

$B \rightarrow \tau \nu$ and $B \rightarrow D^{(*)} \tau \nu$ at Belle and BaBar

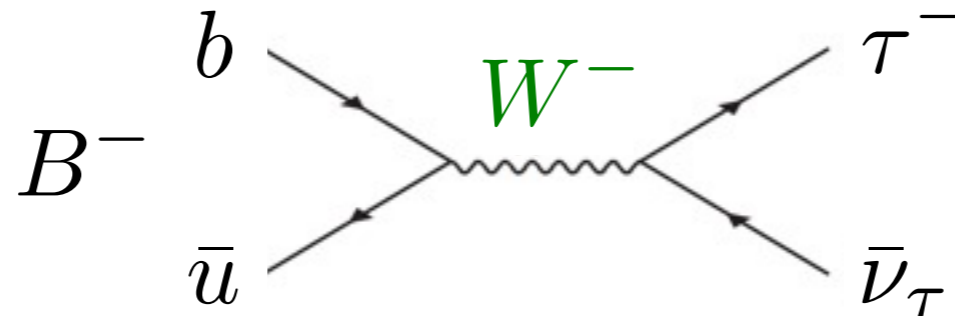
Yasuyuki Horii
Nagoya University



Beauty 2013, 9 Apr 2013, Bologna

$B \rightarrow \tau \nu$ in the Standard Model

- In the SM, annihilation process mediated by W^\pm .



- The branching ratio is calculated by

$$\mathcal{B}(B^- \rightarrow \tau^- \bar{\nu}_\tau) = \frac{G_F^2 m_B m_\tau^2}{8\pi} \left(1 - \frac{m_\tau^2}{m_B^2}\right)^2 f_B^2 |V_{ub}|^2 \tau_B$$

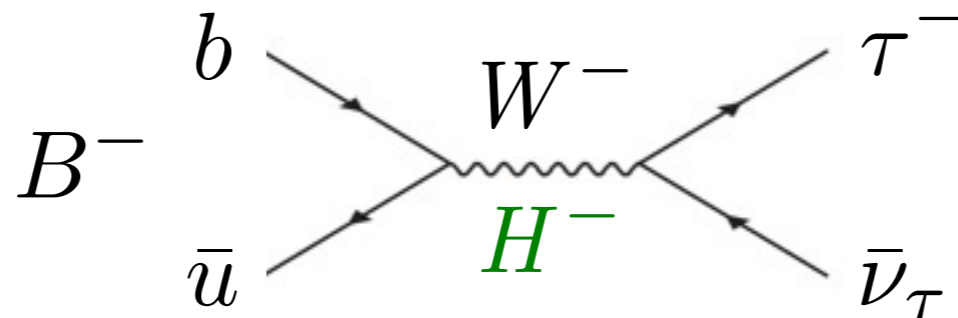
to be about 1×10^{-4} depending on f_B and $|V_{ub}|$. “Helicity suppression”

- f_B : B meson decay constant. $f_B = (191 \pm 9)$ MeV [lattice, HPQCD, PRD86].
- V_{ub} : CKM matrix element. $|V_{ub}| = (4.15 \pm 0.49) \times 10^{-3}$ [$b \rightarrow u l \nu$, PDG, PRD86].

Both can also be obtained from a CKM global fit.

Possible Effect of H^\pm for $B \rightarrow \tau \nu$

- $B \rightarrow \tau \nu$ could be affected by **charged Higgs**.



- Example** of modifications:

$$\mathcal{B}(B^- \rightarrow \tau^- \bar{\nu}_\tau) = \mathcal{B}(B^- \rightarrow \tau^- \bar{\nu}_\tau)_{\text{SM}} \times r_H$$

where r_H is a **modification factor**:

$$r_H = \left(1 - \tan^2 \beta \frac{m_B^2}{m_H^2} \right)^2$$

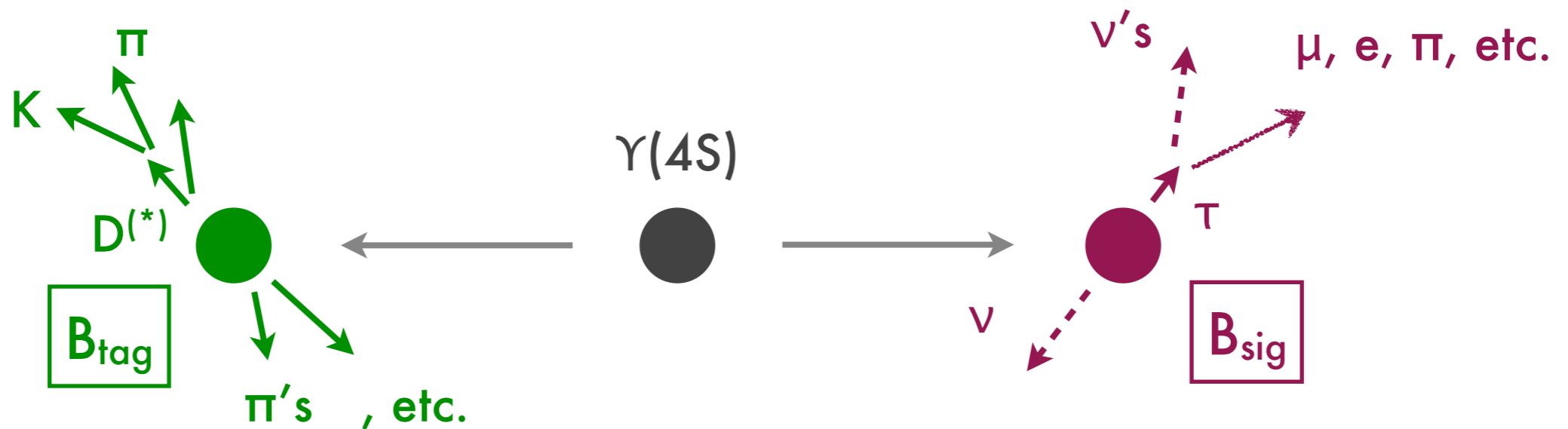
W. S. Hou, Phys. Rev. D 48, 2342 (1993),
type II two Higgs doublet model (2HDM).

$$r_H = \left(1 - \frac{\tan^2 \beta}{1 + \tilde{\epsilon}_0 \tan \beta} \frac{m_B^2}{m_H^2} \right)^2$$

A. G. Akeroyd and S. Recksiegel,
J. Phys. G 29, 2311 (2003),
higher order correction in SUSY models.

Methods for Analyzing $B \rightarrow \tau \nu$

Very challenging at hadron colliders due to multiple neutrinos.
At the B factories, we tag one of the B mesons in $e^+e^- \rightarrow \Upsilon(4S) \rightarrow BB$.



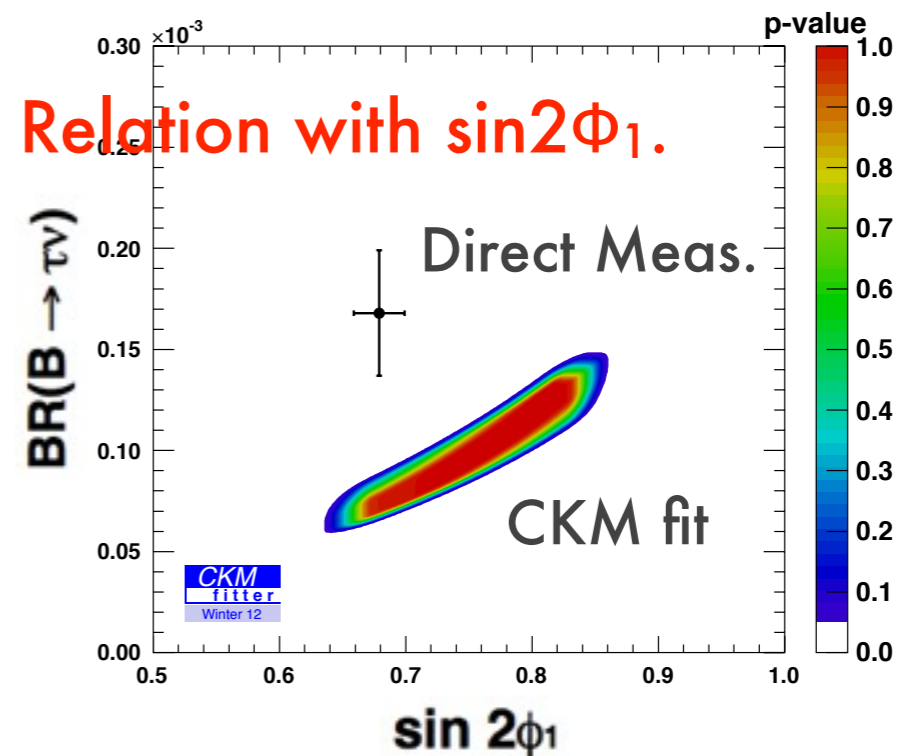
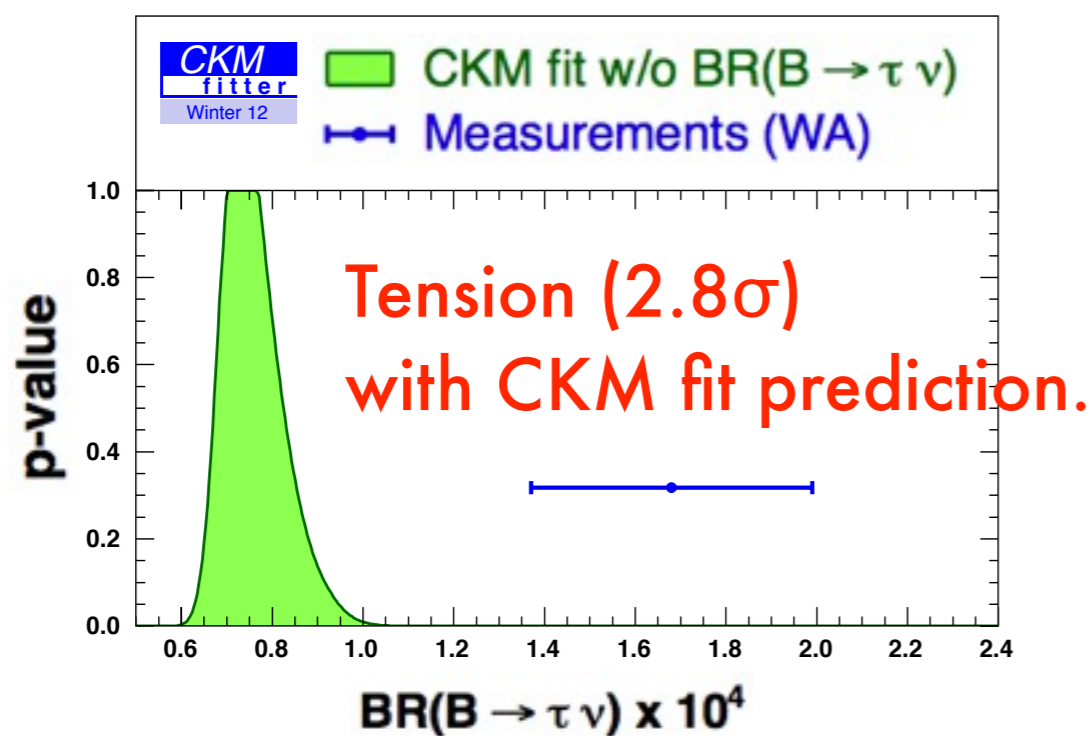
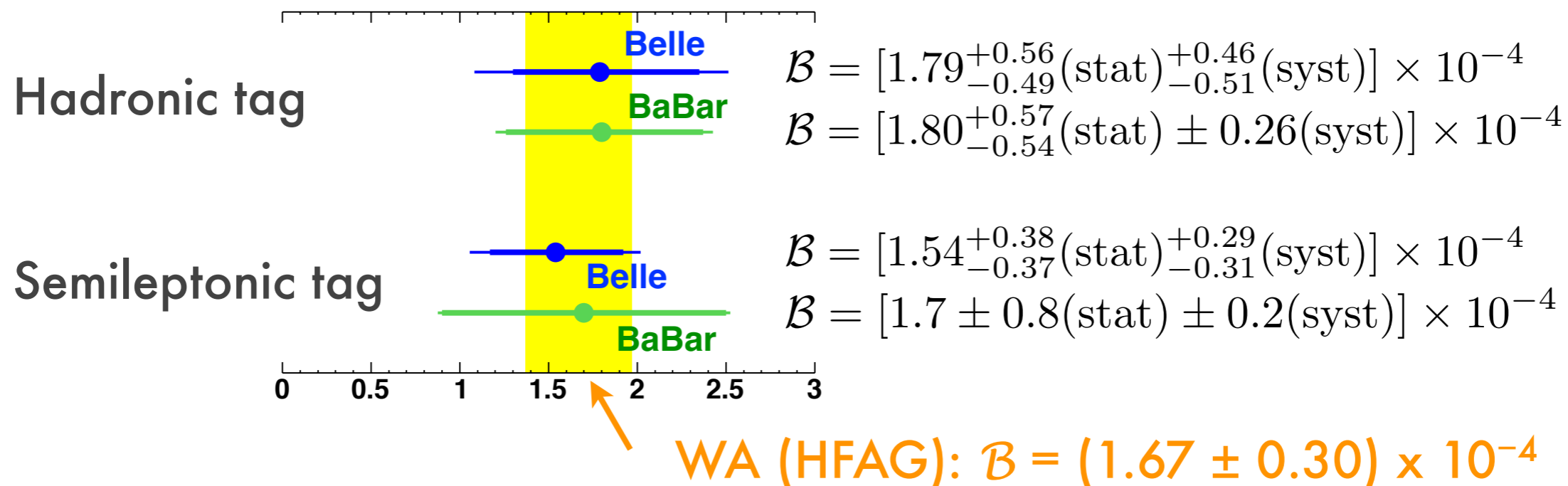
B_{tag} reconstructed from

- hadronic decays $B \rightarrow D^{(*)}\pi$, etc.,
- semileptonic decays $B \rightarrow D^{(*)}l\nu$.

B_{sig} extracted by using

- extra energy (" E_{ECL} " or " E_{extra} "),
- missing mass squared (" M_{miss}^2 ").

