

# Observation of single top $tW$ production and search for FCNC in $tZ$ events

Outline:

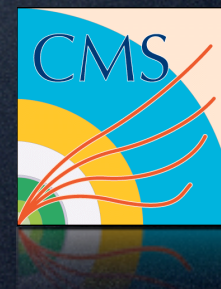
- Single Top at the LHC
- $tW$ -channel results
- Search for FCNC in  $tZ$  events
- Conclusions

Gabriele Benelli

On behalf of the CMS Collaboration



HEP 2013  
Stockholm  
18-24 July 2013



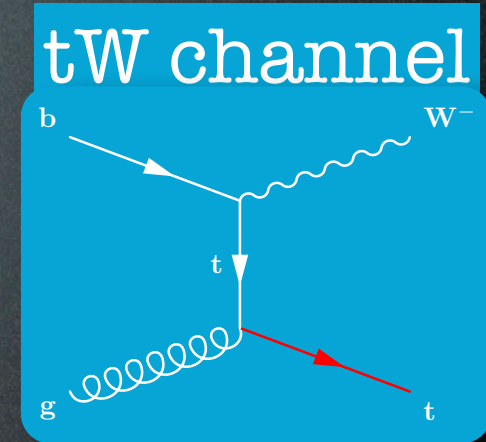
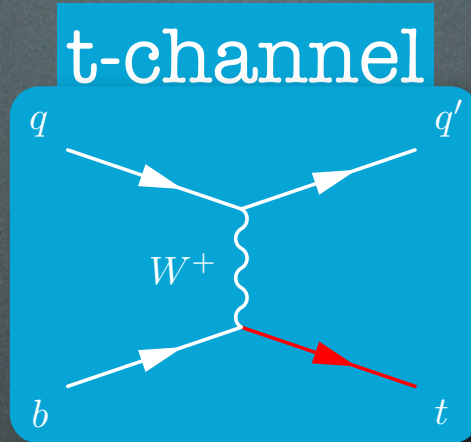
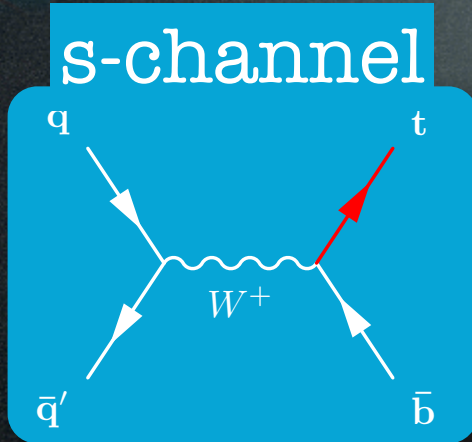
[Gabriele.Benelli@cern.ch](mailto:Gabriele.Benelli@cern.ch)

EPS HEP 2013 Stockholm, July 19<sup>th</sup> 2013



# Introduction

- Three single top production channels:



- Weak interaction production ( $Wtb$ ,  $btW$ ) and decay vertices ( $tWb$ )
- Access to CKM matrix element  $V_{tb}$
- Sensitive to new physics
- Top cross-sections at the LHC (and Tevatron)

**Top mass = 173 GeV**

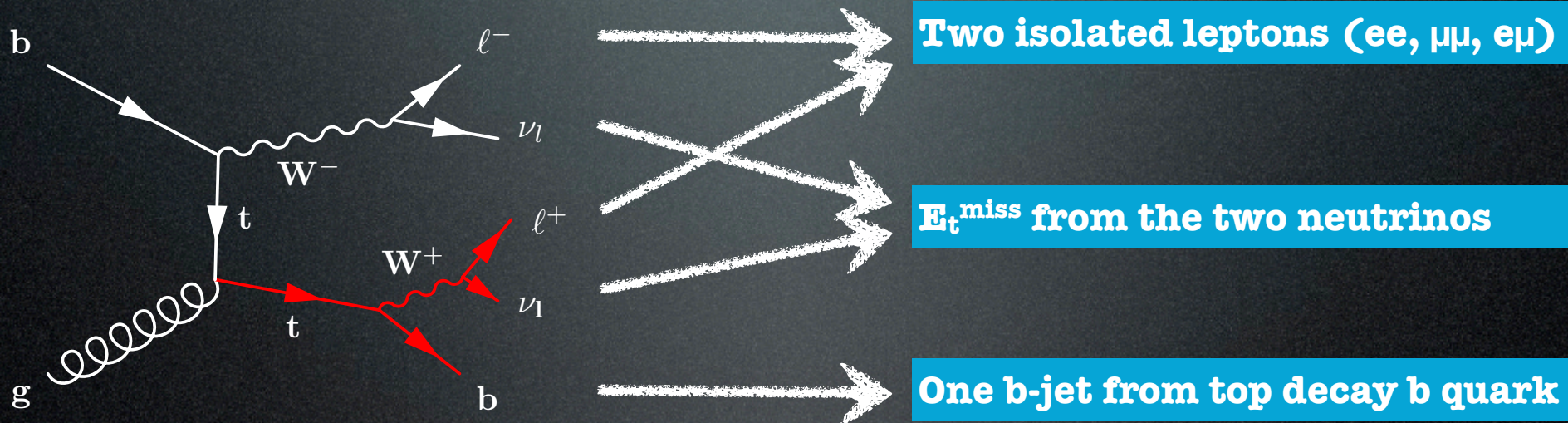
	Cross sections (pb)	s-channel	t-channel	tW channel	top pairs
<b>N. Kidonakis</b> <a href="https://arxiv.org/pdf/1205.3453v1">arxiv.org/pdf/1205.3453v1</a> (2012)	<b>Tevatron: ppbar@1.96TeV</b>	1.05	2.08	0.22* <small>*<a href="https://arxiv.org/pdf/0909.0037">arxiv.org/pdf/0909.0037</a></small>	7.08
	<b>LHC: pp @ 7 TeV</b>	4.56	65.9	15.6	163
	<b>LHC: pp @ 8 TeV</b>	5.55	87.2	<b>22.2</b>	<b>234</b>





# Single top tW channel

- tW associated production observable at LHC for the first time!
- Interesting topology (background to Higgs->WW searches)
- Only leptonic ( $e, \mu$ ) decays of W considered:
  - **Di-lepton** topology:



- Full reconstruction of W or top not possible
- Main backgrounds:
  - Top pairs, Z+jets, all other processes easily reducible
- tW mixing with top pair at NLO:
  - Diagram Removal vs. Diagram Subtraction (DR/DS)







# tW channel Event Selection I



- Dileptonic Triggers:

- Two leptons (e or  $\mu$ : ee, e $\mu$ ,  $\mu\mu$ )
- Leading lepton  $p_t > 17\text{GeV}$ , second lepton  $p_t > 8\text{GeV}$

- Object selection:

- Electrons:

- $p_t > 20\text{GeV}$
- $|\eta| < 2.5$
- IP  $< 0.04\text{cm}$  from beamspot
- RelIso  $< 0.15$  in a cone of  $\Delta R < 0.3$

- Muons:

- $p_t > 20\text{GeV}$
- $|\eta| < 2.4$
- RelIso  $< 0.20$  in a cone of  $\Delta R < 0.4$

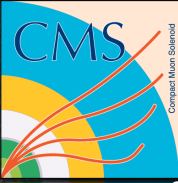
- Jets:

- Anti- $k_t$
- $p_t > 30\text{GeV}$
- $|\eta| < 2.4$
- Energy and  $p_t$  corrected

