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Charm mixing and CP violation at LHCb

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on behalf of the LHCb Collaboration



- **Introduction:**

- ✧ mixing D^0 -anti- D^0 and CPV
 - ✓ SM predictions
 - ✓ current constraints for mixing and CPV in charm physics
 - ✓ why are we interested in charm physics?

- **Measurements of mixing and CPV in charm sector at LHCb**

- ✧ observation of D^0 – anti- D^0 mixing
- ✧ ΔA_{CP} in $D^0 \rightarrow K^+K^-$ and $D^0 \rightarrow \pi^+\pi^-$
 - pion-tagged analysis $D^{*\pm} \rightarrow D^0\pi^+_s$
 - muon-tagged analysis $B \rightarrow D^0\mu X$
- ✧ Search for direct CPV in $D^+ \rightarrow \phi\pi^+$ and $D^+_s \rightarrow K^0_s\pi^+$

- **Summary**

Introduction

Neutral mesons can oscillate between matter and anti-matter: mass eigenstates are different from flavour eigenstates

$$i \frac{d}{dt} \begin{pmatrix} |D^0\rangle \\ |\bar{D}^0\rangle \end{pmatrix} = \left[\begin{pmatrix} M_{11} & M_{12} \\ M_{12}^* & M_{22} \end{pmatrix} - \frac{i}{2} \begin{pmatrix} \Gamma_{11} & \Gamma_{12} \\ \Gamma_{12}^* & \Gamma_{22} \end{pmatrix} \right] \begin{pmatrix} |D^0\rangle \\ |\bar{D}^0\rangle \end{pmatrix}$$

$$|D_{1,2}\rangle = p|D^0\rangle \pm q|\bar{D}^0\rangle$$

theory

$$m \equiv (m_1 + m_2)/2$$

$$\Gamma \equiv (\Gamma_1 + \Gamma_2)/2$$

Two parameters describe mixing:

mass difference x :

$$x \equiv \frac{m_2 - m_1}{\Gamma} = \frac{\Delta m}{\Gamma}$$

decay width difference y :

$$y \equiv \frac{\Gamma_2 - \Gamma_1}{2\Gamma} = \frac{\Delta\Gamma}{2\Gamma}$$

experiment

theory

$$\Delta m = M_H - M_L = 2|M_{12}| \left(1 + \frac{1}{8} \frac{|\Gamma_{12}|^2}{|M_{12}|^2} \sin^2 \phi + \dots \right)$$

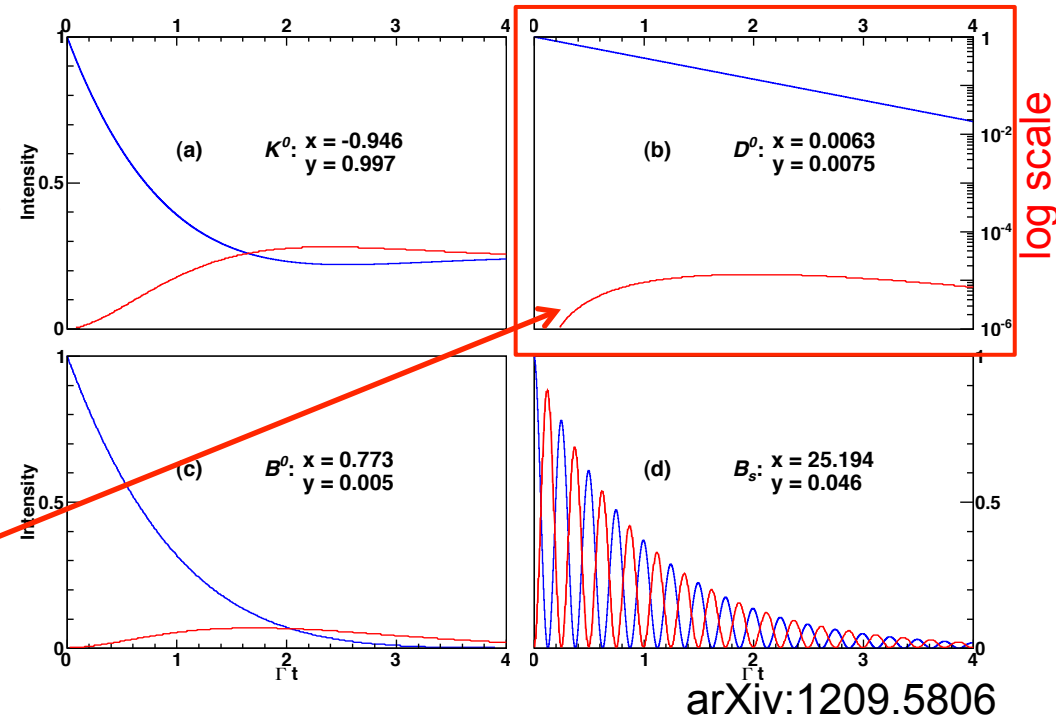
$$\Delta\Gamma = \Gamma_H - \Gamma_L = 2|\Gamma_{12}| \cos\phi \left(1 - \frac{1}{8} \frac{|\Gamma_{12}|^2}{|M_{12}|^2} \sin^2 \phi + \dots \right)$$

weak phase: $\phi \equiv \arg(-M_{12}/\Gamma_{12})$

$\Delta m, \Delta\Gamma$ – measured experimentally

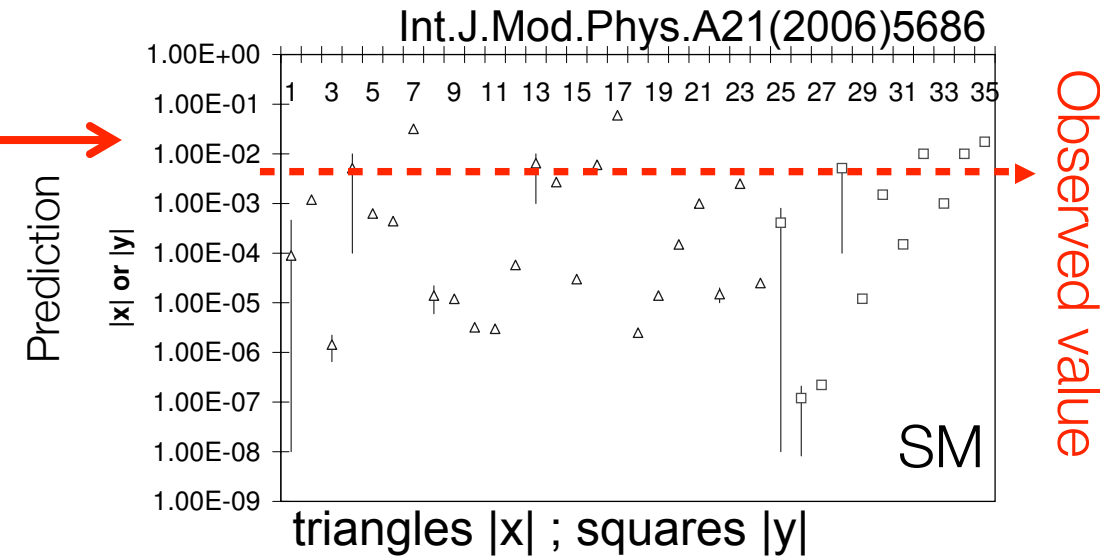
For charm: $x = 0.0063$; $y = 0.0075$

- **Mixing is very slow**
- **Very precise measurements needed**

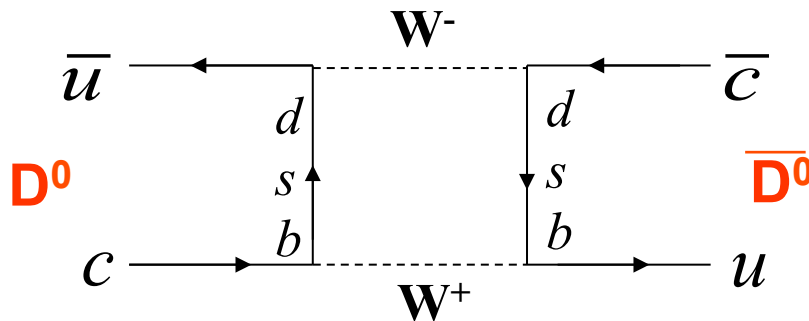


SM predictions

- In SM:
 - the charm mixing rate is expected to be small: $|x|, |y| \lesssim 10^{-2}$
 - expected CPV in charm sector is small $\lesssim 10^{-3}$ (much smaller than in the beauty sector)
 - SM predictions vary widely
 - New Physics contributions can enhance CPV up to 10^{-2}
- Int.J.Mod.Phys.A21(2006)5381 ;
Ann.Rev.Nucl.Part.Sci.58(2008)249

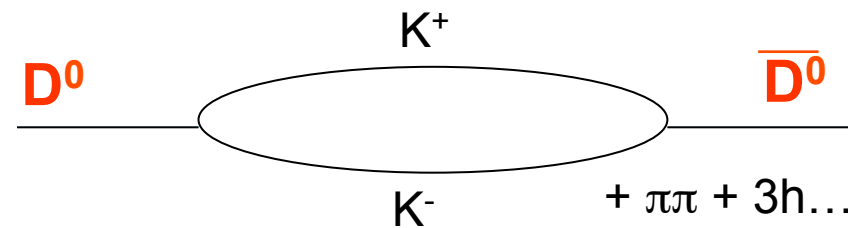


- Perfect place for New Physics searching (small background from SM)



Mixing via box-diagram, short range

$$x \sim 1\%$$



Mixing via hadronic intermediate states, long range (difficult to calculate)

$$y \sim 1\%$$

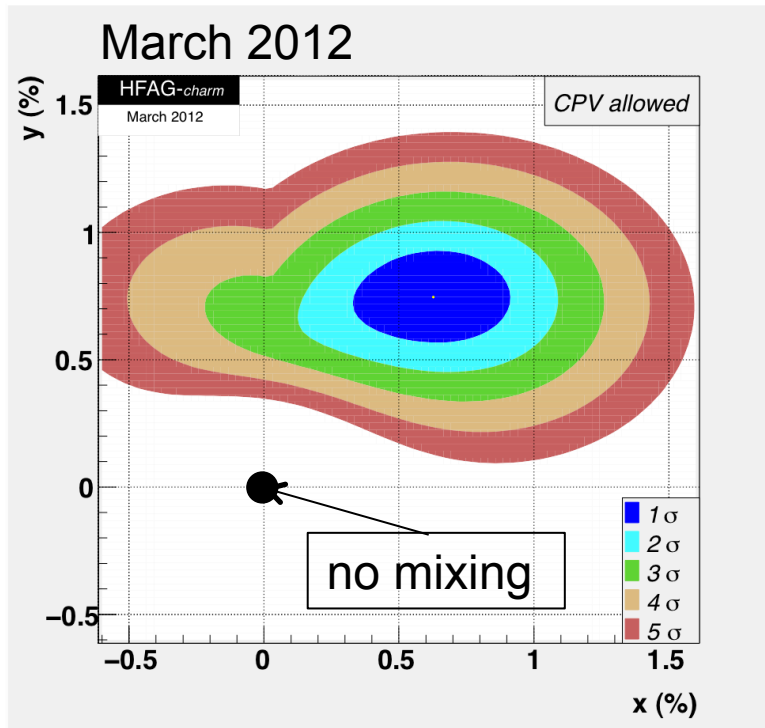
From measurements we know that $x \sim y$

Current constraints

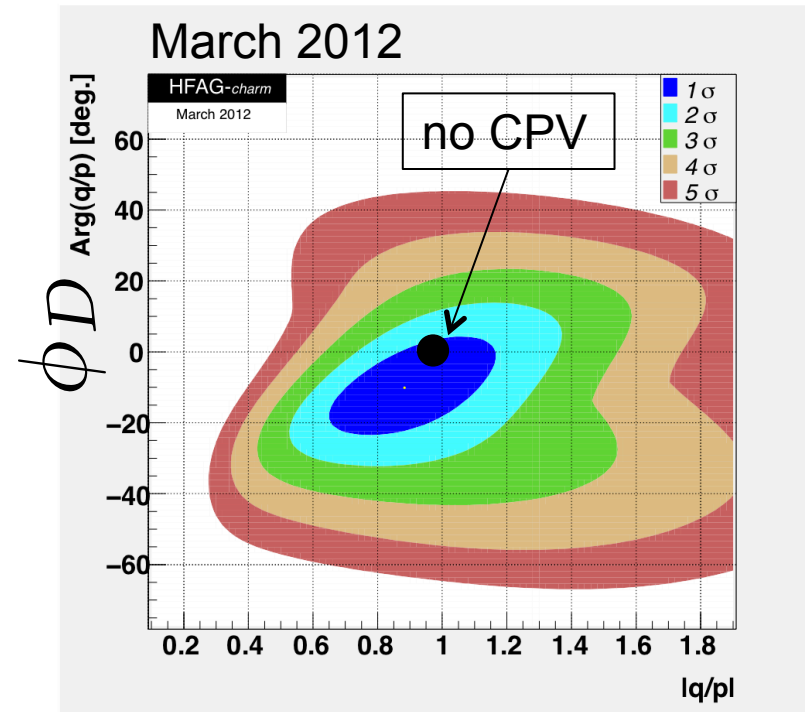
First evidence of mixing D^0 -anti- D^0 : BaBar, Belle (2007), CDF (2008)

- open possibilities of rich structure of CP violation in charm sector

$$y = \frac{\Delta\Gamma}{2\Gamma}$$



$$x = \frac{\Delta m}{\Gamma}$$



$$\phi_D \equiv \arg(-M_{12}/\Gamma_{12})$$

$$|D_{1,2}\rangle = p|D^0\rangle \pm q|\bar{D}^0\rangle$$

CPV in mixing: if $\phi_D \neq 0$ or $|q/p| \neq 1$

- Only the combination of all measurements provides confirmation of D^0 -anti- D^0 mixing
- Before LHCb there was no observation of the phenomenon in a single measurement

LHCb was built for b physics:

- for precise measurements of CPV in b decays and their very rare decays
- also c particle decays are reconstructed:
 - ✧ **LHCb has huge charm samples**
 - ✧ **charm cross section $\approx 20 \times$ b cross section** within the LHCb acceptance:

$$\sigma(b\bar{b}) = 75.3 \pm 5.4 \pm 13.0 \mu b$$

Phys.Lett.B694 (2010) 209-216

$$\sigma(c\bar{c}) = 1419 \pm 12 \pm 116 \mu b \sim 20 \times \sigma(b\bar{b})$$

Nucl.Phys.B871 (2013) 1

✧ **Largest charm samples in the world:**

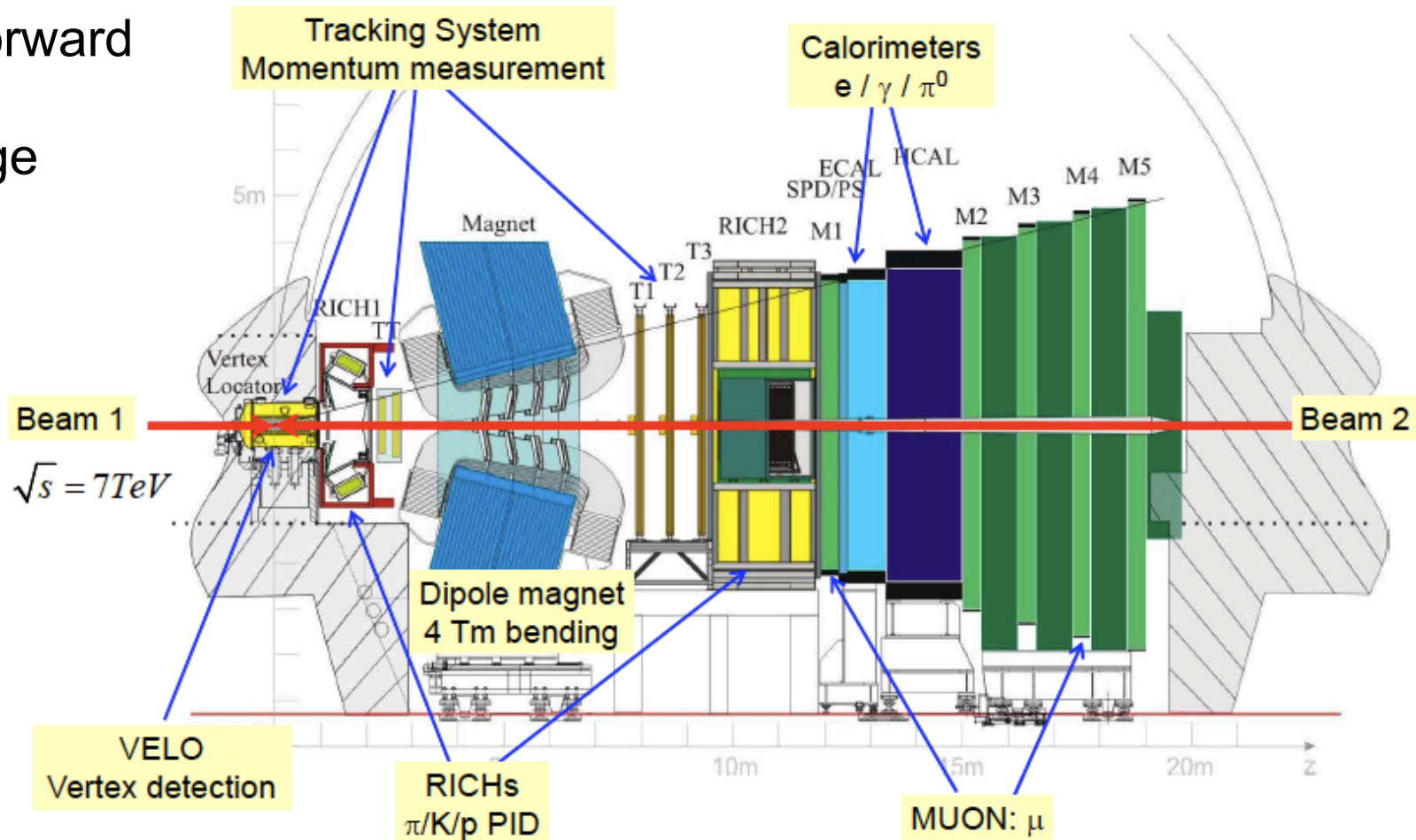
✓ **2011: 1/fb**

✓ **2012: 2/fb**

✧ **for example: $\sim 2M$ $D^{*\pm} \rightarrow D^0(\rightarrow K^-K^+)\pi^\pm$ reconstructed for 1/fb**

LHCb – precision detector

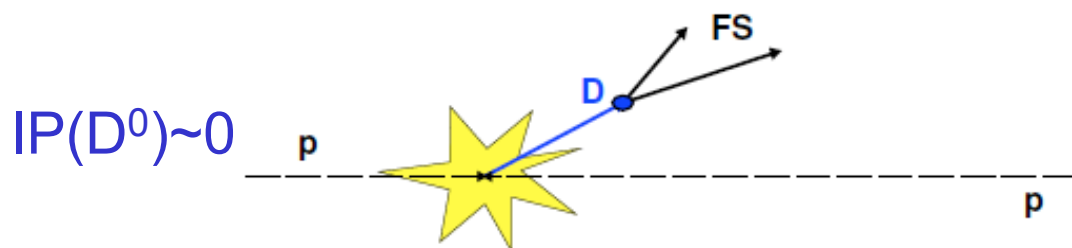
Single-arm forward spectrometer covering range $2 < \eta < 5$



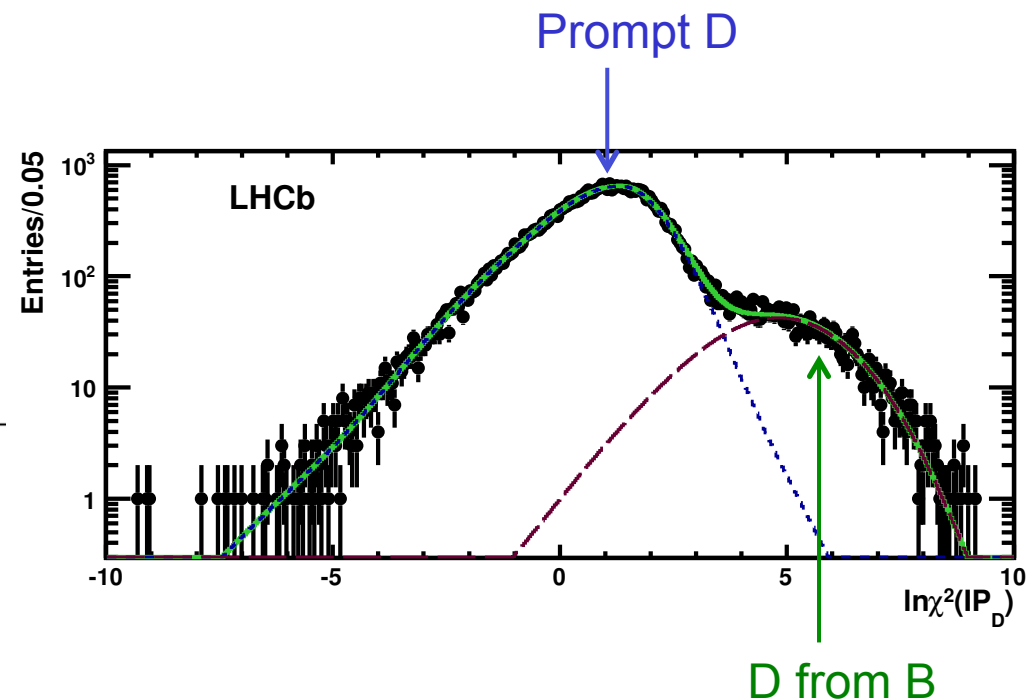
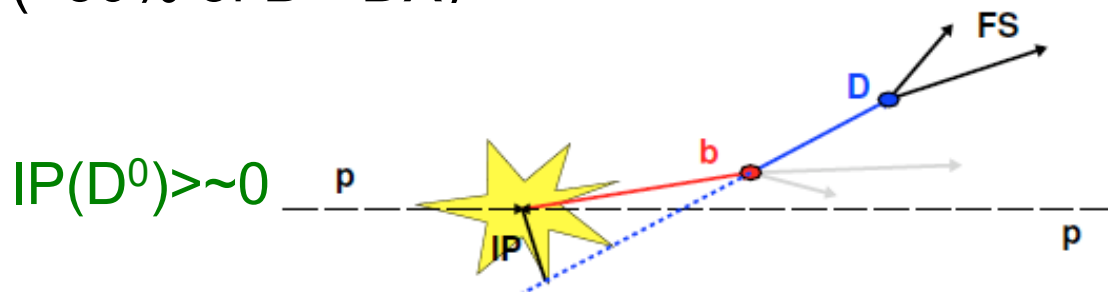
- VELO – resolution of IP: $20\ \mu\text{m}$, decay lifetime resolution $\sim 45\ \text{fs}$: $0.1\ \tau(D^0)$
- Excellent tracking resolution: $\Delta p/p = 0.4\%$ at 5 GeV to 0.6% at 100 GeV
- RICH – very good particle identification for π and K
- Dedicated exclusive trigger lines for charm with high efficiency
- The polarity of the magnet is reversed repeatedly during data taking
- LHCb has possibilities of very precise measurements of charm particles

Two production types of charm:

- prompt** – produced directly in the primary vertex (PV)



- secondary** – produced in B decays (>50% of $B \rightarrow DX$)



IP – impact parameter wrt. the PV

To separate prompt charm and secondary charm decays we use the cut on $\chi^2(IP)$ parameter

The tagging of D^0 flavour

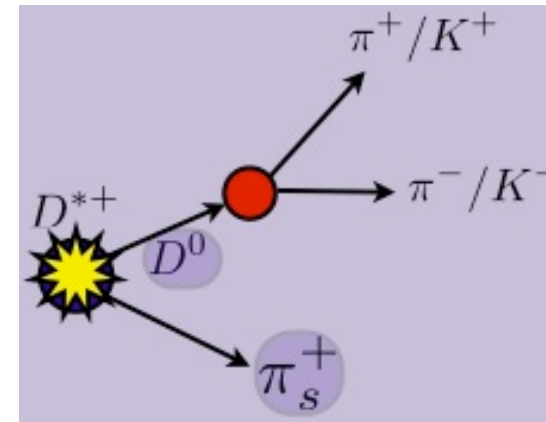
LHCb uses two methods to identify D^0 flavour at the production state

✧ pion-tagged method

the sign of slow pion from D^* decays is used to tag the initial D^0 flavour

$$D^{*+} \rightarrow D^0 \pi^+_s$$

$$D^{*-} \rightarrow \text{anti-}D^0 \pi^-_s$$



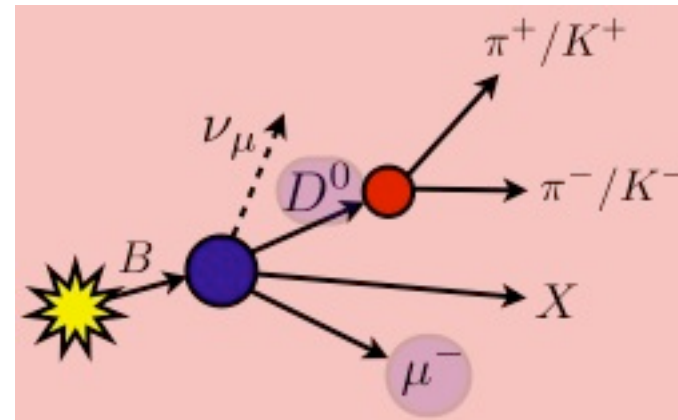
prompt D^0

✧ muon-tagged method

the sign of muon from semileptonic B decays is used to tag D^0 flavour

$$B \rightarrow D^0 \mu^- \nu_\mu X$$

$$B \rightarrow \text{anti-}D^0 \mu^+ \nu_\mu X$$



secondary D^0

✧ Decays $D^0 \rightarrow h^- h^+$

$$D^0 \rightarrow K^- K^+ \text{ (Singly Cabibbo Suppressed)}$$

$$D^0 \rightarrow K^- \pi^+ \text{ (Cabibbo Favoured)}$$

$$D^0 \rightarrow K^+ \pi^- \text{ (Doubly Cabibbo Suppressed)}$$

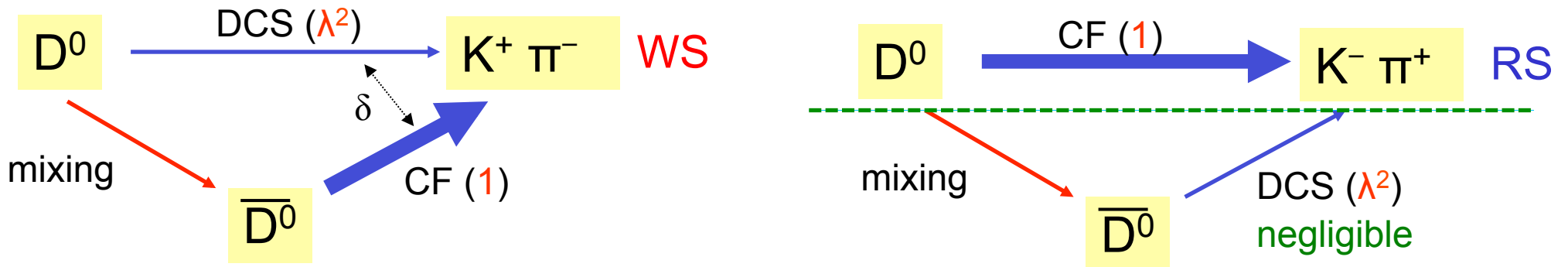
$$D^0 \rightarrow \pi^- \pi^+ \text{ (Singly Cabibbo Suppressed)}$$