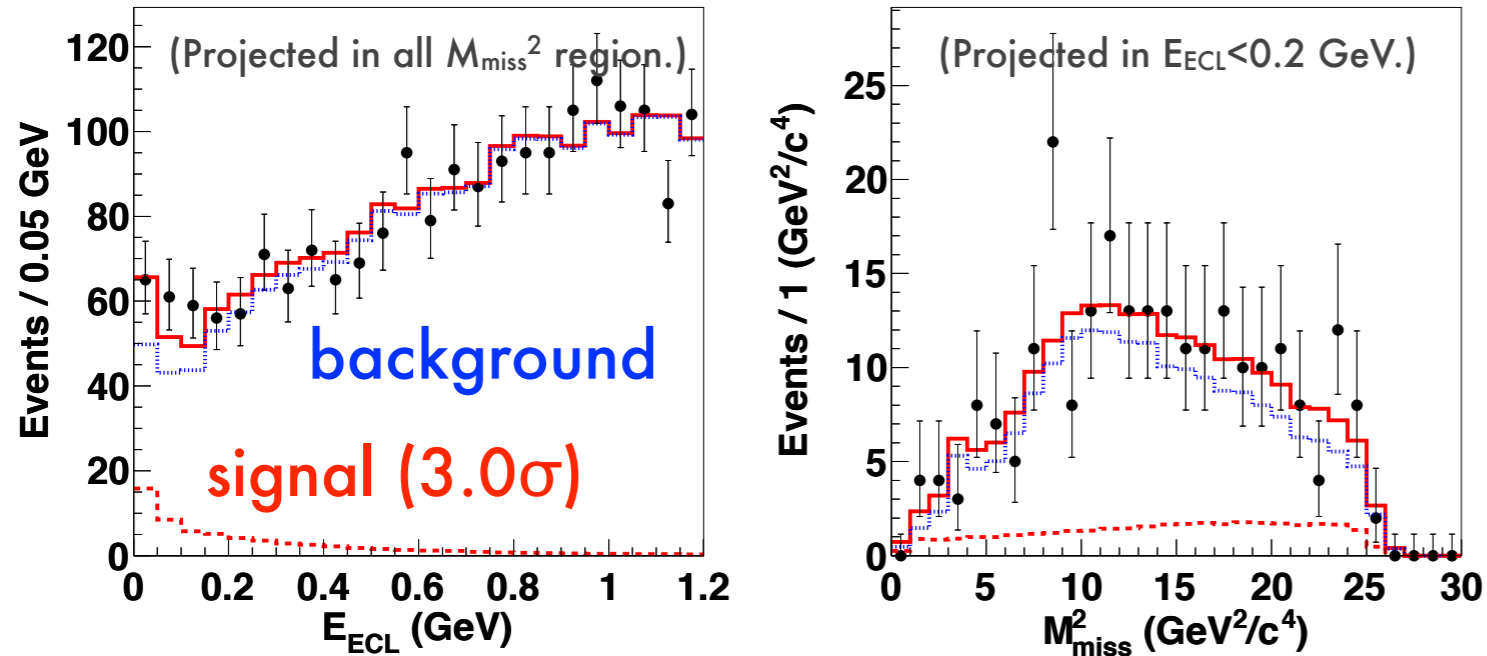
Status for $B \rightarrow \tau \nu$ before ICHEP 2012



Updates on $B \rightarrow \tau \nu$ at ICHEP 2012

Belle

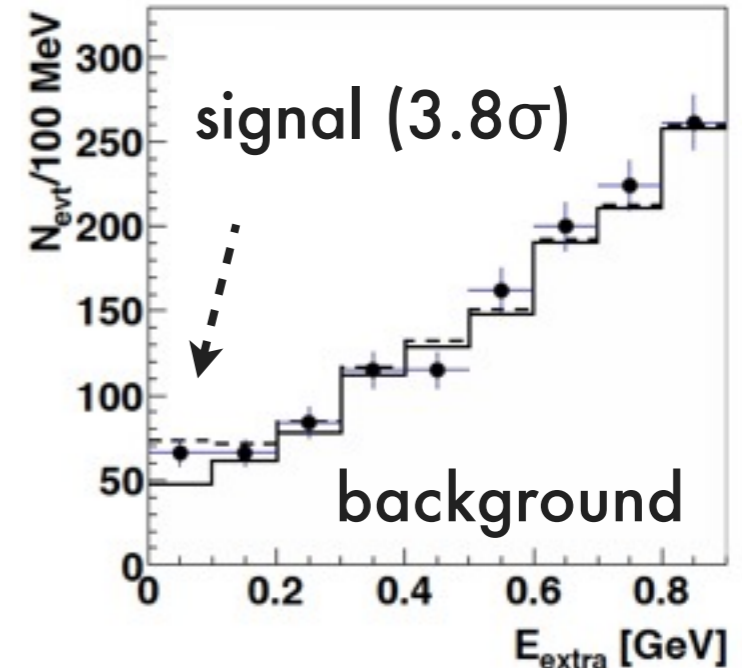
- PRL 110, 131801 (2013).
- Use 772 M BB (full data) with Had. tag.
- Tag improved, 2-D signal extraction.



$$\mathcal{B} = [0.72^{+0.27}_{-0.25} \pm 0.11] \times 10^{-4}.$$

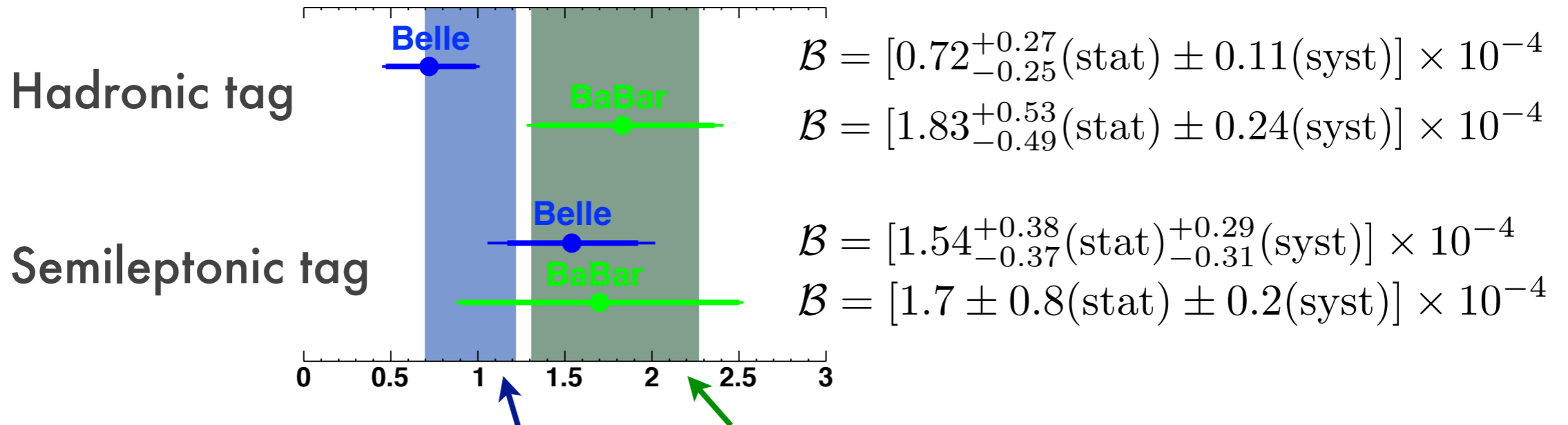
BaBar

- arXiv:1207.0698 (2012).
- Use 468 M BB (full data) with Had. tag.



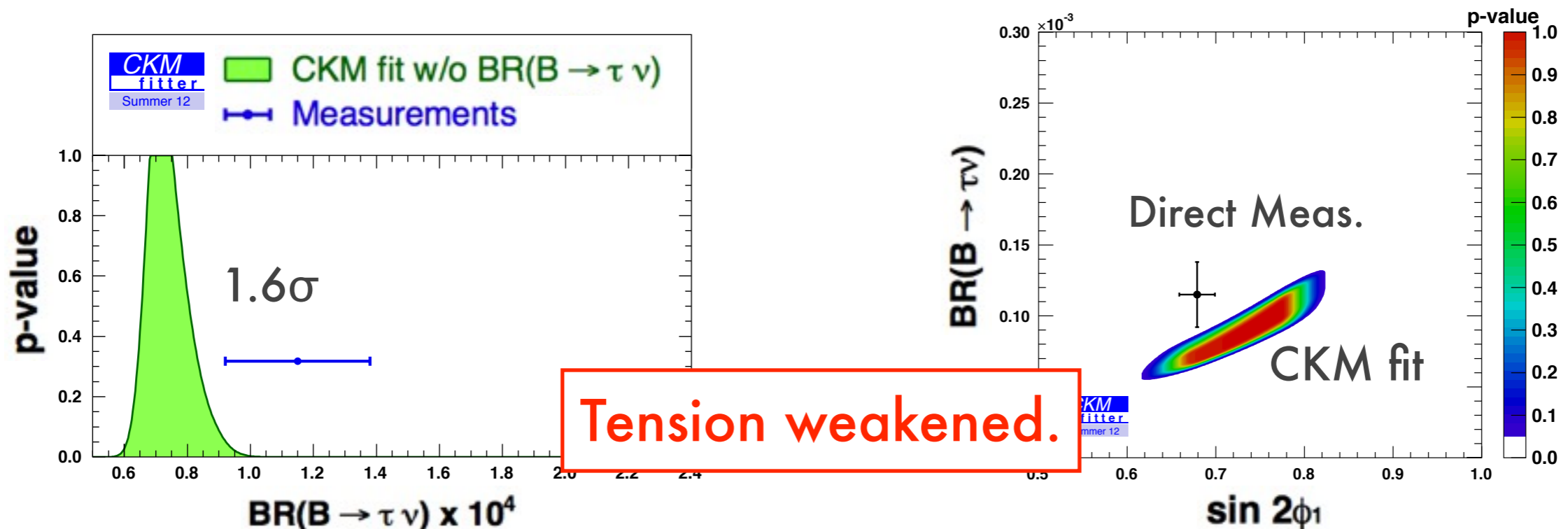
$$\mathcal{B} = [1.83^{+0.53}_{-0.49} \pm 0.24] \times 10^{-4}.$$

Status for $B \rightarrow \tau \nu$ after ICHEP 2012



Belle combined: $\mathcal{B} = (0.96 \pm 0.26) \times 10^{-4}$ BaBar combined: $\mathcal{B} = (1.79 \pm 0.48) \times 10^{-4}$

A naive world average: $\mathcal{B} = (1.15 \pm 0.23) \times 10^{-4}$



Constraint on Charged Higgs from $B \rightarrow \tau \nu$

- Assume **Type-II 2HDM**.

$$\mathcal{B}(B \rightarrow \tau \nu) = \mathcal{B}(B \rightarrow \tau \nu)_{SM} \times r_H$$

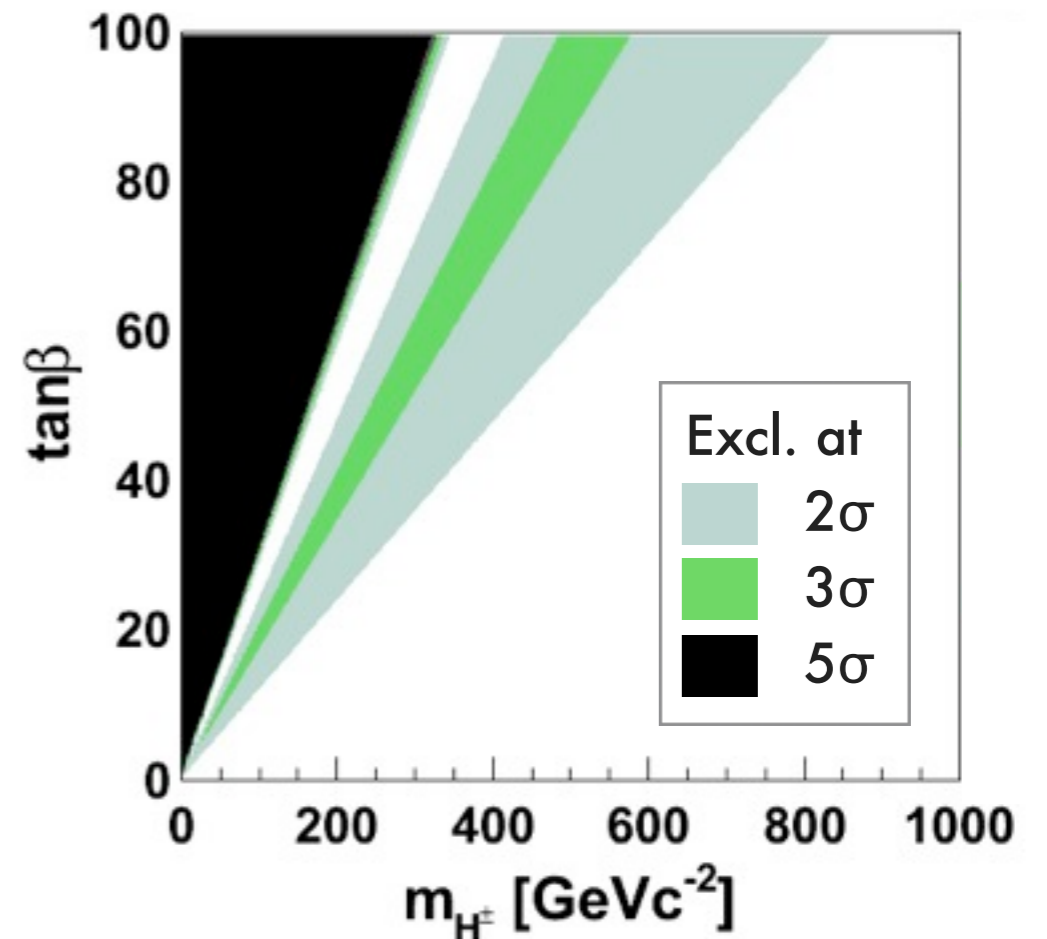
$$r_H = \left(1 - \frac{m_B^2}{m_H^2} \tan^2 \beta \right)^2$$

- Use

- $\mathcal{B}(B \rightarrow \tau \nu) = (1.15 \pm 0.23) \times 10^{-4}$
- $\mathcal{B}(B \rightarrow \tau \nu)_{SM} = (1.11 \pm 0.28) \times 10^{-4}$

where $\mathcal{B}(B \rightarrow \tau \nu)_{SM}$ is obtained from

- $f_B = (191 \pm 9) \text{ MeV}$ (HPQCD, PRD86)
- $|V_{ub}| = (4.15 \pm 0.49) \times 10^{-3}$ (PDG, PRD86)



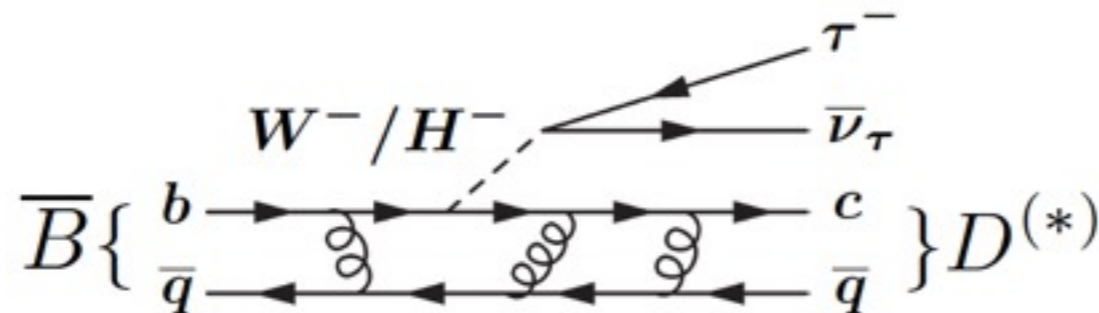
Personal figure by Y. Horii.

