

Beauty 2013, 14th International Conference on B-physics at Hadron Machines
Bologna, Italy

**The “wrong flavor”
-topics on Kaon physics-**

244

246

248

250

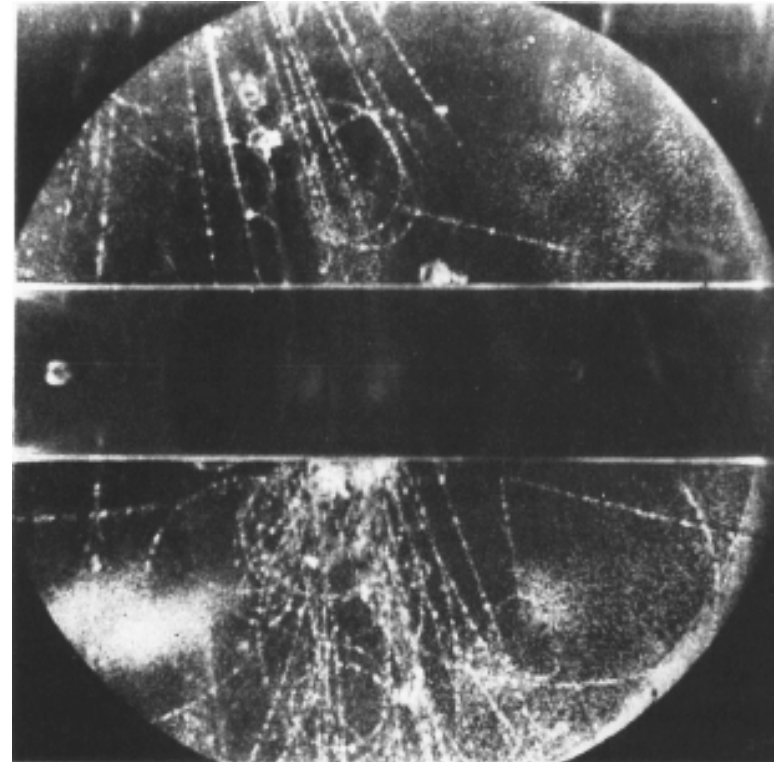
SOLSTITIVM HYBERNVVM
DECEMBRIS DIE XXI.

Barbara Sciascia - LNF/INFN

Kaon physics, the “wrong” flavor?

Kaon decays have played a key role in the shaping of SM from the discovery of until today: the introduction of strangeness, the parity violation, the quark mixing, the discovery of CP violation, the suppression of FCNC and the GIM mechanism,...

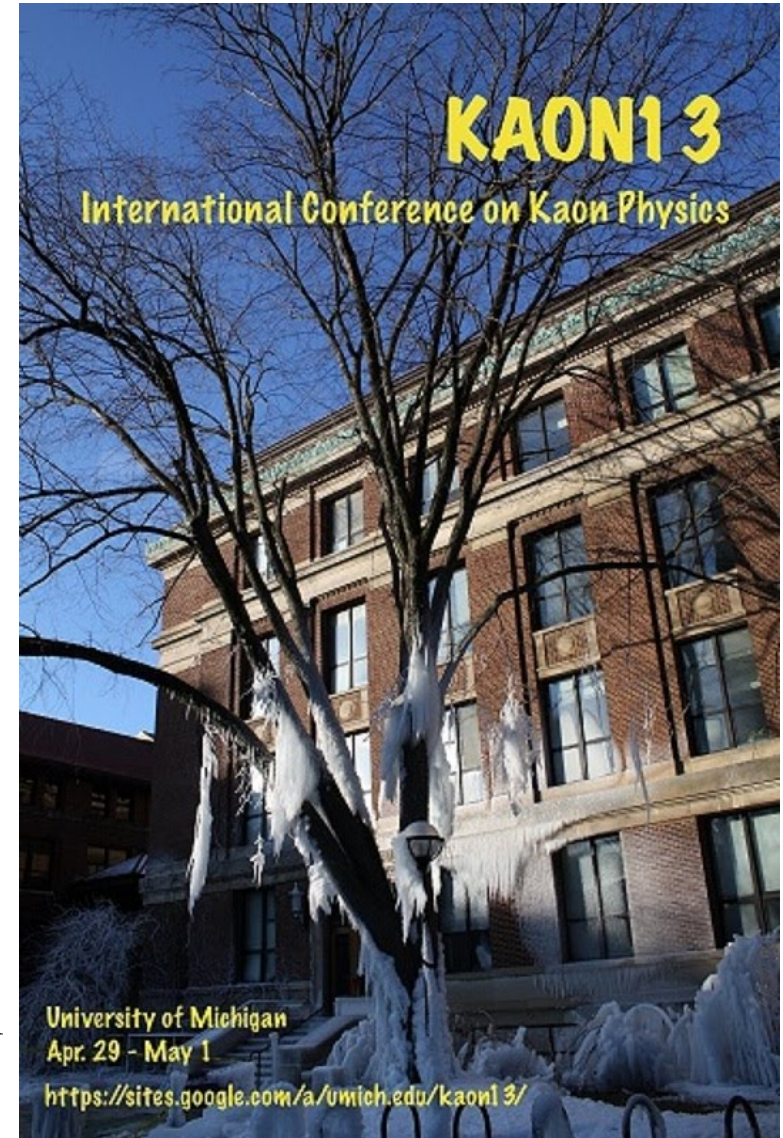
Kaon decays involve an intricate interplay between weak, electromagnetic and strong interactions. A major theoretical challenge has to do with the intrinsically non-perturbative nature of the strong interactions in kaon physics.



Even in the “B physics age”, Kaon physics continues to be a good playground to investigate flavor dynamics in constraining physics beyond the SM.

My outline:

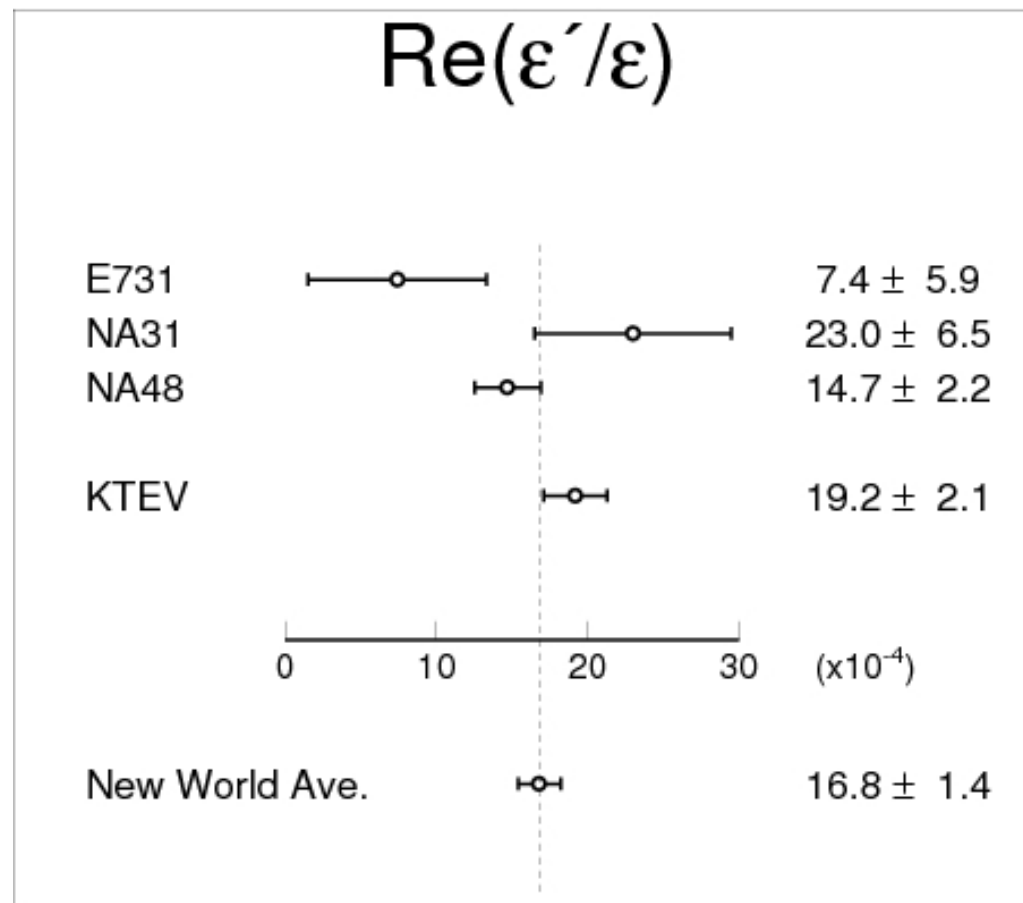
- CP violation and beyond.
 - $R_K = K^+e^2/K^+\mu^2$: KLOE, NA62, T-REK
 - $K \rightarrow \pi\nu\nu$: NA62, ORKA, KOTO,...
 - From WG1 at CKM 2012, mainly V_{us} .
-
- A **theoretical** comprehensive survey of K decays allowed in the SM with BF of at least 10^{-11} :
V.Cirigliano et al. arXiv:1107.6001v3 [hep-ph].
 - A **complementary experimental** survey:
A. Sergi at PIC2012, arXiv:1303.0629v1 [hep-ex]
- ... and don't **miss next KAON Conference** held in Ann Arbor, Michigan (USA) from April 29-May 1



CPV: indirect (or in the mixing, ϵ) or direct (or in the decay, ϵ')

In the SM, all described by the CKM mechanism.

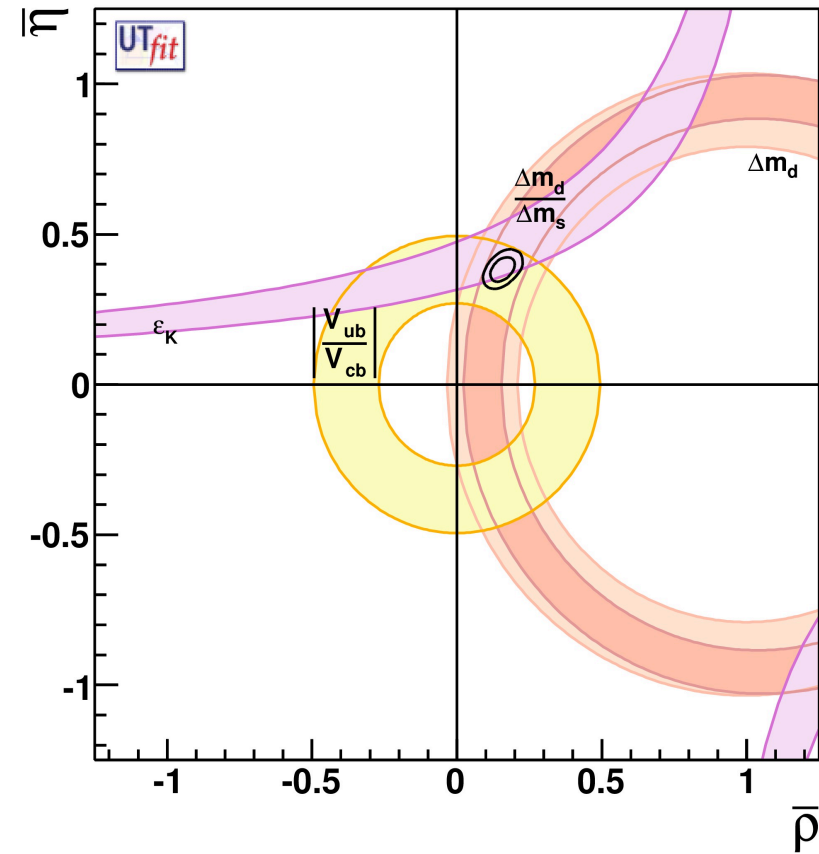
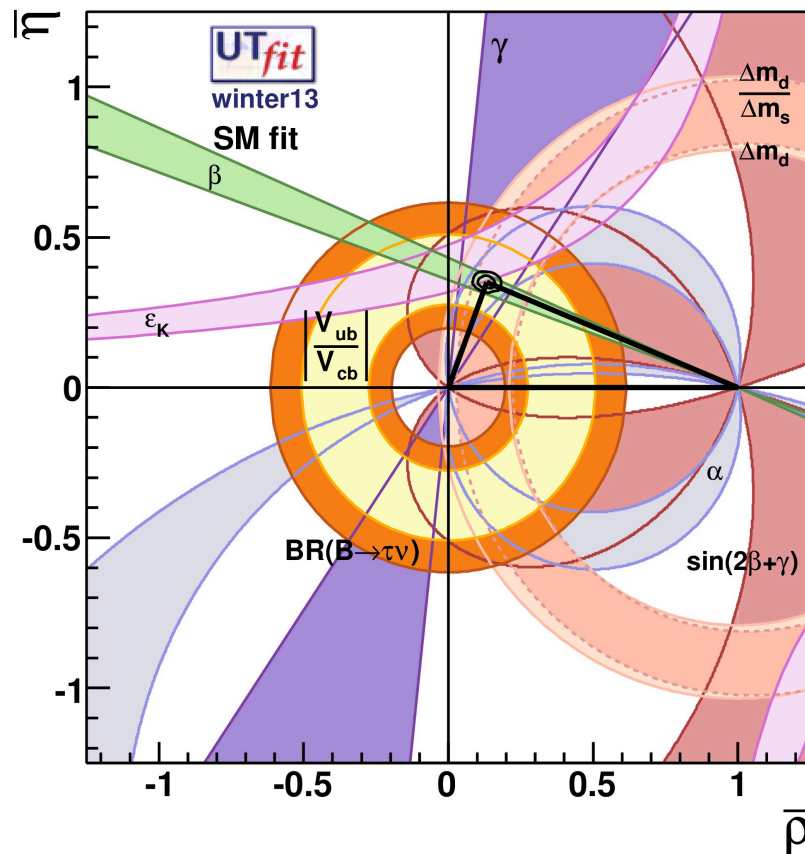
- ϵ measured since 60s
- ϵ'/ϵ have to wait the end of 90s.



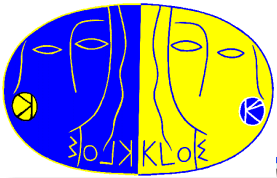
CPV legacy from KTeV and NA48 exp: first confirmation of CKM picture, ϵ'/ϵ measurement (12% accuracy), ϵ_K , CPT,...

CP violation

Reasonably precise data, but not impressive impact on UT. SM: $(1.9 \pm 0.2) \times 10^{-3}$



Waiting for Lattice breakthrough (from arxiv:1206.5142[hep-lat] RBC and UKQCD):
 “We anticipate that a complete calculation of CP violation in $K \pi \pi$ decay within the SM will be achieved before the fiftieth anniversary of its original discovery.”

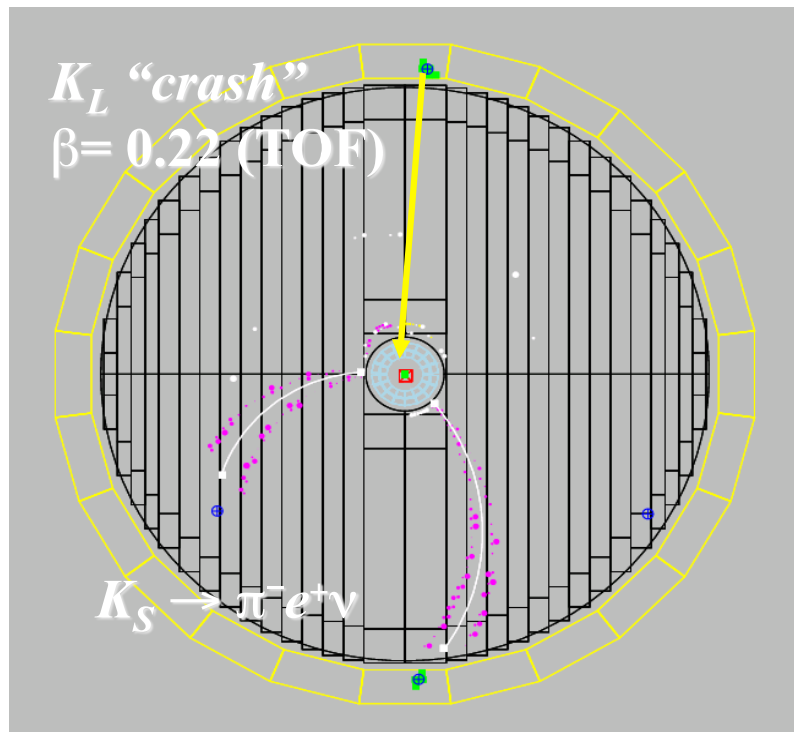


KLOE experiment at DaΦne

e^+e^- collider, cm energy: $\sqrt{s} \sim m_\phi = 1019.4$ MeV

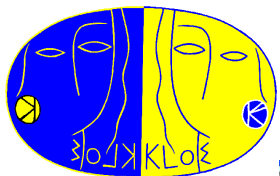
Cross section for ϕ production at peak: $\sigma_\phi \sim 3.1 \mu\text{b}$

- KLOE data taking completed (2001/5):
2.5 fb⁻¹ integrated at $\sqrt{s} = M(\phi)$.



Now, novel collision scheme: (at least) $L \sim \times 3$. Resume of data taking foreseen for next June 2013

- $K_S K_L$ ($K^+ K^-$) pairs emitted \sim back to back, $p \sim 110$ MeV (~ 127 MeV)
- Identification of $K_{S,L}$ ($K^{+,-}$) decay (interaction) tags presence of $K_{L,S}$ ($K^{-,+}$)
- **Almost pure $K_{S,L}$ and K^\pm beams of known momentum.**



$K_S \rightarrow \pi^0 \pi^0 \pi^0$ at KLOE

$3\pi^0$ is pure $CP=-1$ state; observation of $K_S \rightarrow 3\pi^0$ is an unambiguous sign of CPV in mixing and/or in decay

SM: $BF(K_S \rightarrow \pi^0 \pi^0 \pi^0) = 1.9 \times 10^{-9}$.

Existing $BF(K_S \rightarrow \pi^0 \pi^0 \pi^0)$ measurements:

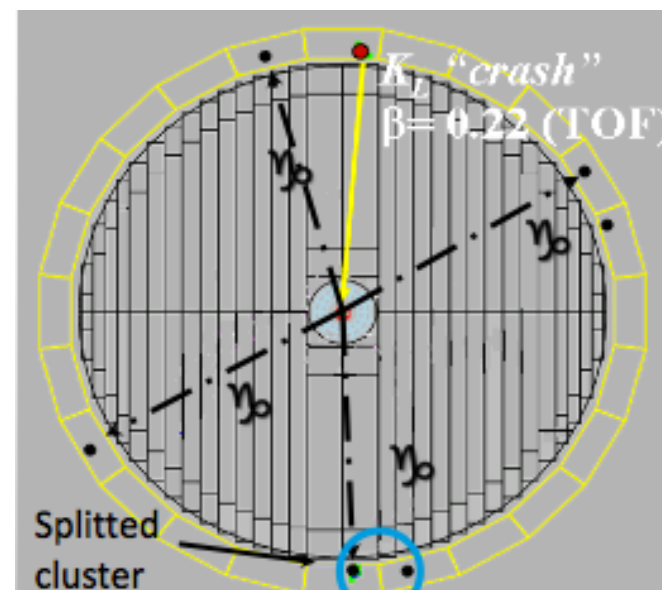
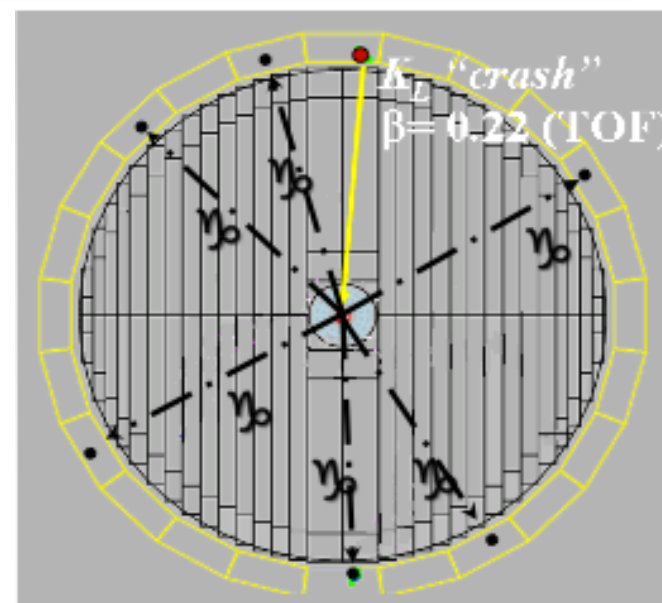
- SND (direct search) 1999: $< 1.4 \times 10^{-5}$
- NA48 (from interference) 2004: $< 7.4 \times 10^{-7}$
- KLOE (direct search) 2005: $< 1.2 \times 10^{-7}$

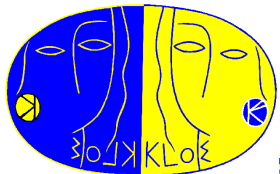
K_L interactions in the calorimeter to tag K_S decay and 6 prompt photons required

Analysis based on photon counting and kinematic fit in the $2\pi^0$ and $3\pi^0$ hypothesis

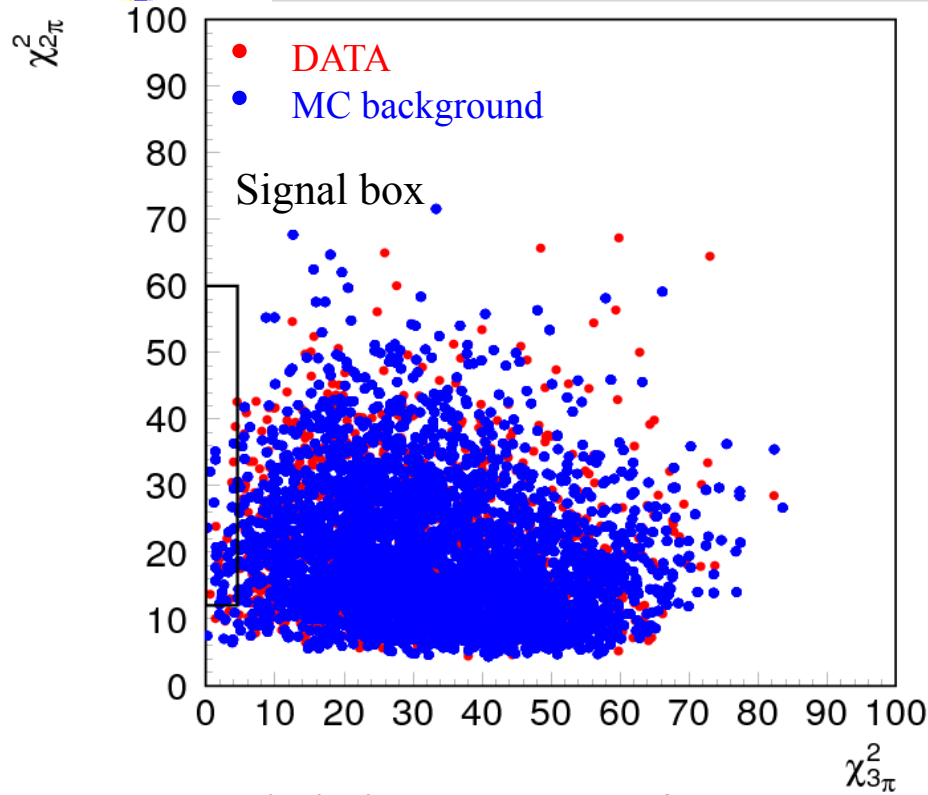
Dominant background : $K_S \rightarrow 2\pi^0 + 2$ fake clusters.

Normalized to $N_{2\pi^0}$



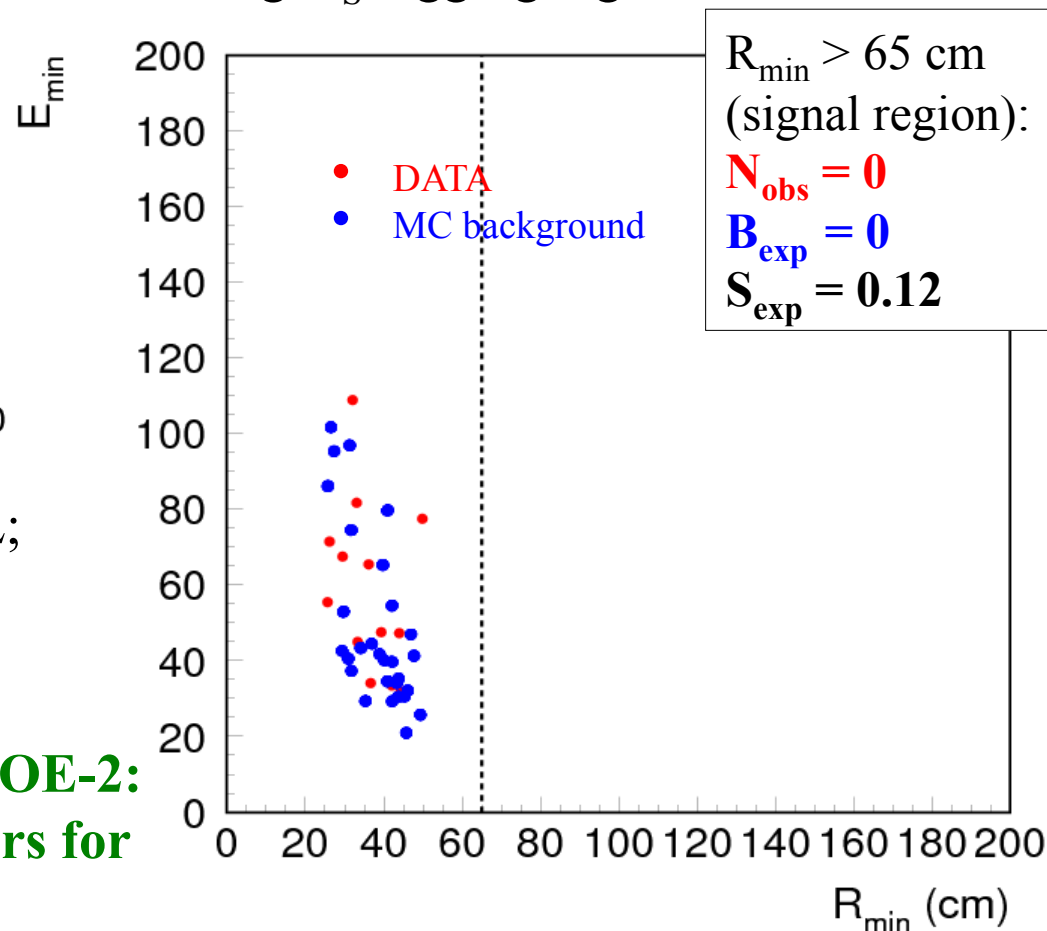


$K_S \rightarrow \pi^0 \pi^0 \pi^0$ at KLOE



Change wrt the 2005 analysis:

- 2004-2005 data sample: $\times 4$
- improved clustering algorithm.
- hardening K_S tagging algorithm.