Use to measure D^0 – anti- D^0 mixing parameters

D⁰ – anti-D⁰ mixing

Measure the time-dependent ratio of D⁰ decays with **Wrong Sign** to **Right Sign**

$$R(t) = \frac{N(D^0 \rightarrow K^+ \pi^-)}{N(D^0 \rightarrow K^- \pi^+)}$$



In the limit of small mixing $|x|, |y| \ll 1$ and for no CPV:

$$R(t) = \frac{N_{WS}(t)}{N_{RS}(t)} = \underbrace{R_D}_{\text{the ratio of DCS to CF decay rates}} + \underbrace{\sqrt{R_D} y' t}_{\text{the interference of the DCS and mixed decays}} + \underbrace{\frac{x'^2 + y'^2}{4} t^2}_{\text{mixing parameters}}$$

the ratio of DCS to CF decay rates

the interference of the DCS and mixed decays

mixing parameters

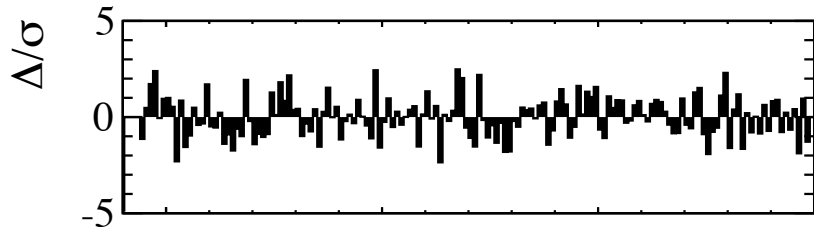
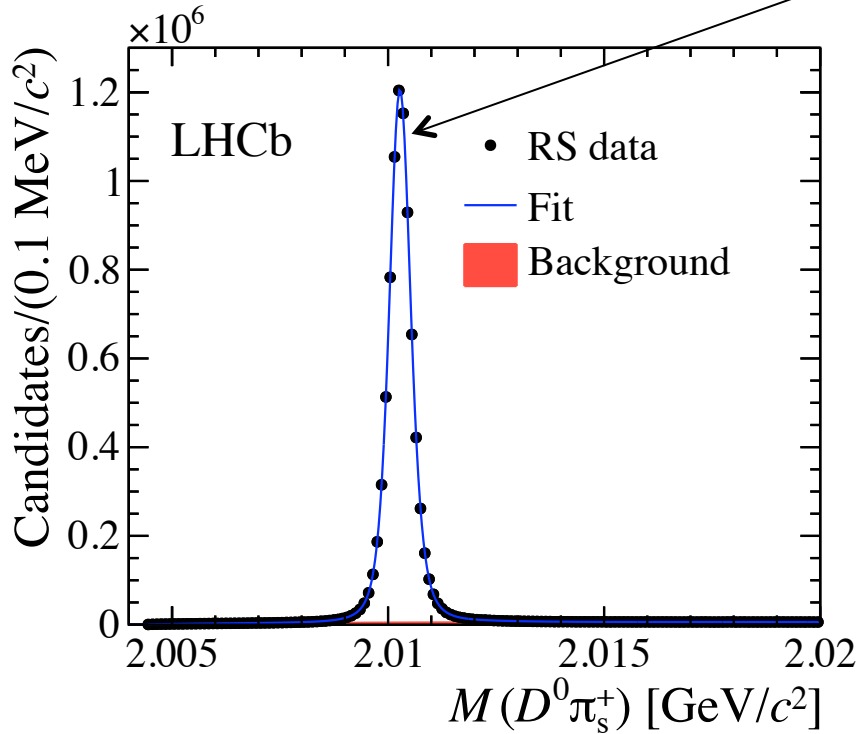
$$x' = x \cos \delta + y \sin \delta \quad y' = y \cos \delta - x \sin \delta$$

δ is a strong phase difference between DCS and CF amplitudes

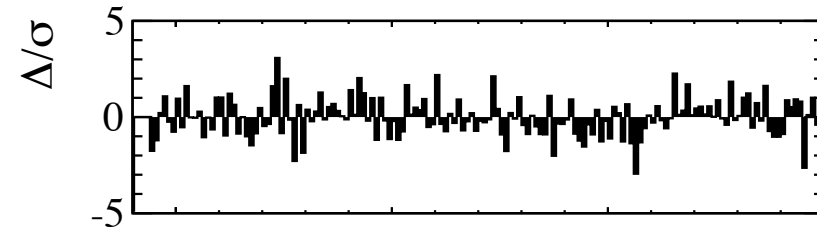
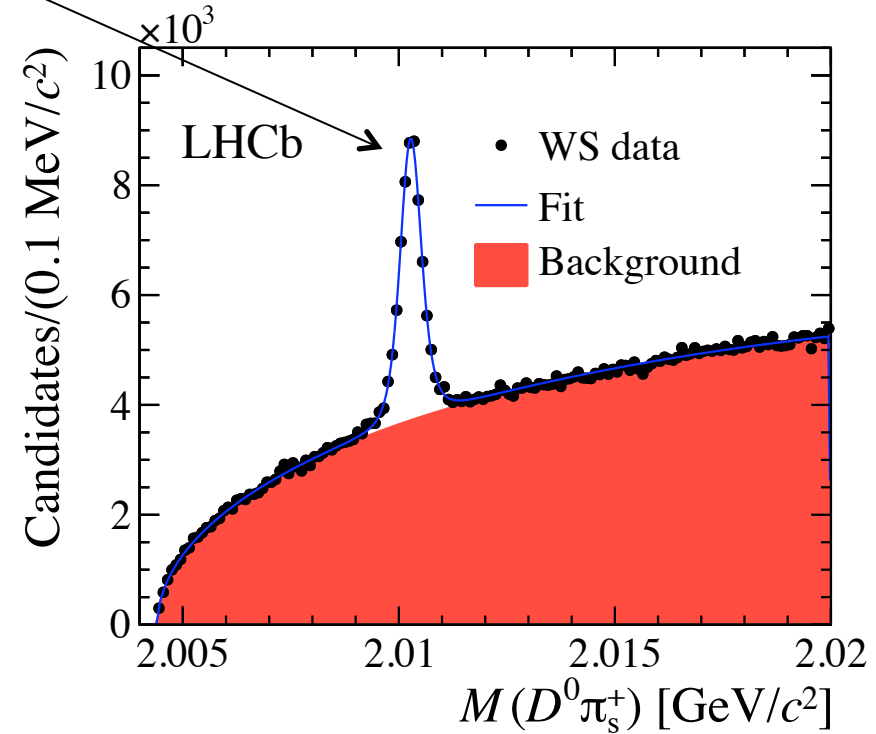
Time-integrated yields

This is NOT a Monte Carlo
 This is the LHCb 2011 data, $L=1/\text{fb}$

Phys.Rev.Lett.
 110 (2013) 101802



RS: $D^0 \rightarrow K^- \pi^+$
 8.4 M decays



WS: $D^0 \rightarrow K^+ \pi^-$
 36 k decays

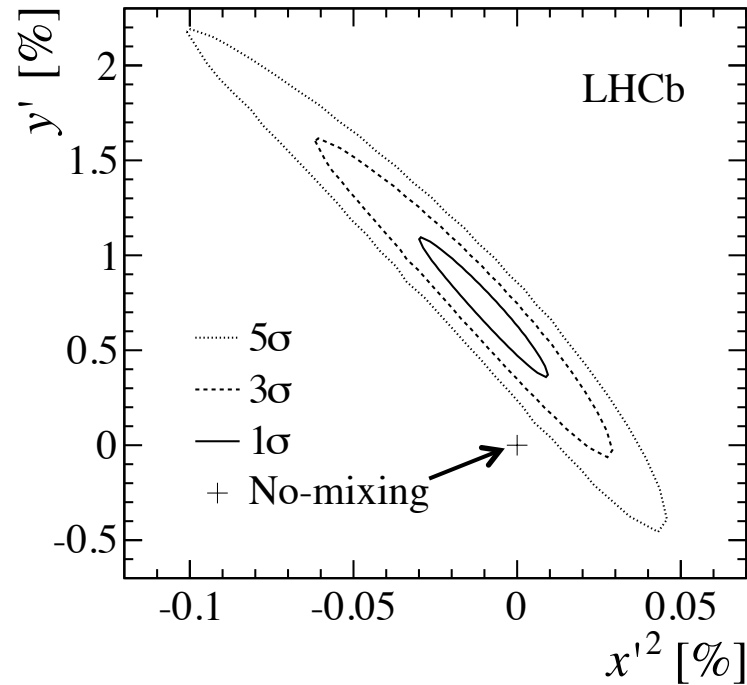
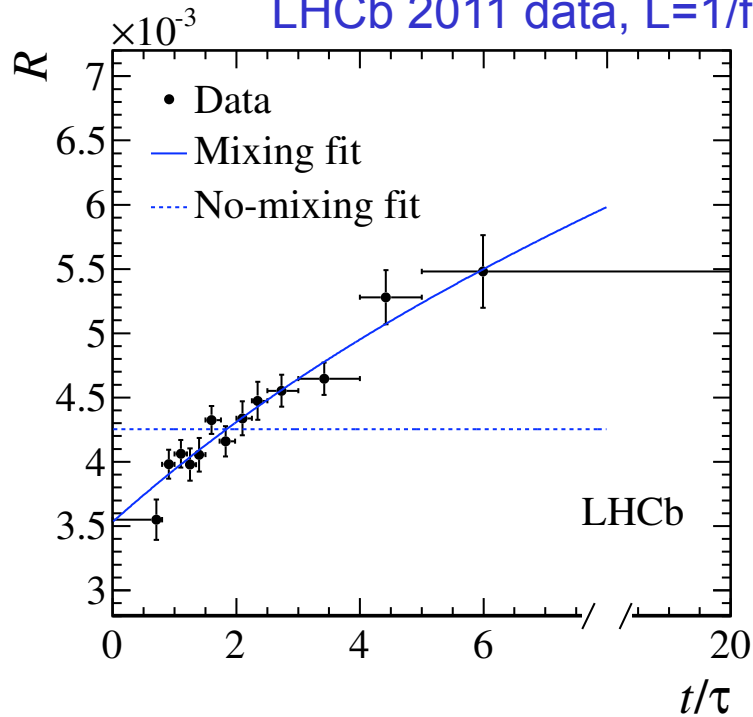
- To determine the time-dependent WS/RS ratio the data is divided into **thirteen D^0 decay time bins**, chosen to have a similar number of candidates in each bin
- The **signal yields** for the RS and WS samples **are determined in each decay time bin using fits** to the $M(D^0\pi^+_s)$ distribution
- The **WS/RS ratio is calculated in each decay time bin**
- The **mixing parameters are determined in a binned χ^2 fit** of the function

$$R(t) = \frac{N_{WS}(t)}{N_{RS}(t)} = R_D + \sqrt{R_D}y't + \frac{x'^2 + y'^2}{4}t^2$$

to the time dependence

Results for D^0 – anti- D^0 mixing

LHCb 2011 data, $L=1/\text{fb}$



Phys.Rev.Lett.
110 (2013) 101802

Estimated confidence-level (CL) regions for 1-CL = $1\sigma, 3\sigma, 5\sigma$

x'^2 is very small

Measurement is more sensitive to y'

Fit type (χ^2/ndf)	Parameter	Fit result (10^{-3})	Correlation coefficient		
			R_D	y'	x'^2
Mixing (9.5/10)	R_D	3.52 ± 0.15	1	-0.954	+0.882
	y'	7.2 ± 2.4		1	-0.973
	x'^2	-0.09 ± 0.13			1
No mixing (98.1/12)	R_D	4.25 ± 0.04			

$\Delta\chi^2 = 88.6$
corresponds to
 $p\text{-value} = 5.7 \times 10^{-20}$
which **excludes**
the no-mixing
hypothesis at 9.1σ

Uncertainties include stat. and syst. sources

First observation of D^0 – anti- D^0 mixing in a single measurement

Comparison with other experiments

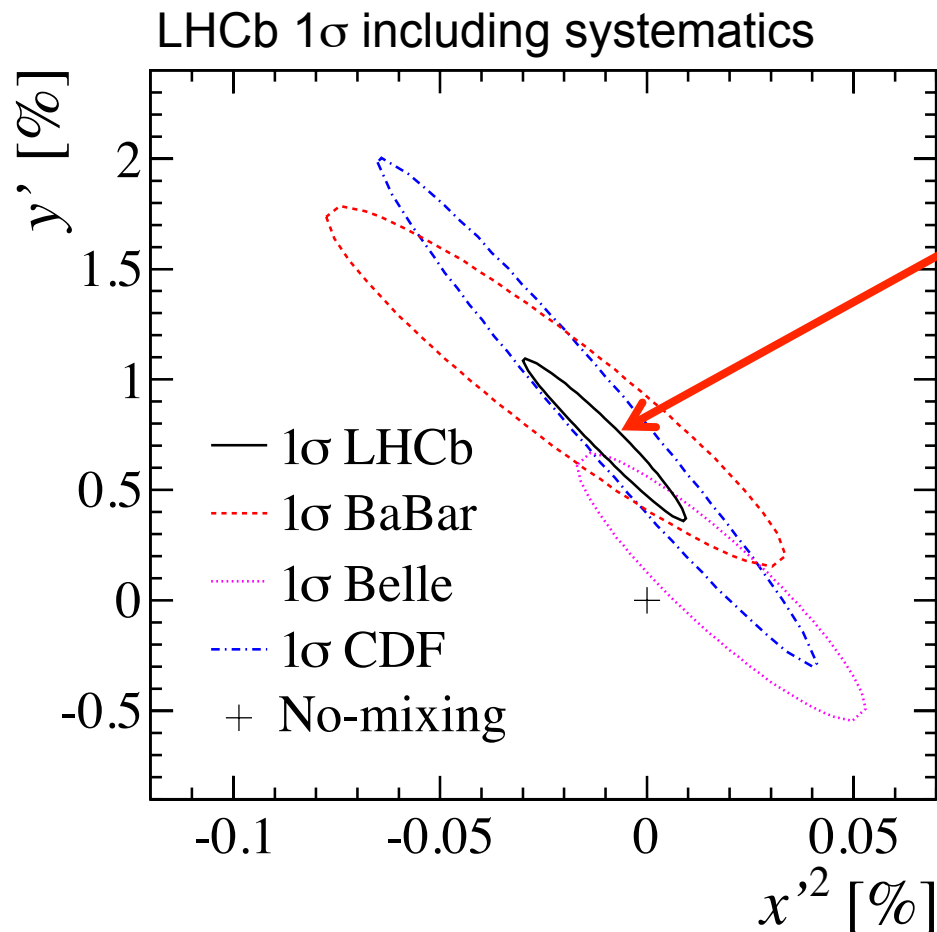
Experiment	R_D (10^{-3})	y' (10^{-3})	x'^2 (10^{-4})
LHCb	3.52 ± 0.15	7.2 ± 2.4	-0.9 ± 1.3
BaBar	3.03 ± 0.19	9.7 ± 5.4	-2.2 ± 3.7
Belle	3.64 ± 0.17	$0.6^{+4.0}_{-3.9}$	$1.8^{+2.1}_{-2.3}$
CDF	3.04 ± 0.55	8.5 ± 7.6	-1.2 ± 3.5

LHCb: PRL 110 (2013) 101802

BaBar: PRL 98 (2007) 211802

Belle: PRL 96 (2006) 151801

CDF: PRL 100 (2008) 121802



Measured parameters at LHCb are consistent with other experiments

- 2011 data, 1/fb
- more data is on tape

Time integrated CP violation in $D^0 \rightarrow K^- K^+$ and $D^0 \rightarrow \pi^- \pi^+$ decays pion-tagged analysis

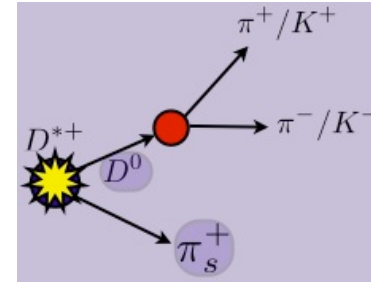
We use decays of $D^{*\pm}$:

$$D^{*+} \rightarrow D^0 \pi_s^+$$

$$D^{*-} \rightarrow \text{anti-}D^0 \pi_s^-$$

$$D^0 \rightarrow K^- K^+$$

$$D^0 \rightarrow \pi^- \pi^+$$



We want to measure **asymmetry** between charm particles and antiparticles:

$$A_{CP} \equiv \frac{N(D^0 \rightarrow h^- h^+) - N(\bar{D}^0 \rightarrow h^- h^+)}{N(D^0 \rightarrow h^- h^+) + N(\bar{D}^0 \rightarrow h^- h^+)}$$