Stringent constraint on $\tan\beta$ and m_H obtained.

Note: constraint strongly depends on f_B and $|V_{ub}|$.

Introduction for $B \rightarrow D^{(*)} \tau \nu$

- Could be affected by charged Higgs in extended models.



K. Keirs and A. Soni,
PRD 56, 5786 (1997), ...

- $|V_{cb}|$ and a part of QCD effects canceled by taking ratios.

$$\mathcal{R}(D) = \frac{\mathcal{B}(\bar{B} \rightarrow D \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B} \rightarrow D \ell^- \bar{\nu}_\ell)}, \quad \mathcal{R}(D^*) = \frac{\mathcal{B}(\bar{B} \rightarrow D^* \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B} \rightarrow D^* \ell^- \bar{\nu}_\ell)}$$

$B \rightarrow D^{(*)} \ell \nu$ decays measured precisely, e.g. $\mathcal{B}(B^- \rightarrow D^0 \ell^- \bar{\nu}) = (2.26 \pm 0.11)\%$.

- Possible observables additional to the ratios:
 τ polarization, D^* polarization, q^2 distribution, ...

M. Tanaka and R. Watanabe, PRD 87, 034028 (2013), ...

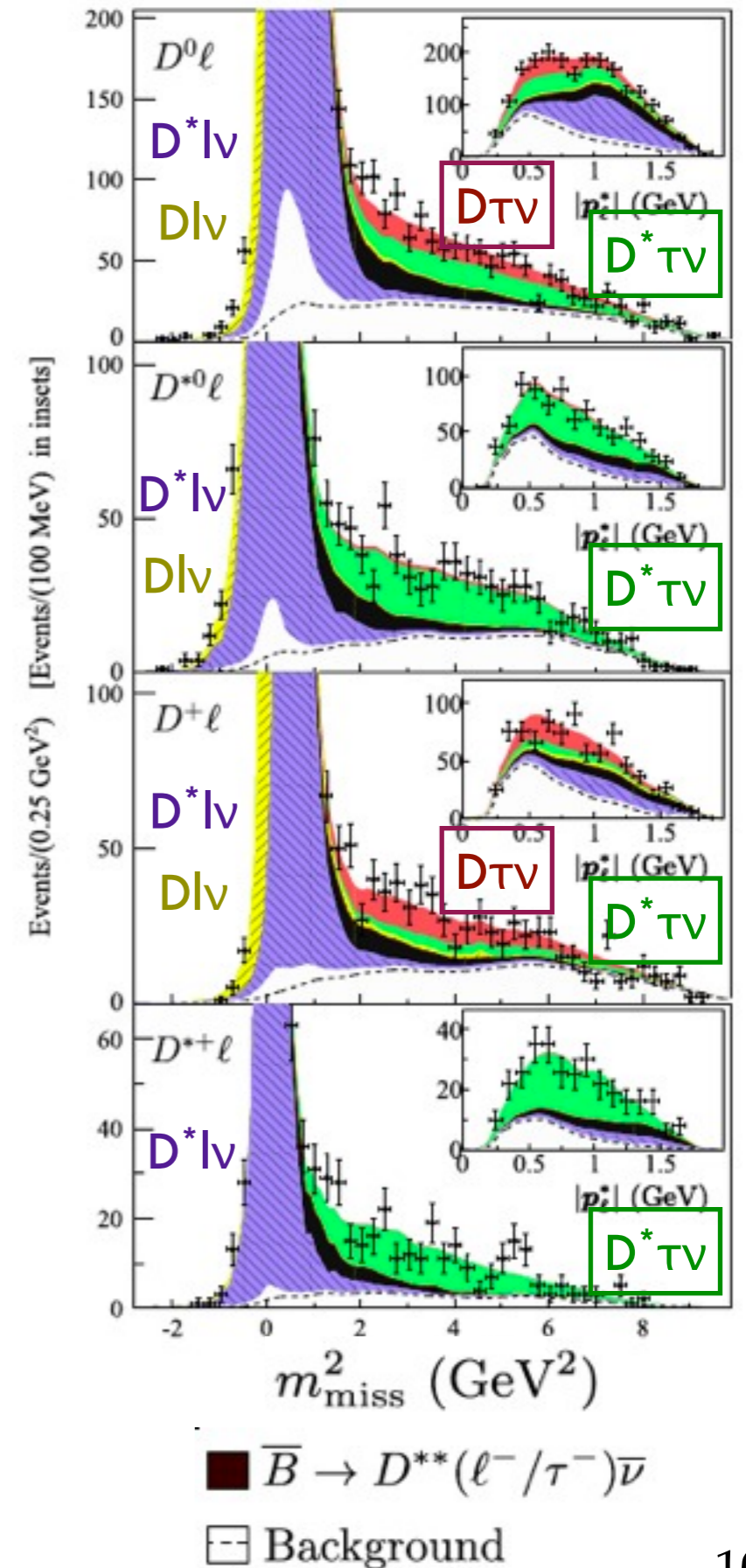
$B \rightarrow D^{(*)} \tau \nu$ from BaBar

- PRL 109, 101802 (2012).
- arXiv:1303.0571, submitted to PRD.
- Use **471 M BB** (full data).
- **Improved hadronic tag** by more modes.
- **Boosted decision tree** for event selection.

$$R(D) = B(D_{\tau\nu})/B(D\ell\nu) = 0.440 \pm 0.058 \pm 0.042$$

$$R(D^*) = B(D^*_{\tau\nu})/B(D^*\ell\nu) = 0.332 \pm 0.024 \pm 0.018$$

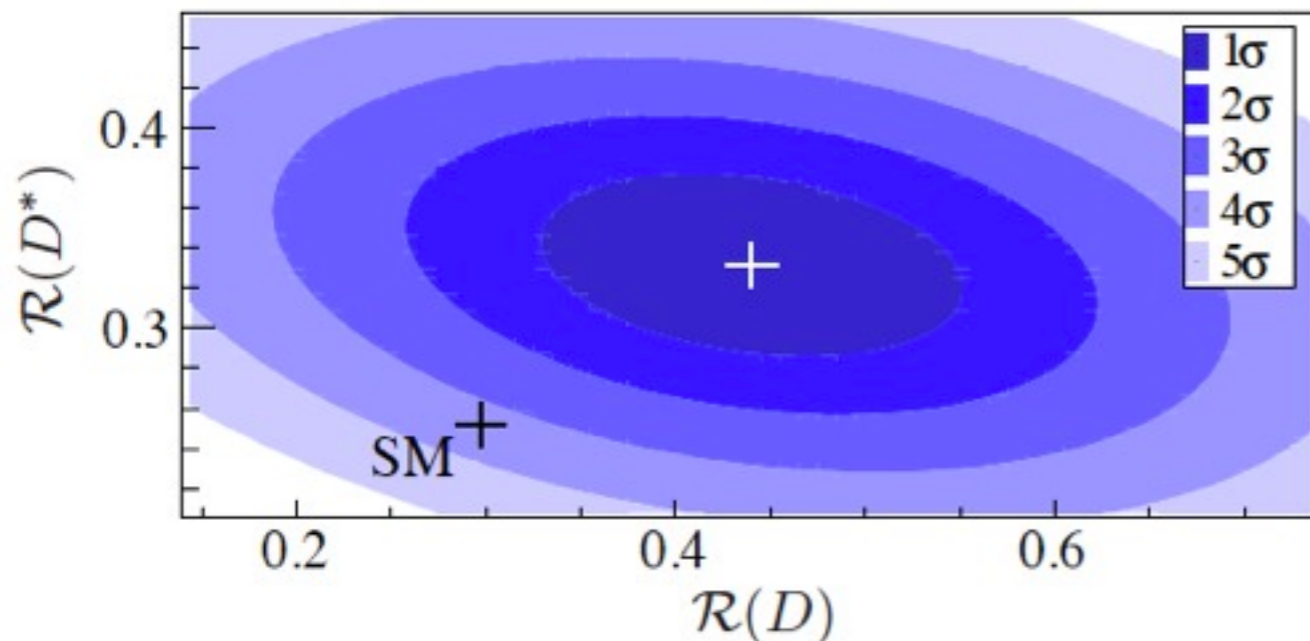
*Systematic uncertainties from D^{**} BG, BG PDFs, BG yields, etc.*



$B \rightarrow D^{(*)} \tau \nu$ from BaBar and SM

$$\begin{array}{ccc} \mathcal{R}(D)_{\text{exp}} = 0.440 \pm 0.072 & \mathcal{R}(D^*)_{\text{exp}} = 0.332 \pm 0.030 & \\ \updownarrow 2.0\sigma & \updownarrow 2.7\sigma & \\ \mathcal{R}(D)_{\text{SM}} = 0.297 \pm 0.017 & \mathcal{R}(D^*)_{\text{SM}} = 0.252 \pm 0.003 & \end{array}$$

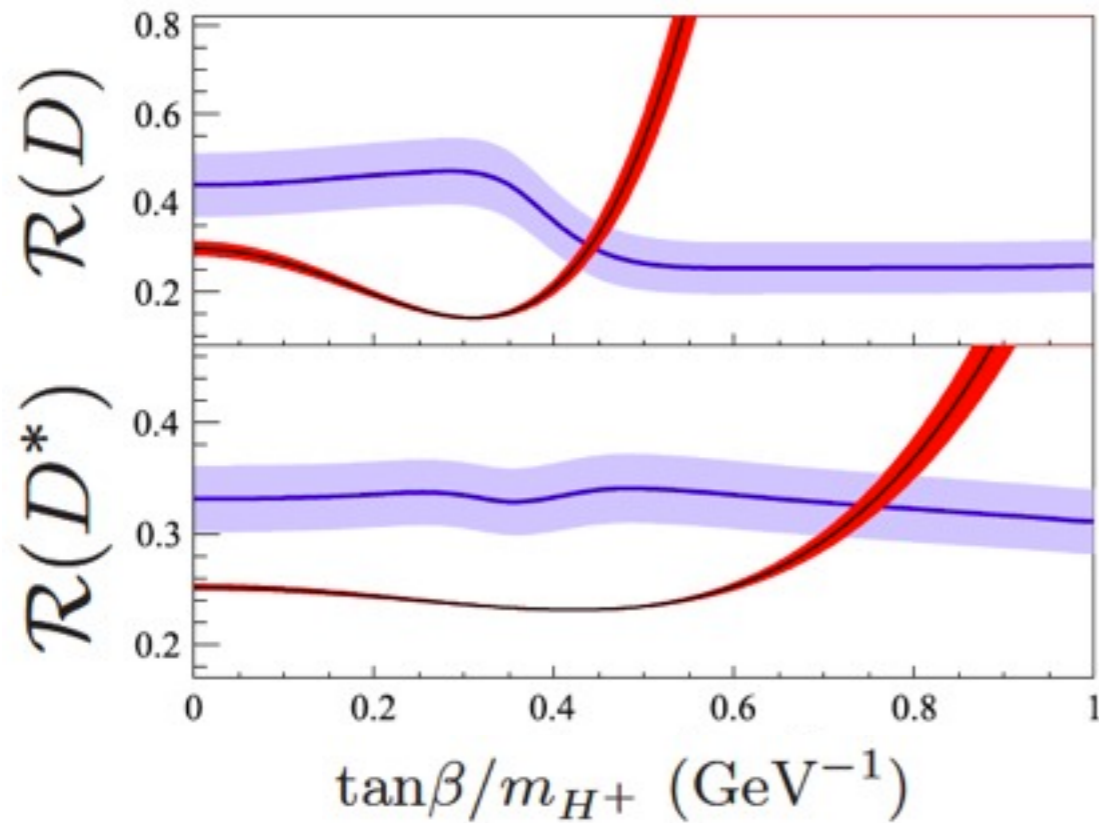
SM expectations in S. Fajfer, J. Kamenik, I. Nisandzic, PRD 85, 094025 (2012).



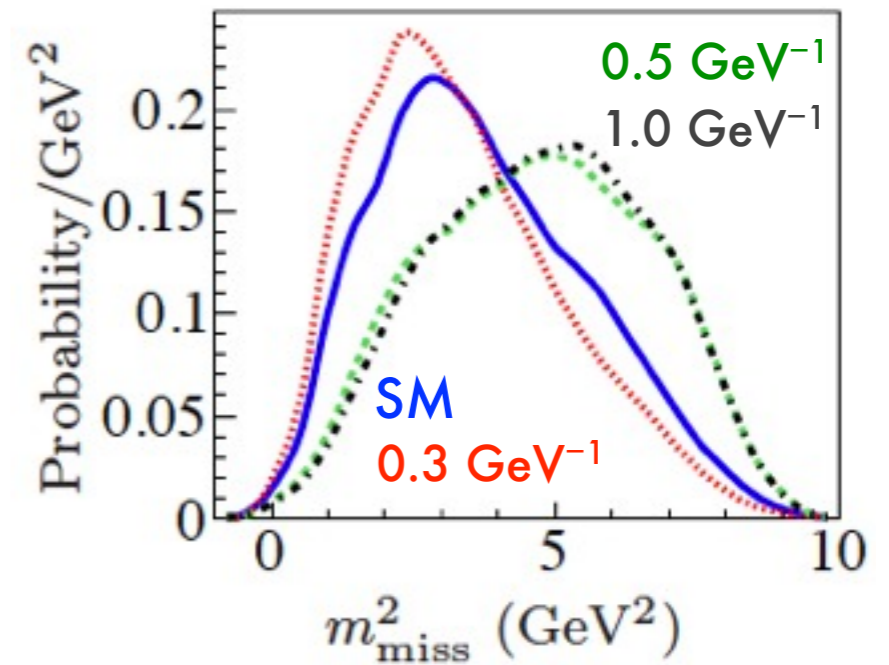
The possibility that the measured $\mathcal{R}(D)$ and $\mathcal{R}(D^*)$ both agree with the SM predictions is **excluded at the 3.4 σ level**.

