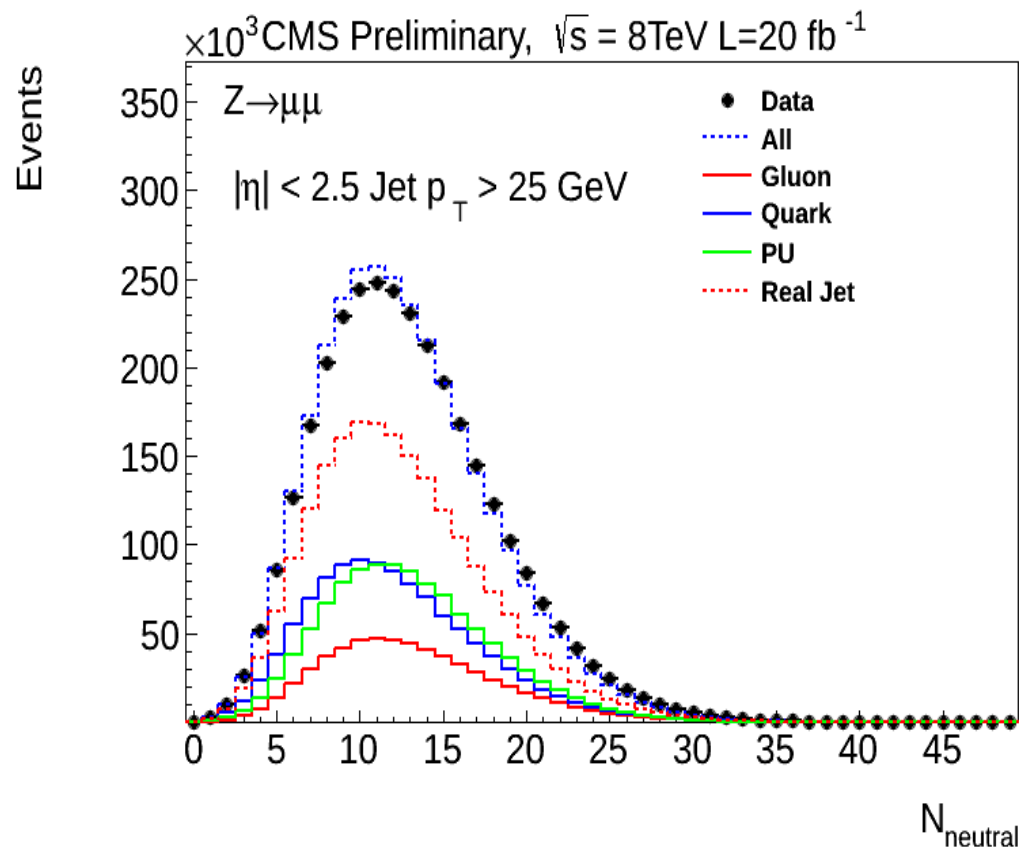
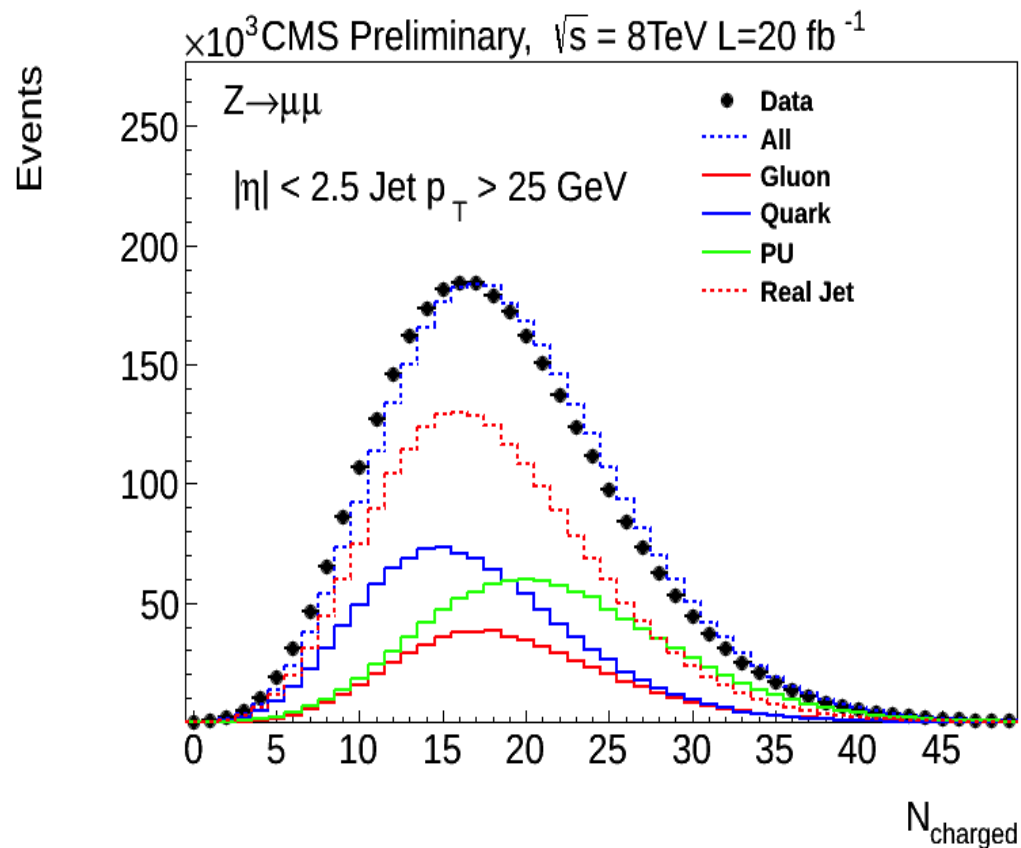
Particle Multiplicities