$\text{BF}(K_S \rightarrow \pi^0 \pi^0 \pi^0) < 2.6 \times 10^{-8}$ @90% CL;

$|\eta_{000}| < 0.0088$ @ 90%CL

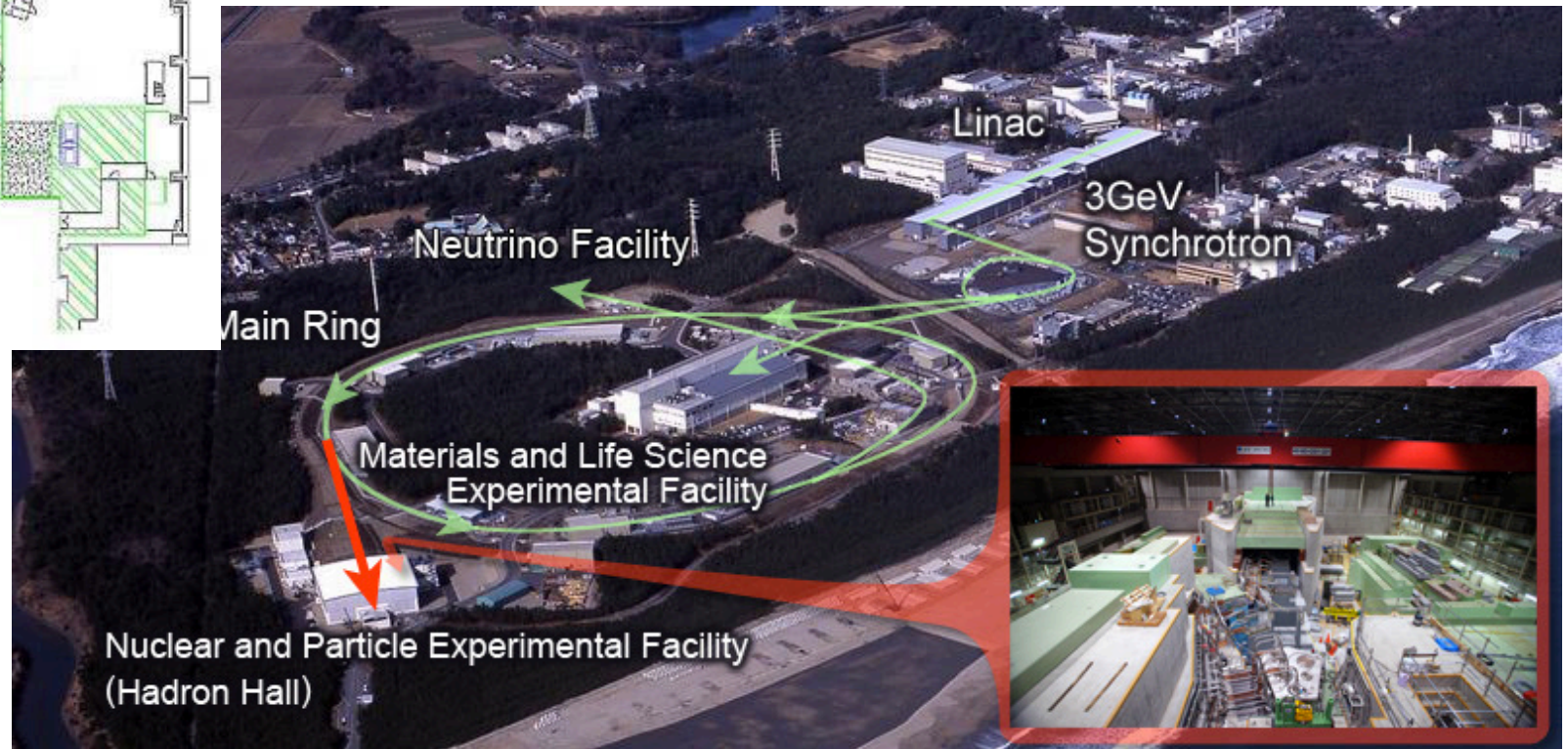
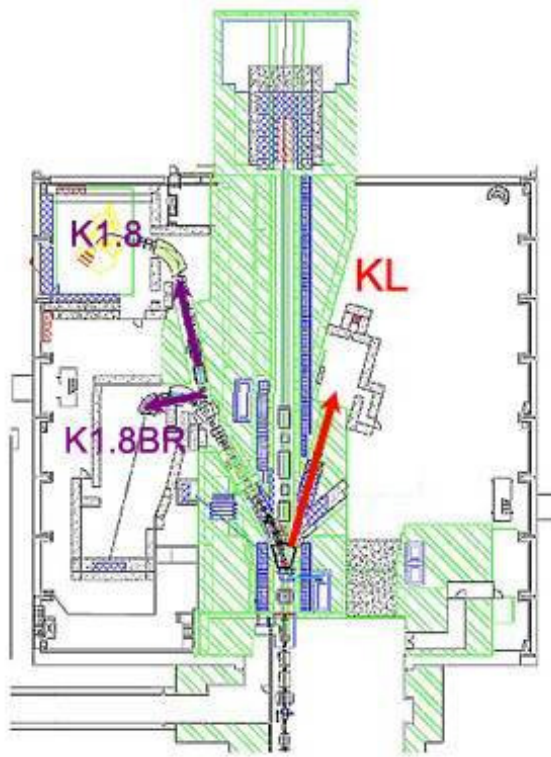
arXiv: 1301.7623 [hep-ex]

**First SM observation feasibility at KLOE-2:
new inner tracker, forward calorimeters for
better coverage near IP**

Japan Proton Accelerator Research Complex: a series of proton accelerators and the experimental facilities that make use of the high-intensity proton beams.

30 GeV/c, 100 kW reached, upgrade to 1 MW

Hadron-Hall: 3 Kaon lines (two separated K^+ , one K^0)



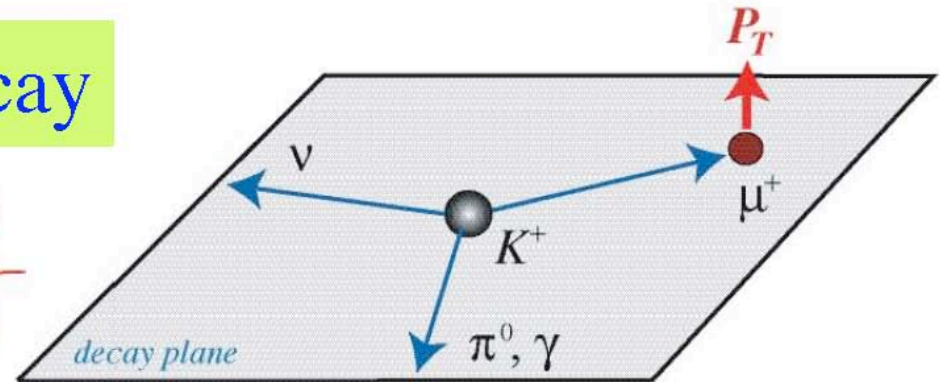
J-PARC, March 2011 earthquake damages



Time
Reversal
Experiment with
Kaons



$$P_T = \frac{\sigma_\mu \cdot (\mathbf{p}_{\pi^0, \gamma} \times \mathbf{p}_{\mu^+})}{|(\mathbf{p}_{\pi^0, \gamma} \times \mathbf{p}_{\mu^+})|}$$

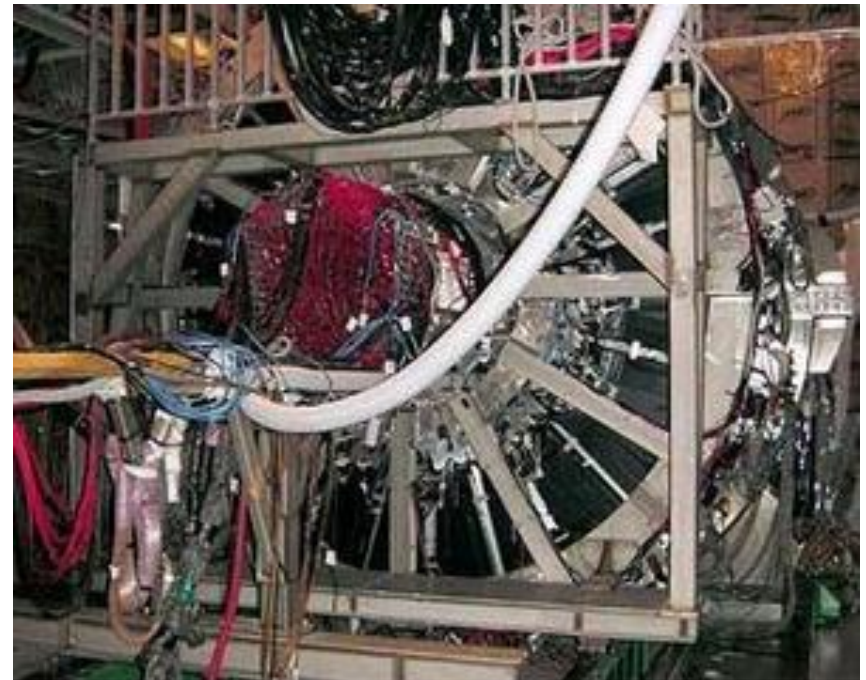


- Original proposal by J.J.Sakuray: in \$K^+\mu^3\$ decay measure the transverse muon polarization: **null at 1st order in SM; higher order loop effects at 10^{-6}.**

- TREK aims at \$10^{-4}\$ sensitivity
- Non-zero \$P_T\$: T-violation; also **an excellent NP probe** with many models allowing for sizable \$P_T\$.

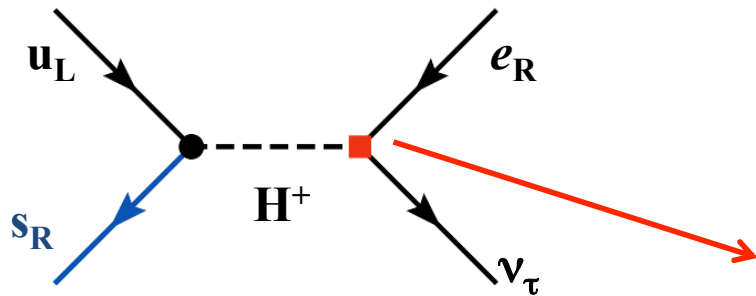
- detector: upgrade of earlier experiment E246 used at the KEK: $P_T = -0.0017(23)_{\text{STAT}}(17)_{\text{SYST}}$.

- **data taking ~2016**



$R_K = \Gamma(K^\pm_{e2})/\Gamma(K^\pm_{\mu2})$ as New Physics probe

- SM prediction with 0.04% precision, benefits of cancellation of hadronic uncertainties (no f_K): $R_K = 2.477(1) \times 10^{-5}$ [Cirigliano Rosell arXiv:0707:4464].
- Helicity suppression can boost NP [Masiero-Paradisi-Petronzio PRD74(2006)011701].

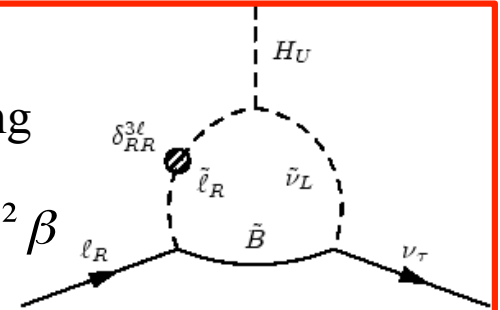


$$R_K^{LFV} = \frac{\sum_i K \rightarrow e \nu_i}{\sum_i K \rightarrow \mu \nu_i} \approx \frac{\Gamma_{SM}(K \rightarrow e \nu_e) + \Gamma(K \rightarrow e \nu_\tau)}{\Gamma_{SM}(K \rightarrow \mu \nu_\mu)}$$

$$R_K^{LFV} \approx R_K^{SM} \left(1 + \frac{m_K^4}{m_H^4} \frac{m_\tau^2}{m_e^2} |\Delta_R^{31}|^2 \tan^6 \beta \right)$$

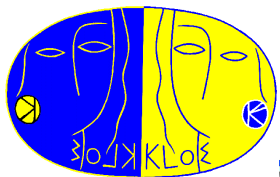
LFV from loop generates an effective $eH^+\nu_\tau$ coupling

$$eH^+\nu_\tau \rightarrow \frac{g_2}{\sqrt{2}} \frac{m_\tau}{M_W} \Delta_R^{31} \tan^2 \beta$$



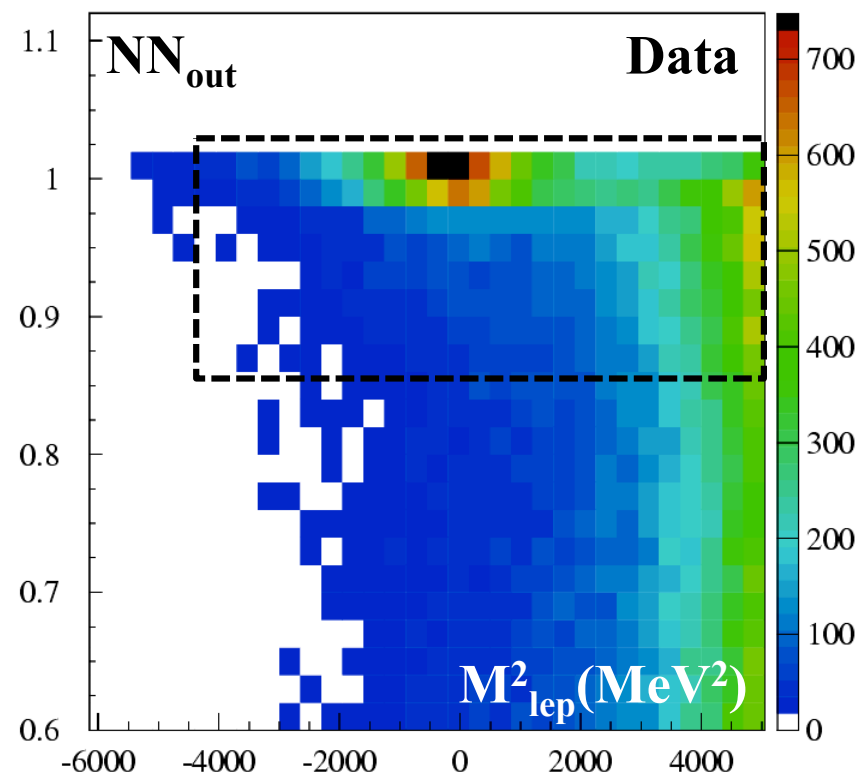
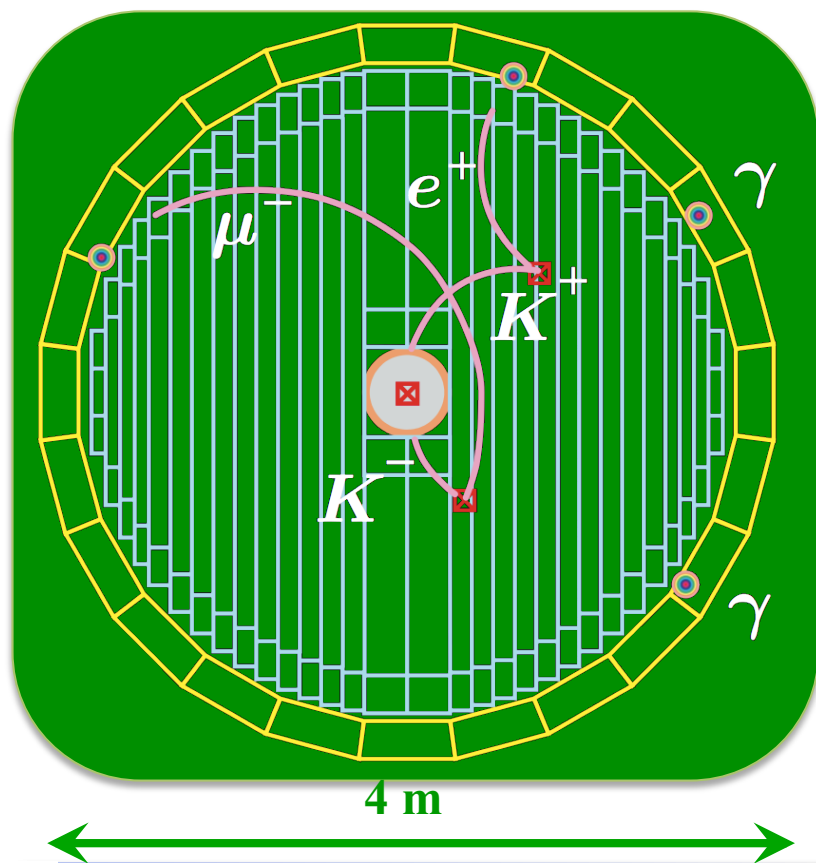
LFV can give **O(1%) deviation from SM** ($\Delta_R^{31} \sim 5 \times 10^{-4}$, $\tan \beta \sim 40$, $m_H \sim 500$ GeV)

- Experimental accuracy on R_K (before KLOE and NA62 results) at 5% level.
- Measurements of R_K can be very interesting, **if error at 1% level or better.**

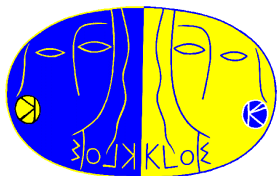


R_K from KLOE (2009)

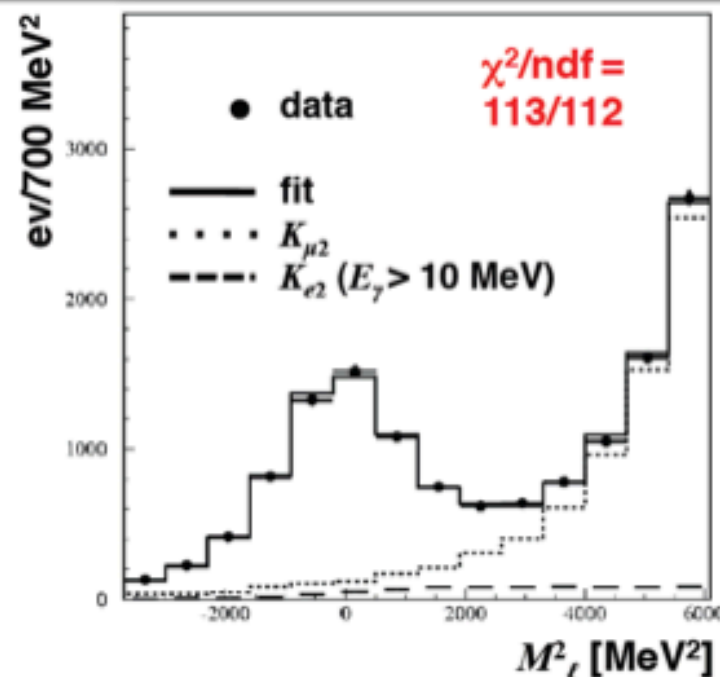
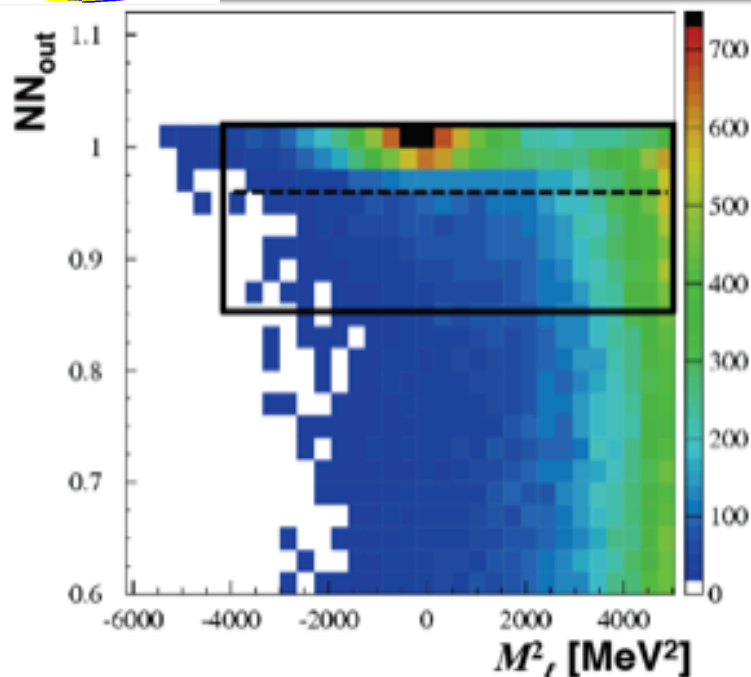
- Data sample (2001–2005): 2.5 fb^{-1}
- 560M $K_{\mu 2}$ decays analyzed
- Identify kink in fiducial volume of DC
- Tight kinematic & track quality cuts



- $M^2_\ell = (E_K - p_{\text{miss}})^2 - p_\ell^2$ with $m_{\text{miss}} = m_\nu$
- Neural network (NN) for e/μ ID in calorimeter: E/p , TOF, shower profile
- Count K_{e2} events via 2-dim likelihood fit in NN_{out} vs. M^2_ℓ



R_K from KLOE (2009)



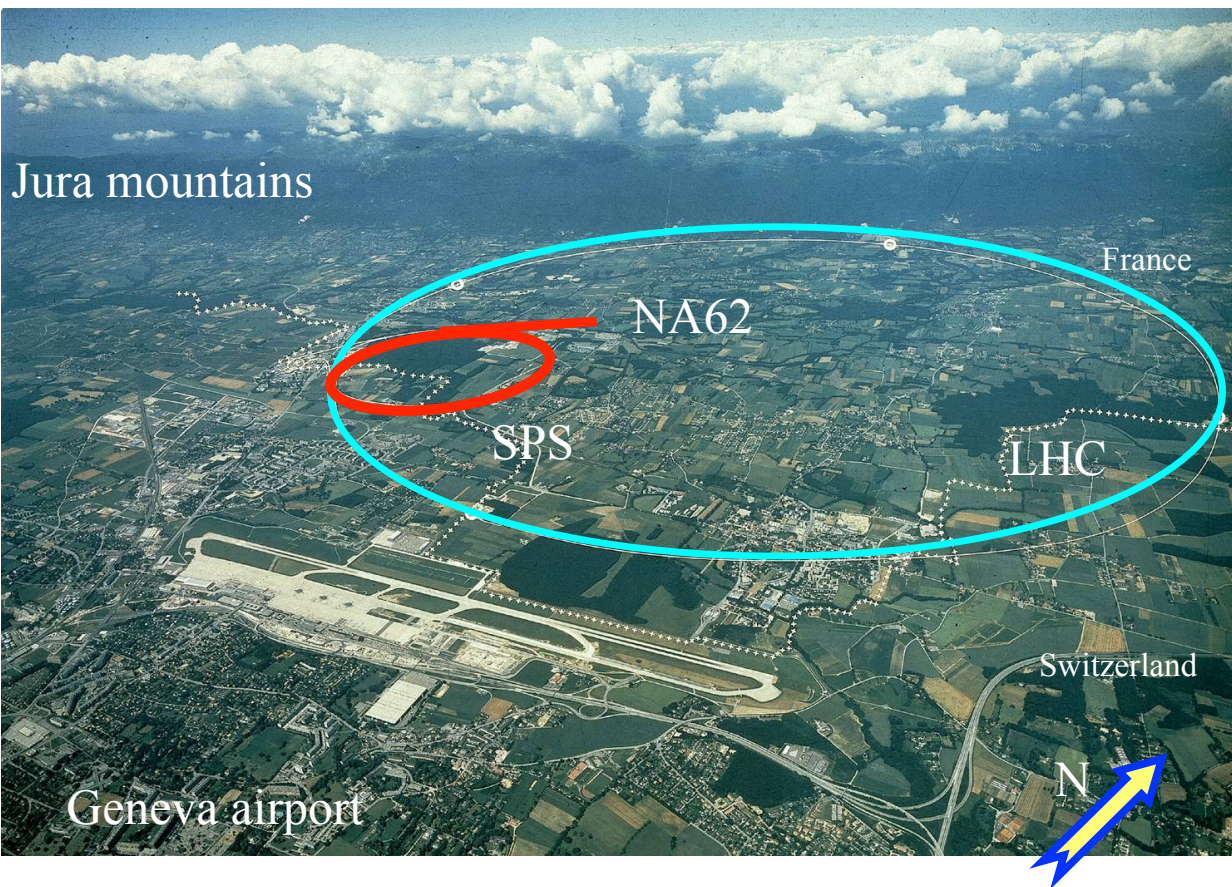
K_{e2}^+ fit
 M^2_ℓ projection
 $NN_{\text{out}} > 0.96$

Obtain
 $7060 \pm 102 K_{e2}^+$
 $6750 \pm 101 K_{e2}^-$

| Source of uncertainty | $\delta R_K / R_K$ (%) |
|---------------------------|------------------------|
| Statistical | 1.0 |
| $K_{\mu 2}$ subtraction | 0.3 |
| $K_{e2\gamma}$ (SD+) | 0.2 |
| Reconstruction efficiency | 0.6 |
| Trigger efficiency | 0.4 |
| Total | 1.3 |

KLOE 13.8k K_{e2} candidates
EPJC 64 (2009) 16% background

$$R_K = 2.493(25)_{\text{st}}(19)_{\text{sy}} \times 10^{-5}$$



Kaon Physics @ CERN SPS:

1995-2001: **NA48** ϵ'/ϵ
 2002: **NA48/1** $K_S \rightarrow l^+l^-$
 2003-2004: **NA48/2** CPV K^\pm
 From 2007: **NA62**

NA62

2007-2008 R_K measurement

2007-2011 R&D for $K^+ \rightarrow \pi^+ \nu \nu$
 2011-2014 Construction and installation of the new detectors

2012 $K^+ \rightarrow \pi^+ \nu \nu$ Technical run

2014 $K^+ \rightarrow \pi^+ \nu \nu$ Physics run

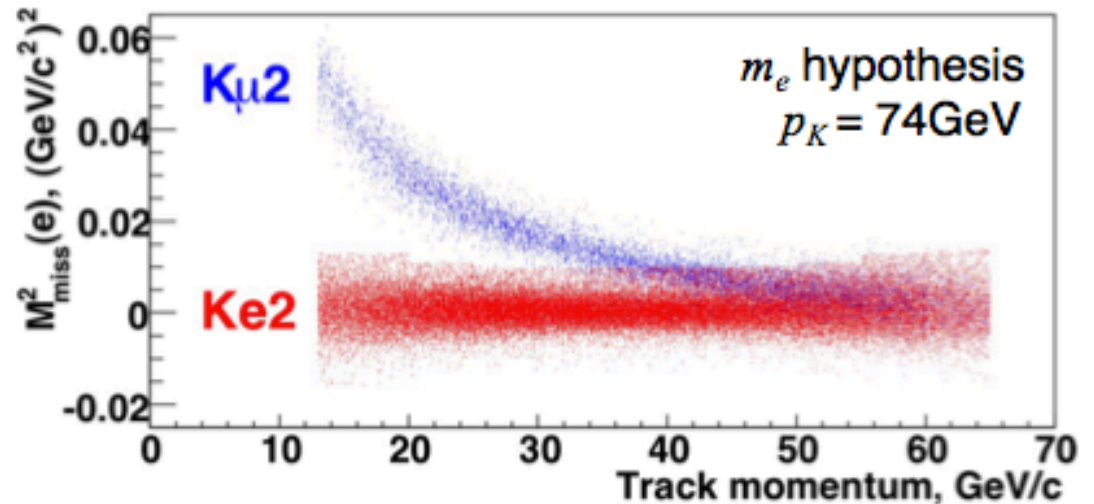
R_K : K_{e2} and $K_{\mu 2}$ selection

Kinematic identification

$$M_{\text{miss}}^2 = (p_K - p_\ell)^2$$

Use avg p_K measured with $K_{\pi 3}$

Require $p_K < 30$ GeV

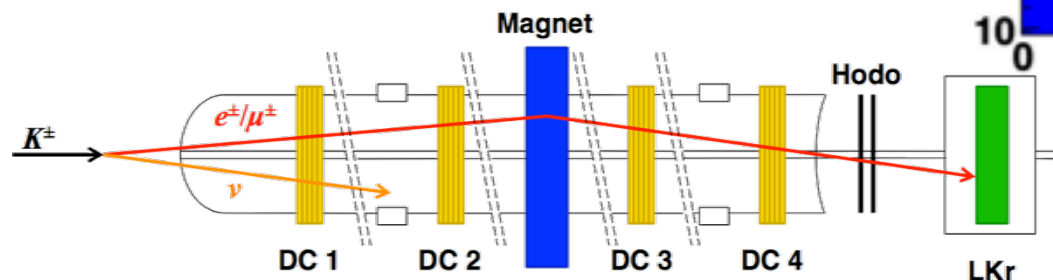
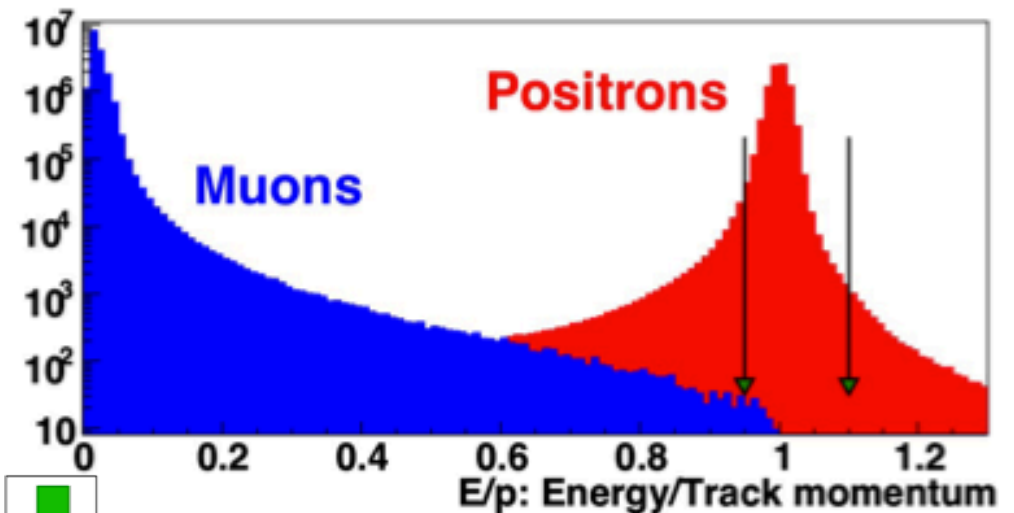


e/μ discrimination in LKr

e $(0.90 \text{ to } 0.95) < E/p < 1.10$

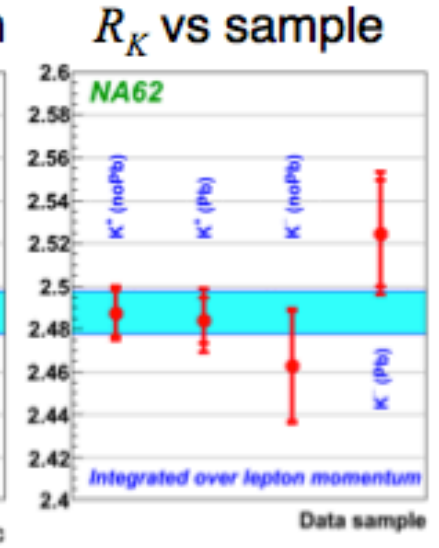
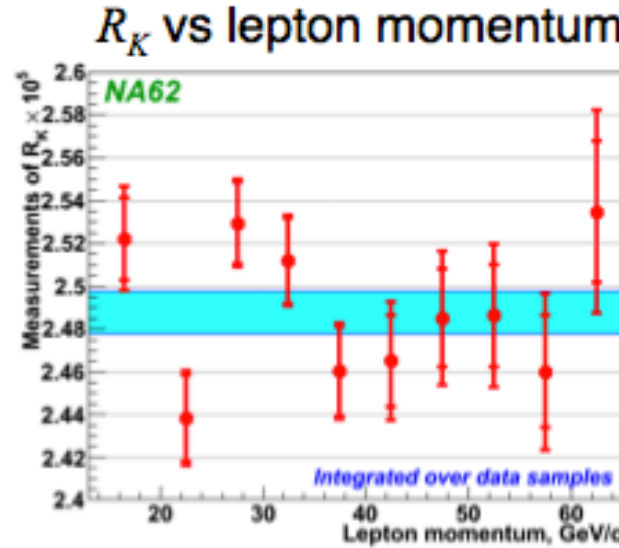
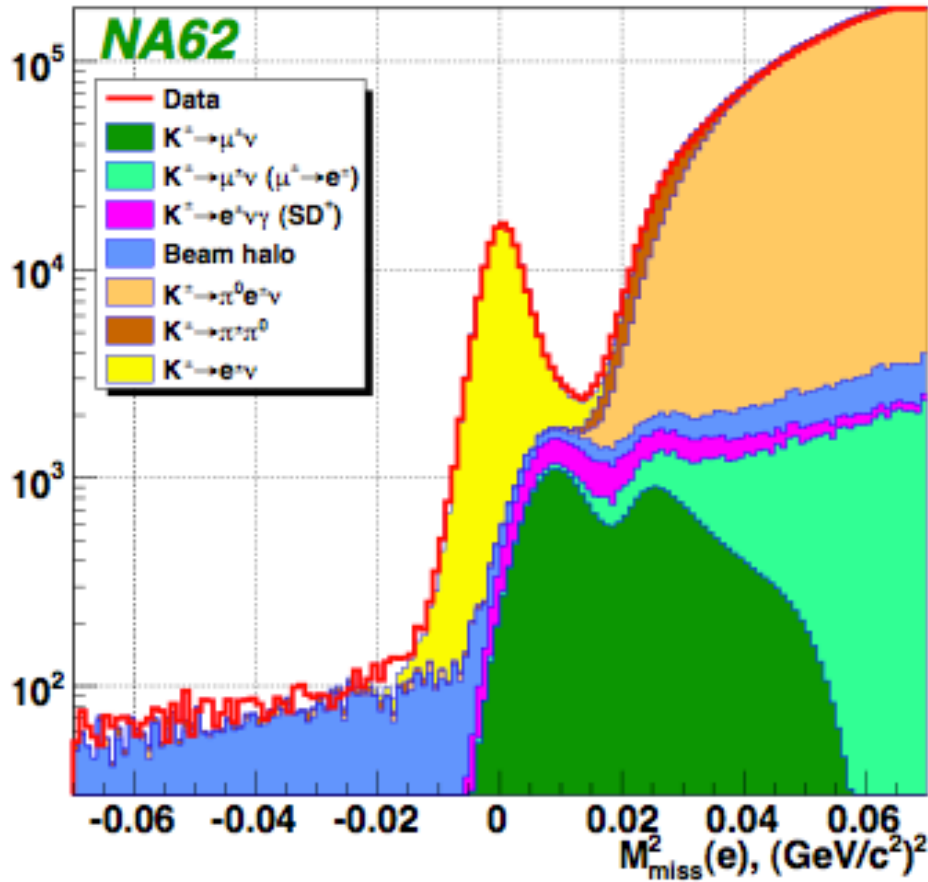
μ $E/p < 0.85$ for muons