(σ for 1-D Gaussian function)

$B \rightarrow D^{(*)} \tau \nu$ from BaBar and Type-II 2HDM

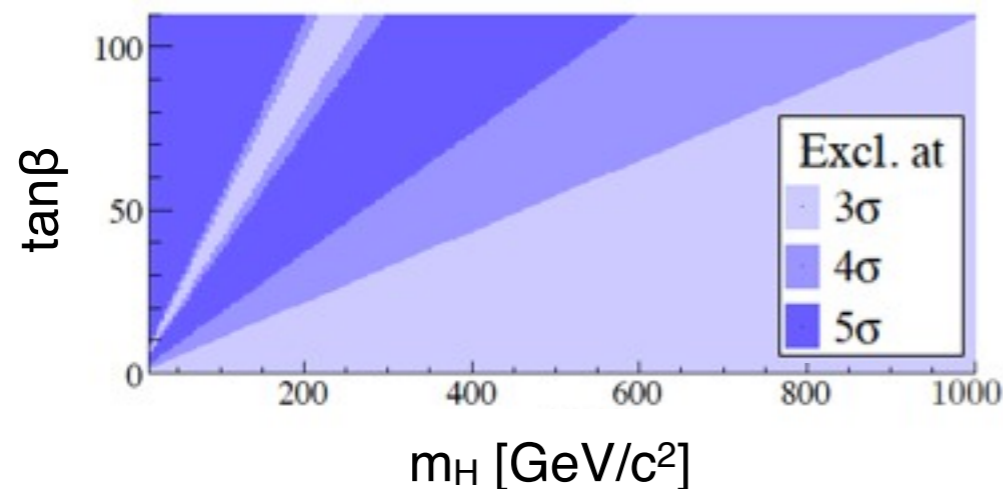


Blue: this result, red: Type-II 2HDM.



(The values indicated for $\tan\beta/m_H$.)

Exp. $R(D^{(*)})$ dependency mainly from m_{miss}^2 dependency (reflection of q^2 dependency).



The combination of $R(D)$ and $R(D^*)$ excludes the Type-II 2HDM at 99.8% C.L. for any value of $\tan\beta/m_H$.

Note: Type III and q^2 spectra in *arXiv:1303.0571*.

B → D^(*)τν from Belle

A. Bozek's averages shown at KEK-FF 2013:

(naive averages for inclusive and exclusive hadronic tags)

$$R(D) = 0.430 \pm 0.091$$

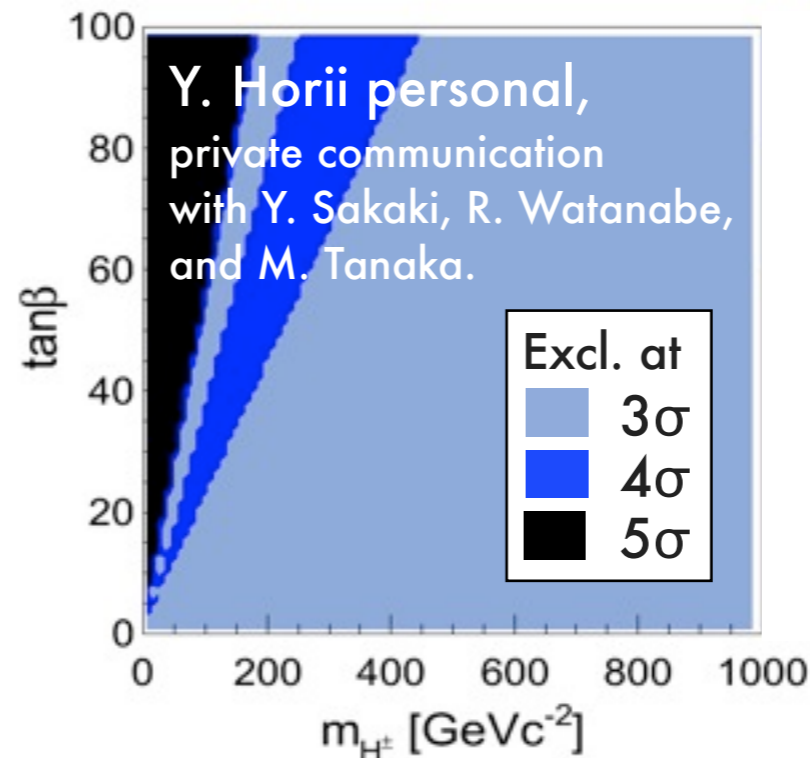
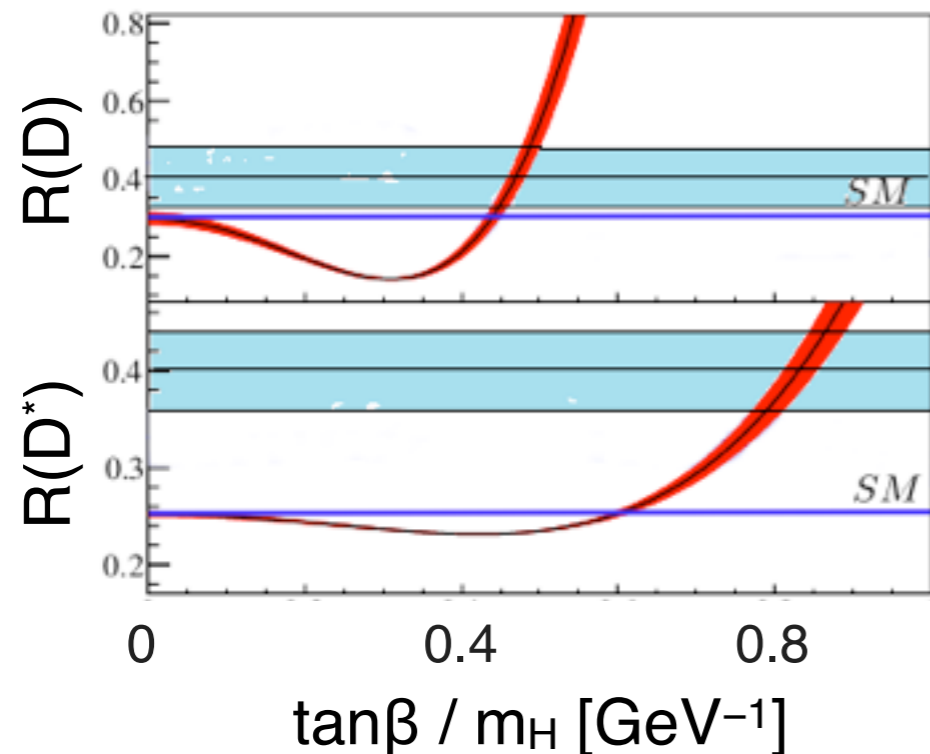
$$R(D^*) = 0.405 \pm 0.047$$

PRL 99 (2007) (535×10^6)
 PRD 82 (2010) (657×10^6)
 hep-ex/0910.4301 (657×10^6)

SM deviations:
 R(D): 1.4σ
 R(D^{*}): 3.0σ
 Combined: 3.3σ

Correlation btw R(D) and R(D^{*})
 neglected conservatively.

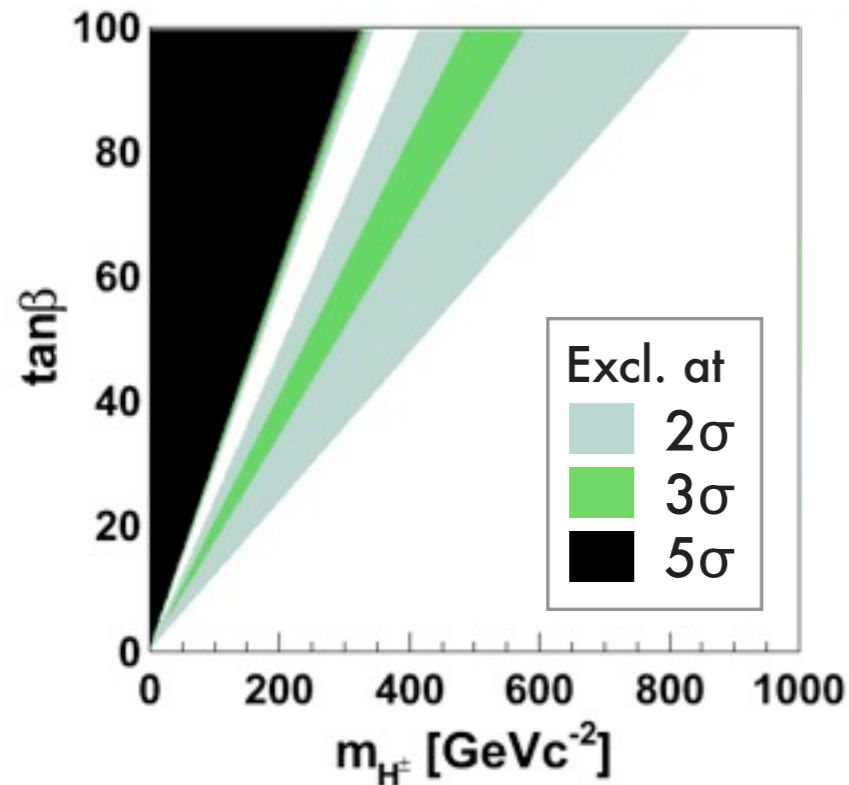
Constraint on Type-II 2HDM:



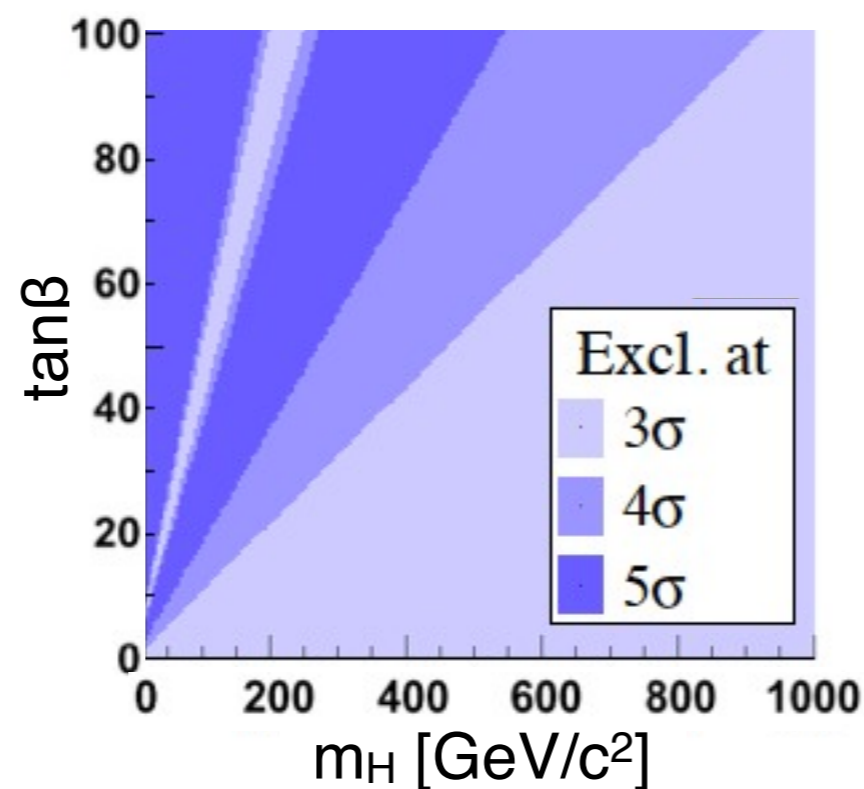
Experimental R(D^(*))
 dependence on $\tan\beta/m_H$
 not considered.
 Experimental correlation
 between R(D) and R(D^{*})
 not considered.

Comparison for $B \rightarrow \tau \nu$ and $B \rightarrow D^{(*)} \tau \nu$ Results

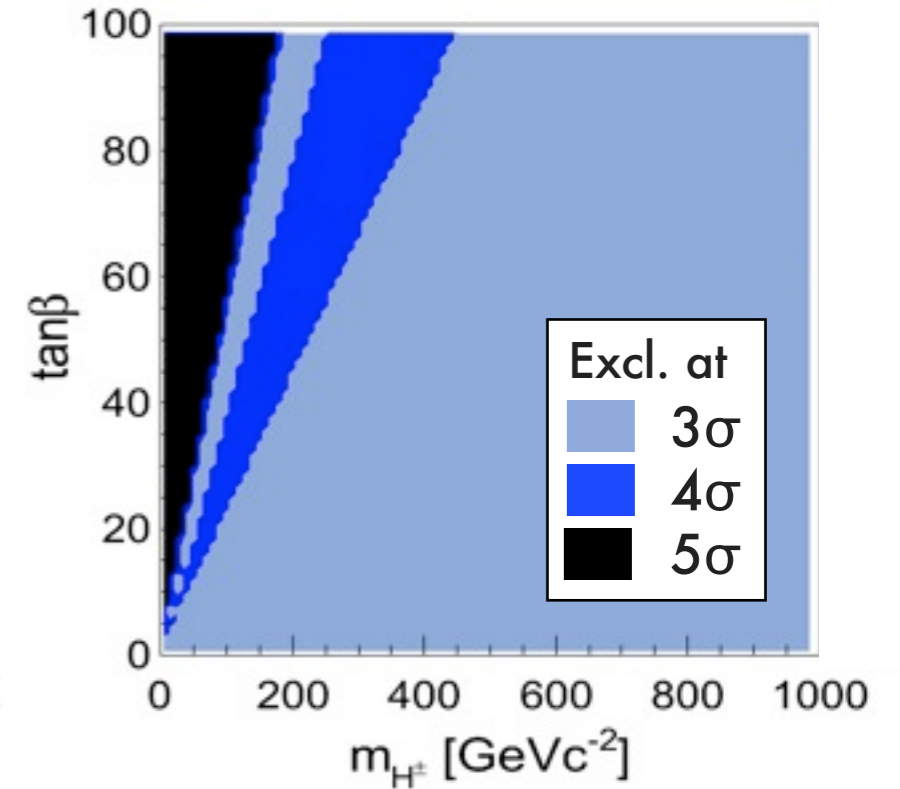
$B \rightarrow \tau \nu$, Belle+BaBar
(using naive average)



$B \rightarrow D^{(*)} \tau \nu$, BaBar
(incl. corr, $\tan\beta/m_H$ effect)



$B \rightarrow D^{(*)} \tau \nu$, Belle
(naive average by A. Bozek)
(w/o corr, $\tan\beta/m_H$ effect)



- Local minimum at about $\tan\beta/m_H = 0.5$ [$\text{GeV}^{-1}\text{c}^2$] for $B \rightarrow D^{(*)} \tau \nu$ excluded by $B \rightarrow \tau \nu$ by $>5\sigma$.
- Stronger constraint may be obtained by combining all results.
- **Should be careful: strong dependency on central values, correlations, ...**