- Particle multiplicities (Charged+Neutrals)

- Allow for Quark gluon separation

$$- N_{\text{gluon}} / N_{\text{Quark}} = 9/4$$

- Effective in pileup separation as well



Spectrum of the Jet Toolbox

Objects star to appear
"LHC" Jet Like

Jets from boson/tops
starts to merge

????

Objects start
to cluster

Classic
Quark/Gluon Jets

Particles in jets
Start to merge



p_T of jet

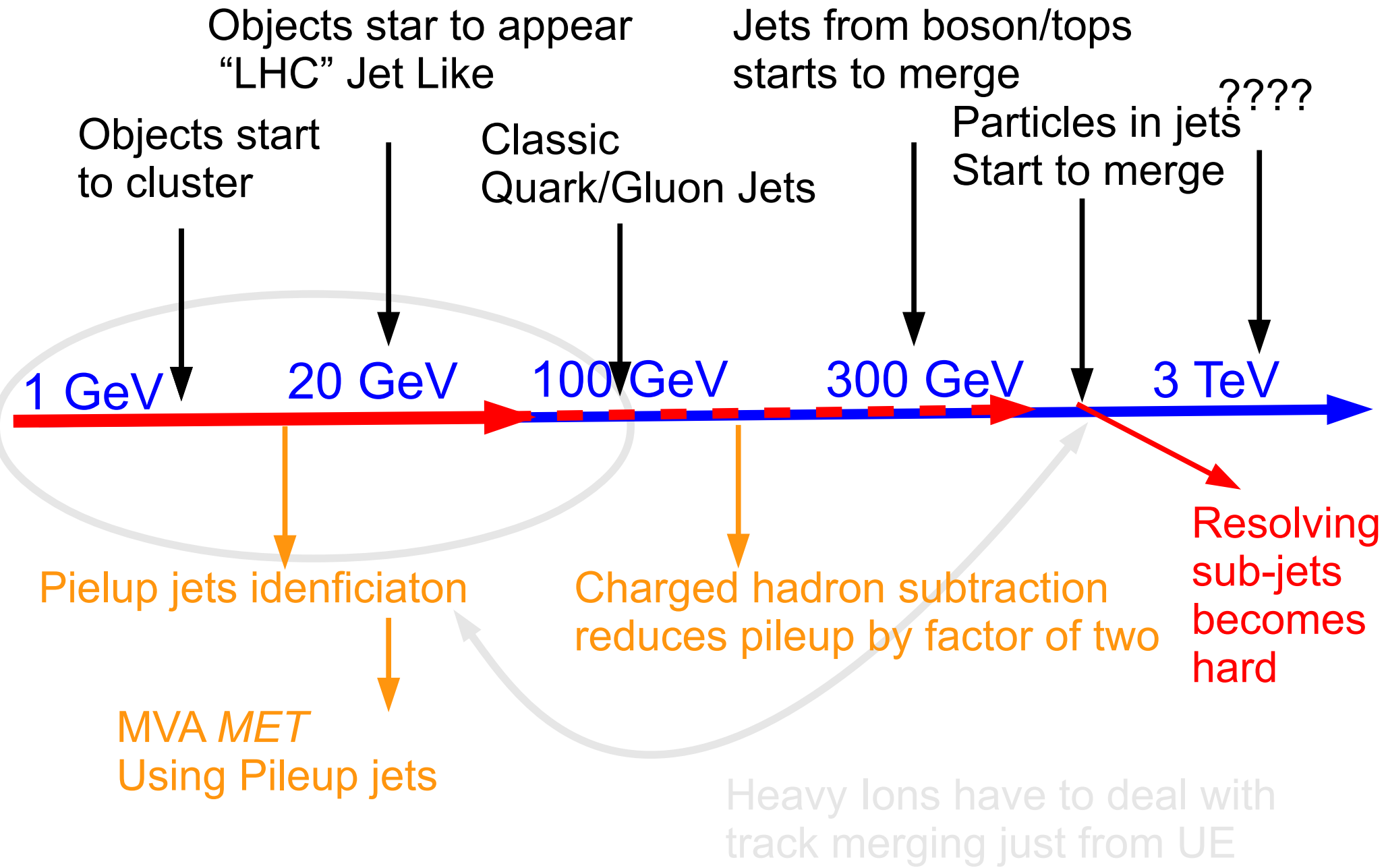