μ suppression $\sim 10^6$



K^\pm beams: $P_K = 75$ (2) GeV

R_K final result (full 2007 data set)

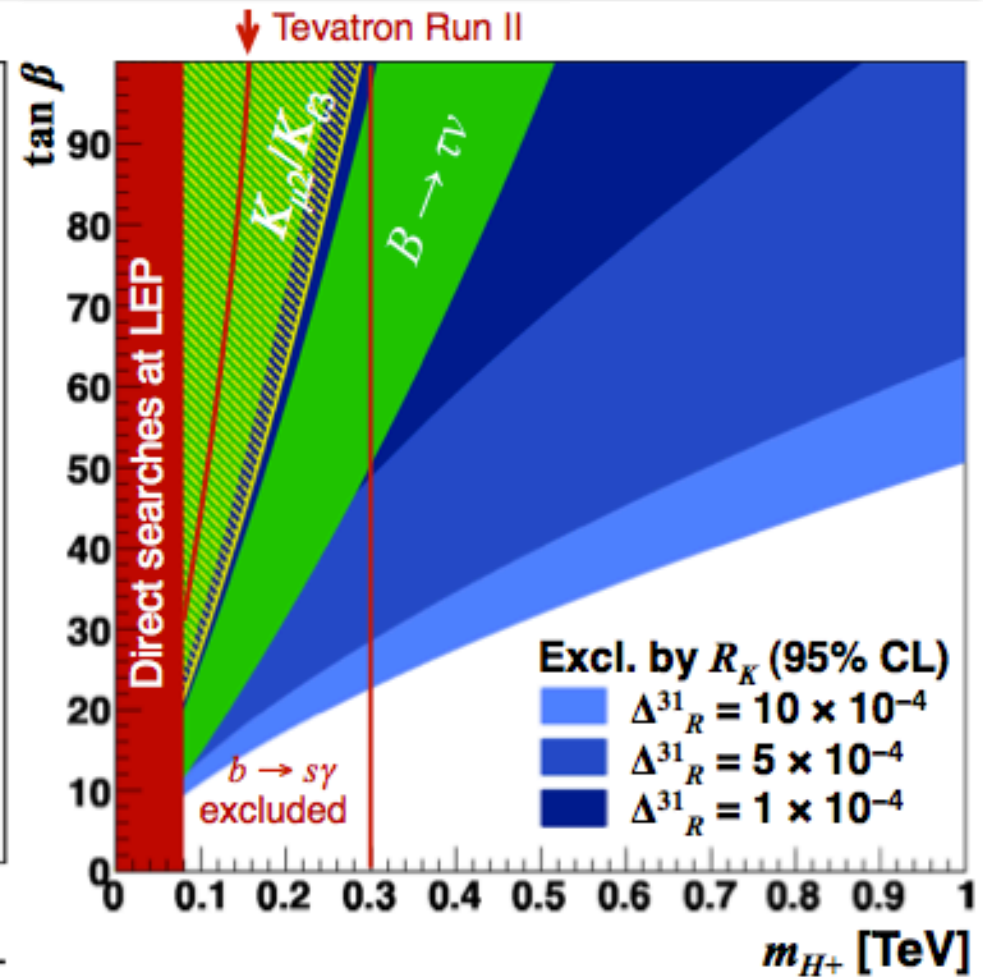
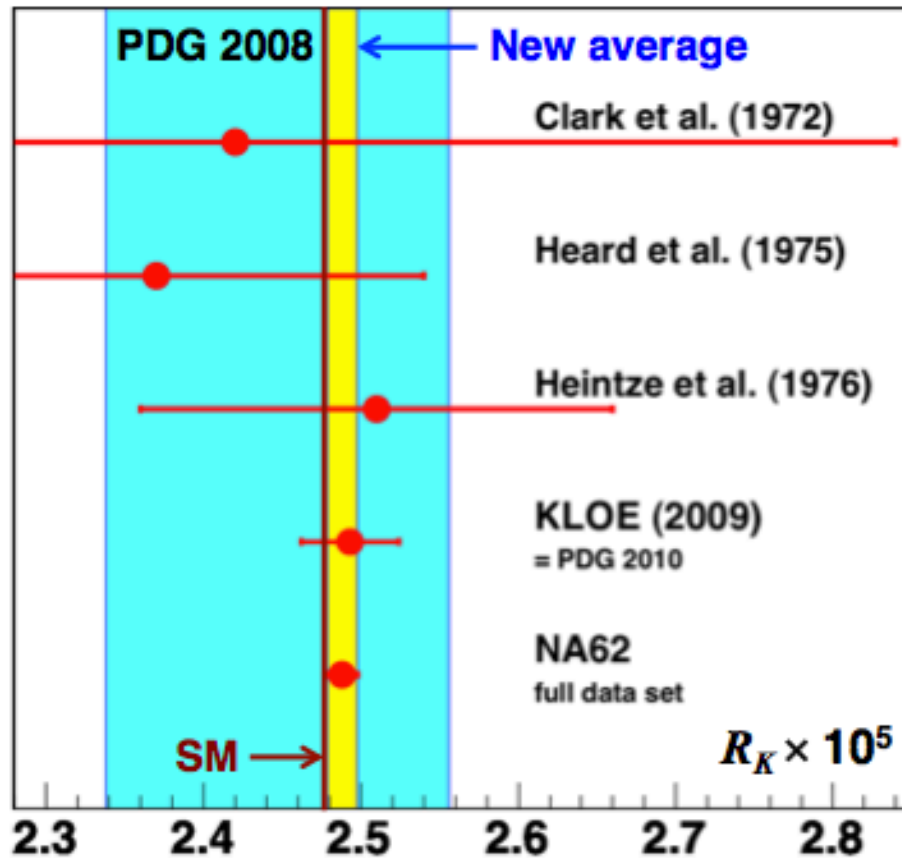


Fit to 40 measurements gives $\chi^2/\text{ndf}=47/39$
 4 data samples \times 10 momentum bins

146k K_{e2} candidates (mainly K^+)
 Background: $B/(S+B)=(10.95\pm 0.27)\%$
 Electron ID efficiency: $(99.28\pm 0.05)\%$

$R_K=2.488(7)_{\text{STAT}}(7)_{\text{SYST}}\times 10^{-5}$;
 full data set: **Phys.Lett. B719 (2013) 326-336**
 [Includes previous PLB result, 40% 2007 data set]

R_K world average

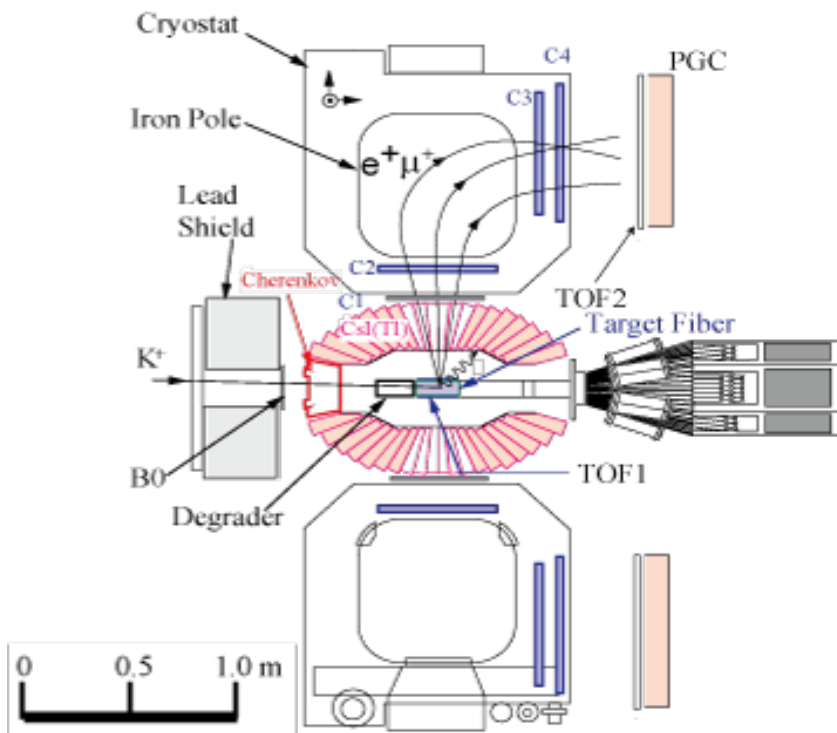


| Average | $R_K \times 10^5$ | $\delta R_K / R_K$ |
|----------------|-------------------------------------|--------------------|
| PDG 2008 | 2.447 ± 0.109 | 4.5% |
| Current | 2.488 ± 0.009 | 0.4% |

MSSM with R parity

$$R_K^{\text{LFV}} \approx R_K^{\text{SM}} \left[1 + \frac{m_K^4}{m_H^4} \frac{m_\tau^2}{m_e^2} |\Delta_R^{31}|^2 \tan^6 \beta \right]$$

Using a subset of TREK detector and stopped kaons from K1.1BR line, E36 plans to measure R_K; **aims at 2×10^{-3} (stat) and 2×10^{-3} (syst) accuracy.**



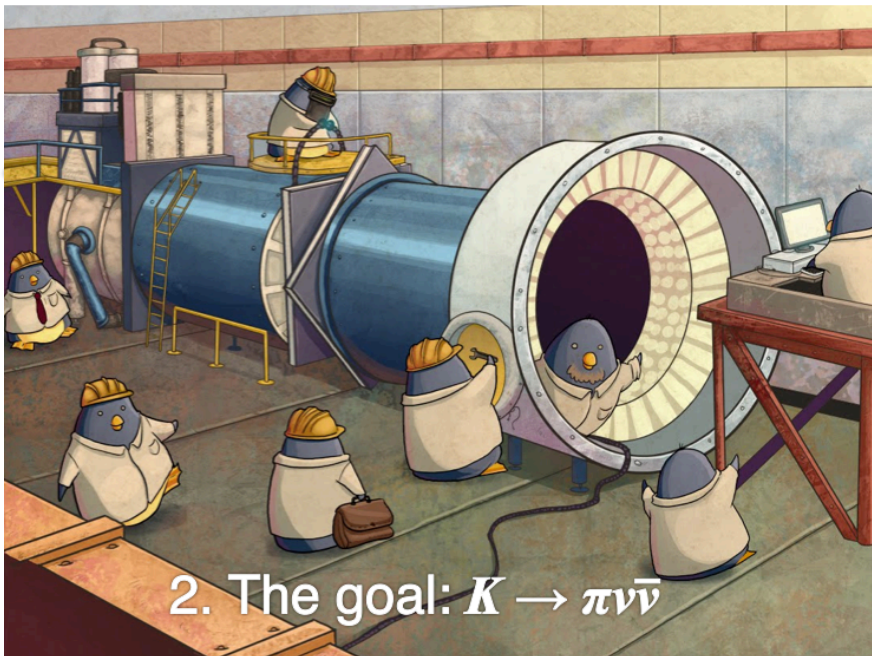
“TREK collaboration remains interested in executing E06 if the accelerator beam power will be growing faster.”

| | 2012 | 2013 | 2014 | 2015 |
|---|------------------|---------------|-------------------|-----------------|
| Detector | | | | |
| Target | Assembly | Test | Bears test | |
| cost | | (0 k\$) | (0 k\$) | 0 |
| TOF | Prototype | Test | Mass production | |
| cost (k\$) | | 5,720 | 8,374 | 0 |
| Aerogel C | Prototype | Test | Mass production | |
| cost (k\$) | | 5,920 | 4,180 | 0 |
| PGC | Prototype | Test | Preparation | |
| cost (k\$) | | 2,890 | 1,680 | 0 |
| GEM (C1) | | Design | Production | Test |
| cost | | (250 k\$) | (100 k\$) | |
| MWPC (C2-C4) | | Repair etc. | | |
| cost (k\$) | | 7,020 | 0 | 0 |
| CaITl readout | Test | Preparation | FADC production | |
| cost (k\$) | | 1,630 | 7,200 | 0 |
| Collimator etc. | | Design | Production | |
| cost (k\$) | | 0 | 340 | 0 |
| Electronics/DAQ | FADC development | | Production | |
| cost (k\$) | | 10,430 | 7,380 | 0 |
| Assembly | | | Detector assembly | |
| cost (k\$) | | 0 | 3,030 | 0 |
| Total detector cost in Japan (k\$) | | 33,610 | 32,184 | running cost |
| SC spectrometer | | | | |
| Magnet | | | Installation | |
| He cryogenics | | Build | Installation | |
| System | | | Test | |
| Engineering run | | | | Engineering run |
| Experiment | | | | Run |

From “Progress Report of P36, July 2012”: **quite aggressive schedule** to run E36 in a narrow restricted time window before the start of the COMET beam line installation:

- **final assembly in 2014**
- **run on fall 2014-spring 2015**

$K \rightarrow \pi \nu \bar{\nu}$ in the SM



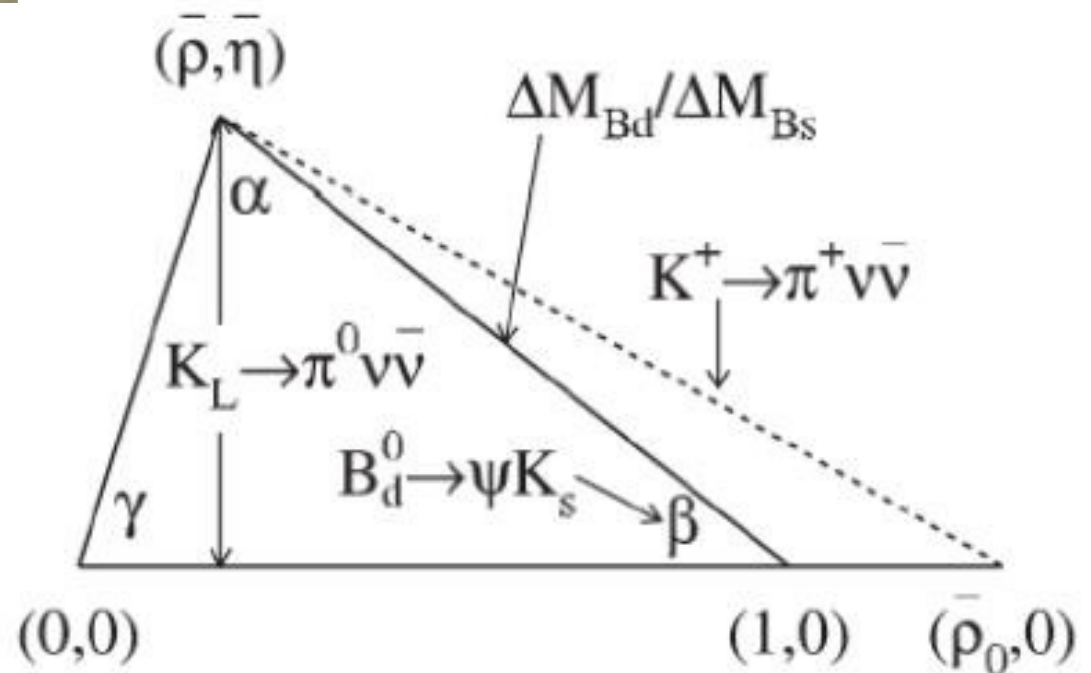
K : theoretical cleanliness unmatched, simple system, few decay channels

Extreme hard-GIM SM-suppressed FCNC decays: room for NP up to $10 \times$ SM.

Unique sensitivity to flavor couplings of BSM physics about to be produced at LHC

--- or ---

Sensitivity to extremely high NP scales in the unfortunate case that... (10% measurement of $K \rightarrow \pi \nu \bar{\nu}$ BR can probe 1000 TeV NP scale)



$K \rightarrow \pi \nu \bar{\nu}$ and the UT

Uncertainty on SM predictions for $K \rightarrow \pi \nu \bar{\nu}$ BRs mostly from V_{CKM}

$BR_{SM}(K_L \rightarrow \pi^0 \nu \bar{\nu}) \times 10^{11}$
 $2.43 \pm 0.39_{\text{par}} \pm 0.06_{\text{th}}$

| | | |
|-------------------|------|-----|
| V_{cb} | 0.31 | 48% |
| $\bar{\eta}$ | 0.22 | 35% |
| $X_t(\text{QCD})$ | 0.06 | 9% |

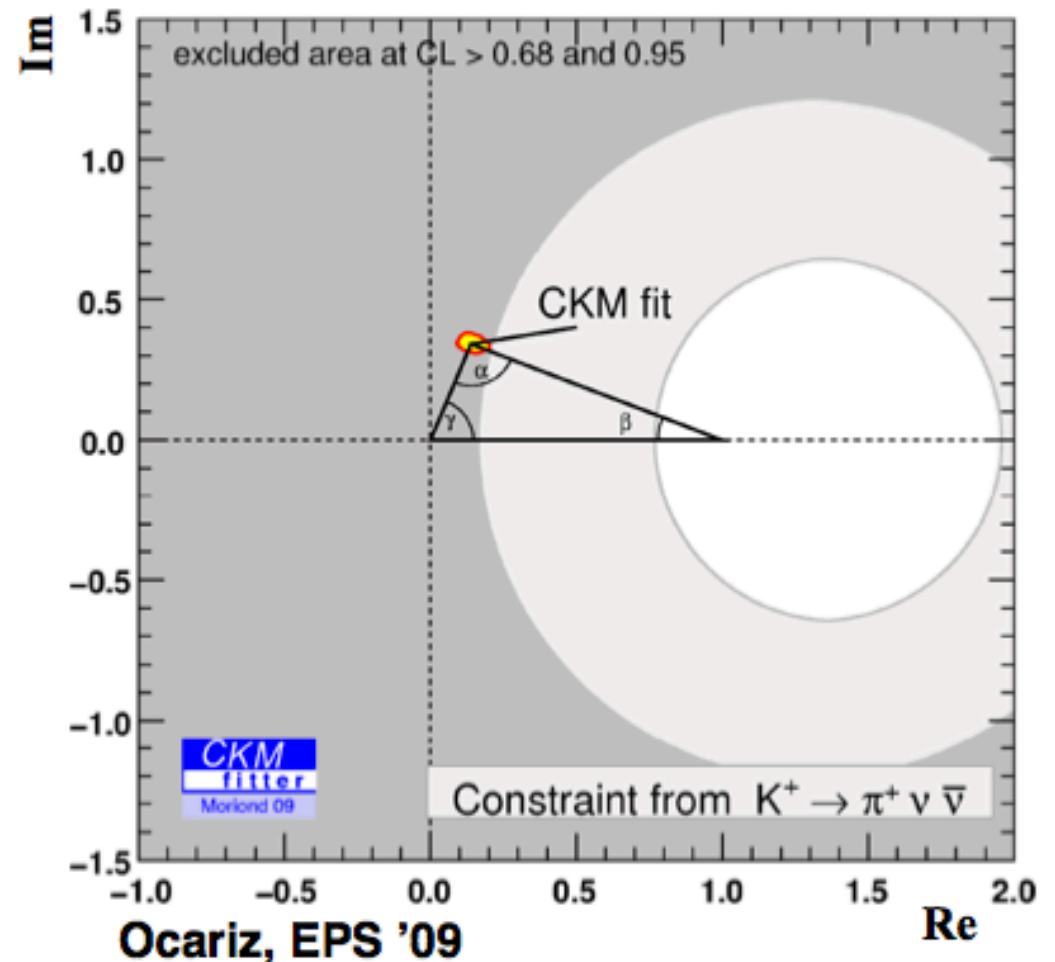
$BR_{SM}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) \times 10^{11}$
 $7.81 \pm 0.76_{\text{par}} \pm 0.29_{\text{th}}$

| | | |
|-------------------|------|-----|
| V_{cb} | 0.69 | 40% |
| $\bar{\rho}$ | 0.26 | 15% |
| $\delta P_{c,u}$ | 0.24 | 14% |
| $X_t(\text{QCD})$ | 0.12 | 7% |

Brod, Gorbahn, Stamou '11

CKM constraints from:

Current exp. value for $BR(K^+ \rightarrow \pi^+ \nu \bar{\nu})$



$K \rightarrow \pi \nu \bar{\nu}$ and the UT

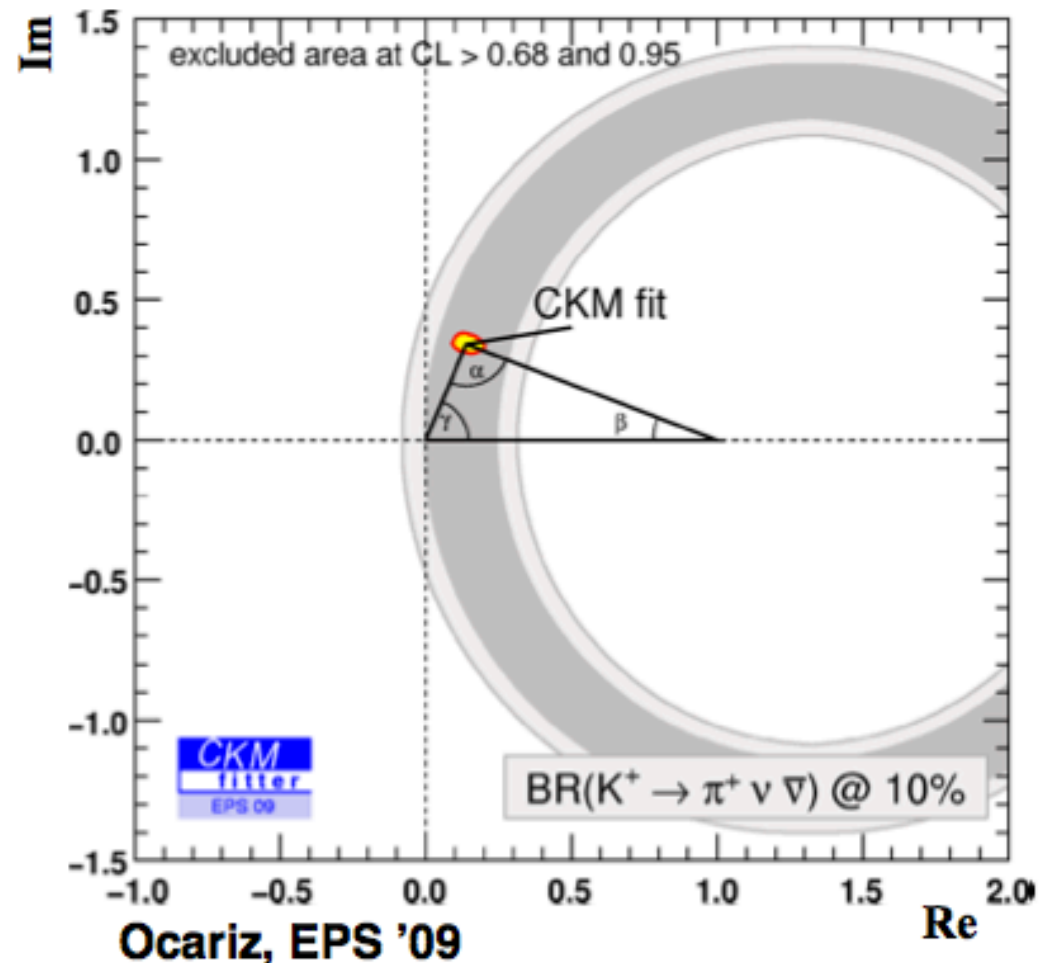
Uncertainty on SM predictions for $K \rightarrow \pi \nu \bar{\nu}$ BRs mostly from V_{CKM}

| $BR_{SM}(K_L \rightarrow \pi^0 \nu \bar{\nu}) \times 10^{11}$ | | |
|---|------|-----|
| $2.43 \pm 0.39_{par} \pm 0.06_{th}$ | | |
| V_{cb} | 0.31 | 48% |
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Brod, Gorbahn, Stamou '11