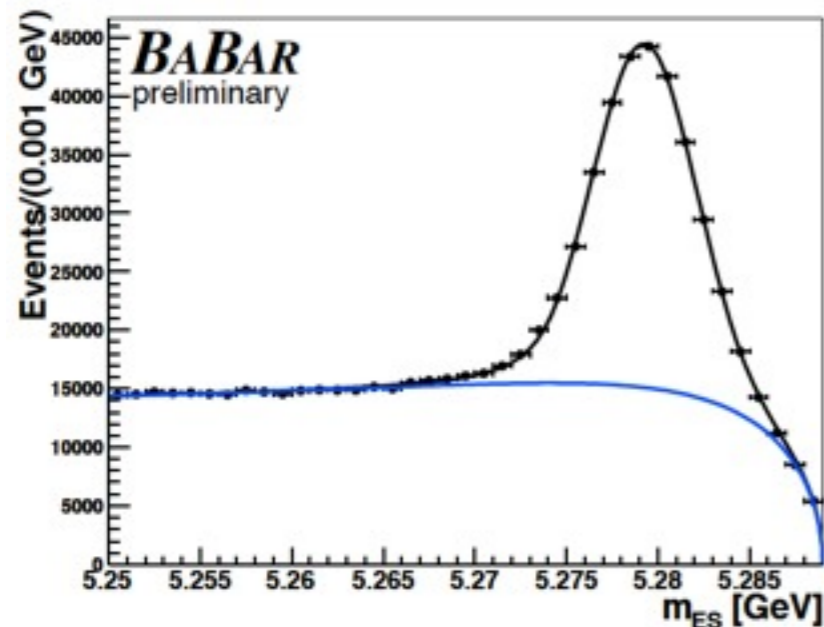
Summary

- $B \rightarrow \tau \nu$ and $B \rightarrow D^{(*)} \tau \nu$ interesting for a test of SM and a search for new physics.
- **Recent $B \rightarrow \tau \nu$ results** weaken the tension against CKM fit.
- **Recent $B \rightarrow D^{(*)} \tau \nu$ results** disfavor SM and type-II two Higgs doublet model by a level of $>3\sigma$.
- **Final result from Belle using full data coming soon.**
- **Important to improve the precision at Belle II.**

Backup slides

Tag for $B \rightarrow \tau \nu$

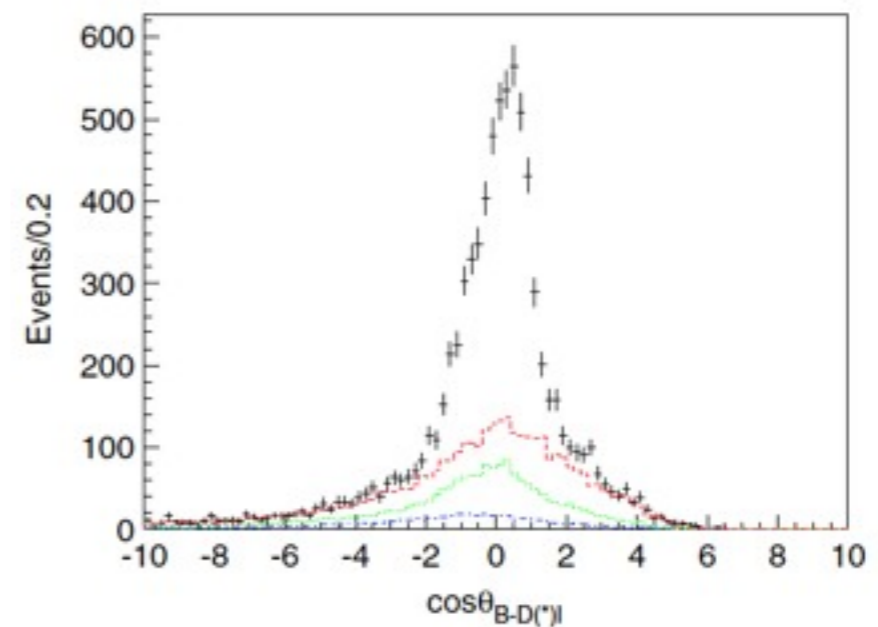
Hadronic tag for $B \rightarrow \tau \nu$ by BaBar



$$m_{ES} = \sqrt{s/4 - p_B^2}$$

- Modes: $B \rightarrow D^{(*)} \pi$, etc.
- Efficiency = $\sim 0.2\%$.
- Less background.
- p_{Bsig} determined.

Semileptonic tag for $B \rightarrow \tau \nu$ by Belle



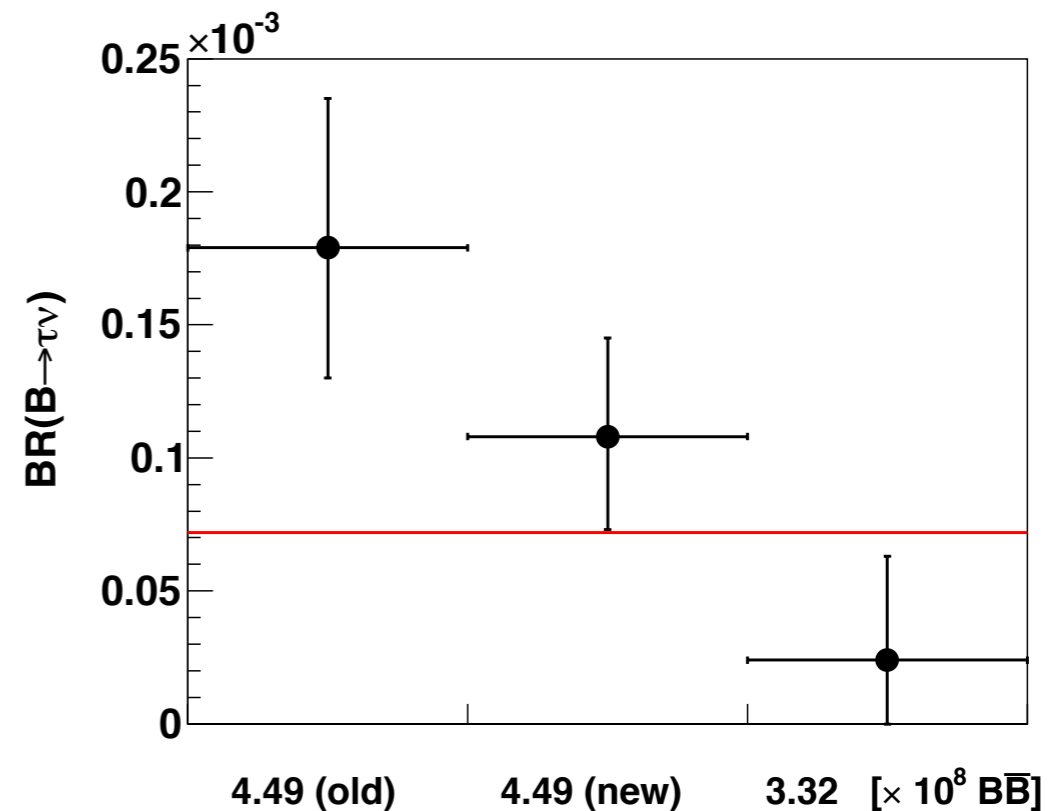
$$\cos\theta_{B,D^{(*)}\ell} = \frac{2E_{beam}^{cms} E_{D^{(*)}\ell}^{cms} - m_B^2 - M_{D^{(*)}\ell}^2}{2P_B^{cms} \cdot P_{D^{(*)}\ell}^{cms}}$$

- Modes: $B \rightarrow D^{(*)} \ell \nu$.
- Efficiency = $\sim 1\%$.
- More background.
- p_{Bsig} not determined.

Comparison for $B \rightarrow \tau \nu$ Using Hadronic Tag at Belle

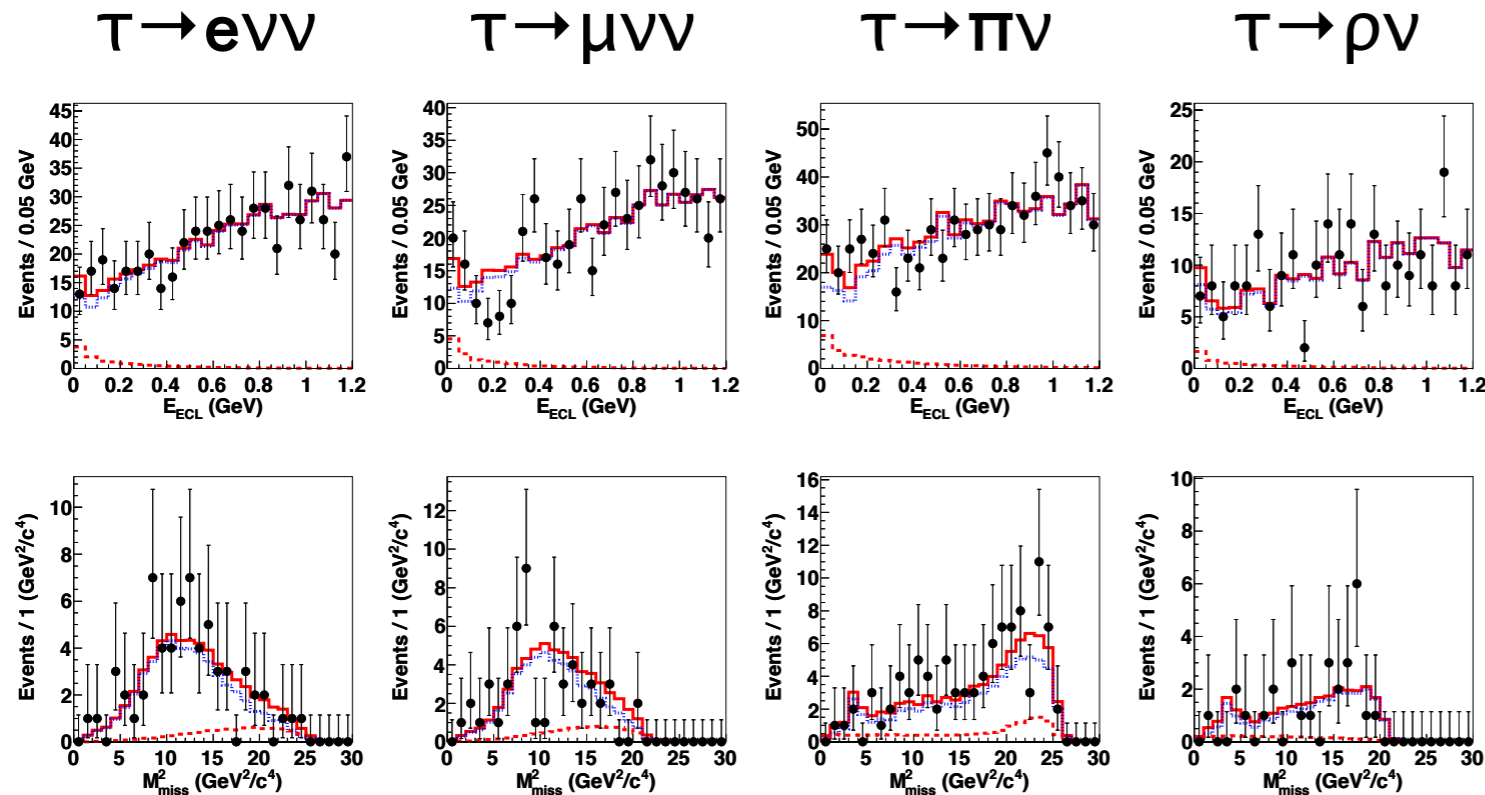
	PRL 97 (2006)	This analysis	
Tag	Hadronic tag	Hadronic tag (new)	
Number of $B\bar{B}$ events ($\times 10^8$)	4.49	4.49	3.22
Efficiency ($\times 10^{-4}$)	3.0	11.2	11.2
Signal yield	$24.1^{+7.6}_{-6.6}$	$54.1^{+18.8}_{-17.4}$	$8.6^{+14.0}_{-12.4}$
$\mathcal{B}(B^- \rightarrow \tau^- \bar{\nu}_\tau)$ ($\times 10^{-4}$)	$1.79^{+0.56}_{-0.49}$	$1.08^{+0.37}_{-0.35}$	$0.24^{+0.39}_{-0.34}$

- New analysis is based on improved tag, loose event selection, and reprocessed data.
- **Most of the data after the selection are independent from old analysis.**
- Assuming that all events in old analysis are included in new analysis, the remaining data sample in $N_{BB} = 4.49 \times 10^8$ provides $BR \sim (0.6 \pm 0.4) \times 10^{-4}$ (1.9σ from old result).

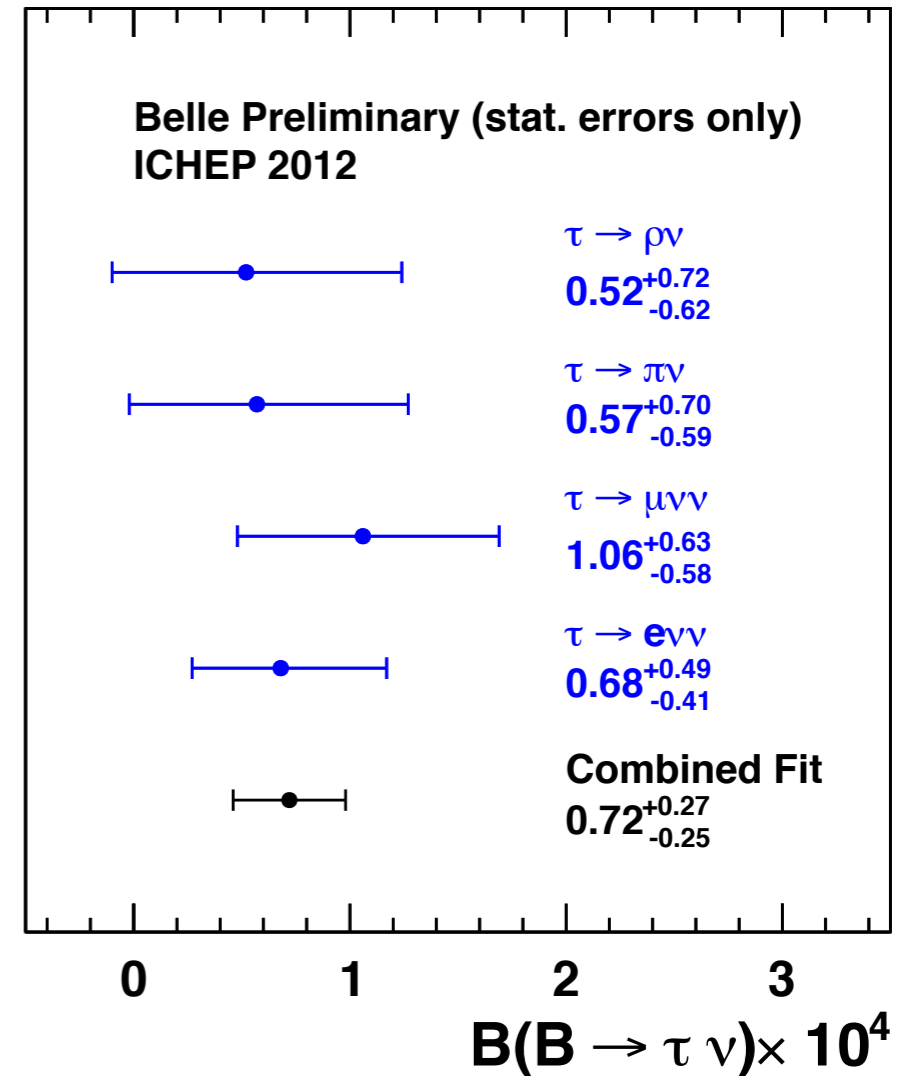


B → τν by Hadronic Tag at Belle, Mode Independence

As a check, we fit by floating the yields for different τ modes.