- Particle Flow corrected missing transverse energy ( $E_t^{\text{miss}}$ ) used
- B tagging information:
  - Tracking-based multivariate tagging algorithm
  - Data-driven  $p_t$  dependent b-tagging scale factors applied







# tW channel Event Selection I



- Dileptonic Triggers:

- Two leptons (e or  $\mu$ : ee, e $\mu$ ,  $\mu\mu$ )
- Leading lepton  $p_t > 17\text{GeV}$ , second lepton  $p_t > 8\text{GeV}$

- Object selection:

- Electrons:

- $p_t > 20\text{GeV}$
- $|\eta| < 2.5$
- $\text{IP} < 0.04\text{cm}$  from beamspot
- $\text{RelIso} < 0.15$  in a cone of  $\Delta R < 0.3$

- Loose Electrons:

- $p_t > 10\text{GeV}$
- $|\eta| < 2.5\text{GeV}$

- Muons:

- $p_t > 20\text{GeV}$
- $|\eta| < 2.4$
- $\text{RelIso} < 0.20$  in a cone of  $\Delta R < 0.4$

- Loose Muons:

- $p_t > 10\text{GeV}$
- $|\eta| < 2.5\text{GeV}$

- Jets:

- Anti- $k_t$
- $p_t > 30\text{GeV}$
- $|\eta| < 2.4$
- Energy and  $p_t$  corrected

- Loose Jets:

- Not “tight”
- $p_t > 20\text{GeV}$
- $|\eta| < 4.9\text{GeV}$
- $|\eta| < 2.4\text{GeV}$  (central)

- Particle Flow corrected missing transverse energy ( $E_t^{\text{miss}}$ ) used
- B tagging information:
  - Tracking-based multivariate tagging algorithm
  - Data-driven  $p_t$  dependent b-tagging scale factors applied







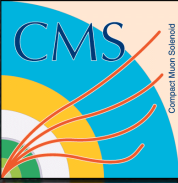
# tW channel Event Selection II



- Selection (3 final states ee,  $\mu\mu$ , e $\mu$ ):
  - Exactly 2 isolated, oppositely charged leptons
  - Leptons invariant mass  $m_{ll} > 20$  GeV **All states**
- Z mass veto ( $m_{ll} < 81$  GeV,  $m_{ll} > 101$  GeV)
  - $E_T^{\text{miss}} > 50$  GeV **ee/ $\mu\mu$**
- Signal and control regions defined by jet multiplicity and b-tagging:
  - 1 jet 1 b-tag (**1j1t**): signal region (15-20% tW, 75% top pairs, 5% Z+Jets)
  - 2 jets 1 b-tag (**2j1t**) and 2 jets 2 b-tags (**2j2t**) control regions to constrain top pair cross-section
- Analysis strategy:
  - Data-driven normalization of Z+jets MC (reverse Z mass veto control region)
  - Kinematic variables used to disentangle tW from top pairs
  - Multivariate Boosted Decision Tree (BDT) analysis to extract signal







# tW Boosted Decision Tree



- Training on 200k exclusive tW and top pair dilepton events (after selection and in the 1j1t region)
  - MC generators:
    - Single Top: POWHEG
    - Top pairs/V+jets: MadGraph
    - VV et al: Pythia
- 13 kinematic input variables chosen based on:
  - signal/background separation
  - data/MC agreement in several control regions (2j1t, 2j2t, 2j0t, 1j0t)

Variable	Description
Nloosejets	Number of loose jets, $p_T > 20$ GeV, $ \eta  < 4.9$
NloosejetsCentral	Number of loose jets, $p_T > 20$ GeV, $ \eta  < 2.4$
NbtaggedLoosejets	Number of loose jets, $p_T > 20$ GeV, CSVM btagged
$p_{T,sys}$	Vector sum of $p_T$ of leptons, jet, and $E_T^{miss}$
$H_T$	Scalar sum of $p_T$ of leptons, jet, and $E_T^{miss}$
Jet $p_T$	$p_T$ of the leading, tight, b-tagged jet
Loose jet $p_T$	$p_T$ of leading loose jet, defined as 0 for events with no loose jet present
$p_{T,sys}/H_T$	Ratio of $p_{T,sys}$ to $H_T$ for the event
Msys	Invariant mass of the combination of the leptons, jet, and $E_T^{miss}$
centralityJLL	Centrality of jet and leptons
$H_{T,leptons}/H_T$	Ratio of scalar sum of $p_T$ of the leptons to the $H_T$ of full system
$p_{T-jll}$	Vector sum of $p_T$ of jet and leptons
$E_T^{miss}$	Missing transverse energy in the event