CKM constraints from:
 $BR(K^+ \rightarrow \pi^+ \nu \bar{\nu})$ to $\pm 10\%$



$K \rightarrow \pi \nu \bar{\nu}$ and the UT

Uncertainty on SM predictions for $K \rightarrow \pi \nu \bar{\nu}$ BRs mostly from V_{CKM}

| $BR_{SM}(K_L \rightarrow \pi^0 \nu \bar{\nu}) \times 10^{11}$ | | |
|---|------|-----|
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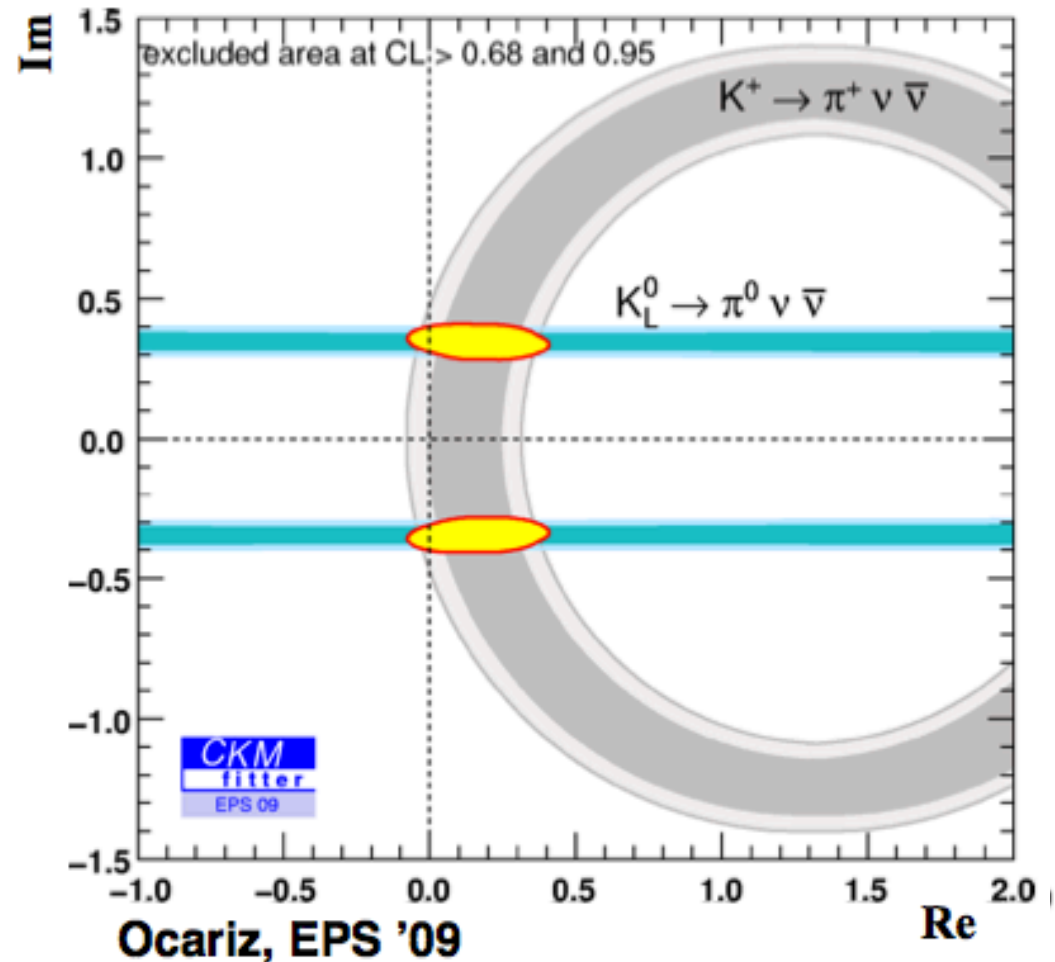
| $BR_{SM}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) \times 10^{11}$ | | |
|---|------|-----|
| $7.81 \pm 0.76_{par} \pm 0.29_{th}$ | | |
| V_{cb} | 0.69 | 40% |
| $\bar{\rho}$ | 0.26 | 15% |
| $\delta P_{c,u}$ | 0.24 | 14% |
| $X_t(\text{QCD})$ | 0.12 | 7% |

Brod, Gorbahn, Stamou '11

CKM constraints from:

$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu})$ to $\pm 10\%$

$BR(K_L \rightarrow \pi^0 \nu \bar{\nu})$ to 15%



$K \rightarrow \pi \nu \bar{\nu}$ and the UT

Uncertainty on SM predictions for $K \rightarrow \pi \nu \bar{\nu}$ BRs mostly from V_{CKM}

| $\text{BR}_{\text{SM}}(K_L \rightarrow \pi^0 \nu \bar{\nu}) \times 10^{11}$ | | |
|---|------|-----|
| $2.43 \pm 0.39_{\text{par}} \pm 0.06_{\text{th}}$ | | |
| V_{cb} | 0.31 | 48% |
| $\bar{\eta}$ | 0.22 | 35% |
| $X_t(\text{QCD})$ | 0.06 | 9% |

| $\text{BR}_{\text{SM}}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) \times 10^{11}$ | | |
|---|------|-----|
| $7.81 \pm 0.76_{\text{par}} \pm 0.29_{\text{th}}$ | | |
| V_{cb} | 0.69 | 40% |
| $\bar{\rho}$ | 0.26 | 15% |
| $\delta P_{c,u}$ | 0.24 | 14% |
| $X_t(\text{QCD})$ | 0.12 | 7% |

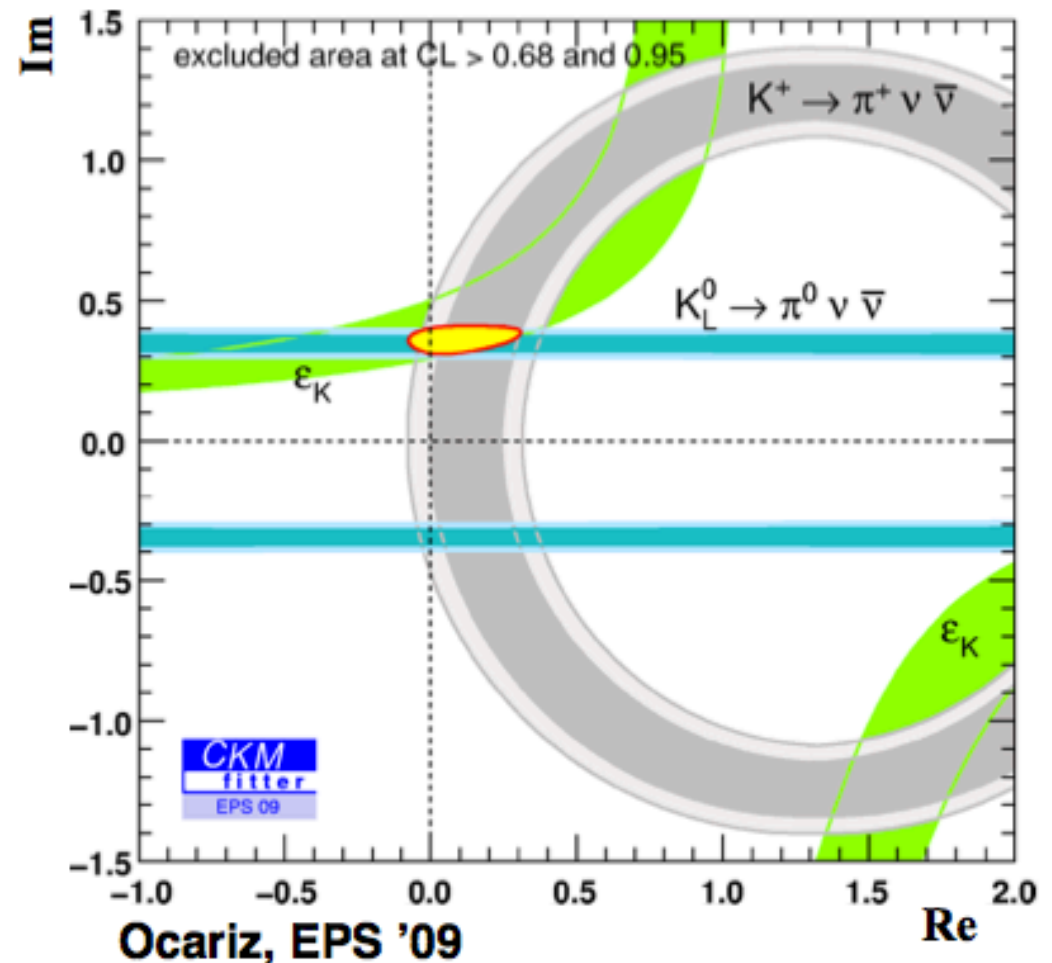
Brod, Gorbahn, Stamou '11

CKM constraints from:

$\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu})$ to $\pm 10\%$

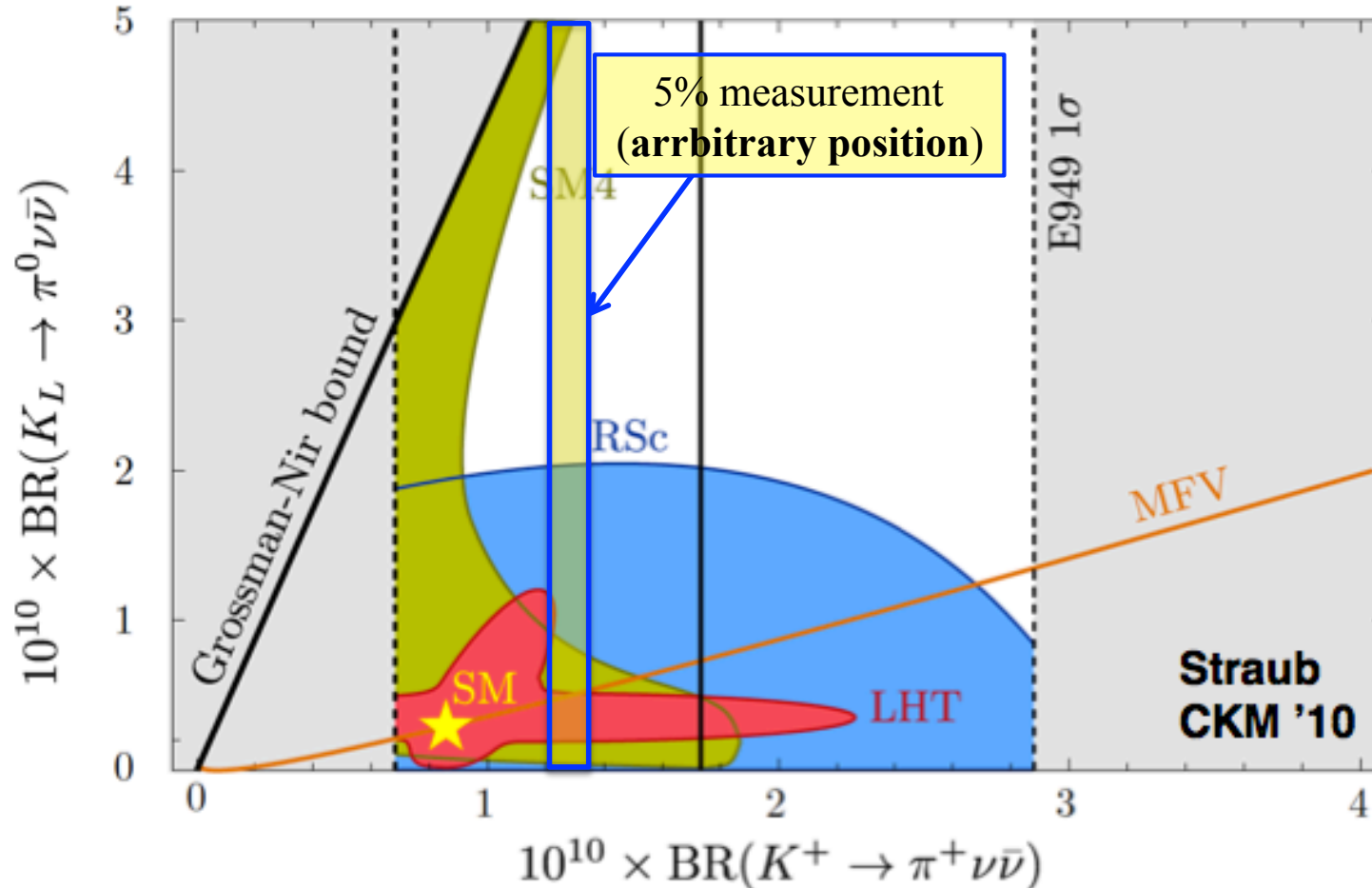
$\text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu})$ to 15%

ϵ_K to resolve ambiguities



$K \rightarrow \pi \nu \bar{\nu}$ and New Physics

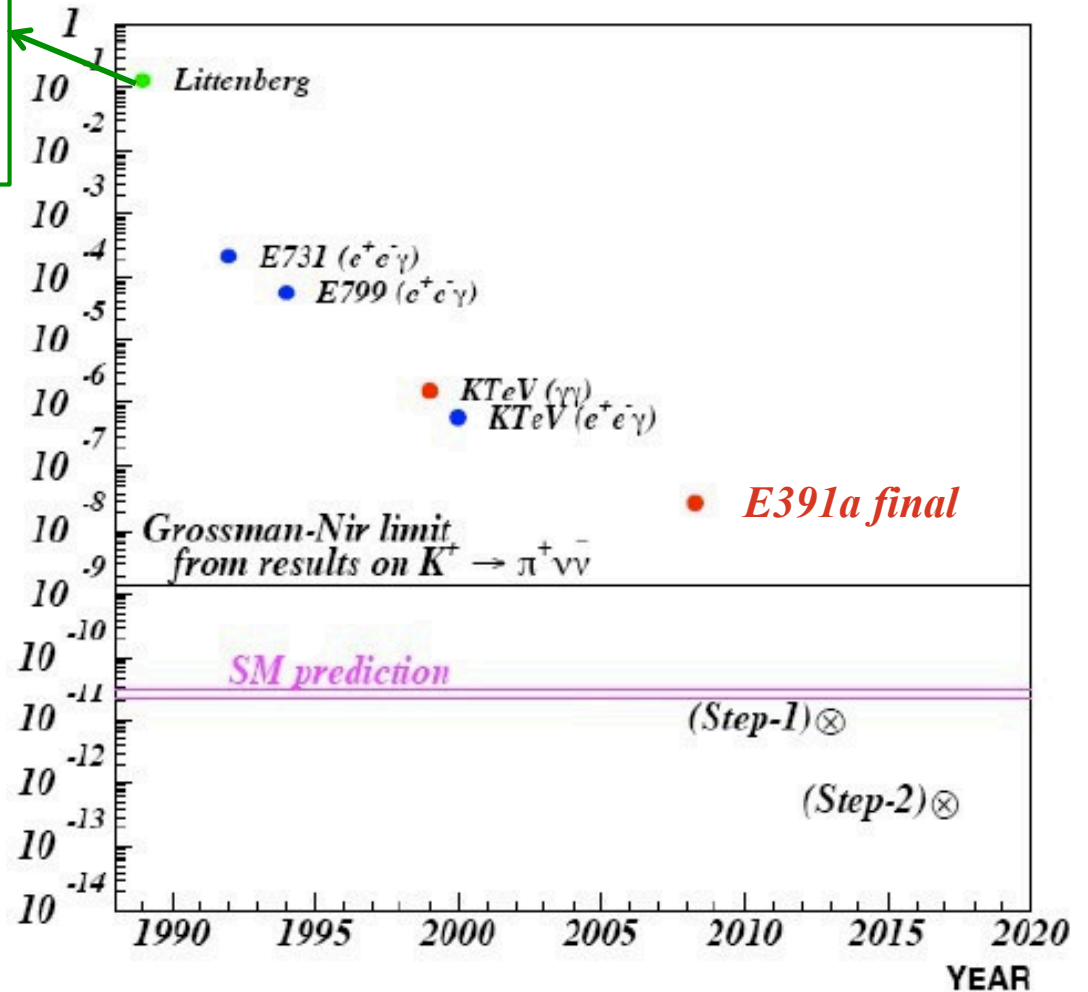
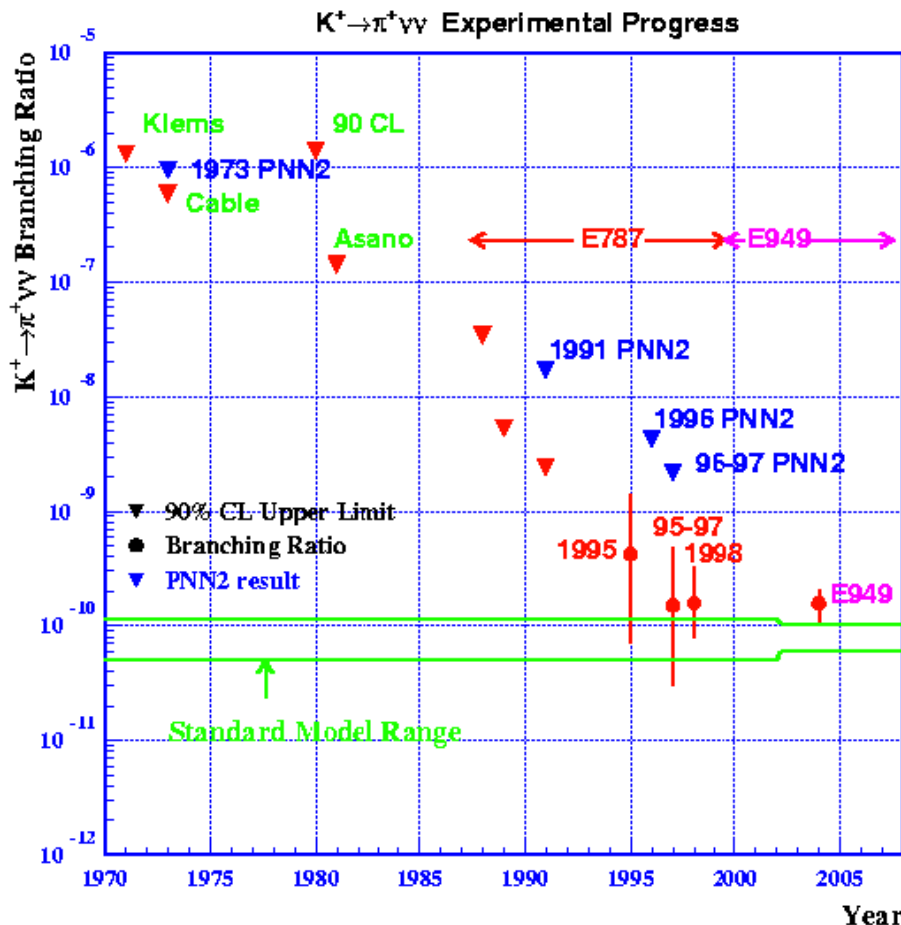
New physics affect BRs differently for different channels
Multiple measurements can discriminate among NP scenarios



SM4: SM with 4th generation (Buras et al. '10) **LHT:** Littlest Higgs with T parity (Blanke '10)
RSc: Custodial Randall-Sundrup (Blanke '09) **MFV:** Minimal flavor violation (Hurth et al. '09)

$K \rightarrow \pi \nu \bar{\nu}$: the long quest

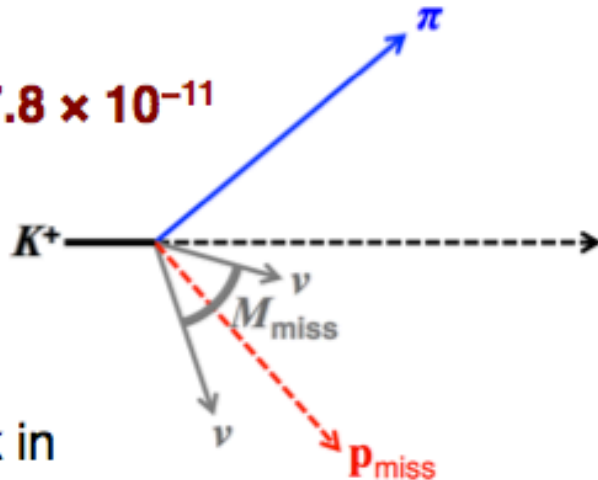
“The best it can be said is that so far nobody demonstrated conclusively that the measurement is impossible”.



Two players in the near future:
NA62 and (probably) ORKA

$K^+ \rightarrow \pi \nu \nu$: signal and background

Signal:
 $BR_{SM} \sim 7.8 \times 10^{-11}$



K track in
 π track out
 No other particles in final state
 $M_{miss}^2 = (p_K - p_\pi)^2$

NA62 goal: Measure BR to 10% \rightarrow 100 signal events
 S/B \sim 10

10^{13} K decays with:
 Acceptance \sim 10%
 Background rejection $\sim 10^{12}$
 Background known to \sim 10%

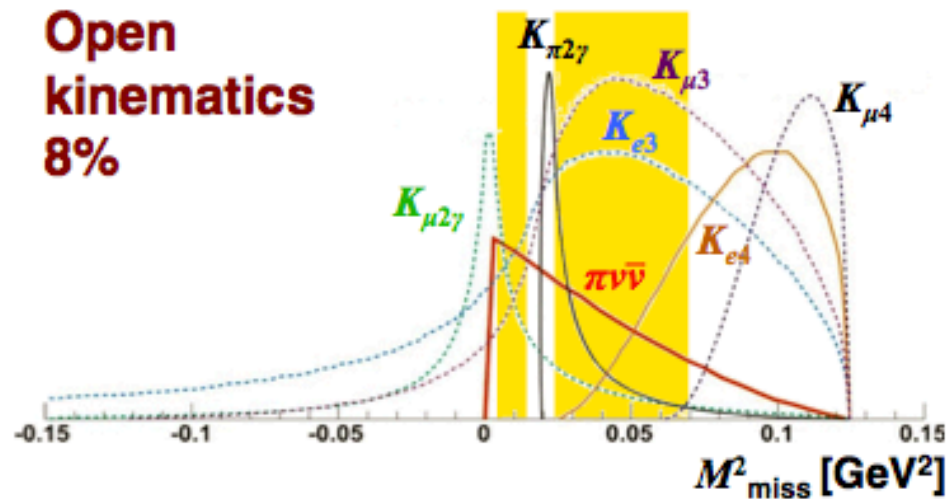
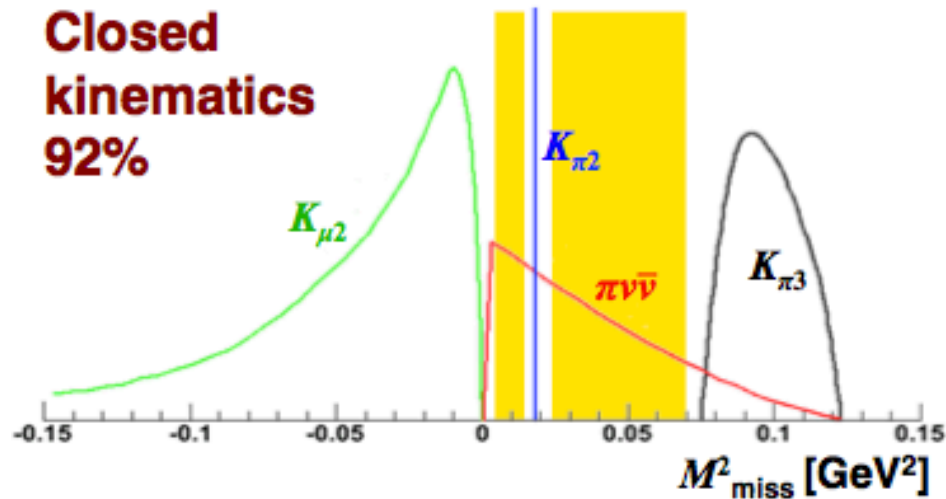
Decay backgrounds

| Mode | BR |
|-------------------------|----------------------|
| $\mu^+ \nu (\gamma)$ | 63.5% |
| $\pi^+ \pi^0 (\gamma)$ | 20.7% |
| $\pi^+ \pi^+ \pi^-$ | 5.6% |
| $\pi^0 e^+ \nu$ | 5.1% |
| $\pi^0 \mu^+ \nu$ | 3.3% |
| $\pi^+ \pi^- e^+ \nu$ | 4.1×10^{-5} |
| $\pi^0 \pi^0 e^+ \nu$ | 2.2×10^{-5} |
| $\pi^+ \pi^- \mu^+ \nu$ | 1.4×10^{-5} |
| $e^+ \nu (\gamma)$ | 1.5×10^{-5} |

Other backgrounds

Beam-gas (DIF)
 Charge exchange (K_{stop})

$K^+ \rightarrow \pi \nu \bar{\nu}$: background rejection



$m^2_{\text{miss}} = 0$ or $m^2_{\pi^0}$ to reject $\mu\nu, \pi\pi^0$ \rightarrow 2 fiducial regions in m^2_{miss}

- High resolution m^2_{miss} reconstruction
- Precise measurement of p_K and p_π
- Minimize multiple scattering

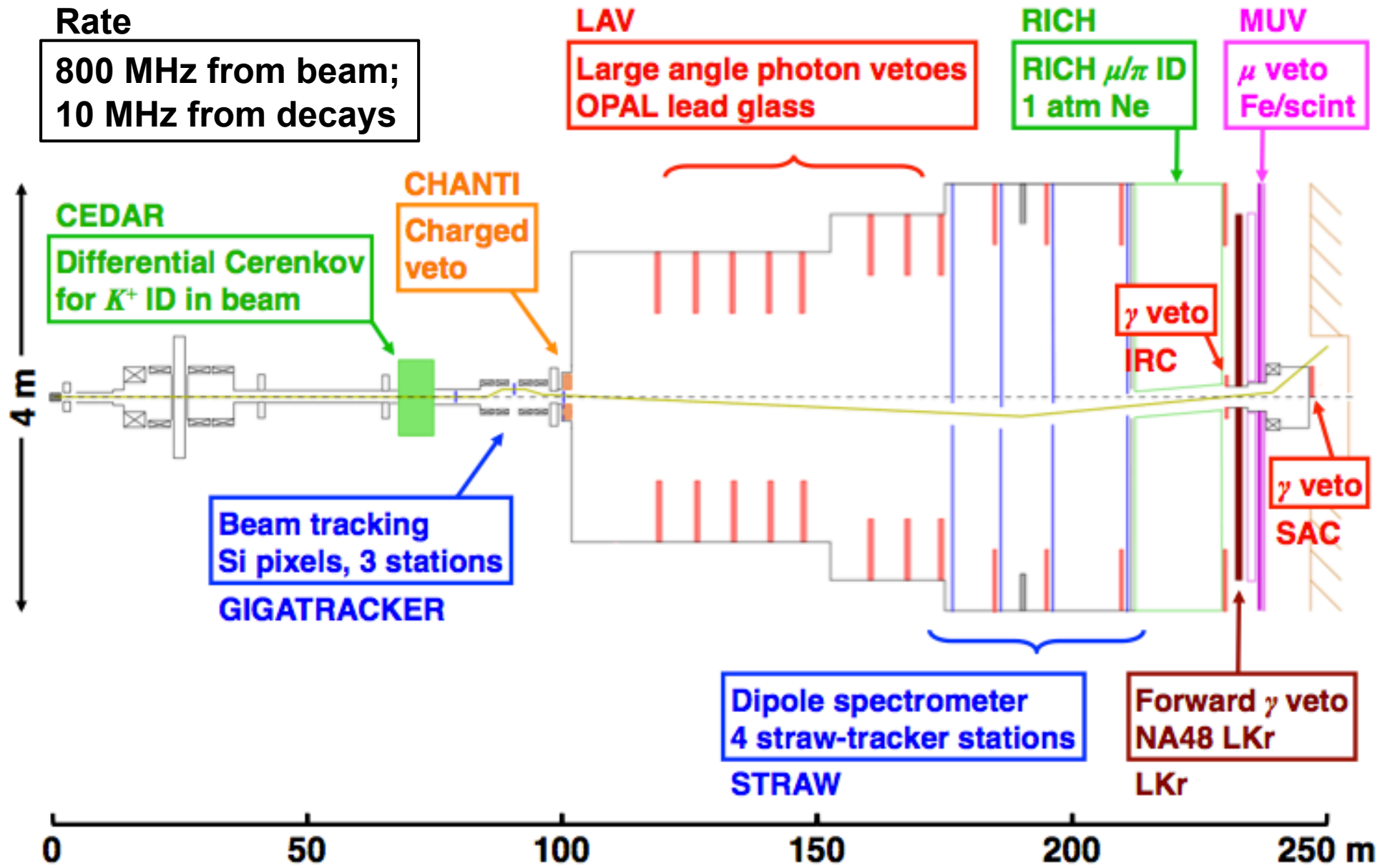
High-rate beam tracker
Low-mass spectrometer in vacuum

Rejection relies on PID and vetoes

- Veto detectors for π^0 rejection
- K^+ identification in hadron beam
- Detectors for π/μ separation

Hermetic γ vetoes
Non-destructive beam ID
Secondary particle ID
Muon vetoes

The experimental setup at the SPS





- Installation of complete detectors started in early 2012
- “Dry run” Aug 2012 to read out installed detectors for the first time
- Technical run Nov-Dec 2012 to take data with 60% of detectors installed
- No beam in 2013 – finish installation of remaining detectors
- 2 years of physics running starting 2014, depending on SPS (LHC)



ORKA: the golden kaon experiment

- ORKA will apply the method and techniques that were demonstrated in BNL E878/E949.
 - ORKA does not assume (or require) better background rejection than E949 achieved.
- ORKA will use existing facilities at Fermilab.
 - Main Injector slow extracted beam to produce kaons.
 - Existing infrastructure (e.g., B0 hall).
 - Existing superconducting magnet (from CDF).
- ORKA will be a modern detector based on the E949 concept.
 - E787 was built in the mid-1980's; E949 was an upgrade in late 1990's.
- ORKA will observe about 1000 $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ events in a few years of running (~ 200 events/yr at SM BF).