Pileup makes
clustered objects

Where we care when
studying *MET*

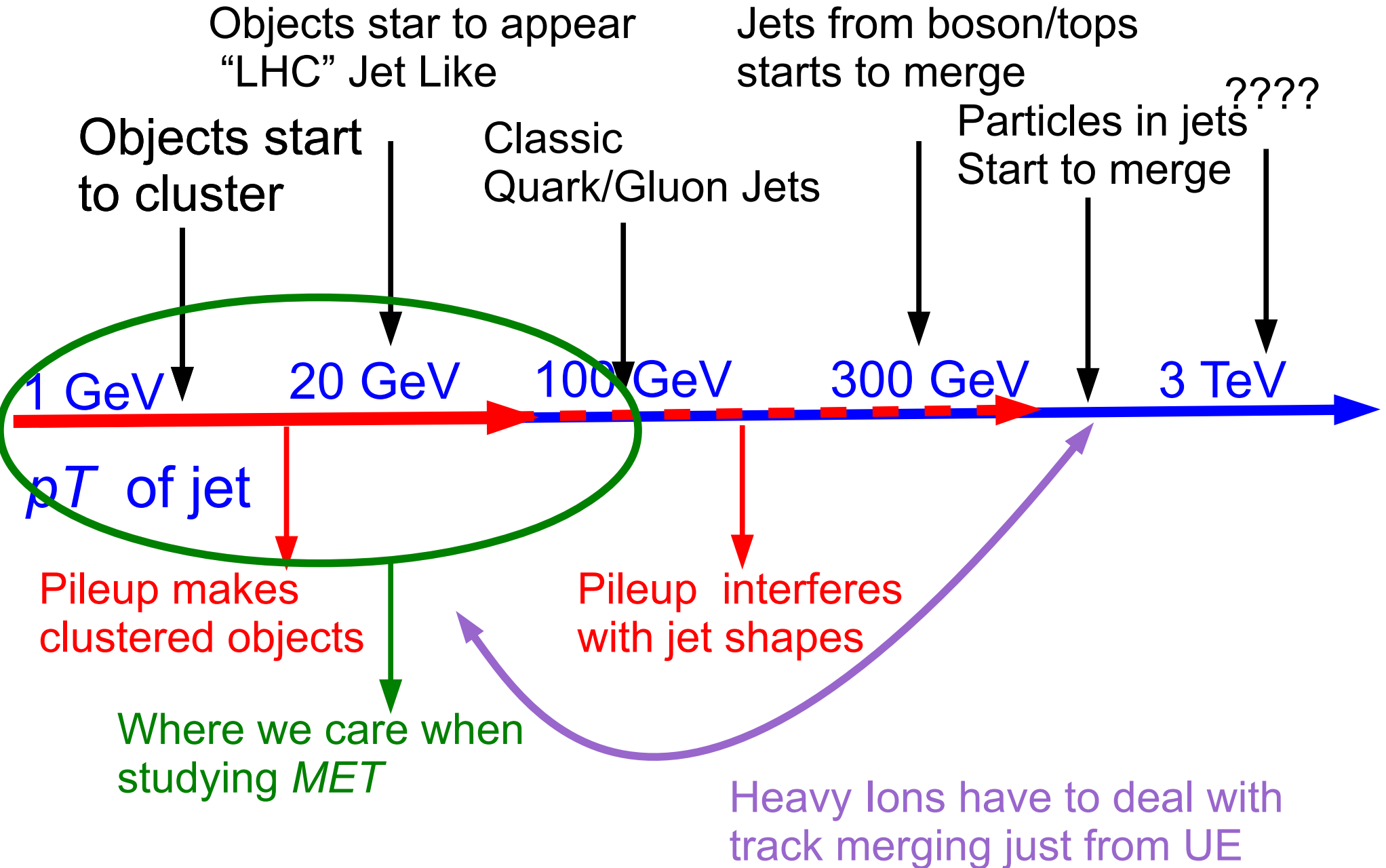
Pileup interferes
with jet shapes

Heavy Ions have to deal with