Measured raw asymmetry A_{RAW} may be written as a sum of components that are **physics** and **detector** effects:

$$A_{RAW}(f)^* = A_{CP}(f) + A_D(f) + A_D(\pi_s) + A_P(D^*)$$

CP asymmetry
what we want to
measure

detector
asymmetry of D^0
reconstruction

detector
asymmetry of π_s
reconstruction

production asymmetry of D^*
in primary vertex (different
numbers of D^{*+} and D^{*-})

- A_{RAW} , A_D and A_P are defined in the same fashion as A_{CP}
- all asymmetries of order 1% or smaller

Time integrated CP violation in $D^0 \rightarrow K^-K^+$ and $D^0 \rightarrow \pi^-\pi^+$ decays pion-tagged analysis

$$A_{RAW}(f)^* = A_{CP}(f) + A_D(f) + A_D(\pi_s) + A_P(D^*)$$

Detector asymmetries for K^-K^+ and $\pi^-\pi^+$ cancel since the final states are charge symmetric

$$A_D(K^-K^+) = A_D(\pi^-\pi^+) = 0$$

In any given kinematic region $A_D(\pi_s)$ and $A_P(D^*)$ are independent of f and thus **in the first-order** those terms **cancel** if we subtract raw asymmetries

$$\begin{aligned} A_{RAW}(K^+K^-)^* - A_{RAW}(\pi^+\pi^-)^* &= \\ &= A_{CP}(K^+K^-) - A_{CP}(\pi^+\pi^-) \equiv \Delta A_{CP} \end{aligned}$$

↑
Direct and indirect CPV
can contribute

ΔA_{CP} interpretation

CPV asymmetry of each final state is a sum of:

$$A_{CP}(f) = a_{CP}^{dir}(f) + \frac{\langle t \rangle}{\tau} a_{CP}^{ind}$$

asymmetry in the decay amplitude → $a_{CP}^{dir}(f)$
asymmetry due to mixing and interference between mixing and decay → a_{CP}^{ind}
Mean proper time in used sample (acceptances are functions of time and for K^-K^+ and $\pi^-\pi^+$ are slightly different) → $\langle t \rangle$
Lifetime of D^0 (PDG) → τ

[JHEP 1106 (2011) 089]

$$\Delta A_{CP} \equiv A_{CP}(K^+K^-) - A_{CP}(\pi^+\pi^-)$$

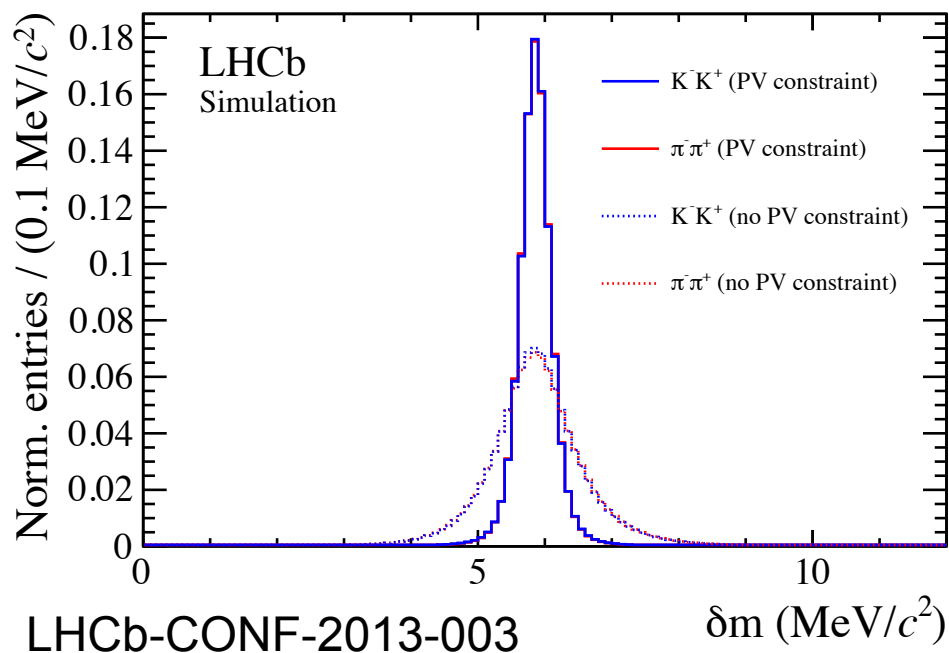
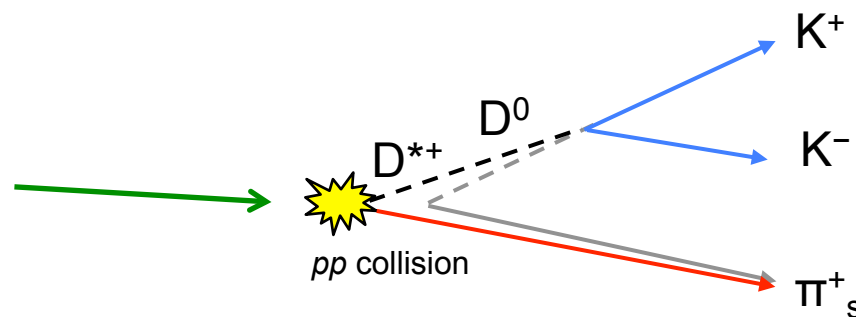
$$\Delta A_{CP} = [a_{CP}^{dir}(K^-K^+) - a_{CP}^{dir}(\pi^-\pi^+)] + \frac{\Delta \langle t \rangle}{\tau} a_{CP}^{ind}$$

- ΔA_{CP} is equal to the difference in the direct CP asymmetry between the two decays in the limit that $\Delta \langle t \rangle$ or a_{CP}^{ind} vanishes
- **direct CP** depends on the f
- **indirect CPV** is universal (up to 10^{-2} correction)
 - ✧ its contribution cancels in subtraction if lifetime acceptance same for K^-K^+ and $\pi^-\pi^+$
 - ✧ if time-acceptance is different, contribution a_{CP}^{ind} remains

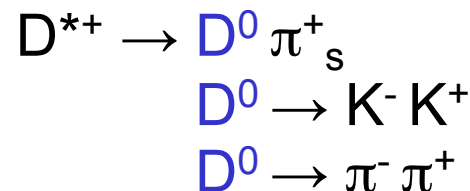
1st measurement of ΔA_{CP} from D^* decays

- Update of analysis from 2011 0.6/fb \rightarrow 1/fb (full 2011 dataset)
- Update includes new reconstruction
 - ✧ improved tracking alignment
 - ✧ improved particle identification from RICH calibration

- New in the vertex fit
 constrain the D^* vertex to the primary vertex
 - ✧ improves δm resolution by factor ~ 2.5
 \rightarrow better background separation



$$\delta m \equiv m(h^- h^+ \pi_s^+) - m(h^- h^+) - m(\pi_s^+)$$



Signal yields

D^0 decays come from $D^{*+} \rightarrow D^0 \pi^+$ decays in region:

$$0 < \delta m < 12 \text{ MeV}$$

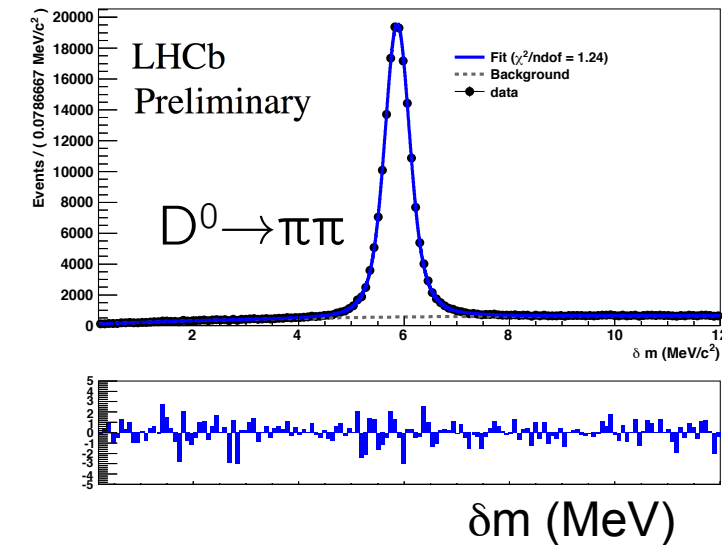
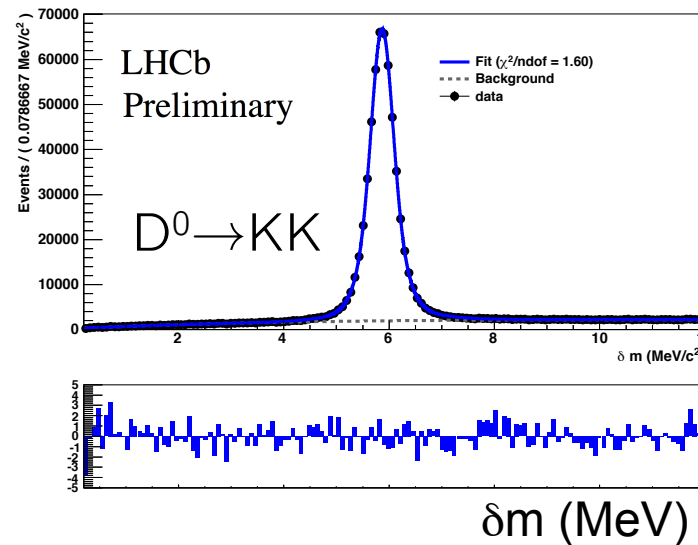
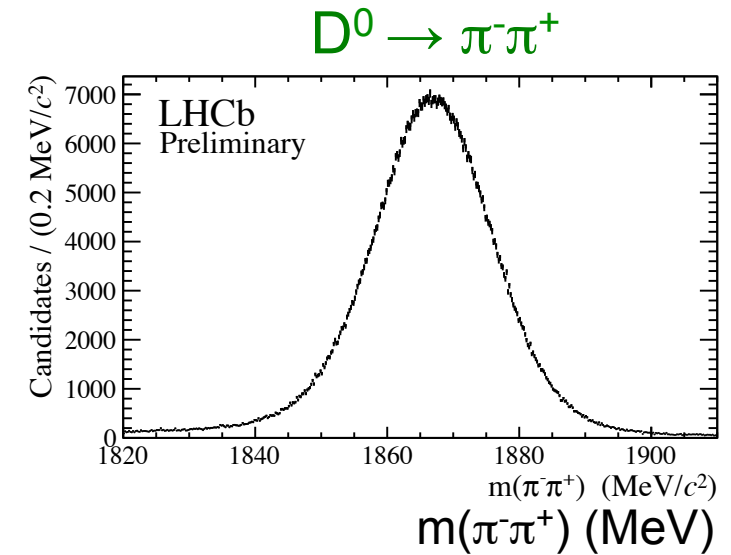
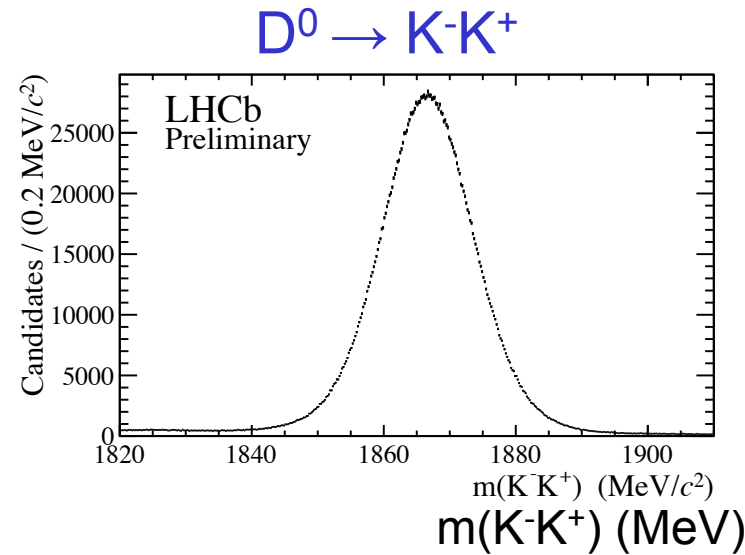
$$\delta m = m(D^0 \pi^+) - m(D^0) - m(\pi^+)$$

For $1/\text{fb}$ in window mass from fit to δm :

$$1844 < m(D^0) < 1884 \text{ MeV}$$

$K^- K^+$: 2.24 million events

$\pi^- \pi^+$: 0.69 million events

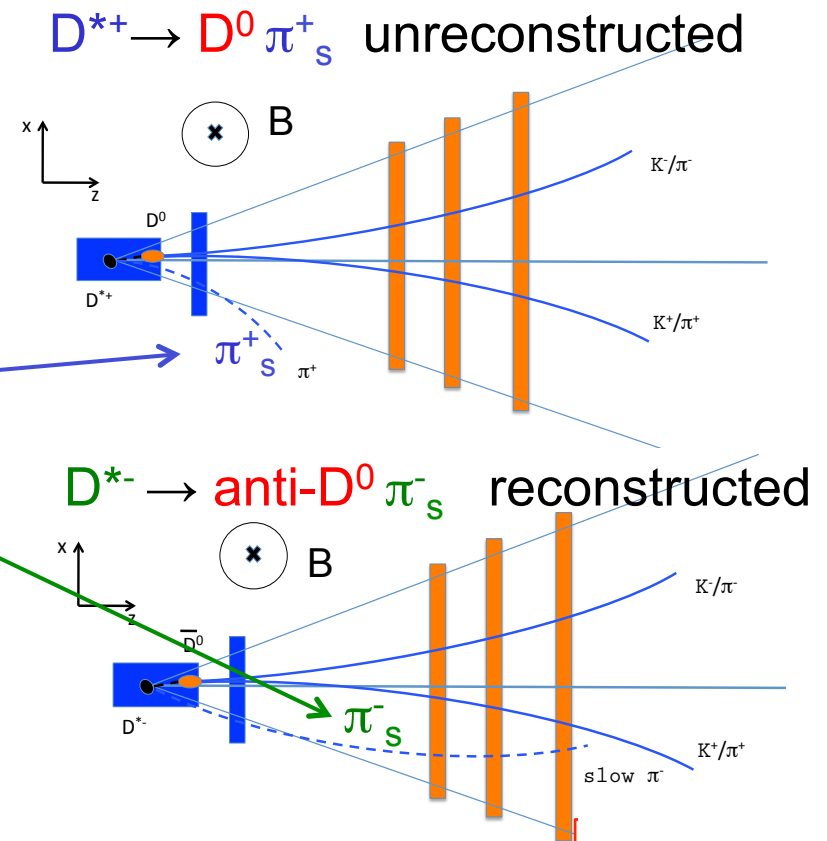
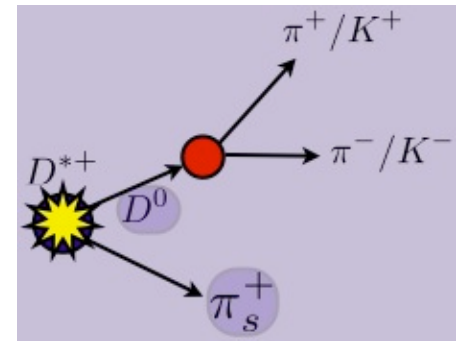