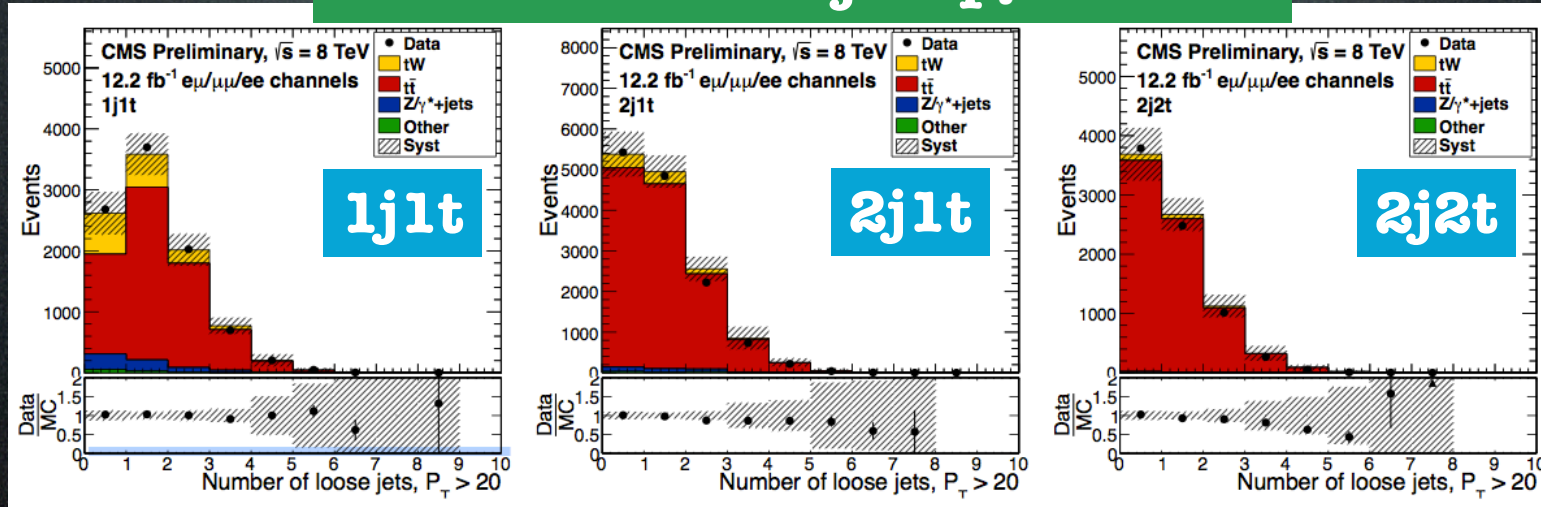




# tW BDT Input Variables

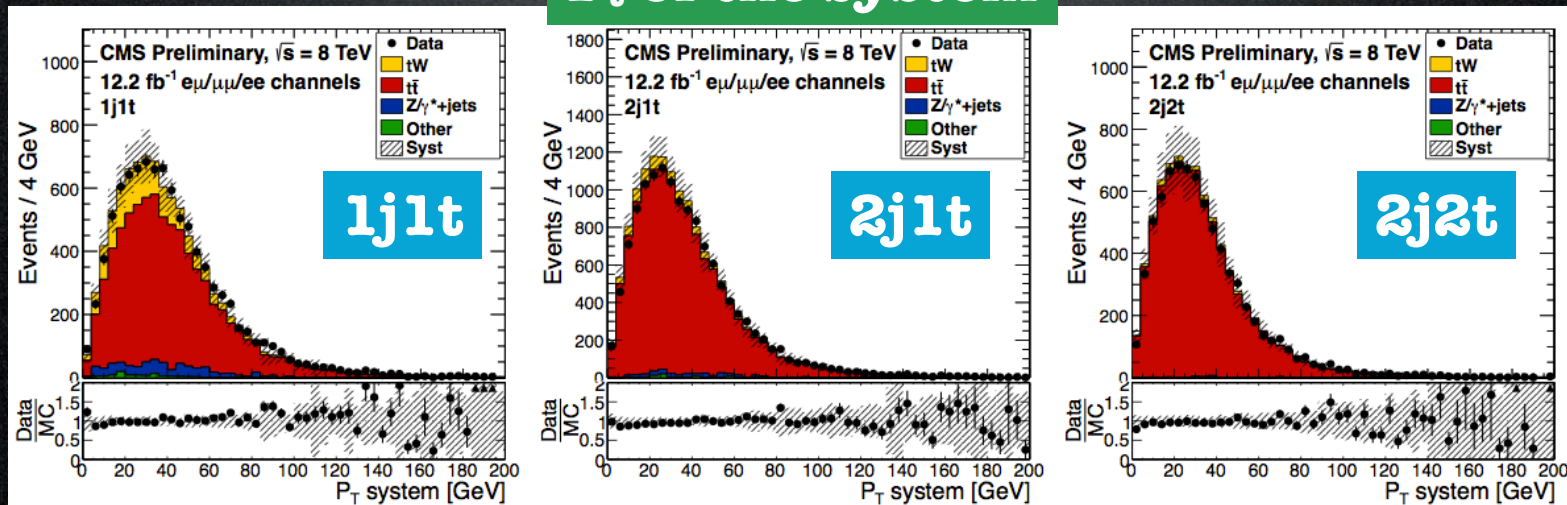
- Sample input variable distributions in the control and signal regions:

## Number of loose jets $p_T > 20\text{GeV}$



12.2 fb<sup>-1</sup> @ 8 TeV

## $P_T$ of the system





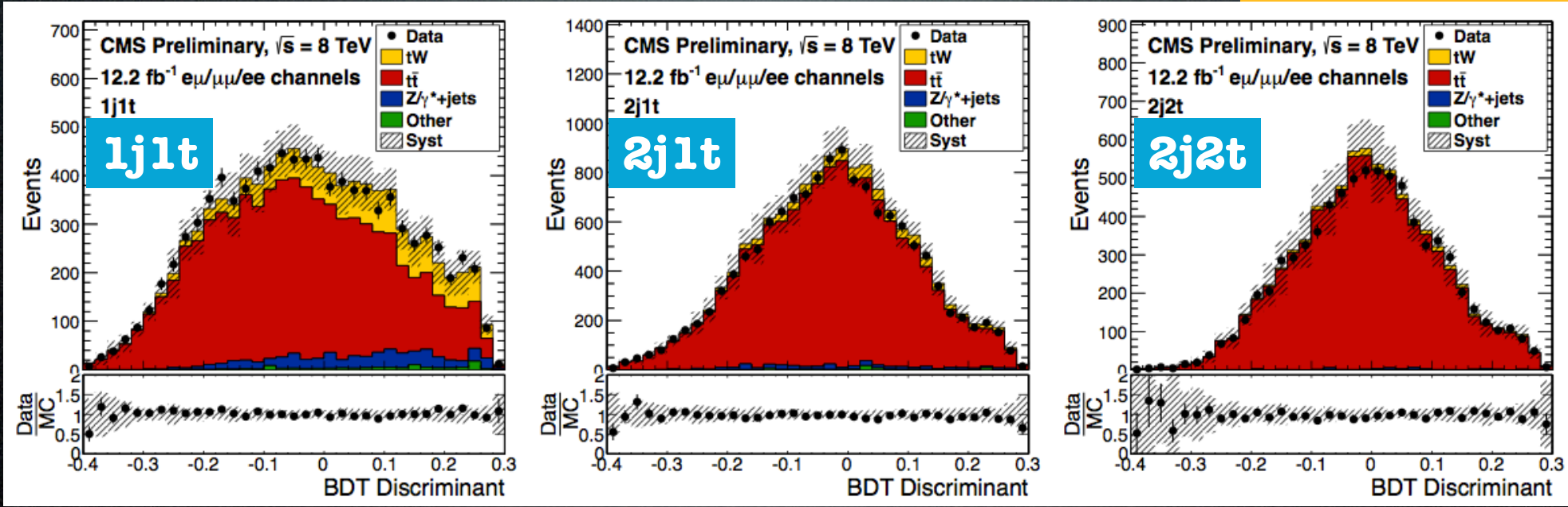


# tW BDT Discriminant



- BDT discriminant for each event:
  - Signal-like events positive values
  - Background-like events negative values

12.2 fb<sup>-1</sup> @ 8 TeV



- Likelihood fit to BDT discriminant:
  - Simultaneous in all channels and all regions
  - Signal and background templates from MC
  - Systematics shape and rate effects accounted for as nuisance parameters







# tW Systematic Uncertainties



- Several sources of systematic uncertainties considered in both significance and cross-section estimate
- The 68%C.L. interval evaluated using profile likelihood
- Theory shape uncertainties estimated as the central value difference from value obtained setting nuisance parameters to  $\pm 1\sigma$
- Externalized in the significance calculation
- For other sources nuisance parameters fixed to central value and confidence level interval change used as estimate
- “Statistical” uncertainty from fixing all other sources to central value

Systematic Uncertainty	$\Delta\sigma$ (pb)	$\frac{\Delta\sigma}{\sigma}$
ME/PS matching thresholds	3.25	14%
$Q^2$ scale	2.68	11%
Top quark mass	2.28	10%
Statistical	2.13	9%
Luminosity	1.13	5%
JES	0.91	4%
$t\bar{t}$ cross section	0.87	4%
Z+jet data/MC scale factor	0.56	2%
tW DR/DS scheme	0.45	2%
PDF	0.33	1%
Lepton identification	0.31	1%
JER	0.27	1%
B-tagging data/MC scale factor	0.20	< 1%
$t\bar{t}$ Spin Correlations	0.12	< 1%
Top Pt Reweighting	0.12	< 1%
Event pile up	0.11	< 1%
$E_T^{\text{miss}}$ modeling	0.07	< 1%
Lepton energy scale	0.02	< 1%
Total	5.58	24%







# tW Results



12.2 fb<sup>-1</sup> @ 8 TeV

- Significance estimated using binned likelihood fit with pseudo-experiments (lots of them!):
  - Excess of events with respect to the background-only hypothesis
  - Observed significance in 12.2 fb<sup>-1</sup> of 8 TeV data: **6.0 σ**
  - Expected significance from MC: **5.4<sup>+1.5</sup><sub>-1.4</sub>σ**