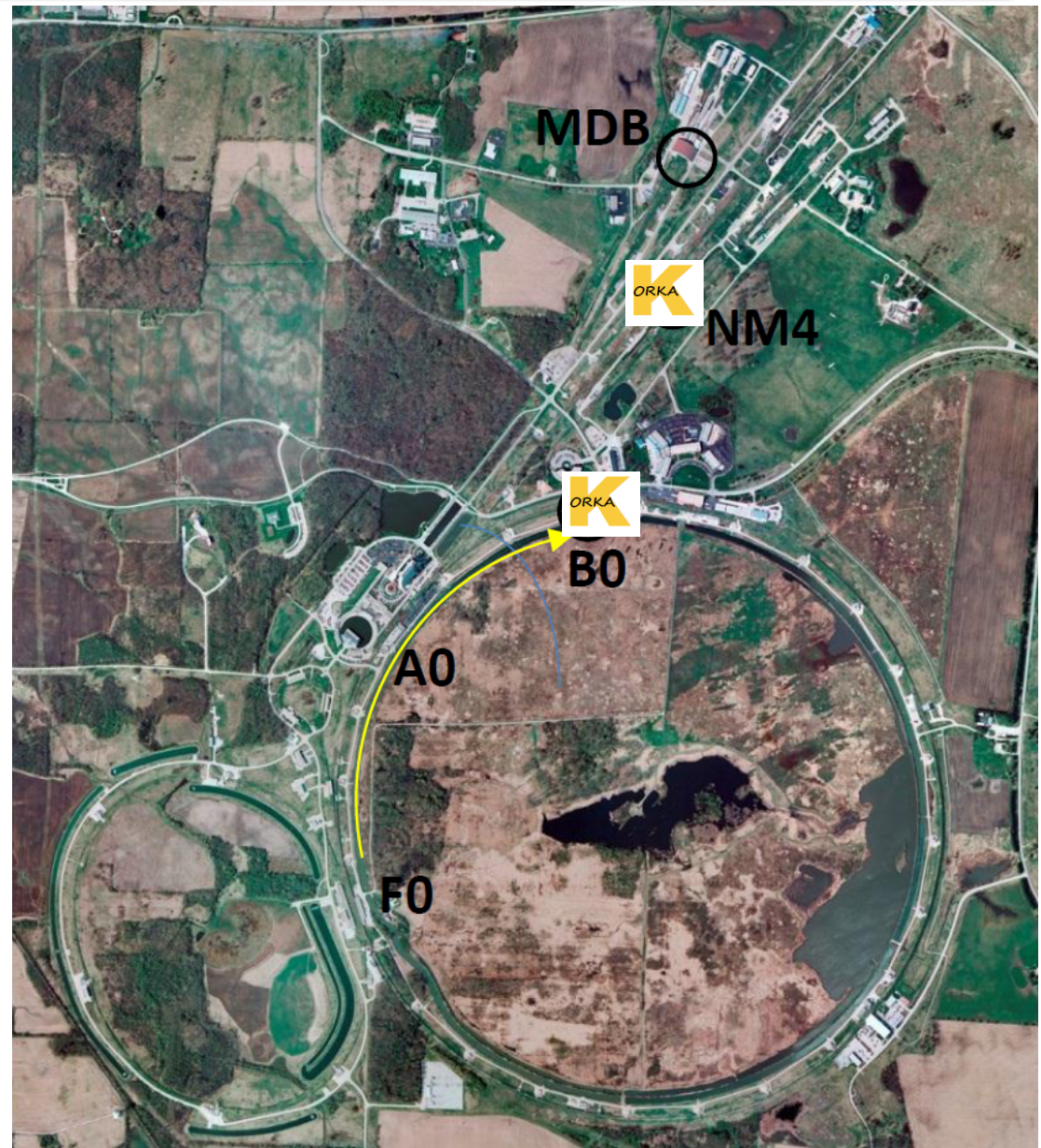
ORKA: sites considered

- **KTeV/Sea-Quest Hall:**

Existing beam transport but not Rad hard; small hall, no magnet and no cryo, existing and possible future Drell-Yan program.

- **CDF(B0) collision hall:**

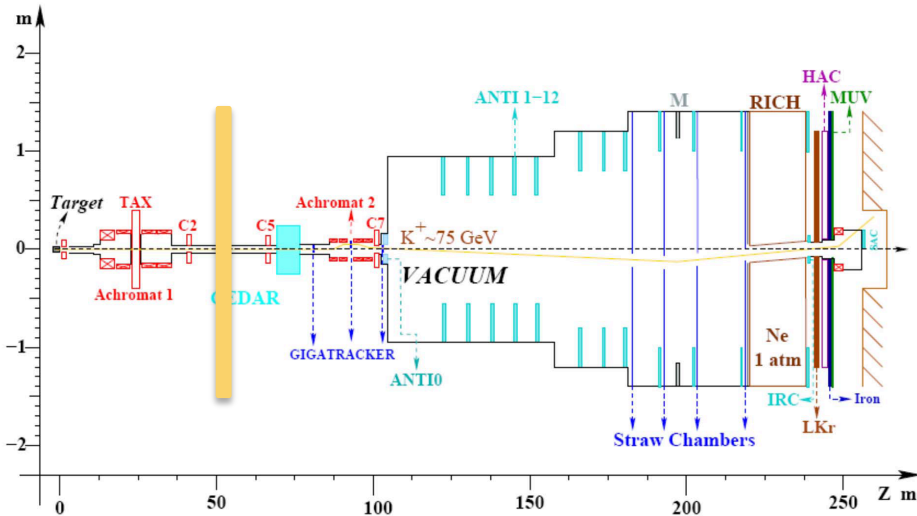
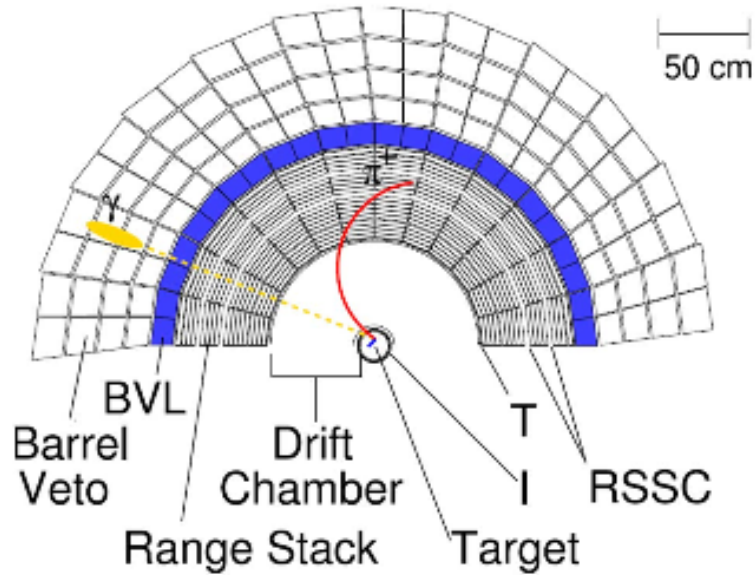
Existing tunnels and hall, Rad hard transport; adequate hall, magnet and cryo, A0->B0 beam-line required.



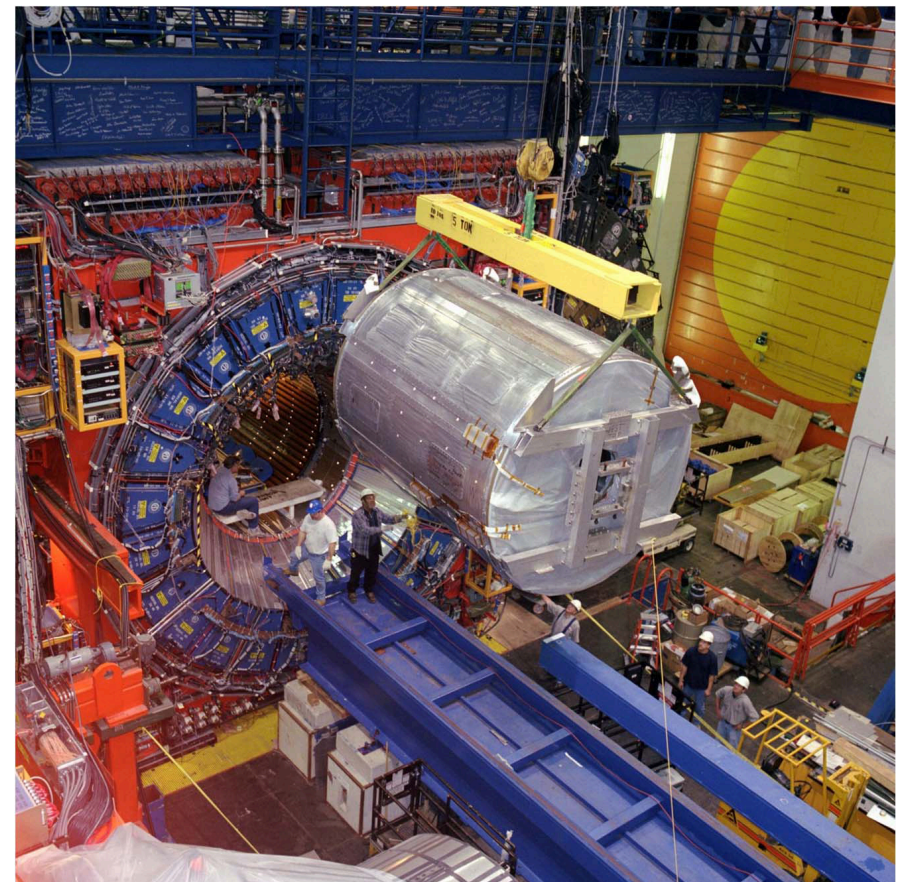


“the detector”

E787/949 End View



The entire ORKA detector (P966 FNAL proposal) will fit within the CDF tracking volume; also, a “tiny section” of NA62.





ORKA: status and timeline

- ORKA (P-1021) received Stage 1 approval from Fermilab in December 2011.
- DOE is cogitating on the issue of “Mission Need” (aka CD-0).

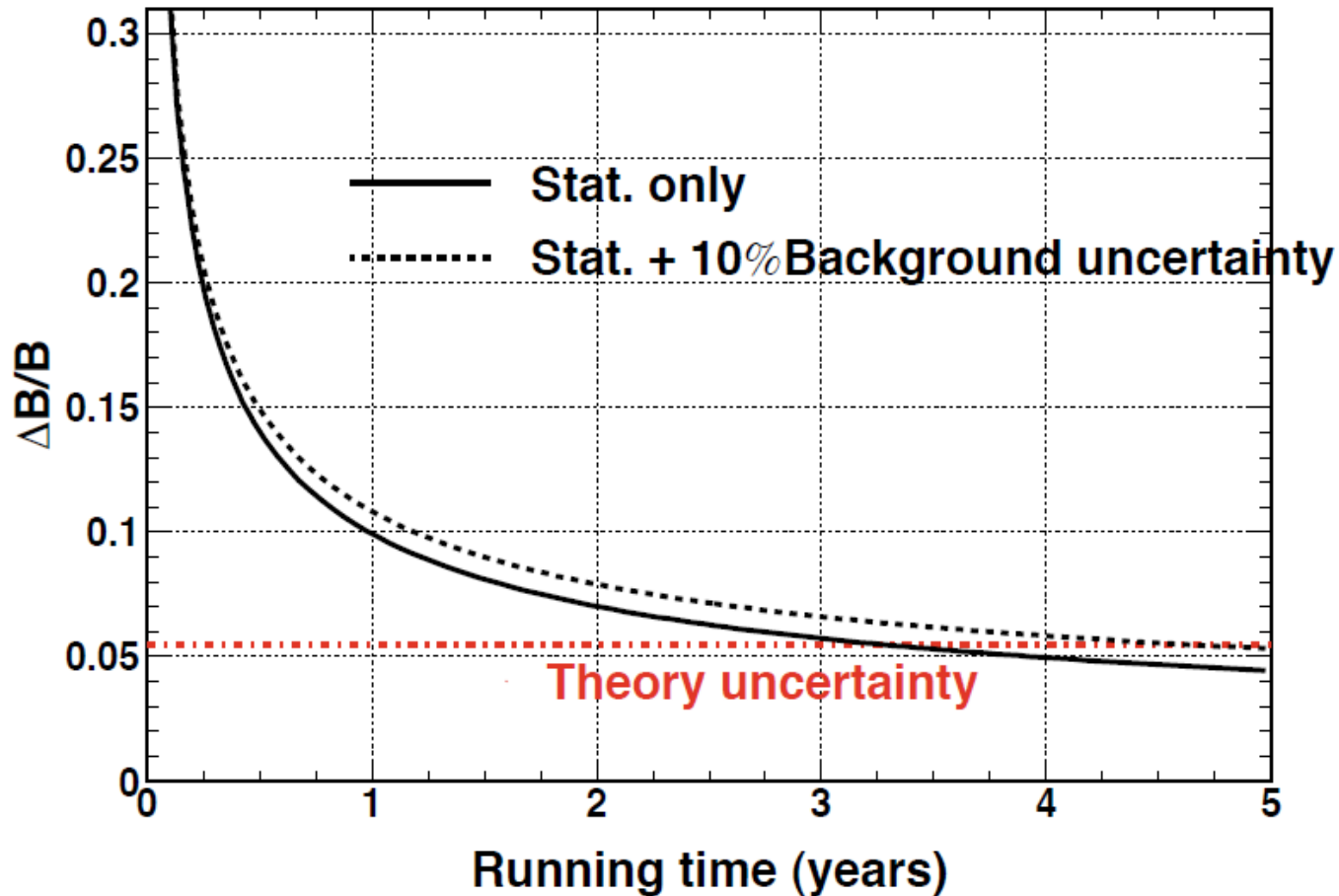
| Milestone/Activity | Time Period |
|-------------------------------------|-------------|
| Stage One Approval | Winter 2012 |
| DOE Approval of Mission Need (CD-0) | Fall 2012 |
| Beam/Detector Design | 2012–2013 |
| Approve Cost Range (CD-1) | early 2013 |
| Baseline Review/CD-2 | End of 2013 |
| Start Construction (CD-3) | Spring 2014 |
| Begin Installation | mid-2015 |
| First Beam/Beam Tests | End of 2015 |
| Complete Installation | Mid-2016 |
| First Data (Start Operations/CD-4) | End of 2016 |

- ORKA could have data in 2017.

J.Ritchie at
CKM2012



P1021 Relative uncertainty on $B(K^+ \rightarrow \pi^+ \nu \bar{\nu})$

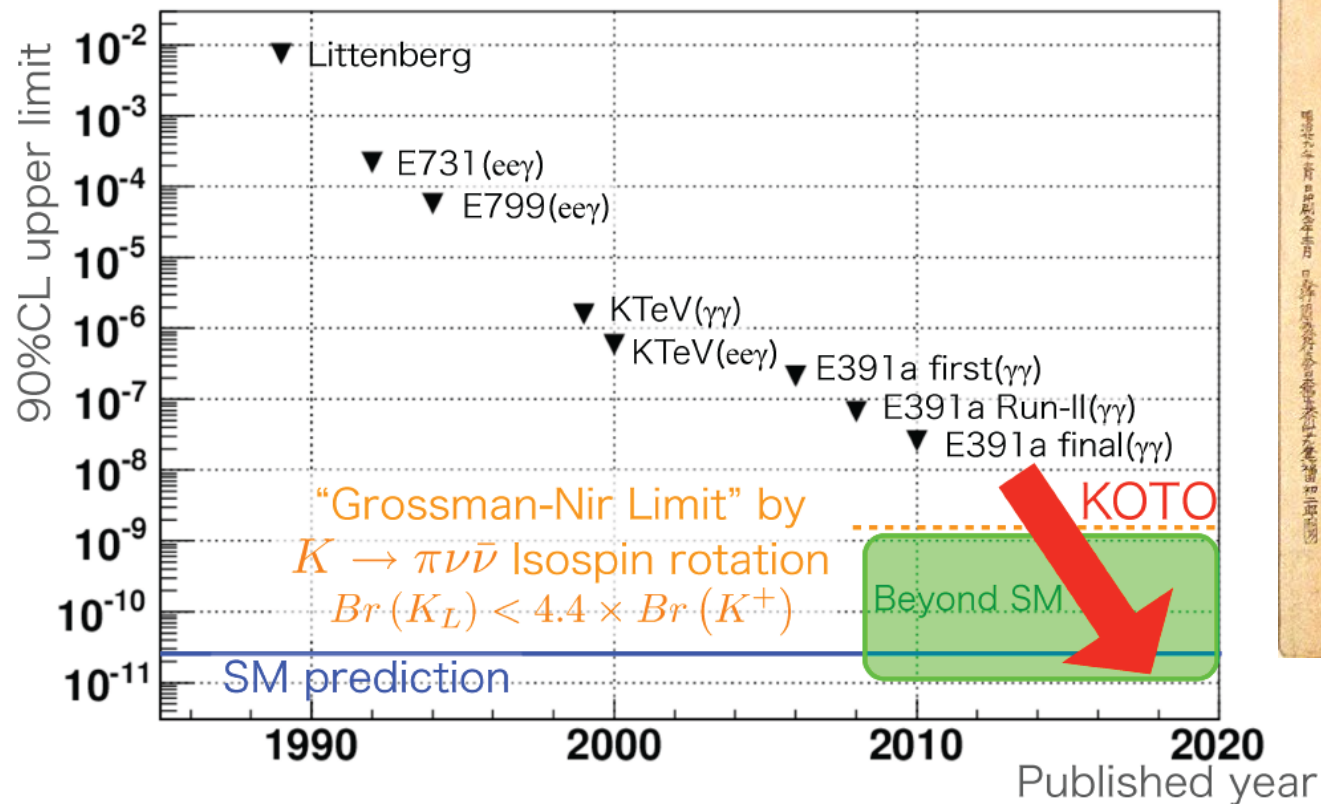


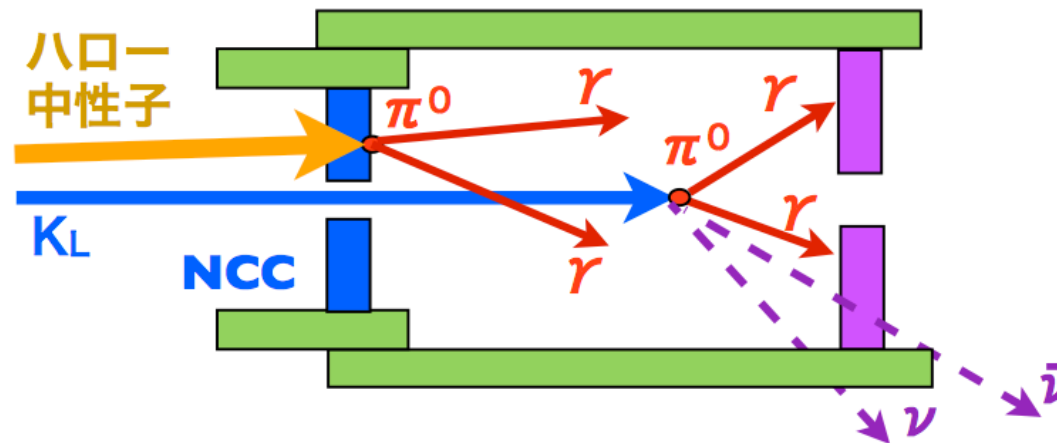
Data taking in 2017 and first results in 2020

Reduce the experimental error to the size of the theory uncertainty.

KOTO $K_L \rightarrow \pi^0 \nu \bar{\nu}$: "Nothing in, nothing out"

The KOTO at J-PARC experiment (E14) aims to capture 100 events at SM branching ratio for a 5% measurement, using the 30 GeV beamline



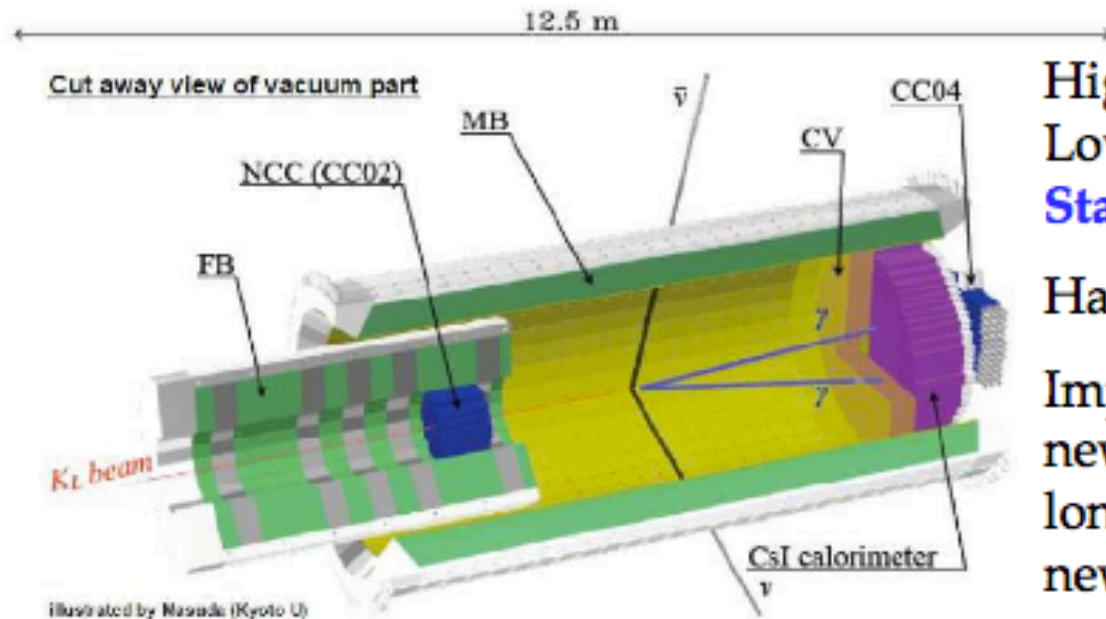


High acceptance detector plus a small hole to allow the “pencil” K_L beam to pass.
 Cover the decay region with hermetic and very low inefficiency photon veto counters.
 Detectors in high vacuum to minimize dead materials.

Selection: require events to have only 2 photons with π^0 invariant mass and large $p_T(\pi^0)$ (corresponding to the momentum carried by the neutrinos).
 Project back from the photon hit positions towards the beam line to determine the K_L position in the decay region.

Eliminate, eliminate, and eliminate backgrounds and unwanted events.

All methods were developed for E391a, and will be extended.



Higher beam intensity, acceptance
 Lower DC, yield (angle):

Statistics: 3000 x E391a

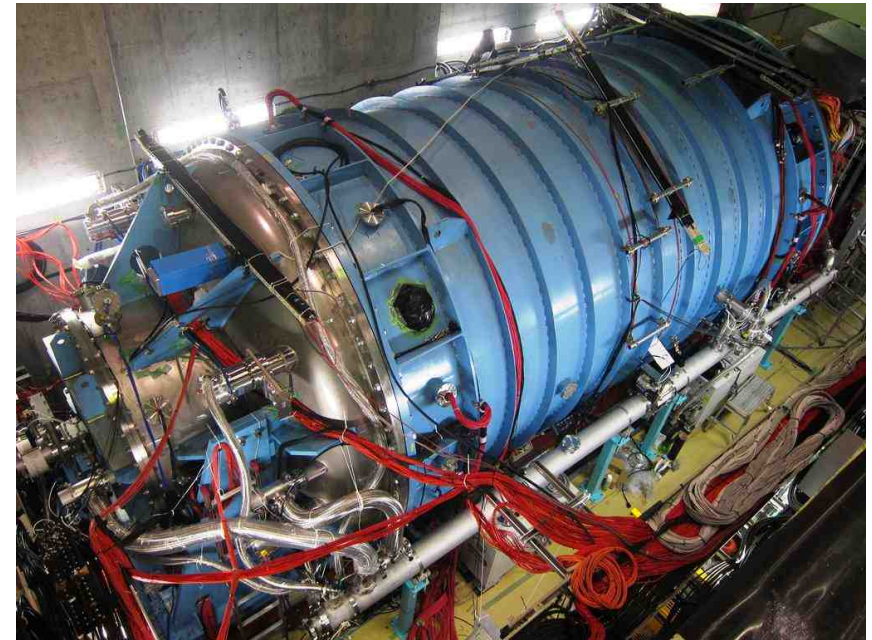
Halo n/K : **240x E391a**: new beam line

Improved **background** control:
 new EM calorimeter (> granularity, longer), new backside charged veto, new beam-hole γ veto (25x Pb/aerogel)

Step 1: SES = 2.7 SM events (3 Snowmass years) with **2.2 background**

Step 2 upgrade: 100 SM events
 (dedicated, smaller targeting angle beam line, larger detector)

66 people, 16 institutions (Japan, Korea, USA, Russia, Taiwan)
 Stage 2 approval, beam line commissioned, in preparation



From the KOTO web-page: the only kaon experiment which is taking data ~NOW! Approaching the G-N limit?

What's New

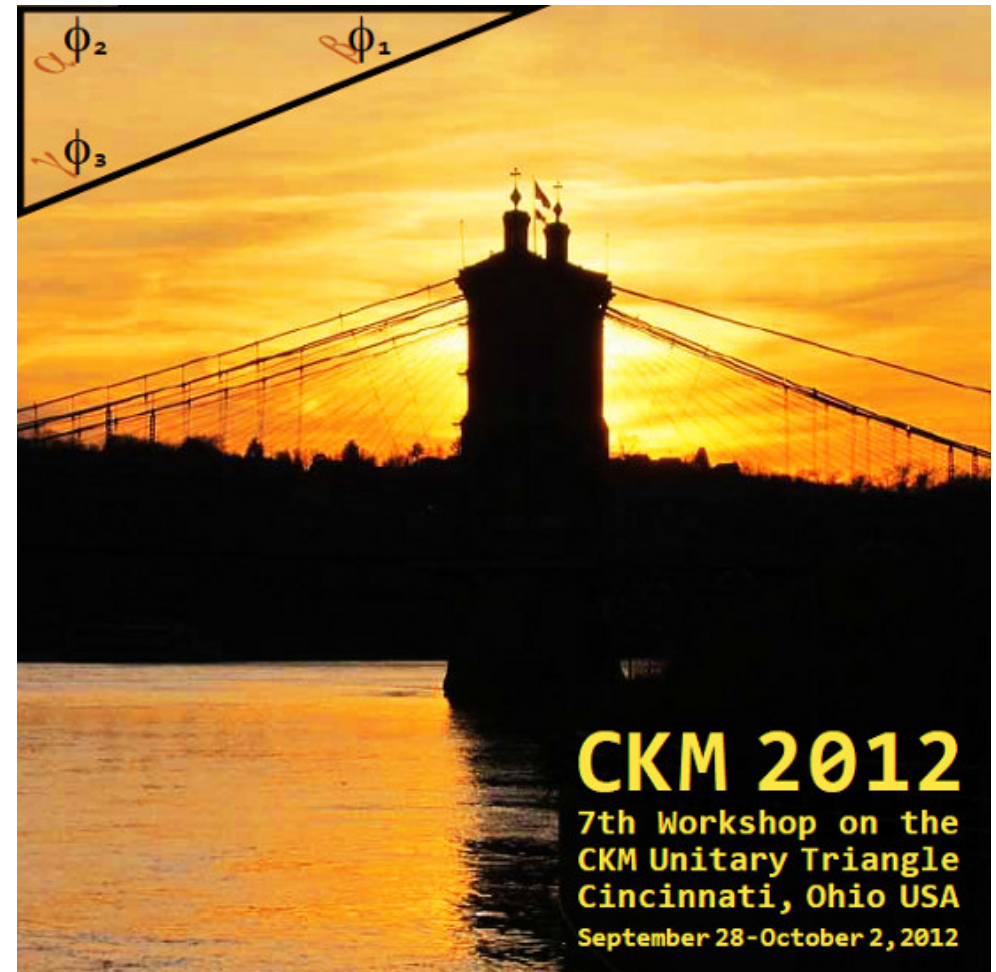
- [March./07/2013] Started the March run.
- [Jan./07/2013-Jan./16/2013] Engineering run in vacuum.
- [Dec./14/2012-Dec./26/2012] Engineering run in air.

From CKM 2012: nothing new?