From simultaneous fits to δm for distributions of D^{*+} and D^{*-} we determine raw asymmetries $A_{\text{RAW}}(K^- K^+)$ and $A_{\text{RAW}}(\pi^- \pi^+)$ and calculate ΔA_{CP}

Systematic uncertainties

Systematic uncertainties with the highest contribution in change of ΔA_{CP} :

- **Imperfect reconstruction: 0.08 %**
excluding events with imperfect reconstruction, in which π_s has a large IP w.r.t the primary vertex
- **Peaking background: 0.04 %**
use different fits to the $m(K^-K^+)$ and $m(\pi^-\pi^+)$ spectra to test for potential peaking background contributions
- **Fit model: 0.03 %**
sideband subtraction instead of a fit
- **Fiducial cut: 0.02 %**
loosing fiducial requirement on π_s
- **Multiple candidates: 0.01 %**
removing multiple candidates, keeping only one candidate per event chosen at random
- **Reweighting: 0.01%**
due to different kinematics for K^-K^+ and $\pi^-\pi^+$



Total systematic uncertainty: 0.10%
(can be reduced)

large asymmetry between D^{*+} and D^{*-} in edges of acceptance region

1st measurement of ΔA_{CP} from D^* decay

Preliminary result (2011, 1/fb):

$$\Delta A_{CP} = [-0.34 \pm 0.15^{stat} \pm 0.10^{syst}] \%$$

LHCb-CONF-2013-003

Difference in decay time acceptance:

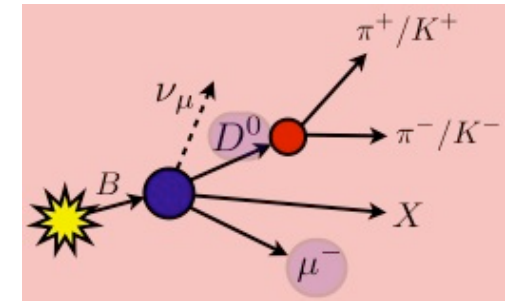
$$\Delta \langle t \rangle / \tau = [11.19 \pm 0.15^{stat} \pm 0.17^{syst}] \%$$

$$\Delta A_{CP} = [a_{CP}^{dir}(K^- K^+) - a_{CP}^{dir}(\pi^- \pi^+)] + \frac{\Delta \langle t \rangle}{\tau} a_{CP}^{ind}$$

Contributions from indirect CPV is suppressed by one order of magnitude

2nd measurement of ΔA_{CP} from semileptonic B decays

We use semileptonic B decays (**independent method**):



In similar way to the previous analysis

$$A_{RAW}(f)^* = A_{CP}(f) + A_D(f) + A_D(\mu^+) + A_P(B)$$

CP asymmetry
what we want to
measure

detector
asymmetry of D^0
reconstruction
cancel

detector
asymmetry of μ
reconstruction

production asymmetry of B

The production and **muon detection** asymmetries will **cancel in subtraction** if kinematics of μ and B meson are the same for both $D^0 \rightarrow K^- K^+$ and $D^0 \rightarrow \pi^- \pi^+$

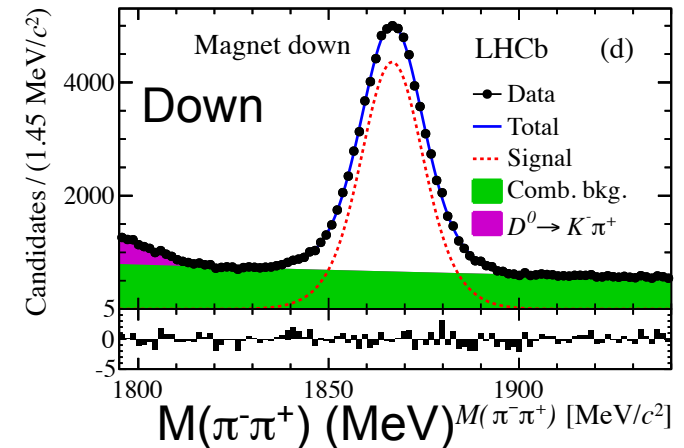
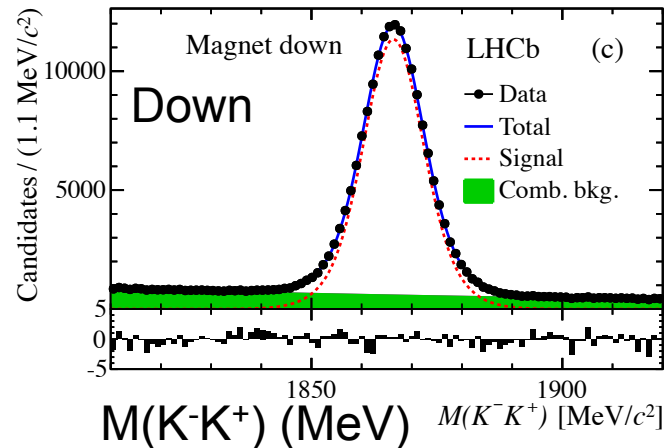
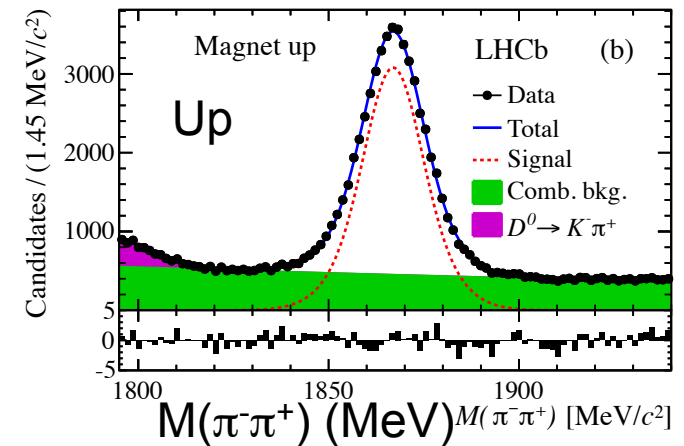
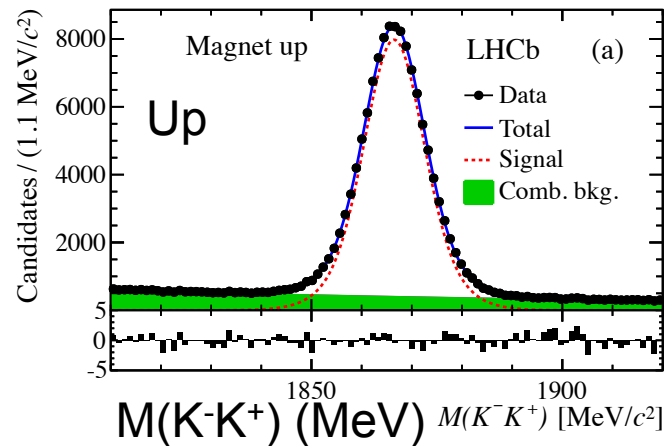
$$\begin{aligned}
 A_{RAW}(K^+ K^-)^* - A_{RAW}(\pi^+ \pi^-)^* &= \\
 &= A_{CP}(K^+ K^-) - A_{CP}(\pi^+ \pi^-) \equiv \Delta A_{CP}
 \end{aligned}$$

In similar way to the previous analysis ΔA_{CP} is calculated separately for two field polarities (to reduce as much as possible any residual effects of the detection asymmetry)



LHCb, 1/fb
(full dataset 2011):
0.4/fb magnet up
0.6/fb magnet down

Clean signal
 $B \rightarrow D^0 \mu^- \nu_\mu X$
559k $D^0 \rightarrow K^- K^+$
222k $D^0 \rightarrow \pi^- \pi^+$



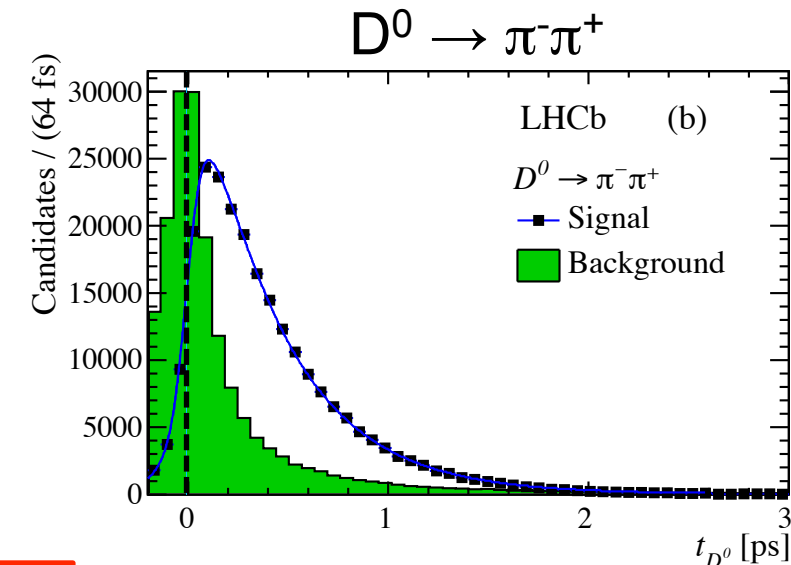
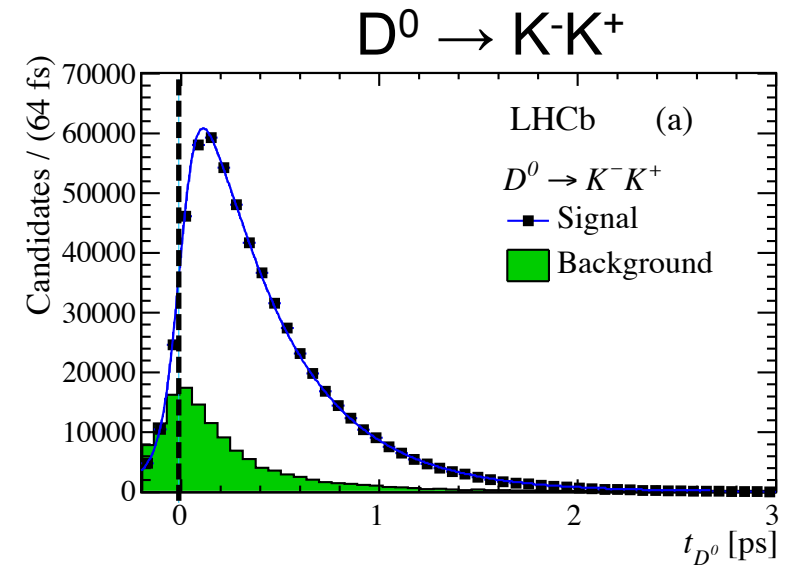
Yields (and asymmetry) determined from fit to D^0 mass distribution (different from pion-tagged analysis where yields determined from D^* mass distribution)

Measurement: $\Delta A_{CP}(\text{Magnet up}) = 0.86 \pm 0.46$; $\Delta A_{CP}(\text{Magnet down}) = 0.09 \pm 0.39$
(stat. only)

Systematic uncertainties

Systematic uncertainties with the highest contribution in change of ΔA_{CP} :

- **Low-lifetime background in $D^0 \rightarrow \pi^- \pi^+$: 0.11%**
there is more background around $t=0$ in $D^0 \rightarrow \pi^- \pi^+$ than in $D^0 \rightarrow K^- K^+$; evaluation of ΔA_{CP} checked when negative lifetime events were included
- **Fit model: 0.05%**
sideband subtraction instead of a fit
- **Different weighting: 0.05%**
after weighting the D^0 distributions in p_T and η small differences remain in muon kinematic distributions; evaluation of ΔA_{CP} checked when additional weight is applied in muon distributions p_T , η and ϕ
- **Wrong muon tags: 0.02%**
the D^0 flavour can be not tagged correctly due to muon misreconstruction; mistag probability measured using muon-tagged $D^0 \rightarrow K^- \pi^+$ (almost self-tagging) by comparison muon charge with kaon charge



Total systematic uncertainty: 0.14% (can be reduced)

Comparison of ΔA_{CP} measurements

- 1) From semileptonic B decays (arXiv: 1303.2614, Submitted to Phys.Lett.B)

$$\Delta A_{CP} = [0.49 \pm 0.30^{stat} \pm 0.14^{syst}] \%$$

Difference in decay time acceptance (small value):

$$\Delta \langle t \rangle / \tau(D^0) = 0.018 \pm 0.002^{stat} \pm 0.007^{syst}$$

Contribution from indirect CPV is negligible: $\Delta A_{CP} = \Delta a_{CP}^{dir}$