track merging just from UE

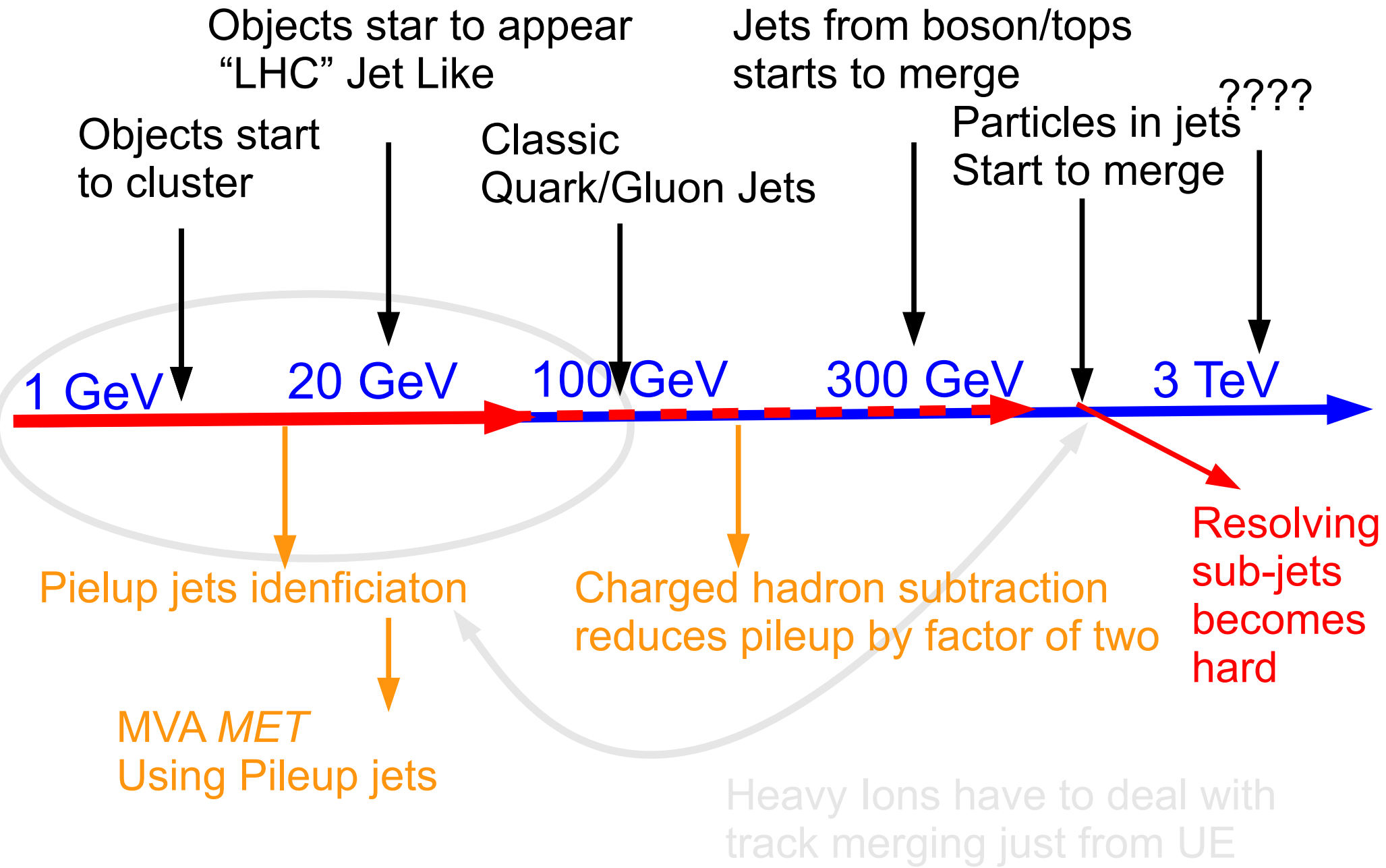
Status of Pileup Mitigation



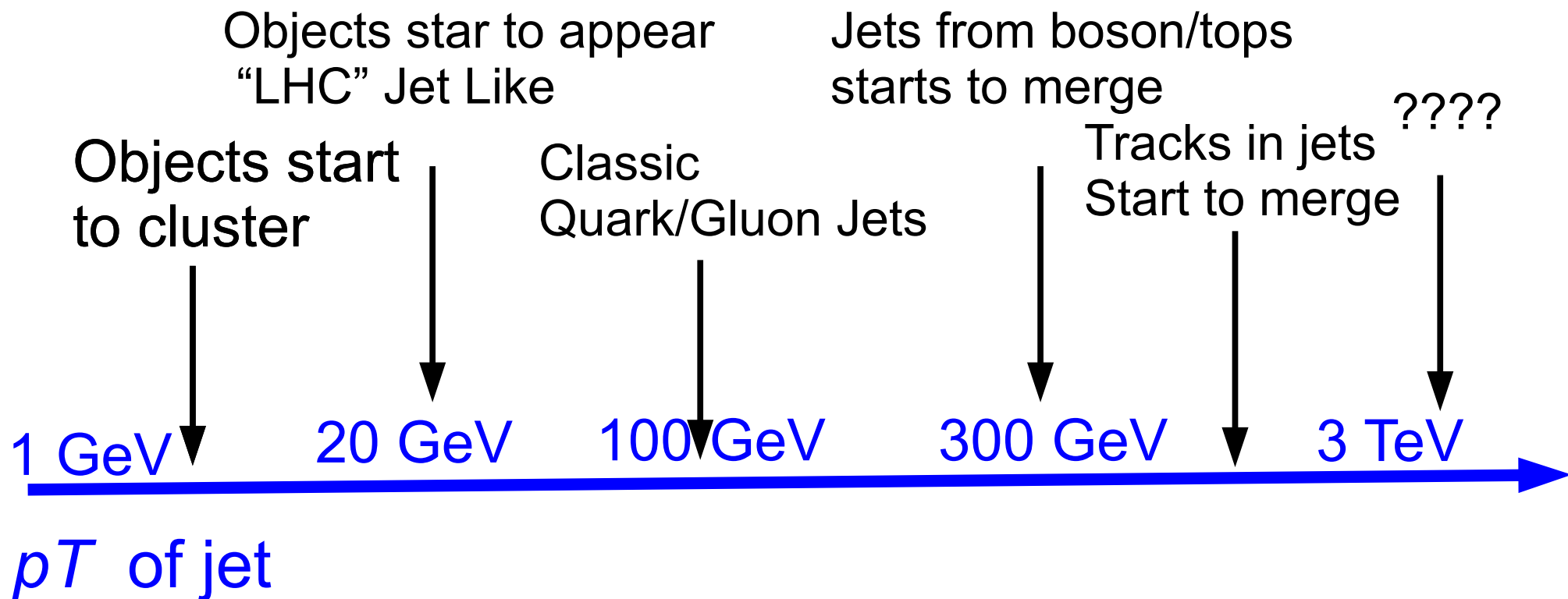
Spectrum of the Jet Toolbox



Status of Pileup Mitigation