- Cross-section estimated using profile likelihood:

- **$\sigma_{tW} = 23.4^{+5.5}_{-5.4} \text{ pb at } 8\text{TeV}$**

- Theoretical value (m<sub>top</sub>=173GeV):

- $\sigma_{tW} = 22.2 \pm 0.6(\text{scale}) \pm 1.4(\text{PDF}) \text{ pb}$

(Approximate NNLO) N. Kidonakis  
[arxiv.org/pdf/1210.7813](https://arxiv.org/pdf/1210.7813) (2012)

- V<sub>tb</sub> matrix element estimate (|V<sub>tb</sub>| >> |V<sub>td</sub>|, |V<sub>ts</sub>|):

- $|V_{tb}| = \sqrt{\frac{\sigma_{tW}}{\sigma_{tW}^{th}}} = 1.03 \pm 0.12(\text{exp.}) \pm 0.04(\text{th.})$

- |V<sub>tb</sub>| > 0.78 at 95% C.L. (0 ≤ |V<sub>tb</sub>|<sup>2</sup> ≤ 1)







# tW Cross-check analyses



- Two cross-check analyses performed, using the same event selection and the same signal and control regions with the following exceptions:

- $p_{T,sys}$  Fit:

- Veto extra b-tagged loose jets events
- Cut on  $H_t$  in  $e\mu$  channel ( $H_t > 160\text{GeV}$ )
- Same fit as BDT but to the  $p_{T,sys}$  distribution

- Results:

- Observed significance **4.0** sigma
- Expected significance  **$3.2^{+0.4}_{-0.9}$**  sigma
- Cross section:  **$24.3^{+8.6}_{-8.8}$**  pb

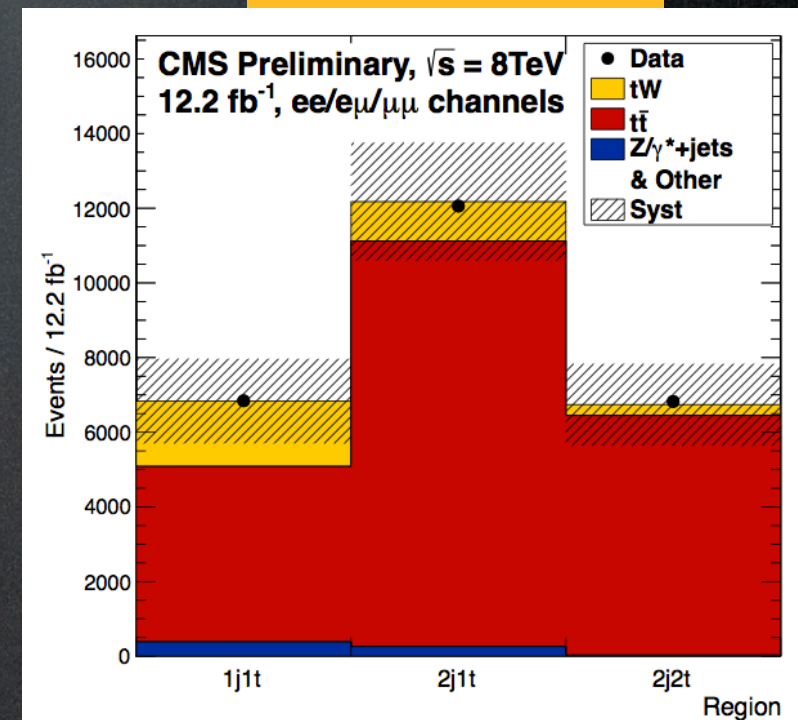
- Cut and Count:

- Veto extra b-tagged loose jets events
- Cut on  $H_t$  in  $e\mu$  channel ( $H_t > 160\text{GeV}$ )
- Fit to the event counts only in each region

- Results:

- Observed significance **3.6** sigma
- Expected significance  **$2.8^{+0.9}_{-0.8}$**  sigma
- Cross section:  **$33.9^{+8.6}_{-8.6}$**  pb