Take τ → eνν, μνν, ρν cross-feeds in τ → πν candidates as signal.



Mode	Number of signal	Efficiency
$e^- \bar{\nu}_e \nu_\tau$	$15.5^{+11.2}_{-9.4}$	2.98×10^{-4}
$\mu^- \bar{\nu}_\mu \nu_\tau$	$25.6^{+15.1}_{-13.8}$	3.12×10^{-4}
$\pi^- \nu_\tau$	$7.8^{+9.5}_{-7.9}$	1.76×10^{-4}
$\rho^- \nu_\tau$	$13.6^{+18.7}_{-16.1}$	3.37×10^{-4}

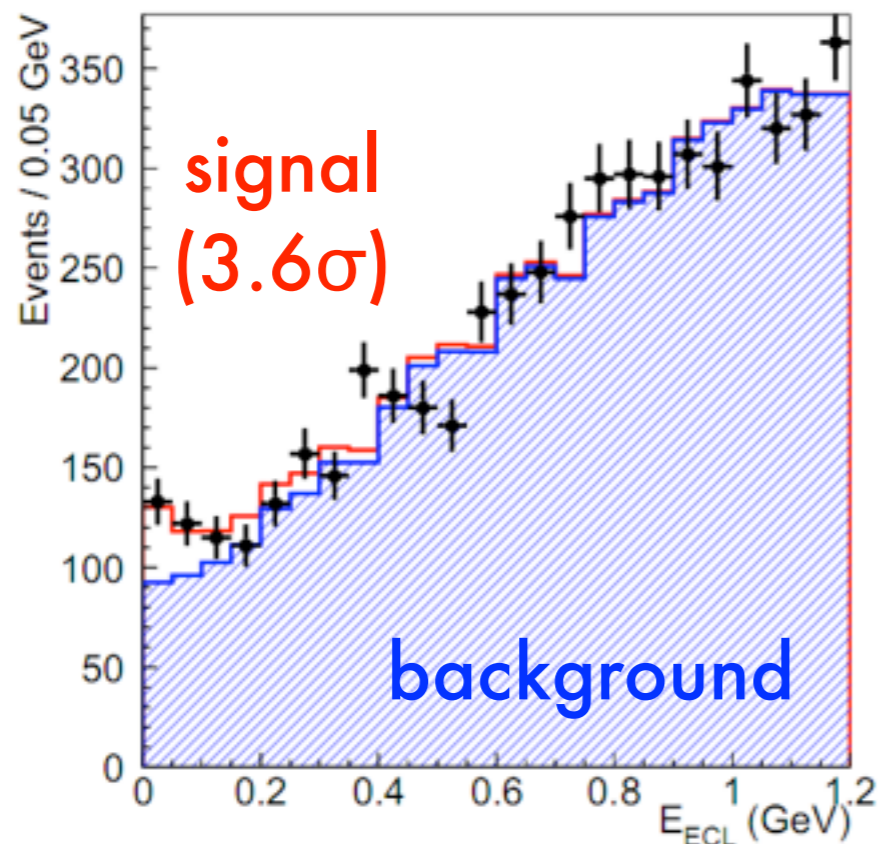
Consistent results.

Rare unobserved BG decays (e.g. $B \rightarrow \mu\nu\gamma$) would show up in individual signal modes.

$B \rightarrow \tau \nu$ by Semileptonic Tag

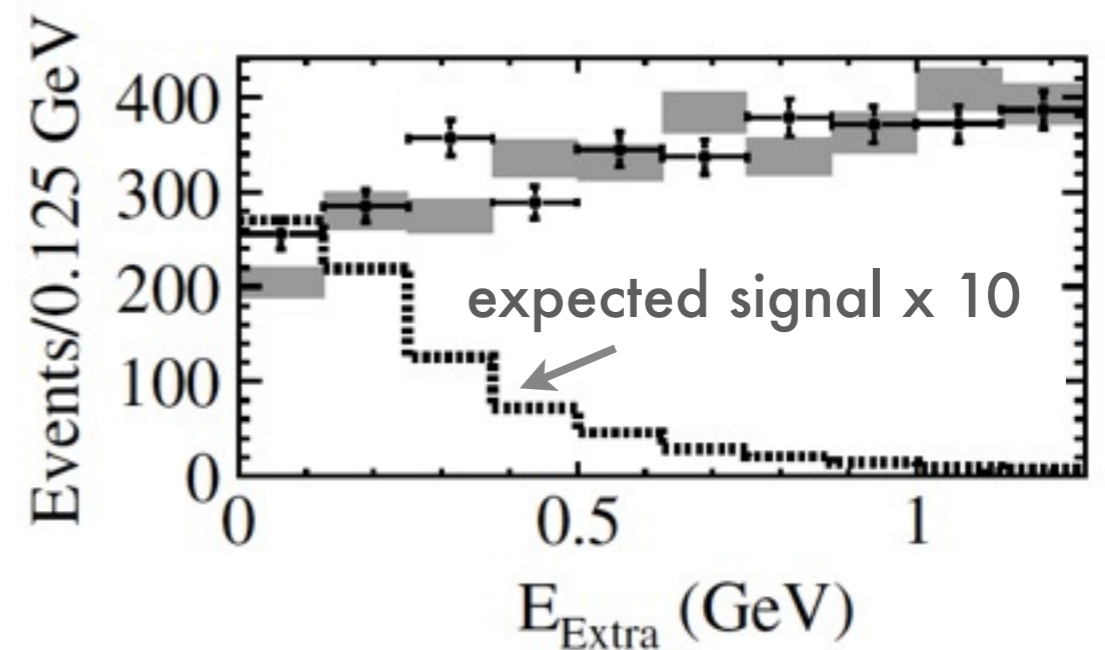
Belle

- PRD 82, 071101(R) (2010).
- Use **657 M BB**.
- $B = [1.54^{+0.38}_{-0.37} {}^{+0.29}_{-0.31}] \times 10^{-4}$.



BaBar

- PRD 81, 051101(R) (2010).
- Use **459 M BB**.
- $B = [1.7 \pm 0.8 \pm 0.2] \times 10^{-4}$.

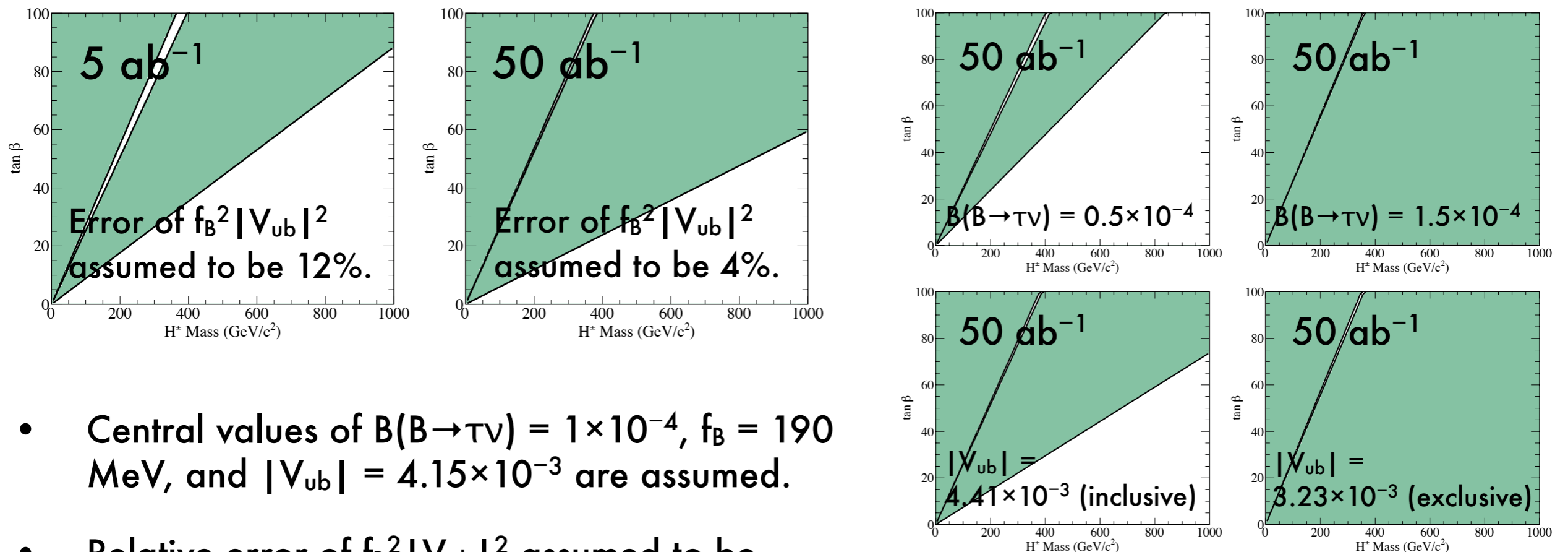


(Counting method employed.)

Prospects for Belle II ($\sqrt{}$ Luminosity Assumption)

$B \rightarrow \tau \nu$

- Expected sensitivity: **a few % at 50 ab^{-1}** .
- For **Type-II 2HDM**, we show expected exclusion region at 95% C.L.:



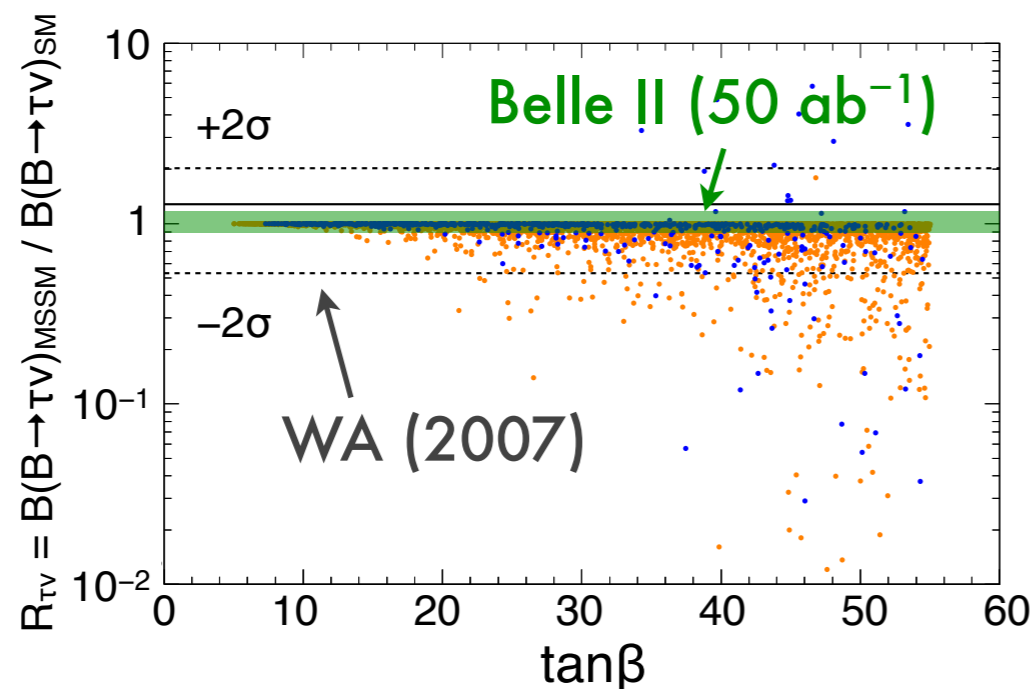
- Central values of $B(B \rightarrow \tau \nu) = 1 \times 10^{-4}$, $f_B = 190 \text{ MeV}$, and $|V_{ub}| = 4.15 \times 10^{-3}$ are assumed.
- Relative error of $f_B^2 |V_{ub}|^2$ assumed to be comparable to the one of measured $B(B \rightarrow \tau \nu)$.

Strong dependence on central values of $B(B \rightarrow \tau \nu)$ and $|V_{ub}|$.

Prospects for Belle II (\sqrt{L} Luminosity Assumption)

$B \rightarrow \tau\nu$

- Assuming **2-parameter nonuniversal Higgs model**, we expect



H. Baer, V. Barger, and A. Mustafayev,
PRD85, 075010

$\mu > 0$, $m_h = 125 \pm 1$ GeV, $m_t = 173.3$ GeV

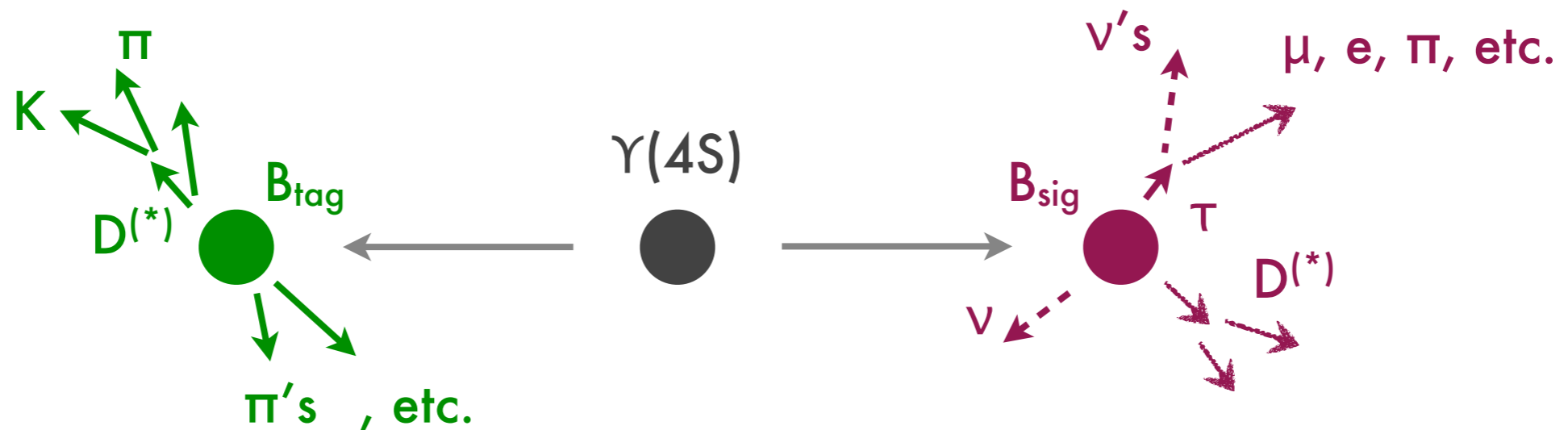
blue: $m_0 < 5$ TeV, **orange**: $m_0 < 20$ TeV

$B \rightarrow \mu\nu, e\nu$

- 5σ observation** expected for $B(B \rightarrow \mu\nu)_{SM}$ at ~ 10 ab^{-1} .
- $\mathcal{O}(10^{-8})$ sensitivity** at 50 ab^{-1} . *Interesting to compare with $B \rightarrow \tau\nu$.*

Methods for Analyzing $B \rightarrow D^{(*)} \tau \nu$

Exploit that a B meson pair is generated by $e^+e^- \rightarrow \Upsilon(4S) \rightarrow BB$.