- 2) From pion-tagged D^* decays (LHCb-CONF-2013-003)

$$\Delta A_{CP} = [-0.34 \pm 0.15^{stat} \pm 0.10^{syst}] \%$$

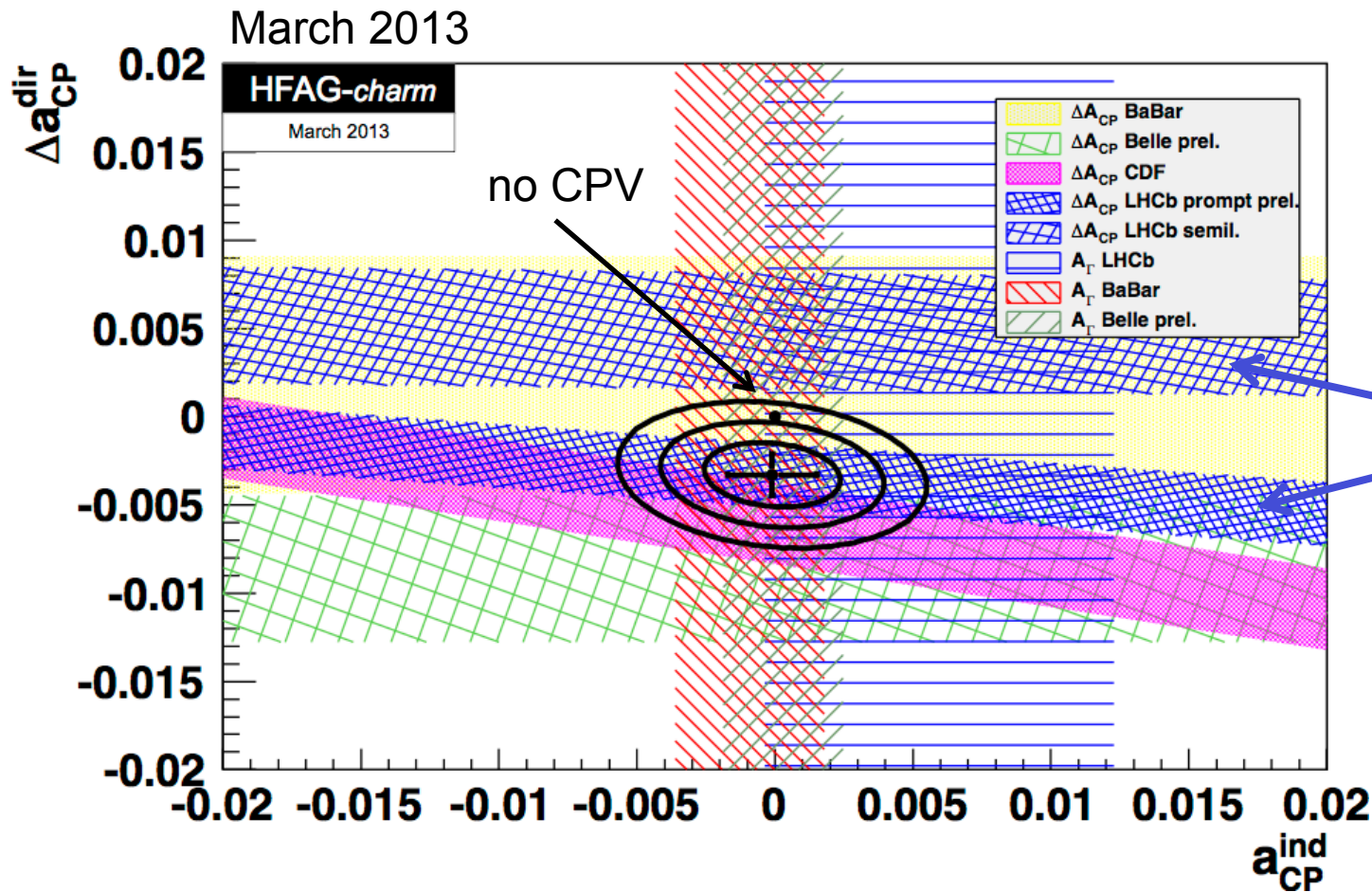
Two measurements are statistically independent and compatible at 3% level (difference 2.2σ)

Many cross-checks performed for both methods:

- time at which data was taken
- stable versus kinematic variables: decay time, p_T , p , η , ϕ etc.
- many more...
- no significant dependence is observed

ΔA_{CP} Preliminary new world average

New average includes BaBar, CDF, Belle and new LHCb results



Naive average
neglecting indirect CPV
 $\Delta A_{CP} = (-0.33 \pm 0.12)\%$

LHCb

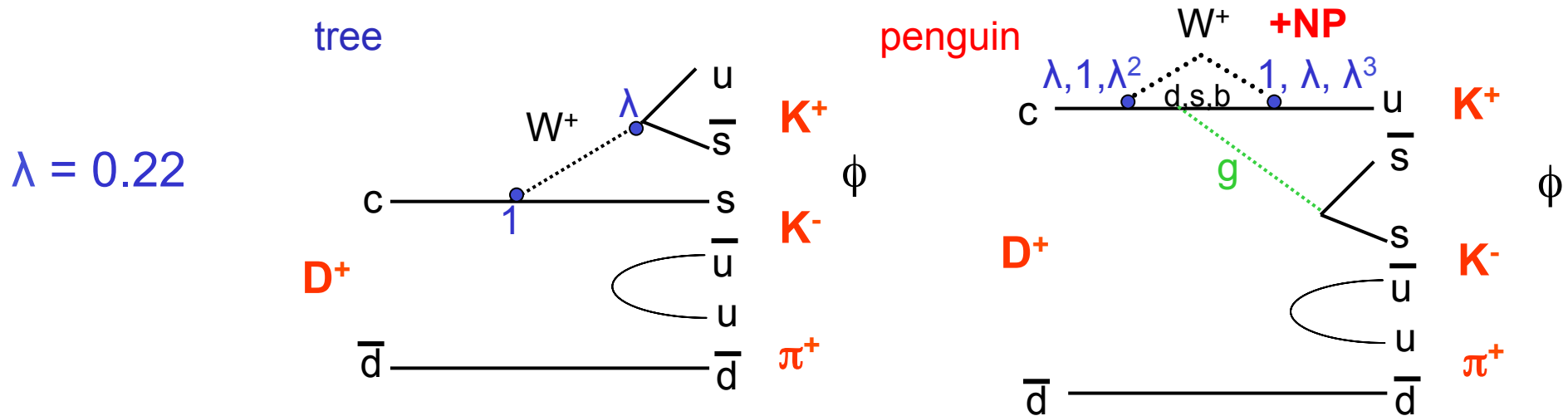
Now:

- the central value is considerably closer to zero
- result does not confirm the evidence for direct CPV in the charm sector

CP violation in $D^+ \rightarrow \phi \pi^+$ and $D_s^+ \rightarrow K_s^0 \pi^+$ decays

No mixing in D^+ \rightarrow any CPV signal indicates direct CPV

Signal decays: $D^+ \rightarrow \phi \pi^+$ and $D_s^+ \rightarrow K_s^0 \pi^+$ are singly Cabibbo-suppressed decays where we expect CP asymmetry if tree and penguin processes interfere with different strong and weak phases



Control decays: $D^+ \rightarrow K_s^0 \pi^+$ and $D_s^+ \rightarrow \phi \pi^+$ where no CP asymmetry is expected

We measure the difference since effects of production asymmetry and of any detection asymmetry of pion cancel in subtraction

$$A_{CP}(D^+ \rightarrow \phi \pi^+) = A_{RAW}(D^+ \rightarrow \phi \pi^+) - A_{RAW}(D^+ \rightarrow K_s^0 \pi^+) + A_{CP}(K^0 / \bar{K}^0)$$

$$A_{CP}(D_s^+ \rightarrow K_s^0 \pi^+) = A_{RAW}(D_s^+ \rightarrow K_s^0 \pi^+) - A_{RAW}(D_s^+ \rightarrow \phi \pi^+) + A_{CP}(K^0 / \bar{K}^0)$$

Correction due to CPV in neutral Kaon system

Signal yields

LHCb 2011, 1/fb

Very low background

Signal decays

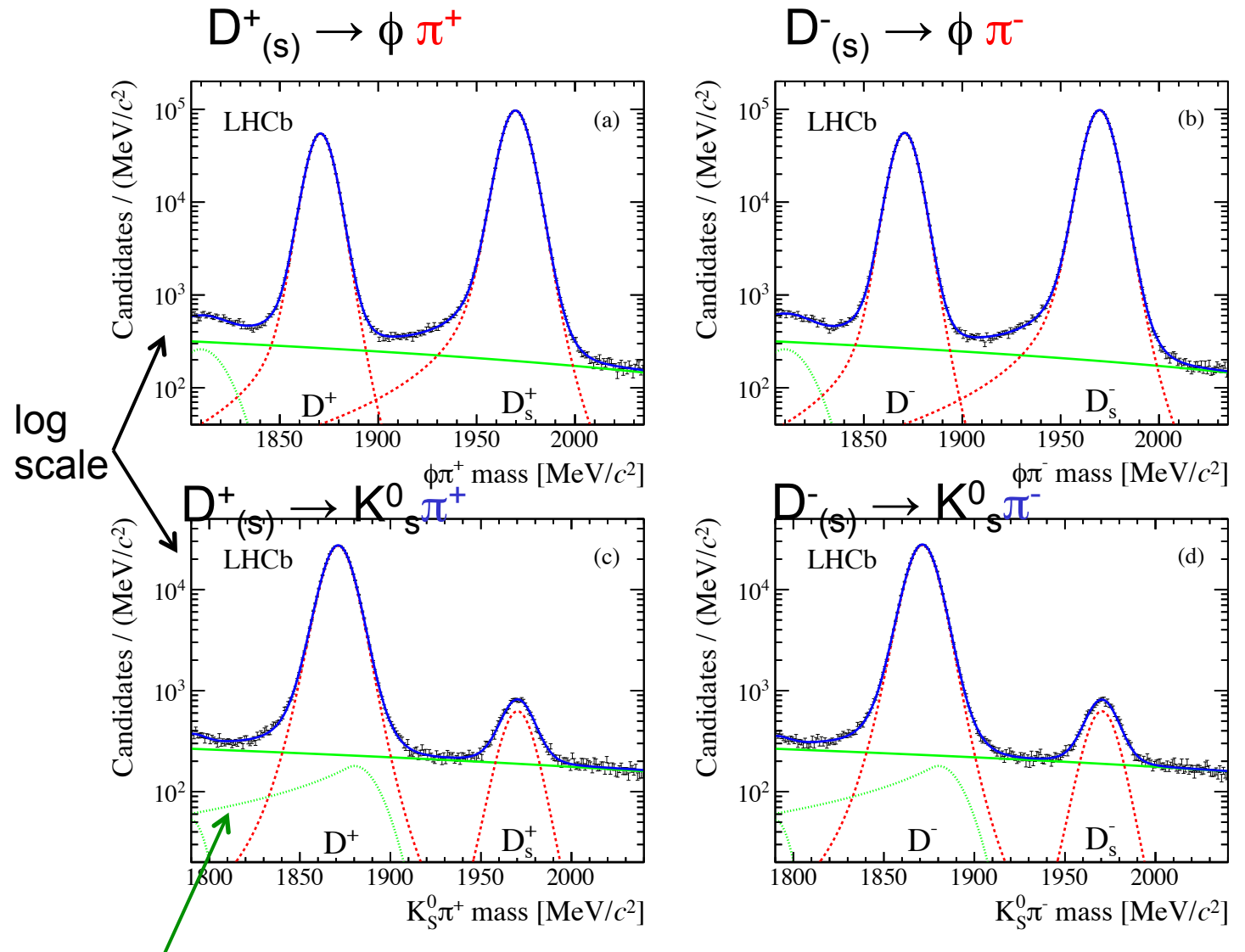
1.6M $D^+ \rightarrow \phi \pi^+$

26k $D_s^+ \rightarrow K^0 \pi^+$

Control decays

1.1M $D_s^+ \rightarrow \phi \pi^+$

3.6M $D^+ \rightarrow K^0 \pi^+$



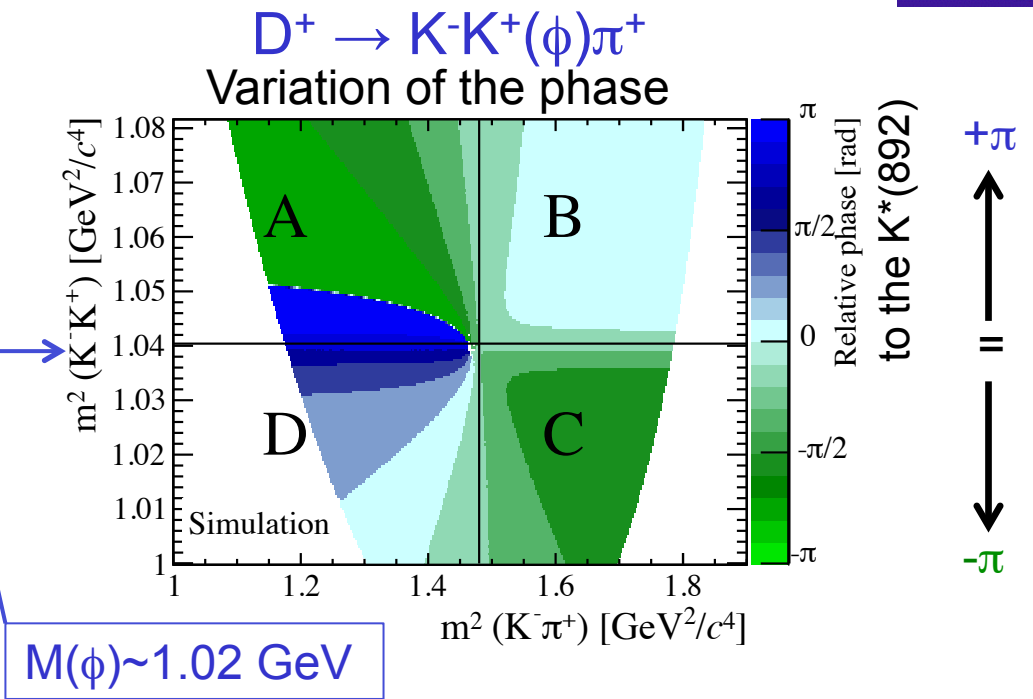
Background from mis-reconstructed decays:

(a) and (b) from $D_s^+ \rightarrow \phi \pi^+ \pi^0$

(c) and (d) from $D_s^+ \rightarrow K^0 \pi^+ \pi^0$ or $D_s^+ \rightarrow K^0 K^+$

CP violation in $D^+ \rightarrow \phi \pi^+$ and $D^+_s \rightarrow K^0_s \pi^+$ decays

- To improve sensitivity to certain CPV we divide area around ϕ resonance in the Dalitz plot into four regions
- Relative strong phase varies rapidly across the ϕ region
- The division is chosen to minimize the change in phase within each region
- A difference between two diagonals with similar phases is calculated



LHCb simulation, used isobar amplitude model favoured by CLEO-c [Phys.Rev.D78 (2008) 072003]

LHCb-PAPER-2012-052

$$A_{CP|S} = \frac{1}{2} (A_{RAW}^A + A_{RAW}^C - A_{RAW}^B - A_{RAW}^D)$$

Type of CPV	Mean A_{CP} (%)	Mean $A_{CP S}$ (%)
3° in ϕ phase	-0.01 (0.1σ)	-1.02 (5.1σ)
0.8% in ϕ amplitude	-0.50 (2.5σ)	-0.02 (0.1σ)
4° in $K_0^*(1430)^0$ phase	0.52 (2.6σ)	-0.89 (4.5σ)
4° in $K_0^*(800)$ phase	0.70 (3.5σ)	0.10 (0.5σ)

Simulations indicate that some types of CPV can be observed more effectively with A_{CP} and others with $A_{CP|S}$