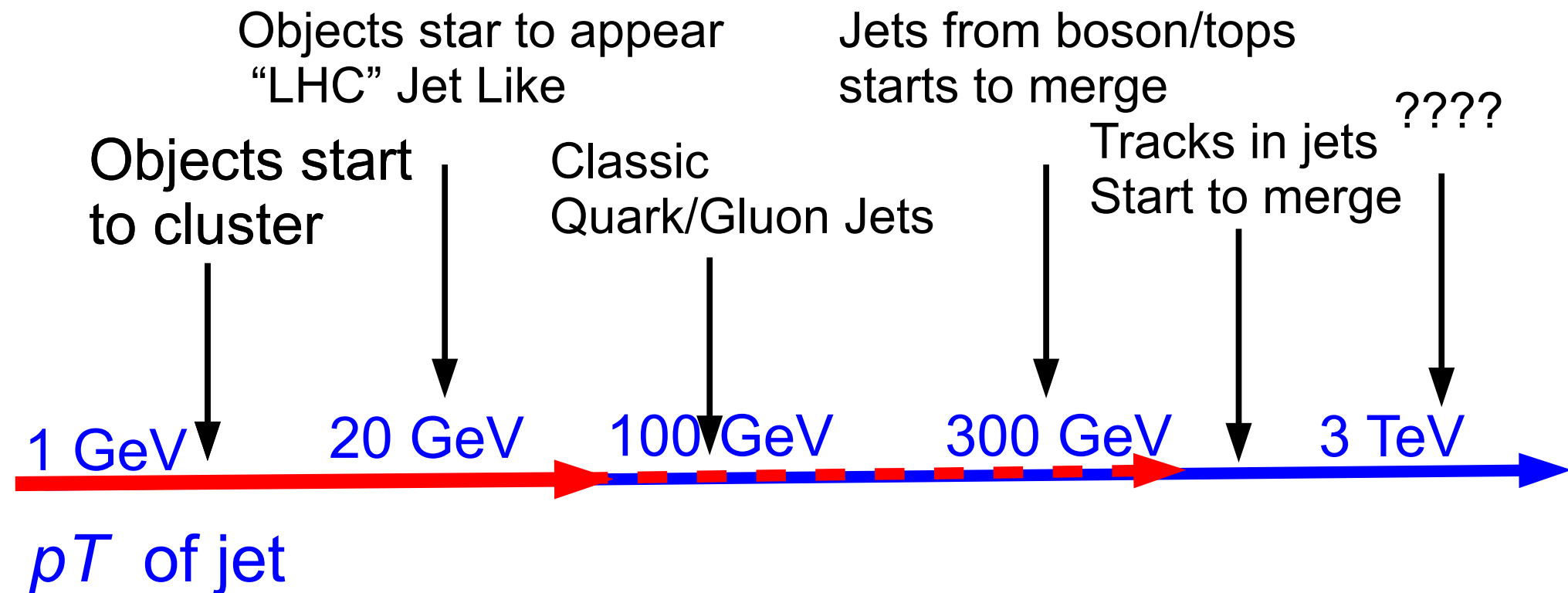


Spectrum of the Jet Toolbox



- Different regions cover different issues
 - At low p_T deal with clustered nebulous objects
 - At high p_T deal with highly columnated objects