Unitarity from first row: $|\bar{V}_{ud}|^2 + |\bar{V}_{us}|^2 + |\bar{V}_{ub}|^2 = 1 + \Delta_{\text{CKM}}(\epsilon_i)$
(most precise test of CKM unitarity)

CKM 2010:
 $\Delta = -0.0001(6)$

CKM 2012:
 $\Delta = +0.0001(6)$



Calepino* for the 1st row

(* Calepino = an old and tiring reading volume)

$$\tau_{\mu}^{-1} = \frac{G_F^2 m_{\mu}^5}{192\pi^3} (1 + \Delta_q)$$

Fermi constant

$$|V_{ud}|^2 = \frac{K}{\mathcal{F}t G_F^2 M_F^2 (1 + \Delta_R^V)}$$

Superallowed Fermi transitions

$$|V_{ud}|^2 = \frac{K_{1/2}}{\mathcal{F}t (1 + \rho f_A / f_V)}$$

Mirror decays

$$\tau_n^{-1} = \frac{G_F^2}{2\pi^3} m_l^5 |V_{ud}|^2 (1 + 3\lambda^2) f (1 + \text{RC})$$

Neutron lifetime

Semileptonic decays

$$\Gamma_{KI3} = \frac{G_F^2 M_K^5}{192\pi^3} C_K S_{EW} |V_{us} f_+(0)|^2 I_{KI} \left\{ 1 + \delta_{EM}^{KI} + \delta_{SU(2)}^{K\pi} \right\}$$

Leptonic decays

$$\frac{\Gamma_{KI2(\gamma)}}{\Gamma_{\pi I2(\gamma)}} = \frac{|V_{us}|^2 f_K^2 (1 - m_l^2 / M_{K^\pm}^2)^2}{|V_{ud}|^2 f_\pi^2 (1 - m_l^2 / M_{\pi^\pm}^2)^2} \left\{ 1 + \delta_{EM} + \delta_{SU(2)} \right\}$$

τ hadrons decays

$$|V_{us}| = \frac{R_{\tau,S}}{R_{\tau,NS} / |V_{ud}|^2 - \delta R_\tau}, \quad R = \frac{\Gamma(\tau \rightarrow \nu_\tau + \text{hadrons})}{\Gamma(\tau \rightarrow \nu_\tau e \bar{\nu}_e)}, \quad \delta R = \frac{R_{\tau,NS}}{|V_{ud}|^2} - \frac{R_{\tau,S}}{|V_{us}|^2}$$

V_{us}: a bit of history

- **2002** (2004 PDG) **Old $K_{\ell 3}$ data give $1 - |V_{ud}|^2 - |V_{us}|^2 = 0.0035(15)$**
A 2.3σ hint of unitarity violation?
- 2003** **BNL 865 measures $\text{BR}(K^+ \rightarrow \pi^0 e^+ \nu) = 5.13(10)\%$**
Value for V_{us} consistent with unitarity
- 2004-present** **Many new measurements from KTeV, ISTRA+, KLOE, NA48**
- **BRs, lifetimes, form-factor slopes**
 - **Much higher statistics** than older measurements
 - Importance of **radiative corrections**
 - Proper reporting of **correlations** between measurements
- 2008-beyond** **Much progress on hadronic constants from lattice QCD**
Value of V_{us} used in precision tests of the Standard Model

Experiment, theory, and evaluation

V_{us} from $K_{\ell 3}$ & $K_{\ell 2}$ {
~100 measurements of ~10 experimental parameters
~20 lattice evaluations of 2 hadronic matrix elements
Radiative and SU(2)-breaking corrections, ChPT results, etc.



2006-2010 (EU 6FP)
<http://www.lnf.infn.it/wg/vus>

Experimental averages, fits, etc
Selection of results (lattice, ChPT, experiments)
Evaluation, discussion and interpretation
Final report: EPJC 69 (2010) 399

Corresponding efforts to synthesize results from lattice QCD (beyond V_{us})

FLAG

<http://itpwiki.unibe.ch/flag>
Active since 2008 (Europe)

FlaviaNet Lattice Averaging Group
LECs, quark masses, V_{us}
EPJC 69 (2010) 399

LLVdW

www.latticeaverages.org
Active since 2009 (USA)

Includes hadronic constants for
B physics, CKM fits, etc.
PRD 81 (2010) 034503

FLAG-2

Active since May 2012
Europe + USA + Japan
Participation by all major
lattice collaborations
Expanded physics scope

Modern Vus inputs

| Experiment | Measurement | Year |
|---------------|---|-------------|
| BNL865 | $\text{BR}(K^+ \rightarrow \pi^0_{\text{D}} e^+ \nu) / \text{BR}(K^+ \rightarrow \pi^0_{\text{D}} X^+)$ | 2003 |
| KTeV | $\tau(K_S)$ | 2003 |
| | $\text{BR}(K_{Le3}), \text{BR}(K_{L\mu3}), \lambda_+(K_{Le3}), \lambda_{+,0}(K_{L\mu3})$ | 2004 |
| ISTRA+ | $\lambda_+(K^-_{e3}), \lambda_{+,0}(K^-_{e3})$ | 2004 |
| KLOE | $\tau(K_L)$ | 2005 |
| | $\text{BR}(K_{Le3}), \text{BR}(K_{L\mu3}), \text{BR}(K_{Se3}), \lambda_+(K_{Le3})$ | 2006 |
| | $\lambda_{+,0}(K_{L\mu3})$ | 2007 |
| | $\tau(K^\pm), \text{BR}(K_{Le3}), \text{BR}(K_{L\mu3})$ | 2008 |
| NA48 | $\tau(K_S)$ | 2002 |
| | $\text{BR}(K_{Le3}/2 \text{ tracks}), \lambda_+(K_{Le3})$ | 2004 |
| | $\text{BR}(K_{Se3}/K_{Le3}), \lambda_{+,0}(K_{L\mu3})$ | 2007 |
| NA48/2 | $\text{BR}(K^+_{e3}/\pi^+\pi^0), \text{BR}(K^+_{\mu3}/\pi^+\pi^0)$ | 2007 |

Input data of the 2010 FlaviaNET final report

Update: K_S lifetime

FlaviaNet WG

$$\tau_S = 89.59(6) \text{ ps}$$

KLOE
EPJC 71 (2011)

$$\tau_S = 89.562(29)(43) \text{ ps}$$

- $2 \times 10^7 K_S \rightarrow \pi^+ \pi^-$ decays from 0.4 fb^{-1} '04 data
- Tight track quality cuts & geometric fit
- $\sigma(L_K) \sim 0.22\text{-}0.27 \lambda_S$ (1.3-1.6 mm)
Measured for 180 bins in (θ_K, ϕ_K)

KTeV
PRD 83 (2011)

$$\tau_S = 89.589(42)(56) \text{ ps}$$

$$\text{Re } \varepsilon'/\varepsilon = (21.10 \pm 3.43) \times 10^{-6}$$

- New analysis of $\text{Re } \varepsilon'/\varepsilon$ with improved Monte Carlo
- From fit to z_{vertex} distribution for regenerator beam without assuming CPT
- Result for $\text{Re } \varepsilon'/\varepsilon$ averaged with NA48 '02 and used to constrain $\text{BR}(K_L \rightarrow \pi^0 \pi^0)/\text{BR}(K_L \rightarrow \pi^+ \pi^-)$ in K_L fit

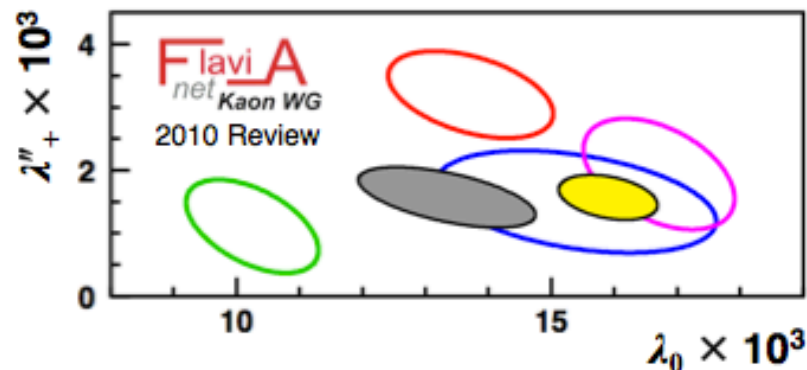
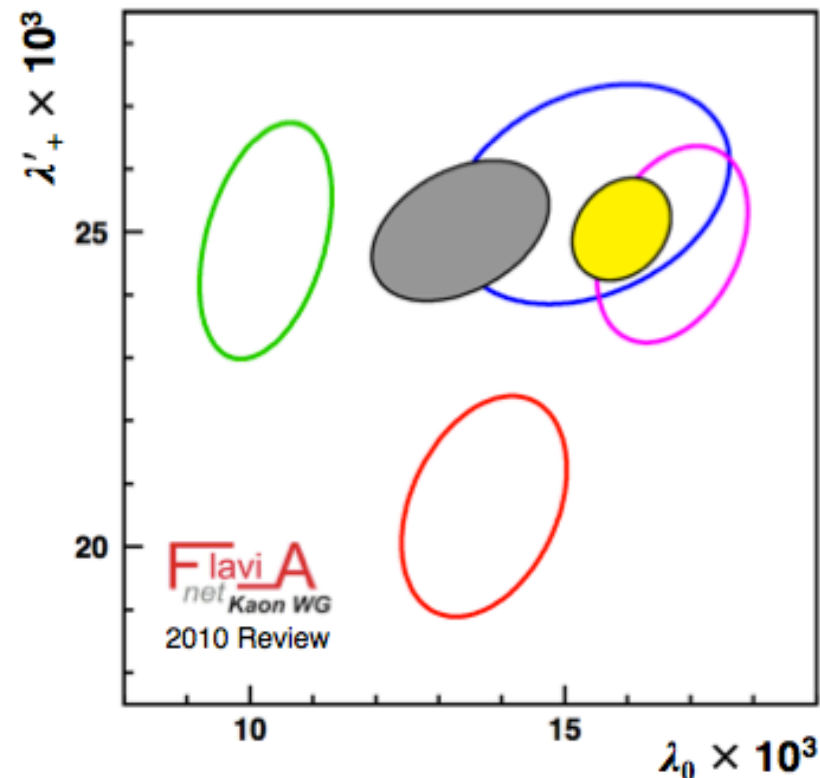
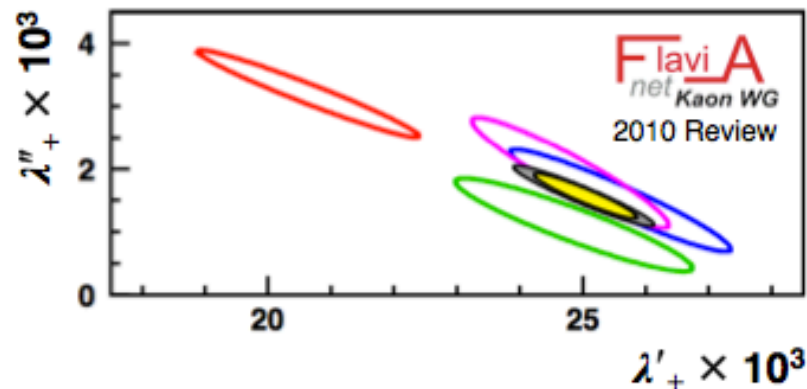
FlaviaNet WG

$$\tau_S = 89.58(4) \text{ ps}$$

New NA48/2 FF: solve tension in data set

Fits to $K_{e3} + K_{\mu3}$ form-factor slopes: 2010

KTeV
KLOE
ISTRA+
NA48
2010 fit (all)
2010 fit (no $K_{\mu3}$ NA48)

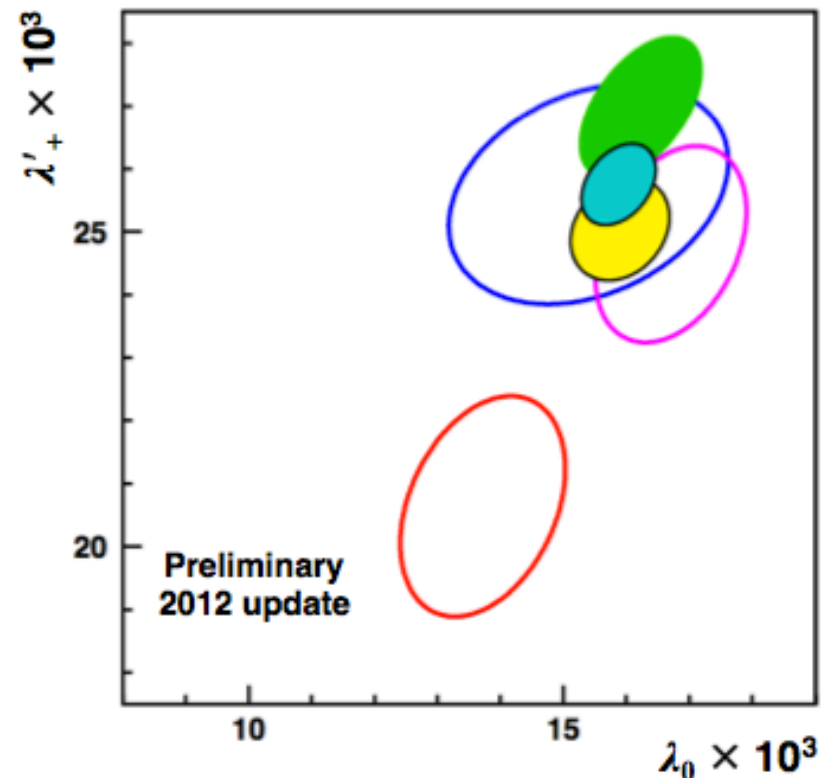
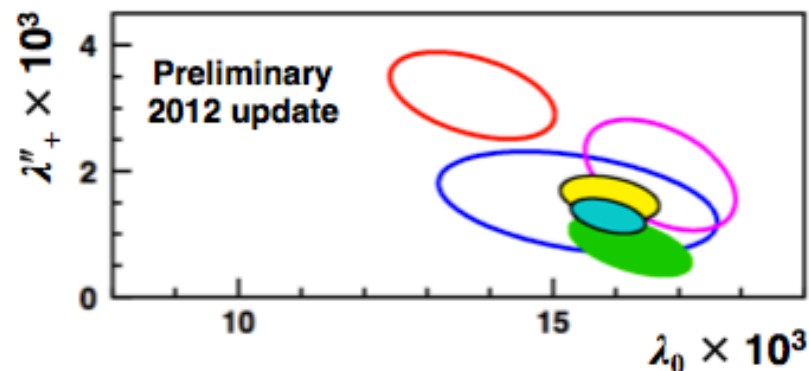
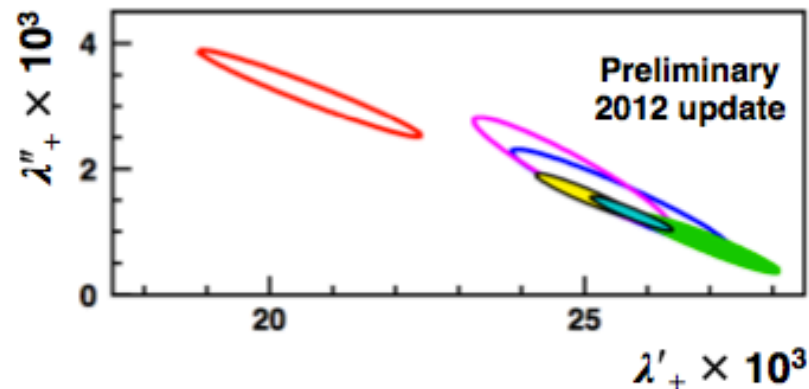


All data: $\chi^2 = 48/9$ ($P = 3 \times 10^{-7}$)
No NA48 $K_{\mu3}$: $\chi^2 = 12.1/8$ ($P = 14.5\%$)

New NA48/2 FF: solve tension in data set

Fits to $K_{e3} + K_{\mu3}$ form-factor slopes: Update

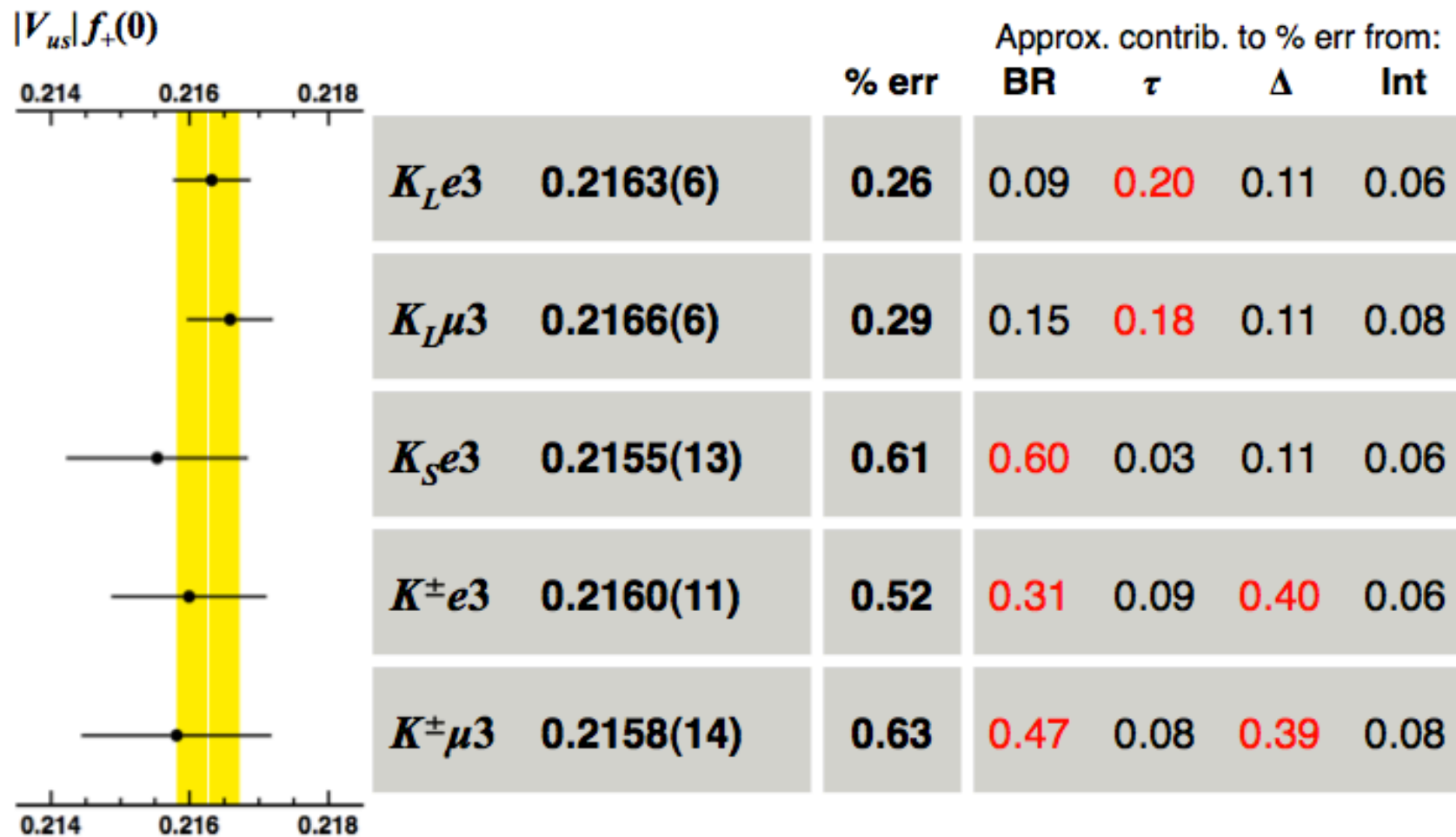
KTeV KLOE ISTRA+ NA48/2 '12 prel 2010 fit Update



2010: $\chi^2 = 12.1/8$ ($P = 14.5\%$) Update: $\chi^2 = 14.3/11$ ($P = 22.0\%$)

V_{us} from K semileptonic decays: CKM 2010

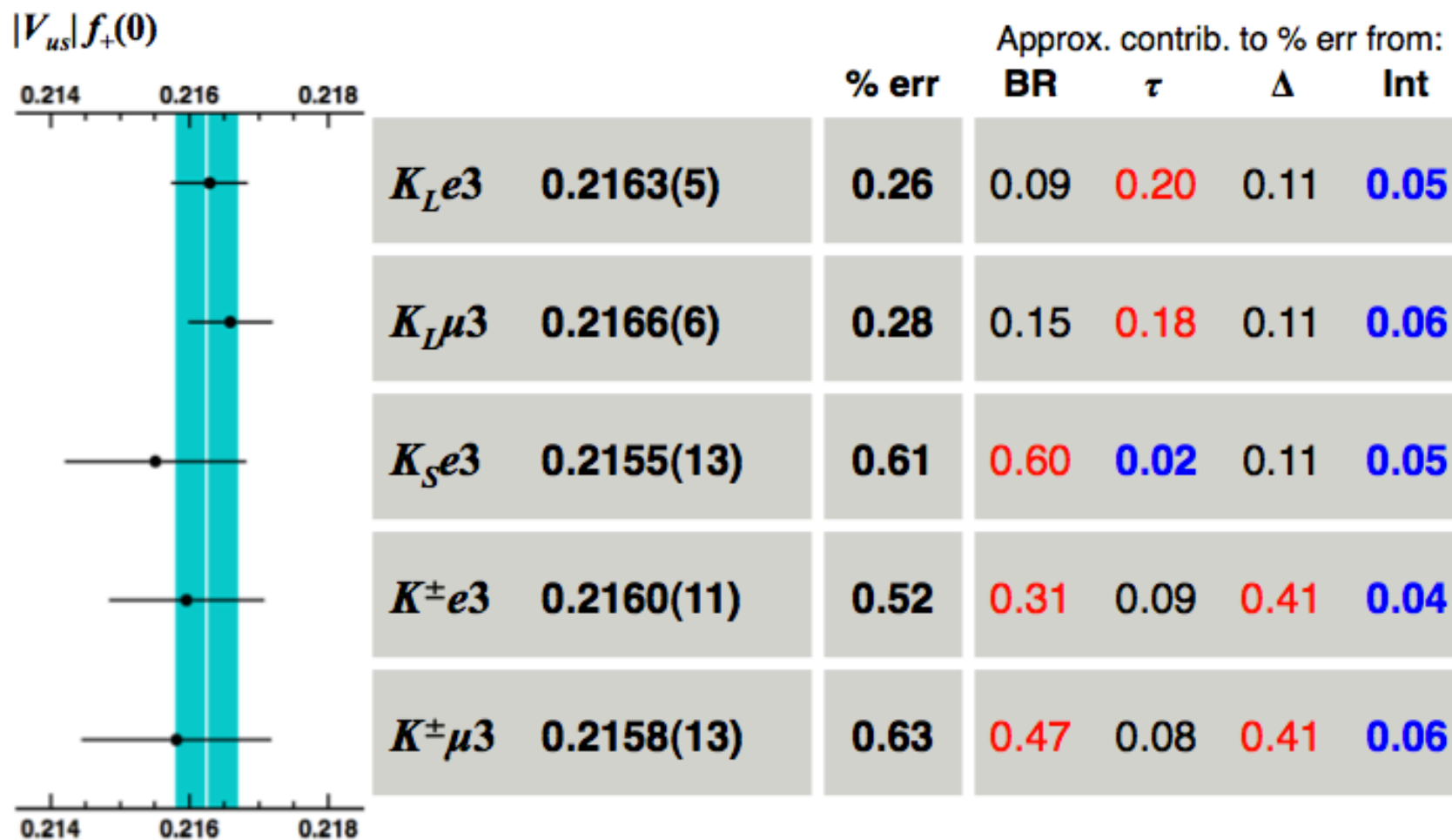
$|V_{us}|f_+(0)$ from world data: 2010



Average: $|V_{us}|f_+(0) = 0.2163(5)$ $\chi^2/\text{ndf} = 0.77/4$ (94%)

2012: new τ_S (KLOE, KTeV) and FF (NA48)

$|V_{us}|f_+(0)$ from world data: Update



Average: $|V_{us}|f_+(0) = 0.2163(5)$ $\chi^2/\text{ndf} = 0.84/4$ (93%)

Accuracy of SU(2)-breaking correction

$$\Delta^{SU(2)} \equiv \frac{f_+(0)^{K^+\pi^0}}{f_+(0)^{K^0\pi^-}} - 1$$

Strong isospin breaking
Quark mass differences, η - π^0 mixing in $K^+\pi^0$ channel

$$= \frac{3}{4} \left(\frac{m_d^2 - m_u^2}{m_s^2 - \hat{m}^2} \right) \left[\frac{m_K^2}{m_\pi^2} + \frac{\chi_{p^4}}{2} \left(1 + \frac{m_s}{\hat{m}} \right) \right]$$

= **+2.9(4)%** Kastner & Neufeld '08, used to calculate $|V_{us}|f_+(0)$
Quark mass ratio from Ananthanaryan & Moussalam '04

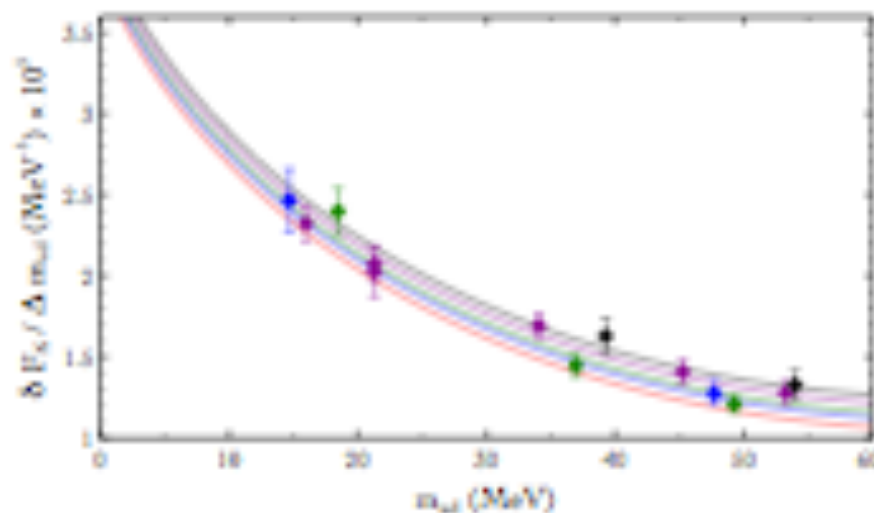
Equality of V_{us} values from K^\pm and K^0 data would require $\Delta^{SU(2)} = 2.73(41)\%$

Uncertainty on $\Delta^{SU(2)}$ a major contributor to uncertainties for determination of V_{us} for K^\pm decays

Observed value of $\Delta^{SU(2)}$ can be related to quark-mass ratios

Isospin corrections from lattice

- At present one of the dominant (theoretical) source of error in K+13
- Soon needed also for K12/π12: the error on $f_K/f_\pi \sim 0.5\%$, comparable with the SU(2) correction value.
- More accurate calculation needed: non-perturbative method, LQCD
- **new collaboration (RM123) set up on purpose**; new general method (calculate $O(m_d-m_u)$ effects $O((m_d-m_u)^2)$ negligible, to be applied to many observables) first results obtained look very promising.
- reasonably consistent with χ PT calculations
- calculation for $f^+(0)$ is on going (more involved, many diagrams)



$$\left[\frac{F_{K^+}/F_{\pi^+}}{F_K/F_\pi} - 1 \right]^{QCD} = -0.0039(3)(2): \text{LQCD}$$

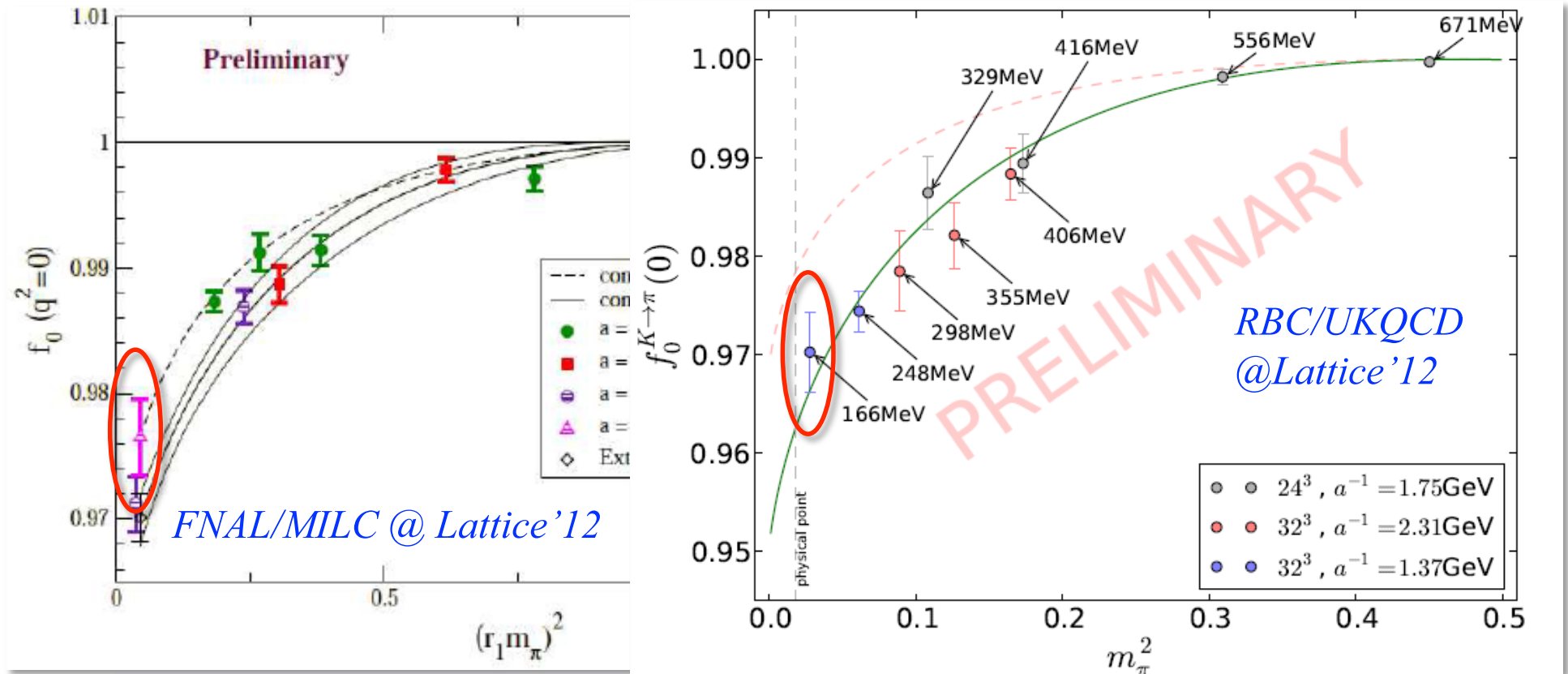
$$\epsilon_\gamma = 0 \quad -0.0032(3): \text{LQCD}, \epsilon_\gamma=0$$

to be compared with

$$\left[\frac{F_{K^+}/F_{\pi^+}}{F_K/F_\pi} - 1 \right]^{\chi PT} = -0.0022(6): \chi PT$$