CPV in $D^+ \rightarrow \phi \pi^+$ and $D_s^+ \rightarrow K_s^0 \pi^+$

No evidence for CPV is observed

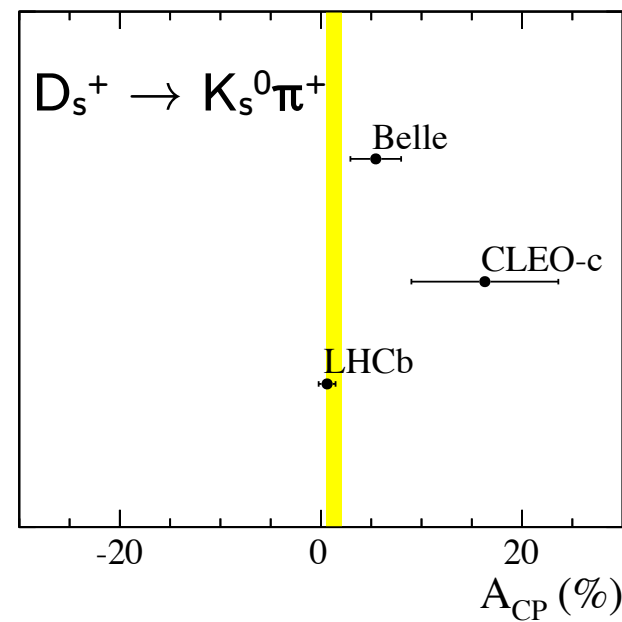
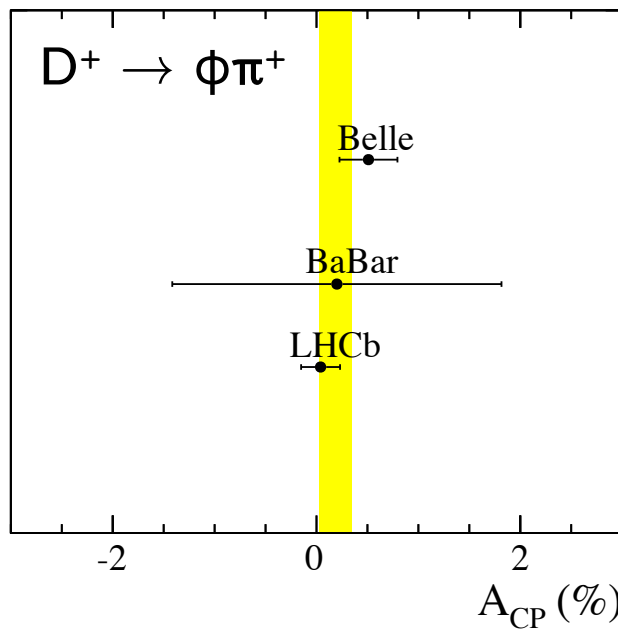
$$A_{CP}(D^+ \rightarrow \phi \pi^+) = (-0.04 \pm 0.14 \pm 0.13)\%$$

$$A_{CP|S}(D^+ \rightarrow \phi \pi^+) = (-0.18 \pm 0.17 \pm 0.18)\%$$

$$A_{CP}(D_s^+ \rightarrow K_s^0 \pi^+) = (+0.61 \pm 0.83 \pm 0.13)\%$$

errors $\sim 1\%$
1.6M events

LHCb-PAPER-2012-052



- LHCb measurements are the most precise of CP violation in ϕ region to date for both $D^+ \rightarrow \phi \pi^+$ and $D_s^+ \rightarrow K_s^0 \pi^+$

Summary

- LHCb experiment has an important charm physics program and has **the world's largest sample of c-hadron decays**
- Using data collected in **2011 (1/fb)**, LHCb experiment has performed extensive studies of physics in the charm sector
- **For the first time LHCb experiment has observed charm mixing in a single measurement (effect 9.1σ)**
- Measured ΔA_{CP} between $D^0 \rightarrow K^-K^+$ and $D^0 \rightarrow \pi^-\pi^+$ from D^* and B decays (two results statistically independent)
 - ✧ the central value is considerably **closer to zero**
 - ✧ result does **not confirm the evidence for direct CPV** in the charm sector
- **No CPV observed in $D^+ \rightarrow \phi\pi^+$ and $D_s^+ \rightarrow K_s^0\pi^+$**
- All measurements being improved with larger datasets:
 - ✧ **2011+2012: $> 3/\text{fb}$**
- **The LHCb experiment is more than beauty**

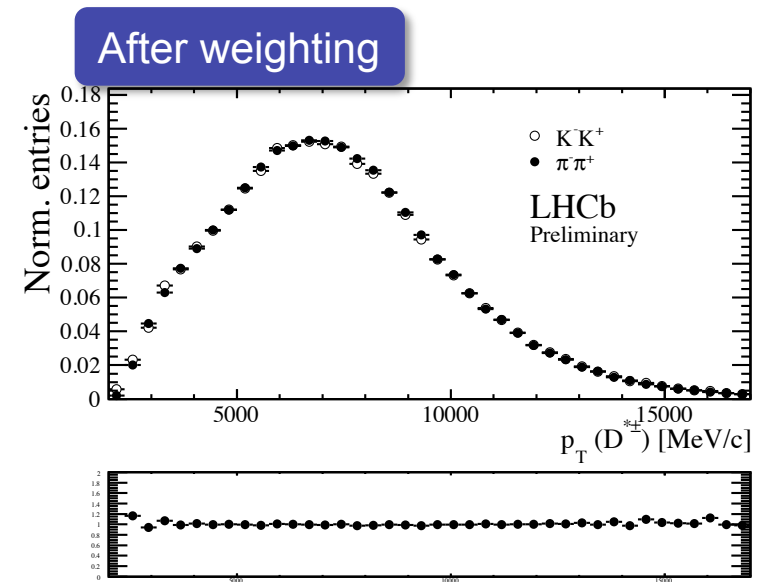
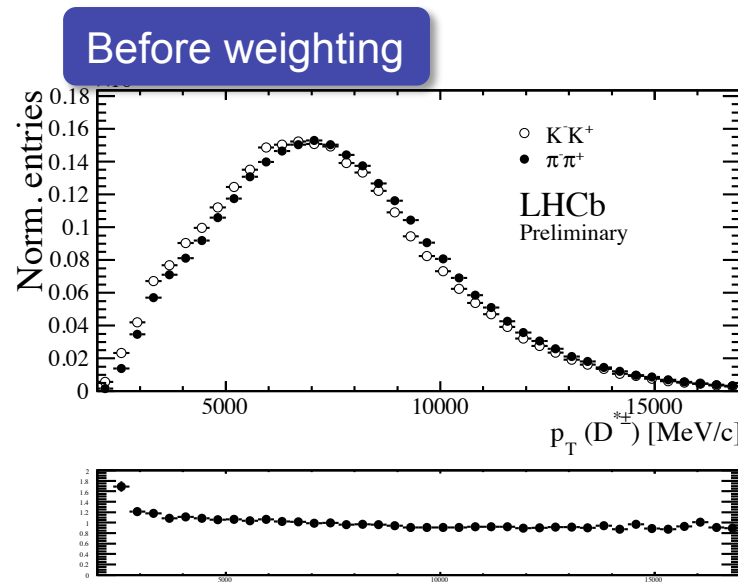
Backup



ΔA_{CP} from D^* decay

- The D^{*+} kinematic distributions are independent of the D^0 decay mode, but the selection requirements can lead to the different distributions of the K^-K^+ and $\pi^-\pi^+$ final states
- It can lead to a non-canceling second-order bias in ΔA_{CP}
- To avoid this, we apply weighting in D^* kinematic distributions of p_T , p , ϕ to ensure that $D^0 \rightarrow K^-K^+$ and $D^0 \rightarrow \pi^-\pi^+$ have the same kinematics
 - ✧ each $D^0 \rightarrow K^-K^+$ event gets a weight to match $D^0 \rightarrow \pi^-\pi^+$ kinematic distribution

Example
 $P_T(D^*)$



1st measurement of ΔA_{CP} from D^* decay

Analysis technique: split dataset into 4 subsets:

- **Hardware trigger (L0) category:**
 - ✧ D^0 triggered by hadronic calorimeter (Trigger On Signal)
 - ✧ event triggered on other particles from pp collision – by something else than the D^* (Trigger Independent of Signal)
- **Field polarity:**
 - ✧ Magnet up (40%)
 - ✧ Magnet down (60%)

(stat.only)

ΔA_{CP}	Up	TOS	$-0.62 \pm 0.36 \%$
ΔA_{CP}	Down	TOS	$-0.36 \pm 0.30 \%$
ΔA_{CP}	Up	TIS	$-0.30 \pm 0.30 \%$
ΔA_{CP}	Down	TIS	$-0.22 \pm 0.25 \%$

- **Weighted average of four subsets (2011, 1/fb) – Preliminary results:**

$$\Delta A_{CP} = [-0.34 \pm 0.15^{stat} \pm 0.10^{syst}] \% \quad \text{LHCb-CONF-2013-003}$$

- **Difference in decay time acceptance:**

$$\Delta \langle t \rangle / \tau = [11.19 \pm 0.15^{stat} \pm 0.17^{syst}] \%$$

$$\Delta A_{CP} = [a_{CP}^{dir}(K^- K^+) - a_{CP}^{dir}(\pi^- \pi^+)] + \frac{\Delta \langle t \rangle}{\tau} a_{CP}^{ind}$$

Contribution from indirect CPV is $\sim 10\%$

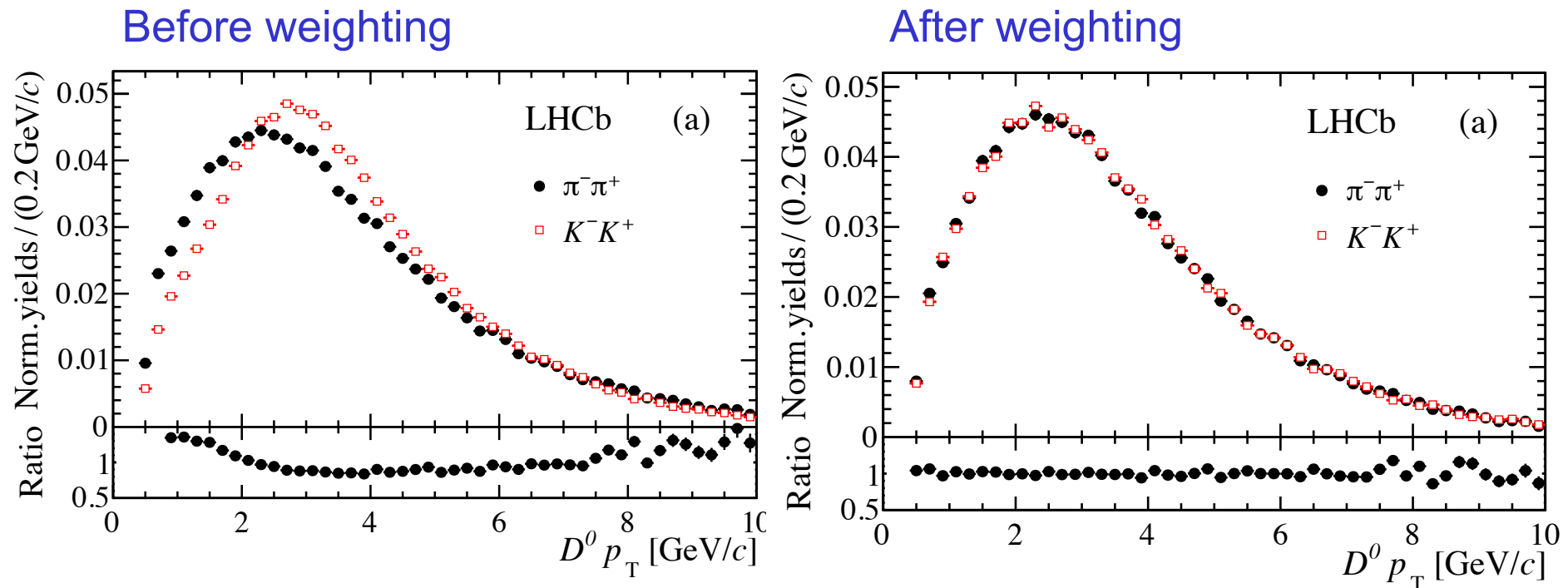
2nd measurement of ΔA_{CP} from semileptonic B decays

Different kinematic distributions for both decays of the K^-K^+ and $\pi^-\pi^+$ can lead to a non-canceling second-order bias in ΔA_{CP}

To obtain the same kinematic distributions for both decays we apply **weighting** in D^0 candidates on their p_T and η :

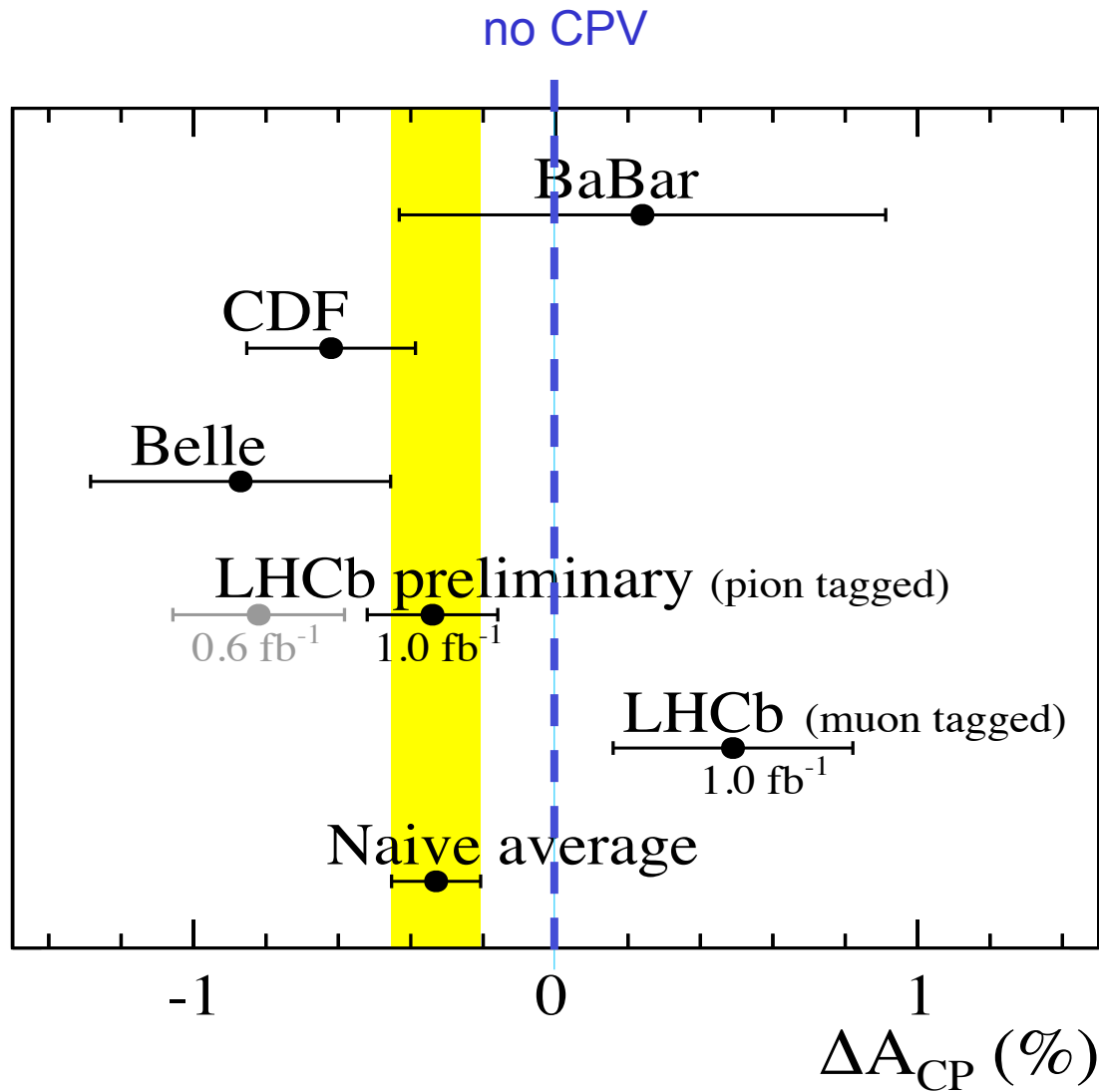
- weights are applied to either $D^0 \rightarrow K^-K^+$ and $D^0 \rightarrow \pi^-\pi^+$ candidates depending on which has most events in a given kinematic bin