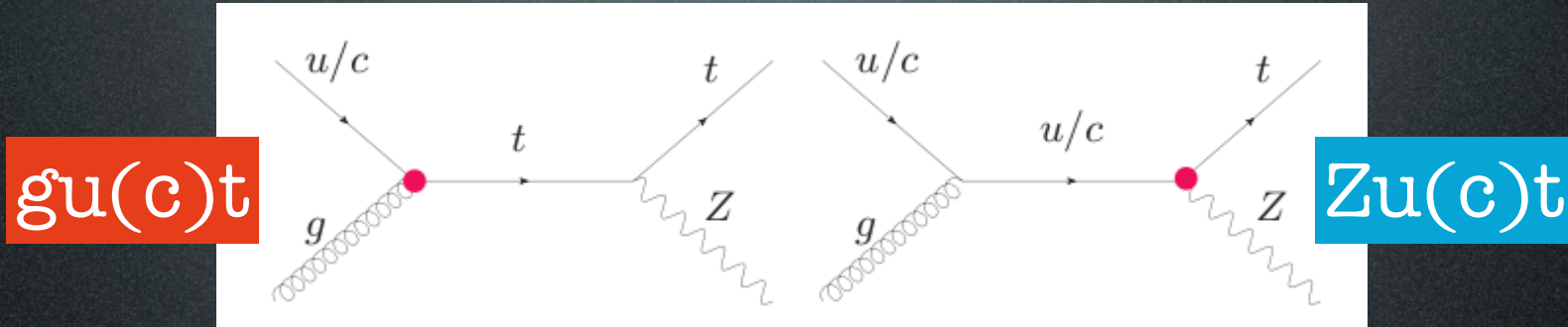
**12.2 fb<sup>-1</sup> @ 8 TeV**





# Search for FCNC in tZ events

- tZ final state sensitive to 2 types of anomalous couplings



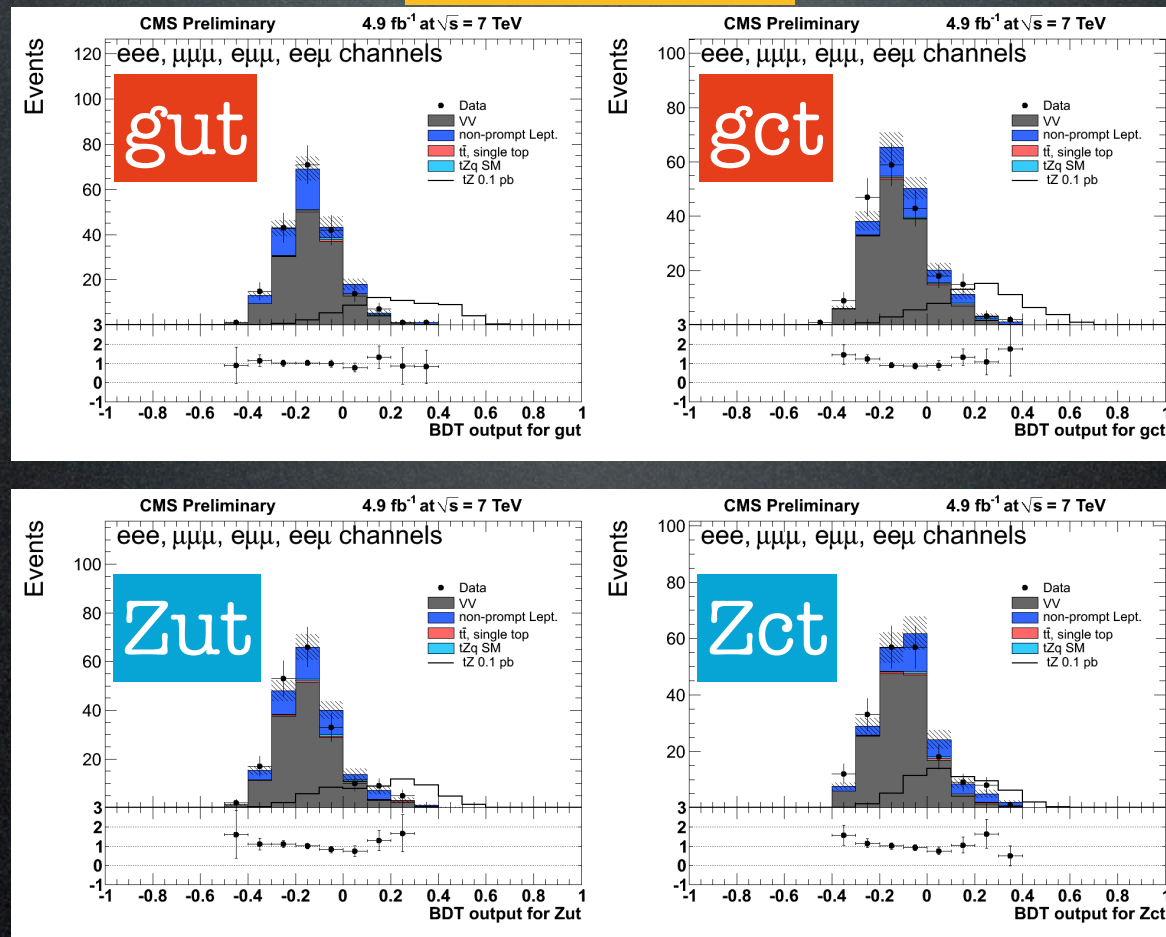
- Final state:
  - 3 leptons (leptonic Z and W decay)
  - 1 b-jet (top Wb decay)
- Low statistics: 4 channels (eee, μμμ, eeμ, μμe) summed up
- After event selection, Boosted Decision Tree (BDT) discriminants used
- Main backgrounds:
  - Fake lepton (Z+jets) : normalization from a template fit of the  $m_T^W$  distribution. Shapes extracted from data for Z+jets.
  - WZ+jets normalization is left free in the calculation of the limit.
  - Other sub-dominant backgrounds : ZZ+jets, top pairs, tZq



# tZ FCNC BDT strategy

- 4 separate BDTs trained on each of the anomalous couplings signal MC (gut, gct, Zut, Zct) and the main WZ+jets background
- Likelihood fits to extract 95%C.L. cross-section limit

**4.9 fb<sup>-1</sup> @7 TeV**







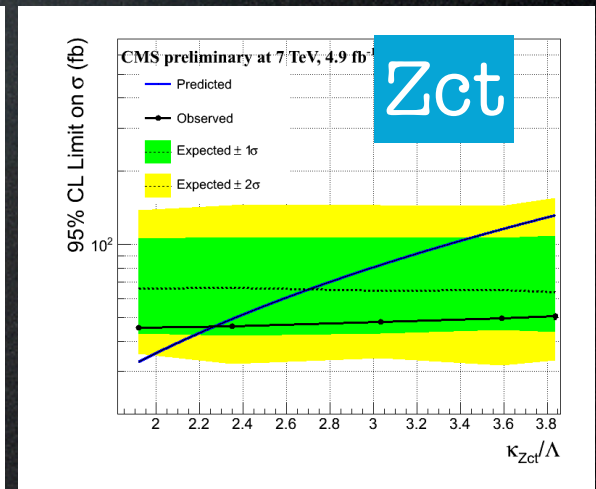
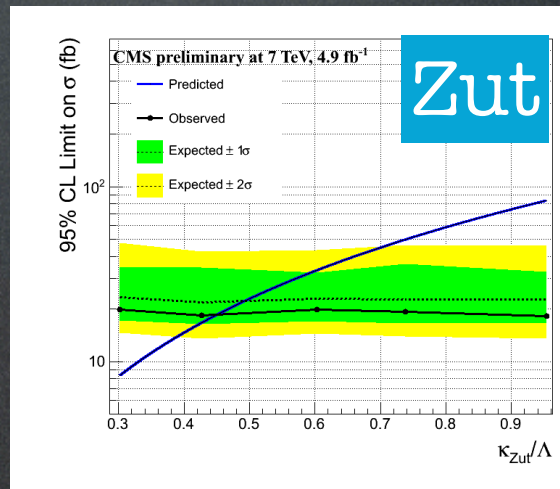
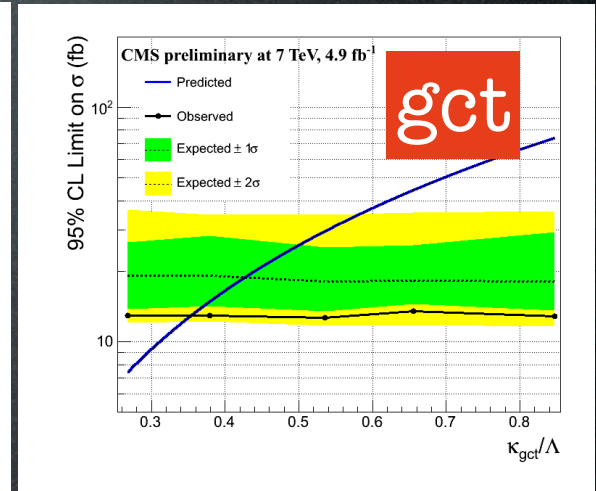
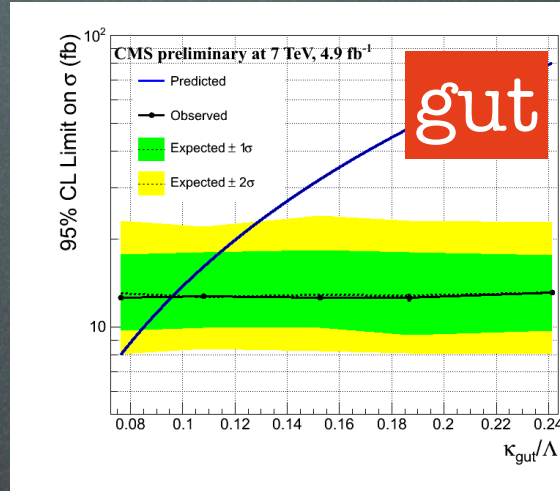
# FCNC results



- Results do not show anomalous couplings signal
- Exclusion limits at 95% C.L. determined using a likelihood based technique
- Coupling strength results translated into top decay branching fractions

couplings	Expected	Observed	$BR(t \rightarrow gq/Zq)$
$\kappa_{gut}/\Lambda$	0.100	0.099	0.60%
$\kappa_{gct}/\Lambda$	0.440	0.361	7.40%
$\kappa_{Zut}/\Lambda$	0.514	0.463	0.53%
$\kappa_{Zct}/\Lambda$	2.766	2.286	11.57%

- Complementary results to top pair FCNC analyses
- Improvement expected with 8TeV analysis (coming soon!)