- **Hadronic tag:** reconstruct B_{tag} in hadronic decays $B \rightarrow D^{(*)} \pi$, etc., and detect signal using remaining particles (similarly to $B \rightarrow \tau \nu$).
- **Inclusive tag:** detect signal decay products excluding neutrinos, and reconstruct B_{tag} using invariant mass for remaining particles M_{tag} .

$\bar{B}^0 \rightarrow D^* \tau \nu$ by Inclusive Tag from Belle

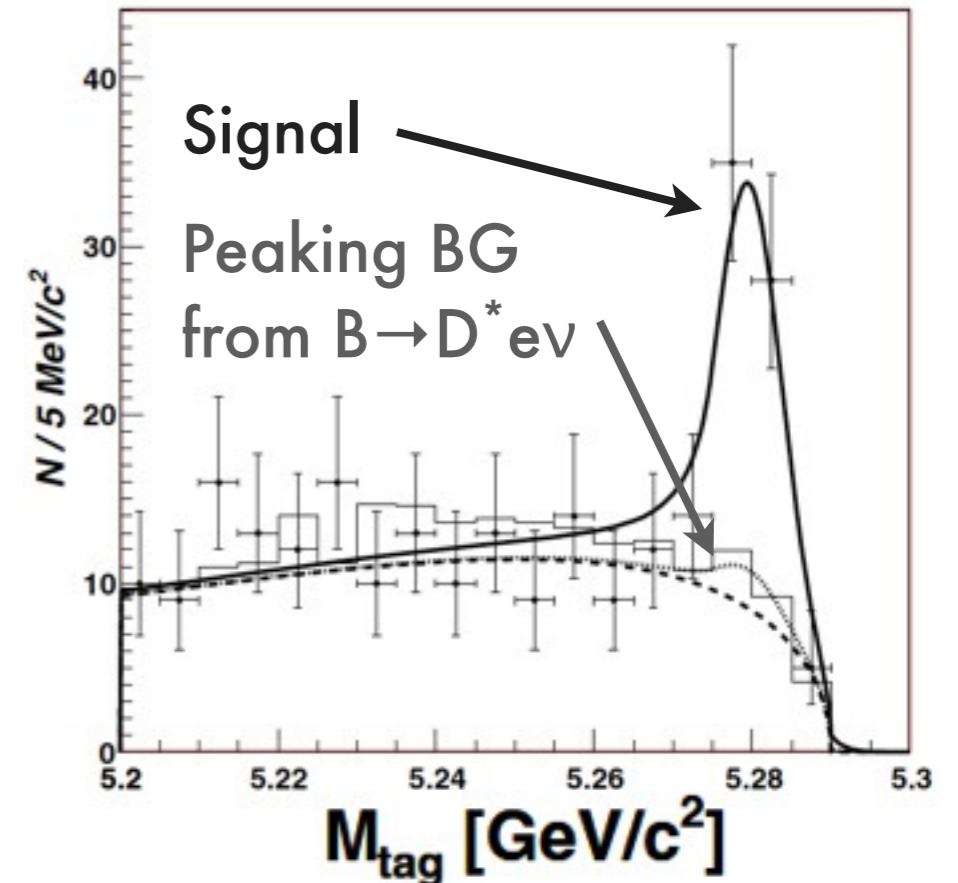
- PRL 99, 191807 (2007).
- Use **535 M BB**.
- **First observation** of signal (5.2σ).

$$\mathcal{B}(D^{*+} \tau^- \bar{\nu}_\tau) = [2.02_{-0.37}^{+0.40}(\text{stat}) \pm 0.37(\text{syst})]\%$$

Syst. from *tag efficiency, sig efficiency, etc.*



Largest, estimated from control sample $B^0 \rightarrow D^{-} \pi^+$.*



Consistent with SM value $(1.41 \pm 0.07)\%$ [C.-H. Chen and C.-Q. Geng, JHEP10, 053 (2006)].

$B^\pm \rightarrow D^{(*)} \tau \nu$ by Hadronic Tag from Belle

- Using 657 M BB.
- Simultaneous fit to both subsets.
- $D^{(*)} l \nu$ as control sample and normalization.
- Evidence for signals.

$$R(D^0 \tau^- \bar{\nu}_\tau / D^0 l^- \bar{\nu}_\tau) = 0.70_{-0.18}^{+0.19}(\text{stat})_{-0.09}^{+0.11}(\text{syst})$$

3.8 σ

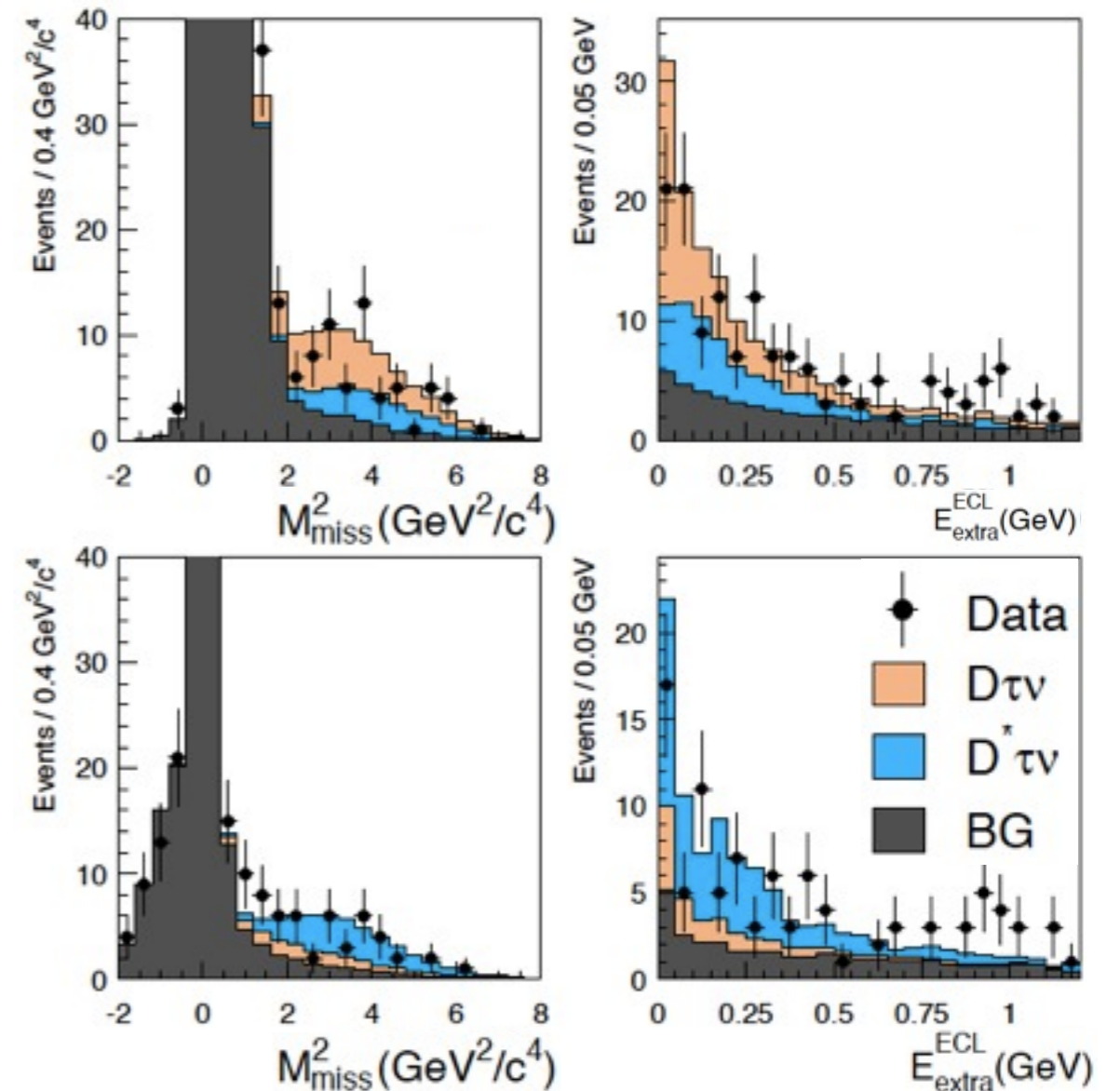
$$R(D^{*0} \tau^- \bar{\nu}_\tau / D^{*0} l^- \bar{\nu}_\tau) = 0.47_{-0.10}^{+0.11}(\text{stat})_{-0.07}^{+0.06}(\text{syst})$$

3.9 σ

$$\mathcal{B}(D^0 \tau^- \bar{\nu}_\tau) = [1.51_{-0.39}^{+0.41}(\text{stat})_{-0.19}^{+0.24}(\text{syst}) \pm 0.15(\text{norm})]\%$$

$$\mathcal{B}(D^{*0} \tau^- \bar{\nu}_\tau) = [3.04_{-0.66}^{+0.69}(\text{stat})_{-0.47}^{+0.40}(\text{syst}) \pm 0.22(\text{norm})]\%$$

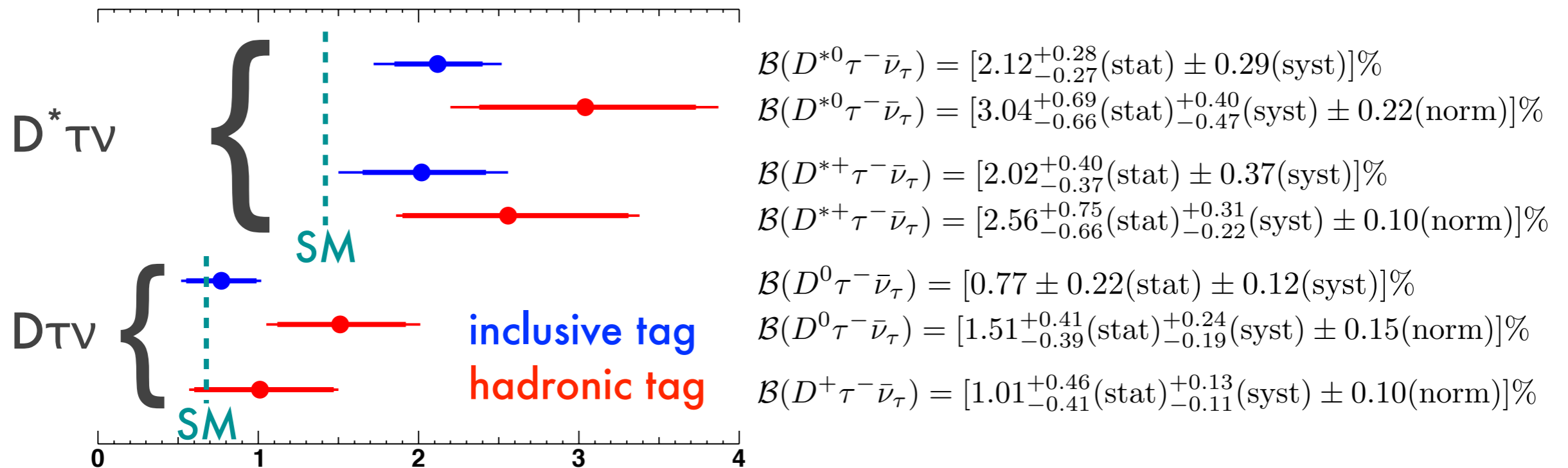
Syst. from PDFs and cross-feeds.



BG: $D l \nu$, $D^* l \nu$, $D^{**} l \nu$, $D X$, ...

[arXiv:0910.4301](https://arxiv.org/abs/0910.4301)