Spectrum of the Jet Toolbox



Pileup makes clustered objects

Pileup interferes with jet shapes

Spectrum of the Jet Toolbox

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Classic Quark/Gluon Jets

Particles in jets Start to merge



p_T of jet

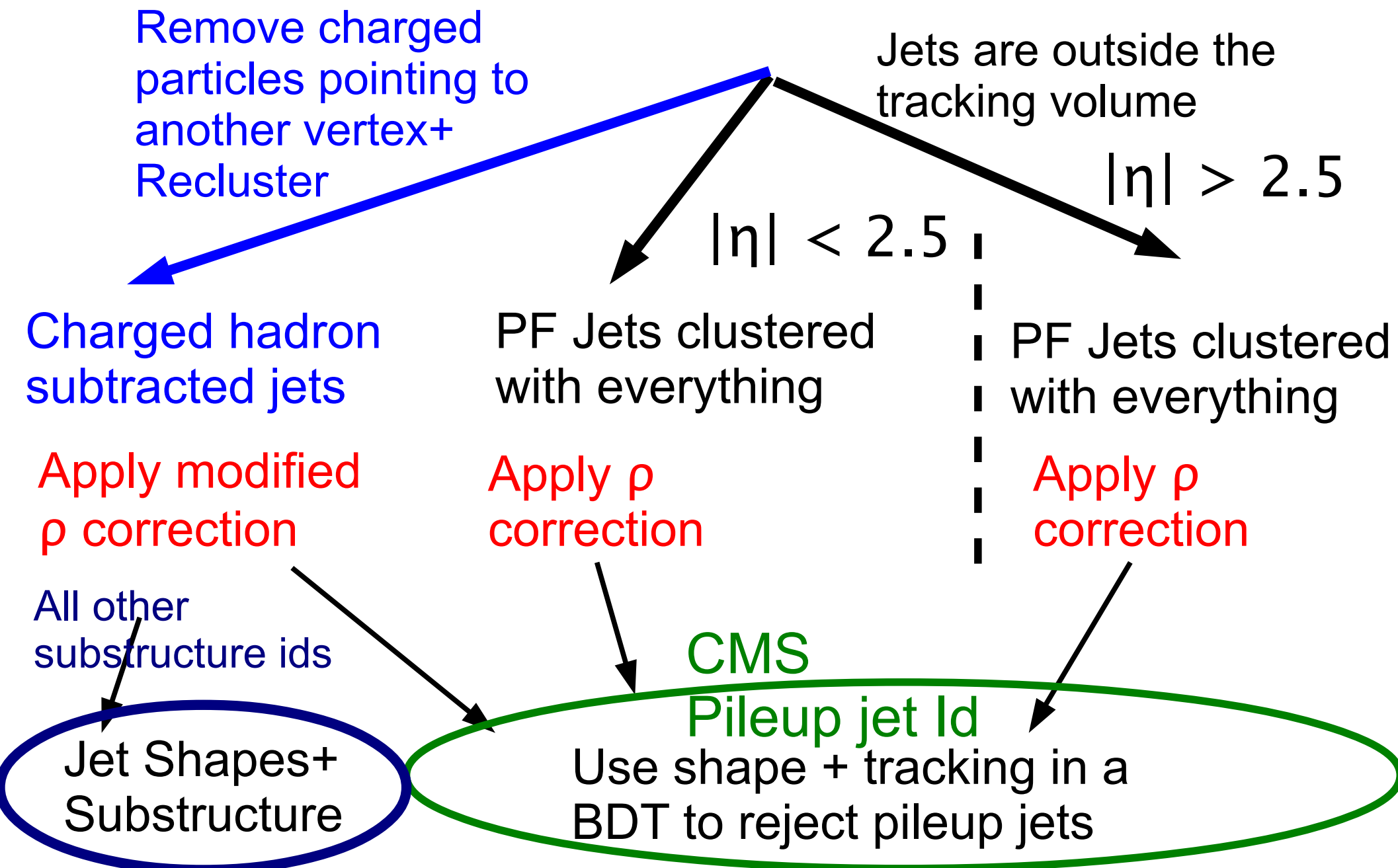
Pileup makes clustered objects

Pileup interferes with jet shapes

Where we care when studying *MET*

Heavy Ions have to deal with track merging just from UE

Pileup Removal in CMS



Spectrum of the Jet Toolbox

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p_T of jet

Pileup makes clustered objects

Pileup interferes with jet shapes

Where we care when studying *MET*

Heavy Ions have to deal with track merging just from UE

Status of Pileup Mitigation

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Classic
Quark/Gluon Jets

Particles in jets
Start to merge



Pielup jets idenficiaton

Charged hadron subtraction
reduces pileup by factor of two

Resolving
sub-jets
becomes
hard

MVA MET
Using Pileup jets

Heavy Ions have to deal with
track merging just from UE

Status of Pileup Mitigation