# Conclusions

12.2 fb<sup>-1</sup> @ 8 TeV

CMS PAS TOP-12-040  
<http://cds.cern.ch/record/1563135>

4.9 fb<sup>-1</sup> @ 7 TeV

CMS PAS TOP-12-021

- First  $> 5 \sigma$  observation of Single Top  $tW$  production in  $pp$  collisions:

- Expected significance:  $5.4^{+1.5}_{-1.4} \sigma$
- Observed:  $6.0 \sigma$

A new discovery for EPS!

- New measurement of  $tW$  cross-section:

- $\sigma_{tW} = 23.4^{+5.5}_{-5.4} \text{ (stat} \oplus \text{sys) pb}$

- $|V_{tb}|$  measurement from  $tW$ -channel analysis:

$$|V_{tb}| = \sqrt{\frac{\sigma_{tW}}{\sigma_{tW}^{th}}} = 1.03 \pm 0.12(\text{exp.}) \pm 0.04(\text{th.})$$

- Two cross-check analyses confirm robustness of event selection

- **Another rare process confirmation of the standard model!**

- A search for FCNC in Single Top  $tZ$  was carried out on 7 TeV data
- Anomalous couplings limits are derived:

couplings	Expected	Observed	$\mathcal{BR}(t \rightarrow gq/Zq)$
$\kappa_{gut}/\Lambda$	0.100	0.099	0.60%
$\kappa_{gct}/\Lambda$	0.440	0.361	7.40%
$\kappa_{Zut}/\Lambda$	0.514	0.463	0.53%
$\kappa_{Zct}/\Lambda$	2.766	2.286	11.57%

- Both results are in agreement with standard model predictions :)





Thank you!







# Public results links



- Single Top tW:
  - <https://twiki.cern.ch/twiki/bin/view/CMSPublic/PhysicsResultsTOP12040>
  - <http://cds.cern.ch/record/1563135>
- Single Top tZ, search for FCNC:
  - <https://twiki.cern.ch/twiki/bin/view/CMSPublic/PhysicsResultsTOP12021>
  - CDS entry coming soon!







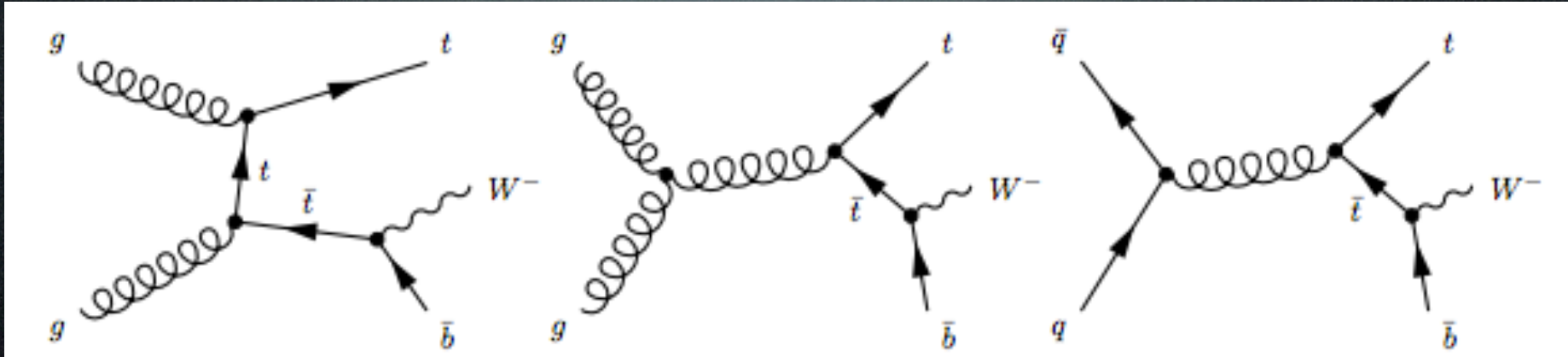
# Back-up





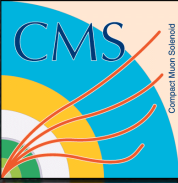
# tW NLO QCD mixing

- tW mixes with top pair production at NLO:



- Diagram Removal (DR): remove double resonant diagrams
- Diagram Subtraction (DS): subtract gauge-invariant term to cancel contribution from top pair production
- DR scheme is used as the signal in the analysis
  - DR and DS are consistent within statistical uncertainty
  - Difference accounted for as a theory systematic uncertainty





# tW Lepton Selection



- Electrons:

- $p_t > 20 \text{ GeV}$
- $|\eta| < 2.5$
- IP  $< 0.04 \text{ cm}$  from beamspot
- $0.5 < M_{\text{vaTrigV0}} < 1.0$
- RelIso  $< 0.15$  in a cone of  $\Delta R < 0.3$

- Loose Electrons:

- $p_t > 10 \text{ GeV}$
- $|\eta| < 2.5 \text{ GeV}$

- Muons:

- $p_t > 20 \text{ GeV}$
- $|\eta| < 2.4$
- RelIso  $< 0.20$  in a cone of  $\Delta R < 0.4$

- Loose Muons:

- $p_t > 10 \text{ GeV}$
- $|\eta| < 2.5 \text{ GeV}$







# tW Jet and MET Selection



## Jets:

- Anti- $k_t$  algorithm
- Corrected  $p_t > 30 \text{ GeV}$
- $|\eta| < 2.4$
- Jet Energy Correction (JEC) applied

## Loose Jets:

- Failing Tight requirements above
- $p_t > 20 \text{ GeV}$
- $|\eta| < 4.9 \text{ GeV}$
- $|\eta| < 2.4 \text{ GeV}$  (central)

## B-tagged Jets:

- Combined Secondary Vertex tagging algorithm
- Medium Working point
- Re-weighted with  $p_t$  dependent b-tagging scale factors

## Missing Transverse Energy (MET)

- Particle Flow MET
- Type I corrections applied

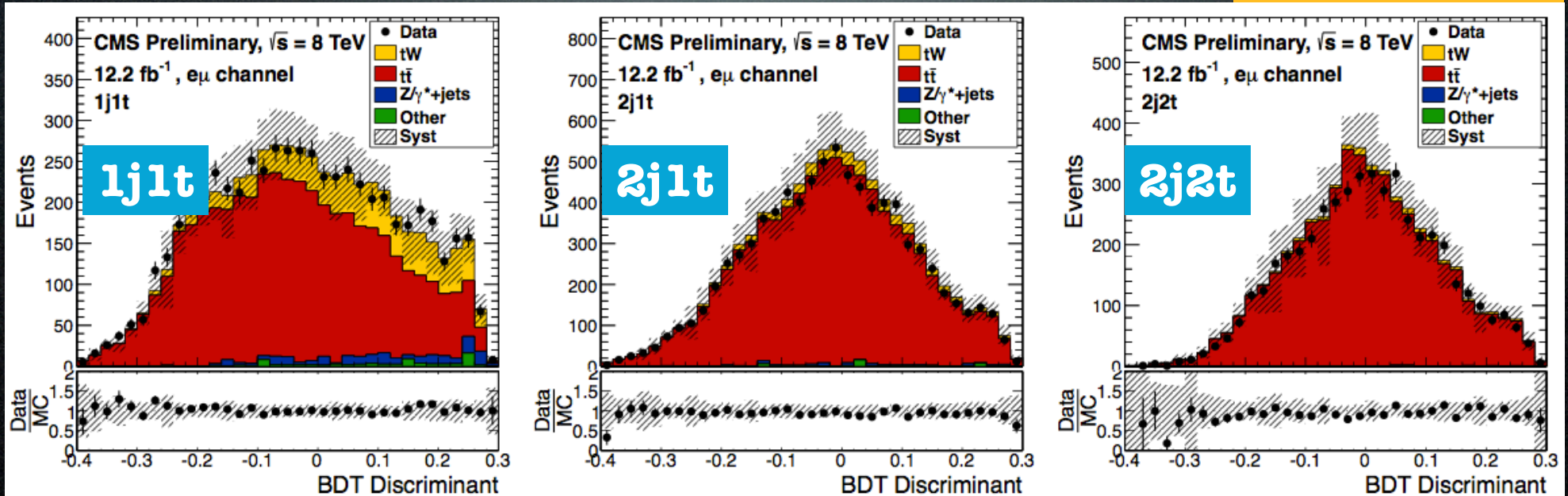




# tW BDT discriminant $e\mu$ channel

- BDT discriminant for the  $e\mu$  channel alone in the signal and 2 control regions:

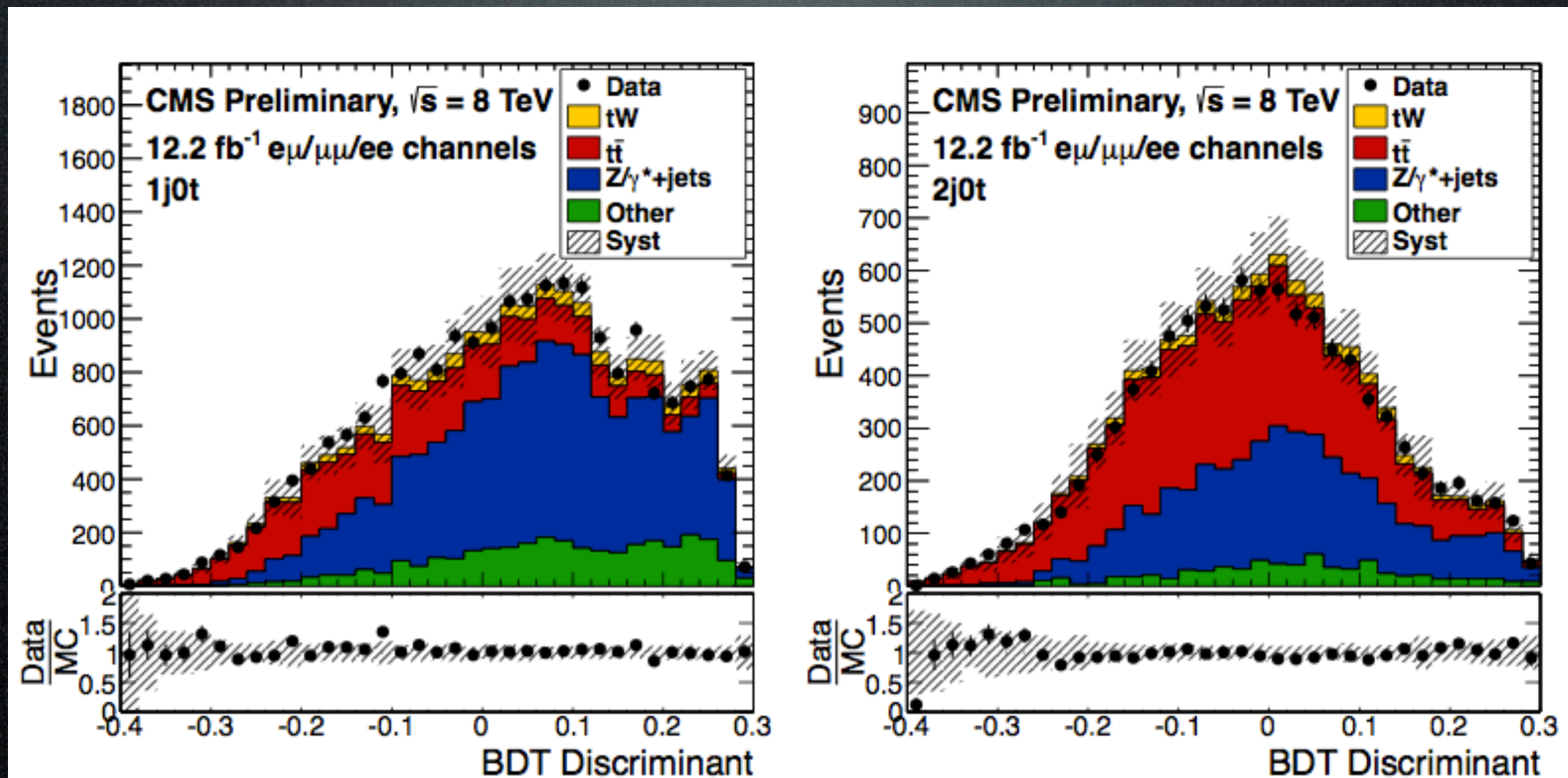
**12.2 fb<sup>-1</sup> @8 TeV**





# BDT discriminant data/MC

- In order to check for data/MC agreement 2 extra control regions were studied:
  - 1j0t
  - 2j0t
- Example BDT discriminant (all channels) distributions:







# tW Variable definitions

$$\vec{p}_T^{system} = \vec{p}_{T,l1} + \vec{p}_{T,l2} + \vec{p}_{T,jet} + \vec{E}_T^{miss}$$
$$H_T = p_{T,l1} + p_{T,l2} + p_{T,jet} + E_T^{miss}$$







# Cross-section table



- With all uncertainties (first due to renormalization/factorization scale, second due to the parton distribution function)

Cross sections (pb) [m <sub>top</sub> = 173 GeV]	s-channel	t-channel	tW channel	top pair
Tevatron: pp̄@1.96TeV	1.046 <sup>+0.002</sup> <sub>-0.01</sub> <sup>+0.06</sup> <sub>-0.056</sub>	2.08 <sup>+0.00</sup> <sub>-0.04</sub> ± 0.12	0.22 ± 0.08	7.08 <sup>+0.00</sup> <sub>-0.24</sub> <sup>+0.36</sup> <sub>-0.27</sub>
LHC: pp @ 7 TeV	4.56 ± 0.07 <sup>+0.18</sup> <sub>-0.17</sub>	65.9 <sup>+2.1</sup> <sub>-0.7</sub> <sup>+1.5</sup> <sub>-1.7</sub>	15.6 ± 0.4 <sup>+1.0</sup> <sub>-1.2</sub>	163 <sup>+7</sup> <sub>-5</sub> ± 9
LHC: pp @ 8 TeV	5.55 ± 0.08 ± 0.21	87.2 <sup>+2.8</sup> <sub>-1.0</sub> <sup>+2.0</sup> <sub>-2.2</sub>	22.2 ± 0.6 ± 1.4	234 <sup>+10</sup> <sub>-7</sub> ± 12