K semileptonic decays: lattice

$$\Gamma_{KI3} = \frac{G_F^2 M_K^5}{192\pi^3} C_K S_{EW} |V_{us} f_+(0)|^2 I_{KI} \left\{ 1 + \delta_{EM}^{KI} + \delta_{SU(2)}^{K\pi} \right\}$$



simulation around physical m_{ud} eliminate the error due chiral extrapolation

K semileptonic decays: lattice

Error budget

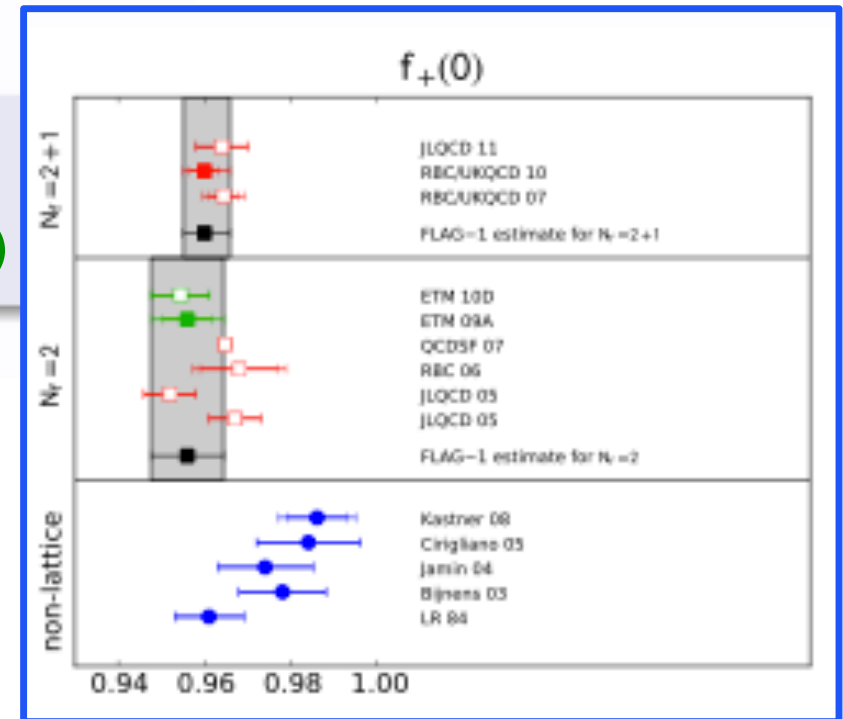
typical result RBC-UKQCD Phys. Rev. Lett. 2008

$$f_+^{K\pi}(0) = 0.9644(33)^{\text{stat}}(34)^{q^2,x}(14)^{\text{a}}$$

$$|V_{us}^{K\pi}| = 0.2242 \quad \begin{matrix} (5)^{\text{f}} \\ (0.2\%)^{\text{f}} \end{matrix} \quad \begin{matrix} (8)^{\text{stat}} \\ (0.3\%)^{\text{stat}} \end{matrix} \quad \begin{matrix} (8)^{q^2,x} \\ (0.4\%)^{q^2,x} \end{matrix} \quad \begin{matrix} (3)^{\text{a}} \\ (0.1)^{\text{a}\%} \end{matrix}$$

Dominant systematic errors removed:

- q^2 -interpolation (twisted boundary condition)
- chiral extrapolation at the physical point



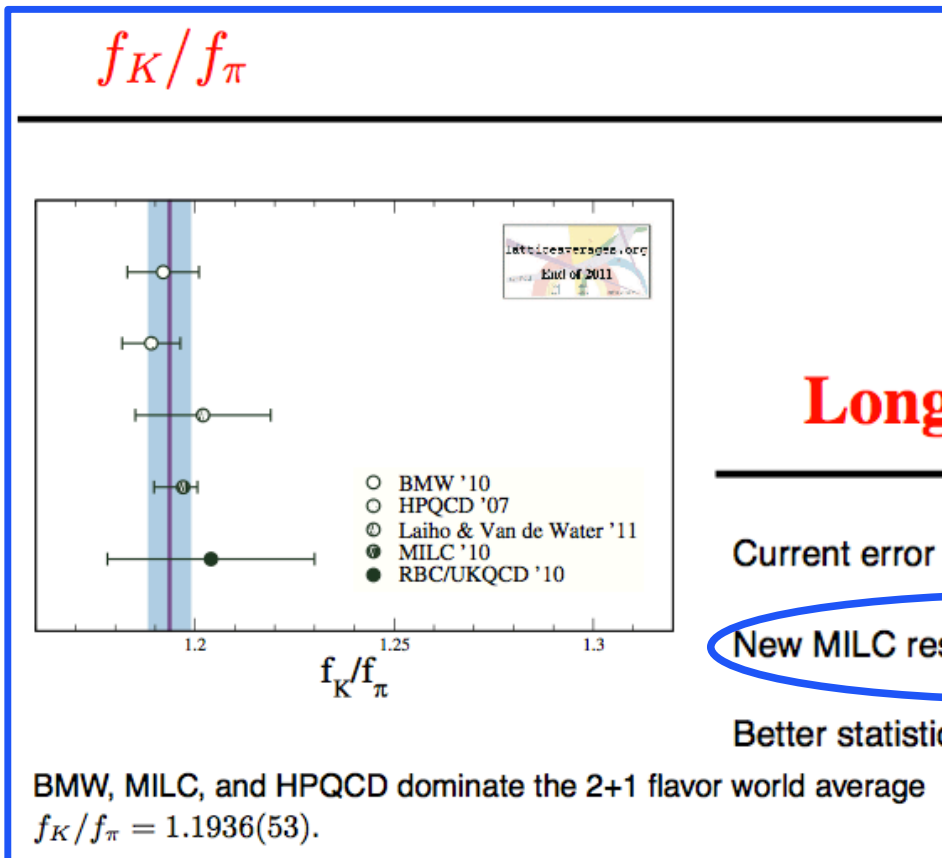
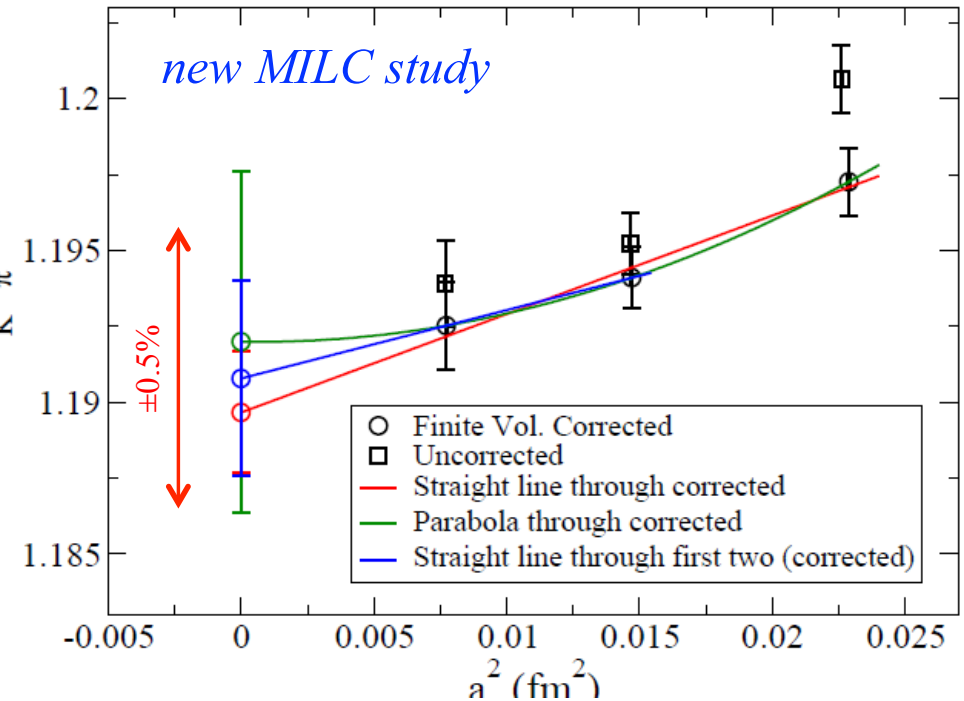
QCD-part in most simulation **statistical limited** (“good point”: can be improved!)

A lot of work [ETM, JLQCD, MILC, and RBC.UKQCD] improves previous results: hopefully soon published!

FLAG-2 review is coming.

Leptonic decays

$$\frac{\Gamma_{K|2(\gamma)}}{\Gamma_{\pi|2(\gamma)}} = \frac{|V_{us}|^2}{|V_{ud}|^2} \frac{f_K^2}{f_\pi^2} \frac{(1 - m_l^2/M_{K^\pm}^2)^2}{(1 - m_l^2/M_{\pi^\pm}^2)^2} \left\{ 1 + \delta_{EM} + \delta_{SU(2)} \right\}$$



Longer term

Current error on world average is 0.44%.

New MILC result will have error $\sim 0.25\%$.

Better statistics and a finer lattice spacing should help reduce this even further.

Unitarity test

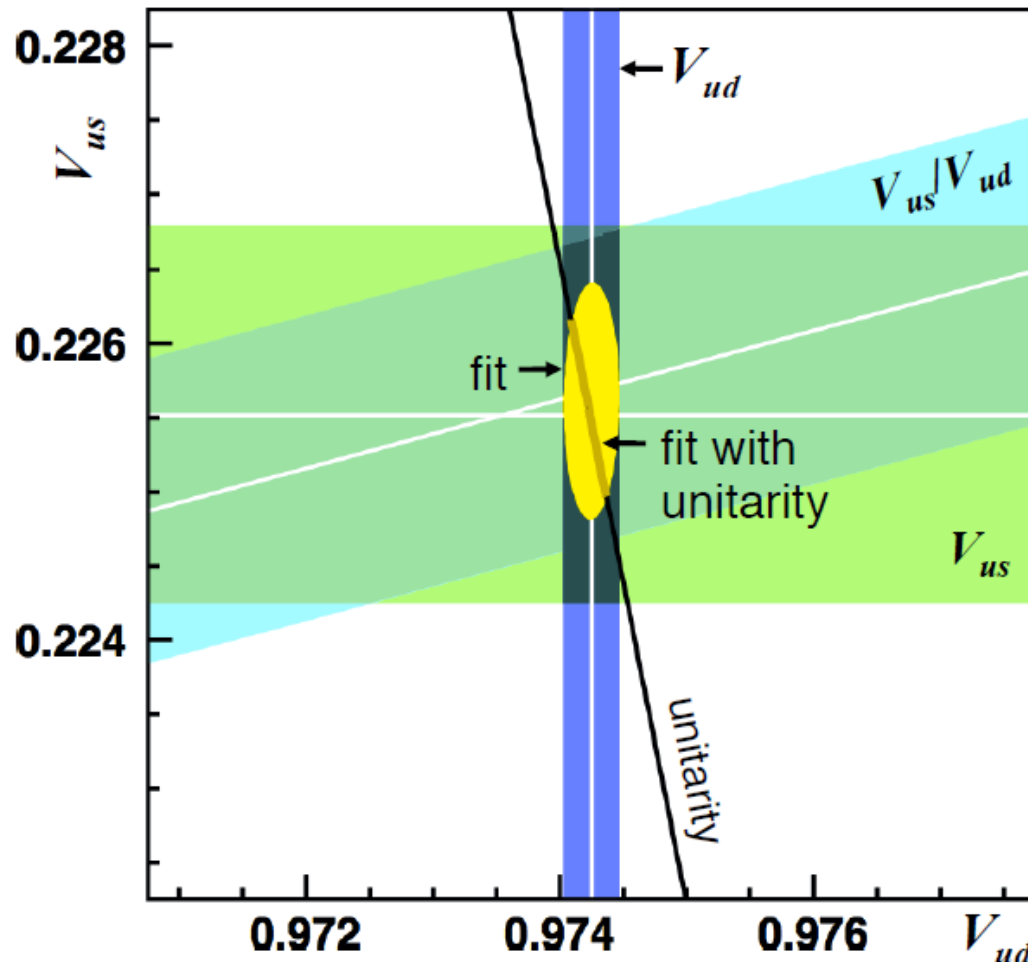
Use $f_+(0) = 0.959(5)$ and $f_K/f_\pi = 1.193(5)$
 Fit to results for $|V_{ud}|$, $|V_{us}|$, $|V_{us}|/|V_{ud}|$



$$|V_{ud}| = 0.97425(22) \quad 0^+ \rightarrow 0^+$$

$$|V_{us}| = 0.2255(13) \quad K_{l3}$$

$$|V_{us}|/|V_{ud}| = 0.2317(11) \quad K_{l2} / \pi_{l2}$$



Fit results, no constraint

$$V_{ud} = 0.97425(22)$$

$$V_{us} = 0.2256(8)$$

$$\chi^2/\text{ndf} = 0.03/1 \quad (86\%)$$

$$\Delta_{\text{CKM}} = +0.0001(6)$$

$(4)_{|V_{ud}|} (4)_{|V_{us}|}$

Fit results, unitarity constraint

$$V_{ud} = 0.97423(14)$$

$$V_{us} = 0.2255(6)$$

$$\chi^2/\text{ndf} = 0.05/2 \quad (97\%)$$

New Physics implications of Δ_{CKM}

Model independent effective-theory approach

Cirigliano, González-Alonso, Jenkins, 2010

Effective Lagrangian for $\mu \sim 1$ GeV with general set of dim-6 operators giving rise to (semi)leptonic transitions

$$\mathcal{L}_{d^j \rightarrow u^i \ell \bar{\nu}}^{\text{eff}} = \mathcal{L}_{d^j \rightarrow u^i \ell \bar{\nu}}^{\text{eff,SM}} + \frac{v^2}{\Lambda^2} \mathcal{L}_{d^j \rightarrow u^i \ell \bar{\nu}}^{\text{eff,NP}}$$

Consider the **flavor-blind** limit (or similar: minimal flavor violation, etc.)
New physics appears as a small difference between G_{CKM} and G_μ

From comparison of operators for $d \rightarrow ulv$ and $\mu \rightarrow evv$

$$\Delta_{\text{CKM}} = 4 \left(\underbrace{-\hat{\alpha}_{\phi\ell}^{(3)} + \hat{\alpha}_{\phi q}^{(3)}}_{\text{Strong constraints from precision EW data}} - \underbrace{\hat{\alpha}_{lq}^{(3)}}_{\text{Weak constraint from LEP-II } e^+e^- \rightarrow qq} + \hat{\alpha}_{ll}^{(3)} \right) = \frac{G_{\text{CKM}}}{G_\mu} - 1$$

Strong constraints from precision EW data Weak constraint from LEP-II $e^+e^- \rightarrow qq$

EW fit: $\Delta_{\text{CKM}} = (-4.7 \pm 2.9) \times 10^{-3}$

Exp. $V_{ud} V_{us}$: $\Delta_{\text{CKM}} = (-0.1 \pm 0.6) \times 10^{-3}$



**$\Lambda_{\text{NP}}^{\text{eff}} > 11$ TeV
(90% CL)**

From 2010 FlaviaNet analysis. Now $\Delta_{\text{CKM}} = (+0.1 \pm 0.6) \times 10^{-3}$

Summary and conclusions

All measurements are currently in agreement with the SM.

Kaons: results complementary to LHC.

“ecological” experiments: deep re-use of previous detectors

Results from the upcoming $K \rightarrow \pi \nu \nu$ NA62 and KOTO experiments (hoping ORKA will join too) will probe deeply the flavor structure of the SM.

After 67 years of honorable service to physics, kaons are active as ever in offering new ways to explore the mysteries of the flavor sector, and to answer “Who ordered that?”.



As in any review talk, plots, ideas, slides, numbers, information,... stolen here and there.
Special thanks to: V. Cirigliano, S. Giovannella, M. Hasinoff, T.K. Komatsubara, M. Moulson, J. Ritchie, G. Ruggiero, M. Sozzi, R. Tshirhart, and WG1 CKM2012 speakers.

Additional information

Sorry, I could not resist!

The “wrong” flavor?



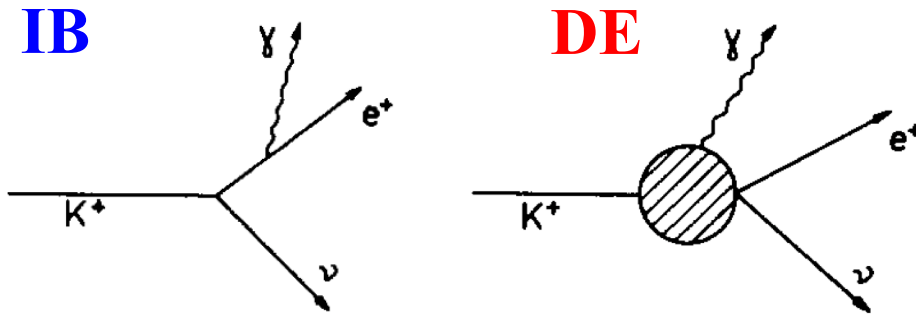
KAON UNDER SIEGE

“The Flashpoint Kaon Under Siege was added to Star Wars: The Old Republic with Patch 1.1 and it’s **one of the hardest in the game. It’s intended for players who are at least a level 50.** It takes place on a Spaceshuttle where an infection broke out, which turned everyone into a creature that resembles a zombie.”

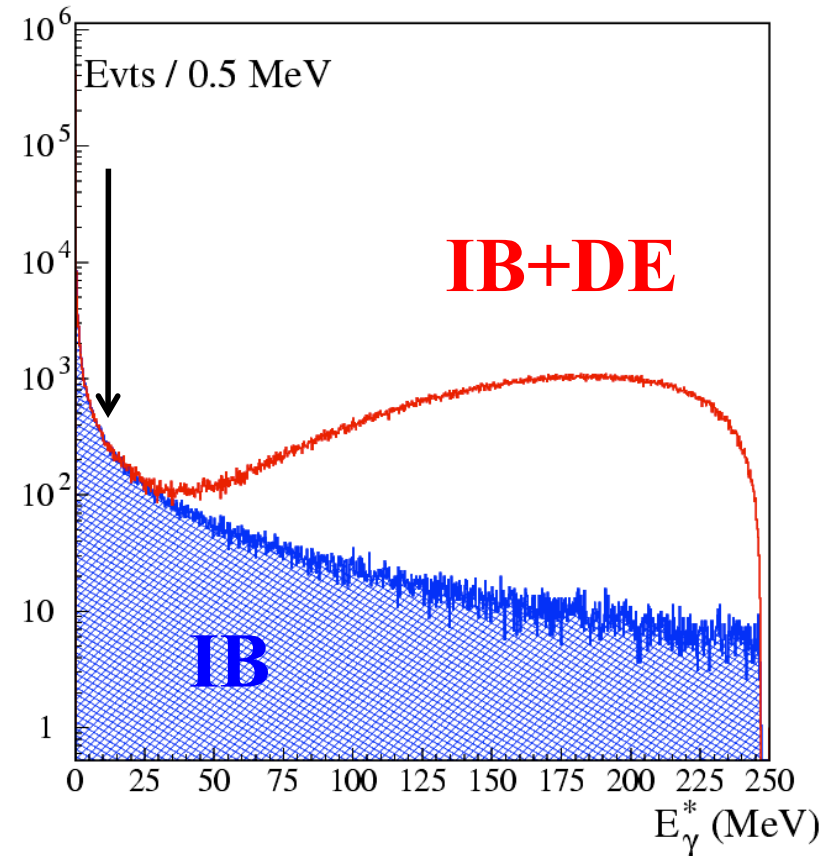
Read more at <http://www.swtorstrategies.com/2013/01/flashpoint-guides-kaon-under-siege.html#hOGHugoejv7GG1bC.99>

Ke2(γ): signal definition

SM prediction is defined to be inclusive of **IB** (ignoring **DE** contributions).



From theory (ChPT) expect **DE** \sim **IB** for Ke2, but experimental knowledge is poor: **$\delta\text{DE}/\text{DE} \sim 15\%$**



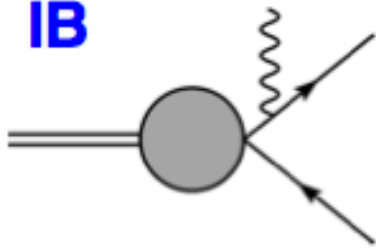
- Define as “signal” events with $E_\gamma < 10$ MeV.
- Evaluating **IB** spectrum ($O(\alpha)$ +resummation of leading logs) obtain a 0.0625(5) correction for the IB tail.
- Under 10 MeV, the **DE** contribution is expected to be negligible.

Ke2γ (not only R_K background)

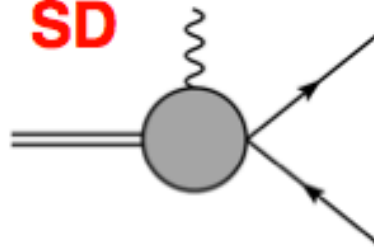
SM prediction is for $\Gamma(K \rightarrow \ell \nu(\gamma_{IB}))$

R_K includes IB and excludes SD

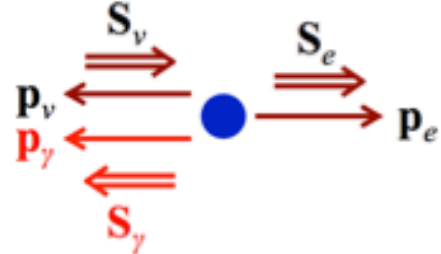
IB



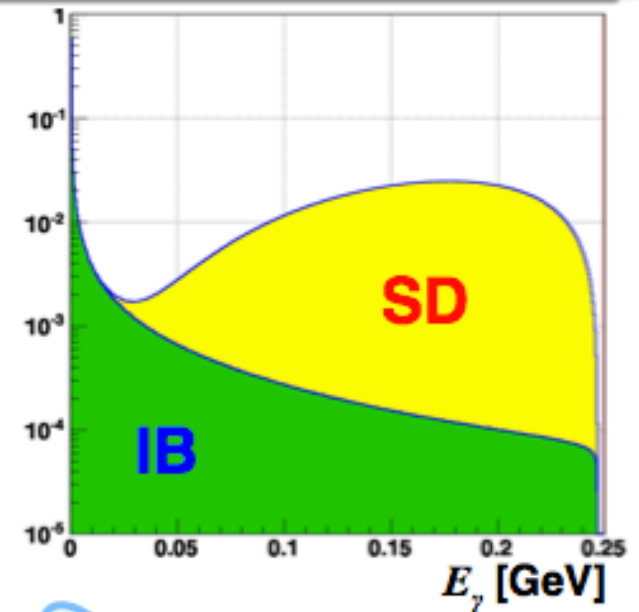
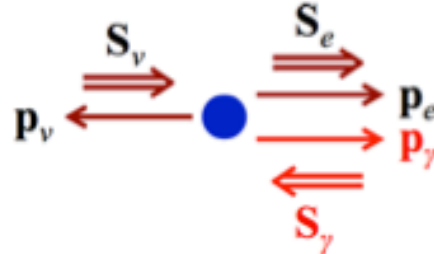
SD



SD⁺: positive γ helicity



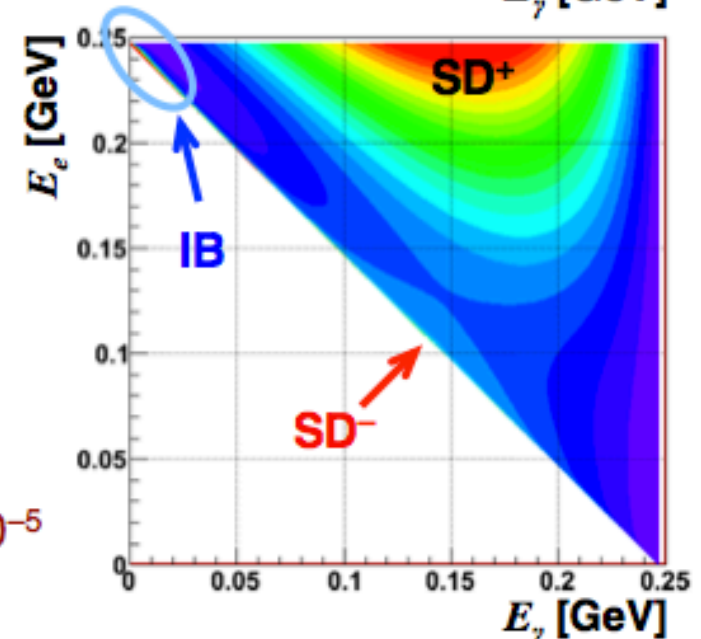
SD⁻: negative γ helicity



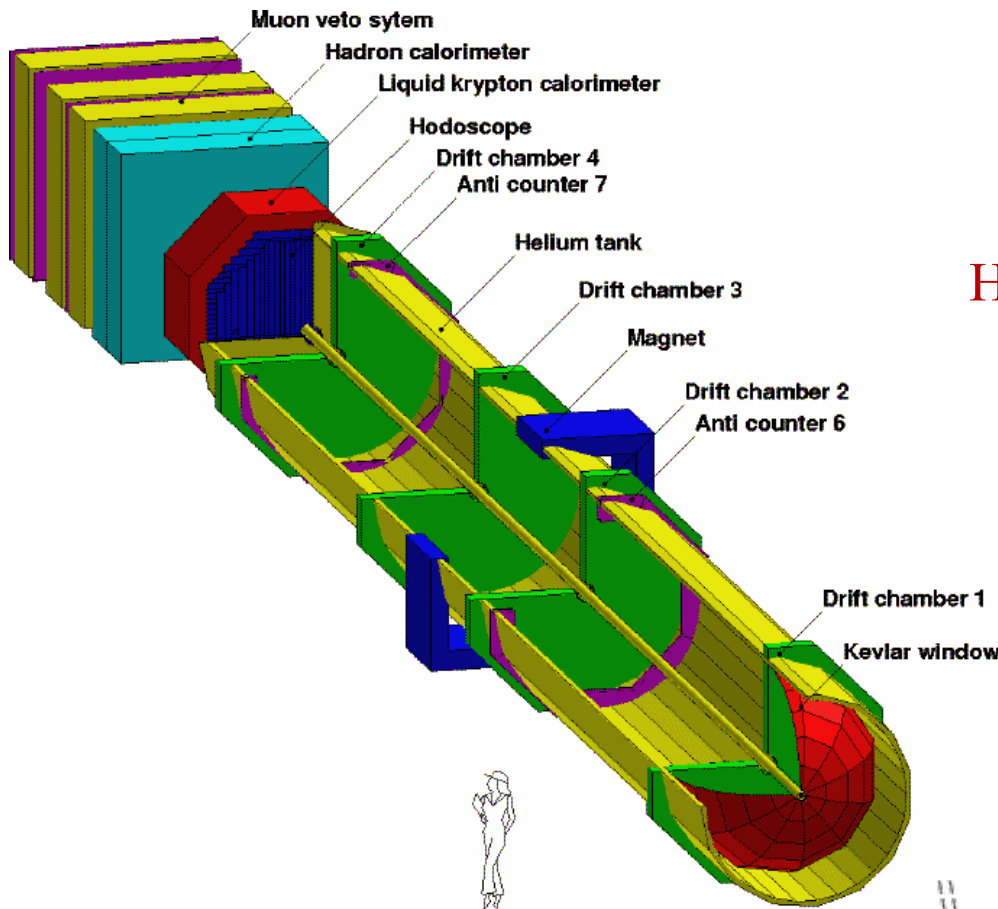
KLOE
$$\frac{\Gamma_{SD^+}(K_{e2\gamma})}{\Gamma(K_{\mu2(\gamma)})} = 1.484(66)_{st}(16)_{sy} \times 10^{-5}$$

$E_\gamma > 10$ MeV, $\cos \theta_{e\gamma} < 0.9$, $p_e > 200$ MeV
Fit E_γ spectrum for $O(p^4)$ ChPT form factors