Example
 $P_T(D^0)$



ΔA_{CP} Preliminary new world average

New average includes BaBar, CDF, Belle and new LHCb results



Naive average neglecting indirect CPV

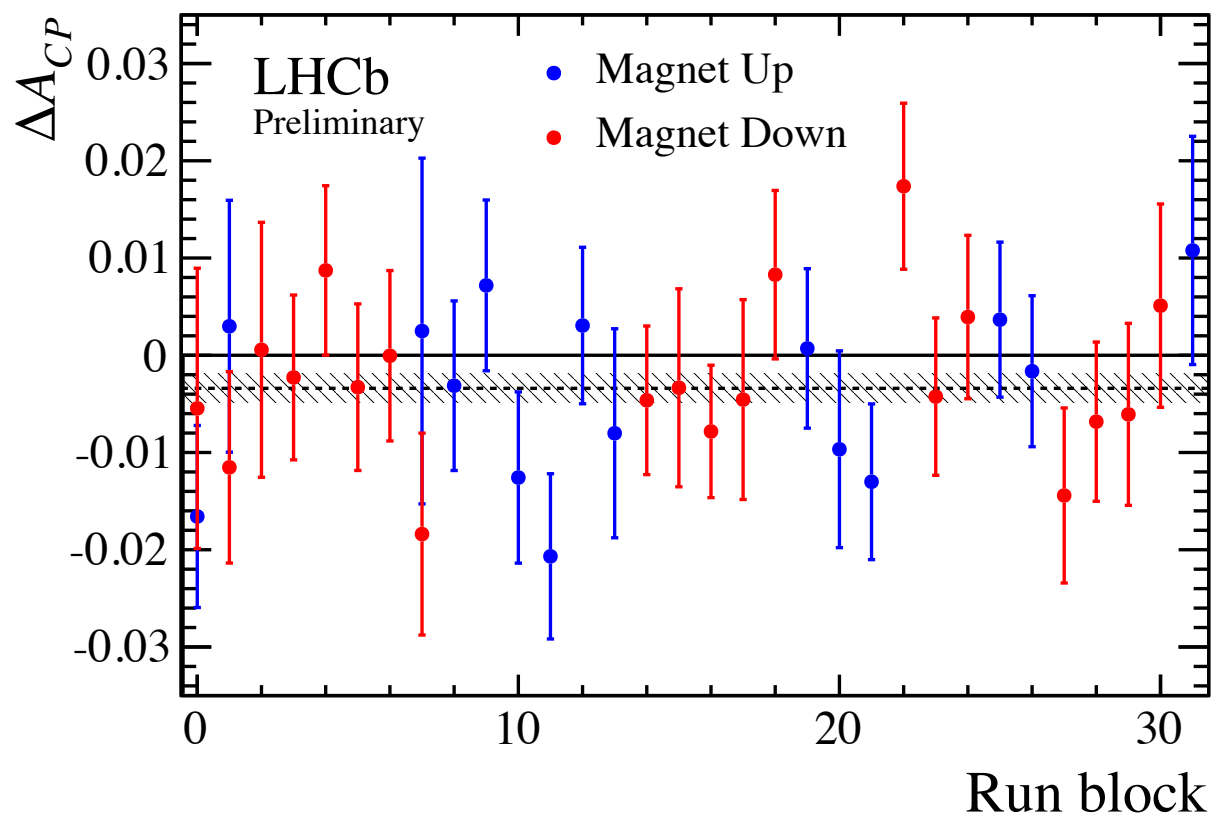
$$\Delta A_{CP} = (-0.33 \pm 0.12)\%$$

Now:

- the central value is considerably closer to zero
- result does not confirm the evidence for direct CPV in the charm sector

Many cross-checks performed:

- **time at which data was taken**
- effect on weighting method
- asymmetry dependence versus:
 - ✧ D^0 candidate mass
 - ✧ distance between slow pion and the nearest D^0 daughter
 - ✧ slow pion p_T and ϕ
 - ✧ D^0 decay time, p_T , p , η
- analysis performed on large MC sample to check for bias
- independent cross-checks of final result by different people
- many more...



No dependence versus data taking period

Comments:

- The **central value is considerably closer to zero** than the previous result
- **New result does not confirm the evidence for direct CPV in charm sector**
- Several factors can contribute to the change
 - ✧ larger data sample
 - ✧ improved detector alignment and calibration
 - ✧ difference in analysis technique

Many cross-checks performed. ΔA_{CP} stable with:

- time at which data was taken
- as a function of quantities, D^0 decay time, B flight distance,
- dependence on mass, angle, p_T and η of D^0 and μ
- many more...
- **no significant dependence is observed**

Three types of CP violation

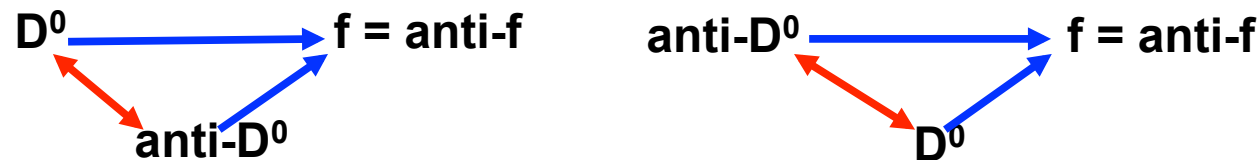
1. **in mixing** (indirect):

$$D^0 \longrightarrow \text{anti-}D^0 \quad \neq \quad \text{anti-}D^0 \longrightarrow D^0$$

2. **in decay amplitudes** (direct):

$$D^0 \longrightarrow f \quad \neq \quad \text{anti-}D^0 \longrightarrow \text{anti-}f$$

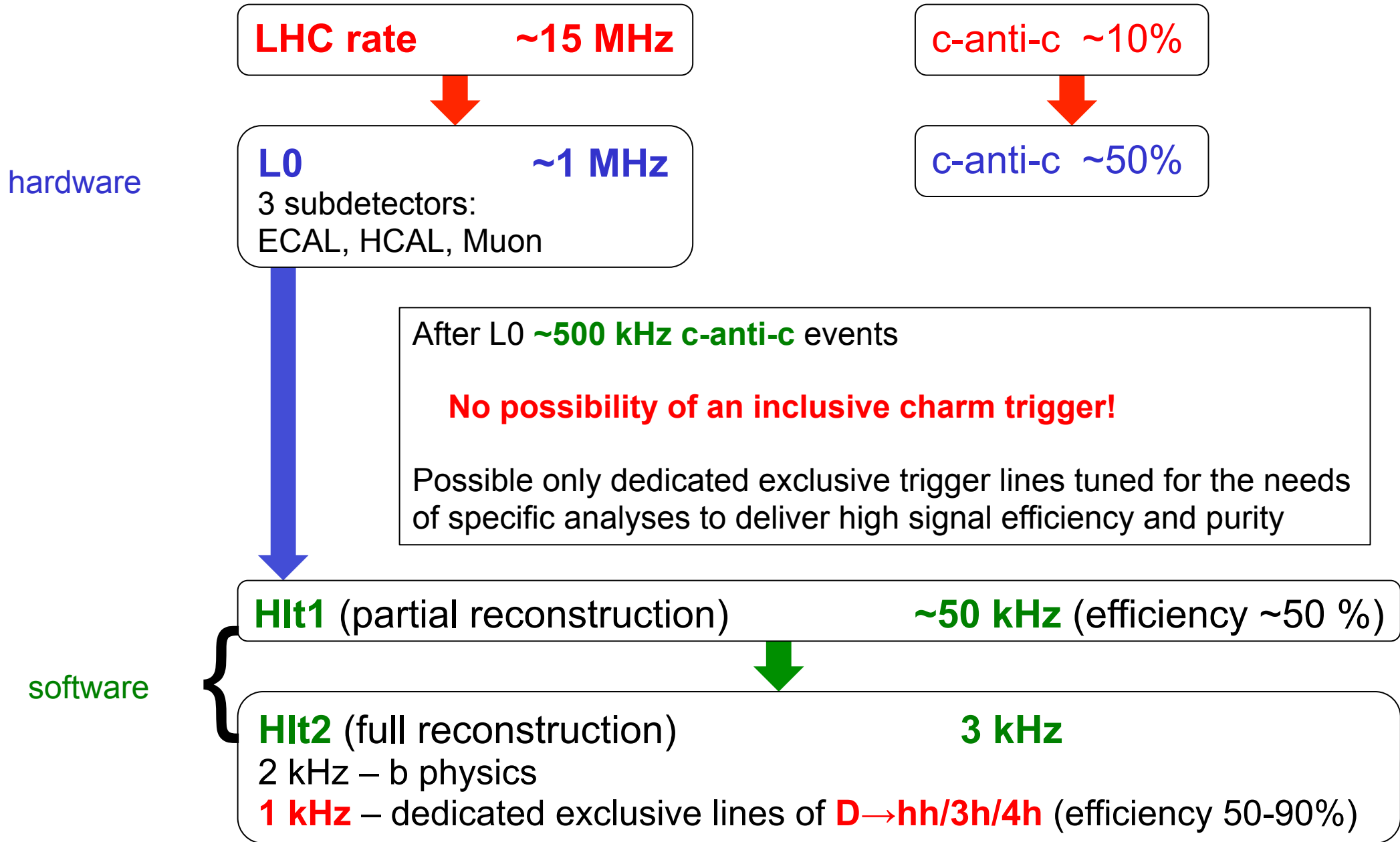
3. **interference** between mixing and direct decays:



Mixing and decay processes can be mediated via loop diagrams.

New physics is most likely to enter in loops and new particles can be exchanged

The trigger and charm physics



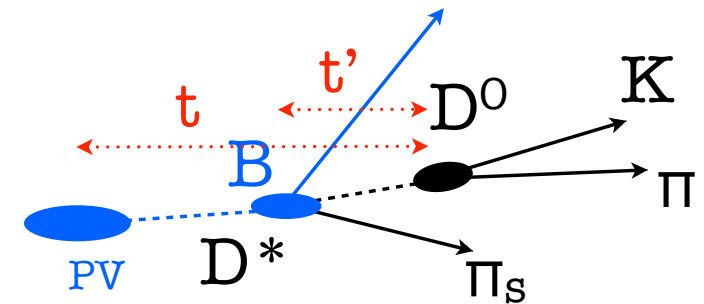
example: 5k $D^{*\pm} \rightarrow (D^0 \rightarrow K^\pm K^\mp) \pi^\pm$ for 1 pb^{-1} (2010: 38 pb^{-1} , 2011: 1.1 fb^{-1})

Systematics D^0 – anti- D^0 mixing

- Most of the systematic uncertainties cancel in the ratio between WS and RS events
- Two main sources of systematic uncertainties have been identified:

(1) secondary D mesons

- ✧ D from B have wrong decay time
- ✧ such events have non-zero IP
- ✧ cut on $\chi^2(\text{IP})$ removes most of them
- ✧ remains $\sim 3\%$



(2) backgrounds from incorrectly reconstructed D decays – peak in $M(D^0\pi_s^+)$ (the D^0 is partially reconstructed or misidentified)

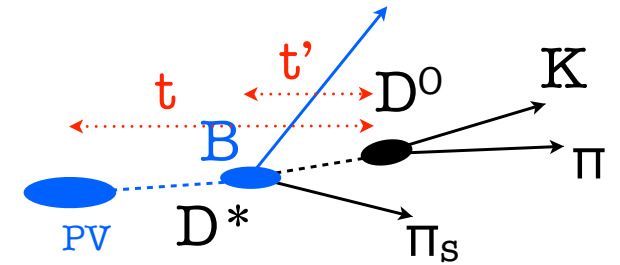
- ✧ such backgrounds are highly suppressed by tight PID cuts and two-body mass requirements
- ✧ estimated a residual $(0.4 \pm 0.2)\%$ contamination of doubly mis-identified RS events in the WS sample

- Results are dominated by statistical uncertainties

Bias from secondary D decays

$$R(t) = \frac{N_{WS}(t)}{N_{RS}(t)} = R_D + \sqrt{R_D} y' t + \frac{x'^2 + y'^2}{4} t^2$$

The contamination of charm mesons produced in b-hadron decays could bias the time-dependent measurement



$$R^m(t) = \frac{N^{WS}(t) + N_B^{WS}(t)}{N^{RS}(t) + N_B^{RS}(t)} = R(t) \left\{ 1 - \underbrace{f_B^{RS}(t) \left[1 - \frac{R_B(t)}{R(t)} \right]}_{\Delta_B(t)} \right\}$$

$\Delta_B(t)$ is a time-dependent bias due to the secondary contamination

where: $f_B^{RS}(t) = \frac{N_B^{RS}(t)}{N^{RS}(t) + N_B^{RS}(t)}$, $R_B(t) = \frac{N_B^{WS}(t)}{N_B^{RS}(t)}$

The fraction of secondary decays in the RS sample at decay time t

Since $\Delta_B \geq 0$, it follows that the background from secondary D decays decreases the observable mixing effect. The bias is bounded by

$$0 \leq \Delta_B(t) \leq f_B^{RS}(t) \left[1 - \frac{R_D}{R(t)} \right]$$

Measuring $f_B^{RS}(t)$

- A measurement of the secondary fraction is done by fitting the $\chi^2(\text{IP})$ distribution of the RS D^0 candidates in bins of decay time
- Secondary shape is estimated from events reconstructed also as $B \rightarrow D^*(3)\pi$, $B \rightarrow D^*\mu X$ or $B \rightarrow D^0\mu X$
- The value of $f_B^{RS}(t)$ is constrained in the time-dependent fit to the measured fraction