Summary for $B \rightarrow D^{(*)} \tau \nu$ from Belle



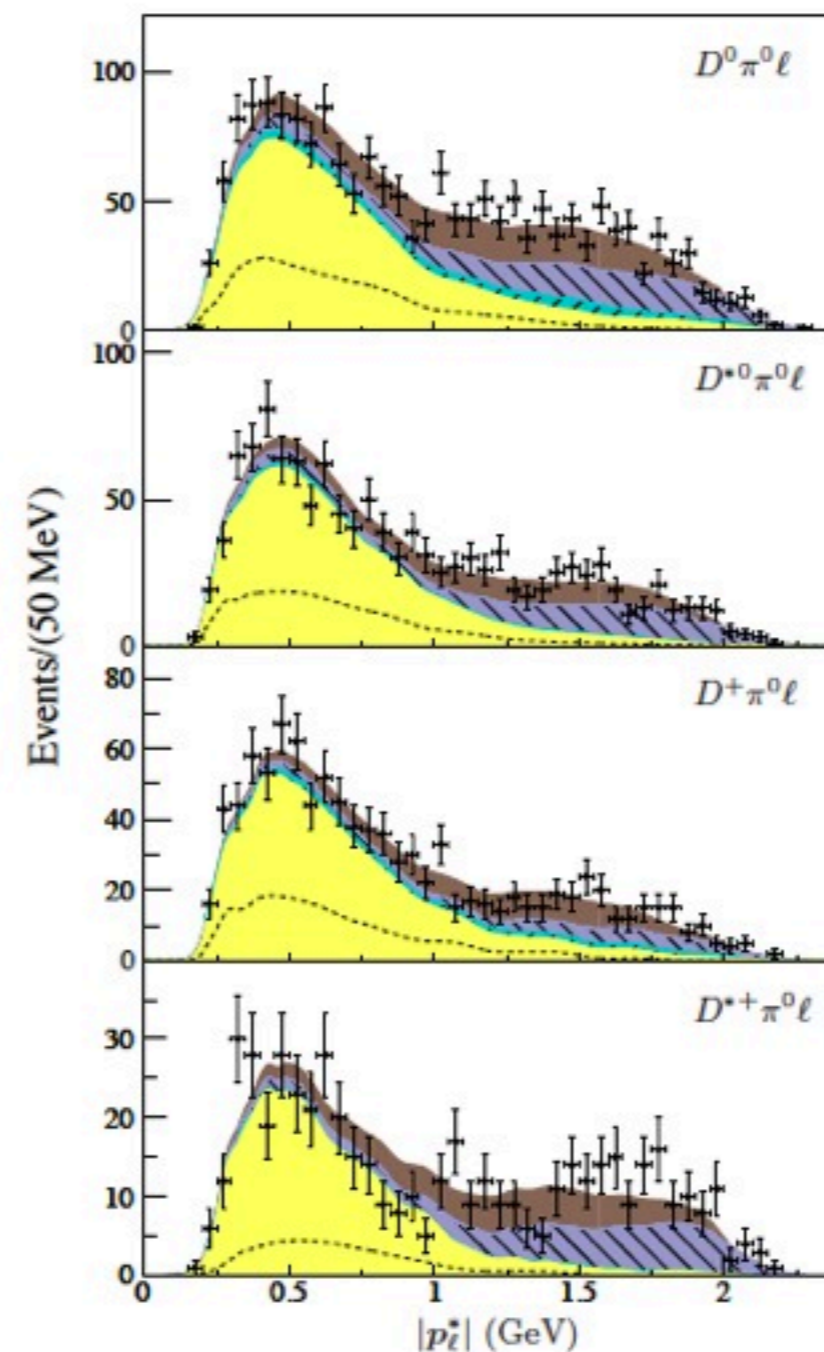
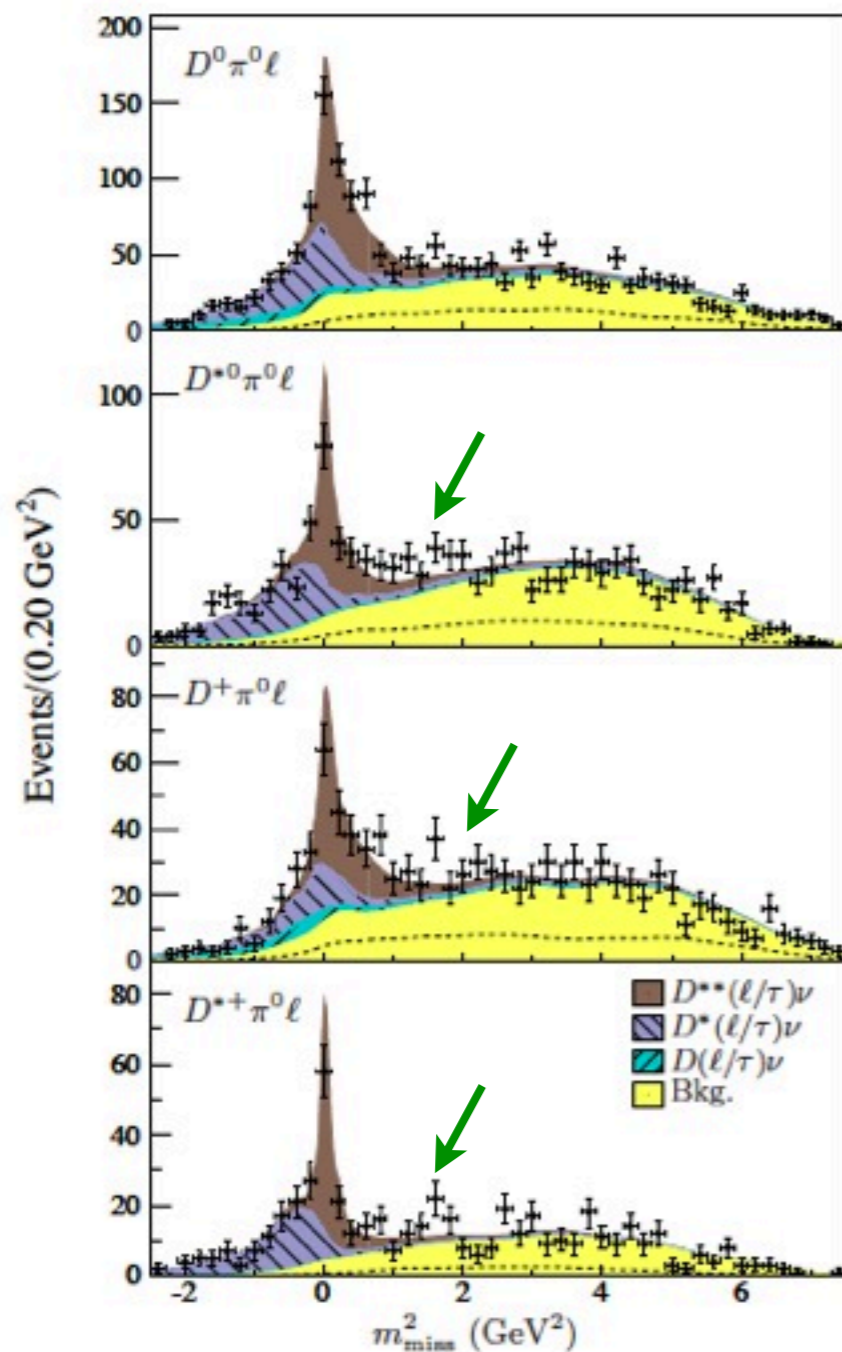
inclusive tag: PRL 99 (535M BB), PRD 82 (657M BB).

hadronic tag: arXiv:0910.4301 (657M BB).

- Good agreement btw the results for inclusive and hadronic tags.
- Not significant but **slightly larger than SM expectations.**

D^{**} Background for $D^{(*)}\tau\nu$ at BaBar

Simultaneous fit for signal candidates and $D\pi^0\ell$ control sample.

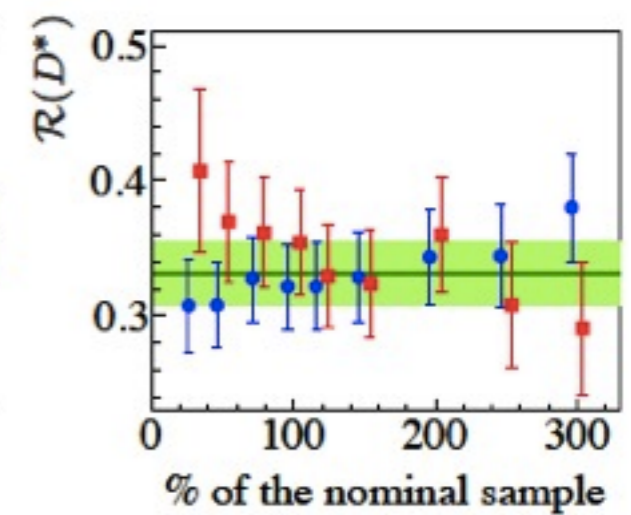
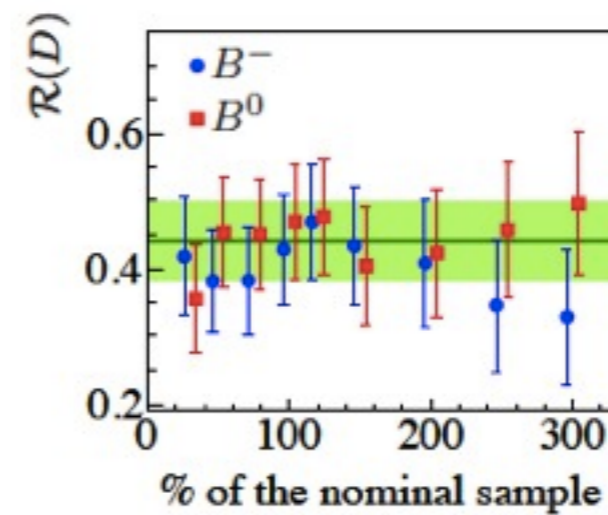
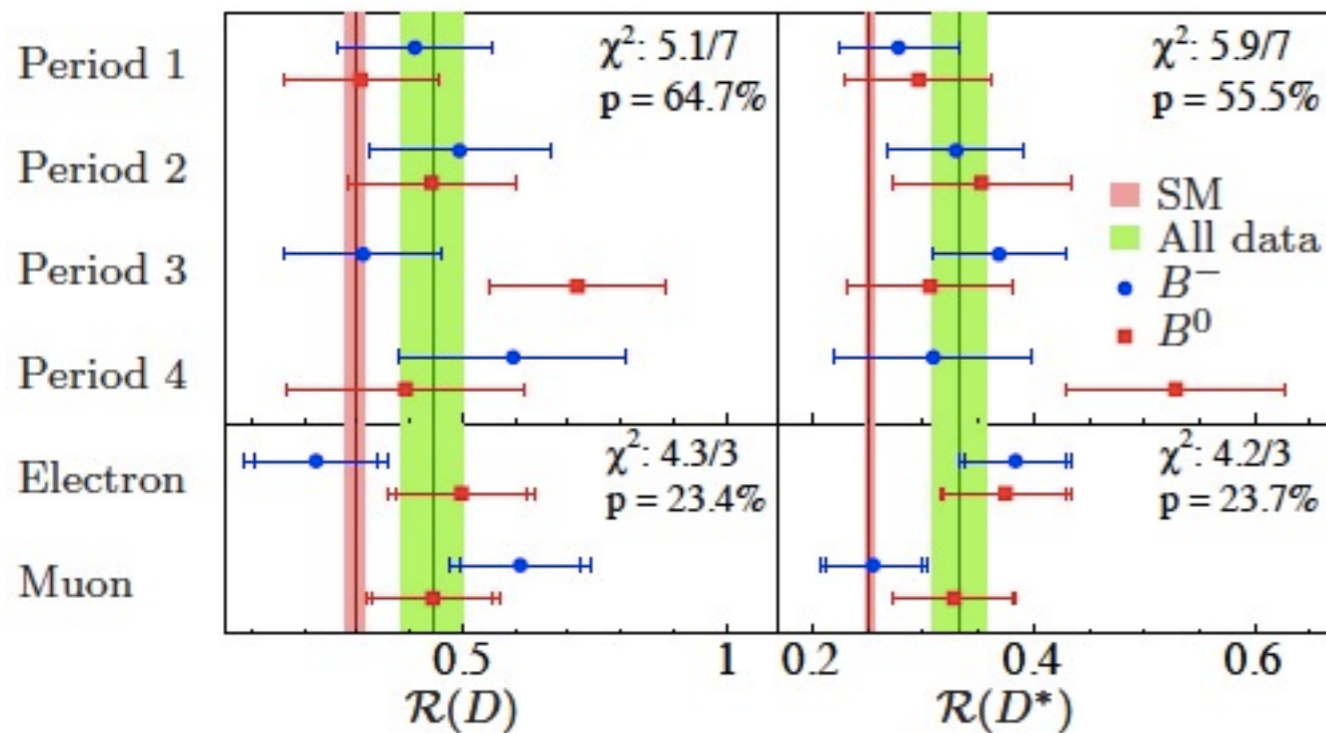


Effect of small enhancement in higher region taken in Syst.

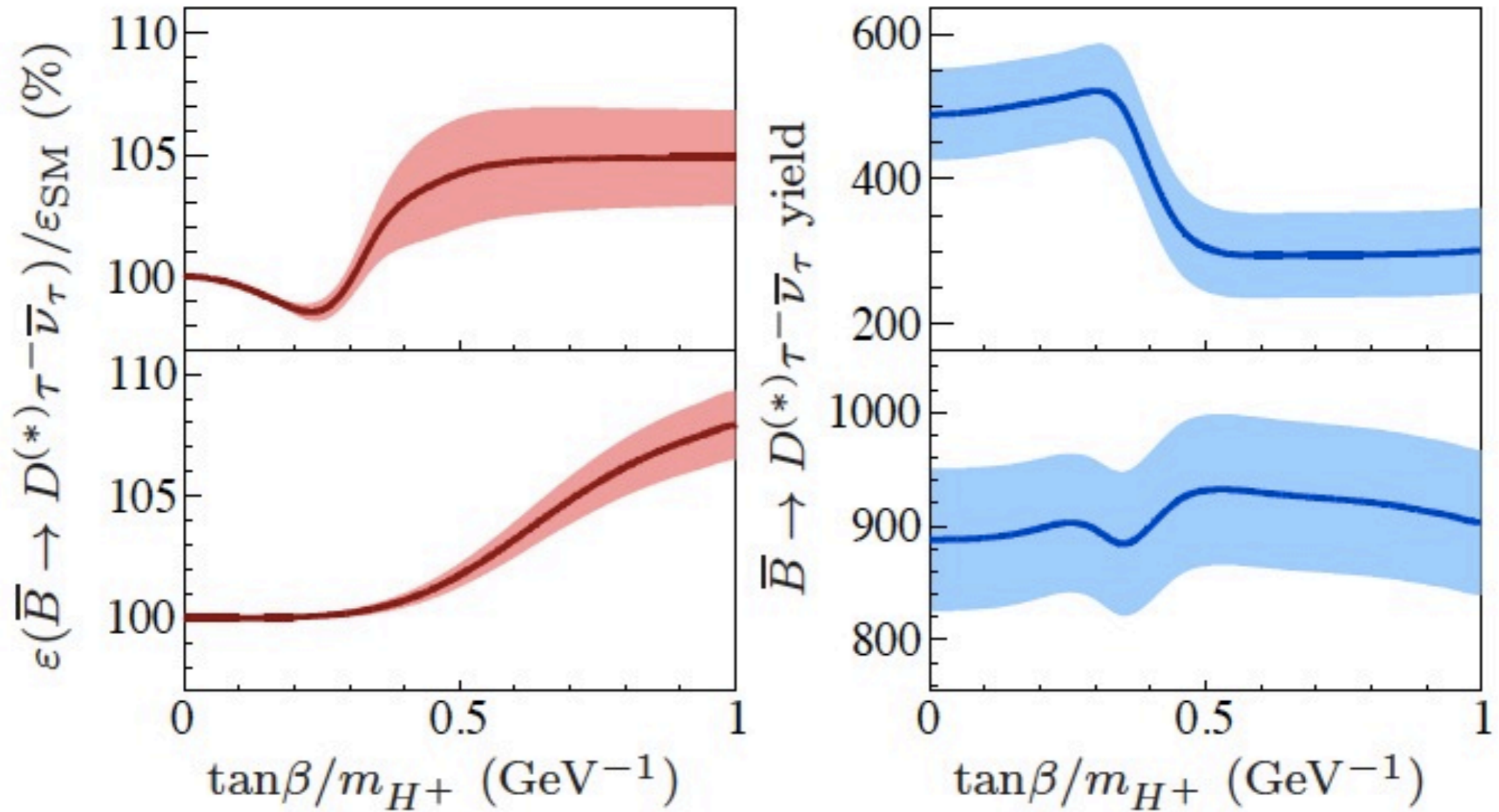
Examples of Various Checks for $B \rightarrow D^{(*)} \tau \nu$ from BaBar

Run periods,
lepton modes

BDT requirements



Dependence on $\tan\beta/m_{H^\pm}$ for $B \rightarrow D^{(*)}\tau\nu$ from BaBar



Constraint on Type III 2HDM from $B \rightarrow D^{(*)} \tau \nu$ by BaBar