NA62 Use KLOE SD⁺ form factor to obtain
BR(SD⁺, full phase space) = $(1.37 \pm 0.06) \times 10^{-5}$
Result: $B/(S+B) = (2.60 \pm 0.11)\%$



NA62 layout for R_K



Main Detectors (NA48):

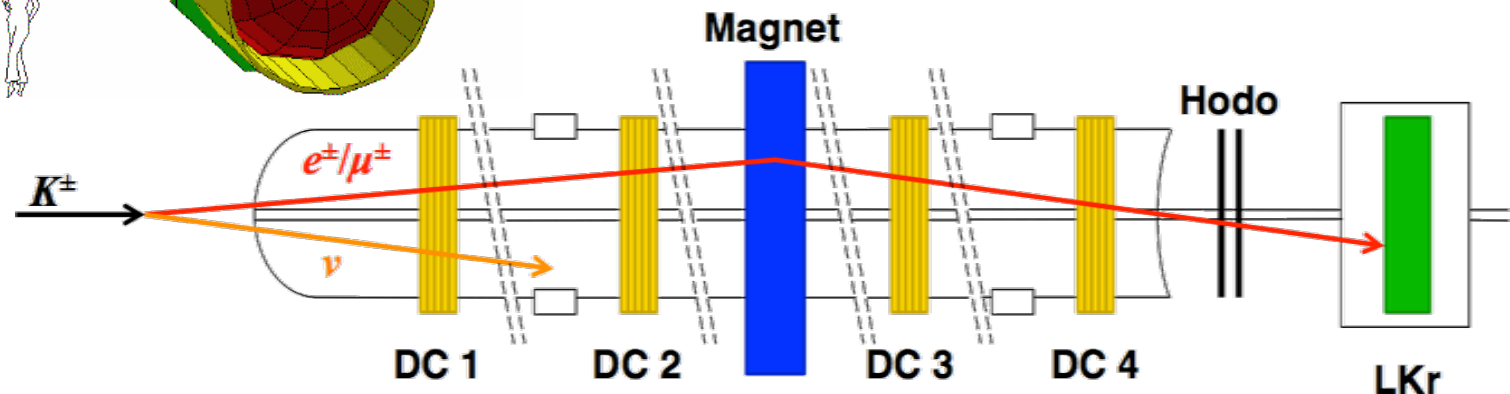
Magnetic Spectrometer:

$$\sigma(P)/P = 0.48\% \oplus 0.009 P(\text{GeV}/c)\%$$

Hodoscope: Fast trigger for charged particles and timing for the event ($\sigma(t) = 200 \text{ ps}$)

Liquid Krypton e.m. calorimeter (LKr):

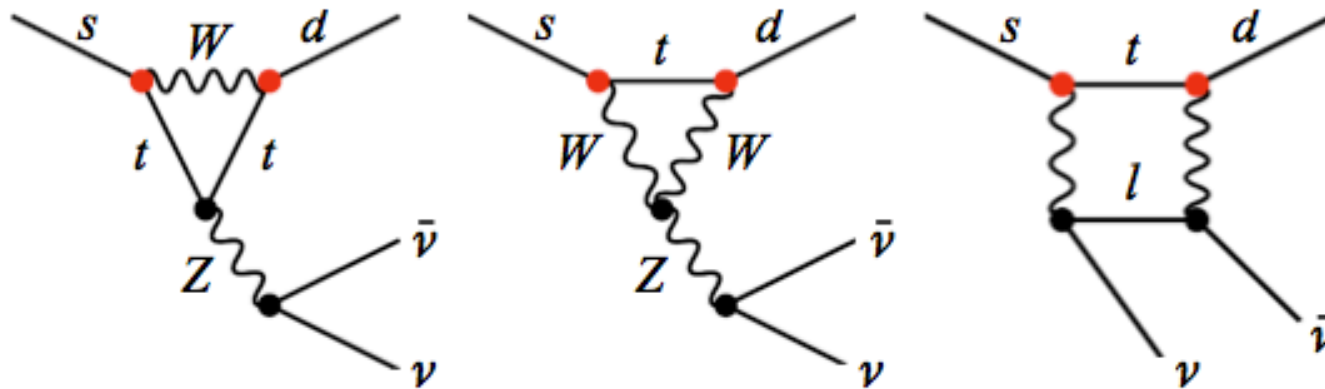
$$\sigma(E)/E = 3.2\%/\sqrt{E} \oplus 9\%/E \oplus 0.42\% (\text{GeV})$$



K^\pm beams:

$$P_K = 75 \pm 2 \text{ GeV}/c$$

$K \rightarrow \pi \nu \bar{\nu}$ in the SM



$$\begin{aligned} \lambda &= V_{us} \\ \lambda_c &= V_{cs}^* V_{cd} \\ \lambda_t &= V_{ts}^* V_{td} \\ x_q &\equiv m_q^2 / m_W^2 \end{aligned}$$

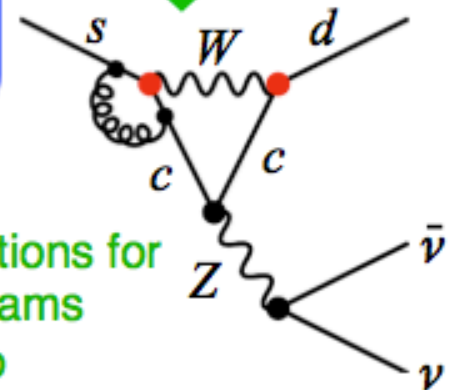
Loop functions favor top contribution

$$\begin{aligned} \text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) &= \kappa_+ \left[\left(\frac{\text{Im} \lambda_t}{\lambda^5} X(x_t) \right)^2 + \left(\frac{\text{Re} \lambda_t}{\lambda^5} X(x_t) + \frac{\text{Re} \lambda_c}{\lambda} P_c(X) \right)^2 \right] \\ \text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu}) &= \kappa_L \left(\frac{\text{Im} \lambda_t}{\lambda^5} X(x_t) \right)^2 \leftarrow \mathcal{CP} \end{aligned}$$

$$\kappa_+ = r_{K^+} \frac{3\alpha^2 \text{BR}(K^+ \rightarrow \pi^0 e^+ \nu)}{2\pi^2 \sin^4 \theta_W} \lambda^8$$

Hadronic matrix element obtained from $\text{BR}(K_{e3})$ via isospin rotation

QCD corrections for charm diagrams contribute to uncertainty



Acceptance = 14.4%

Decay rate in fiducial volume = $4.8 \times 10^{12}/\text{yr}$

➔ **55 signal events/yr**

| Background mode | Expected B/S |
|---------------------------------------|--------------|
| $K^+ \rightarrow \pi^+ \pi^0$ | 4.3% |
| $K^+ \rightarrow \pi^+ \pi^0$ | ~2% |
| $K^+ \rightarrow \mu^+ \nu$ | 2.2% |
| $K^+ \rightarrow \mu^+ \nu$ | ~0.7% |
| $K^+ \rightarrow \pi^+ \pi^- e^+ \nu$ | <3% |
| Other $K^+ \rightarrow 3$ tracks | <1.5% |
| $K^+_{e3}, K^+_{\mu3}$ | negligible |
| Total | 13.5% |



NA62 will measure $K^+ \rightarrow \pi \nu \bar{\nu}$ to 10%

Vus: CKM unitarity, gauge universality

Standard-model coupling of quarks and leptons to W :

$$\frac{g}{\sqrt{2}} W_\alpha^+ (\bar{\mathbf{U}}_L \mathbf{V}_{\text{CKM}} \gamma^\alpha \mathbf{D}_L + \bar{e}_L \gamma^\alpha \nu_{eL} + \bar{\mu}_L \gamma^\alpha \nu_{\mu L} + \bar{\tau}_L \gamma^\alpha \nu_{\tau L}) + \text{h.c.}$$

↑
Single gauge coupling

↑
Unitary matrix

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1$$

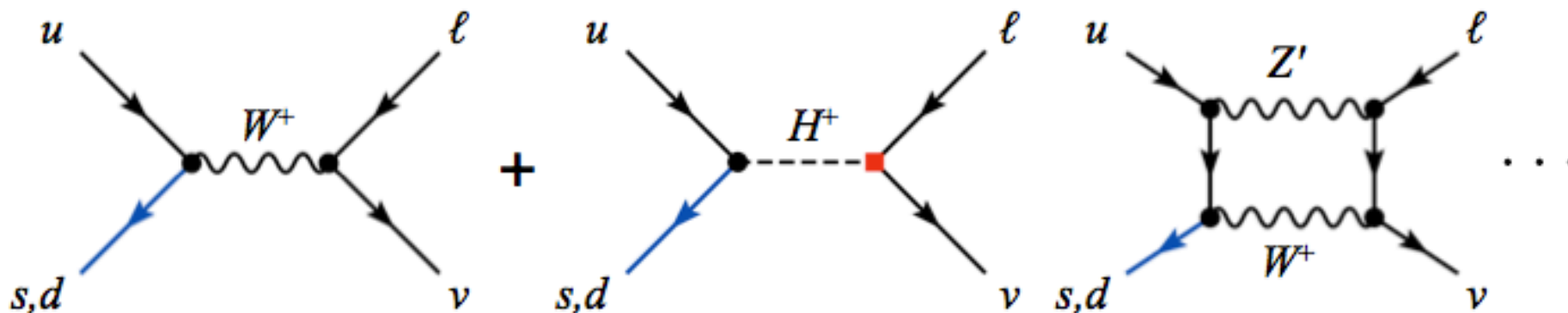
\swarrow
 $\approx 2 \times 10^{-5}$

Most precise test of CKM unitarity

Universality: Is G_F from μ decay equal to G_F from π, K , nuclear β decay?

$$G_\mu^2 = (g_\mu g_e)^2 / M_W^4 \stackrel{?}{=} G_{\text{CKM}}^2 = (g_q g_\ell)^2 (|V_{ud}|^2 + |V_{us}|^2) / M_W^4$$

Physics beyond the Standard Model can break gauge universality:



K13 form-factor parameterization

Parameterizations based on systematic expansions

Taylor expansion:

$$\tilde{f}_{+,0}(t) = 1 + \lambda_{+,0} \left(\frac{t}{m_{\pi^+}^2} \right)$$

$$\tilde{f}_{+,0}(t) = 1 + \lambda'_{+,0} \left(\frac{t}{m_{\pi^+}^2} \right) + \lambda''_{+,0} \left(\frac{t}{m_{\pi^+}^2} \right)^2$$

Notes:

Many parameters: $\lambda_+' , \lambda_+'' , \lambda_0' , \lambda_0''$

Large correlations, unstable fits

Parameterizations incorporating physical constraints

Pole dominance:

$$\tilde{f}_{+,0}(t) = \frac{M_{V,S}^2}{M_{V,S}^2 - t}$$

Notes:

What does M_S correspond to?

Dispersion relations:

$$\tilde{f}_+(t) = \exp \left[\frac{t}{m_{\pi}^2} (\Lambda_+ - H(t)) \right]$$

$$\tilde{f}_0(t) = \exp \left[\frac{t}{m_K^2 - m_{\pi}^2} (\ln C - G(t)) \right]$$

Notes:

Allows tests of ChPT & low-energy dynamics

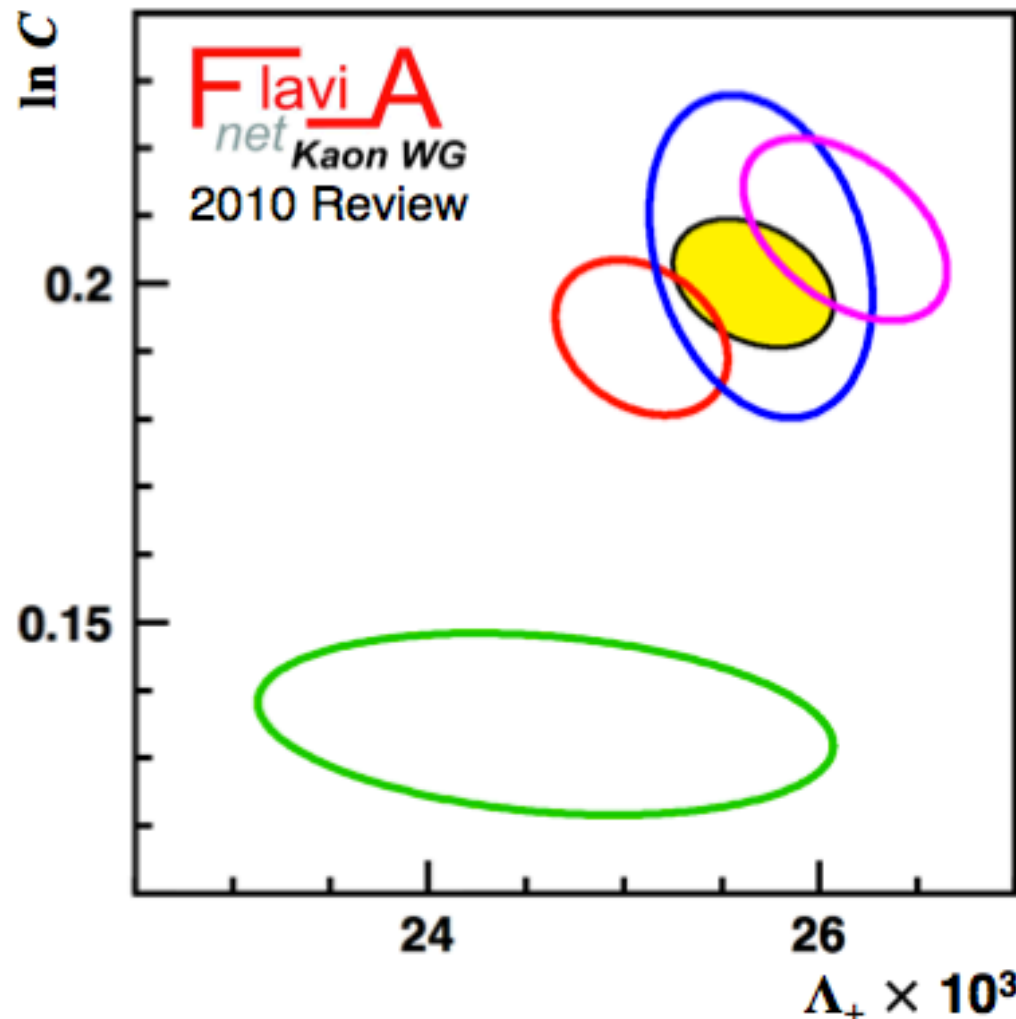
$H(t)$, $G(t)$ evaluated from $K\pi$ scattering data and given as polynomials

Bernard et al., PRD 80 (2009)

Dispersive parameterization for K13 FF

$K_{e3} + K_{\mu3}$ averages from **KTeV** **KLOE** **ISTRA+** **NA48** **2010 fit**

For **NA48**, only K_{e3} data included in 2010 fit



$$\Lambda_+ \times 10^3 = 25.61 \pm 0.41$$

$$\ln C = 0.2004(91)$$

$$\rho(\Lambda_+, \ln C) = -0.328$$

$$\chi^2/\text{ndf} = 5.6/5 \text{ (34\%)}$$

Integrals

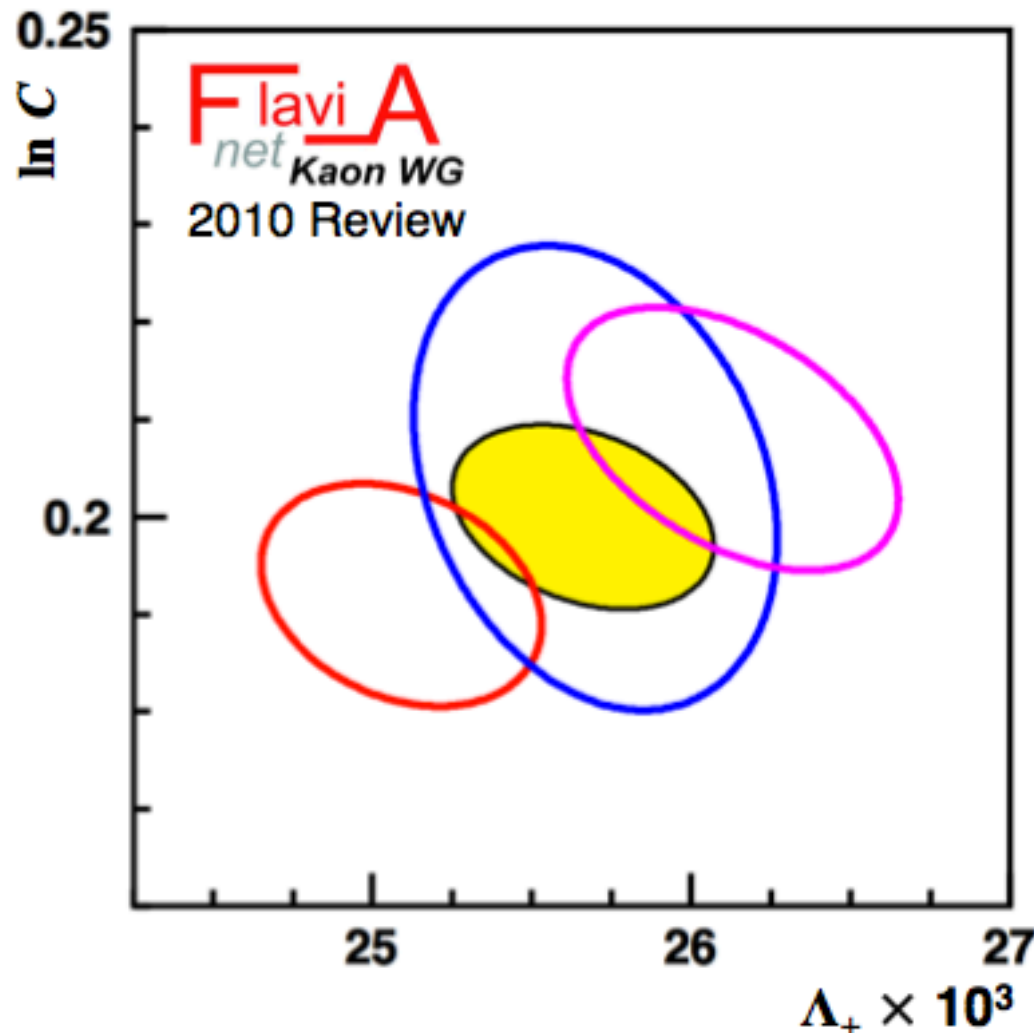
| Mode | Quad-lin | Disp |
|--------------|-------------|--------------------|
| K^0_{e3} | 0.15457(20) | 0.15476(18) |
| K^+_{e3} | 0.15894(21) | 0.15922(18) |
| $K^0_{\mu3}$ | 0.10266(20) | 0.10253(16) |
| $K^+_{\mu3}$ | 0.10564(20) | 0.10559(17) |

Maximum change 0.2% if same data used as for quad-lin fits

Dispersive parameterization for K13 FF

$K_{e3} + K_{\mu3}$ averages from **KTeV** **KLOE** **ISTRA+** **NA48** **2010 fit**

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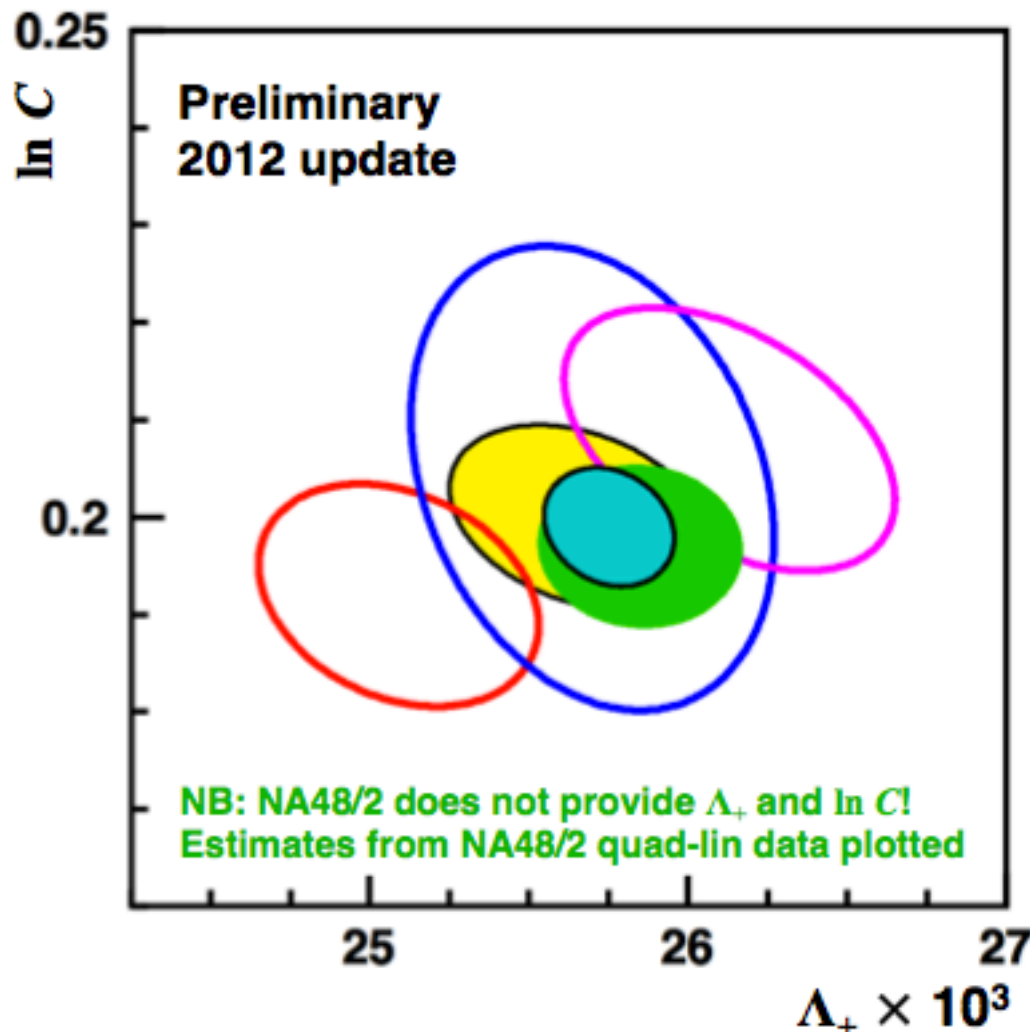
| Integrals | | |
|--------------|-------------|--------------------|
| Mode | Quad-lin | Disp |
| K^0_{e3} | 0.15457(20) | 0.15476(18) |
| K^+_{e3} | 0.15894(21) | 0.15922(18) |
| $K^0_{\mu3}$ | 0.10266(20) | 0.10253(16) |
| $K^+_{\mu3}$ | 0.10564(20) | 0.10559(17) |

Maximum change 0.2% if same data used as for quad-lin fits

Dispersive parameterization for K_{l3} FF

K_{e3} avgs from **KTeV** **KLOE** **ISTRA+** **NA48/2 '12 prel** **2010 fit** **Update**

For NA48, only K_{e3} data included in fits



$$\Lambda_+ \times 10^3 = 25.75 \pm 0.36$$

$$\ln C = 0.1985(70)$$

$$\rho(\Lambda_+, \ln C) = -0.202$$

$$\chi^2/\text{ndf} = 5.9/7 \text{ (55\%)}$$

Integrals

| Mode | Update | 2010 |
|--------------|--------------------|-------------|
| K_{e3}^0 | 0.15481(14) | 0.15476(18) |
| K_{e3}^+ | 0.15927(14) | 0.15922(18) |
| $K_{\mu3}^0$ | 0.10253(13) | 0.10253(16) |
| $K_{\mu3}^+$ | 0.10558(14) | 0.10559(17) |

Only tiny changes in central values

Implications from BSM physics

- 1st row unitarity tests probe a variety of BSM effects

$$\frac{1 - |V_{uD}|^2}{1 - |U_{\mu N}|^2} \cdot \frac{1}{1 + BR_{\text{exotic}}^{\mu}} \cdot \frac{[G_F^{(\beta)}]^2}{[G_F^{(\mu)}]^2} = 1 + \Delta_{CKM}$$

Heavy fermion
mixing

$$|V_{uD}| \leq 0.03$$

$$|U_{\mu N}| \leq 0.03$$

95% C.L.

Marciano 2008

Exotic
muon decays

$$BR_{\text{exotic}}^{\mu} < 0.001$$

95% C.L.

Stronger than direct limits

$$BR(\mu^+ \rightarrow e^+ \bar{\nu}_e \nu_{\mu}) < 0.012$$

Marciano 2008

New
interactions

- Can arise from heavy or light (and weakly coupled) new physics

- Focus here on the case of UV extensions of the Standard Model

BSM operators modify (V-A)(V-A) coupling

$$\mathcal{L}_{\text{CC}} = -\frac{G_F^{(0)} V_{ud}}{\sqrt{2}} \times \left[(1 + \delta_{RC} + \epsilon_L) \bar{e} \gamma_\mu (1 - \gamma_5) \nu_\ell \cdot \bar{u} \gamma^\mu (1 - \gamma_5) d \right.$$

BSM operators
induce different
interactions

$$+ \epsilon_R \bar{e} \gamma_\mu (1 - \gamma_5) \nu_\ell \cdot \bar{u} \gamma^\mu (1 + \gamma_5) d$$

$$+ \epsilon_S \bar{e} (1 - \gamma_5) \nu_\ell \cdot \bar{u} d$$

$$- \epsilon_P \bar{e} (1 - \gamma_5) \nu_\ell \cdot \bar{u} \gamma_5 d$$

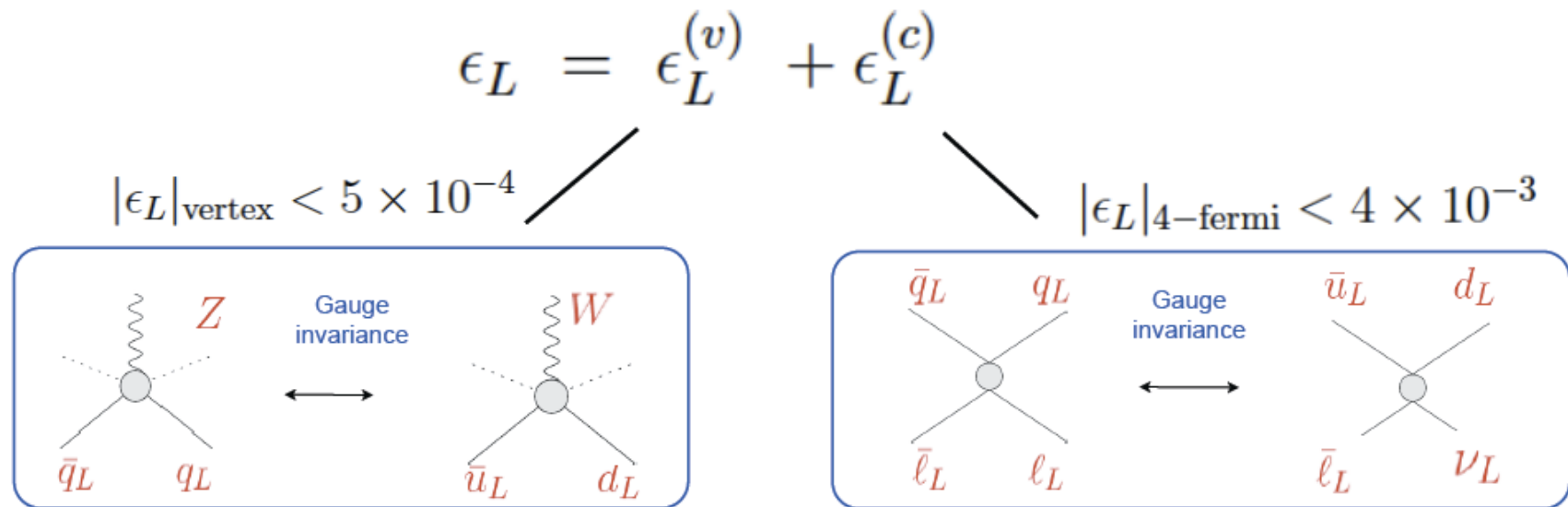
$$+ \epsilon_T \bar{e} \sigma_{\mu\nu} (1 - \gamma_5) \nu_\ell \cdot \bar{u} \sigma^{\mu\nu} (1 - \gamma_5) d \left. \right] + \text{h.c.}$$

BSM operators also modify Fermi constant from μ decays: $G_\mu = G_F^{(0)} (1 - \epsilon_L^{(\mu)})$

What we learn from Δ_{CKM}

$\Delta_{\text{CKM}} = 2(\varepsilon_L - \varepsilon_L^{(\mu)})$ under $U(3)^5$ flavor symmetry

BSM operators also affects precision EW measurements



- Already strong constraints from Z-pole
- CKM is at the same level
- Constraints from σ_{had} at LEP would allow $\Delta_{\text{CKM}} \sim 0.01$!!
- **CKM “wins” by factor of ~ 10**

many other cases are also discussed (w/o $U(3)^5$; 2HDM, ...)