**N. Kidonakis**  
[arxiv.org/pdf/0909.0037](http://arxiv.org/pdf/0909.0037)  
 (2009)

**N. Kidonakis**  
[arxiv.org/pdf/1205.3453v1](http://arxiv.org/pdf/1205.3453v1)  
 (2012)



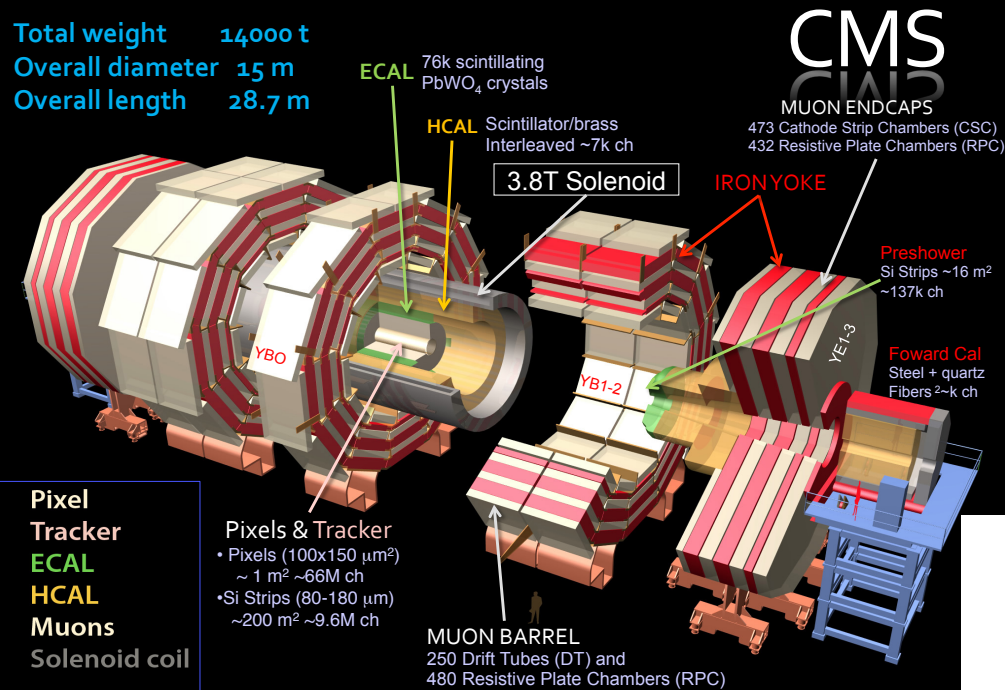




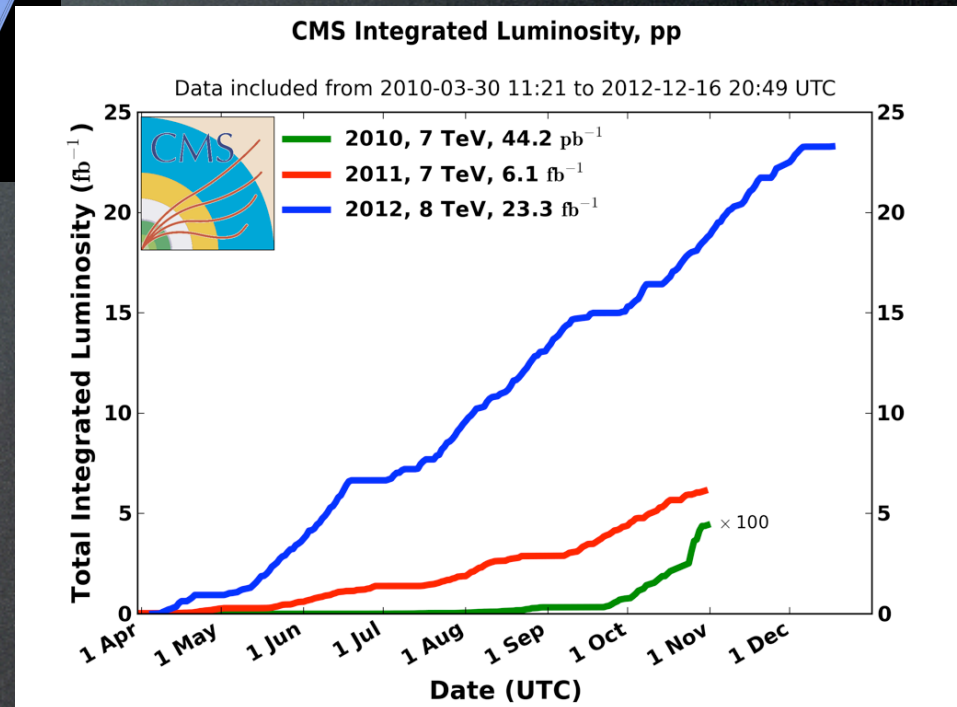
# CMS detector and data sample



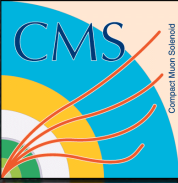
Total weight 14000 t  
 Overall diameter 15 m  
 Overall length 28.7 m



- Total Integrated luminosity recorded:
  - 7 TeV (2011): 6.1 fb<sup>-1</sup>
  - 8 TeV (2012): 23.3 fb<sup>-1</sup>
- Analyses presented today based on a fraction of the data:
  - tZ FCNC: 4.9fb<sup>-1</sup> @7TeV
  - tW-channel: 12.2 fb<sup>-1</sup> @8TeV







# Anomalous Coupling tZ Lagrangian



- The effective Lagrangian added to the Standard Model with the two terms involving the anomalous couplings considered in the analysis:

$g_u(c)t$

$$\mathcal{L} = \sum_{q=u,c} \left[ \sqrt{2} g_s \frac{\kappa_{gqt}}{\Lambda} \bar{t} \sigma^{\mu\nu} T_a (f_q^L P_L + f_q^R P_R) q G_{\mu\nu}^a + \frac{g}{\sqrt{2} c_W} \frac{\kappa_{zqt}}{\Lambda} \bar{t} \sigma^{\mu\nu} (\hat{f}_q^L P_L + \hat{f}_q^R P_R) q Z_{\mu\nu} \right] + \text{h.c.}$$

$Z_u(c)t$

Phenomenology described in arXiv:1304.5551, accepted by PLB







# tZ objects and event selection



- Object Selection:
  - PF Electrons :  $p_T > 20 \text{ GeV}$ ,  $|\eta| < 2.5$ , 2011 recipe for electron ID, PF based RelIso  $< 0.17$
  - PF Muons :  $p_T > 20 \text{ GeV}$ ,  $|\eta| < 2.4$ , 2011 recipe for muon ID, PF base RelIso  $< 0.20$
  - PF Jets  $p_T > 30 \text{ GeV}$ ,  $|\eta| < 2.5$ , loose jet ID
  - PF MET => no cut but used for the reconstruction of top-quarks and other variables
- Event Selection:
  - Dilepton triggers : ee for eee, mumu for mumumu, emu for eemu and mumue
  - 2 leptons with opposite signs with  $|M_{ll}-91| < 15 \text{ GeV}$ . Select the lepton pairs with the invariant mass the closest to the Z mass
  - Exactly 1 additional lepton
  - $\geq 1$  selected jets
  - Veto on events with 2 b-tagged (CSVHE loose) jets
  - Transverse mass of the W ( $m_{TW}$ )  $> 20 \text{ GeV}$
- Transverse mass of the W ( $m_{TW}$ ) and the top candidate are reconstructed from the 3rd lepton, the met and the b-tagged jet (or leading jet if no b-tag).







# $t\bar{t}Z$ FCNC BDT input variables



- Reconstructed top mass
- $\Delta\phi(l_W-b)$
- $q|\eta_W|$ ,
- $p_T$  and  $\eta$  of the Z boson,
- Jet multiplicity,
- B-tagged jet multiplicity,
- $\Delta\phi(Z-E_t^{\text{miss}})$ ,
- B-tagging discriminant (CSV),
- $\eta$  of the leading jet,
- $\Delta\phi(l_W-Z)$

